



# Experiments on the Quantum Spin Hall Effect in HgTe Quantum Wells

Laurens W. Molenkamp

Physikalisches Institut, EP3  
Universität Würzburg

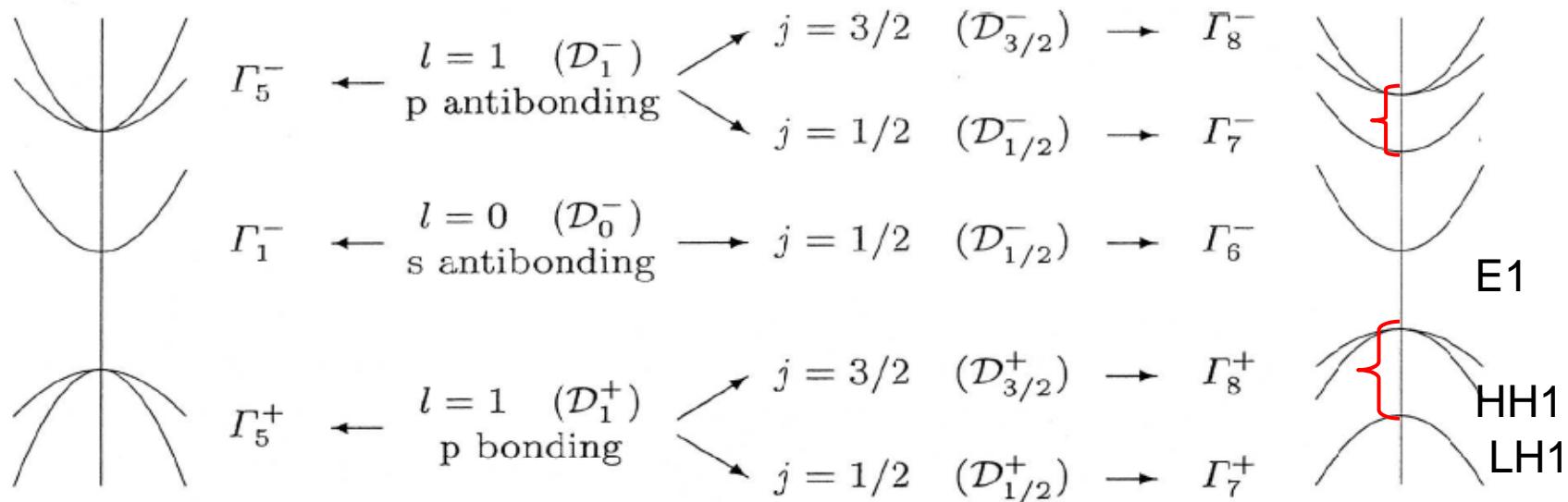
# Overview

- Spin-orbit effects in zincblende semiconductors
- HgTe Bandstructure
- QSHI
- edge channels and non-local transport
- intrinsic SHE

# Spin-Orbit Interaction

Atomic spin-orbit in zincblende symmetry:

→ Cannot be controlled experimentally!



Zero-order: only s-o splitting for  $|l| > 0$

# Spin-orbit interaction in a solid

(one of the few echoes of relativistic physics in the solid state)

Ingredients: - Electric field



Either from impurity:  
or Inversion Asymmetry

$$\vec{E} = -\left(\frac{1}{e}\right) \nabla V(r)$$

- Electron motion



In the rest frame of an electron the electric field generates an effective magnetic field

$$\vec{B}_{eff} = -\left(\frac{\hbar \vec{k}}{cm}\right) \times \vec{E}$$

This gives an effective interaction with the electron's magnetic moment

$$H_{so} = -\mu \cdot B_{eff} = -\left(\frac{e\vec{S}}{mc}\right) \cdot \left[ \frac{\hbar \vec{k}}{mc} \times \vec{r} \left( \frac{1}{er} \frac{dV(r)}{dr} \right) \right] = \alpha \vec{S} \cdot \vec{L}$$

## FIRST CONSEQUENCE

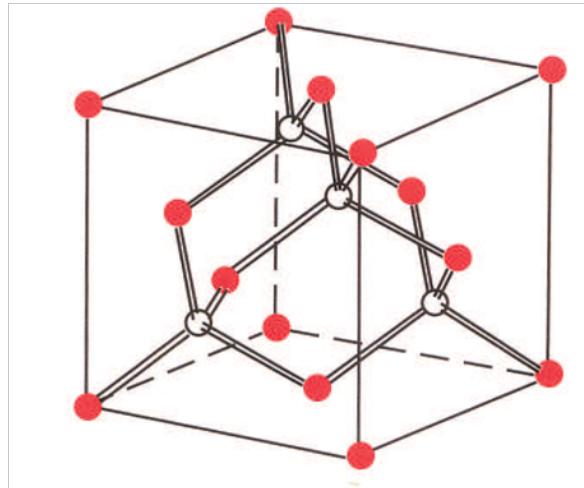
- Quantization axis of spin now depends on electron momentum!!

# Lifting of conduction-band spin-degeneracy at $B = 0$

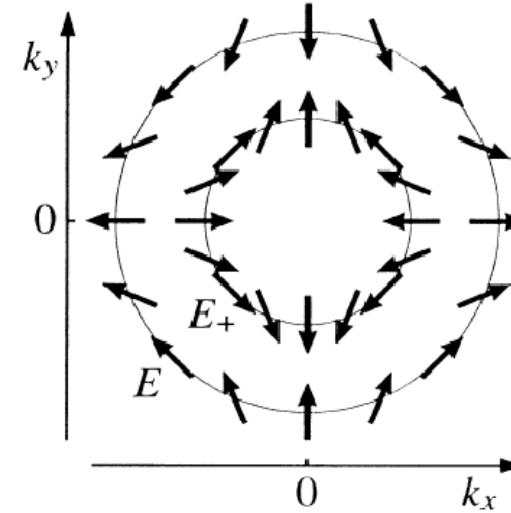
bulk inversion asymmetry (BIA)

Dresselhaus-term:  $H_D = \alpha_D (\sigma_x k_x - \sigma_y k_y)$

G. Dresselhaus, Phys. Rev. **100**, 580 (1955)



Zincblende structure

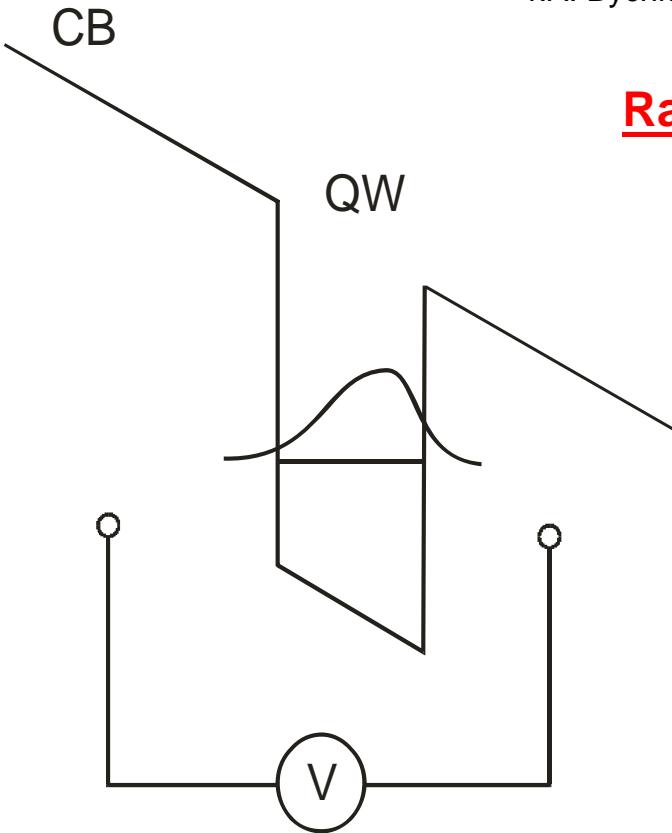


➔ Can not be influenced experimentally!

# Lifting of conduction-band spin-degeneracy at $B = 0$

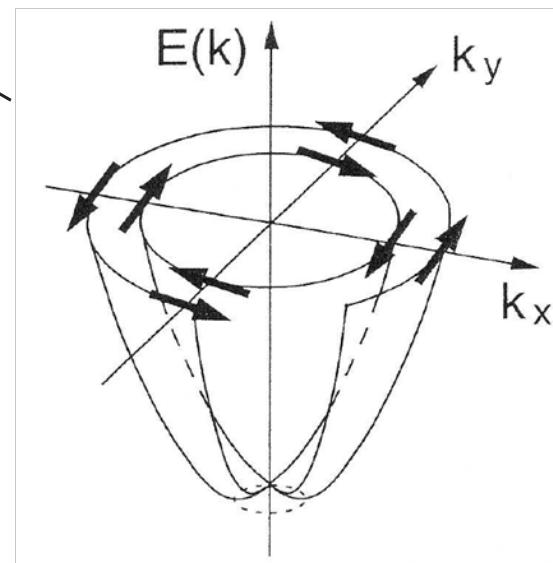
structural inversion asymmetry (SIA)

Y.A. Bychkov and E.I. Rashba, JETP Lett. **39**, 78 (1984); J. Phys. C **17**, 6039 (1984):



**Rashba-Term:**

$$H_R = \alpha_R (\sigma_x k_y - \sigma_y k_x)$$



$$E^\pm = E_i + \frac{\hbar^2 k_{\parallel}^2}{2m^*} \pm \alpha k_{\parallel}$$

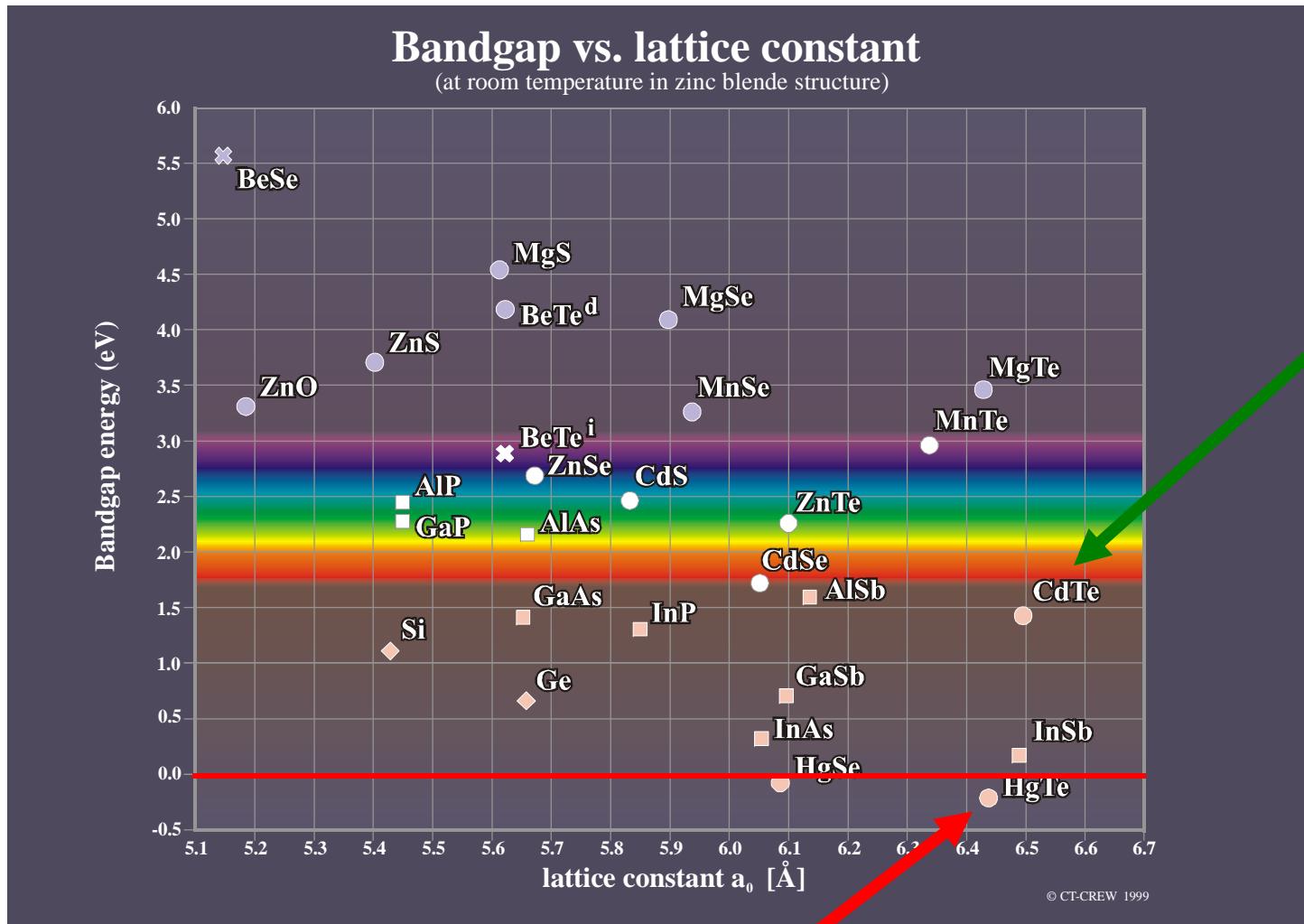
(for electrons and light holes)

$$E^\pm = E_i + \frac{\hbar^2 k_{\parallel}^2}{2m^*} \pm \beta k_{\parallel}^3$$

(for heavy holes)

