

# The Annular Modes in Weather and Climate: Tutorial/Discussion

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*University of Toronto*

# Topics

## What are the annular modes?

*Observations and features to explain.*

## Are the annular modes important?

*Theoretical and climate significance.*

## Dynamics & simple models of the annular modes

*Eddy mean-flow interactions, timescales, zonal structure.*

## Climate models of the annular modes & their climate responses

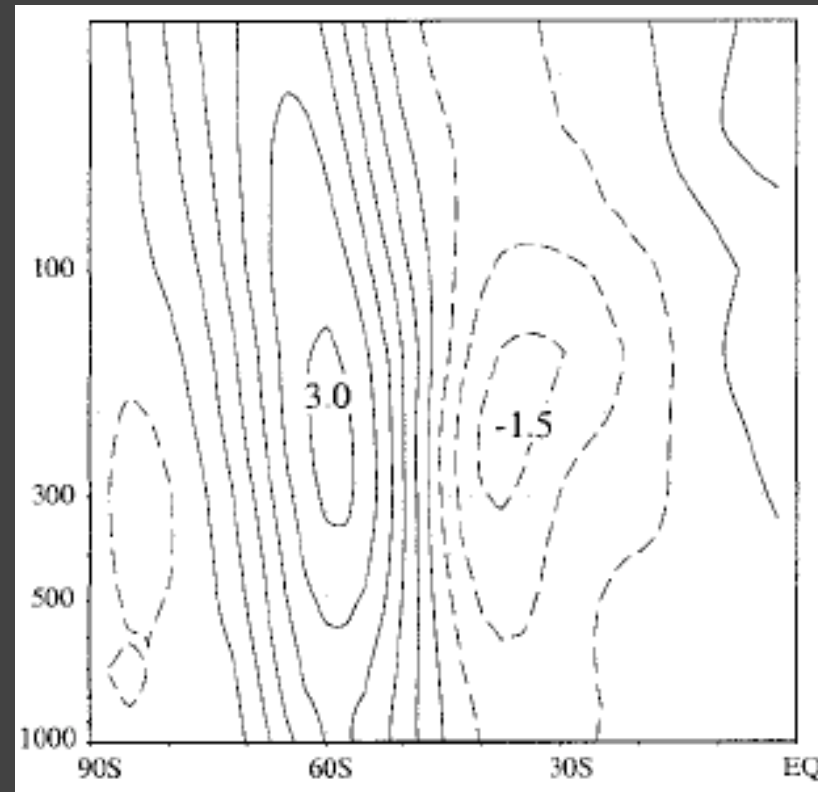
*(Time permitting)*

## What Are the Annular Modes?

- Annular mode: recent name for long-studied vacillations of zonal mean extratropical atmospheric circulation.
- Thompson & Wallace (1998, 2000, ...) brought out several new points and revitalized the field of extratropical atmospheric dynamics.
- We'll start with a discussion of the AMs from Thompson and Wallace (2000) and other papers.

# What Are the Annular Modes?

The annular mode signature in zonal wind, using all months of the year.



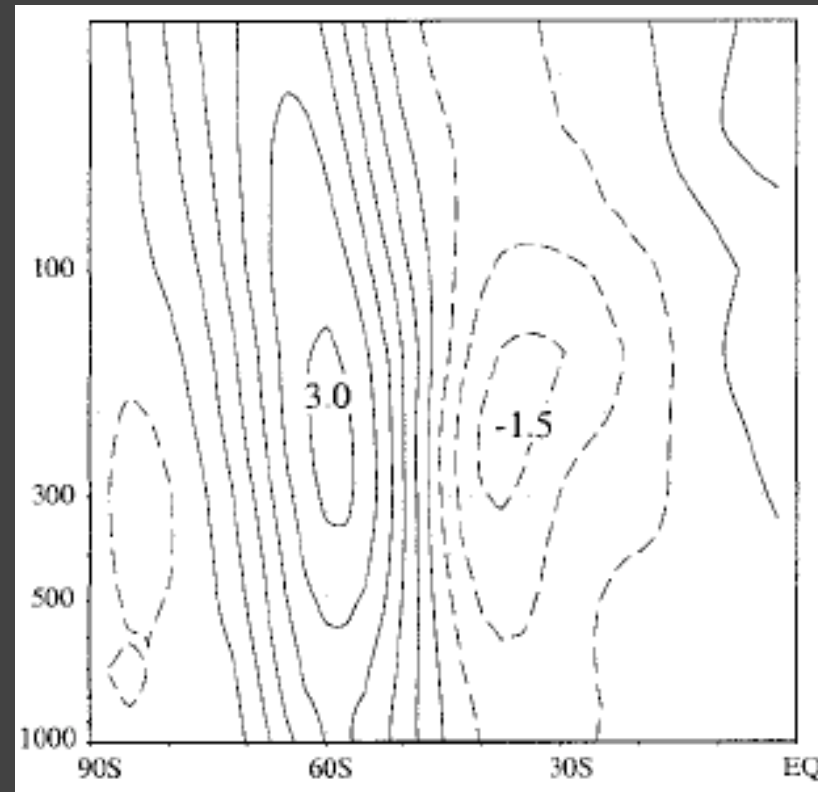
# What Are the Annular Modes?

## Method:

- Extract extratropical zonal mean wind or zonal-mean geopotential at some pressure level:  $g(y,t)$
- Apply areal weighting, remove seasonal cycle:  $g'(y,t)$
- From  $g'(y,t)$ , calculate leading EOF  $v(y)$  and principal component time series  $u(t)$ .
- Regress PC time series  $u(t)$  on various fields; here we show zonal wind.

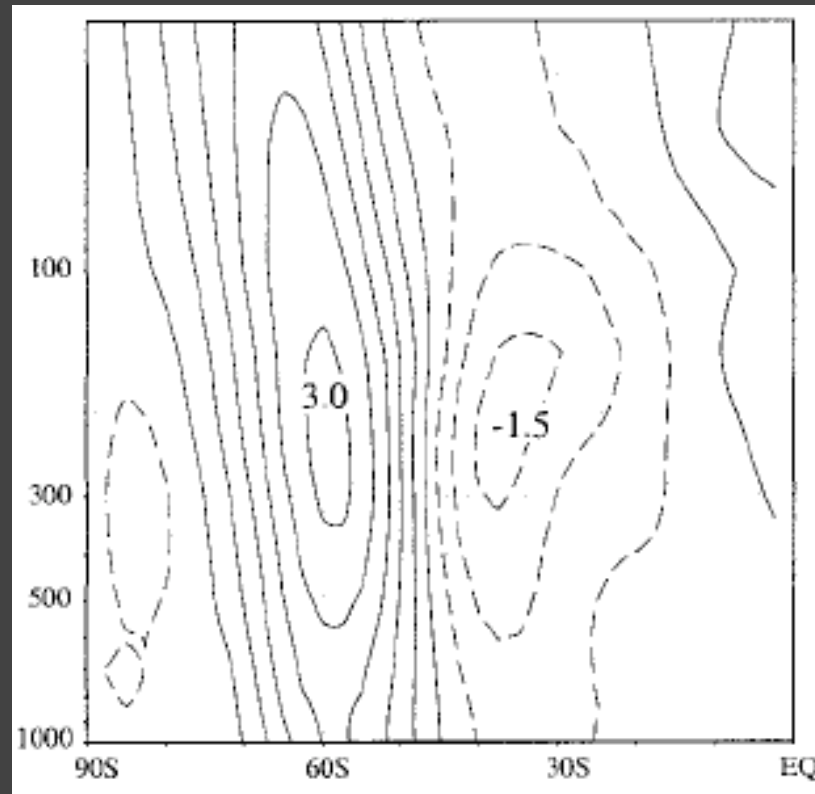
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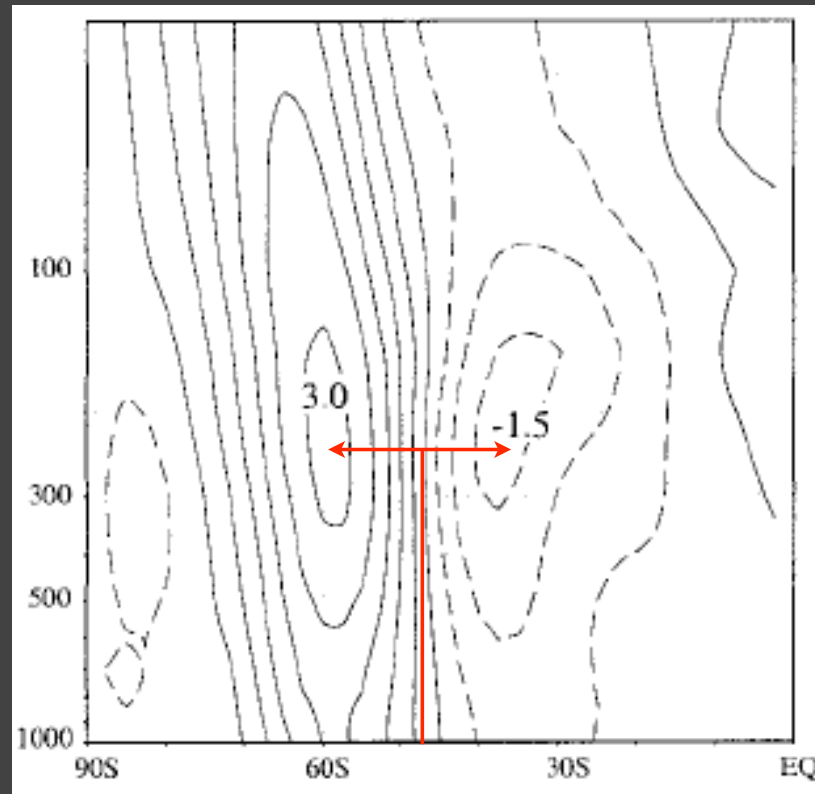


## Questions:

- Dipolar structure: what sets the scale of the dipole?
- Node at around  $45^{\circ}$  latitude: what sets this point?
- 2-3 m/s anomalies in month to month variability: what sets this amplitude?

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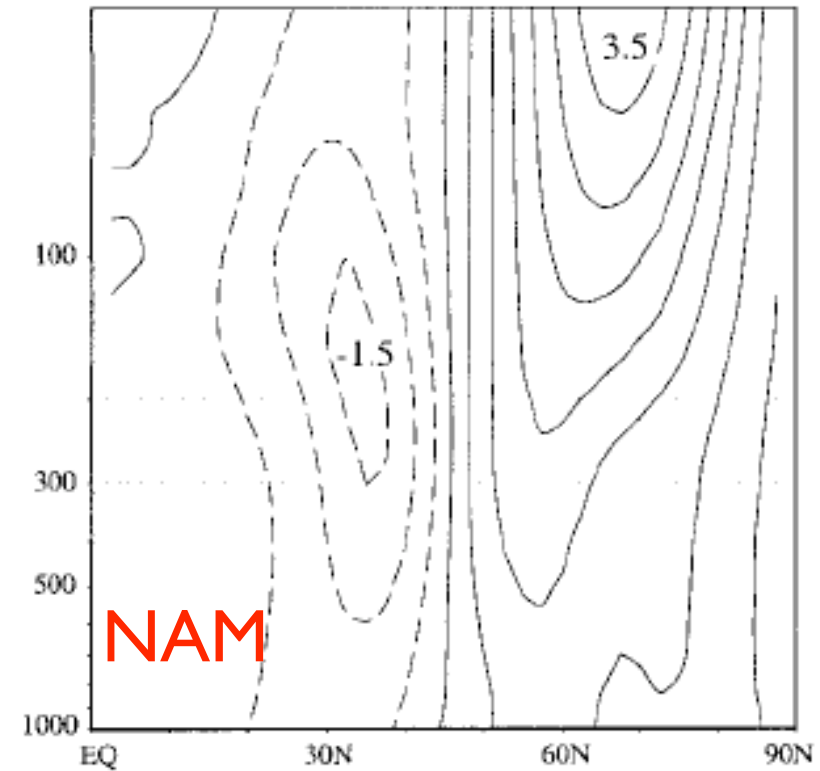
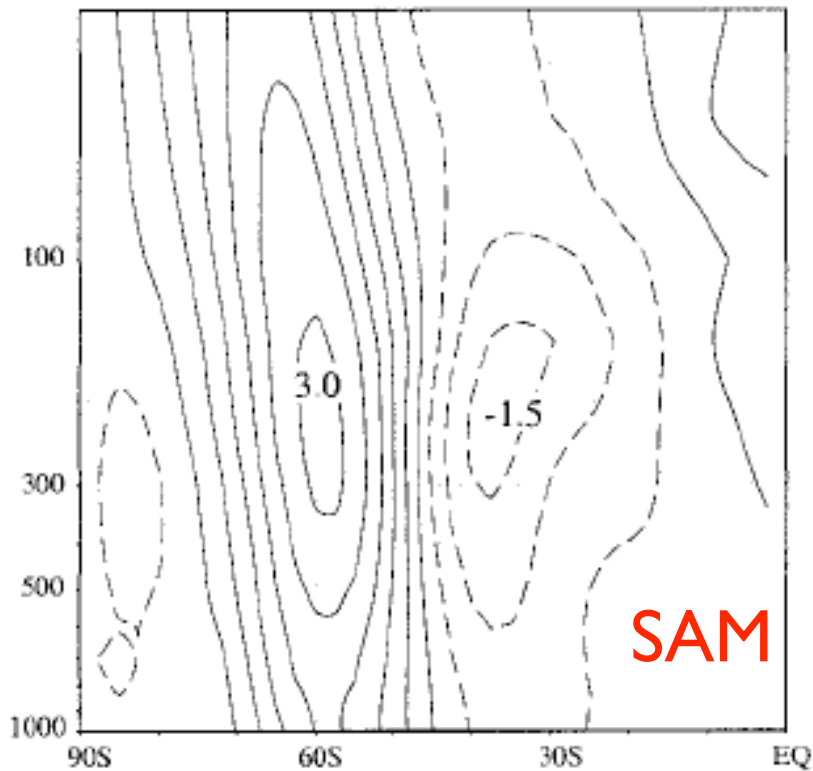


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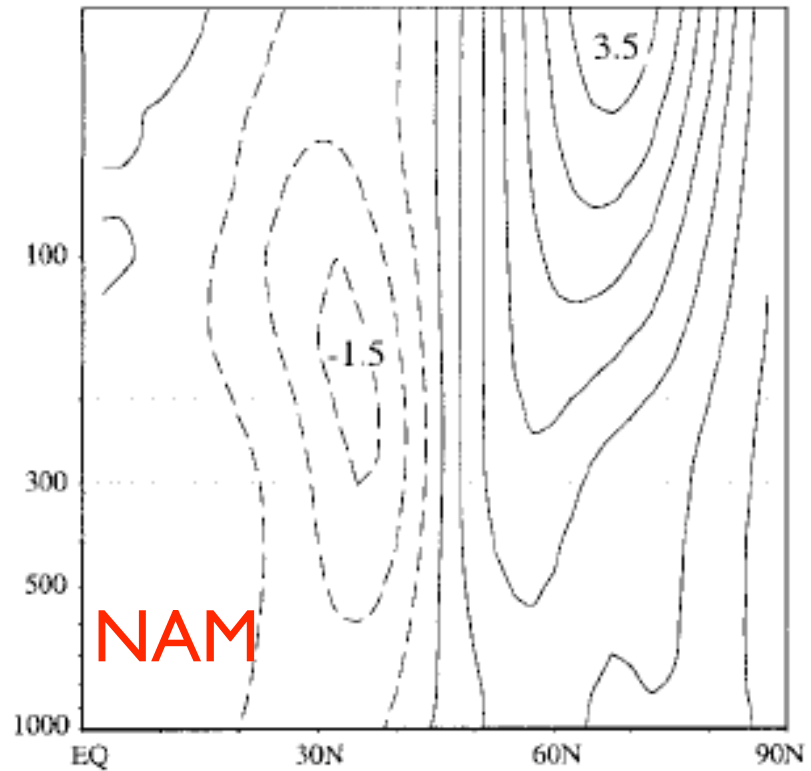
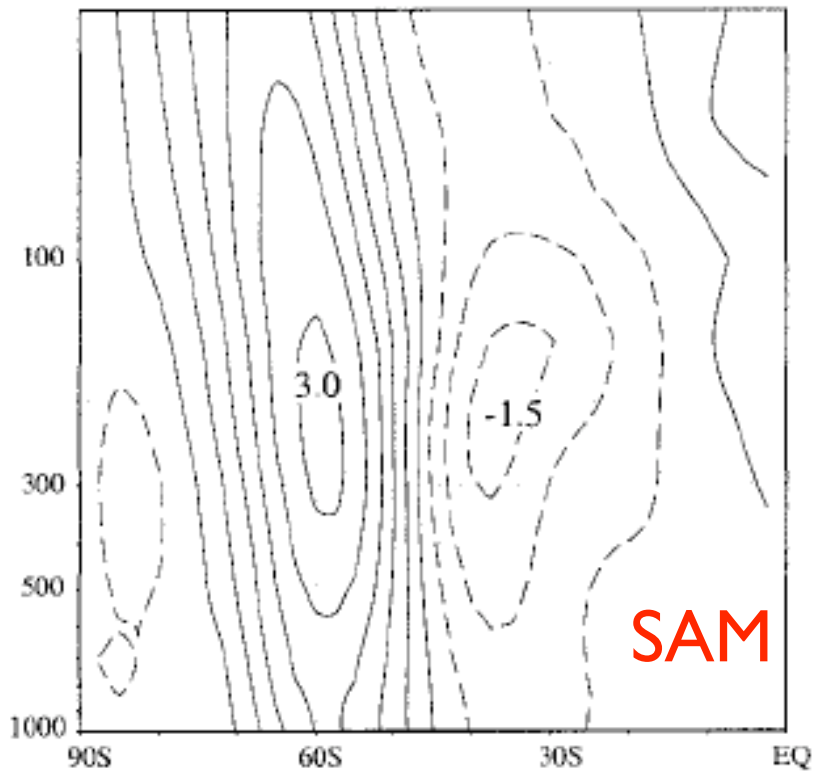


# What Are the Annular Modes?



We see tropospheric and stratospheric signatures.  
Tropospheric signatures are symmetric about the equator.

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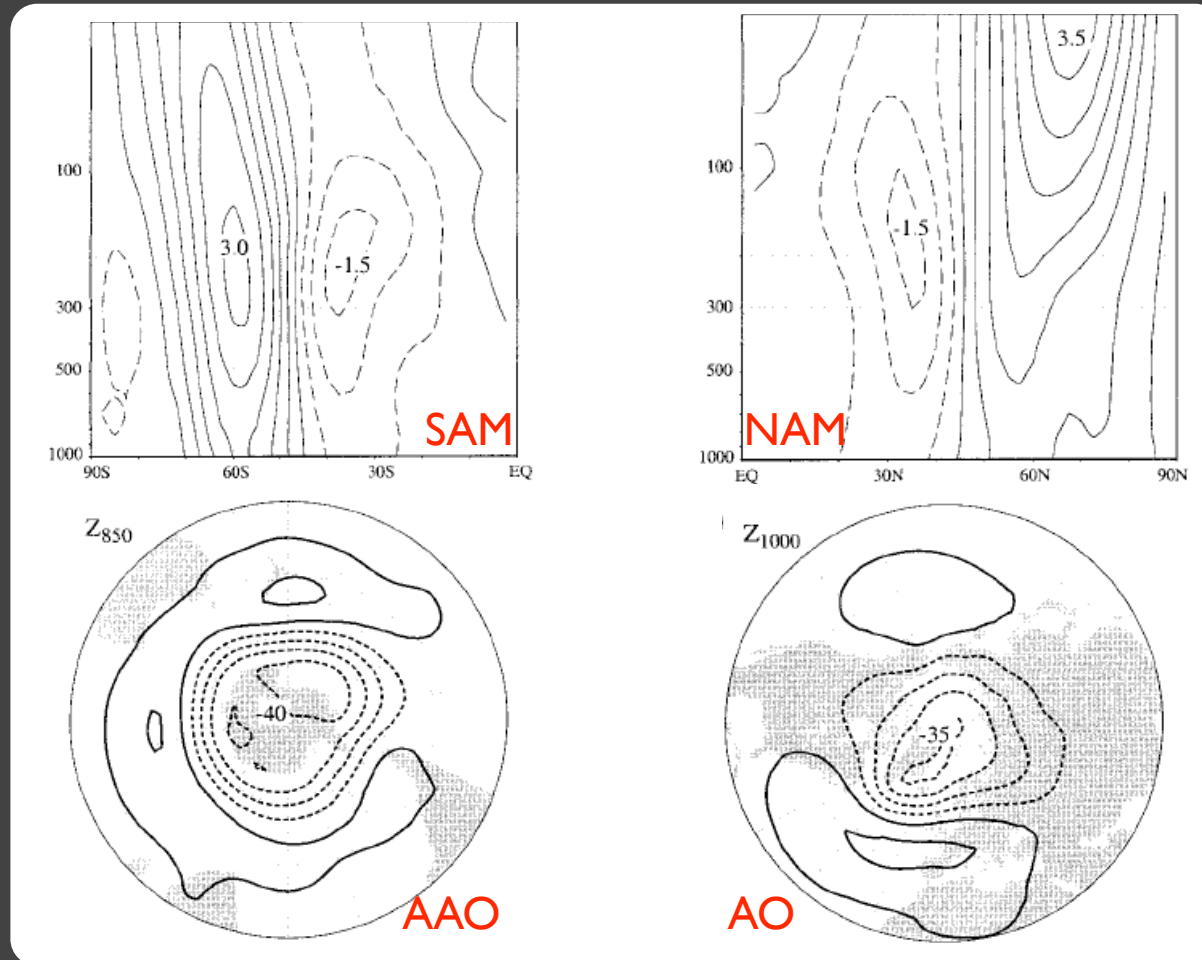


## Questions:

What kind of dynamics yields hemispheric symmetry?

Why is this symmetry absent in the stratosphere?

