



*Simulations of strongly interacting  
Fermions and Bosons in Optical Lattices*

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# *Hubbard Models simulated using Quantum Monte Carlo methods*

- 1) **World line** QMC for bosons at zero and finite  $T$   
Y. Kato, N. Kawashima, and N. Trivedi
- 2) **Determinantal** QMC for attractive fermions with equal fermion populations at finite  $T$   
B. Peters, M. Randeria, R. Scalettar and N. Trivedi
- 3) **Variational** MC at  $T=0$  with RVB wave functions for repulsive fermions with equal populations  
A. Paramekanti, M. Randeria and N. Trivedi;  
S. Pathak, V. Shenoy, M. Randeria and N. Trivedi

# Optical Lattices: Tune well depth

## 1-band Hubbard Models: tune $t/|U|$

### BHM

MFT gets phases  
SF and Mott



QMC: quantitative  
insights

### FHM: $U < 0$

MFT:  
s-SF and CDW



QMC reveals  
reveals pseudo gap

### FHM: $U > 0$

#### AF-Mott



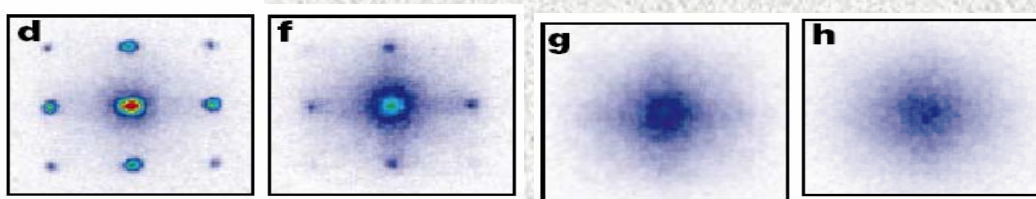
Multiple MFTs:

Dynamical MFT  
Density Matrix RG on  
strips

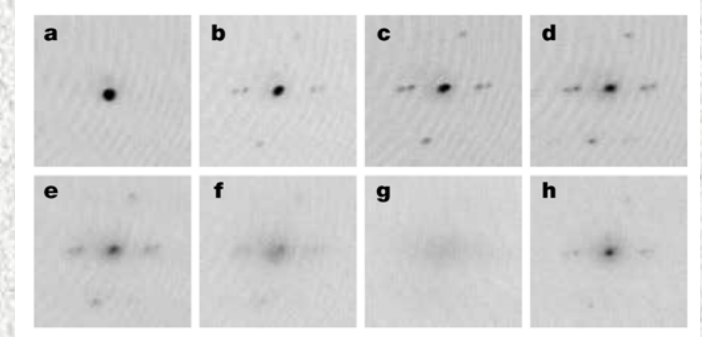
Standard QMC: limited  
To high temperature

VMC ( $T=0$ ): tremendous  
qualitative and quantitative  
insight

# big questions



Bosons



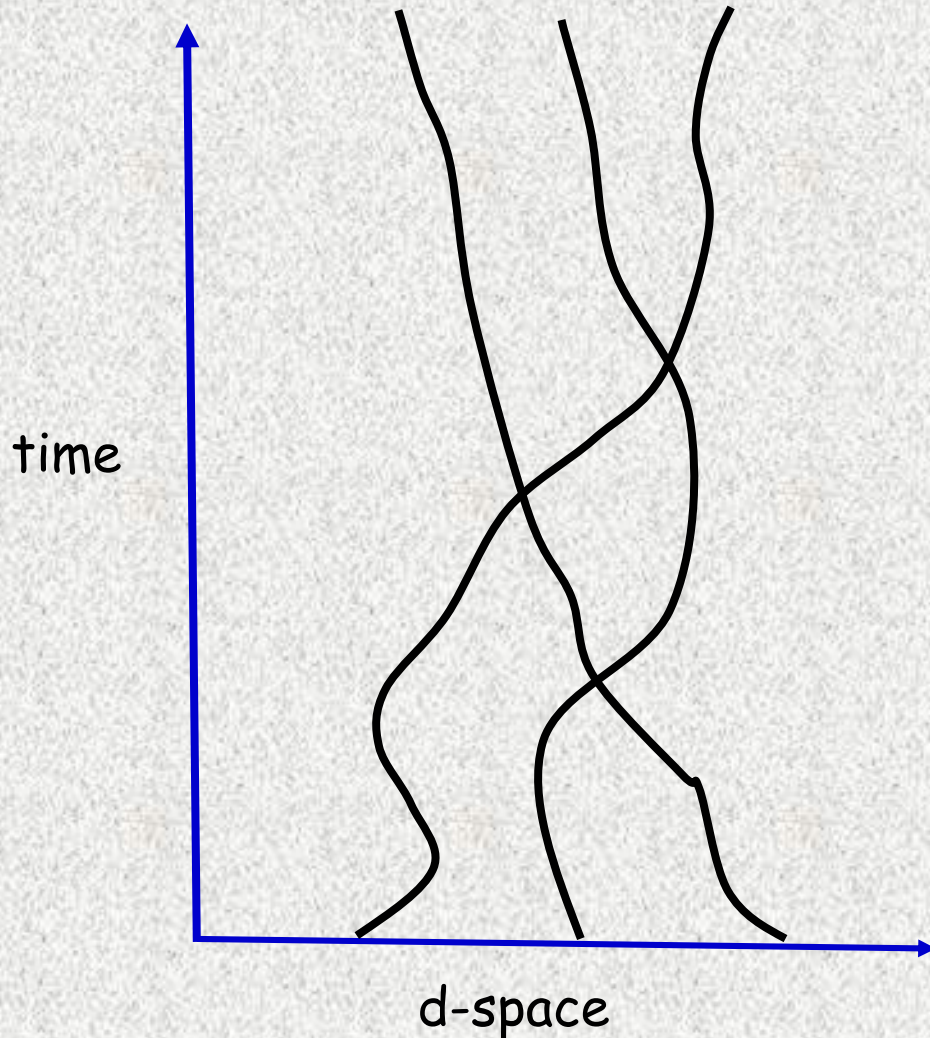
Attractive Fermions

Understanding of quantum and thermal fluctuations

Is there a superfluid phase in the positive  $U$  Hubbard model?

Is there a pseudogap phase at  $T=0$ ?

# Bose Hubbard Model



## **World Lines**

*Path integrals in  
configuration /  
occupation basis*

$$Z = \sum_{\text{spaghetti configurations}} e^{-\beta H}$$

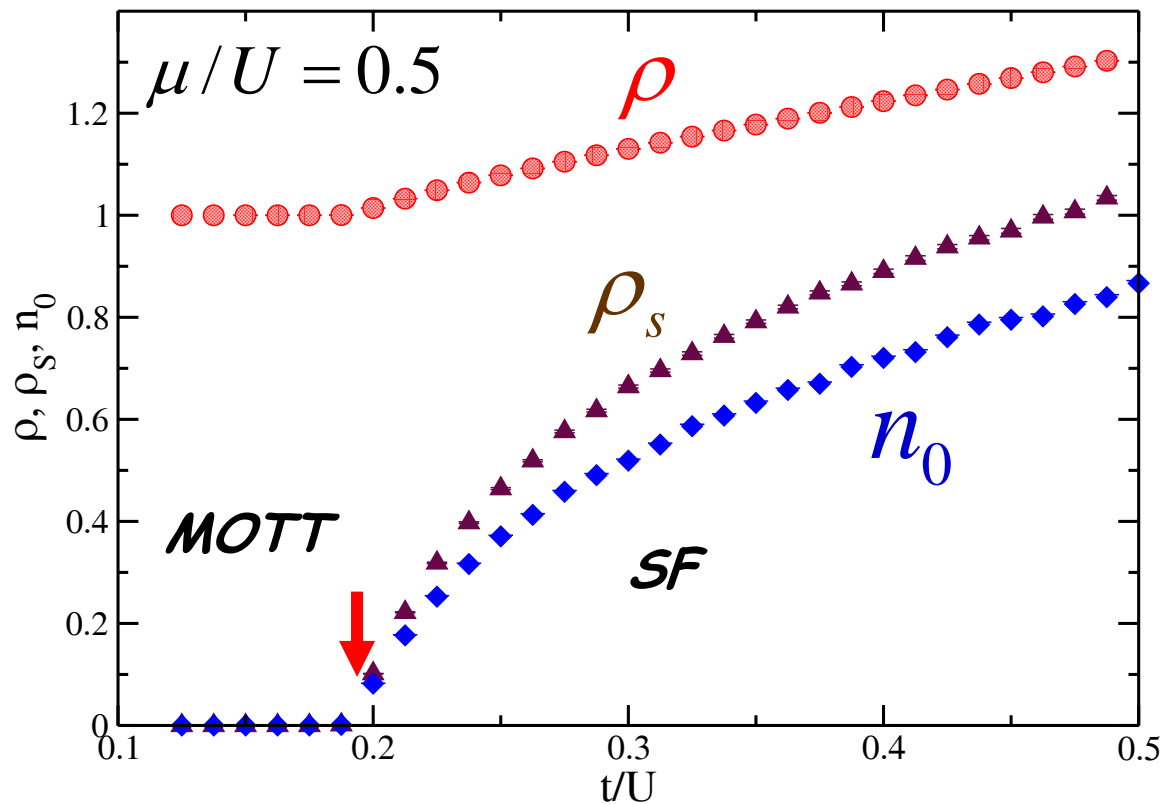
Sample the configurations using  
Monte Carlo

Method is "exact" with only  
statistical errors

Prokofeev, Troyer and collaborators  
Sorensen and collaborators  
Batrouni and collaborators

# Superfluid-Mott Insulator Transition: Bose Hubbard Model in 3D

$$\mathcal{H} = -\frac{t}{z} \sum_{\langle i,j \rangle} (\phi_i^\dagger \phi_j + \phi_i \phi_j^\dagger) + \frac{u}{2} \sum_i n_i(n_i - 1) - \mu \sum_i n_i,$$



large  $t$ : SF with  $\rho_s \approx \rho$

small  $t$ : Mott with  $\rho = 1$

$\rho_s = 0$

$N = 24 \times 24 \times 24$

$T \approx 0$

Also have results in 2D

arXiv:1508.01511, preprint

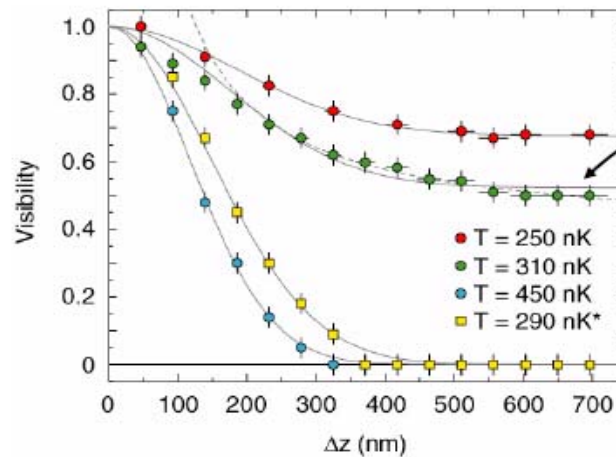
See also Prokofeev and collaborators

$\left(\frac{t}{U}\right)_c = 0.195$

# Measuring SF order parameter

$$\langle \phi^+(0, \tau) \phi(r, \tau) \rangle$$

## Spatial Correlation Function of a Trapped Bose Gas



Constant correlation function indicates the presence of long-range phase coherence !



temperature	thermal de Broglie wavelength	measured width
● 250 nK	373±15 nm	<< 463±16 nm
● 310 nK	335±11 nm	< 428±26 nm
● 450 nK	278±6 nm	< 294 ± 6 nm
● 290 nK*	346±12 nm	< 372±8 nm

$$\rho(\mathbf{r}, \mathbf{r}') = \langle \hat{\Psi}^+(\mathbf{r}), \hat{\Psi}(\mathbf{r}') \rangle \rightarrow \frac{N_0}{V}$$

for  $|\mathbf{r}, \mathbf{r}'| \rightarrow \infty$

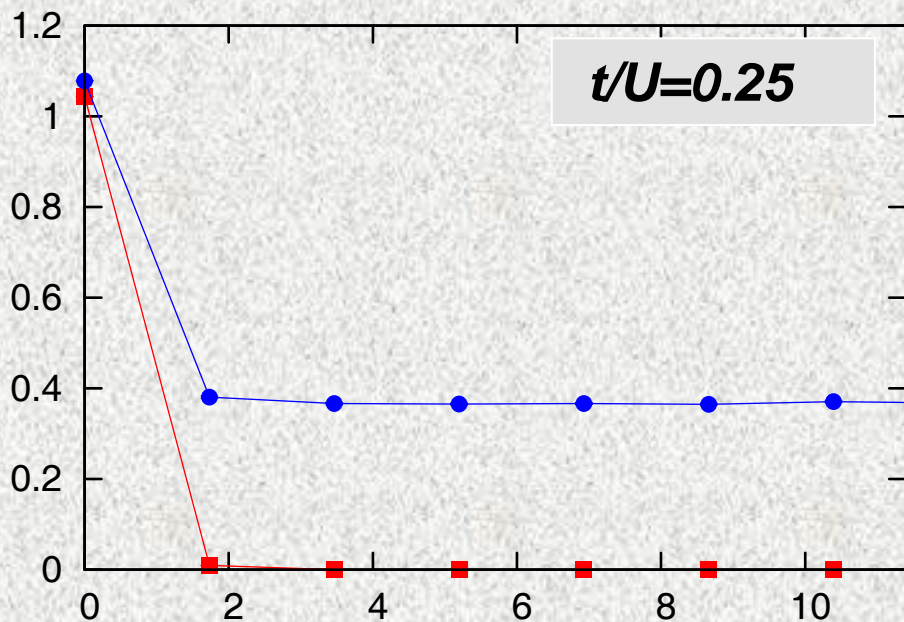
↗  
Condensate fraction

lansch, and T. Esslinger, Nature 403, 166 (2000).



