

# Discussion on FT-IETS and Bosonic Function in High-Tc Superconductors

A.V. Balatsky

## *Motivation:*

- Spectroscopy technique
- Properties of electron-boson interaction at nanoscale in real-space: local/delocalized ?
- For anisotropic electron-boson interaction, measure directly momentum transfer and energy  $\Omega_0$
- Ideally find the anisotropic electron-boson spectral density

$$\alpha^2(\vec{q}, \Omega) F(\vec{q}, \Omega)$$

$\vec{q}$

## *Collaborators:*

J.X. Zhu, A. Abanov(LANL)  
Q. Si(Rice), Jinho Lee, Kyle McElroy, JC Davis(Cornell)

# Old results

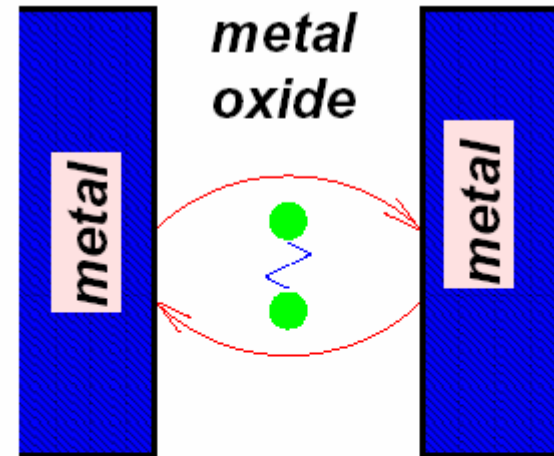
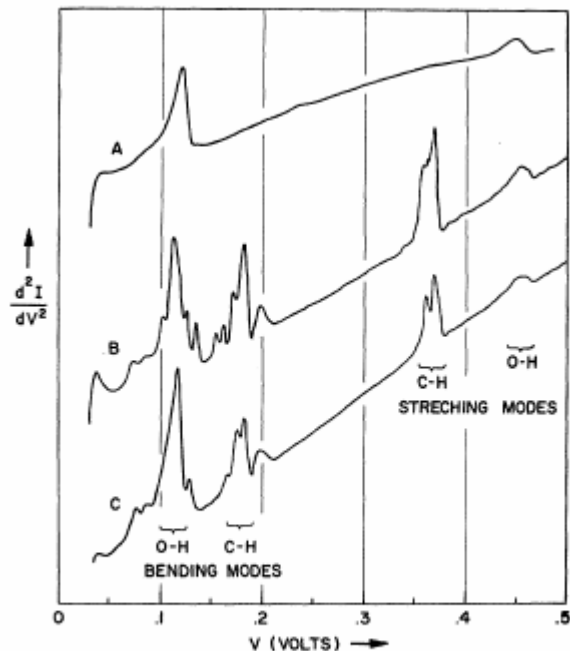
## MOLECULAR VIBRATION SPECTRA BY ELECTRON TUNNELING

R. C. Jaklevic and J. Lambe

Scientific Laboratory, Ford Motor Company, Dearborn, Michigan

(Received 18 October 1966)

The conductance of metal-metal oxide-metal tunneling junctions has been observed to increase at certain characteristic bias voltages. These voltages are identified with vibrational frequencies of molecules contained in the barrier.



$$H_t = c_L^\dagger c_R T(x) + h.c.$$

$$T(x) = t_0(1 + \alpha x)$$

$x$  - Vibrational mode

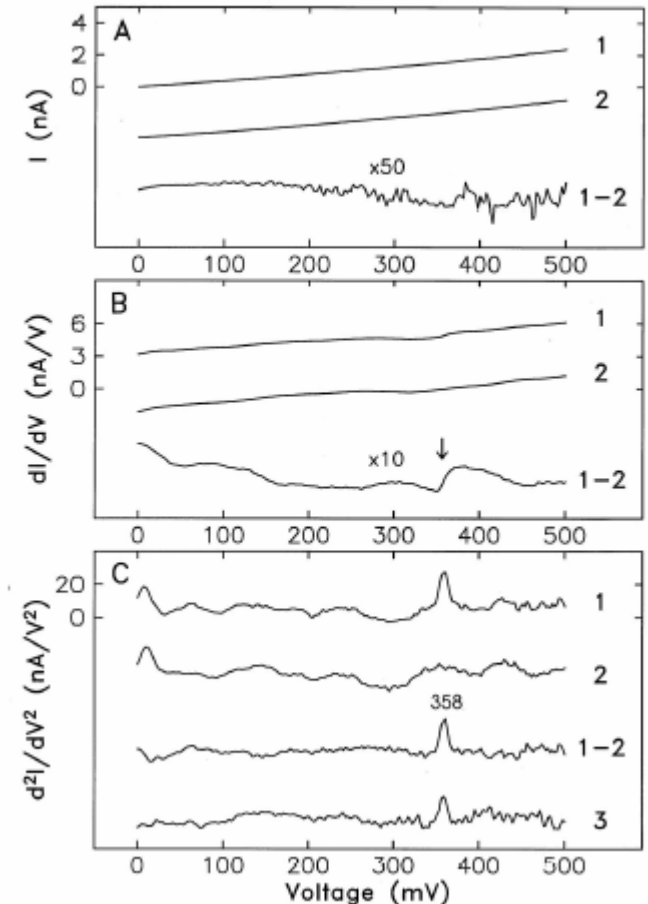
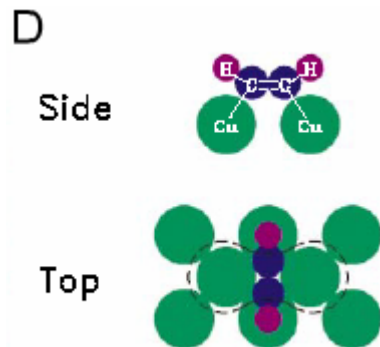
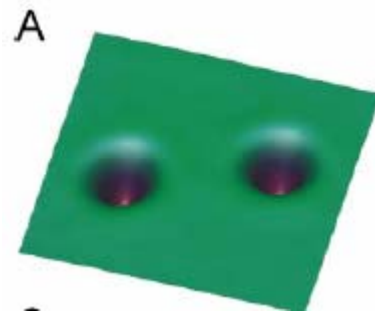
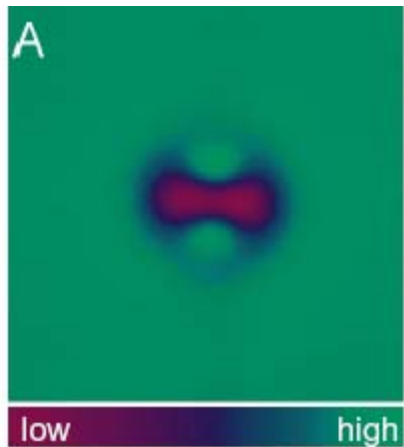
FIG. 1. Recorder traces of  $d^2I/dV^2$  versus applied voltage for three Al-Al oxide-Pb junctions taken at

# First STM observation of local inelastic scattering mode

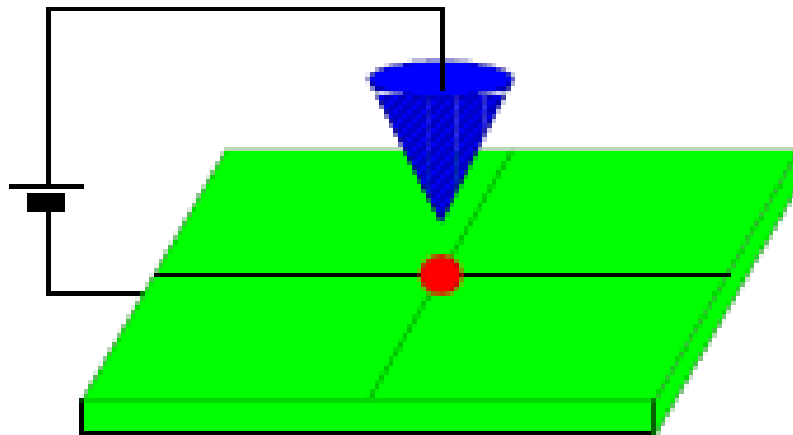
## Single-Molecule Vibrational Spectroscopy and Microscopy

B. C. Stipe, M. A. Rezaei,

SCIENCE • VOL. 280 • 12 JUNE 1998



# Inelastic STM



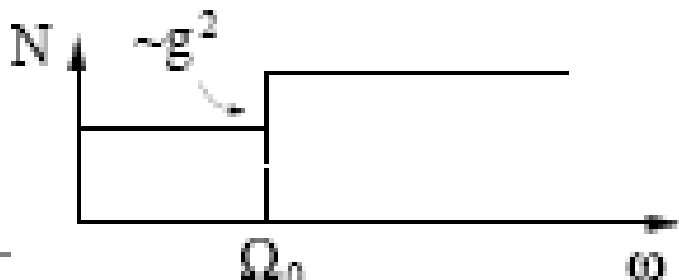
- STM measures local DOS
- $H = H_e + H_v + H_i$
- $H_v = \frac{kx^2}{2}$  – vibrational mode
- $H_i = g c_\sigma^\dagger(\mathbf{r} = 0) c_\sigma(\mathbf{r} = 0) x$



$$\delta N(\omega) = \frac{1}{\pi} \text{Im} [G^0(\mathbf{r}, \omega) \Sigma(\omega) G^0(\mathbf{r}, \omega)]$$

