

Critical Fermi surface states in 2+1 dimensions.

Part II

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Critical Fermi surface states in 2+1 d. Part II.

- “Theory” of critical Fermi surface states in 2+1d
 - gapless boson interacting with the Fermi surface
 - gauge field (spinon Fermi-surface, half-filled Landau level)
 - order parameter (nematic transition)
 - two patch theory
 - scaling forms
 - failure of large N expansion
 - a better controlled model
 - ε – expansion (aka Nayak-Wilczek expansion)
 - the MIT double scaling limit
- Pairing instabilities of critical Fermi surface states

Dynamical scaling

$$L = \sum_s f_s^\dagger (\cancel{\partial_\tau} + v_F(-is\partial_x - \frac{\partial_y^2}{2K})) f_s + v_F a \sum_s s f_s^\dagger f_s + \frac{1}{2e^2} (\partial_y a)^2$$

- How to scale time?

$$k_y \rightarrow s k_y, \quad k_x \rightarrow s^2 k_x, \quad \tau \rightarrow s^z \tau$$

- Choose z to leave the gauge-fermion coupling invariant (marginal)

$$z = 3$$

- Fermion kinetic term is irrelevant under such scaling

- Define the theory via $\eta \rightarrow 0^+$ limit $f_s^\dagger \partial_\tau f_s \rightarrow \eta f_s^\dagger \partial_\tau f_s$

Problem

$$L = \sum_s f_s^\dagger (\eta \partial_\tau + (-is\partial_x - \partial_y^2)) f_s + a \sum_s s f_s^\dagger f_s + \frac{1}{2e^2} (\partial_y a)^2$$

- No expansion parameter

$$[e^2] = \frac{q_y^3}{\omega} \quad - \text{dimensionfull}$$

- Theory is strongly coupled
- Usual approach: large- N expansion

$$L = \sum_s f_s^\dagger (\eta \partial_\tau + (-is\partial_x - \partial_y^2)) f_s + a \sum_s s f_s^\dagger f_s + \frac{N}{2e^2} (\partial_y a)^2$$

$$S_{\text{eff}}[a] \sim N\Gamma[a] \quad - \text{use saddle point approximation}$$

- Actually, fails for this problem *S. S. Lee (2009)*

Sanity check: one loop results

- Fermion self-energy at criticality

$$\Sigma(\omega, \vec{k}) = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array} = -i \frac{c_f}{N} \text{sgn}(\omega) |\omega|^{2/3}$$

$$G_s^{-1}(\omega, \vec{k}) = -i \frac{c_f}{N} \text{sgn}(\omega) |\omega|^{2/3} + s k_x + k_y^2$$

- Respects the scaling

$$k_y \rightarrow s k_y, \quad k_x \rightarrow s^2 k_x, \quad \tau \rightarrow s^z \tau \quad z = 3$$

Scaling properties

$$L = \sum_s f_s^\dagger (\eta \partial_\tau + (-is\partial_x - \partial_y^2)) f_s + a \sum_s s f_s^\dagger f_s + \frac{1}{2e^2} (\partial_y a)^2$$

- Shift symmetry + Ward-Identities constrain the RG properties severely
- Only two anomalous dimensions

η_f - fermion anomalous dimension

z - dynamical critical exponent

$$f = Z_f^{1/2} f_r, \quad e^2 = Z_e e_r^2$$

$$\eta_f = -\Lambda \frac{\partial}{\partial \Lambda} \log Z_f$$

$$z - 3 = -\Lambda \frac{\partial}{\partial \Lambda} \log Z_e$$

Scaling forms: gauge field

- $D^{-1}(\omega, \vec{q}) \sim |\vec{q}|^{z-1} f\left(\frac{|\omega|}{|\vec{q}|^z}\right)$
- Simple Landau-damped frequency dependence consistent with scaling form

$$D^{-1}(\omega, \vec{q}) - D^{-1}(\omega = 0, \vec{q}) \sim \frac{|\omega|}{|\vec{q}|}$$

- Static behaviour

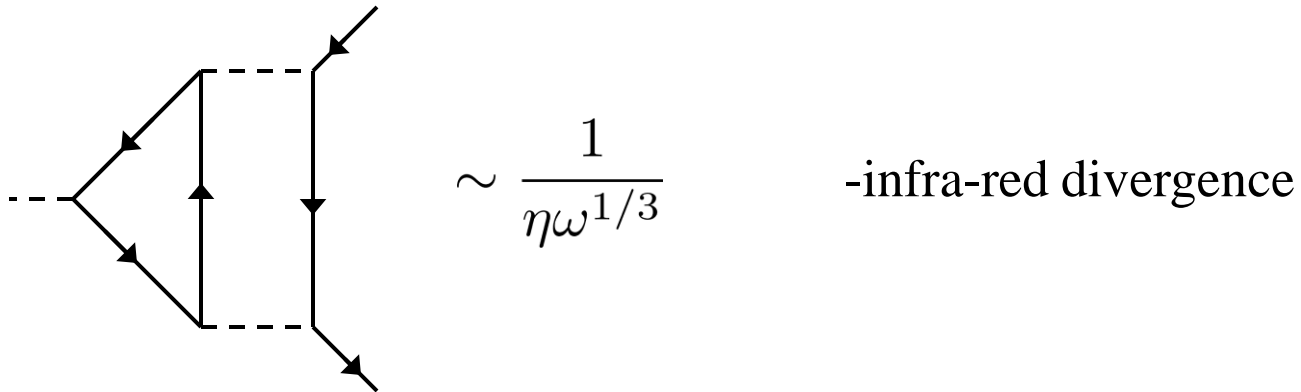
$$D^{-1}(\omega = 0, \vec{q}) \sim |\vec{q}|^{z-1}$$

Scaling forms: fermions

- $G^{-1}(\omega, \vec{k}) \sim k^{1-\eta_f/2} g\left(\frac{|\omega|}{|k|^{z/2}}\right), \quad k = k_x + \frac{k_y^2}{2K}$
- “Fermionic dynamical exponent” is half the “bosonic dynamical exponent”
- Static behaviour: $G^{-1}(0, \vec{k}) \sim k^{1-\eta_f/2}$
- Dynamic behaviour: $G^{-1}(\omega, 0) \sim \omega^{(2-\eta_f)/z}$

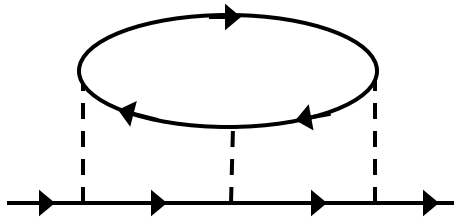
Failure of large- N expansion

- Can we systematically compute anomalous dimensions in large- N limit?
- Large- N expansion fails at higher loops:



$$G_0(\omega, \vec{k}) = \frac{1}{-i\eta\omega + k_x + k_y^2}$$

Failure of large-N expansion



$$\sim \frac{1}{\eta\omega^{1/3}} \times \omega^{2/3}$$

-infra-red divergence

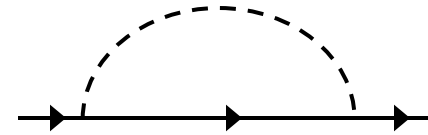
$$G_0(\omega, \vec{k}) = \frac{1}{-i\eta\omega + k_x + k_y^2}$$

Failure of large-N expansion

- Wrong dynamical scaling of bare fermion Green's function

$$G_0(\omega, \vec{k}) = \frac{1}{-i\eta\omega + k_x + k_y^2}$$

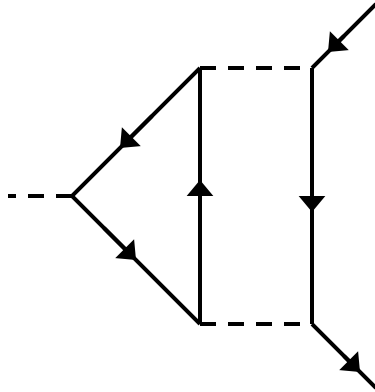
- Solution: dress by one-loop self-energy



$$G_1(\omega, \vec{k}) = \frac{1}{-i\frac{c_f}{N} \text{sgn}(\omega)|\omega|^{2/3} + k_x + k_y^2}$$

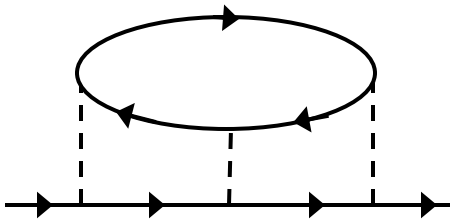
- Traded small parameter $\eta \rightarrow \frac{1}{N}$