# Order parameter correlations

$$\langle \phi^+(0, \tau) \phi(r, \tau) \rangle$$

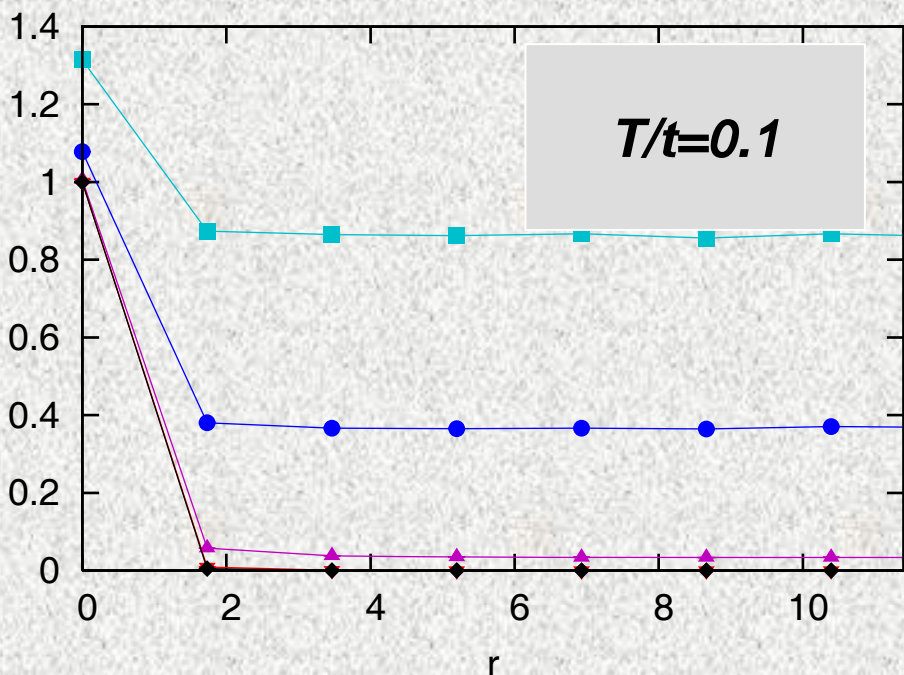


$T/t=0.1$

$T/t=2.0$



Order parameter suppressed by thermal fluctuations



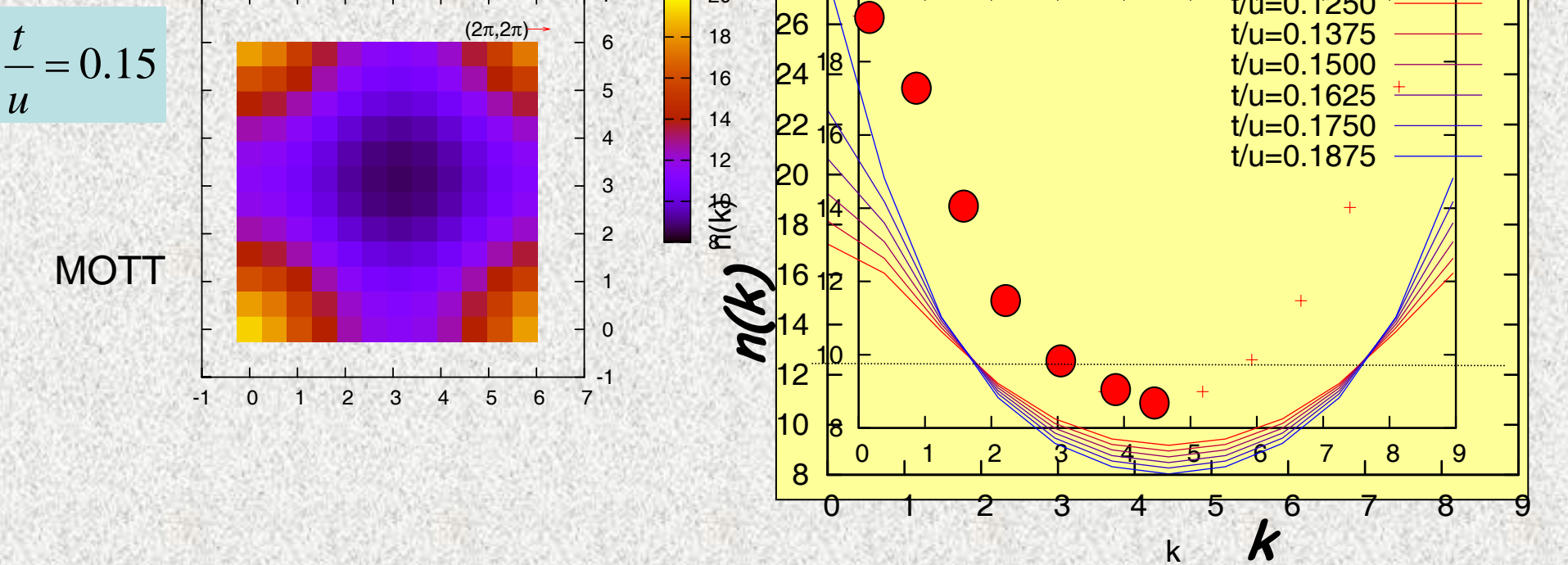
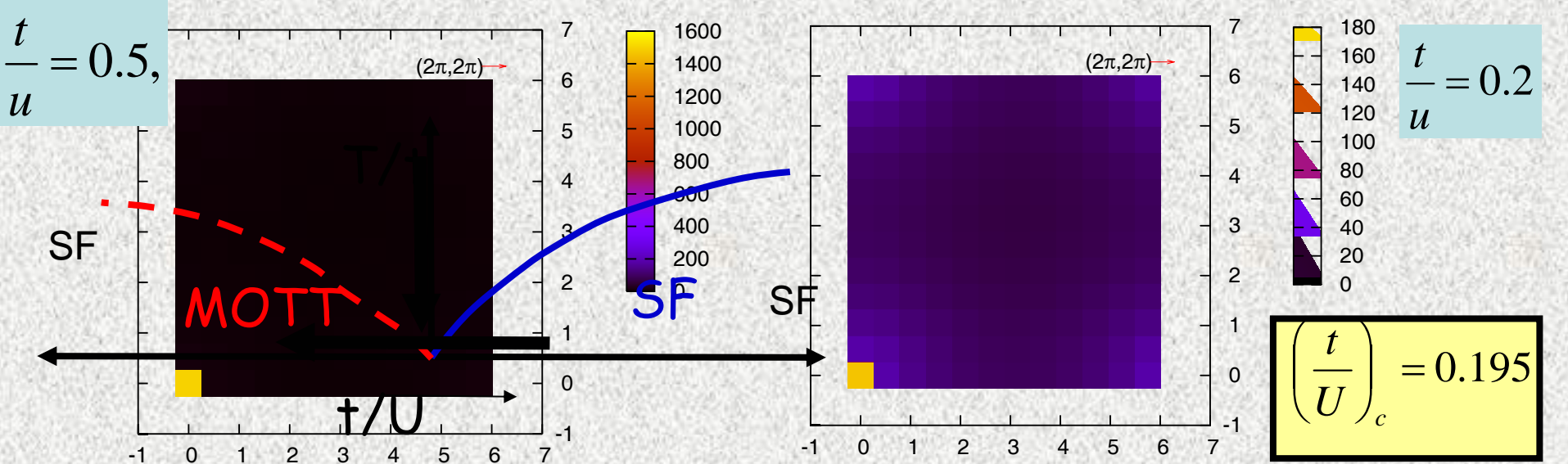
$t/U=0.5$

$t/U=0.25$

$t/U=0.195$

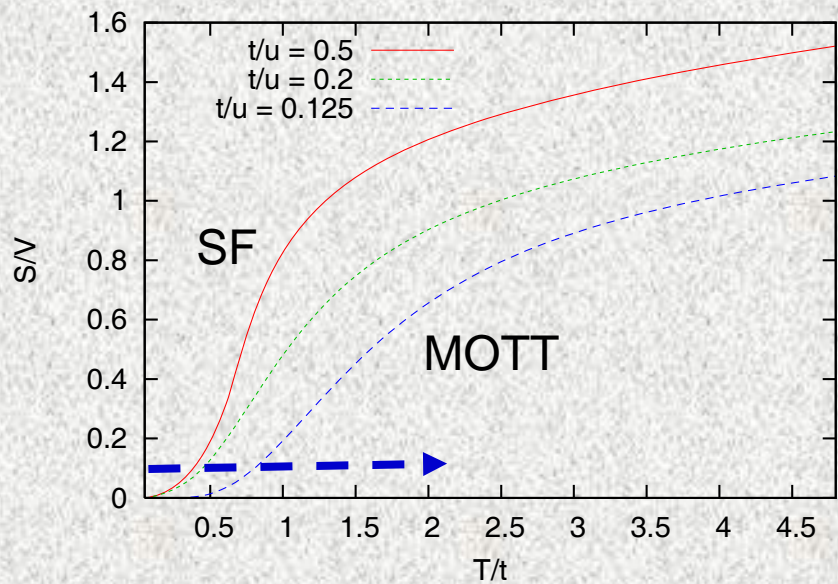
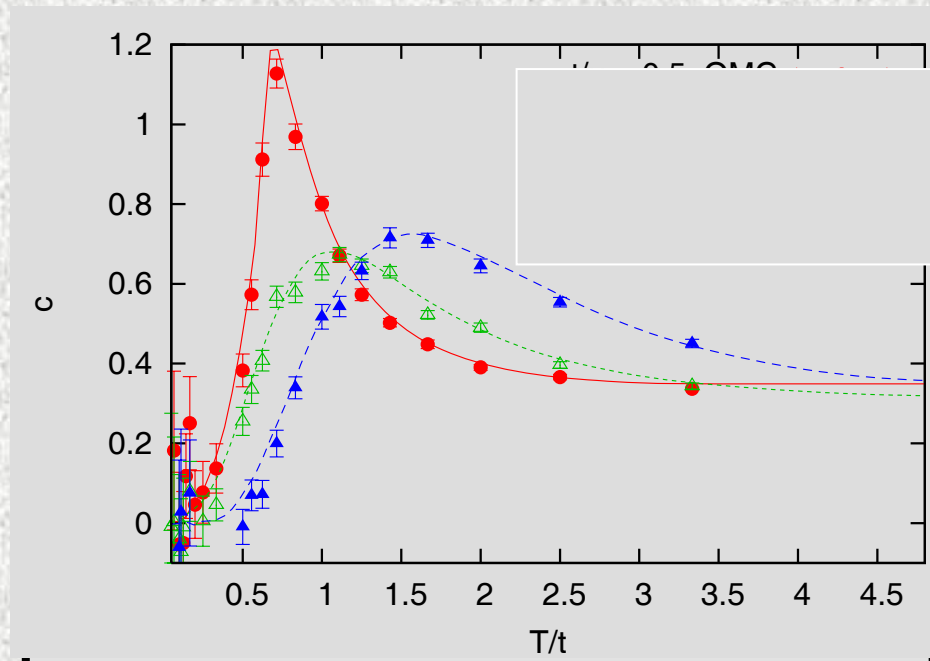
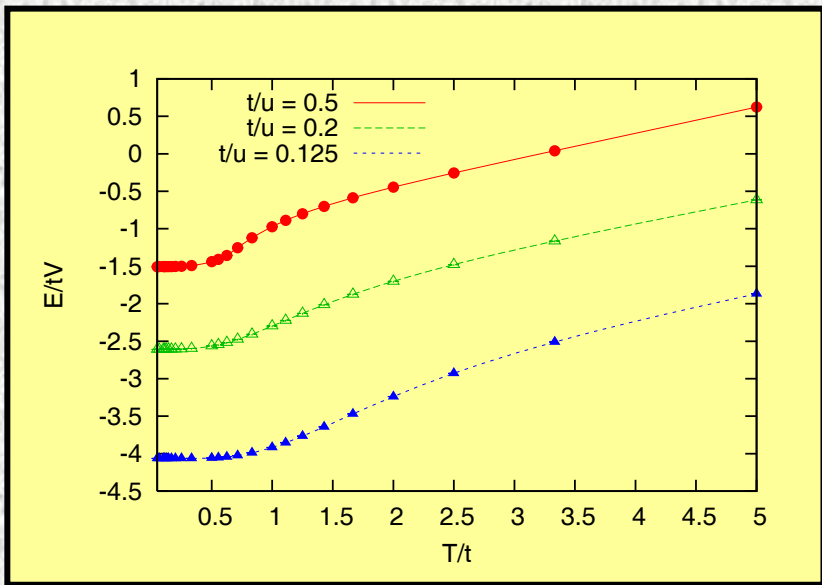


