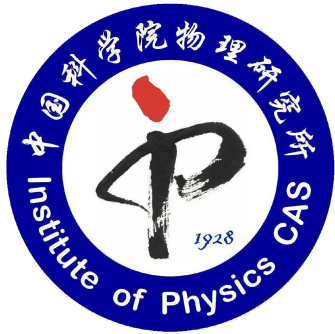


# Few-Body Physics with Spin-Orbit Coupling



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KITP Program on “Universality in Few-Body Systems”

2016.11.17

## What we learn from one-body and two-body physics:

- Highly-symmetric SOC:
  - Ground state degeneracy
  - Enhanced low-E density-of-state
- Spin locked with momentum

} One-body

- Highly-symmetric SOC favors dimer formation
- Coupled relative and center-of-mass motions;  
Coupled scattering channels

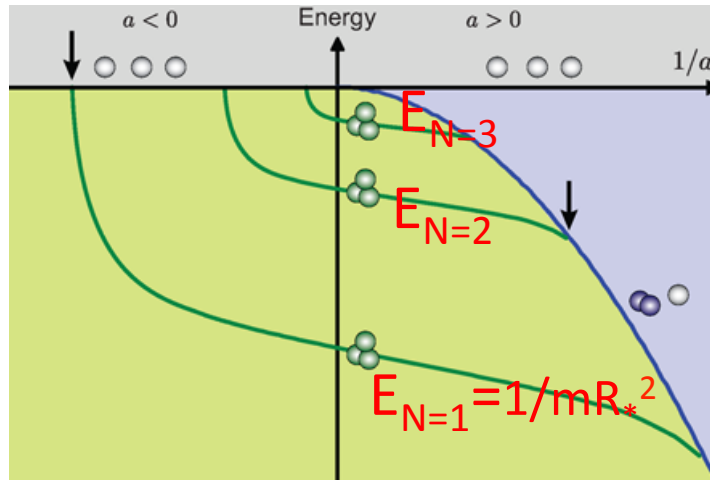
} Two-body

Q: What change will SOC make to three-body physics?

Conceptually new? Practically solvable?

## 3-body physics without SOC:

- Three bosons: Efimov spectrum

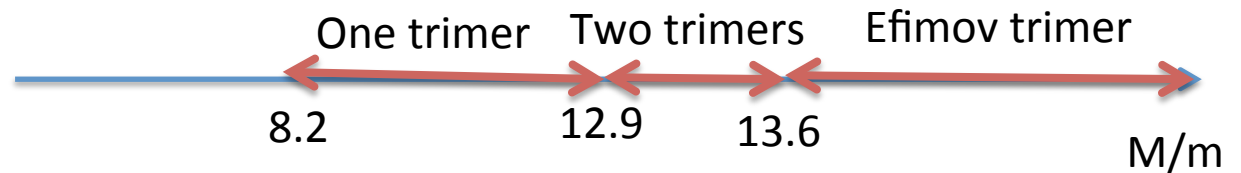
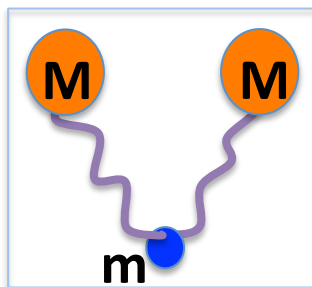


$$E_N/E_{N+1} = 515$$



- induced by  $1/R^2$  potential
- short-range physics is important

- Fermion mixtures:



Universal trimer: ( $a_s > 0$ ,  $M/m = [8.2, 13.6]$ )  
 Kartavtsev & Malykh: J. Phys. B 40, 1429 (2007)

With SOC, we ask:

- ❑ Trimer formation? (universal trimer, Efimov physics...)
- ❑ New universality?
- ❑ Few to Many?

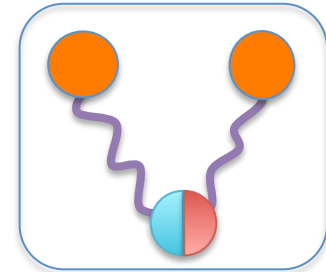
Our strategy:

- Highly-symmetric SOC (simplest while with great interests)
- Fermion mixtures

# Outline

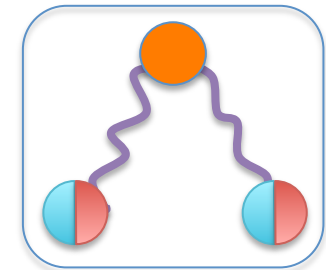
## ➤ Universal trimers favored by SOC

Zheyu Shi, Hui Zhai and XC,  
Phys. Rev. Lett. 112, 013201 (2014);  
Phys. Rev. A 91, 023618 (2015)



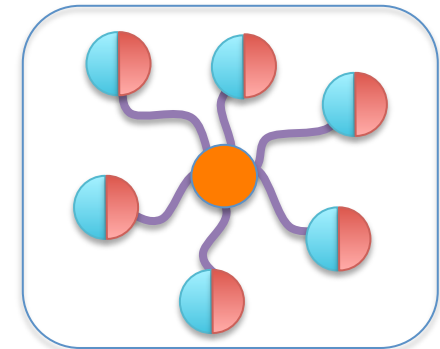
## ➤ Universal Borromean binding

XC and Wei Yi, Phys. Rev. X 4, 031206 (2014)  
*Synopsis in Physics: "Knots for All Occasions"*



## ➤ Three to Many: trimers on top of a Fermi sea

Xingze Qiu, XC and Wei Yi, arxiv: 1607.03580  
to appear in Phys.Rev.A (R)



## Case I: one particle with isotropic SOC

$$\hat{H}_0 = \frac{\mathbf{p}_1^2}{2M} + \frac{\mathbf{p}_2^2}{2M} + \frac{(\mathbf{p}_3 - \lambda \hat{\sigma})^2}{2m},$$

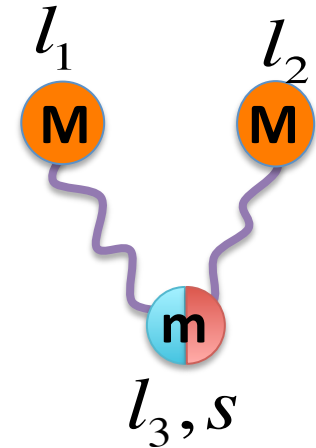
$$\hat{U} = [g\delta(\mathbf{r}_1 - \mathbf{r}_3) + g\delta(\mathbf{r}_2 - \mathbf{r}_3)] \mathbf{I},$$

Good numbers:

$$\left\{ \begin{array}{l} \text{total momentum } \mathbf{P} = \mathbf{p}_1 + \mathbf{p}_2 + \mathbf{p}_3 \\ \text{total angular momentum } \mathbf{J} = \mathbf{L} + \mathbf{s} \end{array} \right.$$

$$[J_i, J_j] = i\epsilon_{ijk} J_k, \quad [P_i, P_j] = 0, \quad [P_i, J_j] = i\epsilon_{ijk} P_k$$

We solve three-body bound states with  $P = 0, J = 1/2, 3/2$

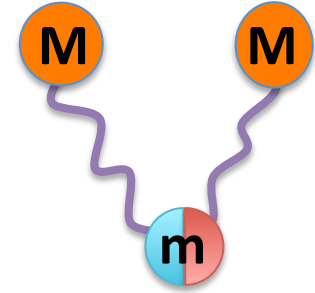


$$\begin{array}{l} L = l_1 + l_2 + l_3 \\ s = 1/2 \end{array}$$

## Case I: one particle with isotropic SOC

$$\hat{H}_0 = \frac{\mathbf{p}_1^2}{2M} + \frac{\mathbf{p}_2^2}{2M} + \frac{(\mathbf{p}_3 - \lambda \hat{\sigma})^2}{2m},$$

$$\hat{U} = [g\delta(\mathbf{r}_1 - \mathbf{r}_3) + g\delta(\mathbf{r}_2 - \mathbf{r}_3)] \mathbf{I},$$



