

Unusual ground-states formed in very disordered superconducting films

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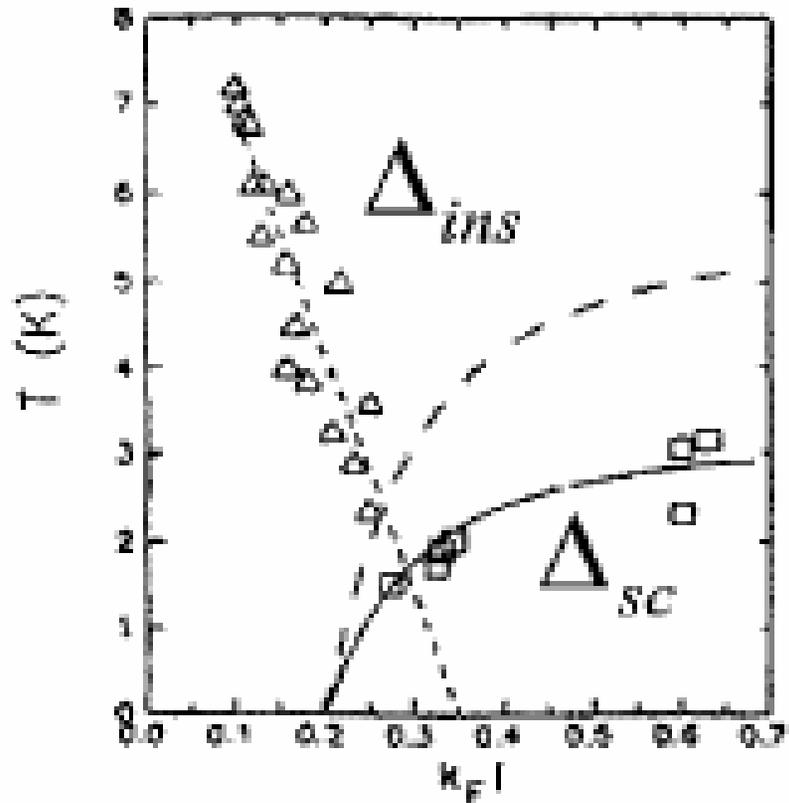
Publications: *Phys Rev Lett.* **98**, 027001(2007) (M.F., Ioffe, Kravtsov, Yuzbashyan)
arXiv:0909.2263 (L.Ioffe and M.Mezard)
Annals of Physics **325**, 1368 (2010) (M.F., L.Ioffe, V.Kravtsov, E.Cuevas)
arXiv:1006.5767 (M.F., L.Ioffe and M.Mezard)

Plan of the talk

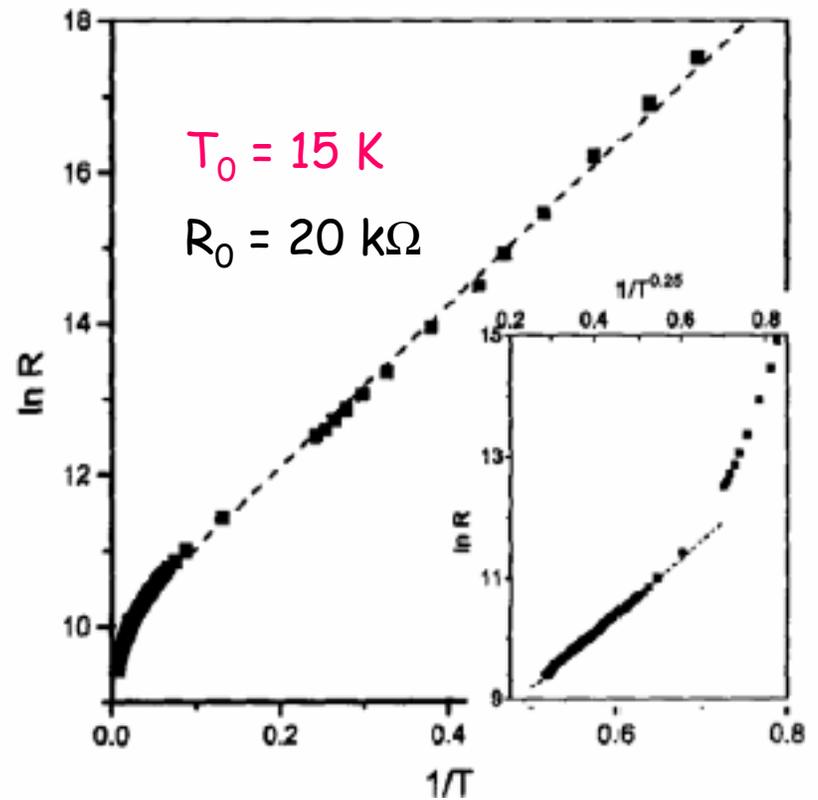
1. Motivation from experiments: insulators and pseudo-gap superconductors
2. Cooper pairing for near-critical eigenstates
3. Interacting pseudospins: model for a new quantum phase transition
4. Conclusions

Brief introduction into
experimental results
on direct S-I transitions

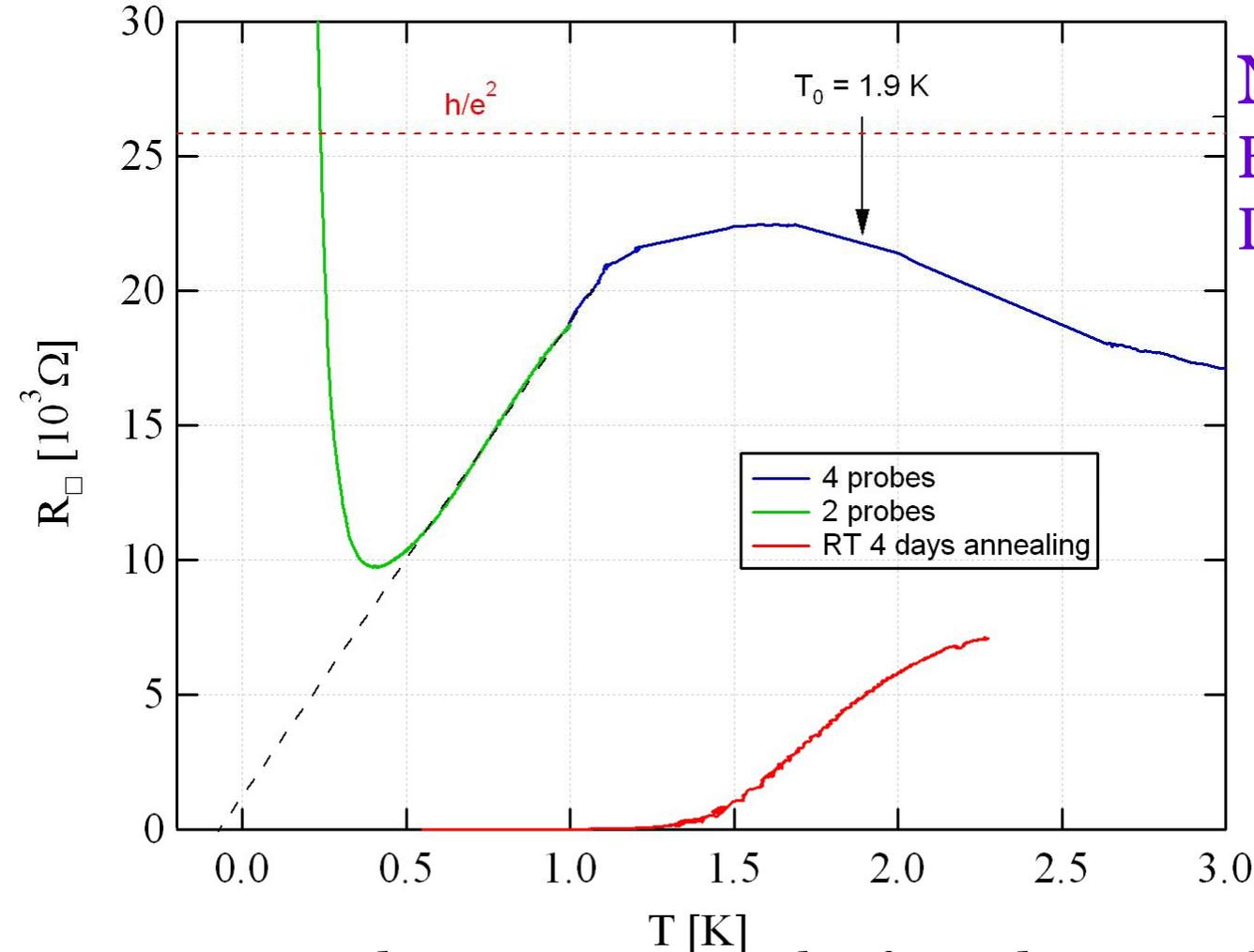
D.Shahar & Z.Ovadyahu
amorphous InO 1992