Order parameter suppressed by quantum fluctuations

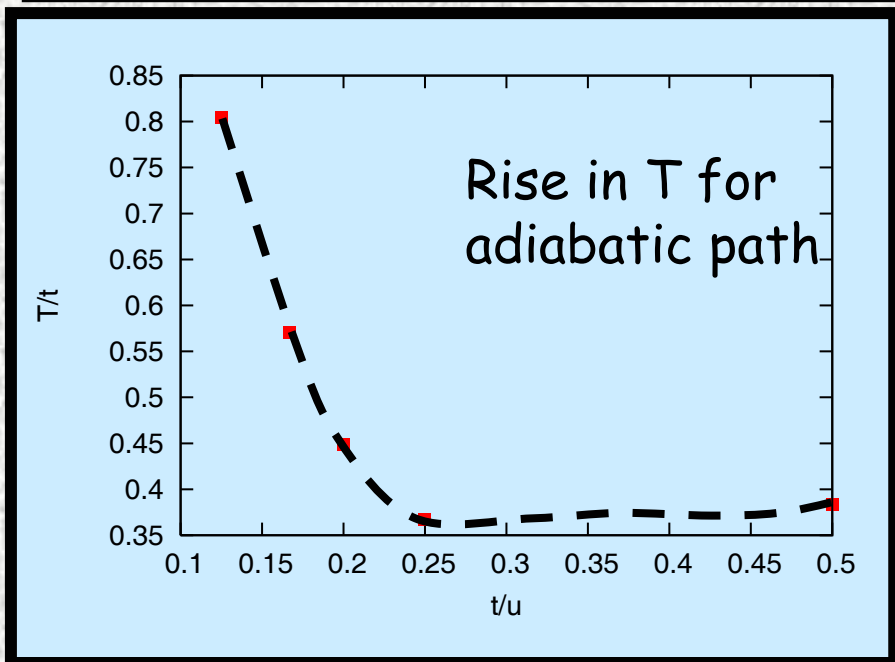


How to determine Temperature in an interacting system?

$$S(T/t, t/U)$$



Adiabatic path



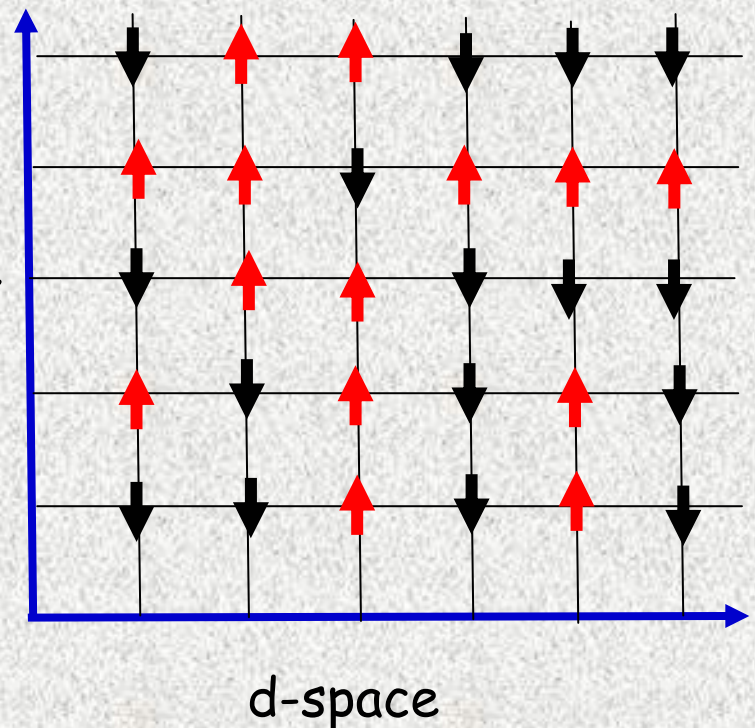
# Fermion Hubbard Model $U < 0$

# Fermion Hubbard Model $U < 0$

- Technique: Coherent State Path Integral  $\rightarrow$  Determinantal QMC
- **exact evaluation of density matrix with only statistical errors**
  - equal populations

Sample configurations of  $\{S_i(\tau)\}$   
using Monte Carlo  
with probability

$$Z = \sum_{\{S_i(\tau)\}} \text{Det}(M_{\uparrow}(S)) \text{Det}(M_{\downarrow}(S))$$



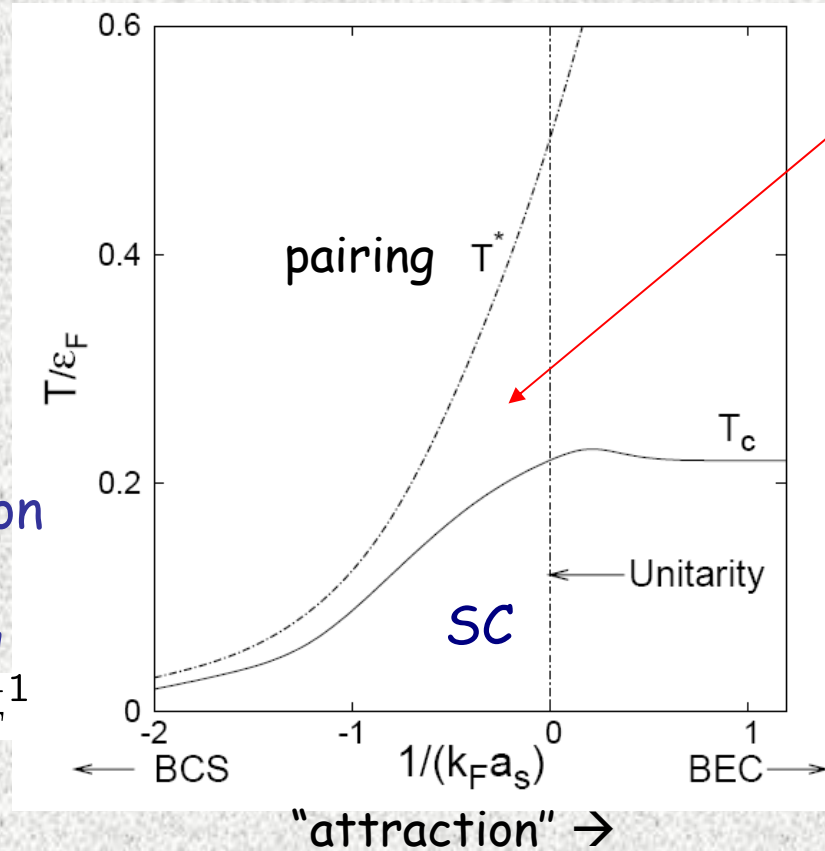
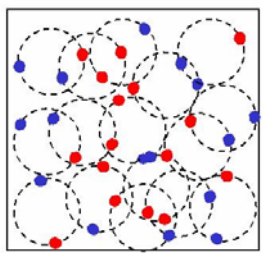
Scalapino and collaborators

# How do the properties of a system evolve from a normal FL to a Bose Liquid : Pseudogap

Normal Fermi liquid

BCS regime:

- weak attraction
- cooperative Cooper pairing
- pair size  $\gg k_F^{-1}$

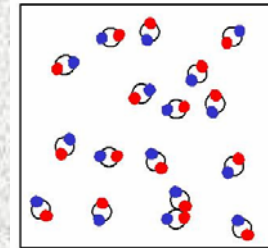


pseudogap

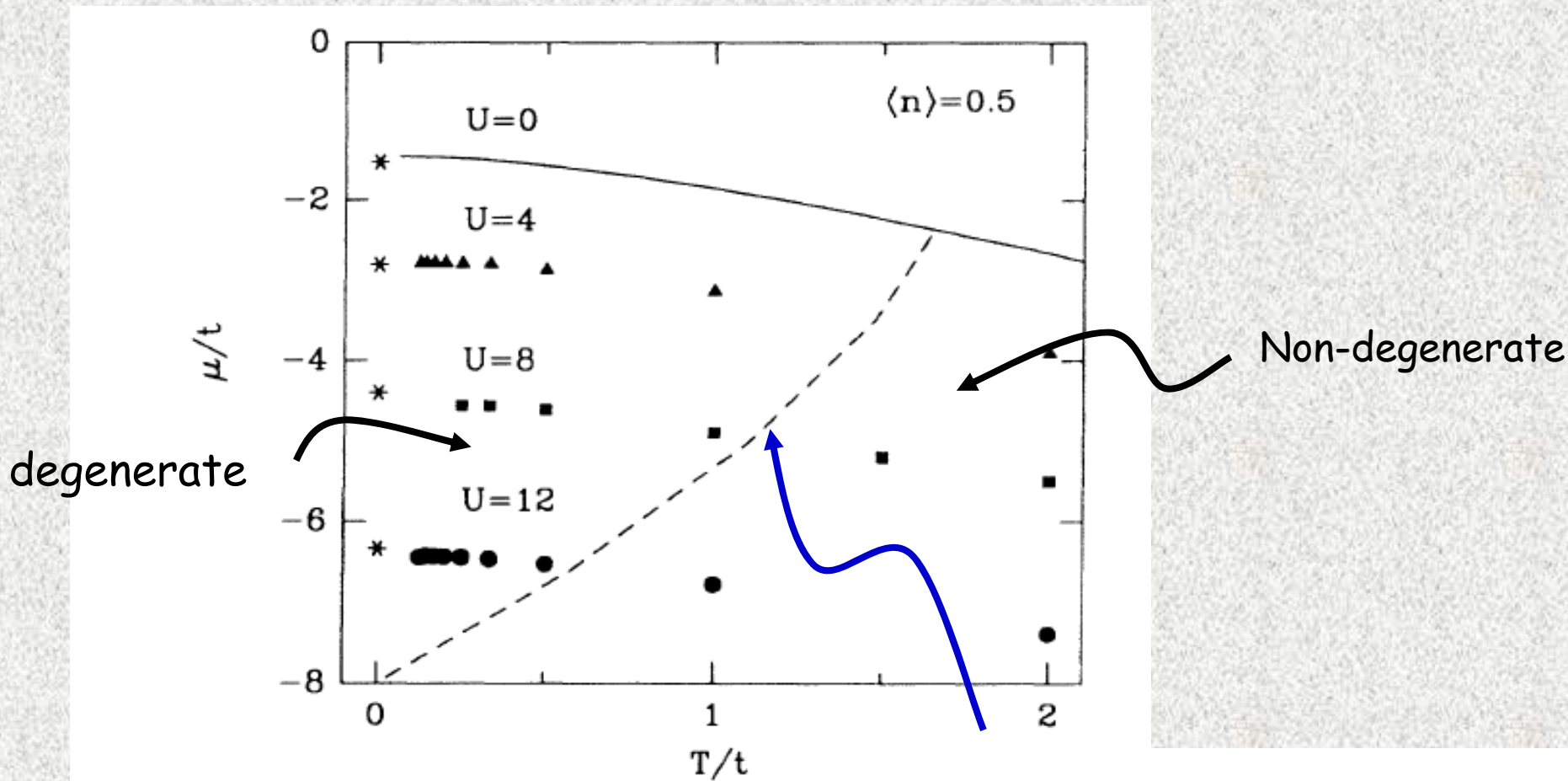
Normal Bose liquid

BEC regime:

- strong attraction
- condensate of tightly bound molecules
- pair size  $\ll k_F^{-1}$