For normal metal:

$$\delta N(\omega) \sim g^2 N_0(\omega - \Omega_0) \theta(\omega - \Omega_0)$$

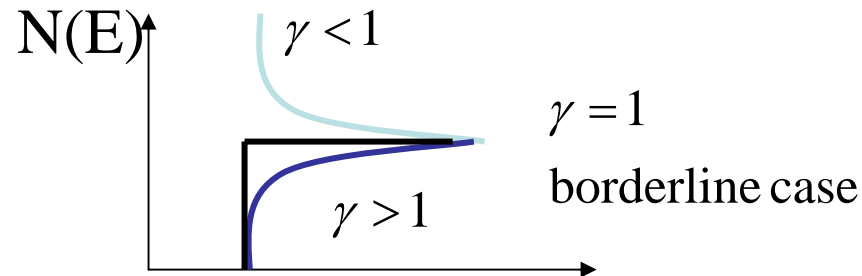
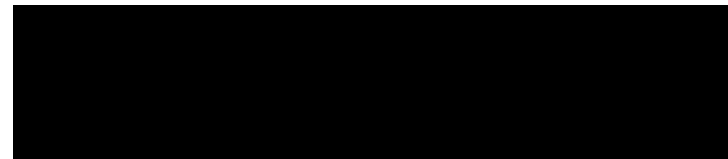
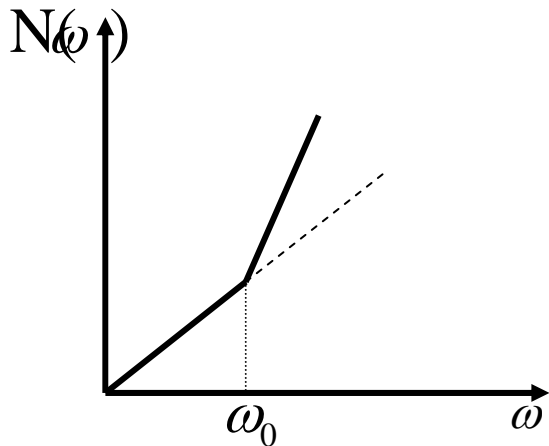


# Second order analysis

For a d-wave or a pseudo-gapped state feature will be much smaller

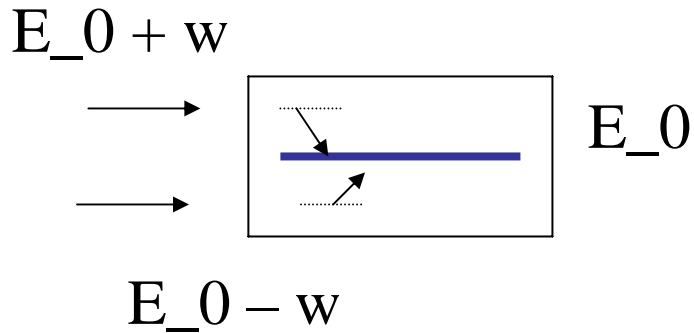
$$\delta N(r, \omega) \sim g^2 (\omega - \Omega_0)^\gamma \Theta(\omega - \Omega_0)$$

where  $\gamma$  is the DOS power

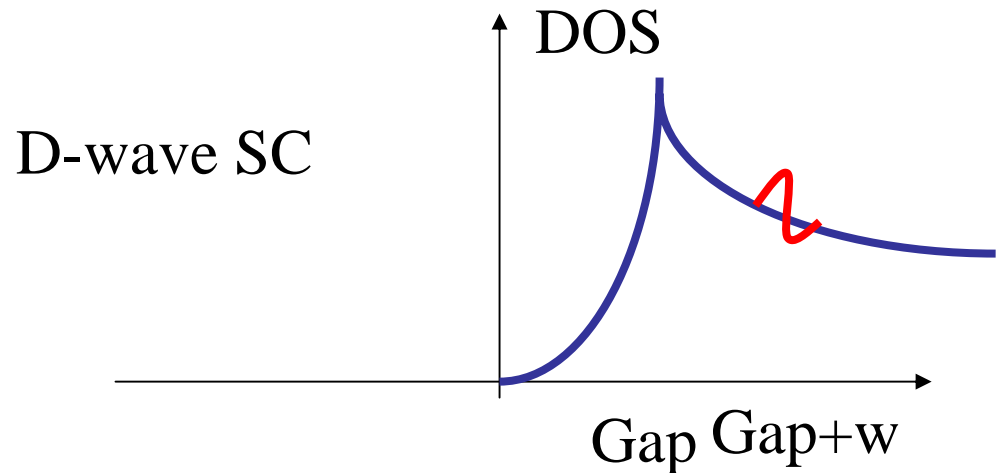
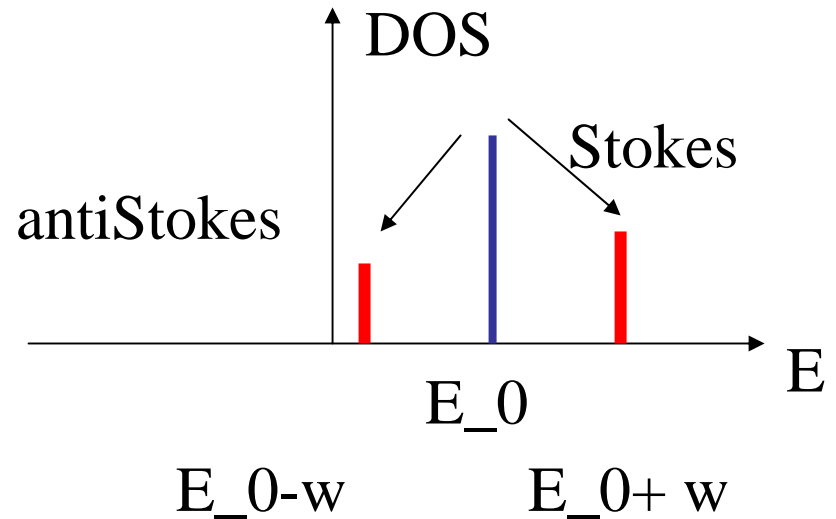


Similar to x-ray absorption singularity

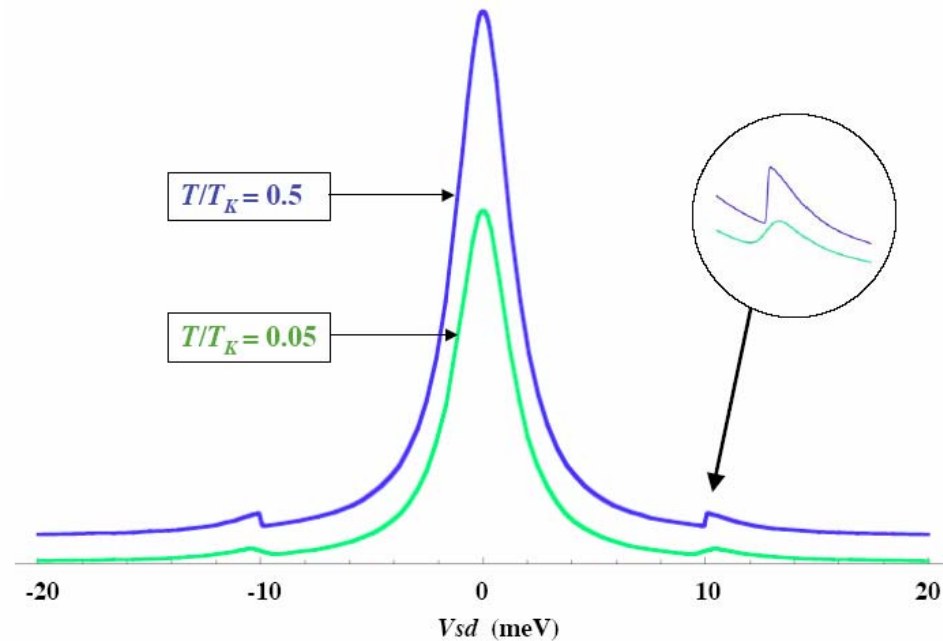
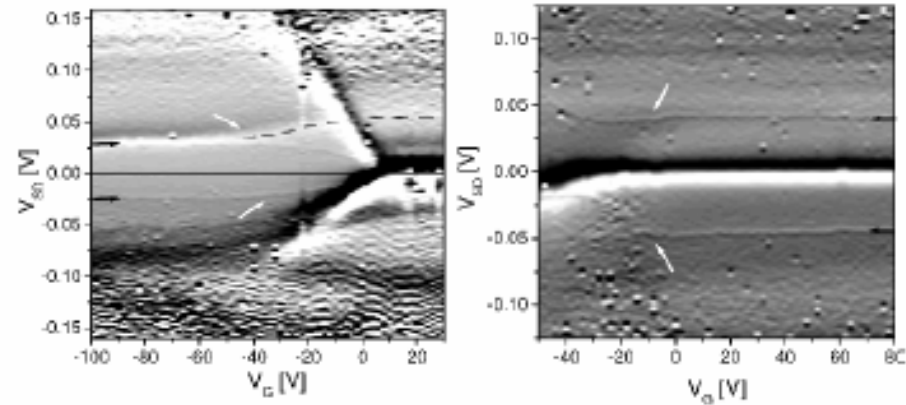
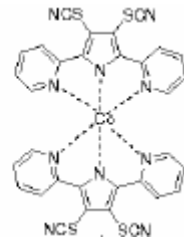
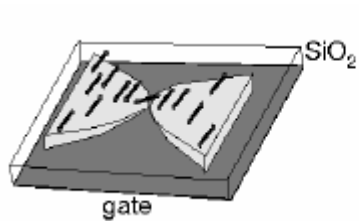
# Inelastic scattering induced satellites: Holstein effects



At finite  $T$  there  
is a probability that  
local mode is excited



# Inelastic satellites to Kondo peak in molecular devices



# Inelastic Tunneling Spectroscopy in a D-wave Superconductor.

A.V. Balatsky, Ar. Abanov, and Jian-Xin Zhu

PRB, sept 2003

$$H = \sum_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger \epsilon(\mathbf{k}) c_{\mathbf{k}\sigma} + \sum_{\mathbf{k}} (\Delta(\mathbf{k}) c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger + h.c.) \\ + \sum_{\mathbf{k}, \mathbf{k}', \sigma, \sigma'} JS \cdot c_{\mathbf{k}\sigma}^\dagger \boldsymbol{\sigma}_{\sigma\sigma'} c_{\mathbf{k}'\sigma'} + g\mu_B \mathbf{S} \cdot \mathbf{B},$$