On insulating side
(far enough):
Kowal-Ovadyahu 1994



Disorder-controlled SIT: nonzero gap at the transition



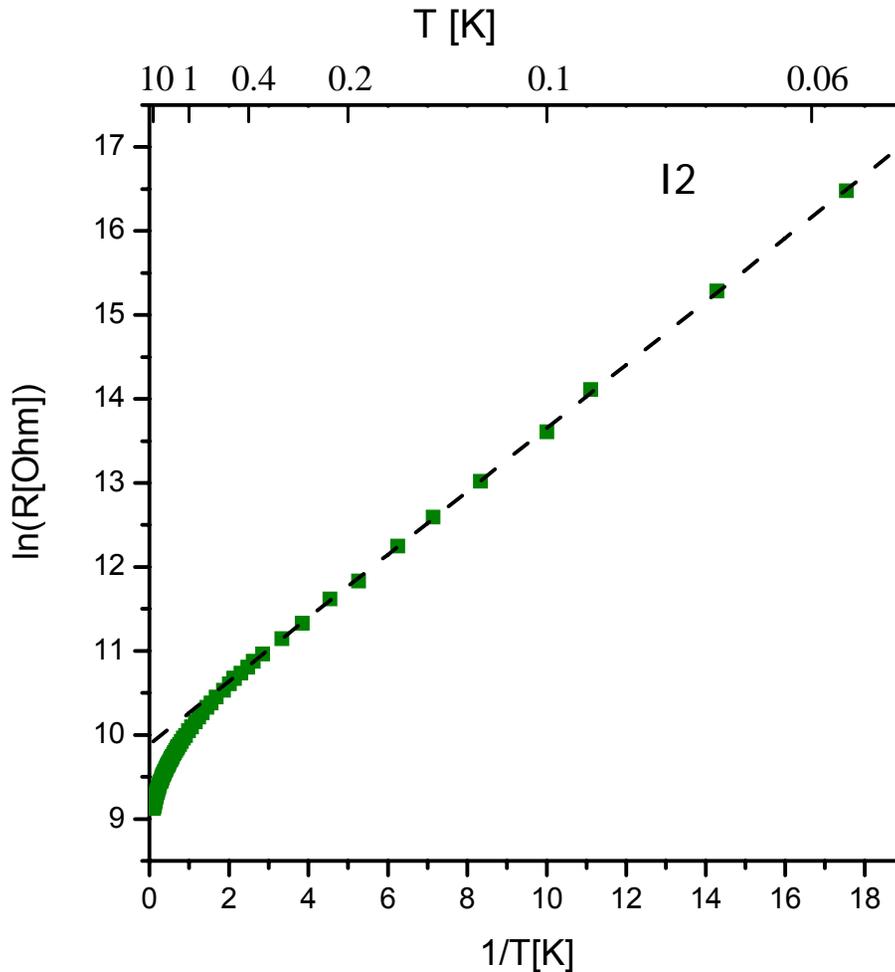
Near-critical InO_x
B.Sacepe M.Ovadia
D.Shahar (2009)

$T_0 = 1.9$ K
Activation gap

Red curve: same sample after 4 days annealing at 300K but **not presented** in the following slides

TiN film 5 nm thickness

Baturina et al 2007



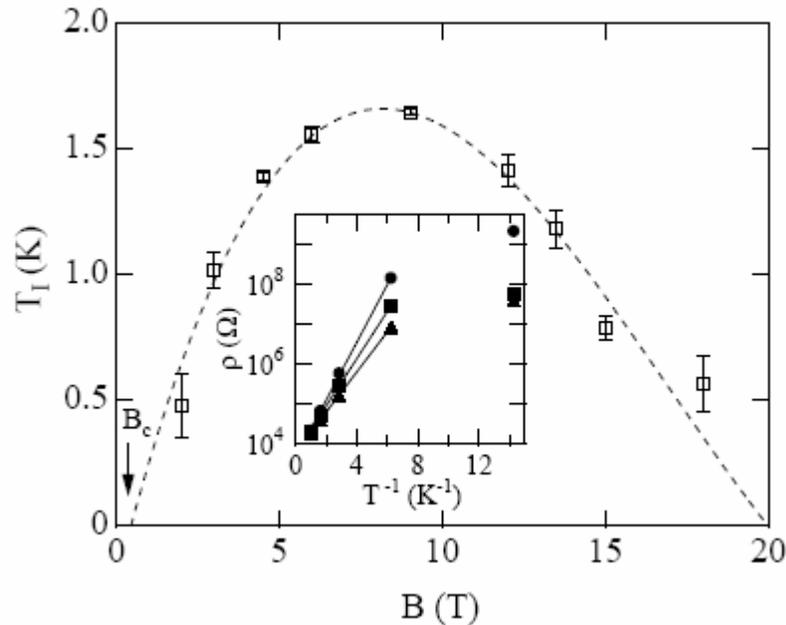
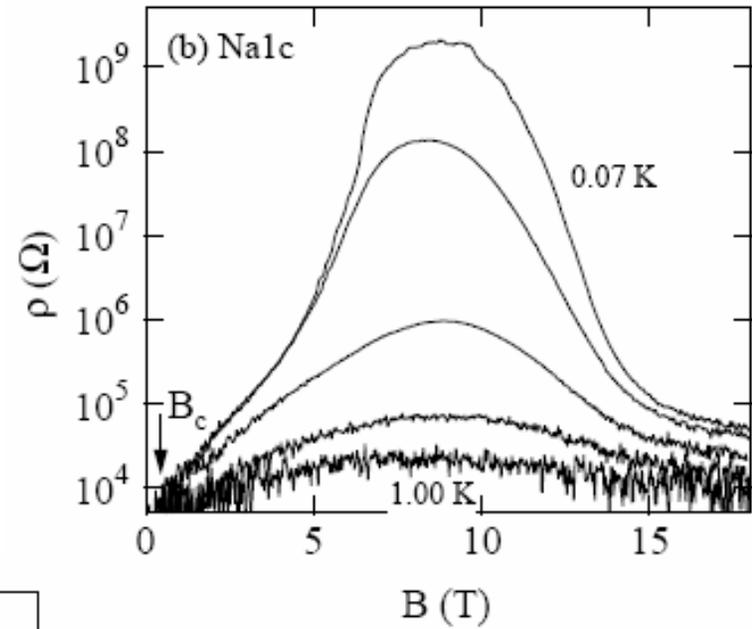
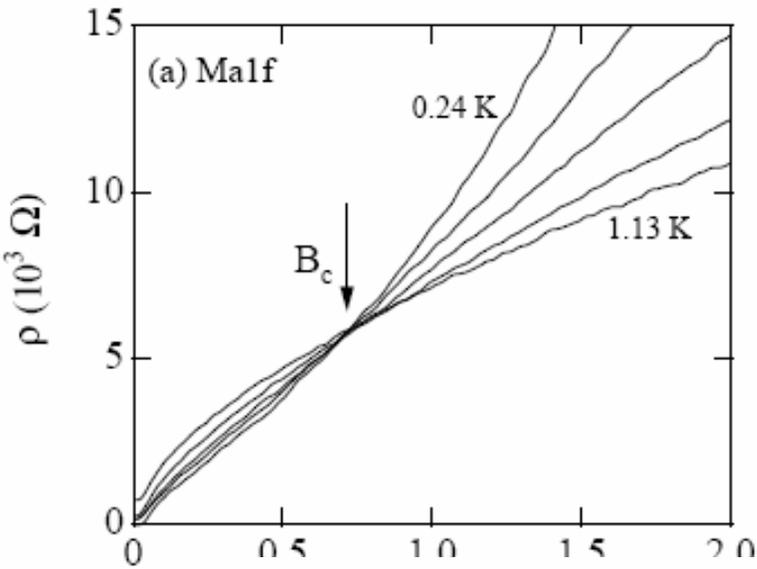
$$T_0 = 0.38 \text{ K}$$

