

Holography of compressible quantum phases

KITP, September 29, 2011

sachdev.physics.harvard.edu





Rob Myers



Ajay Singh



Liza Huijse



Matthias Punk



Max Metlitski



Sean Hartnoll

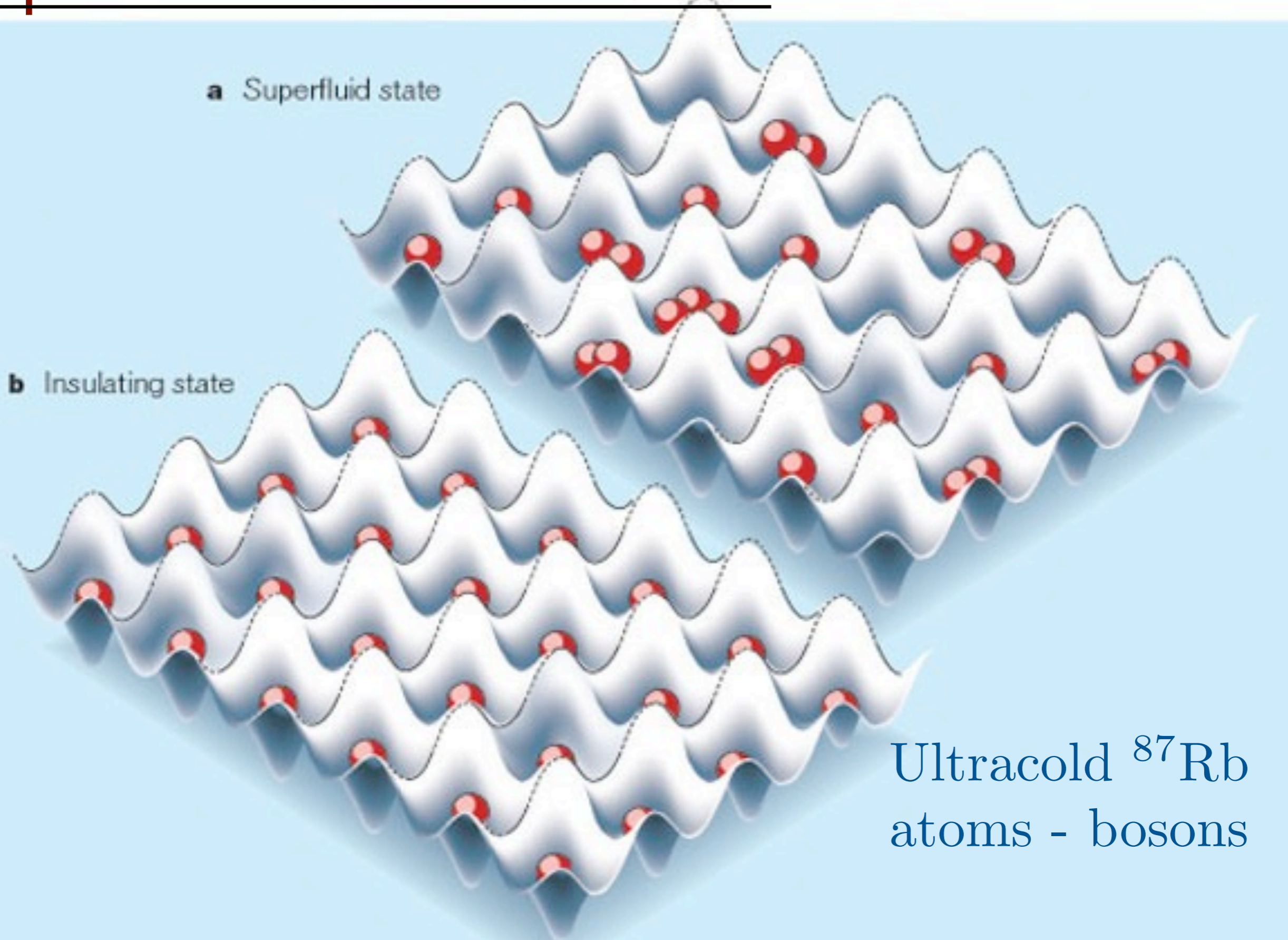
Conformal quantum matter

Compressible quantum matter

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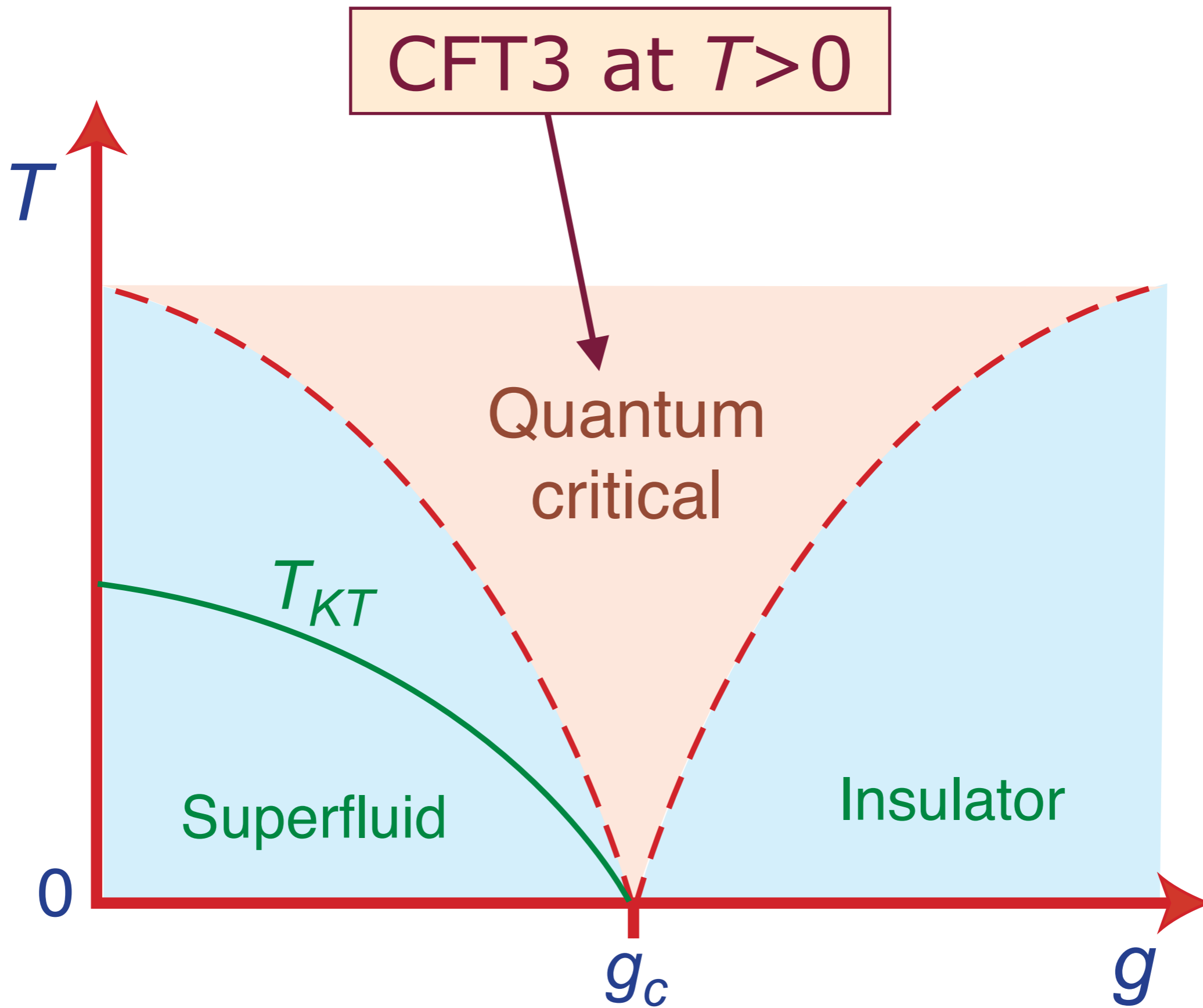
Compressible quantum matter

Superfluid-insulator transition



Ultracold ^{87}Rb
atoms - bosons

M. Greiner, O. Mandel, T. Esslinger, T. W. Hänsch, and I. Bloch, *Nature* **415**, 39 (2002).



Quantum critical transport

Quantum “*nearly perfect fluid*”
with shortest possible
equilibration time, τ_{eq}

$$\tau_{\text{eq}} = \mathcal{C} \frac{\hbar}{k_B T}$$

where \mathcal{C} is a *universal* constant

AdS₄ theory of “nearly perfect fluids”

To leading order in a gradient expansion, charge transport in an infinite set of strongly-interacting CFT3s can be described by Einstein-Maxwell gravity/electrodynamics on AdS₄-Schwarzschild

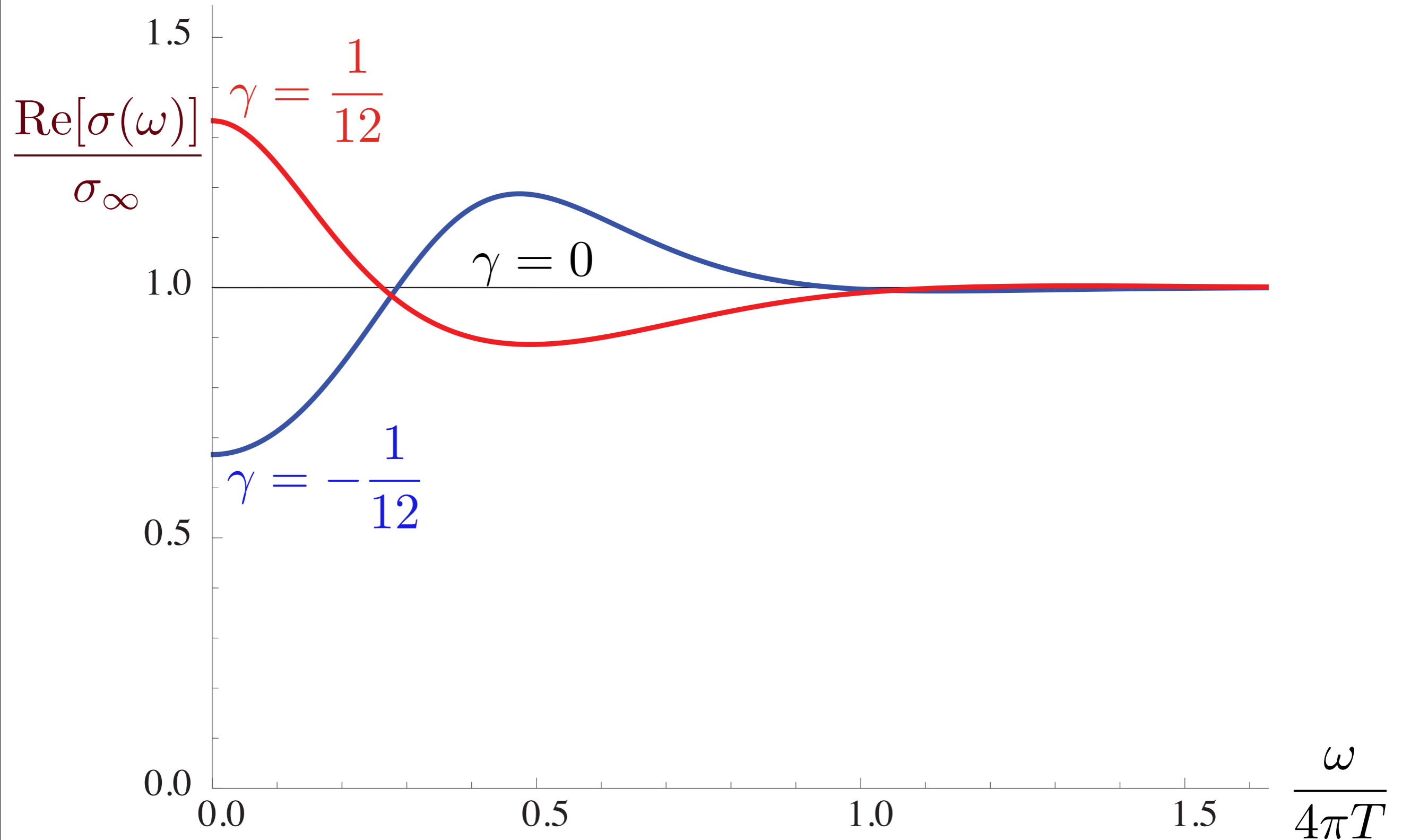
We include all possible 4-derivative terms: after suitable field redefinitions, the required theory has only *one* dimensionless constant γ (L is the radius of AdS₄):

$$\mathcal{S}_{EM} = \int d^4x \sqrt{-g} \left[-\frac{1}{4e^2} F_{ab} F^{ab} + \frac{\gamma L^2}{e^2} C_{abcd} F^{ab} F^{cd} \right],$$

where C_{abcd} is the Weyl curvature tensor.

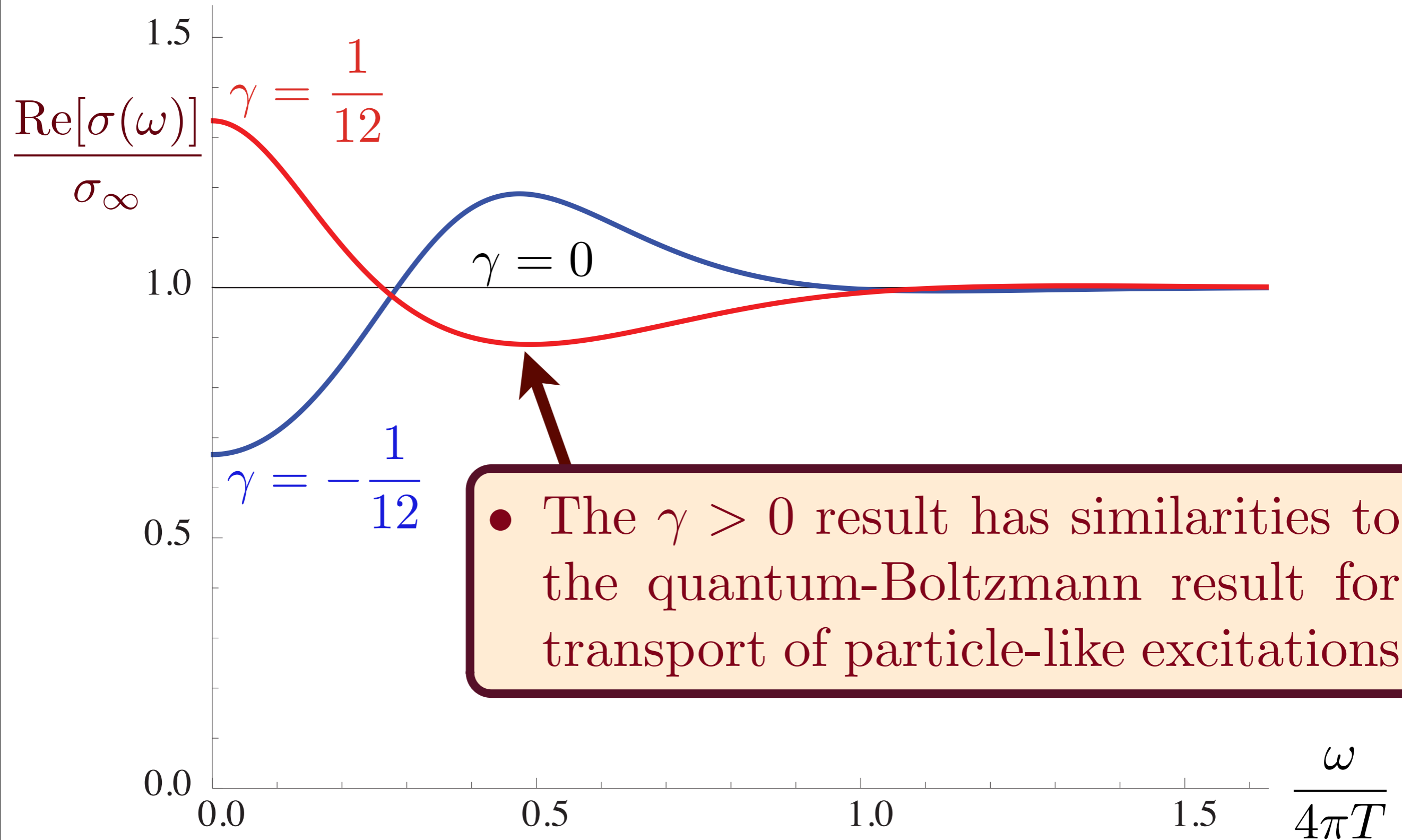
Stability and causality constraints restrict $|\gamma| < 1/12$.

AdS₄ theory of strongly interacting “perfect fluids”



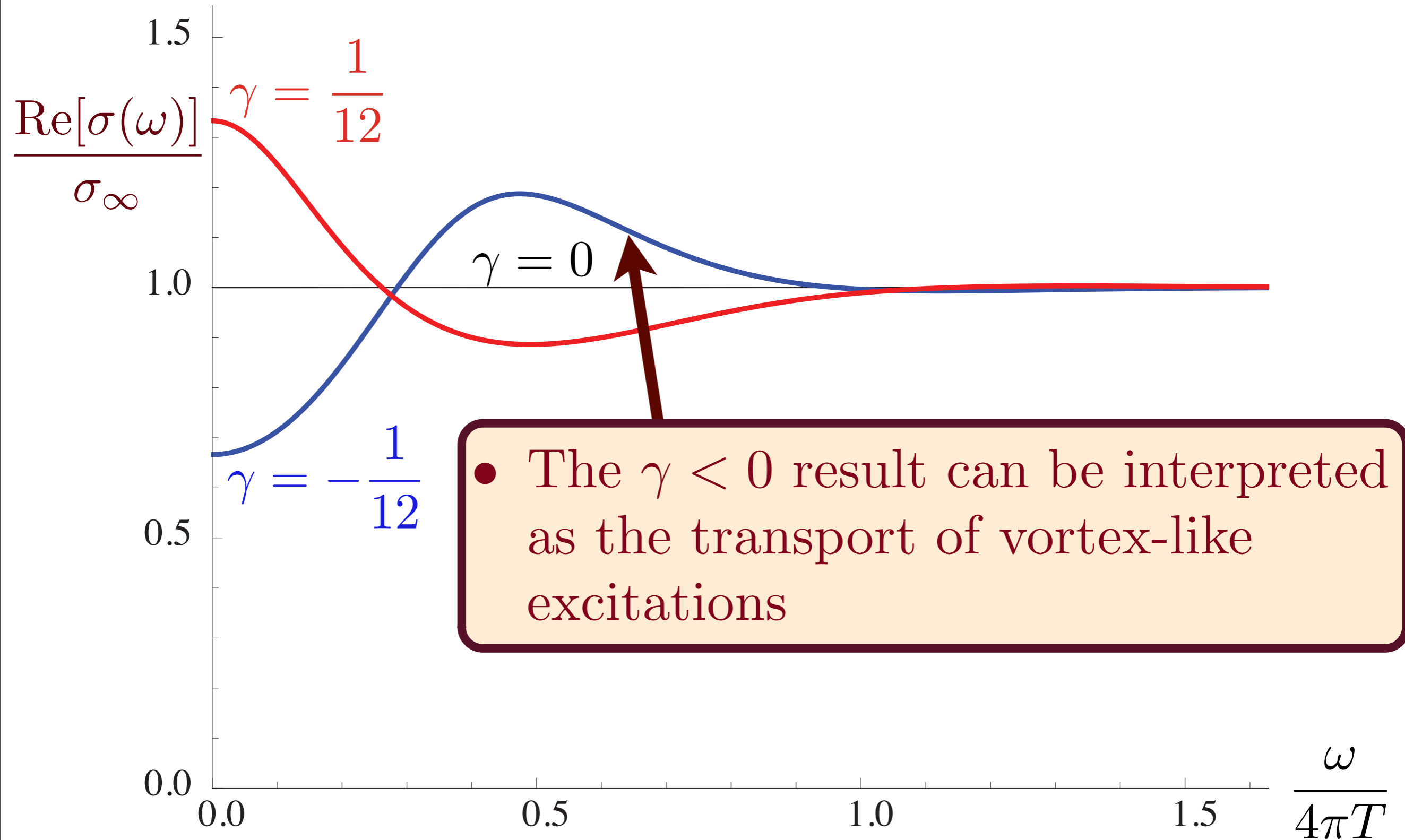
R. C. Myers, S. Sachdev, and A. Singh, *Physical Review D* **83**, 066017 (2011)

