

Boris Kayser Day!

Neutrino Mass Matrix and Hierarchy

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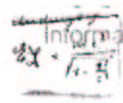
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Neutrino Model ⁽¹⁾

1. Assume Strict Hierarchy
Analogous to quarks, charged leptons.
2. Use known Data: Δ_{sol} , Δ_{atm} ,
Mixing θ s from \downarrow Oscillation Expts.
3. Assuming 1. is a statement about
mass ratios

$$\boxed{1,2,3} \rightarrow M_{\nu} \quad \text{Mass Matrix}$$

$$U \quad \text{Mixing Matrix}$$

4. M_{ν}, U matrix elements given in
powers of Λ
5. Λ = analog of λ
 \downarrow neutrinos $\quad \downarrow$ quarks, charged leptons
6. $\Lambda = 1.6 \lambda$
7. $M_{\nu_{\mu\tau}} \leq 0.004 \text{ eV} \quad (s_{13}=0)$
 $\leq 0.006 \text{ eV} \quad (s_{13} \leq .13)$

hep-ph/0211338
(revised to include KamLAND)

Quarks + Charged Leptons ⁽²⁾

Hierarchical Model Successful!

$$M, U \equiv f(\lambda = \sqrt{m_2/m_3}) \quad 0.22 < \lambda < 0.25$$

Can we do analogue for Neutrinos?
Big Problem!

Know only \geq mass squared differences

$$\Delta_{sol} = M_2^2 - M_1^2 \approx 7.1 \times 10^{-5} \text{ eV}^2$$

$$\Delta_{atm} = M_3^2 - M_2^2 \approx 2.7 \times 10^{-3} \text{ eV}^2$$

This allows m_2/m_3 to range from
1 (degeneracy) to small $\sim 1:2$ (hierarchy)

To determine 3 masses,
Need one more Equ.

Provided by Hierarchy Assumption.

Mass Matrix M (3)

$$M = \begin{vmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{vmatrix}$$

$m_1, m_2, m_3 =$ Neutrino mass eivs (Maj.)

M can be undiagonalized by

U

$$M_{\nu} = U M U^{-1}$$

See Ma
hep-ph/0208097

M_{ν} has flavor eigenstates

$$\Psi_{\nu e}, \Psi_{\nu \mu}, \Psi_{\nu \tau}$$

Mixing Matrix U (4)

U rotates mass (Maj) eigenstates

$\Psi_{1,2,3}$ into flavor eigenstates

$$\Psi_{\nu e}, \Psi_{\nu \mu}, \Psi_{\nu \tau}$$

$$U = \begin{bmatrix} c_{12}c_{13} & -s_{12}c_{13} & s_{13}e^{-i\delta} \\ s_{12}c_{23} + c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & -c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & c_{12}s_{23} + s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{bmatrix}$$

Let $\delta = 0$ (No CP violation)

Let $s_{23} = c_{23} = \frac{1}{\sqrt{2}}$ (Maximal mixing for Atmospheric Oscillations)

$$U = \begin{bmatrix} c_{13} & -s_{13} & s_{13} \\ \frac{1}{\sqrt{2}}s + \frac{1}{\sqrt{2}}c s_{13} & \frac{1}{\sqrt{2}}c - \frac{1}{\sqrt{2}}s s_{13} & -\frac{1}{\sqrt{2}}c_{13} \\ \frac{1}{\sqrt{2}}s - \frac{1}{\sqrt{2}}c s_{13} & \frac{1}{\sqrt{2}}c + \frac{1}{\sqrt{2}}s s_{13} & \frac{1}{\sqrt{2}}c_{13} \end{bmatrix}$$

θ_{13} small

$s_{13} \leq 0.13$ (No lower limit yet!)

From Kamland Data Analysis

Assume $s_{13} = 0$ [will do finite value] analysis later.

$$\therefore c_{13} = 1$$

5

$$U = \begin{bmatrix} C & -S & 0 \\ S/\sqrt{2} & C/\sqrt{2} & -1/\sqrt{2} \\ S/\sqrt{2} & C/\sqrt{2} & 1/\sqrt{2} \end{bmatrix}$$

where $S = \sin(\theta_{12}), C = \cos(\theta_{12})$ and we have set the rotation angle $\theta_{23} = \pi/4$ (maximal mixing) and the angle $\theta_{13} = 0$, no CP violation.