$$R_0 = 20 \text{ k}\Omega$$

Magnetic-field induced SIT and giant magnetoresistance

InOx

D. Shahar et al (2004)



Transport by pairs

Gap vanishes at B_c

Conclusion 1:

Resistivity follows activation law

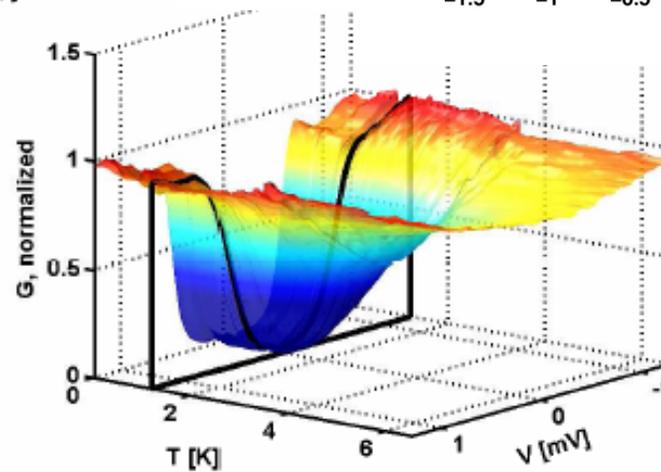
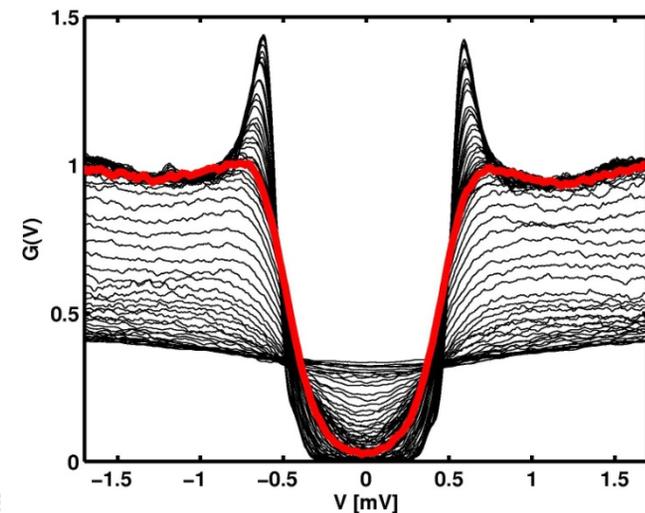
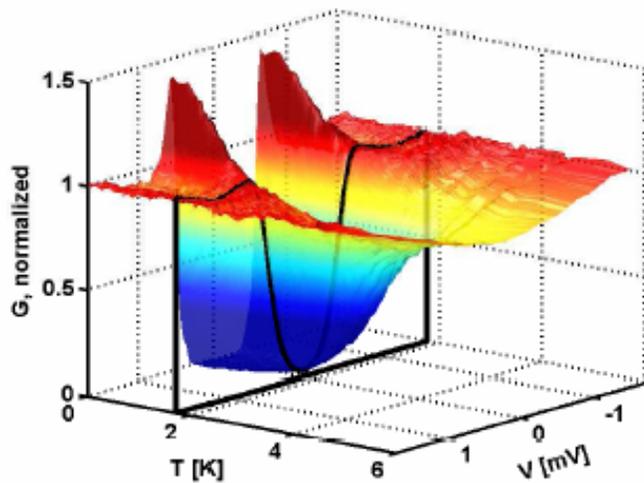
$$R \sim \exp(T_0/T)$$

both near SIT and far in the insulating state

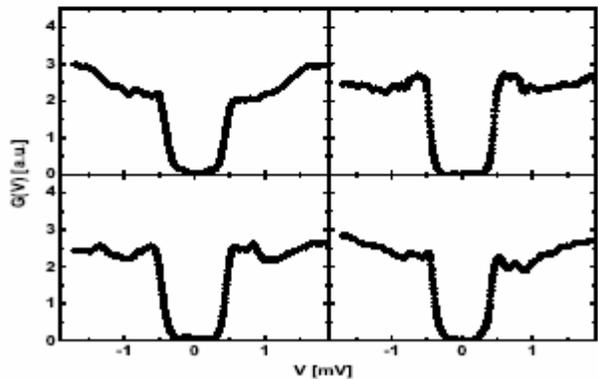
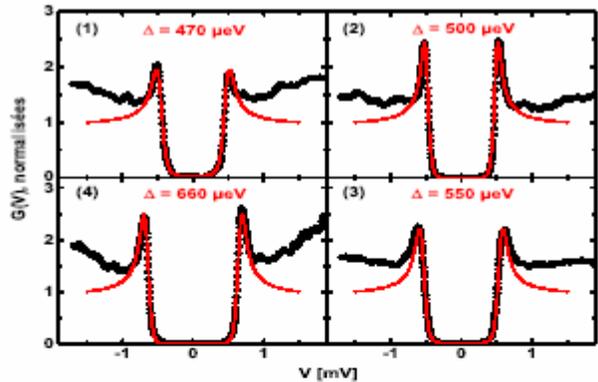
SC side: local tunneling conductance

Spectral signature of localized Cooper pairs in disordered superconductors.