Violation of large-N counting



$$\sim \frac{1}{N\eta} \rightarrow O(1)$$

same as leading order!



$$\sim \frac{1}{N^2\eta} \rightarrow O\left(\frac{1}{N}\right)$$

Violation of large-N counting

$$G^{-1}(\omega, 0) = -i\eta\omega - i\frac{c_f}{N}|\omega|^{2/3}\text{sgn}(\omega)$$

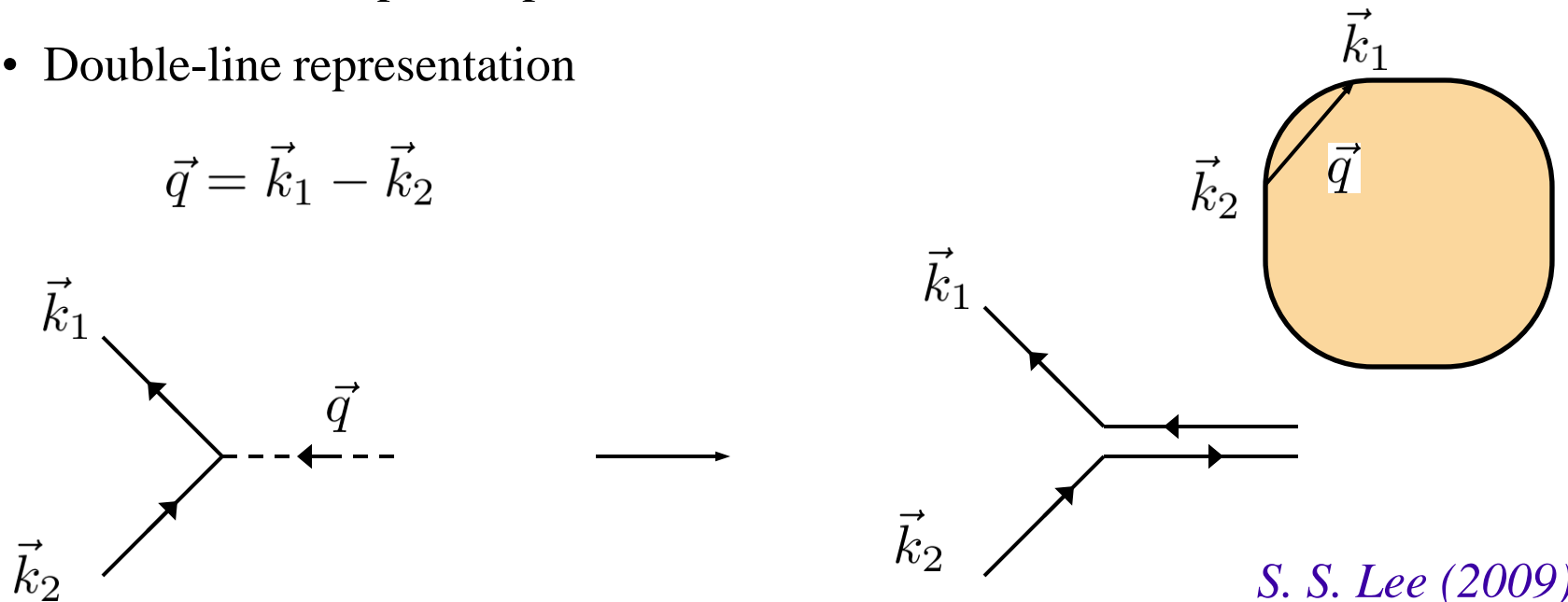
- Crossover scale: $\Lambda \sim \left(\frac{c_f}{\eta N}\right)^3 \xrightarrow{N \rightarrow \infty} 0$
- Limits $N \rightarrow \infty$ and $\omega \rightarrow 0$ do not commute.

Genus expansion

- A systematic way to count the power of N
- Where do extra powers of N come from?

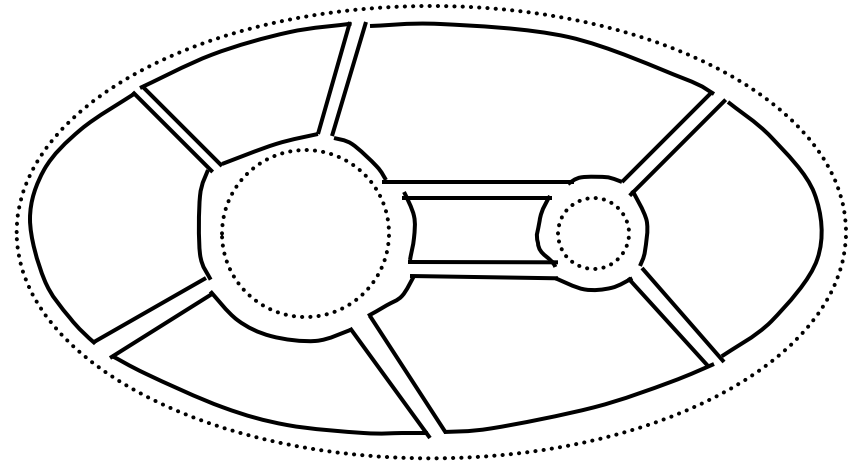
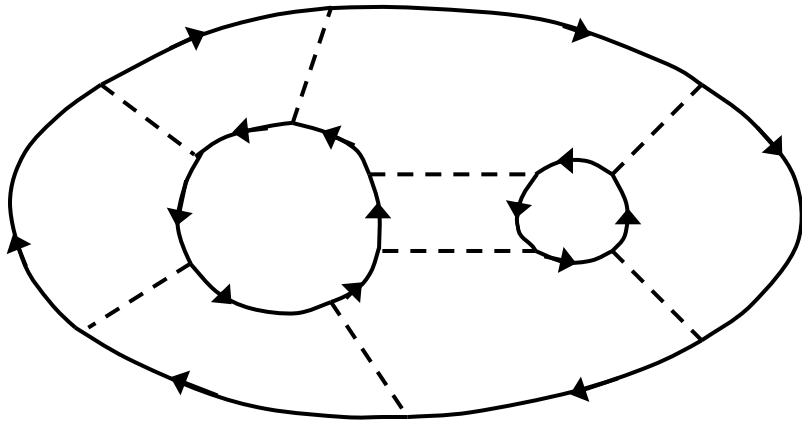
$$G_1(\omega, \vec{k}) = \frac{1}{-i \frac{c_f}{N} \text{sgn}(\omega) |\omega|^{2/3} + k_x + k_y^2}$$

- Need to find the phase space for all fermions to be on the Fermi-surface
- Double-line representation



Genus expansion

- Go to double-line representation and classify diagrams by their topology



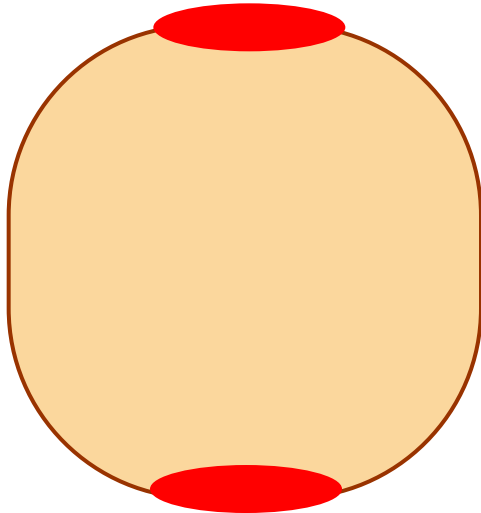
- Degree of a diagram in N is related to the genus of the surface on which it can be drawn
- At $N = \infty$ have to sum an infinite set of planar diagrams

One patch vs two patches

- For a theory with **one** patch all planar diagrams are finite due to kinematics

$$z = 3, \quad \eta_f = 0, \quad N = \infty$$

S. S. Lee (2009)



- For a theory with **two** patches we find:
 - i) divergences appear in planar graphs
 - ii) large-N genus counting is violated

