

Semi-local Quantum Liquids

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Nabil Iqbal, HL, Mark Mezei, [arxiv:1105.4621](https://arxiv.org/abs/1105.4621)

Nabil Iqbal, HL, Mark Mezei, [arxiv:1108.0425](https://arxiv.org/abs/1108.0425)

Holographic non-Fermi liquids:

HL, John McGreevy, David Vegh, 0903.2477 (PRD)

Tom Faulkner, HL, JM, DV, 0907.2694 (PRD)

TF, Nabil Iqbal, HL, JM, DV, 1003.1728 (Science)

Holographic quantum phase transitions:

Nabil Iqbal, HL, Mark Mezei, Qimiao Si arxiv:1003.0010 (PRD)

Some related papers:

Sung-Sik Lee, 0809.3402, Cubrovic, Zaanen, Schalm, 0904.1933

Faulkner, Polchinski, 1001.5049

Hartnoll, Polchinski, Silverstein, Tong, 0912.1061

Faulkner, Horowitz, Roberts, arxiv:1008.1581

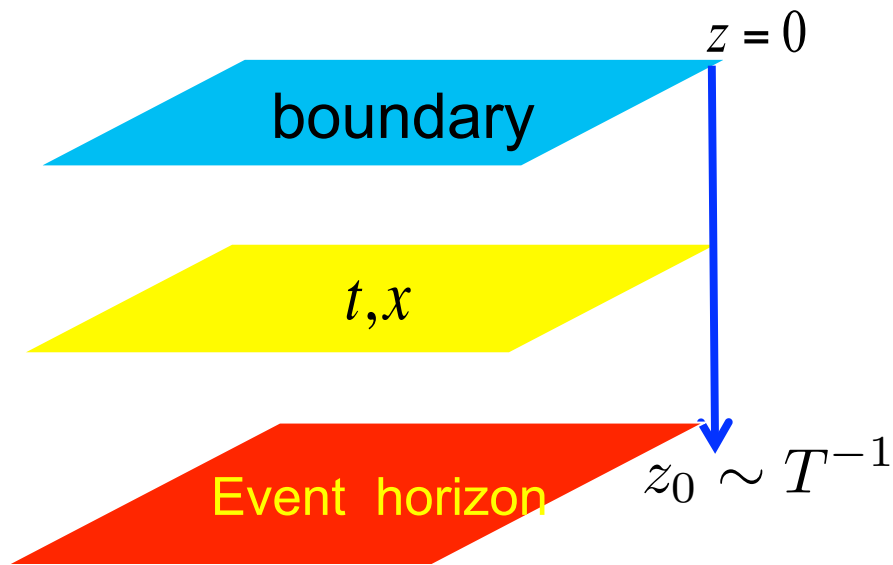
Jensen, Karch, Son, Thompson, arxiv: 1002.3159 Jensen: 1108.0421

Gauge/gravity duality

highly quantum
mechanical,
strong coupling
phenomena



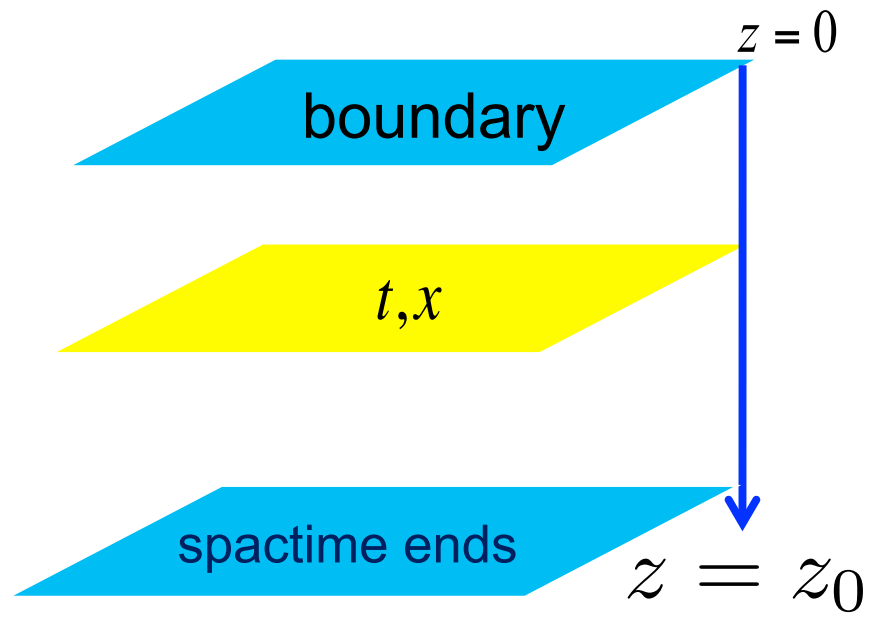
simple geometric
picture or
gravitational dynamics



finite $T \iff$ Black hole

QGP: universality

$$\frac{\eta}{s} \dots\dots\dots$$



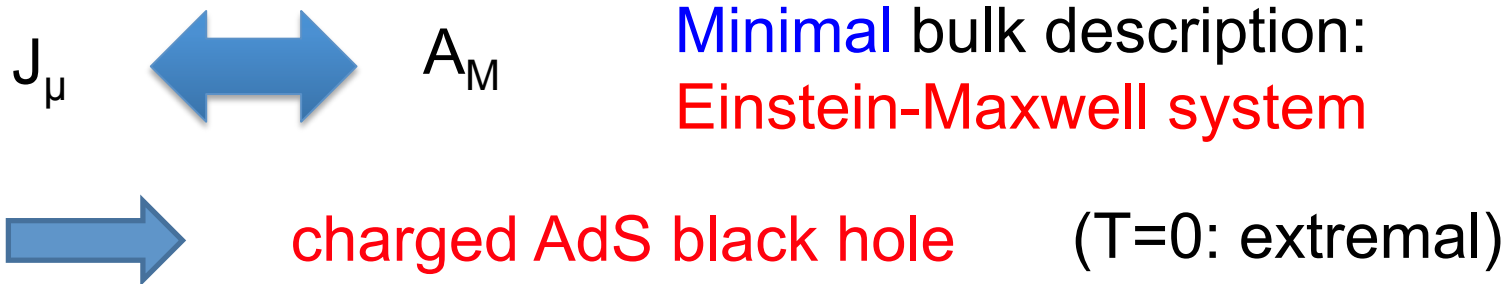
confining theory:
 spacetime ends smoothly at
 a finite proper distance
 from any interior point.

$$\text{mass gap} \sim \frac{1}{z_0}$$

Can we extract some **universal** physics
for a **finite density system** from
gauge/gravity duality?

Charged black hole

Take a **U(1) global symmetry**. Put the system at a **finite chemical potential** for this U(1).

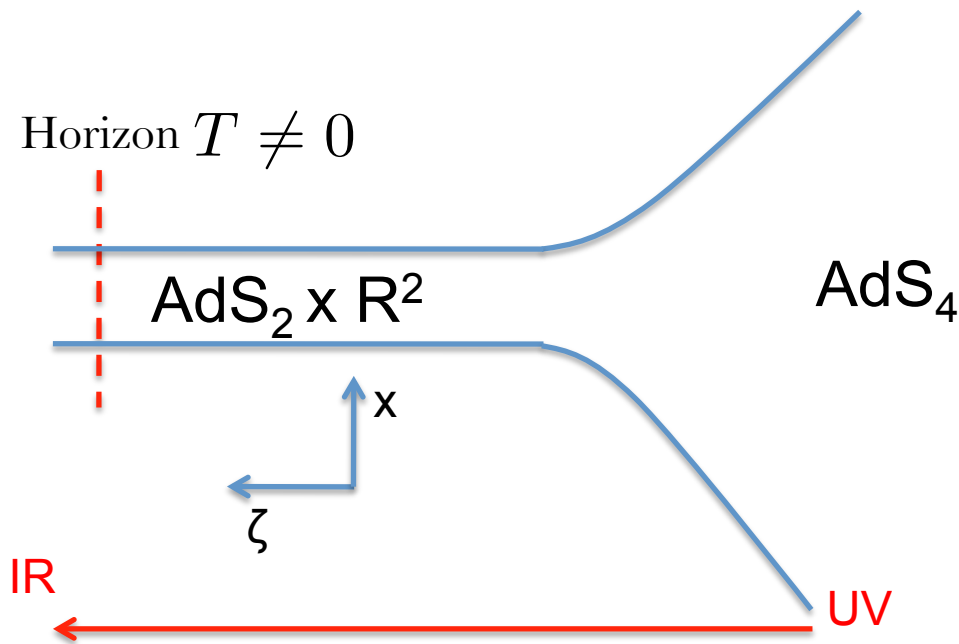


Since the Einstein-Maxwell sector is contained in any holographic description of a finite density system,

charged BH has a **chance** to be something “**universal**”

i.e. systems with **different UV descriptions** may share **similar IR physics** at a finite chemical potential.