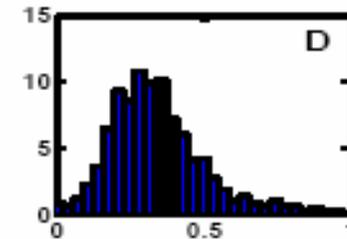
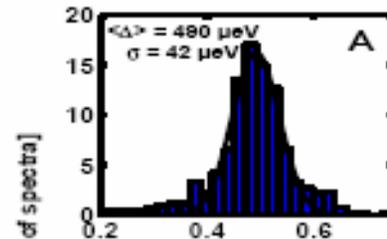
Benjamin Sacépé,^{1,*} Thomas Dubouchet,¹ Claude Chapelier,¹ Marc Sanquer,¹ Maoz Ovadia,² Dan Shahar,² Mikhail Feigel'man,³ and Lev Ioffe^{4,3}



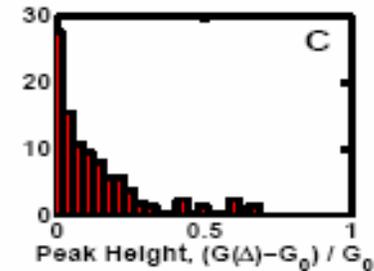
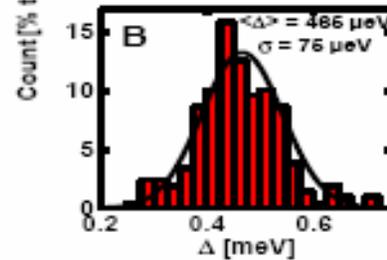
Local tunneling conductance-2



Gap widths Peak heights



Less disorder



More disorder

Conclusion 2

Superconductive state near SIT is very unusual:

1. the spectral gap appears much before (with T decrease) than superconductive coherence does
2. Coherence peaks in the DoS appear together with resistance vanishing

Class of relevant materials

- Amorphously disordered
(no structural grains)
- Low carrier density
(around 10^{21} cm^{-3} at low temp.)

Examples:

InO_x TiN thin films Be (ultra thin films)

NbN_x

Special example: nanostructured Bi films
(J.Valles et al)

What about theory ?

Superconductivity v/s Localization

- Coulomb-induced suppression of T_c in uniform films “Fermionic mechanism”

A.Finkelstein (1987) et al

- Granular systems with Coulomb interaction

K.Efetov (1980) M.P.A.Fisher et al (1990) “Bosonic mechanism”

- Competition of Cooper pairing and localization (no Coulomb)

Imry-Strongin, Ma-Lee, Kotliar-Kapitulnik, Bulaevskii-Sadovskii (mid-80's)

Ghosal, Randeria, Trivedi 1998-2001

FERMIONIC MODEL OF SUPERCONDUCTOR-INSULATOR TRANSITION

- ✦ Disorder increases Coulomb interaction and thus decreases the pairing interaction (sum of Coulomb and phonon attraction). In perturbation theory:

$$\lambda(\varepsilon) = \lambda_0 - \frac{1}{24\pi g} \text{Log} \left(\frac{1}{\varepsilon\tau} \right)$$

$$\frac{\delta T_c}{T_c} = -\frac{\delta\lambda}{\lambda^2}$$

If $\lambda(\omega_D) < 0$ – attraction is gone and superconductivity disappears (*Finkelstein 1987*)

→ The state formed is likely to be a bad metal (no reasons for a large insulator gap)
No reason for 'superconducting' gap above T_c sensitive to magnetic fields.

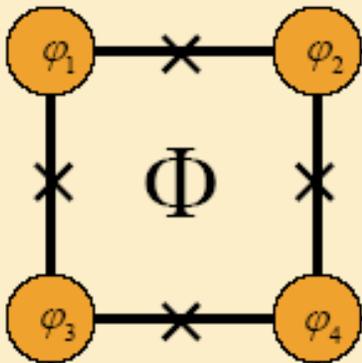
We consider amorphous systems with direct S-I transition
Gap is NOT suppressed at the transition

Bosonic mechanism:

JOSEPHSON ARRAYS

Control parameter

Elementary building block



Ideal Hamiltonian:

$$H = \frac{1}{2} \sum_{i,j} C_{ij}^{-1} q_i q_j + E_J \cos(\varphi_i - \varphi_j - 2\pi \frac{\Phi_{ij}}{\Phi_0}) \quad q_i = 2e i \frac{d}{d\varphi_i}$$

C_{ij} - capacitance matrix E_J - Josephson energy

$$\chi = E_C / E_J$$
$$E_C = e^2 / 2C$$

Bosonic v/s Fermionic scenario ?

None of them is able to describe data on InO_x and TiN :
Both **scenaria** are ruled out by **STM data in SC state**

3-d scenario: competition between
Cooper pairing and localization
(without any role of Coulomb interaction)

End of Introduction

Theoretical model

Simplest BCS attraction model,
but for critical (or weakly
localized) electron eigenstates

$$H = H_0 - g \int d^3r \Psi_{\uparrow}^{\dagger} \Psi_{\downarrow}^{\dagger} \Psi_{\downarrow} \Psi_{\uparrow}$$

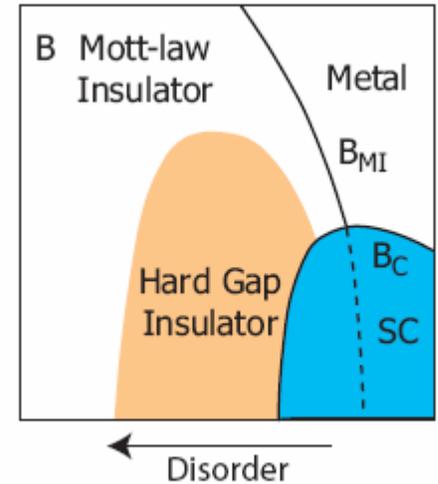
$$\Psi = \sum c_j \Psi_j(r) \quad \text{Basis of localized eigenfunctions}$$

M. Ma and P. Lee (1985): S-I transition at $\delta_L \approx T_c$

We will see that in fact SC state survives far into the region $\delta_L \gg T_c$

Superconductivity at the Localization Threshold: $\delta_L \rightarrow 0$

Consider Fermi energy very close to the mobility edge:
single-electron states are extended
but **fractal**
and populate small fraction of the
whole volume



**How BCS theory should be modified to account
for eigenstate's fractality ?**

Method: combination of analytic theory and numerical data for Anderson mobility edge model

Mean-Field Eq. for T_c

$$\Delta(r) = \int K_T(r, r') \Delta(r') d^d r' \quad (9)$$

where kernel \hat{K}_T is equal to

$$K_T(r, r') = \frac{\lambda}{2\nu_0} \sum_{ij} \frac{\tanh \frac{\xi_i}{2T} + \tanh \frac{\xi_j}{2T}}{\xi_i + \xi_j} \psi_i(r) \psi_j(r) \psi_i(r') \psi_j(r') \quad (10)$$

Standard averaging over space $\Delta(r) \rightarrow \bar{\Delta}$ leads to "Anderson theorem" result: totally incorrect in the present situation.

The reason: critical eigenstates $\psi_j(r)$ are strongly correlated in real 3D space, they fill some small **submanifold** of the whole space only.