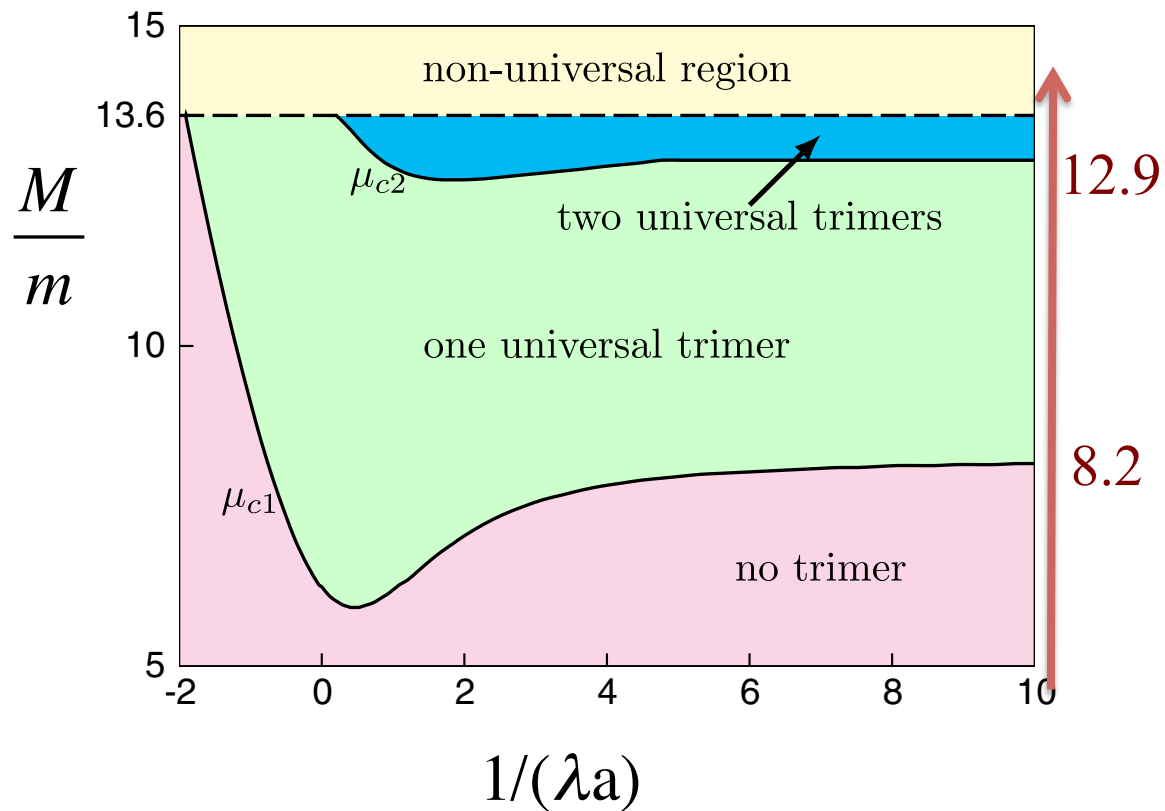
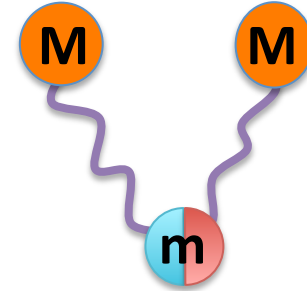
$$|\Psi\rangle = \sum_{\mathbf{p}, \mathbf{q}, \sigma} \Psi_{\sigma}(\mathbf{q}, \mathbf{K}_0 - \mathbf{p}, \mathbf{p} - \mathbf{q}) \hat{\alpha}_{\mathbf{q}}^{\dagger} \hat{\alpha}_{\mathbf{K}_0 - \mathbf{p}}^{\dagger} \hat{\beta}_{\sigma, \mathbf{p} - \mathbf{q}}^{\dagger} |0\rangle$$

$$f_{\sigma}(\mathbf{p}) = g \sum_{\mathbf{q}} \Psi_{\sigma}(\mathbf{q}, \mathbf{K}_0 - \mathbf{p}, \mathbf{p} - \mathbf{q})$$



$$f_{\sigma}(\mathbf{k}) = g \sum_{\mathbf{p}, \sigma'} G_{\sigma\sigma'}(E; \mathbf{p}, \mathbf{K}_0 - \mathbf{k}, \mathbf{k} - \mathbf{p}) \times [f_{\sigma'}(\mathbf{k}) - f_{\sigma'}(\mathbf{K}_0 - \mathbf{p})]$$

## Case I: one particle with isotropic SOC

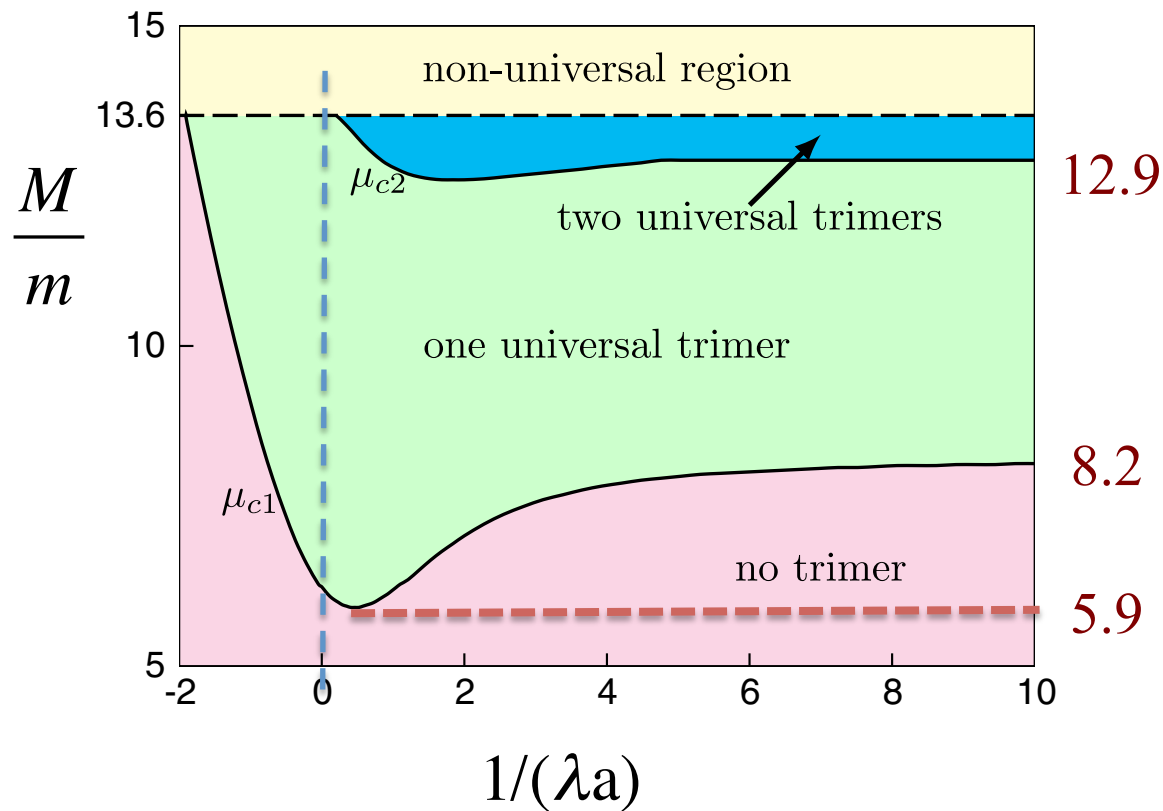
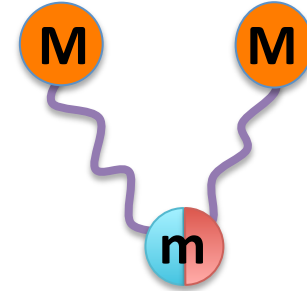


$\lambda a \rightarrow 0$ :

**Kartavtsev-Malykh trimer**  
J. Phys. B 40, 1429 (2007)



## Case I: one particle with isotropic SOC



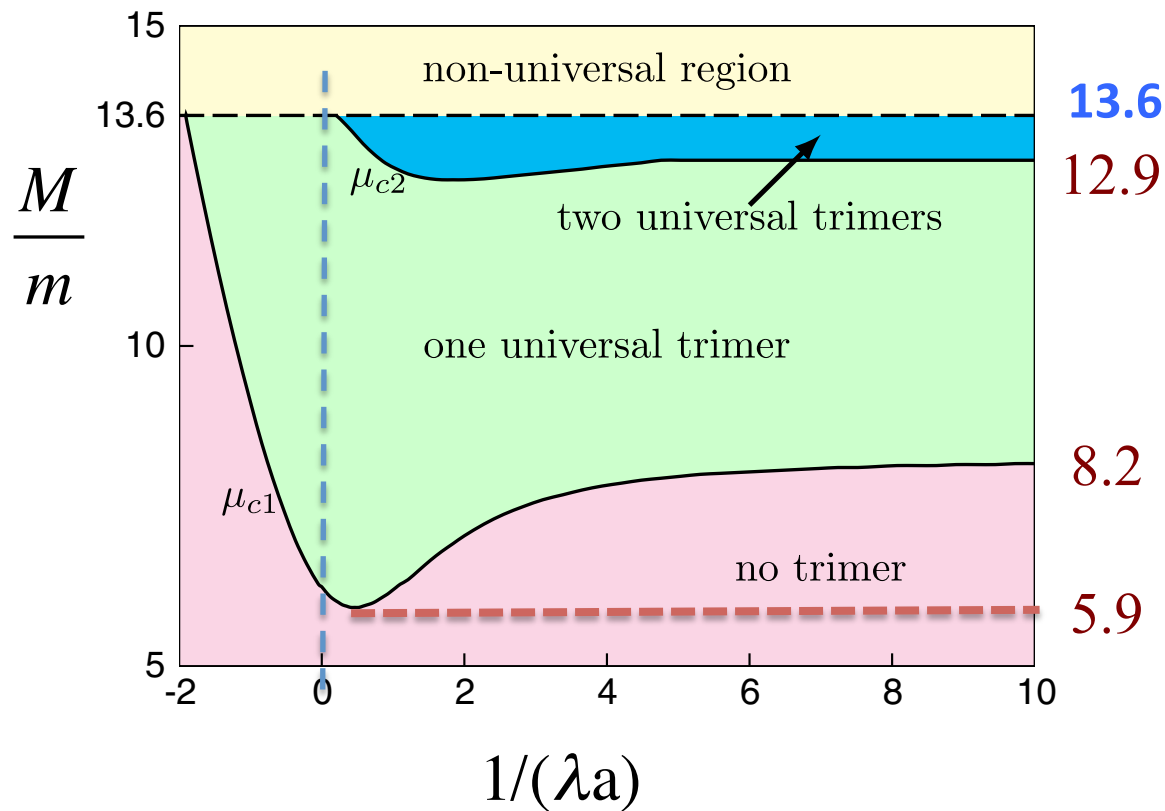
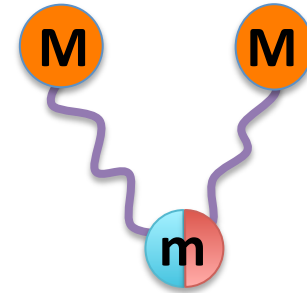
finite  $\lambda$ :