Extremal charged BH



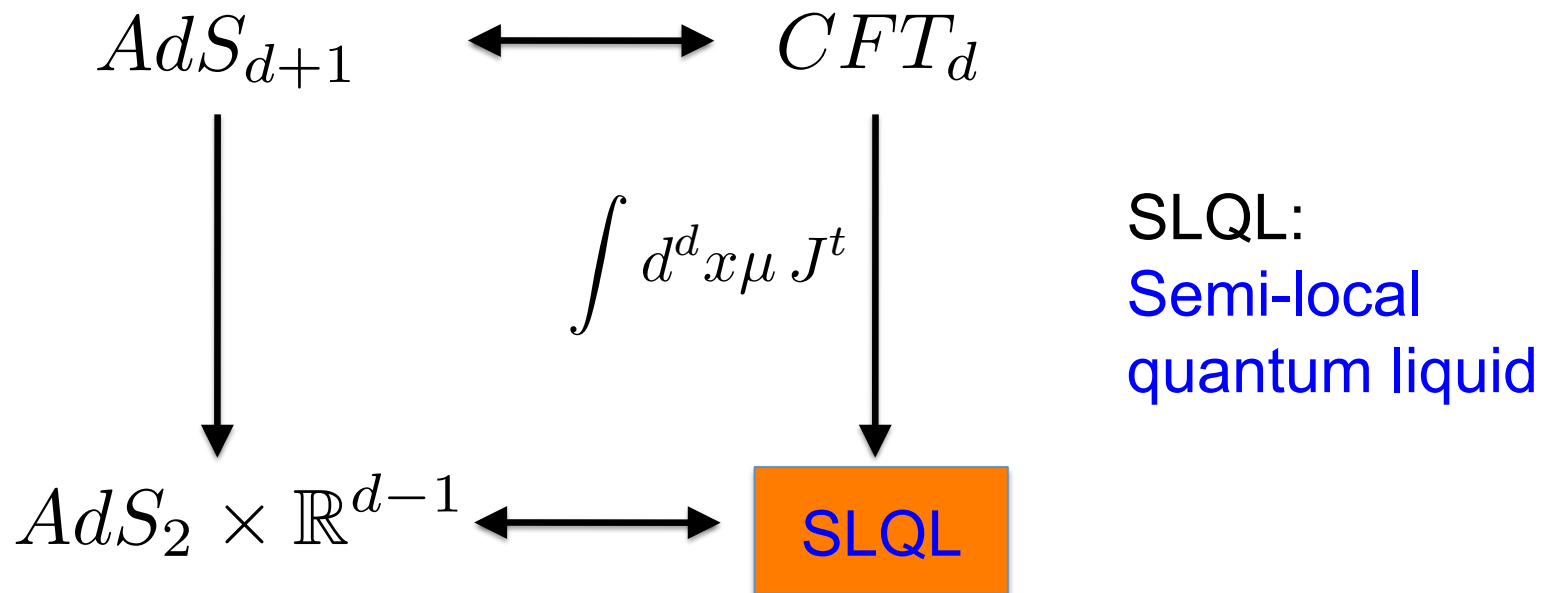
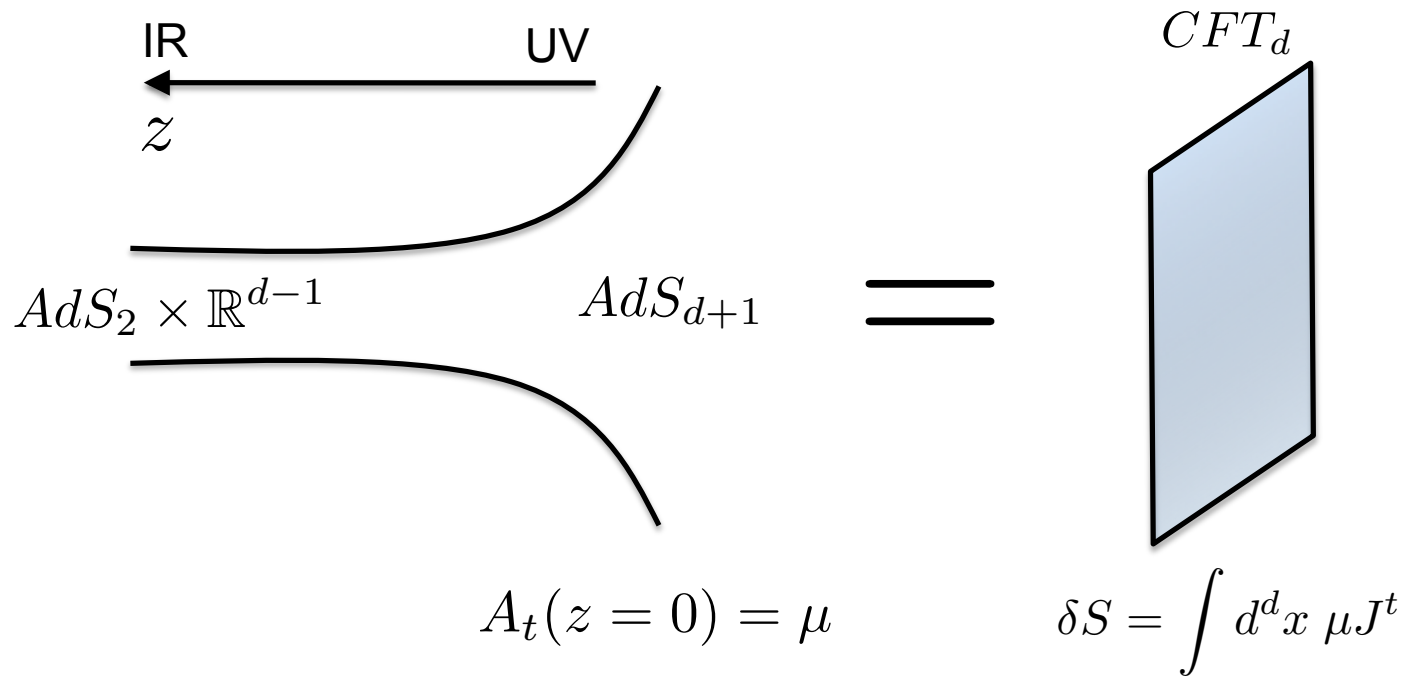
1. Degenerate horizon

Horizon infinite proper distance away

2. Transverse R^2 finite size

Finite entropy density at $T=0$

When $T \ll \mu$, only AdS_2 region is heated up.