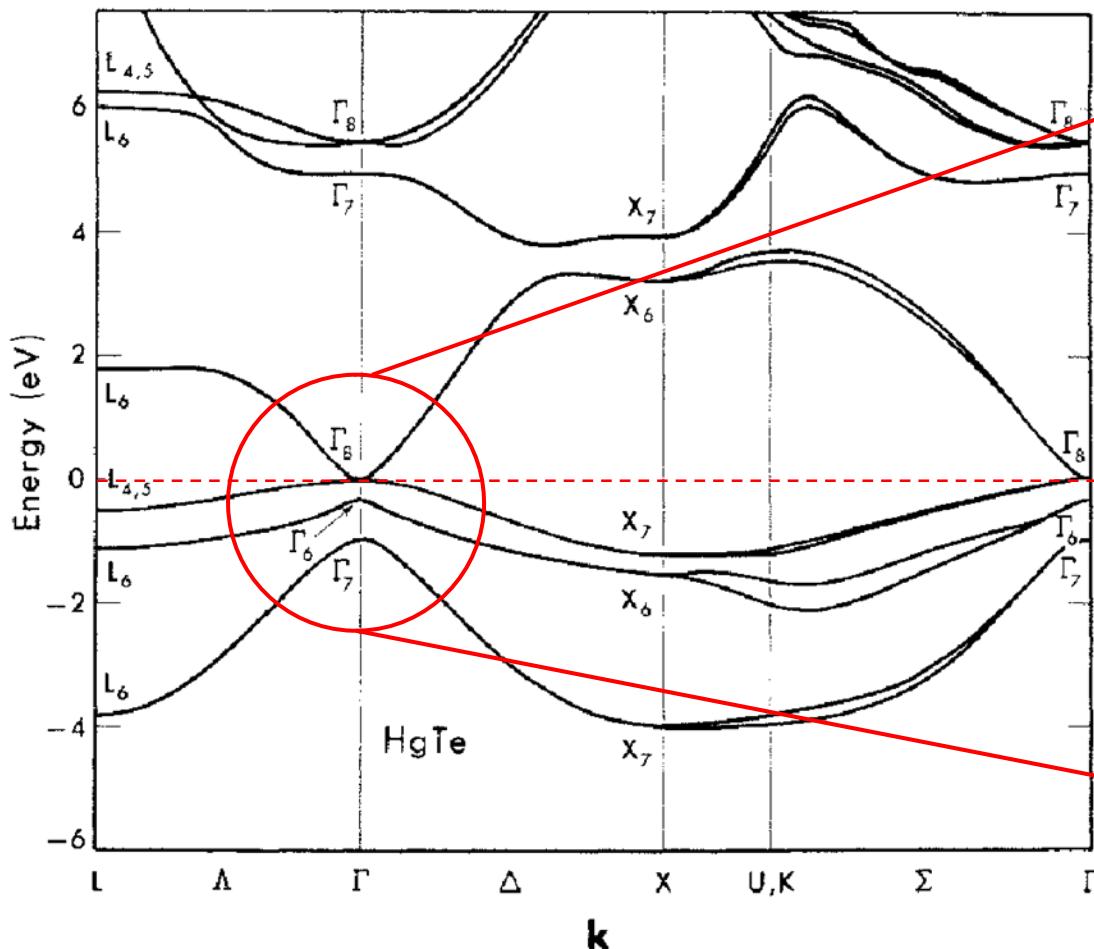
# HgTe-Quantum Wells

## MBE-Growth

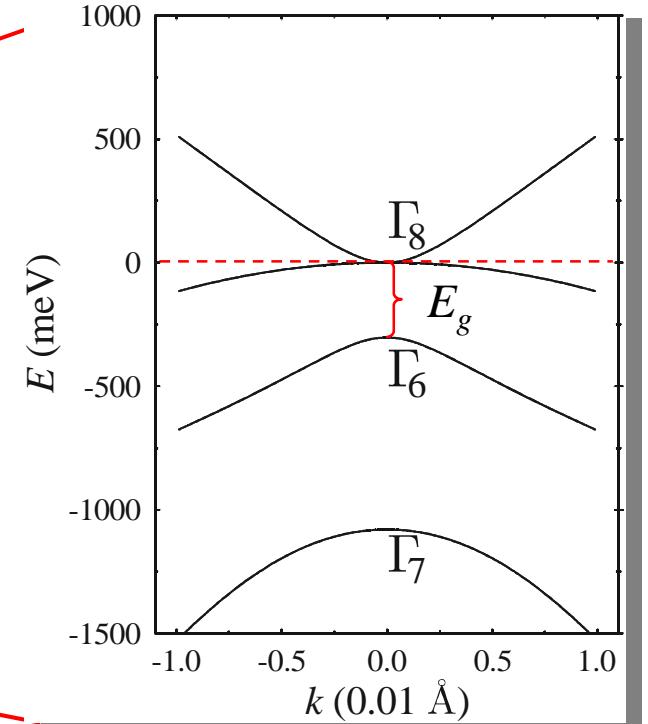


# HgTe

## band structure



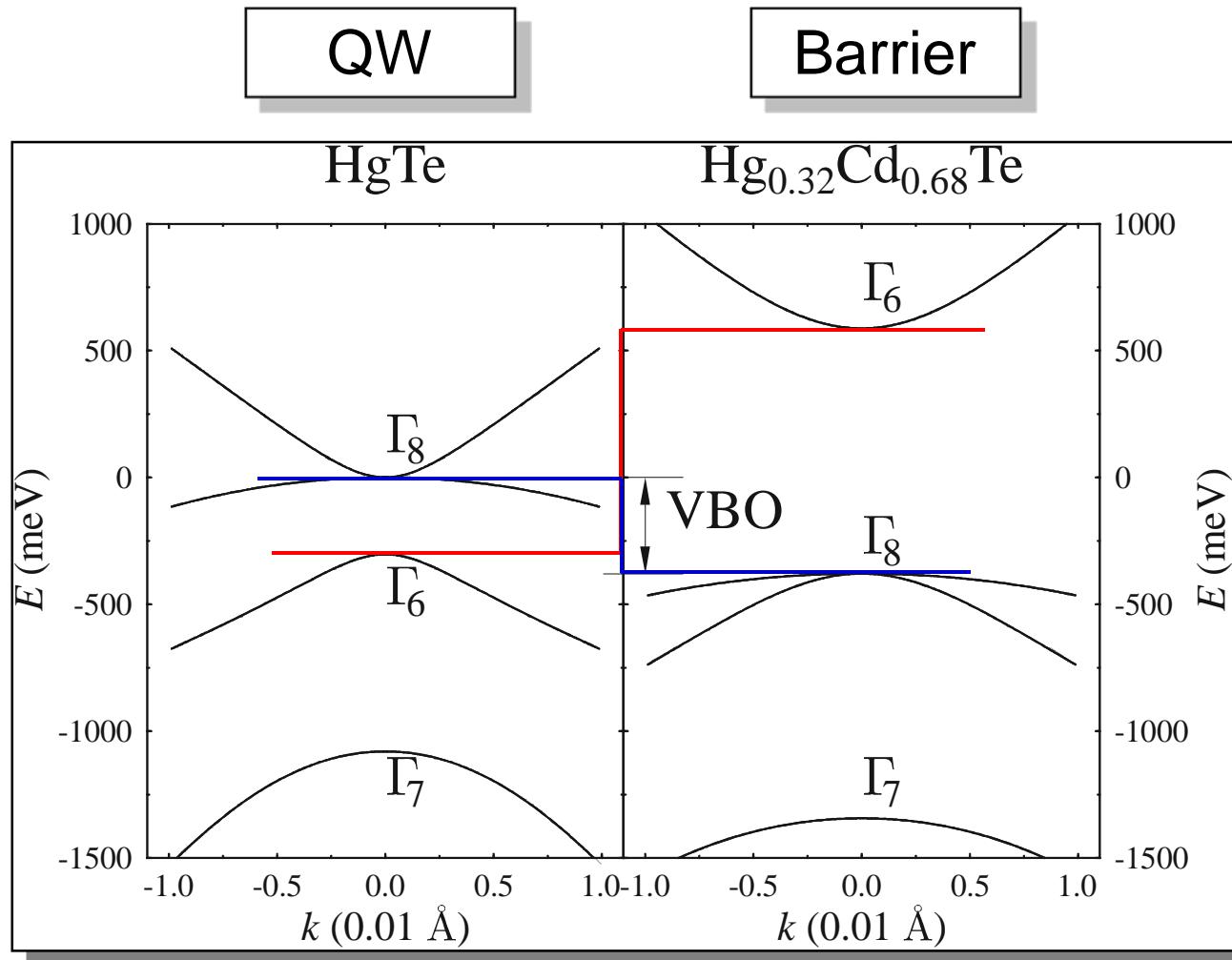
semi-metal or semiconductor



fundamental energy gap

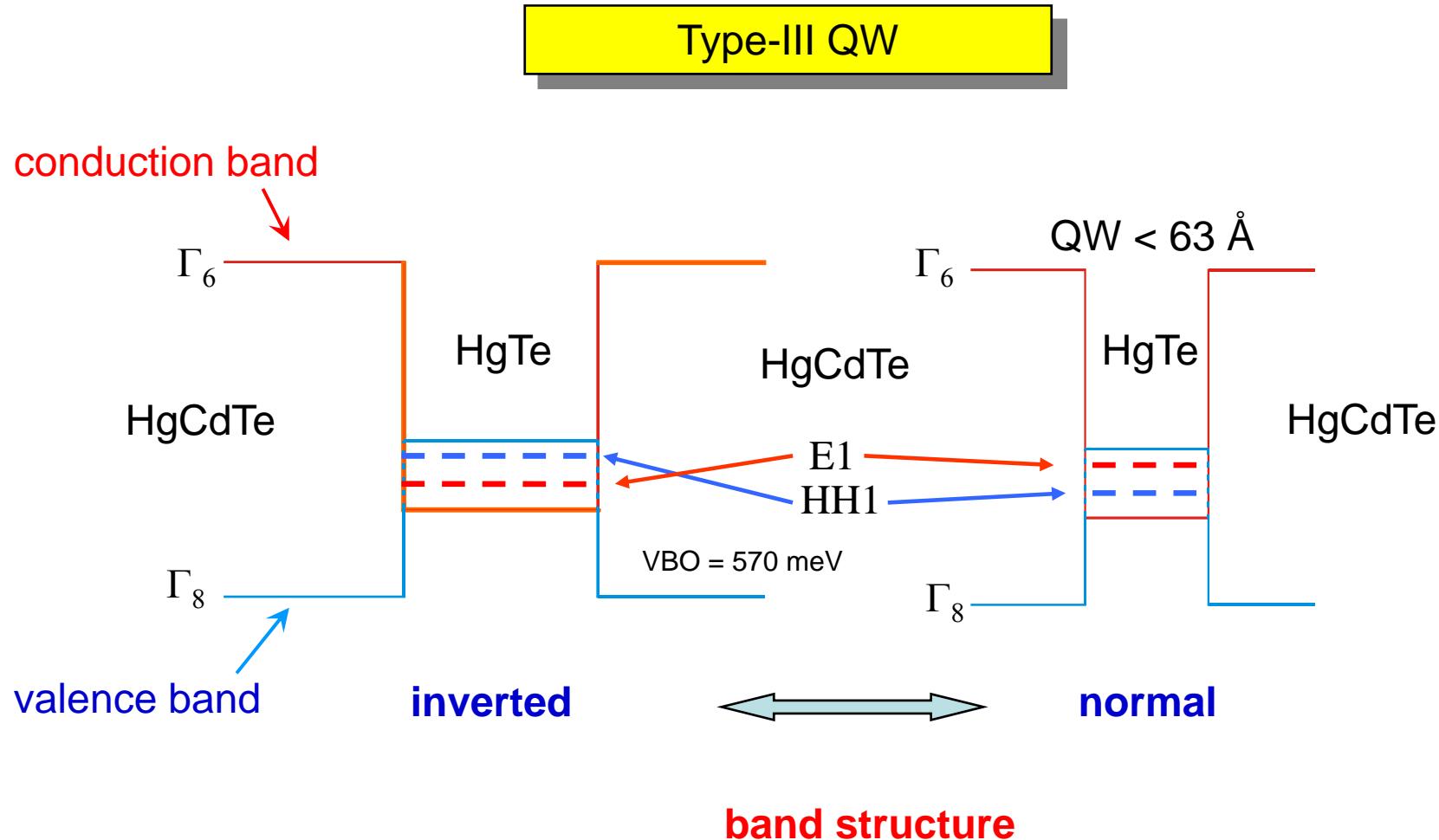
$$E^{\Gamma 6} - E^{\Gamma 8} \approx -300 \text{ meV}$$