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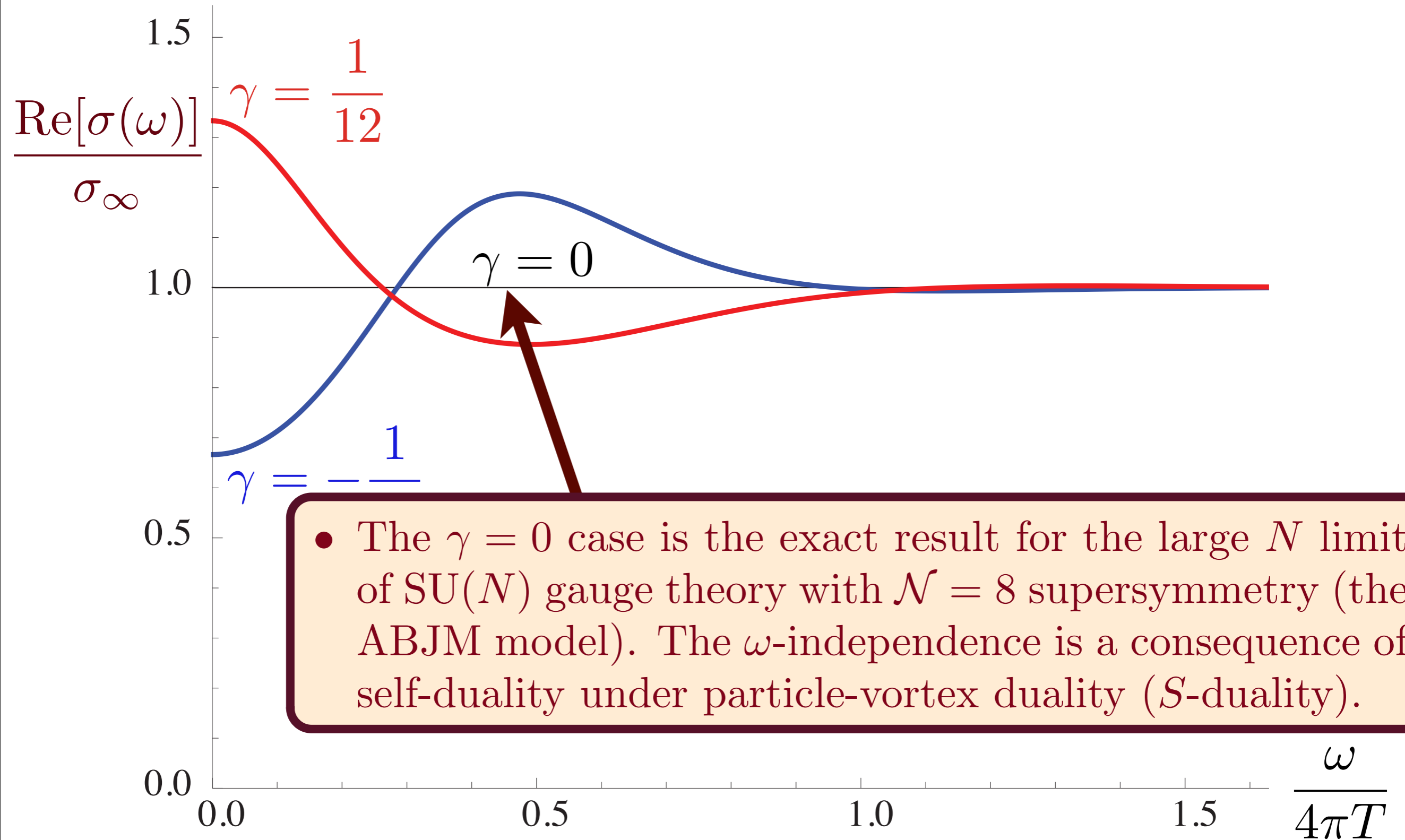
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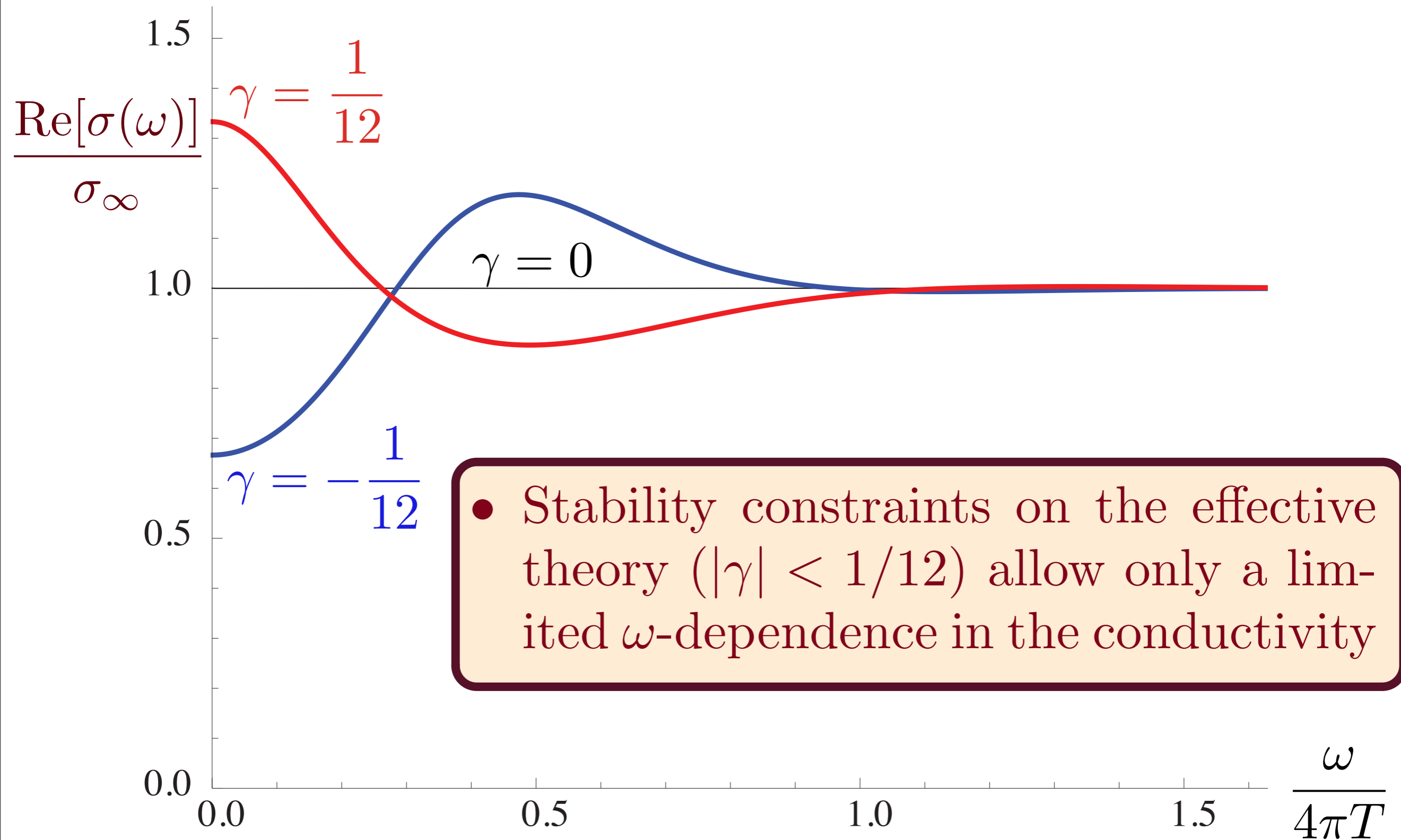
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Compressible quantum matter

Conventional phases

1. Holographic theory of the Fermi liquid (FL)

Exotic phases

1. Continuum models with gauge theories:
the fractionalized Fermi liquid (FL*)

2. Holographic approach

3. Connections to models and experiments on
the heavy fermion compounds and
the cuprate superconductors

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- Compressible systems must be gapless.

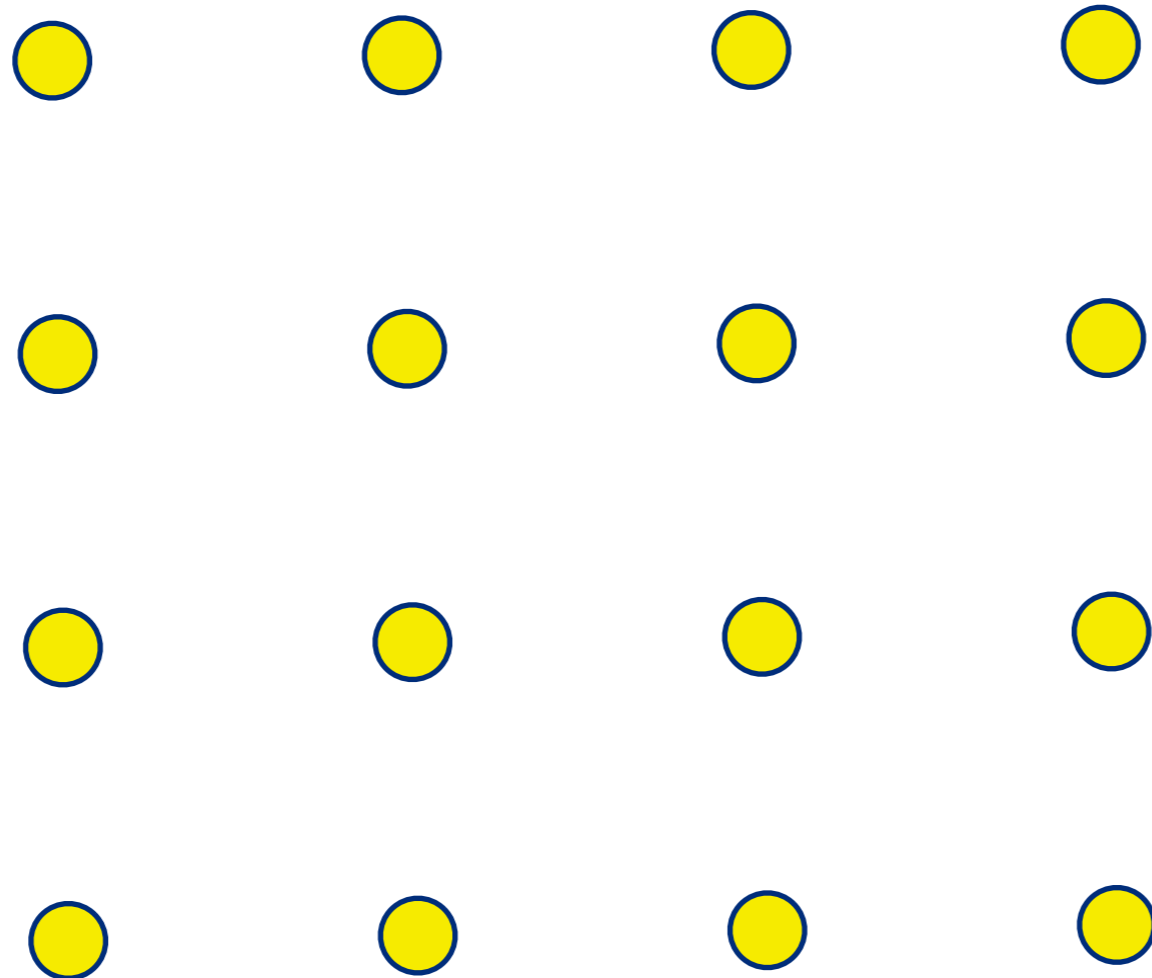
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- Compressible systems must be gapless.
- Conformal systems are compressible in $d = 1$, but not for $d > 1$.

Compressible quantum matter

One compressible state is the **solid** (or “Wigner crystal” or “stripe”).

This state breaks translational symmetry.



Compressible quantum matter

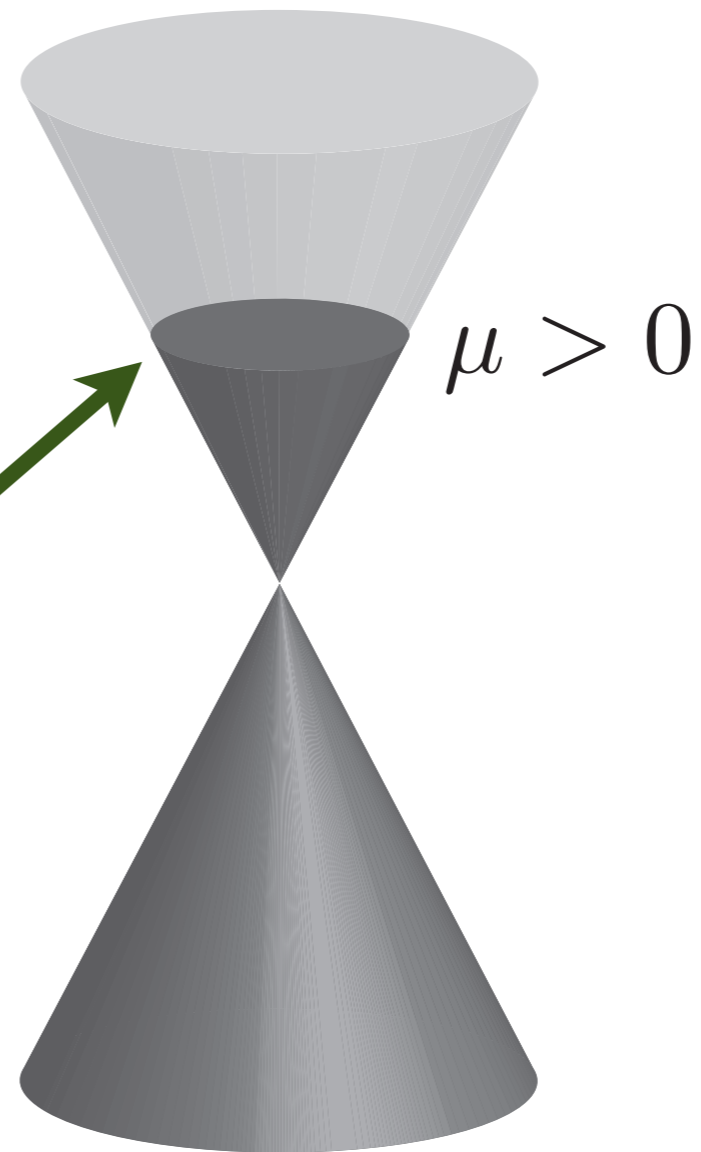
Another familiar compressible state is
the **superfluid**.

This state breaks the global $U(1)$
symmetry associated with Q



Condensate of
fermion pairs

The only other familiar compressible phase is a **Fermi Liquid** with a **Fermi surface**



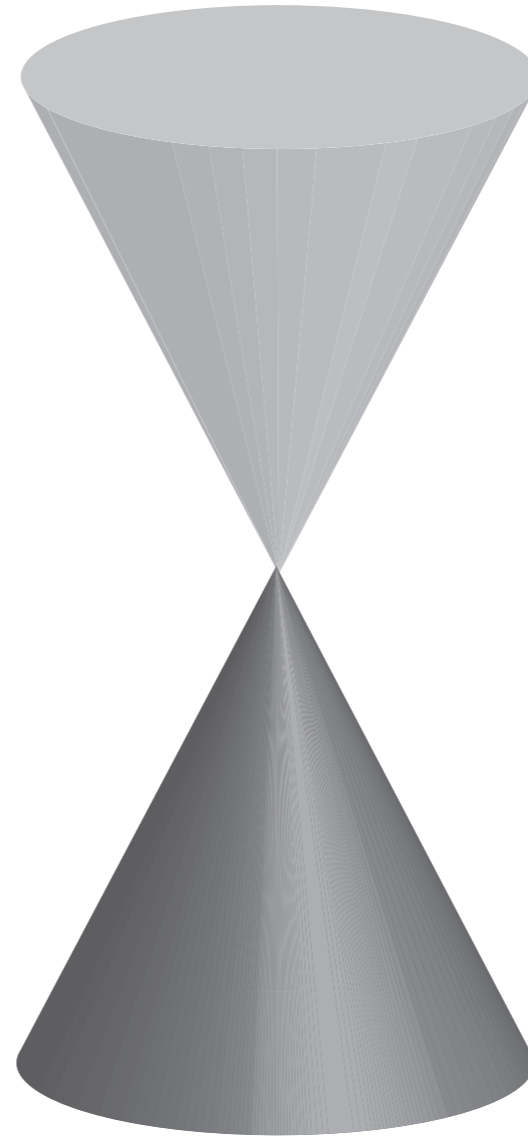
Graphene

The Landau Fermi liquid

- The *only* low energy excitations are long-lived quasiparticles near the Fermi surface.
- **Luttinger relation:** The total “volume (area)” \mathcal{A} enclosed by the Fermi surface is equal to $\langle Q \rangle$. This is a *key* constraint which allows extrapolation from weak to strong coupling, and also holds for “non-Fermi liquid” compressible phases to be discussed later.