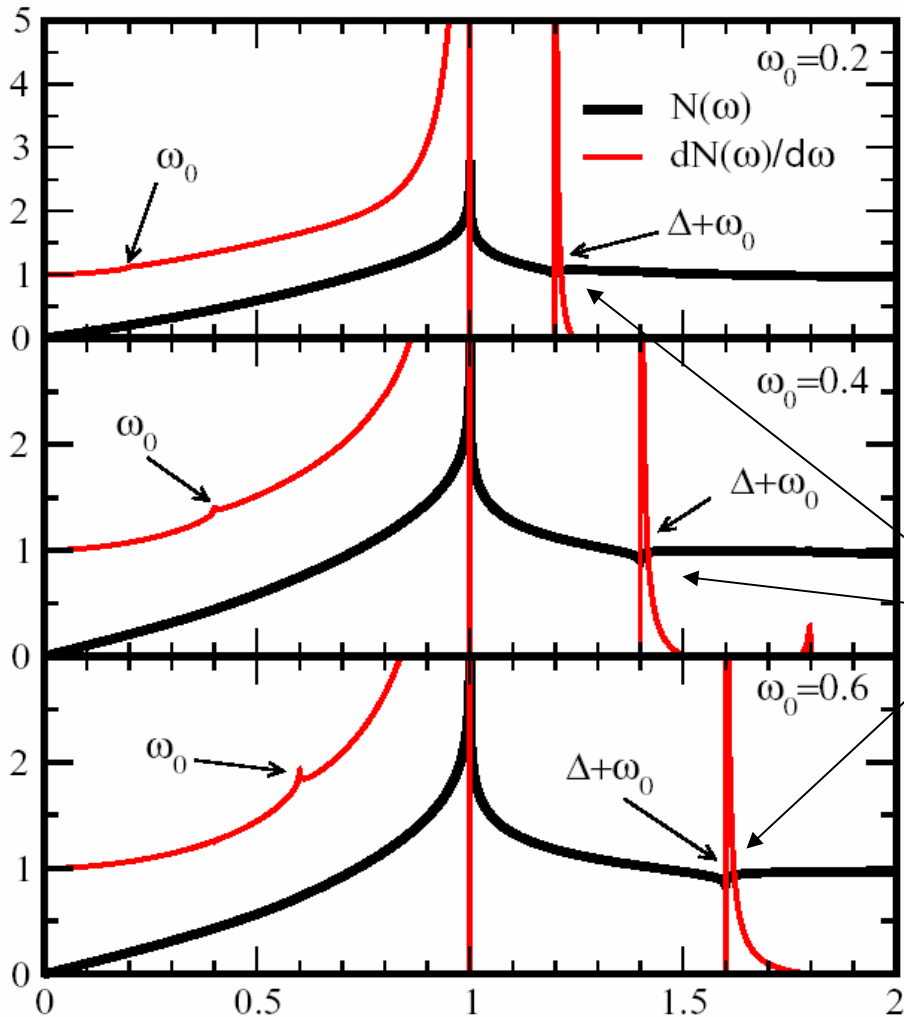
$$\Sigma(\omega_l) = J^2 T \sum_{\mathbf{k}, \Omega_n} G(\mathbf{k}, \omega_l - \Omega_n) \chi^{+-}(\Omega_n)$$

$$\frac{\delta N(\mathbf{r} = 0, \omega)}{N_0} = \frac{\pi^2}{2} (JSN_0)^2 \frac{\omega - \omega_0}{\Delta} K(T, \omega, \omega_0) \\ \times \left( \frac{2\omega}{\Delta} \ln \left( \frac{\Delta}{\omega} \right) \right)^2, \quad \omega \ll \Delta, \quad (6)$$

$$\frac{\delta N(\mathbf{r} = 0, \omega)}{N_0} = 2\pi^2 (JSN_0)^2 K(T, \omega, \omega_0) \ln^2 \left( \frac{|\omega - \Delta|}{4\Delta} \right) \\ \times \ln \left( \frac{4\Delta}{|\omega + \omega_0 - \Delta|} \right) + (\omega_0 \rightarrow -\omega_0), \quad \omega \simeq |\Delta|, \quad (7)$$



# Selfconsistent solution for a local vibrational mode



Black line - DOS

Red line- DOS derivative

For  $N_0 \sim 1/eV$ ,  $JN_0 = 0.14$

$$\frac{\delta N}{N_0} \sim (JN_0)^2 \frac{\omega - \Omega_0}{\Delta_0}$$

Holstein features

For relative change compared to d-wave DOS effect is few percent

Inelastic Tunneling Spectroscopy in a D-wave Superconductor.

A.V. Balatsky, Ar. Abanov, and Jian-Xin Zhu

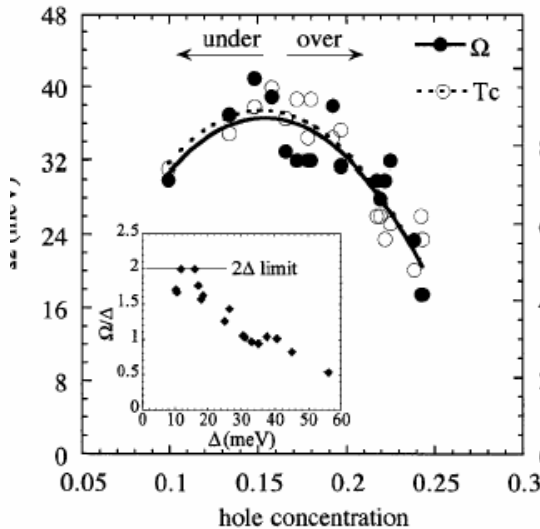
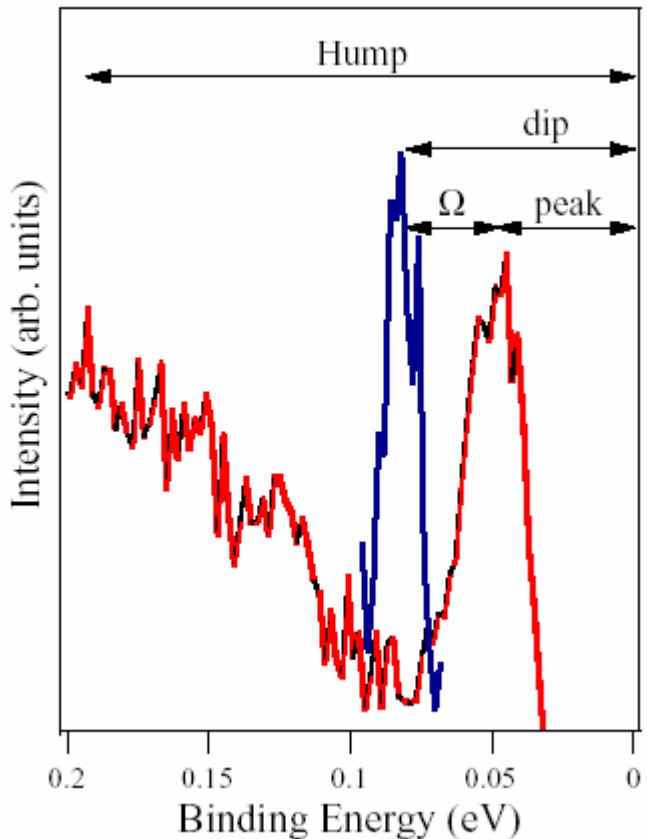
PRB 68 214506 (2003).

# Previous Tunneling work

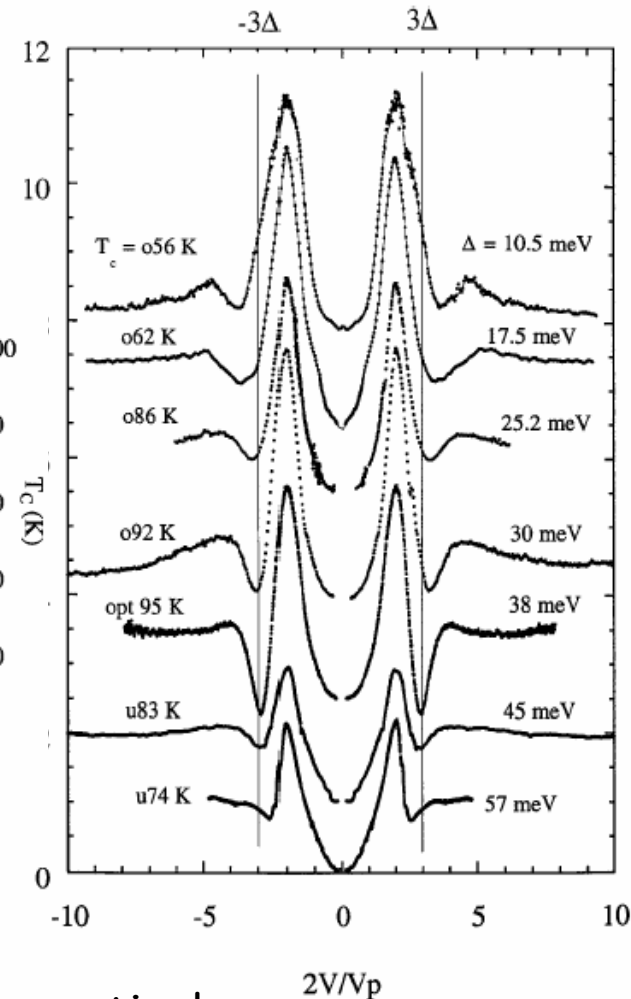
## Correlation of Tunneling Spectra in $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$ with the Resonance Spin Excitation

J. F. Zasadzinski,<sup>1,2</sup> L. Ozyuzer,<sup>2,3</sup> N. Miyakawa,<sup>4</sup> K. E. Gray,<sup>2</sup> D. G. Hinks,<sup>2</sup> and C. Kendziora<sup>5</sup>

M. Norman *et al*, PRL., 79, 3506 (1997)



$$\alpha^2 F(\omega)$$



But no real-space or q-space information!

