

Intertwining fractionalization and broken symmetry: theories for the onset of magnetism in disordered and clean metals

Return of the Intertwined:
New Developments in Correlated Materials -
Online Reunion Conference
KITP Santa Barbara
July 29, 2020



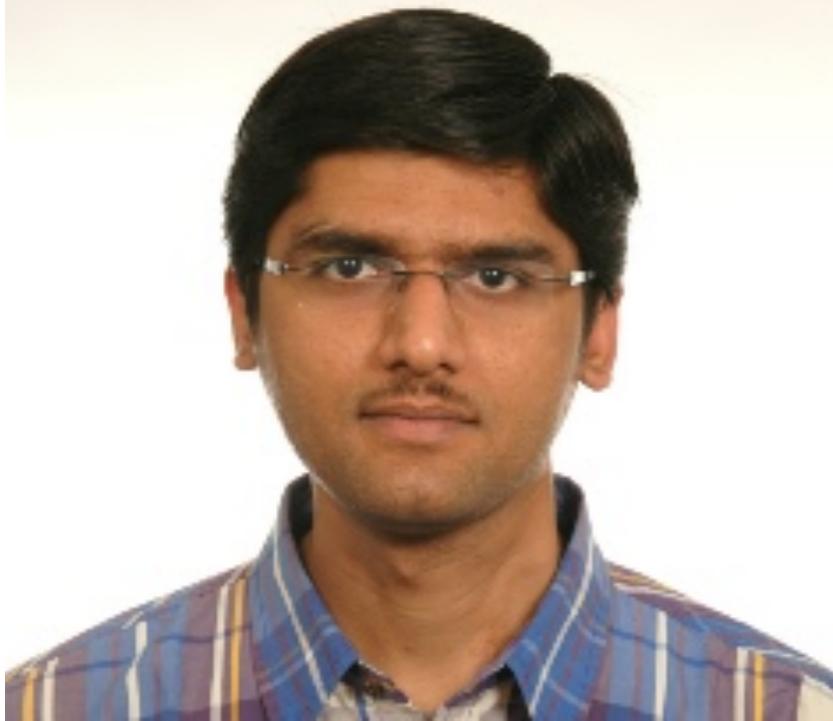
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Talk online: sachdev.physics.harvard.edu

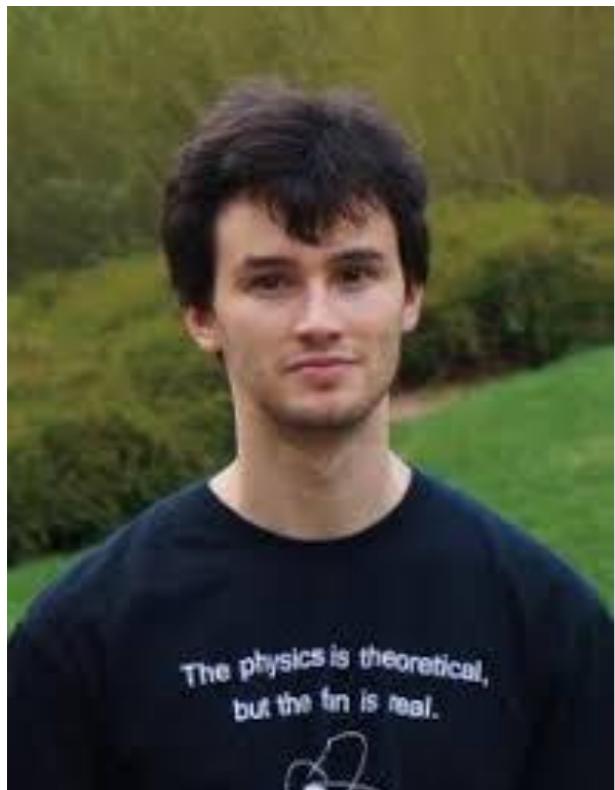


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10, 021033 (2020)



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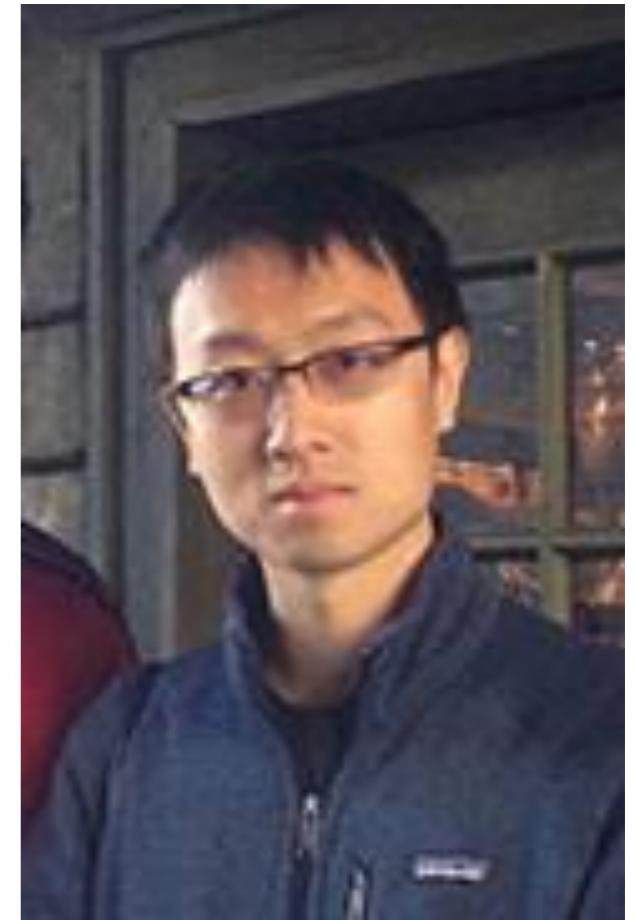
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Annals of Physics,
418, 168202 (2020)



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**Physical Review Research,
2, 023172 (2020)**

arXiv:2006.01140

I. All-to-all random Hubbard and t - J models

Numerical results

2. Random J model (insulator)

RG analysis and exact exponent

3. Random t - J model (metals)

RG analysis and exact exponents

4. Non-random t - J model (metals)

Ancilla qubits and ghost Fermi surfaces

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Random t - J - U_H model

$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i < j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j + U_H \sum_{i=1}^N n_{i\uparrow} n_{i\downarrow}$$

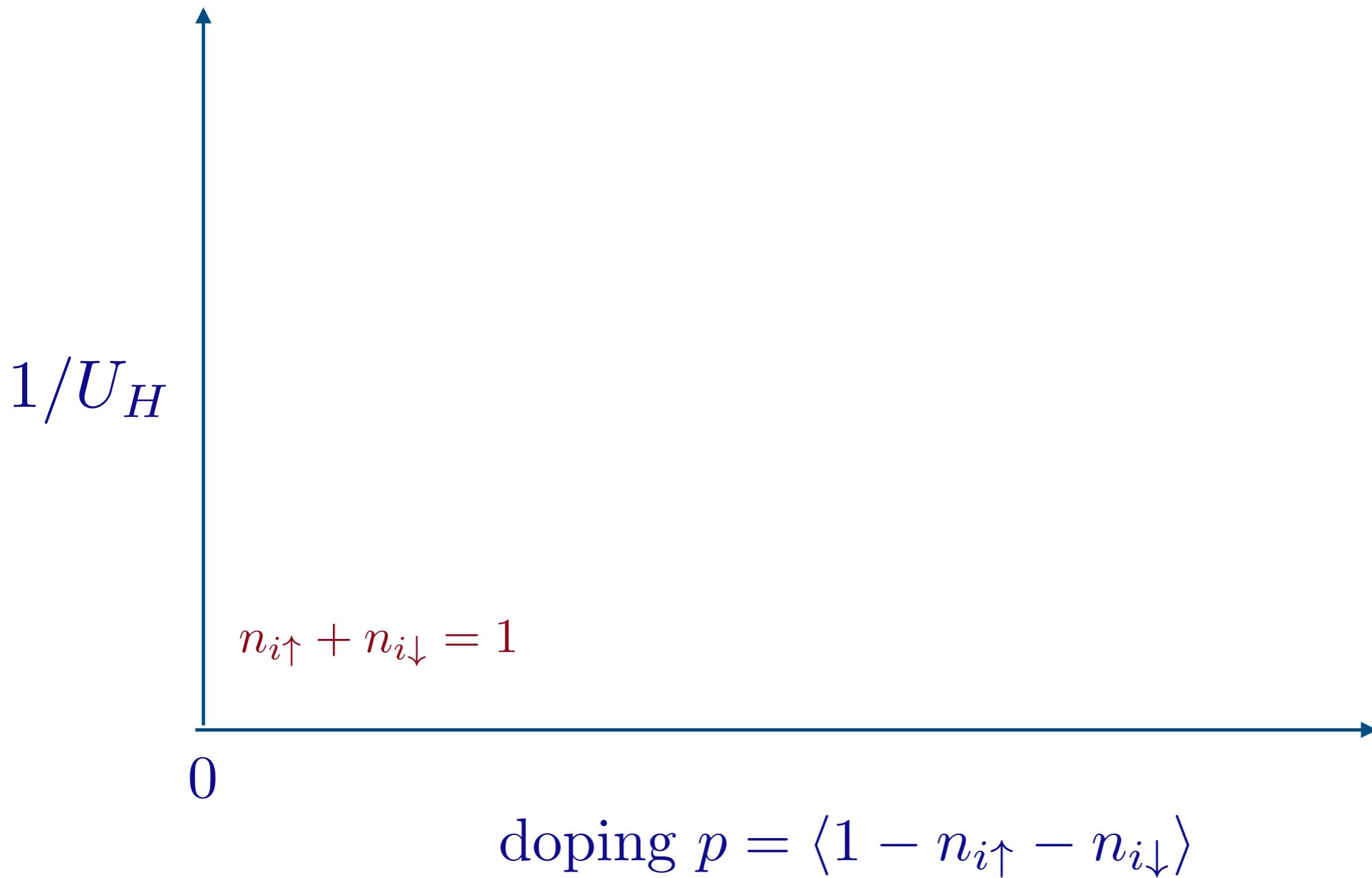
$$\alpha = \uparrow, \downarrow, \quad \vec{S}_i = \frac{1}{2} c_{i\alpha}^\dagger \vec{\sigma}_{\alpha\beta} c_{i\beta}, \quad n_{i\alpha} = c_{i\alpha}^\dagger c_{i\alpha},$$

$$\begin{aligned} J_{ij} \text{ random, } \overline{J_{ij}} &= 0, \quad \overline{J_{ij}^2} = J^2 \\ t_{ij} \text{ random, } \overline{t_{ij}} &= 0, \quad \overline{t_{ij}^2} = t^2 \end{aligned}$$

$U_H > 0$ non-random

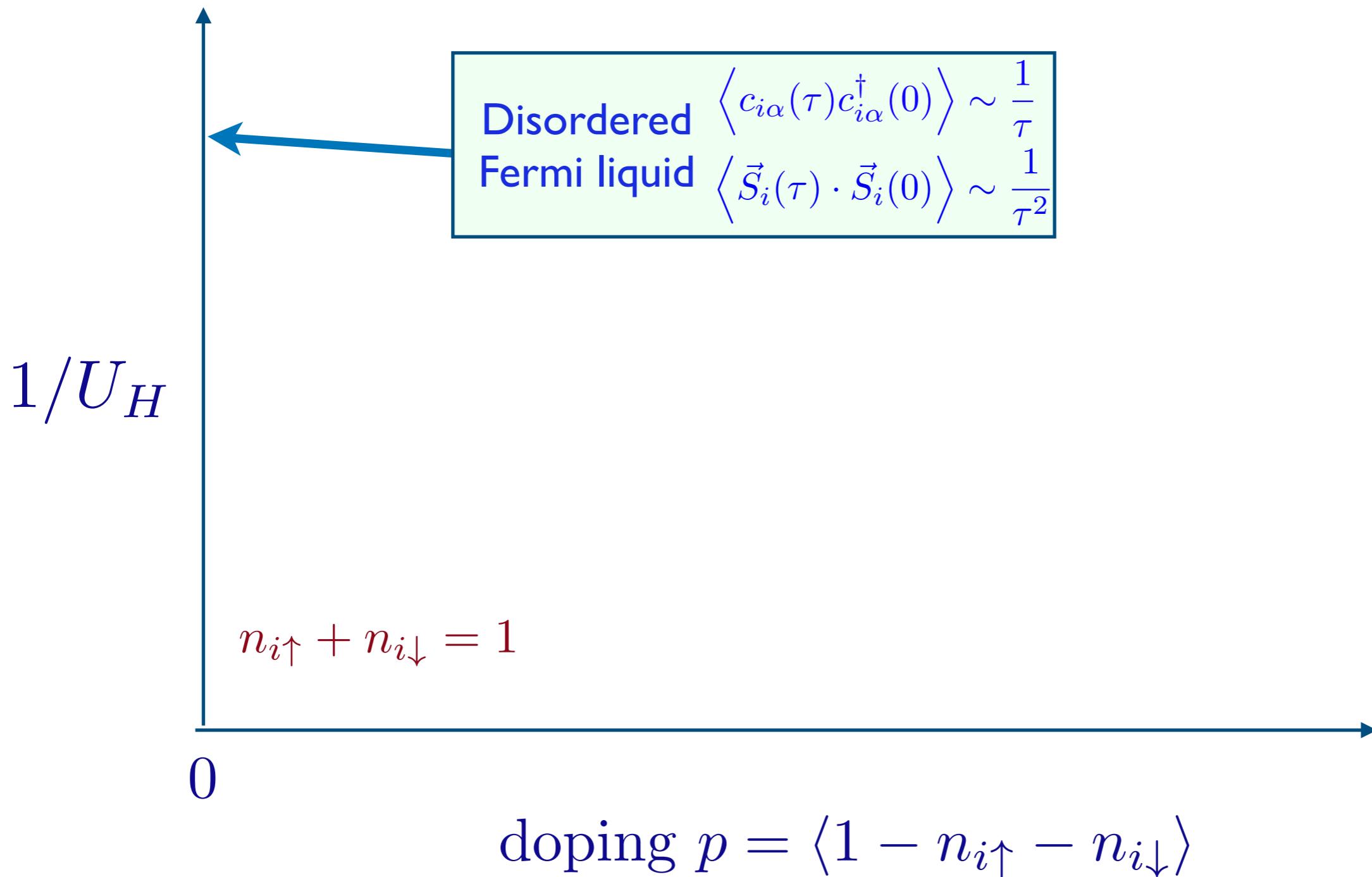
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$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i < j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j + U_H \sum_{i=1}^N n_{i\uparrow} n_{i\downarrow}$$