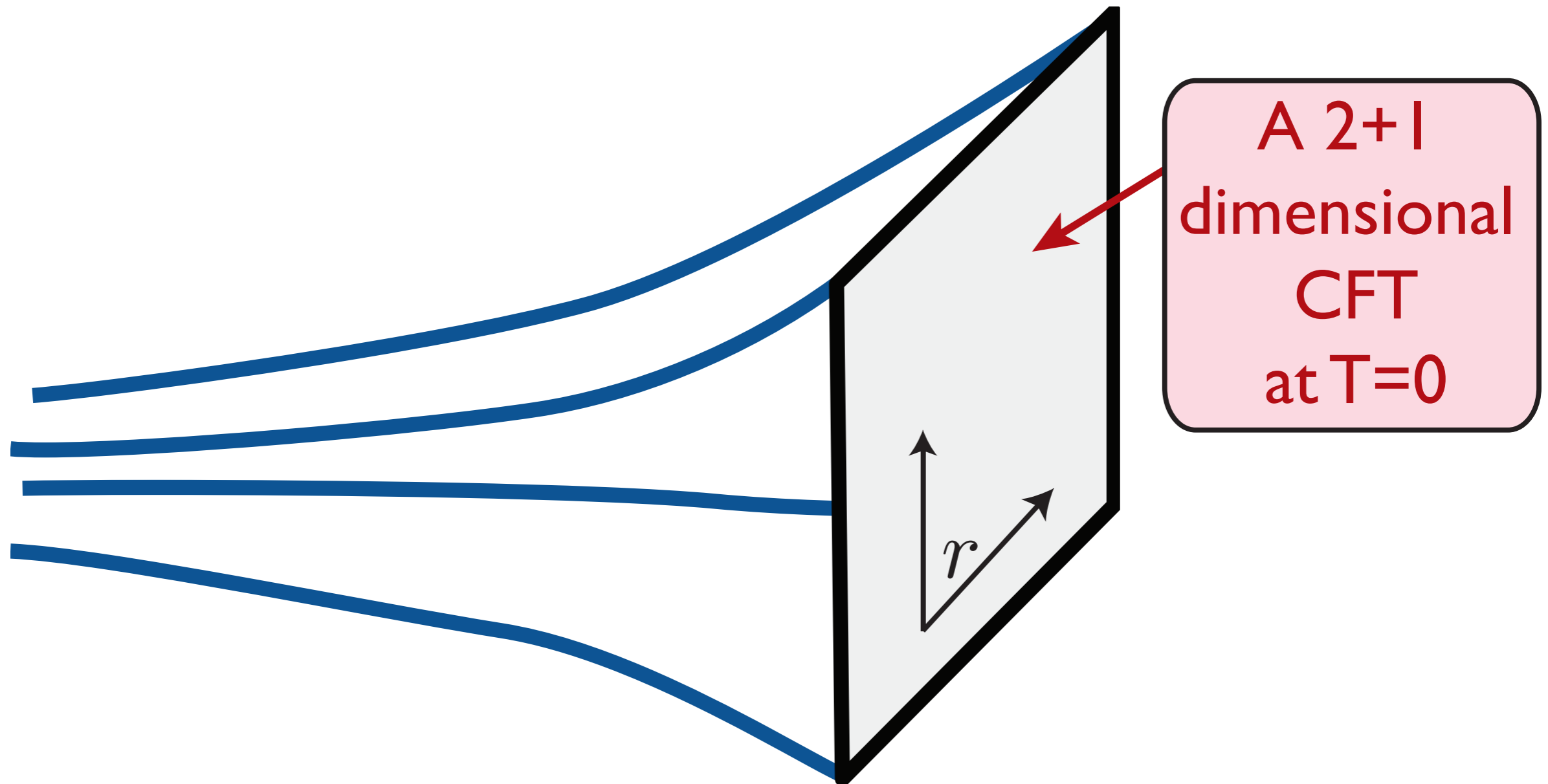

$$\text{Area } \mathcal{A} = \langle Q \rangle$$

Begin with a CFT



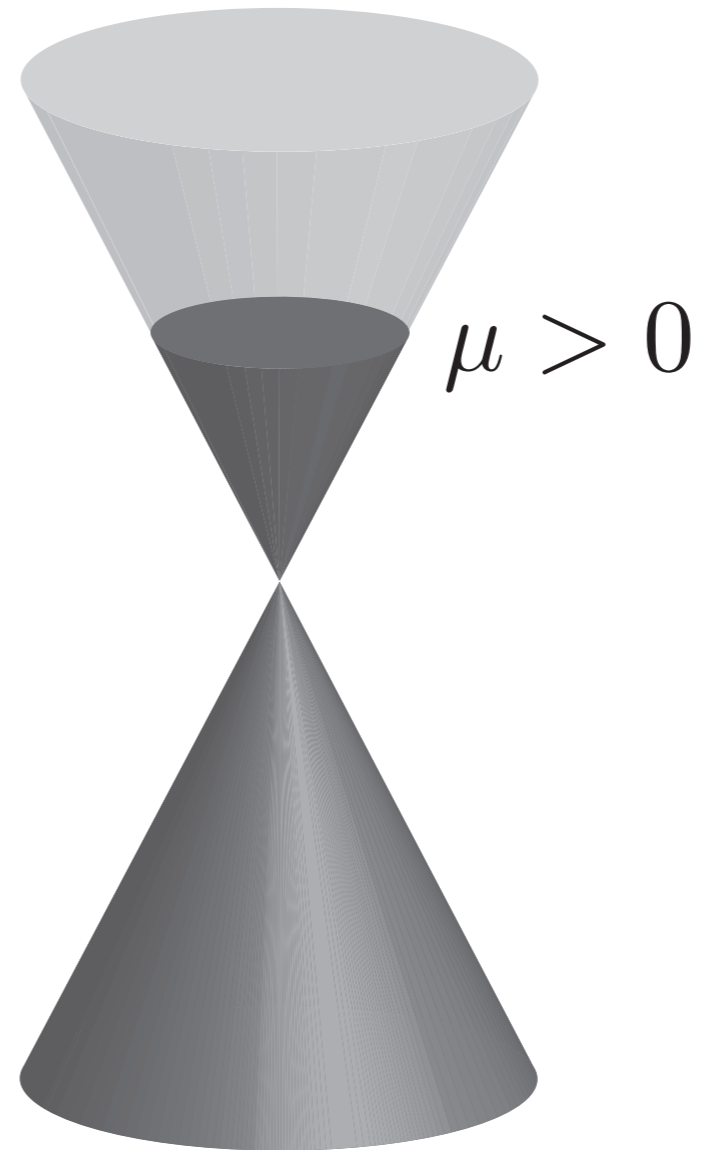
Dirac fermions + gauge field +

Holographic representation: AdS₄

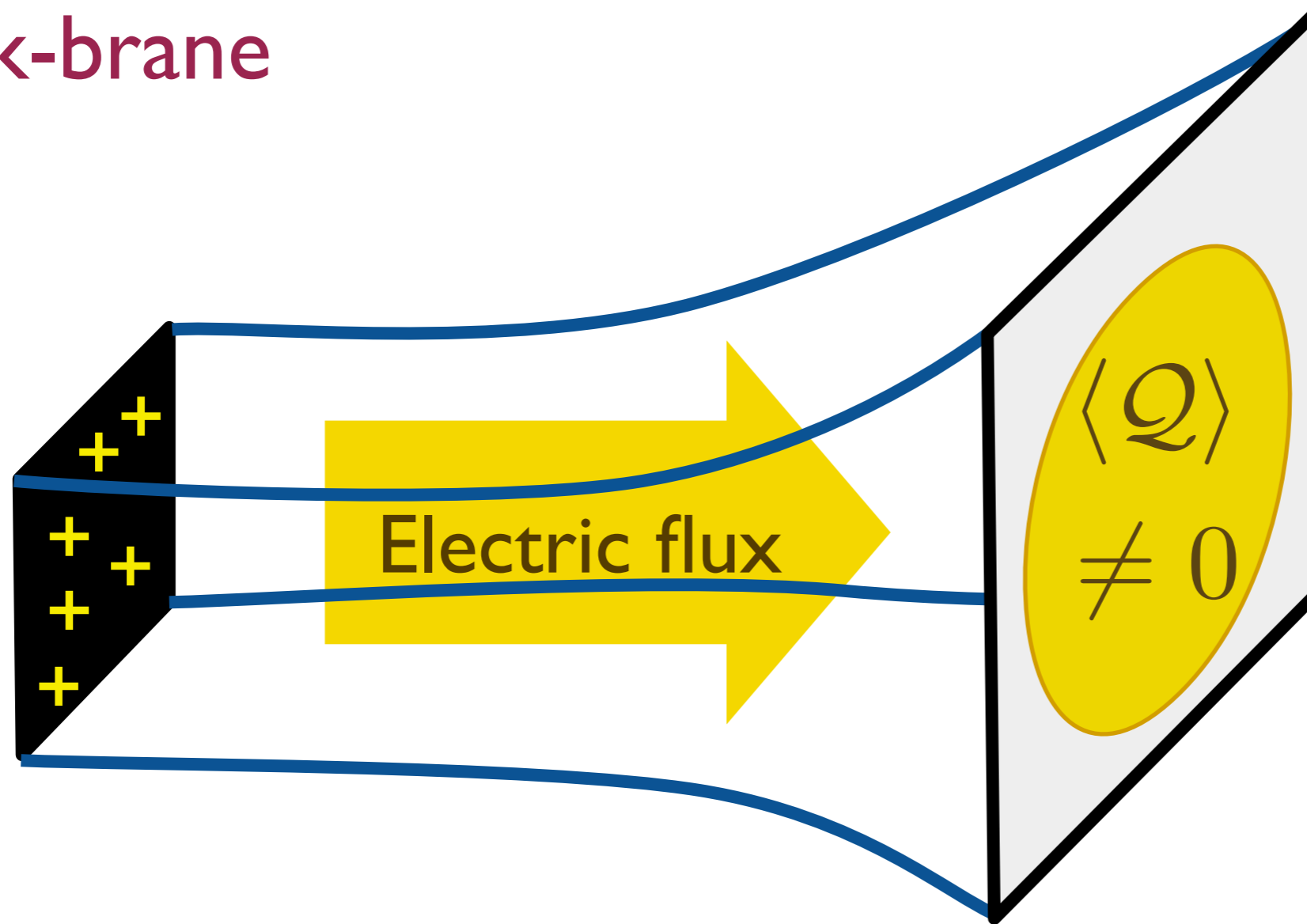


$$\mathcal{S} = \int d^4x \sqrt{-g} \left[\frac{1}{2\kappa^2} \left(R + \frac{6}{L^2} \right) \right]$$

Will describe a Landau Fermi liquid
obtained by applying a chemical potential to
the “deconfined” CFT



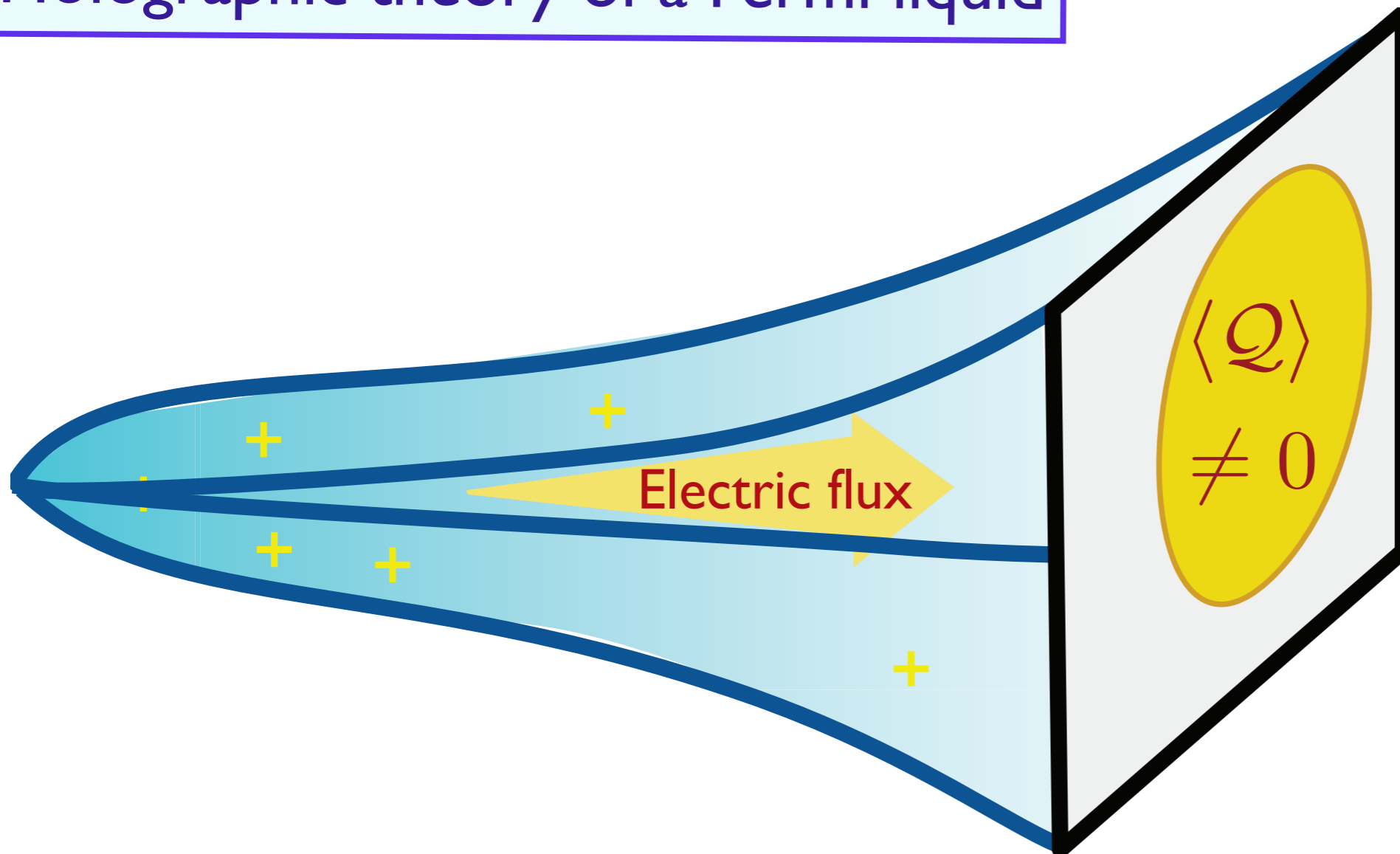
The Maxwell-Einstein theory of the applied chemical potential yields a AdS_4 -Reissner-Nordström black-brane



$$\mathcal{S} = \int d^4x \sqrt{-g} \left[\frac{1}{2\kappa^2} \left(R + \frac{6}{L^2} \right) - \frac{1}{4e^2} F_{ab} F^{ab} \right]$$

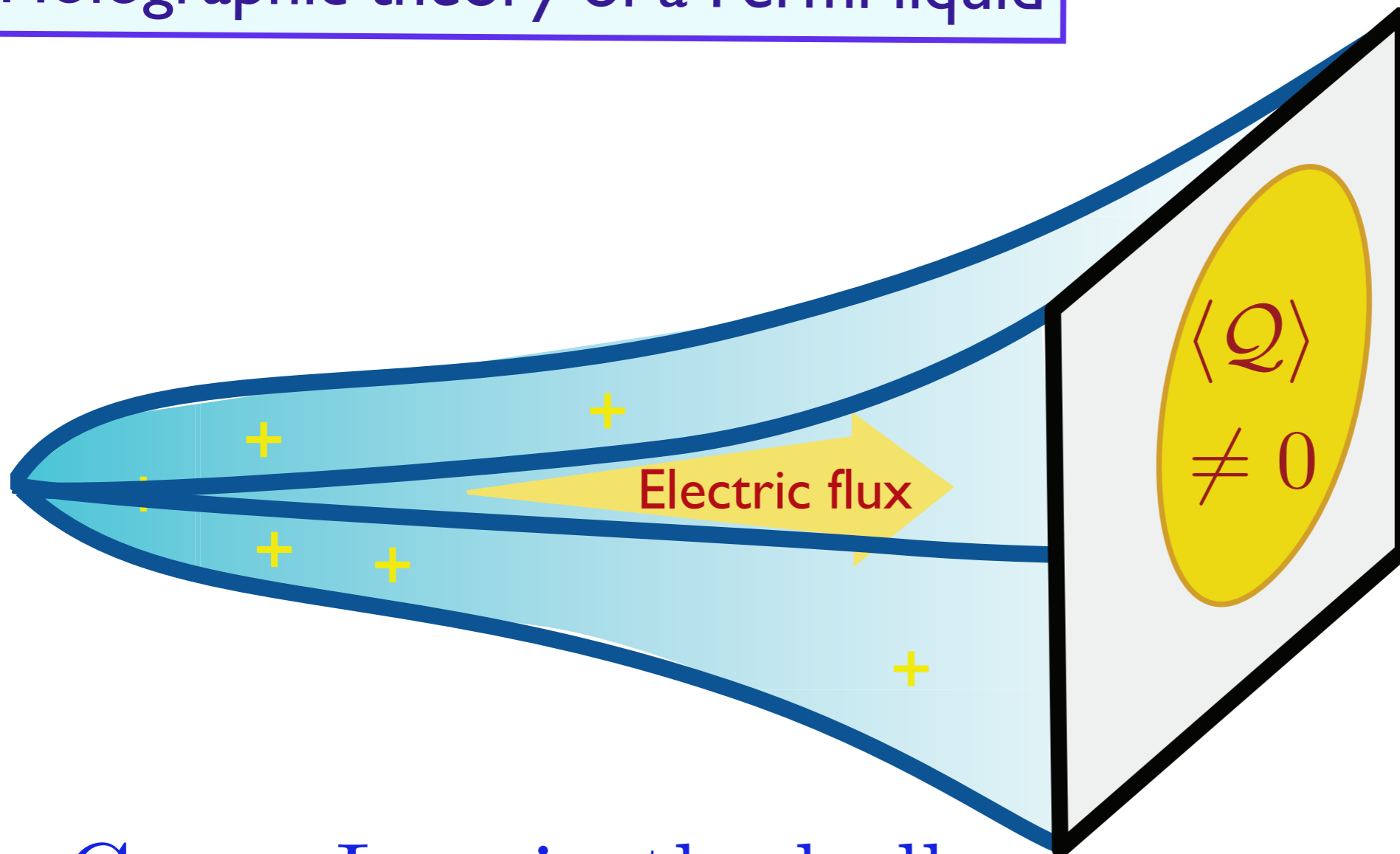
Holographic theory of a Fermi liquid

S. Sachdev
arXiv:1107.5321



$$\mathcal{S} = \int d^4x \sqrt{-g} \left[\frac{1}{2\kappa^2} \left(R + \frac{6}{L^2} \right) - \frac{Z(\phi)}{4e^2} F_{ab} F^{ab} + \mathcal{L}[\text{matter}, \phi] \right]$$

In a confining phase, the horizon disappears, there is charge density delocalized in the bulk spacetime, and a Fermi liquid phase is obtained on the boundary



Gauss Law in the bulk

\Leftrightarrow Luttinger theorem on the boundary

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Consider QED₄, with *full* quantum fluctuations,

$$S = \int d^4x \sqrt{g} \left[\frac{1}{4e^2} F_{ab} F^{ab} + i (\bar{\psi} \Gamma^M D_M \psi + m \bar{\psi} \psi) \right].$$

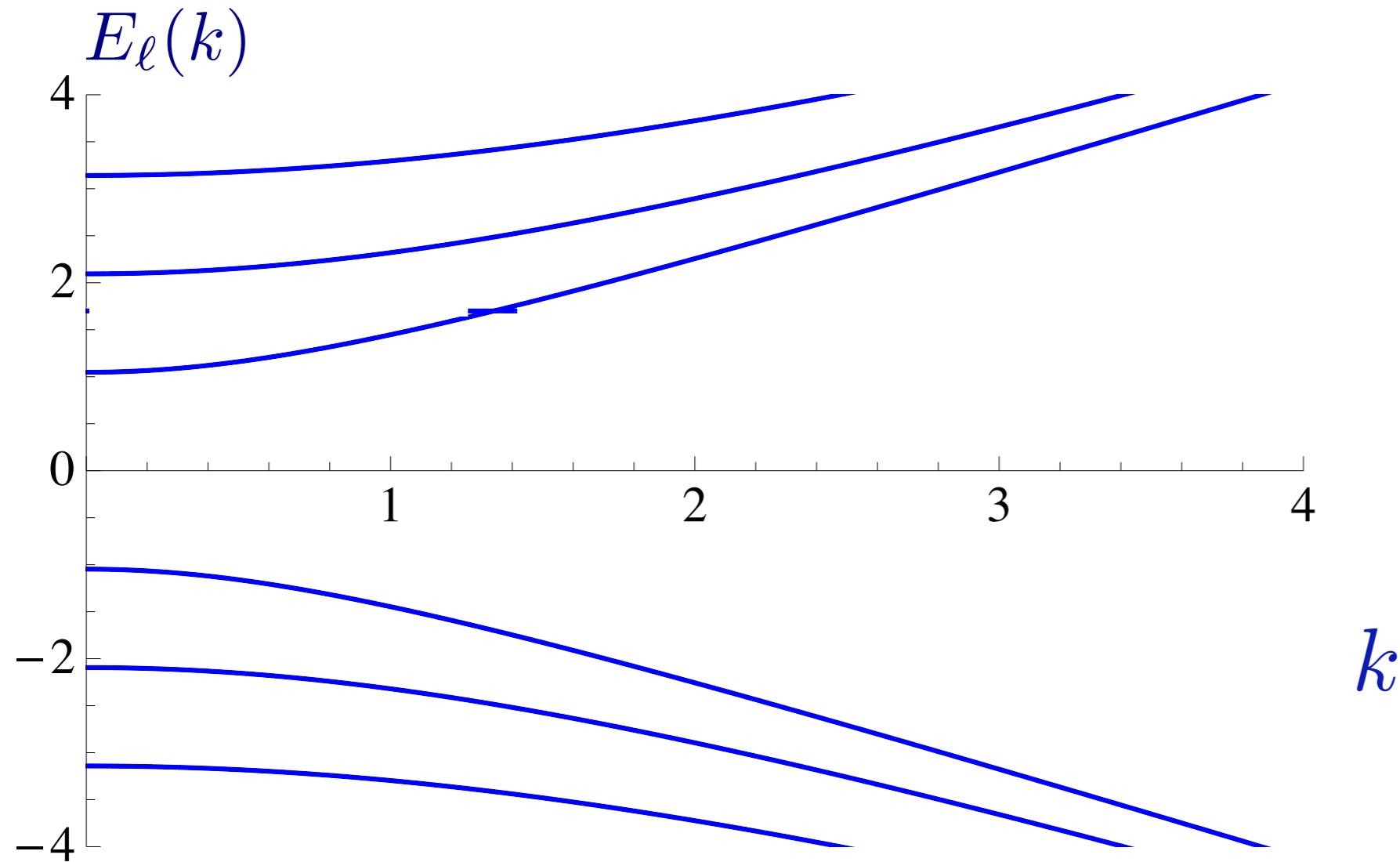
in a metric which is AdS₄ in the UV, and confining in the IR.
A simple model

$$ds^2 = \frac{1}{z^2} (dz^2 - dt^2 + dx^2 + dy^2) \quad , \quad z < z_m$$

with z_m determined by the confining scale.

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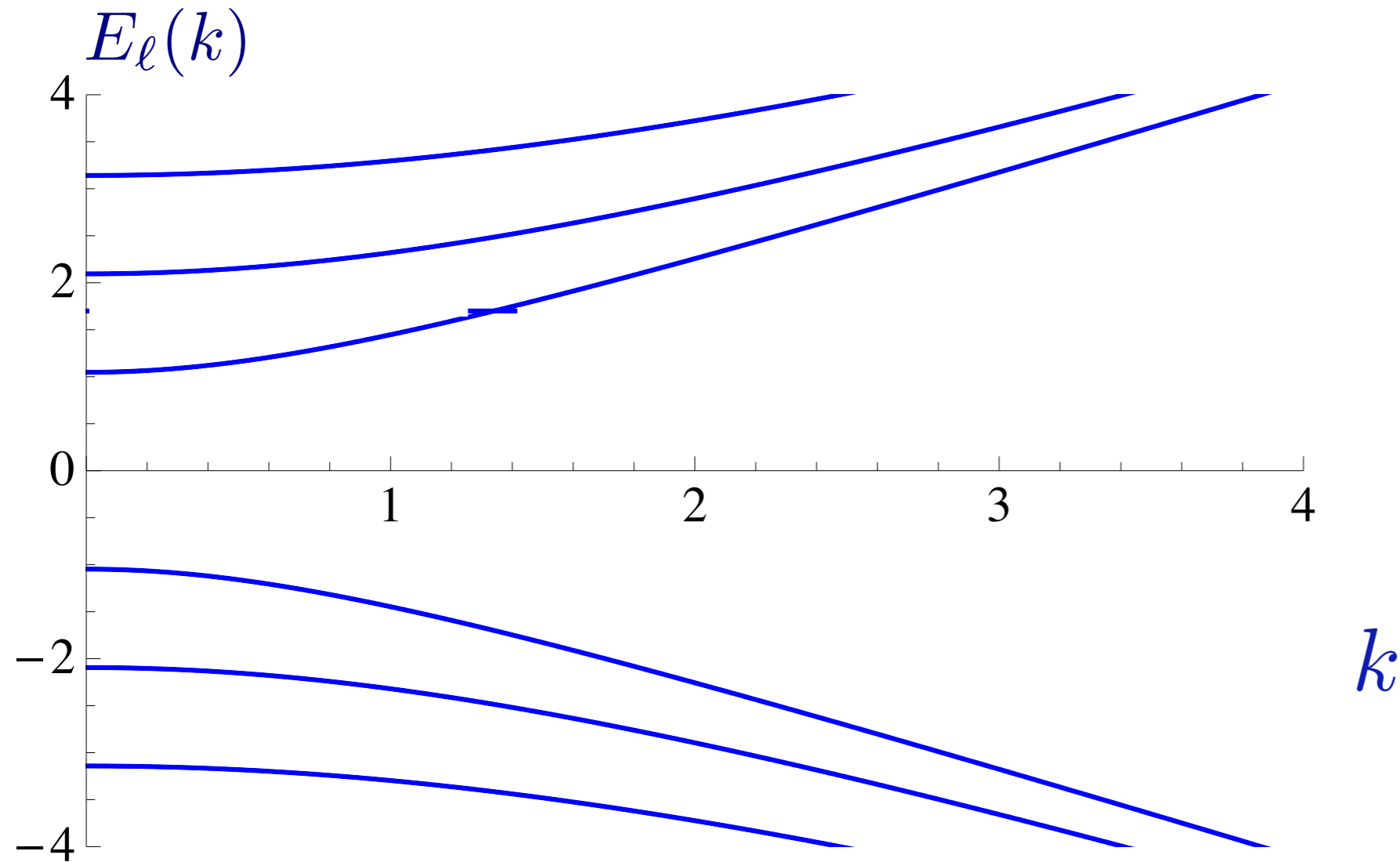
Massive Dirac fermions at zero chemical potential