# HgTe-Quantum Wells

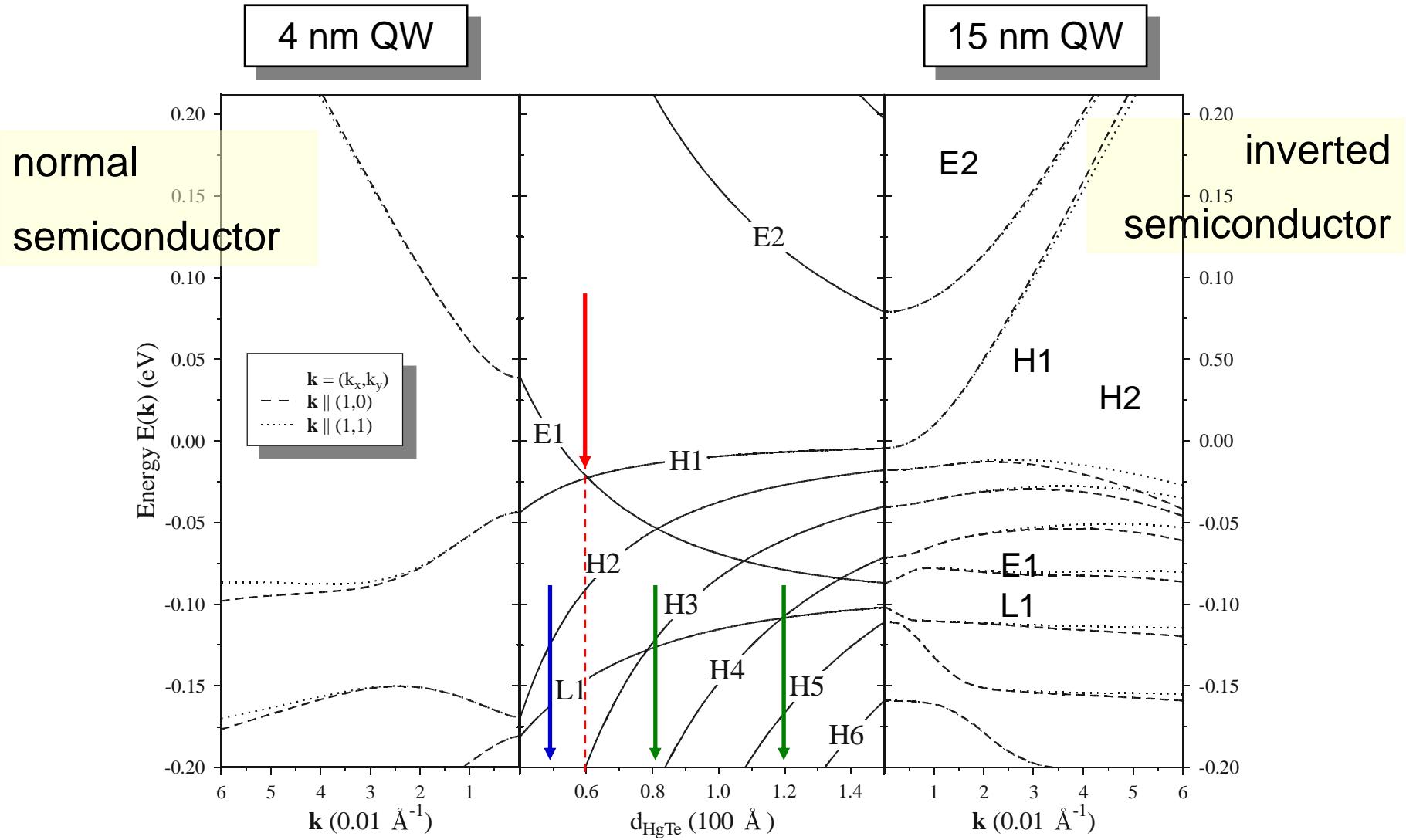


$\text{VBO} = 570 \text{ meV}$

# HgTe-Quantum Wells



# Band Gap Engineering

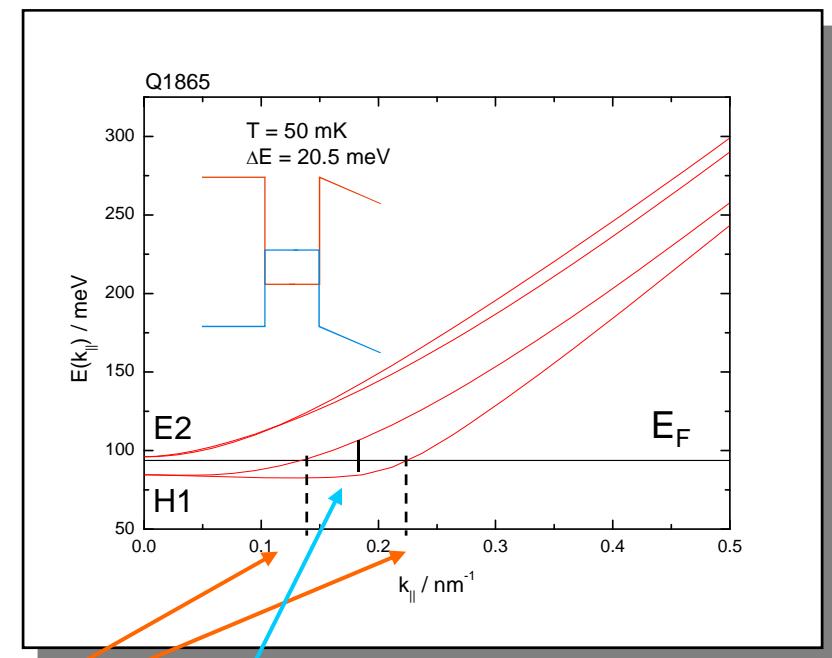
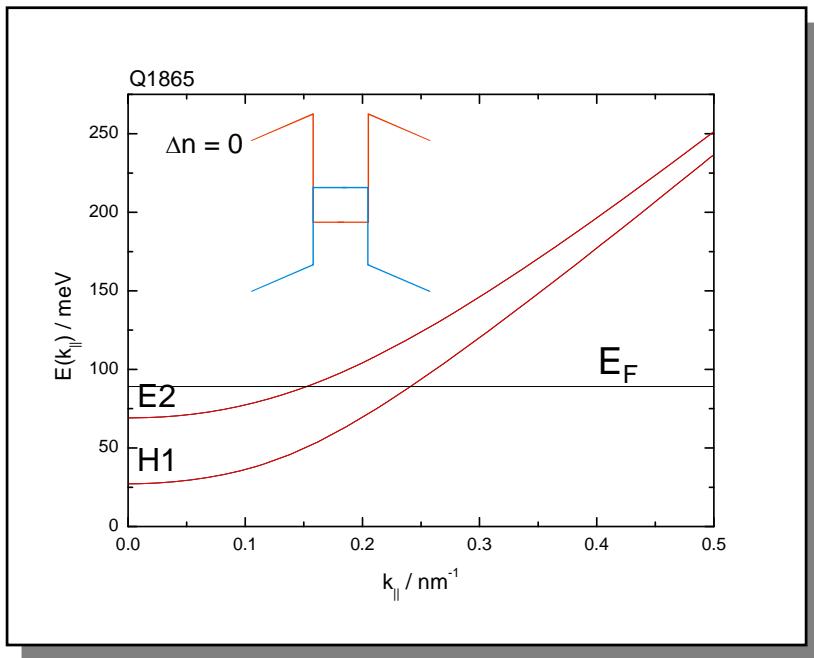


# Rashba Spin-Orbit Splitting

$8 \times 8 \mathbf{k} \cdot \mathbf{p}$  calculation

symmetric QW

asymmetric QW



E.G. Novik *et al*, PRB 72, 035321 (2005)

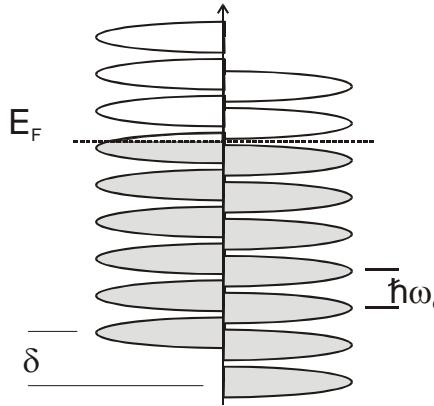
$\Delta n$

$\Delta_{R,\max}$  up to 30 meV

Y.S. Gu, *et al.*, PRB 70, 115328 (2004)

# Magneto-Transport

nodes in  
SdH:

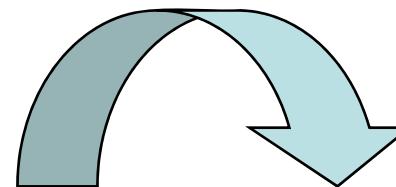
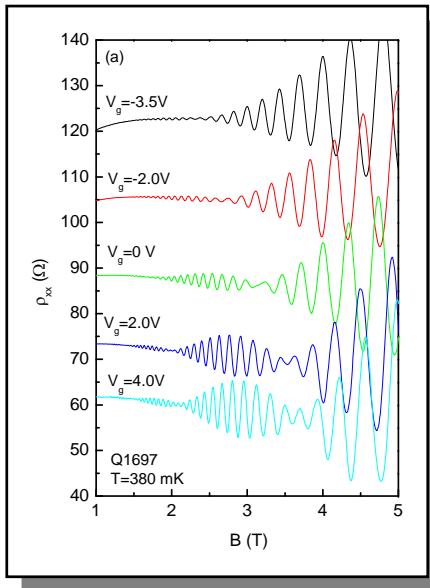


SdH-Amplitude:

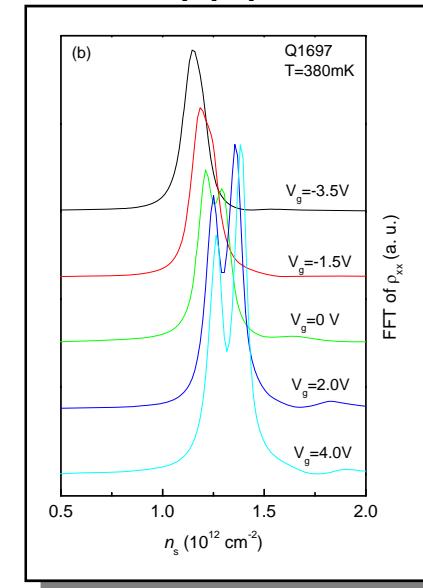
$$A \propto \cos(\pi\nu) \quad \nu = \frac{\delta}{\hbar\omega_c}$$

Y.S. Gui et al., Europhys. Lett. **65**, 393 (2004)

SdH



FFT



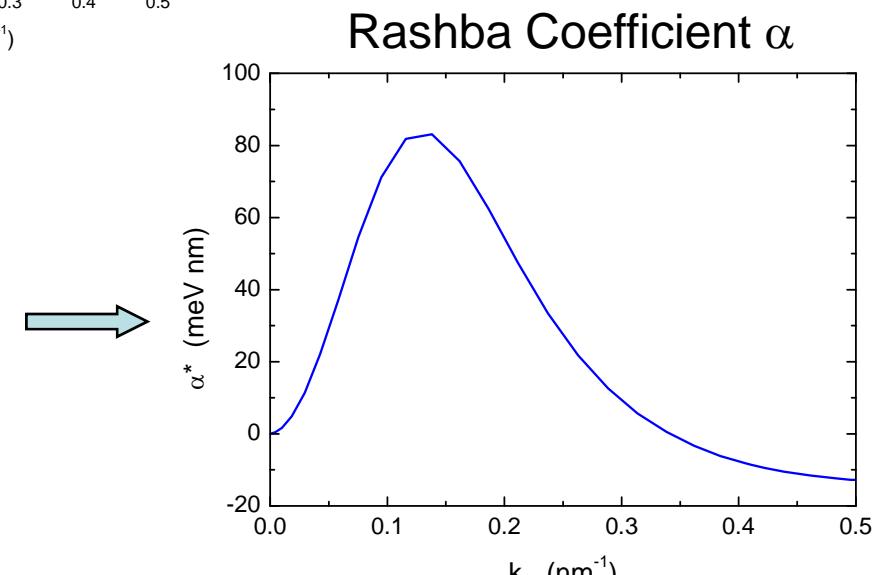
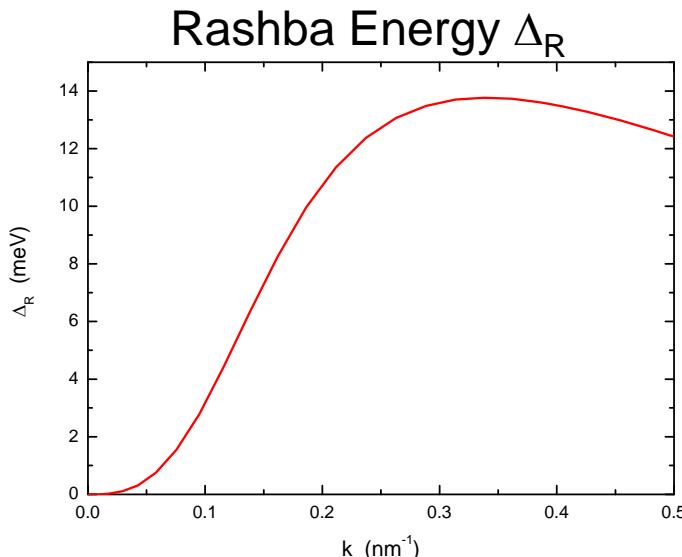
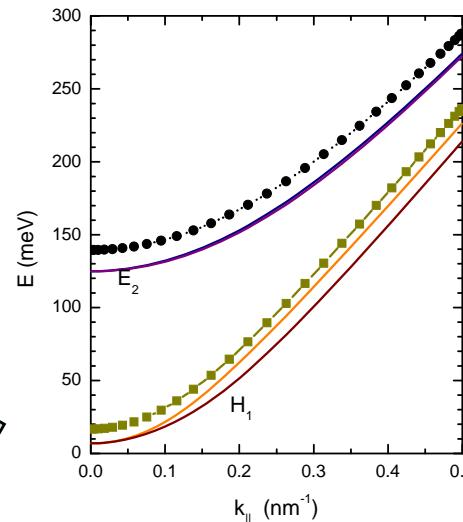
# Rashba Spin-Splitting Energy

$$E^\pm = E_i + \frac{\hbar^2 k_{\parallel}^2}{2m^*} \pm \alpha k_{\parallel}$$

(for electron and light hole bands)

$$E^\pm = E_i + \frac{\hbar^2 k_{\parallel}^2}{2m^*} \pm \beta k_{\parallel}^3$$

(for heavy hole bands)