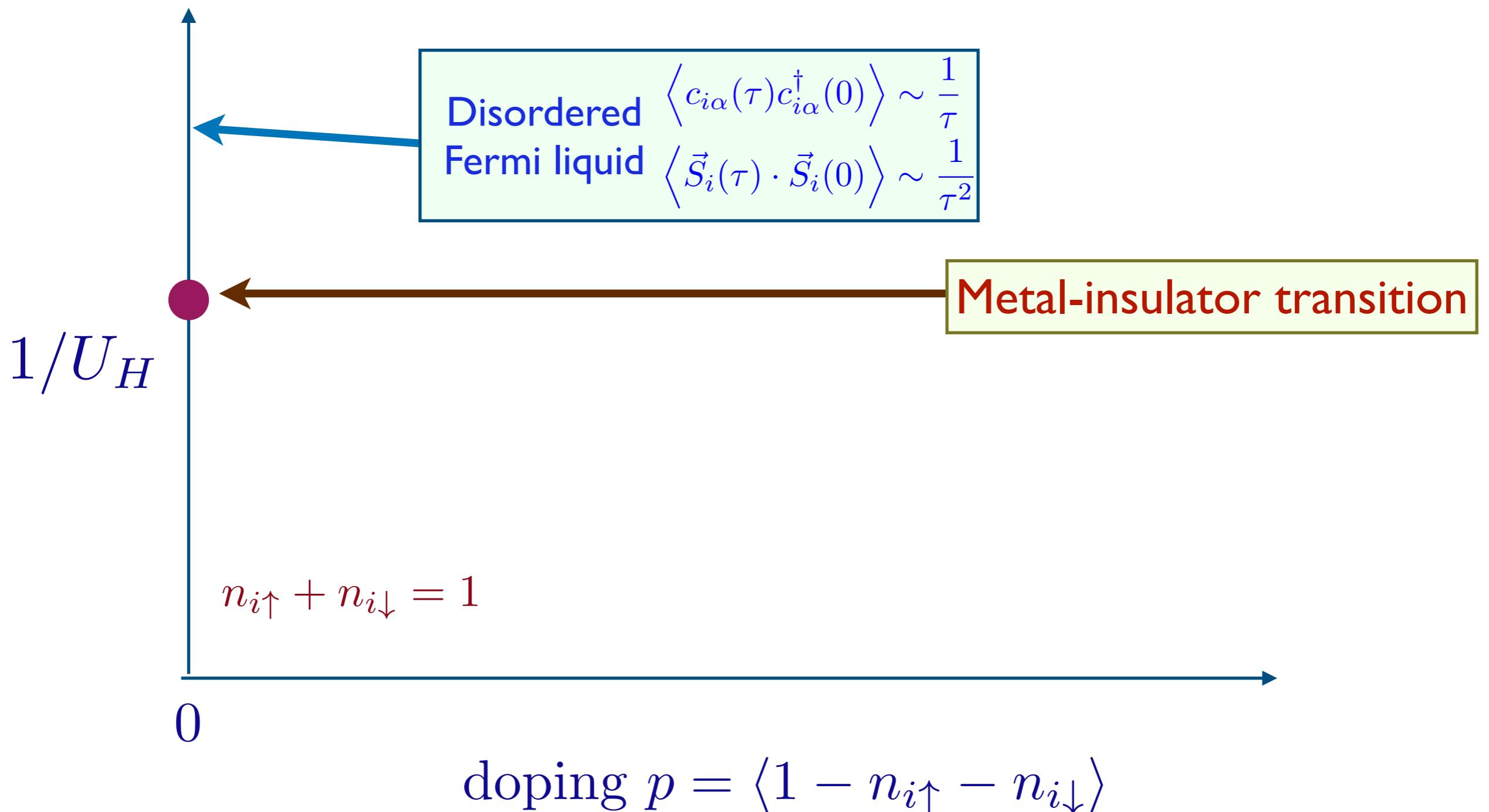
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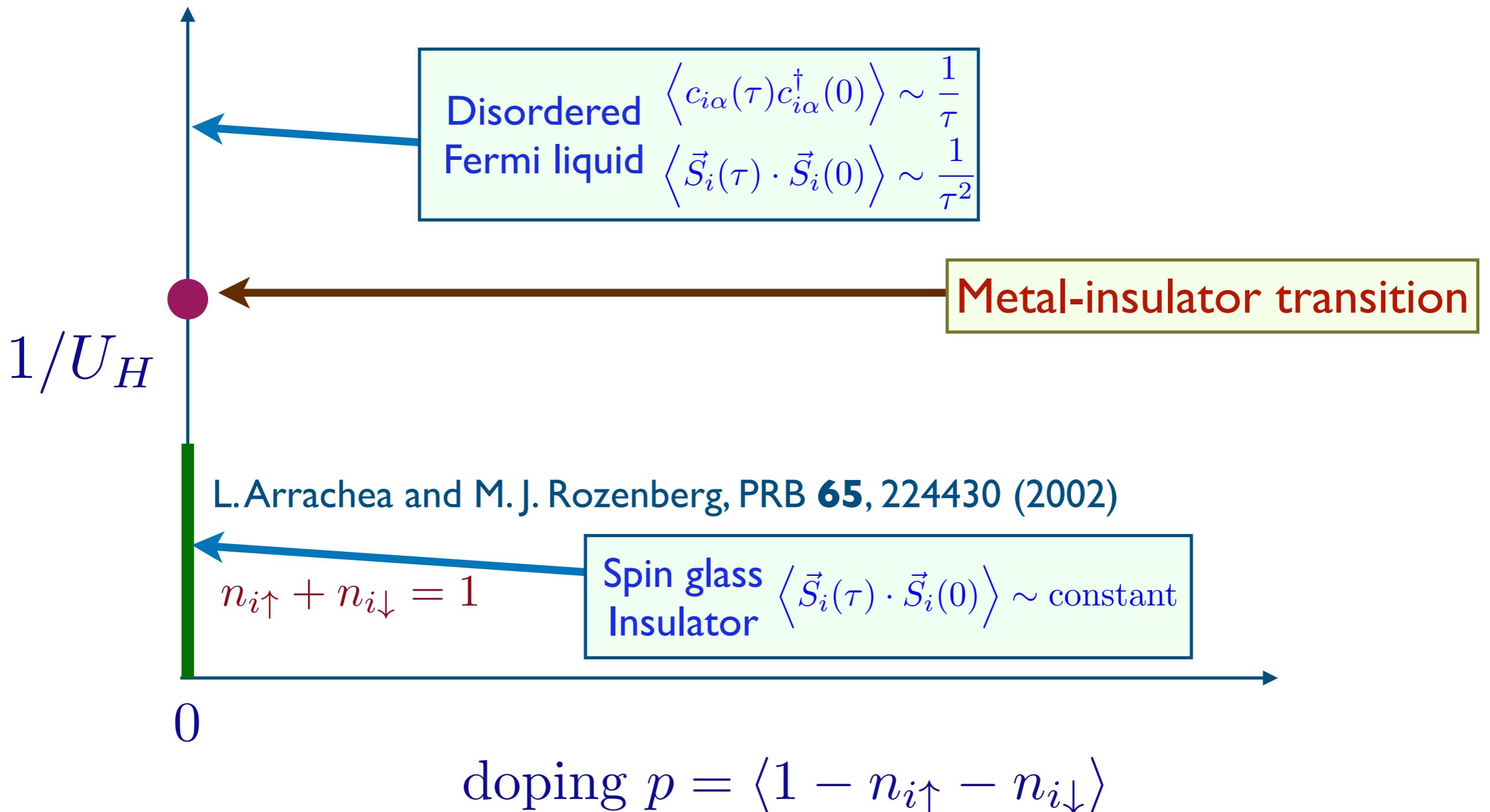
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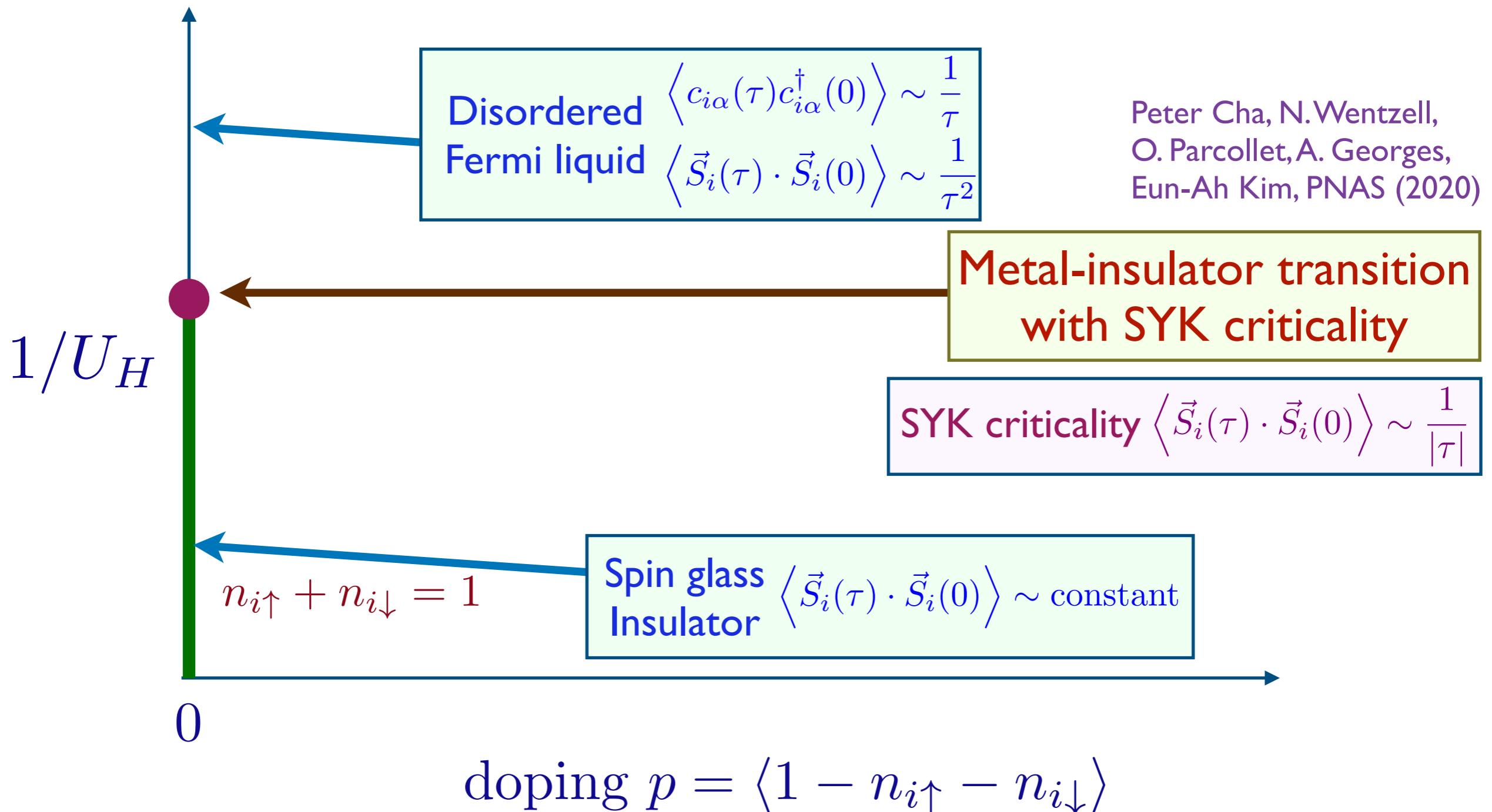
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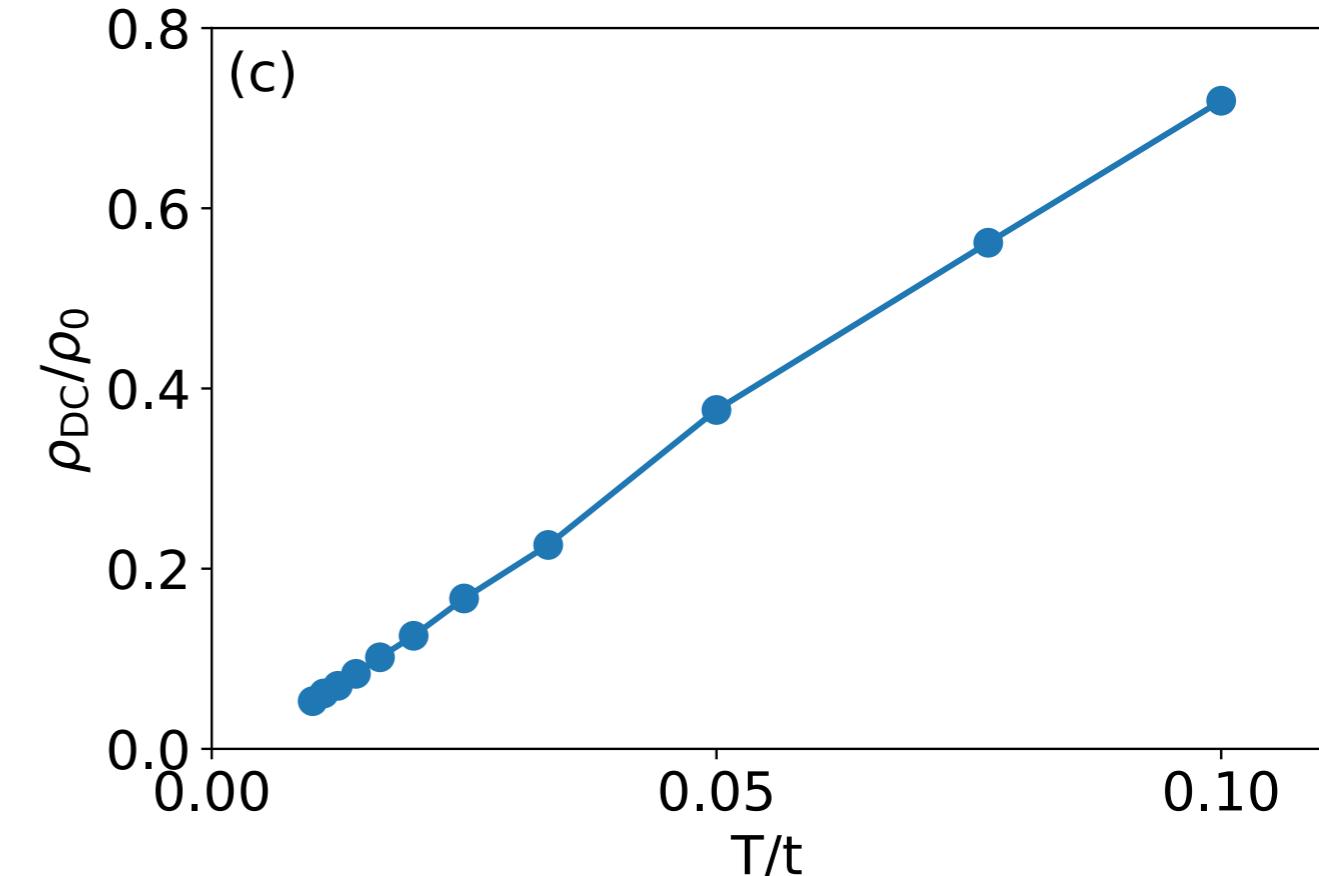
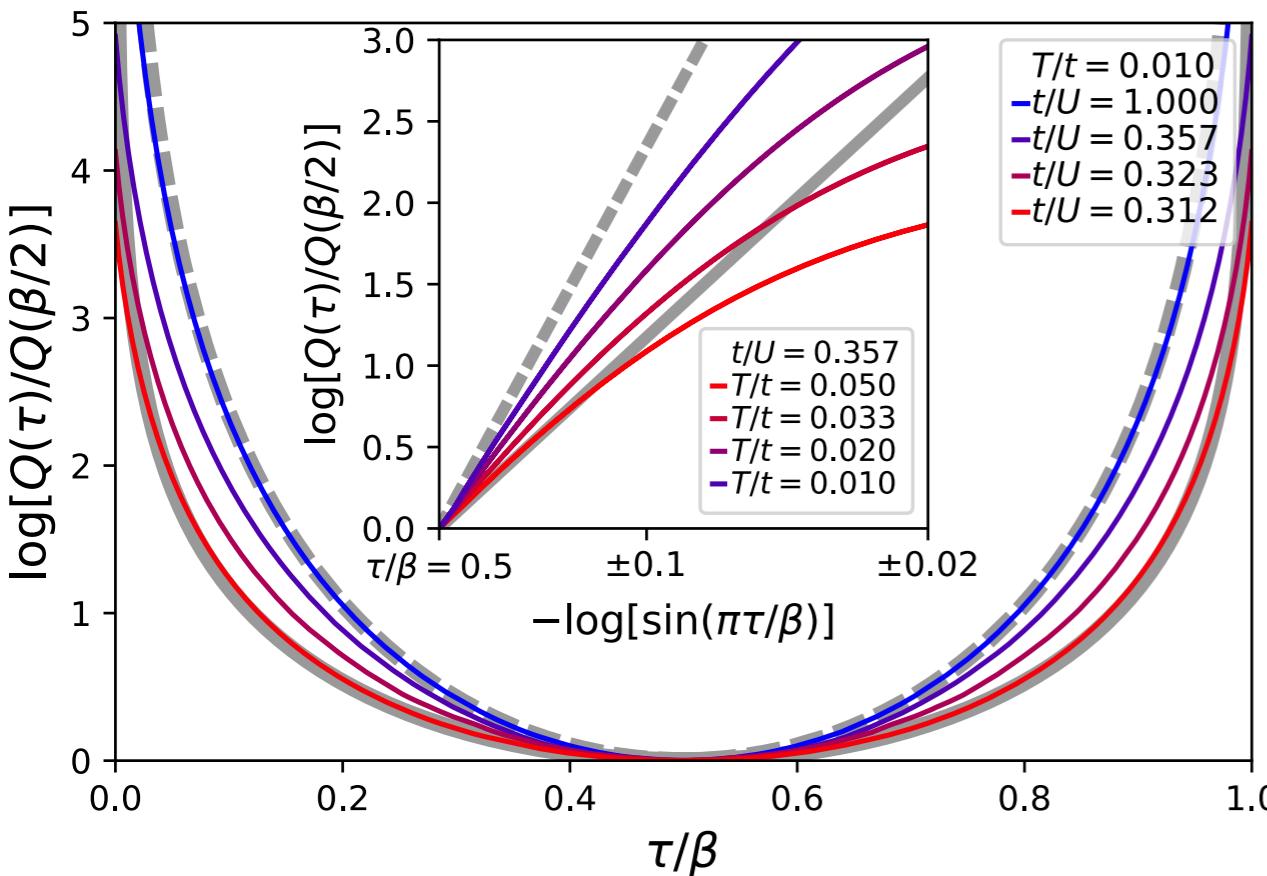


