

# Continuous time Quantum Monte Carlo methods for electron-phonon interactions in correlated electron systems.

F.F. Assaad (KITP, 13th August 2009)

Motivation: Methods to tackle electron-phonon problems (retarded interactions)

## Outline

- Weak coupling CT-QMC (Rubtsov et al. PRB 05 ).
- Retarded interactions: phonon degrees of freedom.
- Application to the 1D quarter filled Holstein model.
- Conclusions.

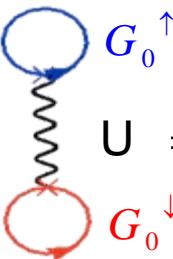
## I. Weak coupling CT-QMC for the SIAM.

$$S = \underbrace{-\int d\tau d\tau' d_\sigma^+(\tau) \mathcal{G}_0^{-1}(\tau - \tau') d_\sigma(\tau')}_{{\color{blue} S_0}} + U \int_0^\beta d\tau \underbrace{d_\uparrow^+(\tau) d_\uparrow(\tau) d_\downarrow^+(\tau) d_\downarrow(\tau)}_{n_\uparrow(\tau)}$$

### Dyson. Expansion around U=0.

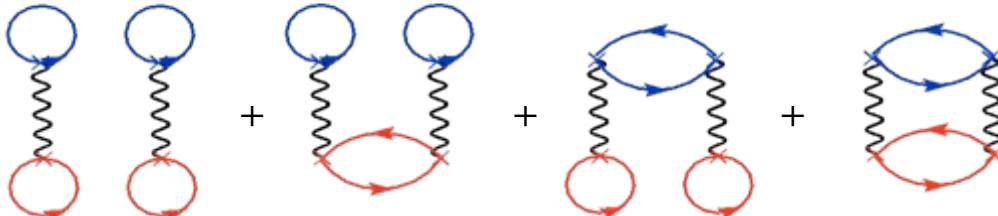
$$\frac{\text{Tr}[e^{-\beta H}]}{\text{Tr}[e^{-\beta H_0}]} = \sum_n \int_0^\beta d\tau_1 \cdots \int_0^{\tau_{n-1}} d\tau_n (-U)^n \left\langle n_\uparrow(\tau_1) n_\downarrow(\tau_1) \cdots n_\uparrow(\tau_n) n_\downarrow(\tau_n) \right\rangle_0$$

Wick

$$n=1 \quad U = -U \det \begin{pmatrix} G_0^\uparrow(\tau_1, \tau_1) & 0 \\ 0 & G_0^\downarrow(\tau_1, \tau_1) \end{pmatrix} \equiv -U \det [M_1(\tau_1)]$$


$$G_0^\sigma(\tau_2, \tau_1) = \left\langle T \hat{d}_\sigma^+(\tau_2) \hat{d}_\sigma(\tau_1) \right\rangle_0$$

n=2

$$+ \quad + \quad + \quad + \quad = U^2 \det [M_2(\tau_1, \tau_2)]$$


$$\frac{\text{Tr} \left[ e^{-\beta H} \right]}{\text{Tr} \left[ e^{-\beta H_0} \right]} = \underbrace{\sum_n \int_0^\beta d\tau_1 \cdots \int_0^{\tau_{n-1}} d\tau_n}_{\text{Sum with Monte Carlo}} \underbrace{(-U)^n \det \left[ M_n (\tau_1, \dots, \tau_n) \right]}_{\text{Weight}}$$

## Weight / Sign.

➤  $H_U \rightarrow \frac{U}{2} \sum_{s=\pm 1} (n_{\uparrow}^d - [1/2 - s\delta])(n_{\downarrow}^d - [1/2 + s\delta]) = -\frac{K}{2\beta} \sum_s e^{s\alpha(n_{\uparrow}^d - n_{\downarrow}^d)}$

$$K = U\beta(\delta^2 - 1/4), \quad \cosh(\alpha) - 1 = \frac{1}{2(\delta^2 - 1/4)}, \quad \delta > 1/2$$

- New dynamical variable s. Exact mapping onto CT-Hirsch-Fye (K. Mikelsons et al. preprint)  
(Rombouts et al. PRL 99, Gull et. al EPL 08)
- Sign problem behaves as in Hirsch-Fye. (Absent for one-dimensional chains, particle-hole symmetry, impurity models)

$$\frac{\text{Tr} \left[ e^{-\beta H} \right]}{\text{Tr} \left[ e^{-\beta H_0} \right]} = \underbrace{\sum_n \int_0^\beta d\tau_1 \cdots \int_0^{\tau_{n-1}} d\tau_n}_{\text{Sum with Monte Carlo}} \underbrace{(-U)^n \det \left[ M_n (\tau_1, \dots, \tau_n) \right]}_{\text{Weight}}$$

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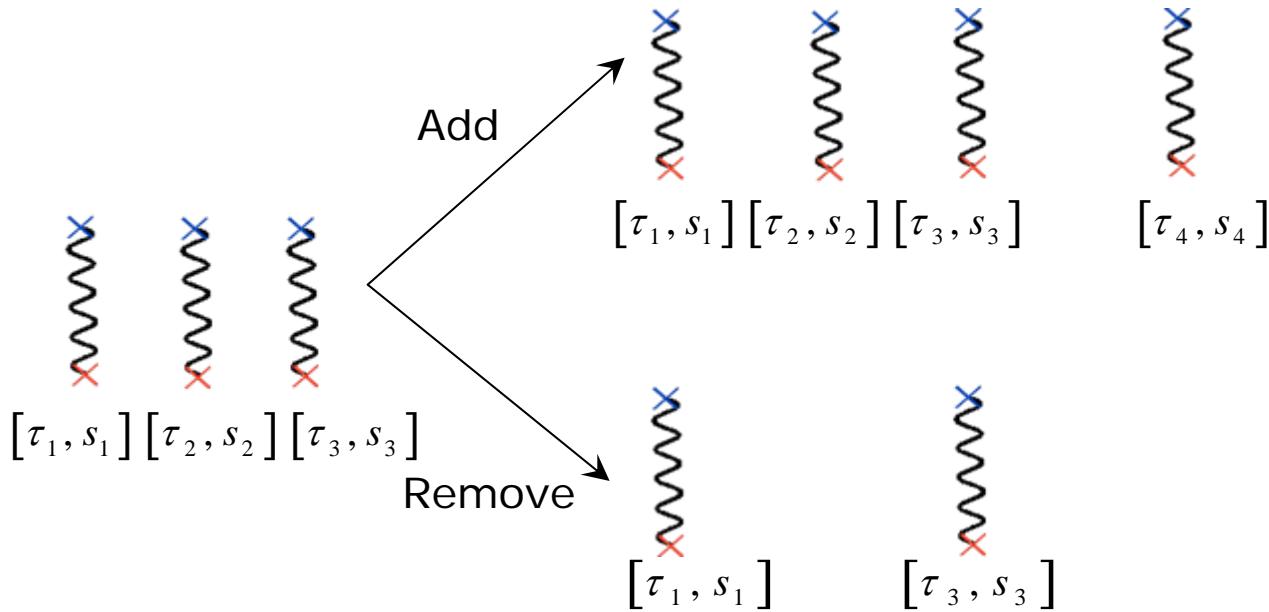
➤  $H_U = U \left( n_\uparrow^d - [1/2 - \delta] \right) \left( n_\downarrow^d - [1/2 + \delta] \right) + \underbrace{U\delta(n_\uparrow^d - n_\downarrow^d)}_{\text{Absorb in } H_0}$

➤ Particle-Hole symmetry  $\delta = 0$  and only even powers of  $n$  occur in expansion.

$$\frac{\text{Tr} \left[ e^{-\beta H} \right]}{\text{Tr} \left[ e^{-\beta H_0} \right]} = \underbrace{\sum_n \int_0^\beta d\tau_1 \sum_{s_1} \cdots \int_0^{\tau_{n-1}} d\tau_n \sum_{s_n}}_{\text{Sum with Monte Carlo}} \underbrace{\left( -\frac{U}{2} \right)^n \det \left[ M_n (\tau_1, s_1 \dots, \tau_n, s_n) \right]}_{\text{Weight}}$$

## Sampling.

Configuration C: set of n-vertices at imaginary times  $[\tau_1, s_1] [\tau_2, s_2] \dots, [\tau_n, s_n]$



$$\frac{\text{Tr} \left[ e^{-\beta H} \right]}{\text{Tr} \left[ e^{-\beta H_0} \right]} = \underbrace{\sum_n \int_0^\beta d\tau_1 \sum_{s_1} \cdots \int_0^{\tau_{n-1}} d\tau_n \sum_{s_n}}_{\text{Sum with Monte Carlo}} \underbrace{\left( -\frac{U}{2} \right)^n \det \left[ M_n (\tau_1, s_1 \cdots, \tau_n, s_n) \right]}_{\text{Weight}}$$

## Measurements.

$$G^\sigma_C(\tau, \tau') \equiv \frac{\langle T H_U[\tau_1, s_1] \cdots H_U[\tau_n, s_n] \hat{d}_\sigma^+(\tau) \hat{d}_\sigma(\tau') \rangle_0}{\langle T H_U[\tau_1, s_1] \cdots H_U[\tau_n, s_n] \rangle_0} = G^\sigma_0(\tau, \tau') - \sum_{\alpha, \beta=1}^n G^\sigma_0(\tau, \tau_\alpha) \left( M_n^{\sigma -1} \right)_{\alpha \beta} G^\sigma_0(\tau_\beta, \tau')$$

Wick theorem applies for each configuration C of vertices.

Direct calculation of Matsubara Green functions.

