

# Exploring dissipative excitonic dynamics: a consistent treatment from weak to strong environmental coupling

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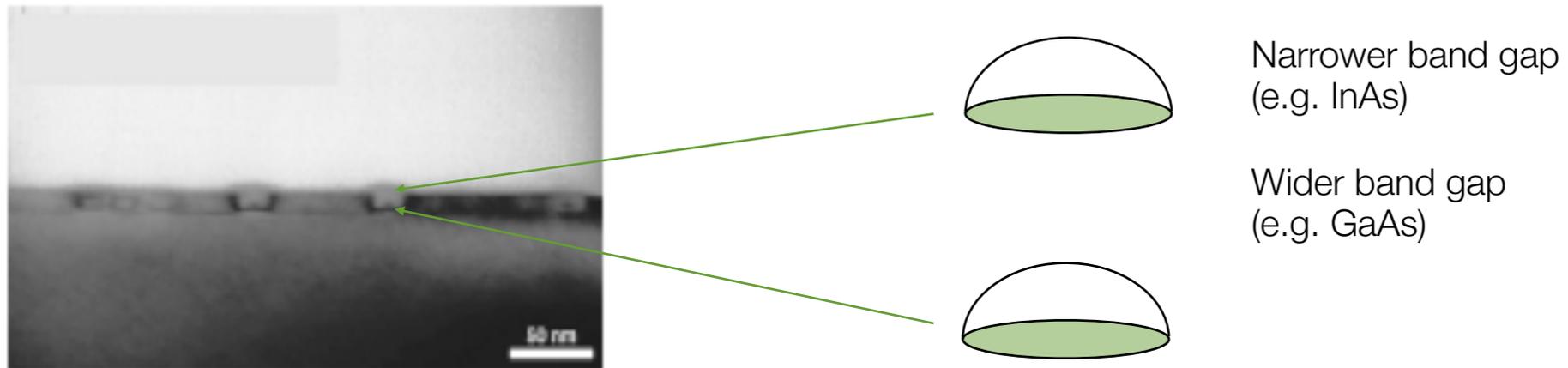
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# Semiconductor quantum dots

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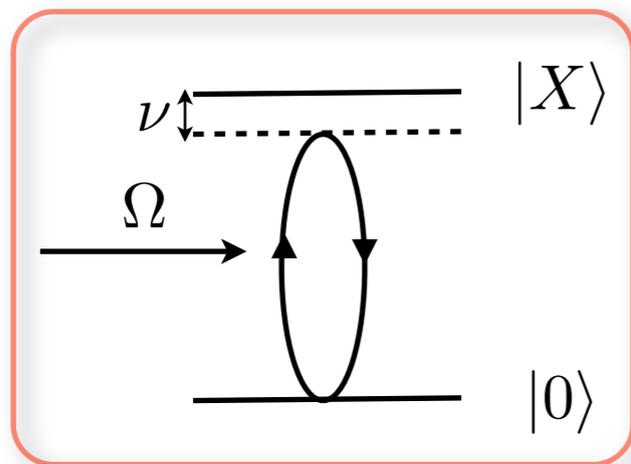
- Semiconductor QDs: Nanoscale islands of narrower band gap material embedded in a surrounding wider band gap material



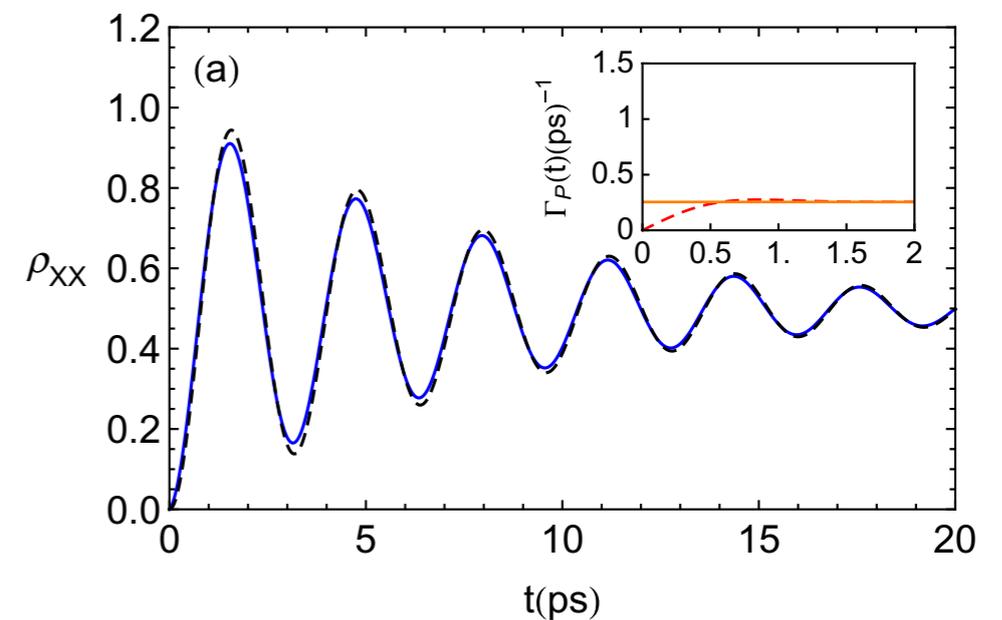
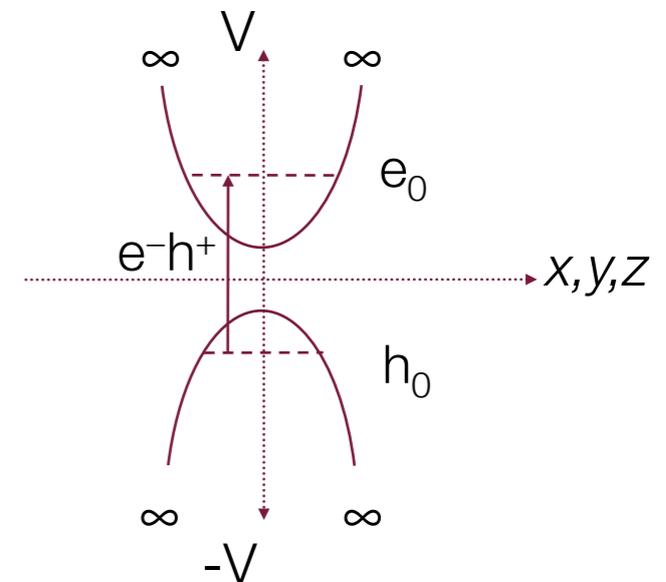
- 3D confinement leads to atomic-like carrier states with discrete energy levels
- For our purpose - 2-level system (pseudo-spin) which is **influenced by the solid-state environment**, here we consider phonons
- How must we **modify** the standard quantum optics treatments to account for the solid-state nature of the system? e.g. influence of phonons on Rabi oscillations, resonance fluorescence spectrum, etc.

# Excitonic two-level system

- Excitons: electron-hole pair states formed under excitation of electron from valence band to conduction band
- Two level system (strong confinement): absence  $|0\rangle$  or presence  $|X\rangle$  of an exciton defines the basis



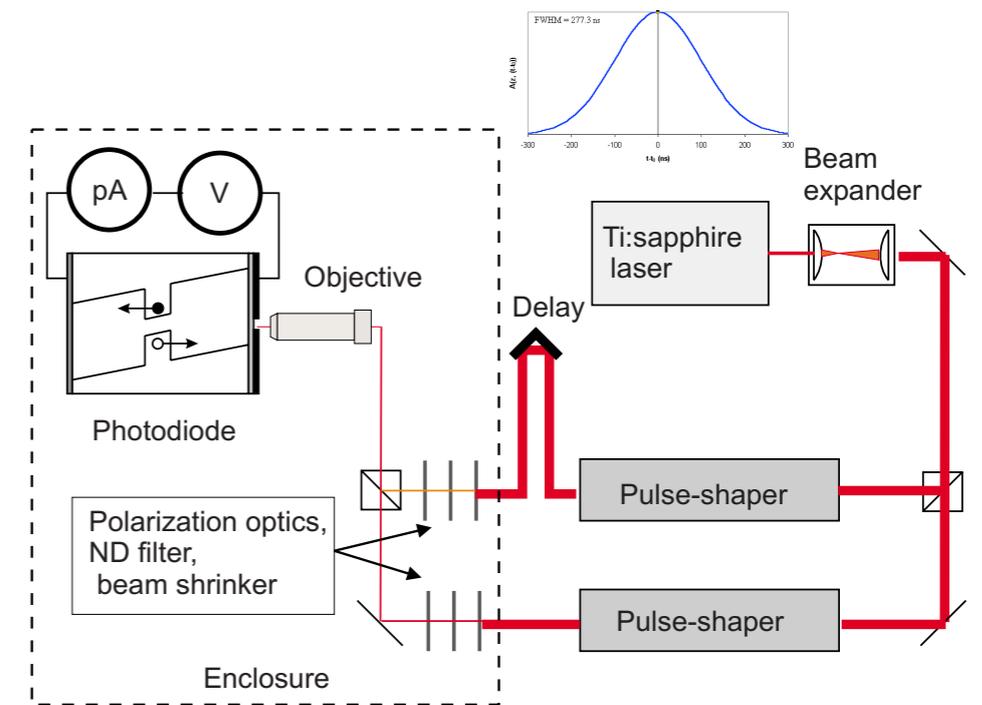
- Coherent manipulations through external laser addressing e.g. driving Rabi rotations. Rabi frequency (QD-laser coupling)  $\Omega$  sets timescale of coherent population oscillations



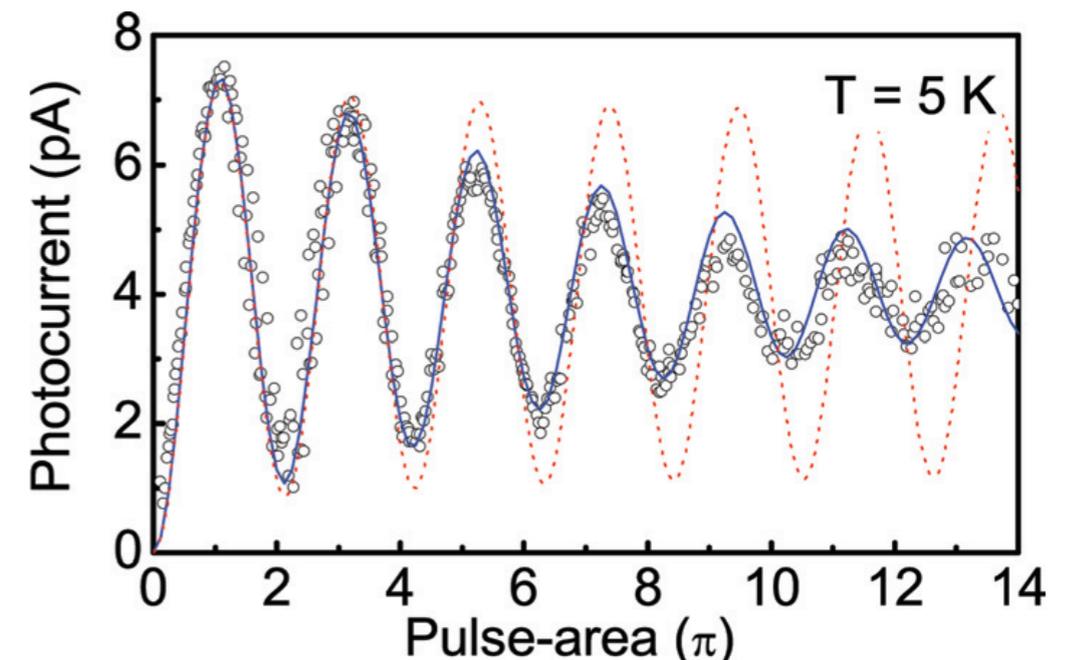
# Motivation - experimental data from Sheffield