Semi-local quantum liquid

SLQL: scaling symmetry in time, $SL(2, \mathbb{R})$, ω/T scaling

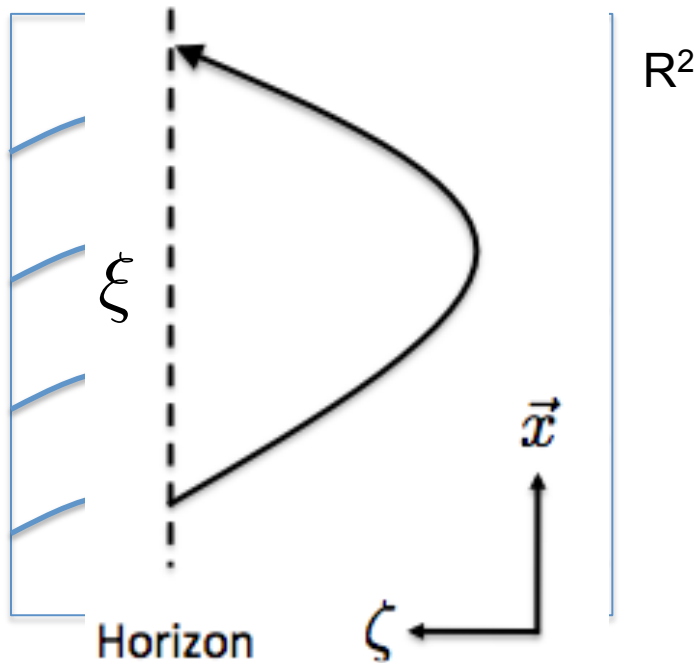
Consider $\phi \Leftrightarrow \Phi$

Scaling dimensions for $\Phi_{\vec{k}}$: $\delta_k = \frac{1}{2} + \nu_k$,

$$\nu_k = \frac{1}{\sqrt{d(d-1)}} \sqrt{m^2 R^2 - \frac{q^2}{2} + \frac{d(d-1)}{4} + \frac{k^2}{\mu_*^2}} \quad \mathcal{G}_k(\omega) = c(\nu_k) (-i\omega)^{2\nu_k}$$

- **Gapless excitations** for all k
- the dimension **increases with k**
- the dimension **decreases with q**
- Both \mathcal{G}_k and ν_k become independent of k when $k \ll \mu$
- **infinite** correlation time, but a **finite** correlation length

Semi-local behavior



$$\xi = \frac{1}{\mu_*} \frac{1}{\sqrt{m^2 R^2 - \frac{q^2}{2} + \frac{d(d-1)}{4}}}$$

- For $x \equiv |\vec{x}| \ll \xi$

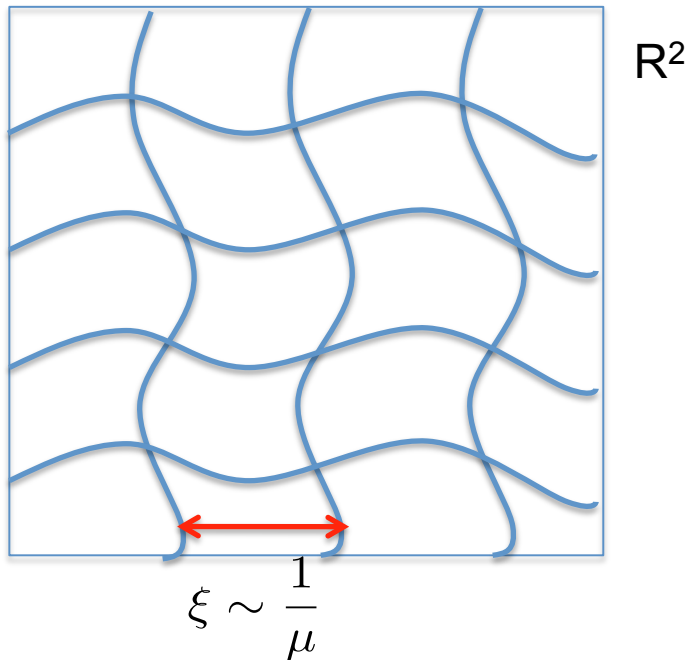
$$G_E(\tau, x) \sim \frac{1}{\tau^{2\delta_{k=0}}}$$

- For $x \gg \xi$

$$G_E(\tau, x) \sim e^{-\frac{x}{\xi}}$$

- The system **separates into regions of size ξ** , with no strong correlations between different regions.
- Within each region, the system behaves like **a conformal quantum mechanical system with an infinite correlation time.**

This is rather **similar, but different** from **local quantum criticality** discussed in the condensed matter literature:



- This describes a **phase**, not a critical point.
- dimensions and correlation functions **depend on momentum**, not like in impurity models

Correlation length diverges near critical point




Semi-local quantum liquid (gravity in $AdS_2 \times R^{d-1}$)

Also characterized by a finite entropy density

Prediction from gravity:

A class of QFTs

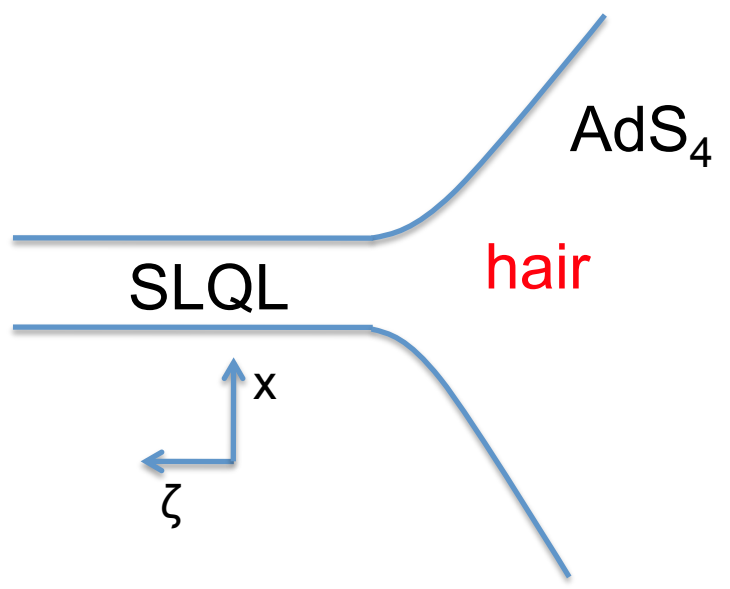

$$\int d^d x \mu J^t$$

SLQL

Physics of SLQL

Black hole hair

It turns out SLQL may not be the only low energy degrees of freedom.



New low energy degrees of freedom arise if the charged black hole admits **hair**.

Scalar hair: static, normalizable solution for a scalar field.

Gravity theory contains various matter fields (scalar, spinor,).

.....

Fermionic hair and Fermi Surface

Consider a spinor field: $\psi \Leftrightarrow \Psi$ (boundary operator)

$$m, q \Leftrightarrow \Delta, q$$

$$\psi(z, \vec{x}) = \psi_k(z) e^{i\vec{k} \cdot \vec{x}}$$

There exists certain range of (m, q) , $\psi_k(z)$ can have a **normalizable solution** at some $|\vec{k}| = k_F$

When this happens, there are **gapless excitations** of Ψ at the momentum shell

$$|\vec{k}| = k_F$$



A Fermi surface in the boundary theory

Small excitations at the Fermi surface

$$G_R(\omega, k) = \frac{h_1}{\omega - v_F(k - k_F) + \Sigma(\omega)} \quad \Sigma(\omega) = h\mathcal{G}_{k_F}(\omega) = c\omega^{2\nu}$$

$\mathcal{G}_{k_F}(\omega)$: SLQL correlation function at $k = k_F$

ν : SLQL scaling dimension of Ψ evaluated at k_F

Quasi-particle decay rate:

$\nu > \frac{1}{2}$ long-lived quasi-particles,

$$\Gamma \propto \omega^{2\nu}$$

$\nu \leq \frac{1}{2}$ **No** long-lived quasi-particles

(decay by falling into the black hole)

$\nu = \frac{1}{2}$ $\Gamma \propto \omega$ **as in high Tc cuprates !**

Finite temperature: Replace $\omega^{2\nu}$ by a universal scaling function $T^{2\nu} g(\omega/T)$ (known analytically)