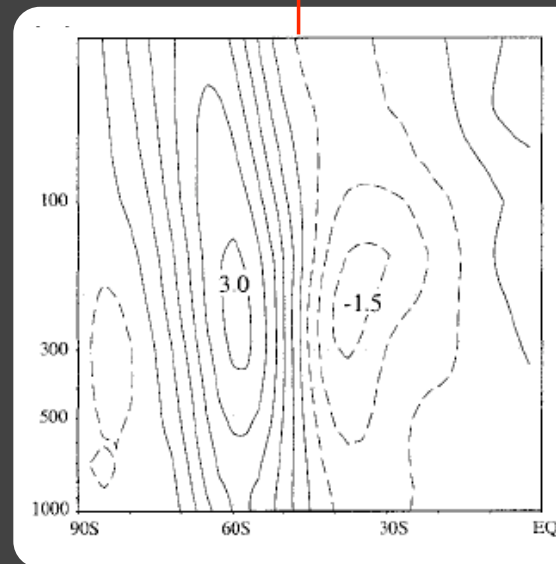
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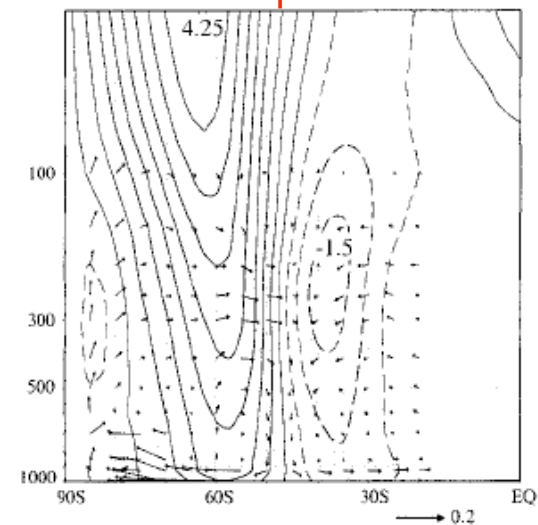
The regional patterns favour ocean basins.  
NAM/AO is a hemispheric version of the NAO.

# What Are the Annular Modes?

SAM, All Months



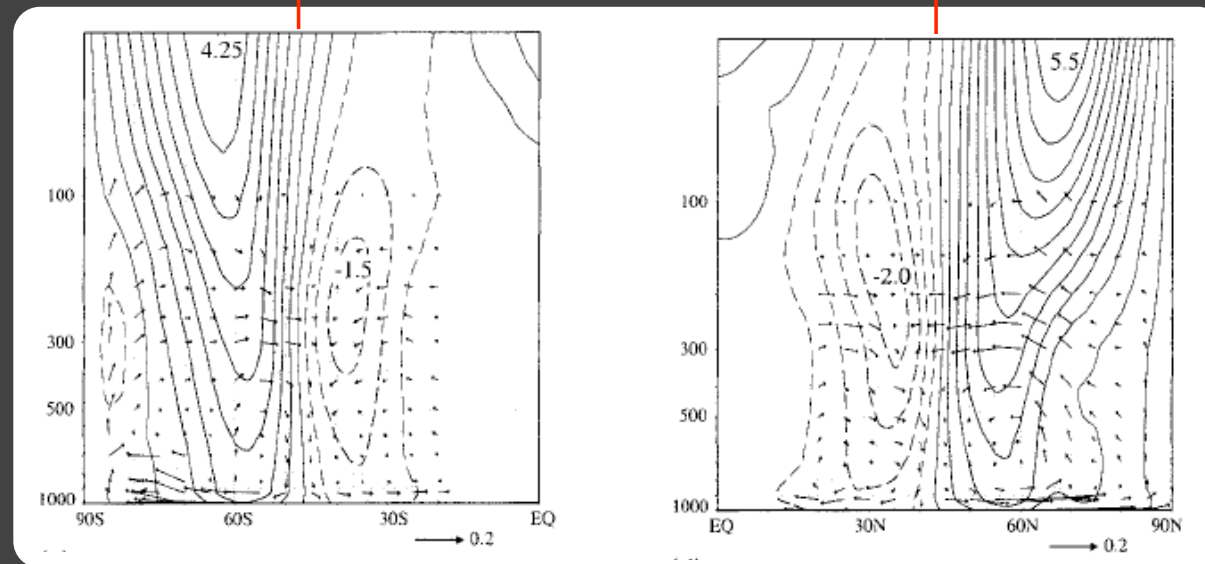
SAM, November



When the SH stratosphere is active, the SAM is no longer tropospherically trapped ...

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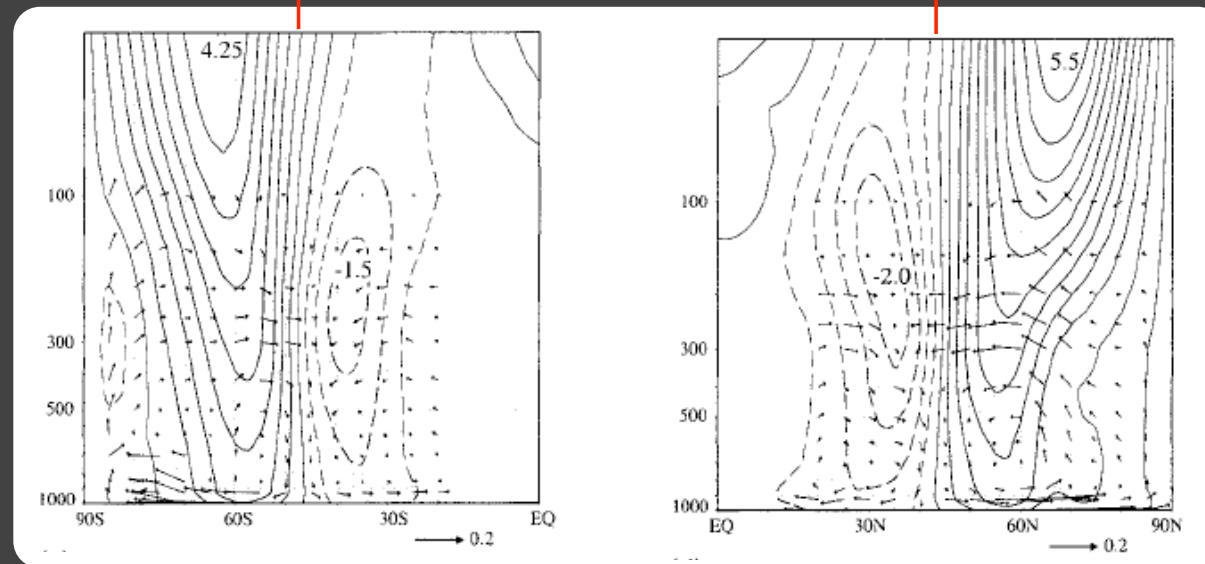
SAM, November      NAM, January–March



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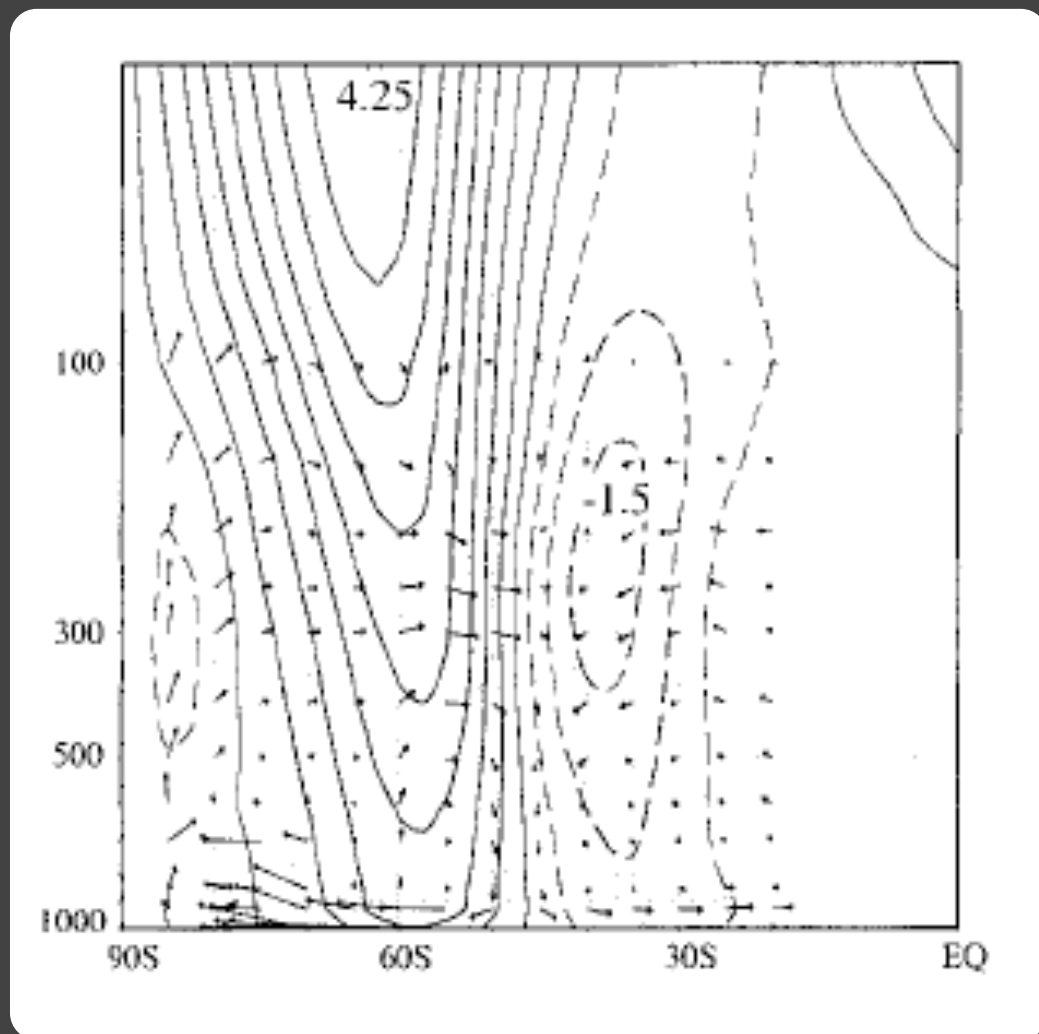
SAM, November      NAM, January–March



...and the hemispheric symmetry is apparent in both stratosphere and troposphere.

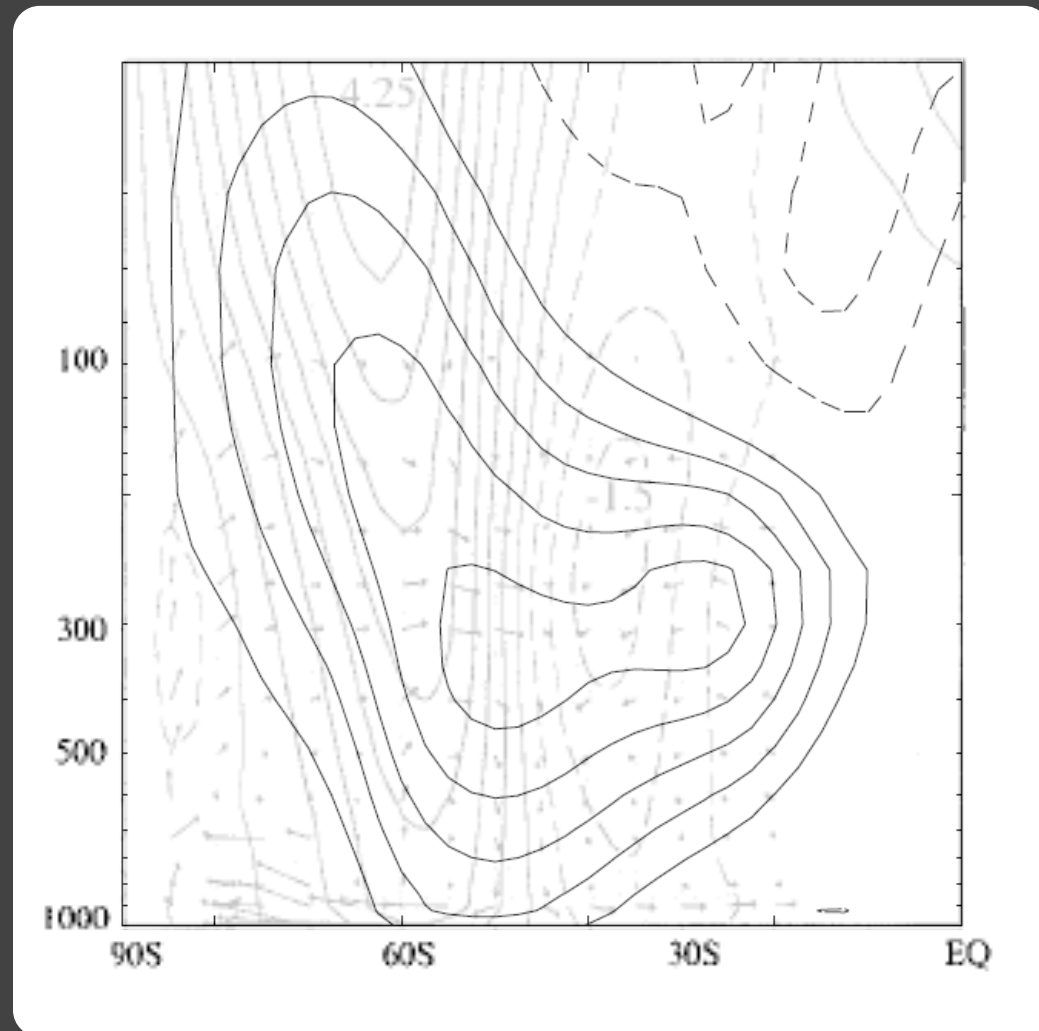
# Annular Modes: Modulating Jets

## SAM, November



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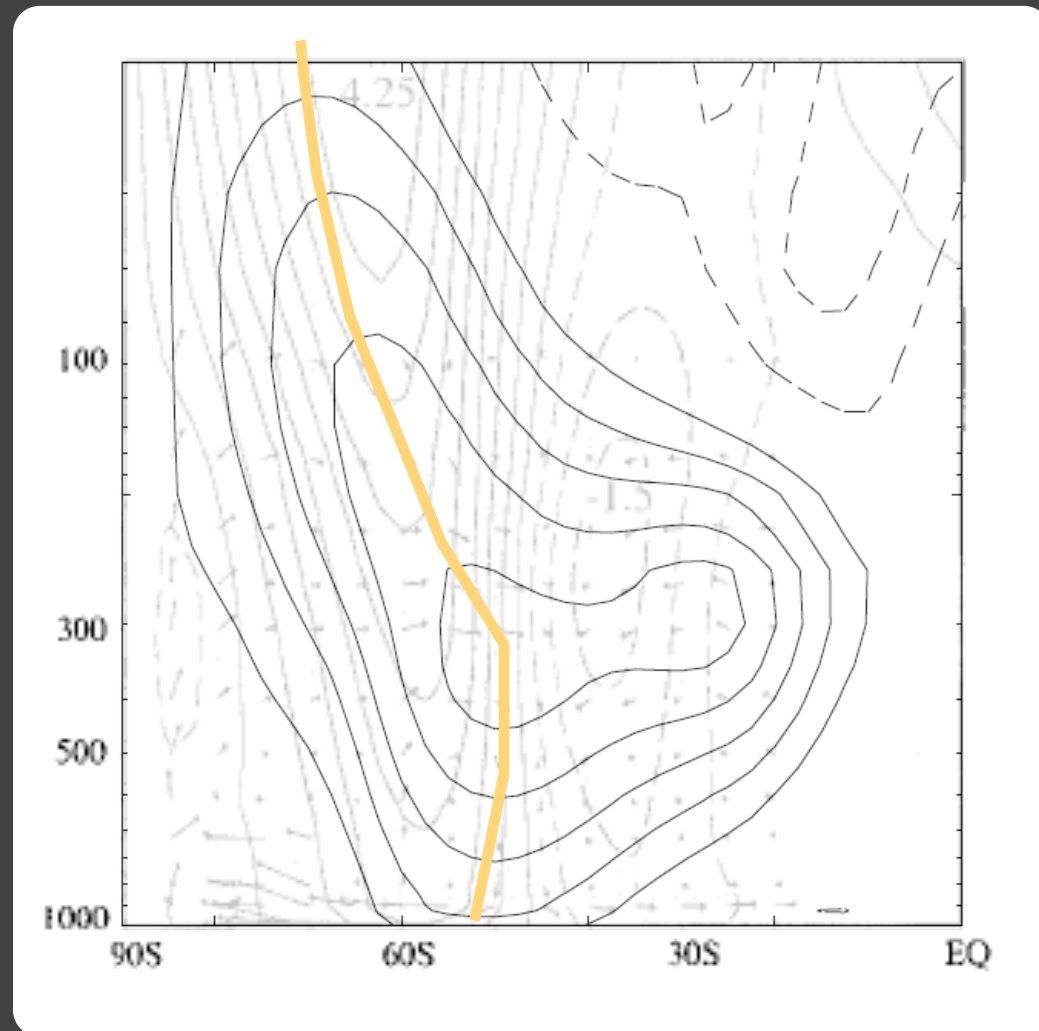
U, November





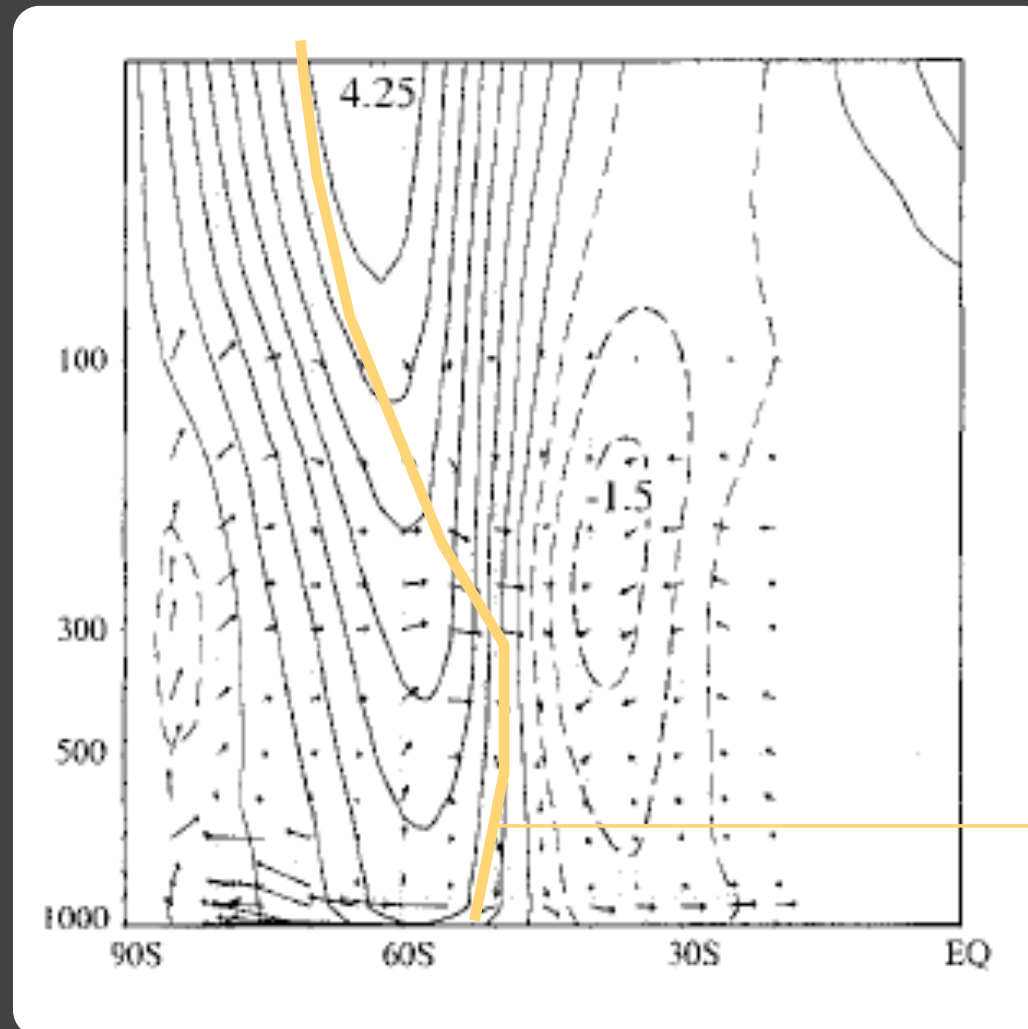
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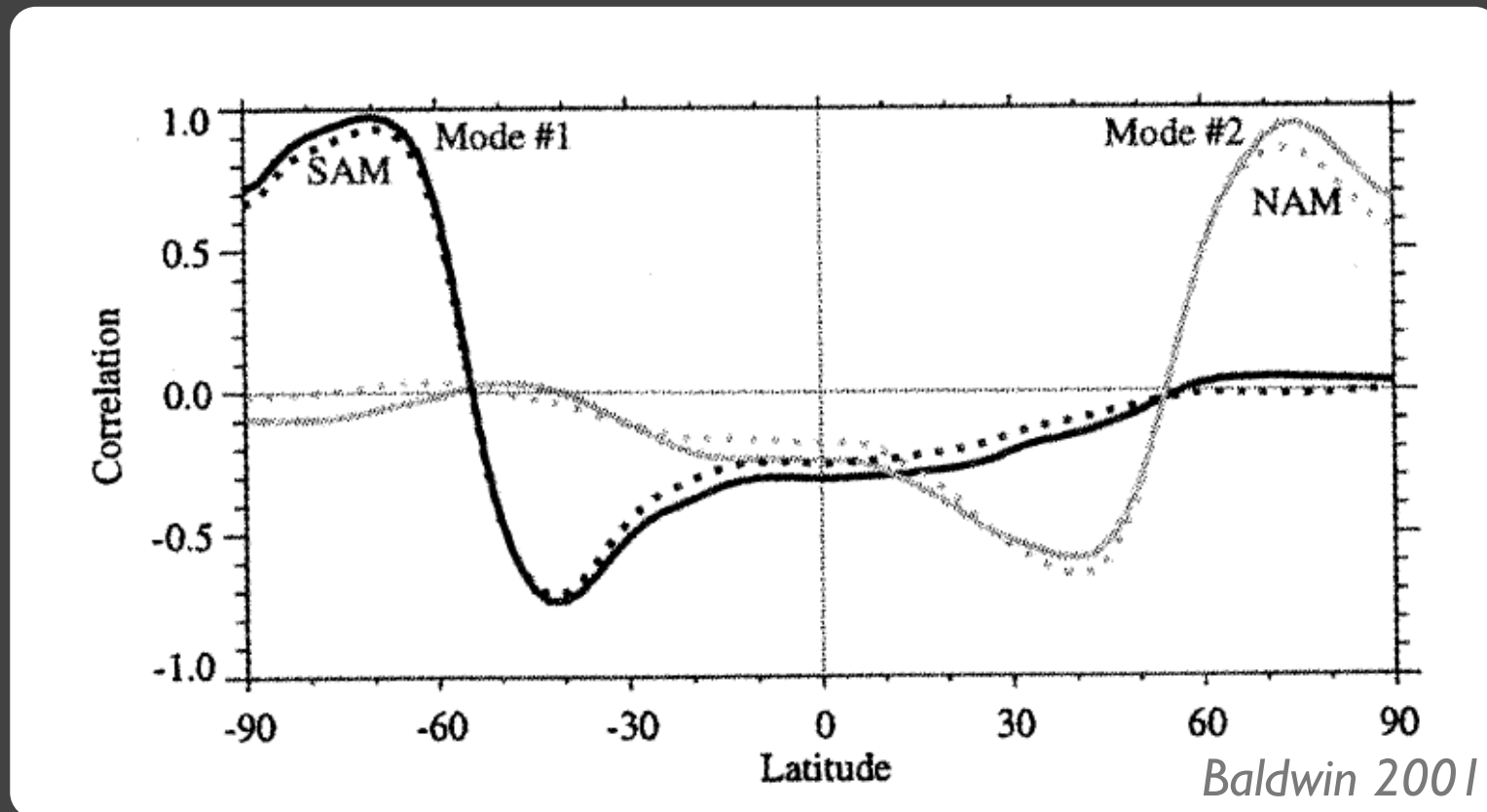
# Annular Modes: Modulating Jets

- In the troposphere, the AM is a north-south jet shift (this is a good description of the SAM).
- In the stratosphere, the AM is a weaker or stronger polar vortex.
- Positive AM: poleward shifted jet stream, stronger polar night jet, colder polar stratosphere.