# Model and formalism

$$\mathcal{H} = \mathcal{H}_{BCS} + \mathcal{H}_{cp} + \mathcal{H}_{imp}$$

$$\mathcal{H}_{BCS} = \sum_{\mathbf{k}, \sigma} (\varepsilon_{\mathbf{k}} - \mu) c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \sum_{\mathbf{k}} (\Delta_{\mathbf{k}} c_{-\mathbf{k}\downarrow}^\dagger c_{\mathbf{k}\uparrow}^\dagger + \Delta_{\mathbf{k}}^* c_{\mathbf{k}\uparrow} c_{-\mathbf{k}\downarrow})$$

$$\mathcal{H}_{cp} = g \sum_i \mathbf{S}_i \cdot \mathbf{s}_i$$

$$\mathcal{H}_{imp} = U_0 \sum_{\sigma} c_{0\sigma}^\dagger c_{0\sigma}$$

# Model and formalism (cont'd)

$$\text{Bare GF: } \hat{G}_0^{-1}(\mathbf{k}; i\omega_n) = \begin{pmatrix} i\omega_n - \xi_{\mathbf{k}} & \Delta_{\mathbf{k}} \\ \Delta_{\mathbf{k}} & i\omega_n + \xi_{\mathbf{k}} \end{pmatrix}.$$

$$\text{Self energy: } \hat{\Sigma}(\mathbf{k}; i\omega_n) = \frac{g^2 T}{8N} \sum_{\mathbf{q}} \sum_{\Omega_l} \chi(\mathbf{q}; i\Omega_l) \begin{pmatrix} 3G_{0,11} & G_{0,12} \\ G_{0,21} & 3G_{0,22} \end{pmatrix} (\mathbf{k} - \mathbf{q}; i(\omega_n - \Omega_l)).$$

$$\text{Dressed GF: } \underline{\hat{G}}_0^{-1}(\mathbf{k}; i\omega_n) = \begin{pmatrix} i\omega_n - \xi_{\mathbf{k}} - \Sigma_{11} & \Delta_{\mathbf{k}} - \Sigma_{12} \\ \Delta_{\mathbf{k}} - \Sigma_{21} & i\omega_n + \xi_{\mathbf{k}} - \Sigma_{22} \end{pmatrix}.$$

# Model and formalism (cont'd)

Site-dependent GF (TMA) w/ imp:

$$\hat{G}(i, j; E) = \underline{\hat{G}}_0(i, j; E) + \underline{\hat{G}}_0(i, 0; E) \hat{T}(E) \underline{\hat{G}}_0(0, j; E)$$

$$\hat{T}^{-1} = U_0^{-1} \sigma_3 - \underline{\hat{g}}_0, \quad \underline{\hat{g}}_0(i\omega_n) = \underline{\hat{G}}_0(i, i; i\omega_n)$$

$$\text{LDOS: } \rho_i(E) = -\frac{2}{\pi} \text{Im} G_{11}(i, i; E + i\gamma).$$

$$\text{Band DOS } (U_0 = 0): \rho(E) = \sum_{\mathbf{k}} A_{\mathbf{k}}(E). \quad A_{\mathbf{k}}(E) = -\frac{2}{\pi} \text{Im} \underline{G}_{0,11}(\mathbf{k}; E + i\gamma).$$

# Numerical results and discussions

Parameter values:  $t = 1.0$ ,  $t' = -0.2$  [ $\varepsilon_{\mathbf{k}} = -2t(\cos k_x + \cos k_y) - 4t' \cos k_x \cos k_y$ ]

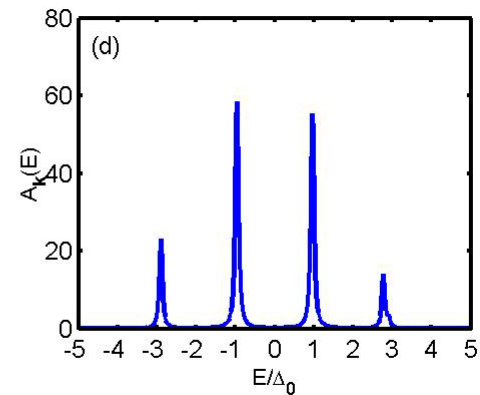
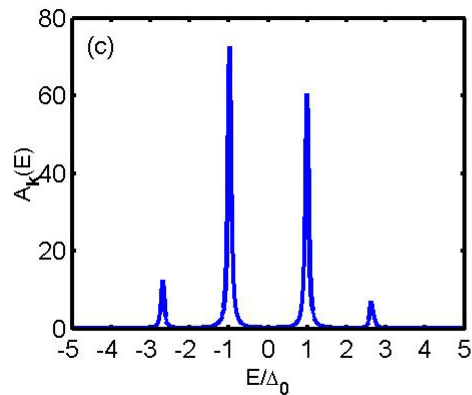
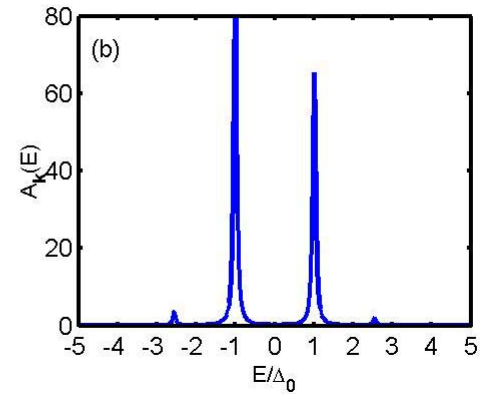
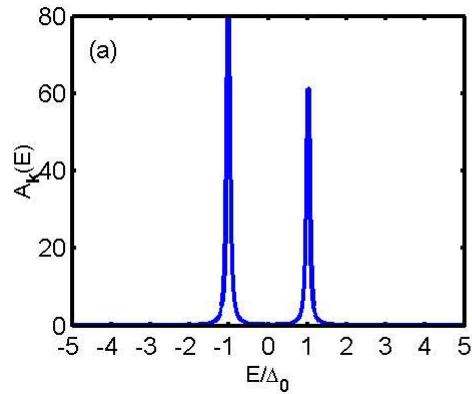
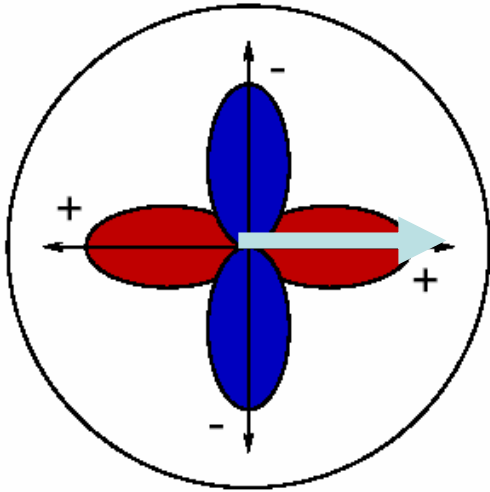
$$\Delta_0 = 0.1 \quad [\Delta_{\mathbf{k}} = \frac{\Delta_0}{2}(\cos k_x - \cos k_y)]$$

Ansatz for mode:

$$\chi(\mathbf{q}; i\Omega_l) = -\frac{N\delta_{\mathbf{q},\mathbf{Q}}}{2} \left[ \frac{1}{i\Omega_l - \Omega_0} - \frac{1}{i\Omega_l + \Omega_0} \right]$$

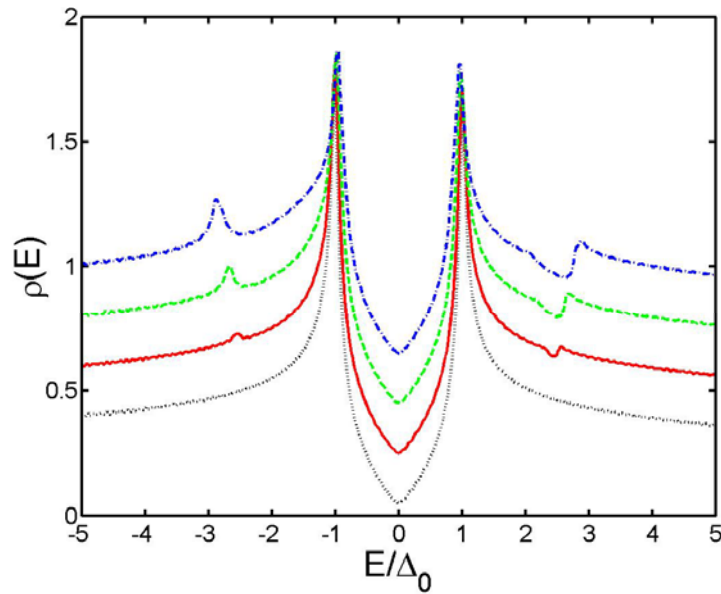
$$\mathbf{Q} = (\pi, \pi) \text{ and } \Omega_0 = 0.15.$$

