



The Abdus Salam
**International Centre
for Theoretical Physics**

Dynamics of Quantum Information,
KITP, Santa Barbara
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NON-ERGODIC EXTENDED PHASE AND CORRELATION-INDUCED LOCALIZATION IN RANDOM MATRIX THEORY.

V.E.Kravtsov
ICTP, Trieste

[NJP 17, 12202, \(2015\),](#)
[arXiv: 1805.06472,](#)
[arXiv: 1810.01492](#)

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Rosenzweig-Porter RMT

$$\langle H_{nm} \rangle = 0$$

NxN
matrix,
uncorrelated
random
entries

Scaling with
matrix size

$$\sigma = \frac{\lambda^2}{N^\gamma} \ll 1$$

Special diagonal:
Rosenzweig-
Porter (1960)
ensemble

$$\langle H_{nm}^2 \rangle = \begin{pmatrix} 1 & \sigma & \sigma & \sigma \\ \sigma & 1 & \sigma & \sigma \\ \sigma & \sigma & 1 & \sigma \\ \sigma & \sigma & \sigma & 1 \end{pmatrix}$$

Simplest non-invariant RMT

Localization/delocalization sufficient conditions (for uncorrelated entries)

Convergence of Anderson's locator expansion

$$\frac{1}{N} \sum_{n,m=1}^N \langle |H_{nm}| \rangle < \infty, \Rightarrow \text{localized}$$

$$\langle |H_{nm}| \rangle \square \delta \sim N^{-1}$$

$$\frac{1}{N} \sum_{n,m=1}^N \langle |H_{nm}|^2 \rangle = \infty \Rightarrow \text{semicircle}, \Rightarrow \text{ergodic extended}$$

Mott's criterion $V > W$

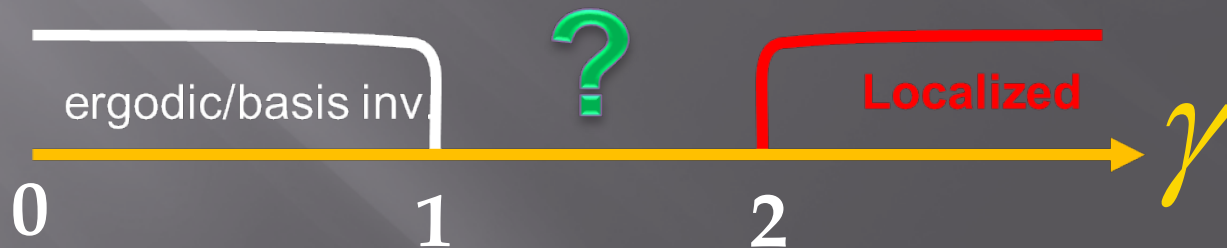
$$V = \sqrt{2S}$$

RP: $\langle (H_{nn})^2 \rangle = W^2 = 1$

$$\langle |H_{n \neq m}|^2 \rangle = \frac{\lambda^2}{N^\gamma}$$

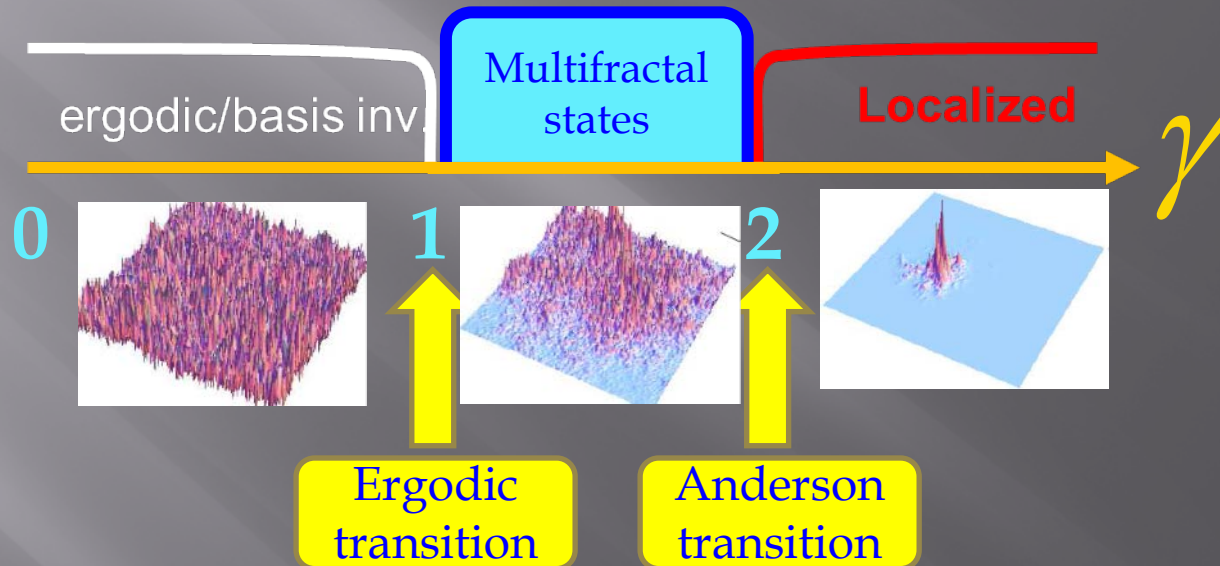
$$\rho_0 = \frac{\sqrt{2S - E^2}}{\pi S}, \quad \gamma < 1$$

$$S = \frac{1}{N} \sum_{n,m=1}^N \langle |H_{nm}|^2 \rangle = \lambda^2 N^{1-\gamma}$$



Ergodic transition

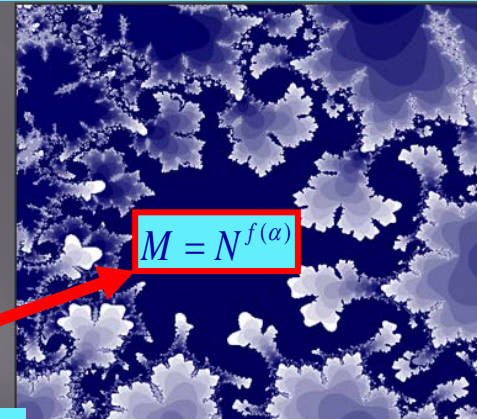
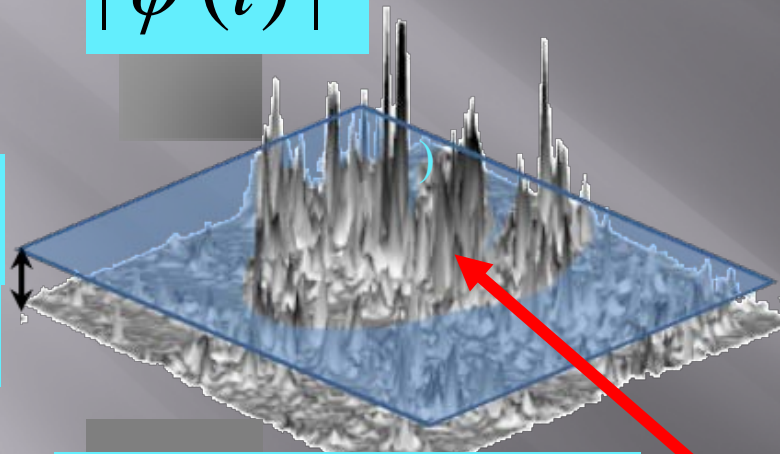
V.E.K., I.M. Khaymovich, E. Cuevas, M. Amini,
New J. Phys., v.17, 12202 (2015)