Universal trimer formed at

$$a < 0$$

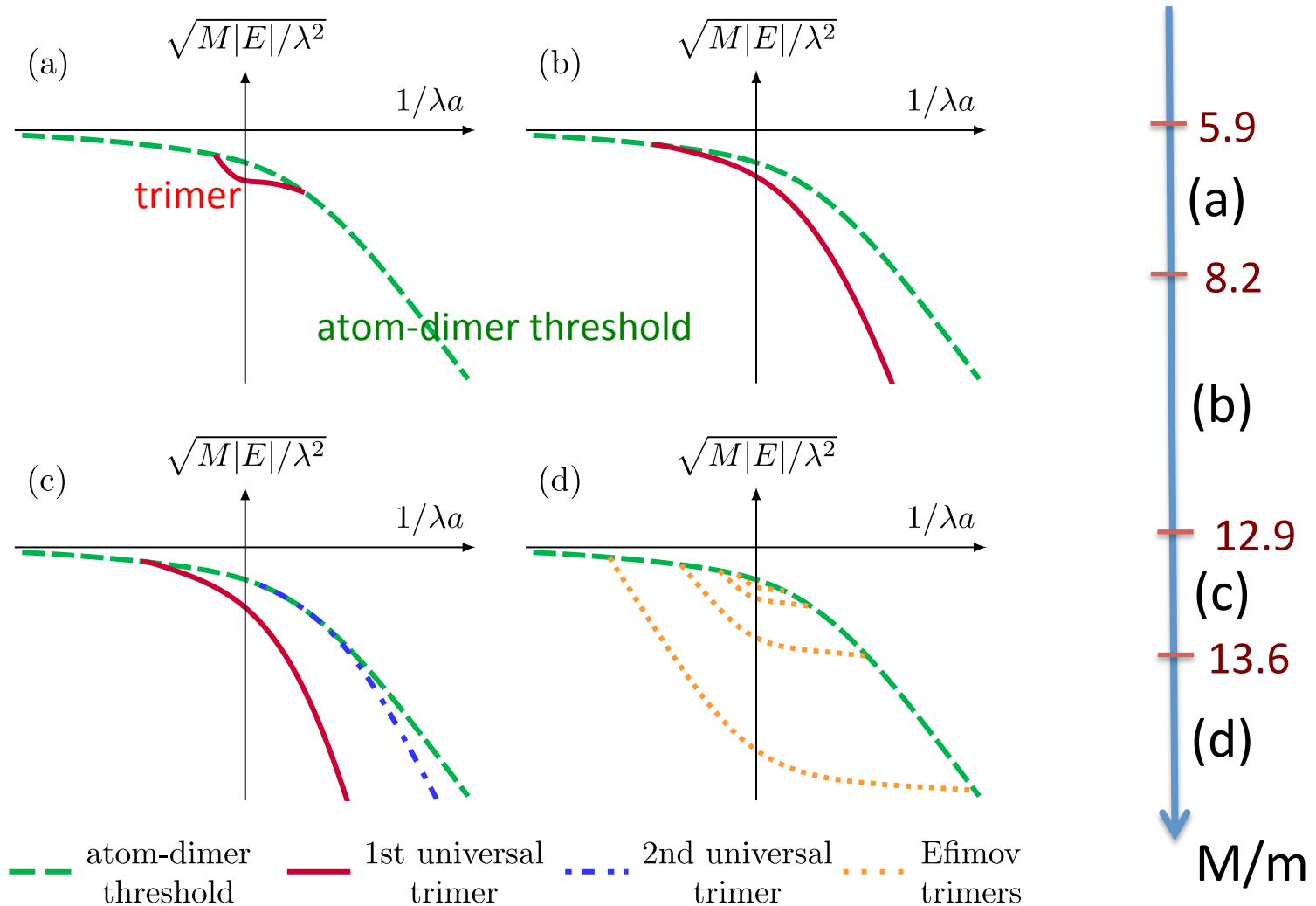
$$M/m \geq 5.9$$

## Case I: one particle with isotropic SOC



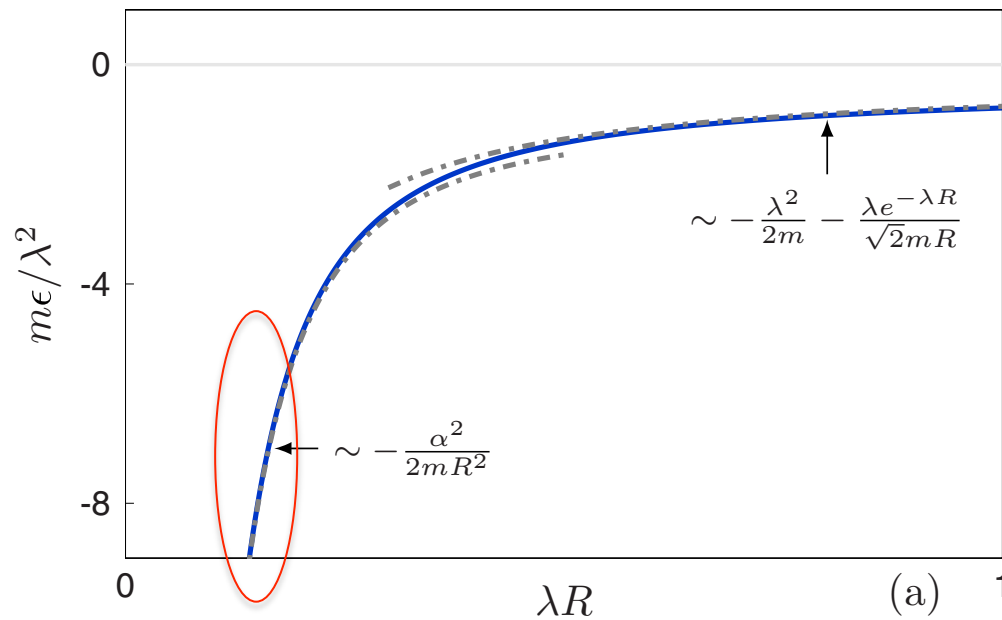
Critical mass ratio for Efimov trimer is *not* changed!

## Case I: one particle with isotropic SOC



For Efimov trimer:

➤ SOC will *not* change critical mass ratio (13.6)



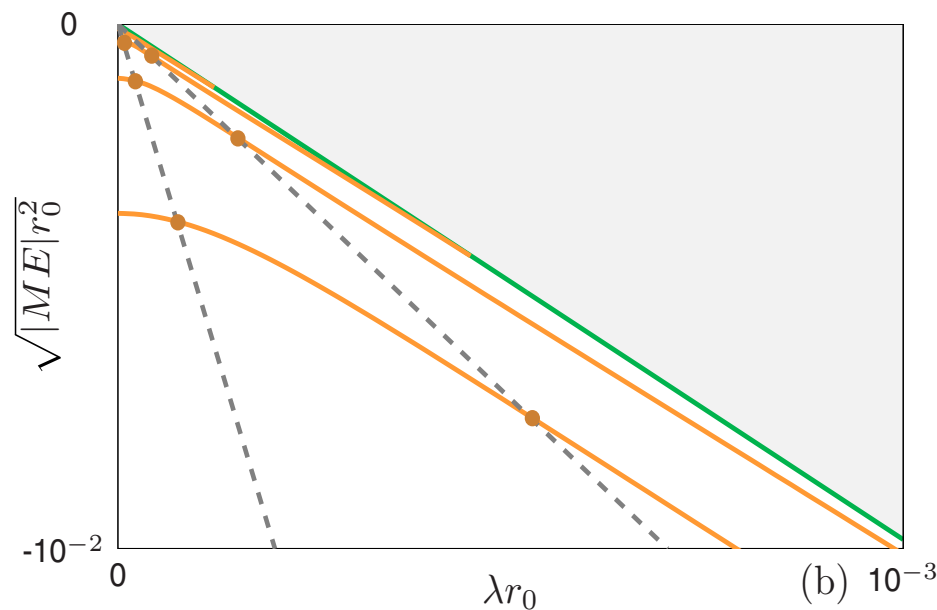
$$p \cdot \sigma \ll p^2$$

Short-range  $1/R^2$  potential  
not changed

**3-body potential in Born-Openheimer limit**

For Efimov trimer:

- SOC will *not* change critical mass ratio (13.6)
- New discrete scaling:



$$\mathbf{R} \rightarrow e^{-\pi/s_0} \mathbf{R}, \quad a \rightarrow e^{-\pi/s_0} a,$$

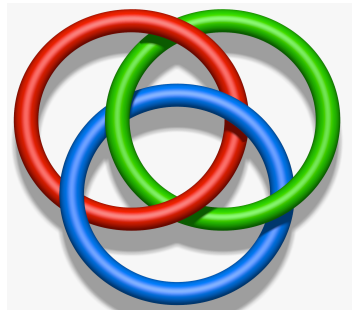
$$\lambda \rightarrow e^{\pi/s_0} \lambda, \quad E \rightarrow e^{2\pi/s_0} E,$$

$$s_0 = \sqrt{\alpha^2 \mu - 9/2}$$

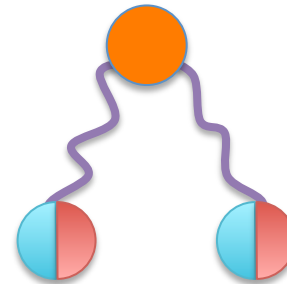
Zheyu Shi, Hui Zhai and XC,  
 Phys. Rev. Lett. 112, 013201 (2014);  
 Phys. Rev. A 91, 023618 (2015)