Marginal Fermi liquid

For $v_{k_F} = \frac{1}{2}$

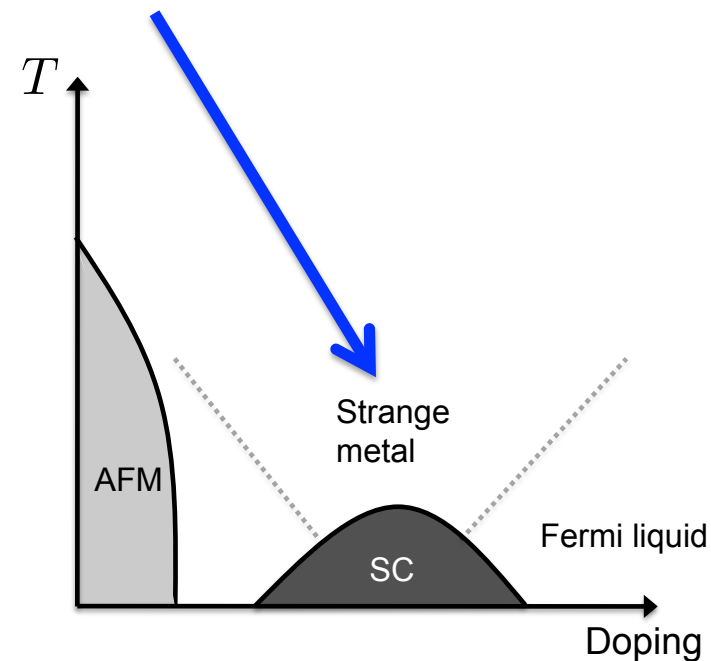
$$G_R \approx \frac{h_1}{k_{\perp} + \tilde{c}_1 \omega \log \omega + c_1 \omega}$$

\tilde{c}_1 : real

c_1 : complex

Precisely that for
“Marginal Fermi liquid”
proposed on phenomenologic
ground for high T_c cuprates
near optimal doping.

Varma, Littlewood, Schmitt-Rink,
Abrahams, Ruckenstein (89)



Strange metals

From photoemission experiments:

1. There is still a k_F

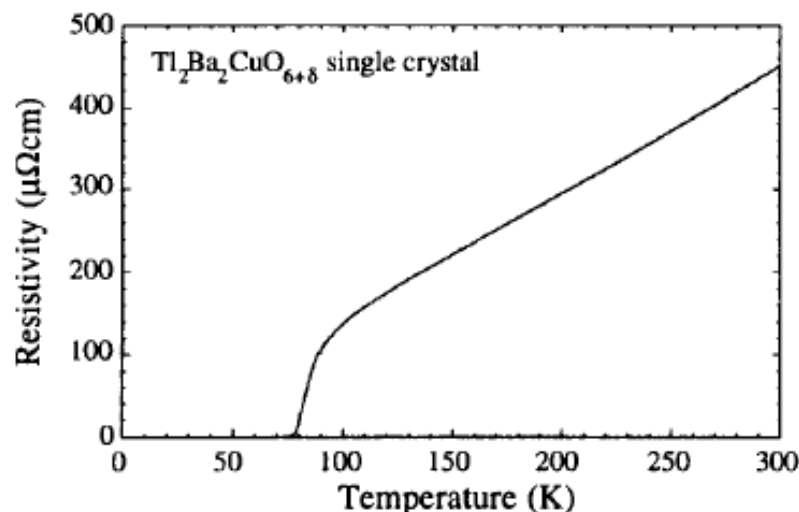
2. Width of the peak

$$\Gamma \propto \omega$$

Quasi-particle
decay rate

There is a Fermi surface, but no long-lived quasi-particles !

Resistivity **linear** in temperature:



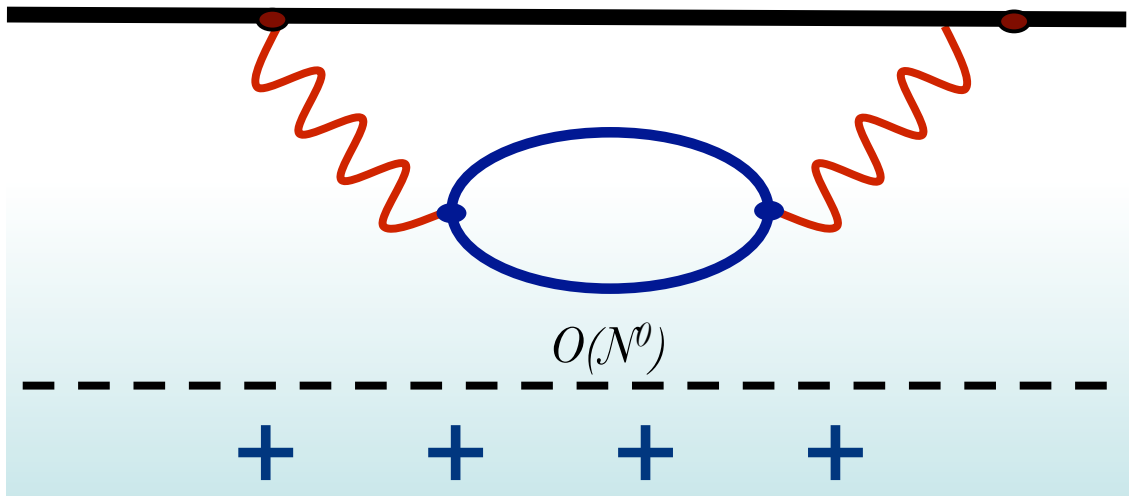
In sharp contrast with that of a Fermi Liquid:

$$\rho = \rho_0 + cT^2$$

Mackenzie
97

Simple, robust, universal (for all cuprates and many heavy fermion materials), long standing puzzle ..

Conductivity from fermions



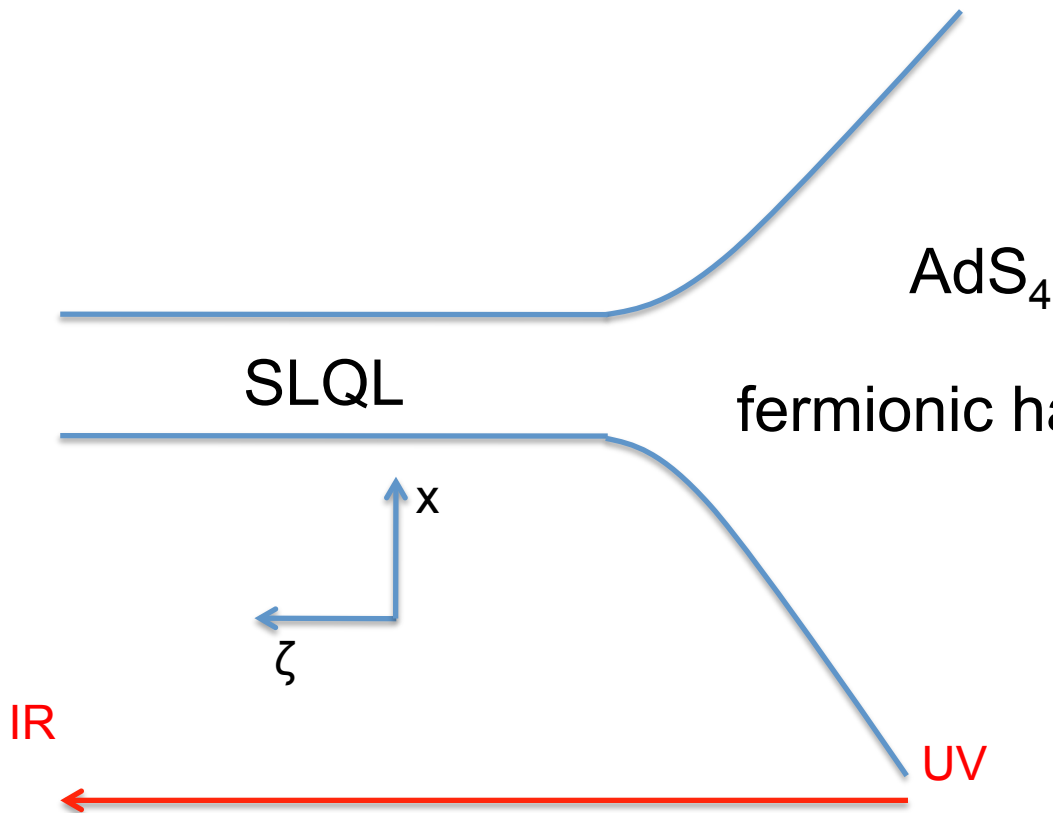
One-loop calculation
in gravity:

many subtleties and
potential pitfalls

$$\sigma_{FS} \propto T^{-\alpha} \quad \text{with} \quad \alpha = 2\nu$$

For marginal fermi liquid (relevant for cuprates) $\nu = \frac{1}{2}$

$$\sigma_{FS} \propto T^{-1} \quad \text{leading to linear resistivity !}$$



Faulkner, HL, McGreevy, Vegh
 Faulkner, Polchinski
 Faulkner, HL, Rangamani

Low energy effective theory:

$$S_{eff} = \tilde{S}_{SLQL}[\Phi] + \int \lambda(k, \omega) \Phi_{\vec{k}} \Psi_{-\vec{k}} + S_{free\ fermion}[\Psi]$$


$$G_R = \text{---} \Psi \text{---} + \text{---} \Psi \text{---} \text{---} \text{SLQL} \text{---} \Psi \text{---} + \text{---} \text{---} \text{---} \text{SLQL} \text{---} \text{---} \text{---} \text{SLQL} \text{---} + \dots$$

Hybridization between free fermions and SLQL

Scalar hair: instability

When there is a **scalar hair** at some k_F , the dynamical susceptibility has a pole in the **upper half frequency plane**, which indicates **instability to condensation of the corresponding scalar**.

Faulkner, HL, McGreevy, Vegh

scalar hair  Superconductors (charged)
Ising-nematic, or AFM (neutral)

It is possible to tune certain external parameter g (double trace coupling) to a **quantum critical point g_c , where the instability disappear**.

Faulkner, Horowitz, Roberts

Hybridized quantum critical point

Faulkner, Horowitz, Roberts; Iqbal, HL, Mezei; Jensen

Near the critical point, the dynamical susceptibility is given by:

$$\chi(\omega, \vec{k}) = \frac{Z}{g - g_c + c(\vec{k} - \vec{Q})^2 + T^\alpha f(\frac{\omega}{T})}$$

This is of the same form as the magnetic susceptibility for $\text{CeCu}_{6-x}\text{Au}_x$ near $x_c=0.1$ (Schroeder et al, 1998)

$\text{CeCu}_{6-x}\text{Au}_x$: $x < 0.1$, Fermi liquid, $x > 0.1$ antiferromagnet

Low energy effective theory $S_{eff} = S_{SLQL}[\Phi] + \lambda \int dt \Phi \psi + S_{LG}[\psi]$

Landau-Ginsburg sector hybridized with a strongly coupled SLQL.

Hybridized quantum critical point

Summary

Black hole hair: gapless degrees of freedom (described by mean field) hybridized with degrees of freedom in SLQL:

Fermion: non-Fermi liquids, Fermi surface without quasiparticles, strange metal behavior as in cuprates.

Scalar: hybridized QCP, as in $\text{CeCu}_{6-x}\text{Au}_x$

- Spatial sector mean field;
- Self-energy nontrivial scaling in frequency (weakly dependent spatial momentum)

Naturally lead to local quantum critical behavior

Instabilities of SLQL

Instabilities of SLQL

SLQL develops instability when ν_k becomes **imaginary**

$$\nu_k = \frac{1}{\sqrt{d(d-1)}} \sqrt{m^2 R^2 - \frac{q^2}{2} + \frac{d(d-1)}{4} + \frac{k^2}{\mu_*^2}} \quad (\text{Scalar, similarly for spinor})$$

$$\text{When } u \equiv m^2 R^2 - \frac{q^2}{2} + \frac{d(d-1)}{4} < 0$$

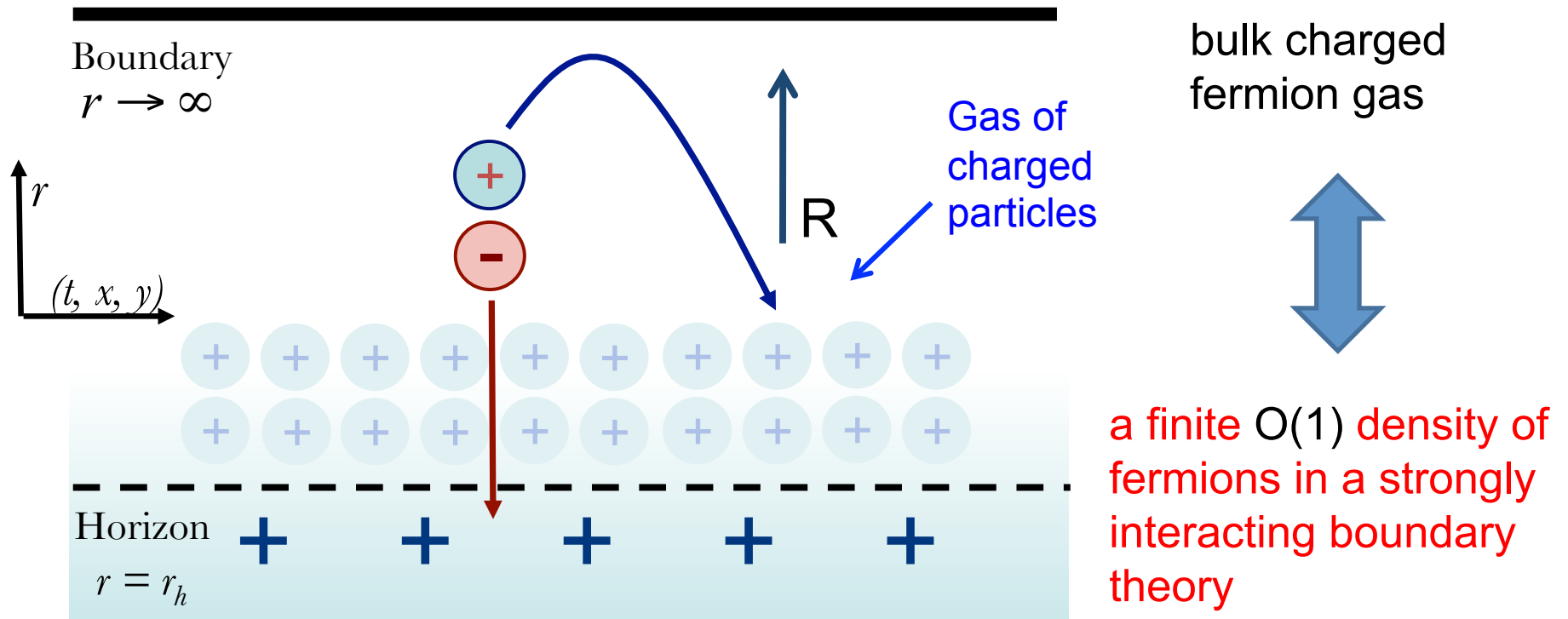
Scalar: below BF bound of AdS_2 Hartnoll, Herzog, Horowitz

Gravity: black hole can **pair produce** these particles

$$\text{Density of states: } \rho(E) = \text{const} + \frac{\lambda}{E} + \dots$$

Fermion gas in $AdS_2 \times R^2$

Consider a **charged** field outside the black hole:

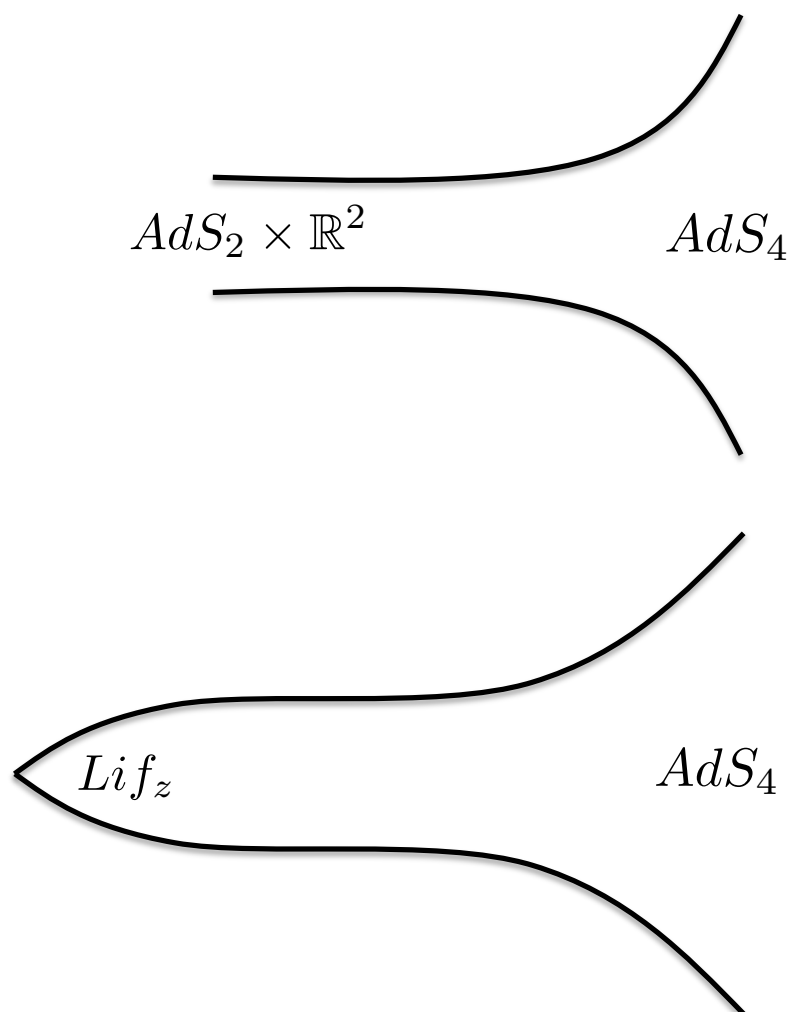


Finite N and back reaction of fermionic gas

Hartnoll, Polchinski, Silverstein, Tong

The total density of the fermionic gas turns out to be **infinite**.

Backreaction of the fermionic gas: the spacetime becomes Lifshitz at $\Lambda \sim e^{-cN^2}$



- **Charged horizon disappears**, all charges carried by bulk fermions
- The new geometry has **zero** entropy density.

Heavy Fermi liquid

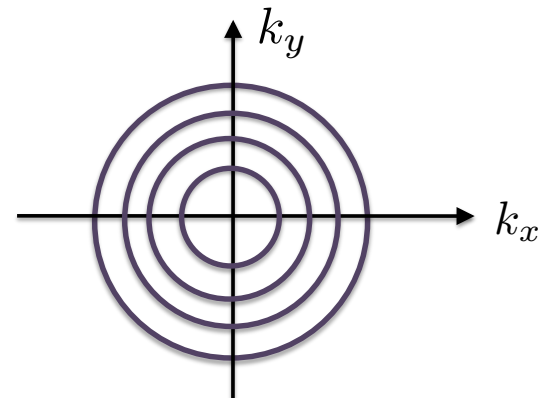
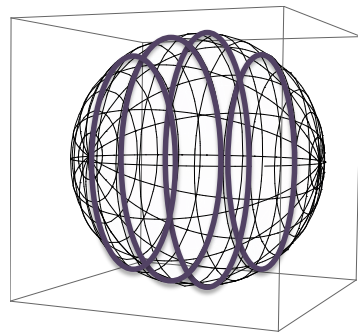
Iqbal, HL, Mezei, Hartnoll, Hofman, Vegh

[Cubovic](#), Liu, Schalm, Sun, [Zaanen](#)

Fermions in
Backreacted
geometry



Multiple Fermi surfaces

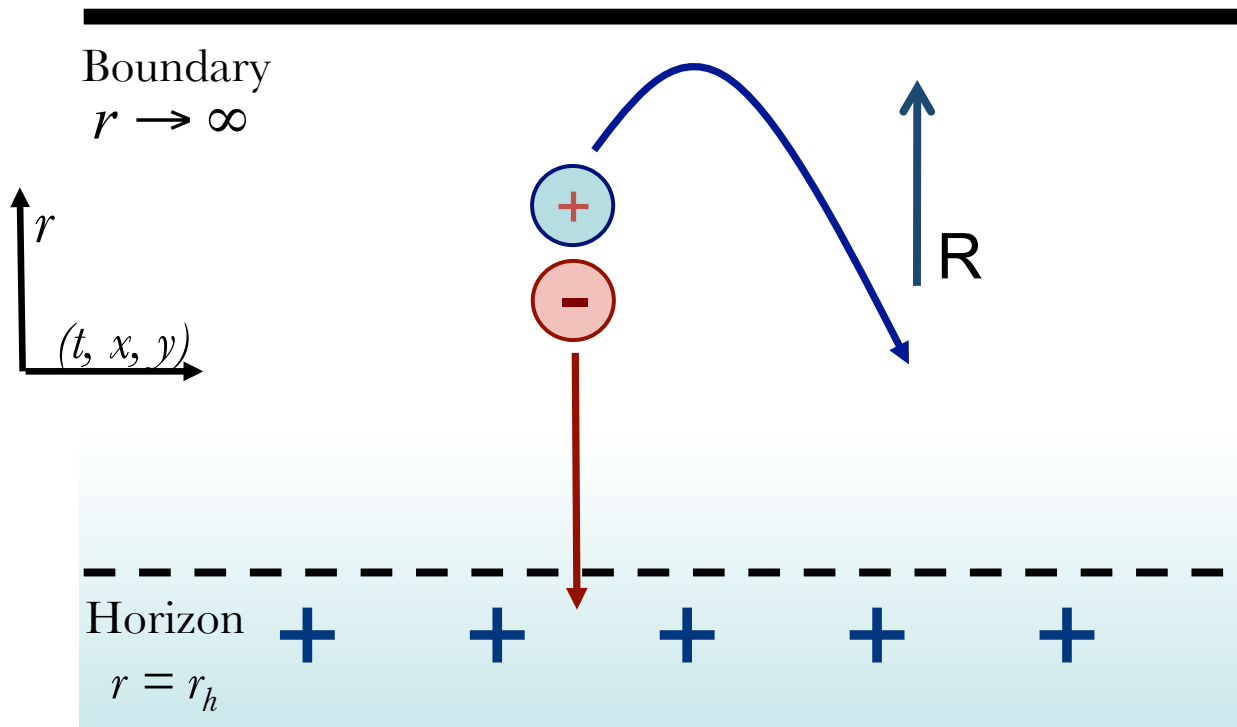


Each Fermi surface corresponds to a different fermionic species (different bound states).

Each Fermi surface is a **Fermi liquid** of **heavy fermions**.

Scalar instability

Consider a **charged scalar** field outside the black hole:



Fermion: reflection probability $R < 1$, leading to an equilibrium.