- Single InGaAs/GaAs QD (2LS) resonantly driven by a single laser pulse of Gaussian envelope
- Photocurrent detection: photocurrent is proportional to the final occupation of the exciton state following resonant excitation
- Rabi rotations measured as a function of **pulse area**, with **duration fixed** - i.e. pulse area controlled by incident power
- Damping not simply fixed by pulse duration, **pulse area dependent damping** of Rabi rotations observed:
- What mechanism is responsible for Rabi rotation damping? A consequence of exciton-phonon interactions?

$$\Gamma \propto \Omega^2$$



S. J. Boyle et al., PRB 78, 075301 (2008)



# Quantum dot dynamics: master equations

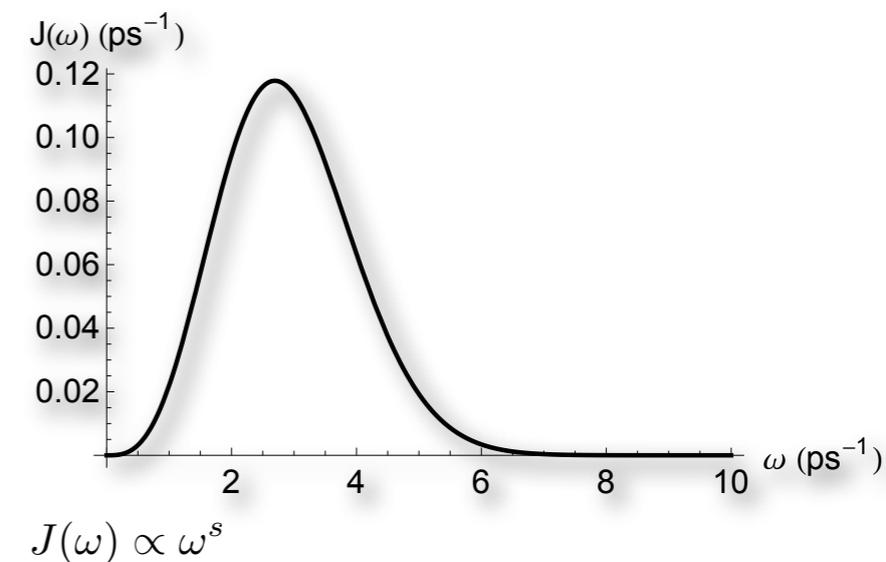
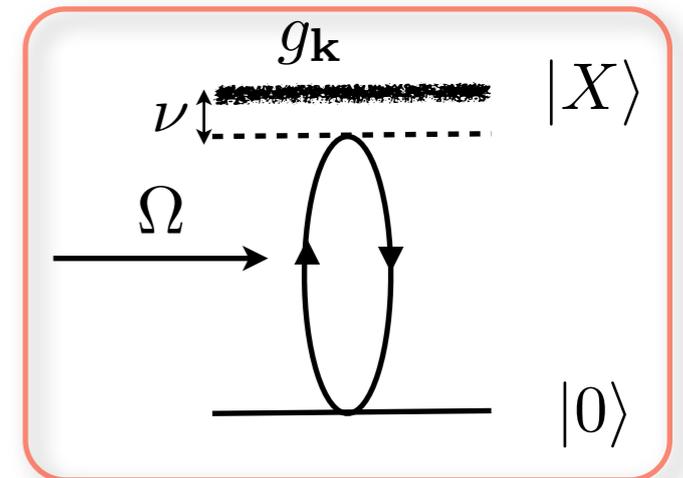
$$H = \nu|X\rangle\langle X| + \frac{\Omega}{2}\sigma_x + \sum_{\mathbf{k}} \omega_{\mathbf{k}} b_{\mathbf{k}}^\dagger b_{\mathbf{k}} + |X\rangle\langle X| \sum_{\mathbf{k}} g_{\mathbf{k}} (b_{\mathbf{k}}^\dagger + b_{\mathbf{k}})$$

- Generally, we write  $H = H_0 + H_I$    
 $H_0$  - solution known   
 $H_I$  - treat as small perturbation

- Derive 2nd order (in  $H_I$ ) master equation for the dynamics of the excitonic system, under influence of the phonon bath (Born approx. or projection operators)

$$\frac{d}{dt}\rho(t) = - \int_0^t ds \text{str}_B [H_I(t), [H_I(s), \rho(t)\rho_B]]$$

- Reduced description in terms of **system degrees of freedom only** - intuitive, efficient and relatively straightforward to work with
- Important definition - **spectral density**, measure of the exciton-phonon coupling, weighted by the phonon density of states at a particular frequency



$$J(\omega) = \sum_{\mathbf{k}} |g_{\mathbf{k}}|^2 \delta(\omega - \omega_{\mathbf{k}})$$

$$R = \int_0^\infty \omega^{-1} J(\omega) d\omega$$

Reorganisation energy

# Experiment-theory comparison

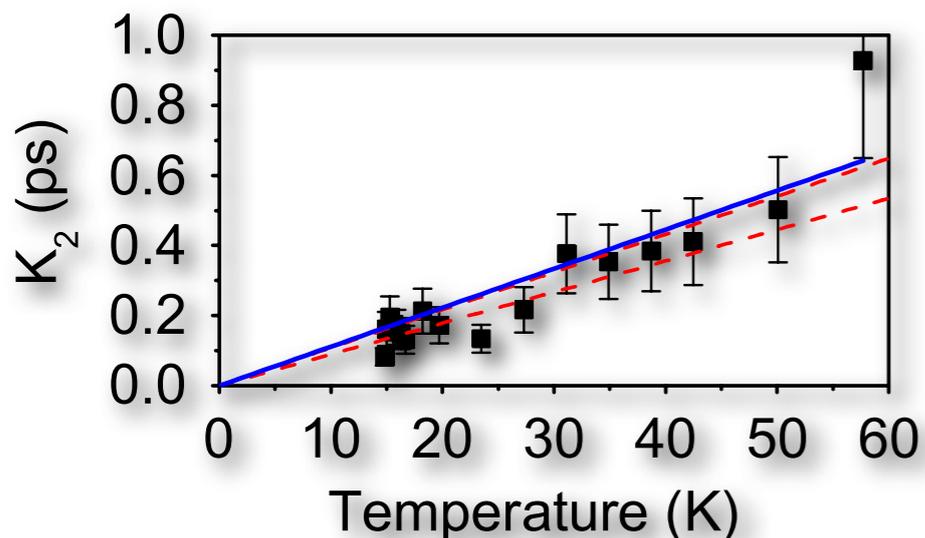
- On resonance we find a simple (approximate) master equation, with **driving frequency dependent rate**

$$\frac{d}{dt}\rho(t) = -i\frac{\Omega}{2}[\sigma_x, \rho(t)] - \Gamma[\sigma_z, [\sigma_z, \rho(t)]] \quad \Gamma \propto J(\Omega) \coth(\Omega/k_B T)$$

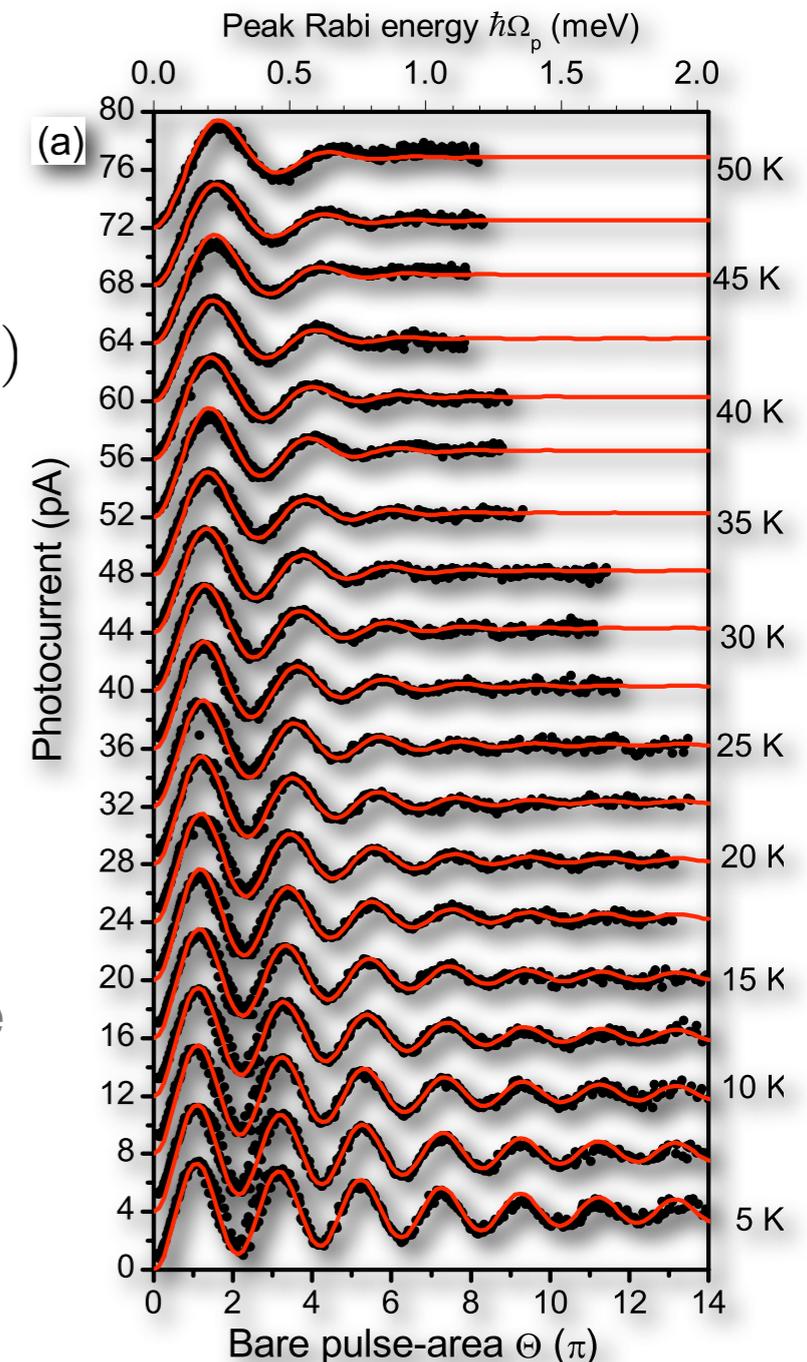
- Dominant coupling (acoustic phonons) has form

$$J(\omega) \propto \omega^3$$

- Hence,  $\Gamma \approx K_2(T)\Omega^2 \propto \Omega^2 T$



- Excellent agreement suggests exciton-phonon interactions are indeed the dominant source of decoherence in these experiments