$$\text{Dispersion } E_\ell(k) = \sqrt{k^2 + M_\ell^2}$$

$$\text{Masses } M_\ell \sim 1/z_m$$

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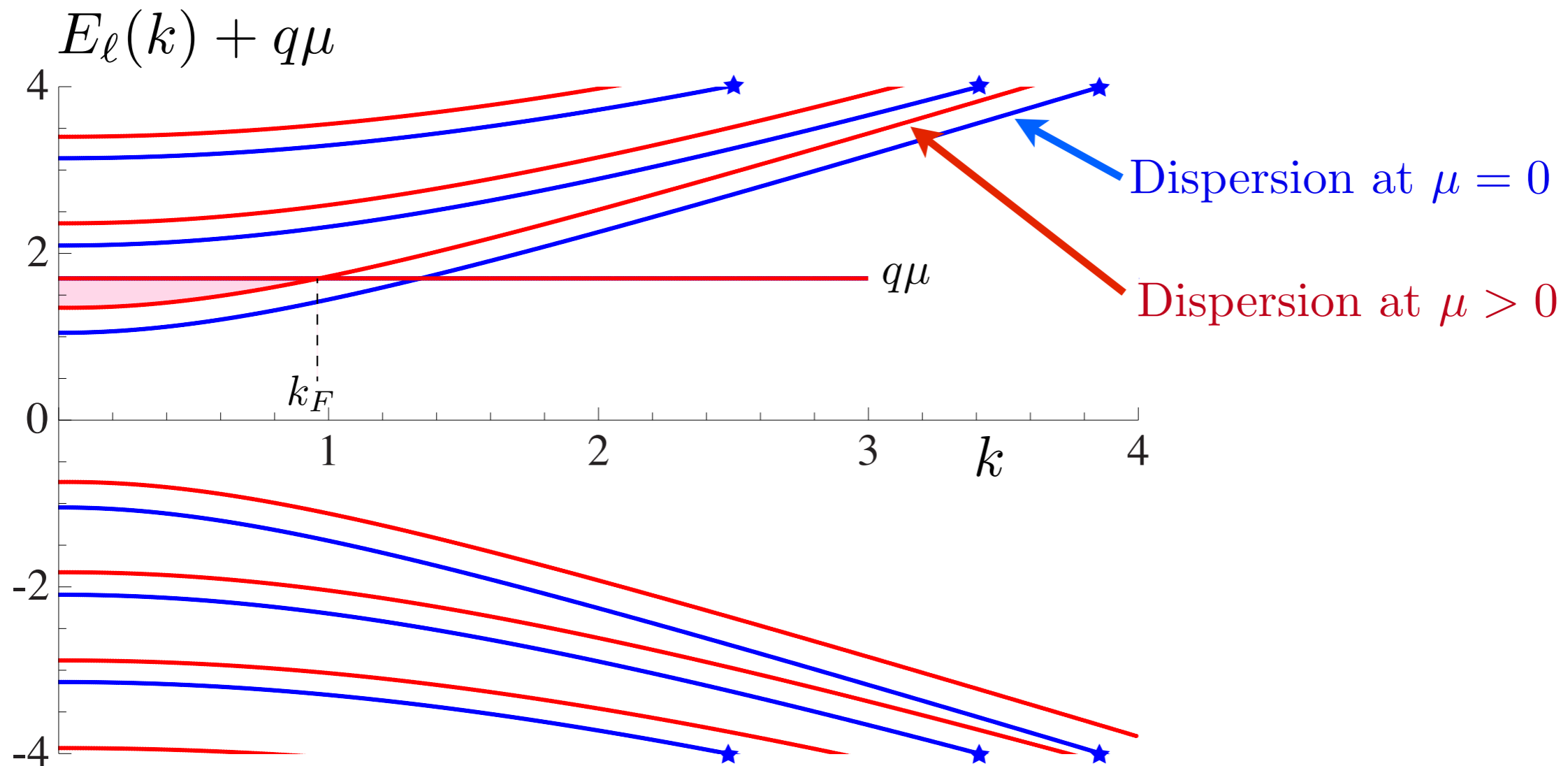


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Almost all previous holographic theories have considered the situation where the spacing between the $E_\ell(k)$ vanishes, and an infinite number of $E_\ell(k)$ are relevant.



The spectrum at non-zero chemical potential is determined by self-consistently solving the Dirac equation and Gauss's law:

$$\left(\vec{\Gamma} \cdot \vec{D} + m\right) \Psi_\ell = E_\ell \Psi_\ell ; \quad \nabla_z \mathcal{E}_z = \sum_\ell \int \frac{d^2 k}{4\pi^2} \Psi_\ell^\dagger(k, z) \Psi_\ell(k, z) f(E_\ell(k))$$

where \mathcal{E} is the electric field, and $f(E)$ is the Fermi function

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- We can apply standard many body theory results, treating this multi-band system in 2 dimensions, like a 2DEG at a semiconductor surface.
- Integrating Gauss's Law, we obtain

$$\mathcal{E}_z(\text{boundary}) - \mathcal{E}_z(\text{IR}) = \mathcal{A}$$

But $\mathcal{E}_z(\text{boundary}) = \langle \mathcal{Q} \rangle$, but the rules of AdS/CFT. So we obtain the usual Luttinger theorem of a Landau Fermi liquid,

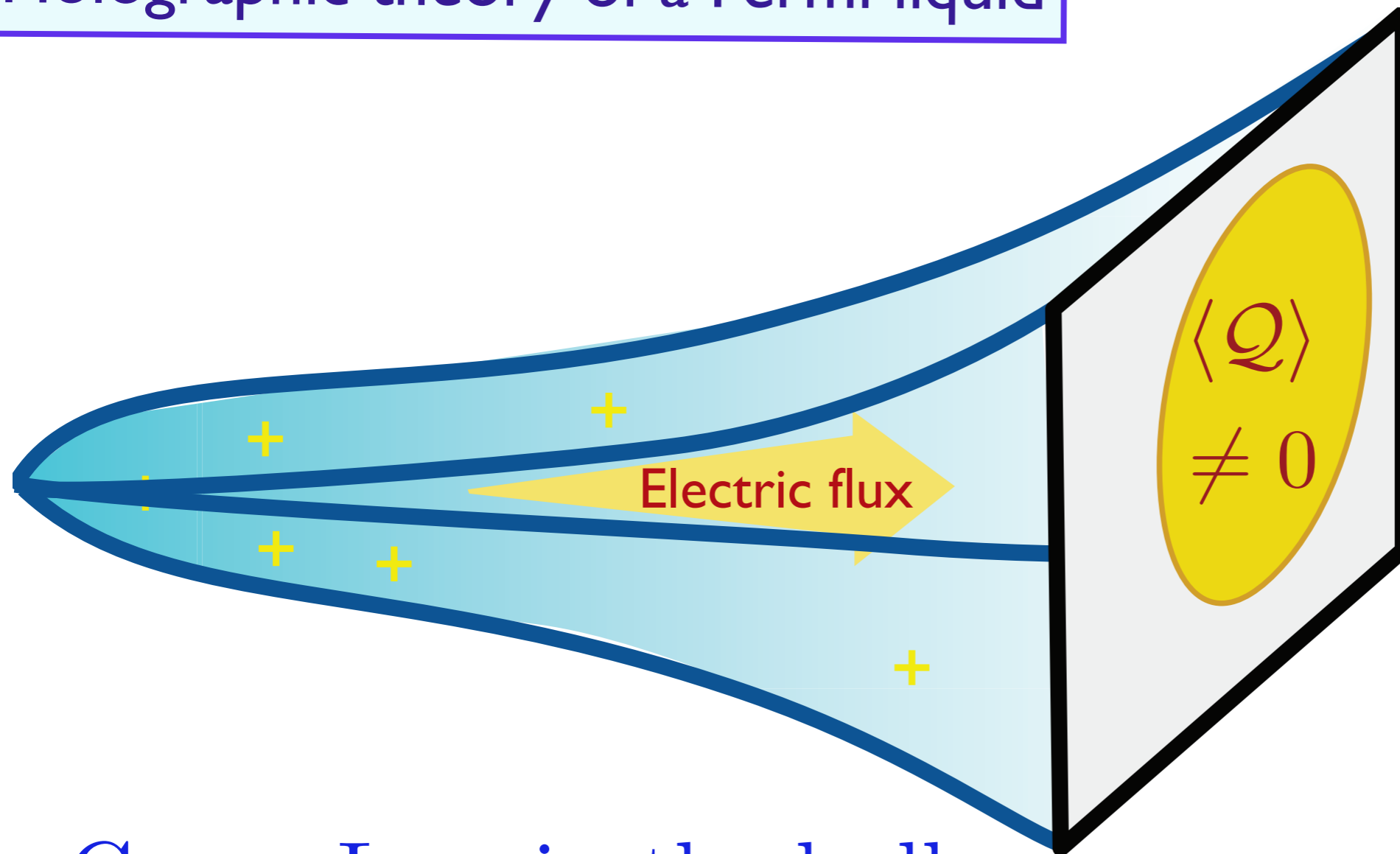
$$\mathcal{A} = \langle \mathcal{Q} \rangle$$

provided $\mathcal{E}_z(\text{IR}) = 0$.

Technical notes:

- No source term is included at the boundary for the fermions
- The boundary fermion Green's function is computed by taking a suitable limit of the bulk Green's function (Klebanov, Witten):

$$G(r, r') = \lim_{z, z' \rightarrow 0} (zz')^\alpha G_B(r, z; r', z')$$



Gauss Law in the bulk

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In a confining FL phase, the metric terminates, the bulk charge equals the boundary charge, and the electric flux vanishes in the IR.

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The Fermi surface

This is the locus of zero energy singularities in momentum space in the two-point correlator of fermions carrying charge Q .

$$G_{\text{fermion}}^{-1}(k = k_F, \omega = 0) = 0.$$

Excitations with $k < k_F$ are ‘hole’-like (negative energy), and those with $k > k_F$ are ‘particle’-like (positive energy), or vice-versa.

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Boson Green’s functions can’t generically have such singularities because the negative energy bosons would Bose condense.

Luttinger relation: Applies as long as the global $U(1)$ symmetry associated with Q is unbroken. The total “volume (area)” \mathcal{A} enclosed by the Fermi surface is equal to $\langle Q \rangle$. Here $\langle Q \rangle$ includes the charge carried by the bosons. This is a *key* constraint which allows extrapolation from weak to strong coupling.

Consider mixture of fermions f and bosons b .

$$\begin{aligned} \mathcal{L} &= f^\dagger \left(\partial_\tau - \frac{\nabla^2}{2m} - \mu \right) f \\ &+ b^\dagger \left(\partial_\tau - \frac{\nabla^2}{2m_b} - \mu_b \right) b + s|b|^2 - g b^\dagger f^\dagger f b + \dots \end{aligned}$$

Consider mixture of fermions f and bosons b .
There is a $U(1) \times U_b(1)$ symmetry
and 2 conserved charges:

$$Q = f^\dagger f$$
$$Q_b = b^\dagger b$$

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The 2 symmetries imply 2
Luttinger constraints. How-
ever, bosons at non-zero den-
sity invariably Bose condense
at $T = 0$, and so $U_b(1)$ is
broken. So there is only the
single constraint on the f Fermi
surface. This describes mix-
tures of ^3He and ^4He .

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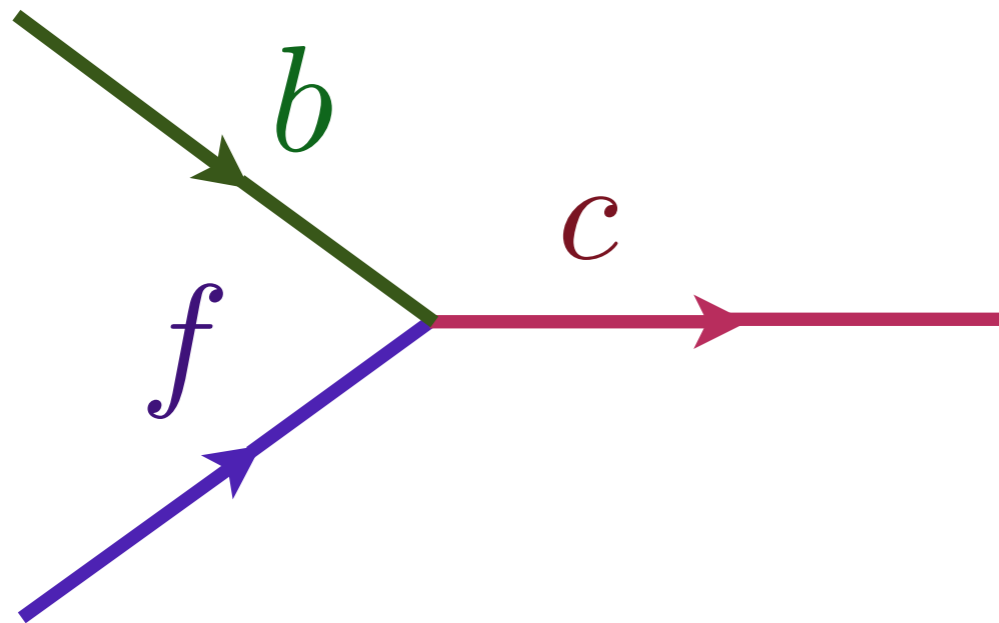
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$U_b(1)$ broken; $U(1)$ unbroken

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Increase the coupling g until the boson, b , and fermion, f , can bind into a ‘molecule’, the fermion c .



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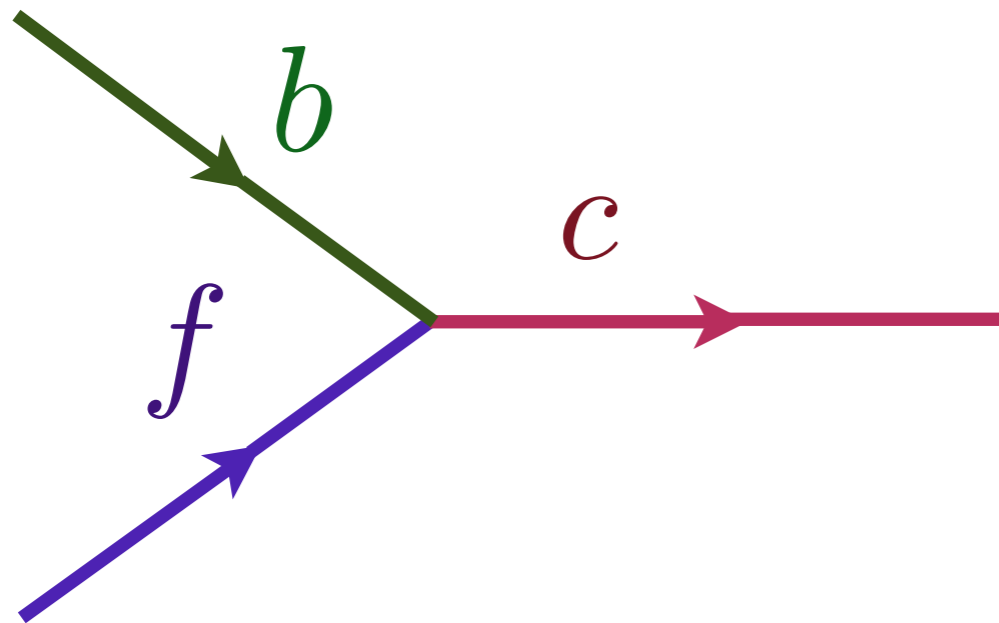
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Decouple the interaction between b and f by a fermion c



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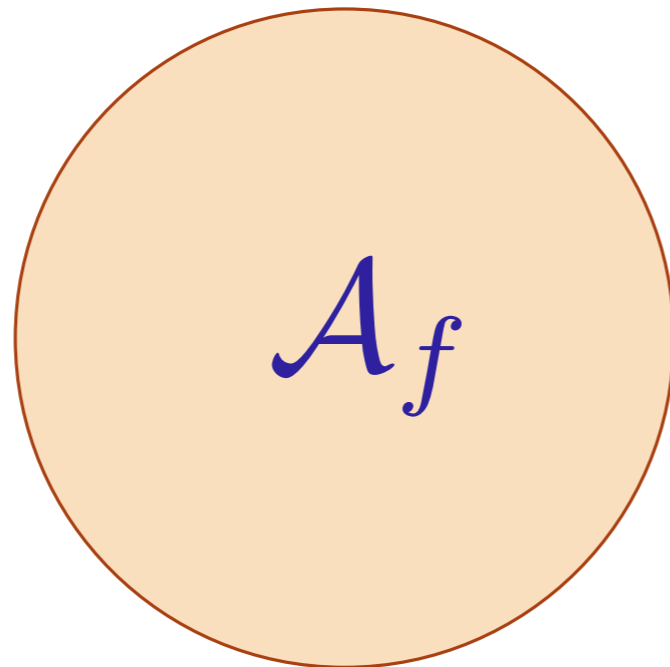
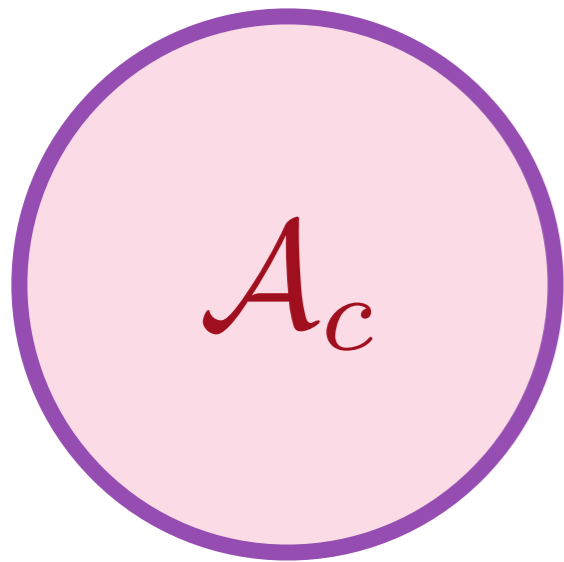
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In a phase with $U_b(1)$ unbroken, there is a Luttinger relation for each conserved $U(1)$ charge. However, the boson, b cannot have a Fermi surface in its Green's function, and so there is no area associated with it, although the boson density is *included* in the Luttinger relation

$$\begin{aligned} A_c + A_f &= \langle f^\dagger f \rangle = \langle Q \rangle \\ A_c &= \langle b^\dagger b \rangle = \langle Q_b \rangle \end{aligned}$$

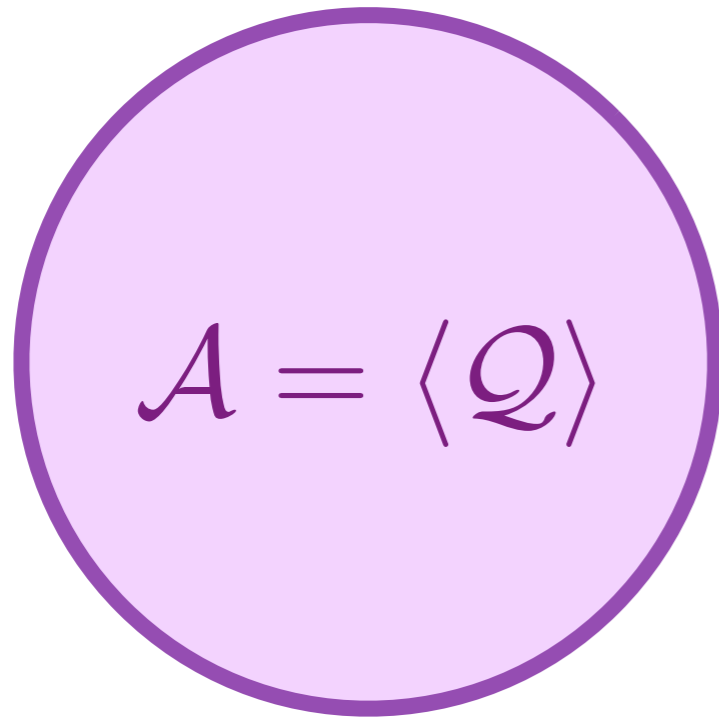


The b bosons
have bound
with f fermions
to form c
“molecules”