In fact one should define T_c as the divergence temperature of the Cooper ladder

$$\mathcal{C} = (1 - \hat{K})^{-1}$$

Thus averaging procedure should be applied to \mathcal{C} instead of K

We expand \mathcal{C} in powers of K and average over disorder realizations. Keeping main sequence of resulting diagramms only, we come to the following equation for determination of T_c :

$$\Phi(\xi) = \frac{\lambda}{2} \int \frac{d\xi' \tanh(\xi'/2T)}{\xi'} M(\xi - \xi') \Phi(\xi') \quad (11)$$

$$M(\omega) = \mathcal{V} \overline{M_{ij}} = \int \overline{\psi_i^2(\mathbf{r}) \psi_j^2(\mathbf{r})} d^d r \quad \text{for} \quad |\xi_i - \xi_j| = \omega$$

Fractality of wavefunctions

For critical eigenstates

$$L_{\text{loc}} \rightarrow \infty$$

$$\text{IPR: } M_i = \int |\psi_i(\mathbf{r})|^4 d\mathbf{r}$$

one finds

$$\langle M_i \rangle \approx 3\ell^{-(d-d_2)} L^{-d_2}$$

$$M(\omega) = \left(\frac{E_0}{\omega} \right)^\gamma$$

$$E_0 = 1/\nu_0 \ell^3$$

where

$$\gamma = 1 - \frac{D_2}{d}$$

$$\mathbf{d}_2 \approx \mathbf{1.3} \quad \text{in } \mathbf{3D}$$

is a measure of fractality

Usual "dirty superconductor":

ℓ is the short-scale cut-off length

$$M(\omega) = 1 \quad \gamma = 0$$

3D Anderson model: $\gamma = 0.57$

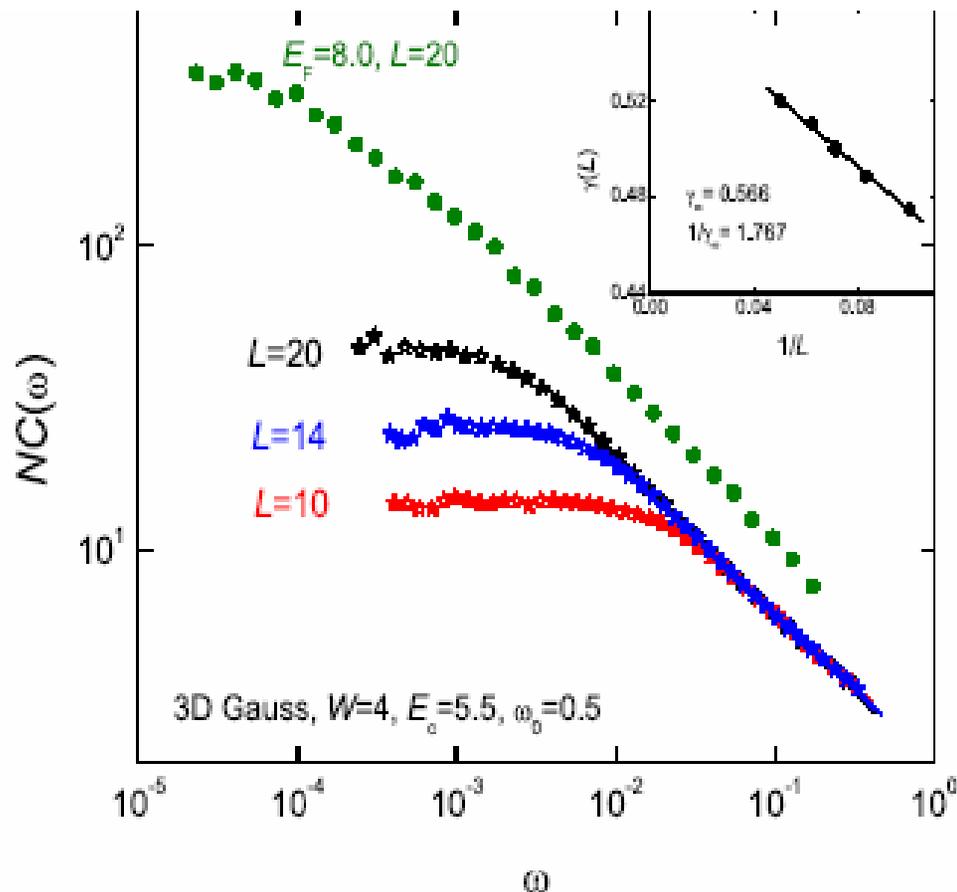


FIG. 2: (Color online) Correlation function $M(\omega)$ for 3DAM with Gaussian disorder and lattice sizes $L = 10, 14, 20$ at the mobility edge $E = 5.5$ (red, blue and black points) and at the energy $E = 8$ inside localized band (green points). Inset shows γ values for $L = 10, 12, 14, 16, 20$.

Modified mean-field approximation for critical temperature T_c

$$\Delta(\xi) = \frac{\lambda}{2} \int d\zeta \eta(\zeta) M(\xi - \zeta) \Delta(\zeta)$$

$$\eta_i \equiv \eta_{ii} = \xi_i^{-1} \tanh(\xi_i/2T).$$

$$T_c^0(\lambda, \gamma) = E_0 \lambda^{1/\gamma} C(\gamma)$$

For small λ this T_c is higher than BCS value !

Alternative method to find T_c :

Virial expansion

(A.Larkin & D.Khmelnitsky 1970)

$$F = \sum_{n=1}^{\infty} \mathcal{F}^{(n)} = \sum_i F_i + \sum_{i>j} (F_{ij} - F_i - F_j) + \dots \quad V_{\Delta} = - \sum_j (\Delta S_j^+ + \Delta^* S_j^-)$$

$$+ \sum_{i>j>k} (F_{ijk} - F_{ij} - F_{jk} - F_{ik} + F_i + F_j + F_k) + \dots$$

$$\chi(T) = - \frac{\partial^2 F}{\partial \Delta \partial \Delta^*} = \sum_{M=1} \chi_M(T)$$

$$\chi_1 = \sum_i \chi_i^{(1)} \quad (148)$$

$$\chi_2 = \sum_{n>m} (\chi_{nm}^{(2)} - \chi_n^{(1)} - \chi_m^{(1)})$$

$$\chi_3 = \sum_{n>m>l} (\chi_{nml}^{(3)} - \chi_{nl}^{(2)} - \chi_{ml}^{(2)} - \chi_{nm}^{(2)} + \chi_n^{(1)} + \chi_m^{(1)} + \chi_l^{(1)})$$

$$\lim_{n \rightarrow \infty} \frac{\chi^{(n+1)}(T_c)}{\chi^{(n)}(T_c)} = 1 \quad \longrightarrow \quad \frac{\chi^{(3)}(T_c)}{\chi^{(2)}(T_c)} = 1$$

T_c from 3 different calculations

Modified MFA equation leads to:

$$T_c = (6.5 \pm 0.8) \lambda^{1.77}$$

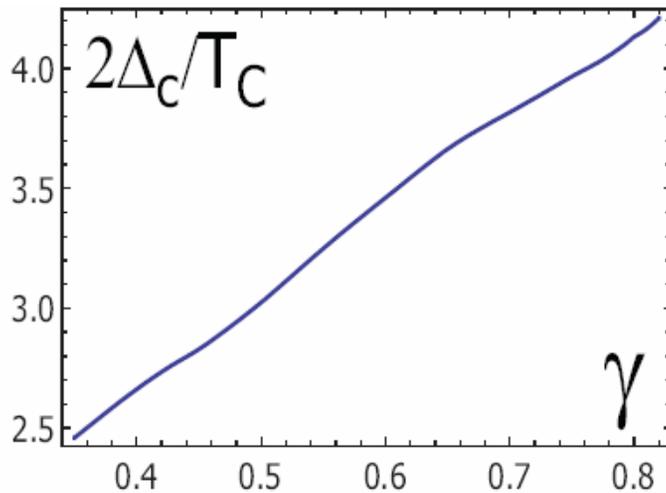
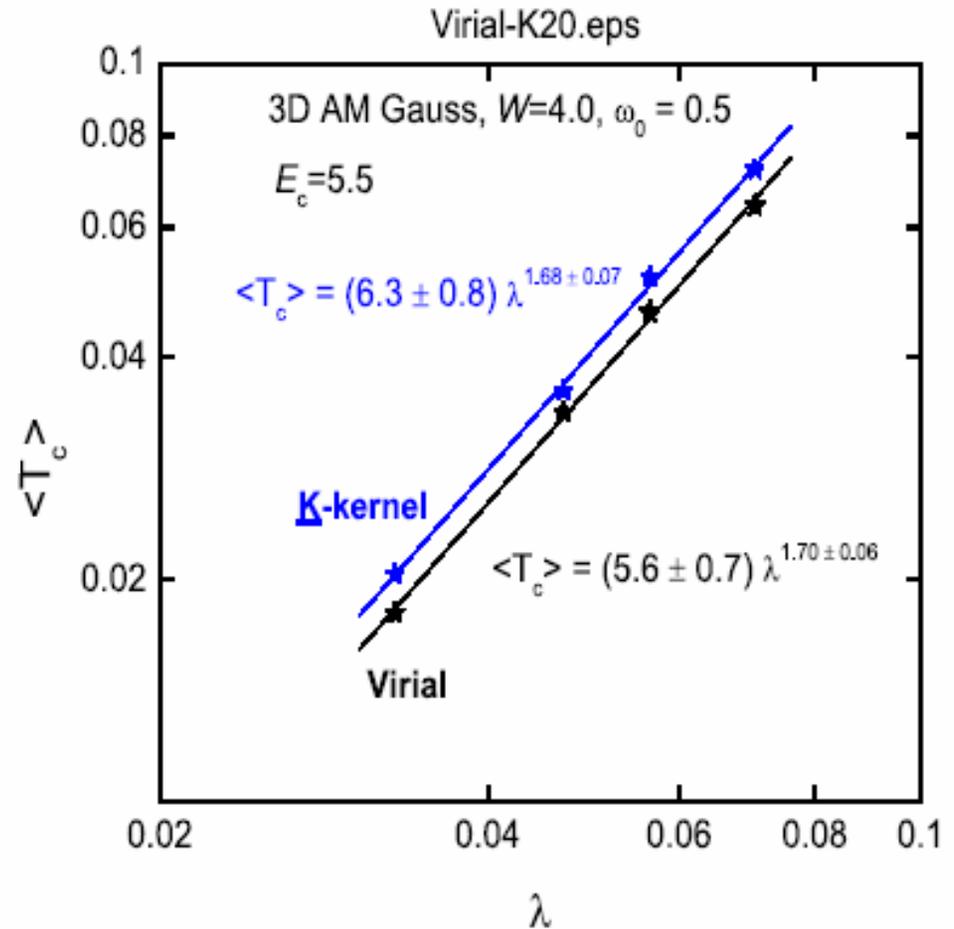


FIG. 16: Ratio $2\Delta(0)/T_c$ as function of γ .

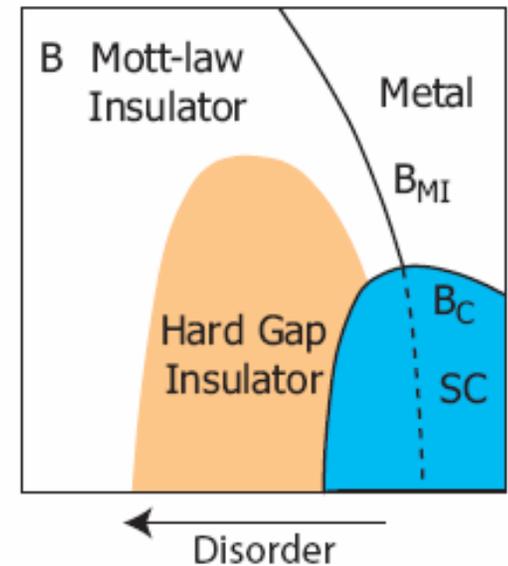


BCS theory: $T_c = \omega_D \exp(-1/\lambda)$

Insulator and Superconductor with Pseudogap

Now we move
Fermi-level into the
range of localized eigenstates

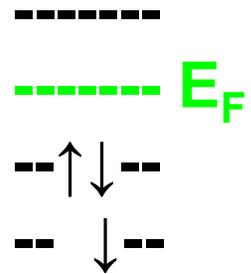
Local pairing appears
in addition to
collective pairing



Local pairing energy

1. Parity gap in ultrasmall grains

K. Matveev and A. Larkin 1997



$$\Delta \ll \delta:$$

No many-body correlations

$$\Delta_P = \frac{1}{2}\lambda\delta$$

$$\lambda_R = \lambda/(1 - \lambda \log(\epsilon_0/\delta)).$$

$$\Delta_P = \frac{\delta}{2 \ln \frac{\delta}{\Delta}}$$

Correlations between pairs of electrons localized in the same “orbital”

2. Parity gap for Anderson-localized eigenstates

The increase of thermodynamic potential Ω due to addition of *odd* electron to the ground-state is

$$\delta\Omega_{oe} = \xi_{m+1} = \xi_{m+1} - \tilde{\xi}_{m+1} + \tilde{\xi}_{m+1} = \frac{g}{2}M_{m+1} + O(\mathcal{V}^{-1})$$
$$\tilde{\xi}_j = \xi_j - \frac{g}{2}M_j.$$

Energy of two single-particle excitations after depairing:

$$2\Delta_P = \xi_{m+1} - \xi_m + gM_m = \frac{g}{2}(M_m + M_{m+1}) + O(\mathcal{V}^{-1})$$

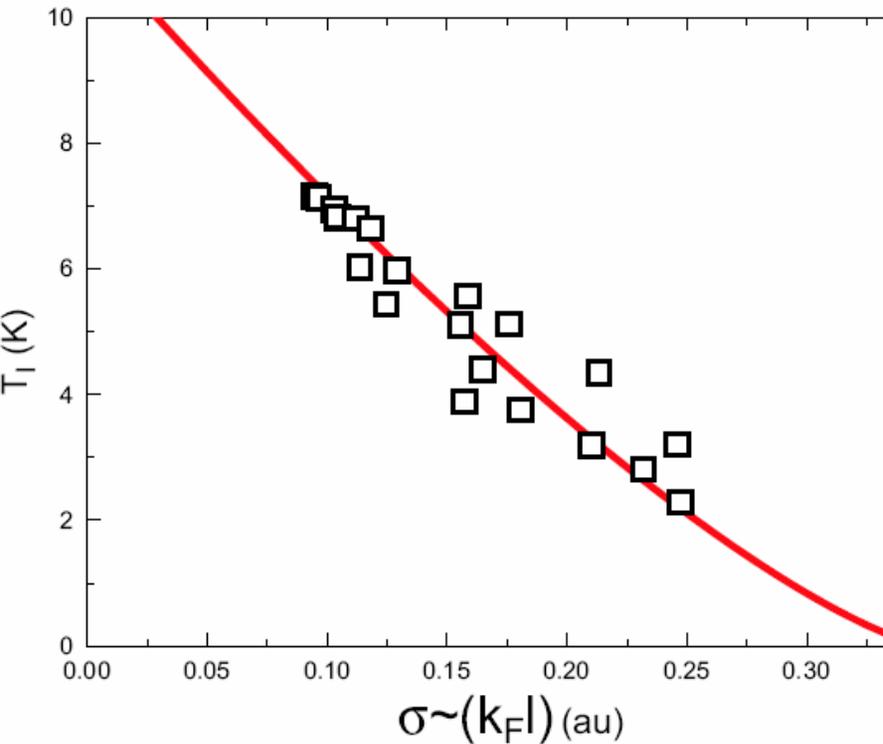
$$\langle M_i \rangle = 3\ell^{-(d-d_2)} L_{\text{loc}}^{-d_2}, \quad \Delta_P = \frac{3}{2}g\ell^{-3}(L_{\text{loc}}/\ell)^{-d_2} = \frac{3\lambda}{2}E_0 \left(\frac{E_c - E_F}{E_0} \right)^{\nu d_2}$$