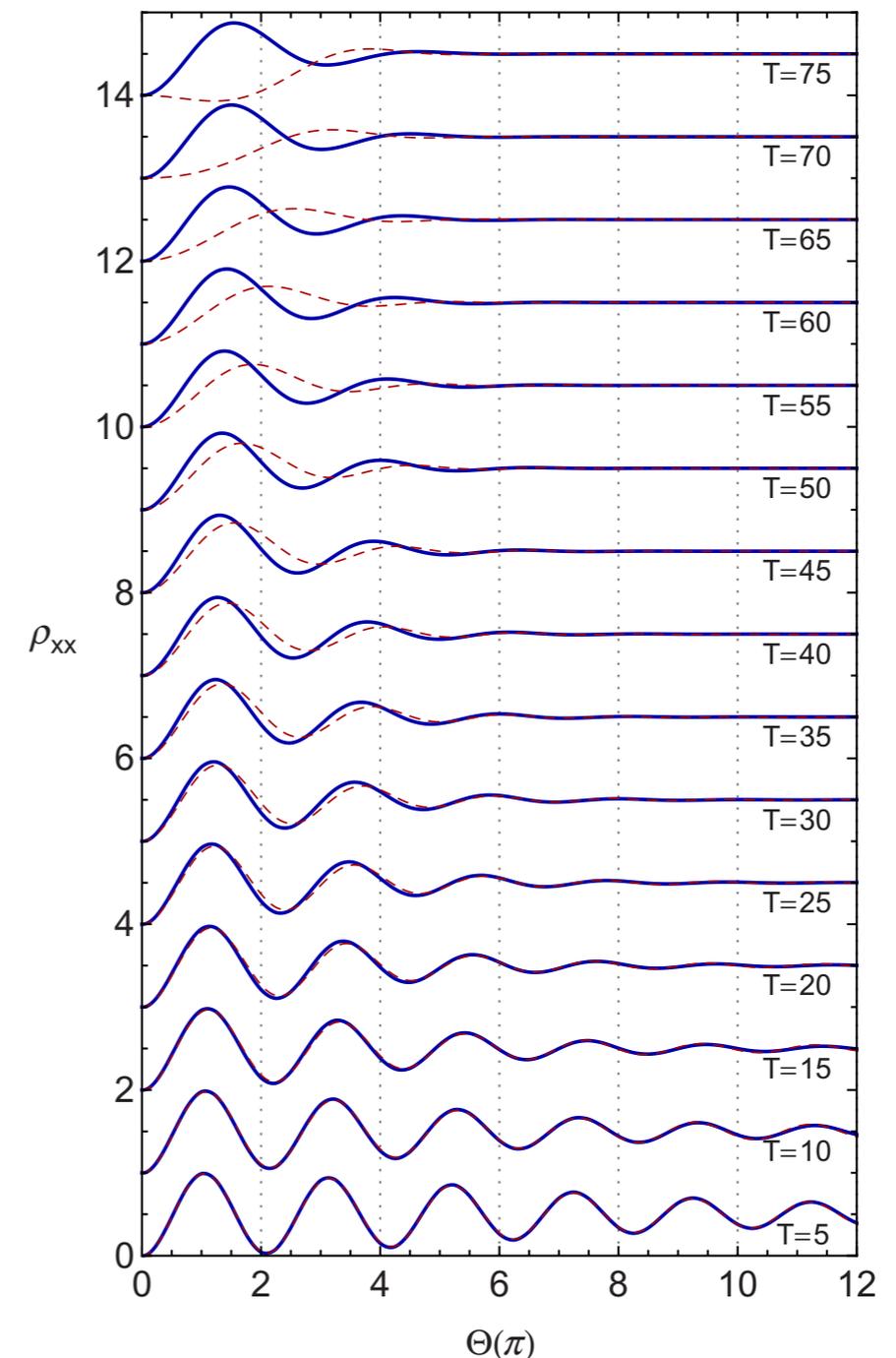
**Theory:** Nazir, Phys. Rev. B 78, 153309 (2008)

**Experiments:** Ramsay, Gopal, Gauger, Nazir, Lovett, Fox, Skolnick, Phys. Rev. Lett. 104, 017402 (2010)

Ramsay, Godden, Boyle, Gauger, Nazir, Lovett, Fox, Skolnick, Phys. Rev. Lett. 105, 177402 (2010)

# Limitations? Beyond weak-coupling theory

- Weak-coupling theory captures only single phonon processes, might be a problem as temperature (or system-bath coupling increases)
- In fact, it can even become **unphysical** in some regimes
- We can derive a 2nd order master equation valid beyond the limitations of the weak exciton-phonon coupling approximation by employing a **polaron transformation**
- Polaron theory (**solid curves**) and weak-coupling theory (**dashed curves**)
- More details coming up



# Motivation - optimised perturbative approaches

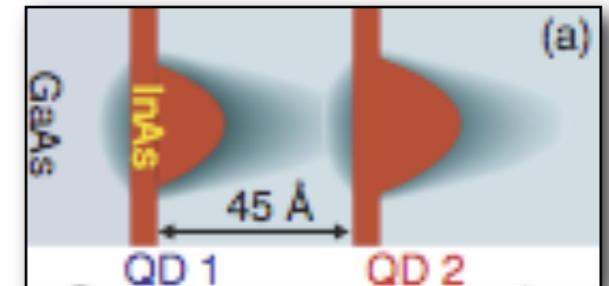
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- Assuming a system-bath description, master equation techniques are a useful tool with which to analyse these systems (and many others).
  - Reduced description in terms of system degrees of freedom only - intuitive, efficient and relatively straightforward to work with
- Yet, a practical master equation nearly always requires some kind of approximation
$$H = H_0 + H_I$$
  - $H_0$  - solution known, treat to all orders
  - $H_I$  - treat as a small perturbation
  - Hence, they are often valid only for rather restrictive parameter regimes.
  - However, we would like to explore dynamics over a wide range of parameters
- **Question: What is the ‘best’ we can do with a perturbative, second-order master equation?**
  - i.e. how do we engineer our interaction term so that it remains small over a large regime of parameters.

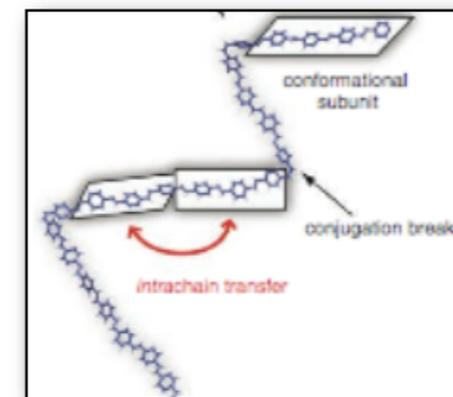
# Other systems - Energy transfer

- Energy transfer is ubiquitous - under what **conditions** do we expect quantum coherent or incoherent transfer? Need a **consistent treatment**
- Recent experiments interpreted in terms of quantum coherent energy transfer - e.g. in some light harvesting complexes coherence is observed at **room temperature**, and can last for hundreds of fs
- These systems can couple strongly to their surroundings (and at high temp.) - how can coherence survive? How do we deal **efficiently** with strong bath coupling?
- Are system-bath models sufficient?

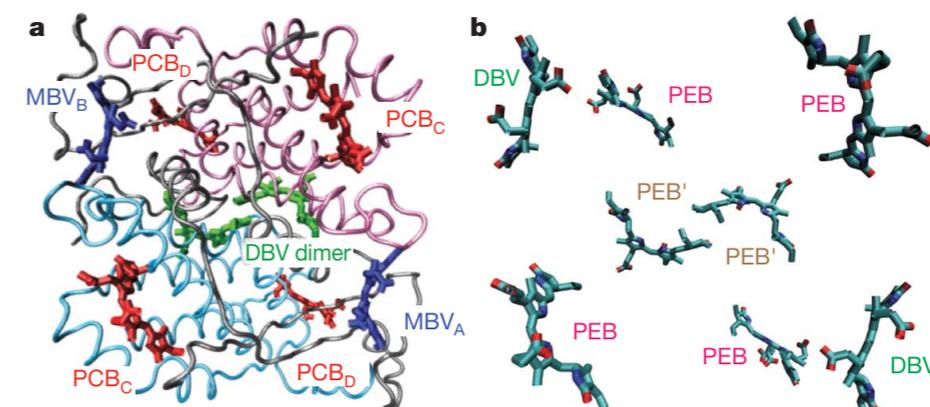
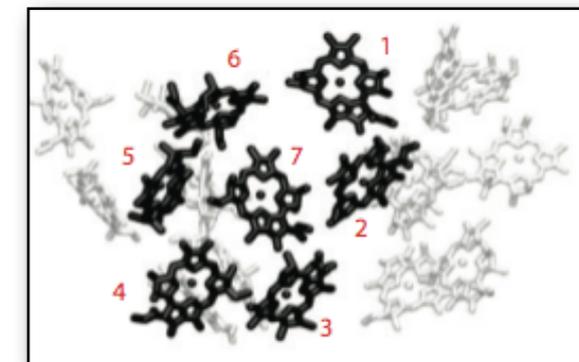
QDs: B. D. Gerardot et al., Phys. Rev. Lett. 95, 137403 (2005)



Conjugated Polymers: E. Collini and G. D. Scholes, Science 323, 369 (2009)



FMO: Fig. courtesy of Y.-C. Cheng and G. R. Fleming, Annu. Rev. Phys. Chem. 60, 241 (2009), G. S. Engel et al., Nature 446, 782 (2007); G. Panitchayangkoon et al., PNAS 107, 12766 (2010)

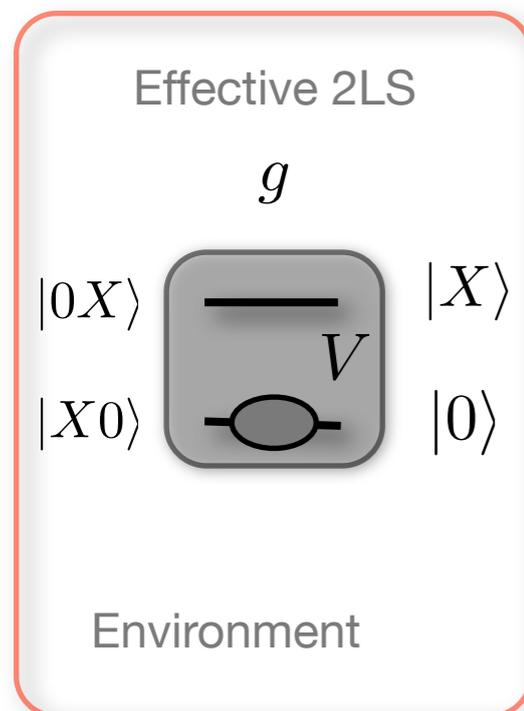
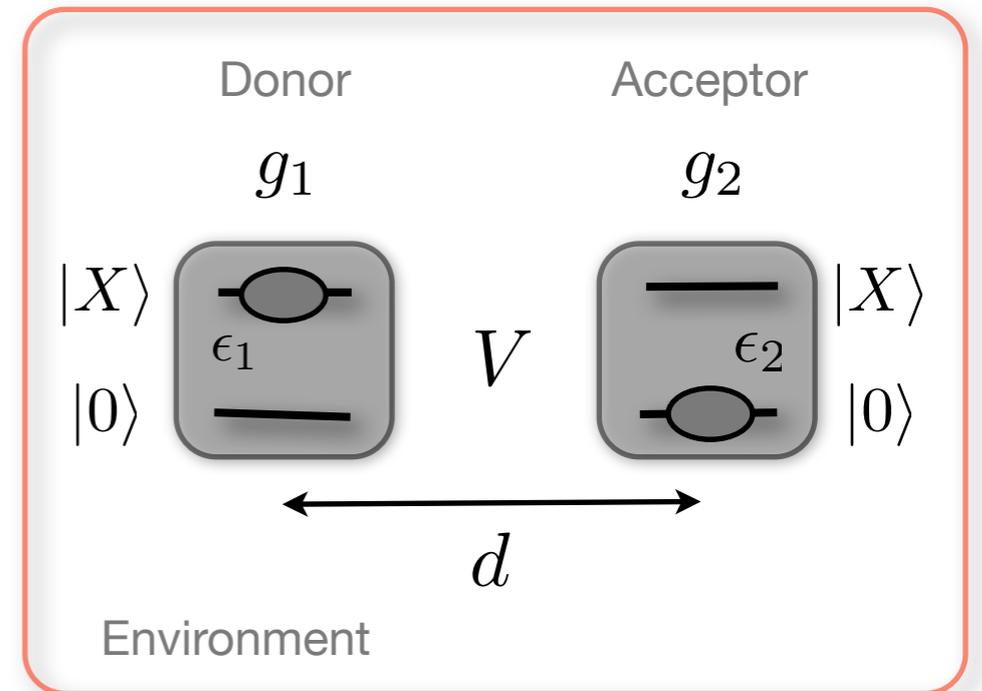


PC645/PE545: E. Collini et al., Nature 463, 644 (2010)

# Dimer Model: Mapping to spin-boson

- Electronically coupled dimer (donor-acceptor pair) in a bosonic environment

$$H = \epsilon_1 |X\rangle_1 \langle X| + \epsilon_2 |X\rangle_2 \langle X| + V(|0X\rangle \langle X0| + |X0\rangle \langle 0X|) + \sum_k \omega_k b_k^\dagger b_k + |X\rangle_1 \langle X| \sum_k (g_{k,1} b_k^\dagger + g_{k,1}^* b_k) + |X\rangle_2 \langle X| \sum_k (g_{k,2} b_k^\dagger + g_{k,2}^* b_k)$$