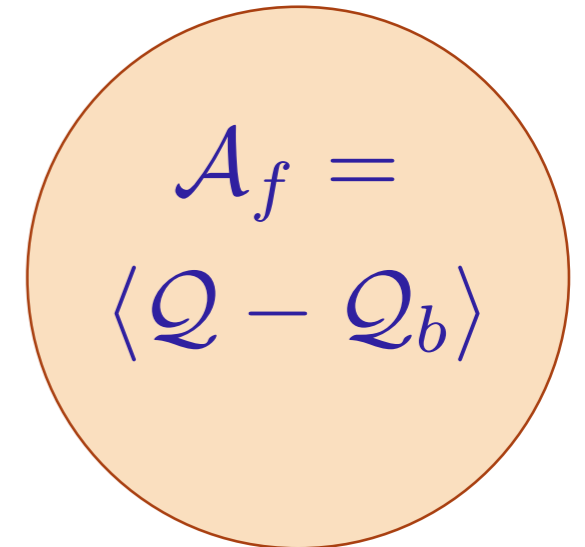
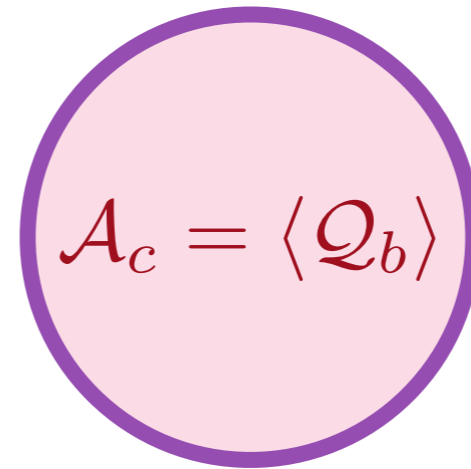
S. Powell, S. Sachdev, and H. P. Büchler, *Physical Review B* **72**, 024534 (2005)

P. Coleman, I. Paul, and J. Rech, *Physical Review B* **72**, 094430 (2005)

Phase diagram of boson-fermion mixture



Superfluid: $\langle b \rangle \neq 0$
 $U_b(1)$ broken; $U(1)$ unbroken



Normal: $\langle b \rangle = 0$
 $U(1) \times U_b(1)$ unbroken



$$\mathcal{L} = f^\dagger \left(\partial_\tau - \frac{\nabla^2}{2m} - \mu \right) f$$

$$+ b^\dagger \left(\partial_\tau - \frac{\nabla^2}{2m_b} - \mu_b \right) b + s|b|^2 - g b^\dagger f^\dagger f b + \dots$$

- Now gauge $\mathcal{Q} - \mathcal{Q}_b$ by a dynamic gauge field A_a .
This leaves fermion c gauge-invariant

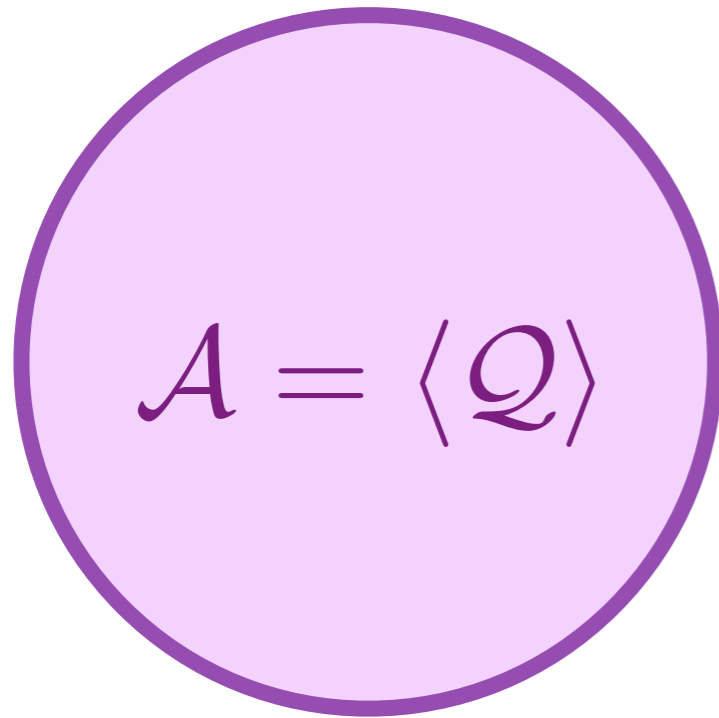
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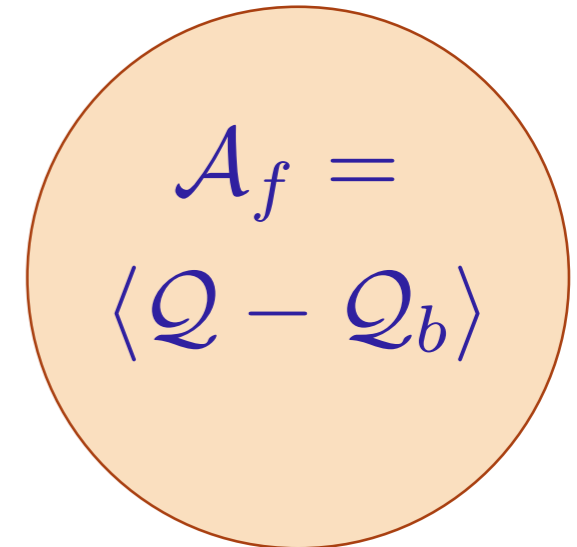
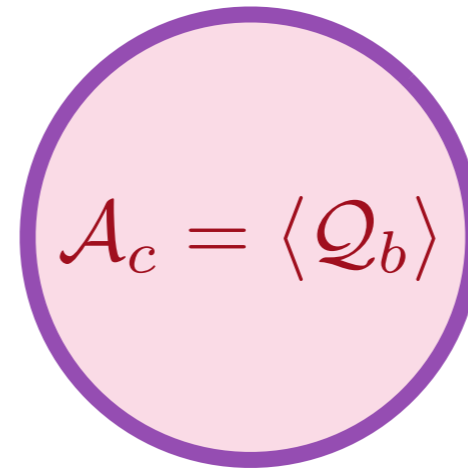
(Need a background neutralizing charge)

$$\begin{aligned} \mathcal{L} = & f^\dagger \left(\partial_\tau - iA_\tau - \frac{(\nabla - i\mathbf{A})^2}{2m} - \mu \right) f \\ & + b^\dagger \left(\partial_\tau + iA_\tau - \frac{(\nabla + i\mathbf{A})^2}{2m_b} - \mu_b \right) b + s|b|^2 - g b^\dagger f^\dagger f b + \dots \end{aligned}$$

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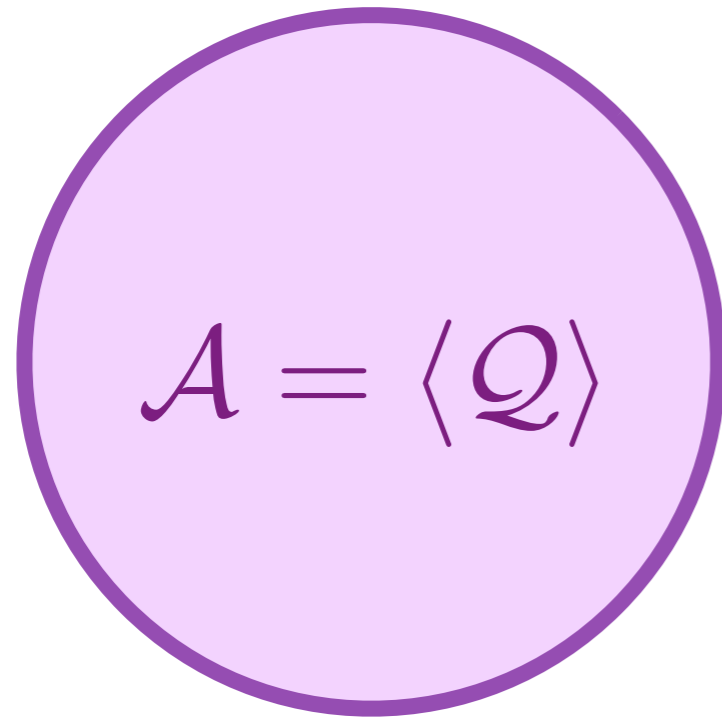
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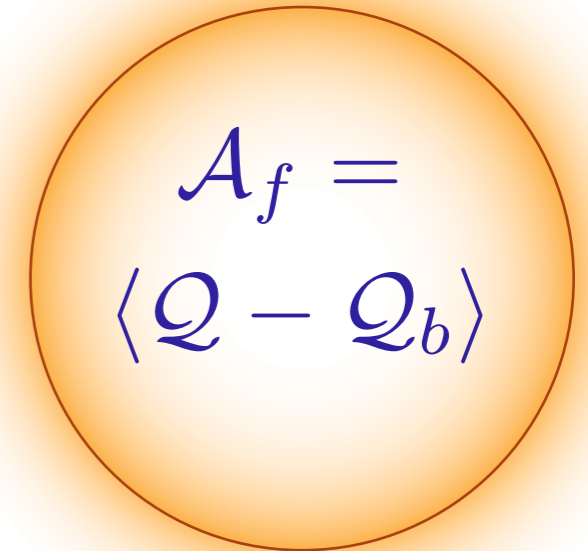
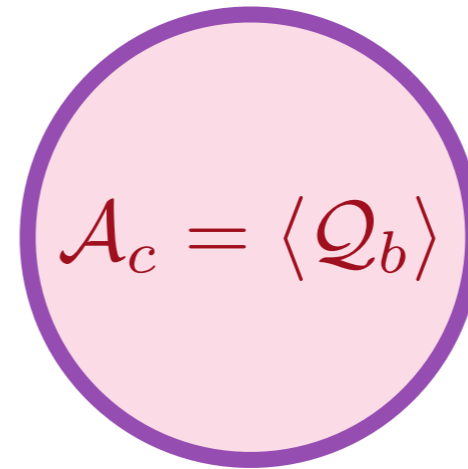
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Phase diagram of U(1) gauge theory



Higgs/confining phase:
Fermi liquid (FL)



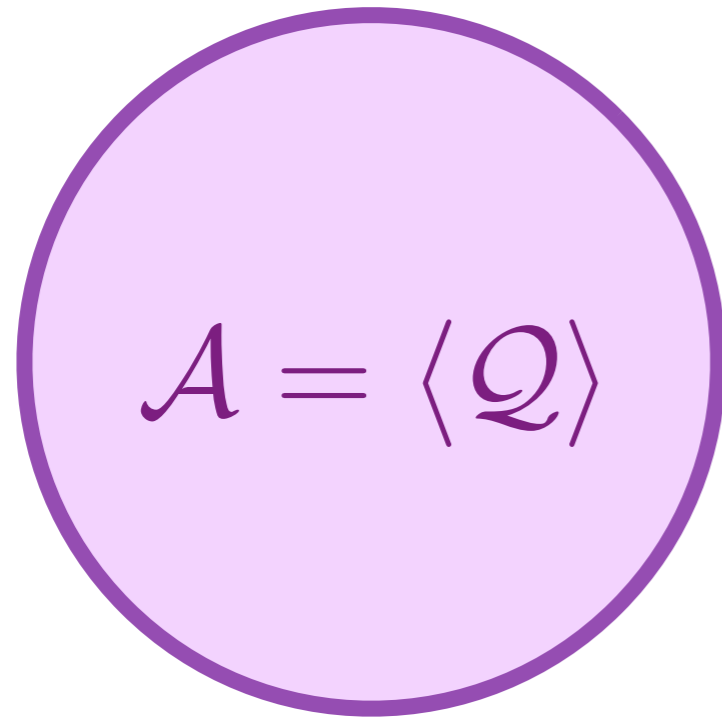
Deconfined phase:
Fractionalized
Fermi liquid (FL*)



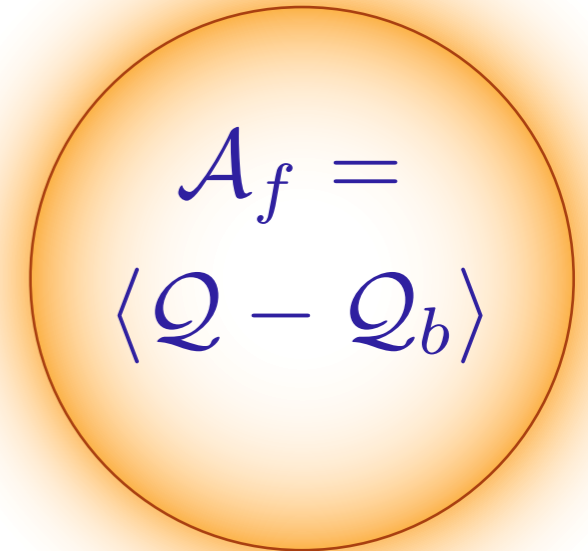
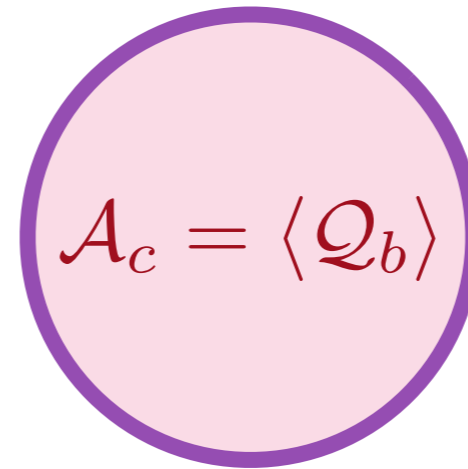
$$\mathcal{L} = f^\dagger \left(\partial_\tau - iA_\tau - \frac{(\nabla - i\mathbf{A})^2}{2m} - \mu \right) f$$

$$+ b^\dagger \left(\partial_\tau + iA_\tau - \frac{(\nabla + i\mathbf{A})^2}{2m_b} - \mu_b \right) b + s|b|^2 - g b^\dagger f^\dagger f b + \dots$$

Phase diagram of U(1) gauge theory



Higgs/confining phase:
Fermi liquid (FL)



Deconfined phase:
Fractionalized
Fermi liquid (FL*)



$$\mathcal{L} = f^\dagger \left(\partial_\tau - iA_\tau - \frac{(\nabla - i\mathbf{A})^2}{2m} - \mu \right) f$$

$$+ b^\dagger \left(\partial_\tau + iA_\tau - \frac{(\nabla + i\mathbf{A})^2}{2m_b} - \mu_b \right) b + s|b|^2 - g b^\dagger f^\dagger f b + \dots$$

Phase diagram of U(1) gauge theory

- FL phase: Fermi surface of gauge-neutral fermions encloses total global charge Q
- FL* phase: Fermi surface of gauge neutral fermions encloses only part of the global charge Q

Higgs/continuing phase:
Fermi liquid (FL)

Fractionalized
Fermi liquid (FL*)

s

$$\mathcal{L} = f^\dagger \left(\partial_\tau - iA_\tau - \frac{(\nabla - i\mathbf{A})^2}{2m} - \mu \right) f$$

$$+ b^\dagger \left(\partial_\tau + iA_\tau - \frac{(\nabla + i\mathbf{A})^2}{2m_b} - \mu_b \right) b + s|b|^2 - g b^\dagger f^\dagger f b + \dots$$

Phase diagram of U(1) gauge theory

$$A = \langle Q \rangle$$

$$A_c = \langle Q_b \rangle$$

$$A_f = \langle Q - Q_b \rangle$$

Similar to theories obtained by adding a chemical potential to CFTs (with non-Abelian gauge fields) with known gravity duals

L. Huijse and S. Sachdev, arXiv:1104.5022



$$\mathcal{L} = f^\dagger \left(\partial_\tau - iA_\tau - \frac{(\nabla - i\mathbf{A})^2}{2m} - \mu \right) f$$

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Compressible quantum matter

Conjecture: All compressible states which preserve translational and global $U(1)$ symmetries must have FERM SURFACES, but they are not necessarily Fermi liquids.

- Such states obey the Luttinger relation

$$\sum_{\ell} q_{\ell} \mathcal{A}_{\ell} = \langle Q \rangle,$$

where the ℓ 'th Fermi surface has fermionic quasiparticles with global $U(1)$ charge q_{ℓ} and encloses area \mathcal{A}_{ℓ} .

- Non-Fermi liquids have quasiparticles coupled to deconfined gauge fields (or gapless bosonic modes at quantum critical points).

Compressible quantum matter

Conventional phases

1. Holographic theory of the Fermi liquid (FL)

Exotic phases

1. Continuum models with gauge theories:
the fractionalized Fermi liquid (FL*)

2. Holographic approach

3. Connections to models and experiments on
the heavy fermion compounds and
the cuprate superconductors

Compressible quantum matter

Conventional phases

1. Holographic theory of the Fermi liquid (FL)

Exotic phases

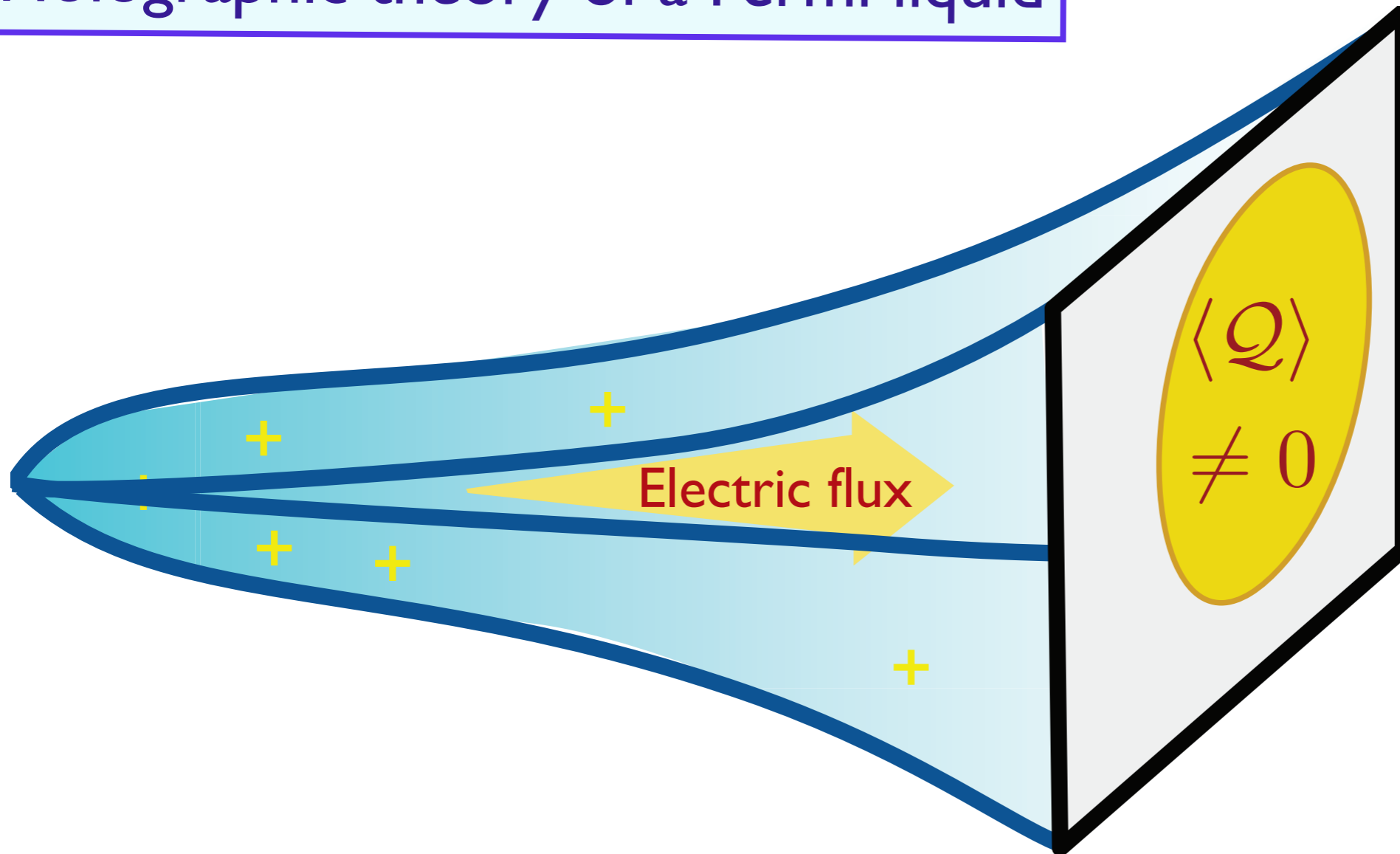
1. Continuum models with gauge theories:
the fractionalized Fermi liquid (FL*)

2. Holographic approach

3. Connections to models and experiments on
the heavy fermion compounds and
the cuprate superconductors

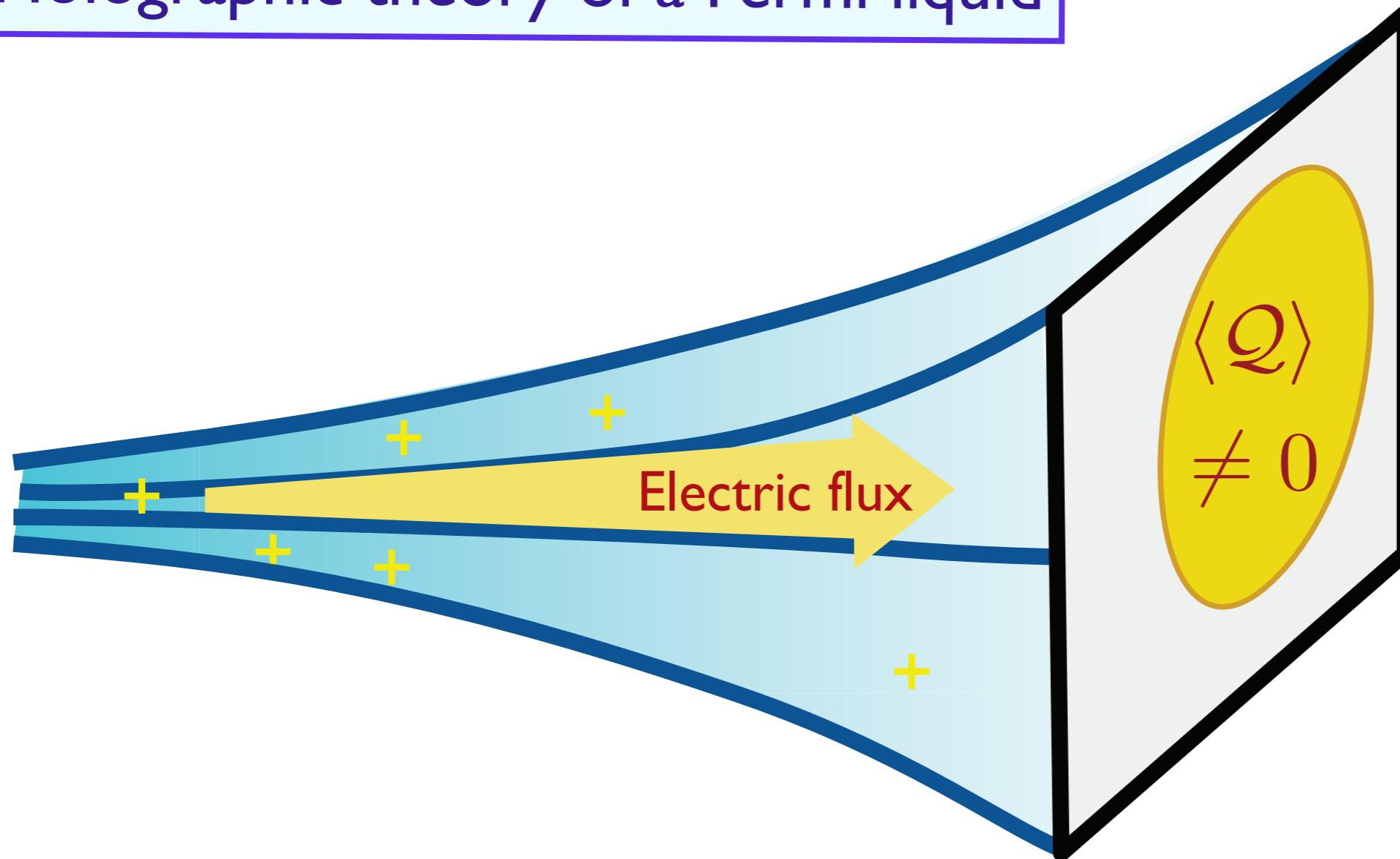
Holographic theory of a Fermi liquid

S. Sachdev
arXiv:1107.5321



In a confining FL phase, the metric terminates, the bulk charge equals the boundary charge, and the electric flux vanishes in the IR.