Crash course on multifractality

$$|\psi(i)|^2$$

Map of the regions with amplitude larger than the chosen level



$$N^{-\alpha}$$

$$|\psi_i|^2 \leq 1$$

$$\alpha \geq 0$$

N sites $\{i\}$ in the sample

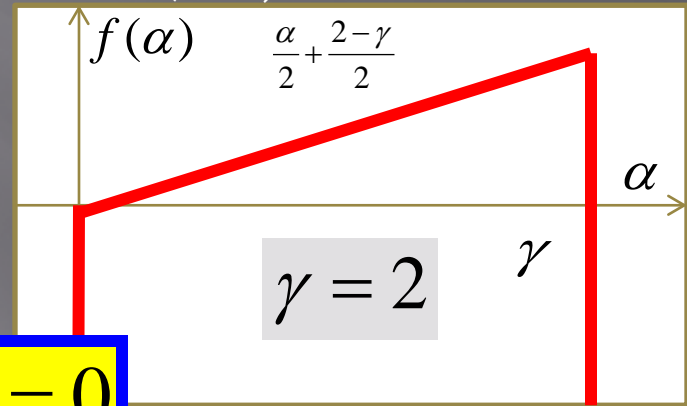
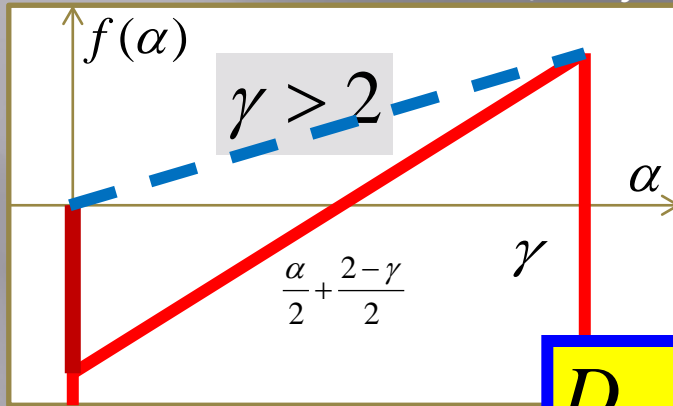
M sites in this region

$$M = N^{f(\alpha)}$$

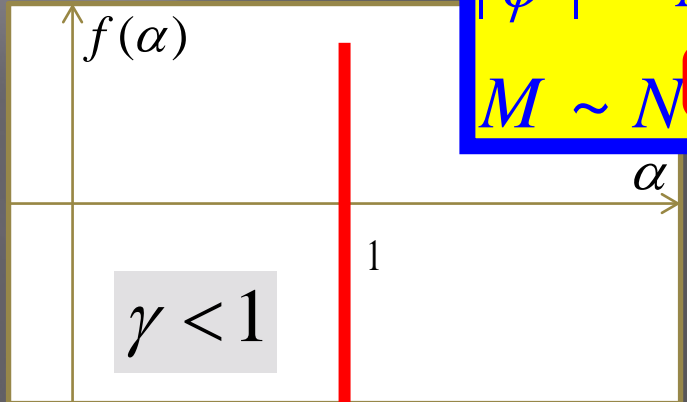
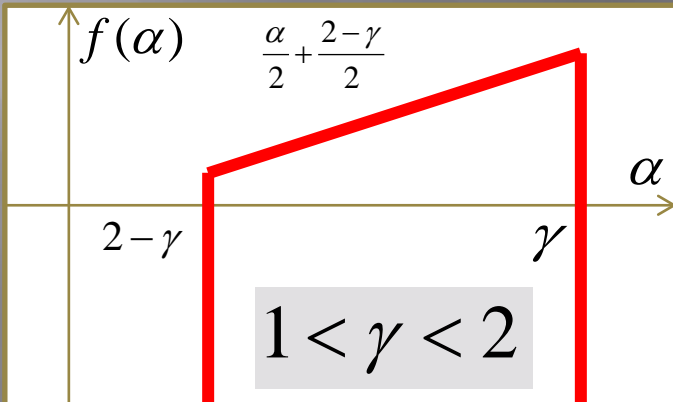
$$\sum_i |\psi(i)|^{2q} = \frac{C_q}{N^{D_q(q-1)}}$$

Multifractality spectrum $f(\alpha)$

V.E.K., I.M. Khaymovich, E. Cuevas, M. Amini,
New J. Phys., v.17, 12202 (2015)



$$D_{q>1/2} = 0$$



$$|\psi|^2 \sim N^{-\alpha}$$

$$M \sim N^{f(\alpha)}$$

$$D_{q>1/2} = 2 - \gamma$$

Fractal dimension
of wavefunction
support set

$$D_q = 1$$

Existence of multifractal phase
and ergodic transition in RP RMT
is suggested (with the physical
standard of rigor) in:

V.E.K., I.M. Khaymovich, E. Cuevas, M. Amini,
New J. Phys., v.17, 12202 (2015)

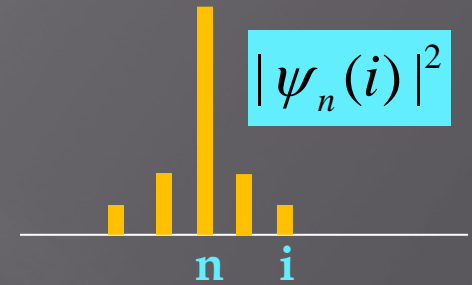
and rigorously proven
(on the level of a math theorem):

Per von Soosten and S. Warzel, Electron J.
Probab. 23, 1 (2018).

arXiv: 1709.10313 [math-ph]

Ansatz for random wave functions of Rosenzweig-Porter RMT

$$|\psi_n(i)|^2 = \frac{|H_{ni}|^2}{(E_n - E_i)^2 + \Gamma(N)^2}$$



$$\delta(N) = (\rho_0 N)^{-1}$$

$$\Gamma(N) = \begin{cases} \delta(N)N^D & \text{extended states} \\ \sqrt{\langle |H_{n \neq m}|^2 \rangle} & \text{localized states} \end{cases}$$

$$\rho_0 = p(E) \sim 1, \quad (\gamma > 1)$$

Semi
-
circle

$$\rho_0 = \frac{\sqrt{2S - E^2}}{\pi S}, \quad \gamma < 1$$

$$S = \sum_{n,m=1}^N \langle |H_{nm}|^2 \rangle = \lambda^2 N^{1-\gamma}$$

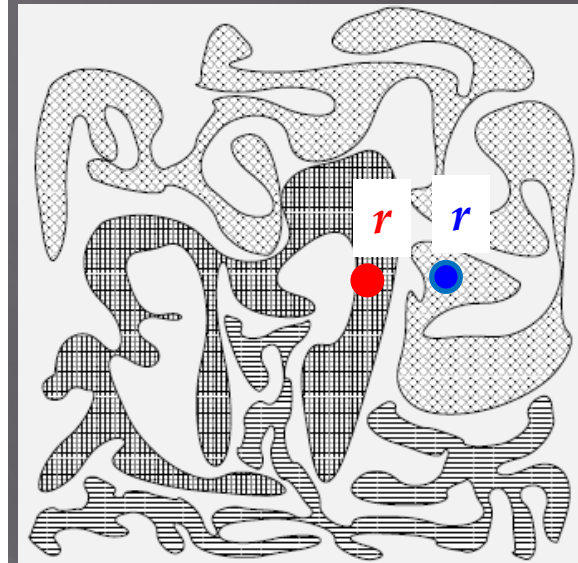
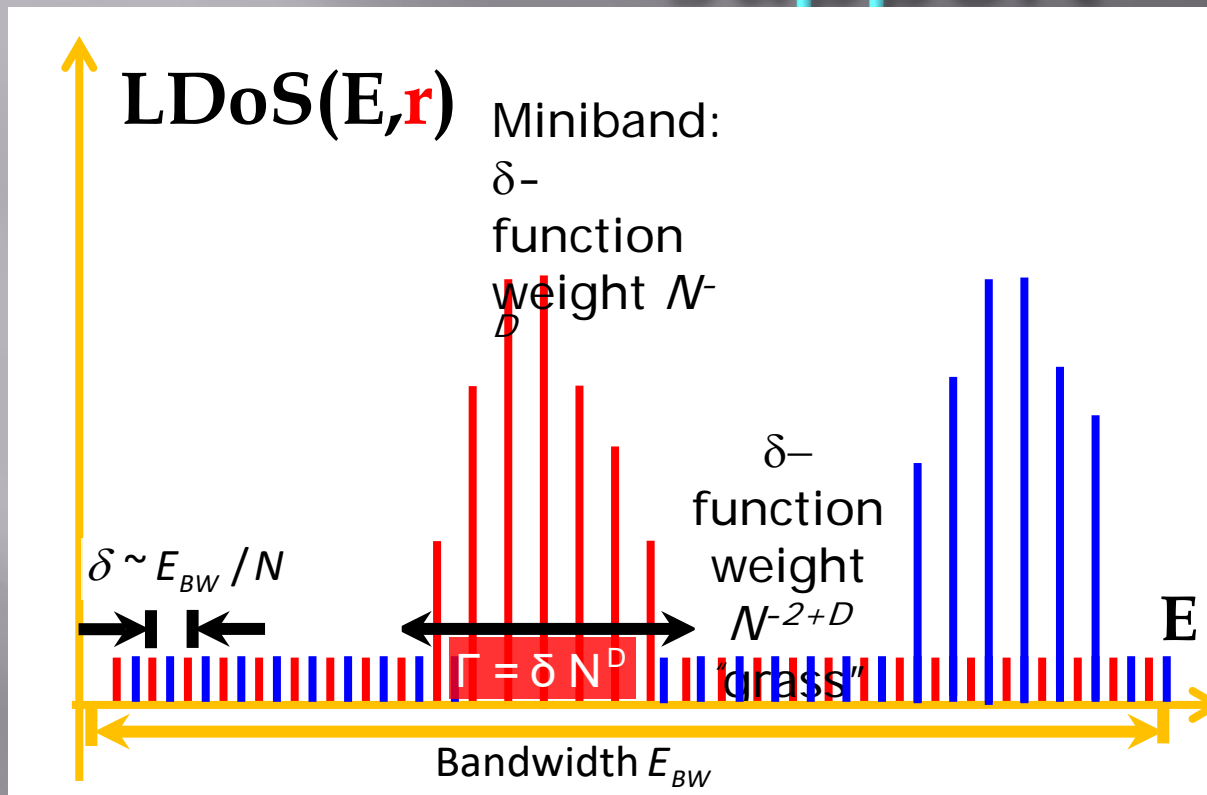
$$\Gamma(N) = \begin{cases} N^{\frac{1-\gamma}{2}}, & (\gamma < 1) \\ N^{-(\gamma-1)}, & (1 < \gamma < 2) \\ N^{-\gamma/2}, & (\gamma > 2) \end{cases}$$