Random t - J - U_H model

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Linear resistivity and Sachdev-Ye-Kitaev (SYK) spin liquid behavior in a quantum critical metal with spin-1/2 fermions



Critical spin correlations:

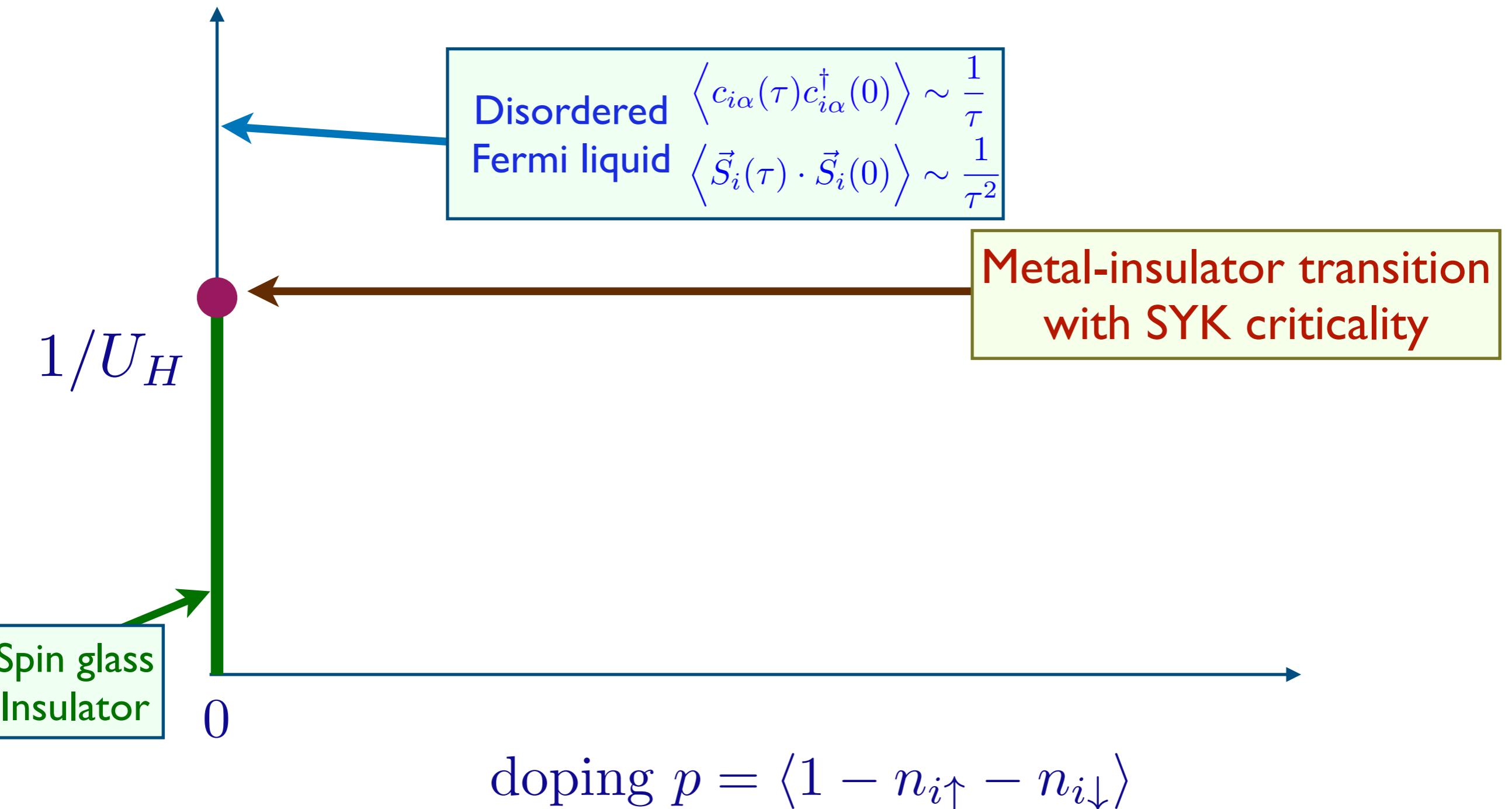
$$\langle \vec{S}(\tau) \cdot \vec{S}(0) \rangle \sim \frac{1}{|\tau|}$$

Resistivity $\rho \sim T$ to the lowest T
at the critical point

Onset of insulating gap and spin glass order
co-incide (intertwine).

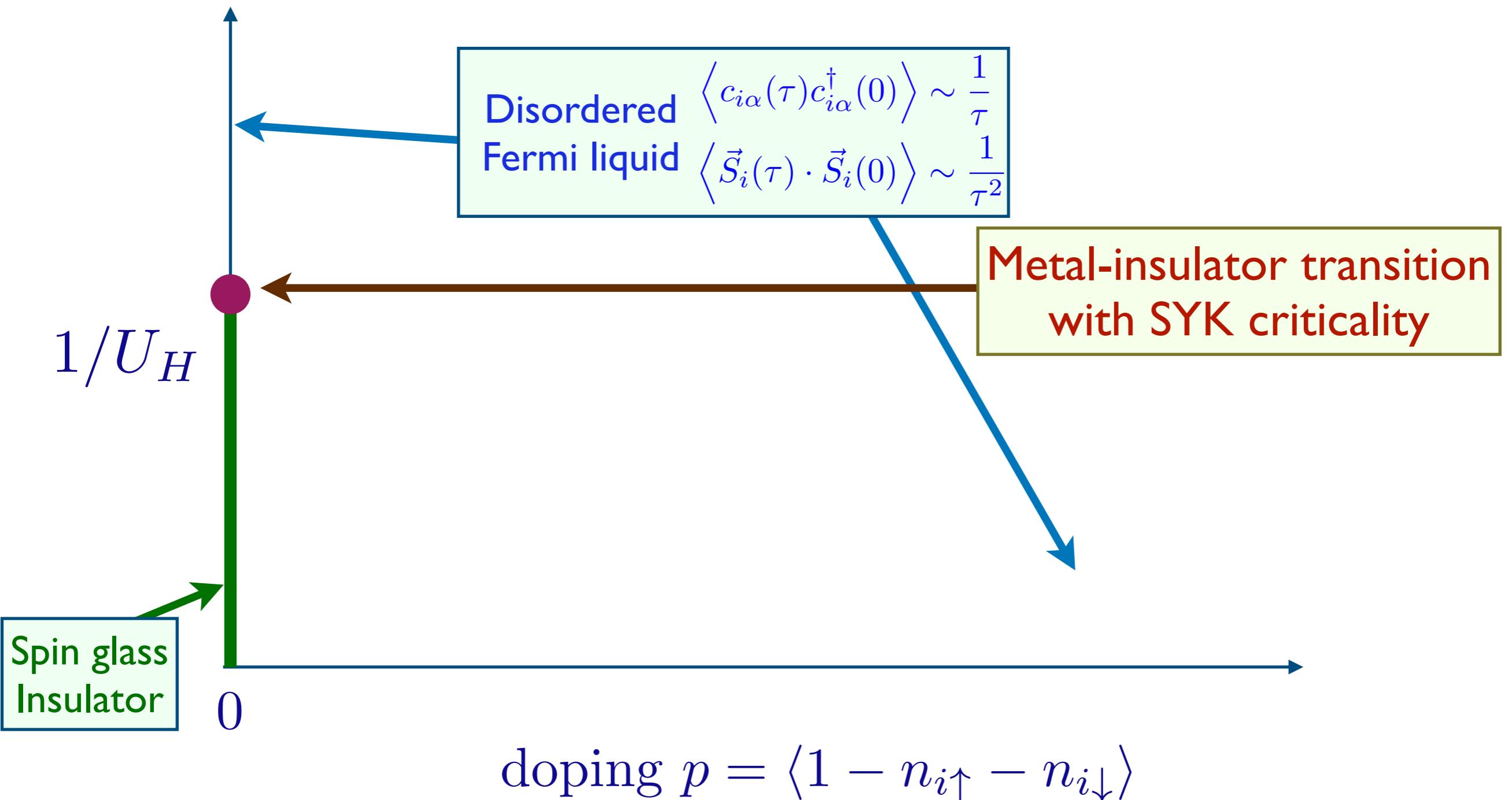
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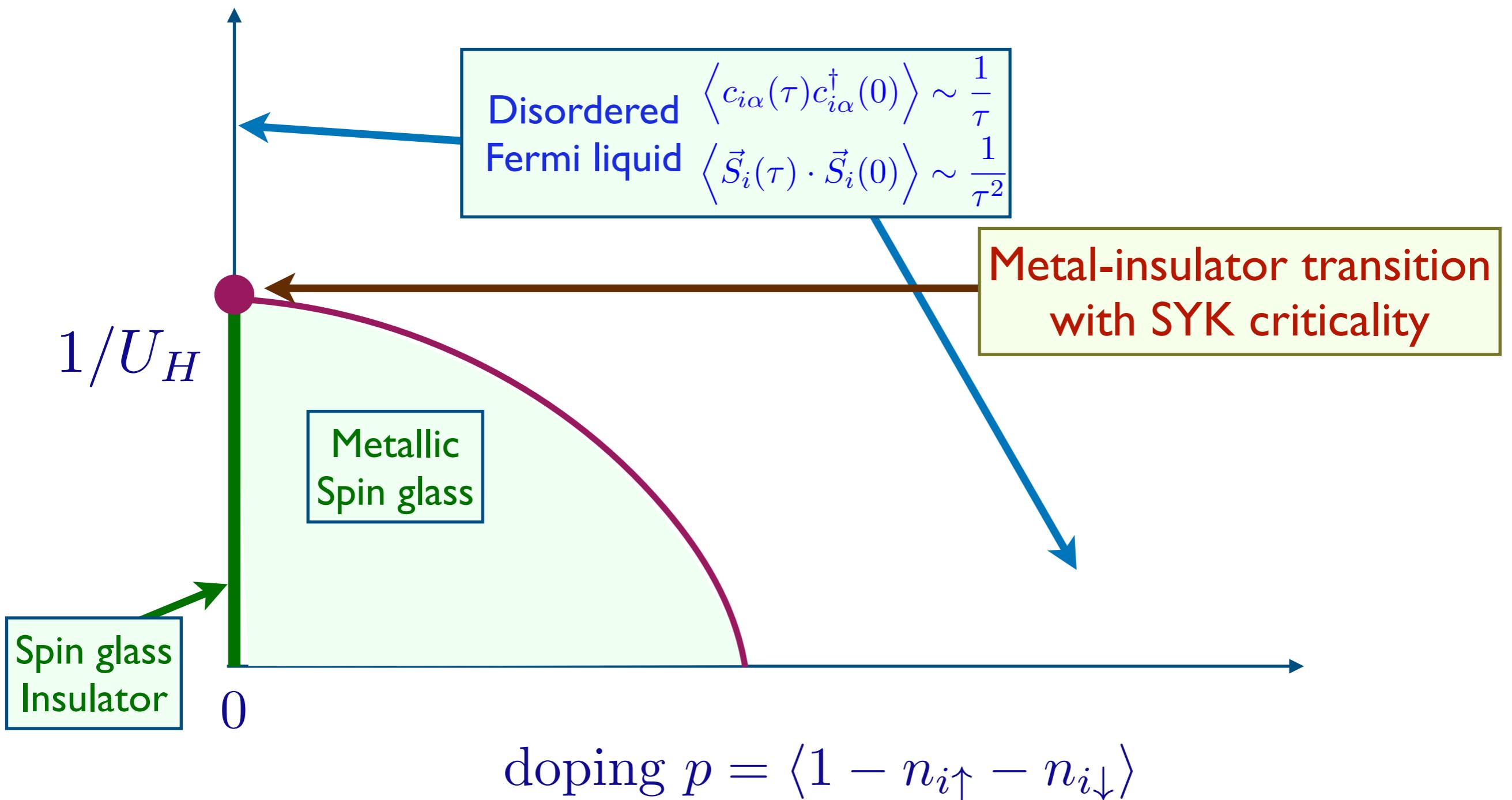
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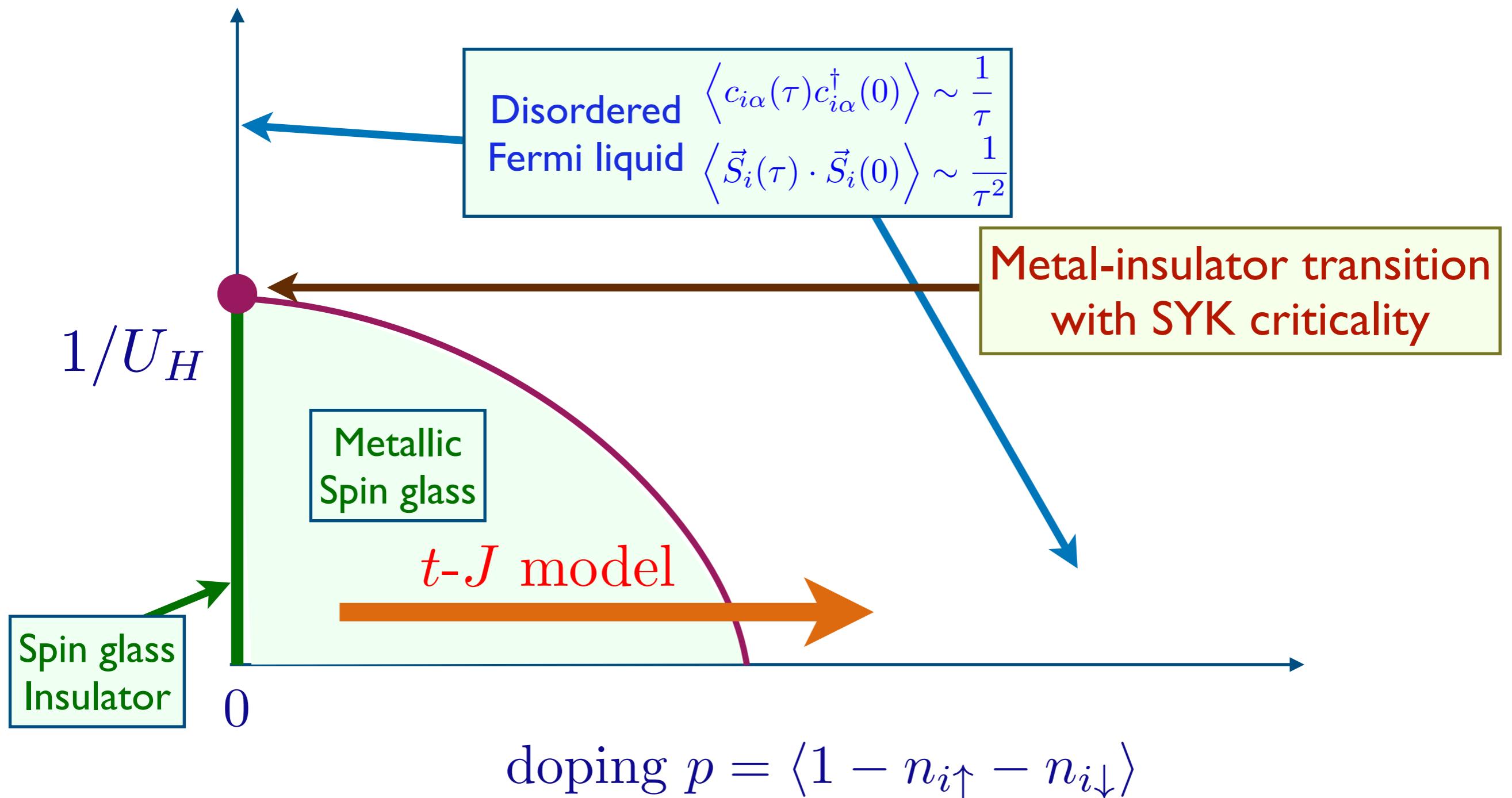
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Random t - J - U_H model