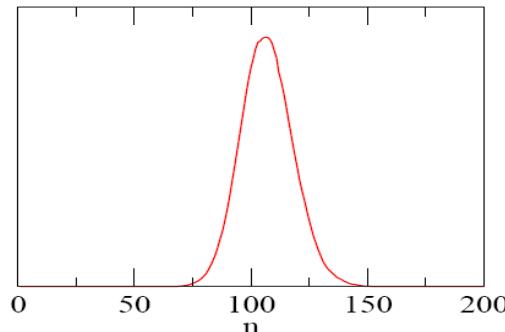
$$G^\sigma_C(i\omega_m) = G^\sigma_0(i\omega_m) - G^\sigma_0(i\omega_m) \sum_{\alpha, \beta=1}^n e^{-i\omega_m \tau_\alpha} \left( M_n^{\sigma -1} \right)_{\alpha \beta} G^\sigma_0(\tau_\beta, 0)$$

$$\frac{\text{Tr} \left[ e^{-\beta H} \right]}{\text{Tr} \left[ e^{-\beta H_0} \right]} = \underbrace{\sum_n \int_0^\beta d\tau_1 \sum_{s_1} \cdots \int_0^{\tau_{n-1}} d\tau_n \sum_{s_n}}_{\text{Sum with Monte Carlo}} \underbrace{\left( -\frac{U}{2} \right)^n \det \left[ M_n (\tau_1, s_1 \dots, \tau_n, s_n) \right]}_{\text{Weight}}$$

### Average Expansion parameter.

$$\langle n \rangle = -\beta U \left\langle \left( n^d \uparrow - 1/2 \right) \left( n^d \downarrow - 1/2 \right) - \delta^2 \right\rangle$$

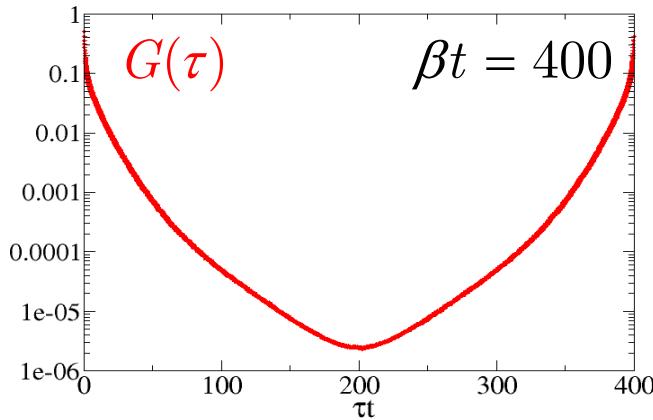
- CPU time scales as  $\langle n \rangle^3 \rightarrow$  same scaling as Hirsch-Fye.
- $\langle n \rangle$  is minimal at particle-hole symmetric point,  $\delta = 0$



Histogram of expansion parameter.

## Examples.

### a) Particle-hole symmetric Anderson Model, $U/t=4$ .

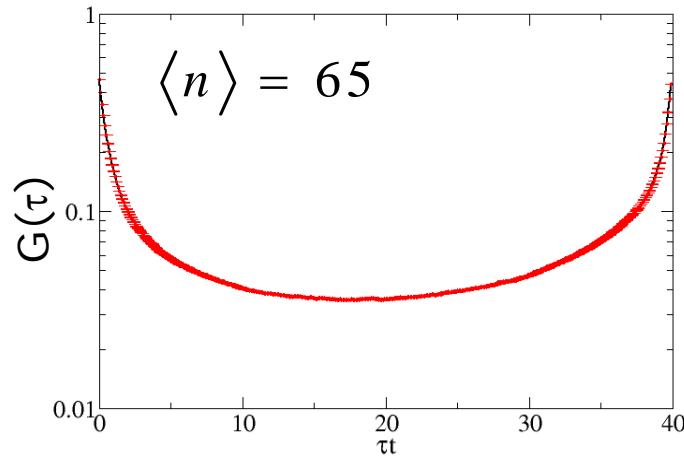


$$\langle n \rangle = 270$$

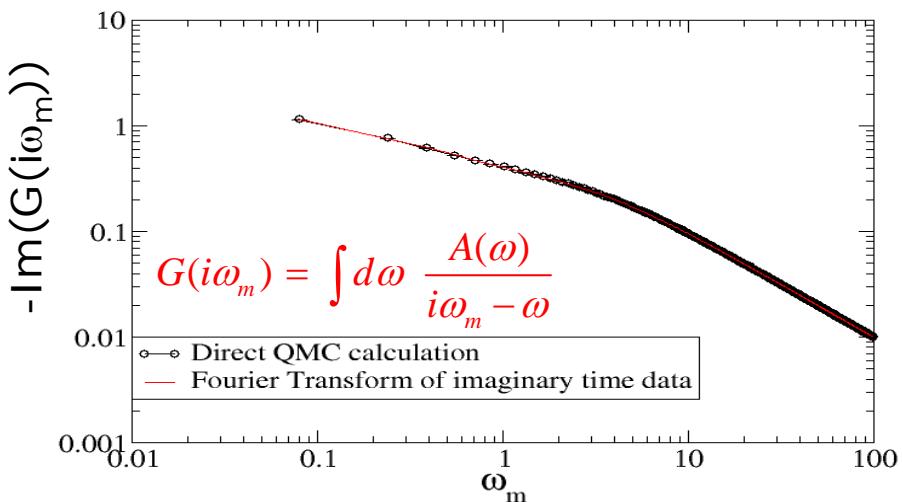
Hirsch-Fye:  $L_{\text{Tr} \text{ot}} = 400 / 0.2$  ( $\Delta \tau t = 0.2$ )

Speedup:  $(2000 / 270)^3 \approx 400$

### b) Off particle-hole Symmetry, $U/t=4$ $\beta t=40$ .



Speedup  $(200 / 65)^3 \approx 30$



Direct calculation of  $G(i\omega_m)$  is possible.

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II) Phonons. Integrate out phonons in favor of a retarded interaction.

Hubbard-Holstein:

$$\hat{H} = \sum_{i,j,\sigma} t_{i,j} \hat{c}_{i,\sigma}^+ \hat{c}_{j,\sigma} + U \sum_i \hat{n}_{i,\uparrow} \hat{n}_{i,\downarrow} + g \sum_i \hat{Q}_i (\hat{n}_i - 1) + \sum_i \frac{\hat{P}_i^2}{2M} + \frac{k}{2} \hat{Q}_i^2$$

Integrate out the phonons

$$Z = \int [dc^+ dc] \exp \left[ -S_0 - \textcolor{red}{U} \int_0^\beta d\tau n_{i\uparrow}(\tau) n_{i\downarrow}(\tau) + \int_0^\beta d\tau \int_0^\beta d\tau' \sum_{i,j} [n_i(\tau) - 1] D^0(i-j, \tau - \tau') [n_j(\tau') - 1] \right]$$

$$D^0(i-j, \tau - \tau') = \delta_{i,j} \frac{g^2}{2k} P(\tau - \tau')$$

$$P(\tau) = \frac{\omega_0}{2(1 - e^{-\beta\omega_0})} \left[ e^{-|\tau|\omega_0} + e^{-(\beta - |\tau|)\omega_0} \right], \quad \omega_0 = \sqrt{k/M}$$

Attractive, retarded interaction (time scale  $1/\omega_0$ ).

Antiadiabatic limit:  $\lim_{\omega_0 \rightarrow \infty} P(\tau) = \delta(\tau) \rightarrow$  Attractive Hubbard.

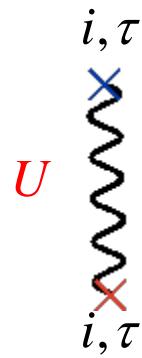
II) Phonons. Integrate out phonons in favor of a retarded interaction.

$$Z = \int [dc^+ dc] \exp \left[ -S_0 - U \int_0^\beta d\tau n_{i\uparrow}(\tau) n_{i\downarrow}(\tau) + \int_0^\beta d\tau \int_0^\beta d\tau' \sum_{i,j} [n_i(\tau) - 1] D^0(i-j, \tau - \tau') [n_j(\tau') - 1] \right]$$

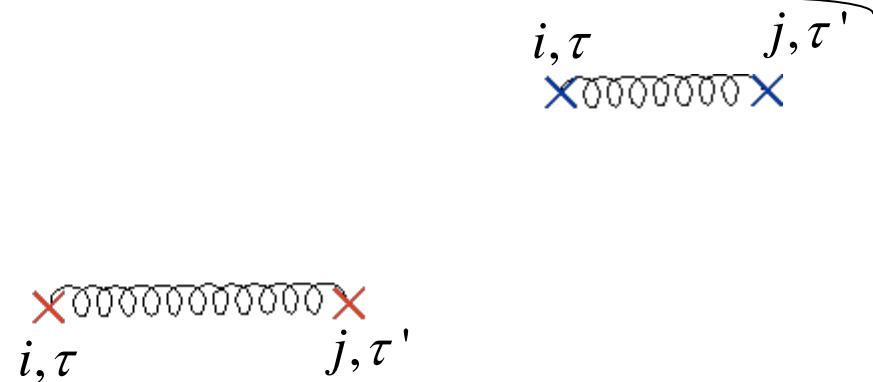
QMC: Expand both in Hubbard and retarded phonon interaction.

Vertices:

Hubbard.



Phonon.  $D^0(i-j, \tau - \tau')$



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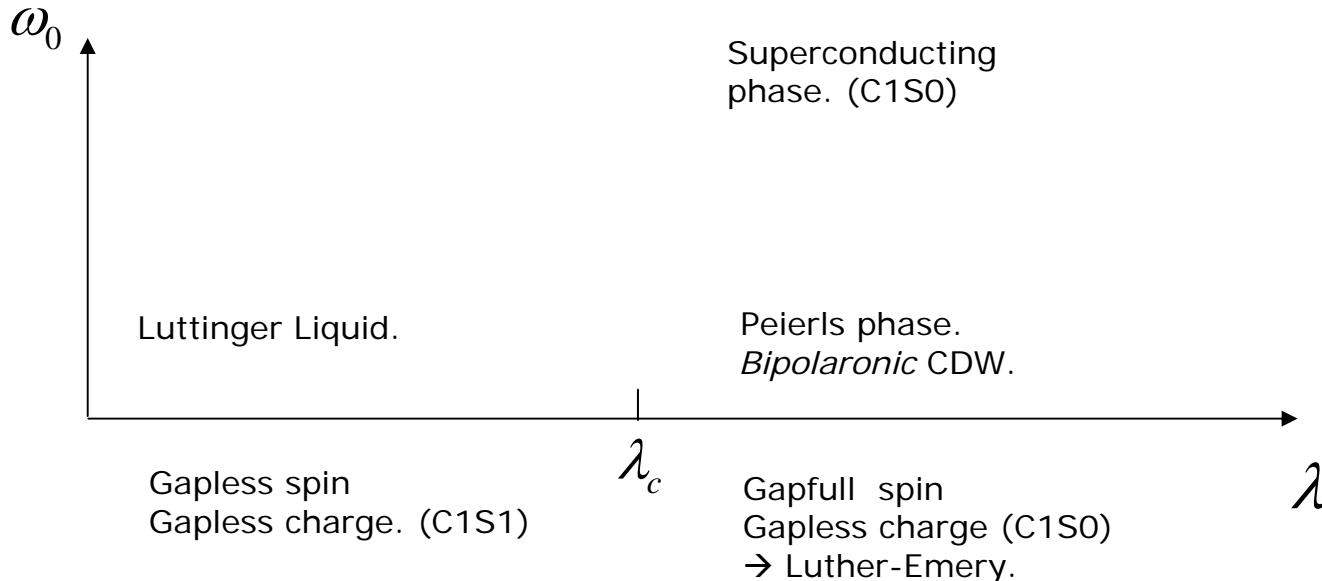
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## One-dimensional quarter filled Holstein model.