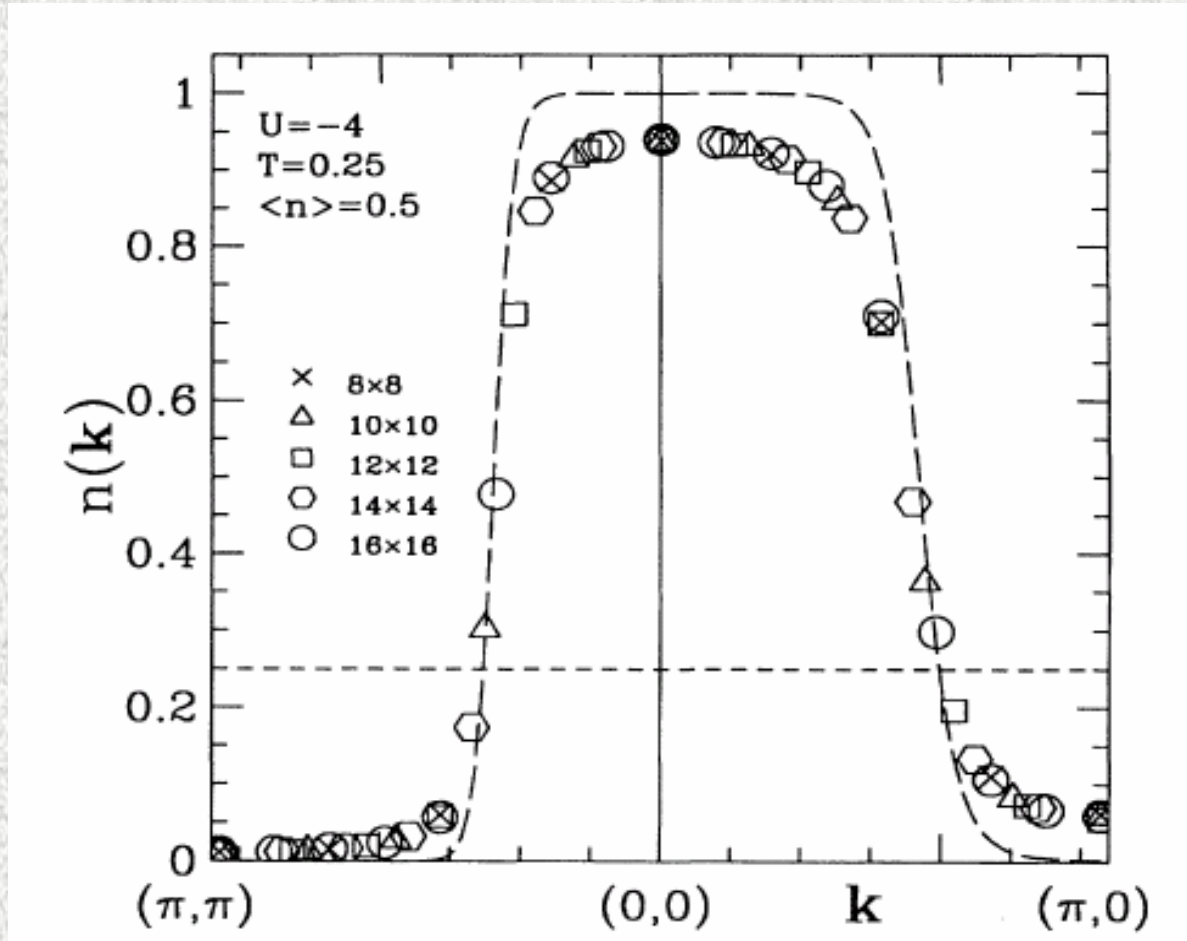
Leggett (80) Nozieres & Schmitt-Rink (85)  
Randeria in "Bose-Einstein Condensation" ('95)



$$\mu(T, U) + 4 + \langle n \rangle U / 2 > T$$



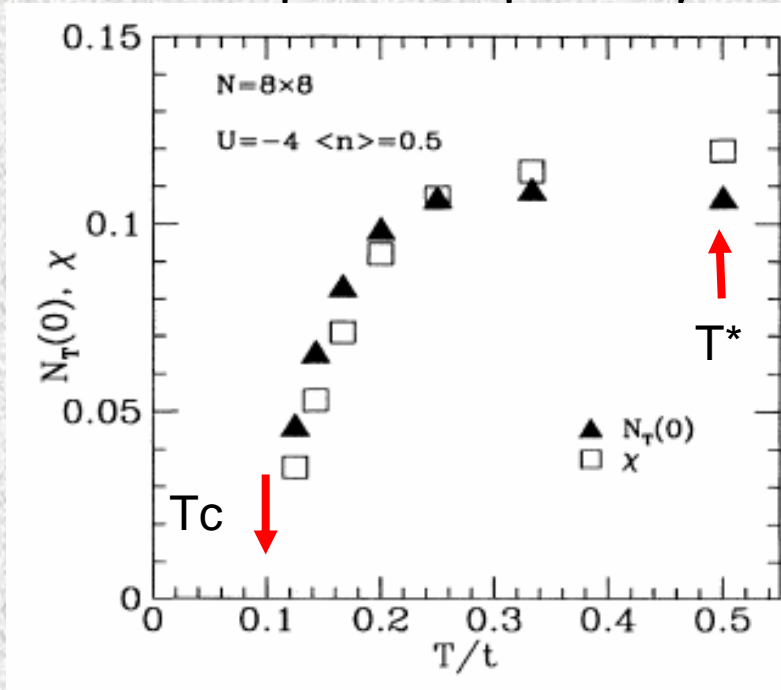
# Momentum distribution function



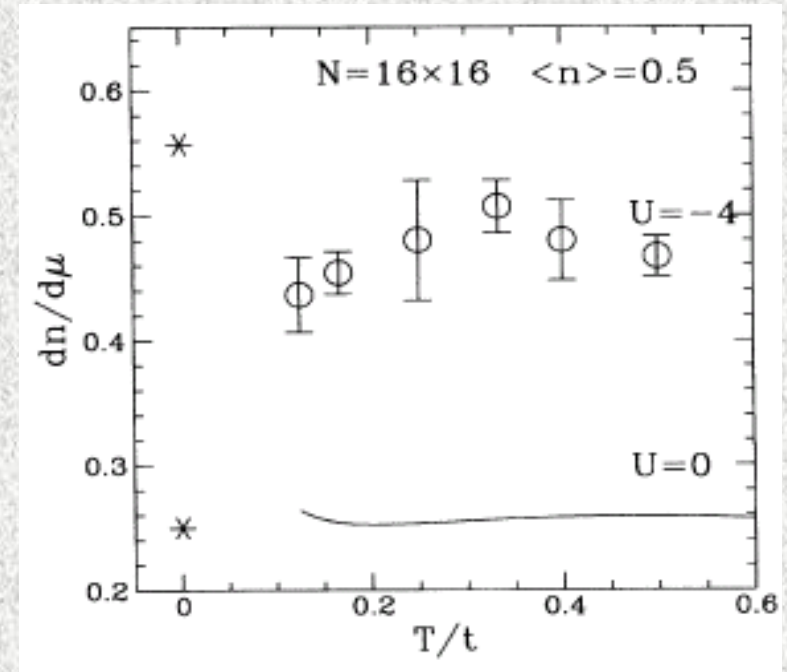
Looks rather boring:  
Slightly broadened  
Fermi distribution

# FIRST EVIDENCE OF PSEUDOGAP

Spin susceptibility



compressibility



Randeria, Trivedi, Scalettar, Moreo;  
PRL 69, 2001 (1992)

Trivedi and Randeria PRL 75, 312 (1995)

- Pseudogap State: (1) Suppression of low energy density of states below  $T^*$   
 (2) Opening of gap in low energy spin spectrum below  $T^*$   
 (3) T-independent Compressibility; does not follow the spin susceptibility

c.f. Fermi Liquid  $\chi \sim N(\epsilon_F)$   $\kappa \sim N(\epsilon_F)$  Both equal and independent of T

# Probing density and spin correlations

$$C_{\uparrow\uparrow} = \frac{1}{N} \sum_{rr'} \langle n_{r\uparrow} n_{r'\uparrow} \rangle$$

$$C_{\uparrow\downarrow} = \frac{1}{N} \sum_{rr'} \langle n_{r\uparrow} n_{r'\downarrow} \rangle$$

$$\kappa = \frac{1}{n^2} \frac{\partial n}{\partial \mu} = \frac{2\beta}{n^2} [C_{\uparrow\uparrow} + C_{\uparrow\downarrow}] - \beta N$$

Charge channel:  
compressibility

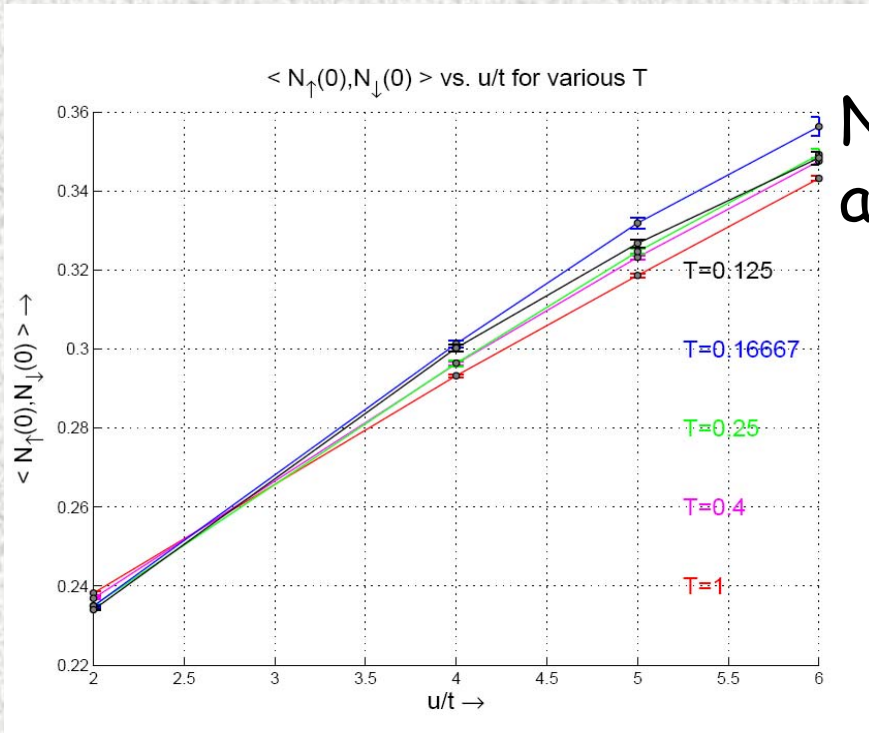
$$\chi = \frac{\beta}{N} \sum_{rr'} \langle S_r^z S_{r'}^z \rangle$$

$$S_r^z = n_{r\uparrow} - n_{r\downarrow}$$

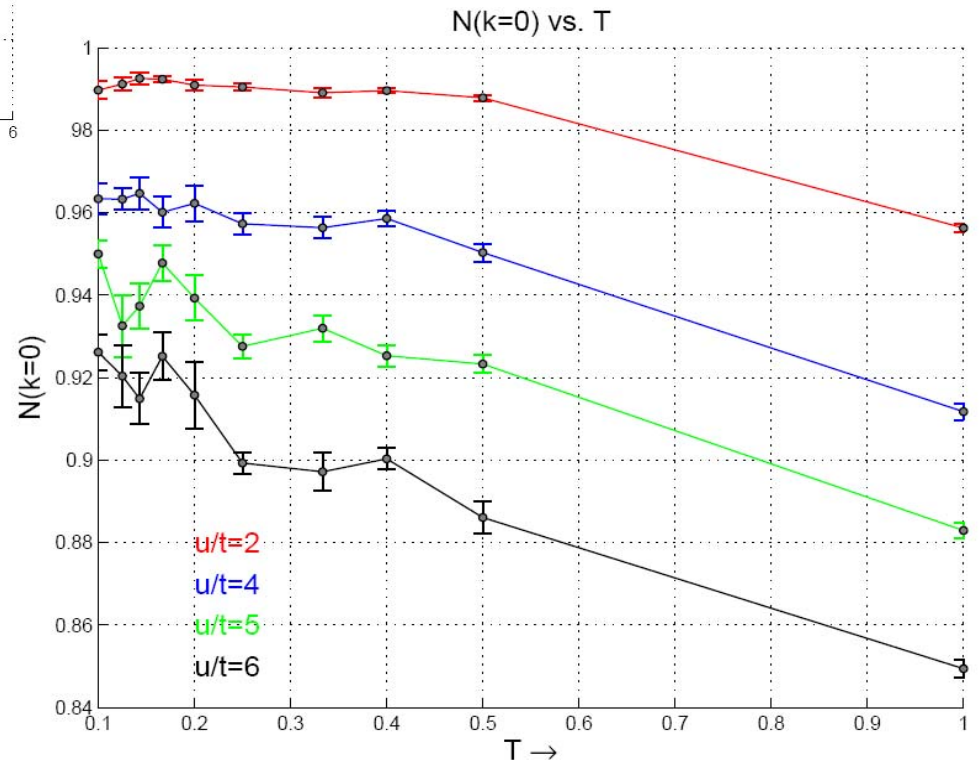
$$\chi = 2\beta [C_{\uparrow\uparrow} - C_{\uparrow\downarrow}]$$

