Beyond GW: local and nonlocal vertex corrections

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European Theoretical Spectroscopy Facility

An Initiative of the

KITP, Santa Barbara 2009

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xc self-energy

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vertex

$$\Sigma_{xc}(12) = iG(14)W(31^+)\Gamma(42;3)$$

$$\Gamma(12;3) = \delta(13)\delta(23) + \frac{\delta\Sigma_{xc}(12)}{\delta\rho(4)}P(43)$$

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 $(\delta\Sigma/\delta V) = (\delta\Sigma/\delta\rho)(\delta\rho/\delta V)$
(12;3) $= \delta(13)\delta(23) + \delta(12)f_{xc}^{eff}(14)P(43) + \Delta\Gamma(12;3)$
 $f_{xc}^{eff}(14) = -iP_0^{-1}(16)G(65)G(76)\frac{\delta\Sigma_{xc}(57)}{\delta\rho(4)}$
 $\Delta\Gamma(12;3) = \left[\frac{\delta\Sigma_{xc}(12)}{\delta\rho(4)} - \delta(12)f_{xc}^{eff}(14)\right]P(43)$

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F. Bruneval, F. Sottile, V. Olevano, R. Del Sole, and L. Reining, PRL 94, 186402 (2005)

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How local and nonlocal parts of Γ correct the <u>self-screening</u> error and the incorrect atomic limit of GW?

F. Bruneval, F. Sottile, V. Olevano, R. Del Sole, and L. Reining, PRL 94, 186402 (2005)

Outline

*Theory*GW: self-screening and incorrect atomic limit
Vertex corrections *Illustration*2-site Hubbard model: *GW*Γ vs exact solution

Conclusions

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$$E_{N+1=1} - E_{N=0} = \epsilon_1$$

$$\left(-\frac{\nabla^2}{2} + V_0(x_1)\right)\phi_1(x_1) = \epsilon_1\phi_1(x_1) \quad \text{(exact, DFT, HF, GW)}$$

W. Nelson, P. Bokes, P. Rinke, R.W. Godby, PRA 75, 032505 (2007); F. Bruneval, PRL 103, 176403 (2009)



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Removal energy



$$E_{N=1} - E_{N-1=0} = \epsilon_1$$

$$-\frac{\nabla^2}{2} + V_0(x_1) \phi_1(x_1) = \epsilon_1 \phi_1(x_1) \quad \text{(exact, DFT, HF)}$$

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$$\left(-\frac{\nabla^{2}}{2} + V_{0}(x_{1}) + v_{H}(x_{1})\right)\phi_{1}(x_{1}) - \int dx_{2}\left(\phi_{1}(x_{1})\phi_{1}^{*}(x_{2})W(x_{1}x_{2})\right) \quad \text{(GW (COHSEX))}$$

$$+\delta(x_{1} - x_{2})\frac{1}{2}W_{p}(x_{1}x_{2})\right)\phi_{1}(x_{2}) = \epsilon_{1}^{GW}\phi_{1}(x_{1})$$

$$\frac{W}{W-V}$$

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$$W - v$$
Self-screening: the extracted particle screens itself $\longrightarrow \epsilon_{1}^{GW} \neq \epsilon_{1}$
(bad treatment of the induced exchange)

W. Nelson, P. Bokes, P. Rinke, R.W. Godby, PRA 75, 032505 (2007); F. Bruneval, PRL 103, 176403 (2009)

 $H_2^+ \stackrel{1}{\xrightarrow{2^{e^-}}} \stackrel{1}{\xrightarrow{2^{e^-}}} \stackrel{\text{one type of}}{\xrightarrow{2^{e^-}}} \stackrel{\text{one type of}}{\xrightarrow{2^{e^-}}}$ addition energy

 H_2^+ 1e⁻

 H_2^+

.............................

two types of addition energies

 H_2^+ 1e⁻

two types of addition energies

Incorrect atomic limit

(bad treatment of the correlation)