$$\hat{H} = \sum_{k,\sigma} \varepsilon(k) \hat{c}_{k,\sigma}^+ \hat{c}_{k,\sigma} + g \sum_i \hat{Q}_i (\hat{n}_i - 1) + \sum_i \frac{\hat{P}_i^2}{2M} + \frac{k}{2} \hat{Q}_i^2$$



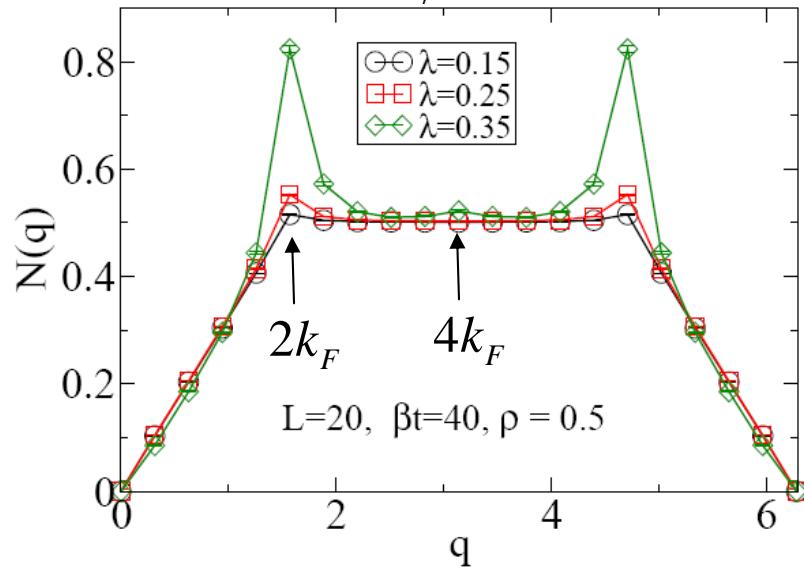
$$\left\{ \Sigma(i\omega_m) = \text{Diagram of a semi-circle with arrows on the top and bottom arcs, representing a self-energy loop.} \quad \text{Flat band width } W \rightarrow \frac{m^*}{m} = 1 + \lambda, \quad \lambda = \frac{g^2}{2k} \frac{2}{W} \right\}$$

Obtained from:

- Static and dynamical spin and charge structure factors, and optical conductivity (Lattice simulations;  $L=20, 28$ ,  $T/t=1/40$ ).
- Temperature dependence of the single particle spectral function (CDMFT,  $L_c=8-12$ ).

## Static properties @ $\omega_0=0.1t$ as a function of $\lambda$

Charge  $N(q) = \sum_r e^{iqr} \langle \hat{n}(r) \hat{n}(0) \rangle$

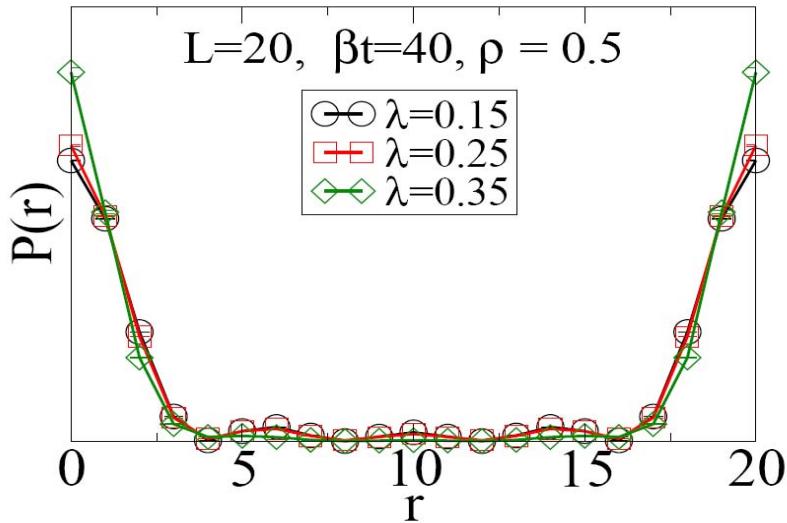


Dominant  $2k_F$  charge correlations,  
at  $\lambda \sim 0.35$

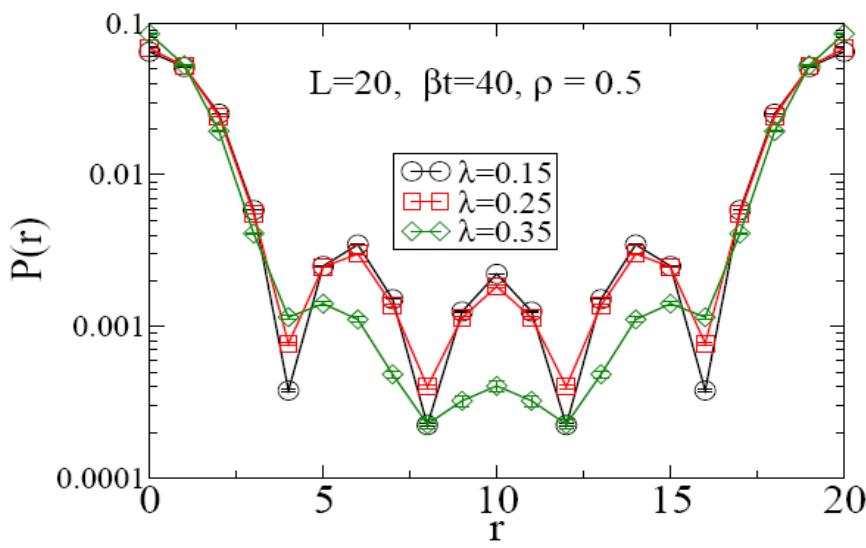
Luttinger Liquid:  $\langle n(r)n(0) \rangle = \frac{K_\rho}{(\pi r)^2} + A_1 \cos(2k_f) r^{-(1+K_\rho)} + \dots$

## Static properties @ $\omega_0=0.1t$ as a function of $\lambda$

Pairing  $P(r) = \left\langle \hat{\Delta}^\dagger(r) \hat{\Delta}(0) \right\rangle, \quad \hat{\Delta}^\dagger(r) = \hat{c}_{r,\uparrow}^\dagger \hat{c}_{r,\downarrow}^\dagger$



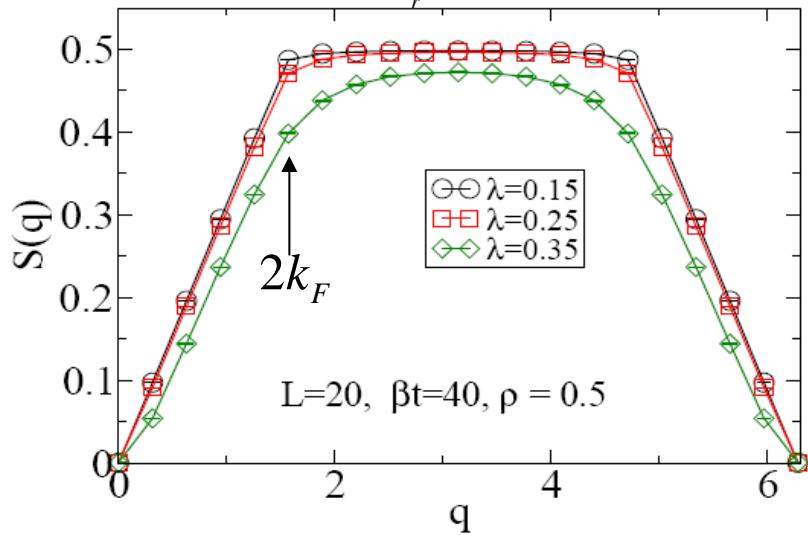
Short ranged pairing correlations grow →  
Two electrons with opposite spin share the same potential well (Bipolarons).



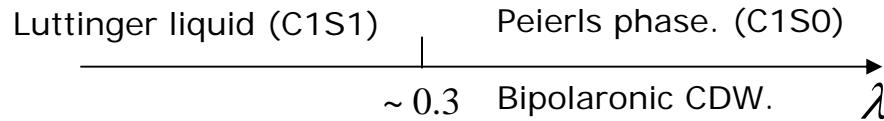
*Long range* pairing correlations drop →  
Bipolarons tend to localize.