# Spectral function at M point

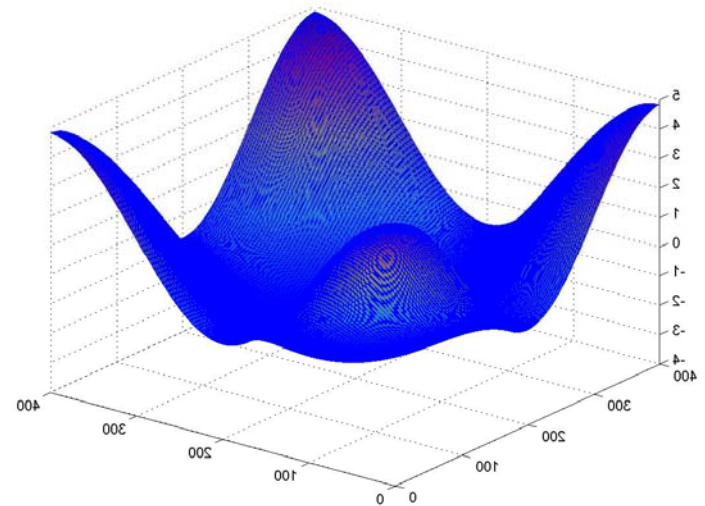


$$g/\Delta_0 = 0, 1, 2, 3$$

# Band density of states



Translationally invariant image

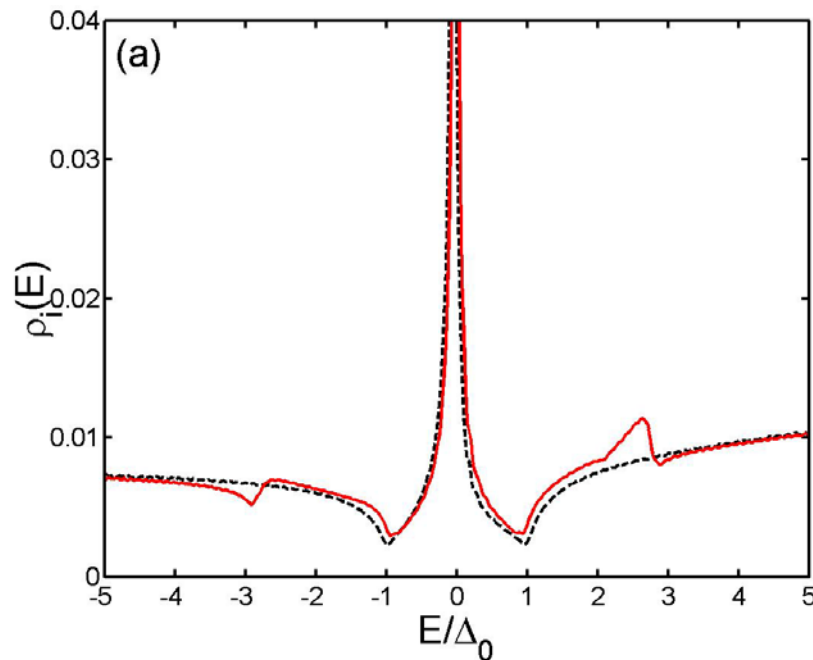


$\epsilon_{\mathbf{k}}$

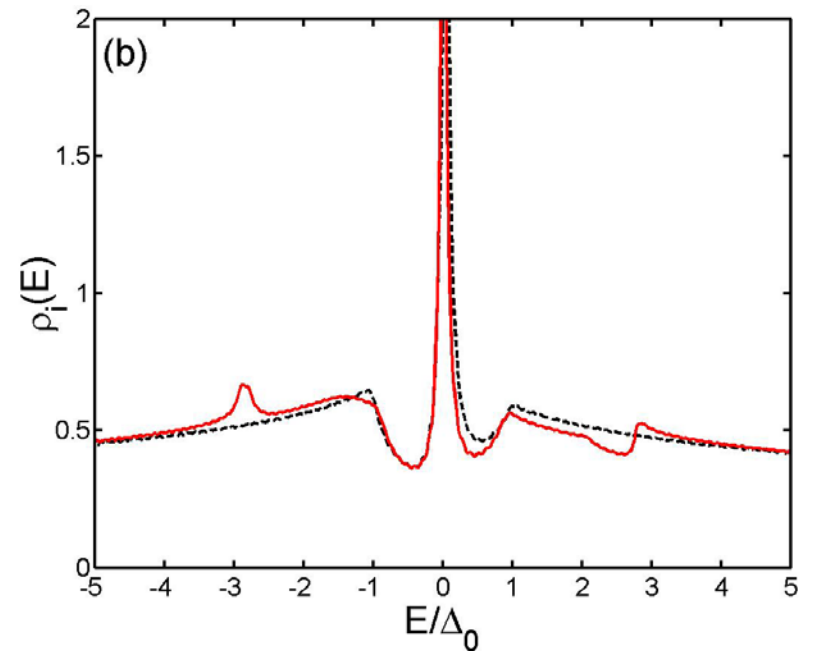


# Local density of states

$$U_0 = 100\Delta_0 \quad g = 3\Delta_0$$



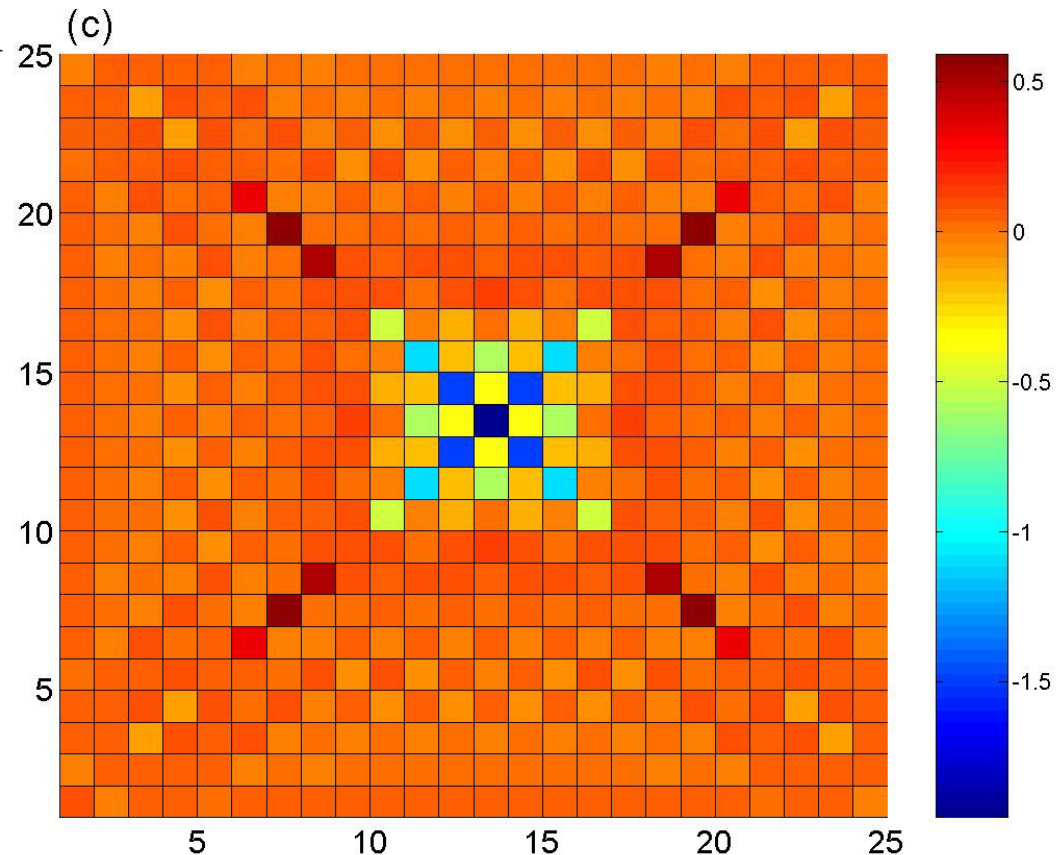
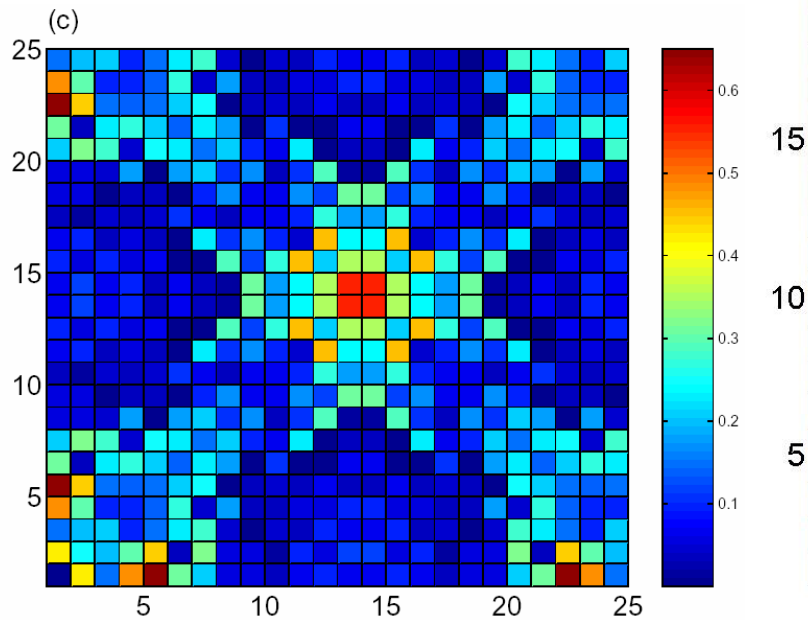
$$\mathbf{r}_i = (0, 0)$$



$$\mathbf{r}_i = (1, 0)$$

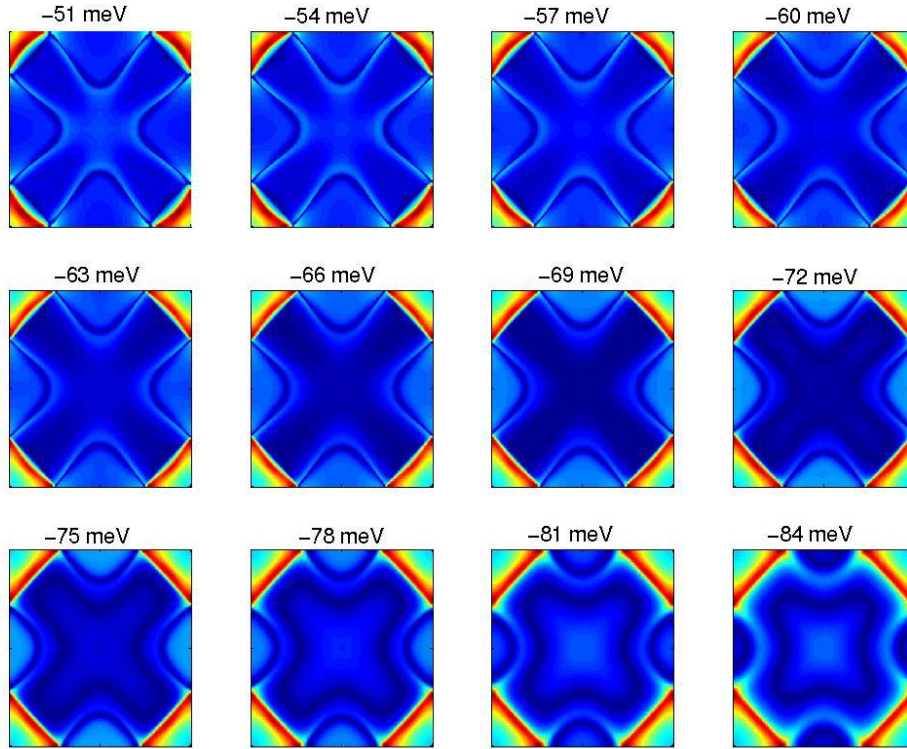
# LDOS imaging at $E=-E_1$ (Contrast)