- Working in single-excitation subspace reduces our problem to that of a **driven two-level system** in a bosonic bath (spin-boson model)

$$H = \frac{\epsilon}{2} \sigma_z + V \sigma_x + \sum_{\mathbf{k}} \omega_{\mathbf{k}} b_{\mathbf{k}}^\dagger b_{\mathbf{k}} + \sigma_z \sum_{\mathbf{k}} (g_{\mathbf{k}} b_{\mathbf{k}}^\dagger + g_{\mathbf{k}}^* b_{\mathbf{k}})$$

$$\sigma_z = |X0\rangle \langle X0| - |0X\rangle \langle 0X|$$

$$\epsilon = \epsilon_1 - \epsilon_2$$

$$\sigma_x = |X0\rangle \langle 0X| + |0X\rangle \langle X0|$$

# Exactly solvable limits

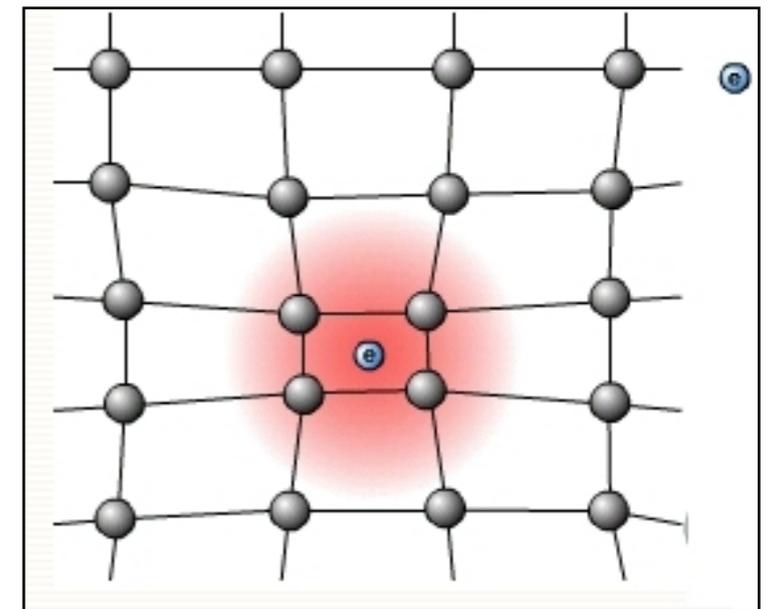
$$H = \frac{\epsilon}{2}\sigma_z + V\sigma_x + \sum_{\mathbf{k}} \omega_{\mathbf{k}} b_{\mathbf{k}}^\dagger b_{\mathbf{k}} + \sigma_z \sum_{\mathbf{k}} (g_{\mathbf{k}} b_{\mathbf{k}}^\dagger + g_{\mathbf{k}}^* b_{\mathbf{k}})$$

- (I) Trivially, when system-bath coupling goes to zero
  - Undamped oscillations at a frequency  $\eta = \sqrt{\epsilon^2 + 4V^2}$

- (II) Consider the case when electronic coupling  $V = 0$

$$H = |X0\rangle\langle X0| \left( \epsilon/2 + \sum_{\mathbf{k}} \omega_{\mathbf{k}} (b_{\mathbf{k}}^\dagger + g_{\mathbf{k}}^*/\omega_{\mathbf{k}})(b_{\mathbf{k}} + g_{\mathbf{k}}/\omega_{\mathbf{k}}) \right) \\ + |0X\rangle\langle 0X| \left( -\epsilon/2 + \sum_{\mathbf{k}} \omega_{\mathbf{k}} (b_{\mathbf{k}}^\dagger - g_{\mathbf{k}}^*/\omega_{\mathbf{k}})(b_{\mathbf{k}} - g_{\mathbf{k}}/\omega_{\mathbf{k}}) \right) \\ - \sum_{\mathbf{k}} |g_{\mathbf{k}}|^2/\omega_{\mathbf{k}}$$

- So, when electronic coupling is zero, excitation state dependent displacement (**polaron transformation**) will remove system-bath coupling



$$H_P = e^S H e^{-S}$$

$$S = \sigma_z \sum_{\mathbf{k}} (\alpha_{\mathbf{k}} b_{\mathbf{k}}^\dagger - \alpha_{\mathbf{k}}^* b_{\mathbf{k}})$$

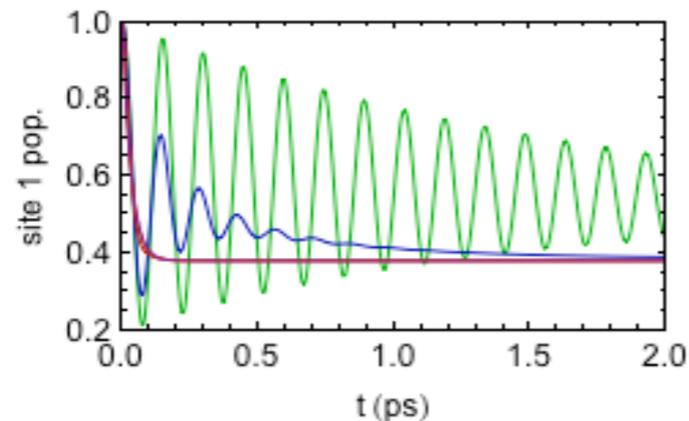
$$\alpha_{\mathbf{k}} = g_{\mathbf{k}}/\omega_{\mathbf{k}}$$

# Common approximations

$$H = \frac{\epsilon}{2}\sigma_z + V\sigma_x + \sum_{\mathbf{k}} \omega_{\mathbf{k}} b_{\mathbf{k}}^\dagger b_{\mathbf{k}} + \sigma_z \sum_{\mathbf{k}} (g_{\mathbf{k}} b_{\mathbf{k}}^\dagger + g_{\mathbf{k}}^* b_{\mathbf{k}})$$

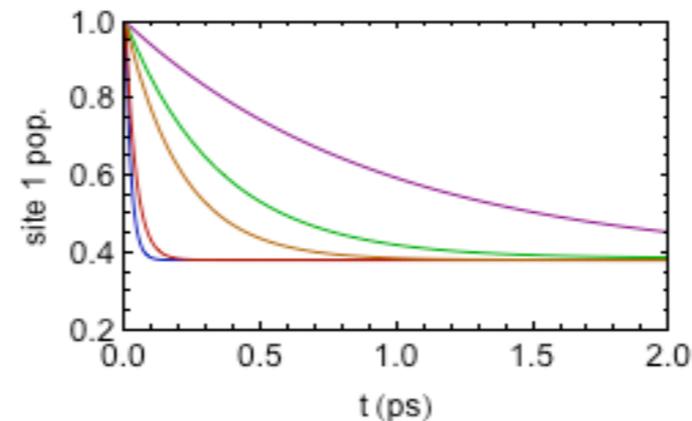
- We can approximate the dynamics around these two solvable limits:

## Redfield



$$H_I = \sigma_z \sum_{\mathbf{k}} (g_{\mathbf{k}} b_{\mathbf{k}}^\dagger + g_{\mathbf{k}}^* b_{\mathbf{k}})$$

## Foerster



$$H_I = V\sigma_x$$

$$T = 300 \text{ K}$$

$$\gamma = 53 \text{ cm}^{-1}$$

$$R = 1 \text{ cm}^{-1}$$

$$R = 10 \text{ cm}^{-1}$$

$$R = 10^2 \text{ cm}^{-1}$$

$$R = 5 \times 10^2 \text{ cm}^{-1}$$

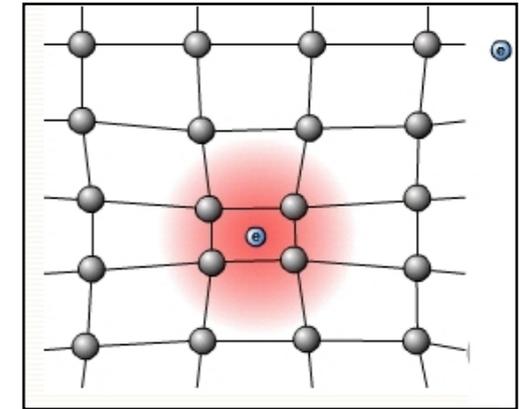
$$R = 1 \times 10^3 \text{ cm}^{-1}$$

- Redfield master equation captures only single phonon processes, only valid at weak system-bath coupling (small reorganisation energy) and low temperature - cannot properly capture incoherent dynamics
- Foerster theory captures only incoherent processes, not valid at weak system-bath coupling

# Polaron transformation

- Let's look at the full Hamiltonian after a polaron transformation

$$H_P = e^S H e^{-S} = \frac{\epsilon}{2} \sigma_z + V_R \sigma_x + H_B + V(\sigma_+(B_+ - B) + \sigma_-(B_- - B))$$



- We have now identified a new interaction Hamiltonian

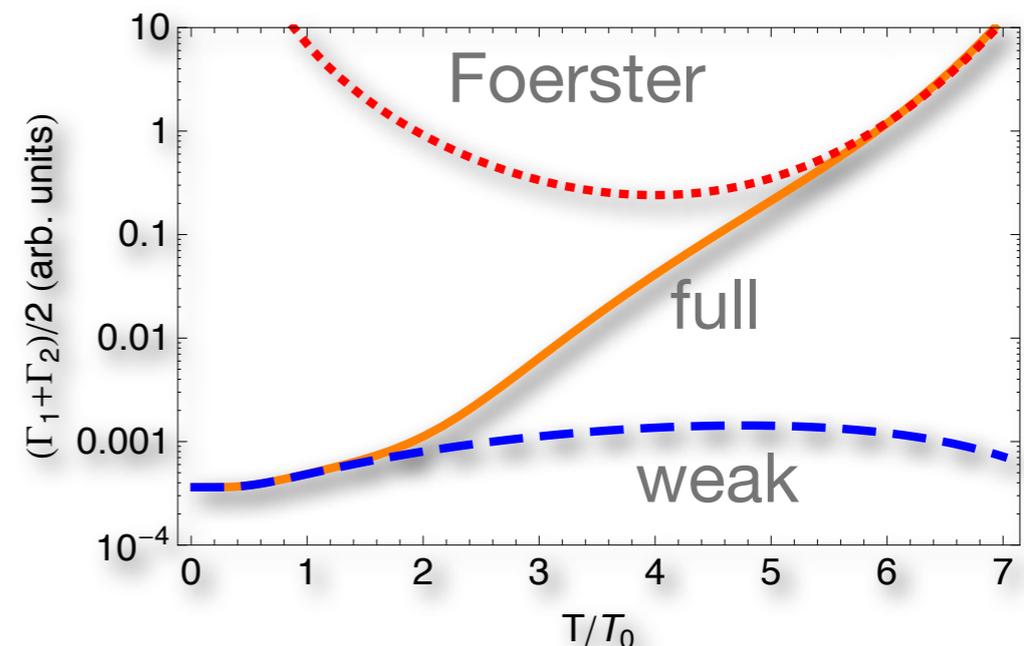
$$H_I = V(\sigma_+(B_+ - B) + \sigma_-(B_- - B))$$

$$B_{\pm} = e^{\pm \sum_{\mathbf{k}} \alpha_{\mathbf{k}} (b_{\mathbf{k}}^{\dagger} - b_{\mathbf{k}})}$$

- and a renormalised energy transfer strength  $V_R = V B = V e^{-\int_0^{\infty} d\omega \frac{J(\omega)}{\omega} \coth \omega / 2k_B T}$

- For super-Ohmic environments, polaron master equation theory can interpolate between the weak-coupling and Foerster limits

- Nazir, Phys. Rev. Lett. 103, 146404 (2009), Jang et al., J. Chem. Phys. 129, 101104 (2008), McCutcheon and Nazir, Phys. Rev. B 83, 165101 (2011)
- Kolli, Nazir, Olaya-Castro, J. Chem. Phys. 135, 154112 (2011), Jang, J. Chem. Phys. 135, 034105 (2011)