Vertex corrections: $P = -iGG\Gamma$



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$$P = -iGG\Gamma \longrightarrow W = v + vPW$$

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From TDDFT the exact vertex

$$\chi = \chi_0 + \chi_0 (v + f_{xc}) \chi = \chi_0 \longrightarrow W = v + v \chi_0 v$$

 $f_{xc} = -v$

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Exact vertex in P does not correct self-screening

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Valence state

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Valence state



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Valence state



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$$\Gamma(23) = \delta(23) + f_{xc}(24)P(43)$$

 $\Sigma_{xc}(12) = iG(12)v(21^+) + iG(12)v(23)\chi_0(34)v(41^+) + iG(12)f_{xc}(24)\chi_0(43)v(31^+) = iG(12)v(21^+)$

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A two-point vertex is sufficient to remove the self-screening It is built from the total f_{xc} and not only from the excitonic part $f_{xc}^{eff} = f_{xc} - f_{xc}^{QP}$

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Conduction state



feels only induced Hartree (different spatial distribution/opposite spin)

$$\Gamma(23) = \delta(23)$$

Valence state



 $\Sigma_{xc}(12) \approx iG(12)W(31^+)\Gamma(23)$

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Conduction state



feels only induced Hartree (different spatial distribution/opposite spin)

$$\Gamma(23) = \delta(23)$$

nonlocal vertex
$$\Gamma = \begin{cases} \delta + f_{xc}P & for \ valence \rightarrow W^{TC-TE} \\ \delta & for \ conduction \rightarrow W^{TC-TC} \end{cases}$$

ILLUSTRATION

$$H = -t \sum_{\substack{i,j=1,2\\i\neq j}} \sum_{\sigma} c_{i\sigma}^{\dagger} c_{j\sigma} + \frac{U}{2} \sum_{i=1,2} \sum_{\sigma\sigma'} c_{i\sigma}^{\dagger} c_{i\sigma'}^{\dagger} c_{i\sigma'} + \epsilon_0 \sum_{\sigma,i=1,2} n_{i\sigma} + V_0$$

C. Verdozzi, R.W. Godby, S. Holloway, PRL 74, 2327 (1995); J. M. Tomczak, Ph.D. thesis, Ecole Polytechnique, France (2007). Thursday, November 5, 2009

ILLUSTRATION

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$$|\psi_0^{N=1}\rangle = \frac{1}{\sqrt{2}} \left(|\uparrow 0\rangle + |0\uparrow\rangle\right) \qquad E_0 = \epsilon_0 - t$$

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One-particle Green's function

$$G_{ij\uparrow}(\omega) = \frac{(-1)^{(i-j)}}{2} \left[\frac{1}{\omega - (\epsilon_0 + t) + i\eta} + \frac{(-1)^{(i-j)}}{\omega - (\epsilon_0 - t) - i\eta} \right] \begin{array}{l} 1 \text{ removal energy} \\ 5 \text{ addition energies} \\ G_{ij\downarrow}(\omega) = \frac{(-1)^{(i-j)}}{4} \left[\frac{1}{\omega - (\epsilon_0 + t) + i\eta} + \frac{1}{\omega - (\epsilon_0 + t + U) + i\eta} \right] \end{array}$$

$$+\frac{1}{2}\left[\frac{\frac{1}{a^2}(1+\frac{4t}{(c-U)})^2}{\omega-(\epsilon_0+t-(c-U)/2)+i\eta}+\frac{\frac{1}{b^2}(1-\frac{4t}{(c+U)})^2}{\omega-(\epsilon_0+t+(c+U)/2)+i\eta}\right]$$

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$$+\frac{1}{2}\left[\frac{\frac{1}{a^2}(1+\frac{4t}{(c-U)})^2}{\omega-(\epsilon_0+t-(c-U)/2)+i\eta}+\frac{\frac{1}{b^2}(1-\frac{4t}{(c+U)})^2}{\omega-(\epsilon_0+t+(c+U)/2)+i\eta}\right]$$