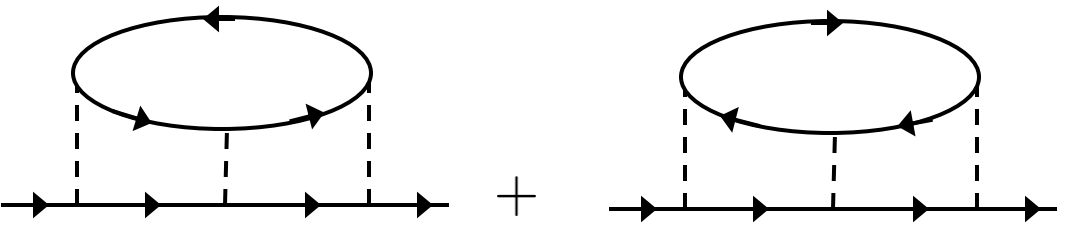
To three loop order:

$$z = 3, \quad \eta_f \neq 0$$

η_f is not suppressed for $N \rightarrow \infty$

M. M. and S. Sachdev (2010)

Fermion anomalous dimension at three loops

$$\delta^3 \Sigma(\omega = 0, \vec{k}) =$$


Genus counting:

planar

non-planar

$$= (k_x + k_y^2) \log \left(\frac{\Lambda_y}{|k_x + k_y^2|^{1/2}} \right) \times \left[O(1) + O \left(\frac{1}{N^2} \log^3 N \right) \right]$$

$$\eta_f = -0.06824, \quad N = 2$$

$$\eta_f = -0.10619, \quad N = \infty$$

Remarks

Three loops:

$$z = 3$$

$$\begin{aligned}\eta_f &= -0.06824, & N &= 2 \\ \eta_f &= -0.10619, & N &= \infty\end{aligned}$$

- Fermion anomalous dimension is not suppressed for large- N
- Anomalous dimension numerically small
- Is $z = 3$ to all orders?
- Further diagrams with singular contributions from outside two patch region? Do these always cancel?
- Does a sensible large N limit exist?

Extension: nematic transition

- ϕ – nematic order parameter

$$L_\psi = f_{+\sigma}^\dagger \left(\partial_\tau - i v_F \partial_x - \frac{1}{2K} \partial_y^2 \right) f_{+\sigma} \\ + f_{-\sigma}^\dagger \left(\partial_\tau + i v_F \partial_x - \frac{1}{2K} \partial_y^2 \right) f_{-\sigma}$$

$$L_\phi = \frac{N}{2e^2} (\partial_y \phi)^2 + \frac{Nr}{2} \phi^2$$

To three loops:

$$\eta_f \rightarrow -\eta_f$$

$$L_{int} = \phi (f_{+\sigma}^\dagger f_{+\sigma} + f_{-\sigma}^\dagger f_{-\sigma})$$

How to control the expansion?

$$S_a = \frac{1}{2e^2} \int d^2x d\tau (\nabla a)^2 \rightarrow \frac{1}{2e^2} \int \frac{d\omega d^2\vec{q}}{(2\pi)^3} |\vec{q}|^{1+\epsilon} |a(\vec{q}, \omega)|^2$$

- Long-range interaction in the half-filled Landau level

$$S_{int} = \frac{1}{2} \int d^2x d^2x' d\tau (f^\dagger f)(\vec{x}, \tau) \frac{1}{|\vec{x} - \vec{x}'|^{1+\epsilon}} (f^\dagger f)(\vec{x}', \tau)$$

$$\nabla \times \vec{a} = 2(2\pi) f^\dagger f$$

- $\epsilon = 0$ - Coulomb interaction
- $\epsilon = 1$ - contact interaction

How to control the expansion?

$$L = \sum_s f_s^\dagger \left(\partial_\tau + v_F \left(-is\partial_x - \frac{\partial_y^2}{2K} \right) \right) f_s + v_F a \sum_s s f_s^\dagger f_s + \frac{N}{2e^2} q_y^{1+\epsilon} a^2$$

- Perform more conventional scaling dictated by the fermion kinetic term:

$$\omega \rightarrow s^2 \omega, \quad k_x \rightarrow s^2 k_x, \quad k_y \rightarrow s k_y$$

- Fermion-gauge field interactions are at tree level:

$$\epsilon < 0 \quad - \text{irrelevant}$$

$$\epsilon > 0 \quad - \text{relevant}$$

$$\epsilon = 0 \quad - \text{marginal}$$

- Theory described by a single dimensionless coupling constant:

$$\alpha = \frac{e^2 v_F \Lambda_y^{-\epsilon}}{(2\pi)^2} \qquad \frac{d\alpha}{dl} = \frac{\epsilon}{2} \alpha$$

ϵ -expansion

- Quantum corrections to scaling

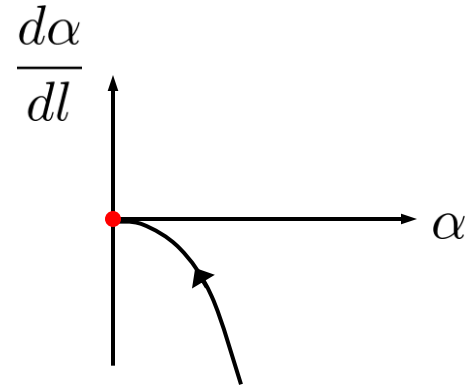
$$\Sigma(\omega, \vec{k}) = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array} = -i \frac{\alpha}{N} \omega \log \frac{\Lambda_\omega}{|\omega|}$$

$$\frac{d\alpha}{dl} = \frac{\epsilon}{2} \alpha - \frac{\alpha^2}{N}$$

ϵ -expansion

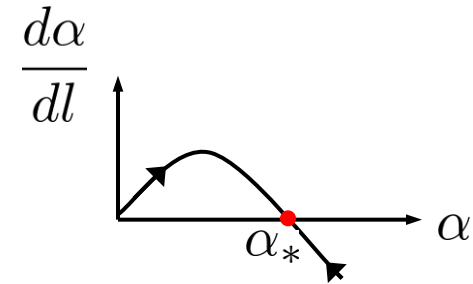
- $\epsilon = 0$ $\frac{d\alpha}{dl} = -\frac{\alpha^2}{N}$
 - interactions marginally irrelevant.
 - “Marginal Fermi-liquid.”

$$\Sigma(\omega) = -i \frac{\alpha}{N} \omega \log \frac{\Lambda_\omega}{|\omega|}$$



ϵ -expansion

- $\epsilon > 0$ $\frac{d\alpha}{dl} = \frac{\epsilon}{2}\alpha - \frac{\alpha^2}{N}$



- new fixed-point: $\alpha_* = \frac{N\epsilon}{2}$

$$\Sigma(\omega) \sim -i|\omega|^{1-\epsilon/2}\text{sgn}(\omega)$$

Beyond one-loop

- Two expansions have been suggested: $\alpha = \frac{e^2 v_F \Lambda_y^{-\epsilon}}{(2\pi)^2}$ $\alpha_* \approx \frac{N\epsilon}{2}$

- $\epsilon \rightarrow 0$, N - fixed, “perturbative” expansion

C. Nayak and F. Wilczek (1994)

potential difficulty: $D(\omega, q_y) = \frac{e^2}{N} \frac{1}{\frac{e^2 k_F}{2\pi} \frac{|\omega|}{|q_y|} + |q_y|^{1+\epsilon}}$

systematic counting of powers of α needs to be done!

- $\epsilon \rightarrow 0$, $N \rightarrow \infty$, $N\epsilon$ - fixed, $1/N$ - expansion.

D. Mross, J. McGreevy, H. Liu and T. Senthil (2010)

cannot be applied to the $\epsilon = 0$ case.

Response functions

- Same as before,

$$D^{-1}(\omega, \vec{q}) \sim |\vec{q}|^{z-1} f\left(\frac{|\omega|}{|\vec{q}|^z}\right)$$

$$G^{-1}(\omega, \vec{k}) \sim k^{1-\eta_f/2} g\left(\frac{|\omega|}{|k|^{z/2}}\right), \quad k = |\vec{k}| - k_F$$

- Except non-locality of the action constrains

$$z = 2 + \epsilon$$

- $\eta_f \sim \frac{1}{N^2} X(\epsilon N) \neq 0$ at three loop order.

D. Mross, J. McGreevy, H. Liu and T. Senthil (2010)

Critical Fermi surface states in 2+1 d. Part I.