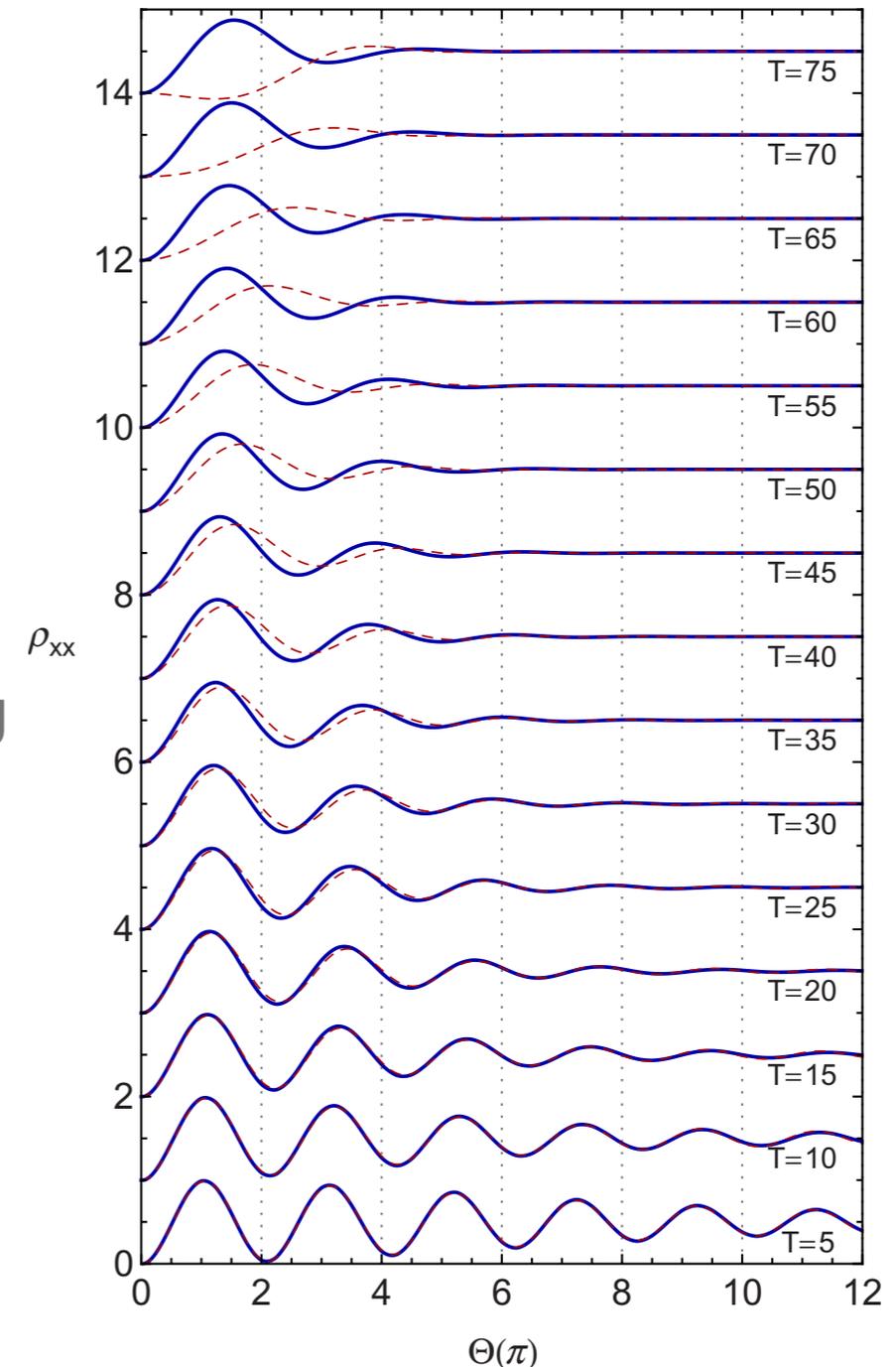
# Back to the driven QD

- Exciton population as a function of pulse area, for varying temperatures

- 2nd order master equation

$$\dot{\rho}(t) = -i[H_S, \rho(t)] + \mathcal{L}_P(t)(\rho(t))$$

- Polaron theory (**solid curves**) and weak-coupling theory (**dashed curves**)



# Polaron transformation - limitations

- But, the theory has some serious limitations

- (I) For Ohmic environments, there is an infra-red divergence

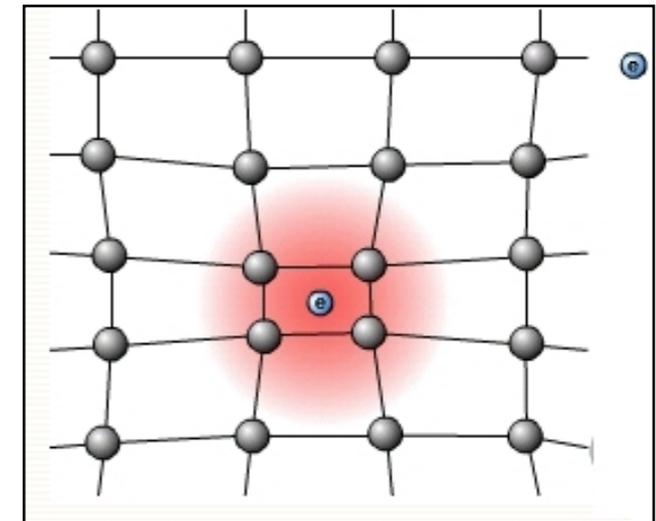
$$V_R = VB = Ve^{-\int_0^\infty d\omega \frac{J(\omega)}{\omega} \coth \omega/2k_B T} \rightarrow 0$$

$$H_P \rightarrow \frac{\epsilon}{2} \sigma_z + H_B + V(\sigma_+ B_+ + \sigma_- B)$$

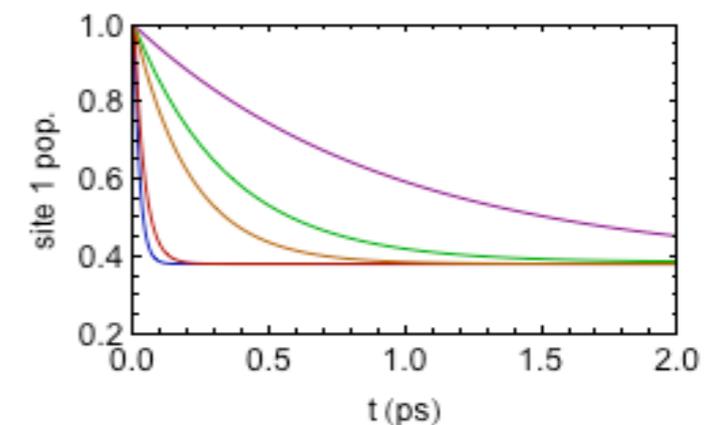
- Master equation no longer captures coherent energy transfer dynamics (essentially reduces to Foerster theory)

- (II) For large  $V$ , similar to or greater than cutoff frequency in the spectral density, the theory predicts too much damping, even for super-Ohmic spectral densities

- Both problems related to the same physics, low frequency (sluggish) bath modes should not be fully displaced



## Polaron/Foerster



$$J(\omega) \propto \omega$$

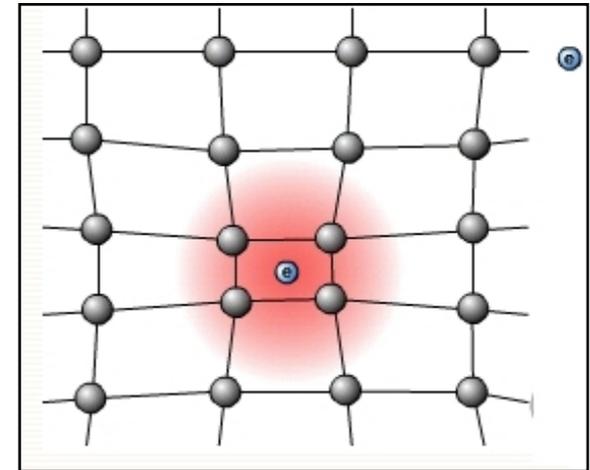
# Variational theory

- Let's again apply an excitation state dependent displacement to the bath, but now with a **tuneable magnitude**

$$H_V = e^{S(f_{\mathbf{k}})} H e^{-S(f_{\mathbf{k}})} \quad S(f_{\mathbf{k}}) = \sigma_z \sum_{\mathbf{k}} (f_{\mathbf{k}}/\omega_{\mathbf{k}})(b_{\mathbf{k}}^\dagger - b_{\mathbf{k}})$$

$f_{\mathbf{k}} \rightarrow 0$       no transformation, weak-coupling theory

$f_{\mathbf{k}} \rightarrow g_{\mathbf{k}}$       polaron transformation



- In general, the transformed Hamiltonian contains both weak-coupling (Redfield) and polaron-type (Foerster) terms
- We **optimise** the transformation by minimising an upper bound on the system free energy (Silbey and Harris, JCP 80, 2615 (1984))

$$f_{\mathbf{k}} = F(\epsilon, V, \omega_{\mathbf{k}}, T) g_{\mathbf{k}}$$

$V/\omega_{\mathbf{k}}$  small       $f_{\mathbf{k}} \rightarrow g_{\mathbf{k}}$   
full displacement

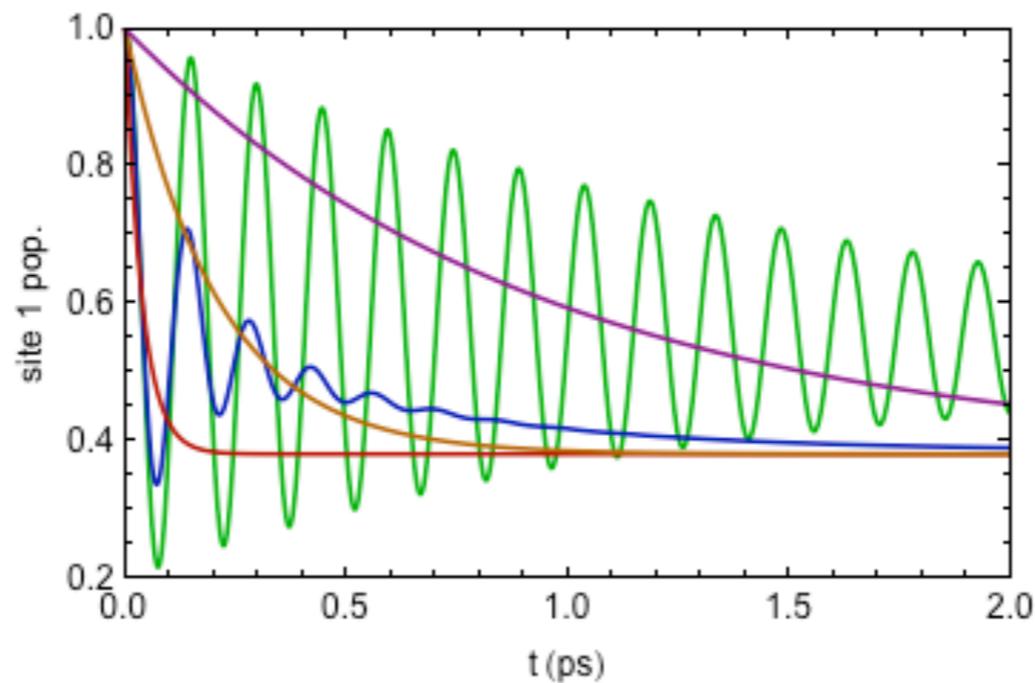
$V/\omega_{\mathbf{k}}$  large       $f_{\mathbf{k}} \rightarrow 0$   
no displacement

# Variational dynamics - Ohmic

$$\dot{\rho}(t) = -i[H_S, \rho(t)] + \mathcal{L}_W(t)(\rho(t)) + \mathcal{L}_V(t)(\rho(t)) + \mathcal{L}_P(t)(\rho(t))$$

## Variational

- Correctly captures both the Redfield and Foerster limits and interpolates between
- Comparison with numerically exact calculations shows quantitative agreement in many regimes



