
On intrinsic and emergent gauge structures: from irrational charge to deconfinement diagnostics

K. Gregor

NYU

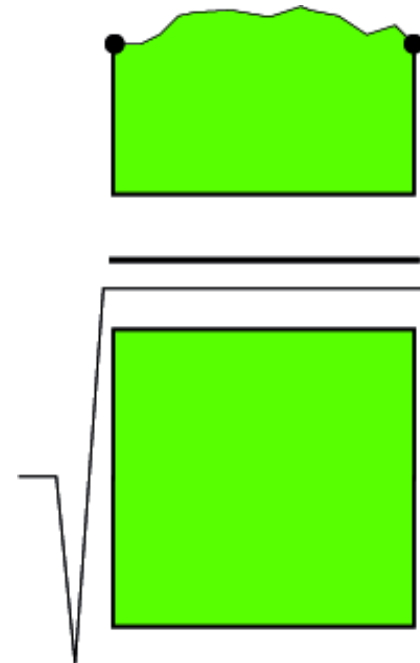
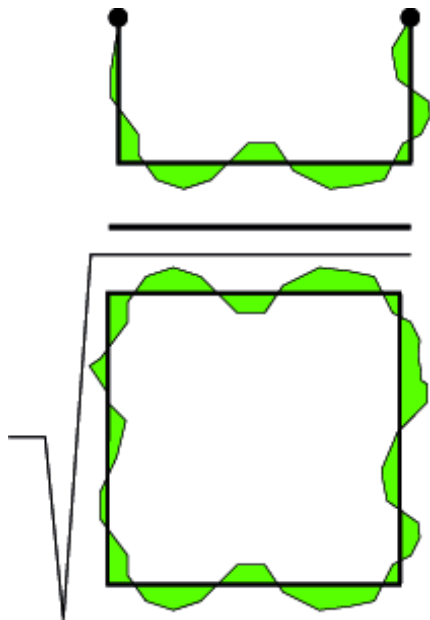
R. Moessner

MPI-PKS

D. Huse

S. Sondhi

Princeton



[arXiv:1004.2154](https://arxiv.org/abs/1004.2154), [1011.4187](https://arxiv.org/abs/1011.4187)

Outline

Introduction

- emergent gauge structures and spin liquids

Intrinsic and emergent gauge charges

- fractional, and irrational, charge

Diagnosing deconfinement

- equal-time diagnostic ('non-local order parameter')
- application to some known instances

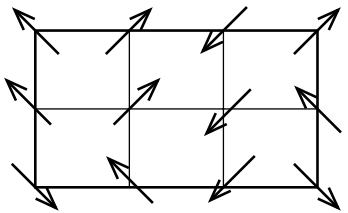
Exotic phases with emergent gauge fields

No definition (necessary and sufficient criteria) available which covers all cases of interest and is reasonable

- low-energy physics: emergent weakly fluctuating gauge field
 - gapped spin liquids
 - gapless spin liquids
 - spin ice
 - quantum dimer models
 - quantum Hall effect

Wishlist

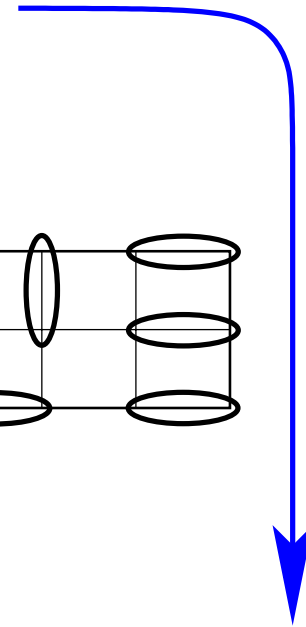
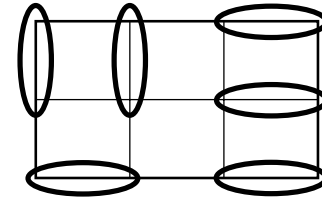
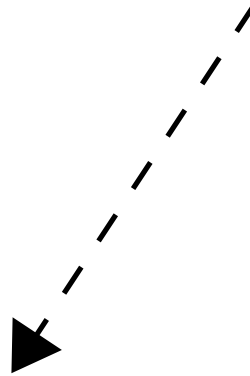
Microscopic
(spin)model



(non-local)
diagnostic "order
parameter"



constrained
subspace
(e.g. dimers)



deconfined
phase
(e.g. Z_2 liquid)



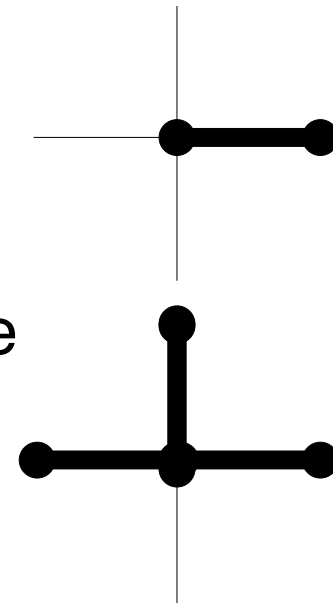
Where emergent gauge fields can appear

Constraints on energetics or Hilbert space

- ‘exclusive’ singlet formation \Rightarrow hardcore dimer constraint
- double-occupancy constraint
- slave-particle constraint: $f^\dagger f + b^\dagger b = 1$