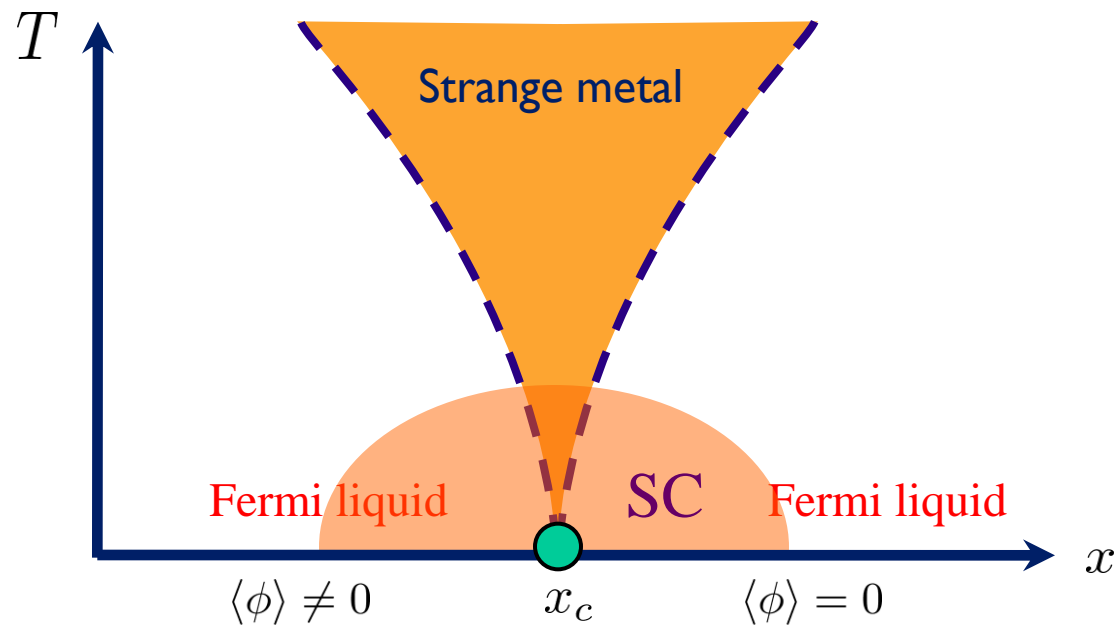
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 - gapless boson interacting with the Fermi surface
 - gauge field (spinon Fermi-surface, half-filled Landau level)
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 - ε – expansion (aka Nayak-Wilczek expansion)
 - the MIT double scaling limit
- Pairing instabilities of critical Fermi surface states

Pairing of critical Fermi surfaces

- A regular Fermi-liquid is unstable to arbitrarily weak attraction in the BCS channel.
- How about a critical Fermi surface?

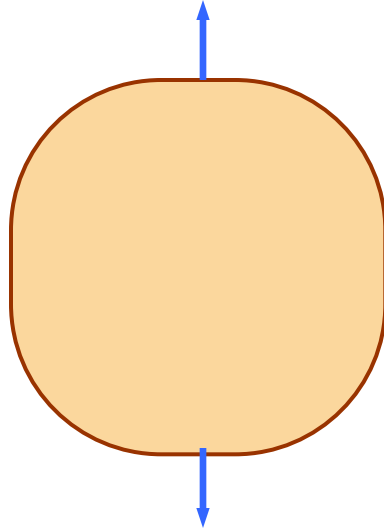
Pairing instability of the nematic transition

- Nematic fluctuations lead to attraction in the BCS channel
- Fundamental problem: as one approaches the critical point the pairing glue becomes strong, but the quasiparticles are destroyed
- Who wins?



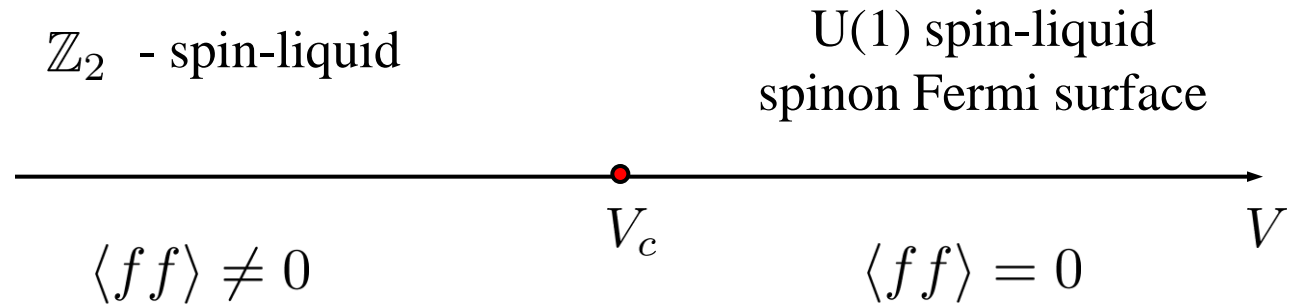
Pairing instabilities for spin/charge liquids

- Magnetic fluctuations mediate a long-range repulsion



- Can a short range attractive interaction V compete with this?
- What is the critical interaction strength?

Pairing in a spin-liquid

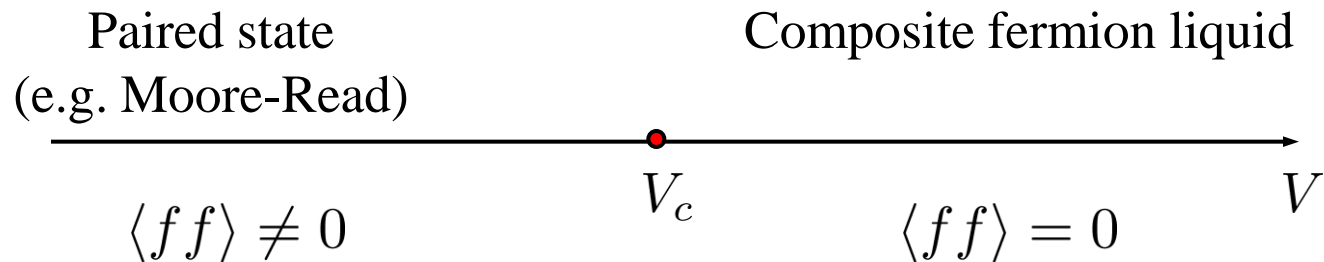


Excitations:

gapped spinons
gapped Abrikosov vortices
(visons)

gapless spinons f_α
gapless gauge (magnetic field)
fluctuations

Pairing in a half-filled Landau level



Excitations:

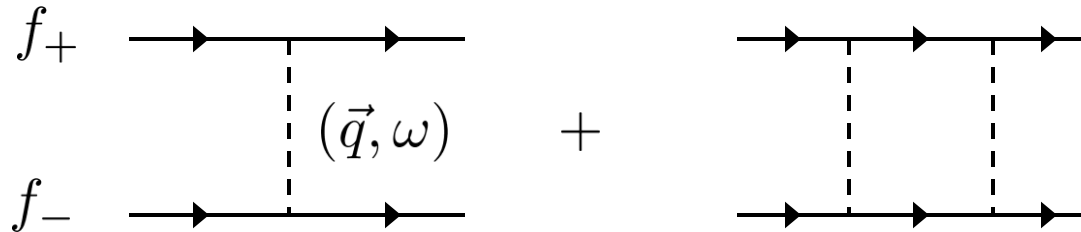
gapped fermions	gapless composite fermions f_α
gapped vortices (charge $e/4$)	gapless gauge (density) fluctuations

- Exact diagonalisation data may be interpreted as a transition/crossover

H.E.Rezayi and F.D.M. Haldane (2000), G. Moller, A. Wojs and N.R.Moller (2011)