T = 300 K

$\gamma = 53 \text{ cm}^{-1}$

R = 1 cm<sup>-1</sup>

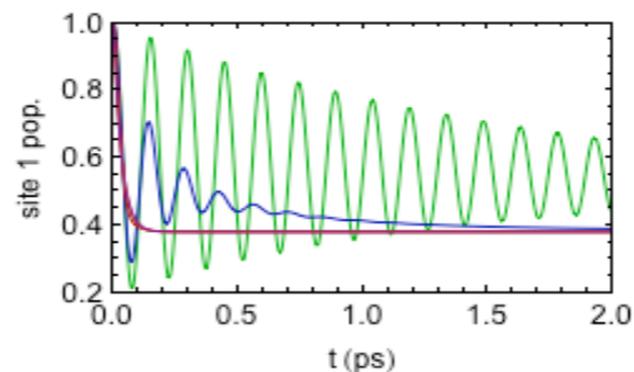
R = 10 cm<sup>-1</sup>

R = 10<sup>2</sup> cm<sup>-1</sup>

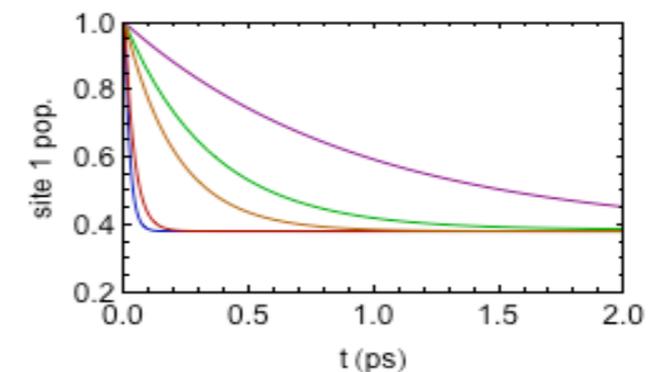
R = 5x10<sup>2</sup> cm<sup>-1</sup>

R = 1x10<sup>3</sup> cm<sup>-1</sup>

## Redfield



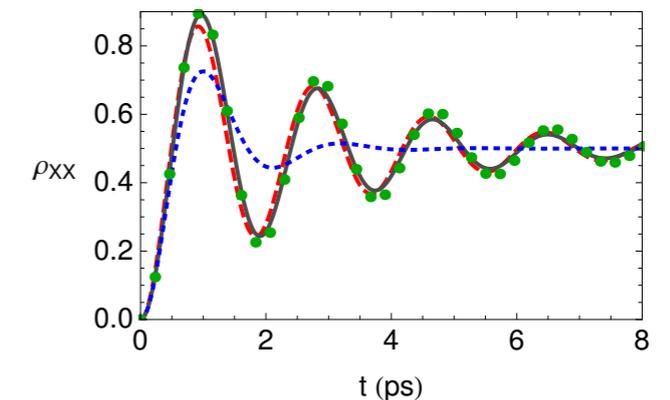
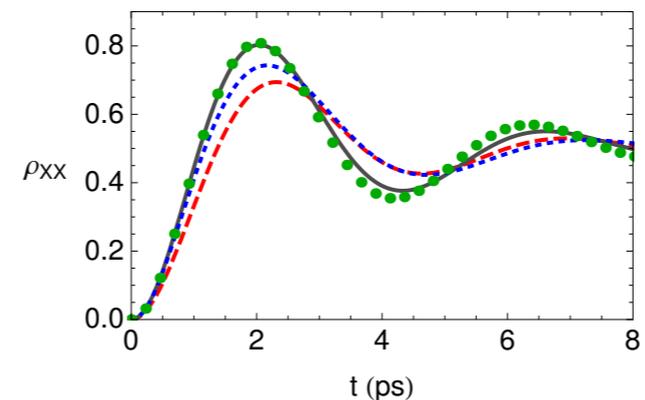
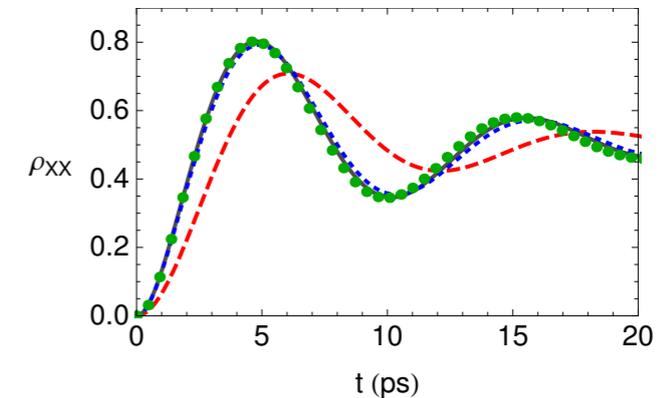
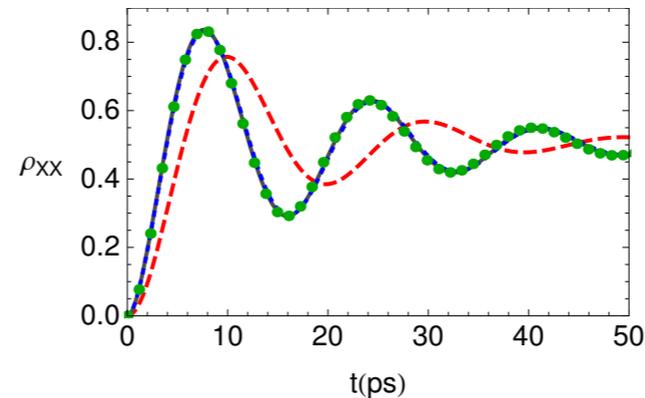
## Foerster/Polaron



# Variational dynamics - super-Ohmic

- super-Ohmic bath, increasing Rabi frequency (or electronic coupling strength)
- Comparison with (numerically exact) path integral calculations shows that variationally-optimised transformation leads to a master equation valid over a wide range of parameters

- Weak coupling (red dashed)
- polaron (blue dotted)
- variational (grey solid)
- path integral (green points)



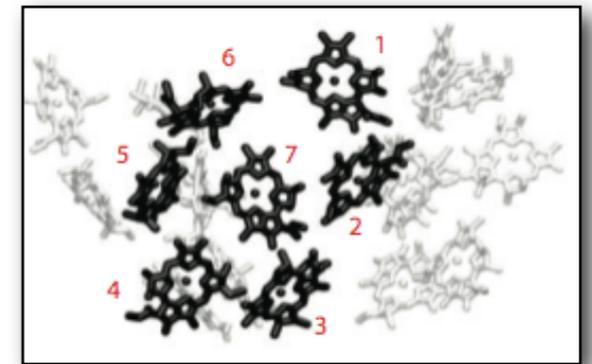
$$J(\omega) \propto \omega^3$$

# Multi-site generalisation

$$H = \sum_n \epsilon_n |n\rangle\langle n| + \sum_{n \neq m} V_{nm} |n\rangle\langle m| + \sum_{n,k} \omega_{n,k} b_{n,k}^\dagger b_{n,k} + \sum_{n,k} |n\rangle\langle n| (g_{n,k} b_{n,k}^\dagger + g_{n,k}^* b_{n,k})$$

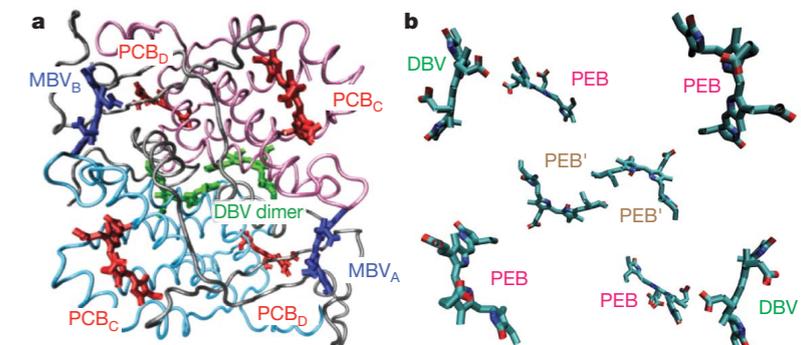
- Generalised unitary transformation:  $H_V = e^S H e^{-S}$

$$e^{\pm S} = \exp \left[ \pm \sum_{n,k} |n\rangle\langle n| (\alpha_{n,k} b_{n,k}^\dagger - \alpha_{n,k}^* b_{n,k}) \right]$$



FMO: Fig. courtesy of Y.-C. Cheng and G. R. Fleming

- As before, we **optimise** the transformation by minimising an upper bound on the system free energy
- Then derive a time-local master equation

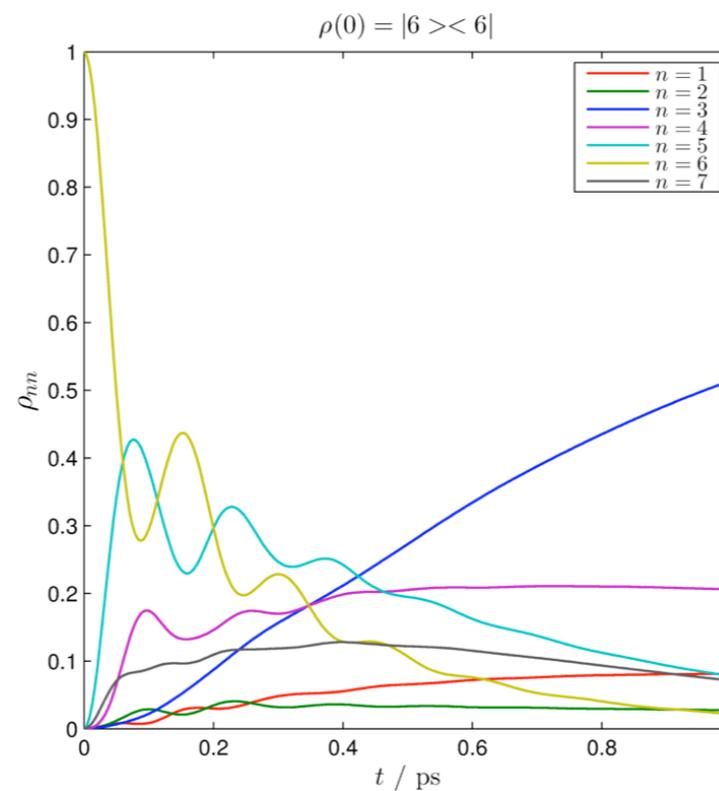
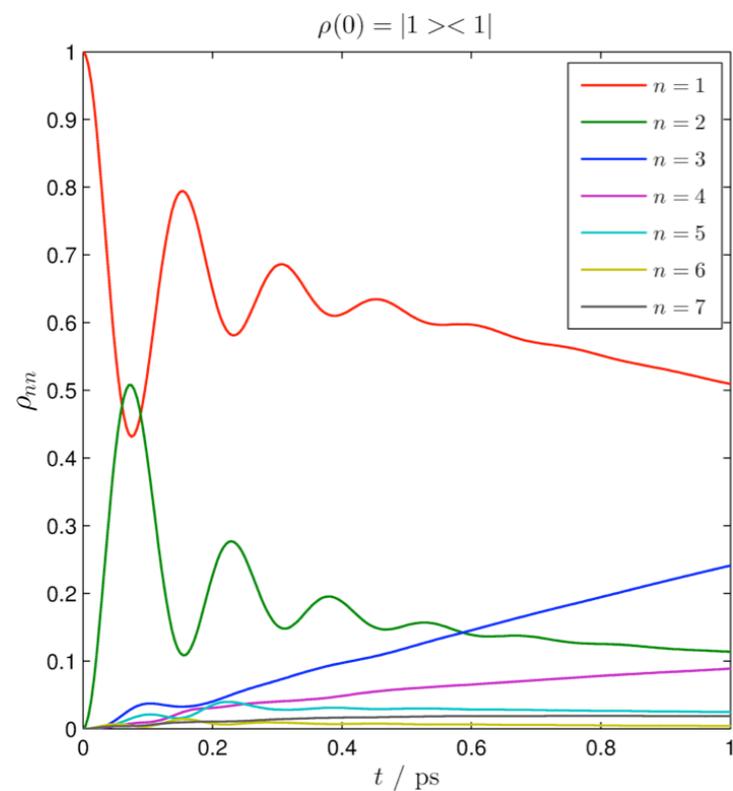