Δ_P plays the role of the activation gap

Insulating state:
parity gap scaling
near mobility edge

Activation energy T_I from Shahar-Ovadyahu exp. and fit to the theory

$$T_I = A(1 - \sigma/\sigma_c)^{\nu d_2}, \quad A \approx 0.5\lambda E_0$$



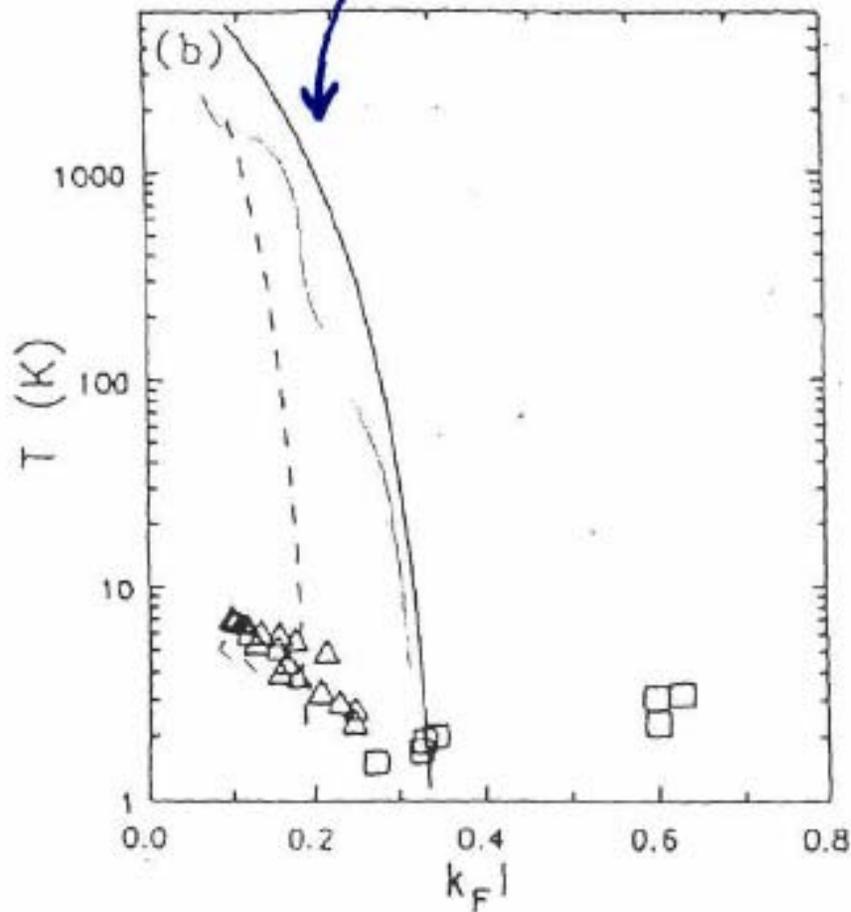
The fit was obtained with single fitting parameter

Example of consistent choice:

$$\lambda = 0.05 \quad E_0 = 400 \text{ K}$$

Shaker-Ovadyahu 1992

$$\delta_L \sim \frac{1}{v} L_{loc}^{-3} \sim (n-n_c)^3$$



Superconductive state with a
pseudogap

Critical temperature in the pseudogap regime

MFA:

$$\Delta(\xi) = \frac{\lambda}{2} \int d\zeta \eta(\zeta) M(\xi - \zeta) \Delta(\zeta)$$

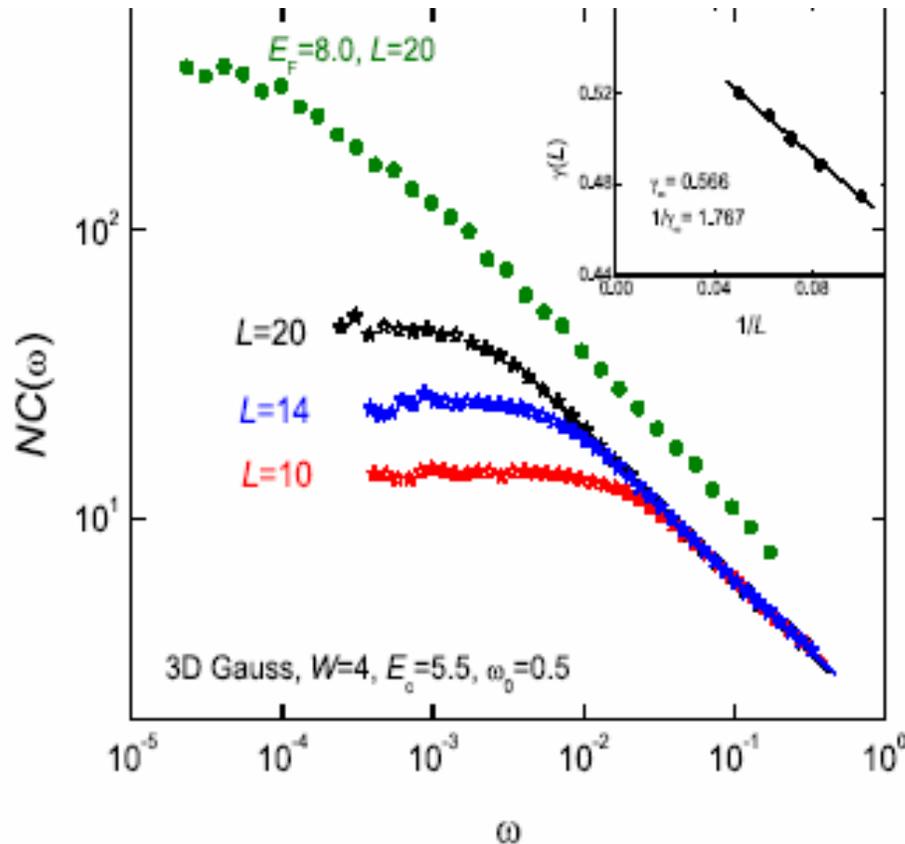
$$\eta_i \equiv \eta_{ii} = \xi_i^{-1} \tanh(\xi_i/2T).$$

Here we use **M(ω)** specific for localized states

MFA is OK as long as $Z \sim \nu_0 T_c L_{loc}^d$ is large

Correlation function

$M(\omega)$



No saturation at $\omega < \delta_L$:

$$M(\omega) \sim \ln^2(\delta_L / \omega)$$

(Cuevas & Kravtsov PRB,2007)

Superconductivity with $T_c < \delta_L$ is possible

This region was not found previously

Here “local gap” exceeds SC gap :

$$\Delta_P = \frac{1}{2D^\gamma(\gamma)} \delta_L \left(\frac{\Delta(0)}{\delta_L} \right)^\gamma$$

FIG. 2: (Color online) Correlation function $M(\omega)$ for 3DAM with Gaussian disorder and lattice sizes $L = 10, 14, 20$ at the mobility edge $E = 5.5$ (red, blue and black points) and at the energy $E = 8$ inside localized band (green points). Inset shows γ values for $L = 10, 12, 14, 16, 20$.