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 \bigcirc Noninteracting limit $U \rightarrow 0$

$$G_{ij\uparrow}^{U=0}(\omega) = G_{ij\uparrow}(\omega) \qquad G_{ij\downarrow}^{U=0}(\omega) = \frac{(-1)^{(i-j)}}{2} \left[\frac{1}{\omega - (\epsilon_0 + t) + i\eta} + \frac{(-1)^{(i-j)}}{\omega - (\epsilon_0 - t) + i\eta} \right]$$

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U = 0

 $U \neq 0$

 \odot Atomic limit $t \to 0$

$$G_{ij\uparrow}^{t=0}(\omega) = \frac{(-1)^{(i-j)}}{2} \left[\frac{1}{\omega - \epsilon_0 + i\eta} + \frac{(-1)^{(i-j)}}{\omega - \epsilon_0 - i\eta} \right]$$
$$G_{ii\downarrow}^{t=0}(\omega) = \frac{1}{2} \left[\frac{1}{\omega - \epsilon_0 + i\eta} + \frac{1}{\omega - (\epsilon_0 + U) + i\eta} \right]$$
$$\Sigma_{ij\downarrow}(\omega) = \delta_{ij} \frac{U}{2} \left[1 + \frac{U}{2(\omega - \epsilon_0) - U + i\eta} \right]$$

removal/addition energies of two isolated atoms

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Constant of

Self-energy
$$\Sigma(\omega) = v_H + \frac{i}{2\pi} \int d\omega' G(\omega + \omega') W(\omega') e^{i\omega'\eta}$$
 (G₀, W^{RPA})
$$\Sigma(\omega) = \begin{pmatrix} \Sigma_{11\uparrow} & \Sigma_{12\uparrow} & 0 & 0\\ \Sigma_{12\uparrow} & \Sigma_{11\uparrow} & 0 & 0\\ 0 & 0 & \Sigma_{11\downarrow} & \Sigma_{12\downarrow}\\ 0 & 0 & \Sigma_{12\downarrow} & \Sigma_{22\downarrow} \end{pmatrix}$$

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Hubbard model: GW solution as some day to the second which a stand of the state

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Self-energy
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 (G₀, W^{RPA})

$$\frac{\text{Self-screening}}{\Sigma(\omega)} = \begin{bmatrix} \sum_{11\uparrow} & \sum_{12\uparrow} & 0 & 0 \\ \sum_{12\uparrow} & \sum_{11\uparrow} & 0 & 0 \\ 0 & 0 & \sum_{12\downarrow} & \sum_{22\downarrow} \\ 0 & 0 & \sum_{12\downarrow} & \sum_{22\downarrow} \\ \end{bmatrix}$$

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Self-energy
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One-particle Green's function G^{GW}(\u03c6) = [G_0^{-1}(\u03c6) - \Sigma(\u03c6)]^{-1}
 2 removal energy
 6 addition energies

$$G_{ij\uparrow}^{GW}(\omega) = (-1)^{(i-j)} \left[\frac{\left(\frac{1}{4} + \frac{2t+h}{4A}\right)}{\omega - \omega_1 + i\eta} + \frac{\left(\frac{1}{4} - \frac{2t+h}{4A}\right)}{\omega - \omega_2 - i\eta} \right] + \frac{\left(\frac{1}{4} - \frac{2t+h}{4A}\right)}{\omega - \omega_3 + i\eta} + \frac{\left(\frac{1}{4} + \frac{2t+h}{4A}\right)}{\omega - \omega_4 - i\eta}$$

$$G_{ij\downarrow}^{GW}(\omega) = (-1)^{(i-j)} \left[\frac{\left(\frac{1}{4} + \frac{2t - h + U/2}{4B}\right)}{\omega - \omega_5 + i\eta} + \frac{\left(\frac{1}{4} - \frac{2t - h + U/2}{4B}\right)}{\omega - \omega_6 + i\eta} \right] + \frac{\left(\frac{1}{4} - \frac{2t + h - U/2}{4C}\right)}{\omega - \omega_7 + i\eta} + \frac{\left(\frac{1}{4} + \frac{2t + h - U/2}{4C}\right)}{\omega - \omega_8 + i\eta}$$