Scattering from the local  
center produces the modulation  
at  $Q$



Novel collective mode spectroscopy ( neutron or lattice )

# Energy evolution of FT spectrum in the presence of B<sub>1g</sub> and half-stretching breathing collective modes --- 1



$$\omega_{B_{1g}} = 36 \text{ meV}$$

$$\omega_{br} = 72 \text{ meV}$$

$$\phi_x = \frac{-i}{\mathcal{N}_{\mathbf{k}}} [\xi_{\mathbf{k}} t_{x,\mathbf{k}} - t_{xy,\mathbf{k}} t_{y,\mathbf{k}}],$$

$$\phi_y = \frac{i}{\mathcal{N}_{\mathbf{k}}} [\xi_{\mathbf{k}} t_{y,\mathbf{k}} - t_{xy,\mathbf{k}} t_{x,\mathbf{k}}],$$

$$\phi_b = \frac{1}{\mathcal{N}_{\mathbf{k}}} [\xi_{\mathbf{k}}^2 - t_{xy,\mathbf{k}}^2],$$

$$\mathcal{H}_{cl-ph} = \frac{1}{\sqrt{N_L}} \sum_{\mathbf{k}, \mathbf{q}} g_{\nu}(\mathbf{k}, \mathbf{q}) c_{\mathbf{k}+\mathbf{q}, \sigma}^{\dagger} c_{\mathbf{k}\sigma} (b_{\nu\mathbf{q}} + b_{\nu, -\mathbf{q}}^{\dagger})$$

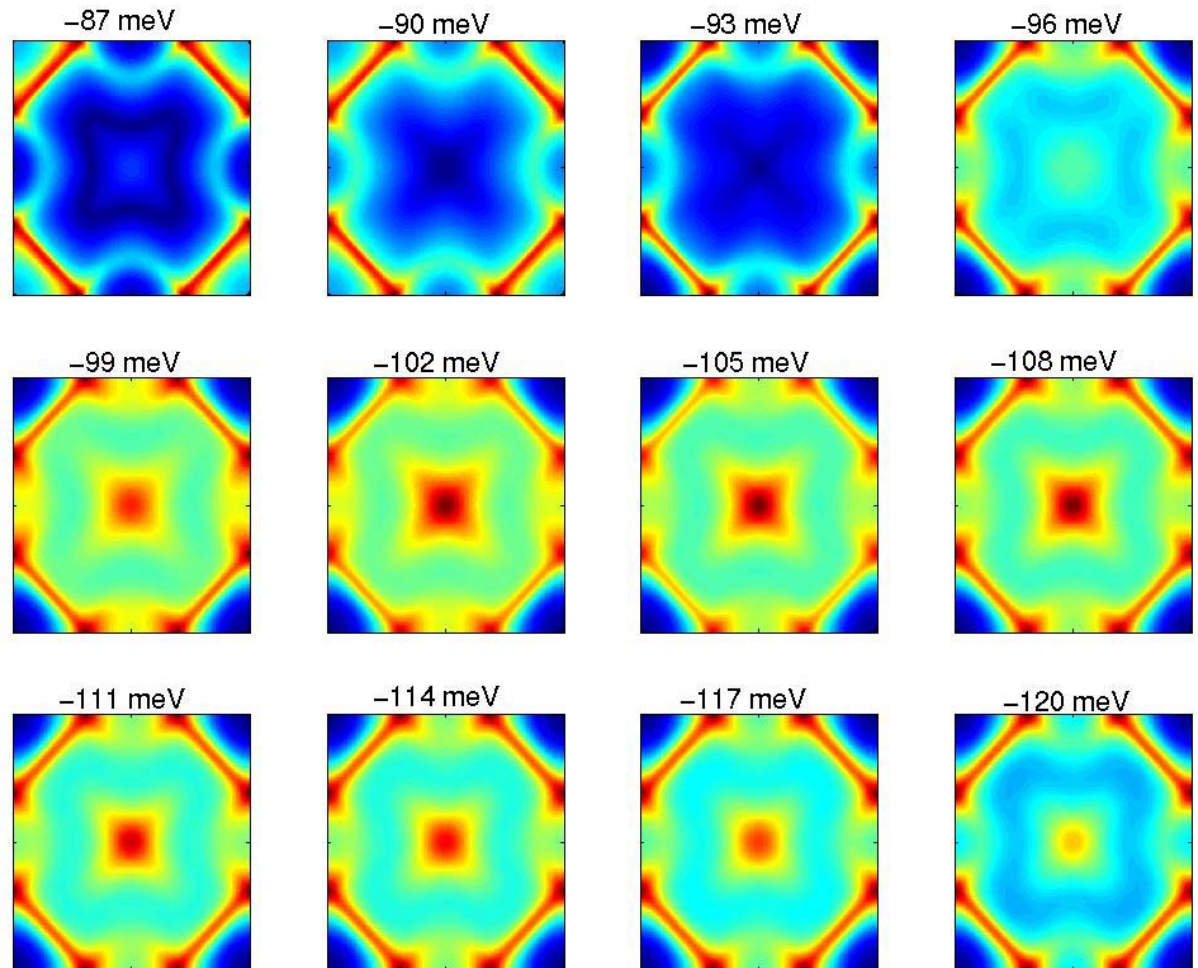
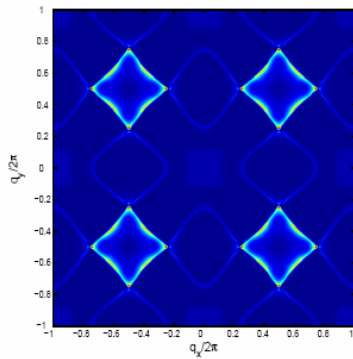
$$g_{B_{1g}}(\mathbf{k}, \mathbf{q}) = \frac{g_{B_{1g},0}}{\sqrt{M(\mathbf{q})}} \{ \phi_x(\mathbf{k}) \phi_x(\mathbf{k} + \mathbf{q}) \cos(q_y/2) - \phi_y(\mathbf{k}) \phi_y(\mathbf{k} + \mathbf{q}) \cos(q_x/2) \},$$

$$g_{br}(\mathbf{k}, \mathbf{q}) = g_{br,0} \sum_{\alpha=x,y} \{ \phi_b(\mathbf{k} + \mathbf{q}) \phi_{\alpha}(\mathbf{k}) \cos[(k_{\alpha} + q_{\alpha})/2] - \phi_b(\mathbf{k}) \phi_{\alpha}(\mathbf{k} + \mathbf{q}) \cos(k_{\alpha}/2) \}.$$

# Energy evolution of FT spectrum in the presence of B1g and half-stretching breathing collective modes --- 2

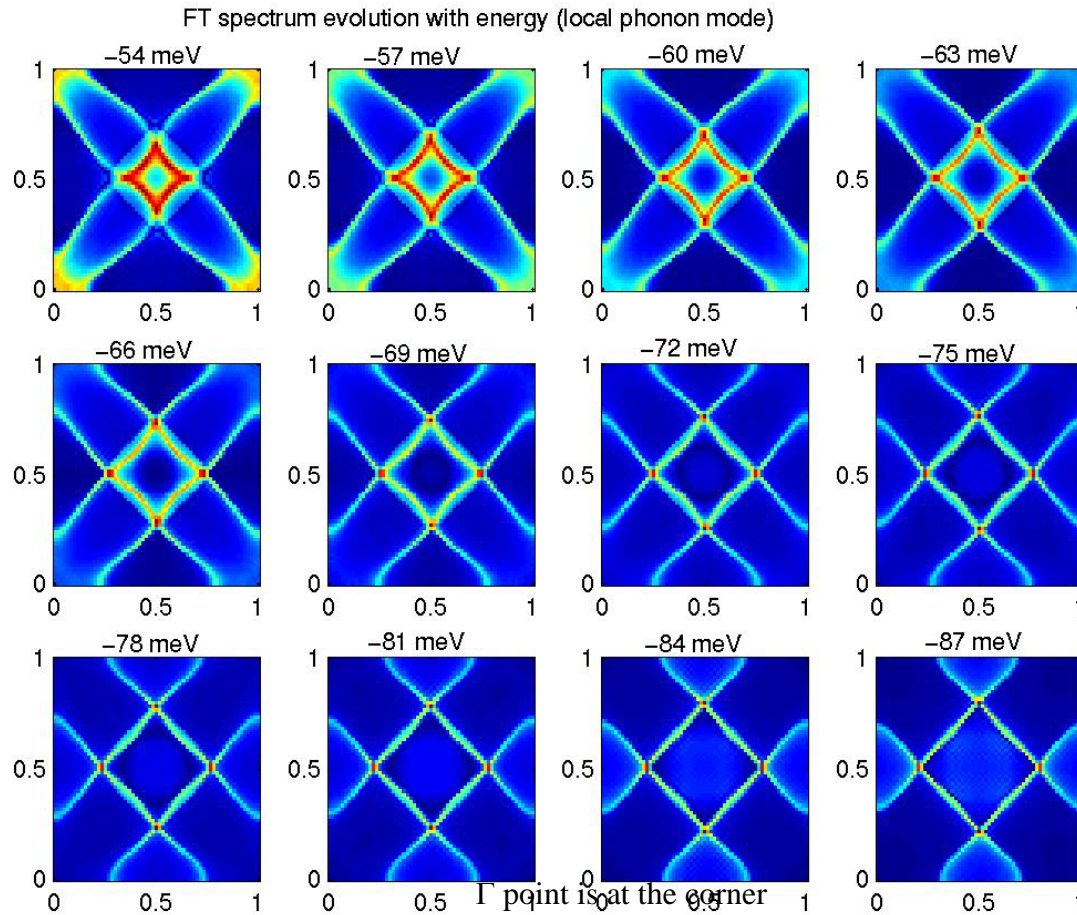
n

No filter!