## Case II: two particles with Rashba SOC

*Universal* Borromean binding



Physical system



XC and Wei Yi, Phys. Rev. X 4, 031206 (2014)  
*Synopsis in Physics: "Knots for All Occasions"*

## Case II: two particles with Rashba SOC

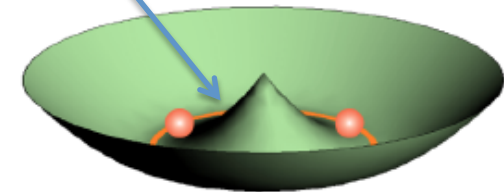
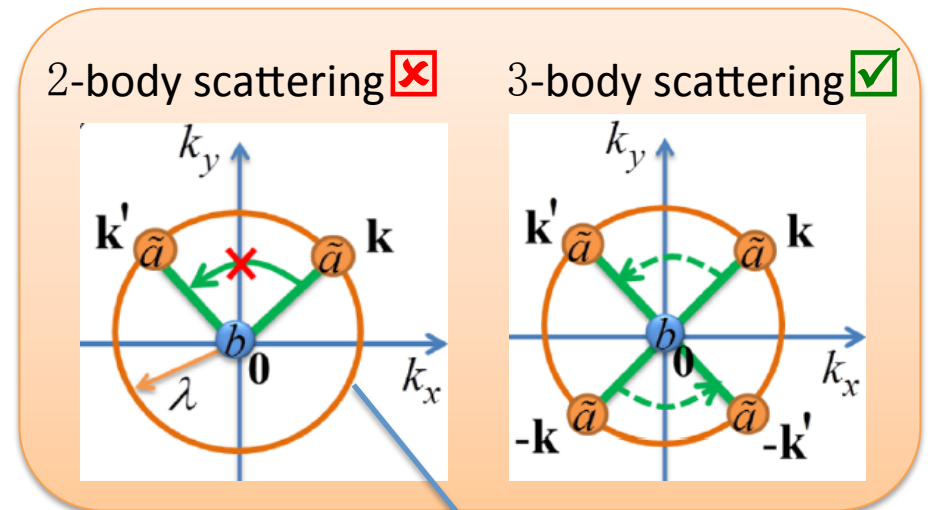
### Borromean ring



coat of arms of Borromeo family  
(Northern Italy, 14<sup>th</sup>)

- Facilitated by single-particle spectral symmetry
- Universal against short-range interaction detail

### Borromean binding



**U(1) ground state degeneracy  
under Rashba SOC**

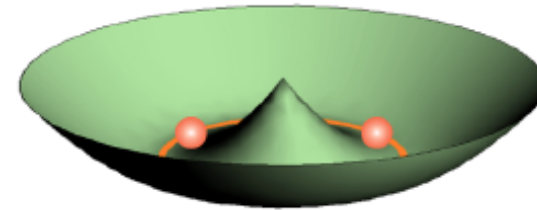
## Case II: two particles with Rashba SOC

$$H = \sum_{\mathbf{k}, \alpha=\uparrow, \downarrow} \frac{\mathbf{k}^2}{2m_a} a_{\mathbf{k}, \alpha}^\dagger a_{\mathbf{k}, \alpha} + \sum_{\mathbf{k}} \frac{\mathbf{k}^2}{2m_b} + \frac{\lambda}{m_a} \sum_{\mathbf{k}} [(k_x - ik_y) a_{\mathbf{k}, \uparrow}^\dagger a_{\mathbf{k}, \downarrow} + \text{H.c.}]$$

Rashba SOC

$$+ \frac{U}{V} \sum_{\mathbf{k}, \mathbf{k}', \mathbf{Q}} a_{\mathbf{k}, \uparrow}^\dagger b_{\mathbf{Q}-\mathbf{k}}^\dagger b_{\mathbf{Q}-\mathbf{k}'} a_{\mathbf{k}', \uparrow}$$

Spin-selective interaction



$$H\psi^{(N)} = E_N\psi^{(N)}$$

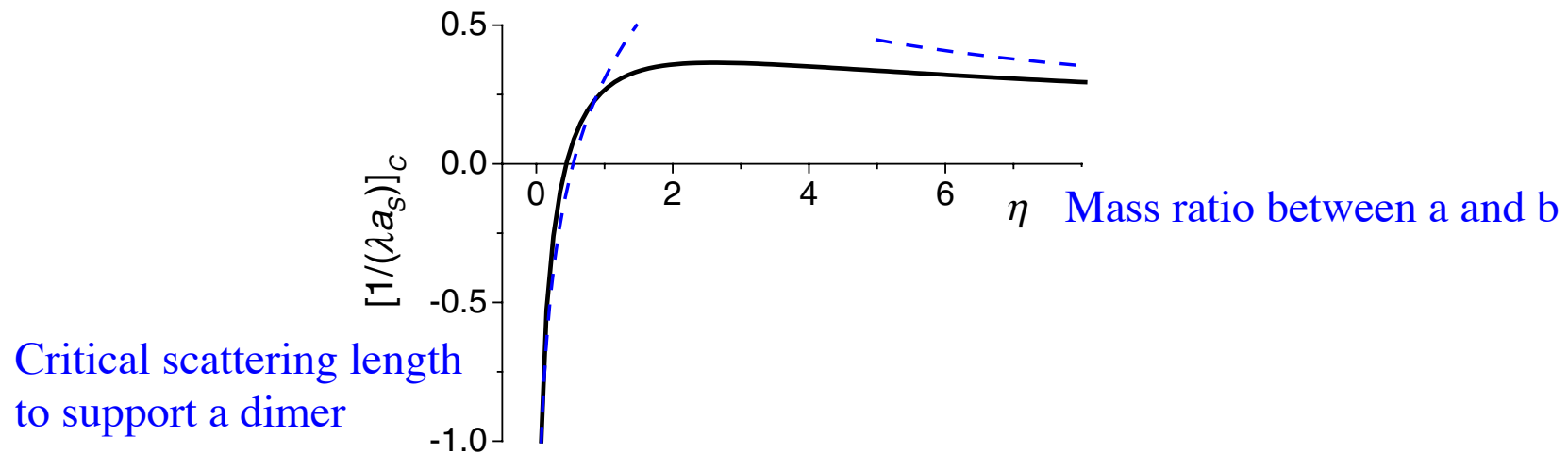
$$|\Psi^{(2)}\rangle = \sum_{\mathbf{k}, \sigma=\pm} \Psi^{(2)}(\mathbf{Q} - \mathbf{k}; \mathbf{k}\sigma) b_{\mathbf{Q}-\mathbf{k}}^\dagger a_{\mathbf{k}\sigma}^\dagger |0\rangle$$

$$|\Psi^{(3)}\rangle = \sum_{\mathbf{k}\sigma} \sum_{\mathbf{q}\xi} \Psi^{(3)}(-\mathbf{k} - \mathbf{q}; \mathbf{k}\sigma; \mathbf{q}\xi) b_{-\mathbf{k}-\mathbf{q}}^\dagger a_{\mathbf{k}\sigma}^\dagger a_{\mathbf{q}\xi}^\dagger |0\rangle$$