Spin channel:  
susceptibility

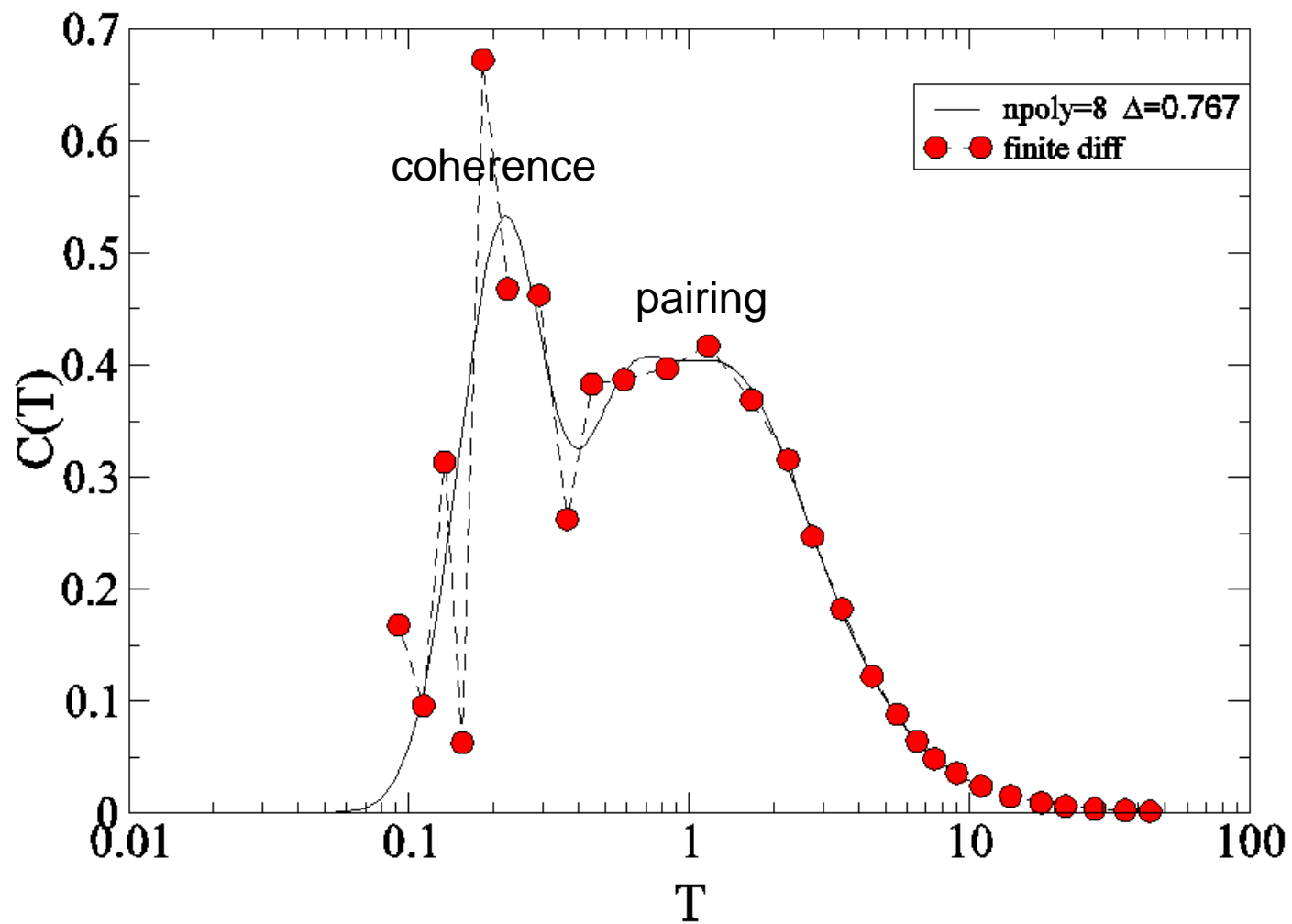
Number of doubly occupied sites as a function of  $U$



Momentum distribution at  $k=0$  as a function of  $T$  and  $U$



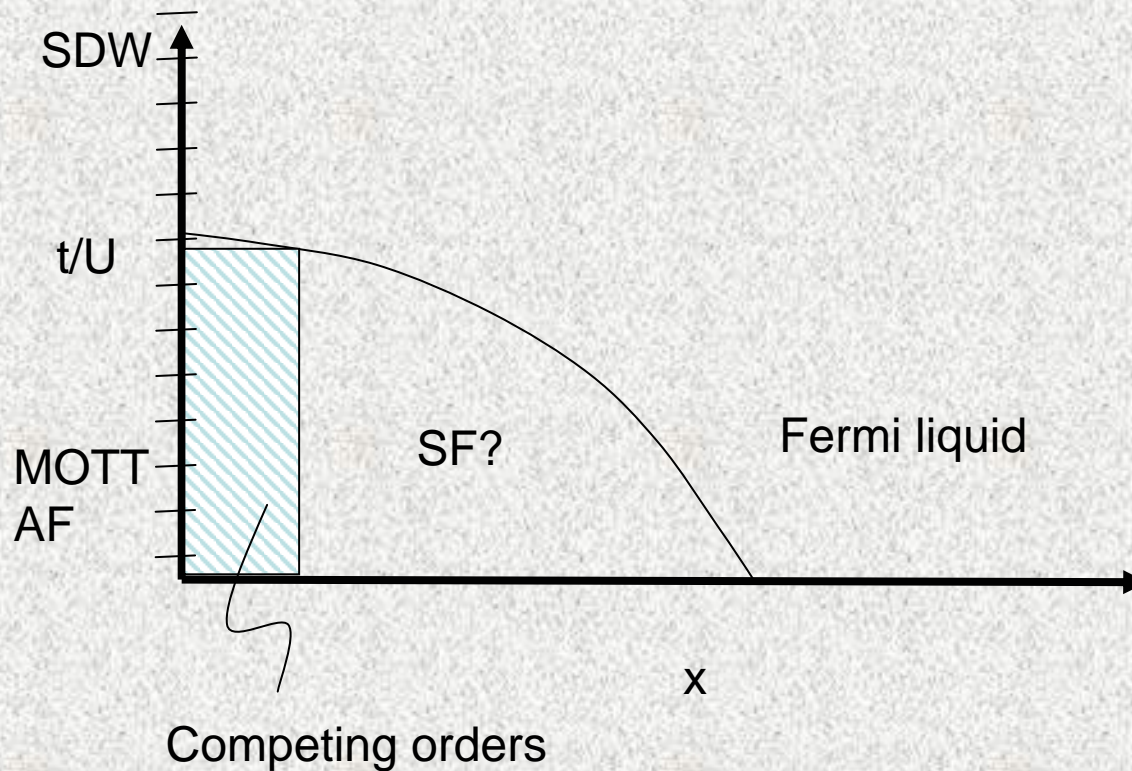
$N=6 \times 6$   $U=-4$   $\mu=0.0$



# Fermion Hubbard Model $U > 0$

Square lattice

What is the phase diagram?



# Repulsive Fermion Hubbard model

$$\mathcal{H} = \sum_{\mathbf{k}, \sigma} \epsilon(\mathbf{k}) c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + U \sum_{\mathbf{r}} n_{\mathbf{r}\uparrow} n_{\mathbf{r}\downarrow}$$

repulsion

Large  $U/t$  : charge fluctuations are frozen  
and spin physics emerges

$$J \approx \frac{t^2}{U}$$

$$H_J = J \sum_{\langle \mathbf{r}, \mathbf{r}' \rangle} \left( \mathbf{S}_{\mathbf{r}} \cdot \mathbf{S}_{\mathbf{r}'} - \frac{1}{4} n_{\mathbf{r}} n_{\mathbf{r}'} \right)$$

$$B_{\mathbf{r}, \mathbf{r}'}^\dagger \equiv c_{\mathbf{r}, \uparrow}^\dagger c_{\mathbf{r}', \downarrow}^\dagger - c_{\mathbf{r}, \downarrow}^\dagger c_{\mathbf{r}', \uparrow}^\dagger$$

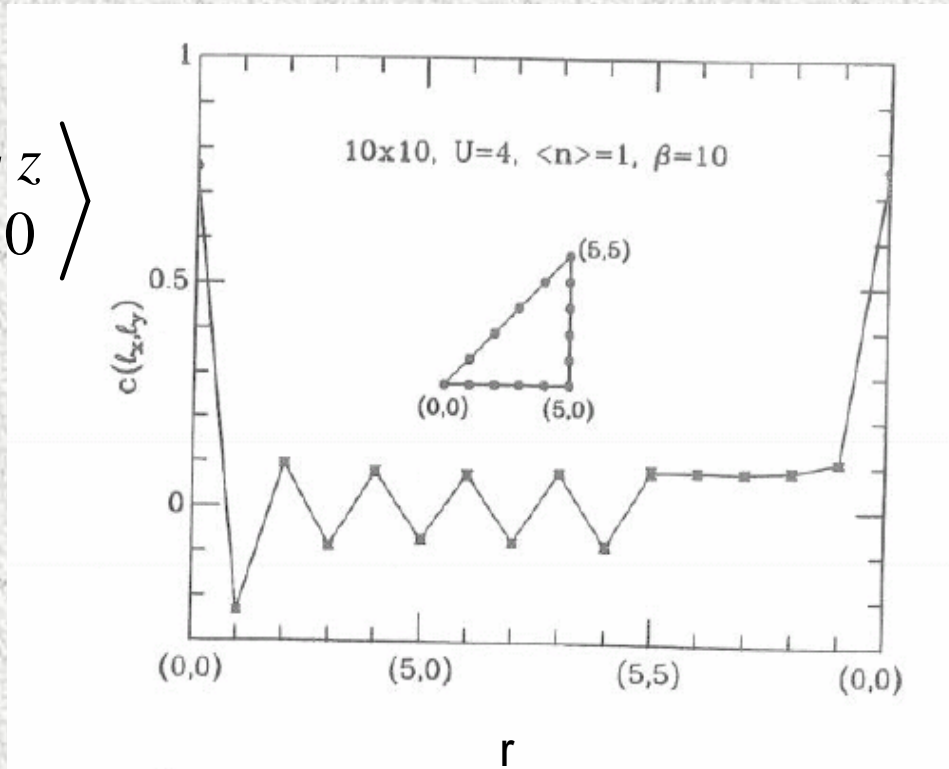
Singlet bond operator

$$H_J = -\frac{J}{2} \sum_{\langle \mathbf{r}, \mathbf{r}' \rangle} B_{\mathbf{r}, \mathbf{r}'}^\dagger B_{\mathbf{r}, \mathbf{r}'} = J \sum_{\langle \mathbf{r}, \mathbf{r}' \rangle} \left( \mathbf{S}_{\mathbf{r}} \cdot \mathbf{S}_{\mathbf{r}'} - \frac{1}{4} n_{\mathbf{r}} n_{\mathbf{r}'} \right)$$