$$\Gamma \rightarrow \infty$$

$$\Gamma \rightarrow 0, \quad \Gamma/\delta \rightarrow \infty$$

$$\Gamma \rightarrow 0, \quad \Gamma/\delta \rightarrow 0$$

Minibands and fractal support



Typically only one mini-band is seen in the local spectrum; global spectrum is a unification of all N^{1-D} mini-bands

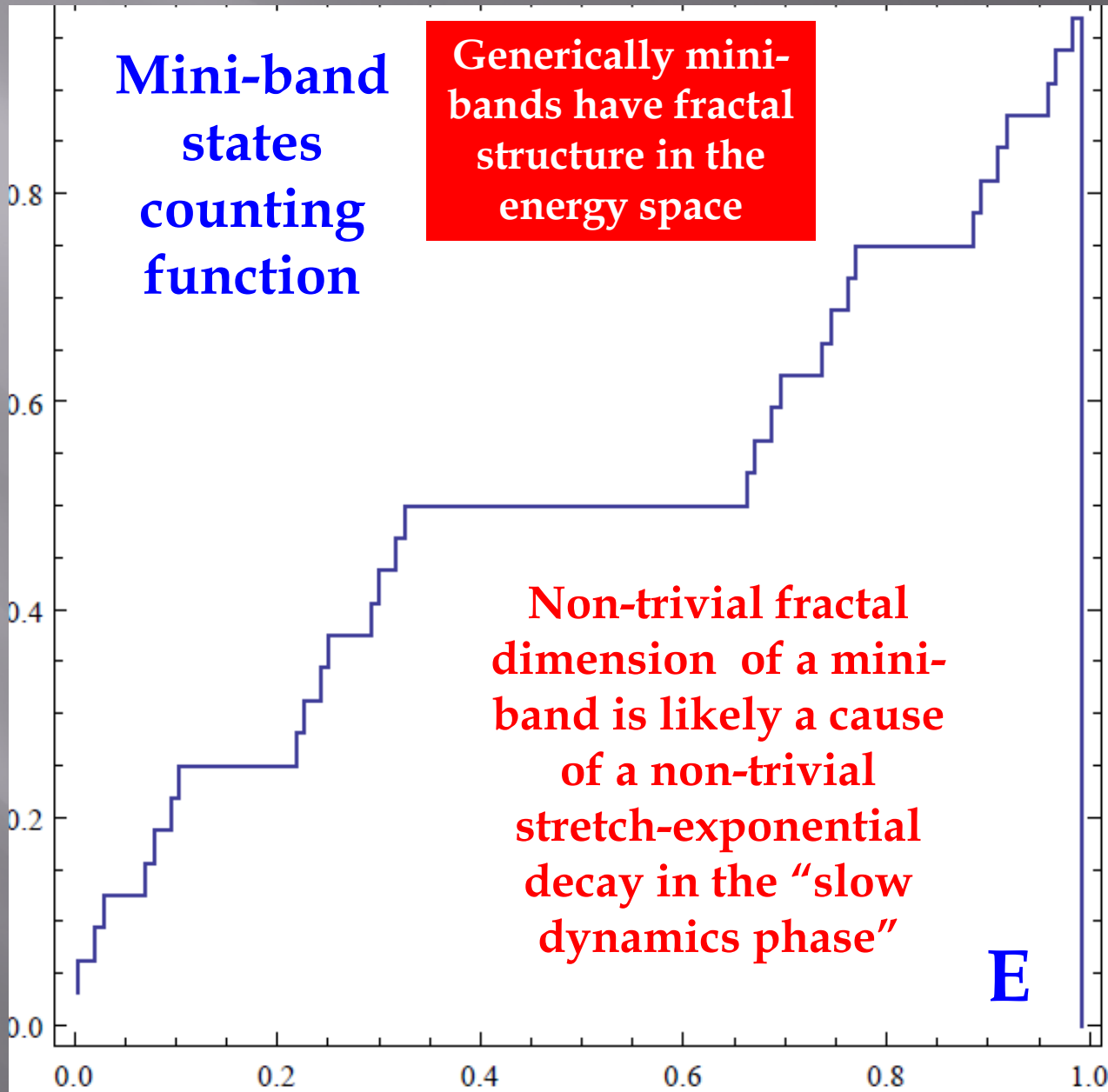
States living on the same fractal support form a mini-band

**Mini-band
states
counting
function**

**Generically mini-
bands have fractal
structure in the
energy space**

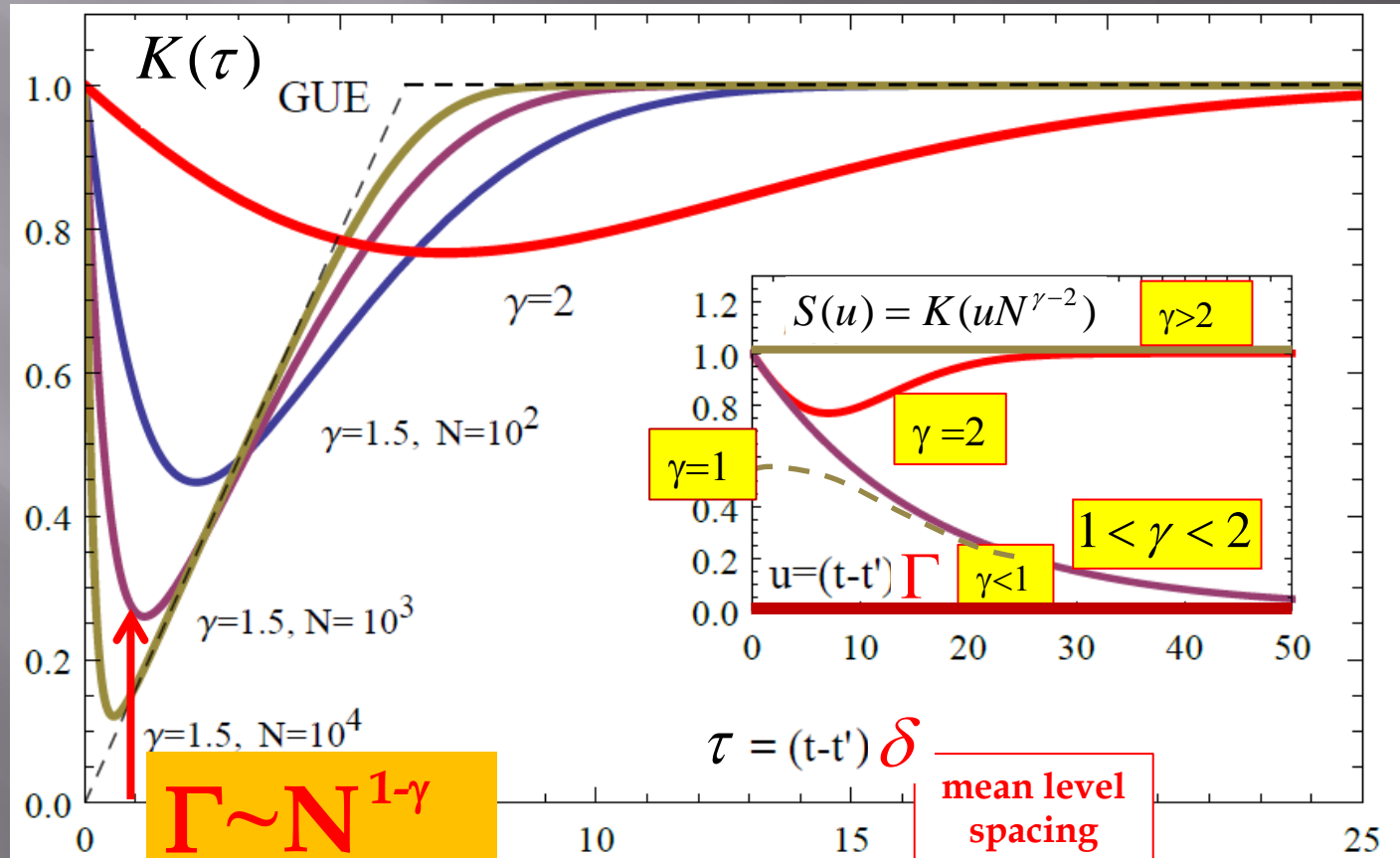
**Non-trivial fractal
dimension of a mini-
band is likely a cause
of a non-trivial
stretch-exponential
decay in the “slow
dynamics phase”**