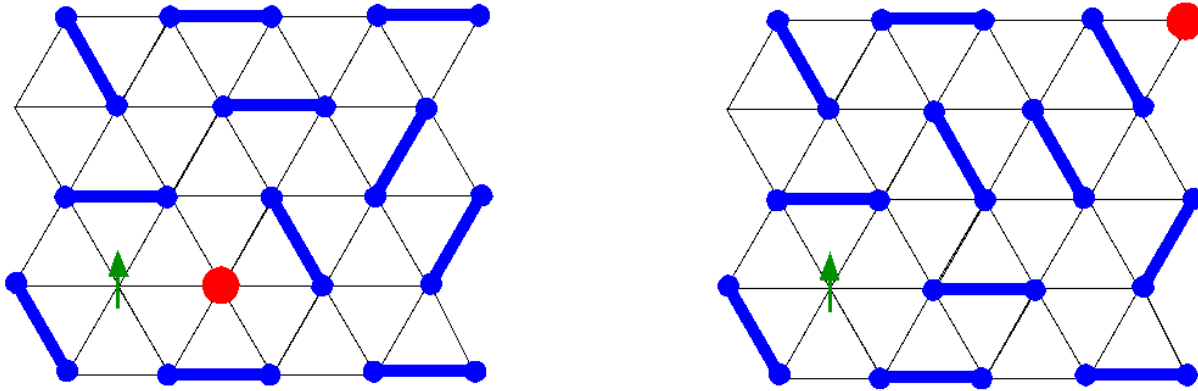
Constraints can give rise to Gauss’ law / gauge transformations

- in some cases, explicit gauge construction based on lattice model available
- often appears when considering fluctuations around mean-field saddle point



Emergent Z_2 gauge theory: the quantum dimer model

emergent Z_2 gauge field + deconfined (topological) phase



- topological order and quantum number fractionalisation go hand in hand (e.g. spin charge separation)
- no local symmetry breaking

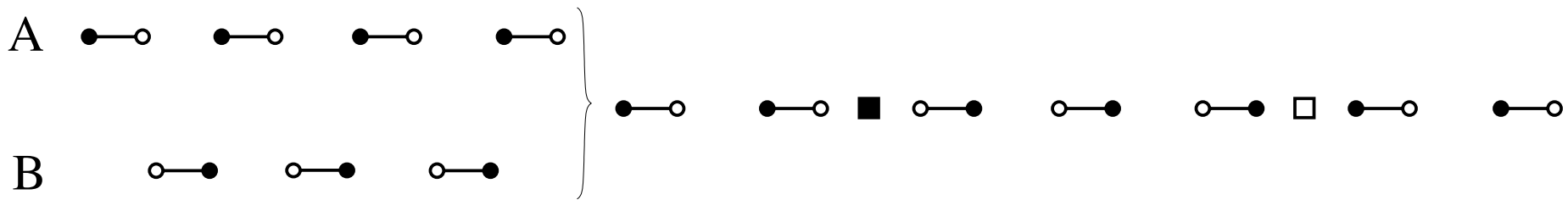
Existence of various gapped liquids well established

- gapped Z_2 liquid in $d \geq 2$; gapless $U(1)$ liquid in $d \geq 3$

Reliable construction based on $SU(2)$ spins is messy

Quantum number fractionalisation : $d = 1$

solitons (polyacetylene) in dimerised chain Su, Schrieffer, Heeger



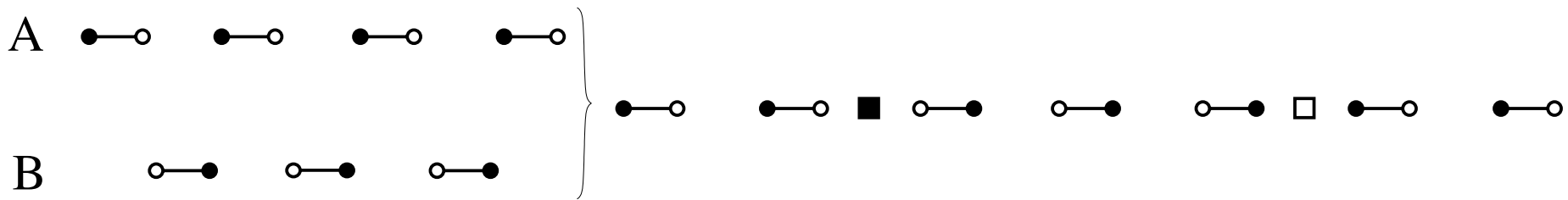
removing one electron creates two ‘unpaired sites’

- pair can be separated at finite energy cost (“deconfinement”)
- in presence of sublattice symmetry, each soliton has charge

$$Q = e/2$$

Quantum number fractionalisation : $d = 1$

solitons (polyacetylene) in dimerised chain Su, Schrieffer, Heeger



removing one electron creates two ‘unpaired sites’