PC645/PE545: E. Collini et al.

$$\frac{\partial \rho}{\partial t} = -i[H_S, \rho] - \frac{1}{2} \sum_{ij} \sum_{\omega} \gamma_{ij}(\omega, t) [A_i, A_{j,\omega} \rho - \rho A_{j,\omega}^\dagger] - i \sum_{ij} \sum_{\omega} S_{ij}(\omega, t) [A_i, A_{j,\omega} \rho + \rho A_{j,\omega}^\dagger]$$

- Essentially as easy to apply as Redfield theory

# Multi-site generalisation: example results



Variational: 7-site FMO Hamiltonian,  
Lorentz-Drude spectral density,  
 $T = 77\text{K}$

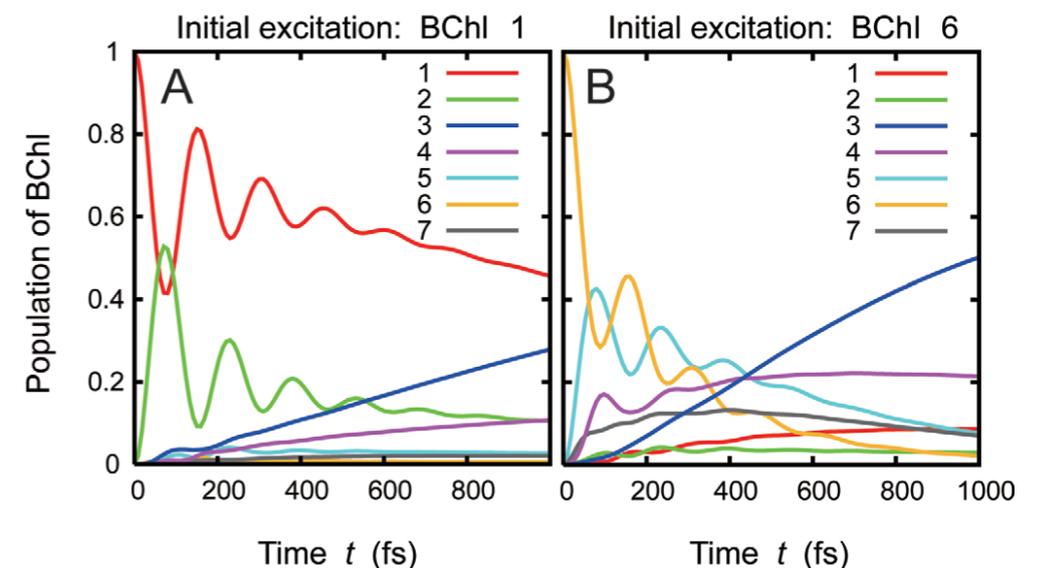
$$J(\omega) = \frac{2R\gamma\omega}{\pi(\omega^2 + \gamma^2)}$$

Pollock, McCutcheon, Lovett, Gauger, and Nazir, arxiv:1212.5713

Hierarchy: 7-site FMO Hamiltonian,  
Lorentz-Drude spectral density,  $T = 77\text{K}$

Ishizaki and Fleming, PNAS 106, 17255 (2009)

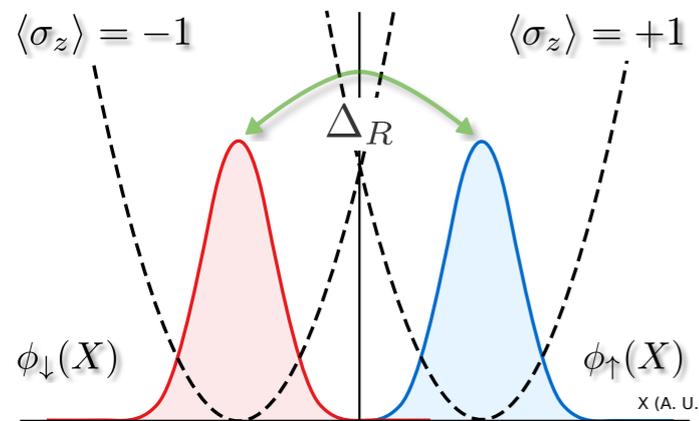
$T = 77\text{ K}, \quad \tau_c = 50\text{ fs}$



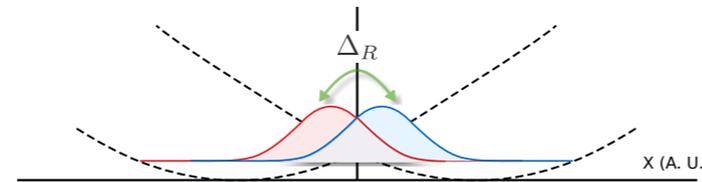
**Fig. 2.** Time evolution of the population of each BChl in the FMO complex. Calculations were done for cryogenic temperature,  $T = 77\text{ K}$ . The reorganization energy and the phonon relaxation time are set to be  $\lambda_j = 35\text{ cm}^{-1}$  and  $\tau_c = \gamma_j^{-1} = 50\text{ fs}$ , respectively.

# Beyond (variational) polaron approach

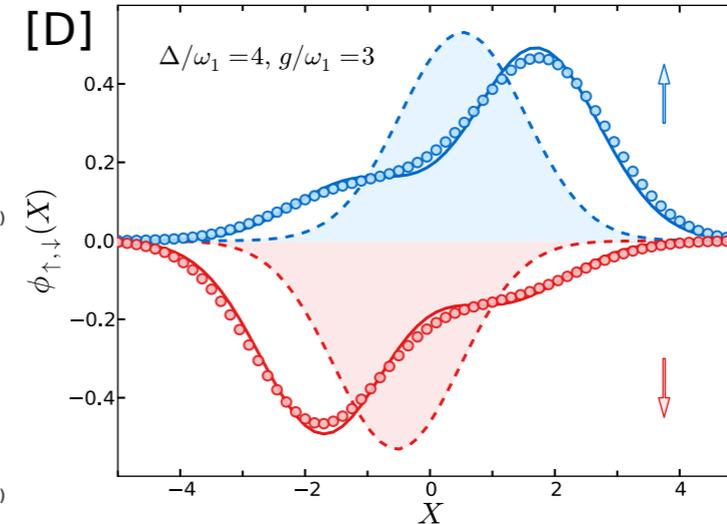
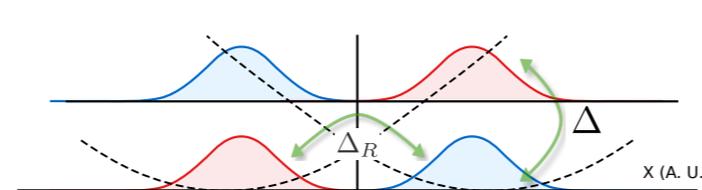
[A]  $\omega \gg \Delta$  : one polaron (adiabatic)



[B]  $\omega \sim \Delta$  : one polaron (SH)



[C]  $\omega \sim \Delta$  : two polarons



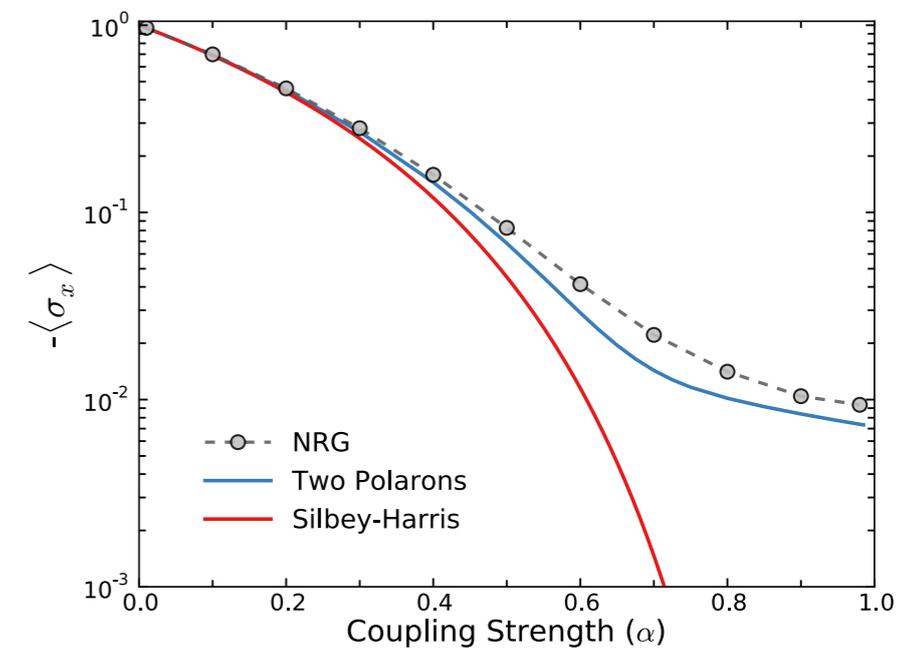
- Spin-boson model

$$H = \frac{\Delta}{2} \sigma_x + \sum_k \omega_k b_k^\dagger b_k - \frac{\sigma_z}{2} \sum_k g_k (b_k^\dagger + b_k)$$

- Allow for coherent state superposition in the ground state

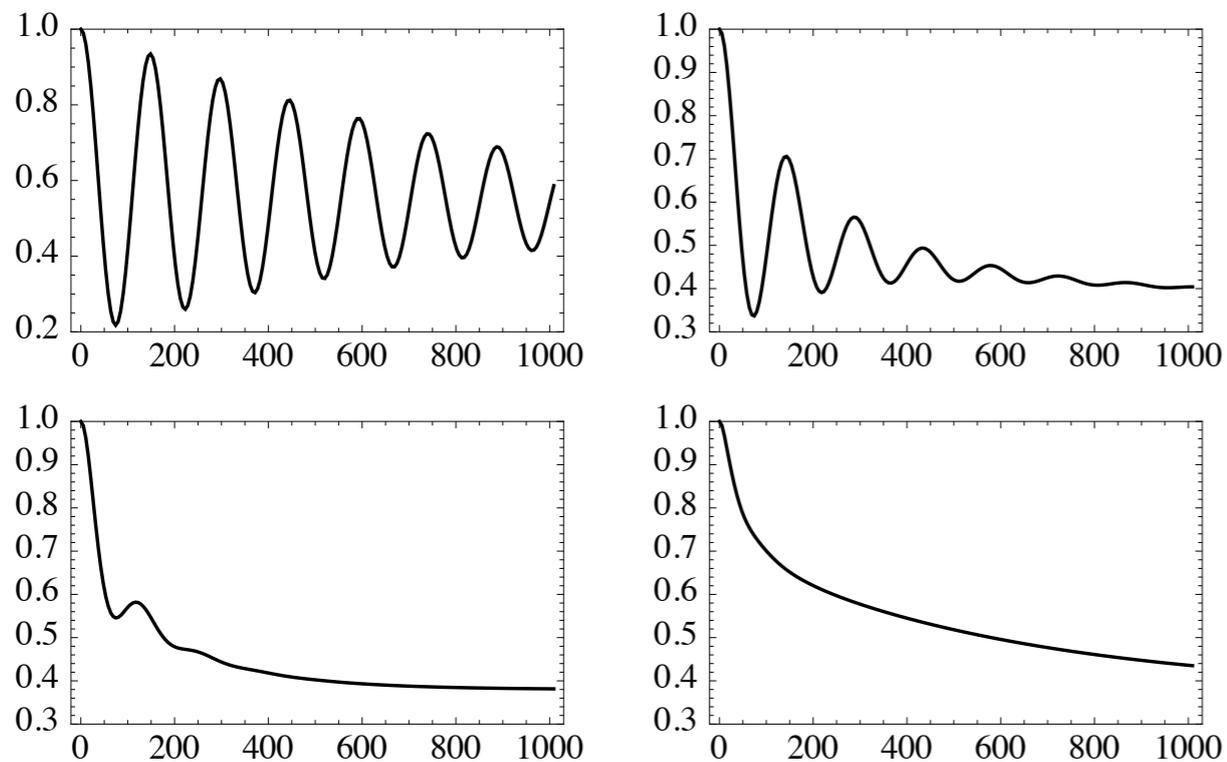
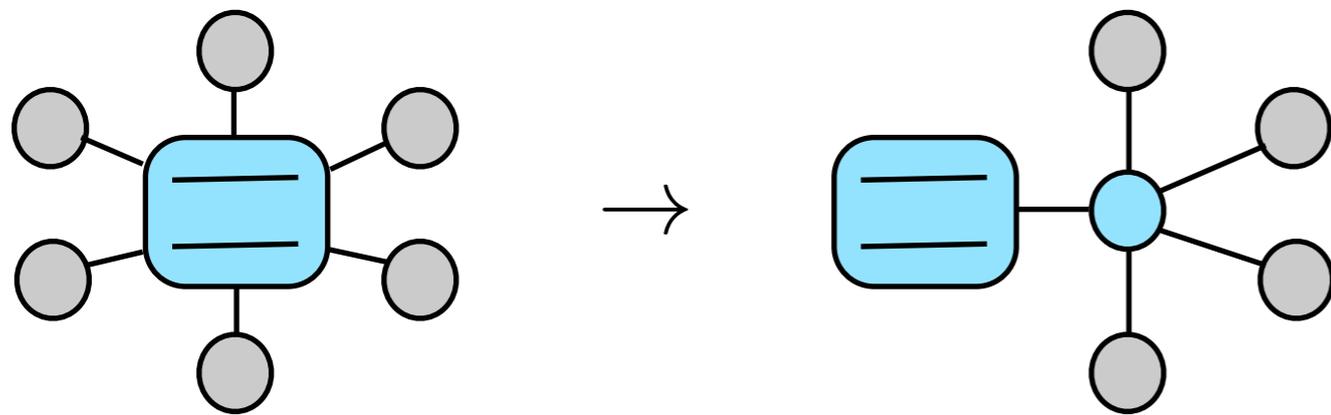
$$|GS\rangle = |\uparrow\rangle \left[ | + f_k^{\text{pol.}} \rangle + p | + f_k^{\text{anti.}} \rangle \right] - |\downarrow\rangle \left[ | - f_k^{\text{pol.}} \rangle + p | - f_k^{\text{anti.}} \rangle \right]$$