Attraction  
D-wave channel  
Pairing scale  $J$

# Antiferromagnetism

$$\left\langle S_r^z S_0^z \right\rangle$$



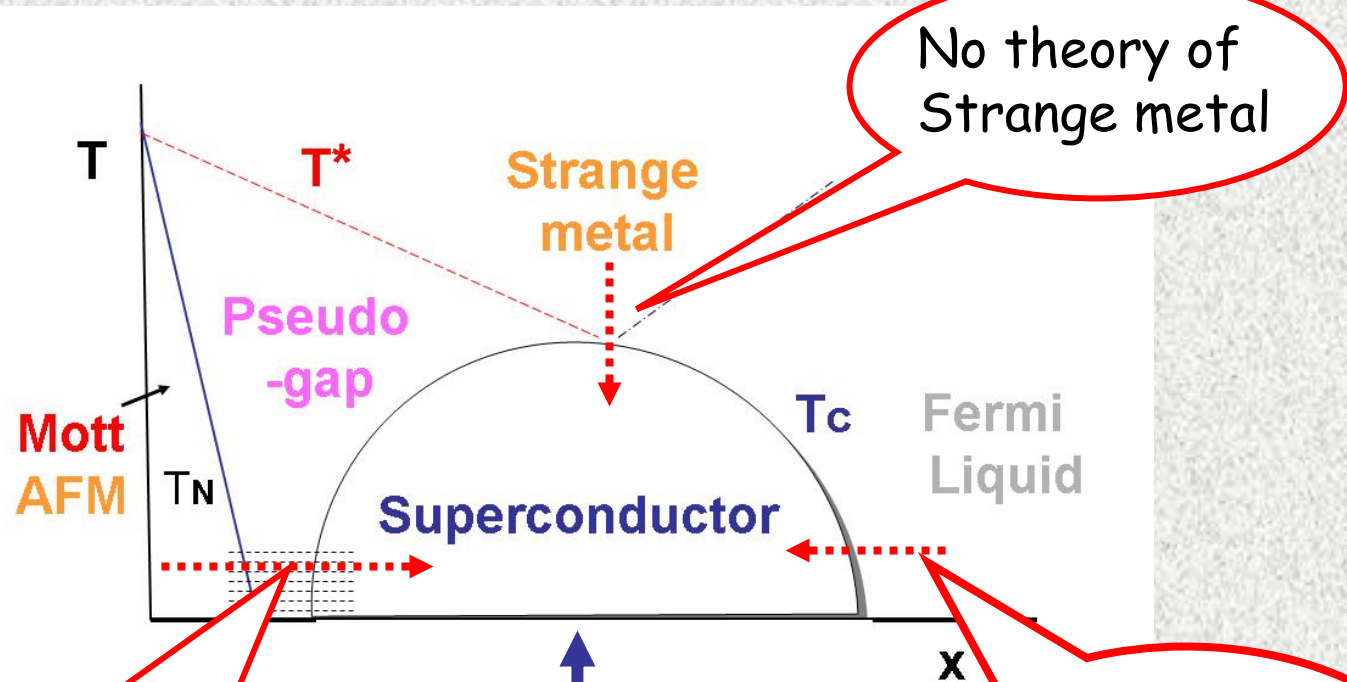
Scalapino and collaborators

T=0 : Quantum Fluctuations  
reduce the moment from  
0.5 to 0.33

Trivedi & Ceperley, 1987



# Strategy for theoretical attack on the superconducting state



No theory of Strange metal

Need to cross many phases to reach the SC

Diagrammatic approaches  
-- Cannot reach Mott insulator

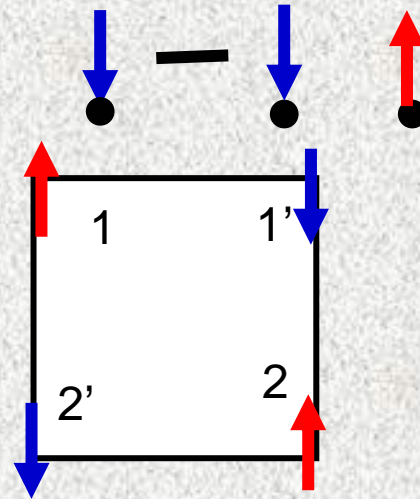
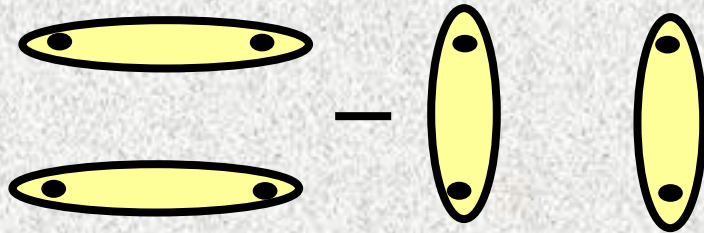
Use a variational approach to look directly at the  $T=0$  SC state and low-lying excitations

2 sites

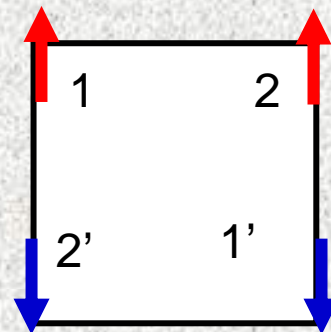
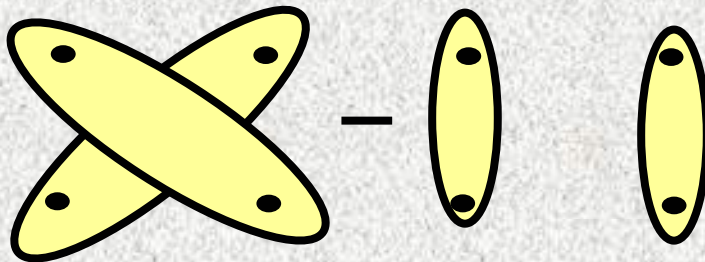
# *RVB states*



4 sites



$$\Psi_{RVB} = \begin{bmatrix} \phi_{11'} & \phi_{12'} \\ \phi_{21'} & \phi_{22'} \end{bmatrix}$$



# Configuration of electrons

$$R = \{r_{1\uparrow}, r_{2\uparrow}, \dots, r_{N/2\uparrow}; r_{1\downarrow}, r_{2\downarrow}, \dots, r_{N/2\downarrow}\}$$

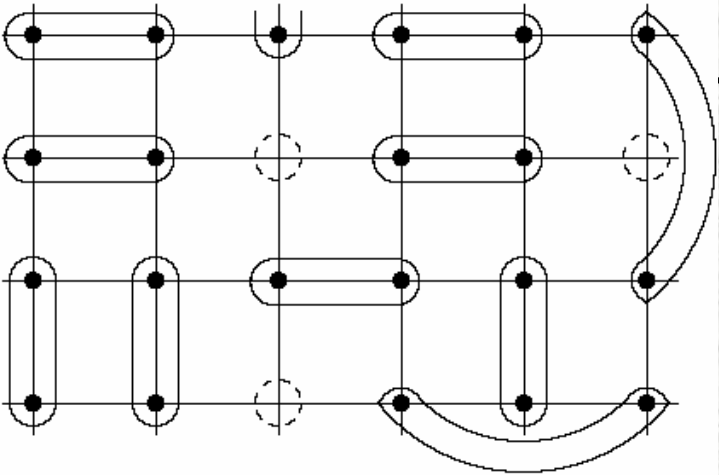
$$\phi(r_{1\uparrow} - r'_{1\downarrow}) \dots \phi(r_{1\uparrow} - r'_{1\downarrow})$$

$$\phi(r_{1\uparrow} - r'_{2\downarrow}) \dots$$

⋮  
⋮

$$\phi(r_{1\uparrow} - r'_{N/2\downarrow}) \dots \phi(r_{N/2\uparrow} - r'_{N/2\downarrow})$$

$$\mathbf{P} \langle R | \psi_{BCS} \rangle = \mathbf{P}$$



Projected SC  
Resonating Valence  
Bond (RVB) liquid

P.W. Anderson,  
Science 235, 1196 (1987)

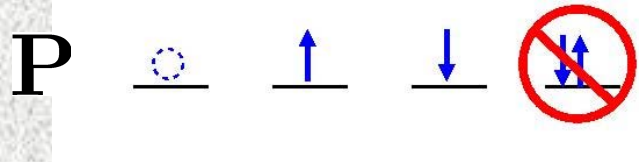
Electrons paired into  
singlets

$$r \text{ --- } r' = \frac{|\uparrow_r \downarrow_{r'}\rangle - |\downarrow_r \uparrow_{r'}\rangle}{\sqrt{2}} \varphi(\mathbf{r} - \mathbf{r}')$$

$$\varphi(\mathbf{r} - \mathbf{r}') = \sum_{\mathbf{k}} \exp(i\mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')) (v_{\mathbf{k}}/u_{\mathbf{k}})$$

# Signature of Strongly Correlated Superconductor

$$|\Psi_0\rangle = \mathbf{P} |dBCS\rangle$$



$$U \gg t$$

Hubbard  
model

RVB: Anderson ('87)

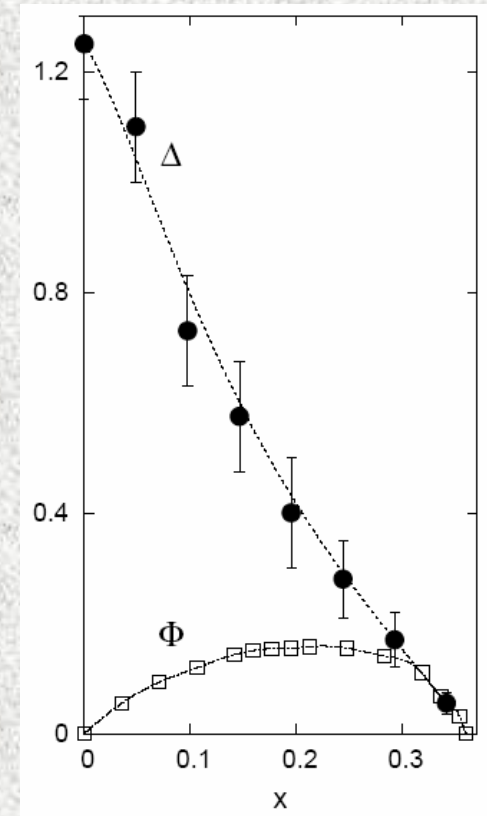
Kotliar & Liu ('88)

Zhang, Gross, Rice & Shiba ('88)

## Projected Variational Wavefunctions

- SC "dome" with optimal doping

- pairing gap  $\Delta(x)$  and SC order parameter have qualitatively different  $x$ -dependences.



Paramekanti, Randeria & Trivedi  
PRL 87, 217002 (2001);  
PRB 70, 054504 (2004)

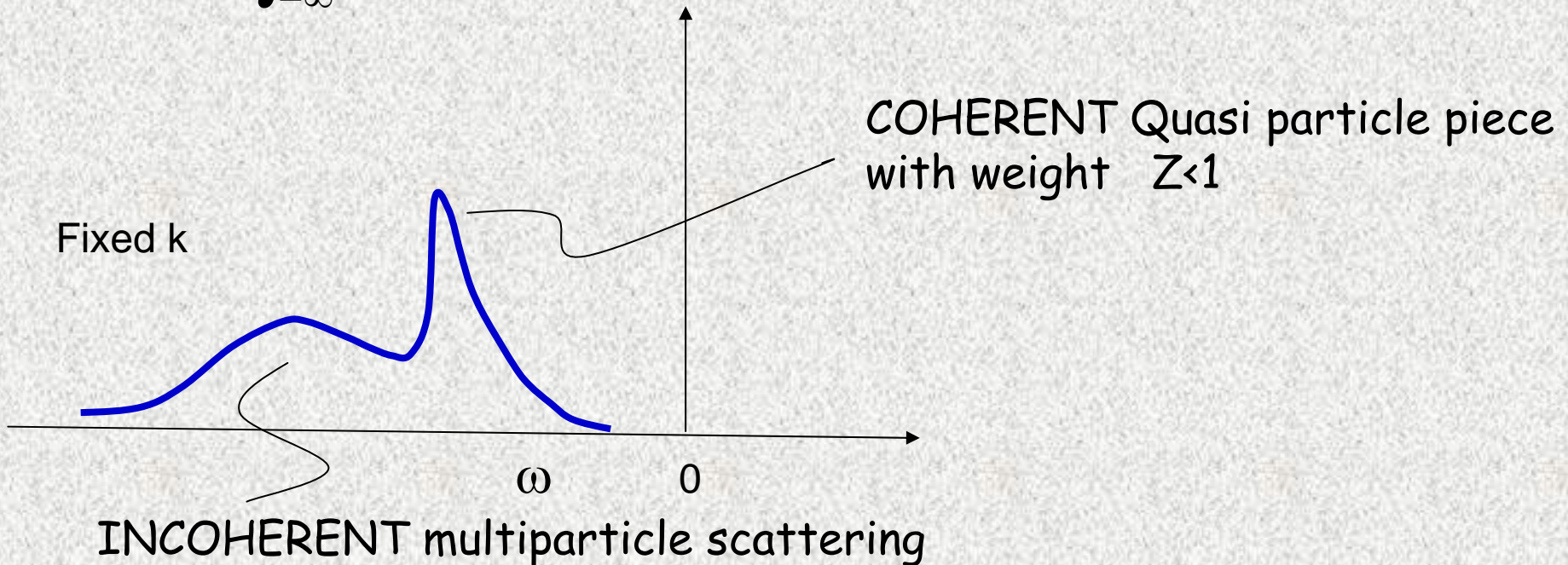
Anderson, Lee, Randeria, Rice,  
Trivedi & Zhang,  
J. Phys. CM 16, 755 (2004)