E



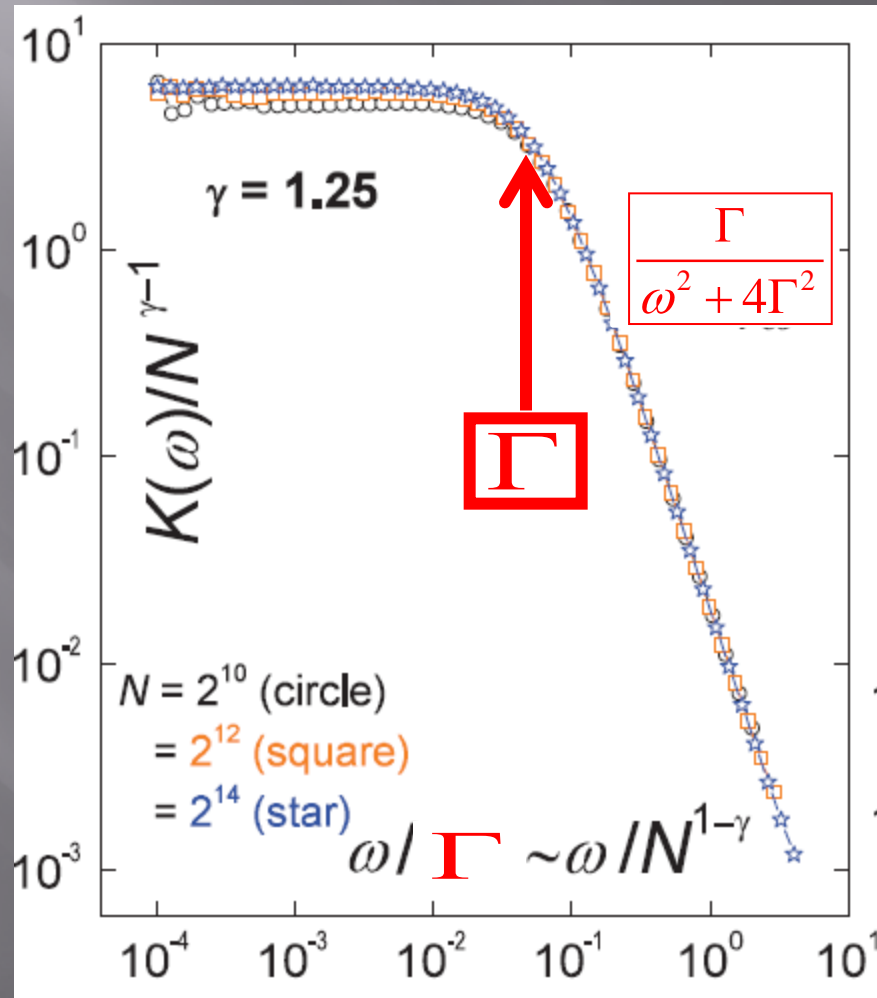
Spectral form-factor and the 'hybrid' level statistics

$$K(\tau) = FT_{\omega} \langle \rho(E) \rho(E + \omega) \rangle$$



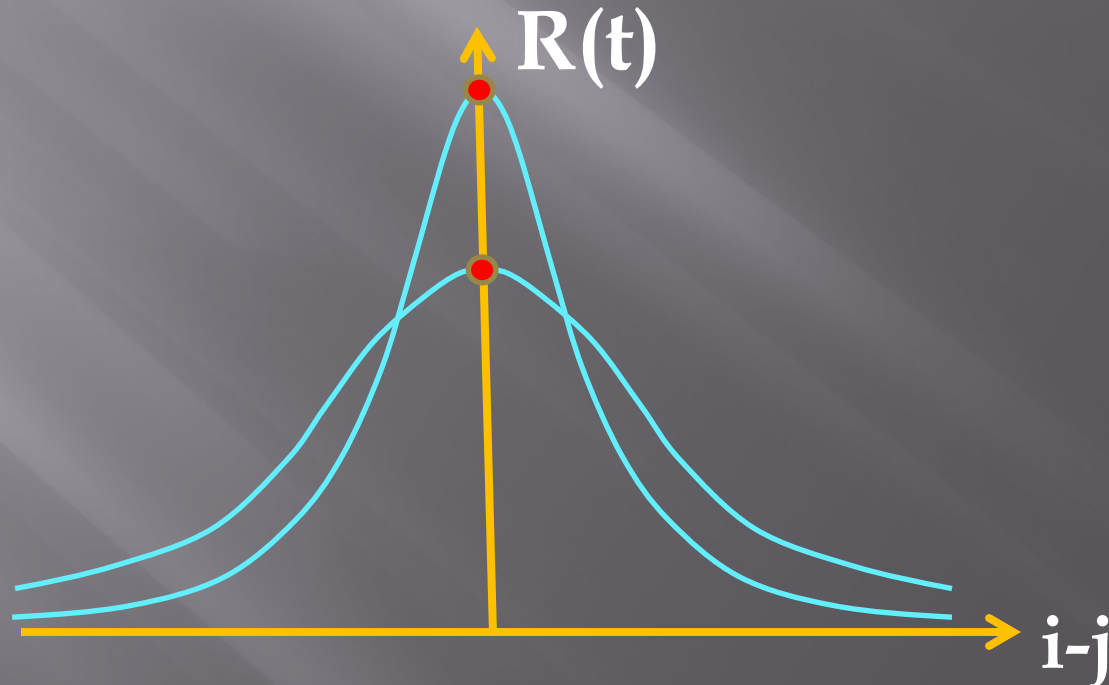
How to detect the new scale Γ ?

$$K(\omega) = \sum_{\alpha, \beta} |\psi_{\alpha}(i)|^2 |\psi_{\beta}(i)|^2 \delta(\omega - E_{\alpha} + E_{\beta})$$