Latitude  
of jet max

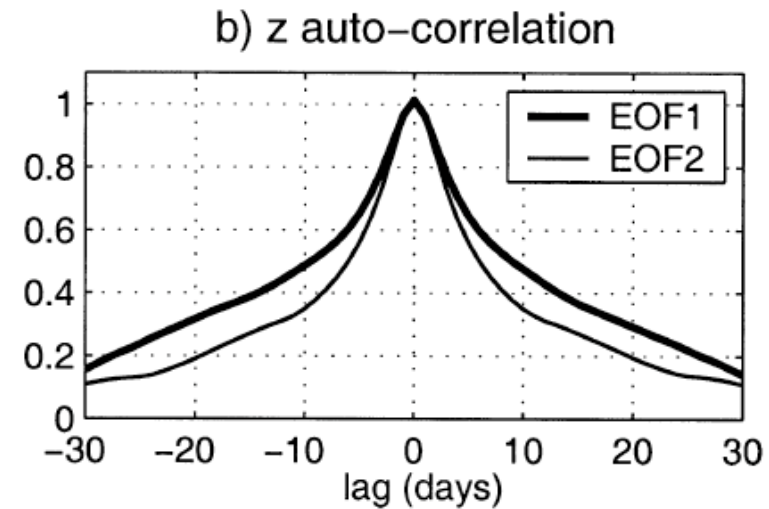
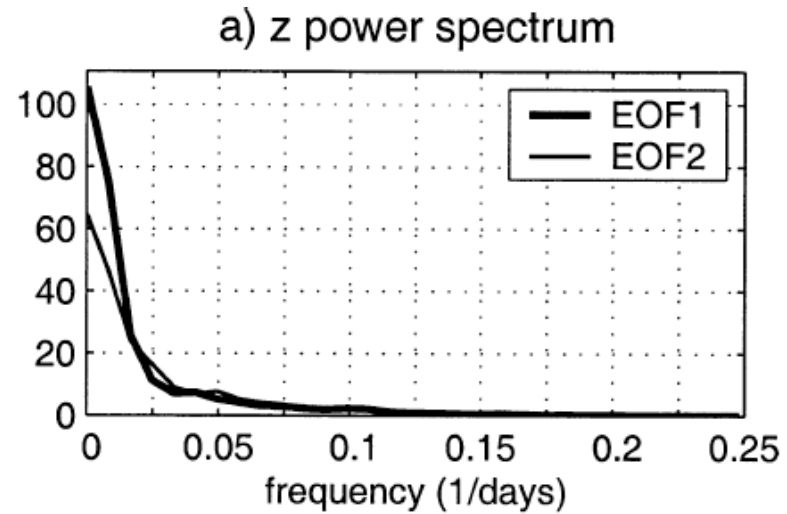
# Timescales of the AMs



- Baldwin (2001): AM pattern is the same whether daily or monthly data is used.
- AM events occur on sub-monthly scales, and the monthly patterns reflect the average of several of these events.

# Timescales of the AMs

- Lorenz and Hartmann (2001), Feldstein, Lee: AMs exhibit red noise behaviour and damp within 14-20 days.
- So the annular mode is considered a mode of low-frequency atmospheric variability.

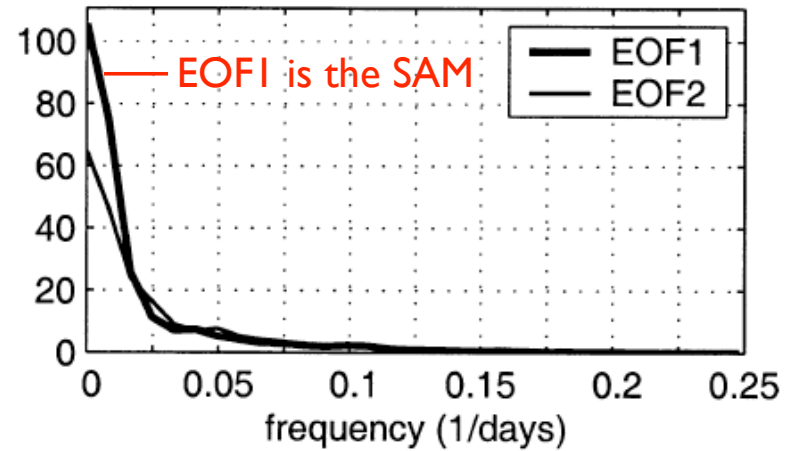


Lorenz & Hartmann 2001

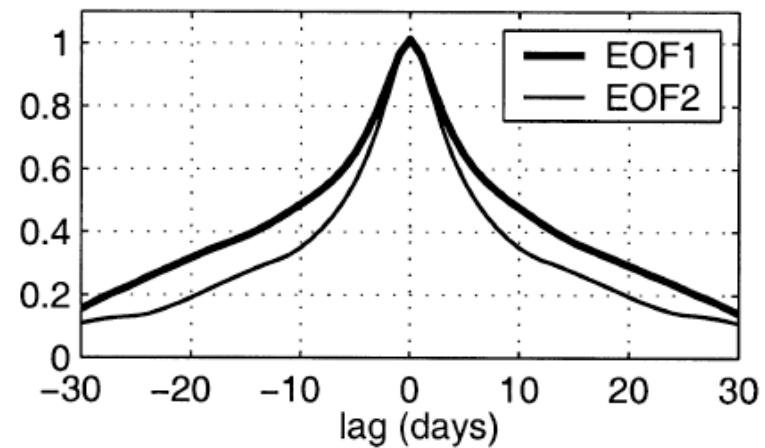
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a) z power spectrum



b) z auto-correlation



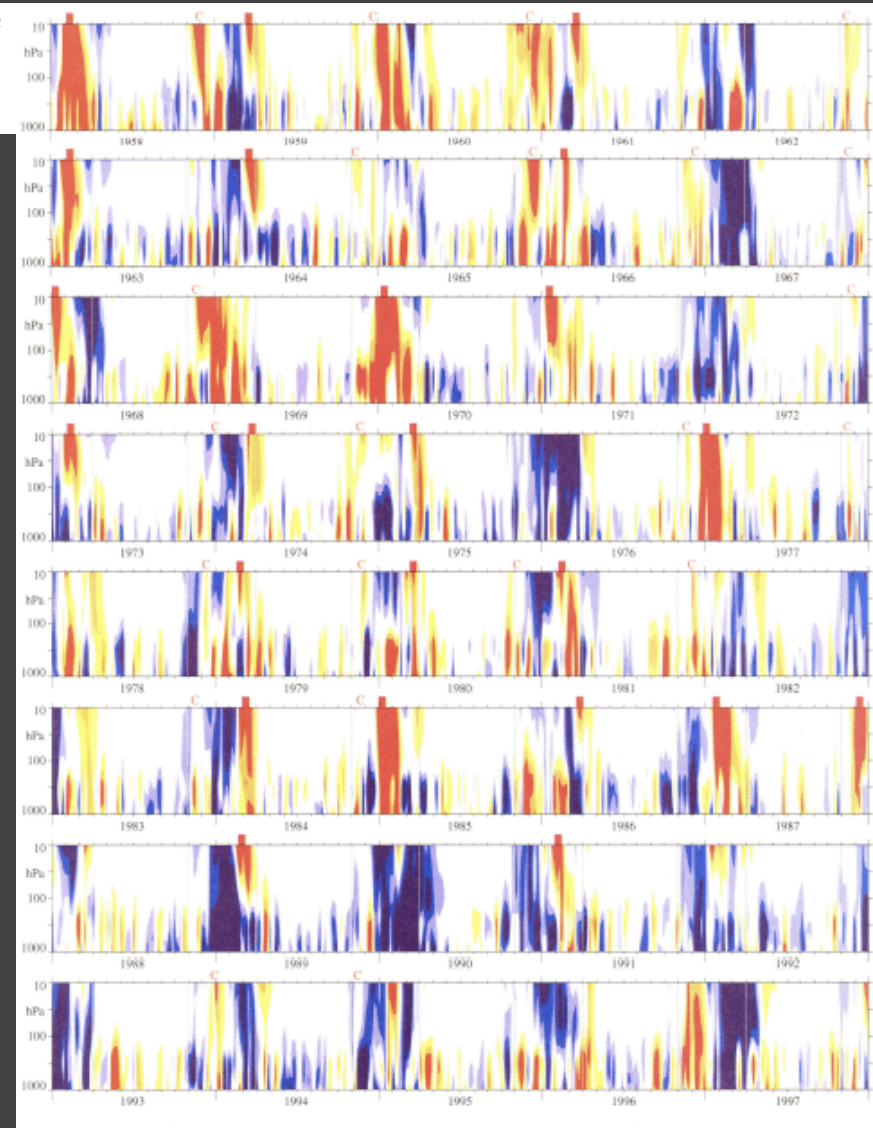
Lorenz & Hartmann 2001

# Vertical Coherence of the AMs

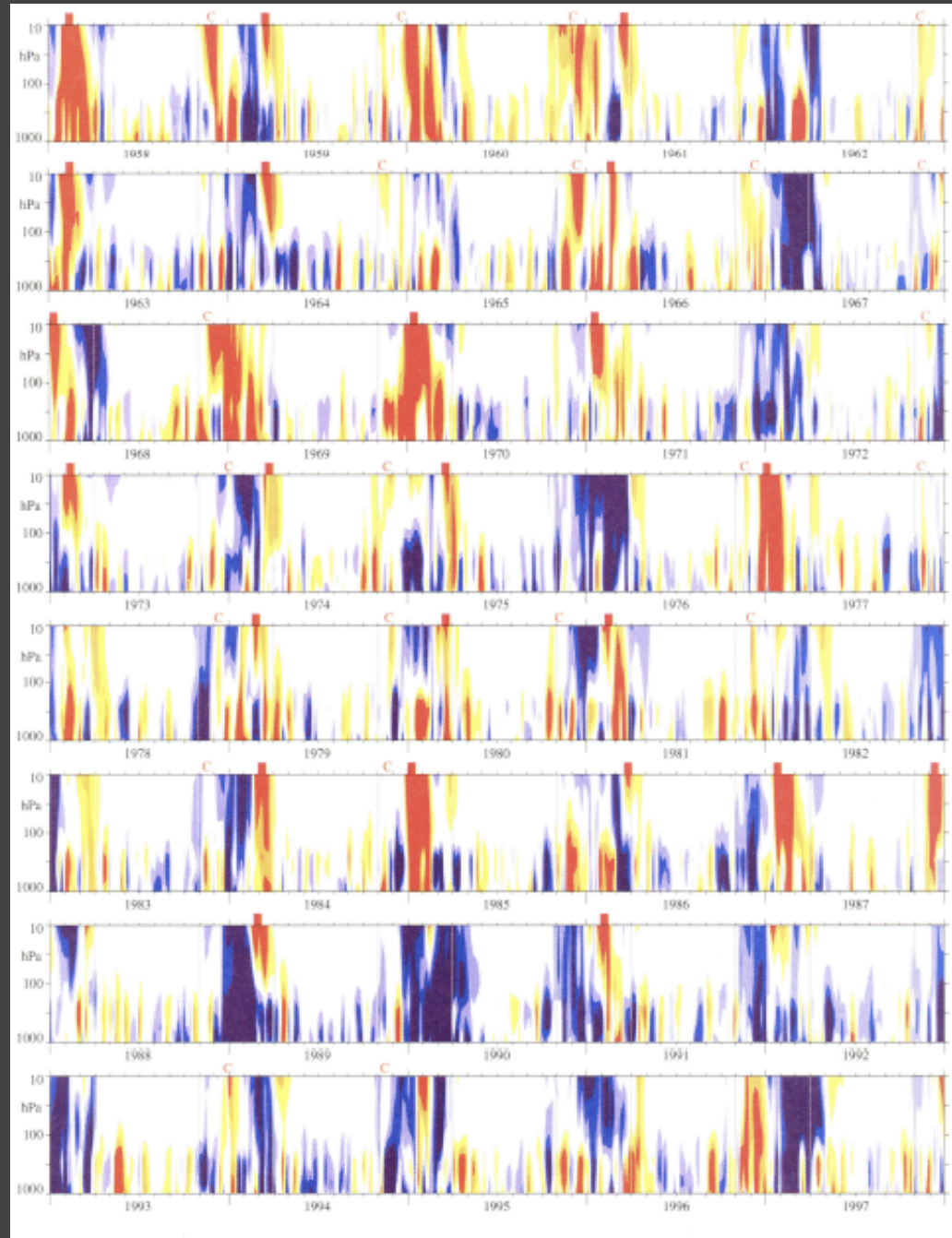
## Propagation of the Arctic Oscillation from the stratosphere to the troposphere

Mark P. Baldwin and Timothy J. Dunkerton

- Baldwin and Dunkerton (1999) calculate the daily NAM at each level in the stratosphere.
- They find that the stratospheric NAM typically leads the tropospheric NAM.

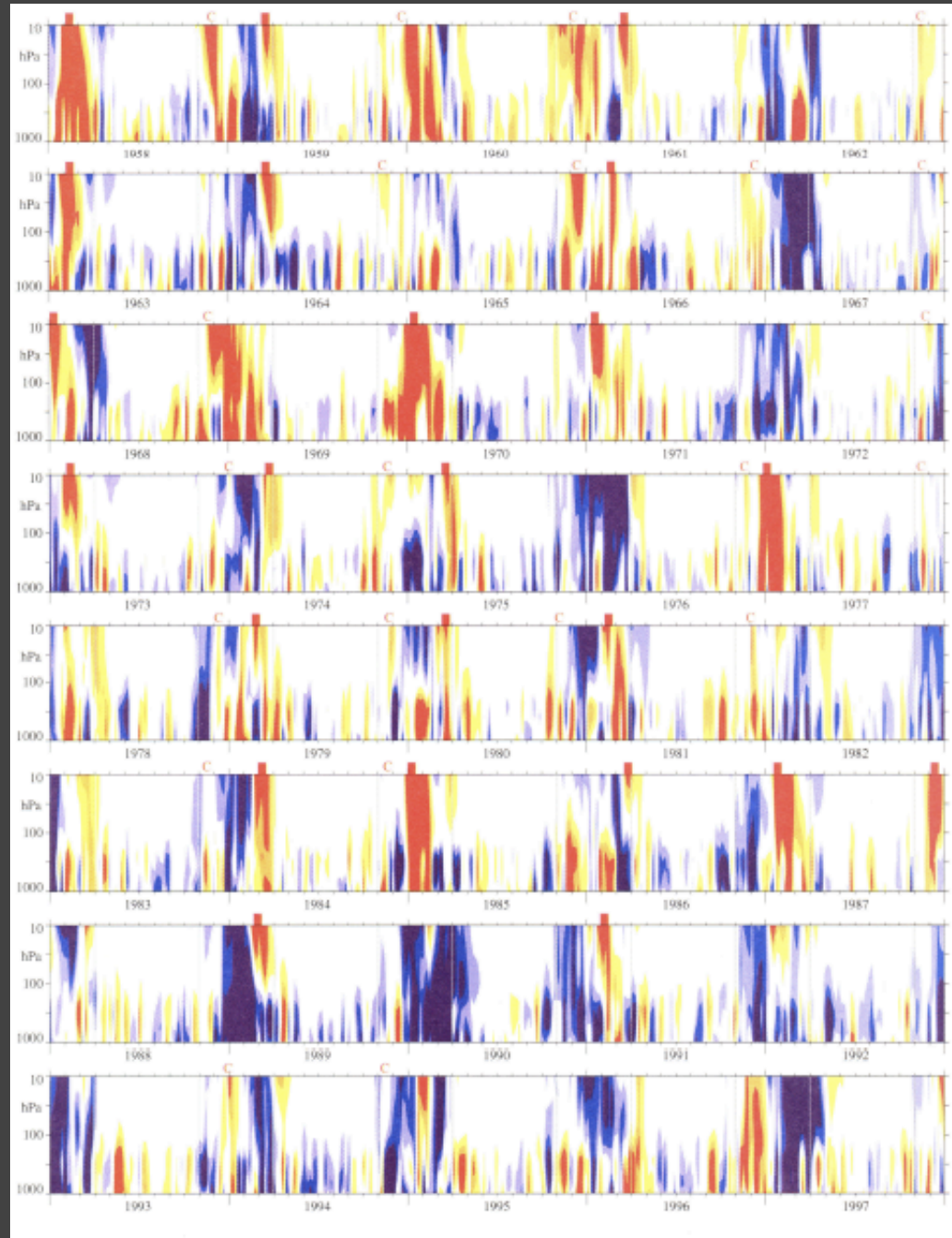
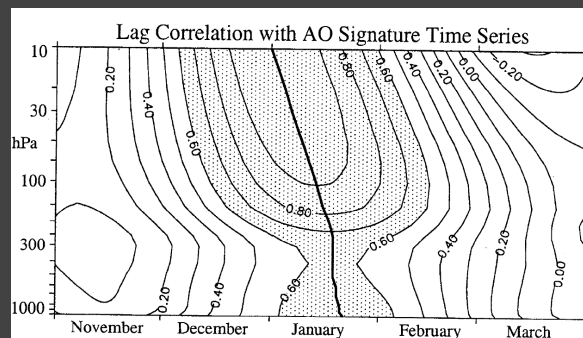


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## Are the AMs important? If so, why?

- We have so far described meteorological signatures of the AMs.
- What is their significance in the general circulation?
- Why are they important to think about for climate?

# Theoretical Significance of AMs

## Classical theory of the general circulation

$$\bar{u} = \bar{u}(x, y, p) = [\bar{u}](y, p) + \bar{u}^*(x, y, p)$$

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time  
mean

zonal  
mean

stationary  
eddy

- Extratropical zonal mean circulation: baroclinic instability, wave-mean-flow interactions, hemispherically symmetric.
- Extratropical stationary eddy field: stationary wave theory, predominantly Northern Hemisphere.

# Theoretical Significance of AMs

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time  
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zonal  
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stationary  
eddy

- We want a theory for zonal-mean and eddy climate *fluctuations* on various timescales.
- The annular modes account for > 40% of the variance in the extratropical zonal mean circulation, so they are worth trying to understand as dynamical objects.

# Climate Significance of AMs

- *AM influences* are ubiquitous in extratropical climate: if we could predict the AMs, we could predict many parameters.
- Some examples ...

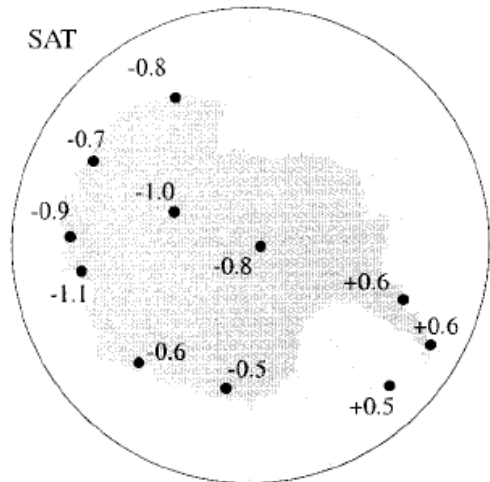
# Climate Significance of AMs

AM-related effects that do not strongly affect the AM.

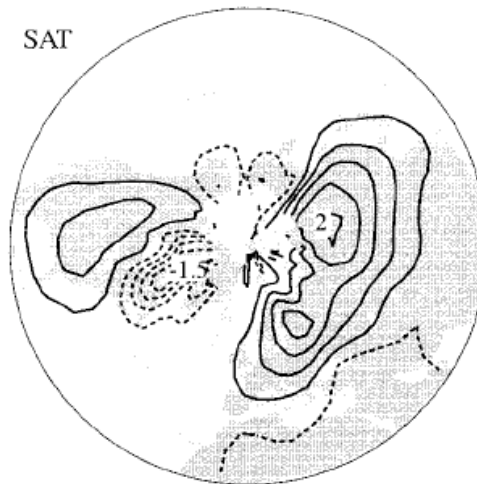
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# Climate Significance of AMs

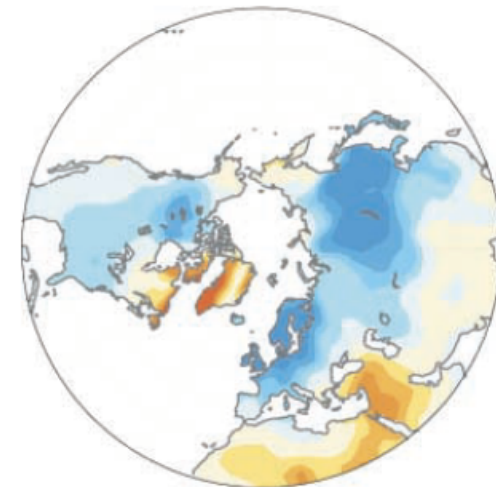
SAM & SAT



NAM & SAT



NAM & Cold Extremes



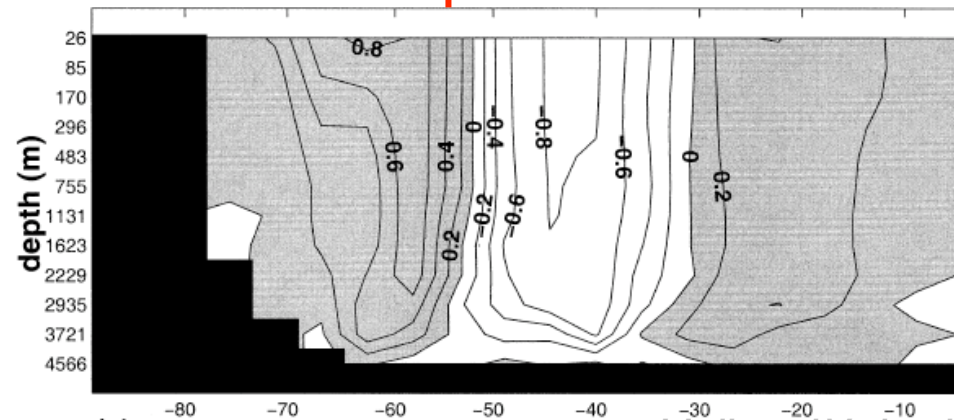
*Thompson & Wallace*

AMs have a strong link to surface climate variability. This has important implications for surface temperature trends.