$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i < j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j + U_H \sum_{i=1}^N n_{i\uparrow} n_{i\downarrow}$$



Random t - J model ($U_H \rightarrow \infty$)

$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i < j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j$$

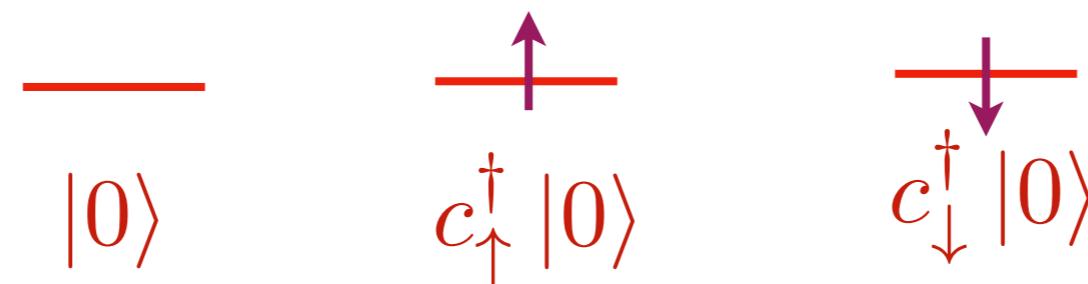
We consider the hole-doped case, with no double occupancy.

$$\alpha = \uparrow, \downarrow, \quad \{c_{i\alpha}, c_{j\beta}^\dagger\} = \delta_{ij}\delta_{\alpha\beta}, \quad \{c_{i\alpha}, c_{j\beta}\} = 0$$

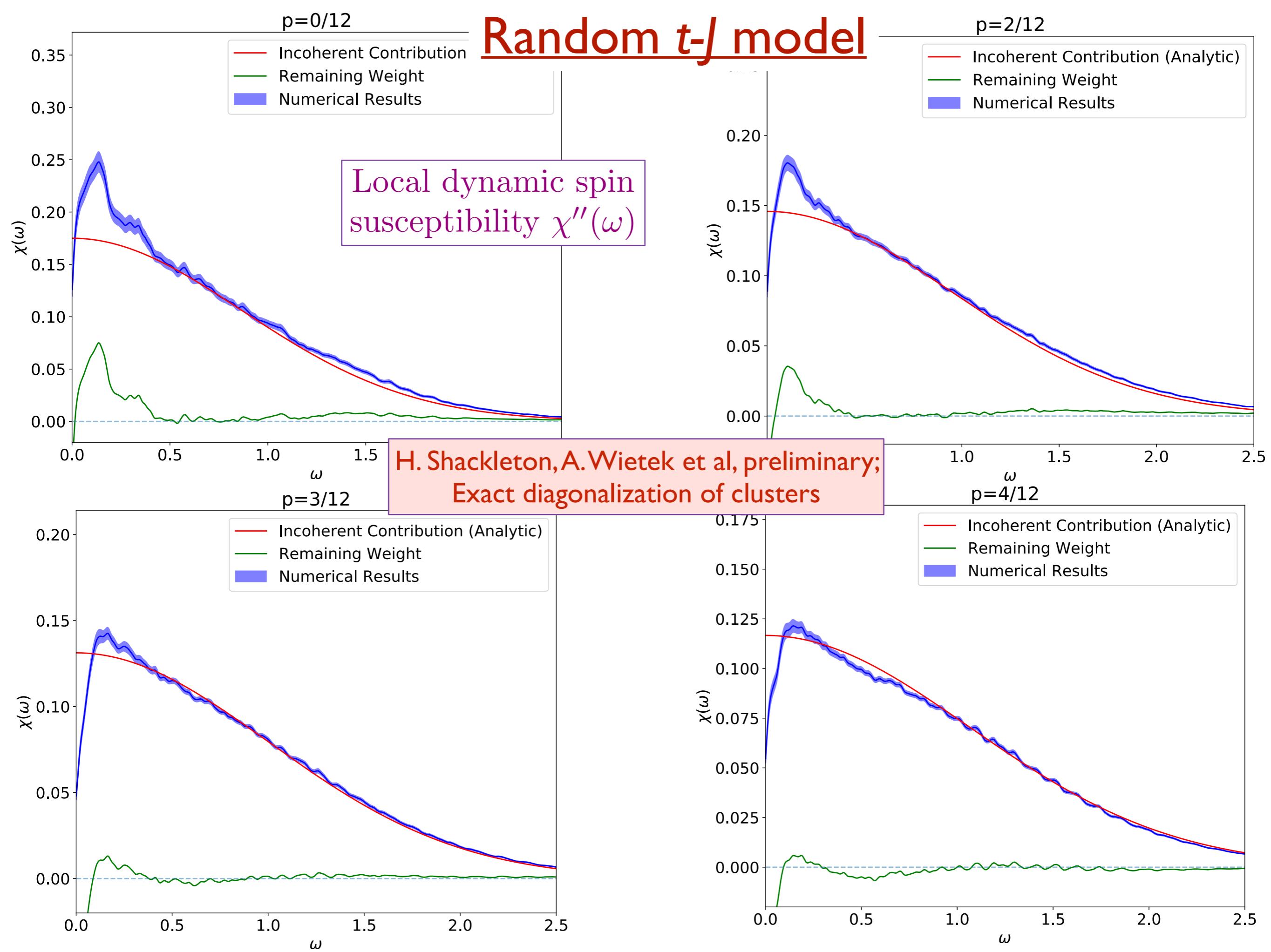
$$\vec{S}_i = \frac{1}{2} c_{i\alpha}^\dagger \vec{\sigma}_{\alpha\beta} c_{i\beta}, \quad \sum_{\alpha} c_{i\alpha}^\dagger c_{i\alpha} \leq 1, \quad \frac{1}{N} \sum_{i\alpha} c_{i\alpha}^\dagger c_{i\alpha} = 1 - p$$

$$J_{ij} \text{ random, } \overline{J_{ij}} = 0, \overline{J_{ij}^2} = J^2$$

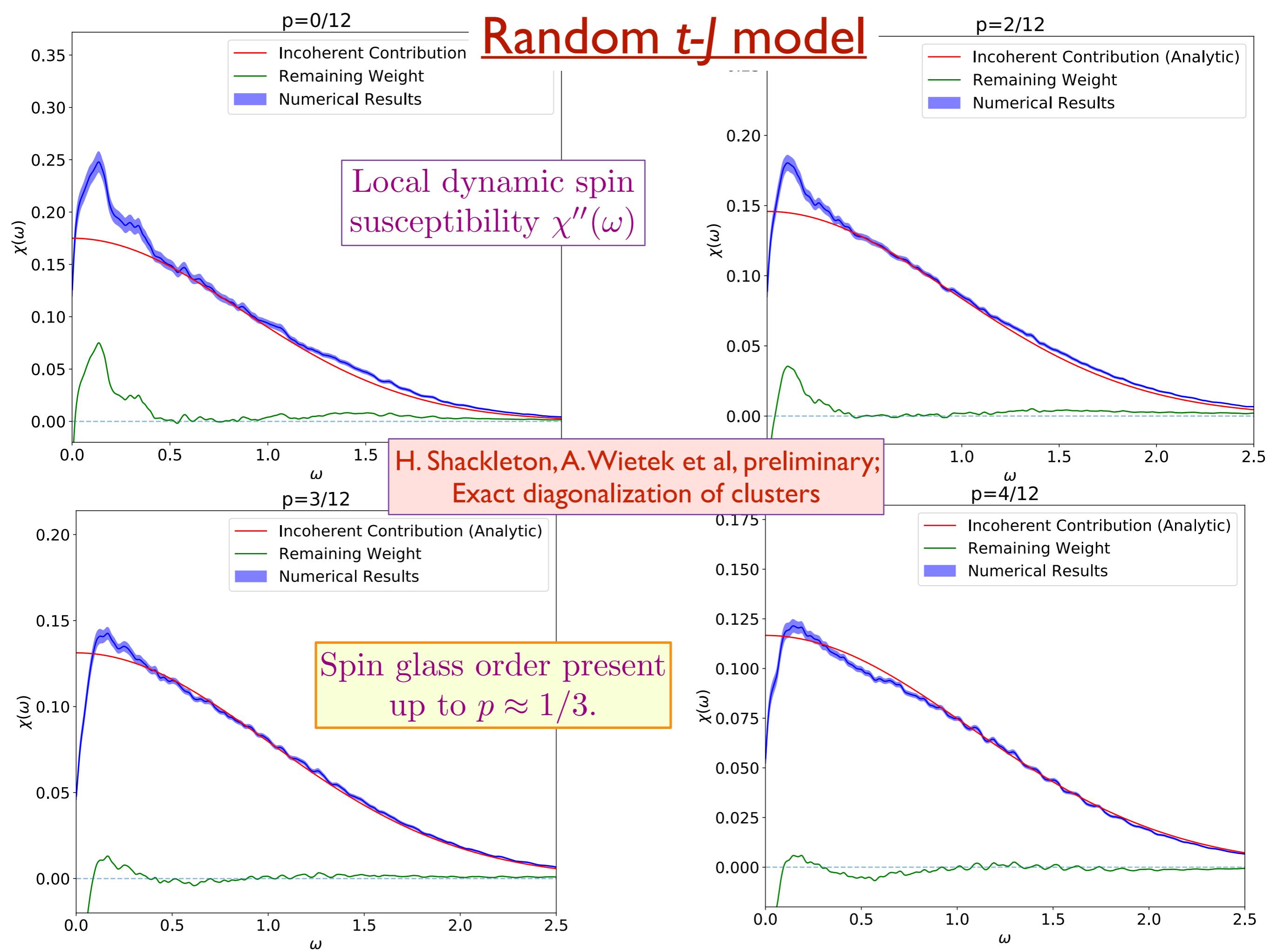
$$t_{ij} \text{ random, } \overline{t_{ij}} = 0, \overline{t_{ij}^2} = t^2$$