- Gives a lower ground state energy than the variational polaron state



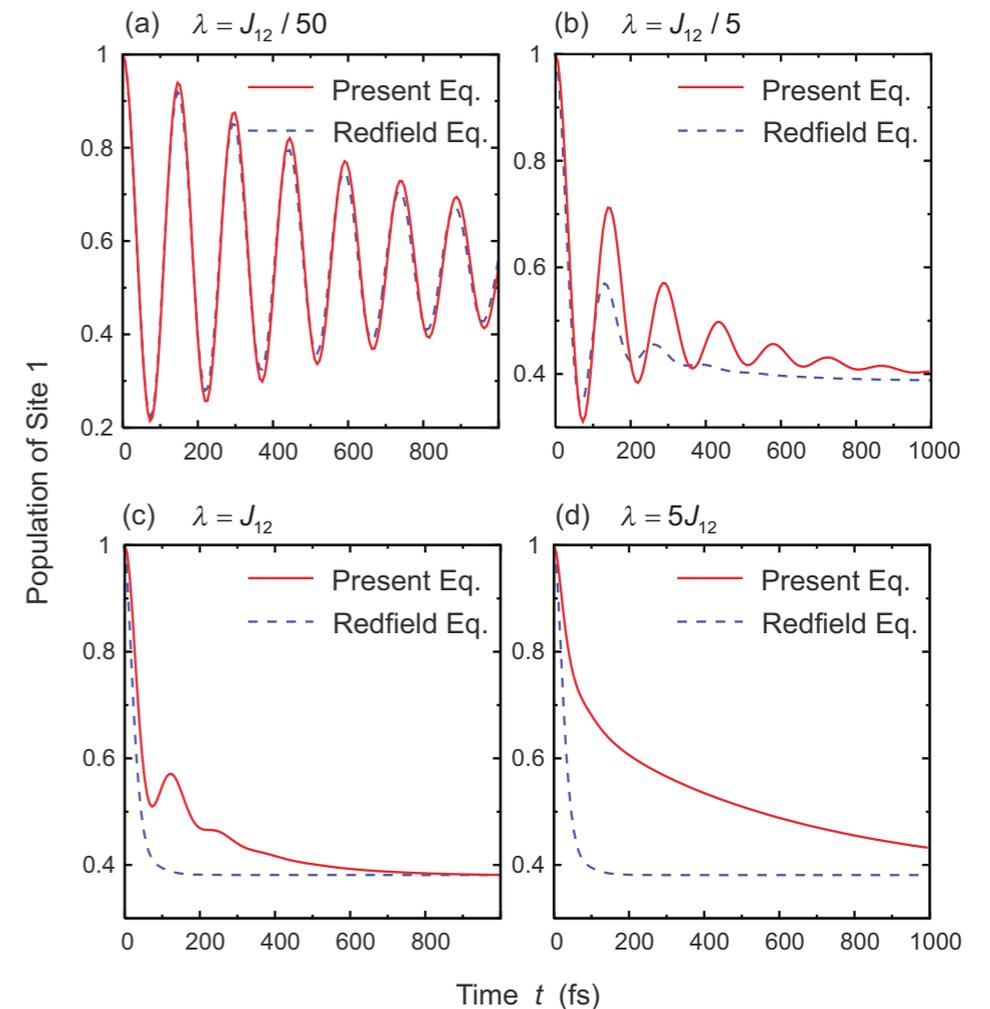
$$|\pm f_k\rangle = e^{\pm \sum_k f_k (b_k^\dagger - b_k)} |0\rangle$$

# Reaction coordinate mapping



Thanks to Jake Iles-Smith

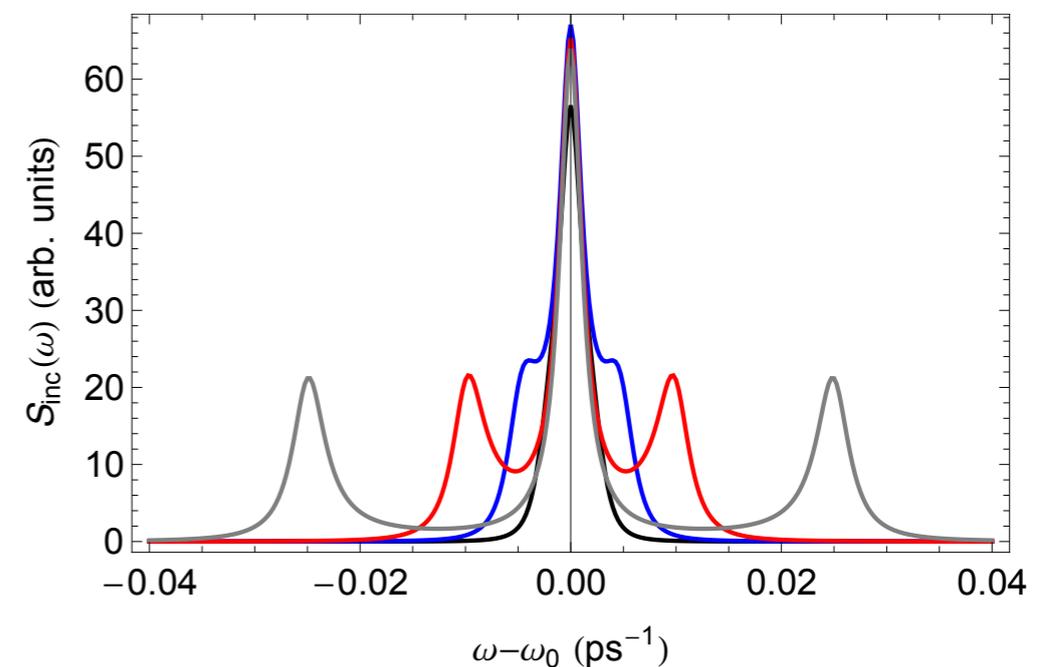
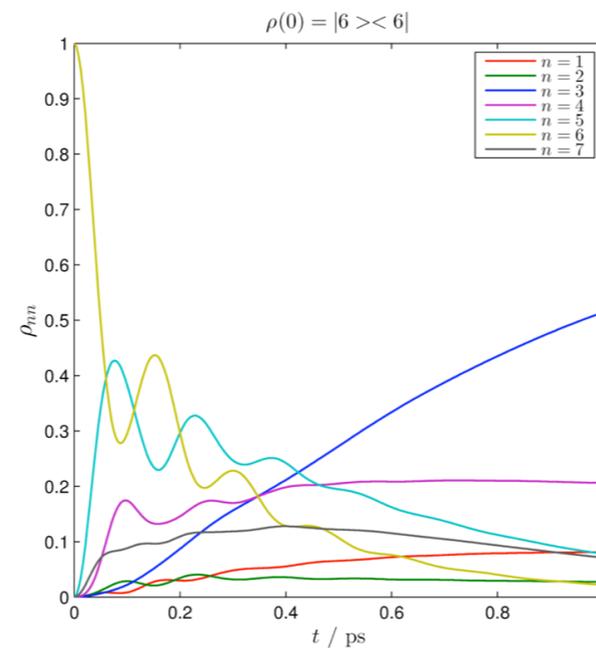
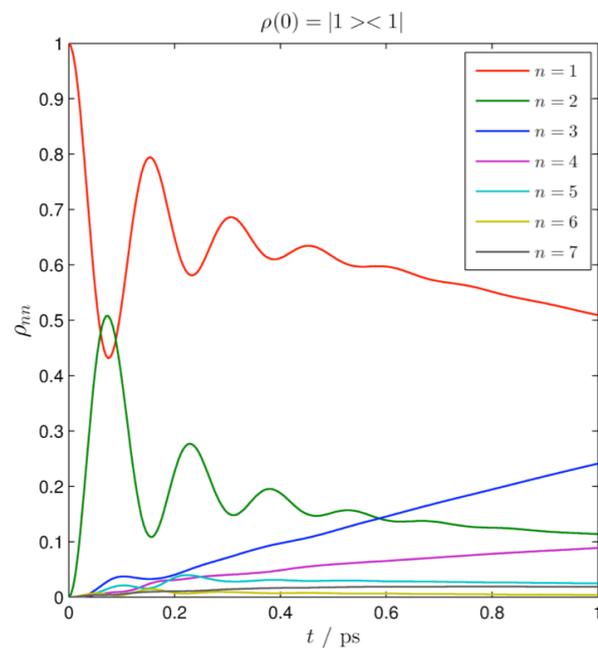
Hierarchy: Lorentz-Drude spectral density,  
 $T = 300\text{K}$ , varying coupling strength



Ishizaki and Fleming, J. Chem. Phys. 130, 234111 (2009)

# Summary and further work

- **Weak-coupling** master equation - experimental agreement: clear evidence that **exciton-phonon interactions** dominate exciton dephasing dynamics in self-assembled semiconductor QDs
- I also presented a **versatile variational master equation technique** - allows one to explore a **range** of system-bath coupling strengths, temperatures, and environmental spectral densities within a single formalism.



Phonon effects on emitted photon properties

McCutcheon and Nazir, arxiv:1208.4620

# Acknowledgements

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- Many thanks to:
  - Dara McCutcheon (Buenos-Aires)
  - Felix Pollock (Oxford)
  - Brendon Lovett (Heriot-Watt)
  - Erik Gauger (Oxford)
  - Ales Chin (Cambridge)
  - Serge Florens (Grenoble)
  - Harold Baranger (Duke)
  - Andrew Ramsay (Hitachi)
  - Maurice Skolnick (Sheffield)

- Funding

**Imperial College  
London**

**Thanks very much for your attention!**