# Climate Significance of AMs

- SAM signatures in the Southern Ocean.

## SAM-related Zonal Currents in a Coupled Model



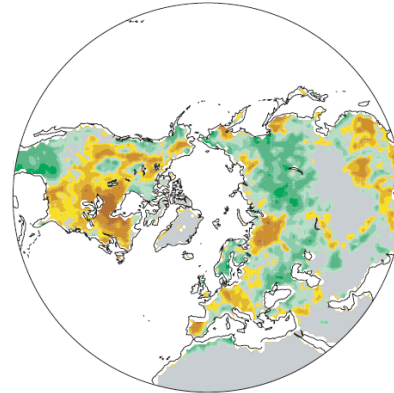
*Hall and Visbeck*



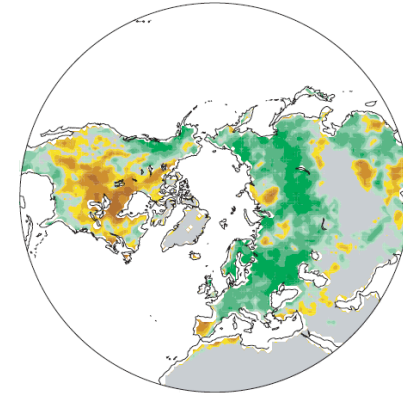
# Climate Significance of AMs

- NAM & SAM related variability in the carbon cycle.

NDVI & EOF1 of NH CO<sub>2</sub>

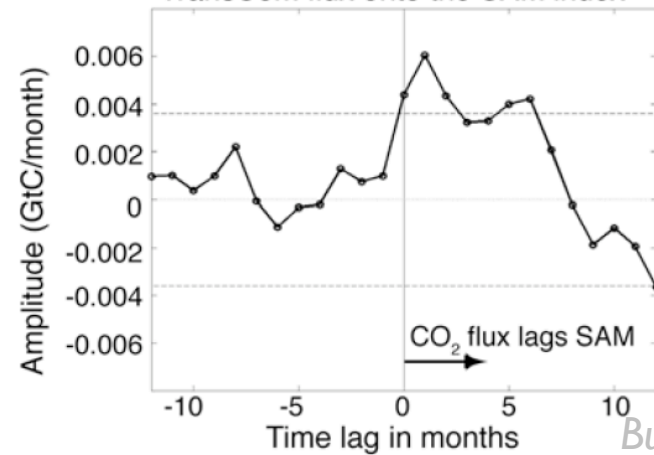


NDVI & Springtime NAM



*Russell and Wallace*

Regression of the Southern Ocean TransCom flux onto the SAM index

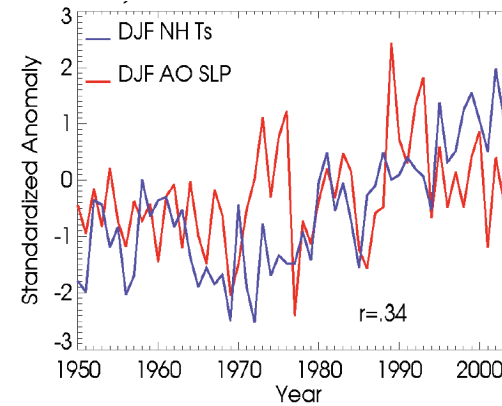


*Butler et al.*

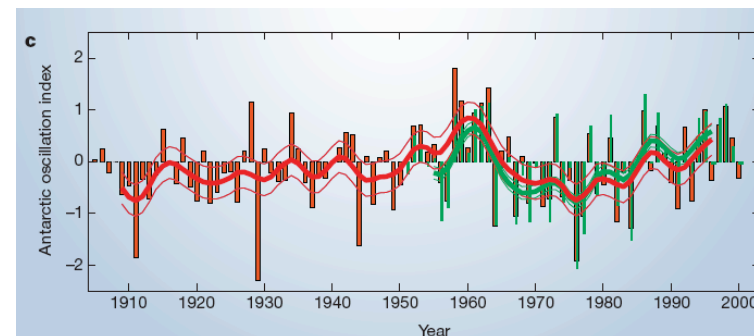
# Climate Significance of AMs

- NAM and SAM indices exhibit decadal scale variability.
- We'll talk more about this later.

## NAM & NHTs



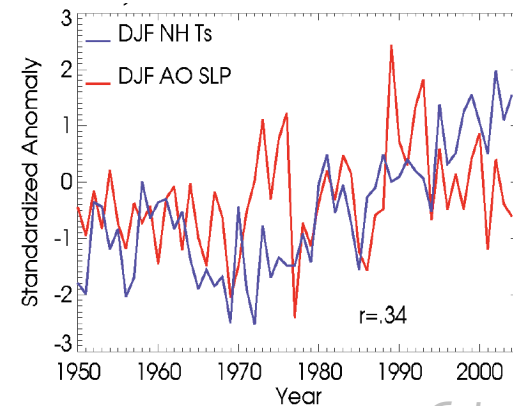
## SAM



# Climate Significance of AMs

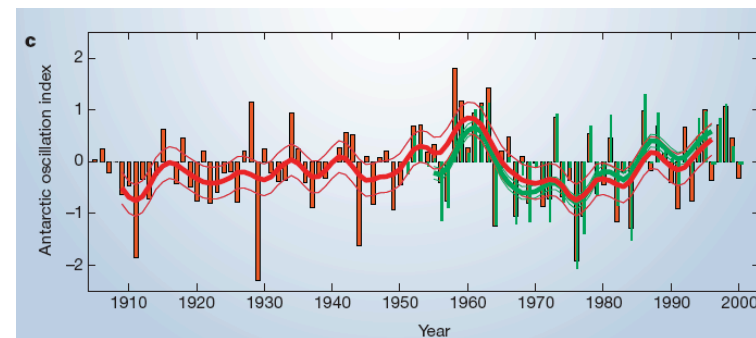
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## NAM & NHTs



*Cohen & Barlow*

## SAM



*Jones & Widmann*

## Working Definition of AM

- AM is leading principal component of zonal-mean zonal wind.
- The tropospheric AM is insensitive to seasonal cycle and so doesn't hinge crucially on the stratosphere.
- The tropospheric AM is *equivalent barotropic*:

$$a(y,p) = b(y) c(p)$$

$c(p)$  positive, peaks in upper troposphere

- The tropospheric AM corresponds to a jet shift (at least in the Southern Hemisphere).

# AM Dynamics

- If jet  $u(y)$  shifts by an amount  $\delta y$ , then

$$\delta u(y) = u(y-\delta y) - u(y) \approx -\delta y \, du/dy = \delta y \, \zeta,$$

where  $\zeta$  is zonal mean relative vorticity.

For  $\delta u \sim 3$  m/s,  $\zeta \sim 10^{-5} \text{ s}^{-1}$ ,  $\delta y \sim 300$  km

- Node is located at jet maximum
- AM meridional scale is  $(d(\log u)/dy)^{-1}$
- AM amplitude is proportional to  $\delta y$ . There is no simple theory for what sets  $\delta y$ .

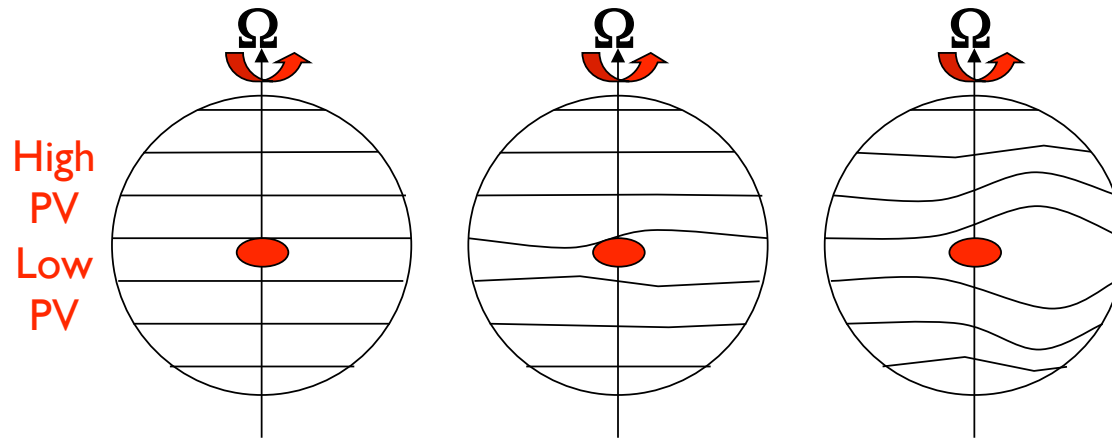
# Barotropic Dynamics

- The AMs, like the PNA and NAO, are equivalent barotropic patterns.
- The classical model for barotropic patterns is barotropic potential vorticity dynamics:

$$\frac{\partial q}{\partial t} + \vec{u} \cdot \nabla q = S - D, \quad q = \zeta + \beta y$$
$$\zeta = \nabla^2 \psi, \quad \vec{u} = \left( -\frac{\partial \psi}{\partial y}, \frac{\partial \psi}{\partial z} \right), \quad \psi = \psi(x, y, t)$$

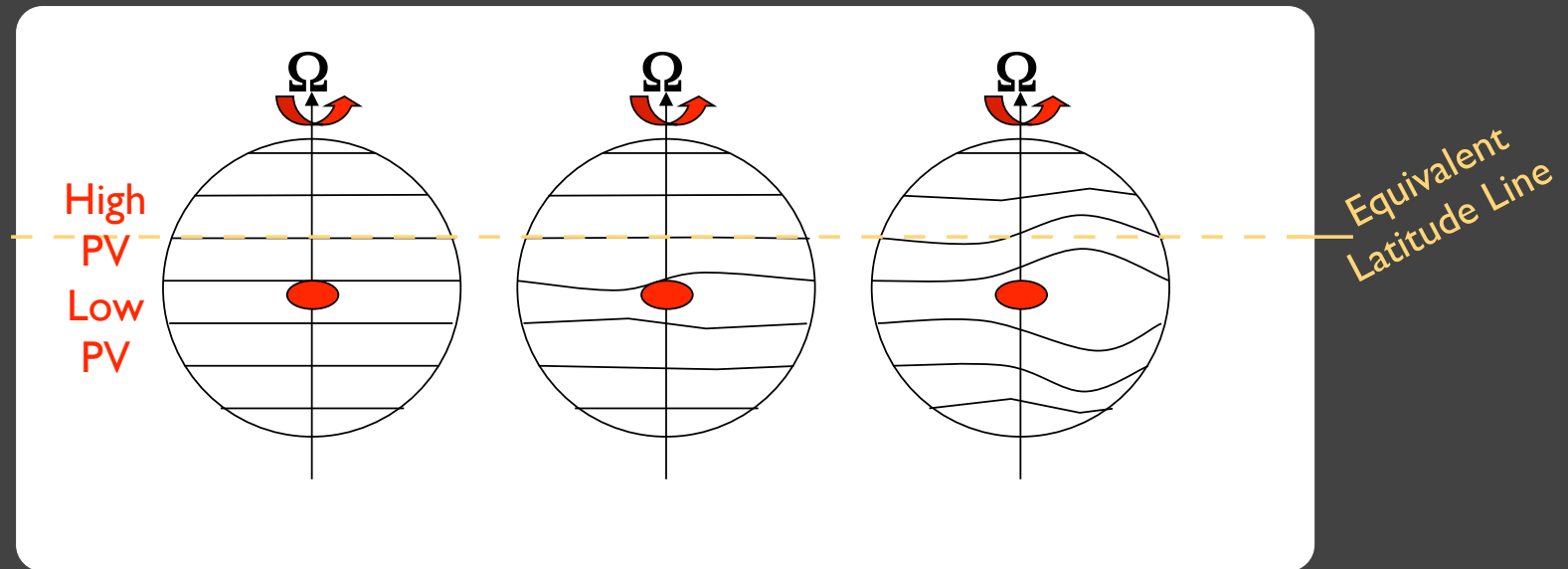
This dynamics was the basis for the first weather prediction models and still has much to tell us about the general circulation.

# Barotropic Dynamics



- Consider a shallow layer of fluid at rest on a rotating Earth.
- We'll first think about PV conserving dynamics:  $S=0, D=0$
- A wave maker switches on and a Rossby pulse propagates away, disturbing material lines.

# Barotropic Dynamics



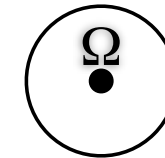
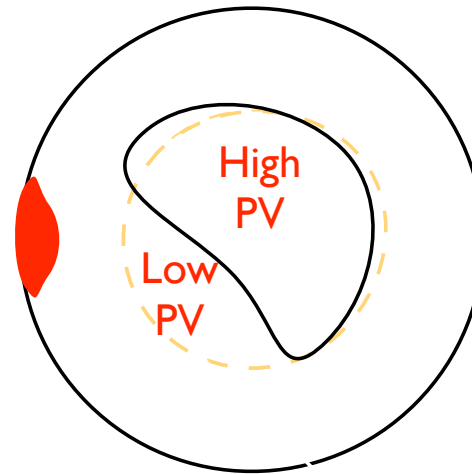
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# Barotropic Dynamics

$$\frac{Dq}{Dt} = \frac{\partial q}{\partial t} + \vec{u} \cdot \nabla q = 0$$

Stokes:  $\oint \vec{u} \cdot d\vec{l} = \int \zeta dA$



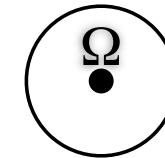
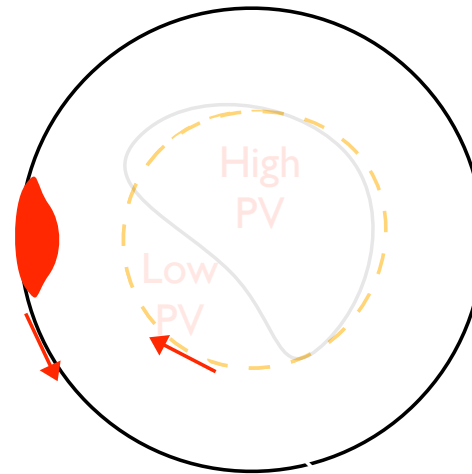
Rotation out of page

- Material lines are also PV contours.
- There is a net flux of PV out of the polar cap. The average relative vorticity becomes negative in the polar cap.
- By Stokes relation a westward flow results around the polar cap.
- The PV flux into the wavemaker latitudes leads to an eastward flow.

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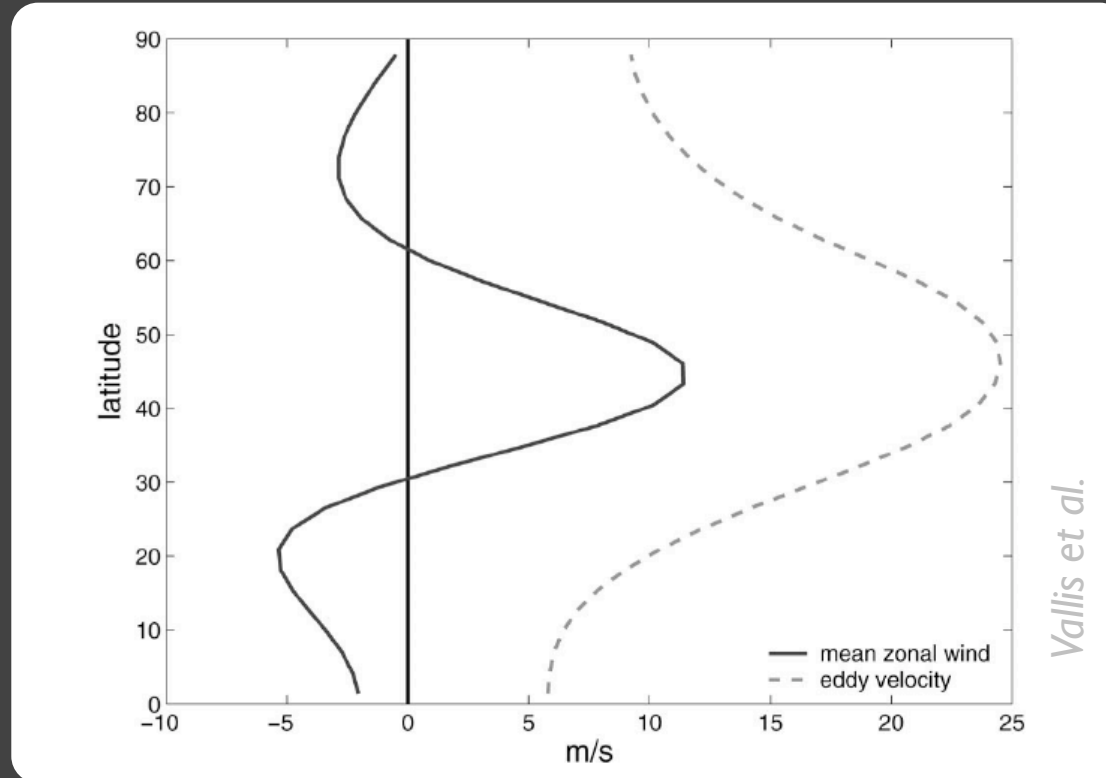
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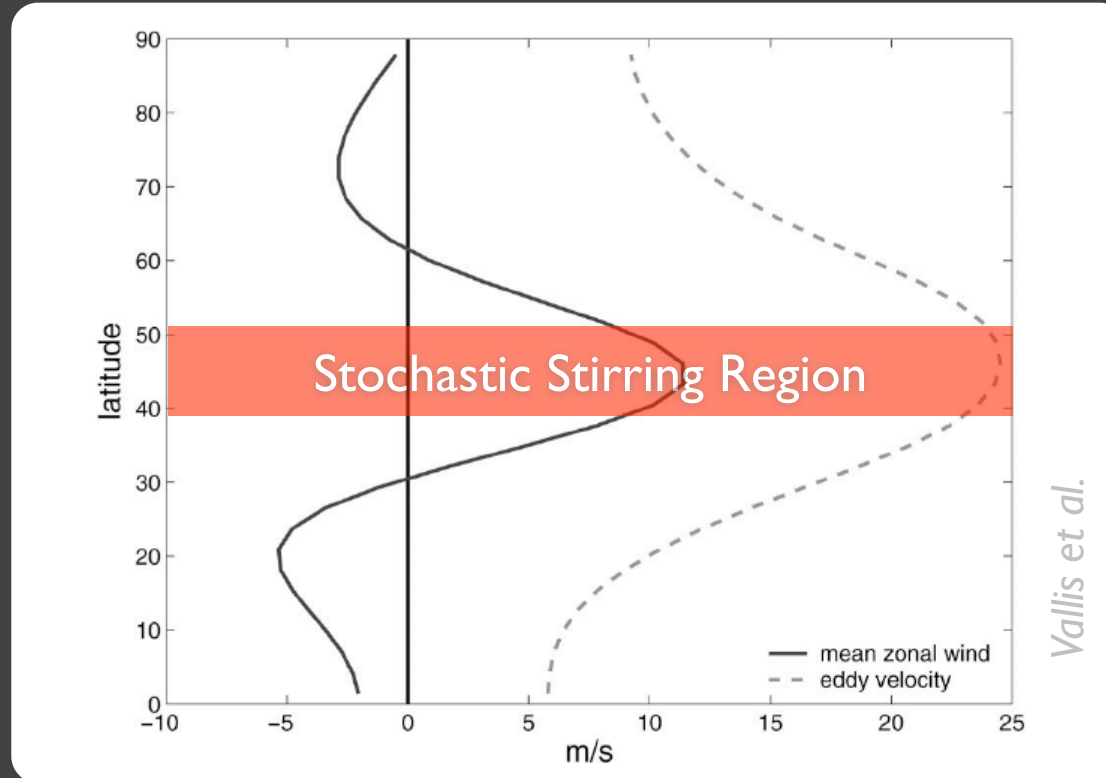
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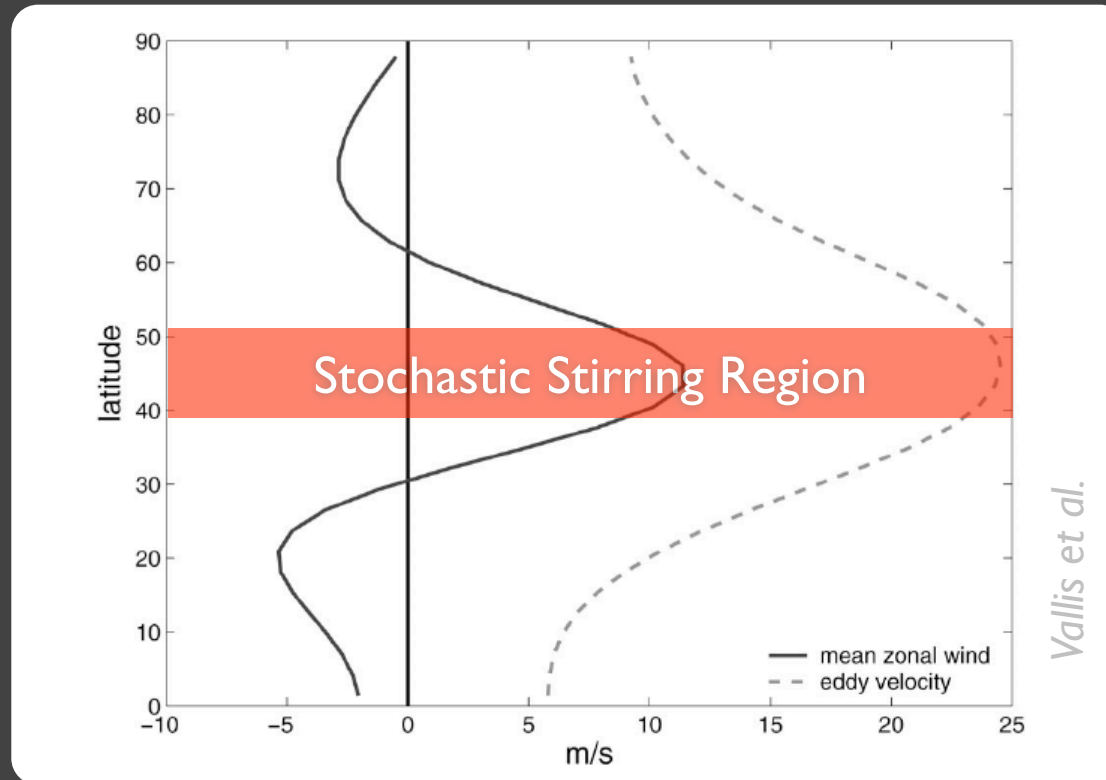
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- In the Vallis et al. model, eddy vorticity fluxes are balanced by eddy damping.