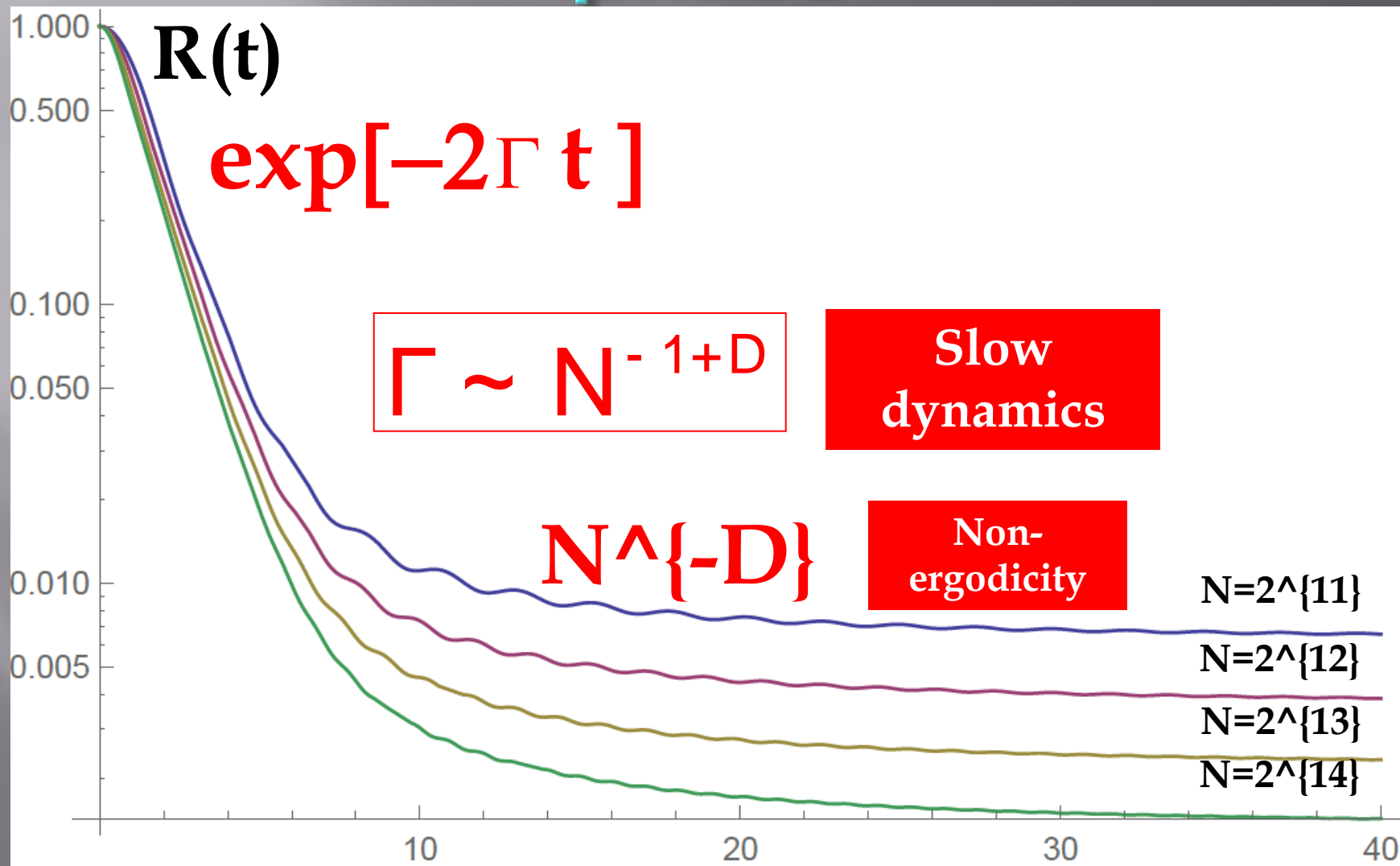


More informative Fourier transform: Survival Probability

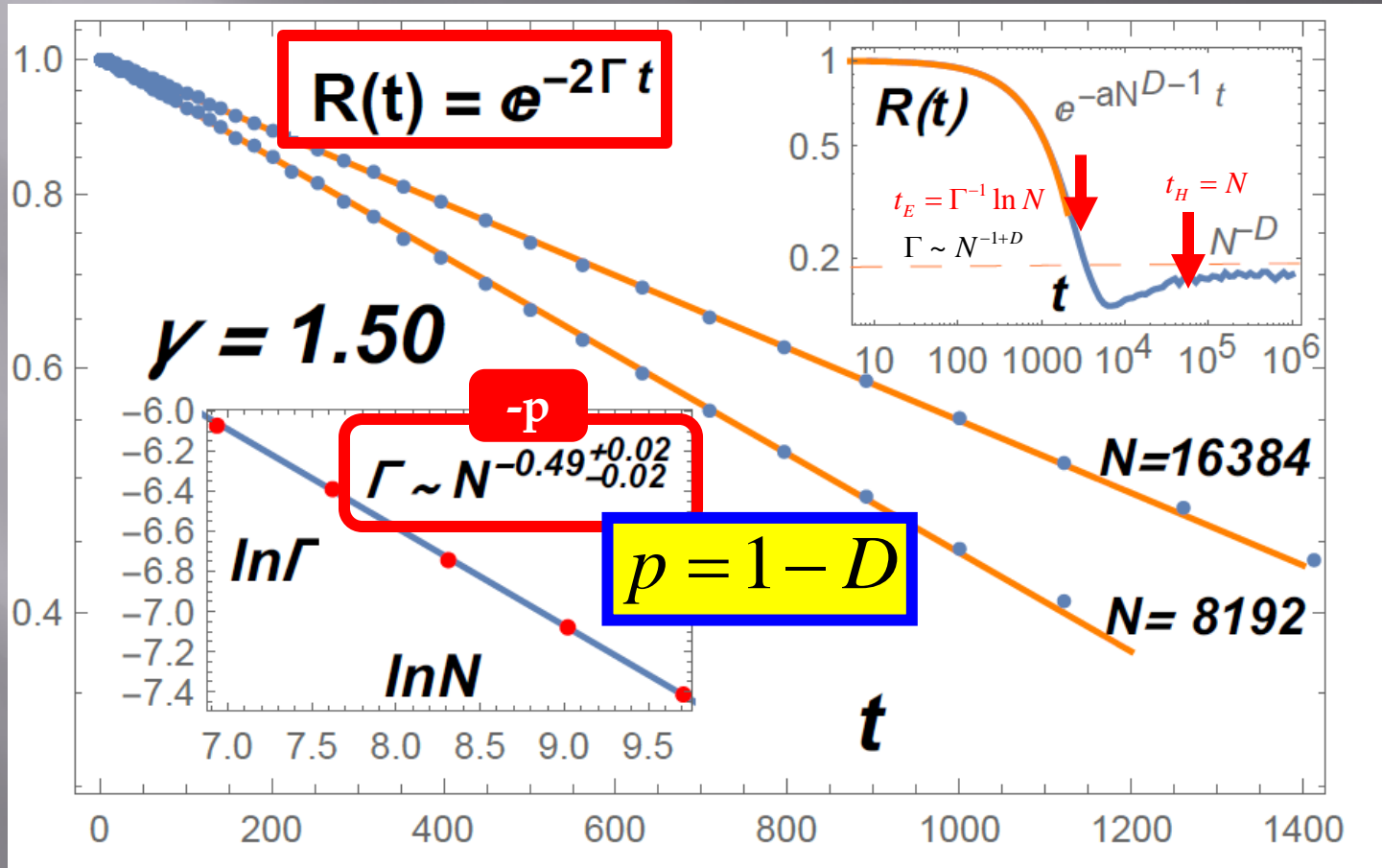
$$R(t) = |\langle \Psi(0) | \Psi(t) \rangle|^2$$
$$= \sum_{\alpha, \beta} |\psi_{\alpha}(i)|^2 |\psi_{\beta}(i)|^2 \exp[i(E_{\alpha} - E_{\beta})t]$$



Survival Probability in MF phase



Survival probability in NEE phase



What about correlated/deterministic hopping terms?

Exactly soluble: all states are localized ($\gamma > 2$) or critically localized ($\gamma \leq 2$)