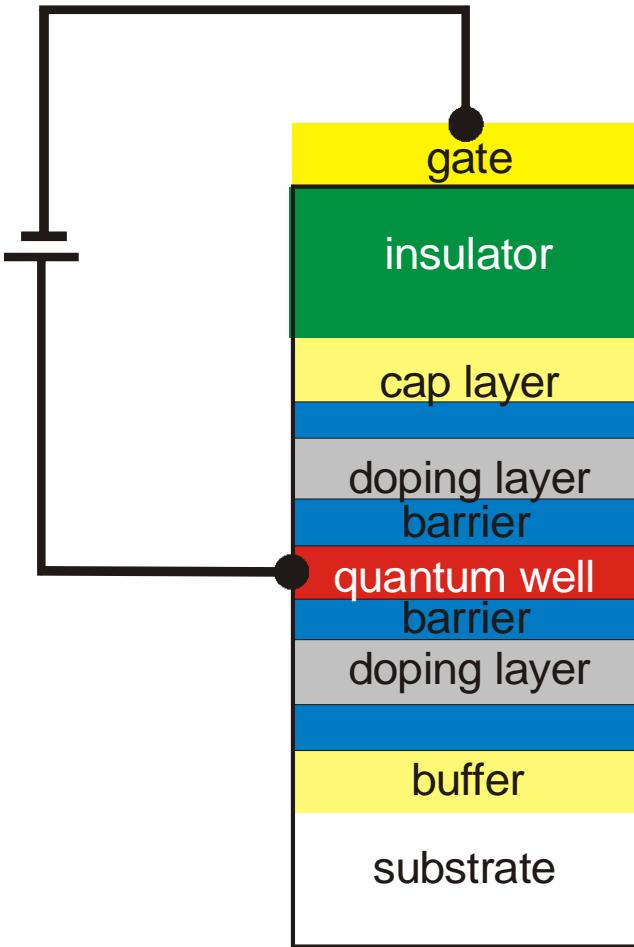


Y.S. Gui, et al., PRB 70, 115328 (2004)  
 E.G. Novik, et al., PRB 72, 035321 (2005)

# Layer Structure

Carrier densities:  $n_s = 1 \times 10^{11} \dots 2 \times 10^{12} \text{ cm}^{-2}$

Carrier mobilities:  $\mu = 1 \times 10^5 \dots 1 \times 10^6 \text{ cm}^2/\text{Vs}$



Au

100 nm  $\text{Si}_3\text{N}_4/\text{SiO}_2$

25 nm CdTe

10 nm HgCdTe  $x = 0.7$

9 nm HgCdTe with I

10 nm HgCdTe  $x = 0.7$

4 - 12 nm HgTe

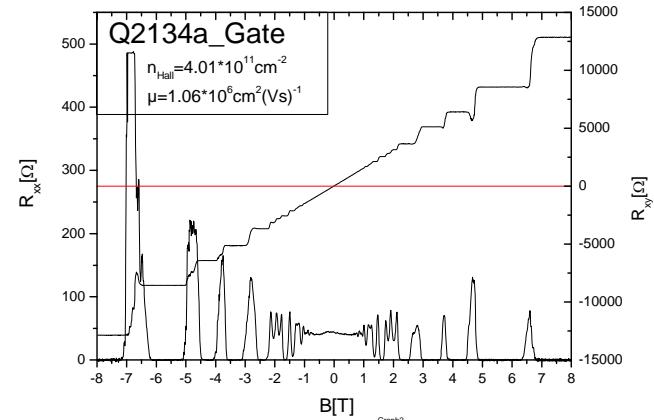
10 nm HgCdTe  $x = 0.7$

9 nm HgCdTe with I

10 nm HgCdTe  $x = 0.7$

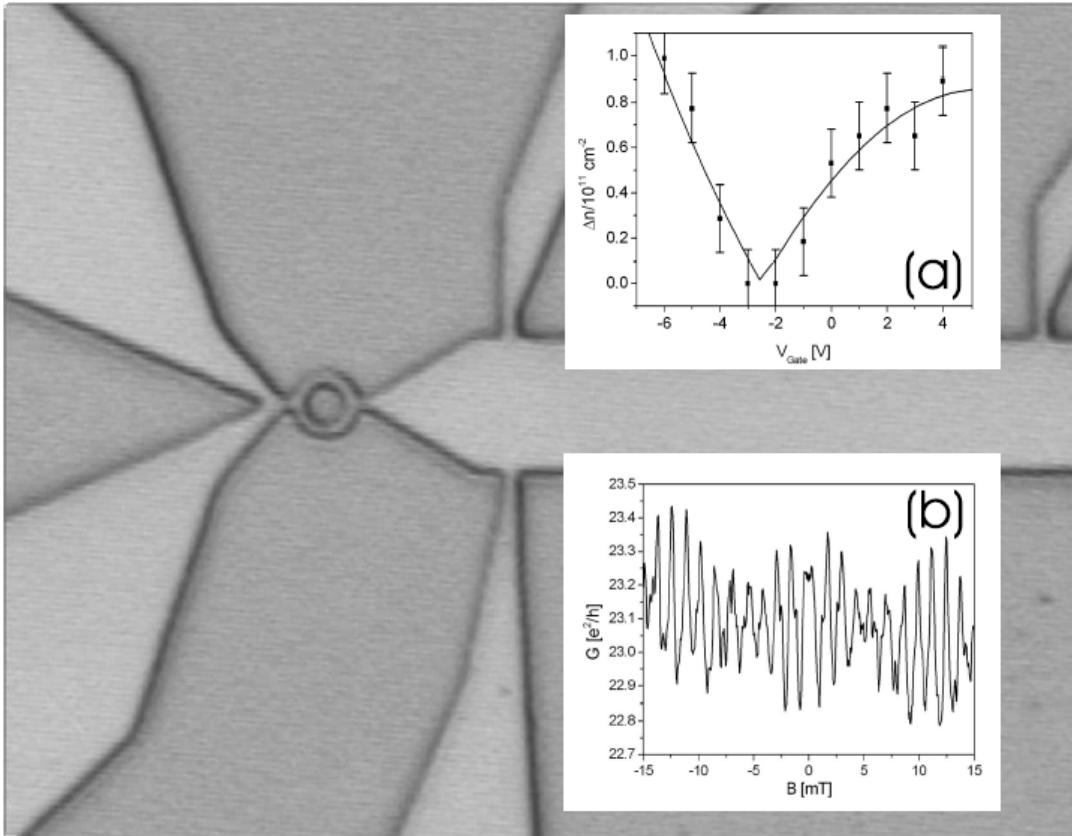
25 nm CdTe

CdZnTe(001)



symmetric or asymmetric  
doping

# HgTe Ring-Structures



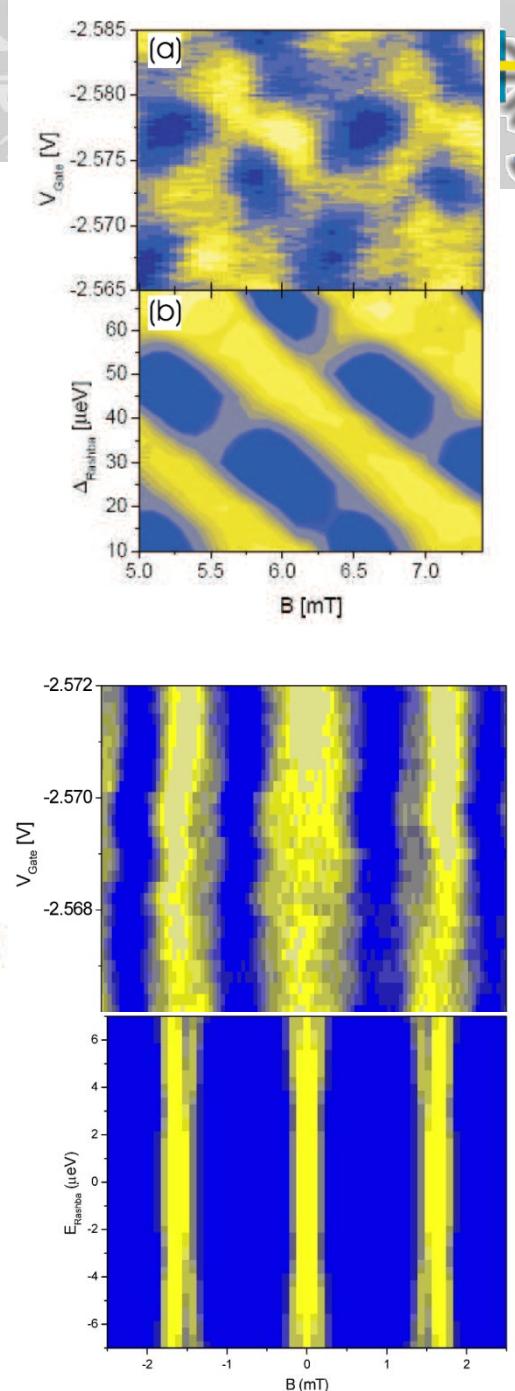
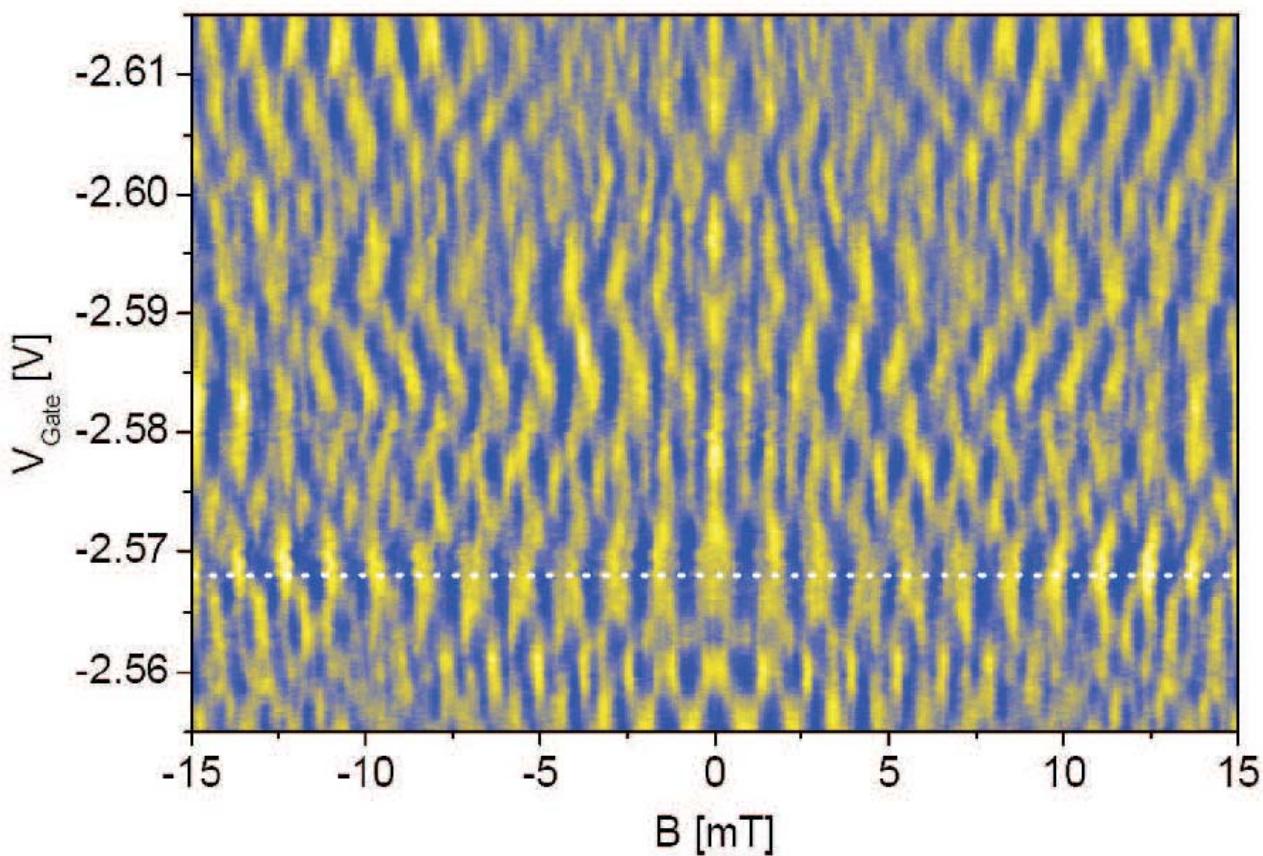
Three phase factors:  
Aharonov-Bohm  
Berry  
Aharonov-Casher

$$\Delta\varphi_{\psi_s^+ - \psi_s^-} = -2\pi \frac{\Phi}{\Phi_0} - b\pi(1 - \cos\theta)$$

$$\Delta\varphi_{\psi_s^+ - \psi_{\bar{s}}^-} = -2\pi \frac{\Phi}{\Phi_0} - \boxed{b2\pi r \frac{m^*\alpha}{\hbar^2} \sin\theta}$$

$s = \uparrow$  and  $\downarrow$ ,  
parallel and anti-parallel to  $B_{tot}$   
 $b = \pm 1$  for  $\uparrow, \downarrow$   
 $\theta \prec B_{ext}, B_{tot}; \quad B_{tot} = B_{ext} + B_{eff}$