# Barotropic Dynamics



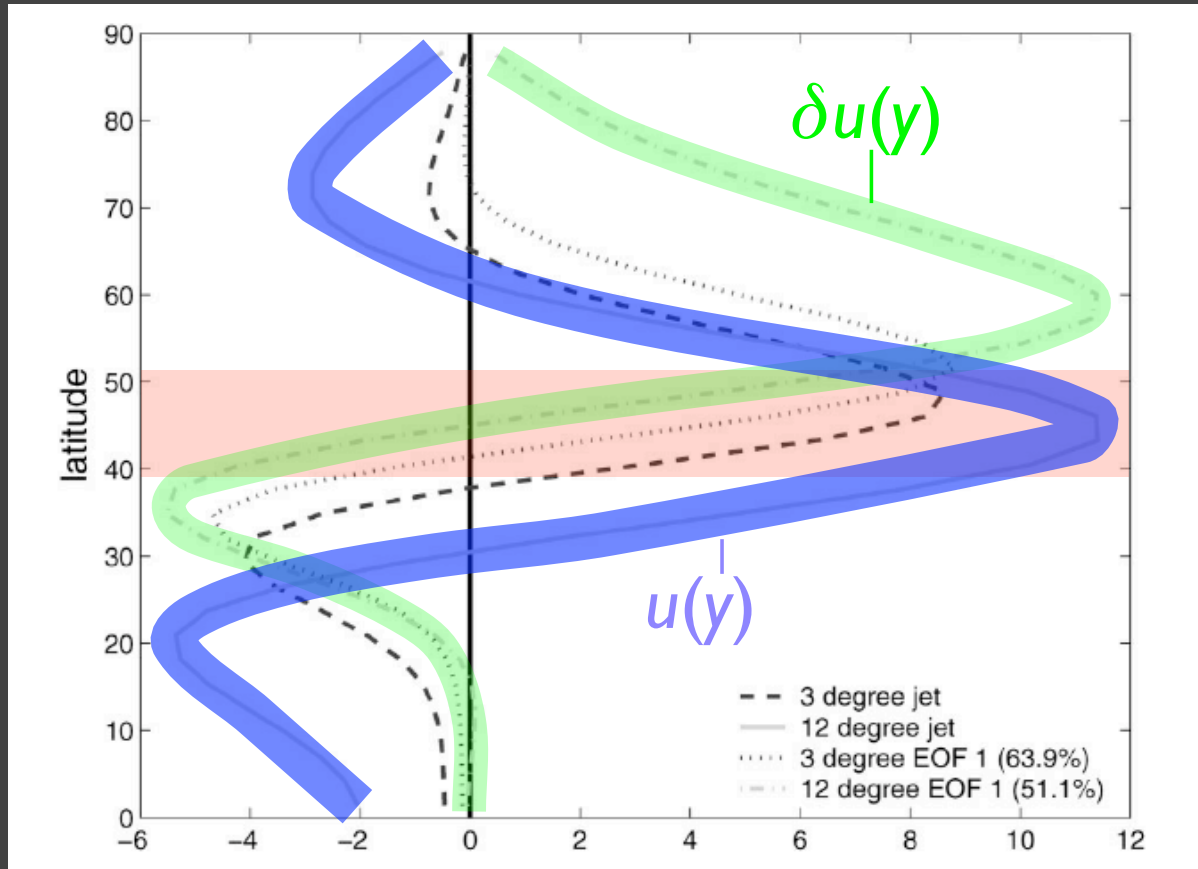
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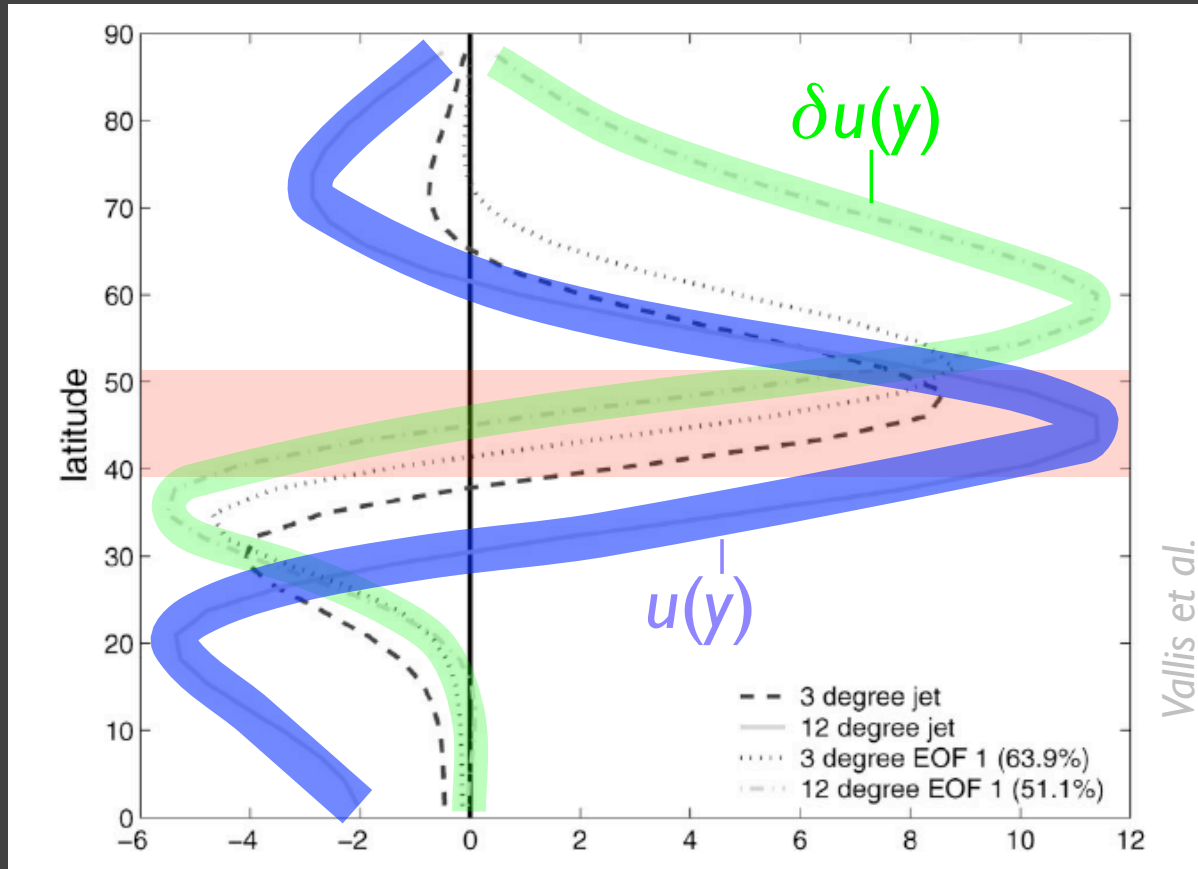
- What do the modes of variability look like in this model?

# Barotropic Dynamics



- For a stirring region  $12^\circ$  wide, the leading EOF is the AM dipole.
- This is the simplest realistic representation of the AMs.
- A narrower stirring region gives rise to a pulse instead of a wobble.

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# Barotropic Dynamics

- The time mean and zonal mean dynamics is

$$\frac{\overline{\partial v' q'}}{\partial y} = \frac{\overline{\partial v' \zeta'}}{\partial y} = -\frac{\bar{q}}{\tau}$$

- Integrating in  $y$  we get

$$\overline{v' \zeta'} = -\frac{\partial \overline{u' v'}}{\partial y} = \frac{\bar{u}}{\tau}$$

- In AM dynamics, slow zonal-mean variations are linked to variations in the eddy momentum flux.

$$\delta \bar{u} = -\tau \delta \left( \frac{\partial \overline{u' v'}}{\partial y} \right)$$



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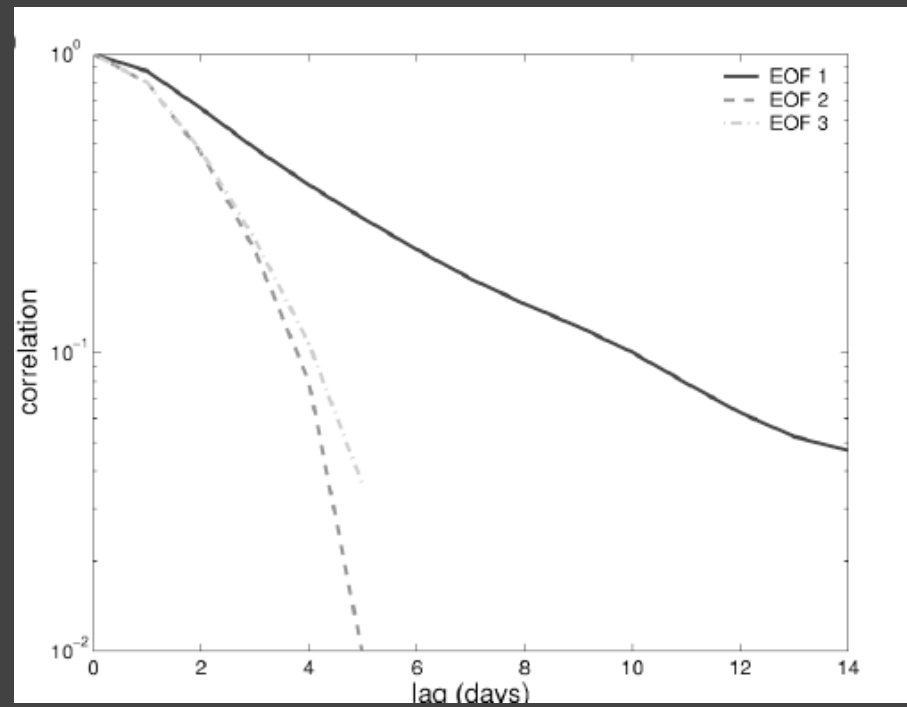
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There is a non-trivial relationship between winds and momentum fluxes.

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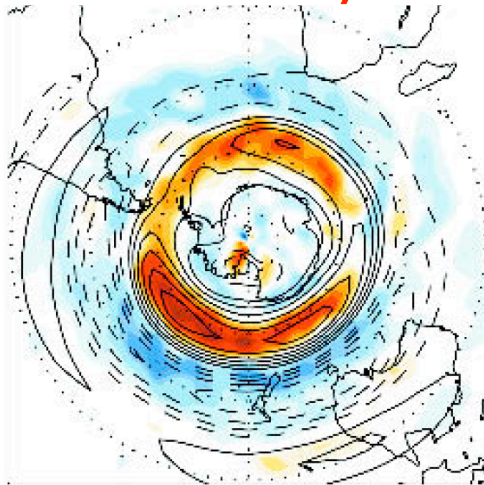
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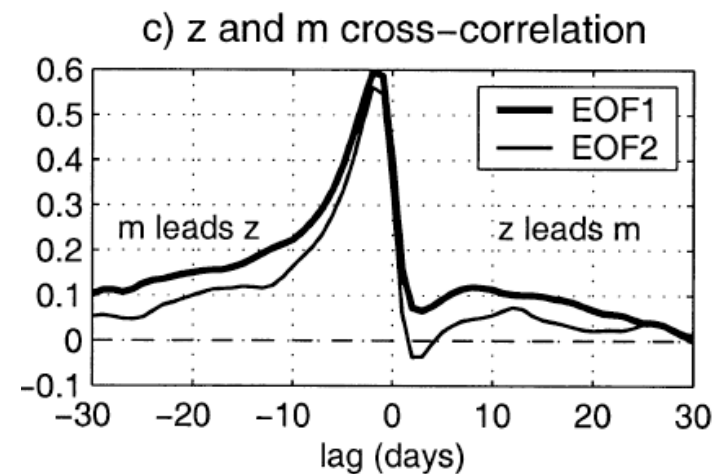
# Reality Check

- Observations show that the equivalent barotropic model with stochastic stirring is relevant.
- The observed momentum flux / jet link is two way (Lee & Feldstein, Lorenz & Hartmann, Codron): a positive feedback exists.

## SAM U & Eddy Forcing



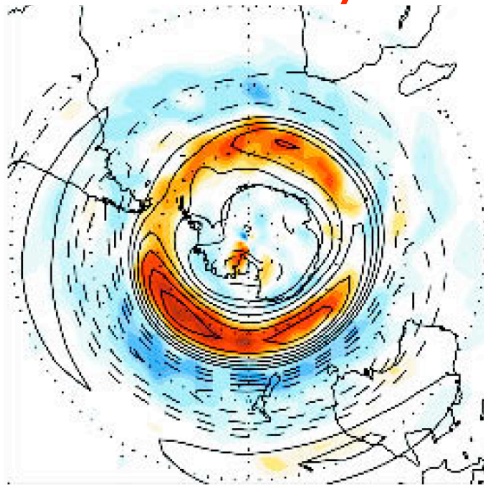
## NAM U & Eddy Forcing



# Reality Check

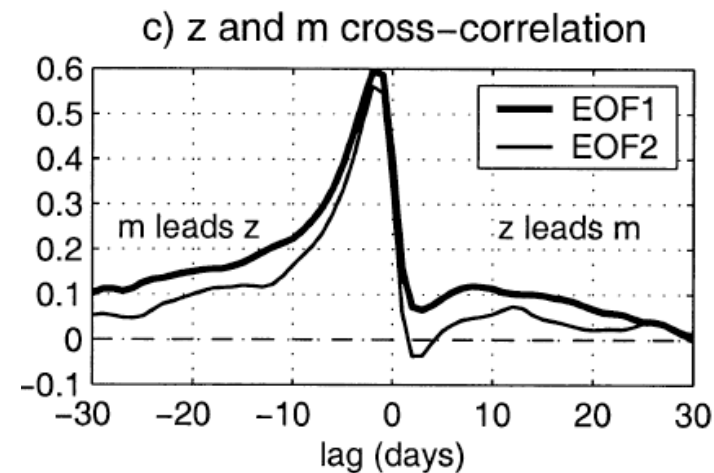
- Observations show that the equivalent barotropic model with stochastic stirring is relevant.
- The observed momentum flux / jet link is two way (Lee & Feldstein, Lorenz & Hartmann, Codron): a positive feedback exists.

## SAM U & Eddy Forcing



Codron 2005

## NAM U & Eddy Forcing



Lorenz & Hartmann

# Baroclinic Dynamics

Feldstein & Lee 1996:

- Two layer QG model, internal “stirring” via baroclinic instability.
- Jets & modes depend on width of the unstable region.

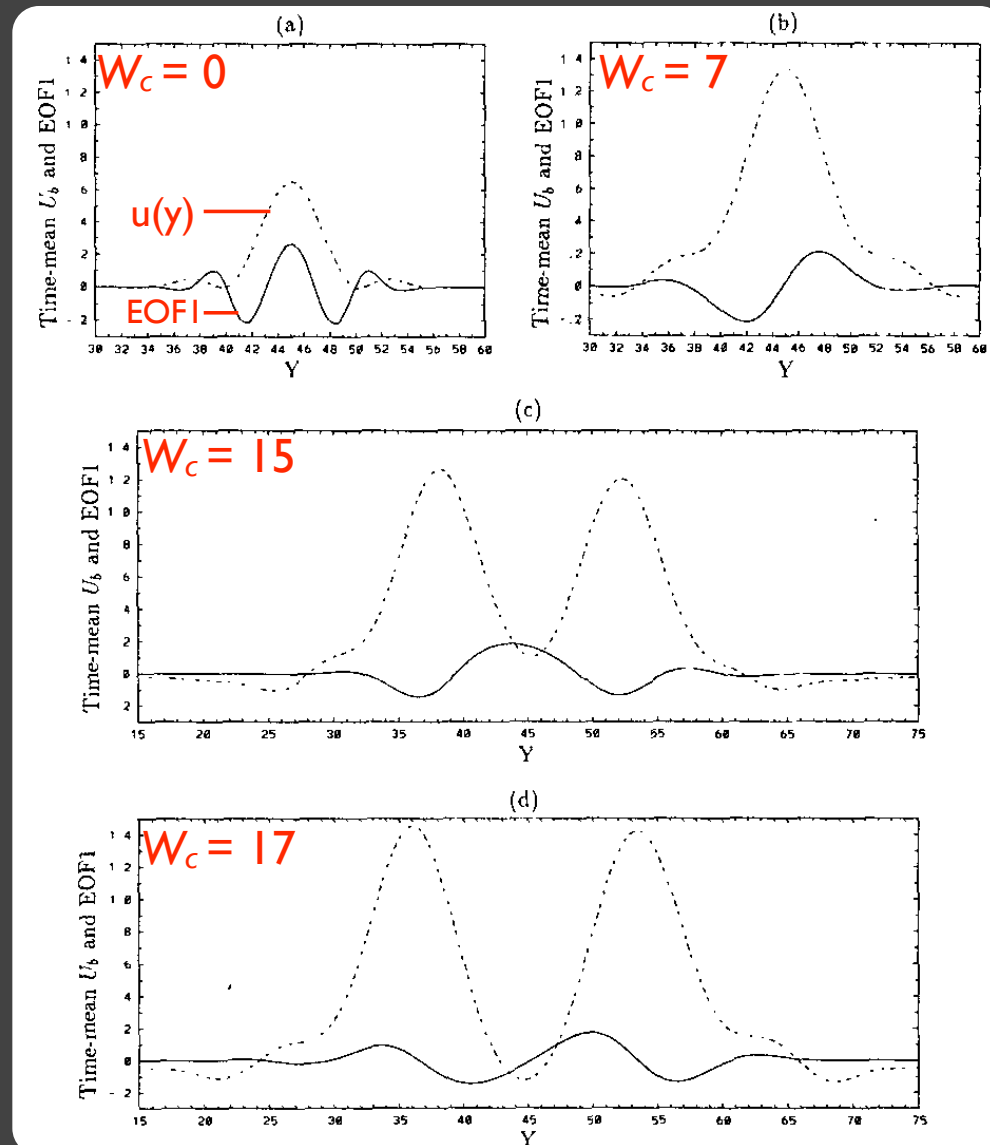
$$\frac{\partial Q_j}{\partial t} + J(\psi_j, Q_j) = -(-1)^j r \left( \frac{\psi_1 - \psi_2}{2} - \tau_e \right) - \delta_{j,2} \kappa_M \nabla^2 \psi_2 - \nu \nabla^6 \psi_j,$$

where

$$Q_j = \beta y + \nabla^2 \psi_j + (-1)^j \left( \frac{\psi_1 - \psi_2}{2} \right), \quad j = 1, 2,$$

$$U_e = -2\partial\tau_e/\partial y$$

$$= \begin{cases} U_0 & |y - W/2| < W_c \\ U_0 \exp[-(y - W/2)^2/\sigma^2] & |y - W/2| > W_c. \end{cases}$$