Yuzbashyan-Shastry (YS) model

$$H_{n \neq m} = g_n g_m^*$$

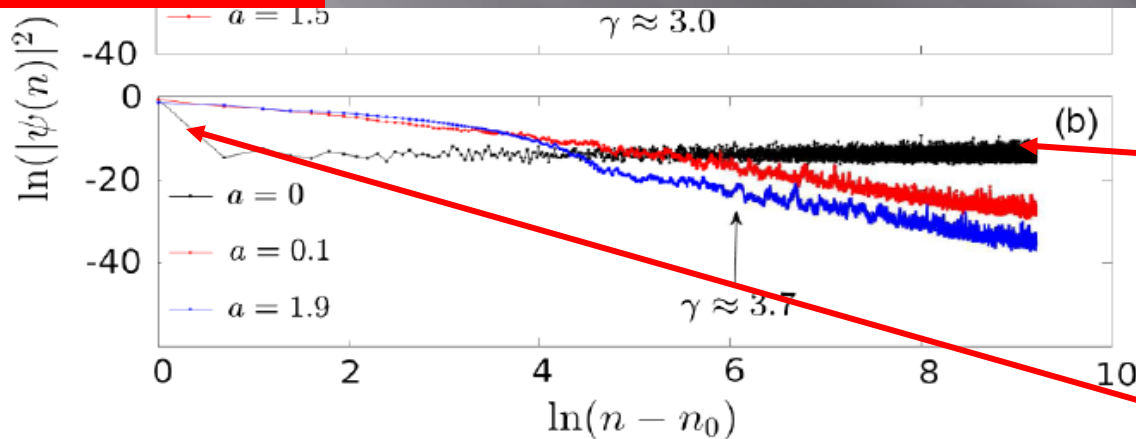
$$g_n = \frac{1}{N^{\gamma/2}}$$

$$\Psi_E(i) = C \frac{g_i}{E - \varepsilon_i}$$

$$\sum_{i=0}^{N-1} \frac{g_i^2}{E - \varepsilon_i} = -1$$

$$|\psi|^2 \sim N^{-\alpha}$$

$$M \sim N^{f(\alpha)}$$



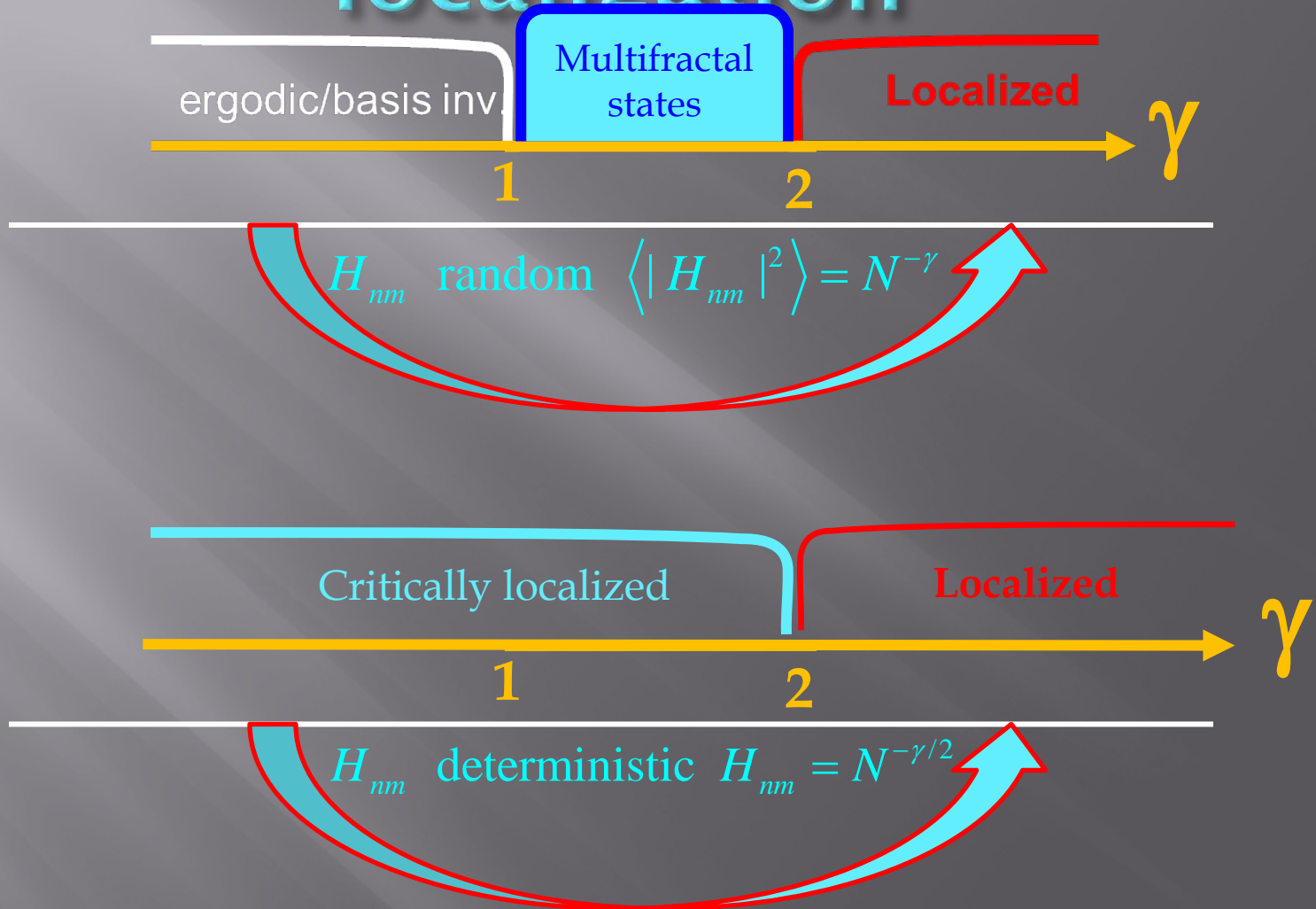
$f(\alpha)$

$$|\psi|_{typ}^2 \sim N^{-\max\{\gamma, 2\}}$$

X. Deng, V. E. Kravtsov, G. V. Shlyapnikov, and L. Santos, Phys. Rev. Lett. **120**, 110602 (2018).

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Correlation-induced localization



Why no delocalized states?

Convergence of Anderson's locator expansion

$$\frac{1}{N} \sum_{n,m=1}^N \langle |H_{nm}| \rangle < \infty, \Rightarrow \text{localized}$$

$$\langle |H_{nm}| \rangle \propto \delta \sim N^{-1}$$

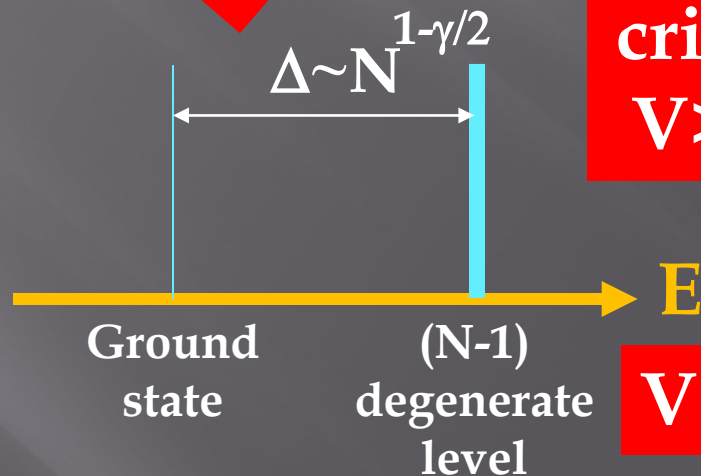
$$\frac{1}{N} \sum_{n,m=1}^N \langle |H_{nm}|^2 \rangle = \infty \Rightarrow$$

Not valid for correlated entries

ergodic extended

Mott's criterion
 $V > W = 1$

However, Mott's criterion is valid!



$V = 0$

Not only YS!

No delocalized states also for
deterministic power-law hopping!

$$H_{nm} = J |n - m|^{-a}$$

Even for $a < 1$

P. Nosov, I.M.Khaymovich and V.E.K.

[arXiv: 1810.01492](https://arxiv.org/abs/1810.01492)

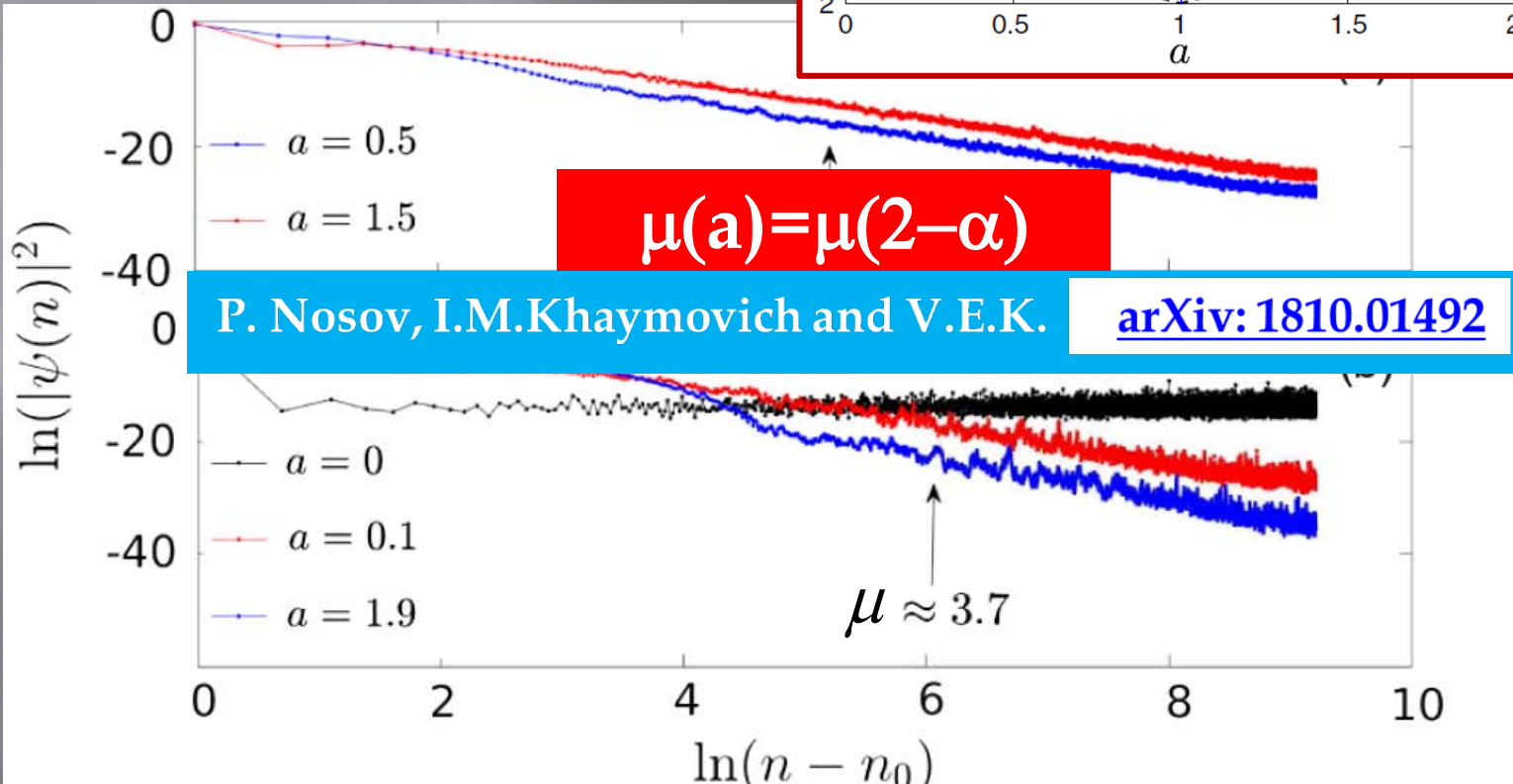
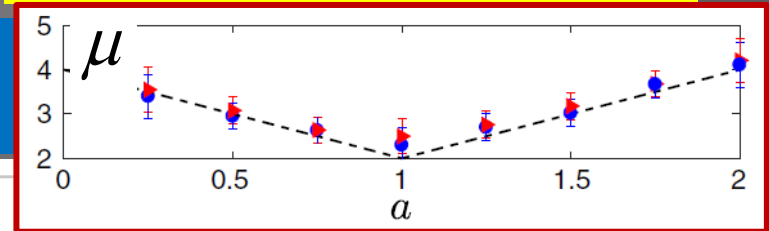
X. Deng, V.E.K. G. Shlyapnikov, L. Santos,
Phy. Rev. Lett. **120**, 110602 (2018)