Holographic theory of a Fermi liquid



In a deconfined FL* phase, the metric extends to infinity (representing critical IR modes), and part of the electric flux “leaks out”.

Compressible quantum matter

Conventional phases

1. Holographic theory of the Fermi liquid (FL)

Exotic phases

1. Continuum models with gauge theories:
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Compressible quantum matter

Conventional phases

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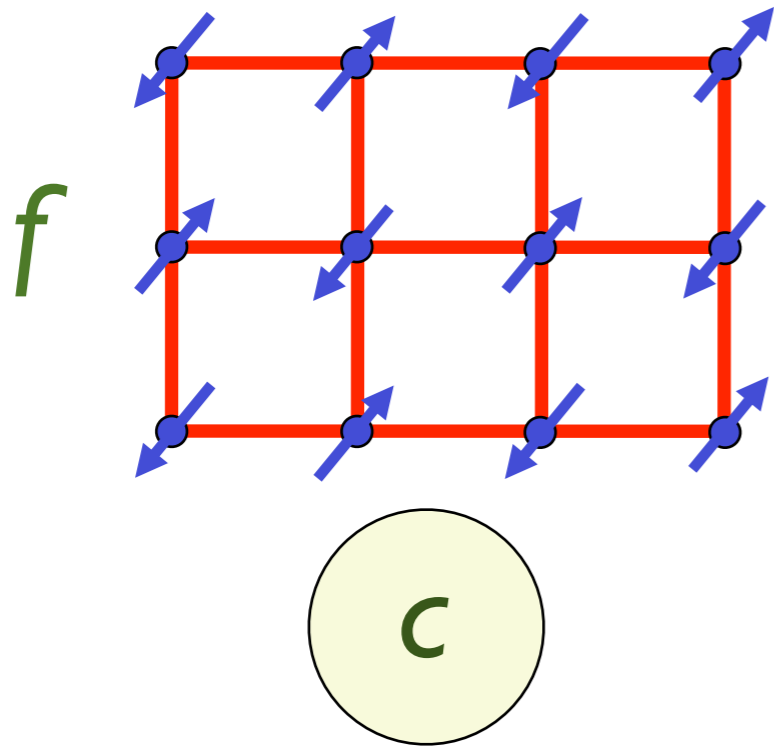
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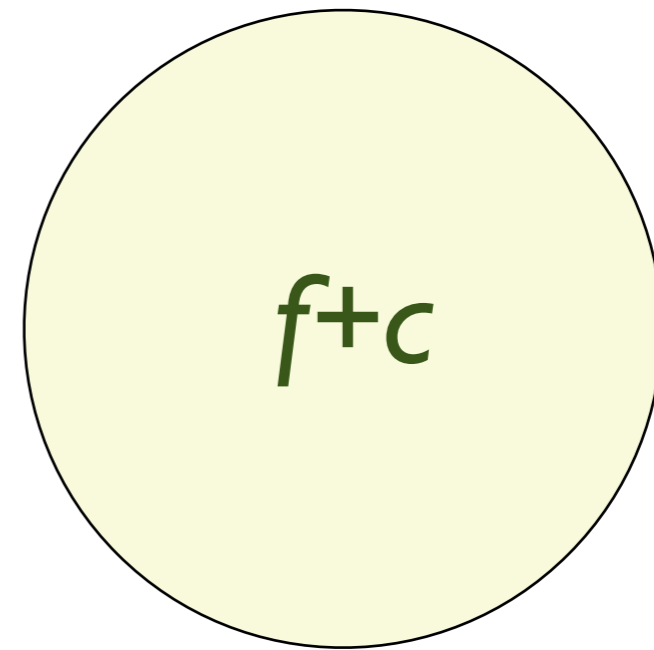
3. Connections to models and experiments on
the heavy fermion compounds and
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Magnetic order and the heavy Fermi liquid in the Kondo lattice



$$\langle \vec{\varphi} \rangle \neq 0$$

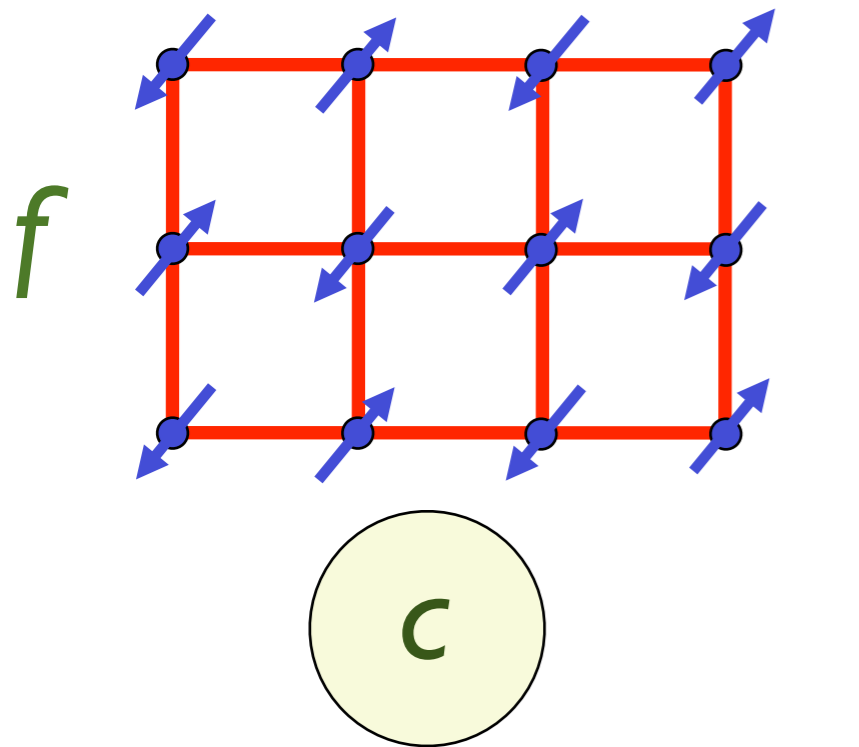
Magnetic Metal:
f-electron moments
and
c-conduction electron
Fermi surface



$$\langle \vec{\varphi} \rangle = 0$$

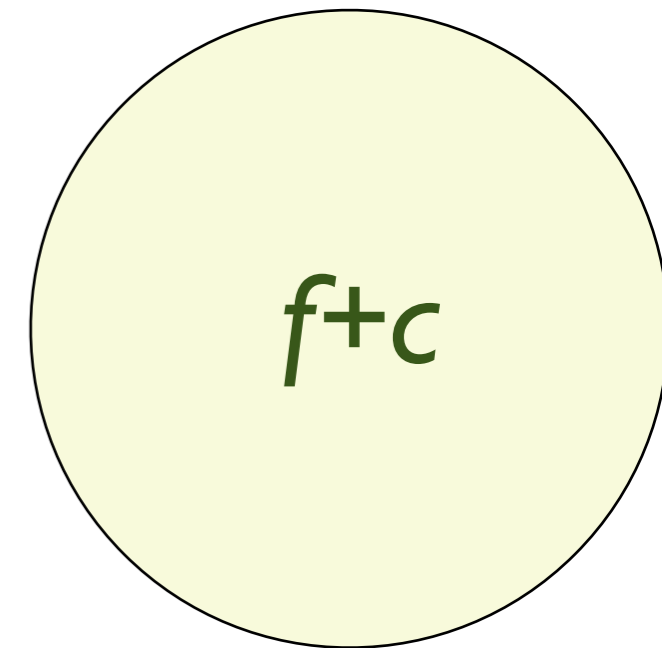
Heavy Fermi liquid
with “large” Fermi
surface of
hybridized f and
c-conduction
electrons

Separating onset of SDW order and the heavy Fermi liquid in the Kondo lattice



$$\langle \vec{\varphi} \rangle \neq 0$$

Magnetic Metal:
f-electron moments
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Fermi surface

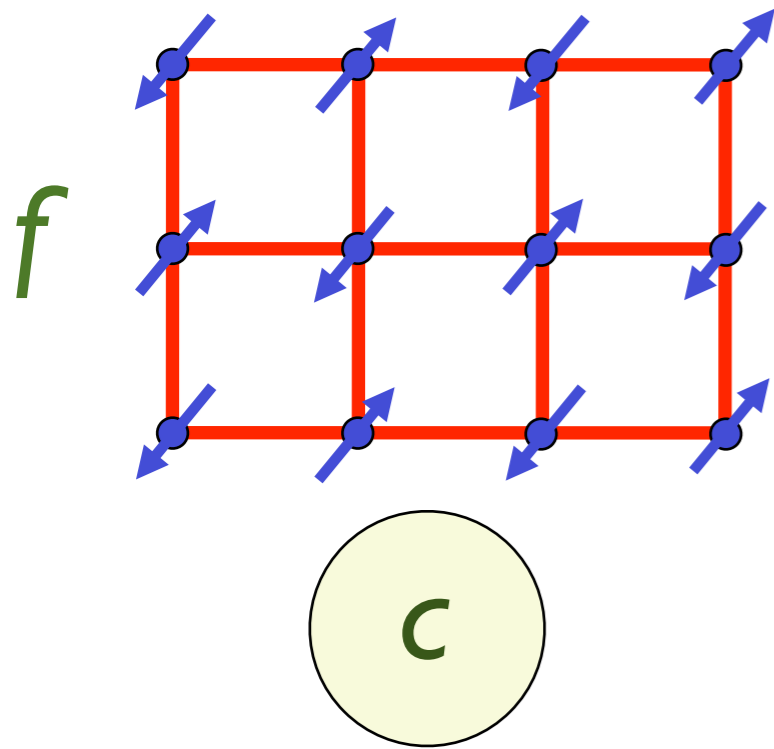


$$\langle \vec{\varphi} \rangle = 0$$

Heavy Fermi liquid
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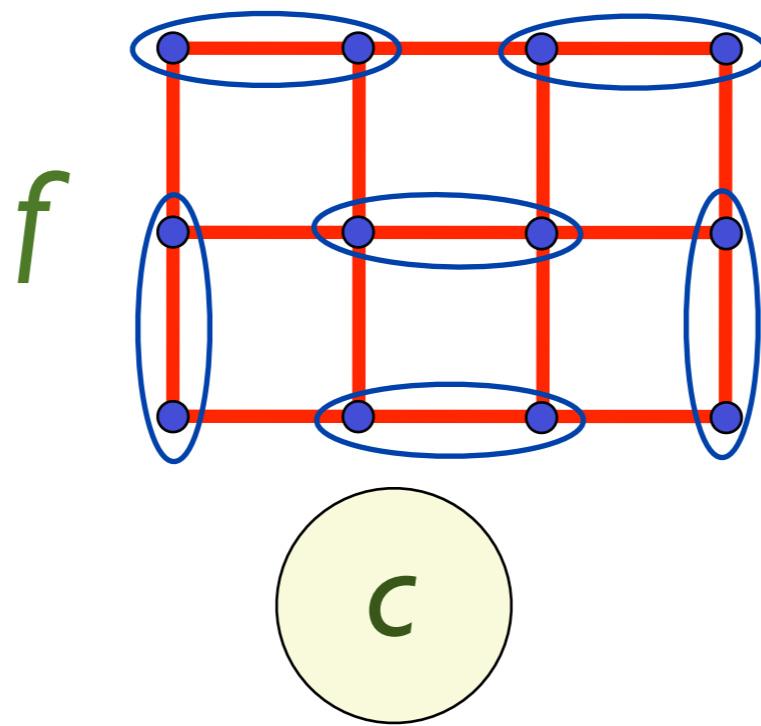


Separating onset of SDW order and the heavy Fermi liquid in the Kondo lattice



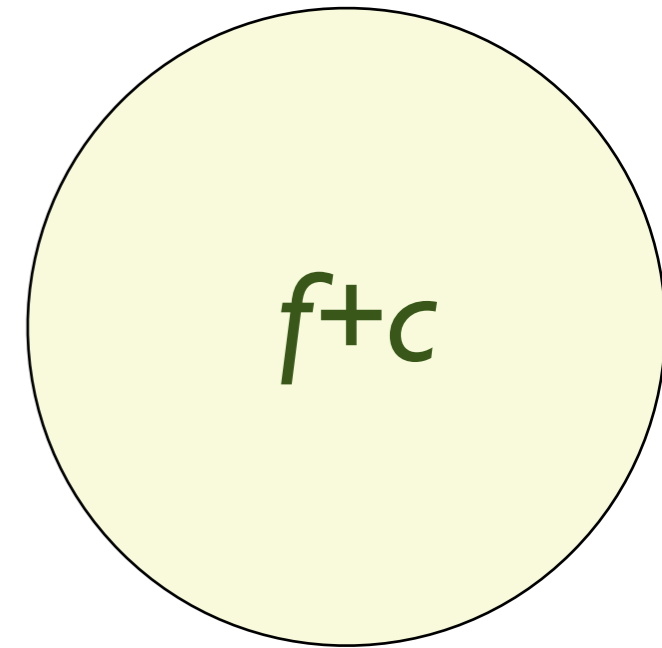
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Magnetic Metal:
f-electron moments
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$$\langle \vec{\varphi} \rangle = 0$$

Conduction electron
Fermi surface
and
spin-liquid of
f-electrons

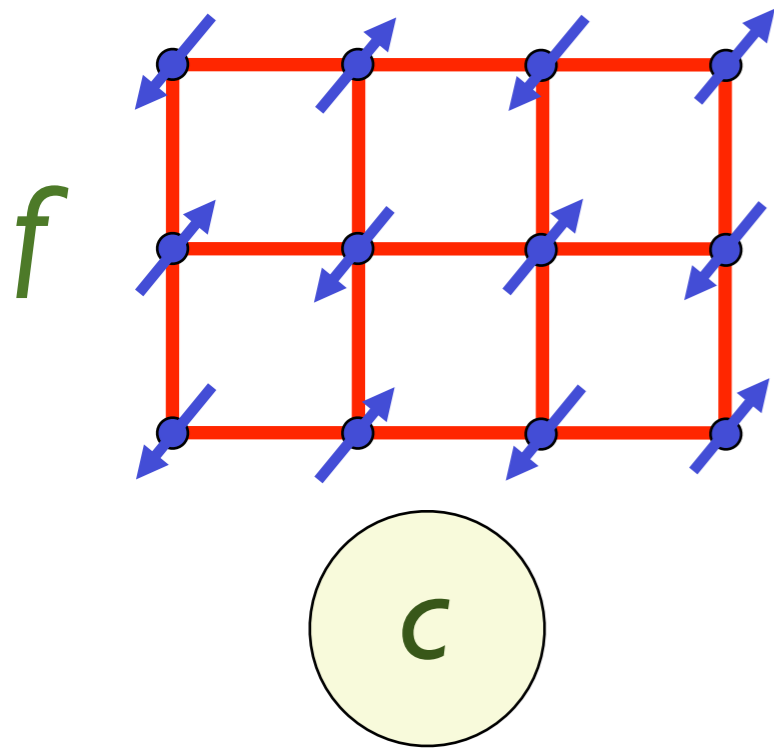


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Heavy Fermi liquid
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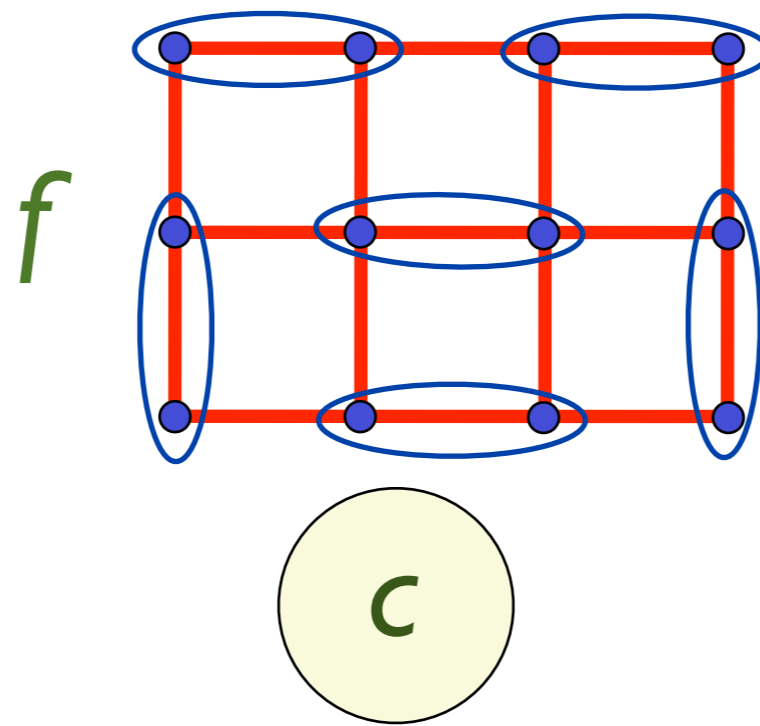


Separating onset of SDW order and the heavy Fermi liquid in the Kondo lattice



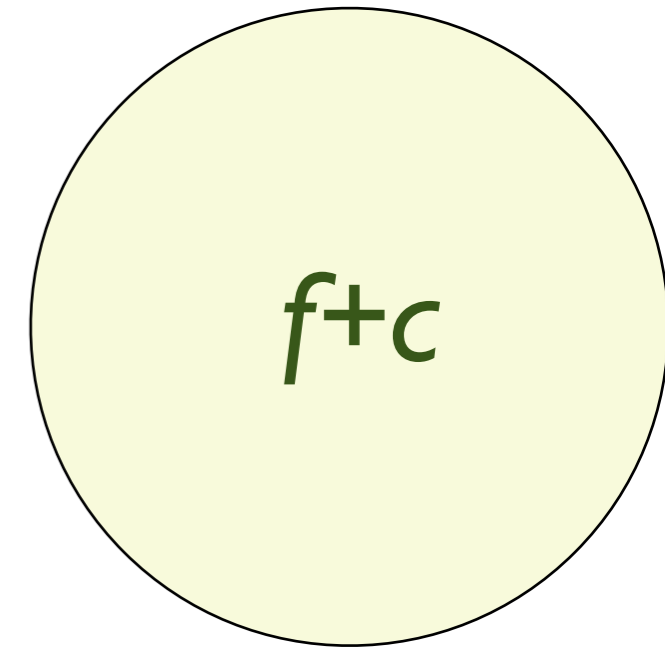
$$\langle \vec{\varphi} \rangle \neq 0$$

Magnetic Metal:
f-electron moments
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Fermi surface



$$\langle \vec{\varphi} \rangle = 0$$

Fractionalized Fermi
liquid (FL*) phase
with no symmetry
breaking and “small”
Fermi surface