- pair can be separated at finite energy cost (“deconfinement”)
- in presence of sublattice symmetry, each soliton has charge

$$Q = e/2$$

- Without sublattice symmetry, know only: $Q_A + Q_B = e$

Brazovskii; Rice, Mele

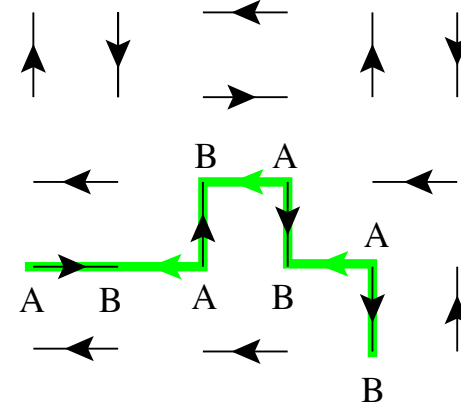
$\Rightarrow Q_{A,B}$ can be irrational

Mechanism: inverting strings of (oriented!) dipoles

$$V(r) = \frac{P}{a} \int_{\Lambda} d\vec{r}' \cdot \vec{\nabla} \frac{1}{|r - r'|} = Q \left(\frac{1}{|r - r_a|} - \frac{1}{|r - r_b|} \right)$$

Potential due to a string of dipoles

- same as two charges at end of string
- $Q = P/a =$ moment per unit length
- reversing string of dipoles creates (tunable) charges

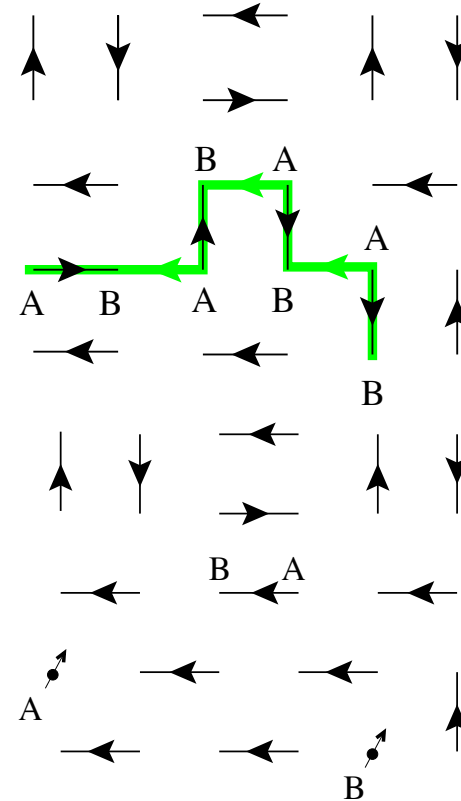


Mechanism: inverting strings of (oriented!) dipoles

$$V(r) = \frac{P}{a} \int_{\Lambda} d\vec{r}' \cdot \vec{\nabla} \frac{1}{|r - r'|} = Q \left(\frac{1}{|r - r_a|} - \frac{1}{|r - r_b|} \right)$$

Potential due to a string of dipoles

- same as two charges at end of string
- $Q = P/a =$ moment per unit length
- reversing string of dipoles creates (tunable) charges
- works both for $d = 1$ and $d \geq 2$
- Examples: several models; (spin) ice



Emergent vs. intrinsic charge

Intrinsic charge

- is almost accidental
- can be irrational, ie not a sharp quantum number
- dipoles not only mechanism, cf. magnetoelectric effect Zhang

Emergent charge

- for cases of irrational charge, have e.g. sublattice index
- more 'fundamental'?

Uses as diagnostic

- Electric (intrinsic) charge not necessarily sharp

Diagnosing topological order/deconfinement

Diagnostic should satisfy:

- require knowledge of ground or Gibbs state only
- be independent of Hamiltonian
- work in presence of dynamical matter / at finite temperature

⇒ Need non-local “order parameter”:

- generalisation of Wilson loop Fredenhagen + Marcu; Huse + Leibler
- measures effective ‘line tension’

Apply to some known phase diagrams

Related work

Entanglement entropy Levin-Wen;Kitaev-Preskill

- (subdominant) term signals topological nature of phase ($d = 2$)
- no simple interpretation in terms of correlations

Wilson loop “zero law” Hastings-Wen

- “undress” wavefunction to revert to ideal strong-coupling point
- construction depends on Hamiltonian

What's hard about diagnosing deconfinement?

No local order parameter Wegner

- Wilson loop area vs. perimeter law indicates phase transition

Example: (pure) Z_2 gauge theory

$$S_0 = K \sum_{\square} \sigma\sigma\sigma\sigma \implies Z_0 \propto \text{Tr}_{\{\sigma\}} \prod_{\square} [1 + (\tanh K)\sigma\sigma\sigma\sigma]$$

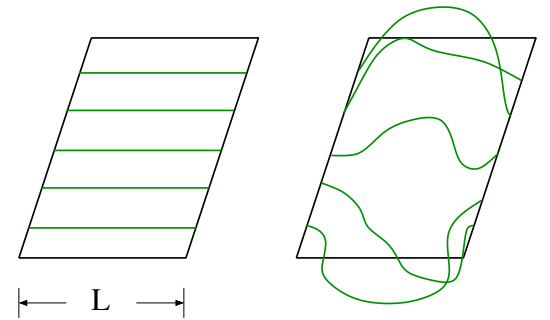
- theory of surfaces
- Wilson loop diagnoses absence/presence of surface tension

$$\langle W \rangle = \left\langle \prod_{\square} \sigma \right\rangle$$

Wilson loop, and theory of surfaces with edges

Area/perimeter law diagnose surface tension:

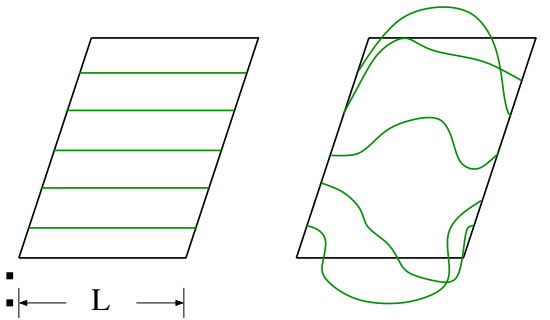
$$\langle W \rangle = \text{Tr}_{\{\sigma\}} \left[W \prod_{\square} [1 + (\tanh K) \sigma \sigma \sigma \sigma] \right] / Z$$



Wilson loop, and theory of surfaces with edges

Area/perimeter law diagnose surface tension:

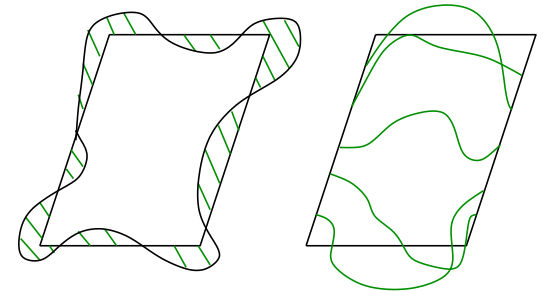
$$\langle W \rangle = \text{Tr}_{\{\sigma\}} \left[W \prod_{\square} [1 + (\tanh K) \sigma \sigma \sigma \sigma] \right] / Z$$



Not diagnostic when dynamical matter is added:

$$S_1 = S_0 + J \sum \tau \sigma \tau \implies$$

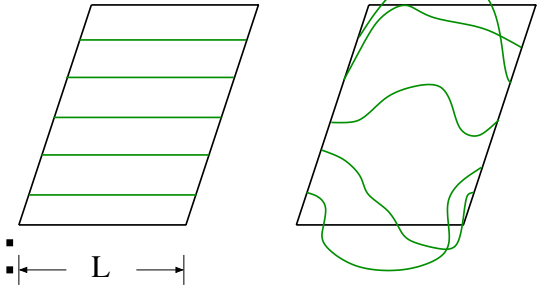
$$Z \propto Z_0 \cdot \prod [1 + (\tanh J) \tau \sigma \tau]$$



Wilson loop, and theory of surfaces with edges

Area/perimeter law diagnose surface tension:

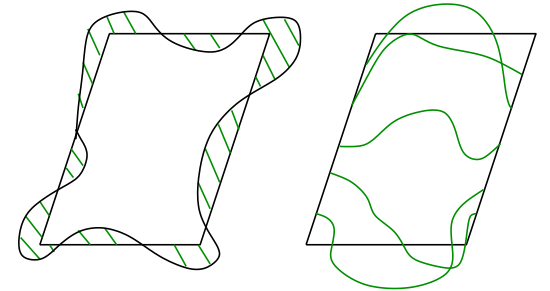
$$\langle W \rangle = \text{Tr}_{\{\sigma\}} \left[W \prod_{\square} [1 + (\tanh K) \sigma \sigma \sigma \sigma] \right] / Z$$



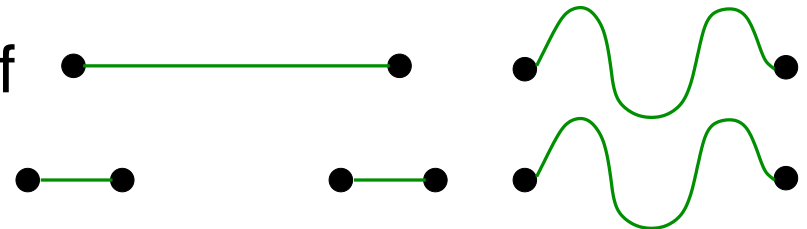
Not diagnostic when dynamical matter is added:

$$S_1 = S_0 + J \sum \tau \sigma \tau \implies$$

$$Z \propto Z_0 \cdot \prod [1 + (\tanh J) \tau \sigma \tau]$$

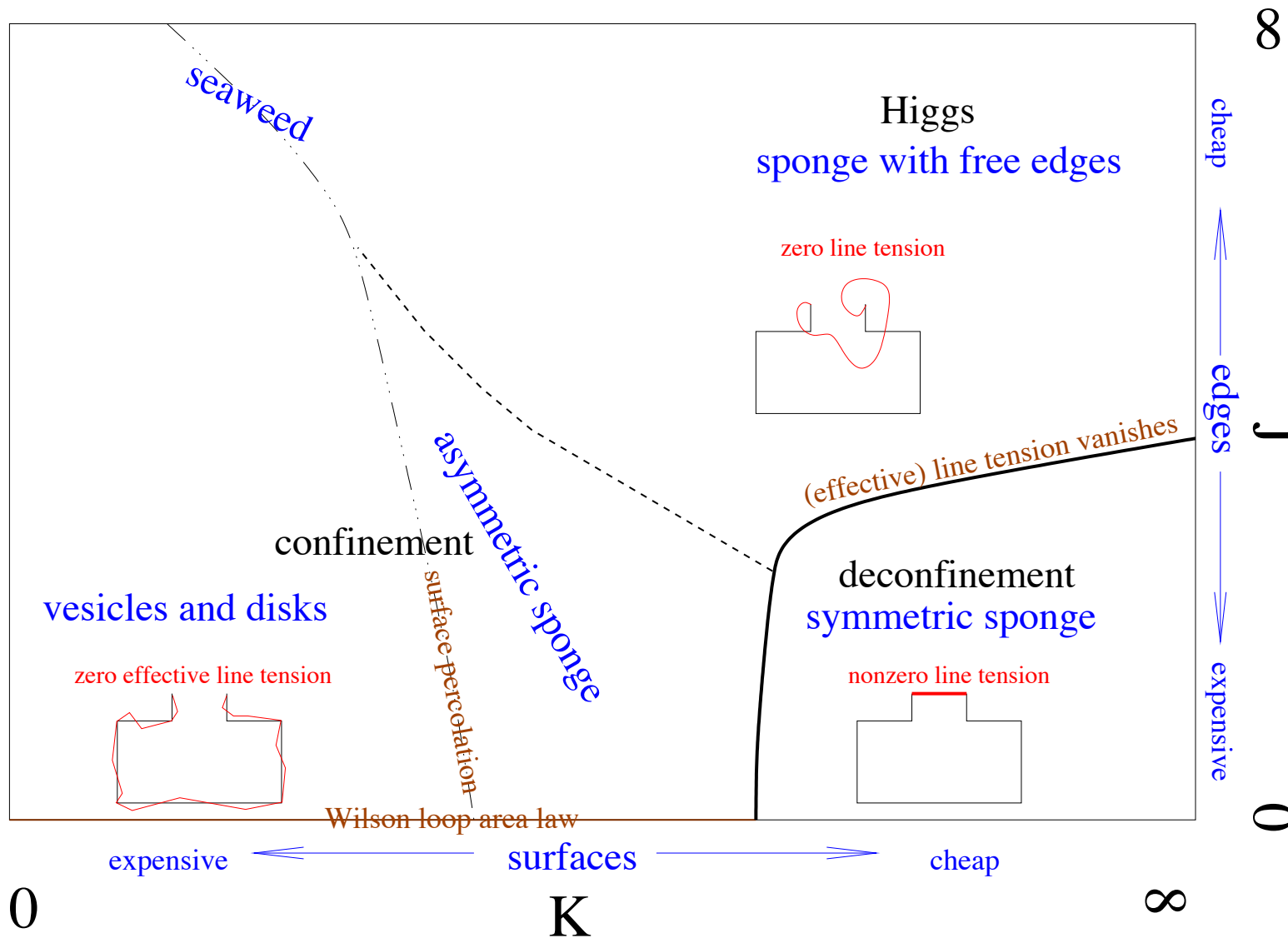


Always perimeter law (cf. breaking of flux string): $\langle W \rangle = e^{-\alpha L}$



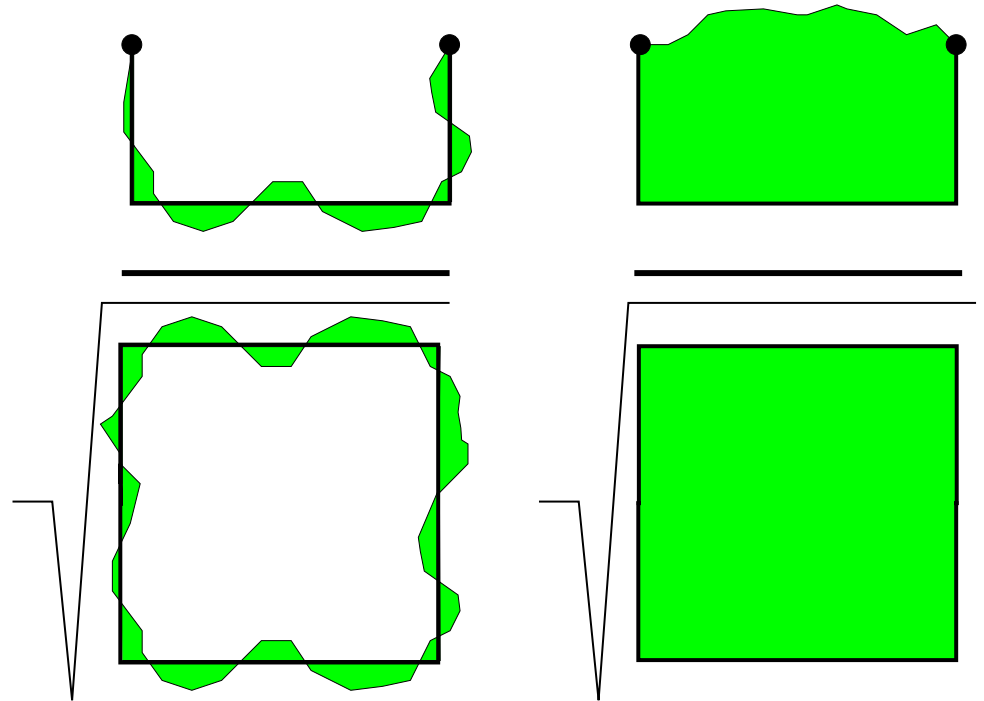
\implies need to diagnose 'underlying surface tension'