Random t - J model

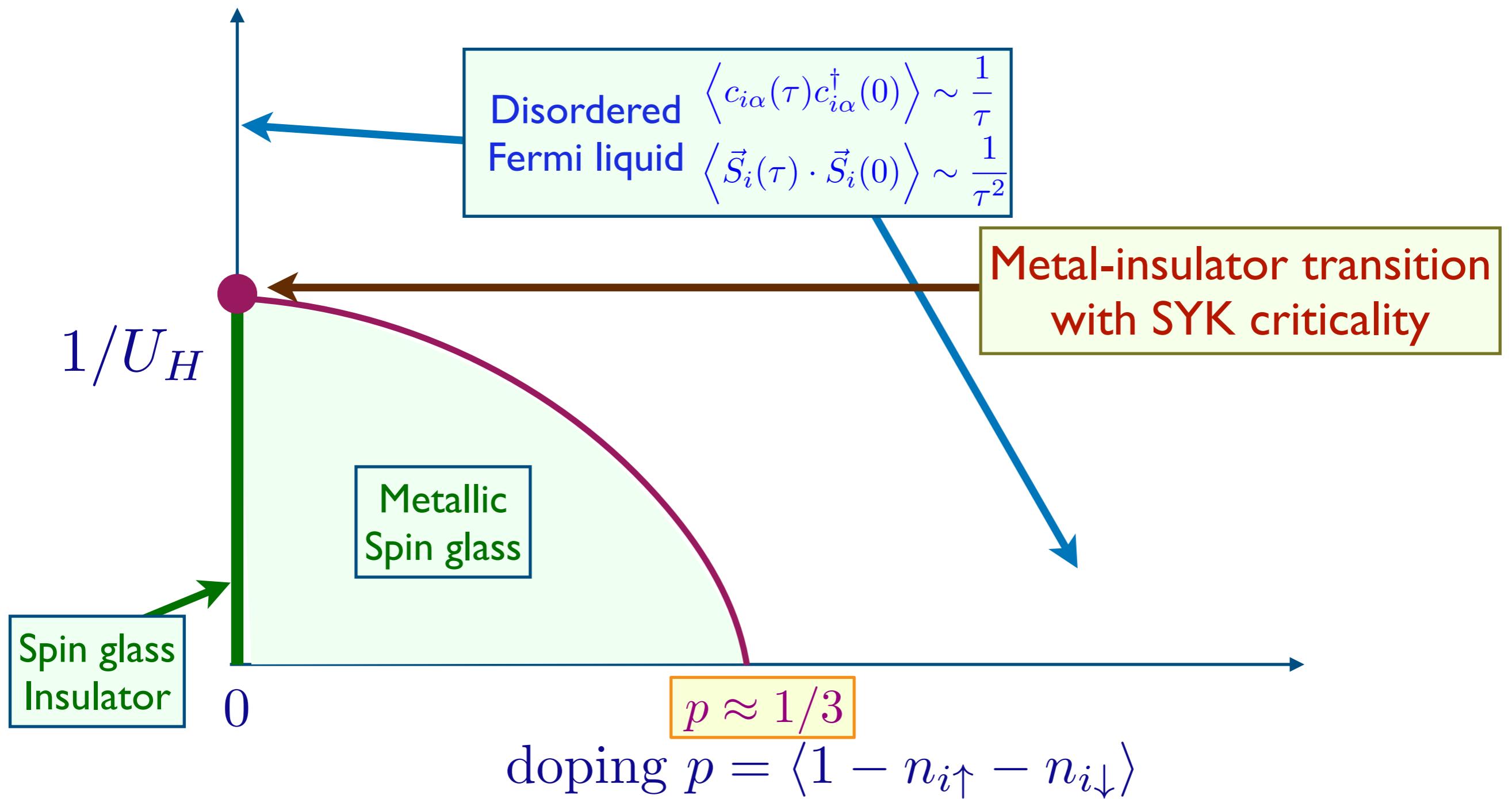


Random t - J model



Random t - J - U_H model

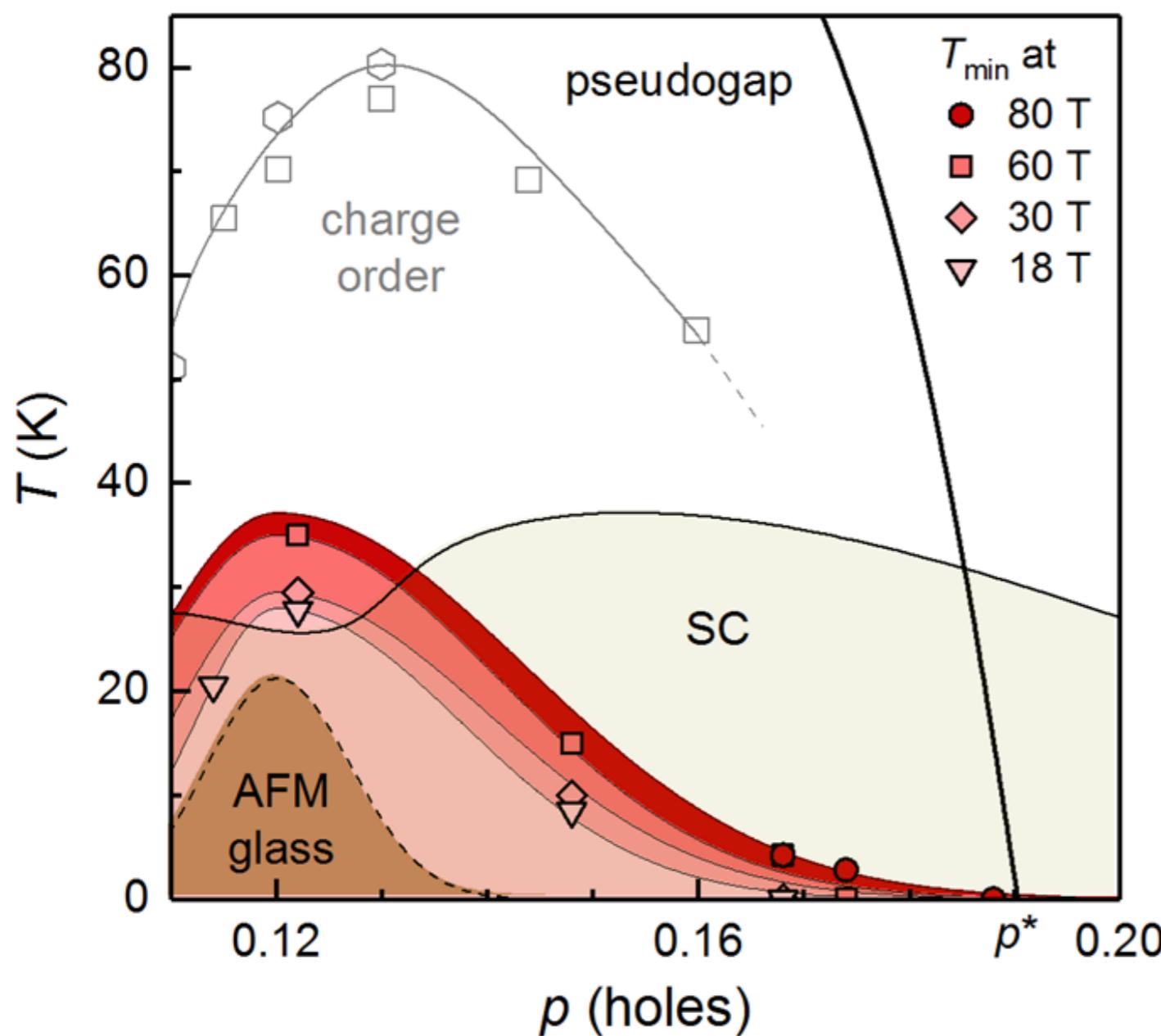
$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i < j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j + U_H \sum_{i=1}^N n_{i\uparrow} n_{i\downarrow}$$



Hidden magnetism at the pseudogap critical point of a high temperature superconductor

Nature Physics doi: 10.1038/s41567-020-0950-5

Mehdi Frachet^{1†}, Igor Vinograd^{1†}, Rui Zhou^{1,2}, Siham Benhabib¹, Shangfei Wu¹, Hadrien Mayaffre¹, Steffen Krämer¹, Sanath K. Ramakrishna³, Arneil P. Reyes³, Jérôme Debray⁴, Tohru Kurosawa⁵, Naoki Momono⁶, Migaku Oda⁵, Seiki Komiya⁷, Shimpei Ono⁷, Masafumi Horio⁸, Johan Chang⁸, Cyril Proust¹, David LeBoeuf^{1*}, Marc-Henri Julien^{1*}



Quasi-static magnetism in the pseudogap state of $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$. Temperature – doping phase diagram representing T_{\min} , the temperature of the minimum in the sound velocity, at different fields. Since superconductivity precludes the observation of T_{\min} in zero-field, the dashed line (brown area) represents the extrapolated $T_{\min}(B=0)$. While not exactly equal to the freezing temperature T_f (see Fig. 2), T_{\min} is closely tied to T_f and so is expected to have the same doping dependence, including a peak around $p = 0.12$ in zero/low fields (ref. 2). Onset temperatures of charge order are from ref. 33 (squares) and 35 (hexagons).

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Random J model (insulator)

$$H = \frac{1}{\sqrt{N}} \sum_{i < j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j$$

$$\alpha=\uparrow,\downarrow, \quad \vec{S}_i=\frac{1}{2}f_{i\alpha}^\dagger\vec{\sigma}_{\alpha\beta}f_{i\beta}, \quad \sum_\alpha f_{i\alpha}^\dagger f_{i\alpha}=1$$

$$J_{ij} \text{ random, } \overline{J_{ij}}=0, \overline{J_{ij}^2}=J^2$$

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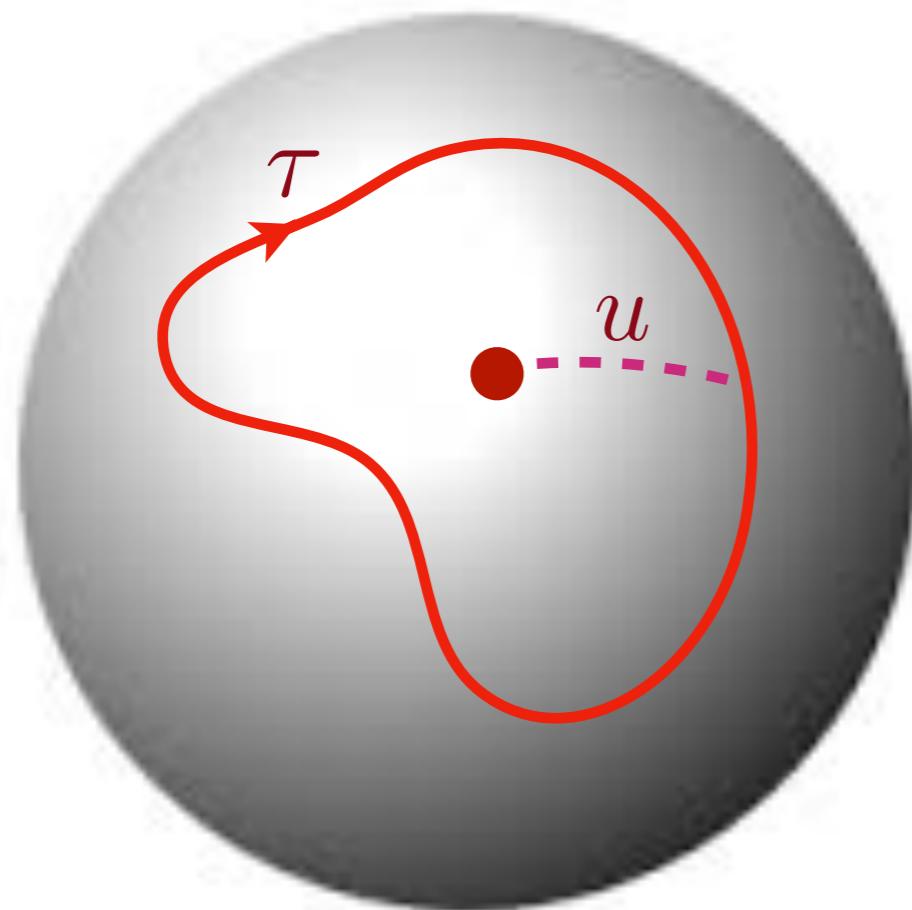
$$J_{ij} \text{ random, } \overline{J_{ij}}=0, \, \overline{J_{ij}^2}=J^2$$

Random J model (insulator)

$$\mathcal{Z} = \int \mathcal{D}\vec{S}(\tau) \delta(\vec{S}^2 - 1) e^{-\mathcal{S}_B - \mathcal{S}_J}$$

$$\mathcal{S}_B = \frac{i}{2} \int_0^1 du \int d\tau \vec{S} \cdot \left(\frac{\partial \vec{S}}{\partial \tau} \times \frac{\partial \vec{S}}{\partial u} \right)$$

$$\mathcal{S}_J = -\frac{J^2}{2} \int d\tau d\tau' Q(\tau - \tau') \vec{S}(\tau) \cdot \vec{S}(\tau') .$$



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$$\mathcal{S}_J = -\frac{J^2}{2} \int d\tau d\tau' Q(\tau - \tau') \vec{S}(\tau) \cdot \vec{S}(\tau').$$

From this action we compute

$$\overline{Q}(\tau - \tau') = \frac{1}{3} \left\langle \vec{S}(\tau) \cdot \vec{S}(\tau') \right\rangle_{\mathcal{Z}}$$

and then impose the self-consistency condition

$$Q(\tau) = \overline{Q}(\tau).$$

Random J model (insulator):RG

Assume a power-law decay

$$Q(\tau) = \gamma^2 \frac{1}{|\tau|^\alpha}.$$

- The conjugate field to the spin acquires a wavefunction renormalization
- Because of the quantized Berry phase (Wess-Zumion-Witten) term, the renormalization of the coupling γ is given only by the wavefunction renormalization

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- The β -function of γ can be computed order-by-order in $\epsilon = 2 - \alpha$
- There is an attractive fixed point at $\gamma = \gamma^* = \mathcal{O}(\sqrt{\epsilon})$.
- We can prove that at this fixed point $\overline{Q}(\tau) \sim 1/|\tau|^{2-\alpha}$ to all orders in ϵ .

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- There is an attractive fixed point at $\gamma = \gamma^* = \mathcal{O}(\sqrt{\epsilon})$.
- We can prove that at this fixed point $\overline{Q}(\tau) \sim 1/|\tau|^{2-\alpha}$ to all orders in ϵ .
- The self-consistency condition therefore yields

$$\left\langle \vec{S}(\tau) \cdot \vec{S}(0) \right\rangle \sim \frac{1}{|\tau|}.$$

to all orders in ϵ .

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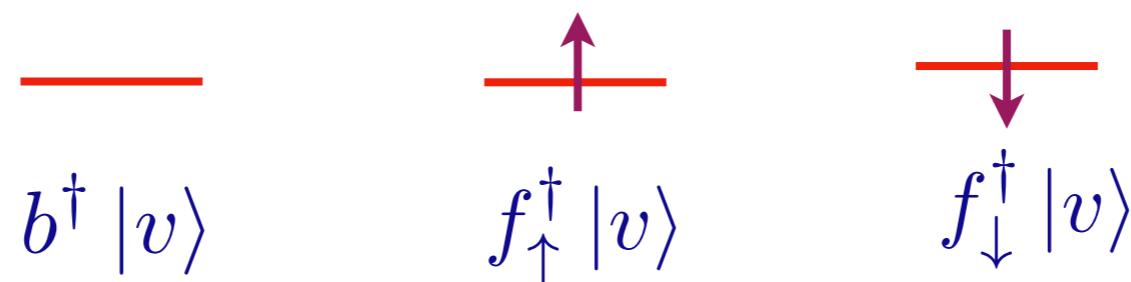
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Random t-J model (metal)

$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i < j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j$$

Each site has 3 states which we map to the ‘*superspin*’ space of a boson b (the holon) and a fermion f_α (the spinon):



$$\begin{aligned} c_\alpha &= f_\alpha b^\dagger \\ \vec{S} &= \frac{1}{2} f_\alpha^\dagger \sigma_{\alpha\beta} f_\beta \end{aligned}$$

$$f_\alpha^\dagger f_\alpha + b^\dagger b = 1$$

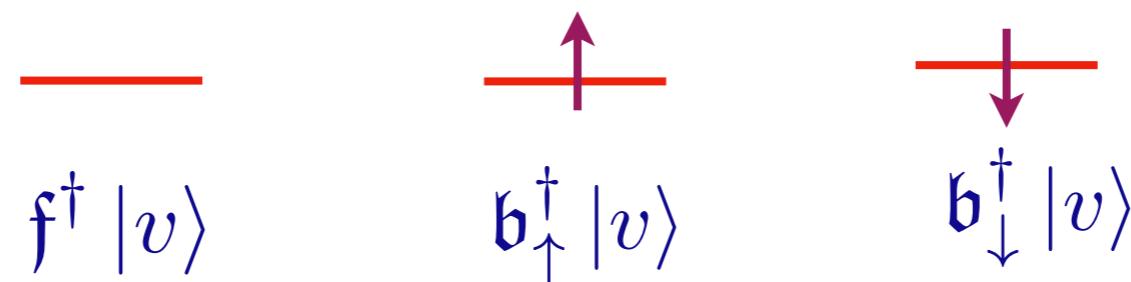
$$\text{U(1) gauge invariance, } \quad b \rightarrow b e^{i\phi}, \quad f_\alpha \rightarrow f_\alpha e^{i\phi}$$

The physical electron (c_α) and spin (\vec{S}) operators are rotations in this $SU(1|2)$ superspin space.

Random t-J model (metal)

$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i < j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j$$

Each site has 3 states which we map to the ‘*superspin*’ space of a fermion \mathfrak{f} (the holon) and a boson \mathfrak{b}_α (the spinon):



$$\begin{aligned} c_\alpha &= \mathfrak{b}_\alpha \mathfrak{f}^\dagger \\ \vec{S} &= \frac{1}{2} \mathfrak{b}_\alpha^\dagger \sigma_{\alpha\beta} \mathfrak{b}_\beta \\ \mathfrak{b}_\alpha^\dagger \mathfrak{b}_\alpha + \mathfrak{f}^\dagger \mathfrak{f} &= 1 \end{aligned}$$

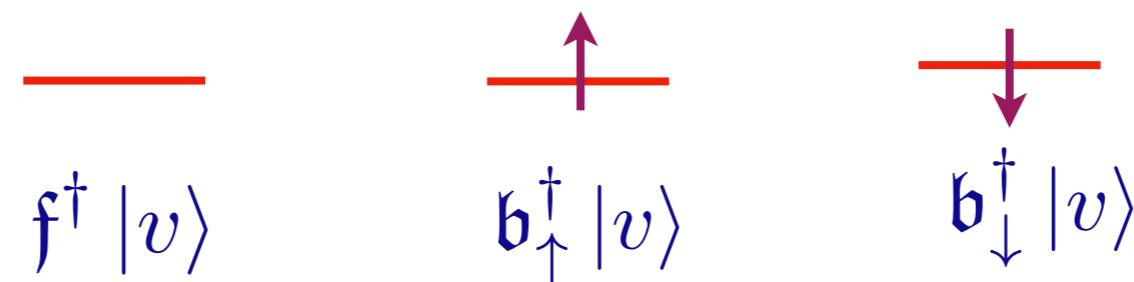
U(1) gauge invariance, $\mathfrak{f} \rightarrow \mathfrak{f} e^{i\phi}, \quad \mathfrak{b}_\alpha \rightarrow \mathfrak{b}_\alpha e^{i\phi}$

The physical electron (c_α) and spin (\vec{S}) operators are rotations in this $SU(2|1)$ superspin space.

Random t-J model (metal)

$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i < j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j$$

Each site has 3 states which we map to the ‘*superspin*’ space of a fermion \mathfrak{f} (the holon) and a boson \mathfrak{b}_α (the spinon):



$$c_\alpha = \mathfrak{b}_\alpha \mathfrak{f}^\dagger$$

$$\vec{S} = \frac{1}{2} \mathfrak{b}_\alpha^\dagger \sigma_{\alpha\beta} \mathfrak{b}_\beta$$

$$\mathfrak{b}_\alpha^\dagger \mathfrak{b}_\alpha + \mathfrak{f}^\dagger \mathfrak{f} = 1$$

$$\boxed{\mathrm{SU}(1|2) \equiv \mathrm{SU}(2|1)}$$

$$\text{U(1) gauge invariance, } \quad \mathfrak{f} \rightarrow \mathfrak{f} e^{i\phi}, \quad \mathfrak{b}_\alpha \rightarrow \mathfrak{b}_\alpha e^{i\phi}$$

The physical electron (c_α) and spin (\vec{S}) operators are rotations in this $\mathrm{SU}(2|1)$ superspin space.