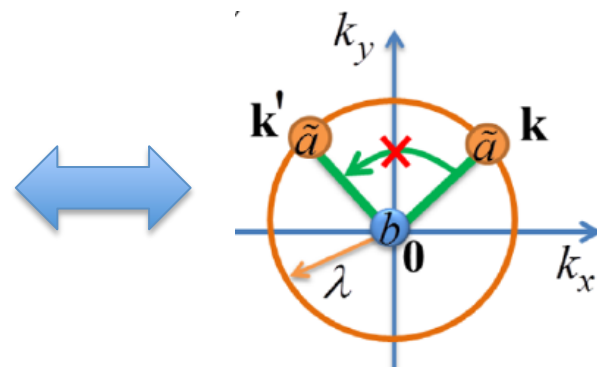


## Results:

### 1) dimer formation:

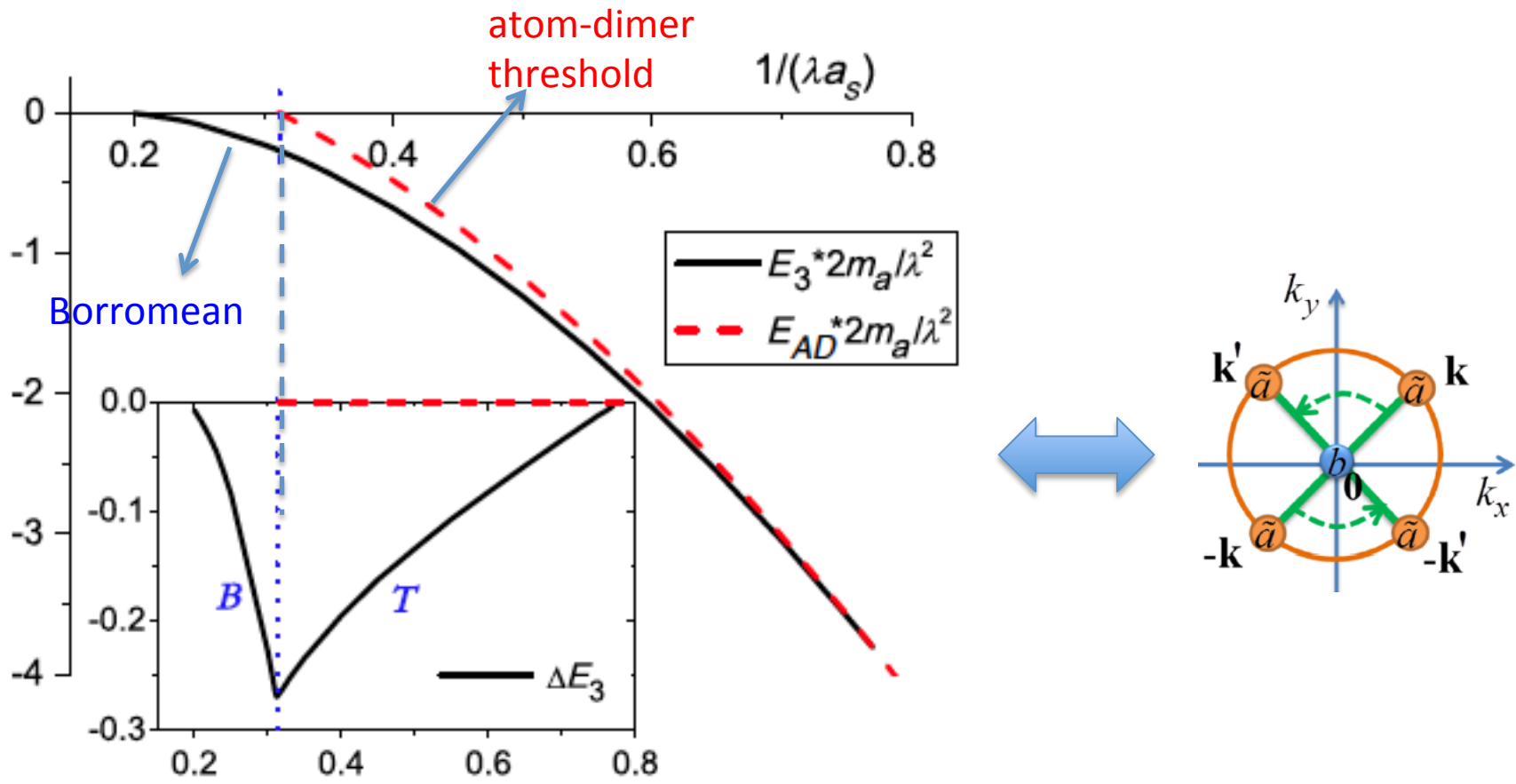


- For most region of mass ratio, dimer formation requires  $a > 0$



# Results:

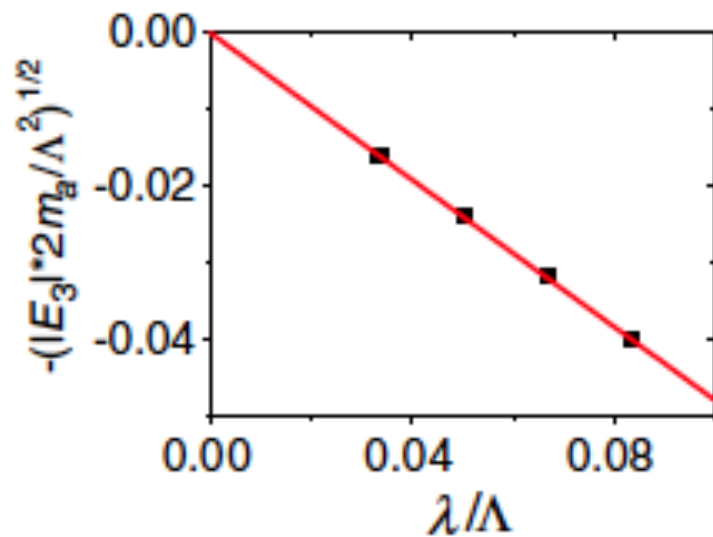
## 2) Trimer formation:



Trimer appears before Dimer --- Borromean

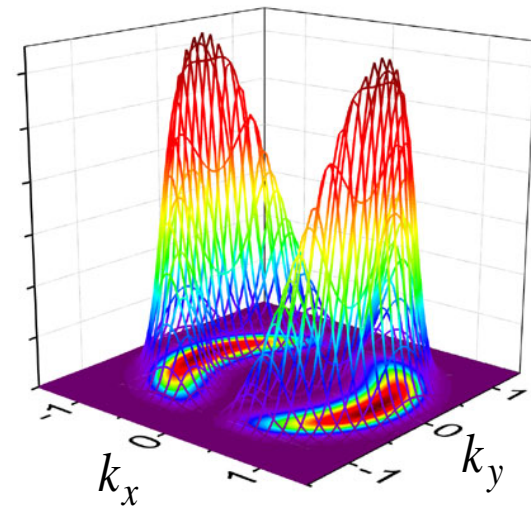
Results:

3) Universal feature of Borromean binding:



Universal binding energy

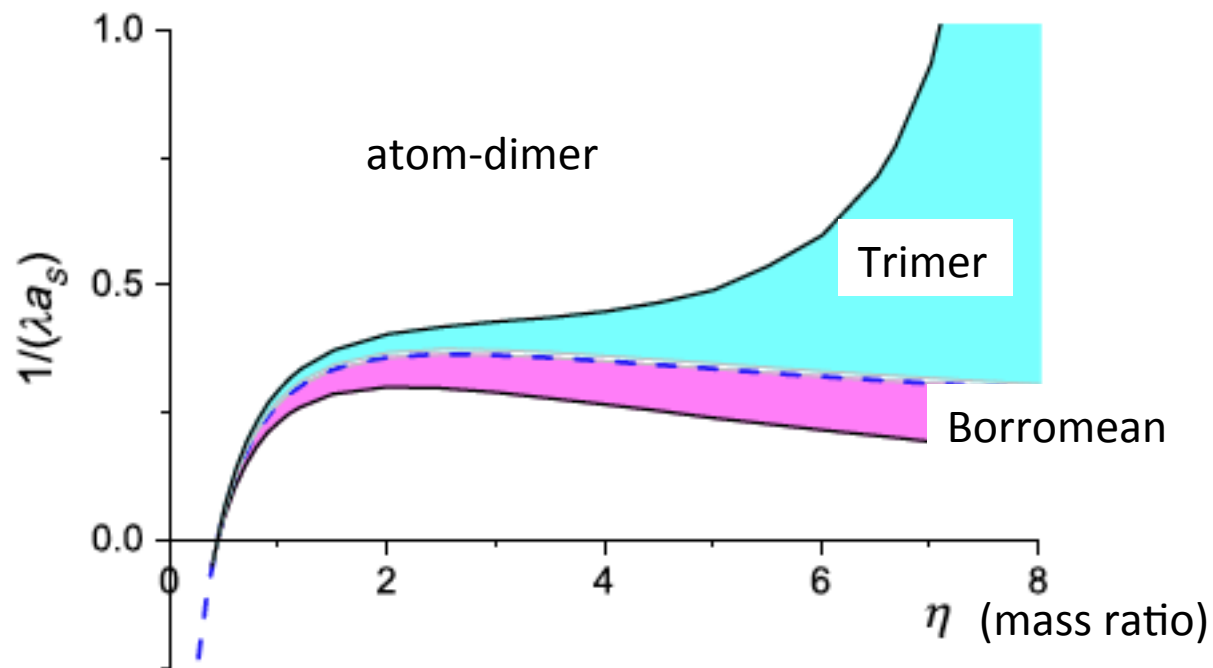
$$E_3(a_s, \lambda_{soc})$$



Wave-function in k-space:  
most weight lies around  
degenerate circle

## Results:

### 4) robustness of Borromean binding:



Ground state phase diagram

**\*\*Borromean exists in a wide range of mass ratio  $\eta \geq 0.4$  \*\***

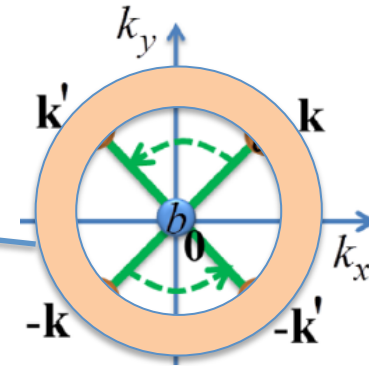
(without SOC,  $\eta \geq 8.2$  to support trimer (not Borromean))

## *Few to Many*

*Stability of symmetry-facilitated trimer in a many-body environment?*

Xingze Qiu, XC and Wei Yi, arxiv: 1607.03580  
to appear in Phys.Rev.A (R)

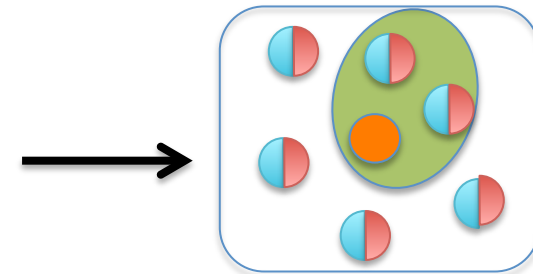
Impurity (b) interacting with spin-orbit coupled Fermi sea (a):



Three possible states:

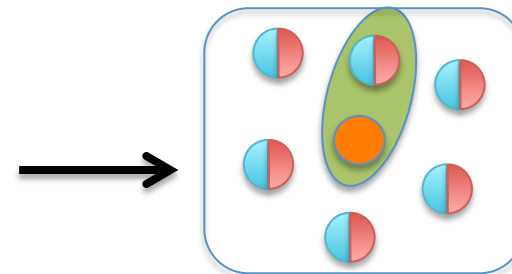
$$|T_0\rangle = \sum_{\mathbf{k}\lambda\mathbf{k}'\beta} ' \phi_{\mathbf{k}\mathbf{k}'}^{\lambda\beta} b_{-\mathbf{k}-\mathbf{k}'}^\dagger a_{\mathbf{k}\lambda}^\dagger a_{\mathbf{k}'\beta}^\dagger |\text{FS}\rangle_{N-2}$$

$$+ \sum_{\substack{\mathbf{k}\lambda\mathbf{k}'\beta \\ \mathbf{k}''\gamma\mathbf{q}\nu}} ' \phi_{\mathbf{k}\mathbf{k}'\mathbf{k}''\mathbf{q}}^{\lambda\beta\gamma\nu} b_{\mathbf{q}-\mathbf{k}-\mathbf{k}'-\mathbf{k}''}^\dagger a_{\mathbf{k}\lambda}^\dagger a_{\mathbf{k}'\beta}^\dagger a_{\mathbf{k}''\gamma}^\dagger a_{\mathbf{q}\nu} |\text{FS}\rangle_{N-2}$$