# HgTe Ring-Structures

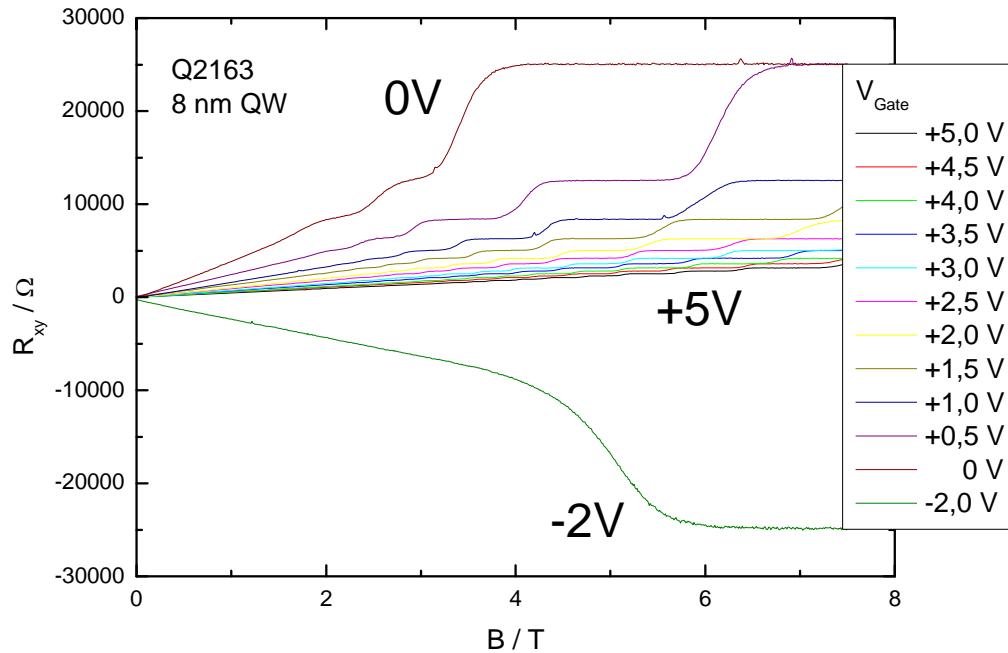


Modeling E. Hankiewicz, J. Sinova,  
Concentric Tight Binding Model (a la Nikolic)+ B-field  
M. König et al., Phys. Rev.Lett. **96**, 76804 (2006).

# Gated **Low Carrier Densities** Samples

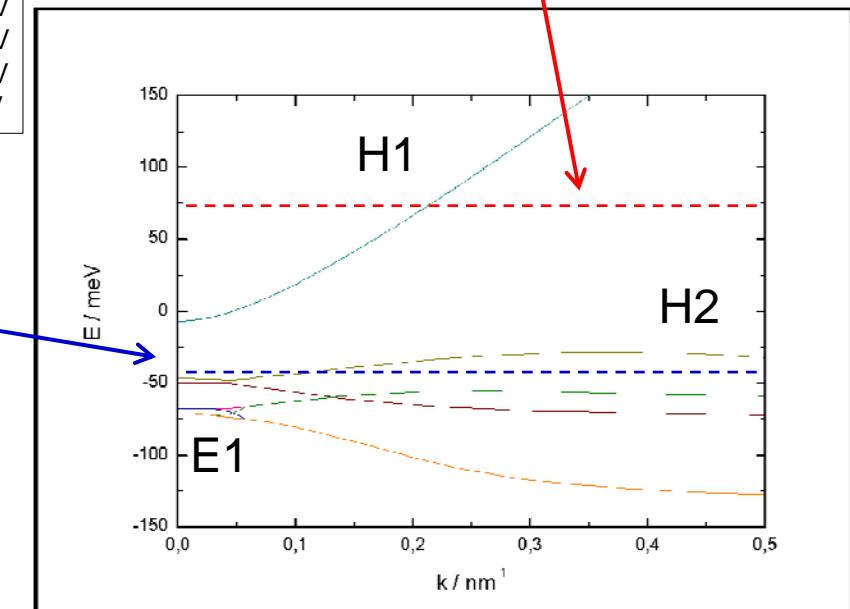
# n to p transitions

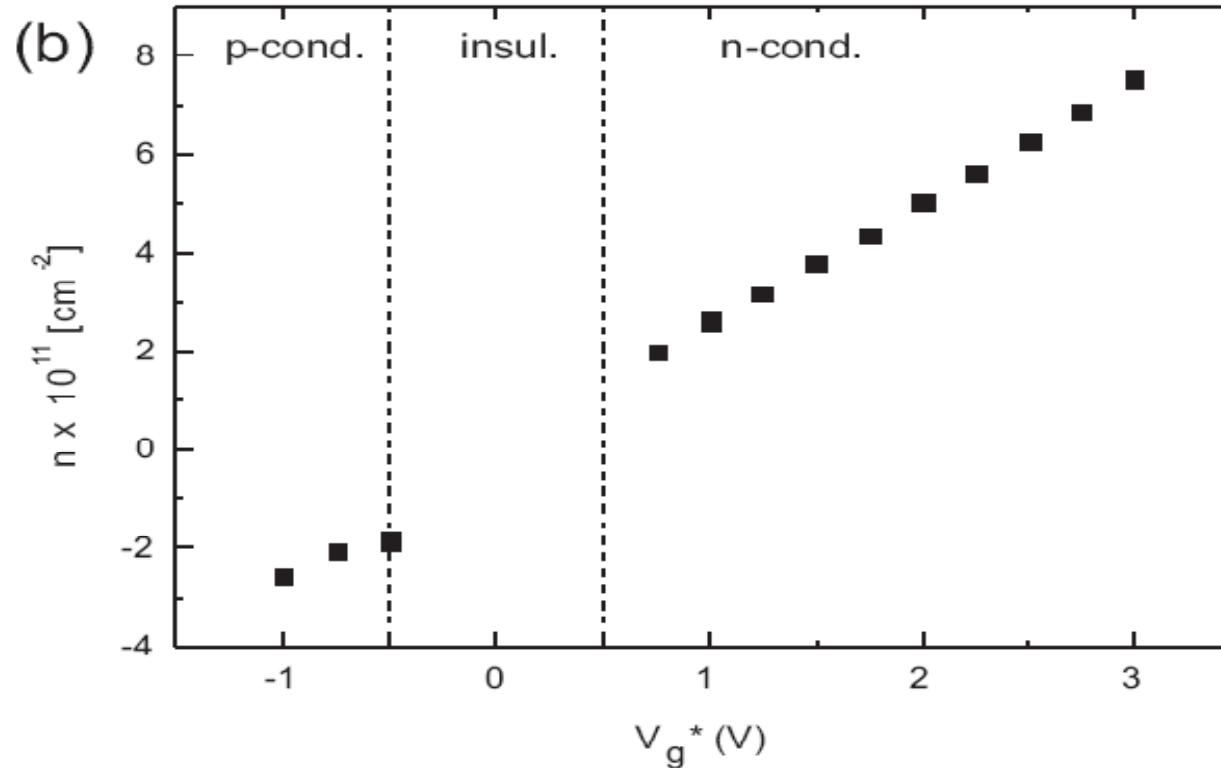
T = 1.5 K



$$p_{\max} = 3.2 \times 10^{11} \text{ cm}^{-2}$$

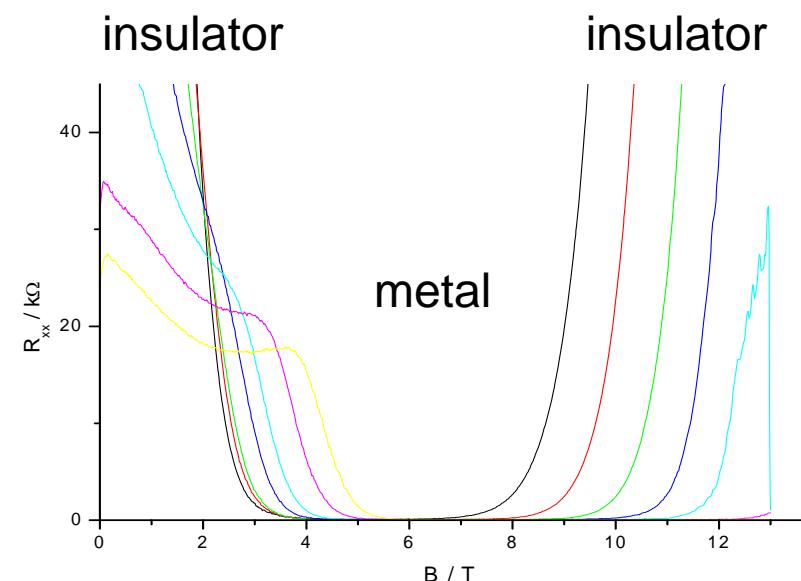
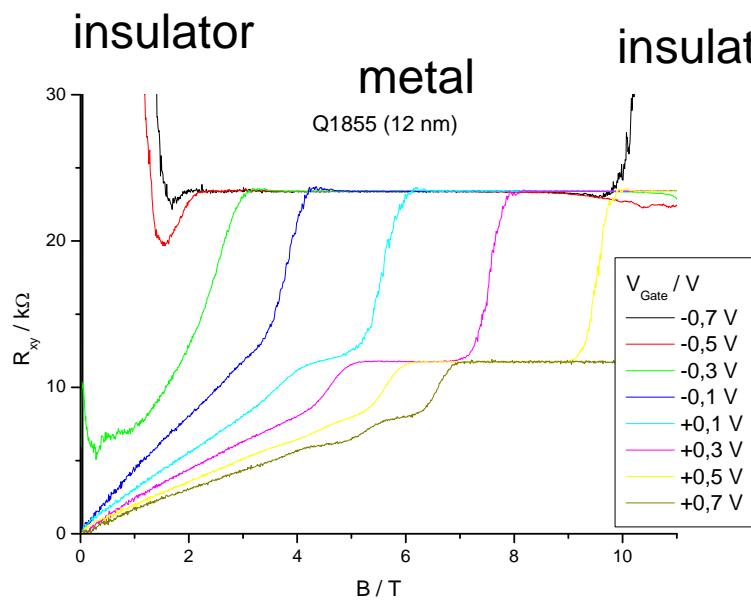
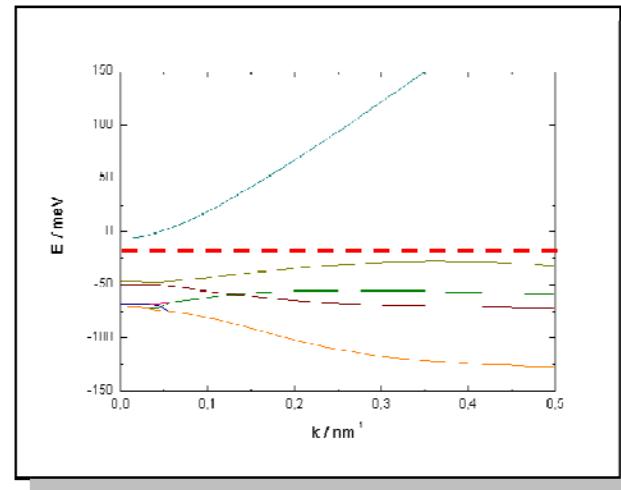
$$n_{\max} = 1.35 \times 10^{12} \text{ cm}^{-2}$$