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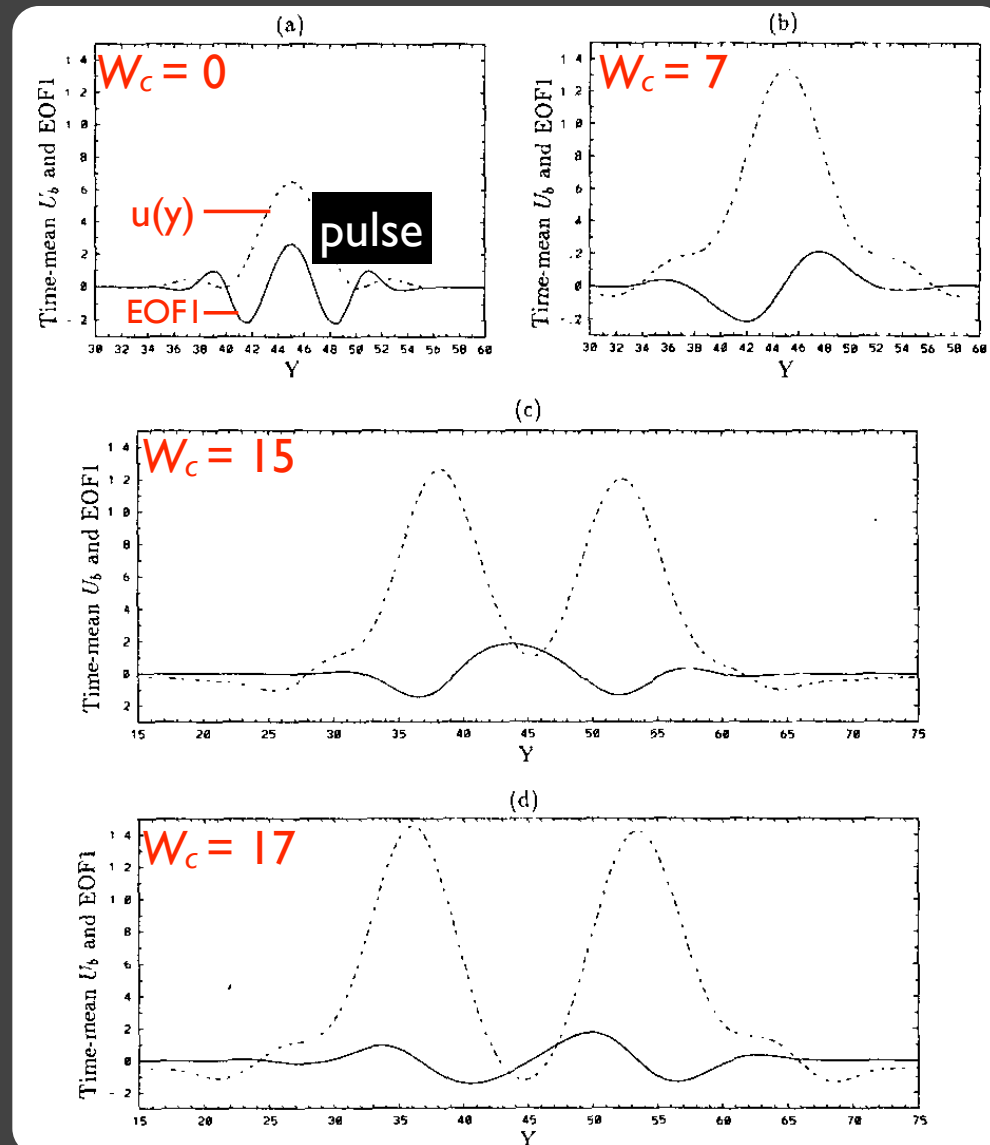
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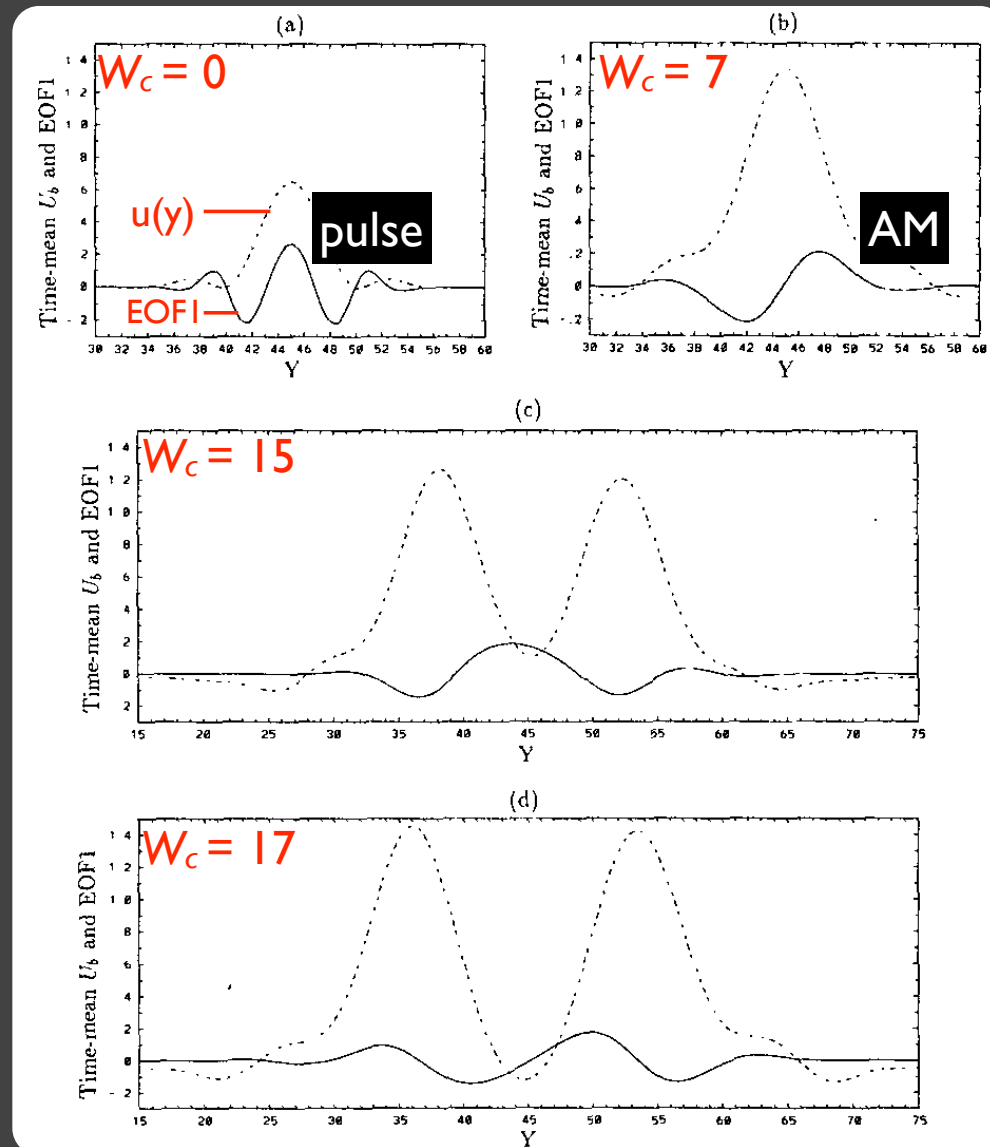
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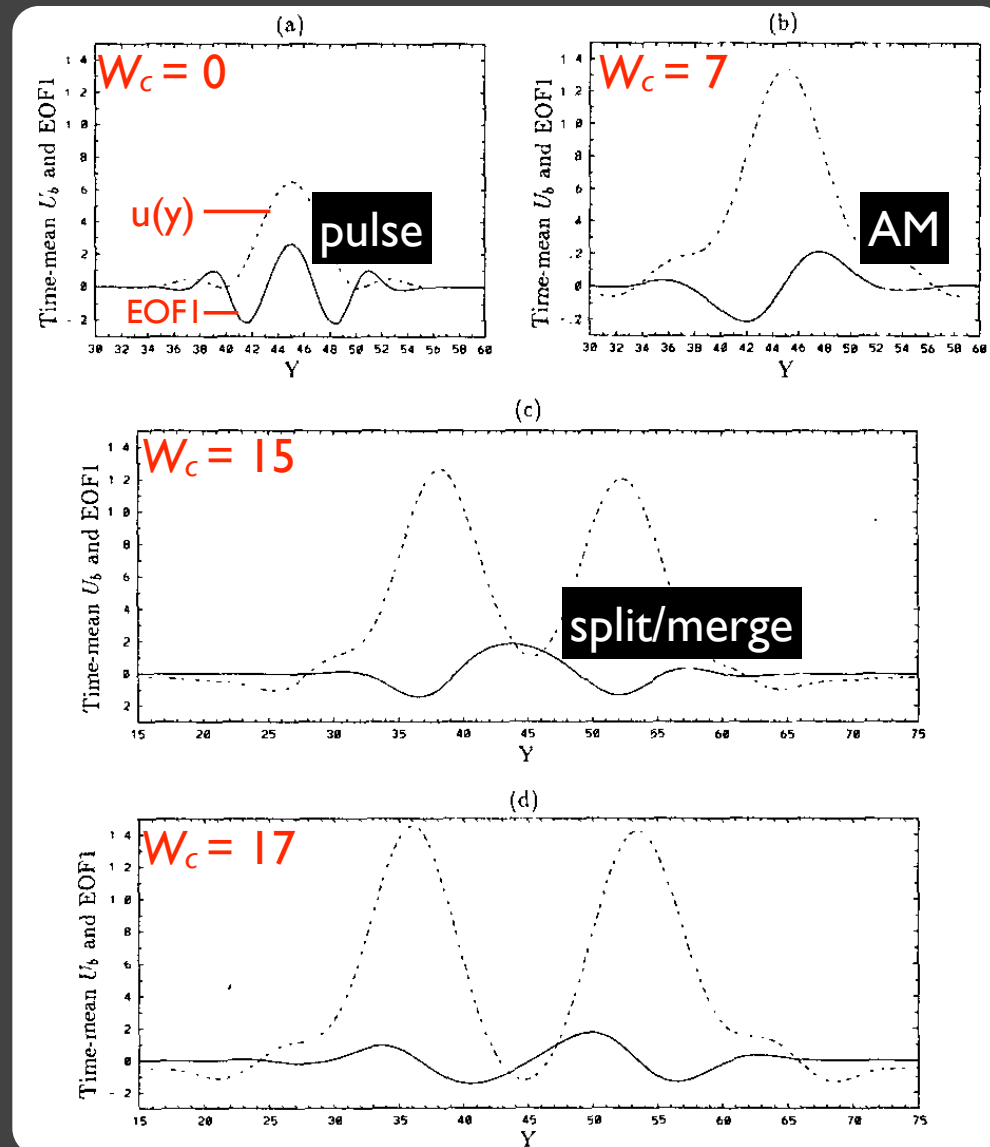
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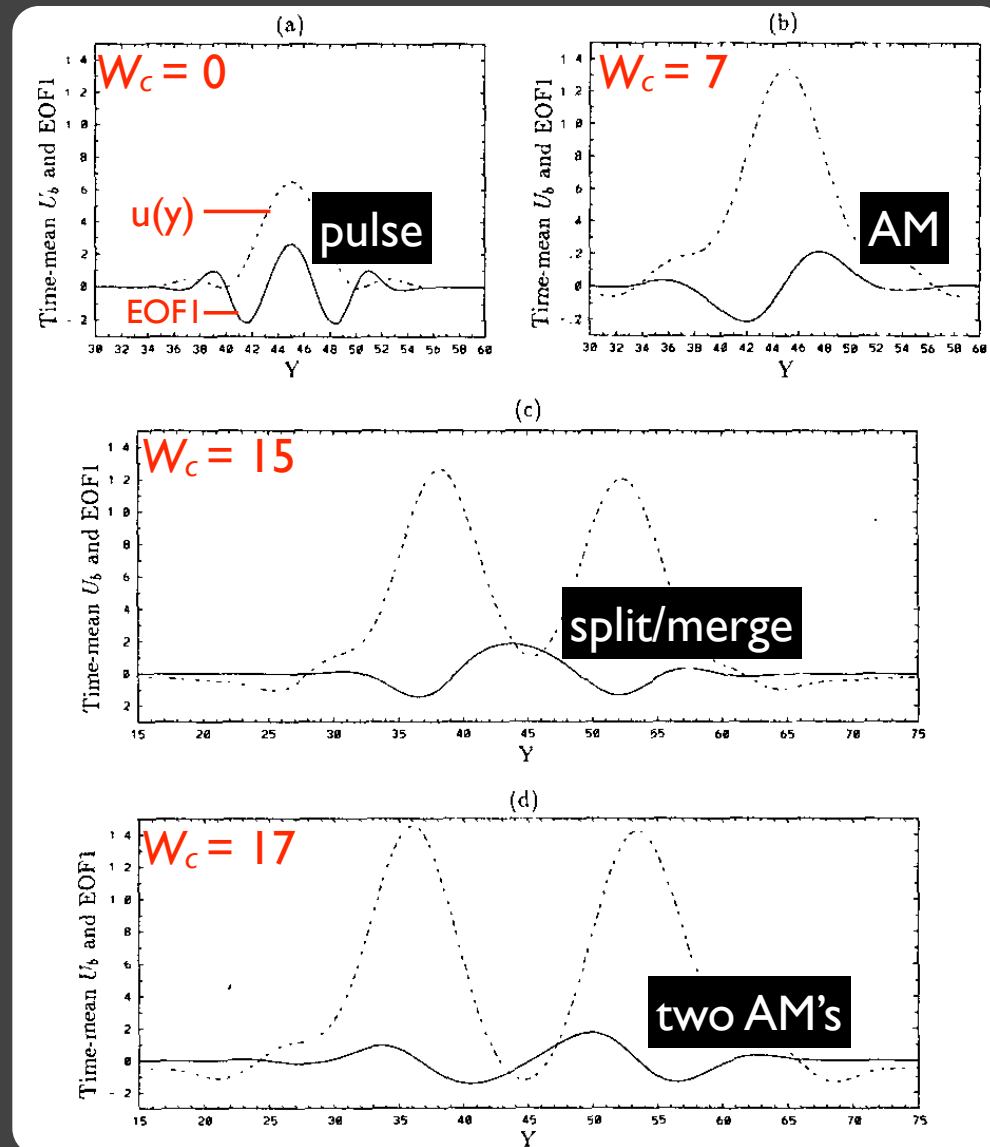
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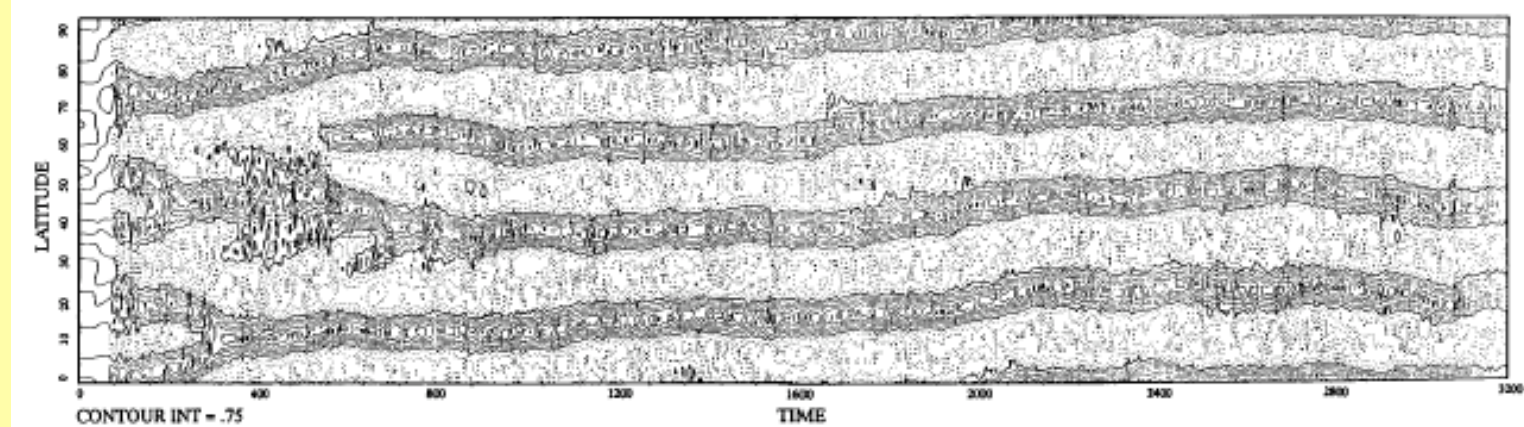
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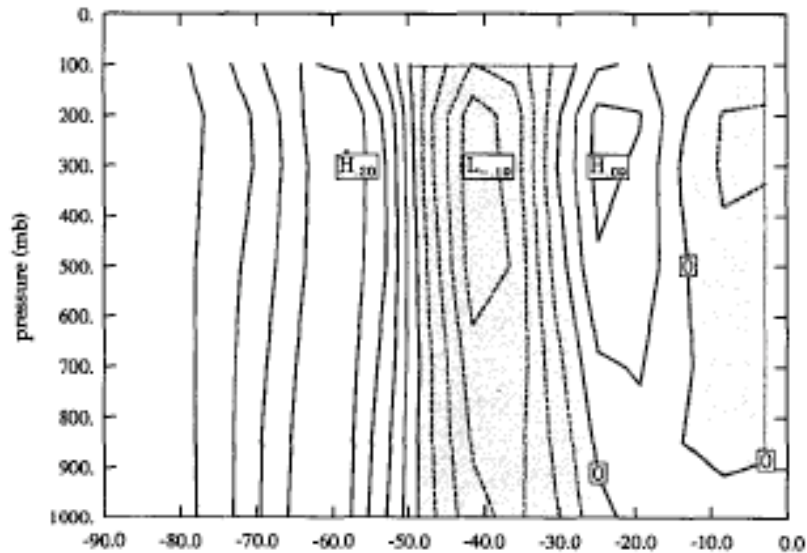
# Two Layer Model

Wandering Jets in 2-Layer Homogeneous beta-plane turbulence (Panetta 1991)

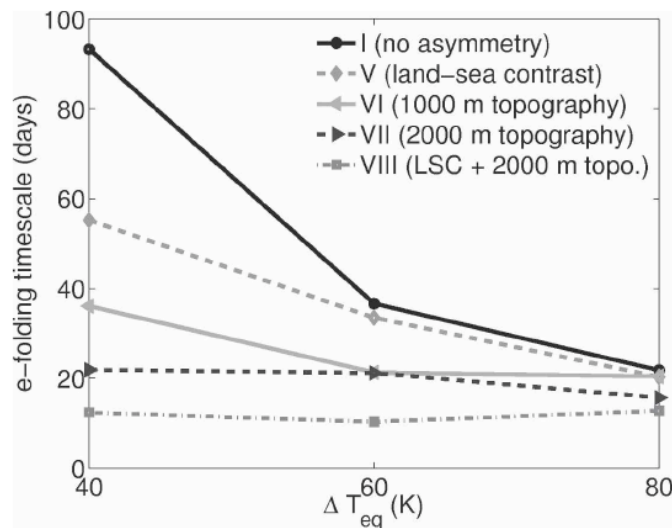


# Many Layer Model

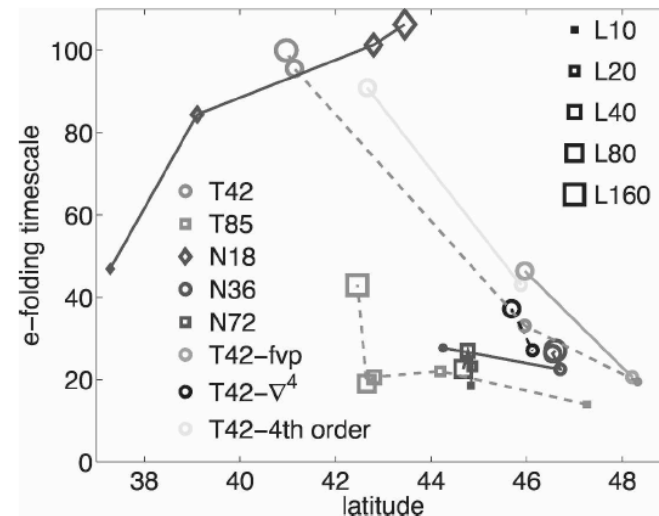
- Simplified “dry” 3-D GCMs simulate a realistic vertical structure of the AMs.
- Gerber et al. show that the AM timescale in these models is very sensitive to details.



Yu & Hartmann 1993



Gerber & Vallis 2007



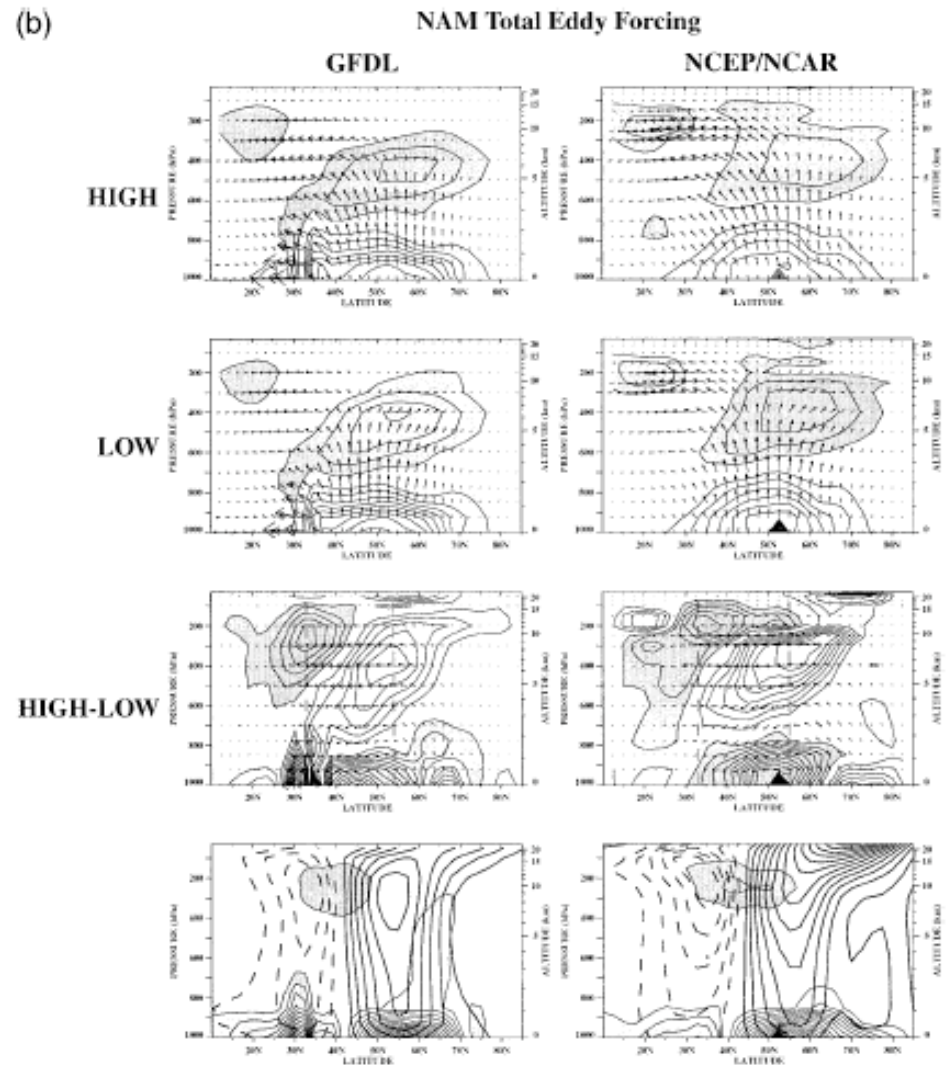
Gerber et al. 2007

# Atmospheric General Circulation Model

## Limpasuvan & Hartmann:

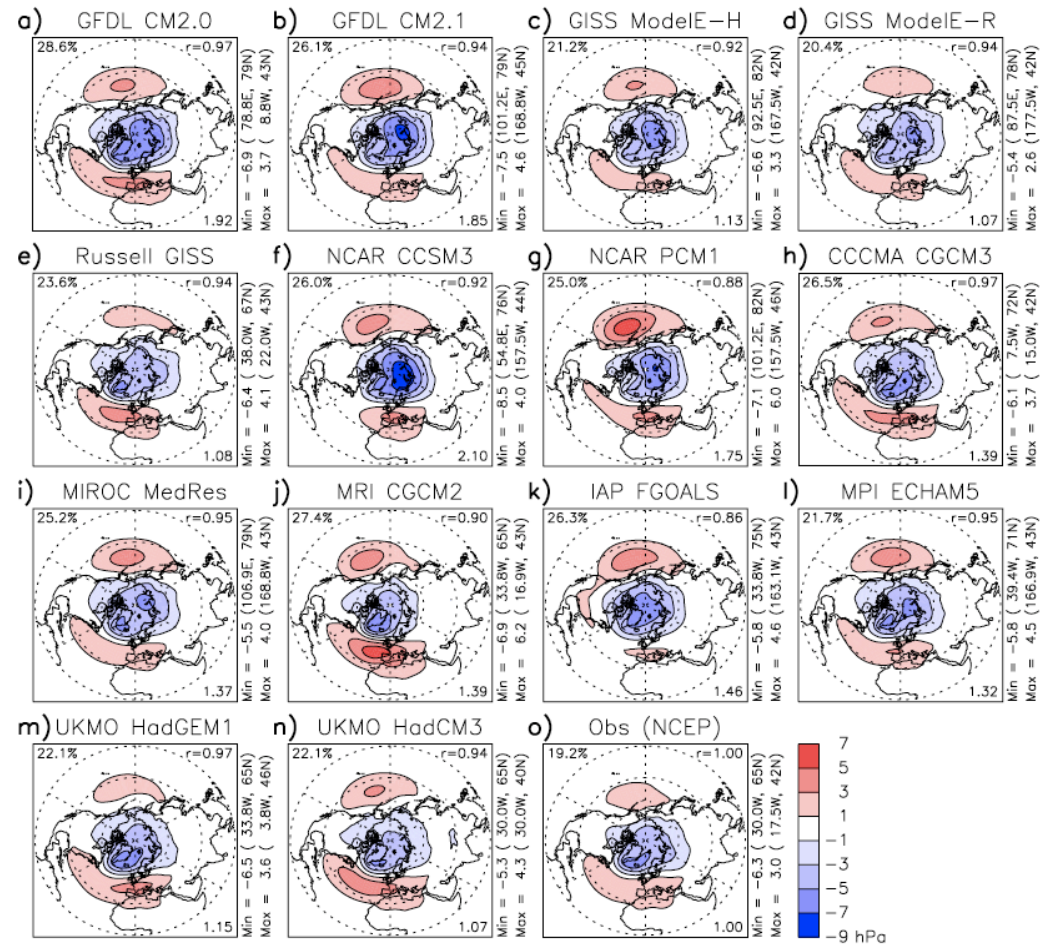
- Comprehensive GCM (GFDL R30) with prescribed SSTs captures the AM structure and signature in wave driving very realistically.
- This highlights the strong link between the AM wind and eddy forcing signatures.