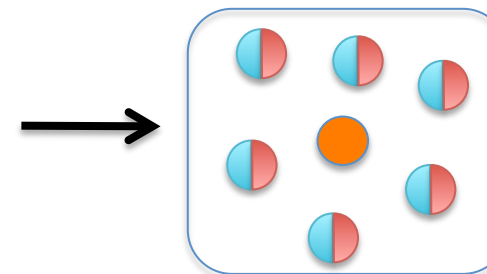


$$|M_{\mathbf{Q}}\rangle = \sum_{\mathbf{k}\lambda} ' \phi_{\mathbf{k}}^{\lambda}(\mathbf{Q}) b_{\mathbf{Q}-\mathbf{k}}^\dagger a_{\mathbf{k}\lambda}^\dagger |\text{FS}\rangle_{N-1}$$

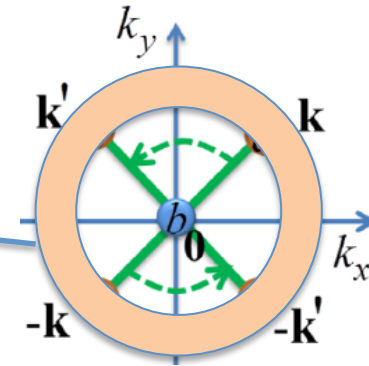
$$+ \sum_{\mathbf{k}\lambda\mathbf{k}'\beta\mathbf{q}\nu} ' \phi_{\mathbf{k}\mathbf{k}'\mathbf{q}}^{\lambda\beta\nu}(\mathbf{Q}) b_{\mathbf{Q}-\mathbf{k}-\mathbf{k}'+\mathbf{q}}^\dagger a_{\mathbf{k}\lambda}^\dagger a_{\mathbf{k}'\beta}^\dagger a_{\mathbf{q}\nu} |\text{FS}\rangle_{N-1}$$



$$|P_{\mathbf{Q}}\rangle = \left( \phi_{\mathbf{Q}} b_{\mathbf{Q}}^\dagger + \sum_{\mathbf{k}\lambda\mathbf{q}\nu} ' \phi_{\mathbf{k}\mathbf{q}}^{\lambda\nu}(\mathbf{Q}) b_{\mathbf{Q}+\mathbf{q}-\mathbf{k}}^\dagger a_{\mathbf{k}\lambda}^\dagger a_{\mathbf{q}\nu} \right) |\text{FS}\rangle_N$$



Impurity (b) interacting with spin-orbit coupled Fermi sea (a):



Three possible states:

$$|T_0\rangle = \sum_{\mathbf{k}\lambda\mathbf{k}'\beta} \phi_{\mathbf{k}\mathbf{k}'}^{\lambda\beta} b_{-\mathbf{k}-\mathbf{k}'}^\dagger a_{\mathbf{k}\lambda}^\dagger a_{\mathbf{k}'\beta}^\dagger |\text{FS}\rangle_{N-2}$$

$$+ \sum_{\substack{\mathbf{k}\lambda\mathbf{k}'\beta \\ \mathbf{k}''\gamma\mathbf{q}\nu}} \phi_{\mathbf{k}\mathbf{k}'\mathbf{k}''\mathbf{q}}^{\lambda\beta\gamma\nu} b_{\mathbf{q}-\mathbf{k}-\mathbf{k}'-\mathbf{k}''}^\dagger a_{\mathbf{k}\lambda}^\dagger a_{\mathbf{k}'\beta}^\dagger a_{\mathbf{k}''\gamma}^\dagger a_{\mathbf{q}\nu} |\text{FS}\rangle_{N-2}$$

$k_F \rightarrow 0$

trimer

$$|M_{\mathbf{Q}}\rangle = \sum_{\mathbf{k}\lambda} \phi_{\mathbf{k}}^{\lambda}(\mathbf{Q}) b_{\mathbf{Q}-\mathbf{k}}^\dagger a_{\mathbf{k}\lambda}^\dagger |\text{FS}\rangle_{N-1}$$

$$+ \sum_{\mathbf{k}\lambda\mathbf{k}'\beta\mathbf{q}\nu} \phi_{\mathbf{k}\mathbf{k}'\mathbf{q}}^{\lambda\beta\nu}(\mathbf{Q}) b_{\mathbf{Q}-\mathbf{k}-\mathbf{k}'+\mathbf{q}}^\dagger a_{\mathbf{k}\lambda}^\dagger a_{\mathbf{k}'\beta}^\dagger a_{\mathbf{q}\nu} |\text{FS}\rangle_{N-1}$$

→

dimer

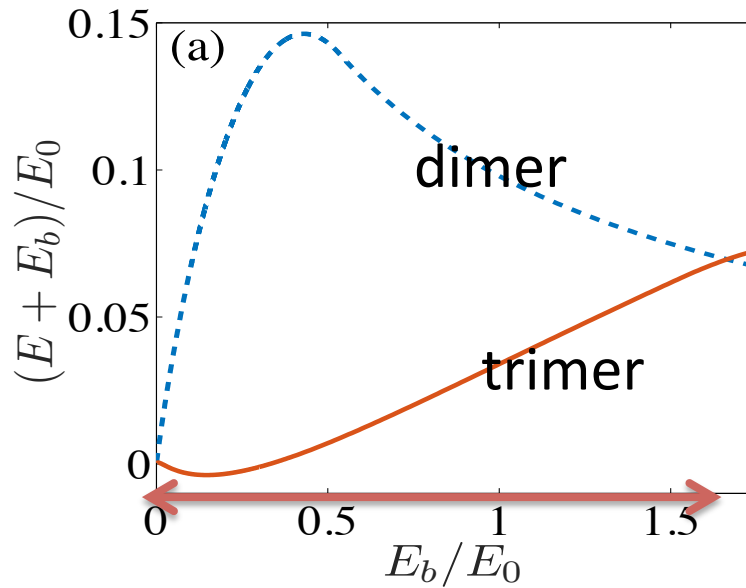
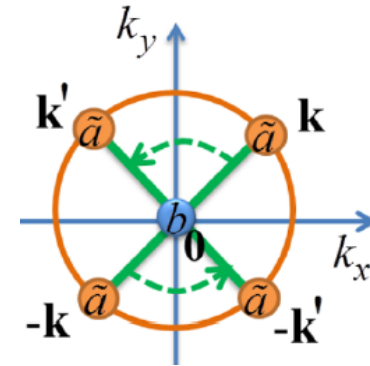
$$|P_{\mathbf{Q}}\rangle = \left( \phi_{\mathbf{Q}} b_{\mathbf{Q}}^\dagger + \sum_{\mathbf{k}\lambda\mathbf{q}\nu} \phi_{\mathbf{k}\mathbf{q}}^{\lambda\nu}(\mathbf{Q}) b_{\mathbf{Q}+\mathbf{q}-\mathbf{k}}^\dagger a_{\mathbf{k}\lambda}^\dagger a_{\mathbf{q}\nu} \right) |\text{FS}\rangle_N$$

→

scattering

Few-body states

We consider 2D case for simplicity.  
 In few-body sector:



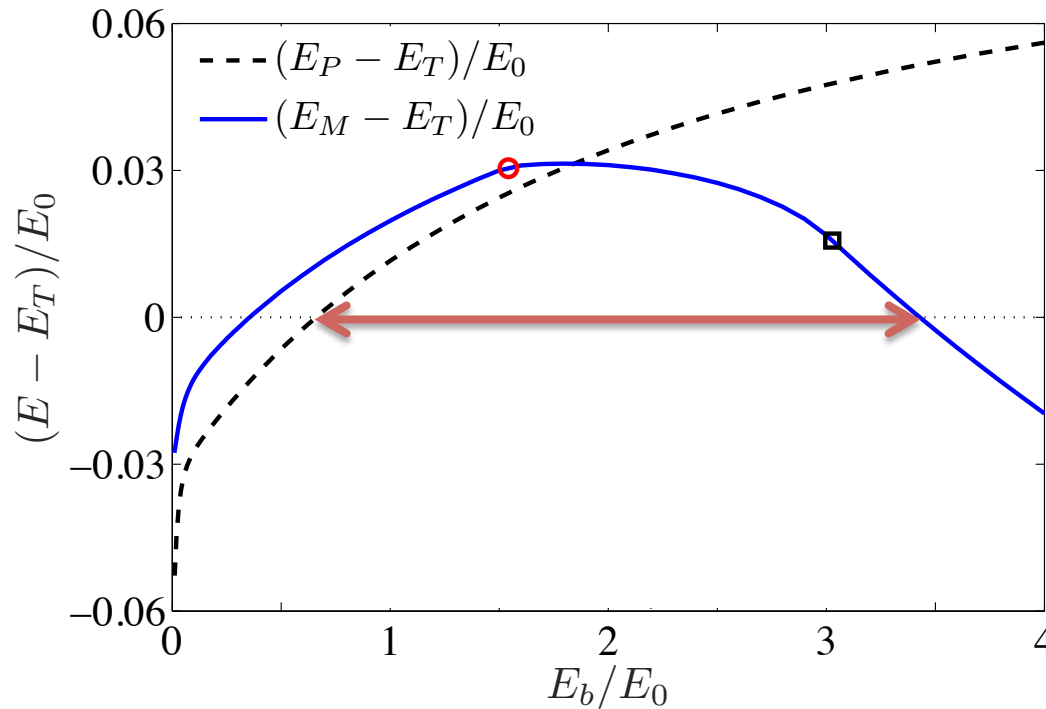
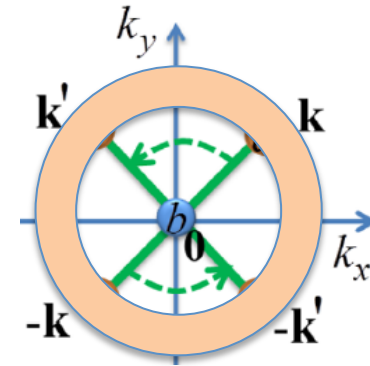
$E_b$ : 2-body binding energy in 2D  
 $E_0$ : SOC energy