Random t-J model (metal)

$$\mathcal{Z} = \int \mathcal{D}\mathcal{P}(\tau) e^{-\mathcal{S}_B - \mathcal{S}_{tJ}}$$

$$\mathcal{S}_B = i \int_0^1 du \int d\tau \text{Tr} (\mathcal{P} \partial_\tau \mathcal{P} \partial_u \mathcal{P})$$

$$\mathcal{S}_{tJ} = \int d\tau d\tau' \text{Tr} (\mathcal{P}(\tau) \mathcal{Q}(\tau - \tau') \mathcal{P}(\tau'))$$

$$+ \int d\tau \text{Tr} (s_0 \mathcal{P}(\tau)) .$$

Path integral over a superspin $\mathcal{P}(\tau)$ with a self-consistent self-interaction $\mathcal{Q}(\tau)$ and a ‘Zeeman superfield’ s_0 .

Random t-J model (metal)

$$\begin{aligned}
 \mathcal{Z} &= \int \mathcal{D}f_\alpha(\tau) \mathcal{D}b(\tau) \mathcal{D}\lambda(\tau) e^{-\mathcal{S}_B - \mathcal{S}_{tJ}} \\
 \mathcal{S}_B &= \int d\tau \left[f_\alpha^\dagger(\tau) \left(\frac{\partial}{\partial \tau} + i\lambda \right) f_\alpha(\tau) + b^\dagger(\tau) \left(\frac{\partial}{\partial \tau} + i\lambda \right) b(\tau) - i\lambda \right] \\
 \mathcal{S}_{tJ} &= \int d\tau s_0 f_\alpha^\dagger(\tau) f_\alpha(\tau) + t^2 \int d\tau d\tau' R(\tau - \tau') c_\alpha^\dagger(\tau) c_\alpha(\tau') \\
 &\quad - \frac{J^2}{2} \int d\tau d\tau' Q(\tau - \tau') \vec{S}(\tau) \cdot \vec{S}(\tau') .
 \end{aligned}$$

From this action we determined the correlators

SU(1|2) theory

$$\begin{aligned}
 \overline{R}(\tau - \tau') &= -\langle c_\alpha(\tau) c_\alpha^\dagger(\tau') \rangle_{\mathcal{Z}} \\
 \overline{Q}(\tau - \tau') &= \frac{1}{3} \langle \vec{S}(\tau) \cdot \vec{S}(\tau') \rangle_{\mathcal{Z}}
 \end{aligned}$$

and finally impose the self-consistency conditions

$R(\tau) = \overline{R}(\tau) \quad , \quad Q(\tau) = \overline{Q}(\tau)$.

Random t-J model (metal)

$$\begin{aligned}
 \mathcal{Z} &= \int \mathcal{D}\mathbf{b}_\alpha(\tau) \mathcal{D}\mathbf{f}(\tau) \mathcal{D}\lambda(\tau) e^{-\mathcal{S}_B - \mathcal{S}_{tJ}} \\
 \mathcal{S}_B &= \int d\tau \left[\mathbf{b}_\alpha^\dagger(\tau) \left(\frac{\partial}{\partial \tau} + i\lambda \right) \mathbf{b}_\alpha(\tau) + \mathbf{f}^\dagger(\tau) \left(\frac{\partial}{\partial \tau} + i\lambda \right) \mathbf{f}(\tau) - i\lambda \right] \\
 \mathcal{S}_{tJ} &= \int d\tau s_0 \mathbf{b}_\alpha^\dagger(\tau) \mathbf{b}_\alpha(\tau) + t^2 \int d\tau d\tau' R(\tau - \tau') c_\alpha^\dagger(\tau) c_\alpha(\tau') \\
 &\quad - \frac{J^2}{2} \int d\tau d\tau' Q(\tau - \tau') \vec{S}(\tau) \cdot \vec{S}(\tau') .
 \end{aligned}$$

From this action we determined the correlators

SU(2|1) theory

$$\begin{aligned}
 \overline{R}(\tau - \tau') &= -\langle c_\alpha(\tau) c_\alpha^\dagger(\tau') \rangle_{\mathcal{Z}} \\
 \overline{Q}(\tau - \tau') &= \frac{1}{3} \langle \vec{S}(\tau) \cdot \vec{S}(\tau') \rangle_{\mathcal{Z}}
 \end{aligned}$$

and finally impose the self-consistency conditions

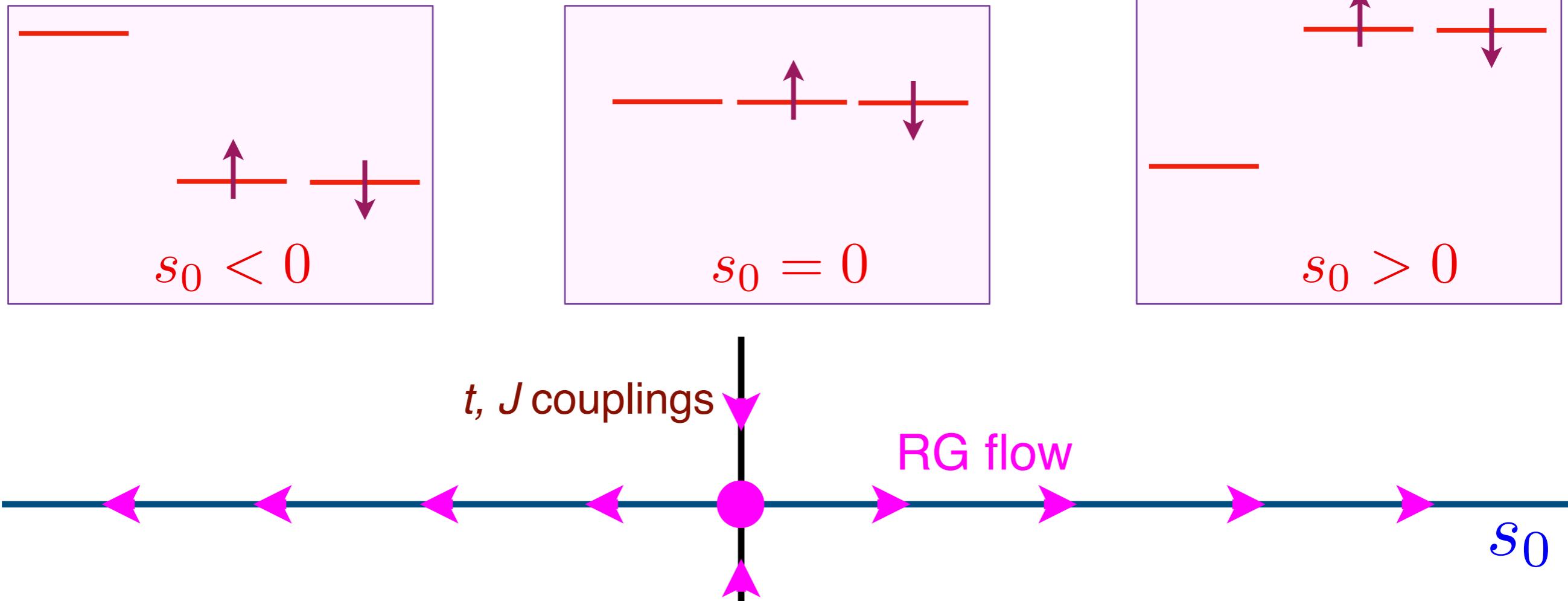
$R(\tau) = \overline{R}(\tau) \quad , \quad Q(\tau) = \overline{Q}(\tau)$.

Random t-J model (metal):RG

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$$\left\langle \vec{S}(\tau) \cdot \vec{S}(0) \right\rangle \sim \frac{1}{|\tau|} \quad , \quad \left\langle c_\alpha(\tau) c_\alpha^\dagger(0) \right\rangle \sim \frac{1}{\tau} .$$

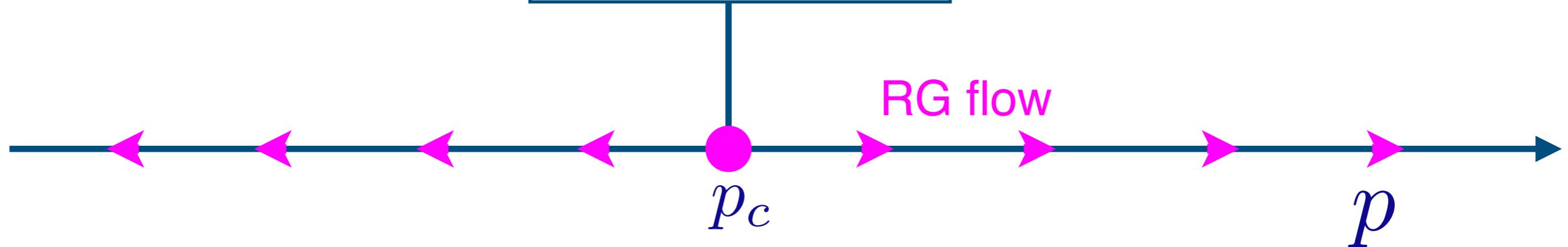
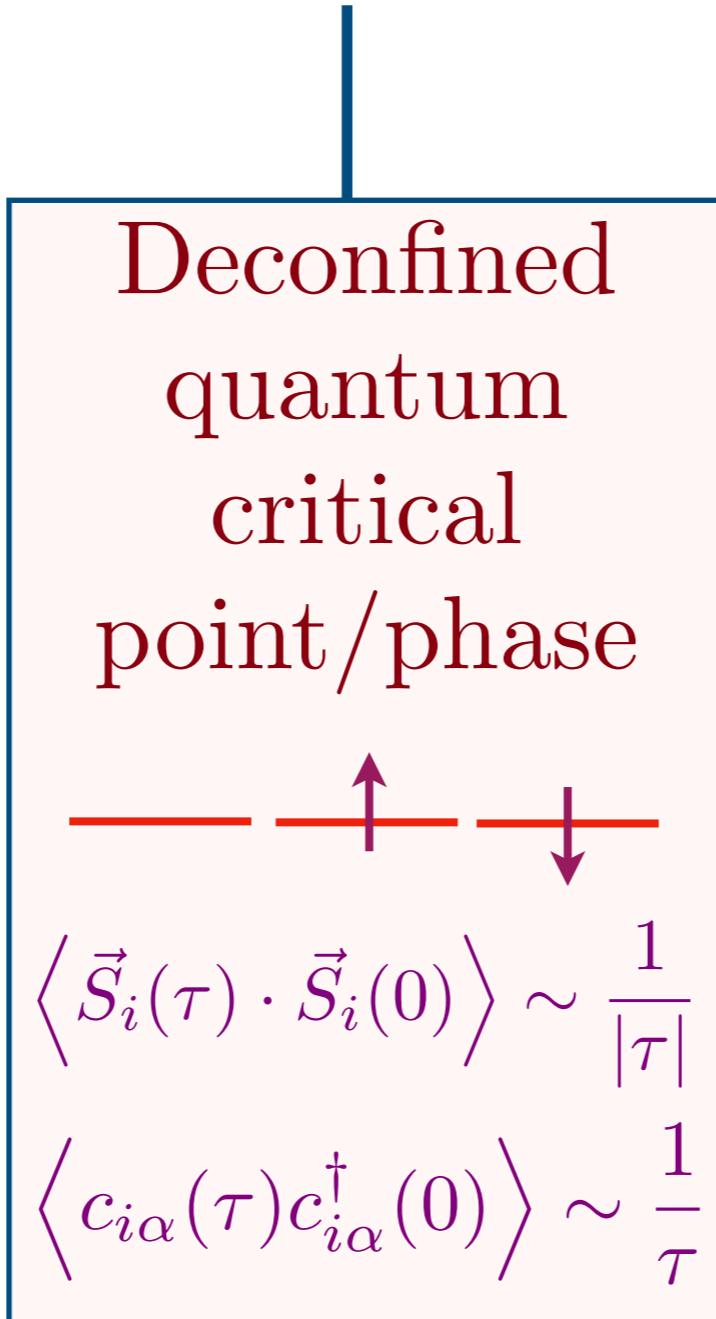
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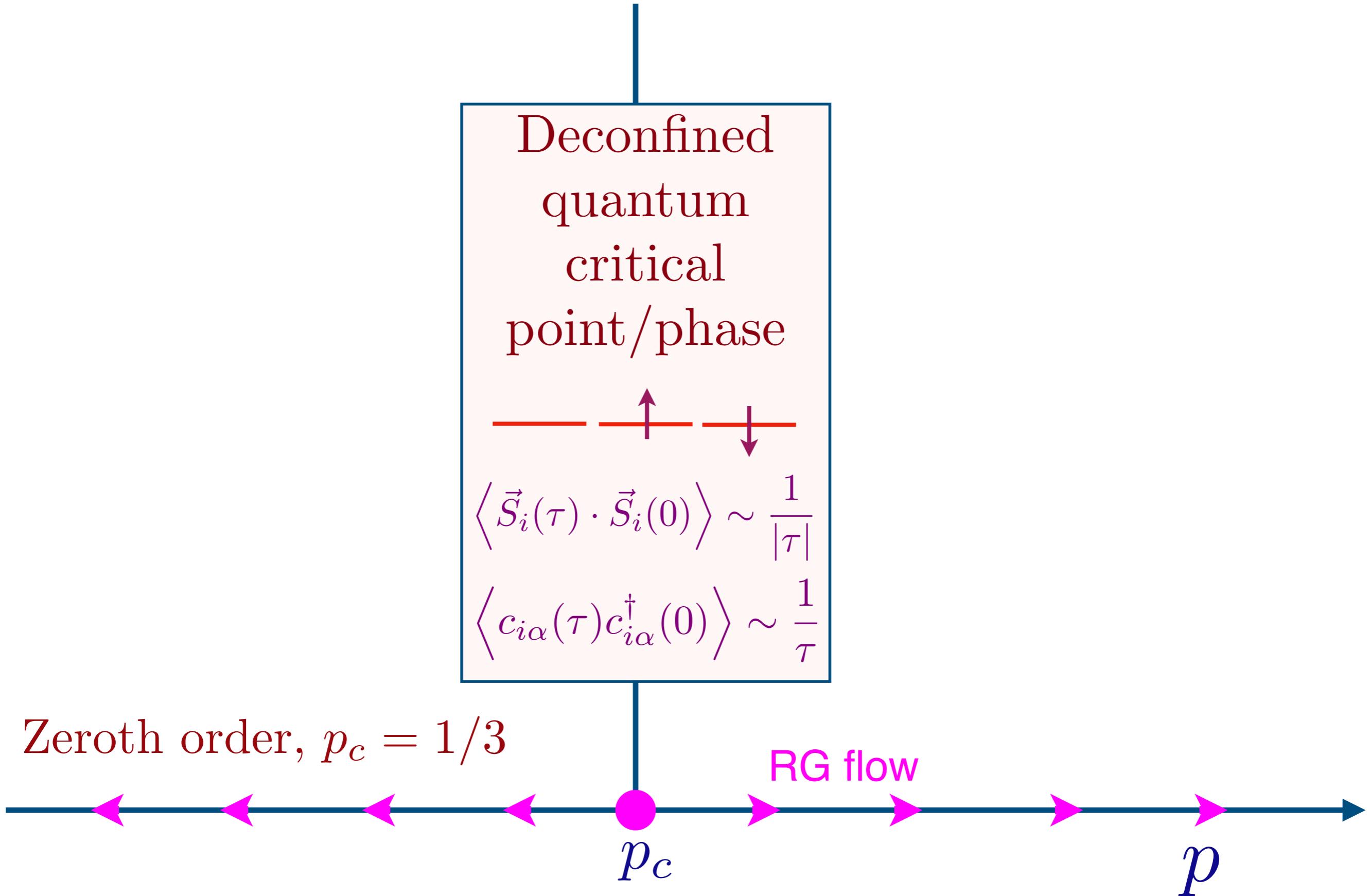
$$\text{Square of spinon correlator} \rightarrow \langle \vec{S}(\tau) \cdot \vec{S}(0) \rangle \sim \frac{1}{|\tau|}, \quad \langle c_\alpha(\tau) c_\alpha^\dagger(0) \rangle \sim \frac{1}{\tau}. \quad \text{Product of spinon and holon correlators}$$

- These exponents do not have a quasiparticle interpretation. However, they can be understood (in a large M limit of a model with $SU(M)$ symmetry) by *fractionalization* of the electron into a spinon and holon, each of which decay as $1/\sqrt{\tau}$.