(b)



# AMs in Climate Models

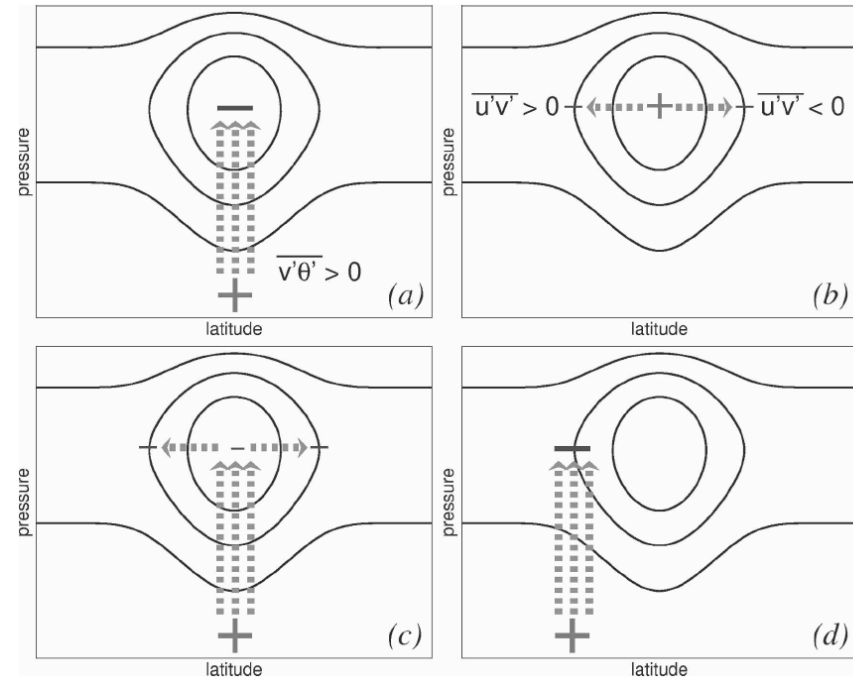
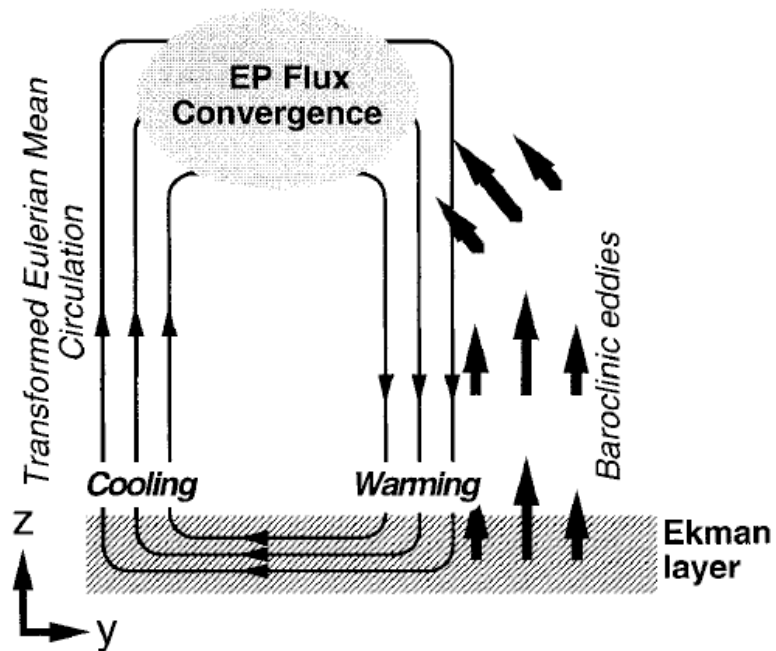
- Coupled ocean atmosphere climate models can accurately simulate NAM and SAM structure.
- Details are not robust: Zonal structure of the patterns varies from decade to decade in the obs and among different realizations of the same model (Raphael & Holland 2006).



Miller et al. 2006



# Theory of AMs in the General Circulation



- Robinson (2000) and Gerber and Vallis (2007) present descriptions of how AM lifecycles might work. Index of refraction arguments (Feldstein & Lee, Limpasuvan & Hartmann) also help explain things.

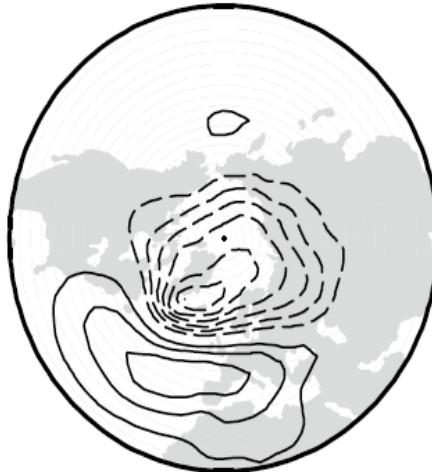
# A “Localized” View

Northern Annular Mode  
(NAM)



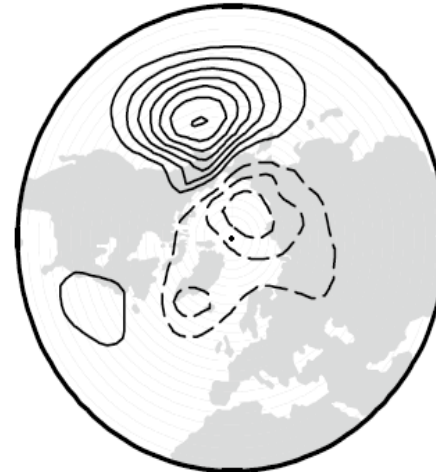
EOF of SLP  
Poleward of 20N

North Atlantic Oscillation  
(NAO)



... for the North-Atlantic  
sector only

North Pacific Oscillation  
(NPO)



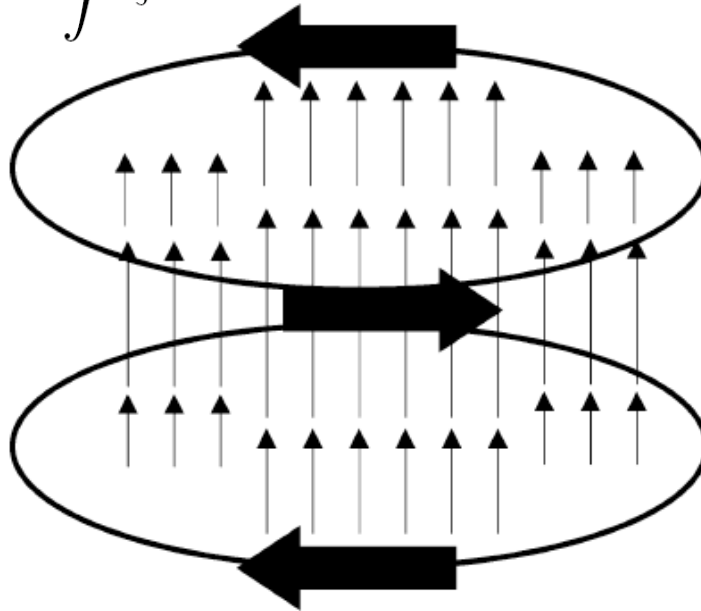
... for the North-  
Pacific sector only.

Deser 2000

- What does the hemispheric flow pattern of the AM represent?

# Regional AM Dynamics

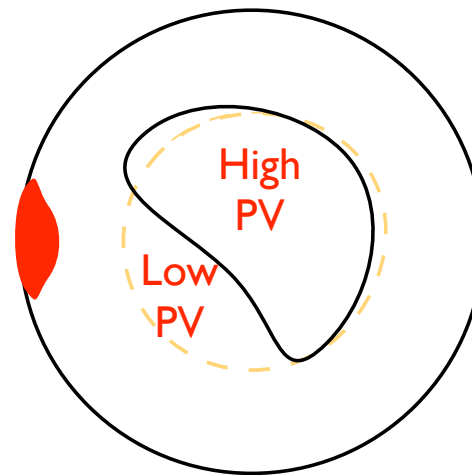
Stokes:  $\oint \vec{u} \cdot d\vec{l} = \int \zeta dA$



- Localized eddy mean-flow interaction.

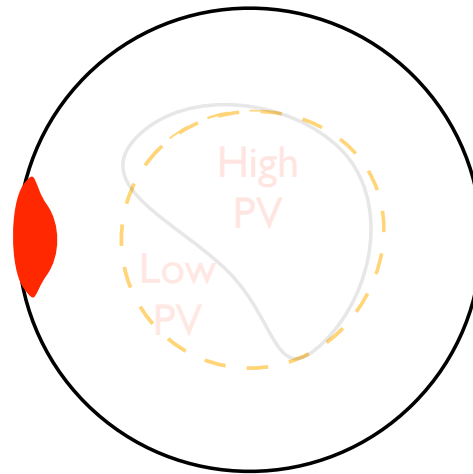


# Regional AM Dynamics



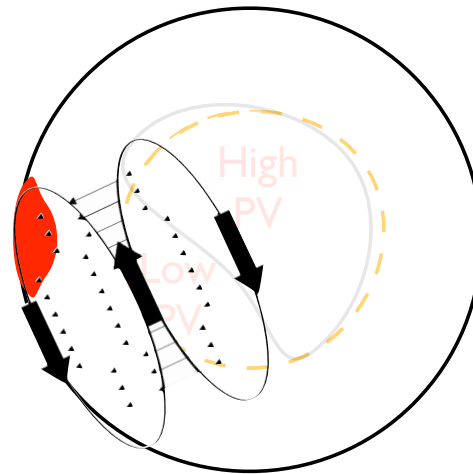
- A similar localization of the circulation response will occur in the transient pulse case.
- Several authors have explored the idea that the annular mode streamflow pattern is a superposition of regional events like this.

# Regional AM Dynamics



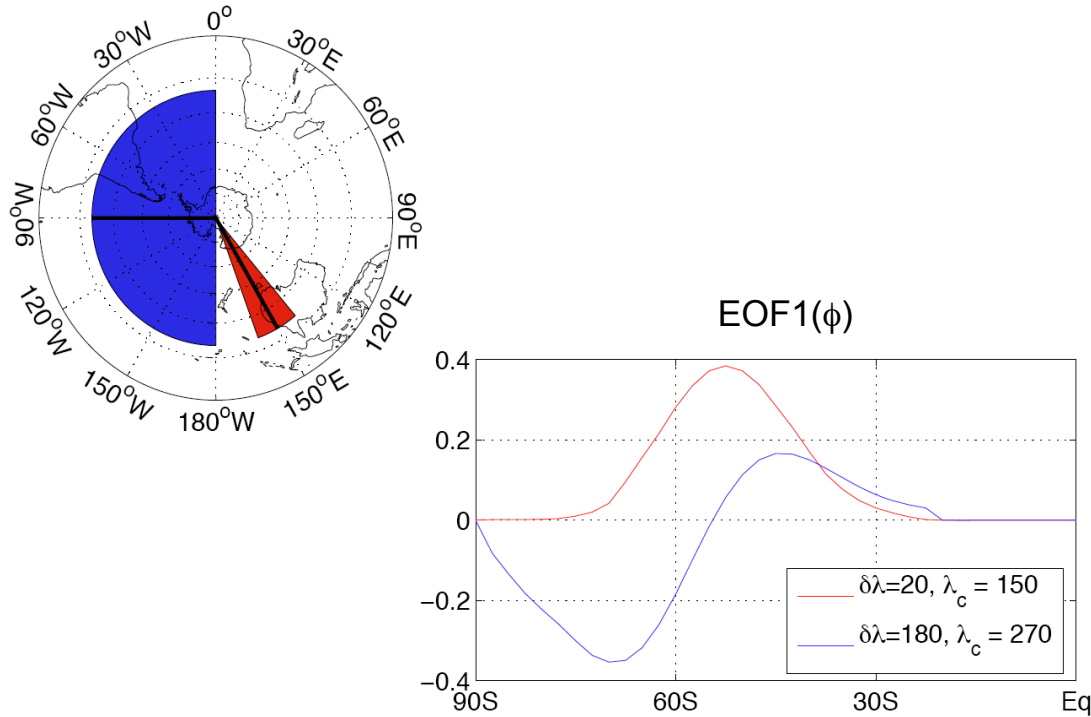
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# Regional AM Dynamics



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- Several authors have explored the idea that the annular mode streamflow pattern is a superposition of regional events like this.

# Sector EOF Analysis (Kushner & Lee)

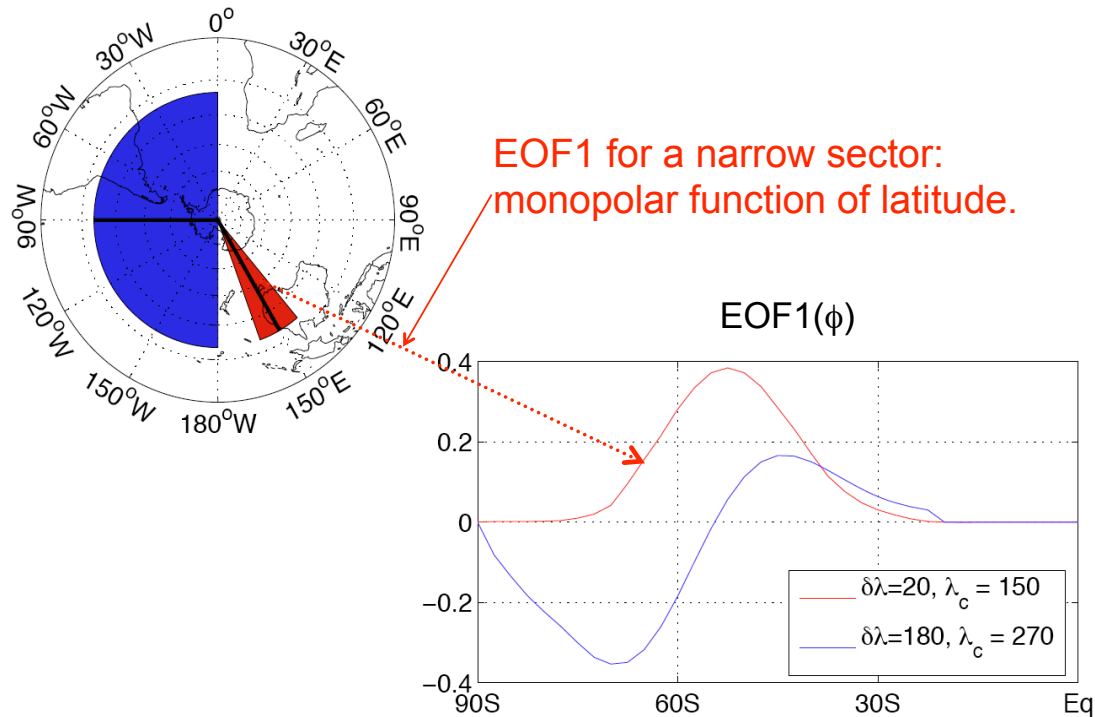


- \* We use daily extratropical surface pressure ( $p_s$ ) from NCEP reanalysis, all seasons.

- \* We find EOFs of zonal mean  $p_s$  in sectors of zonal width  $\delta\lambda$ , center  $\lambda_c$ .

- \* How do these EOFs depend on  $\delta\lambda$  and  $\lambda_c$ ?

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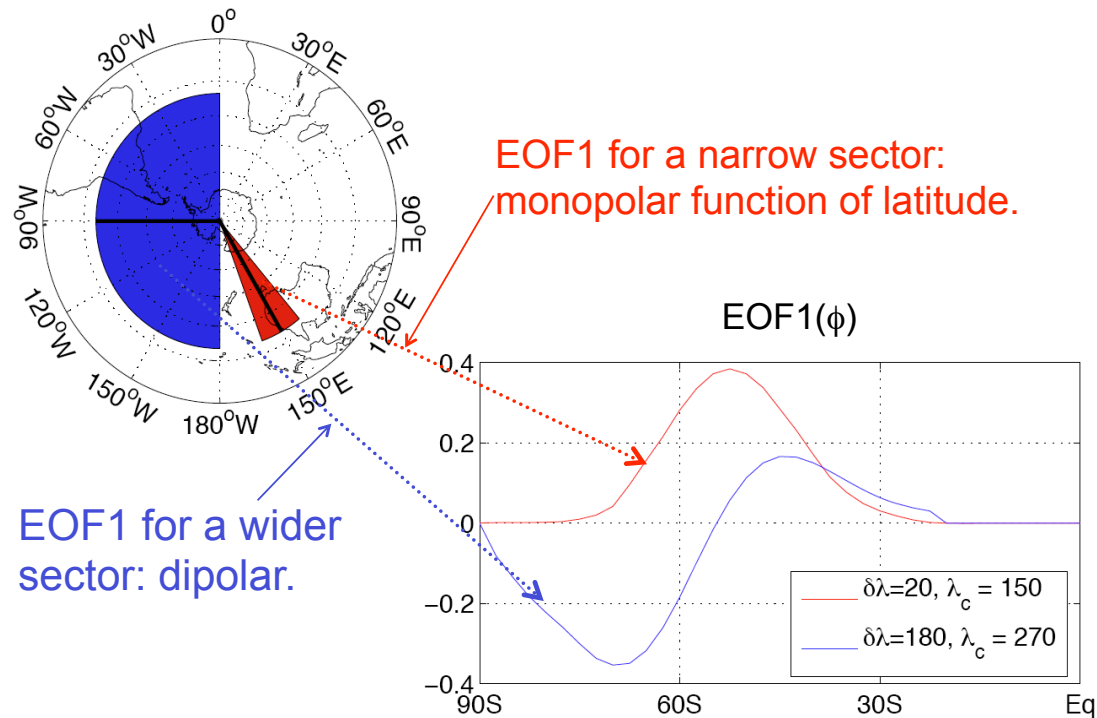


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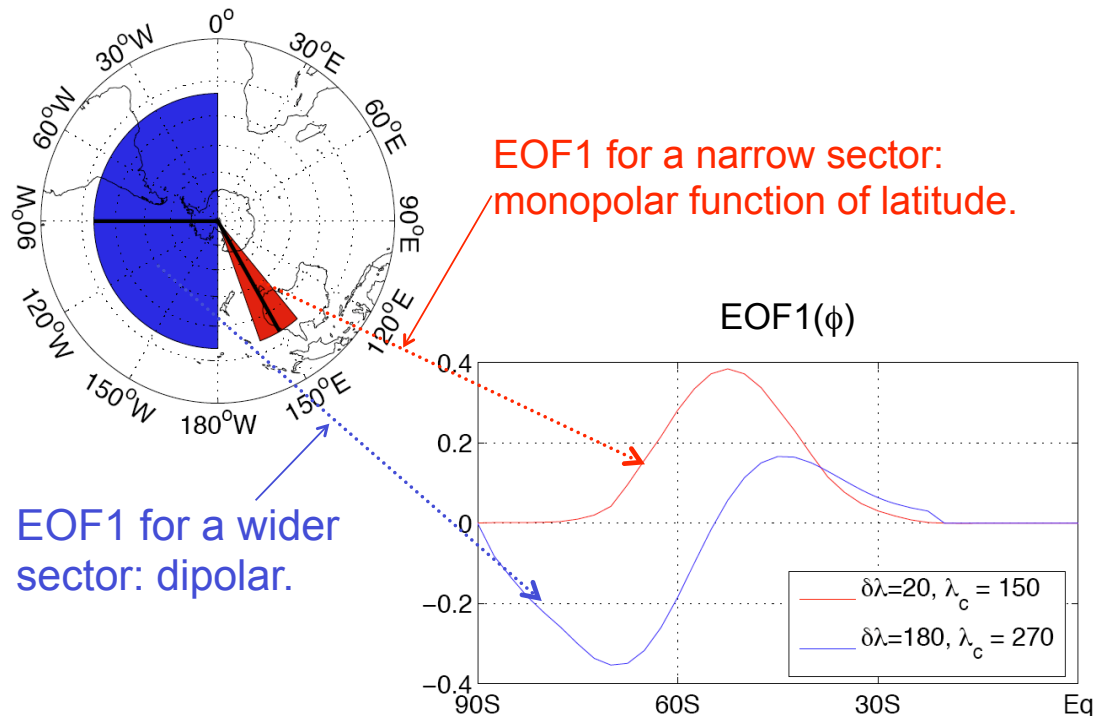


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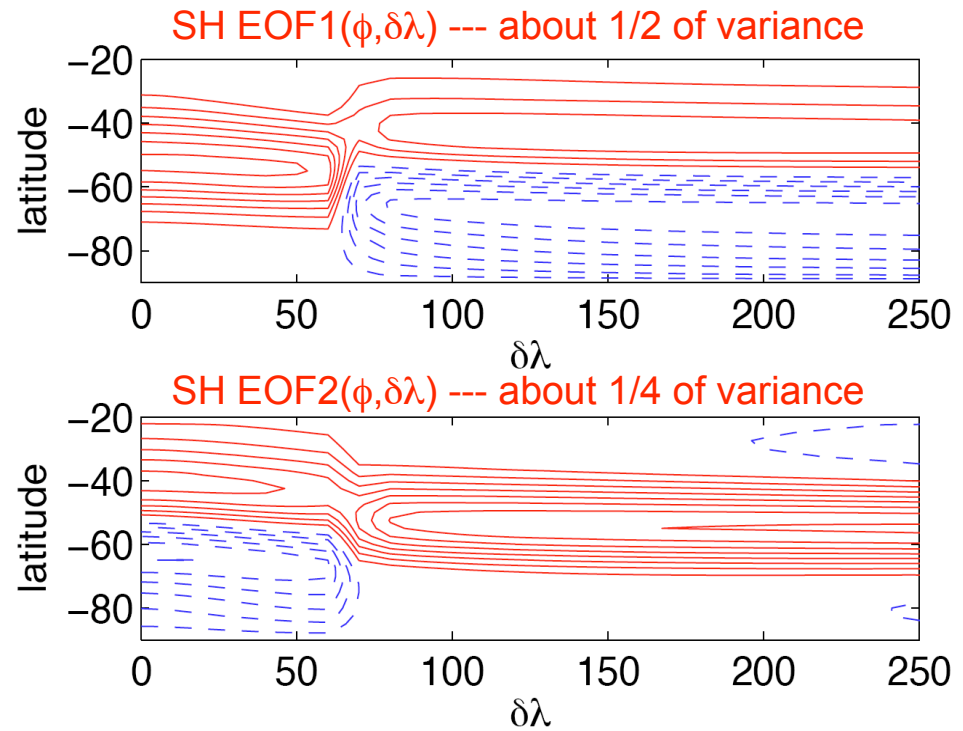
- \* How do these EOFs depend on  $\delta\lambda$  and  $\lambda_c$ ?