## Static properties @ $\omega_0=0.1t$ as a function of $\lambda$

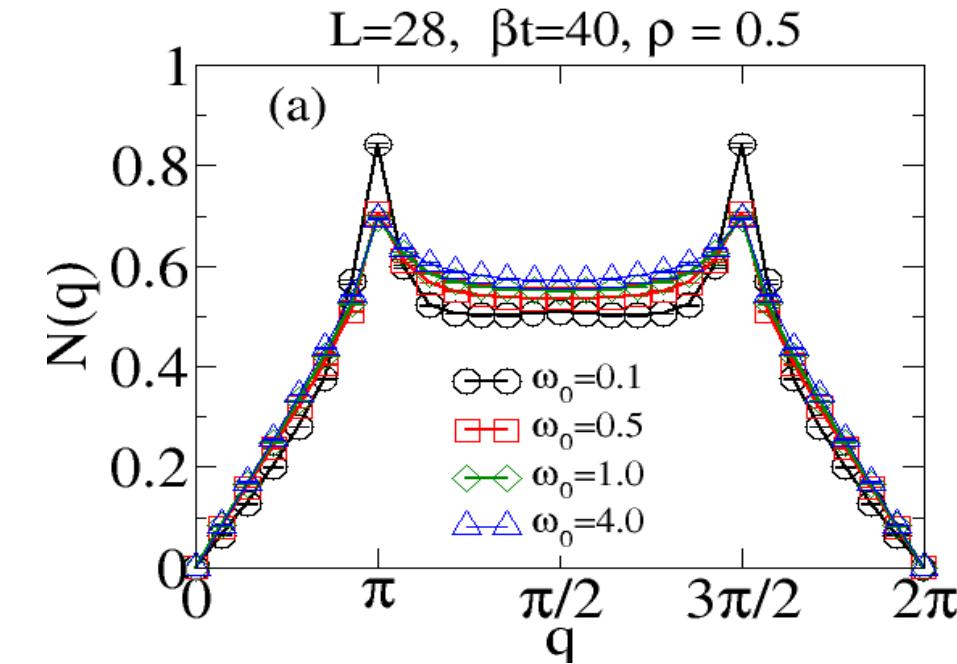
Spin  $S(q) = \sum_r e^{iqr} \langle \hat{S}_z(r) \hat{S}_z(0) \rangle$



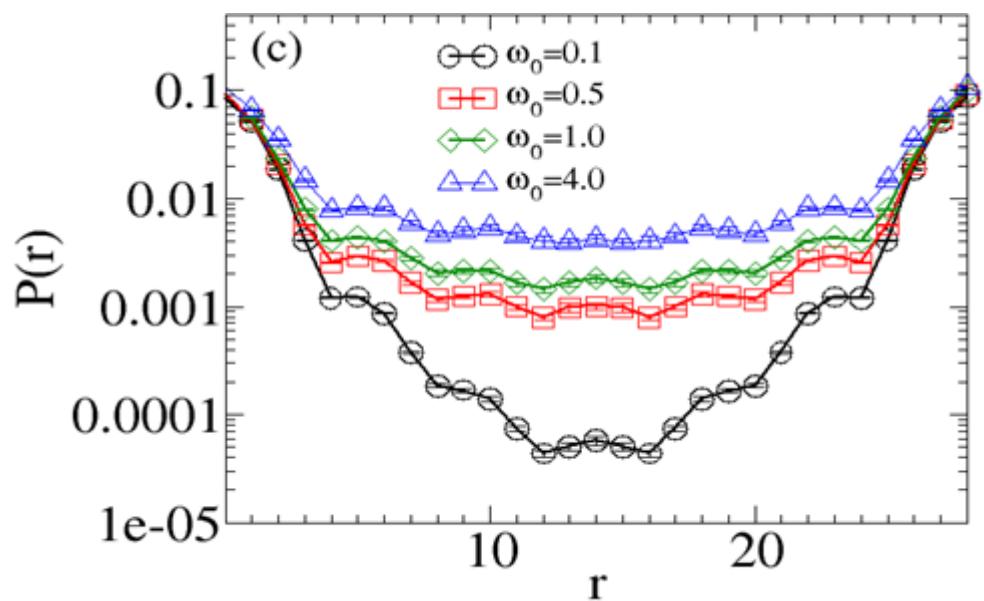
Pairing suppresses spin response.



Static properties @  $\lambda = 0.35$  as a function of  $\omega_0$

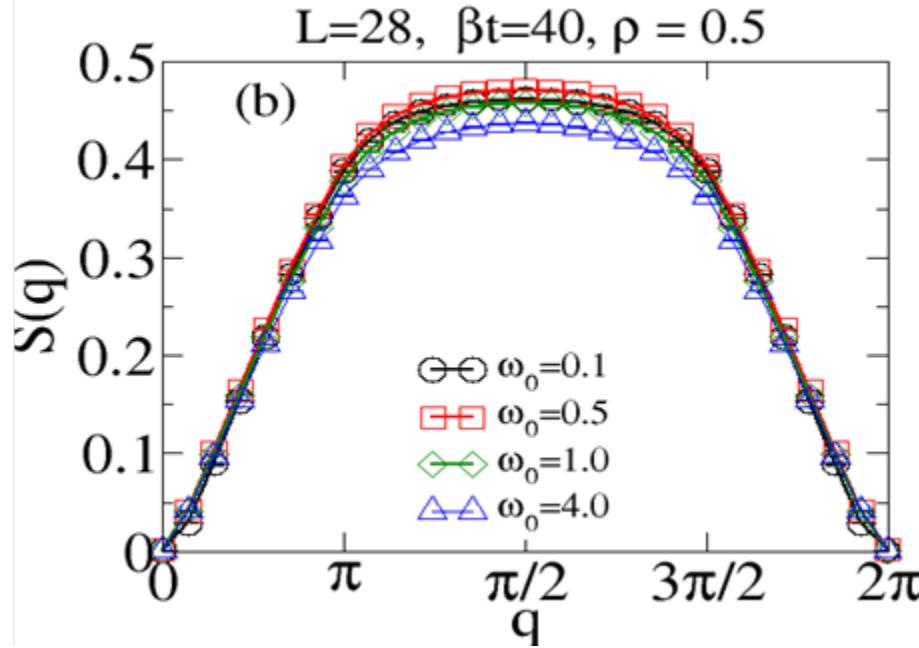


$2k_f$  charge correlations are suppressed

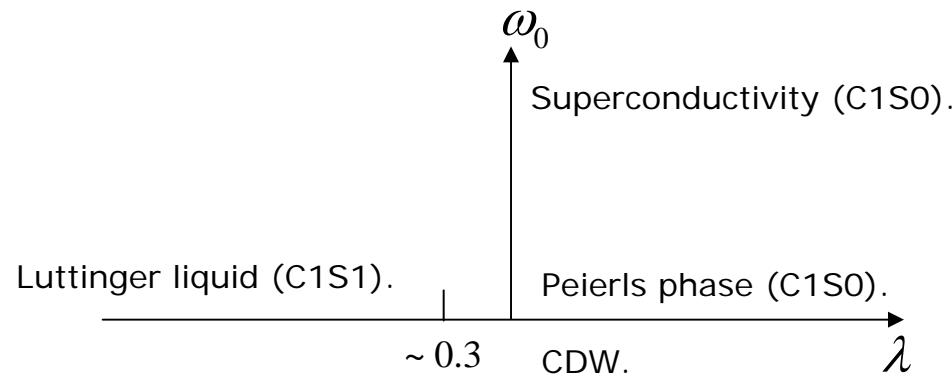


Pairing correlations are enhanced.

Static properties @  $\lambda = 0.35$  as a function of  $\omega_0$



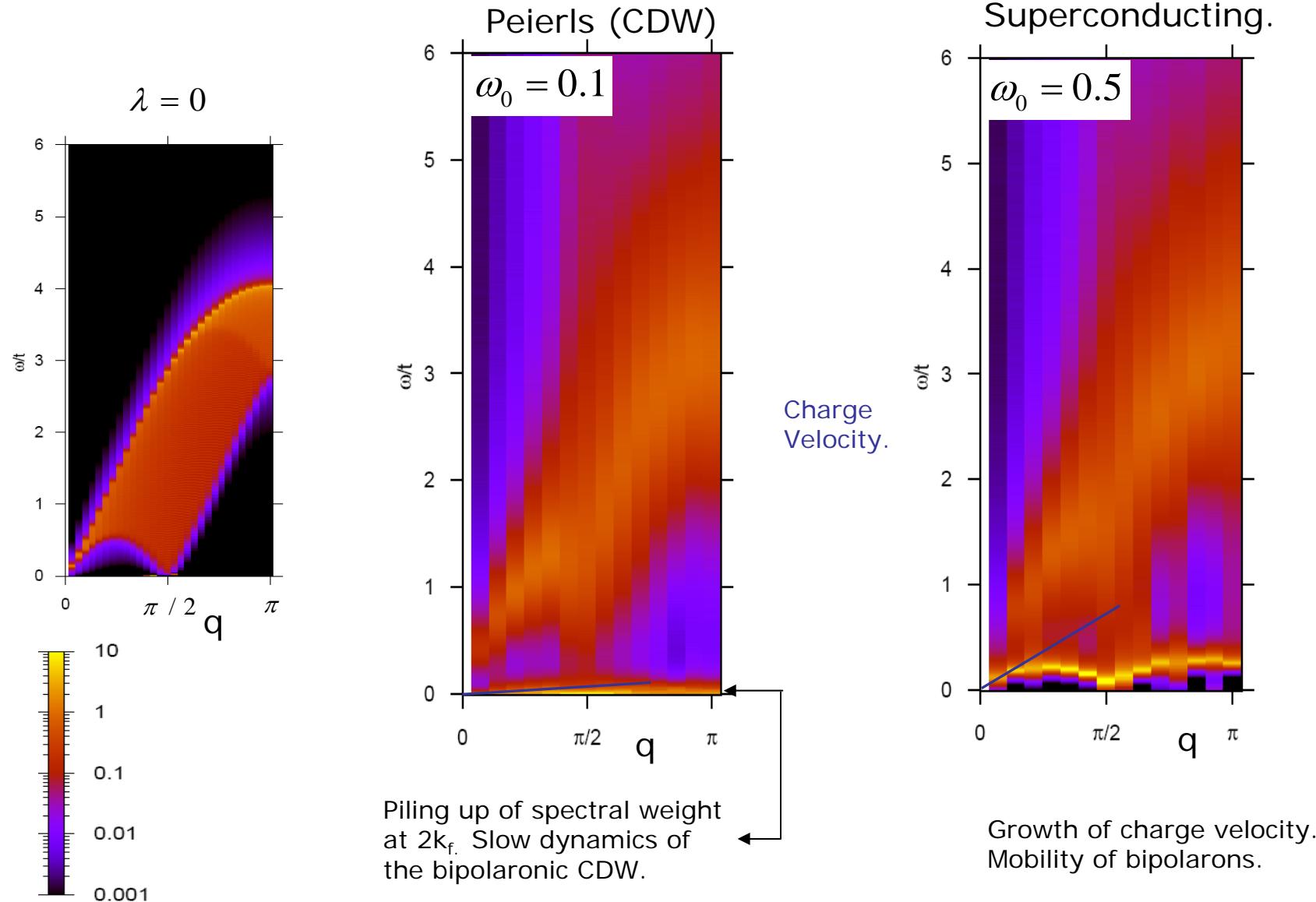
Spin remains gapped.