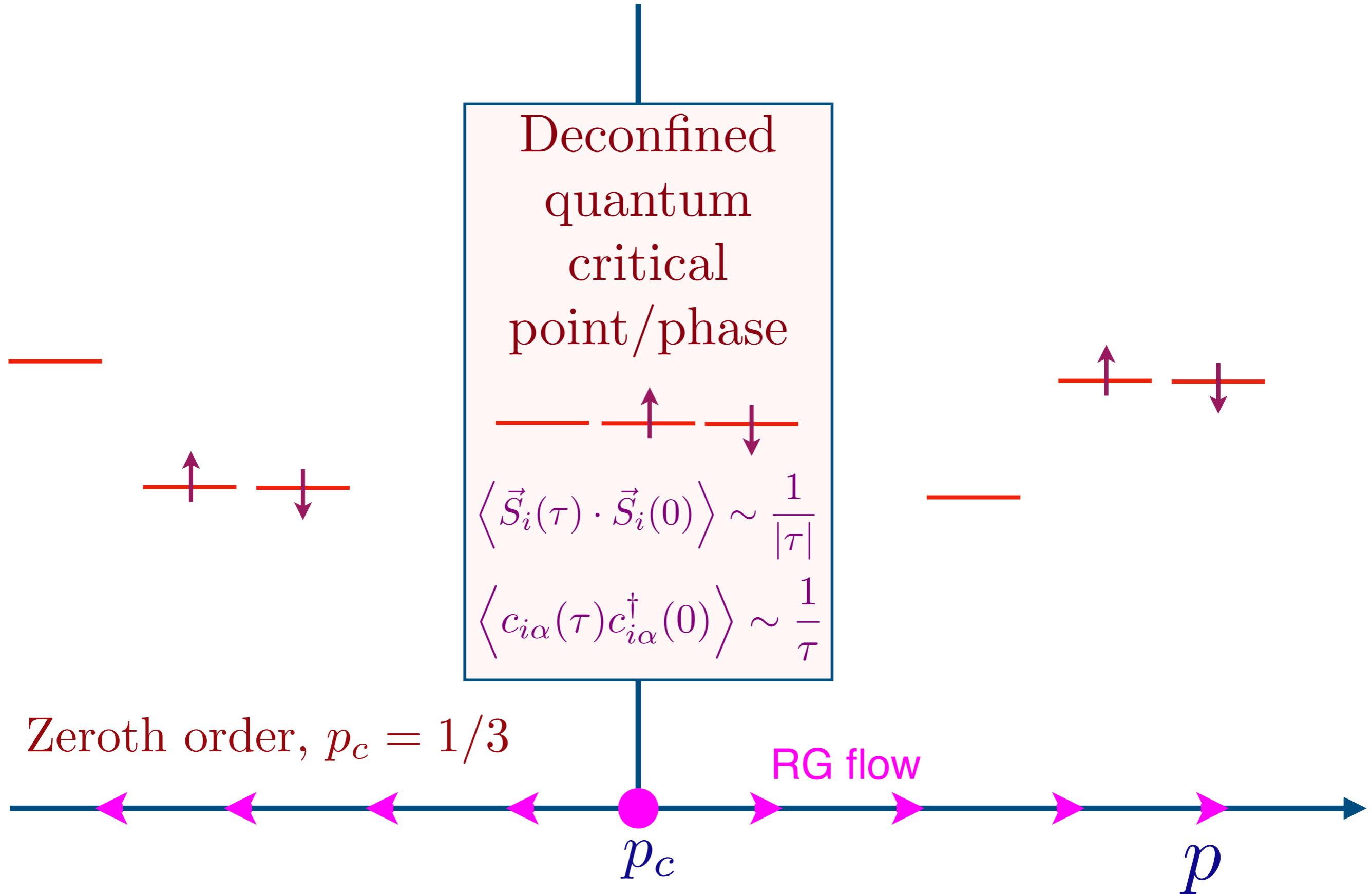
t - J phase diagram: RG using either $SU(2|1)$ or $SU(1|2)$



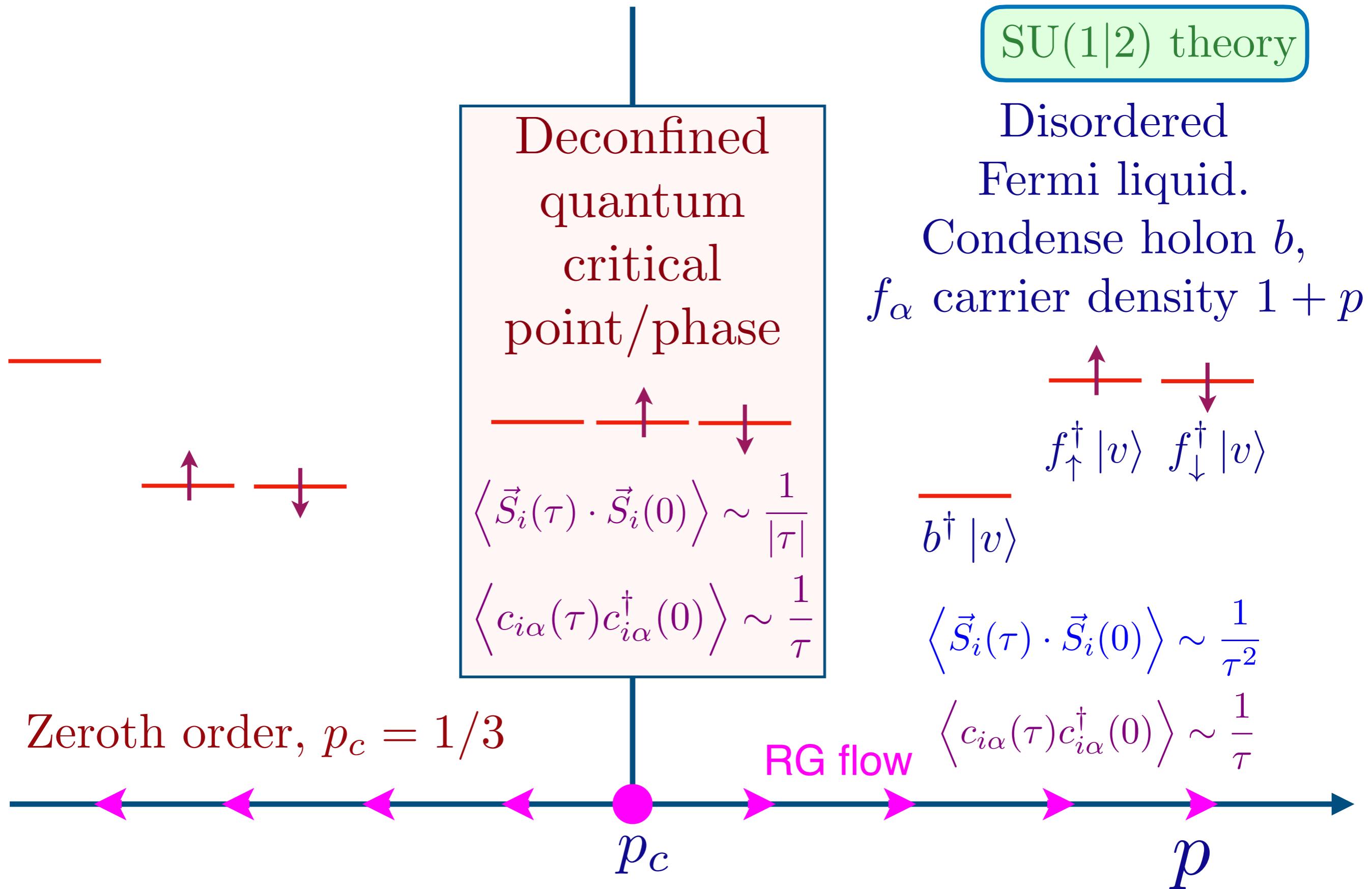
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$SU(2|1)$ theory

Metallic spin glass.

Condense spinon b_α , f carrier density p

$$\begin{array}{c} f^\dagger |v\rangle \\ \hline b_\uparrow^\dagger |v\rangle \quad b_\downarrow^\dagger |v\rangle \end{array}$$

$$\langle \vec{S}_i(\tau) \cdot \vec{S}_i(0) \rangle \sim \text{constant}$$

$$\langle c_{i\alpha}(\tau) c_{i\alpha}^\dagger(0) \rangle \sim \frac{1}{\tau}$$

Deconfined quantum critical point/phase

$$\begin{array}{c} \hline \uparrow \quad \downarrow \\ \hline \end{array}$$

$$\langle \vec{S}_i(\tau) \cdot \vec{S}_i(0) \rangle \sim \frac{1}{|\tau|}$$

$$\langle c_{i\alpha}(\tau) c_{i\alpha}^\dagger(0) \rangle \sim \frac{1}{\tau}$$

RG flow

$$p_c$$

$SU(1|2)$ theory

Disordered Fermi liquid.

Condense holon b , f_α carrier density $1 + p$

$$\begin{array}{c} \uparrow \quad \downarrow \\ f_\uparrow^\dagger |v\rangle \quad f_\downarrow^\dagger |v\rangle \\ \hline b^\dagger |v\rangle \end{array}$$

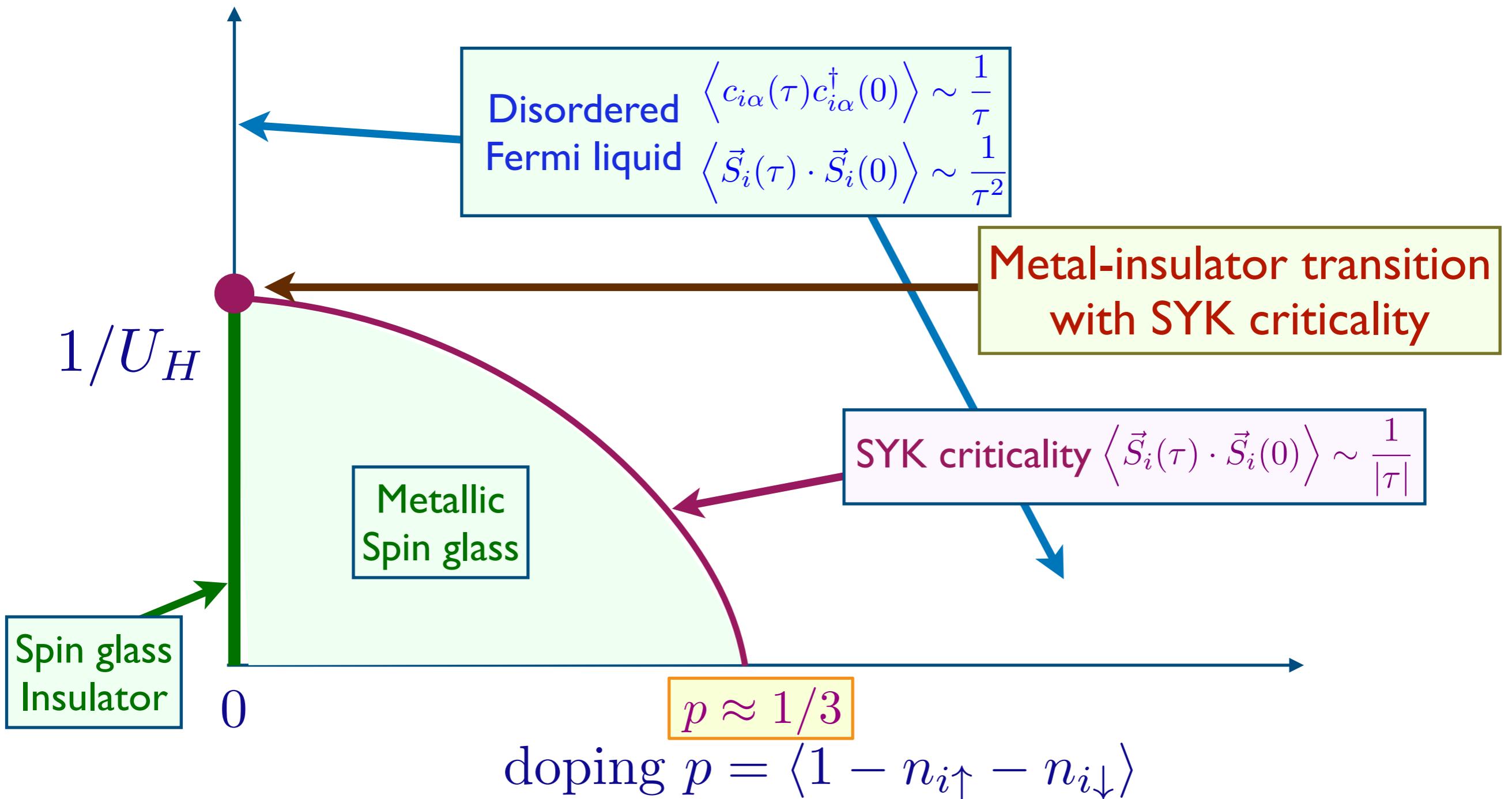
$$\langle \vec{S}_i(\tau) \cdot \vec{S}_i(0) \rangle \sim \frac{1}{\tau^2}$$

$$\langle c_{i\alpha}(\tau) c_{i\alpha}^\dagger(0) \rangle \sim \frac{1}{\tau}$$

$$p$$

Random t - J - U_H model

$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i < j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j + U_H \sum_{i=1}^N n_{i\uparrow} n_{i\downarrow}$$



At the critical point/phase of the t - J model, the Fermi liquid-like behavior of the electron Green's function

$$\left\langle c_{i\alpha}(\tau) c_{i\alpha}^\dagger(0) \right\rangle \sim \frac{1}{\tau}$$

leads to a non-zero *residual resistivity*, $\rho(0) \neq 0$.

However, the critical state is *not* a Fermi liquid, as indicated by the slow decay of the spin correlations

$$\left\langle \vec{S}_i(\tau) \cdot \vec{S}_i(0) \right\rangle \sim \frac{1}{|\tau|}$$

Moreover, in a Fermi liquid, we expect $\rho(T) - \rho(0) \sim T^2$, which also does not hold here.

Time reparameterization soft mode

The dominant corrections to the $\text{SL}(2, \mathbb{R})$ invariant critical Green's function can arise from the time reparameterization soft mode, and these take the form

$$\langle c_{i\alpha}(\tau) c_{i\alpha}^\dagger(0) \rangle \sim \frac{\pi T}{\sin(\pi T\tau)} \left(1 + \alpha_G \frac{T}{J} \Phi_{\text{non-conformal}}(T\tau) \right)$$

where $\Phi_{\text{non-conformal}}(T\tau)$ is a computable (in the large M limit) scaling function, and α_G is universally proportional to the co-efficient α_S of the Schwarizan action for the time reparameterization mode.