T_c versus Pseudogap

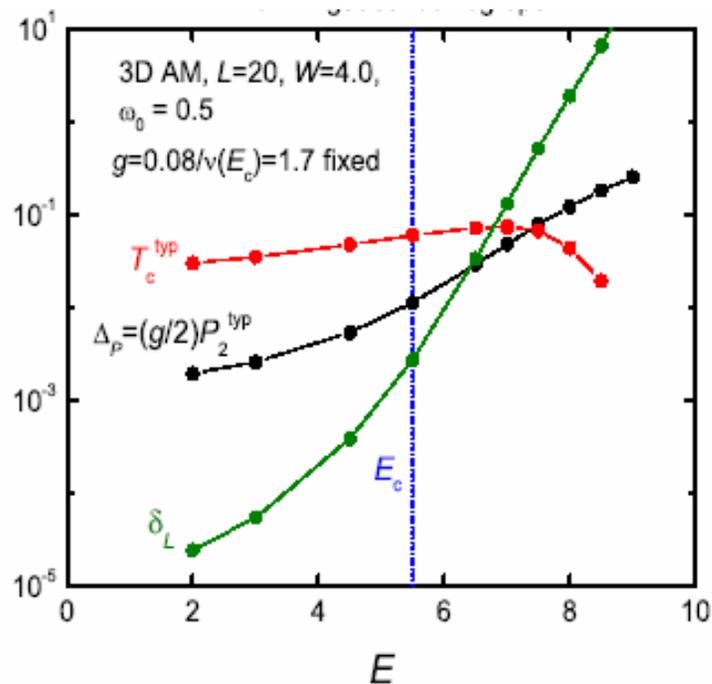
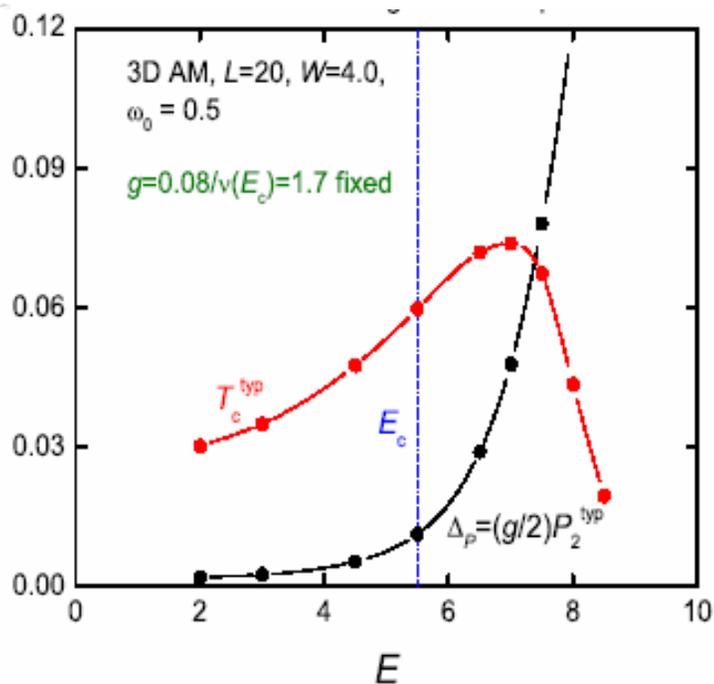


FIG. 25: (Color online) Virial expansion results for T_c (red points) and typical pseudogap Δ_P (black) as functions of E_F . The model with fixed value of the attraction coupling constant $g = 1.7$ was used; pairing susceptibilities were calculated using equations derived in Appendix B.

FIG. 26: (Color online) Virial results for T_c (red points), typical pseudogap Δ_P (black) and the corresponding level spacing δ_L (green), as functions of E_F on semi-logarithmic scale.

Transition exists even at $\delta_L \gg T_{co}$

Low-energy effective Hamiltonian for pseudogaped SC state

$$\Delta_p \gg T_c$$

Population of single-occupancy electron states is suppressed by the pseudogap

"Pseudo spin" representation:

$$S_{\mu}^{+} = a_{\mu\uparrow}^{\dagger} a_{\mu\downarrow}^{\dagger} \quad S_{\mu}^{-} = a_{\mu\uparrow} a_{\mu\downarrow}$$

$$2S_{\mu}^z = a_{\mu\uparrow}^{\dagger} a_{\mu\uparrow} + a_{\mu\downarrow}^{\dagger} a_{\mu\downarrow}$$

H_{BCS} acts on Even sector:
all states which are
2-filled or empty

$$\hat{H} = \sum_{\mu} 2\bar{\epsilon}_{\mu} S_{\mu}^z - g \sum_{\mu,\nu} M_{\mu\nu} S_{\mu}^{+} S_{\nu}^{-} + \sum_{B_{\mu}} \left(\bar{\epsilon}_{\mu} + \frac{G_{\mu}}{2} \right)$$

B: "blocked" states

$$\bar{M}_{\mu\nu} = \frac{1}{\gamma V} M(\bar{\epsilon}_{\mu} - \bar{\epsilon}_{\nu})$$

D.S.T ← total volume

"Pseudospin" approximation

$$Z \sim \nu_0 T_c L_{loc}^d$$

Effective number of interacting neighbours

S-I-T: Third Scenario

- **Bosonic mechanism:** preformed Cooper pairs + competition Josephson v/s Coulomb – **S I T in arrays**
- **Fermionic mechanism:** suppressed Cooper attraction, no pairing – **S M T**
- **Pseudospin mechanism:** individually localized pairs
- **S I T in amorphous media**

SIT occurs at small Z and lead to paired insulator

$$H = 2 \sum_i \xi_i s_i^z - \sum_{\langle ij \rangle} M_{ij} (s_i^x s_j^x + s_i^y s_j^y)$$

How to describe this quantum phase transition ?

How to understand hard-gap insulator right after S-I transition ?

Conclusions

Pairing on nearly-critical states produces fractal superconductivity with relatively high T_c but very small superconductive density

Pairing of electrons on localized states leads to hard gap and Arrhenius resistivity for 1e transport

Pseudogap behaviour is generic near S-I transition, with “insulating gap” above T_c

For the theory of S-I transition see talk by Lev Ioffe on Thursday, 08/26

Coulomb enhancement near mobility edge ??

Normally, Coulomb interaction is overscreened, with universal effective coupling constant ~ 1

Condition of universal screening: $2\sigma/(T_c\kappa) \sim (\xi_0/a_{\text{scr}})^2/\kappa \gg 1$

a_{scr} is the Thomas-Fermi screening length, $\sigma = (e^2k_F/6\pi^2)(k_Fl)$

Example of a-InO_x : bad dirty metal with $k_Fl \sim 0.3$

$e^2k_F \sim 5000K$ the ratio $\sigma/T_c \sim 10$

dielectric constant $\kappa \geq 30$

Effective Coulomb potential is weak:

$$\mu \sim 2\sigma/(T_c\kappa) < 1$$

P(M) distribution