## Charge dynamical structure factor. Lattice simulations. $\lambda=0.35$

$$N(q, \omega) = \frac{1}{Z} \sum_{n,m} e^{-\beta E_m} |\langle n | \hat{n}(q) | m \rangle|^2 \delta(E_n - E_m - \omega)$$

$\beta t = 40, \rho = 0.5$

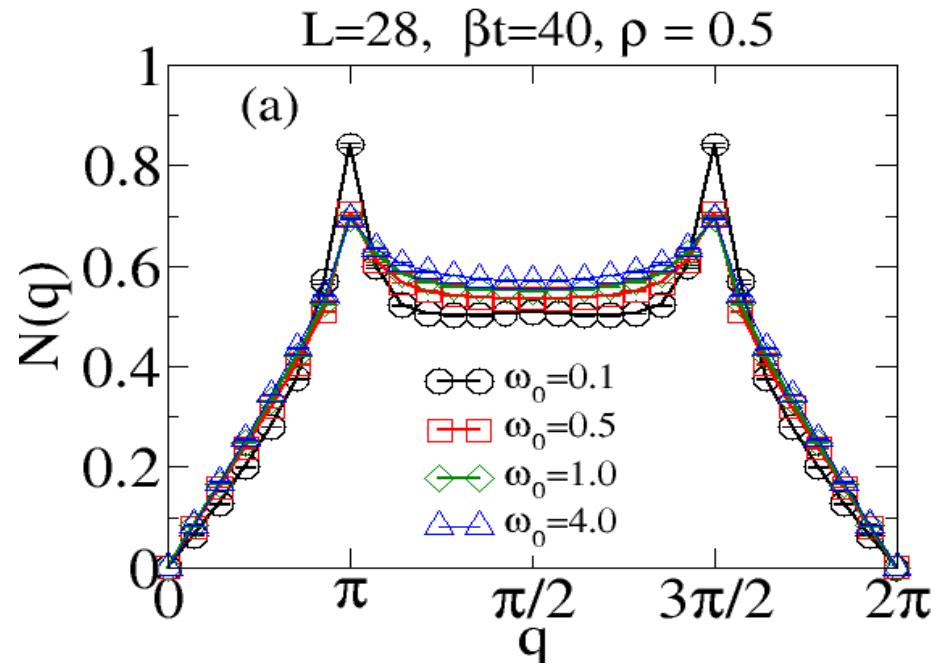
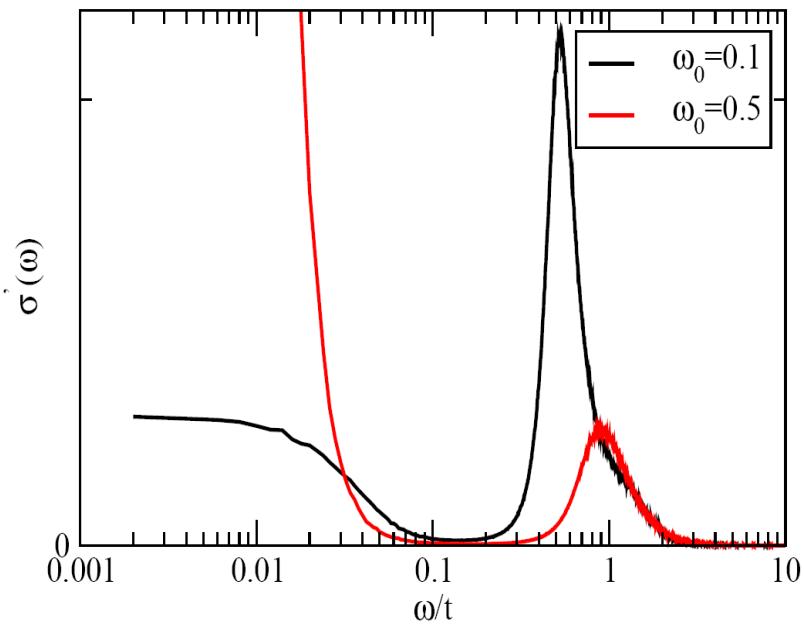


## Optical Conductivity.

Continuity equation:  $\sigma'(\mathbf{q}, \omega) = \frac{\omega}{\mathbf{q}^2} (1 - e^{-\beta\omega}) N(\mathbf{q}, \omega)$

Long wavelength limit:  $N(\mathbf{q}, \omega) \approx N(\mathbf{q}) \delta(v_c \mathbf{q} - \omega)$  with  $N(\mathbf{q}) \approx \alpha \mathbf{q}$

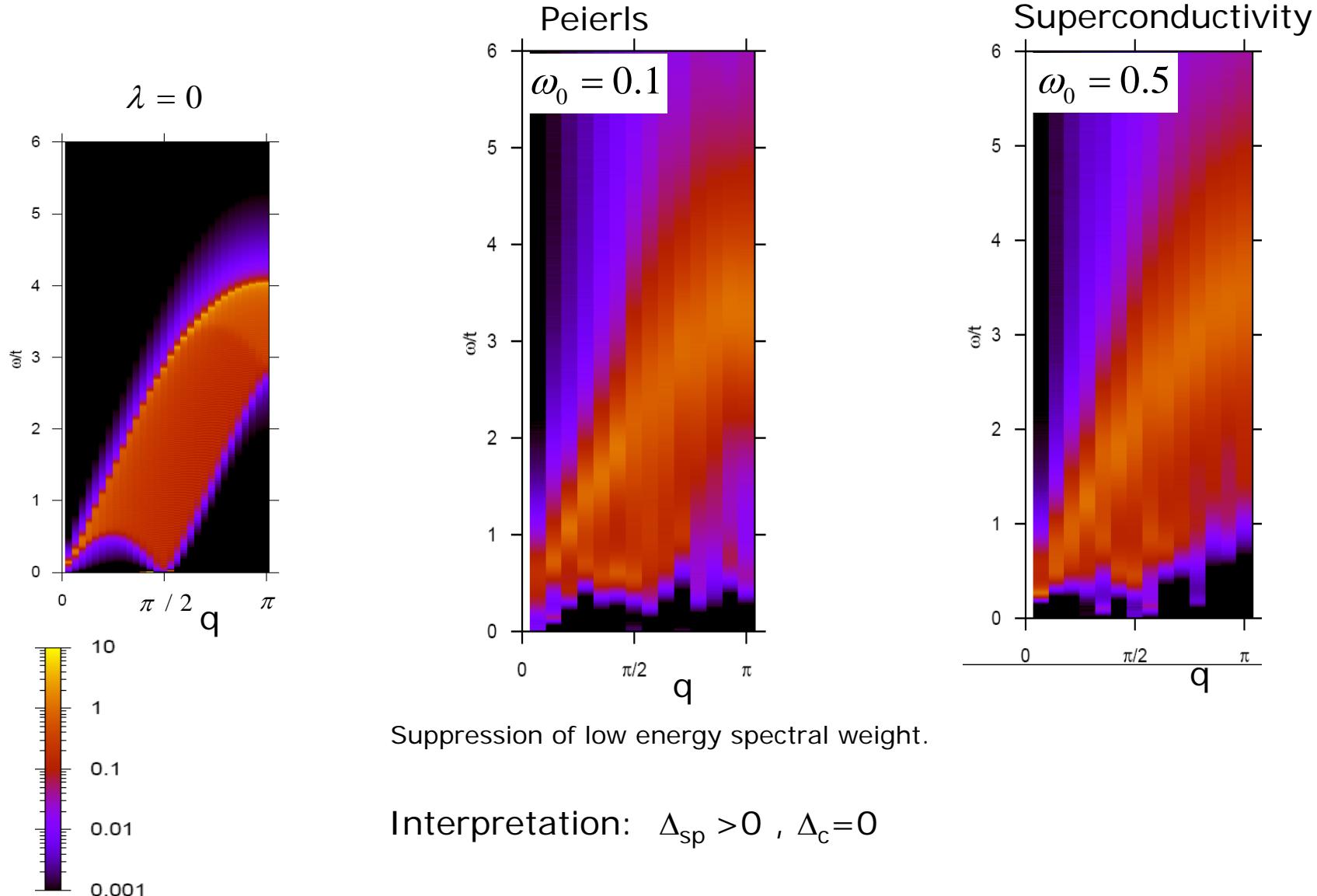
$$\rightarrow \sigma'(\omega) = \lim_{\mathbf{q} \rightarrow 0} \sigma'(\mathbf{q}, \omega) \approx \alpha v_c \delta(\omega) \text{ at } T=0.$$



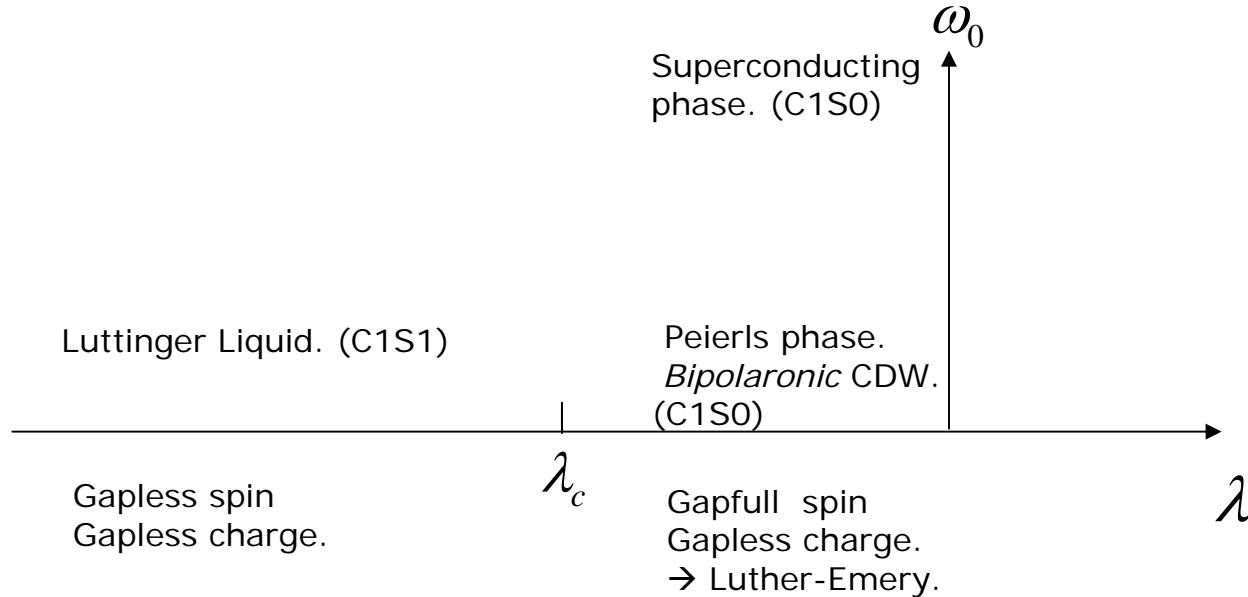
## Spin dynamical structure factor. DDQMC lattice simulations. $\lambda=0.35$

$$S(q, \omega) = \frac{1}{Z} \sum_{n,m} e^{-\beta E_m} \left| \langle n | \hat{S}_z(q) | m \rangle \right|^2 \delta(E_n - E_m - \omega)$$