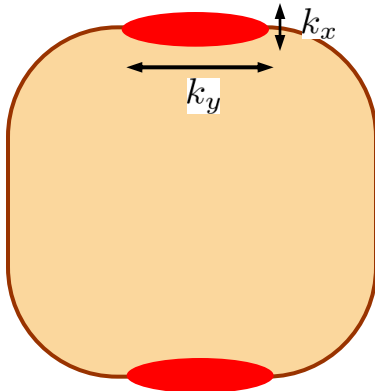
Pairing singularities

- Scattering amplitude in the BCS channel at $\epsilon = 0$

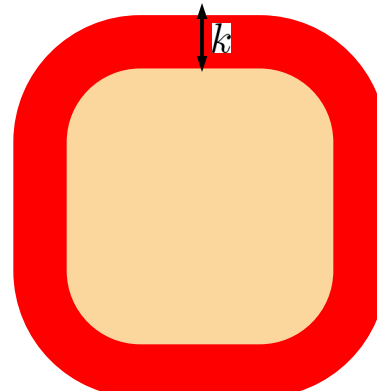


- In the regime $\omega \ll q_y^2$, the one loop diagram is enhanced by $-\frac{\alpha}{N} \log^2 \frac{q_y^2}{\omega}$
- $\delta L = g f^\dagger f^\dagger f f$

irrelevant in two-patch theory

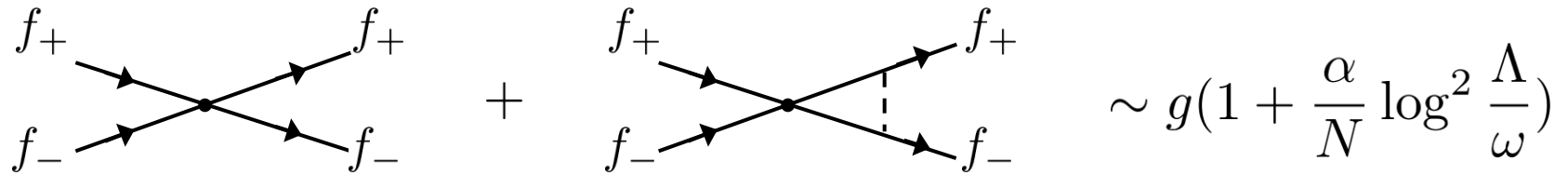


marginal in Fermi-liquid theory

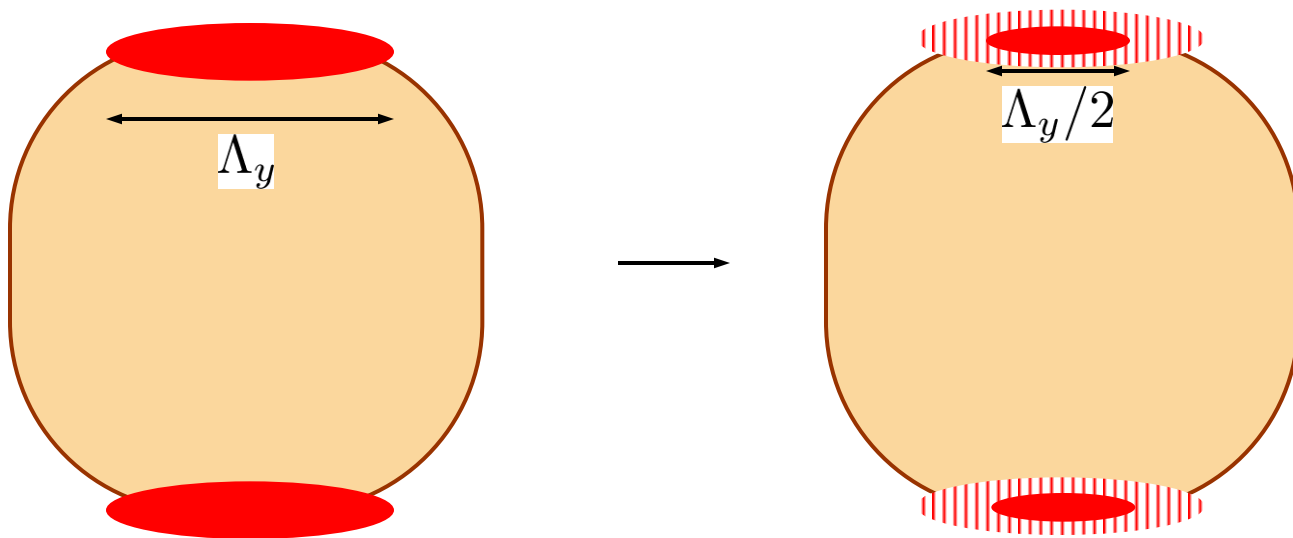


Conceptual difficulties with two-patch RG

- $\delta L = g f^\dagger f^\dagger f f$


$$\text{Diagram 1} + \text{Diagram 2} \sim g \left(1 + \frac{\alpha}{N} \log^2 \frac{\Lambda}{\omega} \right)$$

- Low-energy states on the Fermi-surface cannot be integrated out

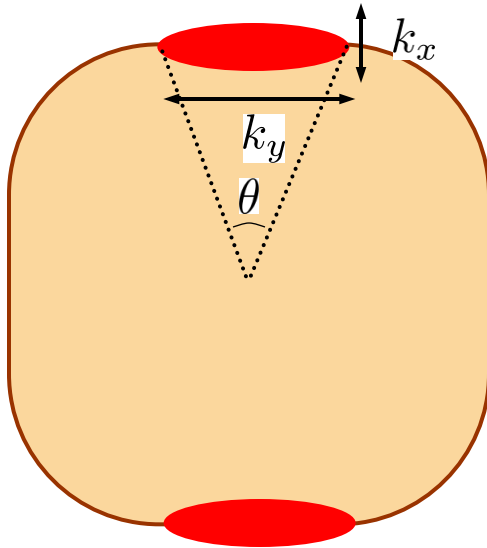


Conceptual difficulties with two-patch RG

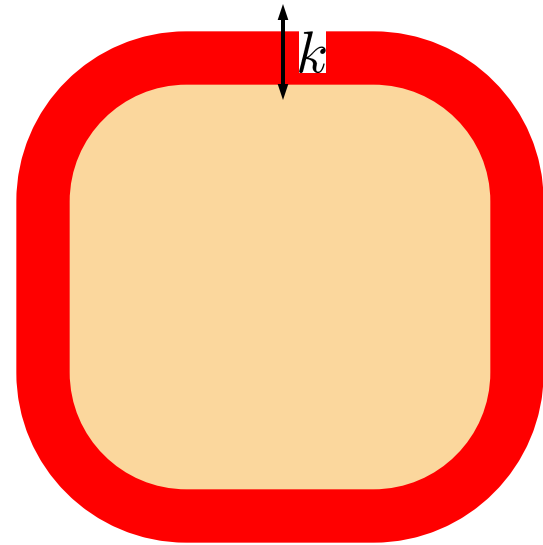
- Treatment of the pairing instability requires a marriage of two RG's:

$$k_y \rightarrow s k_y, \quad k_x \rightarrow s^2 k_x$$

$$k \rightarrow s k$$

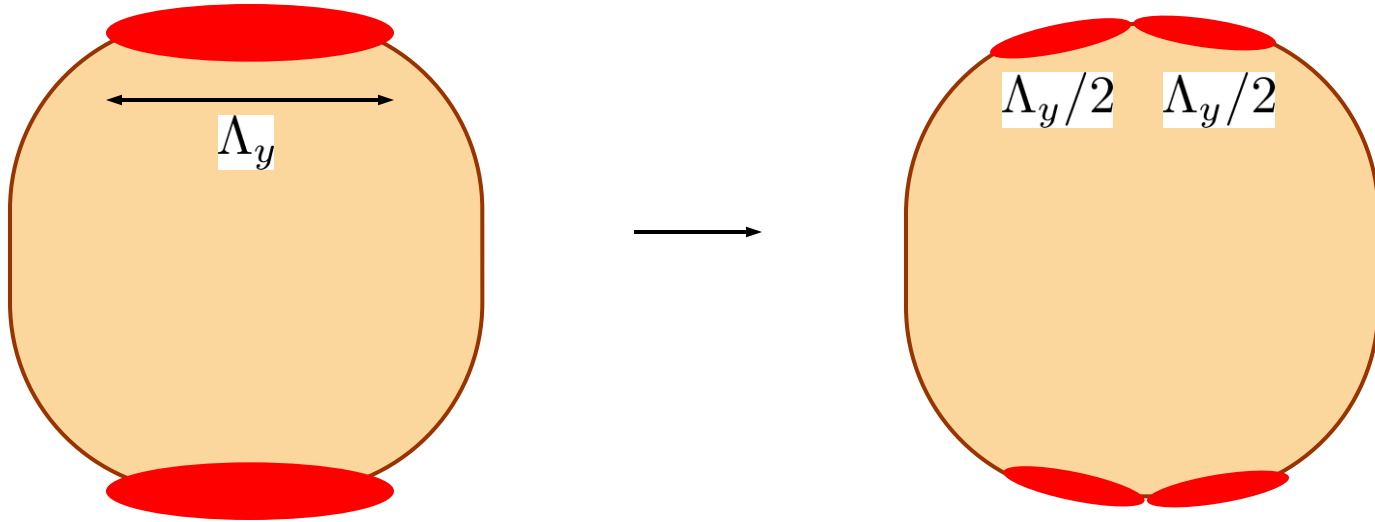


$$\theta \rightarrow s\theta$$



θ does not flow

Son's RG procedure

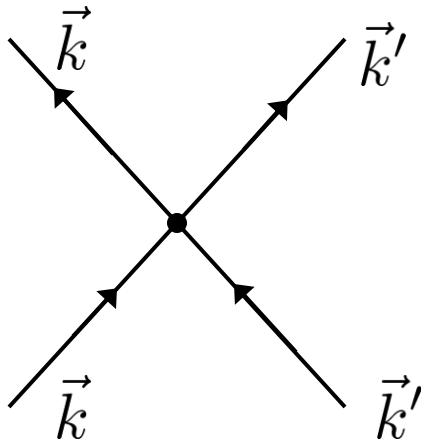


- Keep interpatch couplings!