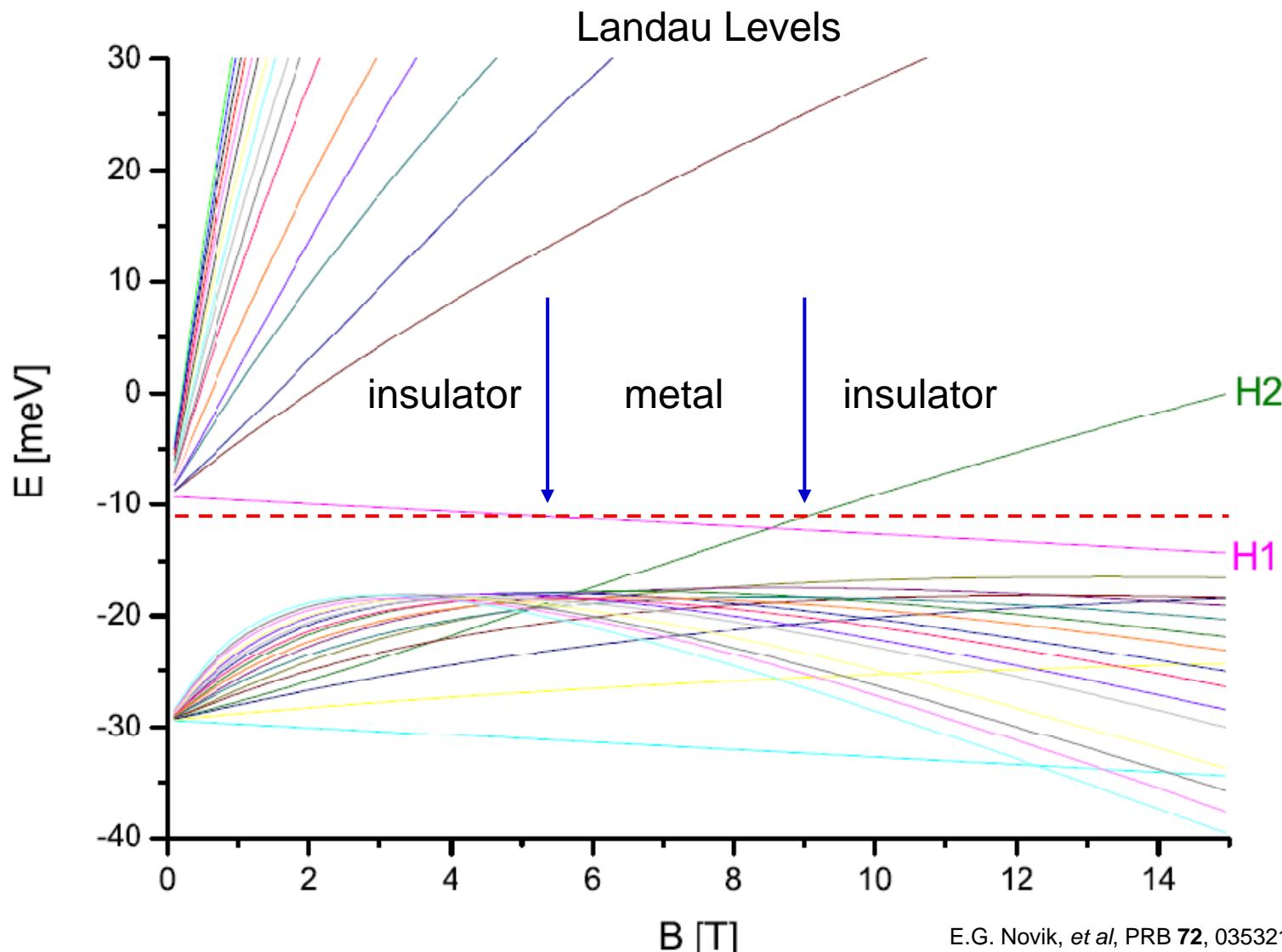


Gate voltage versus carrier density

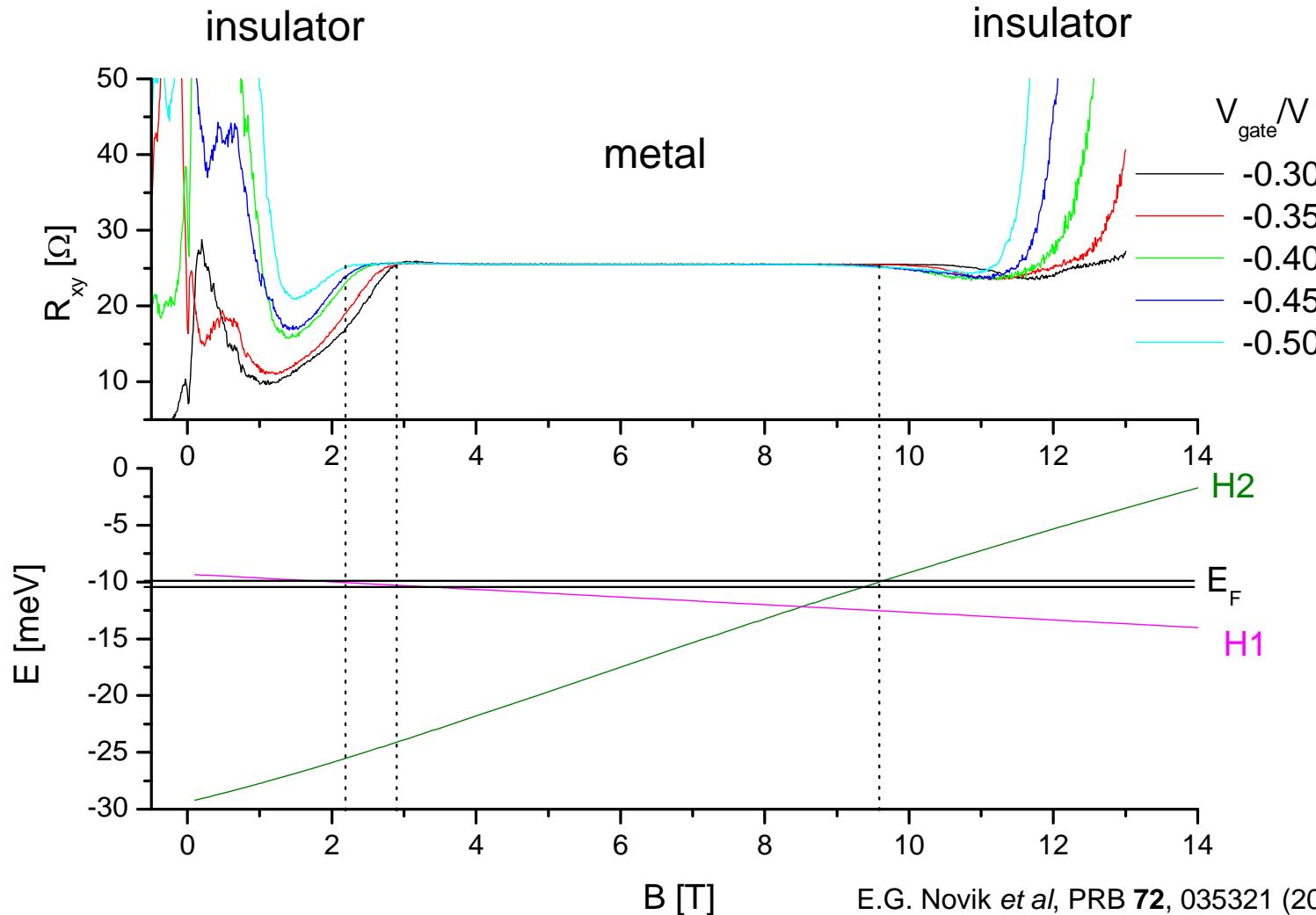
# Magneto-Resistance in the insulating regime

**Hall****SdH**

# Insulator-Metal-Insulator Transition



# Insulator-Metal-Insulator Transition



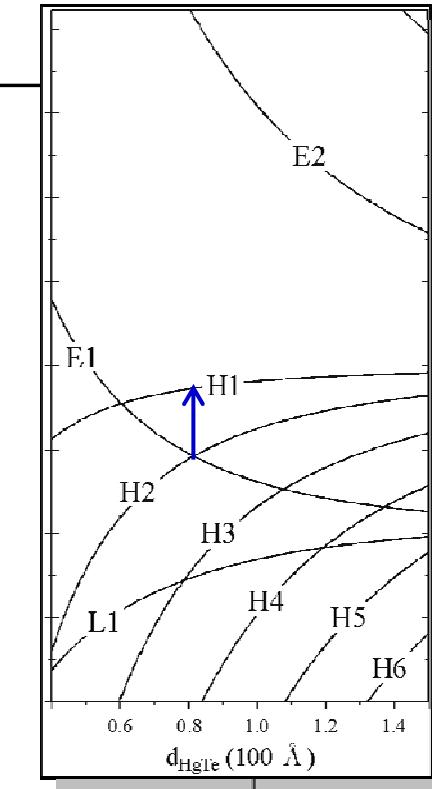
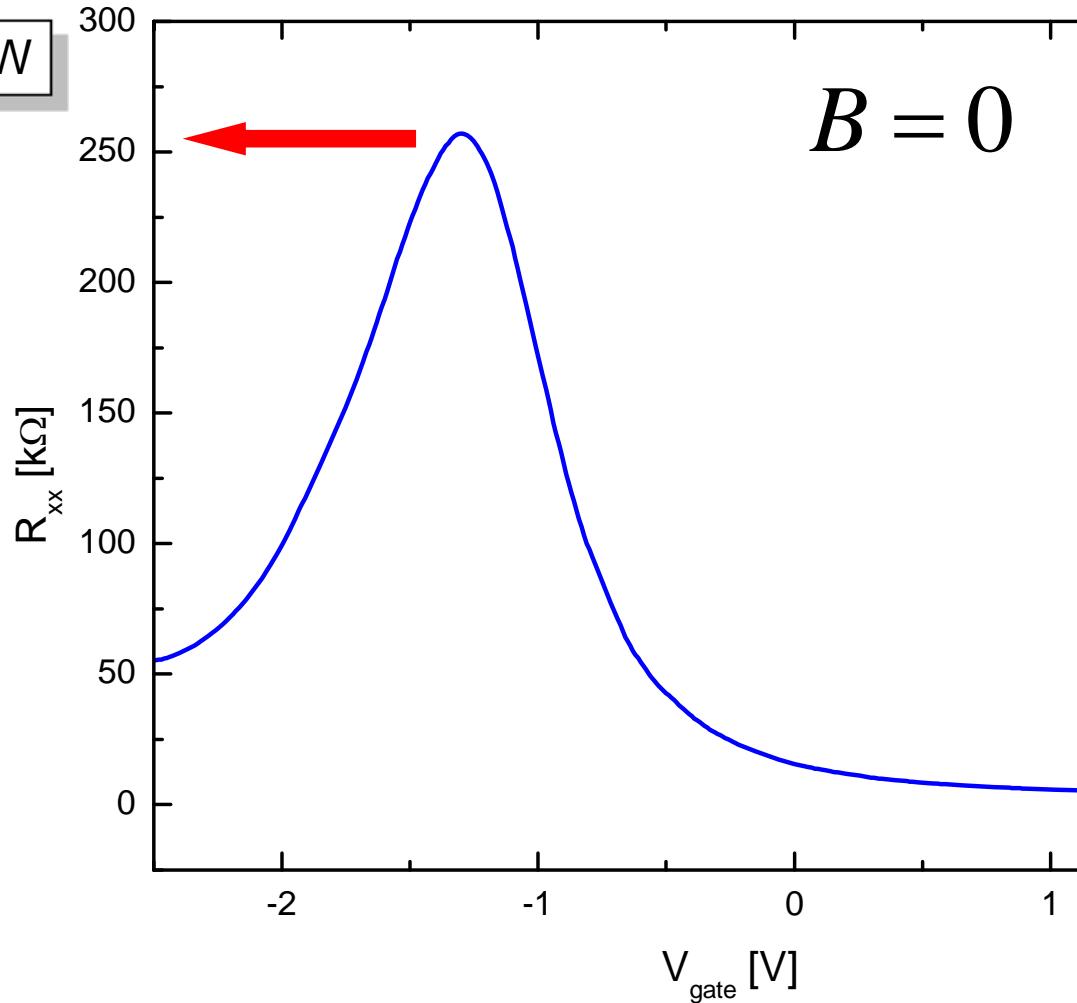
E.G. Novik *et al*, PRB **72**, 035321 (2005) ,

M. König *et al.*, Science, (2007).

DOI: 10.1126/science.1148047 (Online Sept. 20, 2007)

# Finite conductance in the insulating regime

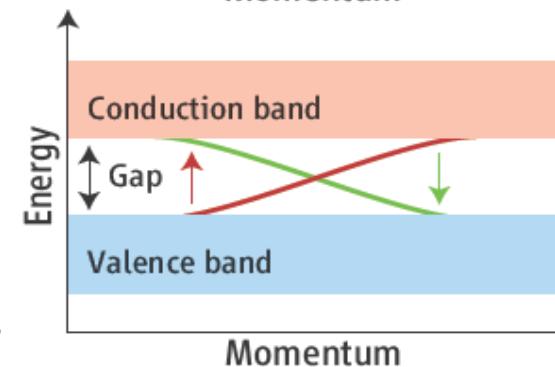
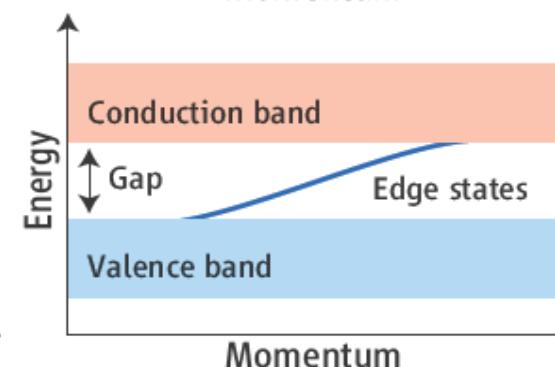
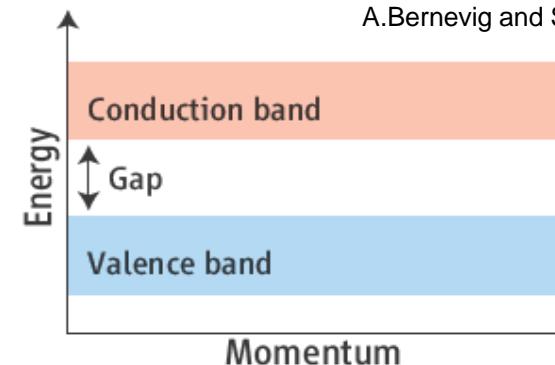
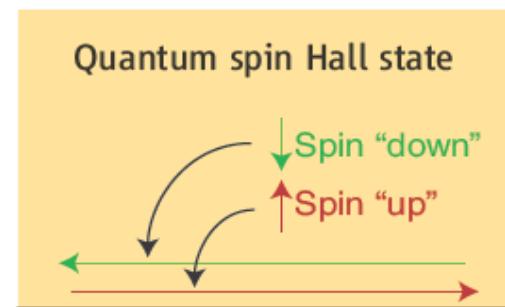
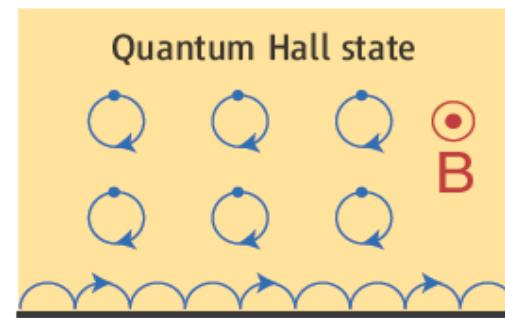
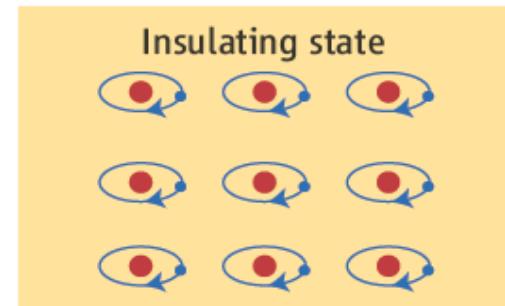
8 nm QW