$\beta t = 40, \rho = 0.5$



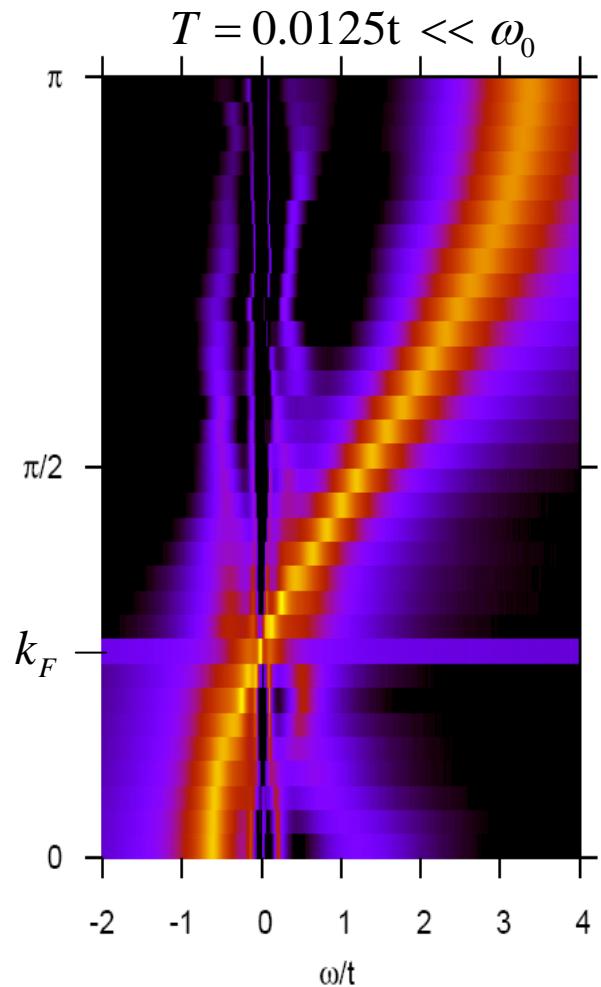
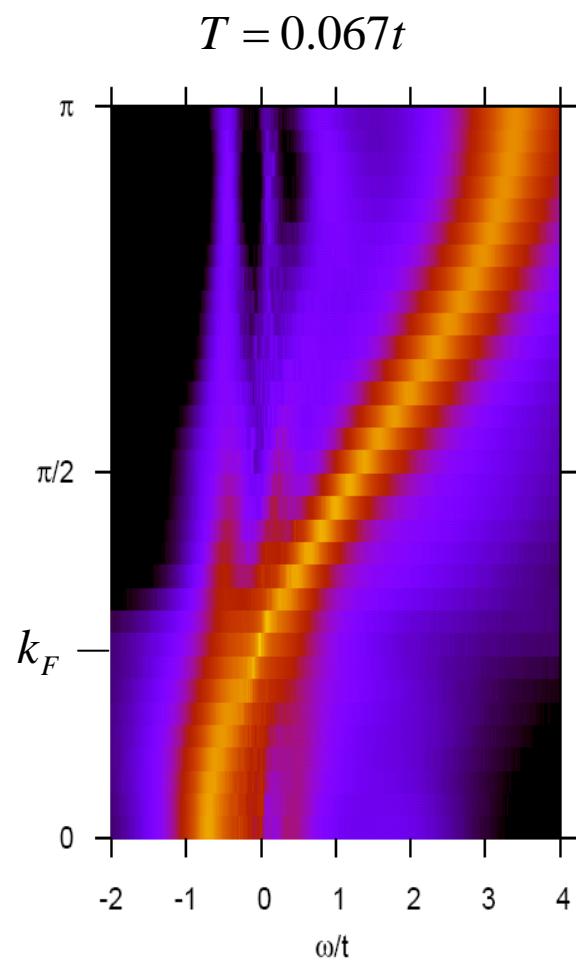
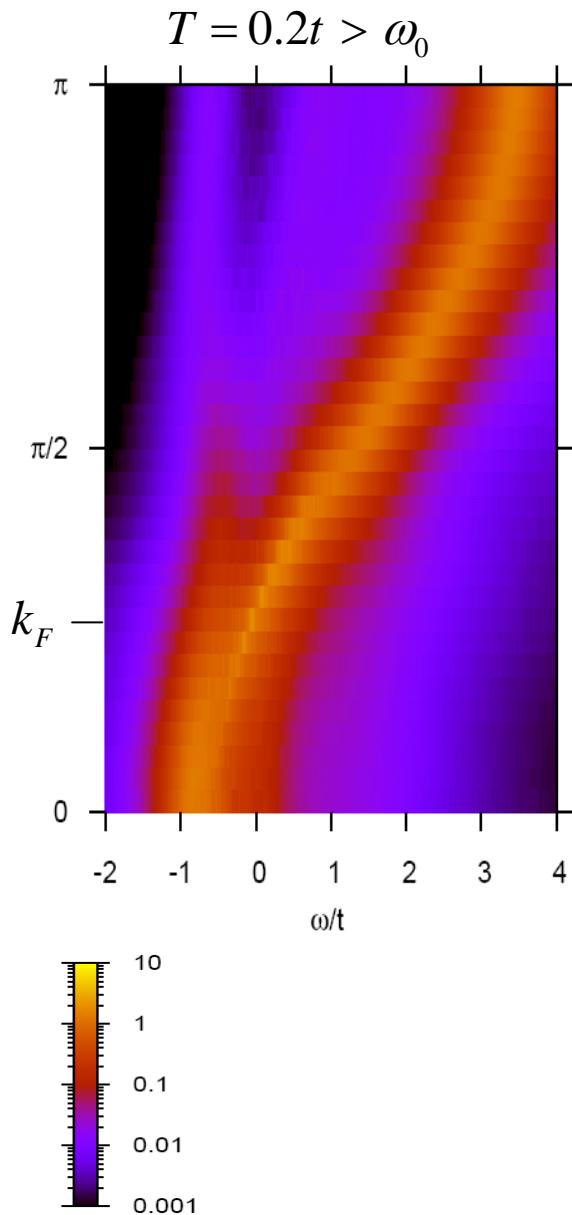
→ Two particle data is consistent with:



→ Confirmation with single particle spectral function.

b) Single particle spectral function. Lattice model. Luttinger Liquid phase. CDMFT  $L_c=8$ .

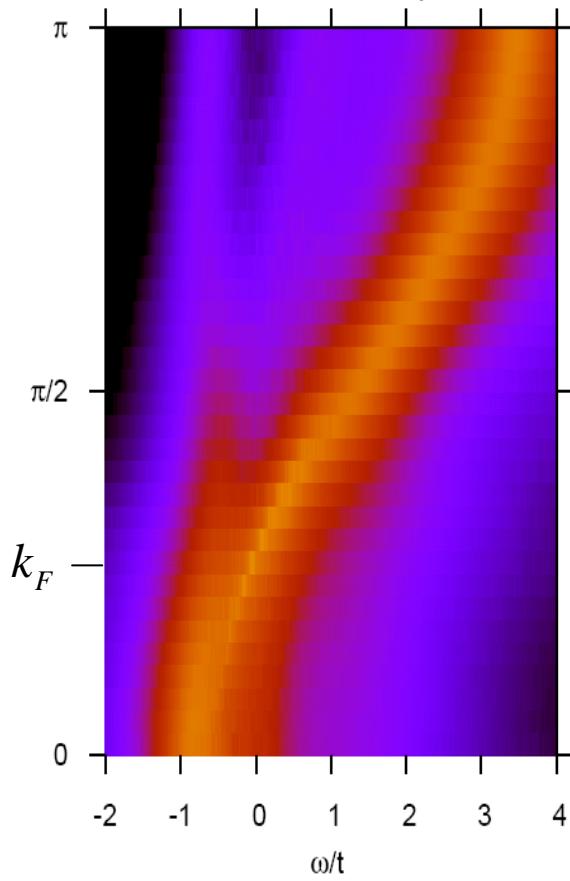
$$\lambda = 0.25, \omega_0 = 0.1t, \rho = 0.5$$



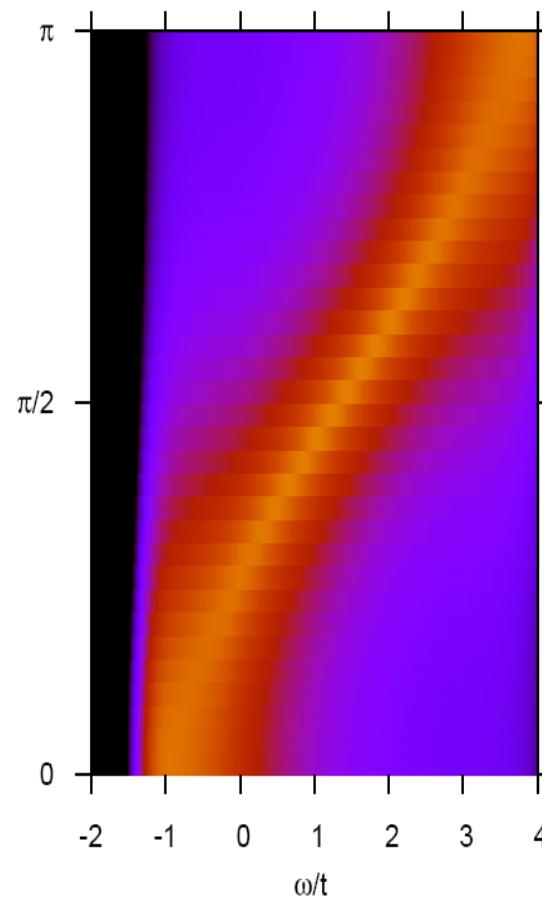
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QMC  $T = 0.2t > \omega_0$



Self-consistent Born Approximation.