Duality in power-law localization

$$H_{nm} = J |n - m|^{-a}$$

$$|\psi_{n_0}(n)|_{typ}^2 \sim |n - n_0|^\mu$$

X. Deng, V.E.K. G. Shlyapnikov, L. Santos,
 Phys. Rev. Lett. 120, 110602 (2018)



For **random** off-diagonal terms $\langle H_{nm} \rangle = 0$, $\langle |H_{nm}|^2 \rangle \sim 1/|n-m|^{2a}$
 delocalization for $a < 1$

Poof of duality

$$\hat{H} = \hat{\varepsilon} + \hat{j}$$

$$(\hat{j})_{nm} = J |n - m|^{-a}$$

$$\psi_E(m) = \sum_{\ell=1}^N M_{m-\ell} (E + E_0 - \varepsilon_\ell) \psi_E(\ell)$$

$$\hat{M} = (E_0 + \hat{j})^{-1}$$

$$M_n = \frac{N}{J |n|^{2-a}}$$

$$FT_p(E_0 + \hat{j}) \Rightarrow 1 / FT_p \Rightarrow (FT)_n^{-1} (1 / FT_p)$$

$$a < 1$$

$$|\psi_E(m)|^2 \sim M_m^2 \sim \frac{f(a)}{|m|^{2(2-a)}}$$

$$\mu = 2(2 - a)$$

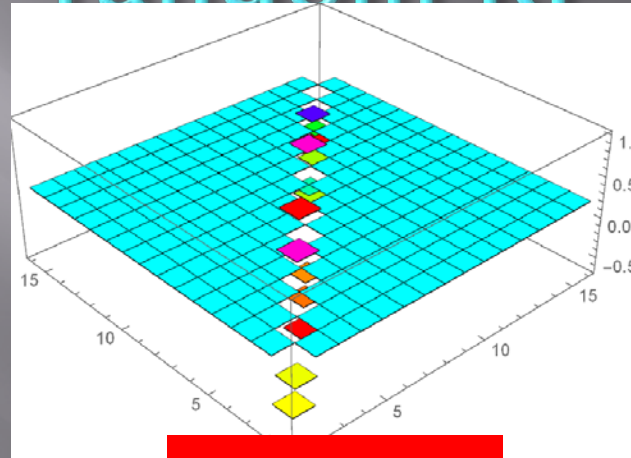
$$a > 1$$

Perturbation theory

$$|\psi_E(m)|^2 \sim \frac{f(a)}{|m|^{2a}}$$

$$\mu = 2a$$

Yuzbashyan-Shastry, translation-invariant and fully random RP

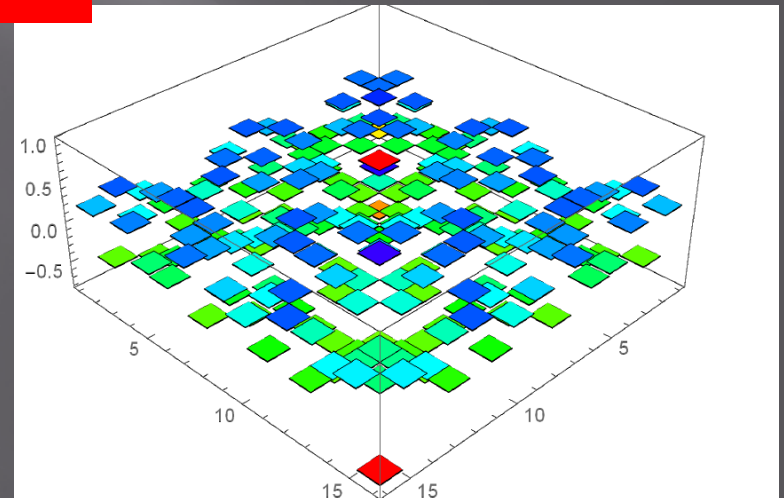
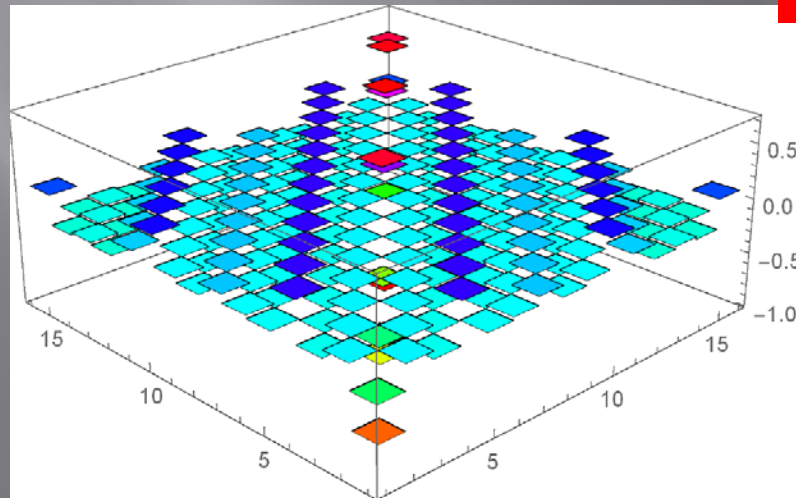


Yuzbashyan-Shastry RMT

Fully random
(original) RP

H_{nm}

Translation-invariant RP



Translation-invariant RP model

$$H_{n \neq m} = H_{n-m}$$

$$\langle H_{n-m} \rangle = 0,$$

$$\langle |H_{n-m}|^2 \rangle = N^{-\gamma}$$

P. Nosov, I.M.Khaymovich and V.E.K.

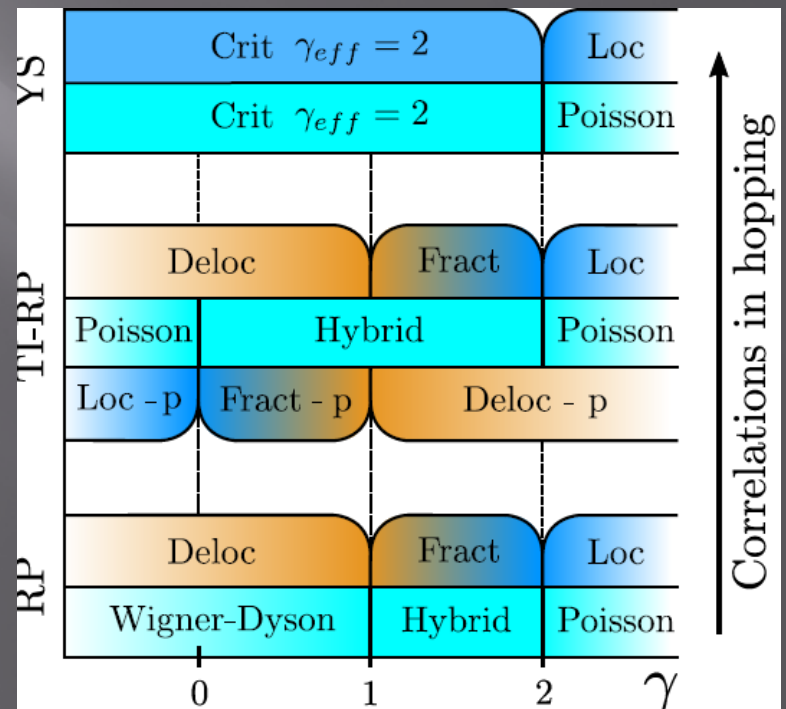
[arXiv: 1810.01492](https://arxiv.org/abs/1810.01492)

▷ Lack of correlations between diagonals destroy localization in the coordinate space for $\gamma < 2$.