# How does the SC evolve to a Mott Insulator

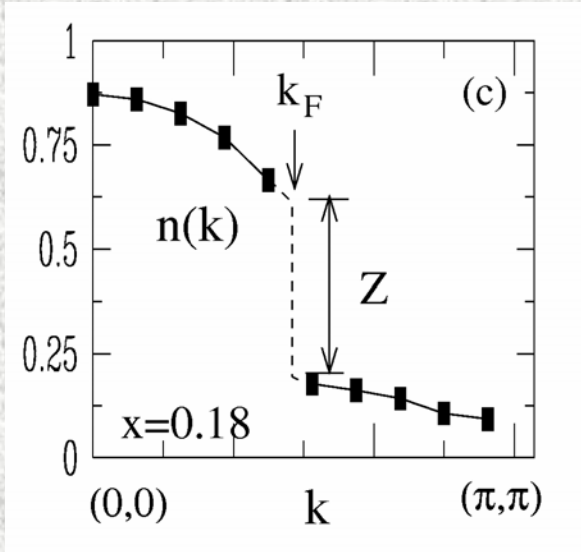
$A(k, \omega)$  SPECTRAL FUNCTION  $\rightarrow \langle c_{r'}^+(\tau) c_r(0) \rangle$

$$\sum_k A(k, \omega) = N(\omega)$$

$$\int_{-\infty}^0 d\omega A(k, \omega) = n(k)$$



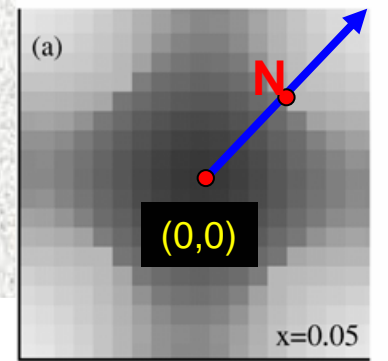
# COHERENT QUASIPARTICLE WEIGHT



Discontinuity in  $n(k)$



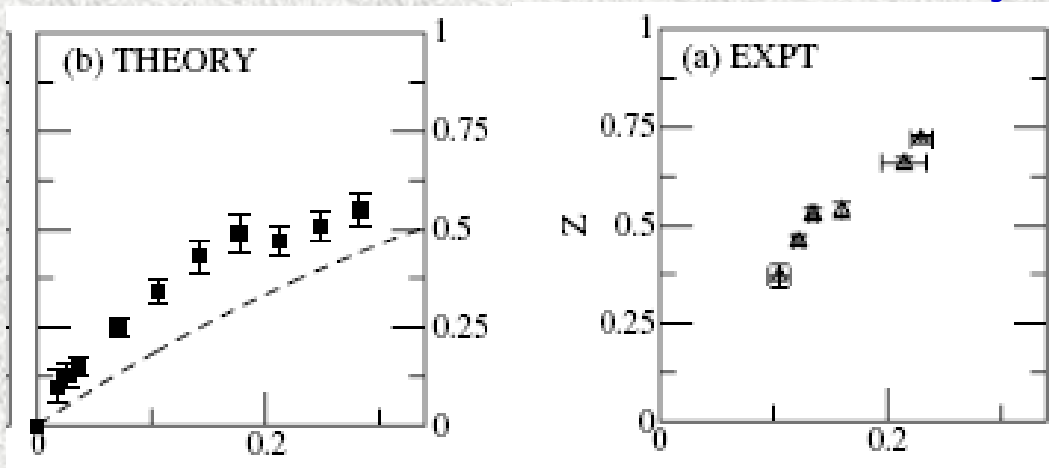
Existence of gapless  
**QUASIPARTICLES** at nodal point **N**



Coherent weight (QP residue)

$Z \sim x$  as  $x \rightarrow 0$

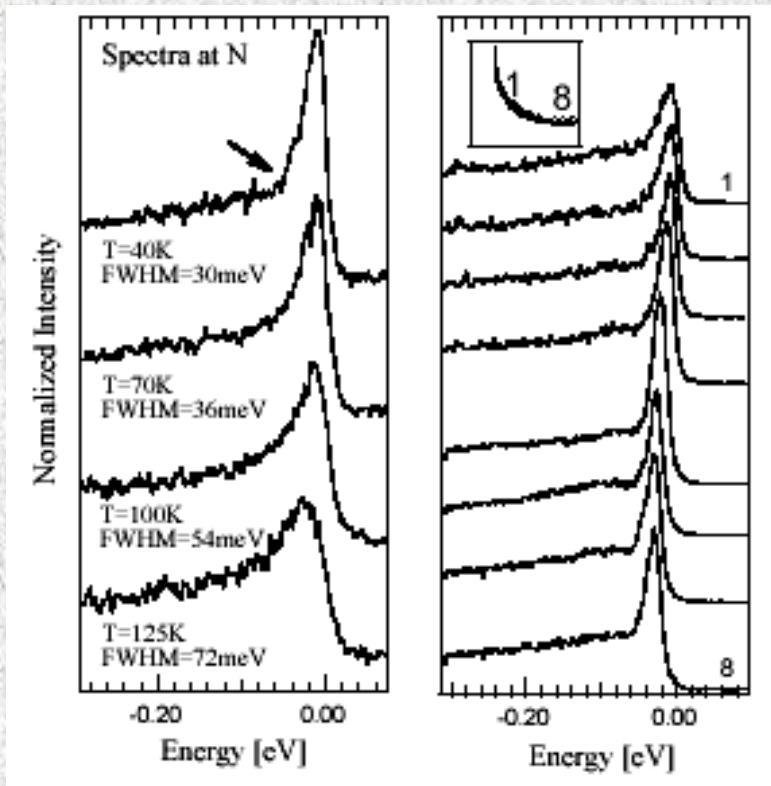
Projection leads to incoherence



**Route to Mott Insulator**  
**Vanishing Quasiparticle wt.  $Z$**

# Quasiparticles in SC state

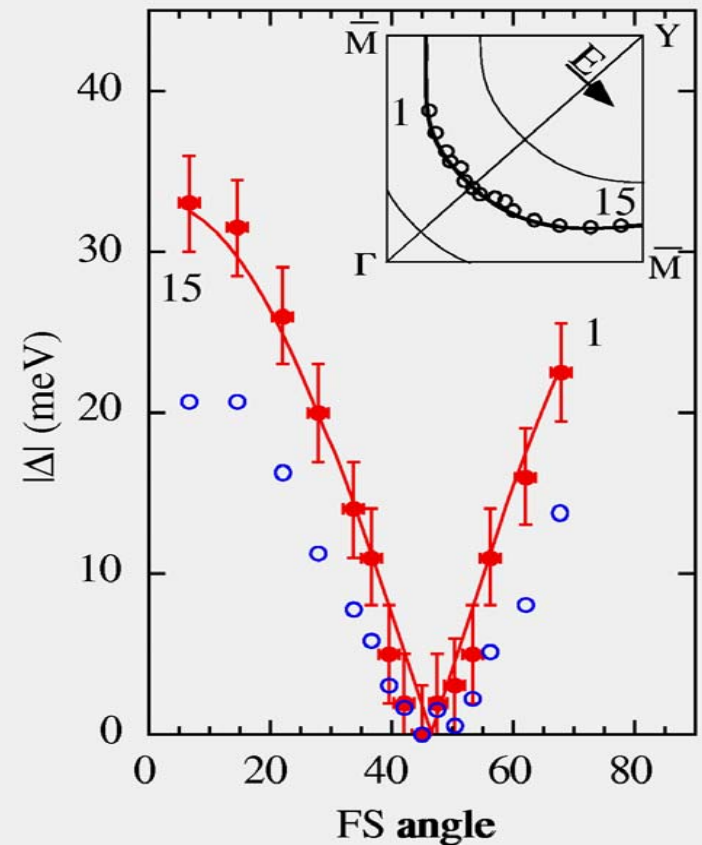
A. Kaminski et al.,  
PRL (2000; 2001)



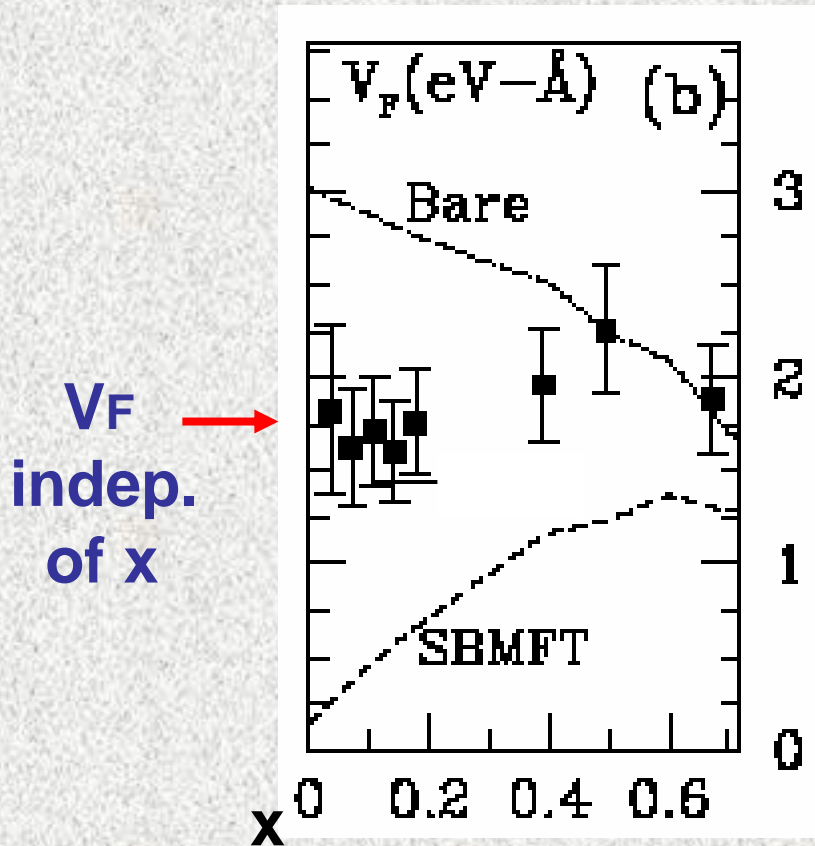
$$\Delta(\mathbf{k}) = \Delta_0 (\cos k_x - \cos k_y)$$

# ARPES: Bi2212 SC Gap Anisotropy

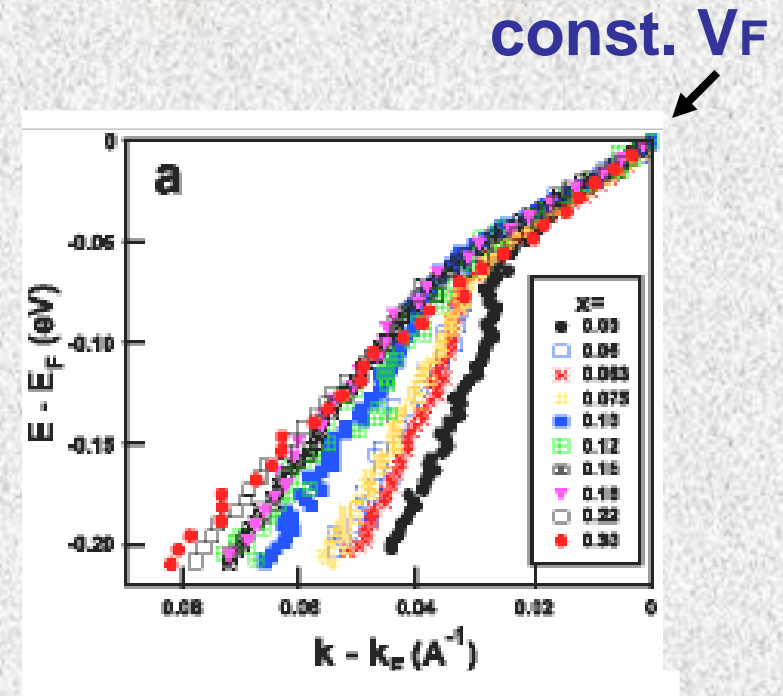
H. Ding et al., PRB (1996)