The Huse-Leibler horseshoe: effective line tension



The Fredenhagen-Marcu order parameter

$$\begin{aligned}
 R(L) &= \frac{W_{1/2}(L)}{\sqrt{W(L)}} \\
 &= \frac{\langle \tau_s (\prod_{l \in C_{1/2}} \sigma_l) \tau_{s'} \rangle}{\sqrt{\langle \prod_{l \in C} \sigma_l \rangle}}
 \end{aligned}$$



This diagnoses deconfinement

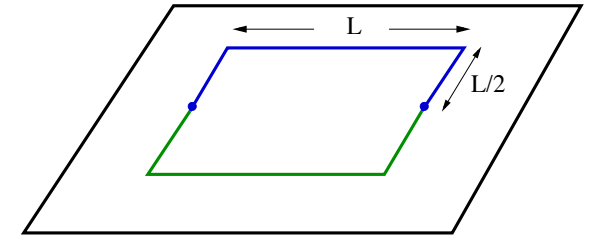
$\lim_{L \rightarrow \infty} R(L) = 0$ deconfined phase

$\lim_{L \rightarrow \infty} R(L) \neq 0$ otherwise

Space-time interpretations

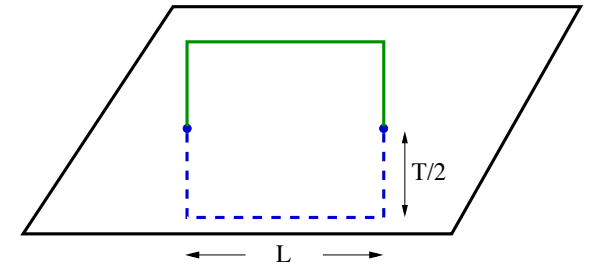
Equal-time diagnostic

$$R(L) \equiv \frac{W_{1/2}(L)}{\sqrt{W(L)}} = \frac{\langle G | \tau_s^z (\prod_{l \in C_{1/2}} \sigma_l^z) \tau_{s'}^z | G \rangle}{\sqrt{\langle G | \prod_{l \in C} \sigma_l^z | G \rangle}}$$



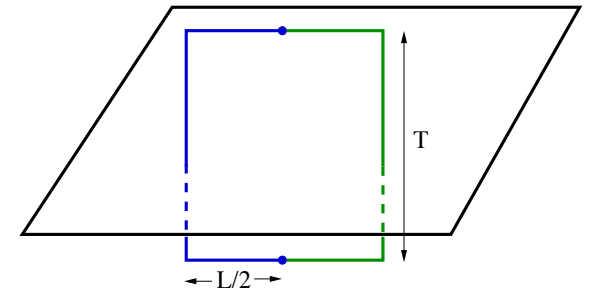
Fredenhagen-Marcu order parameter

$$R(L) = \frac{\langle G | ss' \rangle}{\sqrt{\langle ss' | ss' \rangle}}; |ss' \rangle = \tau_s^z \tau_{s'}^z \prod_{l \in C_{ss'}} \sigma_l^z (-T/2) | G \rangle$$



Spinon-delocalisation diagnostic

$$R(L) = \frac{e^{-(E_{\text{defect}} + E_{\text{spinon}})T}}{\sqrt{e^{-(E_{\text{defect}} + E_{\text{defect}})T}}}$$



Some small print

Finite temperature topological order

Senthil+Fisher;Nussinov+Ortiz;Castelnovo+Chamon,KG et al.

- In $d = 3$ (but not in $d = 2$), topological order persists to finite temperature for Z_2

Fluctuating constraints

- In emergent context, unphysical sector is physical but high-energy (no Lorentz invariance)
- ⇒ not all orientations are equivalent

U(1) gauge theories with charge q matter *Fradkin-Shenker*

$$-S = K \sum_p \prod_{l \in \partial p} U_{\tilde{l}(l)} + J \sum_{l; s, s' \in \partial l} \tau_s U_l \tau_{s'} + \text{c.c.}$$

Fields now of form $U = \exp(iA_{ij})$, $\tau = \exp(i\phi_i)$; $A, \phi \in [0, 2\pi)$.

$$W_q(L) = \left\langle \prod_{l \in C} U_{\tilde{l}(l)}^q \right\rangle$$

$$R_q(L) = \frac{\langle \tau_s^\dagger (\prod_{l \in C_{1/2}} U_{\tilde{l}(l)}^q) \tau_{s'} \rangle}{\sqrt{\langle \prod_{l \in C} U_{\tilde{l}(l)}^q \rangle}}$$