$$E_b \in [0, 1.65]E_0 \quad \text{Trimer stabilization}$$



In the presence of SOC Fermi sea,

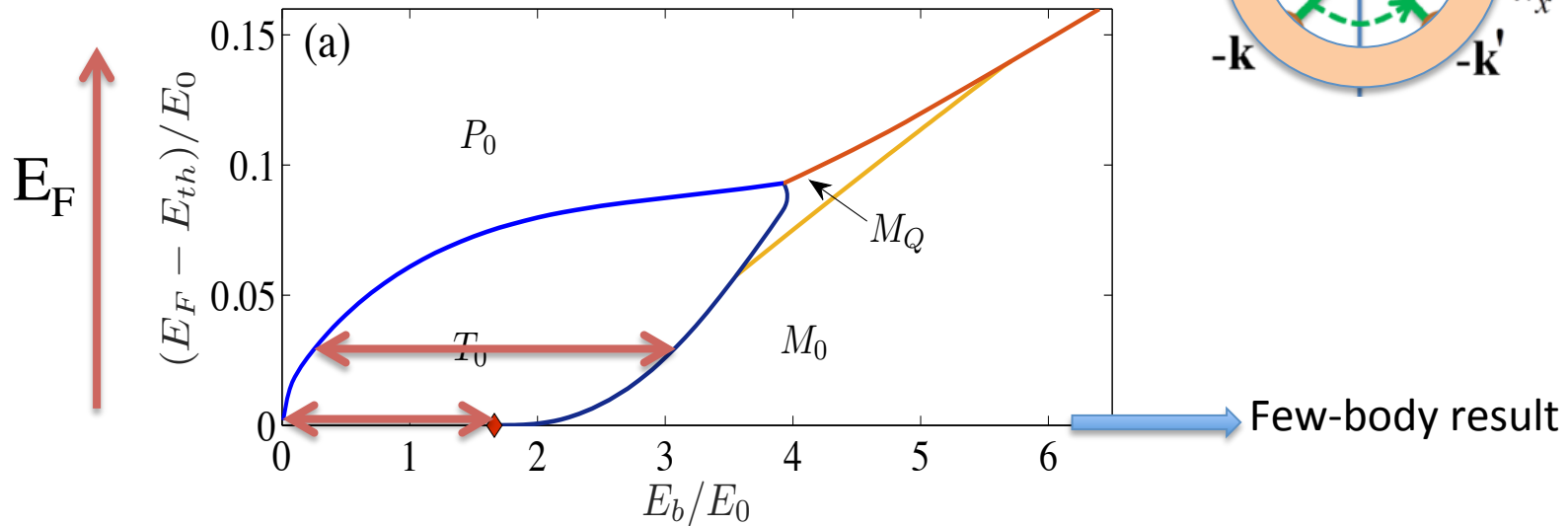
$$E_F = E_{th} + 0.05E_0$$



$$E_b \in [0.66, 3.43]E_0 \quad \text{(dressed) trimer stabilization}$$

**Fermi-sea favors trimer stabilization!**

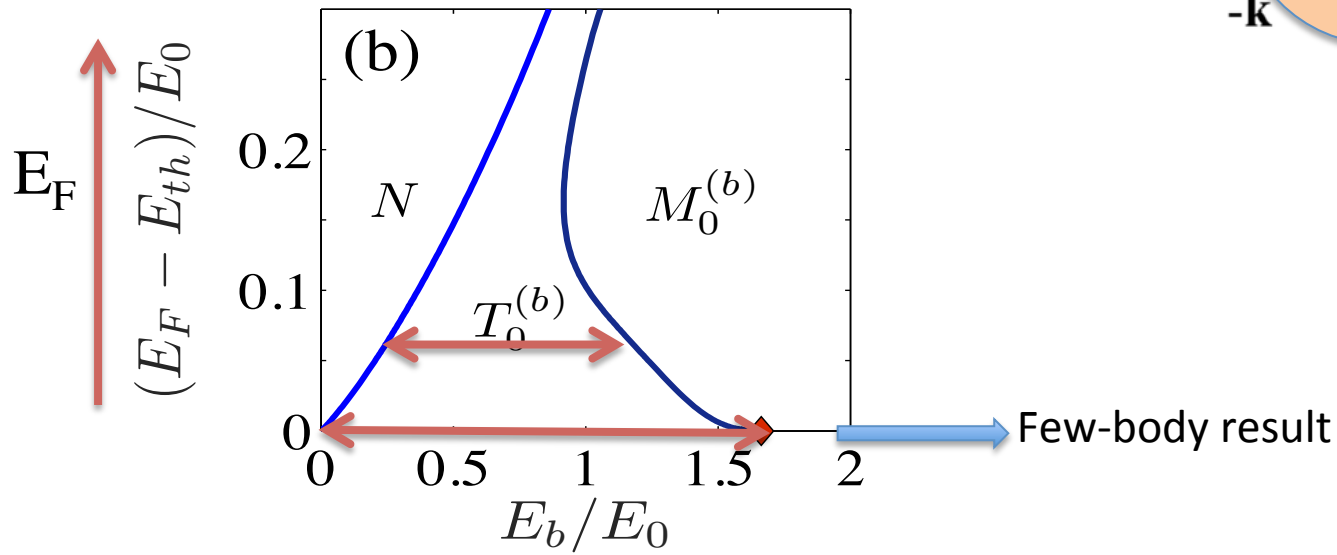
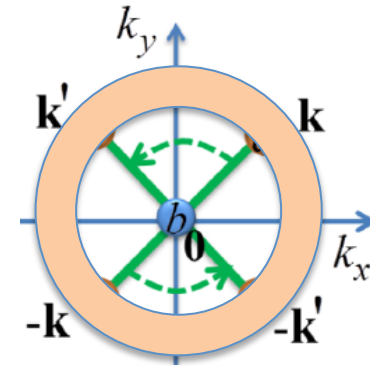
## Phase diagram as changing $E_F$



Fermi sea favors trimer against dimer:

- Pauli-blocking effect (?)
- Particle-hole fluctuation (?)

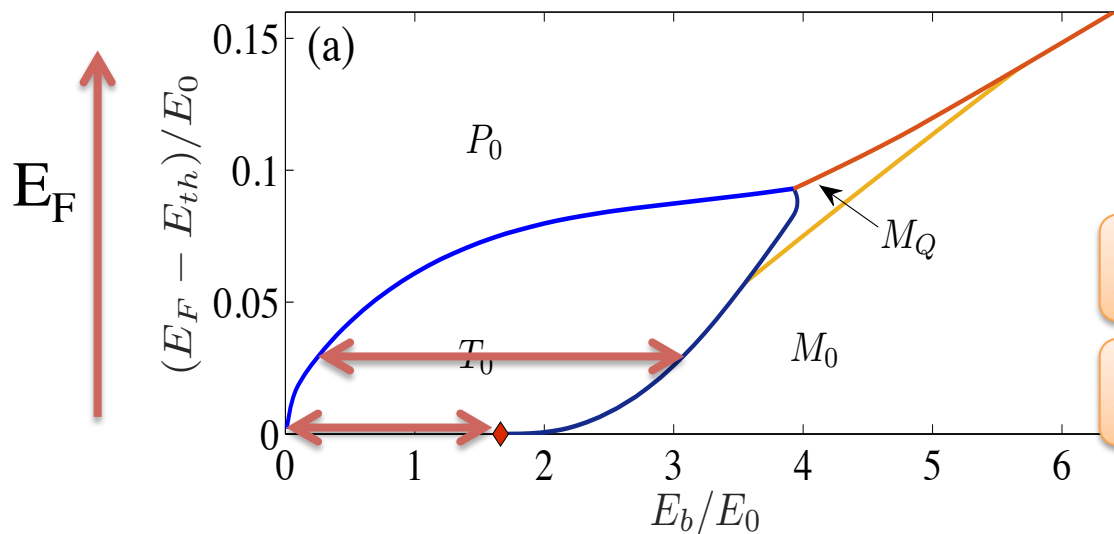
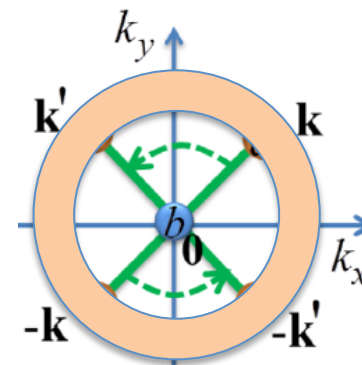
Only Pauli-blocking effect :



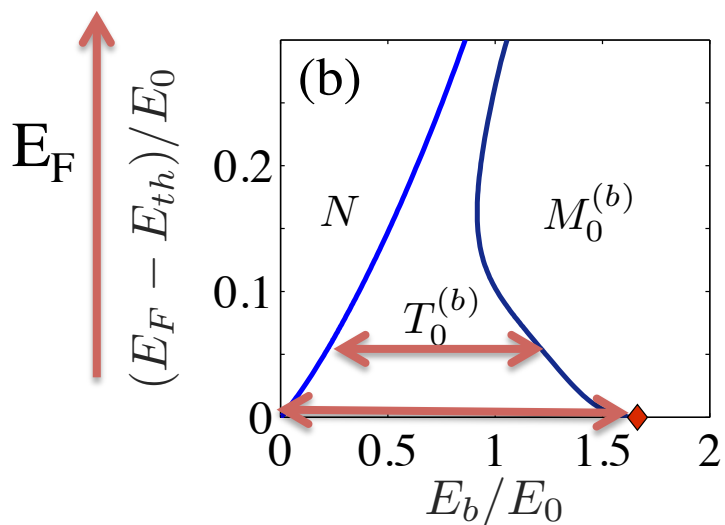
**Pauli-blocking disfavors trimer!**

Due to mechanism of trimer formation --- low-energy scattering around the circle

It is the p-h fluctuation that favors trimer!