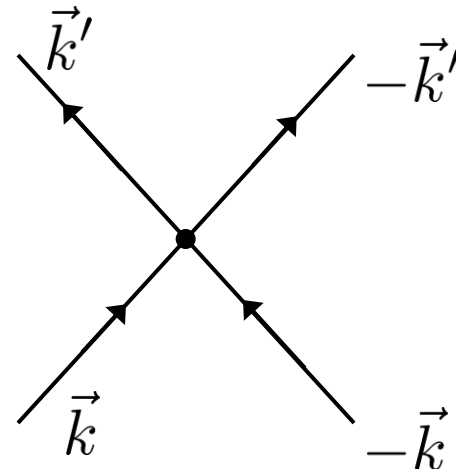
Perturbations

$$S_4 = -\frac{1}{4} \int \prod_{i=1}^4 \frac{d^3 k_i}{(2\pi)^3} U_{\alpha\beta;\gamma\delta}(\hat{k}_1, \hat{k}_2; \hat{k}_3, \hat{k}_4) \psi_\alpha^\dagger(k_1) \psi_\beta^\dagger(k_2) \psi_\gamma(k_3) \psi_\delta(k_4) \\ \times (2\pi)^3 \delta^3(k_1 + k_2 - k_3 - k_4)$$

- Only two types of momentum conserving processes keep fermions on the FS

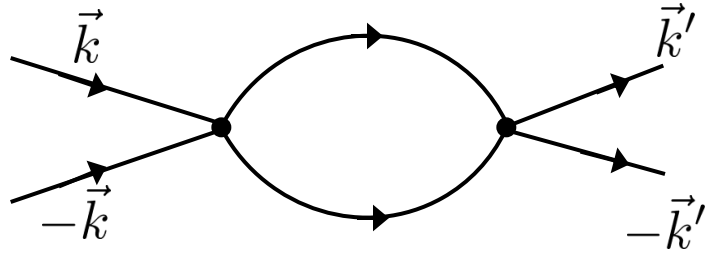


Forward-scattering $F^{s,a}(\vec{k}', \vec{k})$



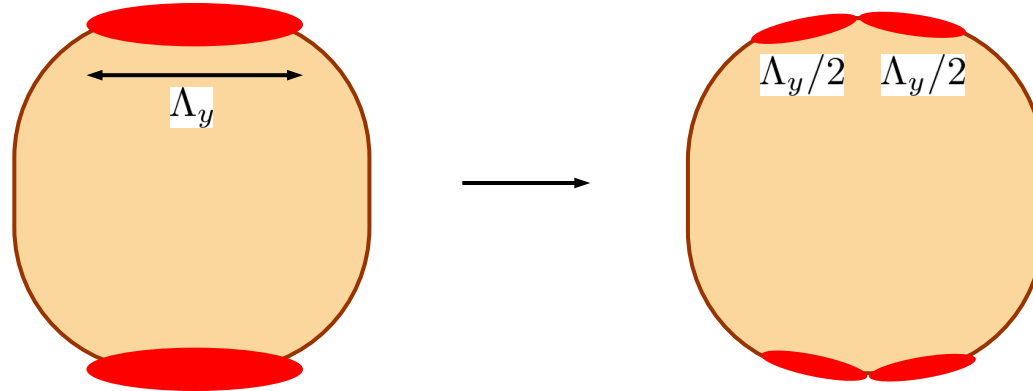
BCS scattering $V^{s,a}(\vec{k}', \vec{k})$

Fermi-liquid RG

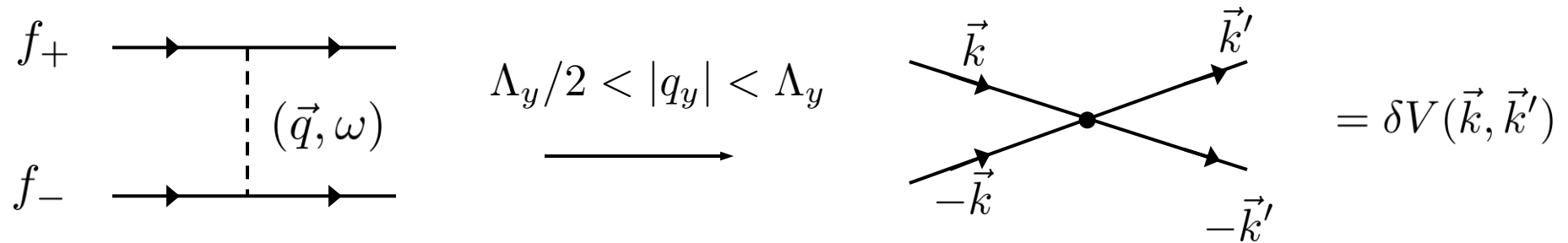


$$\frac{dV_m^{s,a}}{d\ell} = -(V_m^{s,a})^2$$

Son's RG



- Generation of inter-patch couplings:



- Generates an RG flow:
$$\frac{dV_m^{s,a}}{d\ell} = \mp \frac{1}{N} \alpha$$

Combined RG

$$\frac{dV_m^{s,a}}{d\ell} = \mp \frac{1}{N} \alpha - (V_m^{s,a})^2$$

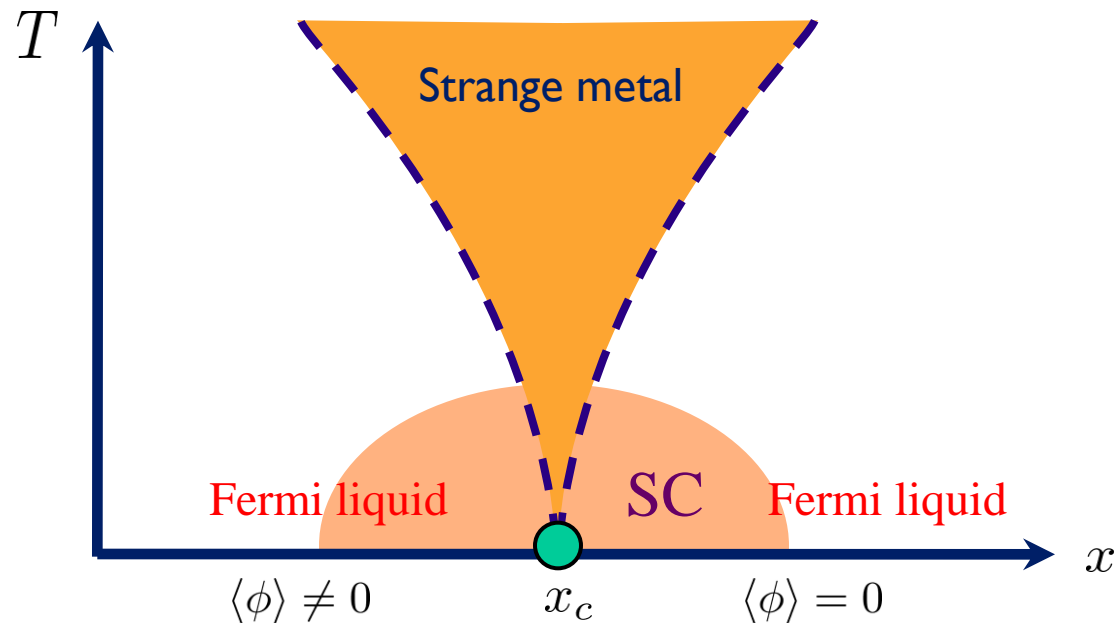
$$\frac{d\alpha}{d\ell} = \frac{\epsilon}{2} \alpha - \frac{1}{N} \alpha^2 \quad - \text{(from intra-patch theory)}$$

Pairing: Ising-nematic transition

$$\frac{dV_m^{s,a}}{d\ell} = -\frac{1}{N}\alpha - (V_m^{s,a})^2 \qquad \frac{d\alpha}{d\ell} = \frac{\epsilon}{2}\alpha - \frac{1}{N}\alpha^2$$