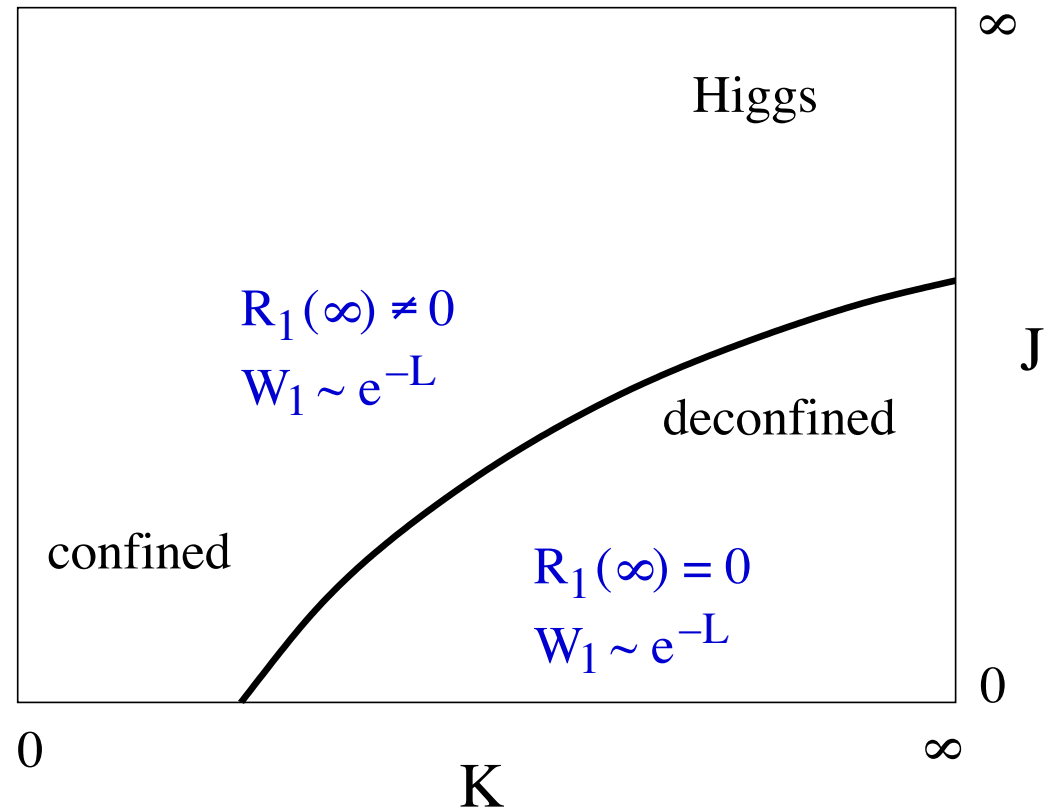
U(1) gauge theories with charge q matter *Fradkin-Shenker*

$$-S = K \sum_p \prod_{l \in \partial p} U_{\tilde{l}(l)} + J \sum_{l; s, s' \in \partial l} \tau_s U_l \tau_{s'} + \text{c.c.}$$

Fields now of form $U = \exp(iA_{ij})$, $\tau = \exp(i\phi_i)$; $A, \phi \in [0, 2\pi)$.

$$W_q(L) = \left\langle \prod_{l \in C} U_{\tilde{l}(l)}^q \right\rangle$$

$$R_q(L) = \frac{\langle \tau_s^\dagger (\prod_{l \in C_{1/2}} U_{\tilde{l}(l)}^q) \tau_{s'} \rangle}{\sqrt{\langle \prod_{l \in C} U_{\tilde{l}(l)}^q \rangle}}$$



$q = 1$: confined = Higgs

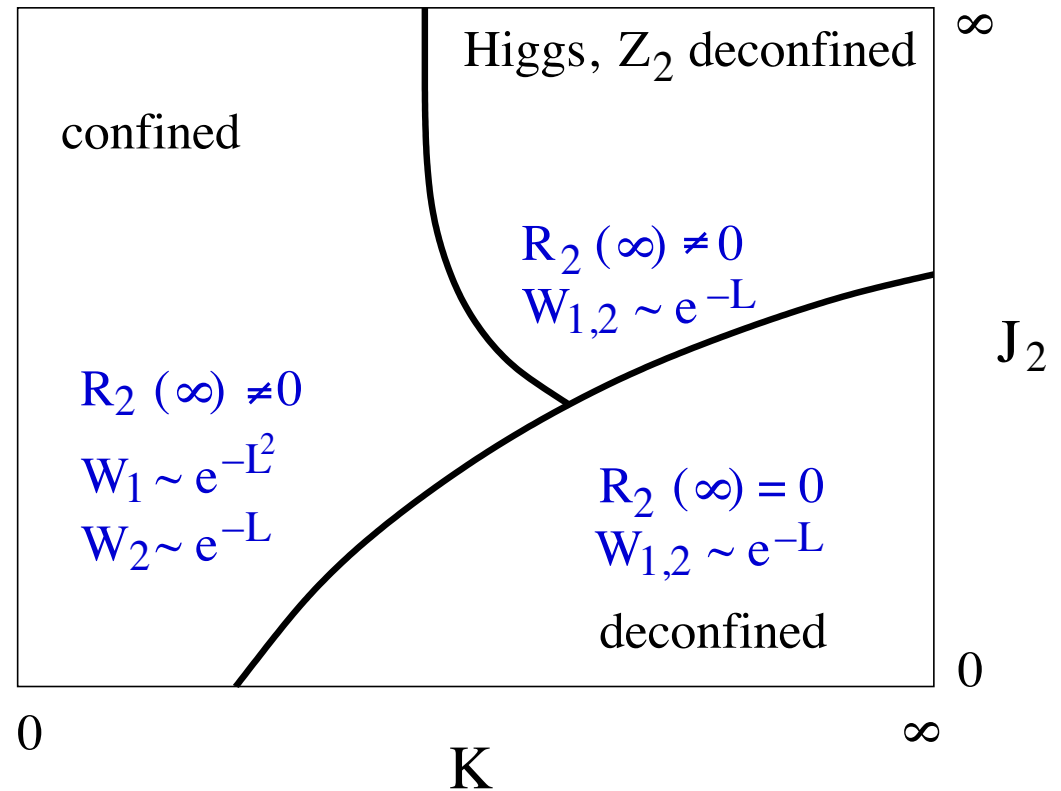
$U(1)$ gauge theories with charge q matter *Fradkin-Shenker*

$$-S = K \sum_p \prod_{l \in \partial p} U_{\tilde{l}(l)} + J \sum_{l; s, s' \in \partial l} \tau_s U_l \tau_{s'} + \text{c.c.}$$