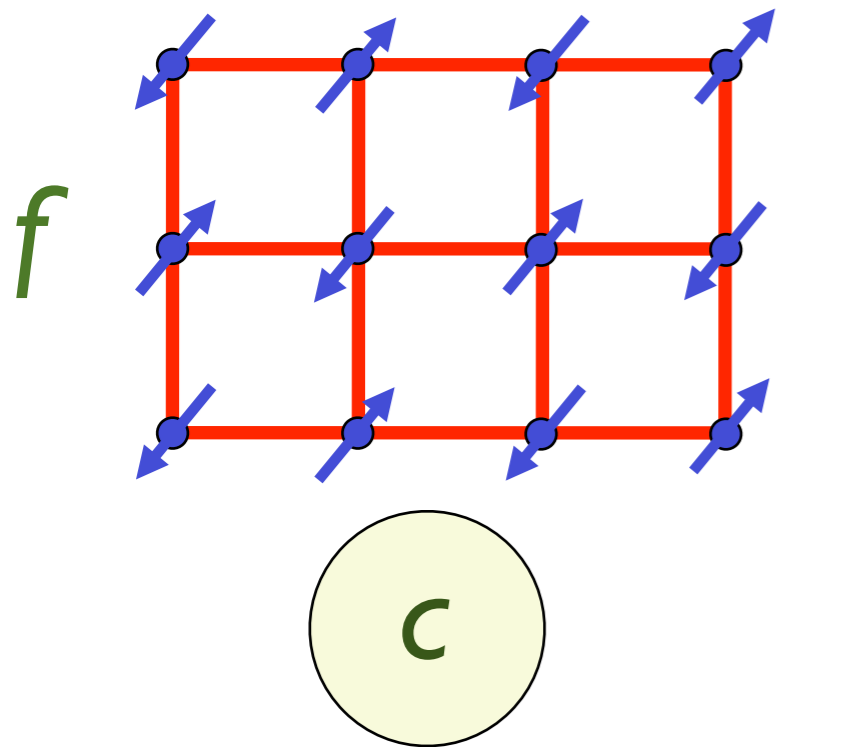


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Heavy Fermi liquid
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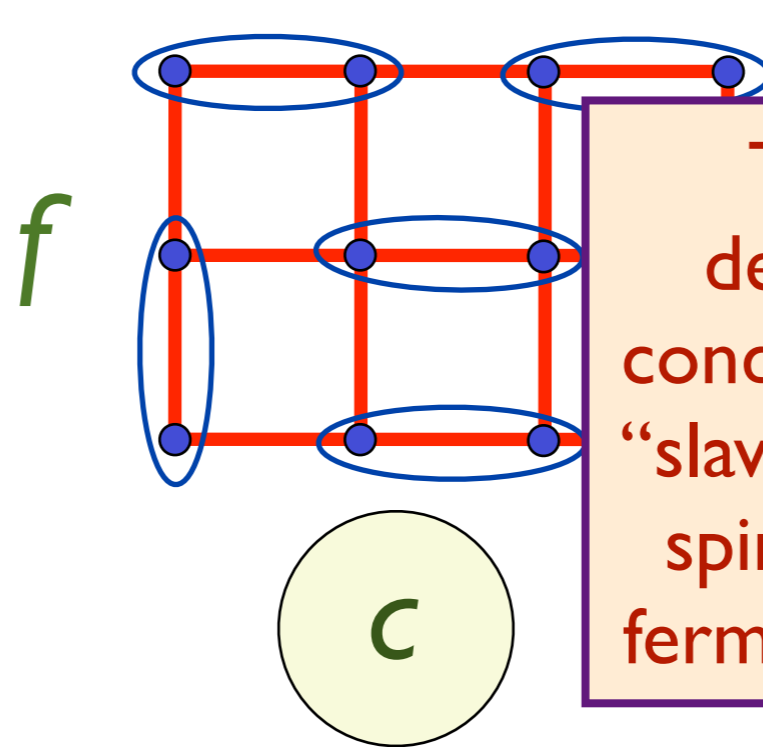
T. Senthil, S. Sachdev, and M. Vojta, *Phys. Rev. Lett.* **90**, 216403 (2003)

Separating onset of SDW order and the heavy Fermi liquid in the Kondo lattice



$$\langle \vec{\varphi} \rangle \neq 0$$

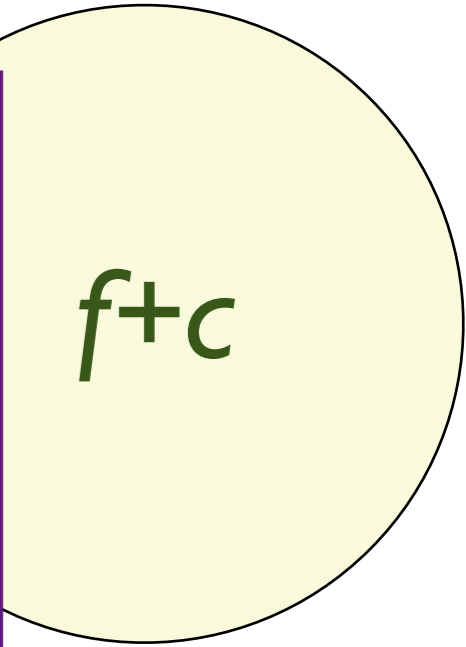
Magnetic Metal:
 f -electron moments
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 Fermi surface



$$\langle \vec{\varphi} \rangle = 0$$

Fractionalized Fermi
 liquid (FL*) phase
 with no symmetry
 breaking and “small”
 Fermi surface

Transition
 described by
 condensation of a
 “slave boson” in a
 spin liquid with
 fermionic spinons

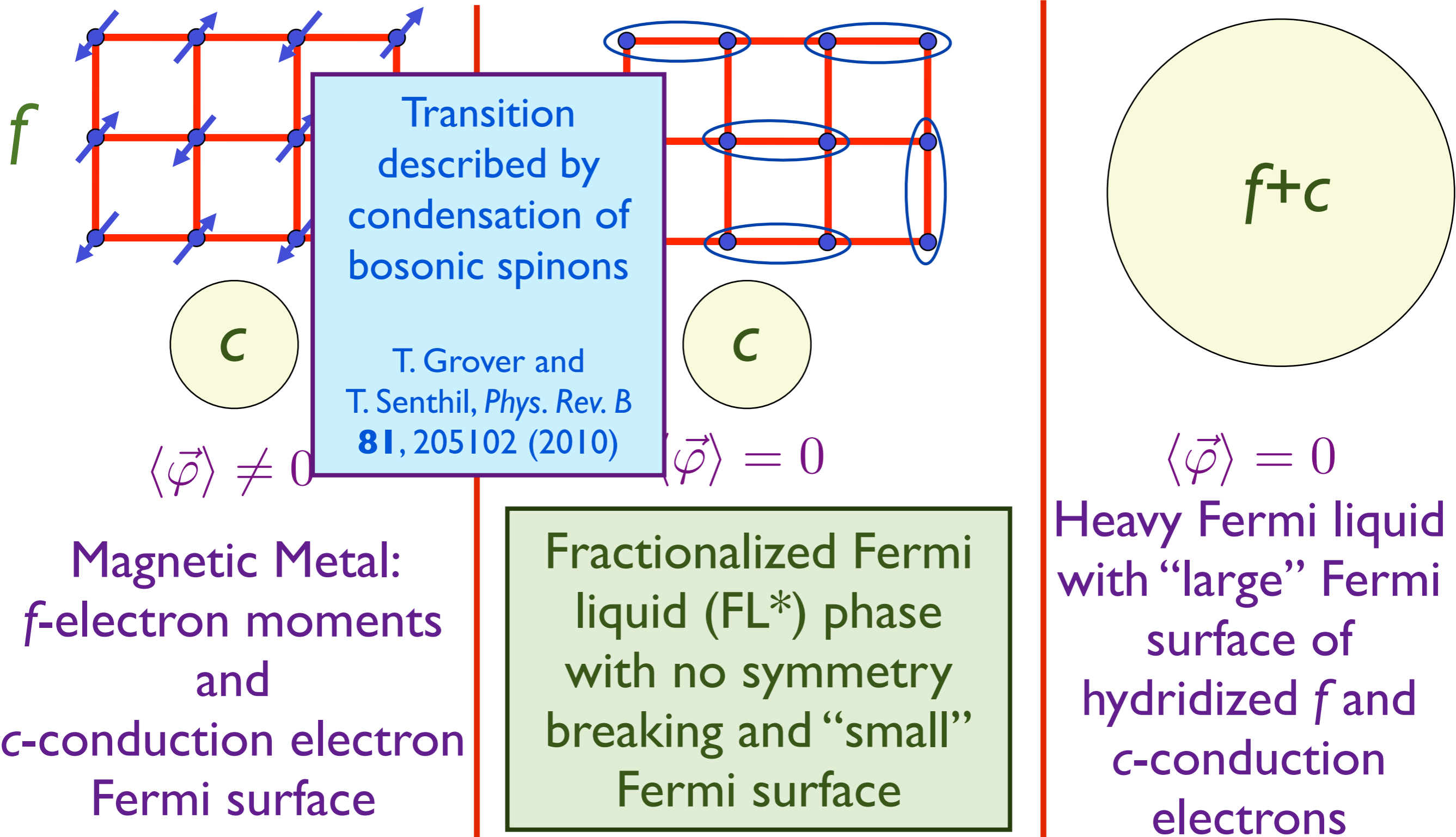


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Heavy Fermi liquid
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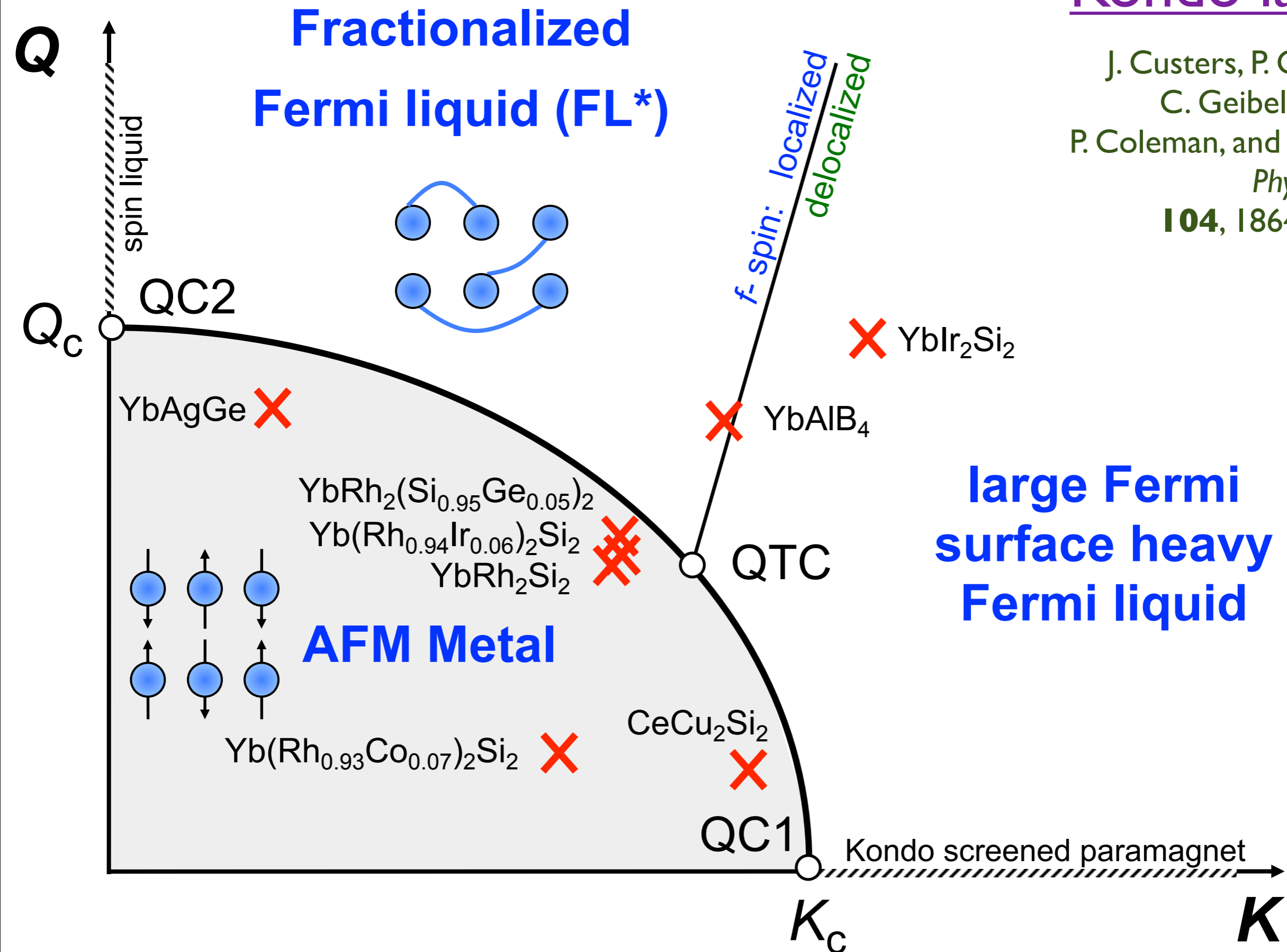
Separating onset of SDW order and the heavy Fermi liquid in the Kondo lattice

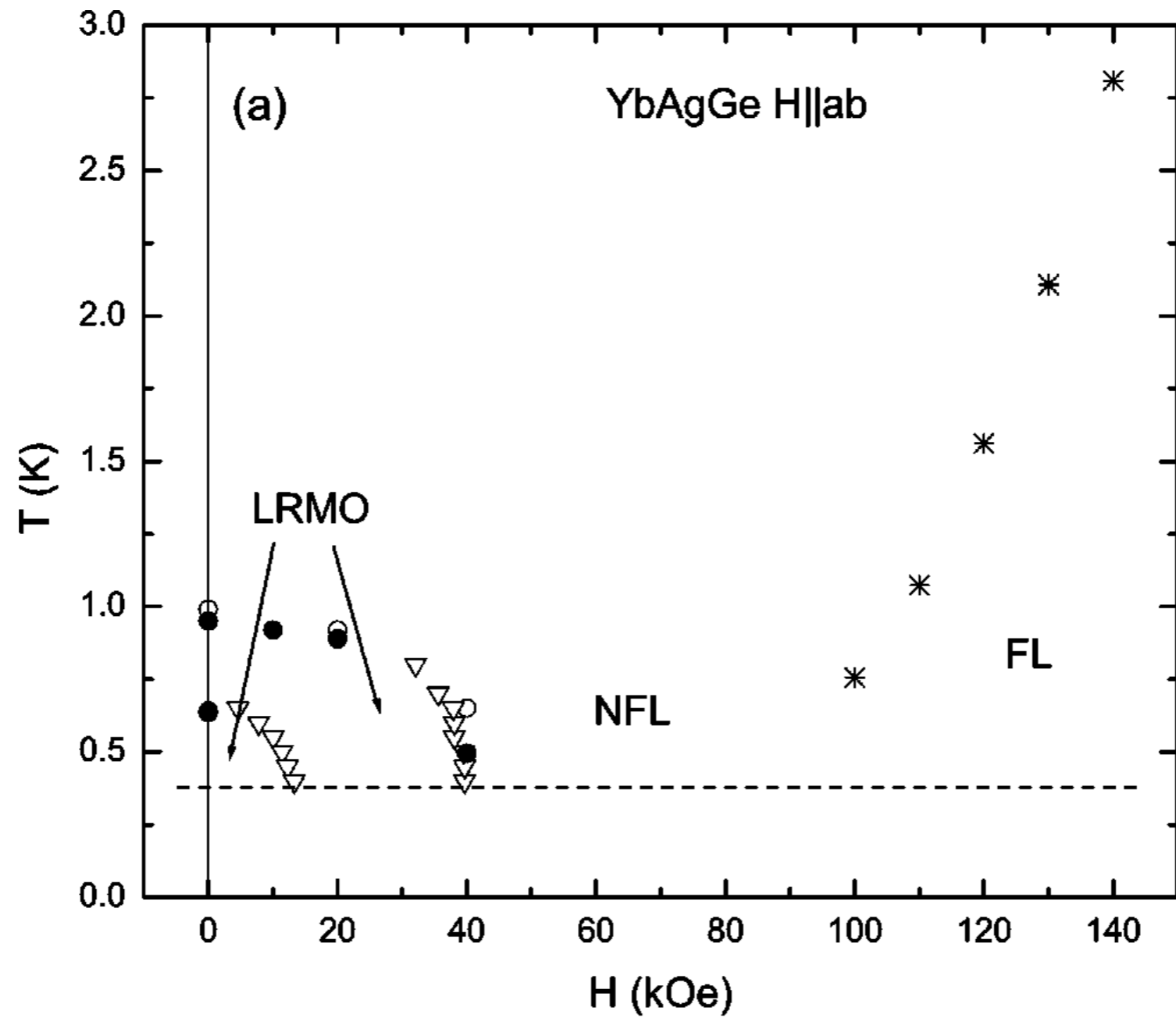


T. Senthil, S. Sachdev, and M. Vojta, *Phys. Rev. Lett.* **90**, 216403 (2003)

Experimental perspective on same phase diagrams of Kondo lattice

J. Custers, P. Gegenwart,
C. Geibel, F. Steglich,
P. Coleman, and S. Paschen,
Phys. Rev. Lett.
104, 186402 (2010)

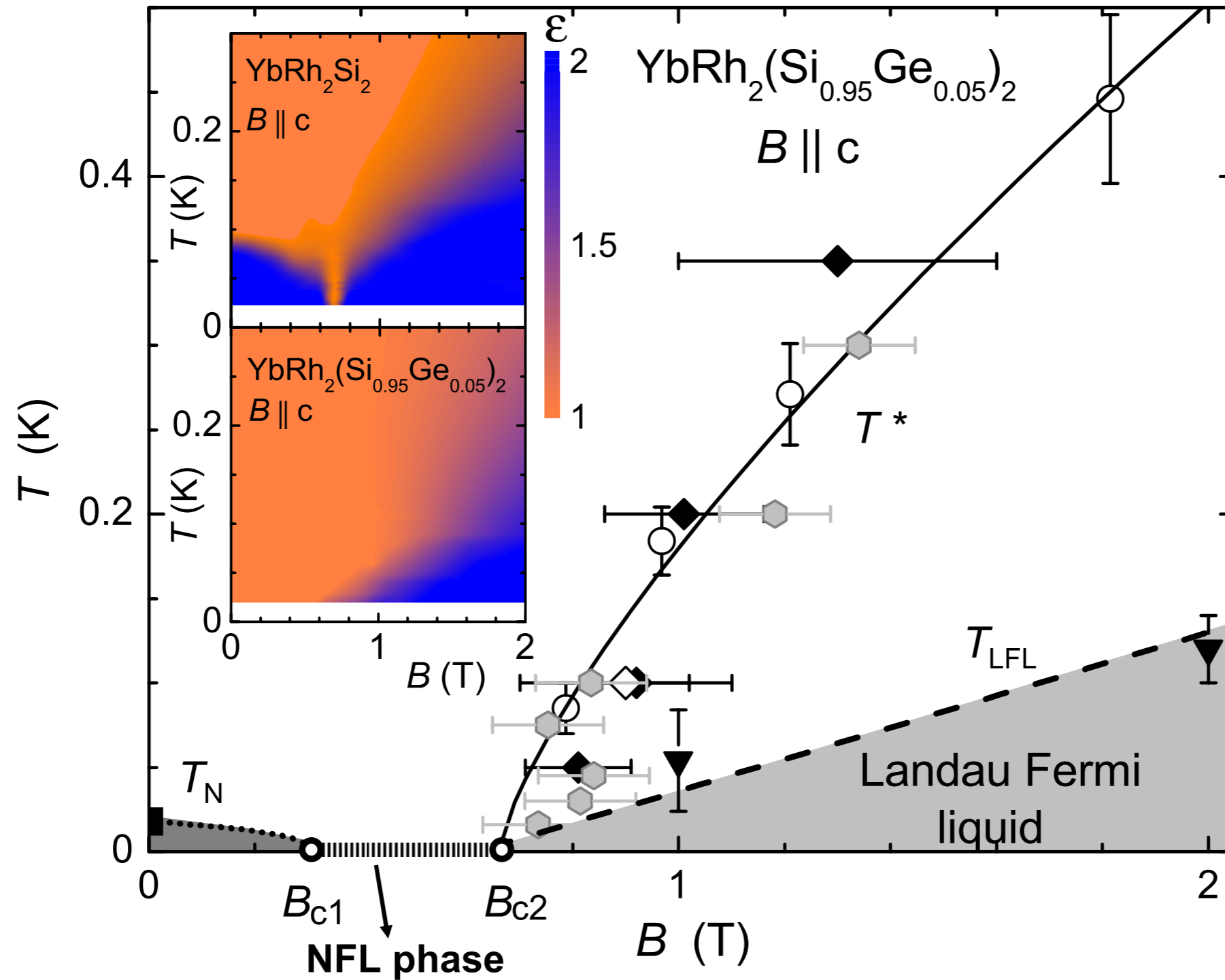




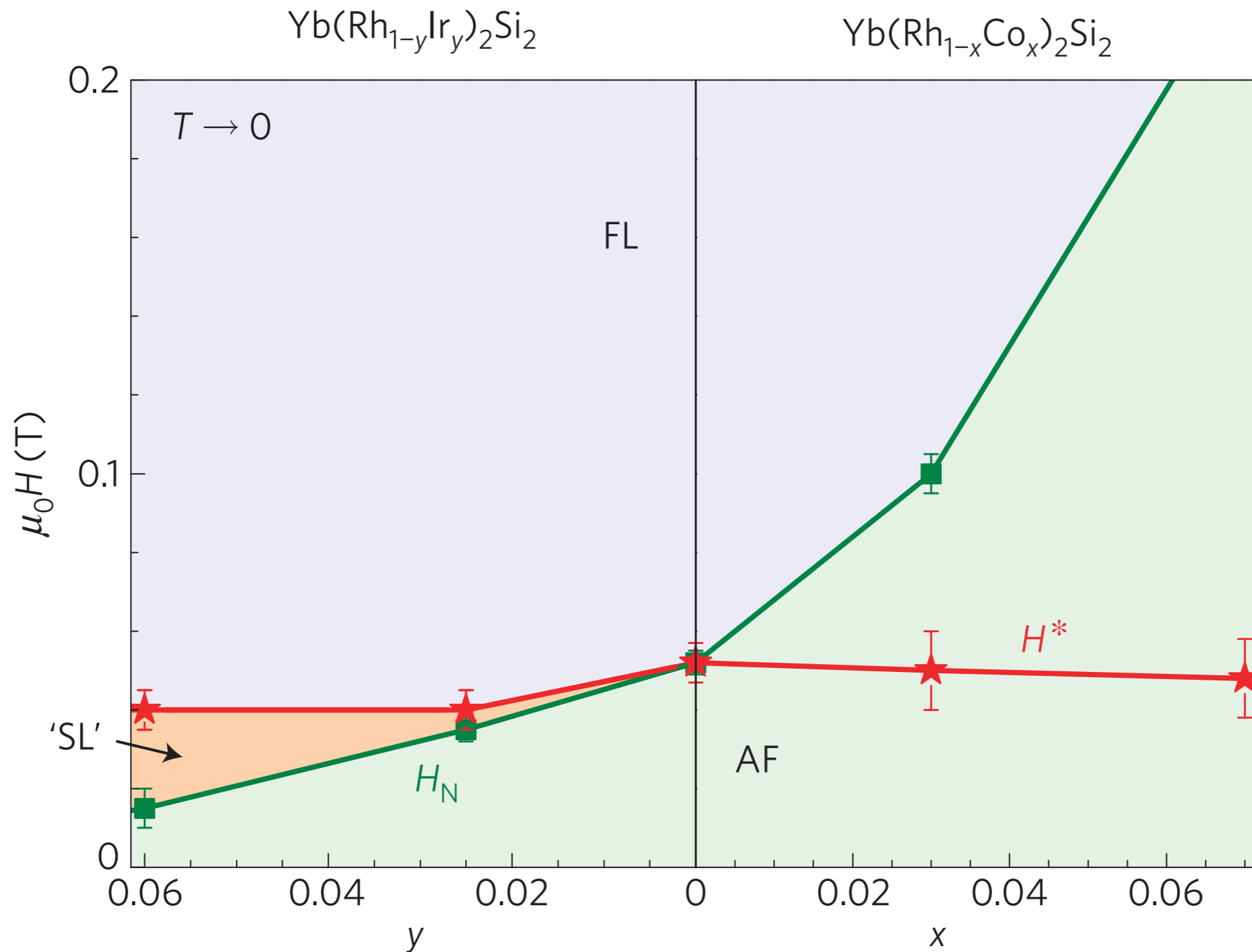
PHYSICAL REVIEW B **69**, 014415 (2004)