- Always flows to $V = -\infty$ (transition unstable to pairing)
- Pairing preempts the Non-Fermi-liquid physics

$\Delta_{\text{pair}} \gg E_{\text{NFL}}$ whenever expansion controlled

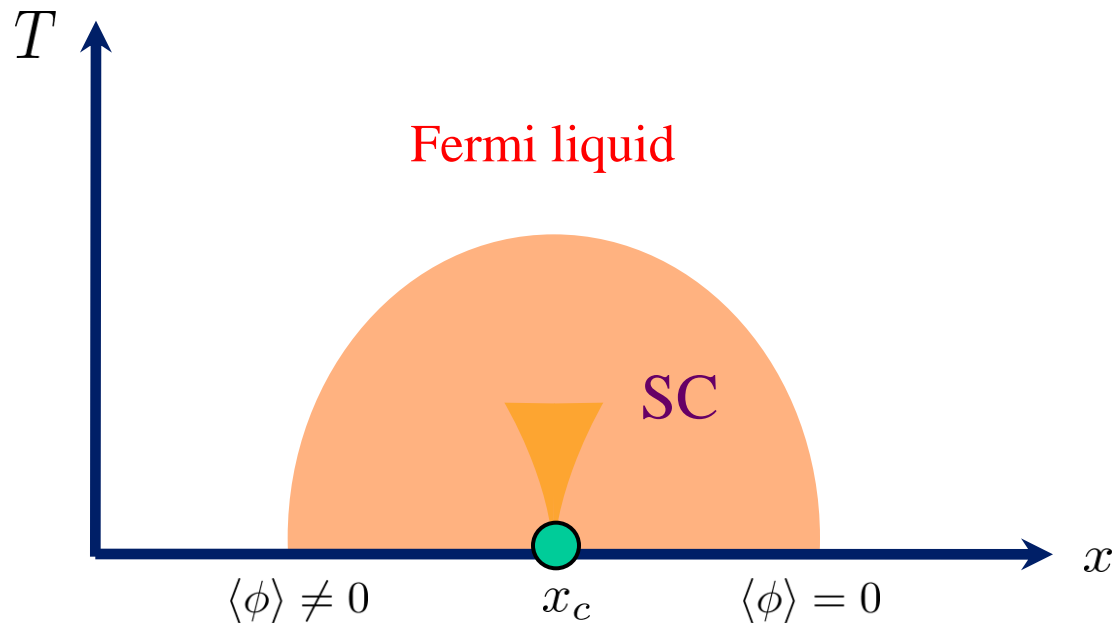


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Pairing: Ising-nematic transition

$$\frac{dV_m^{s,a}}{d\ell} = -\frac{1}{N}\alpha - (V_m^{s,a})^2 \qquad \frac{d\alpha}{d\ell} = \frac{\epsilon}{2}\alpha - \frac{1}{N}\alpha^2$$

- $\epsilon = 0$

$$\Delta_{pair} \sim \Lambda \exp(-C\sqrt{N/\alpha}) \qquad E_{NFL} \sim \Lambda \exp(-N/\alpha)$$

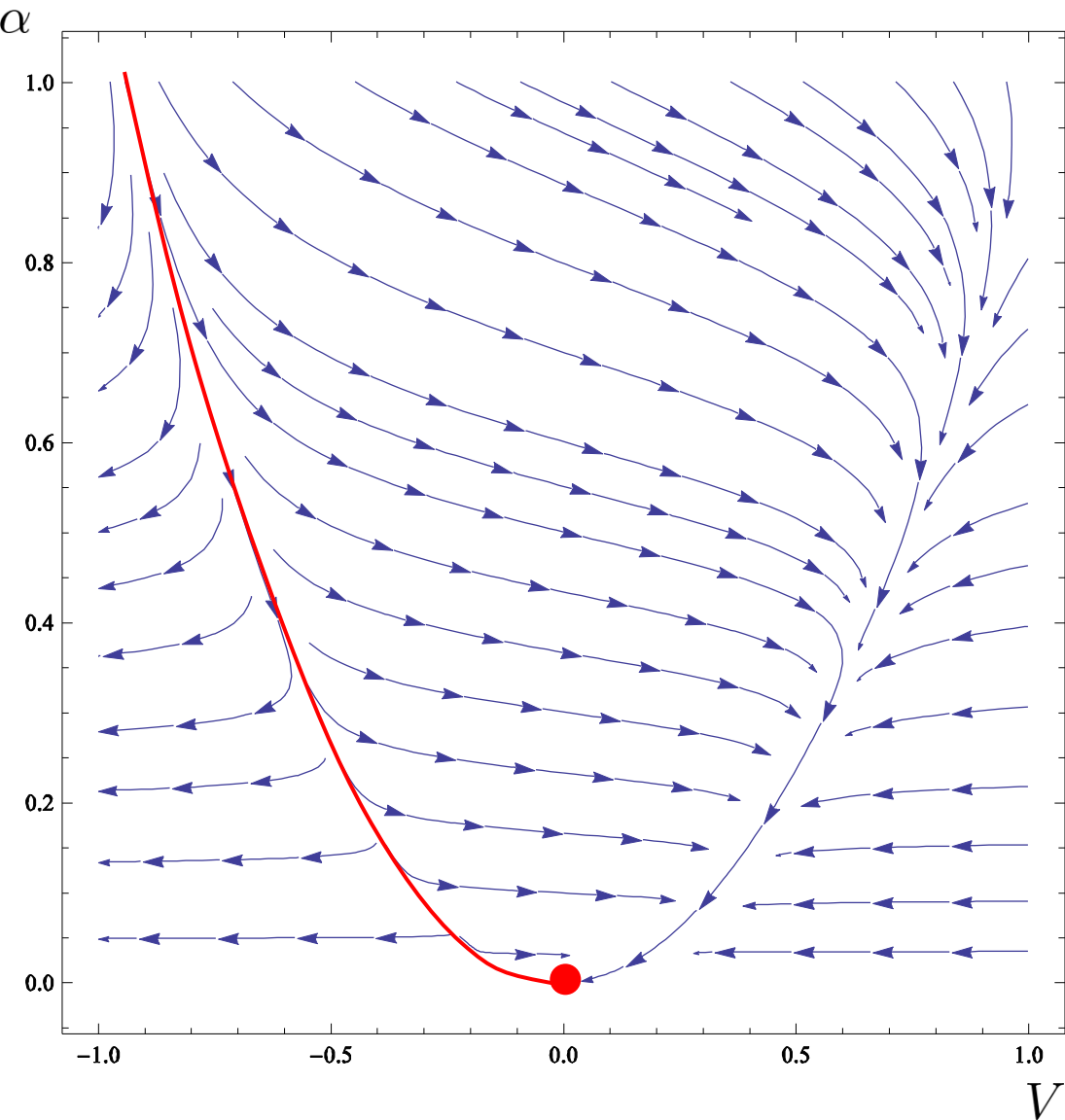
- similar to dense QCD in 3+1d (D. T. Son, (1999)).

- $\epsilon > 0, \quad \alpha \rightarrow 0$

$$\Delta_{pair} \sim \left(\frac{1}{\epsilon}\right)^{2/\epsilon} E_{NFL}$$

E. A. Yuzbashyan, unpublished (A. Chubukov, private communication)

Pairing: gauge field, $\epsilon = 0$



$$\frac{dV_m^{s,a}}{d\ell} = \frac{1}{N}\alpha - (V_m^{s,a})^2$$

$$\frac{d\alpha}{d\ell} = \frac{\epsilon}{2}\alpha - \frac{1}{N}\alpha^2$$

- $\epsilon = 0$
- Single fixed point (CFL)