To lowest order in S (expanding C in Eq. (3) in terms of S), U is given by

$$U_1 = \begin{bmatrix} 1 & -S & 0 \\ S/\sqrt{2} & 1/\sqrt{2} & -1/\sqrt{2} \\ S/\sqrt{2} & 1/\sqrt{2} & 1/\sqrt{2} \end{bmatrix}$$

which, except for the extreme θ_{23} mixing, is much like the quark mixing matrix. In fact, if we consider U_1 to be the result of two successive rotations, $U_1 = v_1 v_0$, we get

$$v_0 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1/\sqrt{2} & -1/\sqrt{2} \\ 0 & 1/\sqrt{2} & 1/\sqrt{2} \end{bmatrix}$$

and

$$v_1 = \begin{bmatrix} 1 & -S/\sqrt{2} & -S/\sqrt{2} \\ S/\sqrt{2} & 1 & 0 \\ S/\sqrt{2} & 0 & 1 \end{bmatrix}$$

Suggests that an appropriate expansion parameter for U is $\epsilon = S/\sqrt{2}$

$$\epsilon = S/\sqrt{2} = \sin(\theta_{12})/\sqrt{2}$$

Currently: $\tan^2(\theta_{12}) = T^2 = 0.45$

$\theta_{12} \approx 34^\circ, S^2 = 0.31$

$$\therefore \epsilon^2 \approx S^2/2 = 0.16$$

Parsons-Marfatia, Bahcall et al, Pascoli, Petcov, Rodighiero

Diagonal Mass Matrix 6

Define conventional hierarchical mass pattern by

$$m_3 : m_2 : m_1 = 1 : \Lambda^2 : \rho \Lambda^4$$

$\rho = 1 \Rightarrow$ strict hierarchy
 ρ expresses our ignorance of m_1

Three eigenvalues of M

$$M = M_L \begin{bmatrix} \rho \Lambda^4 & 0 & 0 \\ 0 & \Lambda^2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$M_L$$

$$m_2 = \Lambda^2 m_3$$

$$m_1 = \rho \Lambda^4 m_3$$

Hierarchy expansion parameter Λ

$$\Lambda \equiv \sqrt{m_2/m_3}$$

Evaluation of Λ

⑦

 $\Lambda^2 \equiv m_2/m_3$ in hierarchical expansion

$$\Delta_{sol} = m_2^2 - m_1^2 \approx 7.1 \times 10^{-5} \text{ eV}^2$$

$$\Delta_{atm} = m_3^2 - m_2^2 = 2.7 \times 10^{-3} \text{ eV}^2$$

Pascali et al
Fogli et al

$$\sqrt{\frac{\Delta_{sol}}{\Delta_{atm}}} = \sqrt{\frac{m_2^2 - m_1^2}{m_3^2 - m_2^2}} = \sqrt{\frac{\Lambda^4 m_3^2 - \rho^2 \Lambda^8 m_3^2}{m_3^2 - \Lambda^4 m_3^2}}$$
$$= \Lambda^2 + \frac{1}{2} \Lambda^6 (1 - \rho^2) \quad \left(\begin{array}{l} \text{Expanding} \\ \text{in } \Lambda^2 \end{array} \right)$$

 \therefore To order Λ^4

$$\Lambda^2 = \sqrt{\frac{\Delta_{sol}}{\Delta_{atm}}} = 0.16$$

$$\epsilon^2 = s^2/2 = 0.16$$

So: Phenomenologically,
There is a close relation
between Λ and ϵ , or
between Λ and θ_{12} !

M and U as $M(\Lambda), U(\Lambda)$ ⑧Assume that $\Lambda = s/\sqrt{2}, \Lambda^2 = s/2$

Express M and U as functions
of one parameter $\Lambda = \sqrt{m_2/m_3}$
in analogy with the Wolfenstein
parameterization for quarks and
charged leptons.

$$U = \begin{bmatrix} \sqrt{(1-2\Lambda^2)} & -\sqrt{2}\Lambda & 0 \\ \Lambda & \sqrt{(1/2)(1-2\Lambda^2)} & -1/\sqrt{2} \\ \Lambda & \sqrt{(1/2)(1-2\Lambda^2)} & -1/\sqrt{2} \end{bmatrix}$$

All expansions are made
in terms of $\Lambda^2 = 0.16$

$$M_{\nu\nu} = U M U^{-1}$$

(9)

$$M = m_3 \begin{bmatrix} \Lambda^4 \rho & 0 & 0 \\ 0 & \Lambda^2 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

To order Λ^4 , M_ν is given by

$$M_{\nu_4} = m_3 \begin{bmatrix} \Lambda^4(\rho+2) & -\Lambda^3 & -\Lambda^3 \\ -\Lambda^3 & -\Lambda^4 + (1/2)\Lambda^2 + 1/2 & -\Lambda^4 + (1/2)\Lambda^2 - 1/2 \\ -\Lambda^3 & -\Lambda^4 + (1/2)\Lambda^2 - 1/2 & -\Lambda^4 + (1/2)\Lambda^2 + 1/2 \end{bmatrix}$$

Determine m_3, m_2, m_1 to order Λ^4 .