# Energy evolution of FT spectrum in the presence of a local phonon mode

No filter!

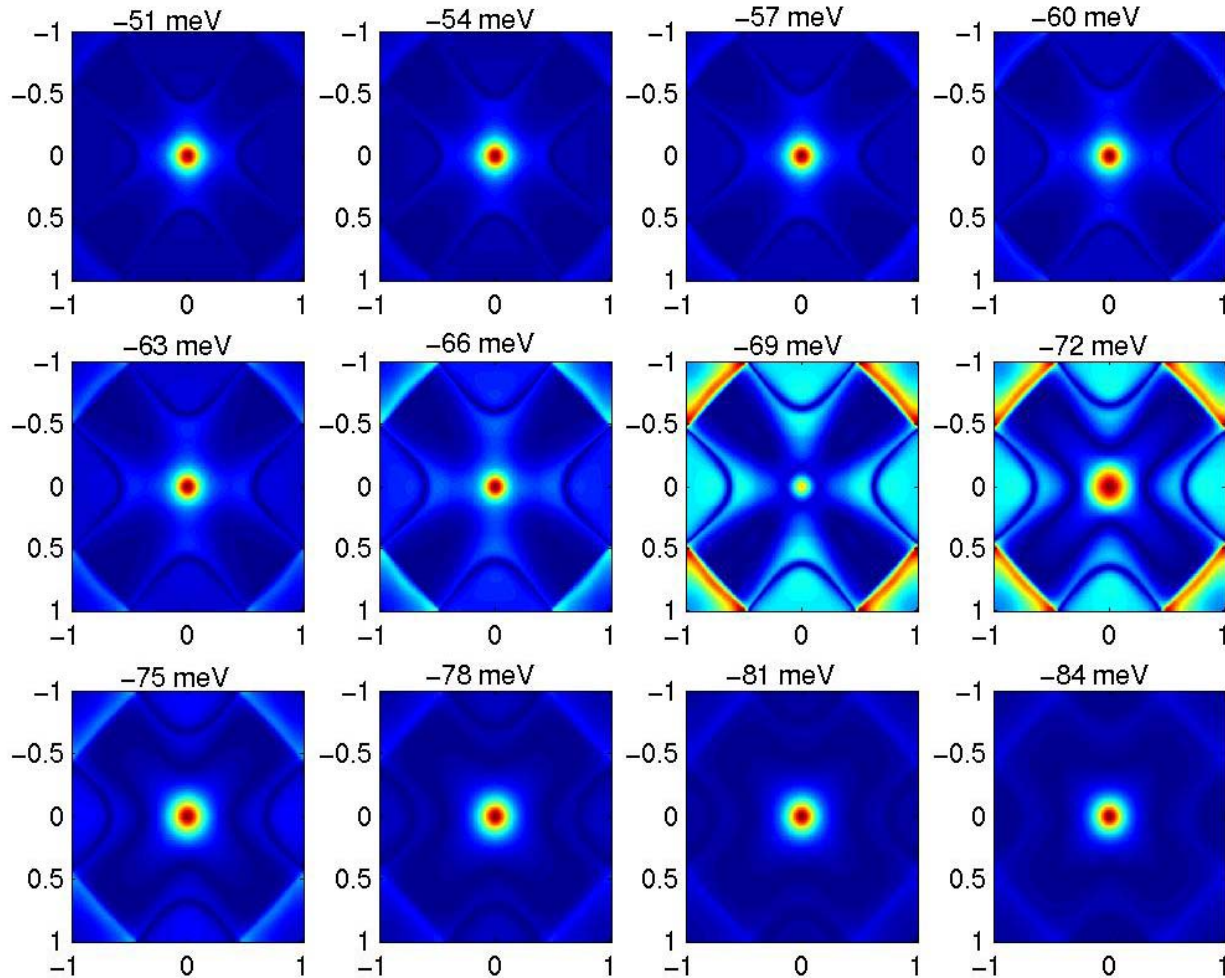


$$\mathcal{H}_{el-localph} = g_0 \sum_{\sigma} c_{0\sigma}^{\dagger} c_{0\sigma} (b_0 + b_0^{\dagger})$$

$$\Omega_0 = 36 \text{ meV}$$

# Energy evolution of FT spectrum in the present of B1g and half-stretched breathing collective modes --- 1

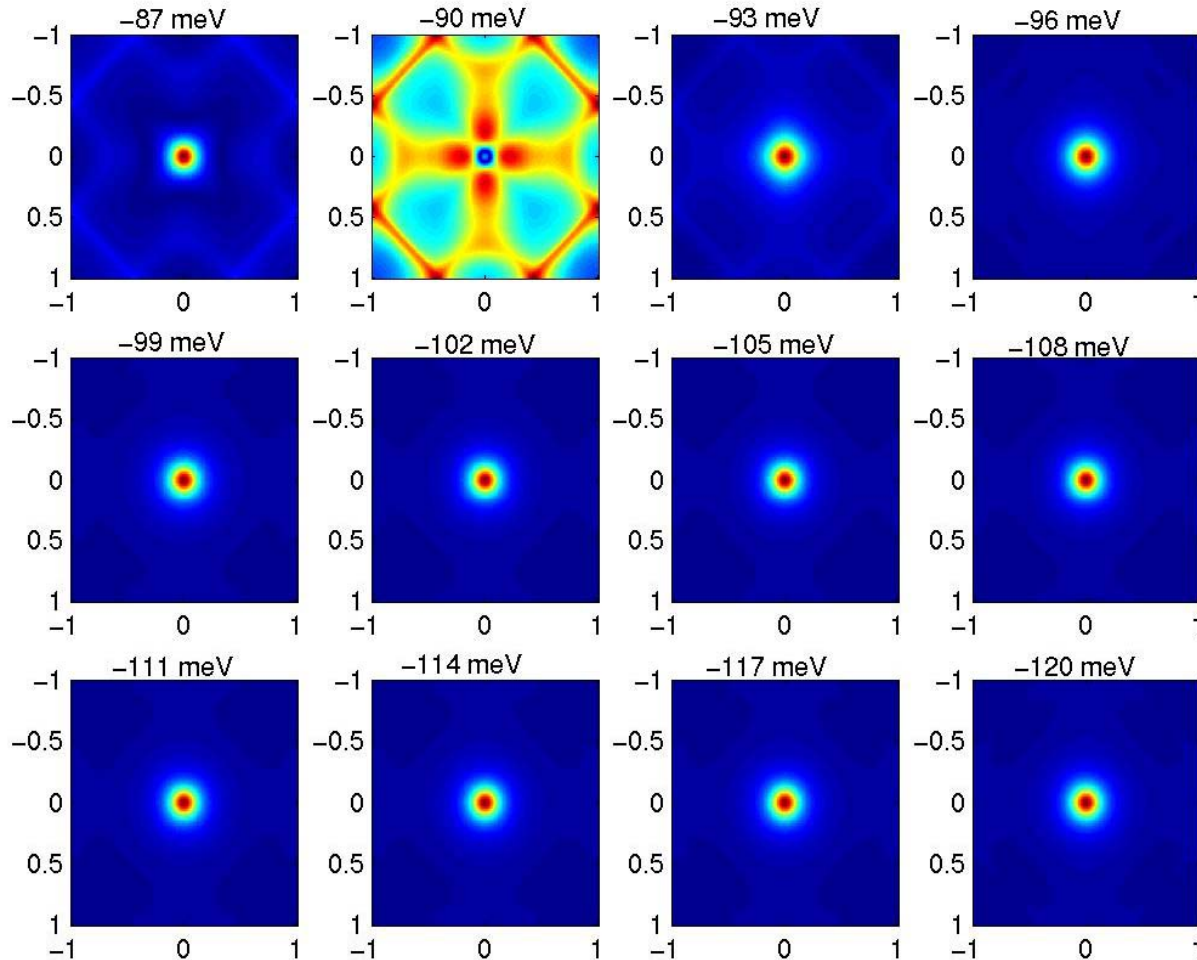
$$\text{Filter: } U(\mathbf{q}) = \frac{1}{1+r_c(\sin^2 \frac{qx}{2} + \sin^2 \frac{qy}{2})}$$



Note:  $k_x, k_y$  are in units of  $\pi/a$ .  $\Gamma$  point is located at the center