$$V = 0, \alpha = 0$$

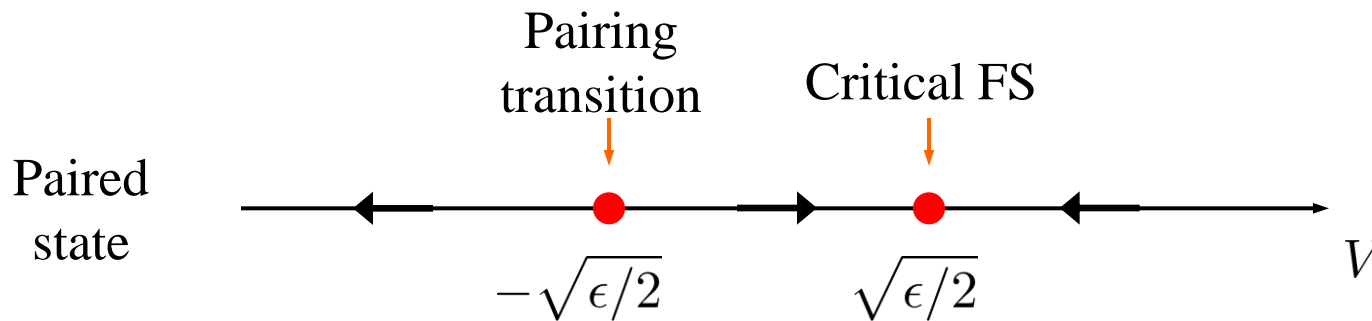
- Pairing gap onsets as

$$\Delta = \exp\left(-\frac{1}{16}\log^2(V_c - V)\right)$$

Pairing: gauge field, $\epsilon > 0$

$$\frac{dV_m^{s,a}}{d\ell} = \frac{1}{N}\alpha - (V_m^{s,a})^2$$

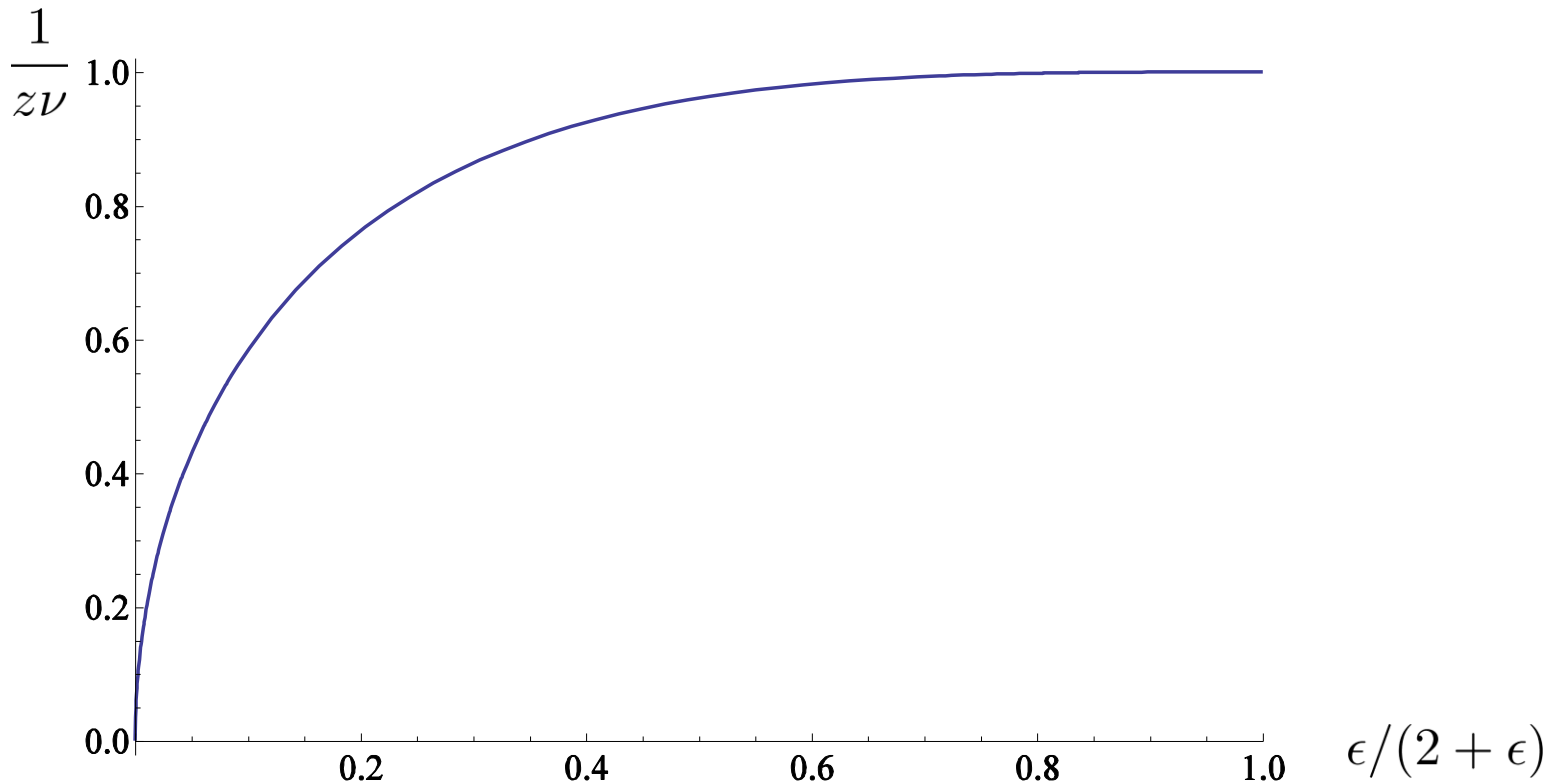
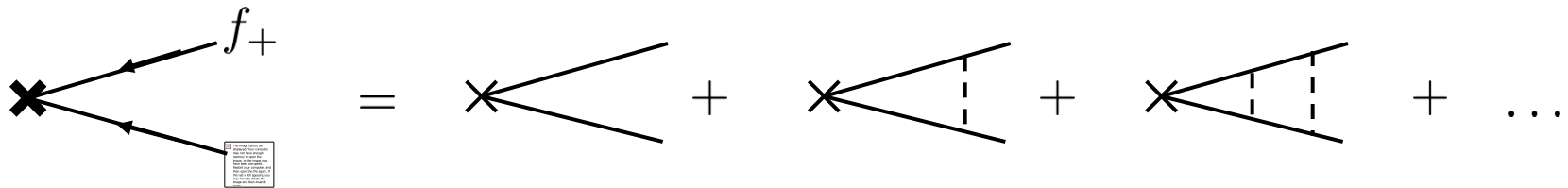
$$\frac{d\alpha}{d\ell} = \frac{\epsilon}{2}\alpha - \frac{1}{N}\alpha^2 \quad \alpha \rightarrow \frac{N\epsilon}{2}$$



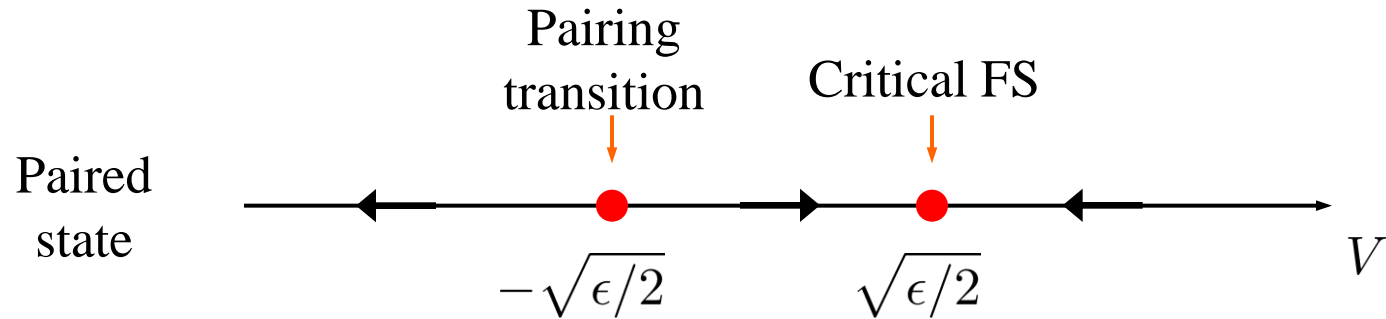
- Gap onsets as $\Delta = (V_c - V)^{z\nu}$, $(z\nu)^{-1} = \sqrt{2\epsilon}$

Eliashberg approximation

- All results for $\epsilon \ll 1$ can be reproduced by summing rainbow graphs in the Eliashberg approximation.



Open questions



- Can we make Son's RG more systematic?
 - explore analogies with problems in particle physics
- $$\omega \rightarrow 0, \vec{q} \rightarrow 0, \quad |\omega| \rightarrow |\vec{q}|$$
- Are fermion and gauge field propagators different at the two fixed points?
- Properties of the paired state:
 - is the "superconductor" type I or type II
 - how does the vortex mass vanish at the pairing transition?

Conclusion

- Progress (and new challenges) in understanding critical fermi surface states.
- First theory of pairing transition out of a critical fermi-surface state.
- Lots of open questions

Thank you!