- \* As the sector width  $\delta\lambda \rightarrow 360^\circ$ , EOF1 becomes the SAM or the NAM (Baldwin 2001).

- \* We now focus on sector-composite SH results.

# Sector EOF Analysis

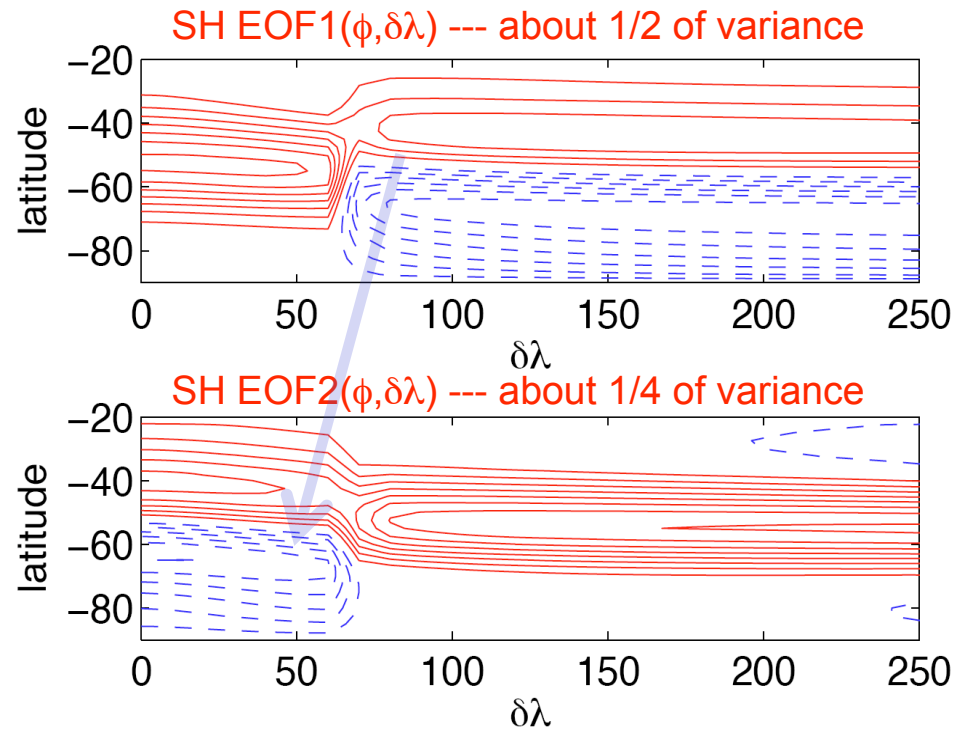
- \* SH EOF structure goes from monopole to dipole through  $\delta\lambda$   
 $\sim 70^\circ$





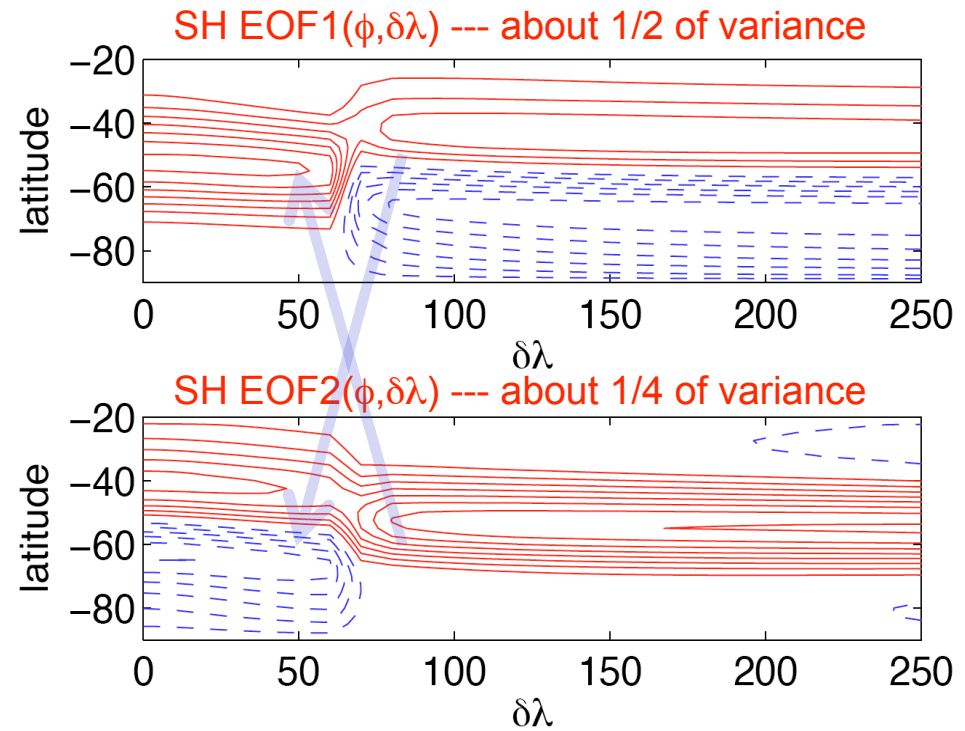
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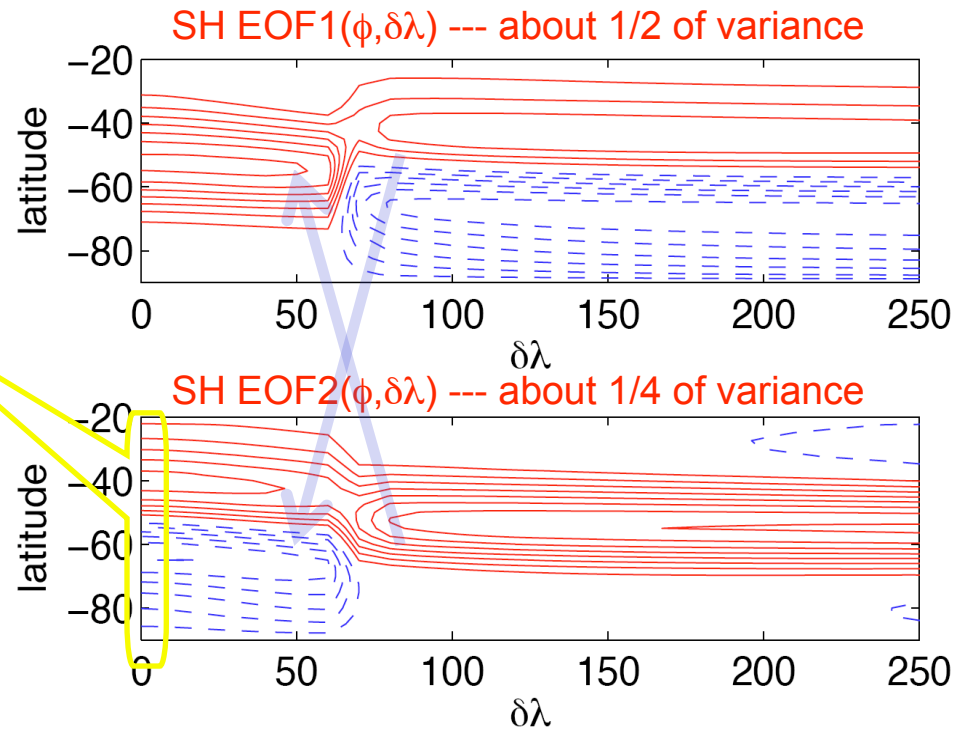
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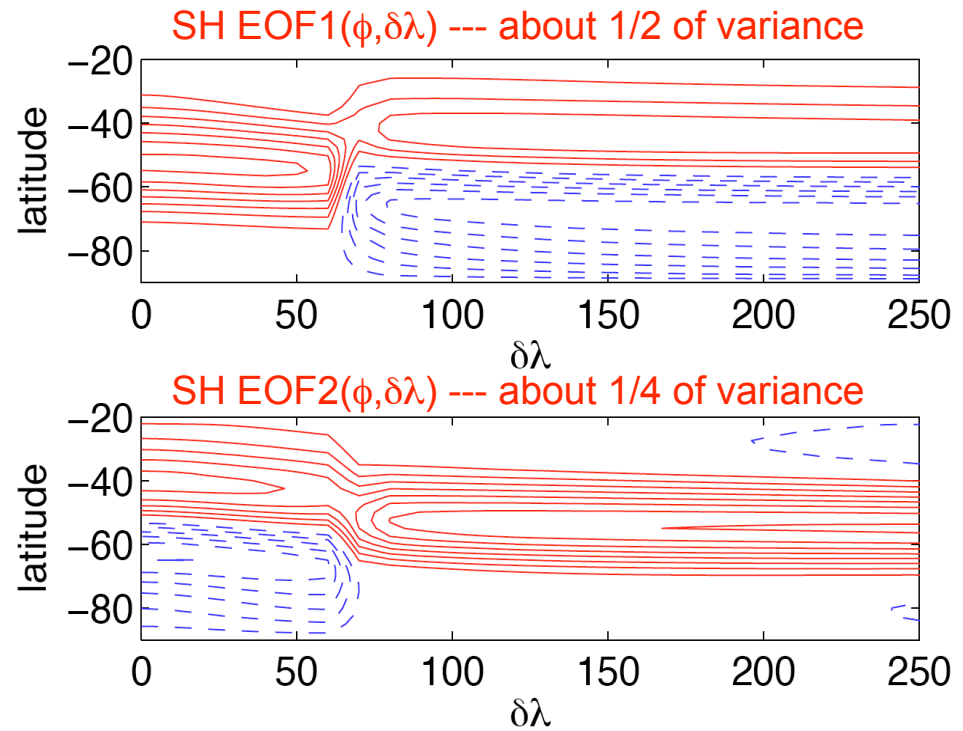
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- \* At EOF2,  $\delta\lambda=0^\circ$  we find the dipolar *regional signature of the SAM*.



# Sector EOF Analysis

- \*  $\delta\lambda=0^\circ$  EOF2 PC time series is used for regression.
- \* This time series is equivalent to a dipole index:  
$$\Delta p = p_s(40^\circ \text{ lat}) - p_s(65^\circ \text{ lat})$$

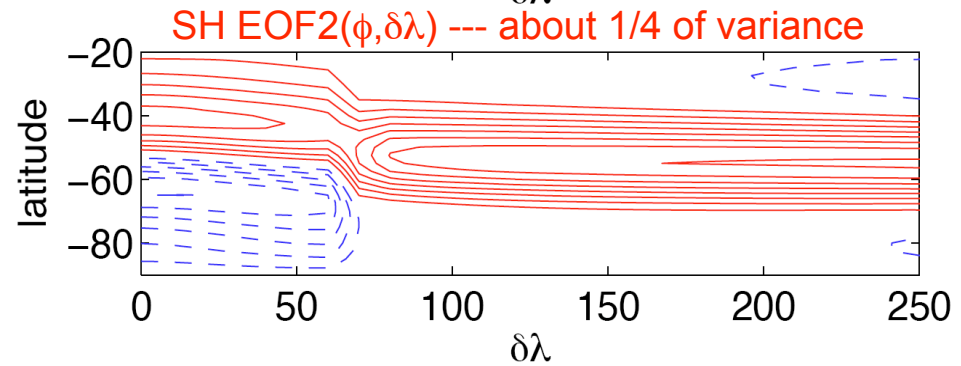
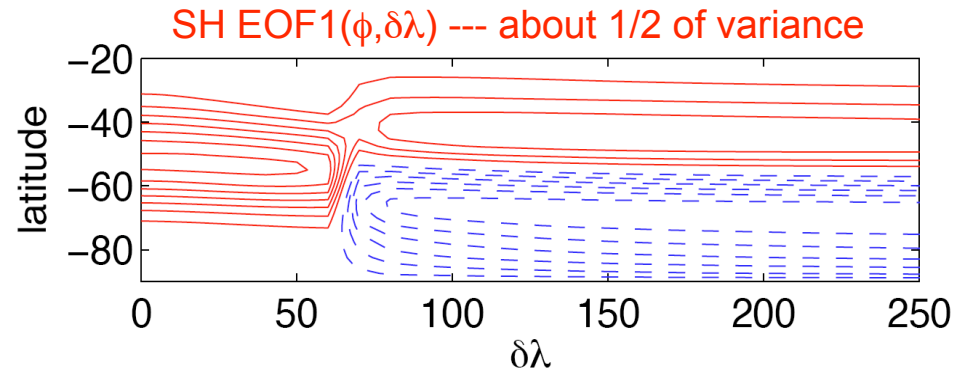


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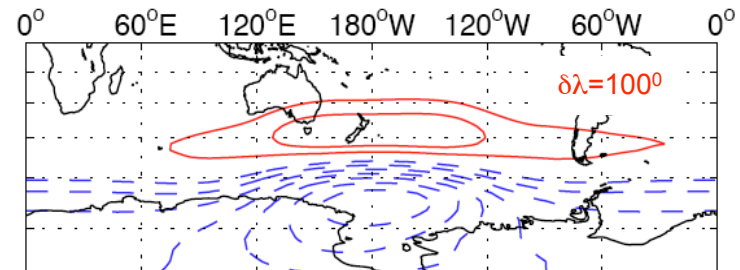
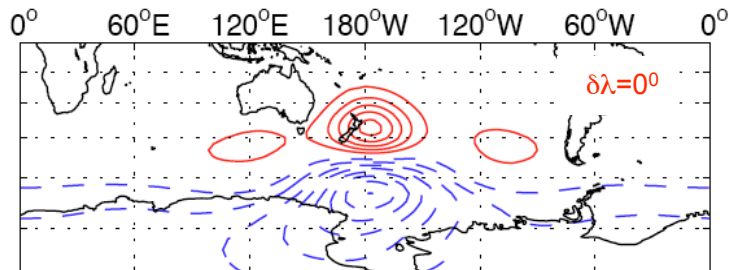
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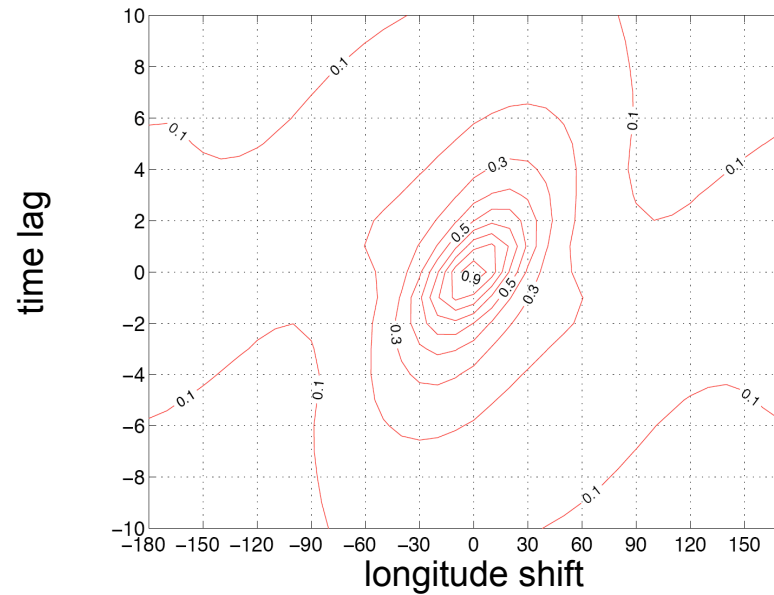
- \* The regression patterns have the AM dipolar structure but are regional like the NAO.



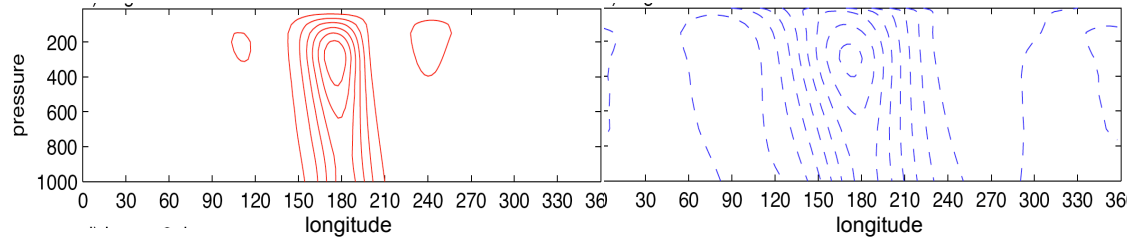
Sector-Composite regressions: local dipole index on  $p_s$



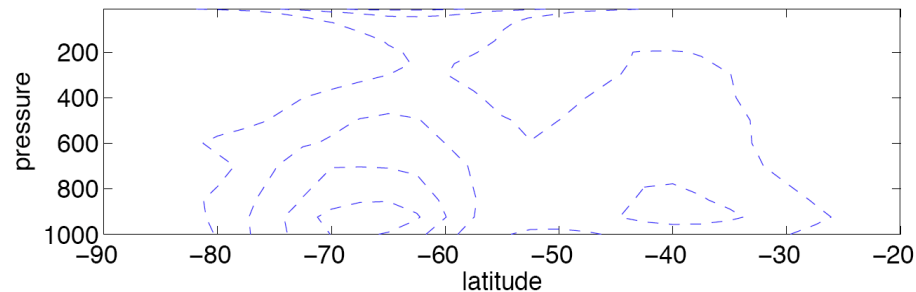
## Longitude-Time Lag-Correlation of Dipole Index



## Regressions on Geopotential at 40S & 65S



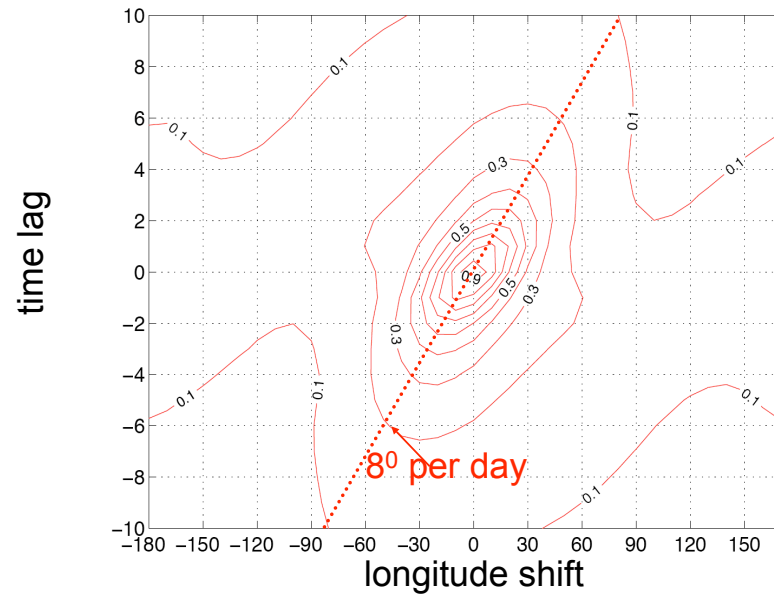
## Meridional eddy heat flux from regressions on $v$ & $T$



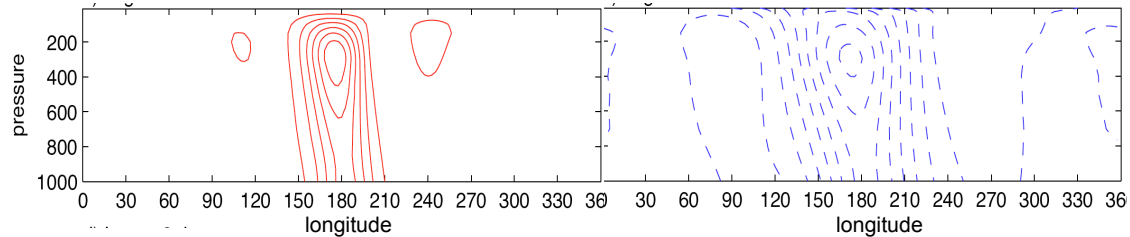
# Dipole Patterns

- \* The dipole patterns propagate coherently, with little dispersion.
- \* The patterns tilt westward with height and have a meridional heat flux signature.
- \* So the AMs might involve baroclinic instability.

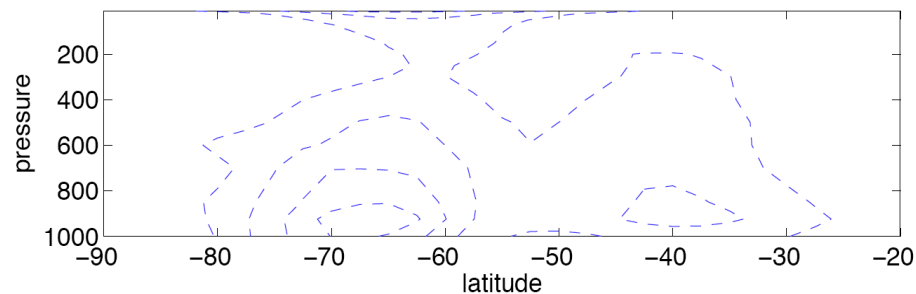
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# Recap

## What are the annular modes?

*Robust hemispherically extratropical modes characterized by north-south shifts of the jet.*

## Are the annular modes important?

*Dominant signals with many climate influences.*

## Dynamics of the annular modes

*Barotropic dynamics gives key insights, but doesn't fully explain AM dynamics.*

*A regional perspective reveals propagating dipole patterns: "building blocks" of the AMs?*