# Velocity of Nodal Quasiparticles:



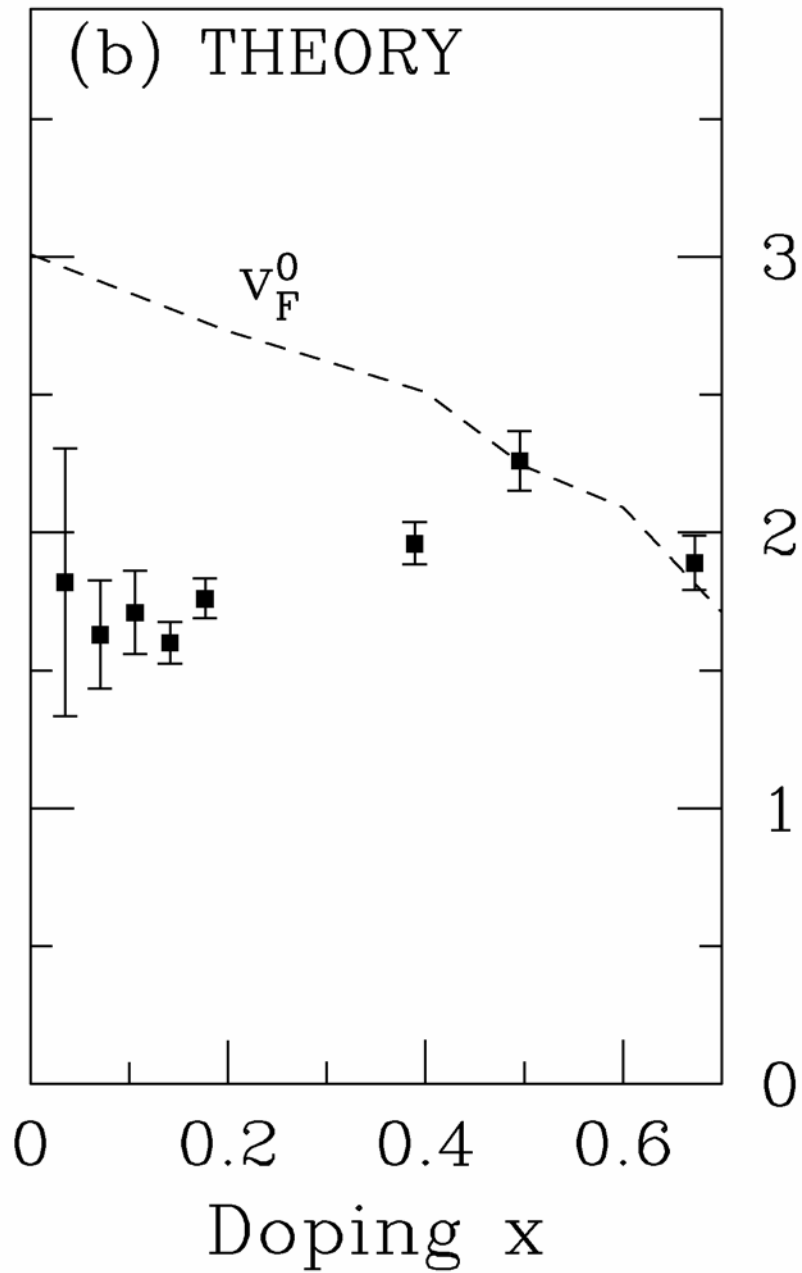
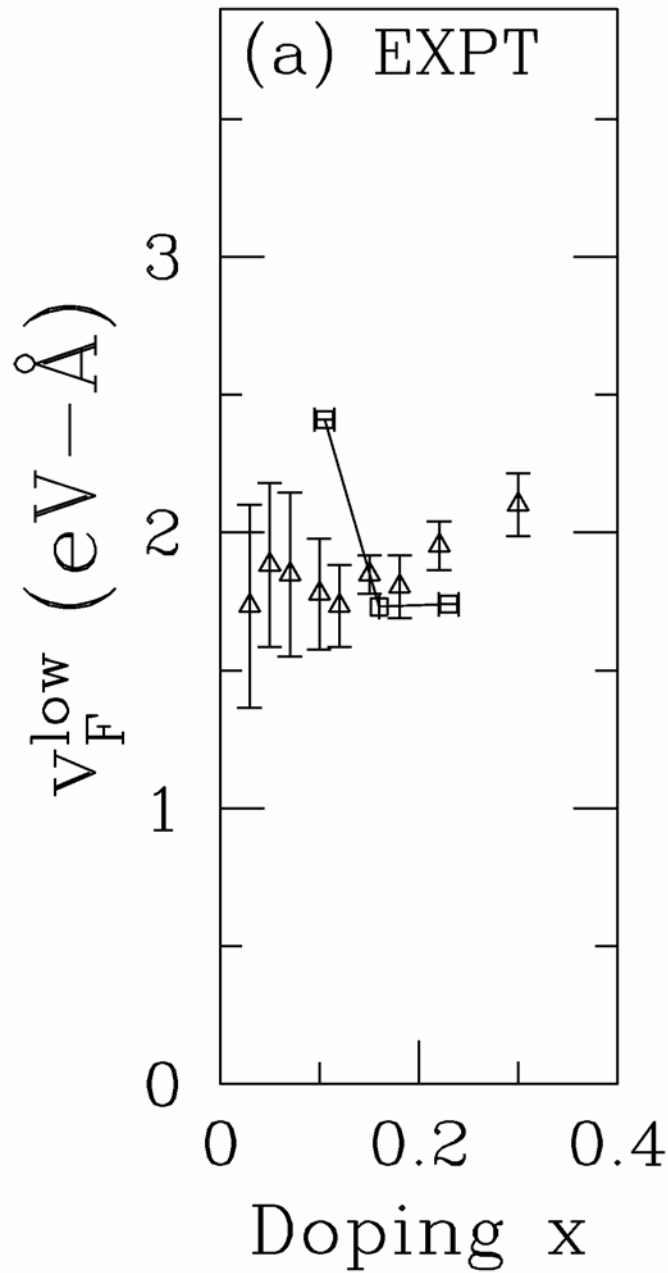
Theory: Paramakanti et al, PRL (2001)



Expt: Zhou et al, Nature (2003)

$Z \sim x$  and  $V_F \sim \text{const.}$   
 $\Rightarrow \Sigma(\mathbf{k}, \omega)$  singularities!



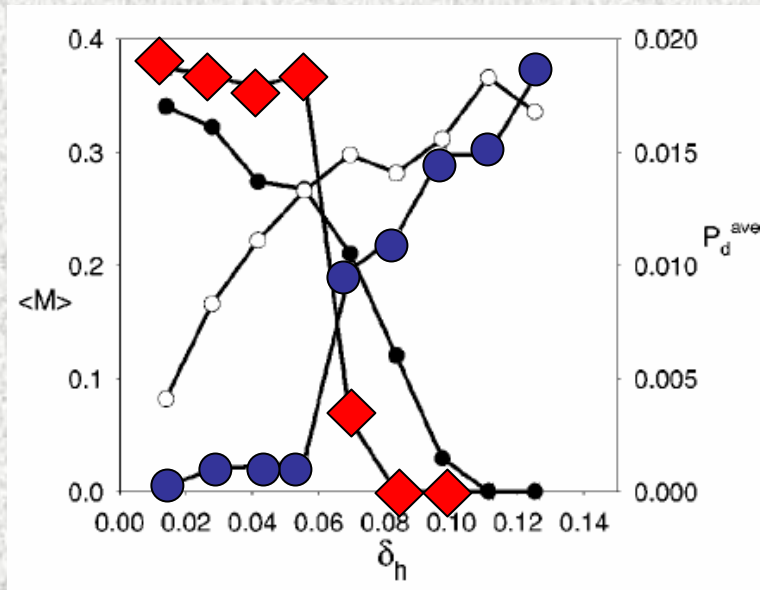


Expt: Zhou et. al  
Nature **423**, 398 (2003)

Theory: Paramekanti, Randeria, NT;  
PRL **87**, 217002 (2001)

# Competition between SC and AFM as $x \rightarrow 0$

Energetics: energies of different states differ by  $< \text{few } \%$   
 $\rightarrow$  need to know details of H



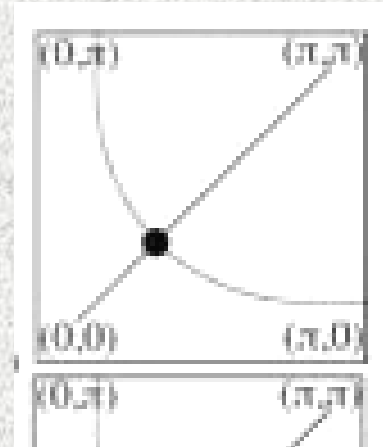
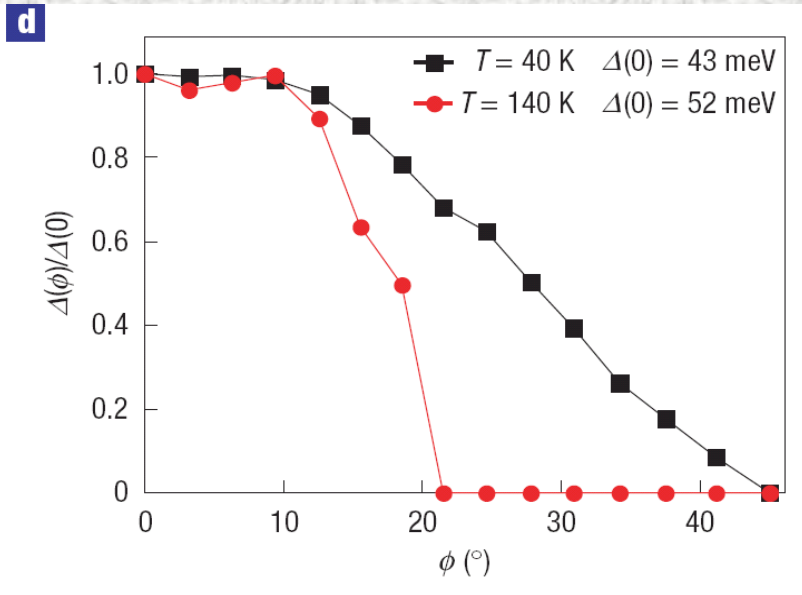
$t' = 0$ :

Large region of coexistence  
Giamarchi & Lhuillier, PRB 1991  
Himeda & Ogata, PRB 1999

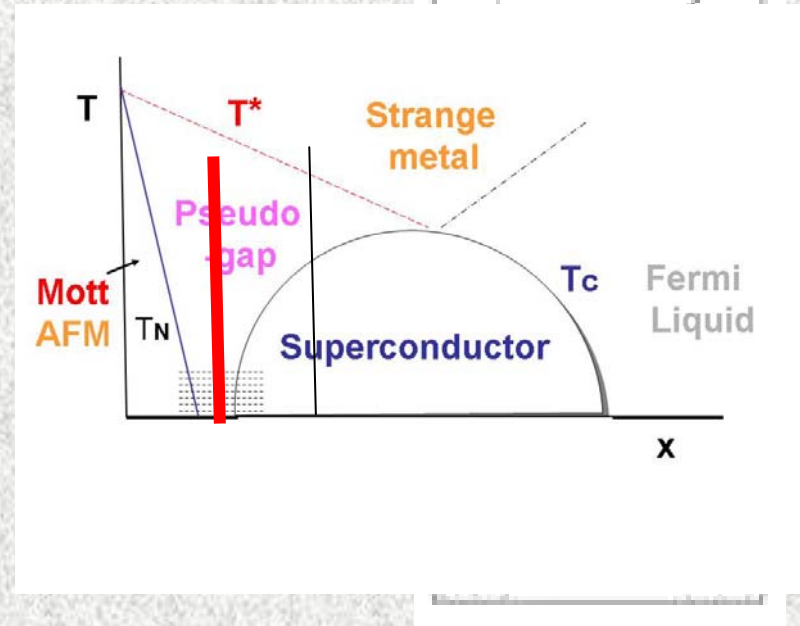
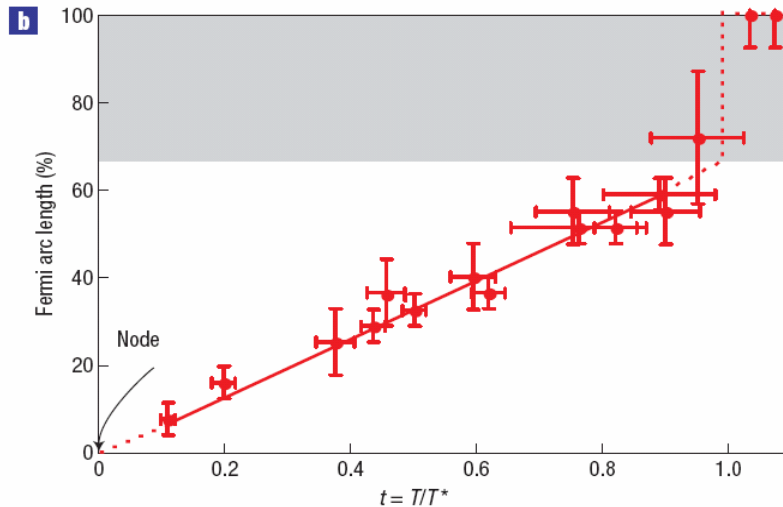
- ◆ AFM order parameter for  $x < 8\%$
  - SC order parameter for  $x > 6\%$
- For  $J/t = 0.3$ ,  $t'/t = -0.3$  and  $t''/t = 0.2$

Shih, Chen, Chou, & Lee, PRB70, 220502 (2004)

S. Pathak, V, Shenoy, M. Randeria and N. Trivedi, preprint



**SC**  
 **$T < T_c$**



**NODAL METAL?? NEW PHASE OF MATTER**

Kanigel et al, Nature Physics 2, 447 (2006)

Norman et al,  
Nature (1998)

# Next...

- Quantitative comparison with experiments
- BHM: (i) Quantitative determination of phase boundaries and exponents;
- (ii) quantum and thermal fluctuations;  $n(k)$ ; entropy and thermometry; visibility; role of trap
- FHM  $U < 0$ : pseudogap: charge and spin correlations; entropy
- FHM  $U > 0$ : (i) interplay of AF and SF;
- (ii) RVB wavefunctions from stripes to 2D
- (iii) Unusual normal states

end