J. Maldacena and D. Stanford, PRD **94**, 106002 (2016)

A. Kitaev and J. Suh, JHEP **183** (2018)

Haoyu Guo, Yingfei Guo, S. Sachdev, Annals of Physics **418**, 168202 (2020)

Time reparameterization soft mode

Computing the resistivity from this Green's function via the Kubo formula, we find

$$\rho(T) = \rho(0) \left(1 + 8\alpha_G \frac{T}{J} + \dots \right)$$

Haoyu Guo, Yingfei Guo, S. Sachdev, Annals of Physics **418**, 168202 (2020)

I. All-to-all random Hubbard and t - J models

Numerical results

2. Random J model (insulator)

RG analysis and exact exponent

3. Random t - J model (metals)

RG analysis and exact exponents

4. Non-random t - J model (metals)

Ancilla qubits and ghost Fermi surfaces

Carrier density transitions in Kondo lattice models

Kondo lattice of f electron spins coupled to a conduction band of c electrons.

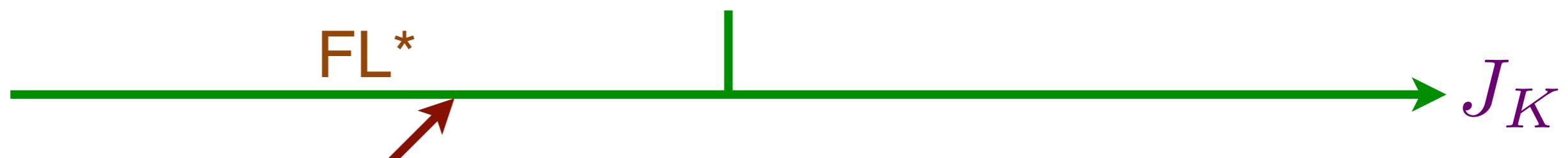
Kondo-breakdown or ‘selective Mott’ transition



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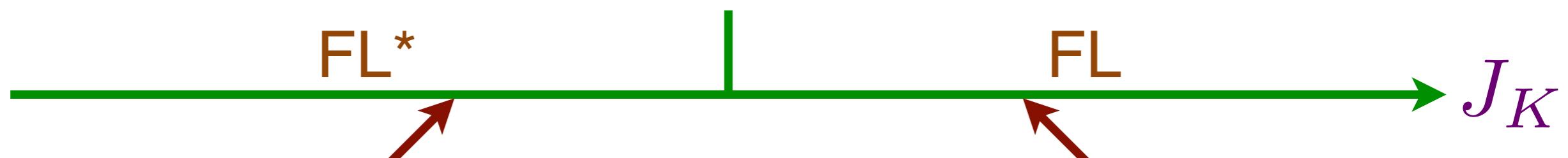


Small Fermi surface
 $|\Phi\rangle = |\text{Spin liquid insulator of } f\rangle \otimes |\text{Slater determinant of } c\rangle$

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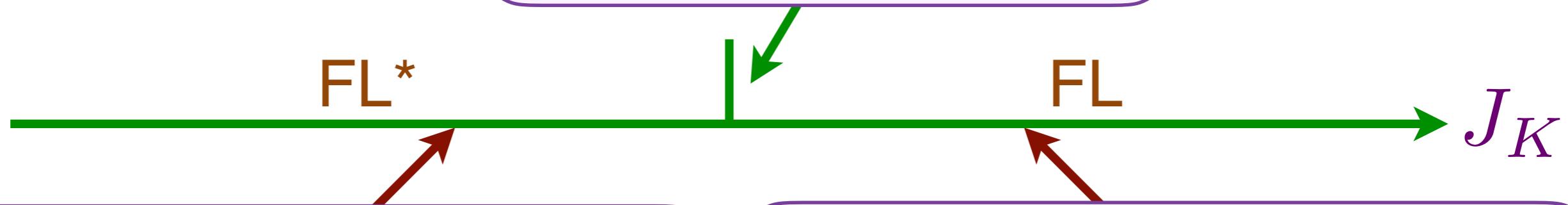
Large Fermi surface

$|\Phi\rangle = [\text{Projection onto one } f \text{ per site}] \bowtie |\text{Slater determinant of } (c, f)\rangle$

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U(1) gauge theory of a ‘hybridization-Higgs’ boson which condenses on the ‘Large Fermi surface’ side.



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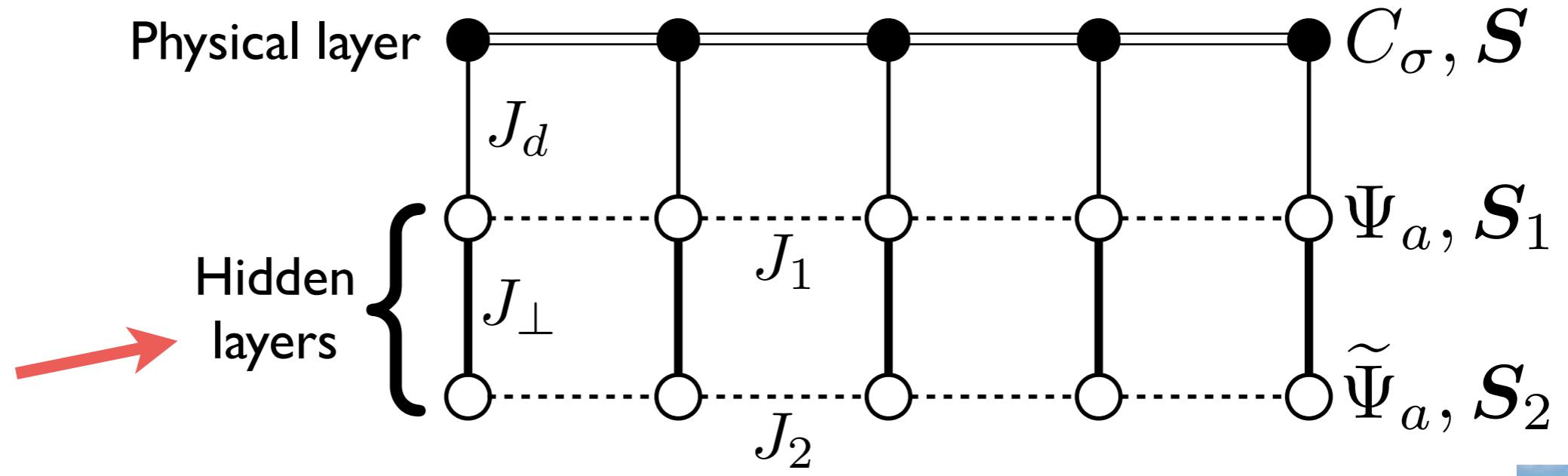
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Carrier density transitions in a one-band model

Ancilla
qubits



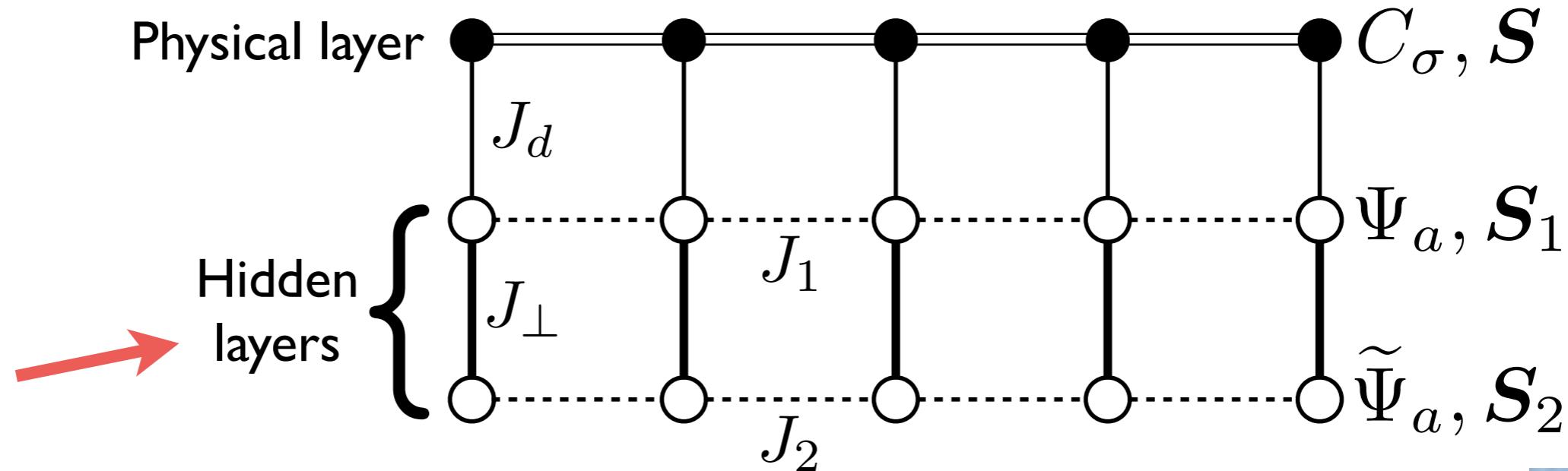
Yahui Zhang

J_d



Carrier density transitions in a one-band model

Ancilla
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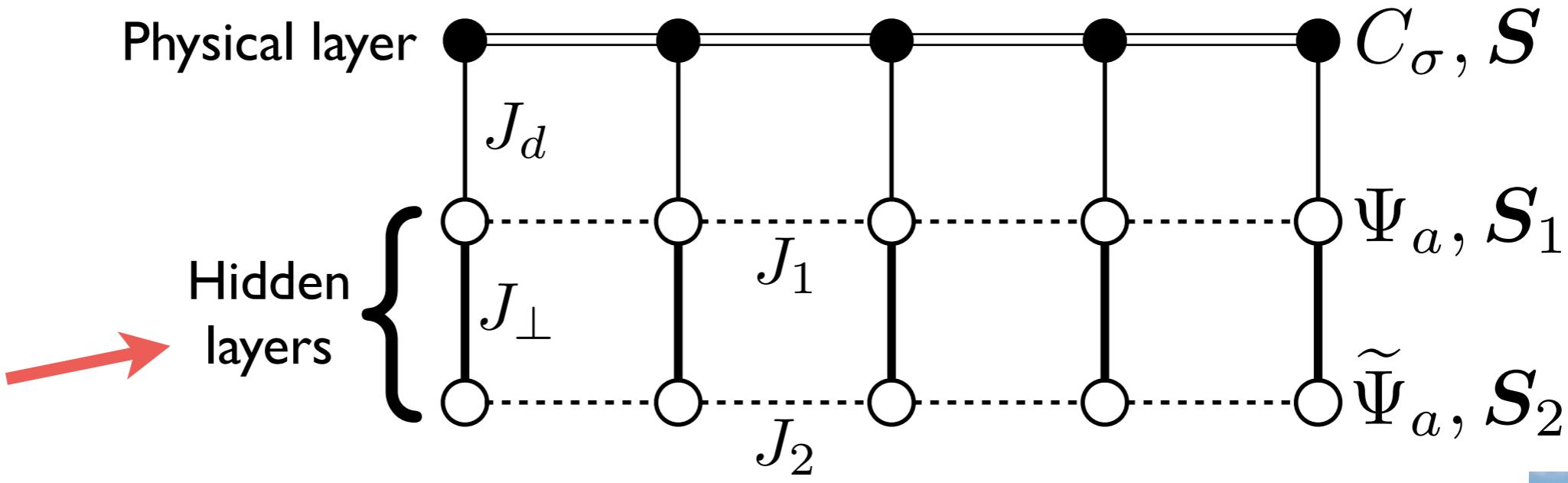


Large Fermi surface

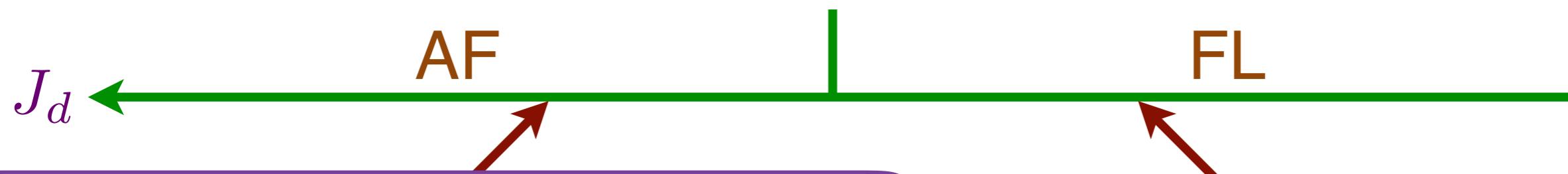
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Carrier density transitions in a one-band model

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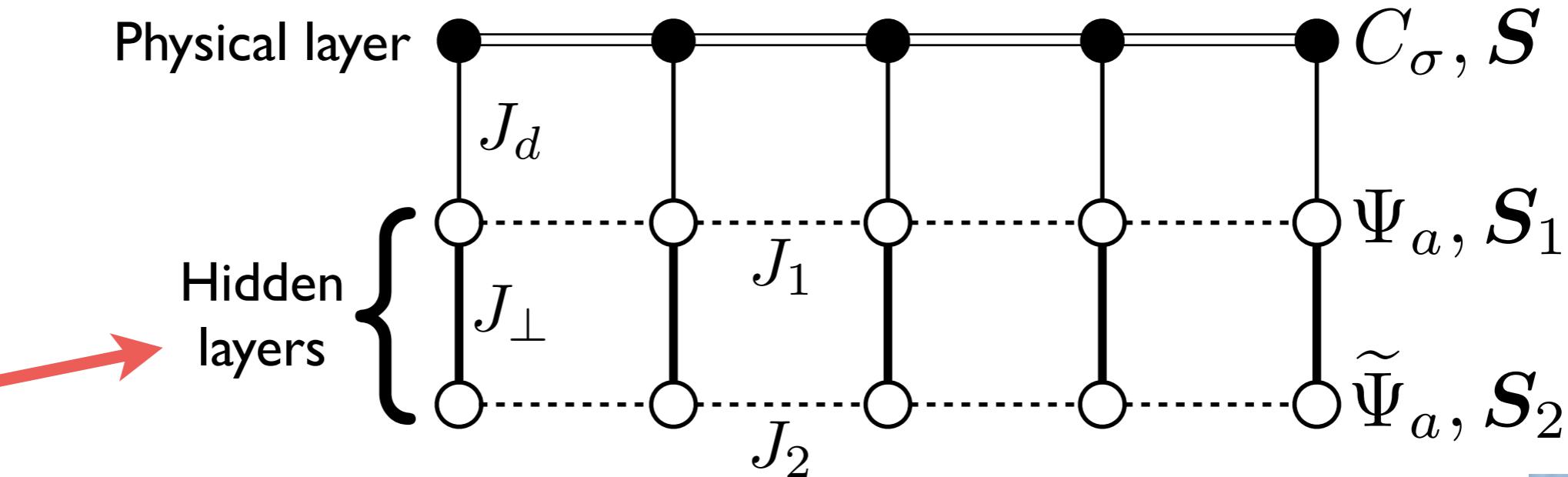
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Carrier density transitions in a one-band model

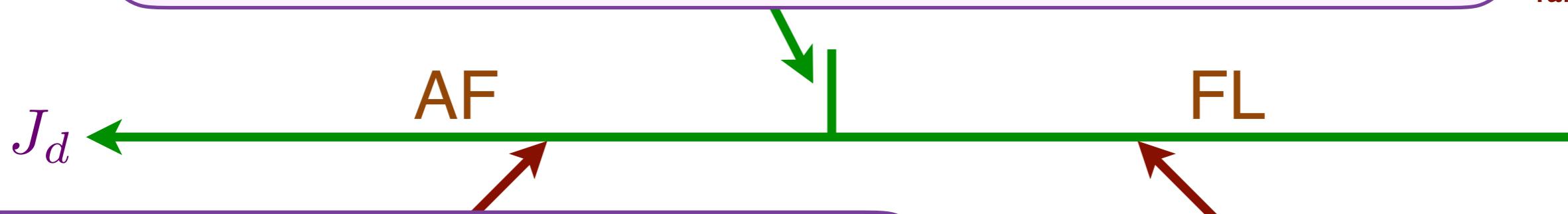
Ancilla
qubits



$(U(1) \times U(1))/Z_2$ gauge theory of a ghost Fermi surface and a ‘hybridization-Higgs’ boson which condenses on the ‘Small Fermi surface’ side.



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