Scalar: $R > 1$ (superradiance), will grow and condense.

$$u \equiv m^2 R^2 - \frac{q^2}{2} + \frac{d(d-1)}{4} < 0$$

Critical point: $u_c=0$

Bifurcating critical point (I)

Near the critical point $u_c = 0$, the static, uniform susceptibility:

$$\chi = \mu_*^{2\nu_U} \frac{\beta + \sqrt{u}\tilde{\beta}}{\alpha + \sqrt{u}\tilde{\alpha}}$$

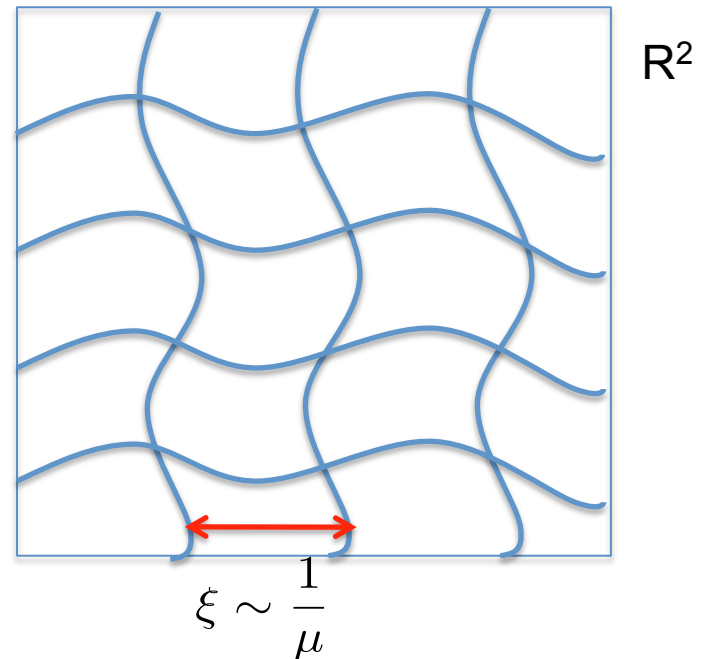
It remains **finite** at the critical point. Becomes complex when $u < 0$. (branch point at $u=0$)

Correlation length diverges as in mean field

$$\xi = \frac{1}{\sqrt{2\mu}\sqrt{u}}$$

Landau-Ginsburg-Wilson: critical point with relevant operators.

Bifurcating QCP: collision of two fixed points

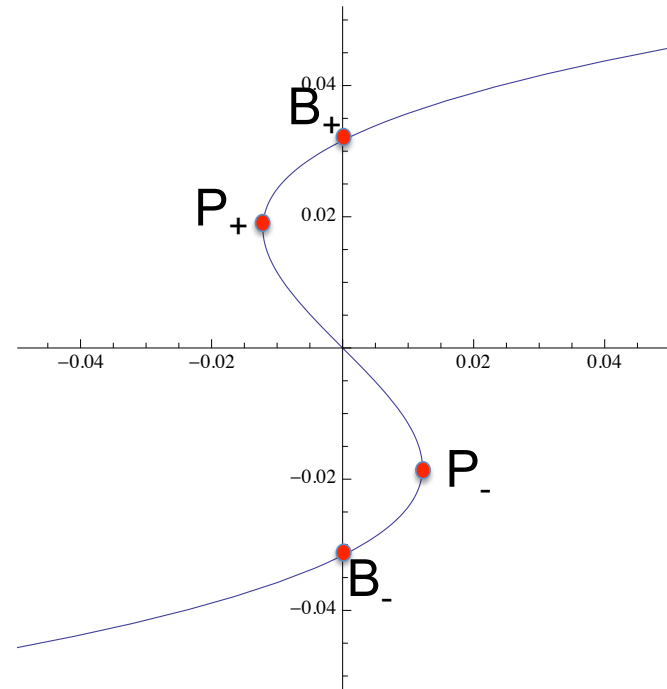
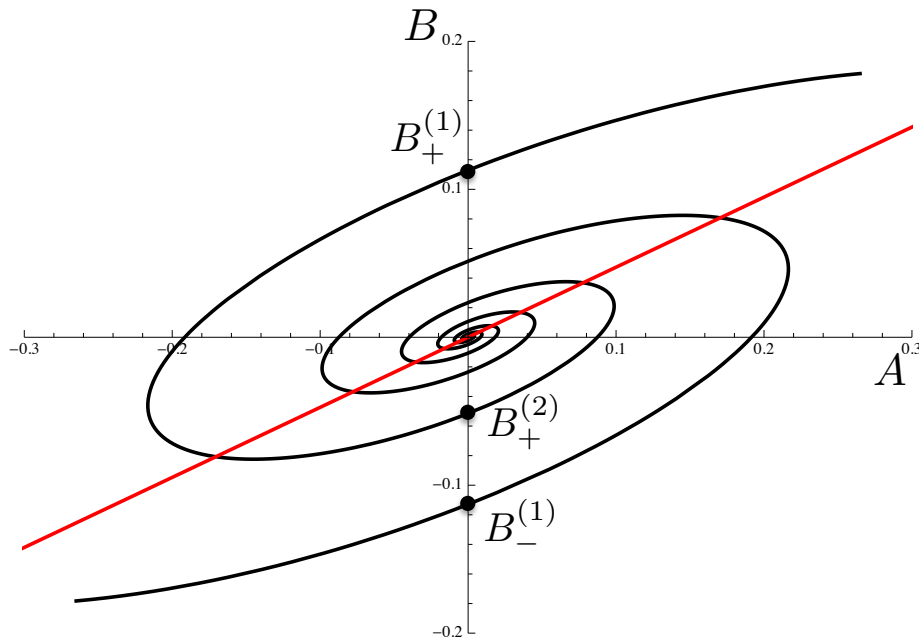


Bifurcating critical point (II)

There are in fact an **infinite number** of condensed states:

$$\langle O \rangle_n \sim \exp\left(-\frac{n\pi}{2\sqrt{-u}}\right), \quad n = 1, 2, \dots$$

The response of all these states can be characterized by a spiral:



Physical interpretation

Recall that we are consider a non-Abelian gauge theory: O is a gauge invariant operator.

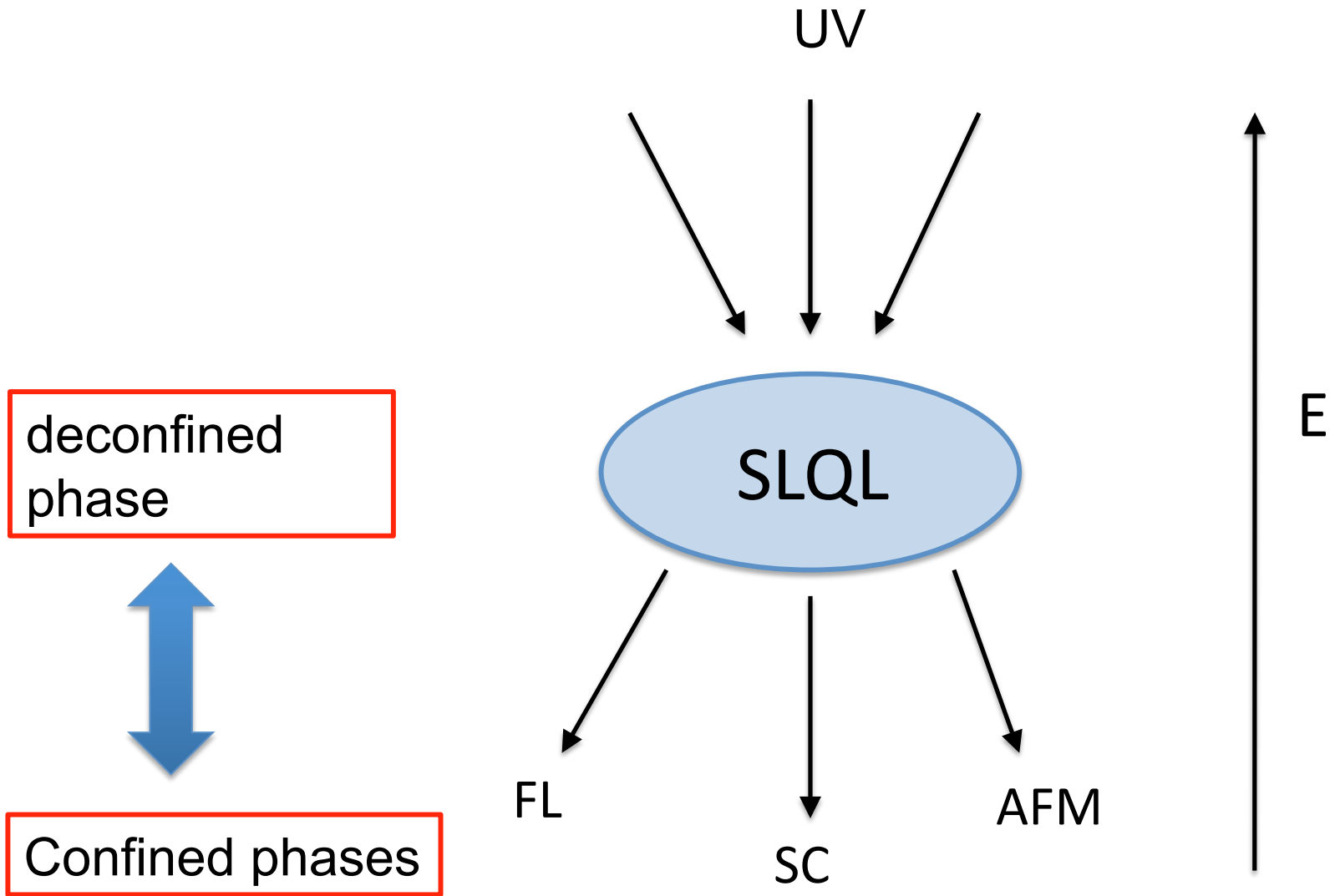
SLQL: finite entropy density $O(N^2)$, excitations of O gapless, no quasiparticles.