Doing this depends on the Hierarchy Assumption, but is independent of U . $\Lambda = \sqrt{\frac{m_2}{m_3}} = \sqrt{1.6} = 0.4$

$$m_3 = \sqrt{\Delta_{atm} + \Delta_{sol}} = 5.2 \times 10^{-2} \text{ eV}$$

$$m_2 = \Lambda^2 m_3 = \sqrt{\Delta_{sol} / \Delta_{atm}} m_3 = 8.3 \times 10^{-3} \text{ eV}$$

$$m_1 = \rho \Lambda^4 m_3 = \rho (\Delta_{sol} / \Delta_{atm}) m_3 = 1.3 \rho \times 10^{-3} \text{ eV}$$

ρ is a parameter of order 1.

It expresses our ignorance of the lightest neutrino mass, m_1 .

Effective Neutrino Mass $\langle m \rangle$ (10)

measured in $\beta\beta\beta$

$\langle m \rangle$ is given by $M_{\nu_{ee}}$, the (1,1) matrix element of M_ν .

Using U and M_ν to order Λ^6 we obtain:

$$\begin{aligned} \langle m \rangle &= M_{\nu_{ee}} = m_3 \Lambda^4 [\rho(1-2\Lambda^2) + 2] \\ &= (0.7\rho + 2) \times 1.3 \times 10^{-3} \text{ eV} \end{aligned}$$

Various limits:

Upper limit - $\rho = 2, m_1 = 2.6 \times 10^{-3} \text{ eV}$
(for $S_{13} = 0$)

$$\langle m \rangle \leq 4 \times 10^{-3} \text{ eV}$$

Lower limit - $\rho = -2, m_1 = -2.6 \times 10^{-3} \text{ eV}$

$$\langle m \rangle \approx 8 \times 10^{-4} \text{ eV}$$

$$\therefore 10^{-3} \text{ eV} < \langle m \rangle < 4 \times 10^{-3} \text{ eV}$$

Finite $S_{13} = \sin(\theta_{13})$
 Scale S_{13} : $S_{13} = \Delta \Lambda^2$ $|\Delta| \leq 1$ Ma
Giunti +
Taniguchi (11)

$$M_{\nu 3} = m_3 \begin{bmatrix} \Lambda^4(\kappa^2 + \rho + 2) & -\Lambda^3 - \frac{1}{\sqrt{2}}\Lambda^2\kappa & -\Lambda^3 + \frac{1}{\sqrt{2}}\Lambda^2\kappa \\ -\Lambda^3 - \frac{1}{\sqrt{2}}\Lambda^2\kappa & -\frac{1}{2}\Lambda^4(\kappa^2 + 1) + \frac{1}{2}\Lambda^2 + \frac{1}{2} & \frac{1}{2}\Lambda^4(\kappa^2 + 1) + \frac{1}{2}\Lambda^2 - \frac{1}{2} \\ -\Lambda^3 + \frac{1}{\sqrt{2}}\Lambda^2\kappa & \frac{1}{2}\Lambda^4(\kappa^2 - 1) + \frac{1}{2}\Lambda^2 - \frac{1}{2} & -\frac{1}{2}\Lambda^4(\kappa^2 + 1) + \frac{1}{2}\Lambda^2 + \frac{1}{2} \end{bmatrix}$$

2 parameters ρ, Δ , each of order 1
 $\rho =$ defined by $m_1 = \rho \Lambda^4 / m_3$
 $\Delta =$ " " $S_{13} = \Delta \Lambda^2$

Three interesting regimes for Δ

1. $\Delta = S_{13} = 0$. Discussed before.

$$M_{\nu ee} = \langle m \rangle = \Lambda^4(\rho + 2)m_3$$

$$M_{\nu e\mu} = M_{\nu e\tau} = -\Lambda^3 m_3$$

2. $\Delta = 1, S_{13} = \Lambda^2$

$$M_{\nu ee} = \langle m \rangle = \Lambda^4(\rho + 2 + \Delta \Lambda^2)m_3 = \Lambda^4(\rho + 3)m_3$$

$$M_{\nu e\mu} = M_{\nu e\tau} = \pm \frac{1}{\sqrt{2}} \Lambda^2 m_3$$

3. $\Delta = \Delta \Lambda^2, S_{13} = \Delta \Lambda^4$

$$M_{\nu 3} = m_3 \begin{bmatrix} \Lambda^4(\rho + 2) & -\Lambda^3(1 + \frac{\kappa'}{\sqrt{2}}) & -\Lambda^3(1 - \frac{\kappa'}{\sqrt{2}}) \\ -\Lambda^3(1 + \frac{\kappa'}{\sqrt{2}}) & -\Lambda^4 + \Lambda^2/2 + \frac{1}{2} & -\Lambda^4 + \Lambda^2/2 - \frac{1}{2} \\ -\Lambda^3(1 - \frac{\kappa'}{\sqrt{2}}) & -\Lambda^4 + \Lambda^2/2 - \frac{1}{2} & -\Lambda^4 + \Lambda^2/2 + \frac{1}{2} \end{bmatrix}$$

$$M_{\nu e\mu} = -\Lambda^3 \left[1 + \frac{\Delta \Lambda^2}{\sqrt{2}} \right] m_3$$

$$M_{\nu e\tau} = -\Lambda^3 \left[1 - \frac{\Delta \Lambda^2}{\sqrt{2}} \right] m_3$$