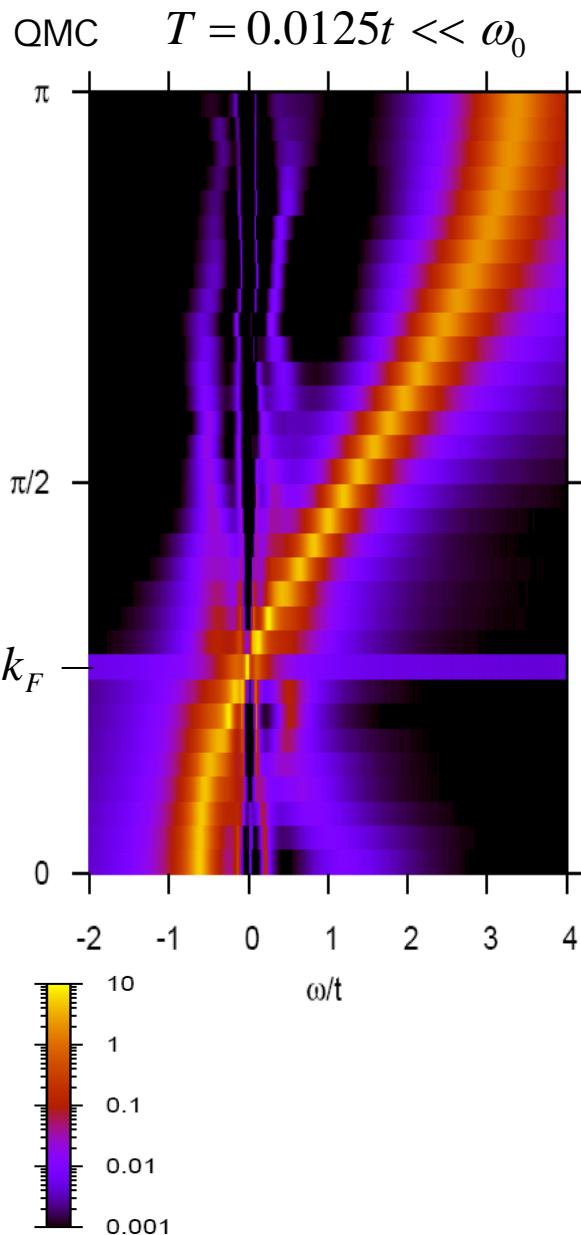
Engelsberg, Schrieffer Phys. Rev. 1963

$$\Sigma(i\omega_m) =$$



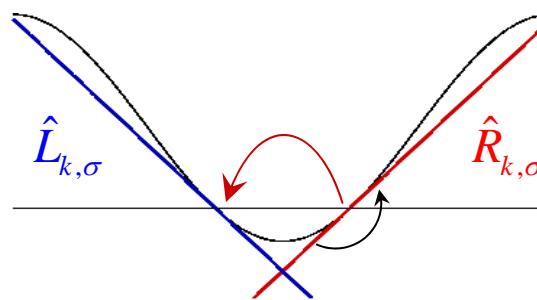
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$$\lambda = 0.25, \omega_0 = 0.1t, \rho = 0.5$$



Luttinger Liquid approach/Bosonization.

Meden, Schönhammer, Gunnarson, PRB 94.



$$\sum_{\mathbf{k},\sigma} \epsilon(\mathbf{k}) \hat{c}_{\mathbf{k},\sigma}^\dagger \hat{c}_{\mathbf{k},\sigma} \rightarrow \sum_{\mathbf{k},\sigma} v_F \mathbf{k} \left( \hat{R}_{\mathbf{k},\sigma}^\dagger \hat{R}_{\mathbf{k},\sigma} - \hat{L}_{\mathbf{k},\sigma}^\dagger \hat{L}_{\mathbf{k},\sigma} \right)$$

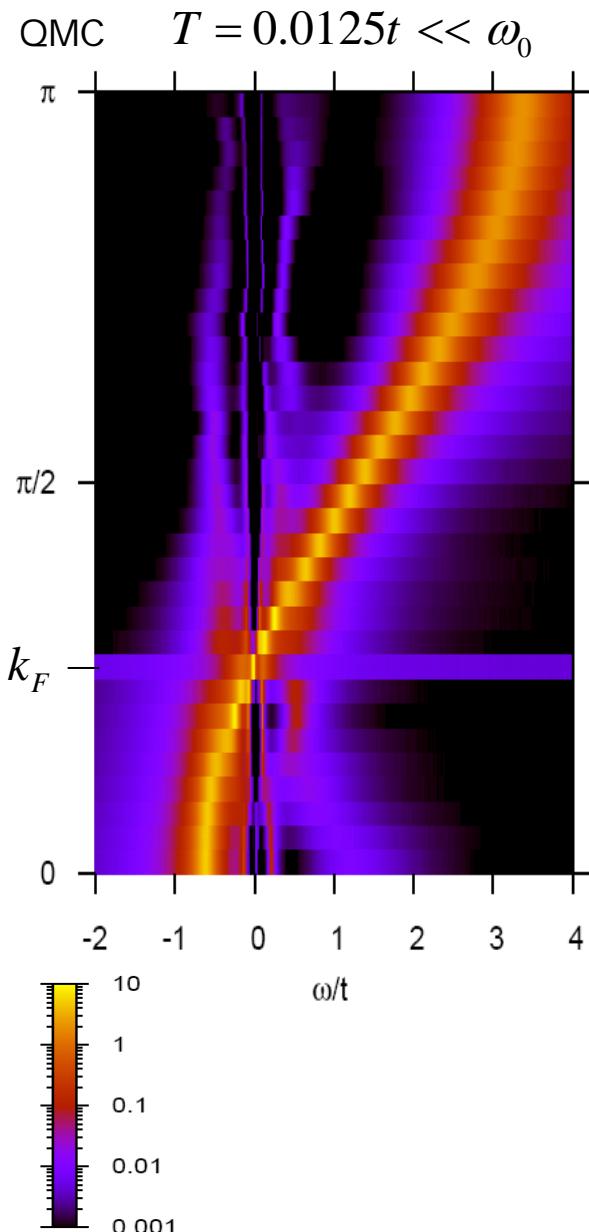
Phonon creation operator.

Electron-Phonon interaction.

$$\begin{aligned}
 & \frac{g}{\sqrt{2\omega_0 ML}} \sum_{\mathbf{q},\mathbf{k},\sigma} \left\{ \hat{L}_{\mathbf{k},\sigma}^\dagger \hat{R}_{\mathbf{k}+\mathbf{q},\sigma} \left( \hat{a}_{\mathbf{q}+2\mathbf{k}_f}^\dagger + \hat{a}_{-\mathbf{q}-2\mathbf{k}_f} \right) \right. \\
 & + \left. \hat{R}_{\mathbf{k},\sigma}^\dagger \hat{L}_{\mathbf{k}+\mathbf{q},\sigma} \left( \hat{a}_{\mathbf{q}-2\mathbf{k}_f}^\dagger + \hat{a}_{-\mathbf{q}+2\mathbf{k}_f} \right) \right\} \\
 & + \left. \left( \hat{L}_{\mathbf{k},\sigma}^\dagger \hat{L}_{\mathbf{k}+\mathbf{q},\sigma} + \hat{R}_{\mathbf{k},\sigma}^\dagger \hat{R}_{\mathbf{k}+\mathbf{q},\sigma} \right) (\hat{a}_{\mathbf{q}}^\dagger + \hat{a}_{-\mathbf{q}}) \right\}. \tag{16}
 \end{aligned}$$

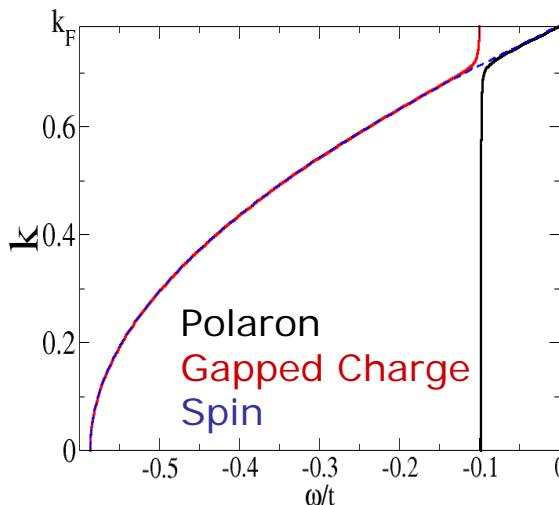
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$$\begin{aligned} \hat{H}_{LL} &= \sum_{\mathbf{q}} v_F |\mathbf{q}| \hat{\sigma}_{\mathbf{q}}^\dagger \hat{\sigma}_{\mathbf{q}} + \sum_{\mathbf{q}} v_F |\mathbf{q}| \hat{\rho}_{\mathbf{q}}^\dagger \hat{\rho}_{\mathbf{q}} + \omega_0 \sum_{\mathbf{q}} \hat{a}_{\mathbf{q}}^\dagger \hat{a}_{\mathbf{q}} \\ &+ \sqrt{\frac{g}{2\omega_0 M \pi}} \sum_{\mathbf{q}} |\mathbf{q}| \left( \hat{\rho}_{-\mathbf{q}}^\dagger + \hat{\rho}_{\mathbf{q}} \right) (\hat{a}_{\mathbf{q}}^\dagger + \hat{a}_{-\mathbf{q}}) \quad (19) \end{aligned}$$

$\hat{\sigma}_{\mathbf{q}}$  : Spin density (boson), decouples.