Fields now of form $U = \exp(iA_{ij})$, $\tau = \exp(i\phi_i)$; $A, \phi \in [0, 2\pi)$.

$$W_q(L) = \left\langle \prod_{l \in C} U_{\tilde{l}(l)}^q \right\rangle$$

$$R_q(L) = \frac{\langle \tau_s^\dagger (\prod_{l \in C_{1/2}} U_{\tilde{l}(l)}^q) \tau_{s'} \rangle}{\sqrt{\langle \prod_{l \in C} U_{\tilde{l}(l)}^q \rangle}}$$



$q = 1$: confined = Higgs ; $q = 2$: R_2, W_1 act as diagnostic

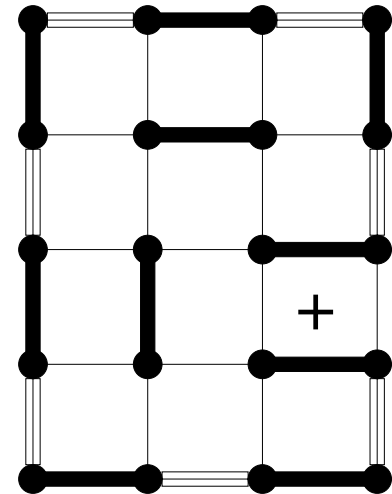
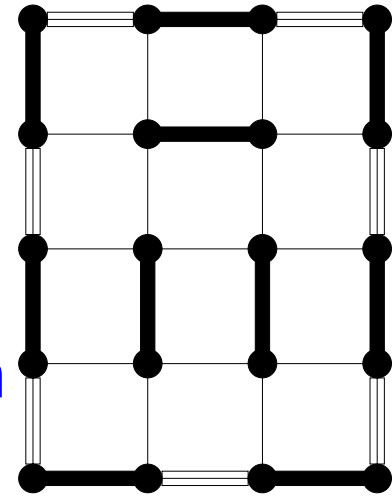
Gauge theories for quantum magnets

Wilson loop (in dimer variables, $\sigma_z = \pm 1$): $W_{\square} = \sigma^+ \sigma^- \sigma^+ \sigma^- \dots$

In deconfined phase, $|\phi\rangle \sim (1/N_c) \sum_c |c\rangle$

- Only configurations with appropriate dimerisation contribute
- amounts to restricting dimer configuration in volume $L\xi$ in gapped case

\Rightarrow perimeter law $W \sim \exp(-\varsigma \xi L)$



Gauge theories for quantum magnets

Wilson loop (in dimer variables, $\sigma_z = \pm 1$): $W_{\square} = \sigma^+ \sigma^- \sigma^+ \sigma^- \dots$

In deconfined phase, $|\phi\rangle \sim (1/N_c) \sum_c |c\rangle$

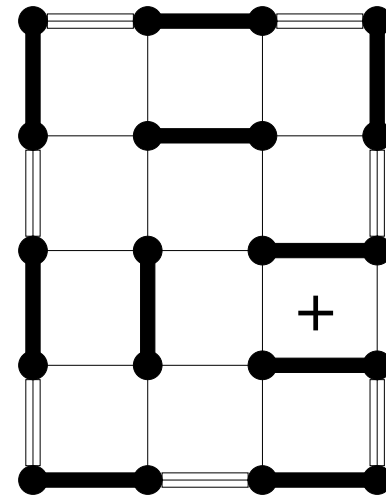
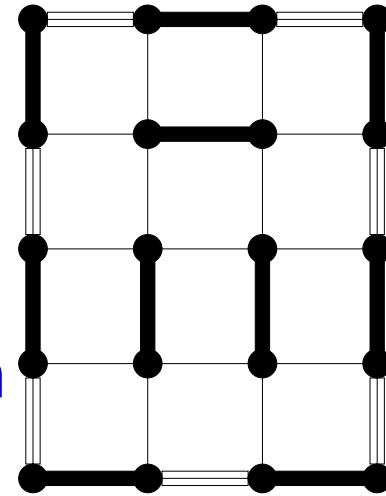
- Only configurations with appropriate dimerisation contribute
- amounts to restricting dimer configuration in volume $L\xi$ in gapped case

\Rightarrow perimeter law $W \sim \exp(-\varsigma \xi L)$

- With gapped spinful monomers Balents et al. ('spinons', $\tau_z = \pm 1$):

W fails but R should work

- full $SU(2)$ case: work in progress



Conclusions and outlook

Gauge theories from a condensed matter viewpoint

- origin and occurrences
- emergent vs. intrinsic charges
- irrational charge

Diagnostics in the presence of dynamical matter

- generalisation of Wilson loop, $R(L)$
- application to examples: U(1) gauge theory; QDM

Work in progress

- SU(2) magnets
- broader class of gauge theories