Magnetic field induced non-Fermi-liquid behavior in YbAgGe single crystals

S. L. Bud'ko,¹ E. Morosan,^{1,2} and P. C. Canfield^{1,2}



J. Custers, P. Gegenwart, C. Geibel, F. Steglich, P. Coleman, and S. Paschen,
Phys. Rev. Lett. **104**, 186402 (2010)

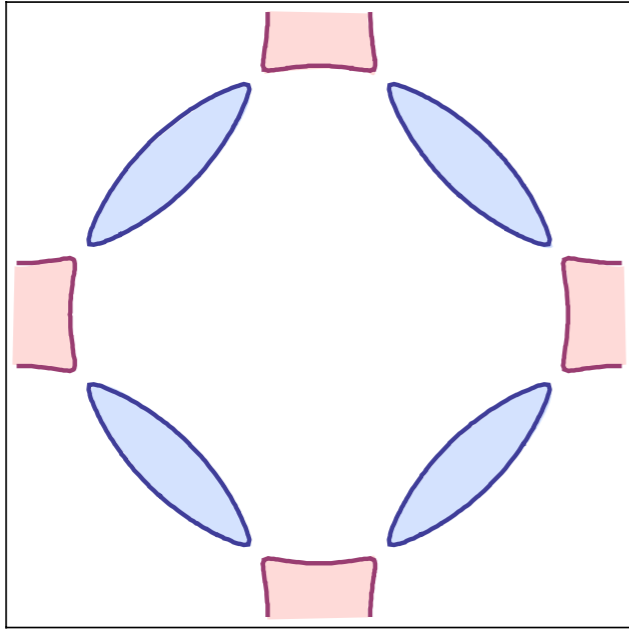


Detaching the antiferromagnetic quantum critical point from the Fermi-surface reconstruction in YbRh₂Si₂

Nature Physics 5, 465 (2009)

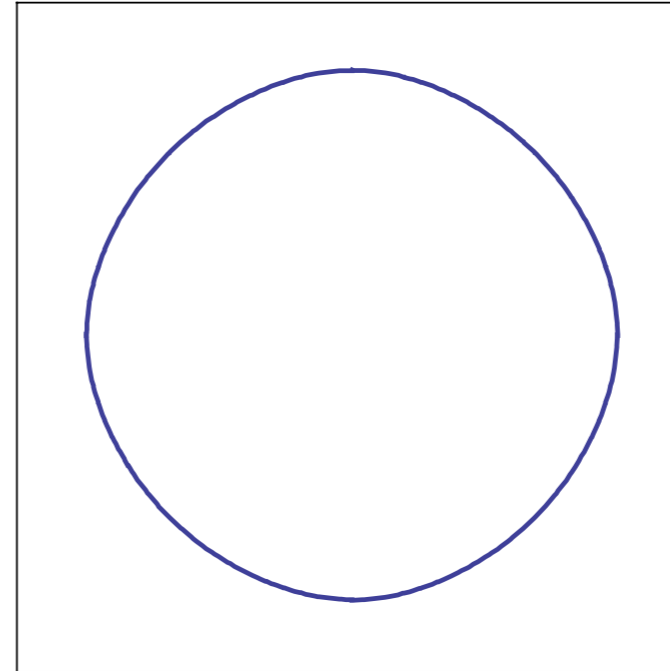
S. Friedemann^{1*}, T. Westerkamp¹, M. Brando¹, N. Oeschler¹, S. Wirth¹, P. Gegenwart^{1,2}, C. Krellner¹, C. Geibel¹ and F. Steglich^{1*}

Fermi surface reconstruction in a single band model



$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron
and hole pockets

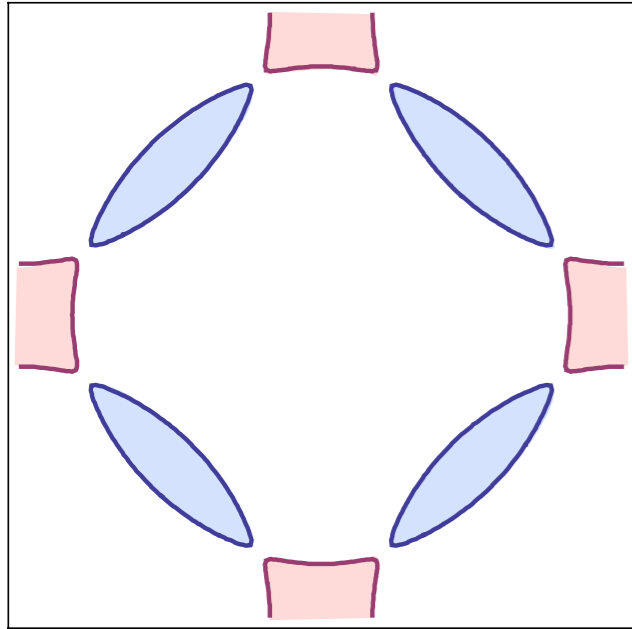


$$\langle \vec{\varphi} \rangle = 0$$

Metal with “large”
Fermi surface

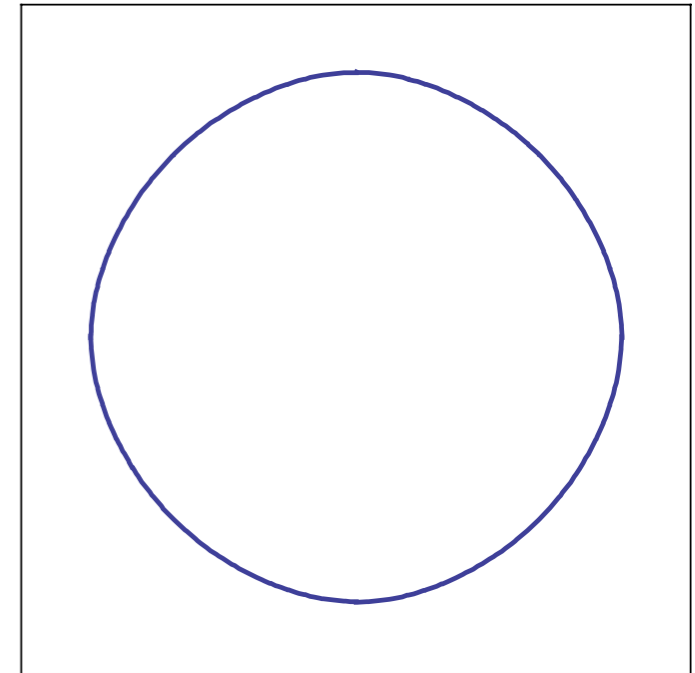


Separating onset of SDW order and Fermi surface reconstruction



$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron
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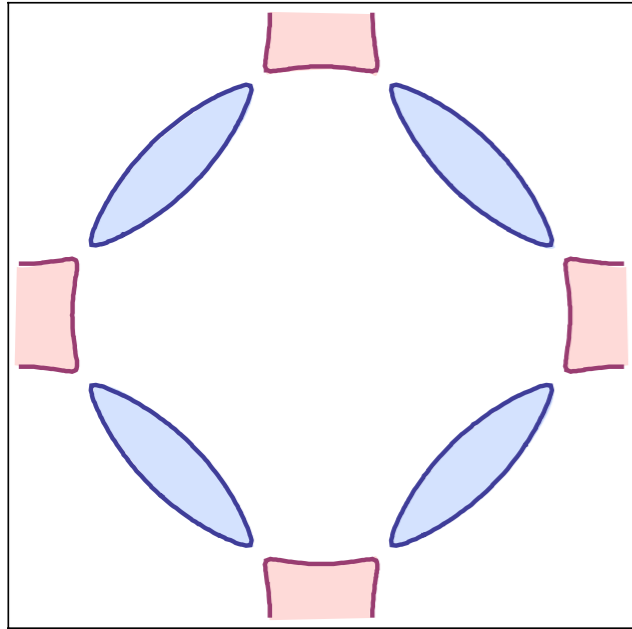


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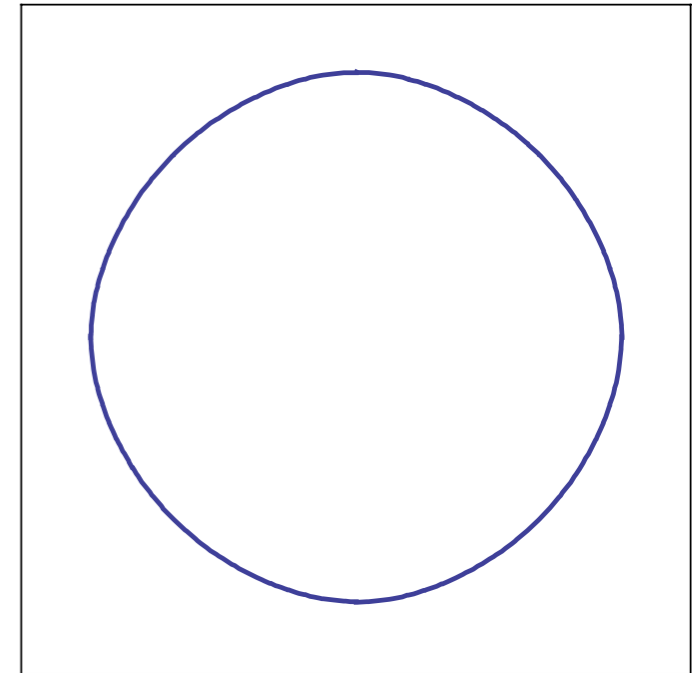
$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron
and hole pockets

Electron and/or hole
Fermi pockets form in
“local” SDW order, but
quantum fluctuations
destroy long-range
SDW order

$$\langle \vec{\varphi} \rangle = 0$$

Fractionalized Fermi
liquid (FL*) phase
with no symmetry
breaking and “small”
Fermi surface

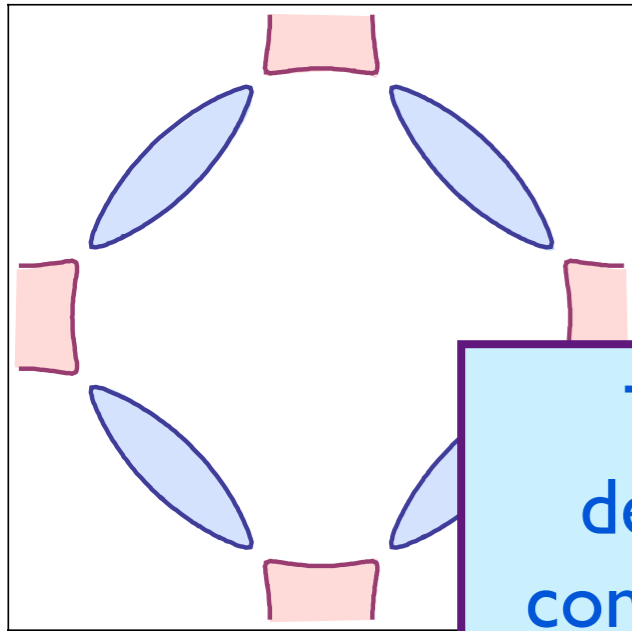


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Metal with “large”
Fermi surface

Y. Qi and S. Sachdev, *Physical Review B* **81**, 115129 (2010); M. Punk and S. Sachdev, to appear;
see also T. C. Ribeiro and X.-G. Wen, *Physical Review B* **74**, 155113 (2006)

Separating onset of SDW order and Fermi surface reconstruction

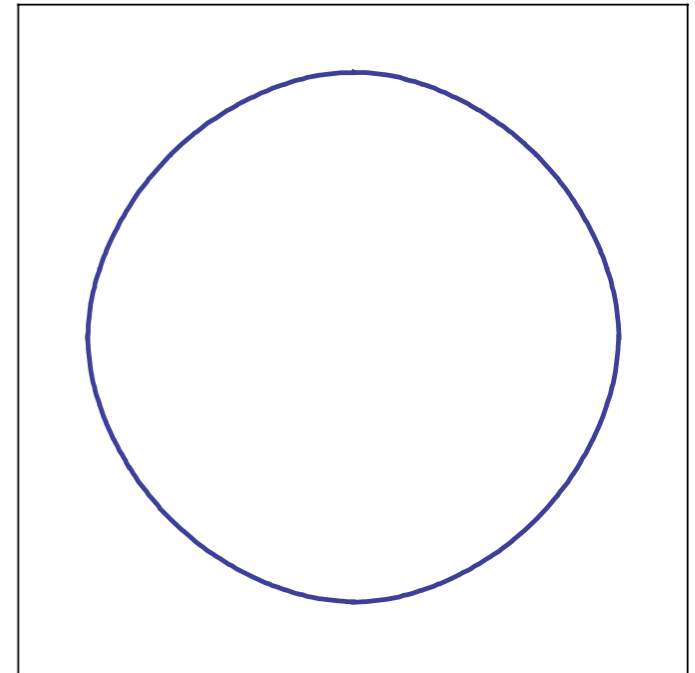


$$\langle \vec{\varphi} \rangle \neq 0$$

Transition described by condensation of bosonic spinons

Electron and/or hole Fermi pockets form in “local” SDW order, but quantum fluctuations destroy long-range SDW order

$$\langle \vec{\varphi} \rangle = 0$$



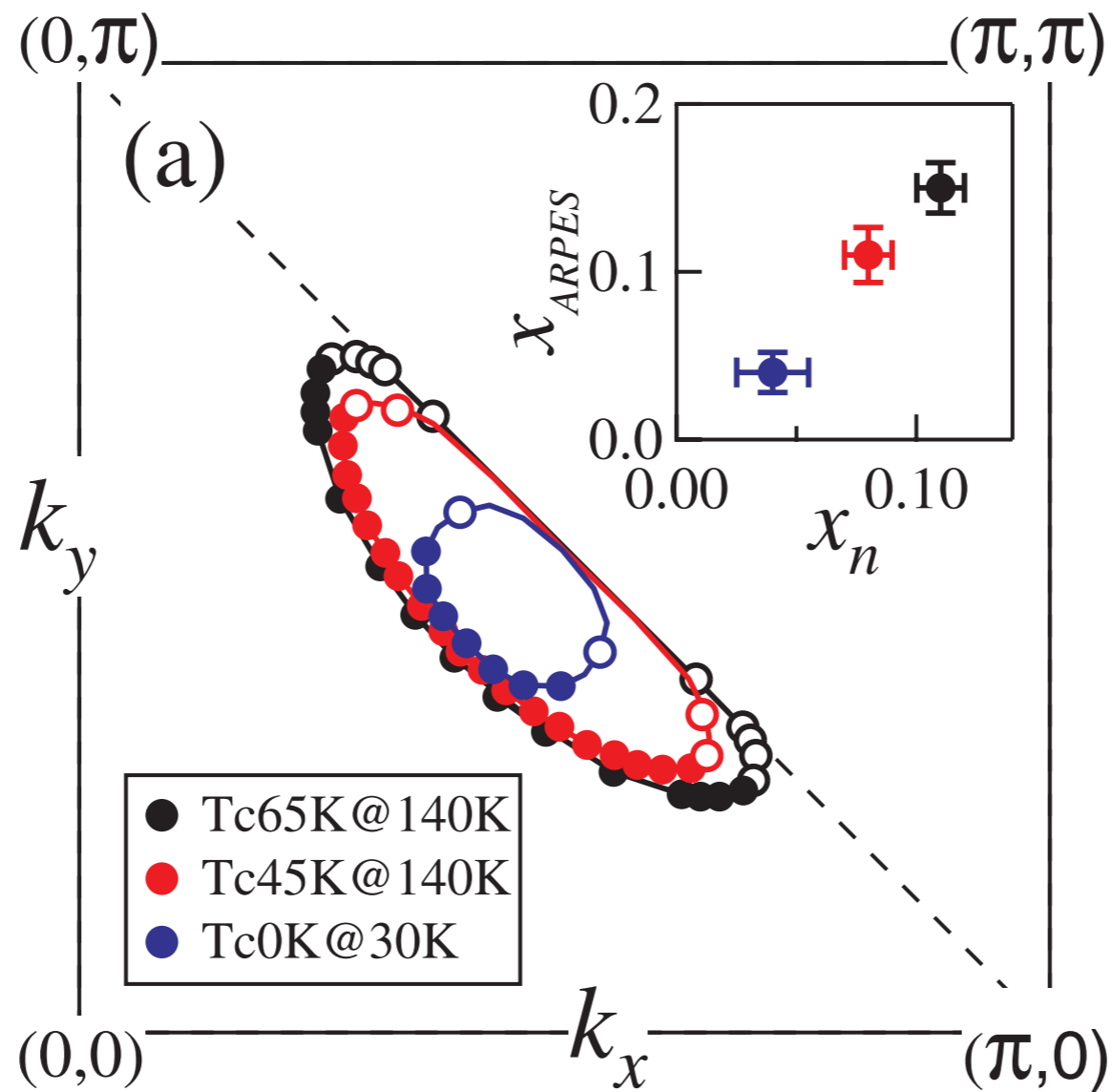
$$\langle \vec{\varphi} \rangle = 0$$

Metal with electron and hole pockets

Fractionalized Fermi liquid (FL*) phase with no symmetry breaking and “small” Fermi surface

Metal with “large” Fermi surface

Y. Qi and S. Sachdev, *Physical Review B* **81**, 115129 (2010); M. Punk and S. Sachdev, to appear; see also T. C. Ribeiro and X.-G. Wen, *Physical Review B* **74**, 155113 (2006)



Reconstructed Fermi Surface of Underdoped $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$ Cuprate Superconductors

H.-B. Yang,¹ J. D. Rameau,¹ Z.-H. Pan,¹ G. D. Gu,¹ P. D. Johnson,¹ H. Claus,² D. G. Hinks,² and T. E. Kidd³

Conclusions

Quantum criticality and conformal field theories

- New insights and solvable models for diffusion and transport of strongly interacting systems near quantum critical points
- The description is far removed from, and complementary to, that of the quantum Boltzmann equation which builds on the quasiparticle/vortex picture.
- Prospects non-linear, and non-equilibrium transport

Conclusions

Compressible quantum matter

- Presented a holographic model of a Fermi liquid
- Fractionalized Fermi liquid (FL*), appears in deconfined gauge theories, holographic models, and lattice theories of the heavy-fermion compounds and cuprates superconductors.
- Numerous plausible sightings of the FL* phase in recent experiments