When O develops a complex scaling dimension in SLQL, it forms **a tower of gapped bound states**, each of which then Bose-Einstein condenses.

(infinite geometric series has to do with discrete scaling symmetry of a complex scaling dimension)

Similarly with a spinor operator: each bound state now forms a Fermi surface.

SLQL is a **deconfined phase** of these lower energy phases.



Summary

A. Black hole has hair



Fermion: non-Fermi liquid

Scalar: instability, hybridized QCP

B. Dimension (i.e. ν_k) of the operator in the SLQL becomes complex.

(black hole can pair produce particles)



Fermion: Heavy Fermi liquid

Scalar: Instability, bifurcating QCP

Interpretation of SLQL

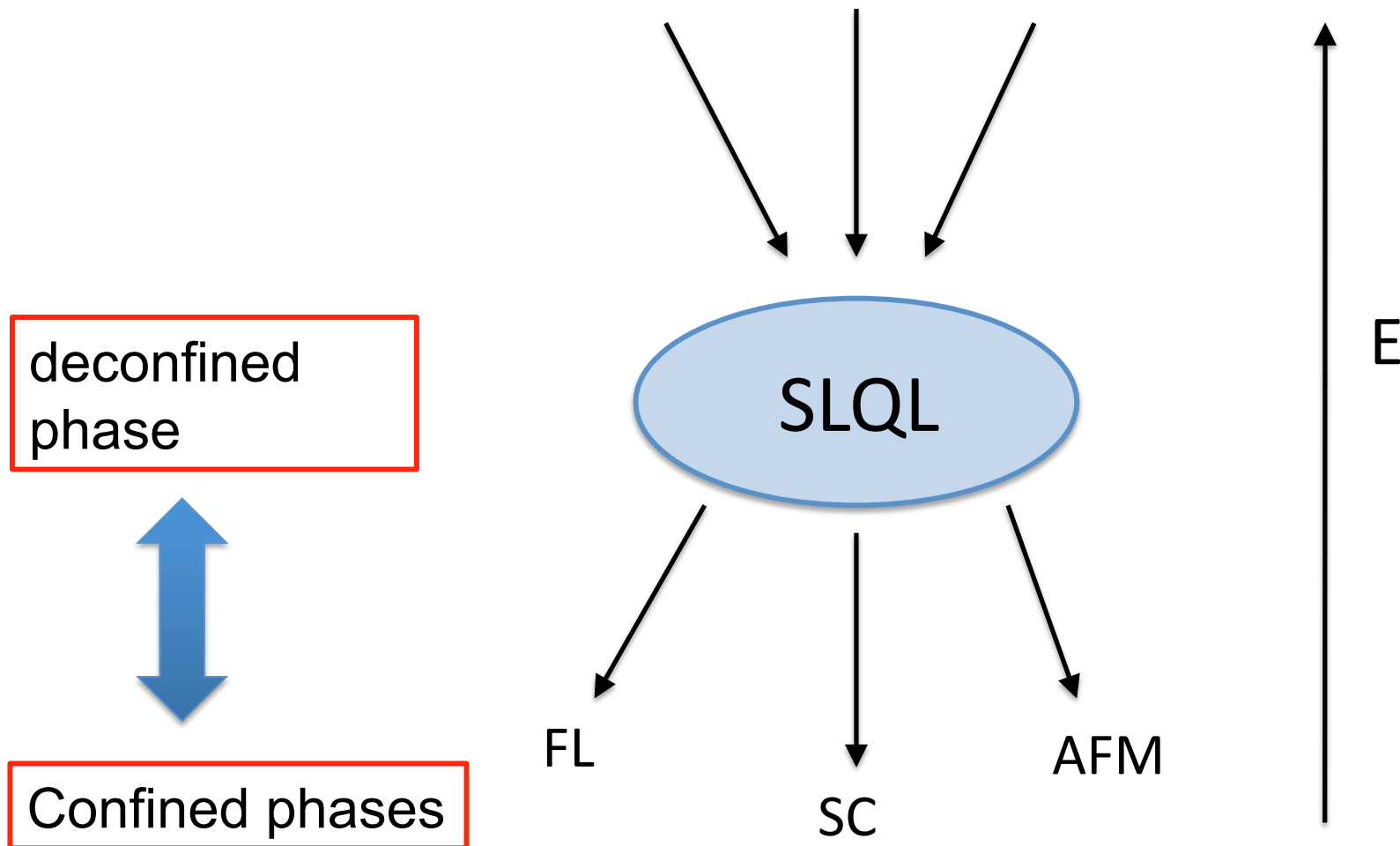
How do we interpret the entropy of SLQL?

Without supersymmetry, the entropy density should **go away** at finite N , i.e. the system will pick a **unique ground state**.

The precise physical nature of the ground state will depend on **specific dynamics** of an individual system.

The examples we have seen may be generic, i.e. SLQL may always be unstable to order into some lower energy phase.

Universal intermediate energy phase



Possible condensed matter applications

Could the SLQL phase underlie some known condensed matter systems?

Encountering some scaling behavior

vacuum or intermediate-energy effects?

Scaling has traditionally been associated with a QCP.

SLQL phase provides a nontrivial example scaling could arise from intermediate-energy effects.

- Systems which exhibit frustrated or competing interaction terms in their Hamiltonian.

- Systems which involve strong competition between tendencies towards itinerancy and localization.



Candidates
of SLQL

In our context, strange metal behavior and hybridized quantum critical behavior are **intermediate-energy** effects, **NOT vacuum effects**.

Could the strange metal phase of cuprates and certain critical behavior of heavy fermions be due to an intermediate-energy phase such as SLQL?

SLQL offers novel superconducting instabilities, could Superconductivity in cuprates or certain heavy fermion materials be of similar origin?

More generally, could an intermediate phase like SLQL be a generic phenomenon in condensed matter physics?

(note: similar features with DMFT)

Thank You !

Marginal critical point

One can also tune parameters so that a **bifurcating** and a **hybridized** critical point coincide. Here one finds postulated bosonic fluctuations underlying **marginal Fermi liquid**:

$$\chi(k, \omega) = -\chi_* \log \left(-\frac{i\omega}{2} \right) + \dots$$

At finite T:

$$\chi(k, \omega) = \frac{\pi\chi_*}{2} \tanh \left(\frac{\omega}{2\pi T} \right) + \dots$$

$$\text{Im}\chi(\omega, k) \sim \begin{cases} \frac{\omega}{T} & |\omega| \ll T \\ \text{sgn}(\omega) & |\omega| \gg T \end{cases}$$

Varma, Littlewood, Schmitt-Rink,
Abrahams, Ruckenstein (1989)

Sachdev, Ye (1992)

Bifurcating critical point (II)