# Energy evolution of FT spectrum in the presence of B1g and half-stretched breathing collective modes --- 2

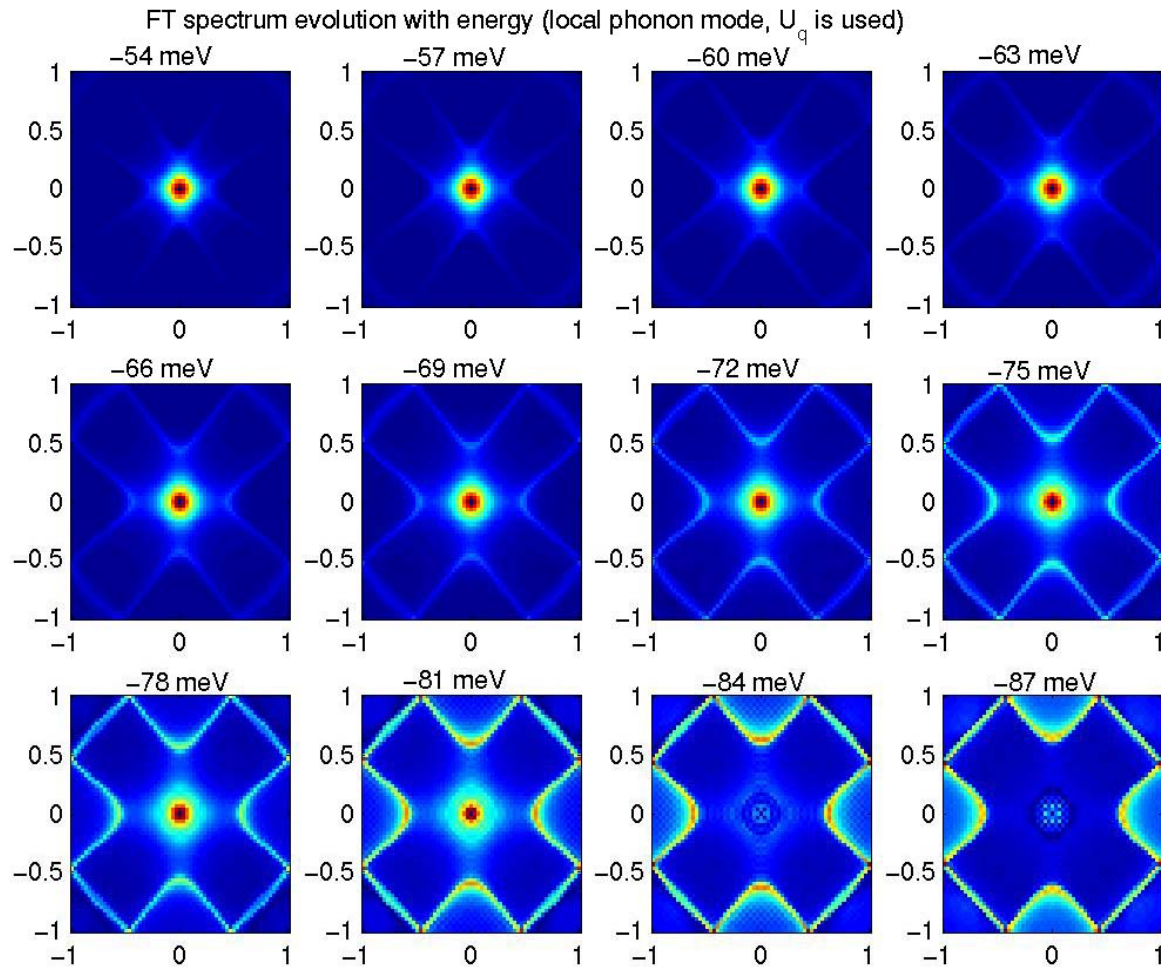
$$\text{Filter: } U(\mathbf{q}) = \frac{1}{1+r_c(\sin^2\frac{qx}{2}+\sin^2\frac{qy}{2})}$$



Note  $k_x, k_y$  are in units of  $\pi/a$ .  $\Gamma$  point is at the center

# Energy evolution of FT spectrum in the presence of a local phonon mode

$$\text{Filter: } U(\mathbf{q}) = \frac{1}{1+r_c(\sin^2 \frac{qx}{2} + \sin^2 \frac{qy}{2})}$$

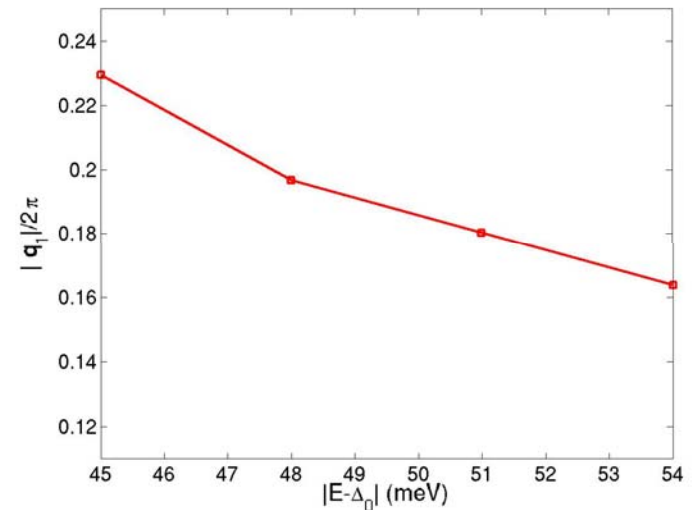
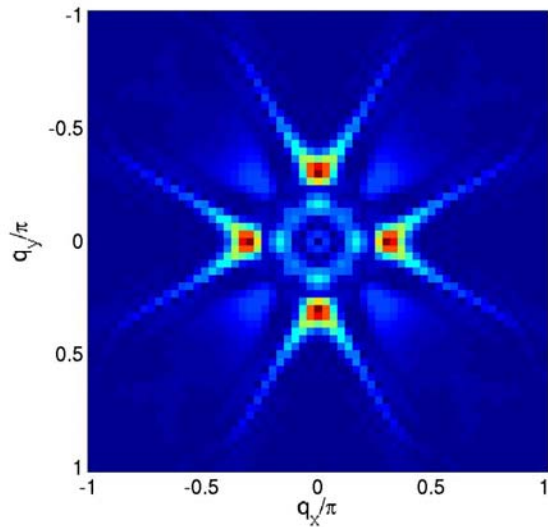
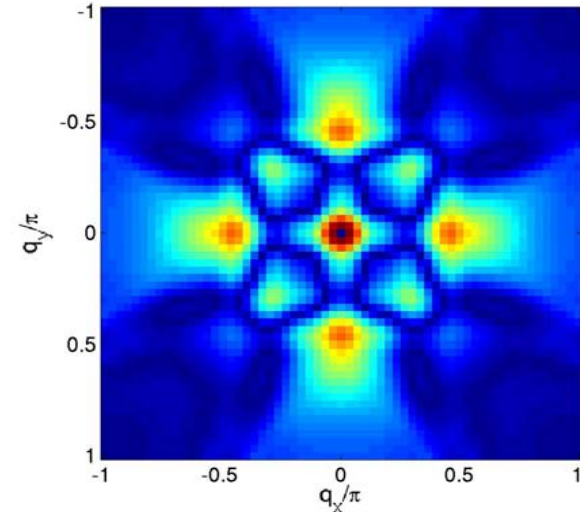
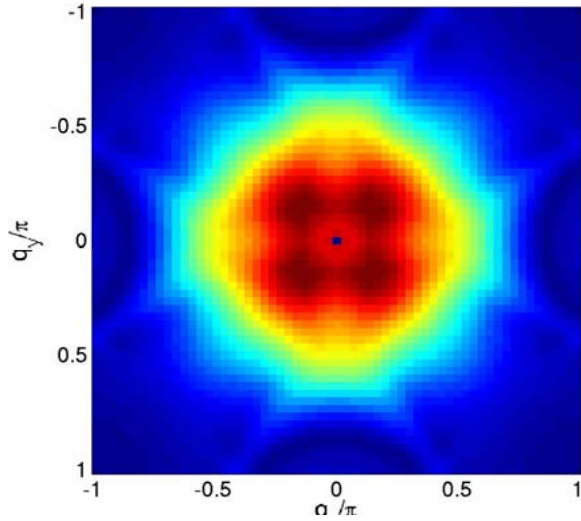


Note:  $K_x, k_y$  are in units of  $\pi/a$ ,  $\Gamma$  point is at the center

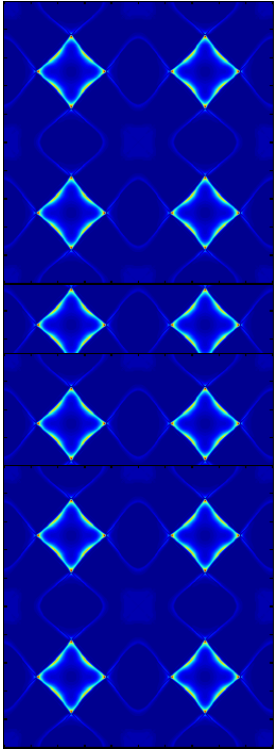


# Tau 1 FT IETS signals

strong coupling self consistent calc



# Experimental Algorithm



Measure a set of second-derivative images:  $\frac{d^2 I}{dV^2}(\vec{r}, eV)$

Fourier transform: second-derivative images:  $\frac{d^2 I}{dV^2}(q, eV)$

Identify energies:

$$\Omega = eV - \Delta$$

and  $q$  –vectors:

of peaks in  $d^2 I/dV^2$  caused by  $\vec{q}(\Omega)$

***Inelastic electron-boson interactions***

# Electron phonon coupling example

$$\mathcal{H}_{el-ph} = \frac{1}{\sqrt{N_L}} \sum_{\mathbf{k}, \mathbf{q}} g_\nu(\mathbf{k}, \mathbf{q}) c_{\mathbf{k}+\mathbf{q}, \sigma}^\dagger c_{\mathbf{k}, \sigma} A_{\nu, \mathbf{q}},$$

$$g_{B_{1y}}(\mathbf{k}, \mathbf{q}) = \frac{g_0}{\sqrt{M(\mathbf{q})}} \{ \phi_x(\mathbf{k}) \phi_x(\mathbf{k} + \mathbf{q}) \cos(q_y/2) - \phi_y(\mathbf{k}) \phi_y(\mathbf{k} + \mathbf{q}) \cos(q_x/2) \},$$

$$g_{B_r}(\mathbf{k}, \mathbf{q}) = g_0 \sum_{\alpha=x,y} \{ \phi_b(\mathbf{k} + \mathbf{q}) \phi_\alpha(\mathbf{k}) \cos[(k_\alpha + q_\alpha)/2] - \phi_b(\mathbf{k}) \phi_\alpha(\mathbf{k} + \mathbf{q}) \cos(k_\alpha/2) \},$$

$$\mathcal{D}_\nu(\mathbf{q}; i\Omega_m) = \frac{1}{2} \left[ \frac{1}{i\Omega_m - \Omega_\nu} - \frac{1}{i\Omega_m + \Omega_\nu} \right],$$

$$\hat{\Sigma}(\mathbf{k}; i\omega_n) = -\frac{T}{N_L} \sum_{\mathbf{q}, \nu} \sum_{\Omega_m} g_\nu(\mathbf{k} - \mathbf{q}, \mathbf{q}) g_\nu(\mathbf{k}, -\mathbf{q}) \\ \times \mathcal{D}_\nu(\mathbf{q}; i\Omega_m) \hat{\tau}_3 \hat{\mathcal{G}}_0(\mathbf{k} - \mathbf{q}; i\omega_n - i\Omega_m) \hat{\tau}_3$$