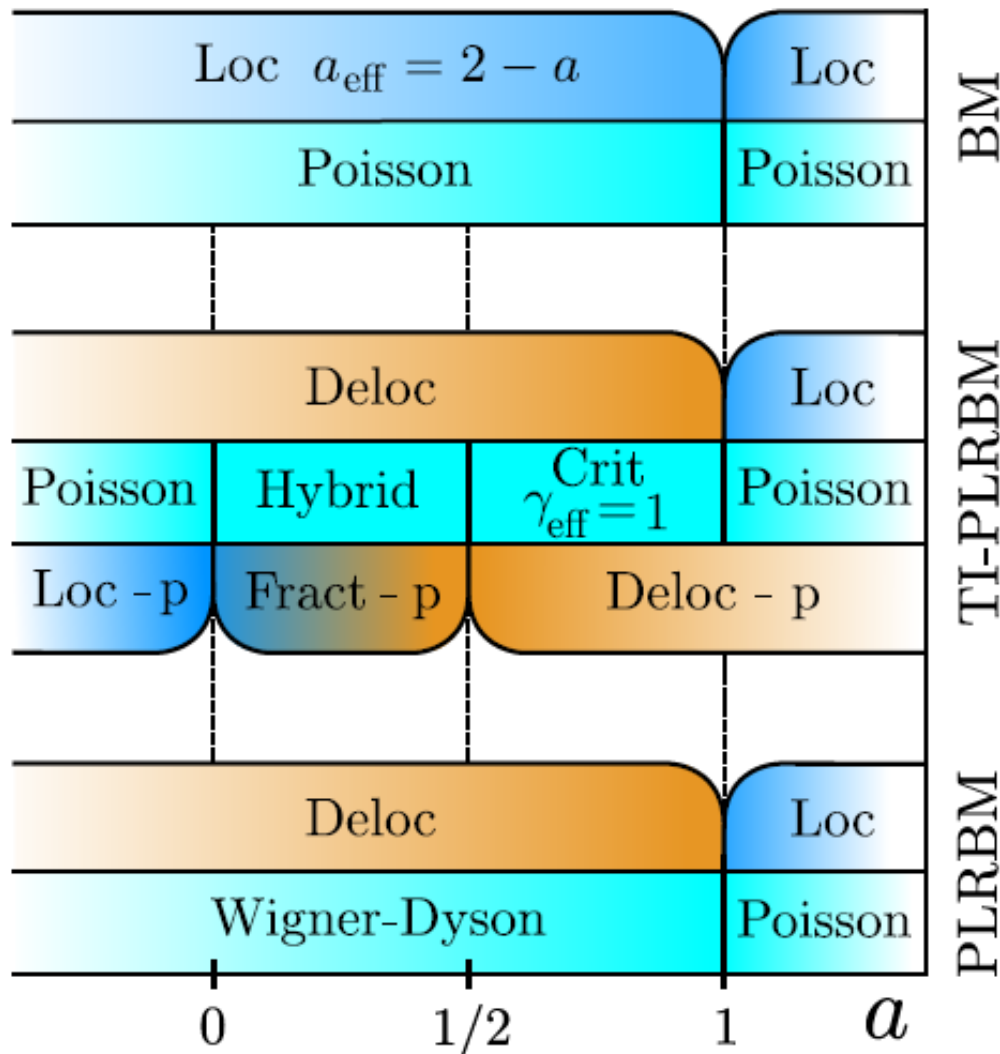
▷ Localization and multifractality in the momentum space

$$\gamma_p = 2 - \gamma$$

▷ Poisson and 'hybrid' level statistics in the delocalized phase



Power-law family

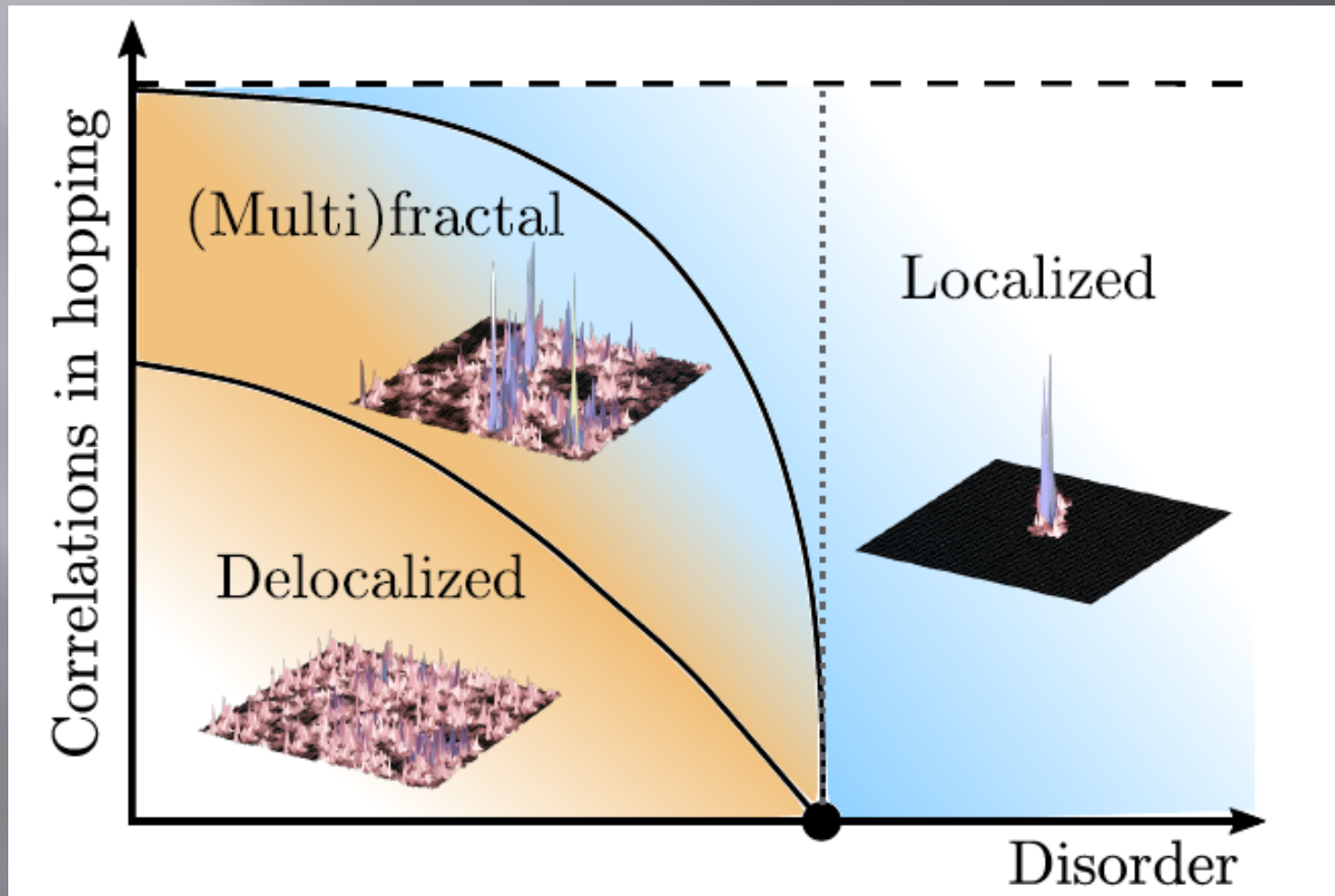


**Deterministic
PL hopping**
 $H_{nm} = 1/|n-m|^a$

**Translation-
invariant random
PL hopping**
 $H_{nm} = H_{(n-m)}$

**Completely random
PL hopping**
 $\langle H_{nm} \rangle = 0,$
 $\langle |H_{nm}|^2 \rangle =$
 $= 1/|n-m|^{2a}$

Conclusion



Conclusion

- ▣ Two extended phases and ergodic transition in RP RMT
- ▣ Ansatz for random wave functions of RP RMT and survival probability
- ▣ 'Hybrid' level statistics
- ▣ Localization in YS exactly solvable model: RP with fully correlated hopping
- ▣ Translation-invariant TI-RP: localization and multifractality in the momentum space; Poisson and 'hybrid' level statistics in delocalized phase