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• Noninteracting limit $U \to 0$ $G_{U=0}^{GW} \to \text{exact solution}$

1 physical pole+ extra poles $\rightarrow \epsilon_0 \pm 3t$ with zero intensity \rightarrow satellites

Noninteracting limit $U \rightarrow 0$ $G_{U=0}^{GW} \rightarrow$ exact solution

1 physical pole+ extra poles $\rightarrow \epsilon_0 \pm 3t$ with zero intensity \rightarrow satellites

 \triangleleft Atomic limit $t \rightarrow 0$

$$G_{ii\downarrow,t=0}^{GW}(\omega) = \frac{1}{\omega - (\epsilon_0 + \frac{U}{2}) + ir}$$
$$\Sigma_{ij\downarrow}(\omega = 0) = \frac{U}{2}\delta_{ij}$$

 $G_{ij\uparrow,t=0}^{GW} \rightarrow$ exact solution self-screening not detected in $t \rightarrow 0$ only one pole ($\epsilon_0 + U/2$) vs two in the exact solution $(\epsilon_0, \epsilon_0 + U)$

> static (only Hartree potential) vs frequency-dependent exact solution

Hubbard model: GW vs exact

Self-screening

 \bigcirc Atomic limit $t \rightarrow 0$



Hubbard model: GW vs exact

Self-screening

 \bigcirc Atomic limit $t \rightarrow 0$



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Vertex corrections in P

$$W = v + v\chi_0 v \longrightarrow W_{ij}(\omega) = U\delta_{ij} + (-1)^{(i-j)} \frac{U^2 t}{\omega^2 - (2t)^2}$$
 only shifts poles of

and the second which the state

Vertex corrections in P

 $W = v + v\chi_0 v \longrightarrow W_{ij}(\omega) = U\delta_{ij} + (-1)^{(i-j)} \frac{U^2 t}{\omega^2 - (2t)^2}$ only shifts poles of Σ

 \odot Vertex corrections in Σ

 $\Gamma = \left\{ \begin{array}{ccc} \delta + f_{xc}P & for \ valence\\ \delta & for \ conduction \end{array} \right. \longrightarrow \Sigma(\omega) = \left(\begin{array}{ccc} 0 & 0 & 0 & 0\\ 0 & 0 & 0 & 0\\ 0 & 0 & \Sigma_{11\downarrow} & \Sigma_{12\downarrow}\\ 0 & 0 & \Sigma_{12\downarrow} & \Sigma_{22\downarrow} \end{array} \right)$

Vertex corrections in P

 $W = v + v\chi_0 v \longrightarrow W_{ij}(\omega) = U\delta_{ij} + (-1)^{(i-j)} \frac{U^2 t}{\omega^2 - (2t)^2} \quad \text{only shifts poles of } \Sigma$

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No self-screening anymore! Still incorrect atomic limit!

Conclusions

- GW suffers of a self-screening error (bad description of induced exchange) and an incorrect atomic limit (bad description of correlation)
- An approximate vertex $\Gamma = \begin{cases} \delta + f_{xc}P & for \ valence \\ \delta & for \ conduction \end{cases}$ can correct the self-screening...but not the incorrect atomic limit
- The approximate vertex (for valence) is built from TDDFT with the total f_{xc} and not only with the excitonic part $f_{xc}^{eff} = f_{xc} - f_{xc}^{QP}$

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- The approximate vertex (for valence) is built from TDDFT with the total f_{xc} and not only with the excitonic part $f_{xc}^{eff} = f_{xc} - f_{xc}^{QP}$