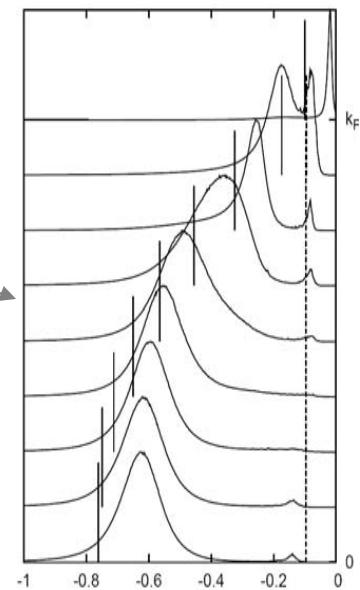
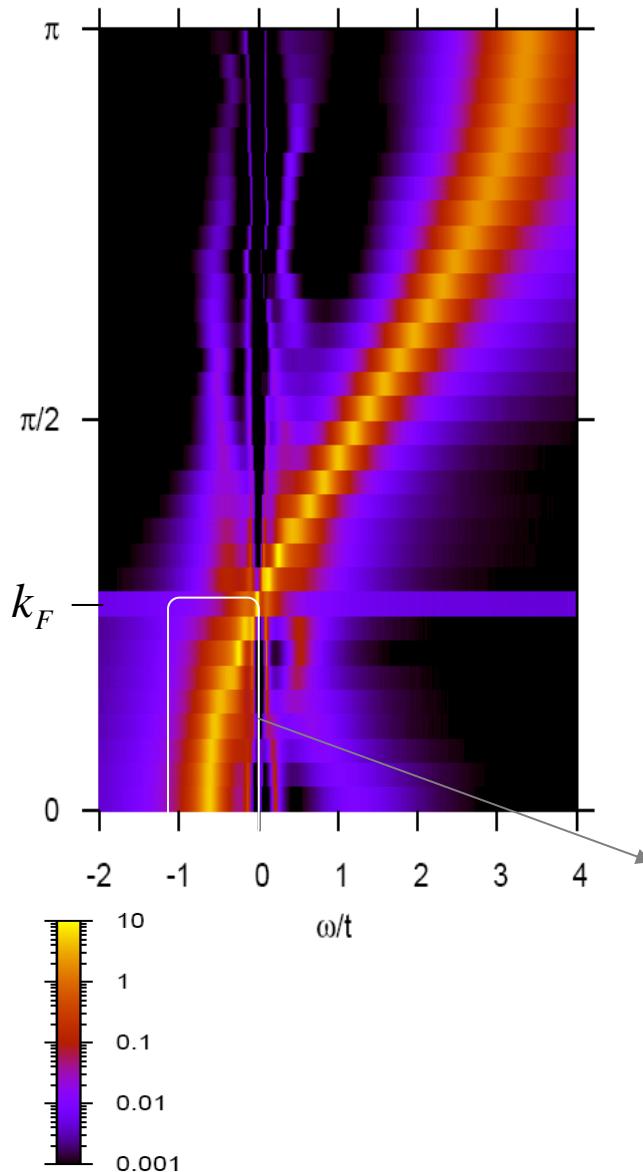
$\hat{\rho}_{\mathbf{q}}$  : Charge density (boson), mixes with phonon.

→ Bogoliubov transformation.

b) Single particle spectral function. Lattice model. Luttinger Liquid phase. CDMFT  $L_c=8$ .

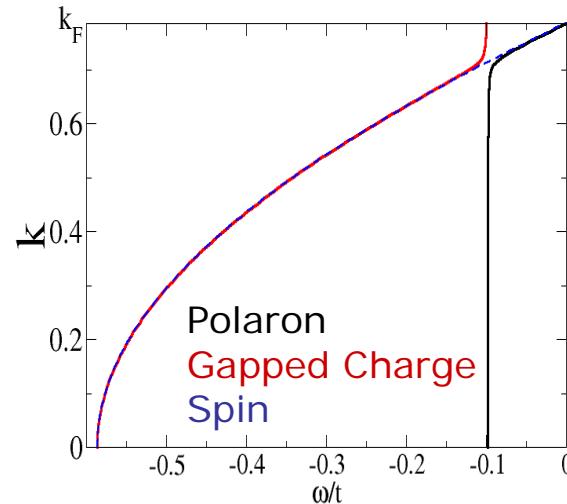
$$\lambda = 0.25, \omega_0 = 0.1t, \rho = 0.5$$

QMC  $T = 0.0125t \ll \omega_0$

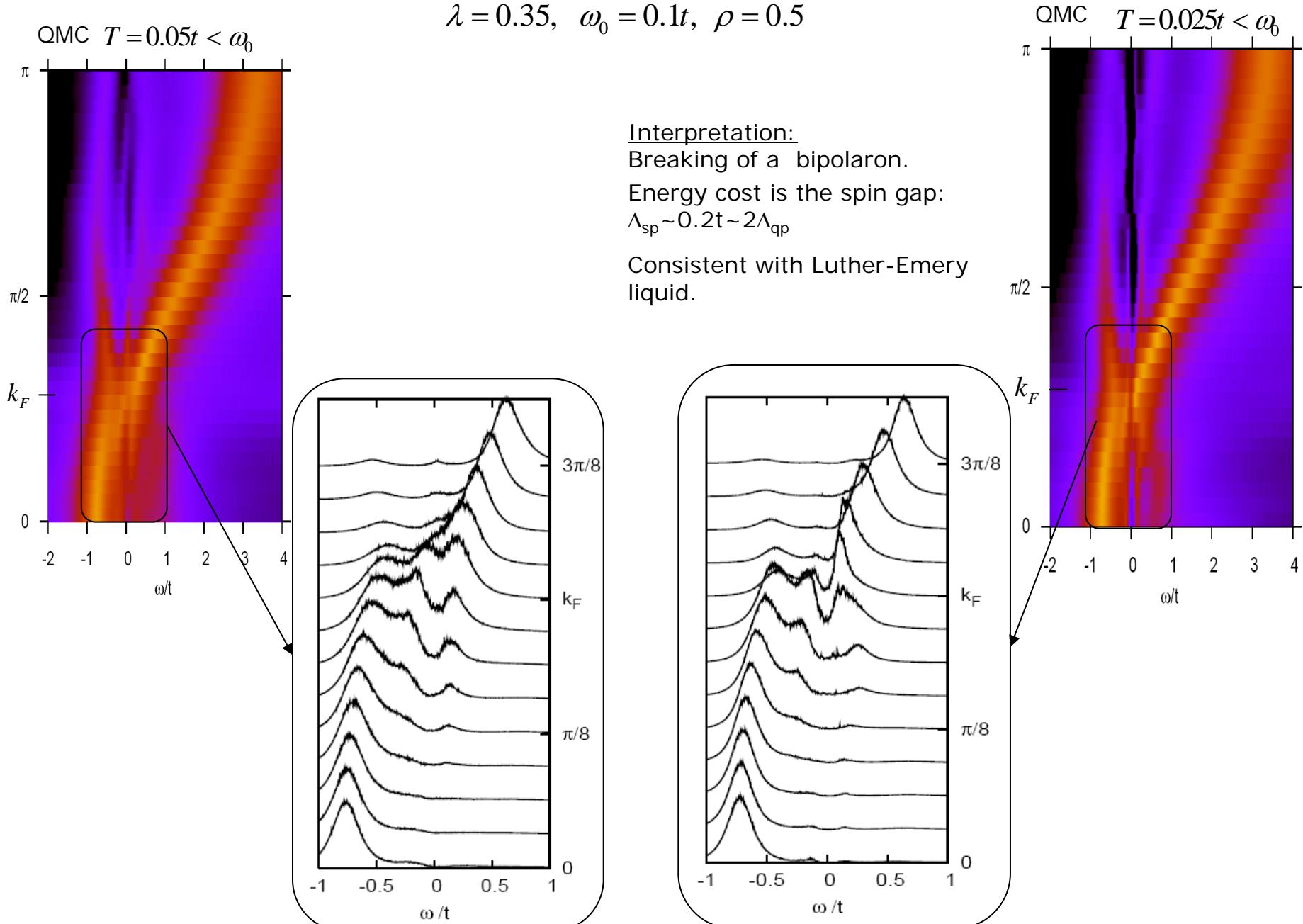


Luttinger Liquid approach/Bosonization.

Meden, Schönhammer , Gunnarson, PRB 94.



b) Single particle spectral function. Peierls phase insulating phase. CDMFT  $L_c=12$ .

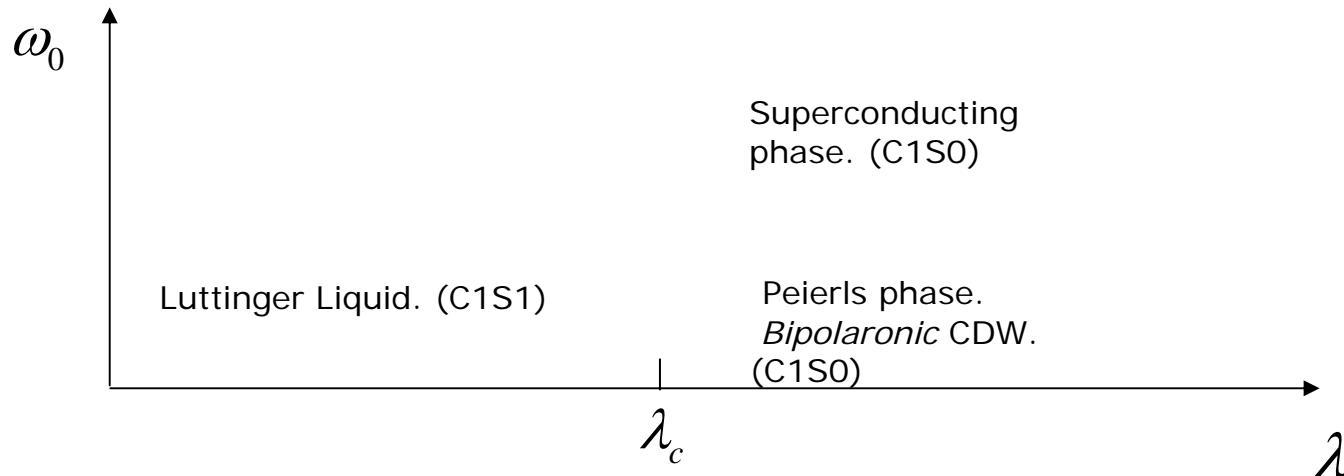


# Summary.

## Weak-coupling CT-QMC.

- Simple and flexible method. Perfectly suited for cluster methods (DCA, CDMFT)
- Allows to access "large" clusters.
- Generalization to projective schemes (M. Feldbacher, K Held, FFA PRL 2004). 
- Generalization to include phonons, retarded interactions. 

## 1/4 Filled Holstein model .



Charge, spin and single particle spectral functions, and temperature dependence thereof. 

→ SSH phonons.

→ Phonons + Electronic correlation (Heavy fermions, TTF-TCNQ).