8 nm QW  
largest  
thermal gap

# **QSH** **insulator**

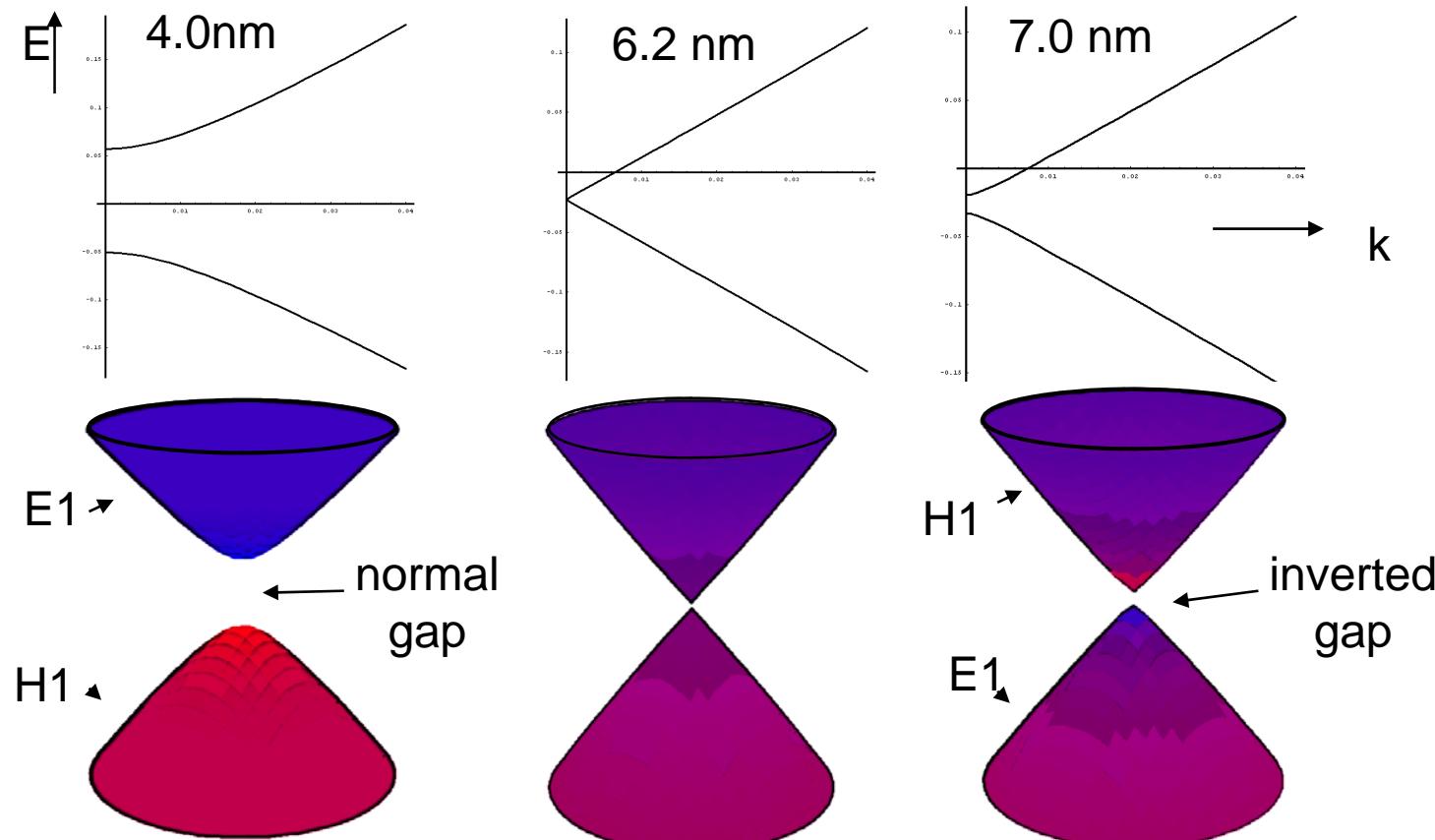
# Topological Quantization



C.L.Kane and E.J.Mele, PRL **95**, 146802 (2005)  
C.L.Kane and E.J.Mele, PRL **95**, 226801 (2005)  
A.Bernevig and S.-C. Zhang, PRL **96**, 106802 (2006)

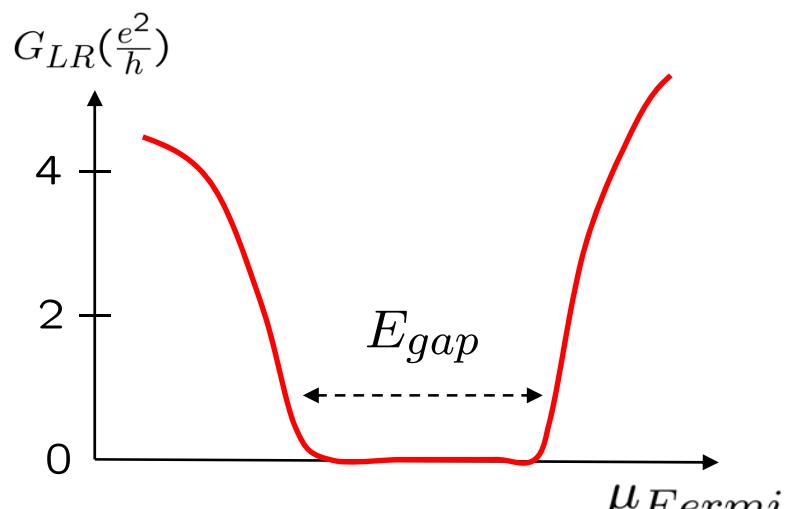
# Bandstructure HgTe

B.A Bernevig, T.L. Hughes, S.C. Zhang, Science 314, 1757 (2006)