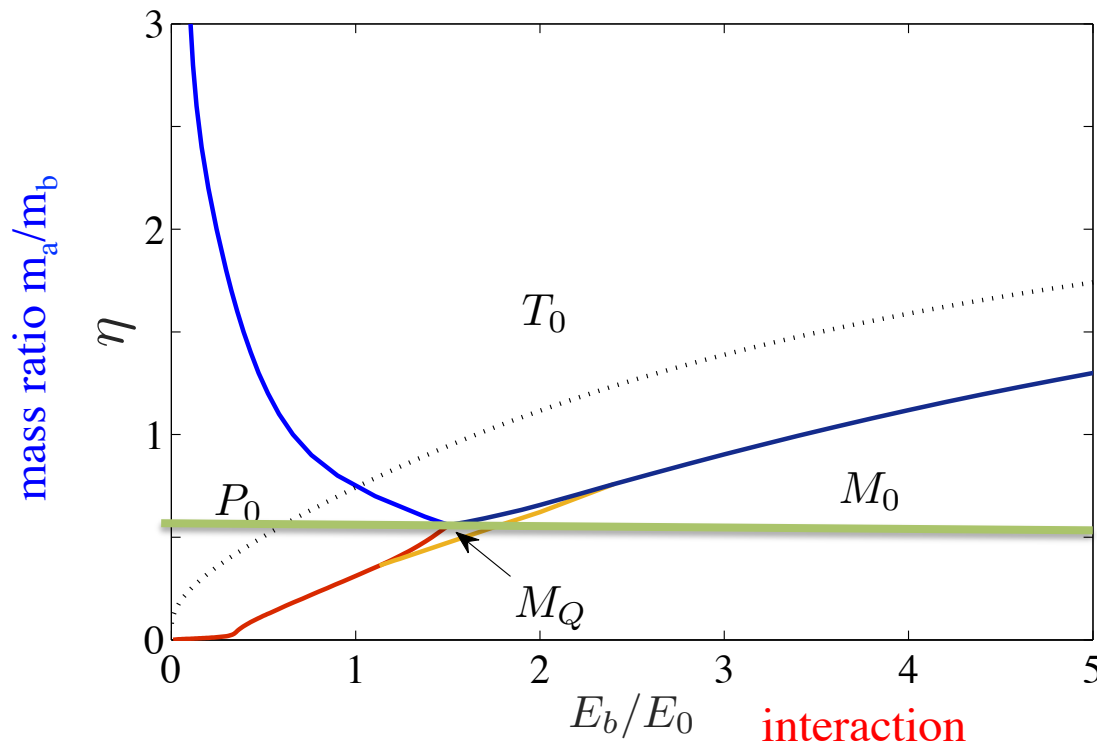
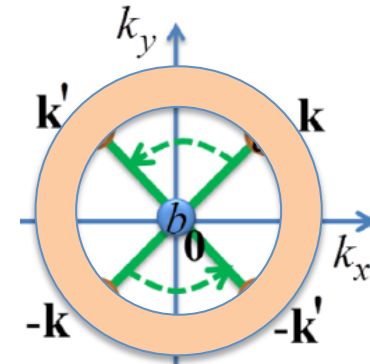
- Pauli-blocking effect
- Particle-hole fluctuation



- Pauli-blocking effect

Phase diagram in (interaction, mass ratio) plane:

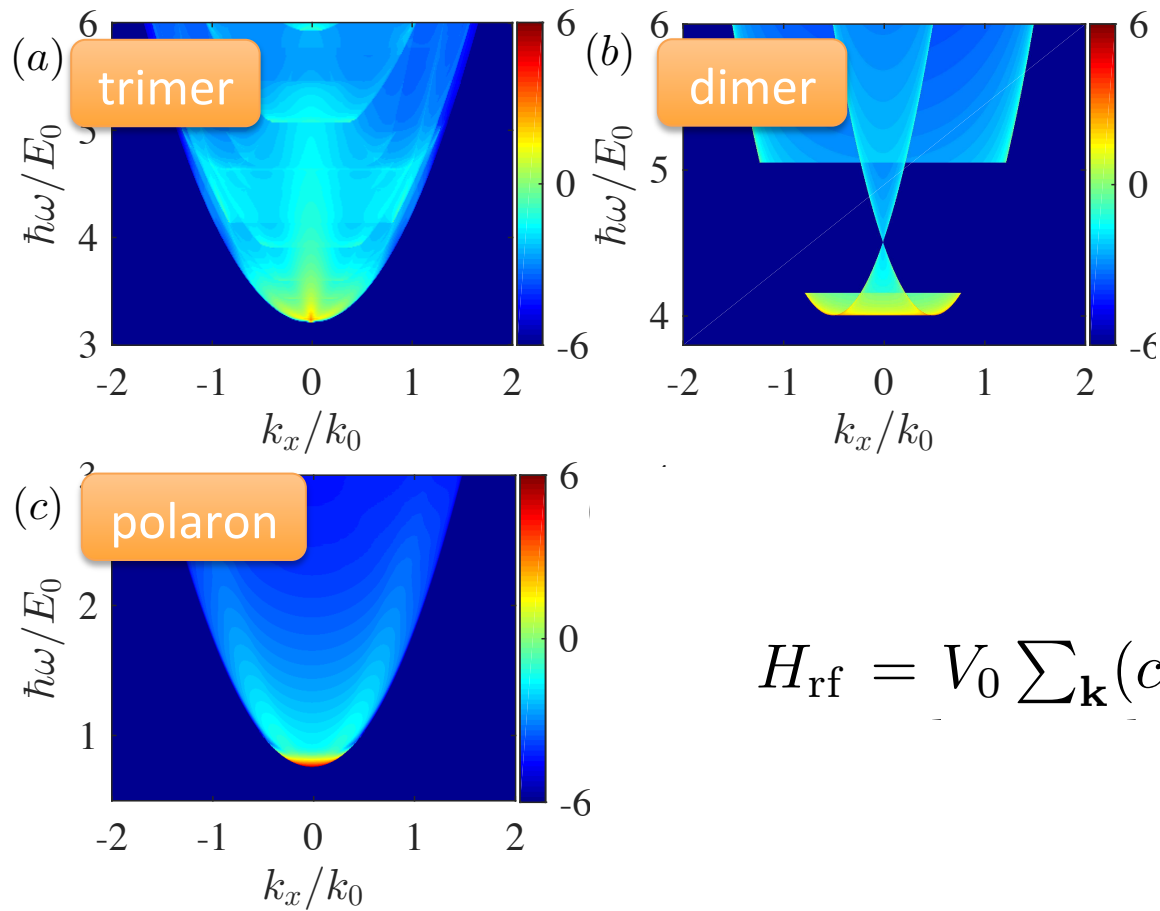
$$E_F = E_{th} + 0.05E_0$$



Trimer stabilized for  $\eta \geq 0.5$

Detection by rf spectroscopy:

$$\Gamma(k, \omega)$$



$$H_{\text{rf}} = V_0 \sum_{\mathbf{k}} (c_{\mathbf{k}}^{\dagger} b_{\mathbf{k}} + H.c.)$$

# Summary

## --- Three-body physics with SOC

- Universal trimers at smaller mass ratios and negative  $a_s$
- Universal Borromean binding induced by spectral symmetry
- Three-body correlation enhanced by many-body environment

## Open questions

- Trimer formation from hyperspherical approach?
- SOC with reduced symmetry? (realistic to expt)
- SOC effect in low-D? (dimensional crossover)
- SOC + high partial waves? (new effect)
- More in “Few-to-Many”? (polaron, BEC...)

**SOC --- a new ingredient to few-body physics**



## *Acknowledgement*



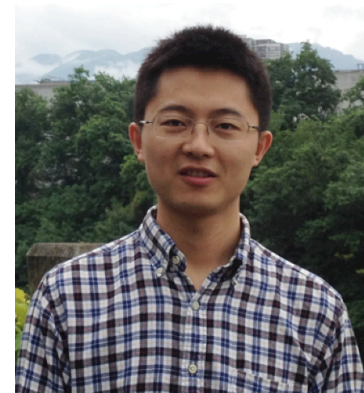
Hui Zhai  
(Tsinghua University)



Zheyu Shi (Tsinghua → Monash)



Wei Yi (University of Science  
and Technology of China)



Xingze Qiu (USTC)