Case 3 is the only case that allows a \odot in an off diagonal element.

\Rightarrow Texture Zero

(12)

Texture Zeros

Have been considered as a source of hierarchies and mixing angles. (Ramond, Ling, Frampton; Glasgow, Maf-fatta, King, Desai...)

Looking at $M_{\nu 43}$ only $\Delta = \Delta \Lambda^2$ provides the possibility of having

\geq texture 0's.

Can we get more 0's?

Try $\rho = -2$ (kills Mee)

$\Delta = \pm \sqrt{2}$ (Either $M_{\nu e\mu}$ or $M_{\nu e\tau} = 0$ to order Λ^4)

More Texture 0

(13)

Let $\rho = -2, \Delta\ell' = -\sqrt{2}$

$$M_{\nu\alpha} = m_3 \begin{bmatrix} 0 & 0 & -2\Lambda^3 \\ 0 & -\Lambda^4 + \Lambda^2/2 + \frac{1}{2} & -\Lambda^4 + \Lambda^2/2 - \frac{1}{2} \\ -2\Lambda^3 & -\Lambda^4 + \Lambda^2/2 - \frac{1}{2} & -\Lambda^4 + \Lambda^2/2 + \frac{1}{2} \end{bmatrix}$$

In terms of masses, $m_2 = \Lambda^2 m_3, m_1 = -2\Lambda^4 m_3$

$$M_{\nu\alpha} = \begin{bmatrix} 0 & 0 & -\sqrt{-2m_1 m_2} \\ 0 & \frac{1}{2}(m_1 + m_2 + m_3) & \frac{1}{2}(m_1 + m_2 - m_3) \\ -\sqrt{-2m_1 m_2} & \frac{1}{2}(m_1 + m_2 - m_3) & \frac{1}{2}(m_1 + m_2 + m_3) \end{bmatrix}$$

Identical to matrix derived by Doss et al, who categorize all mass matrices with 2 textures.

To compare with model of hierarchy generation by Raymond et al, Let $\rho = -2$, Let $S_{13} = \Delta\ell' \Lambda^3$. Keep leading order of Λ in each matrix element. We obtain:

$$M_{\nu\beta} = m_3 \begin{bmatrix} \Lambda^6(4 + \kappa'^2) & -\Lambda^3(1 + \frac{1}{\sqrt{2}}\kappa') & -\Lambda^3(1 - \frac{1}{\sqrt{2}}\kappa') \\ -\Lambda^3(1 + \frac{1}{\sqrt{2}}\kappa') & \frac{1}{2} & -\frac{1}{2} \\ -\Lambda^3(1 - \frac{1}{\sqrt{2}}\kappa') & -\frac{1}{2} & \frac{1}{2} \end{bmatrix}$$

The leading orders of Λ in each M.E. are of the orders indicated in Raymond et al and "tuned" by Fishbane and Kaus.

This model (a SUSY extension of the SM) predicts Λ is the same for all family sectors. Its not; $\Lambda = 0.4, \lambda = 0.25$.

Conclusions

(14)

- The data and the assumed hierarchy pattern imply:

Mixing Matrix U } Expressed in Powers of Expansion Parameter
 Mass Matrix M_{ij} }

AND 2 parameters $\rho + \Delta\ell$ (of order)

ρ expresses ignorance of lightest mass m_1

$$M_{L_1} = 1.3\rho \times 10^{-3} \text{ eV}$$

$\Delta\ell$ scales S_{13} to upper exp. limit

$$S_{13} \approx \Delta\ell \Lambda^3 \approx 0.16 \Delta\ell$$

2. \Rightarrow

$$\Lambda^2 = \frac{m_2}{m_3} = \sqrt{\frac{\Delta_{sol}}{\Delta_{atm}}} \approx 0.16$$

Λ is identical in spirit to λ but $\approx 1.6 \lambda$

- $m_{\beta\beta} \leq 4 \times 10^{-3} \text{ eV}$ ($S_{13} = 0$)
 $\leq 6 \times 10^{-3} \text{ eV}$ (S_{13} maximal)

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