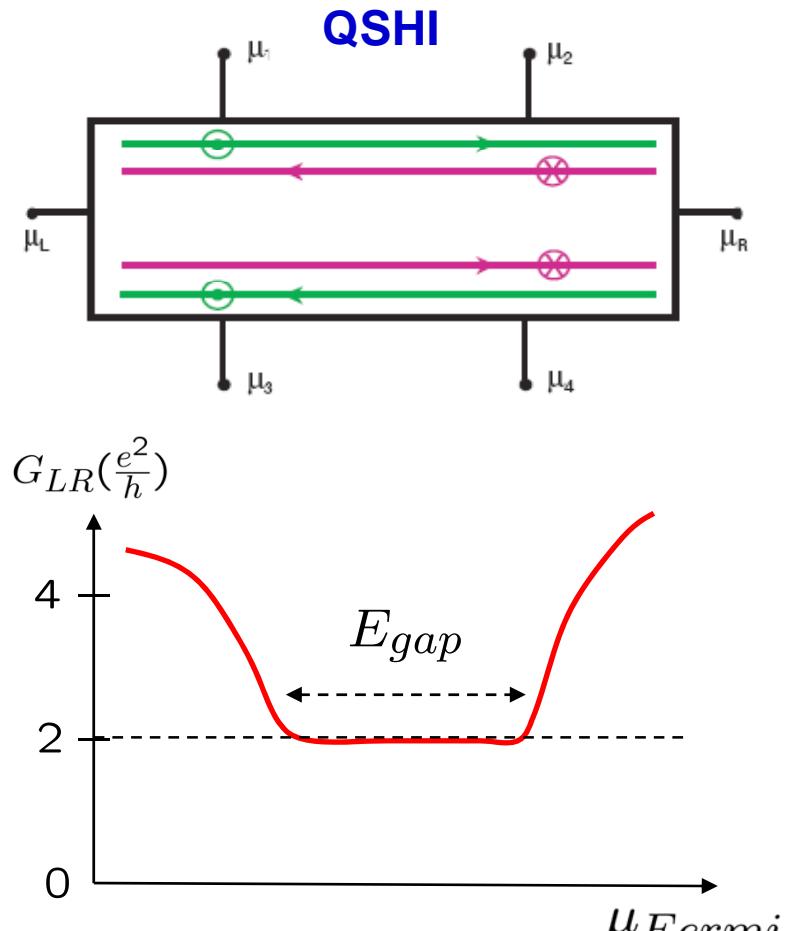


# Experimental Signature

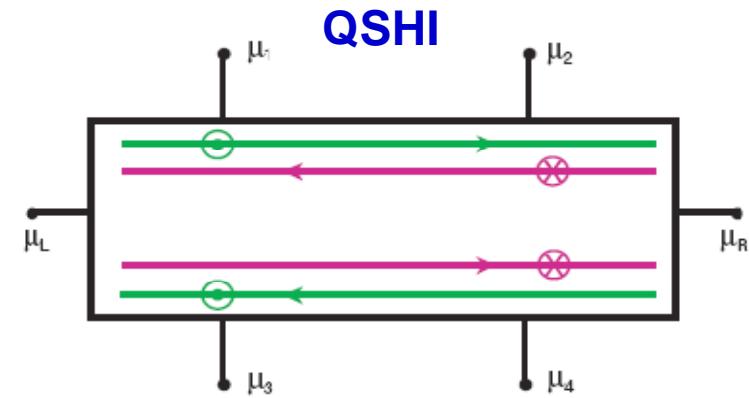
normal insulator state



$d < d_c$ , normal regime

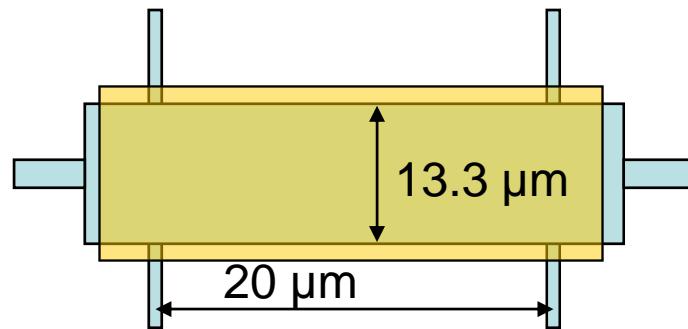


$d > d_c$ , inverted regime



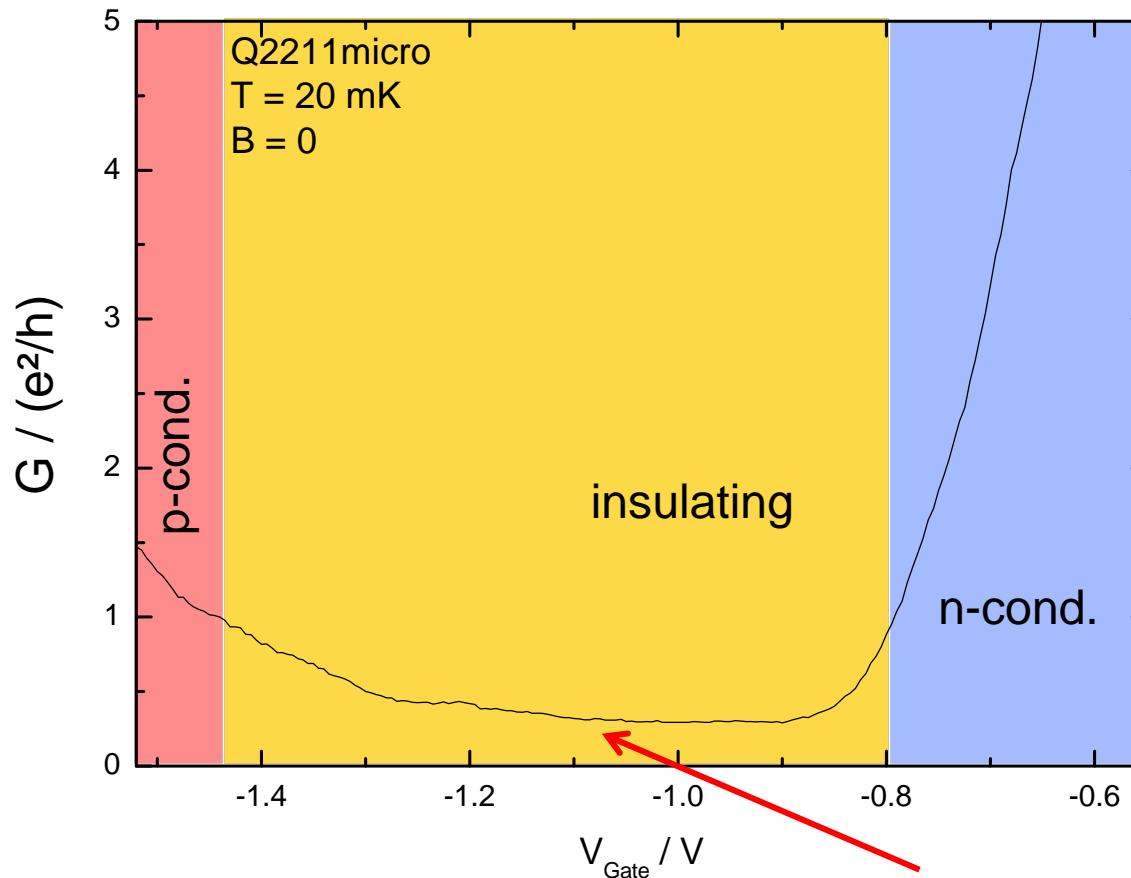
# QSHE-Measurement

sample layout



# QSHE in inverted HgTe-QWs?

Q2211 - 8 nm QW



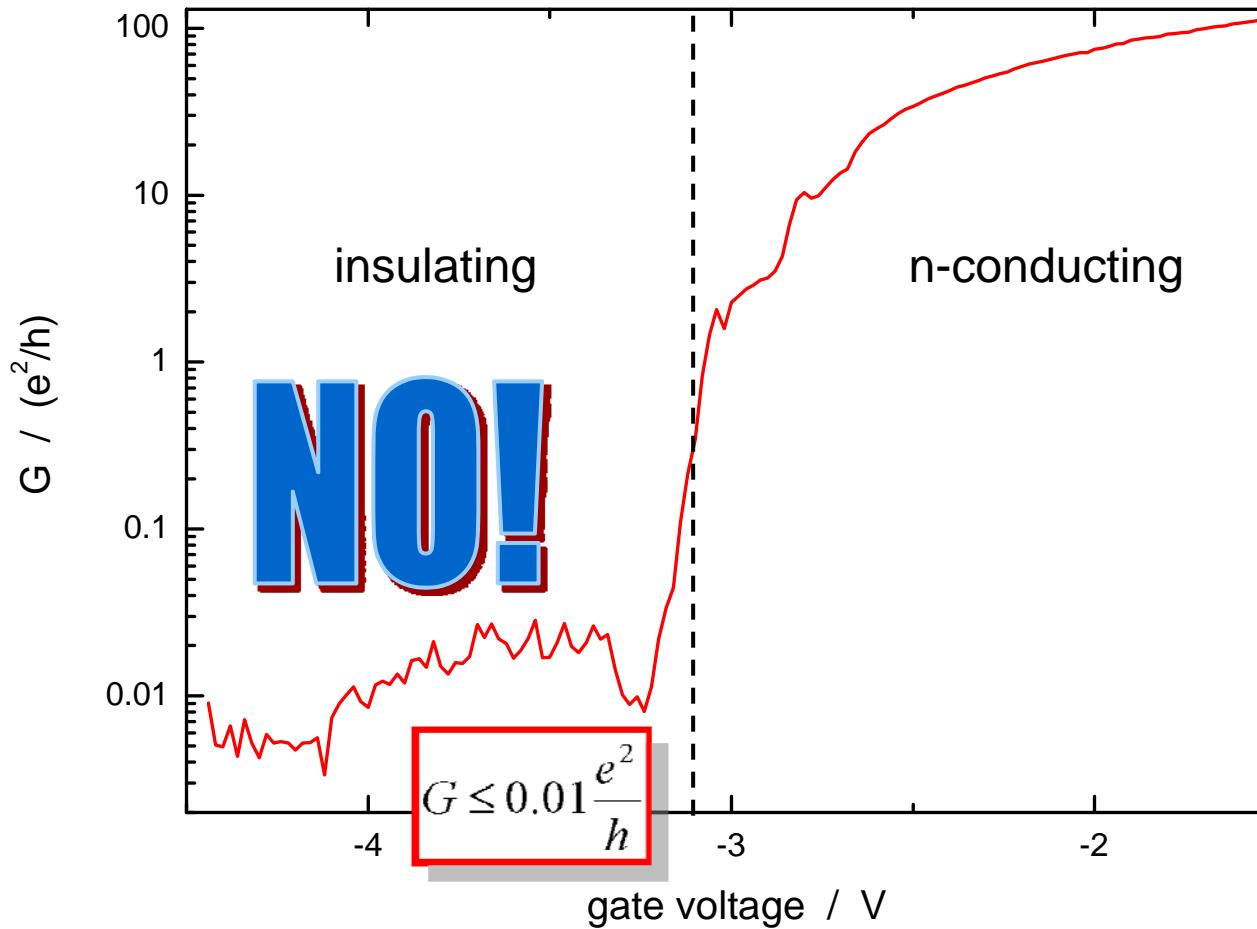
20 micron long bars:  
finite conductance in the insulating regime

$$G \approx 0.3 \dots 0.5 \frac{e^2}{h}$$

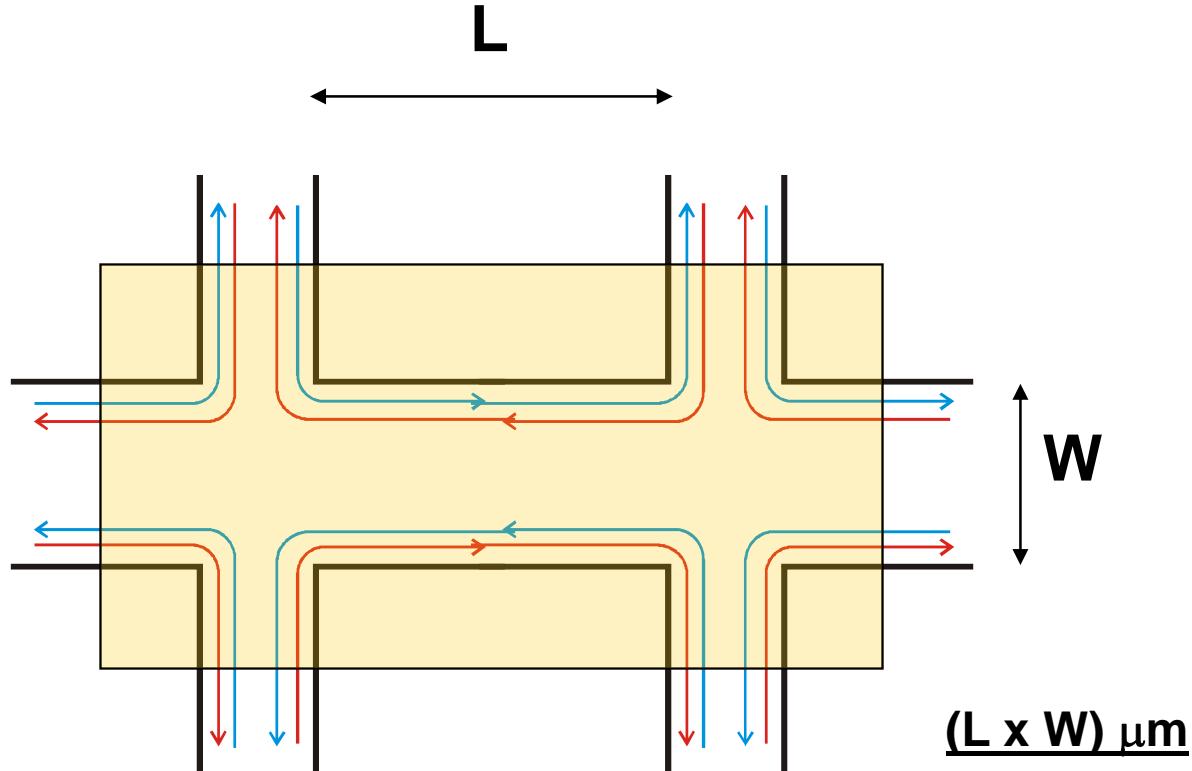
# QSHE in normal HgTe-QWs?

5.5 nm QW

Q2013

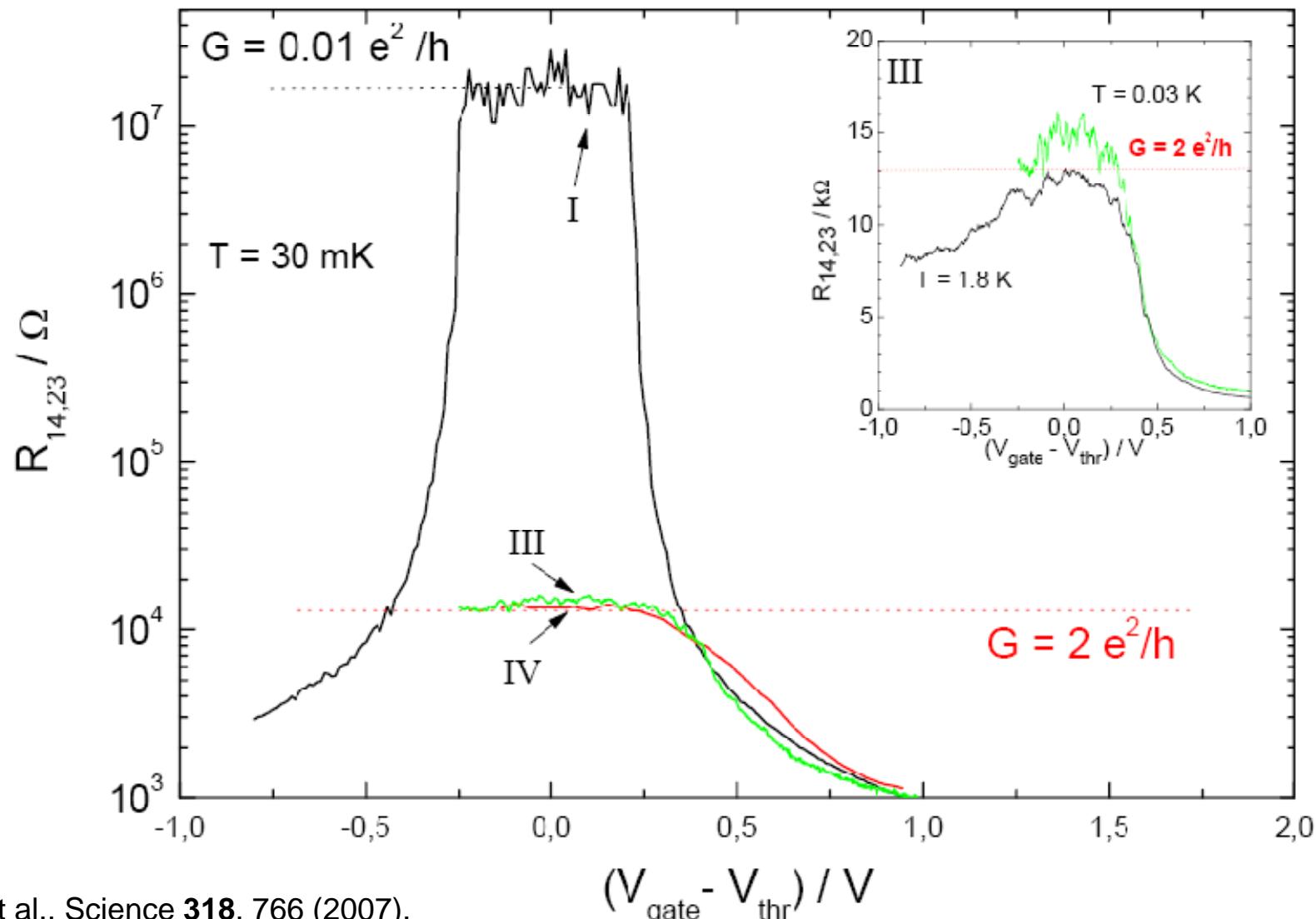


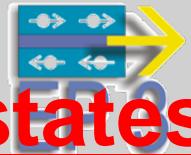
# Smaller Samples



(L x W) μm  
2.0 x 1.0 μm  
1.0 x 1.0 μm  
1.0 x 0.5 μm

# Observation of QSHI state in short samples





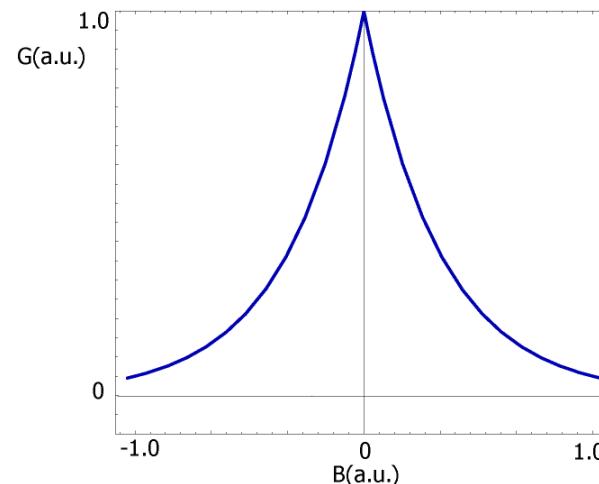
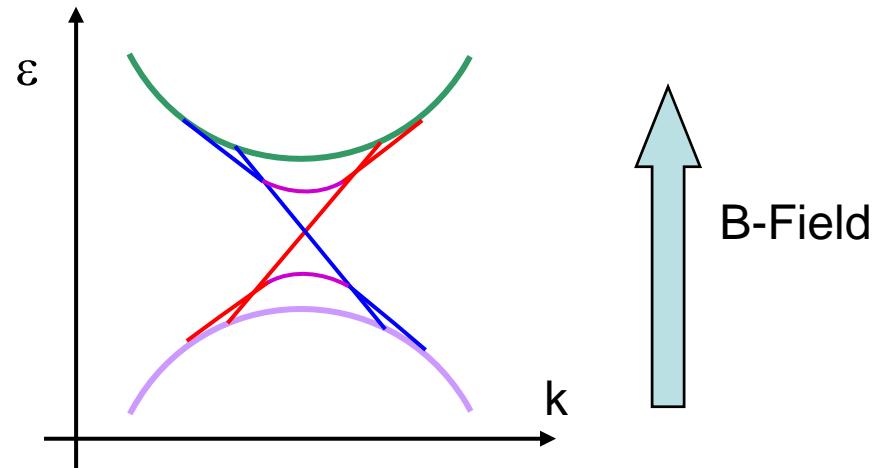
# Magnetococonductance: Smoking gun for helical edge states

The crossing of the helical edge states is protected by the TR symmetry. TR breaking term such as the Zeeman magnetic field causes a singular perturbation and will open up a full insulating gap:

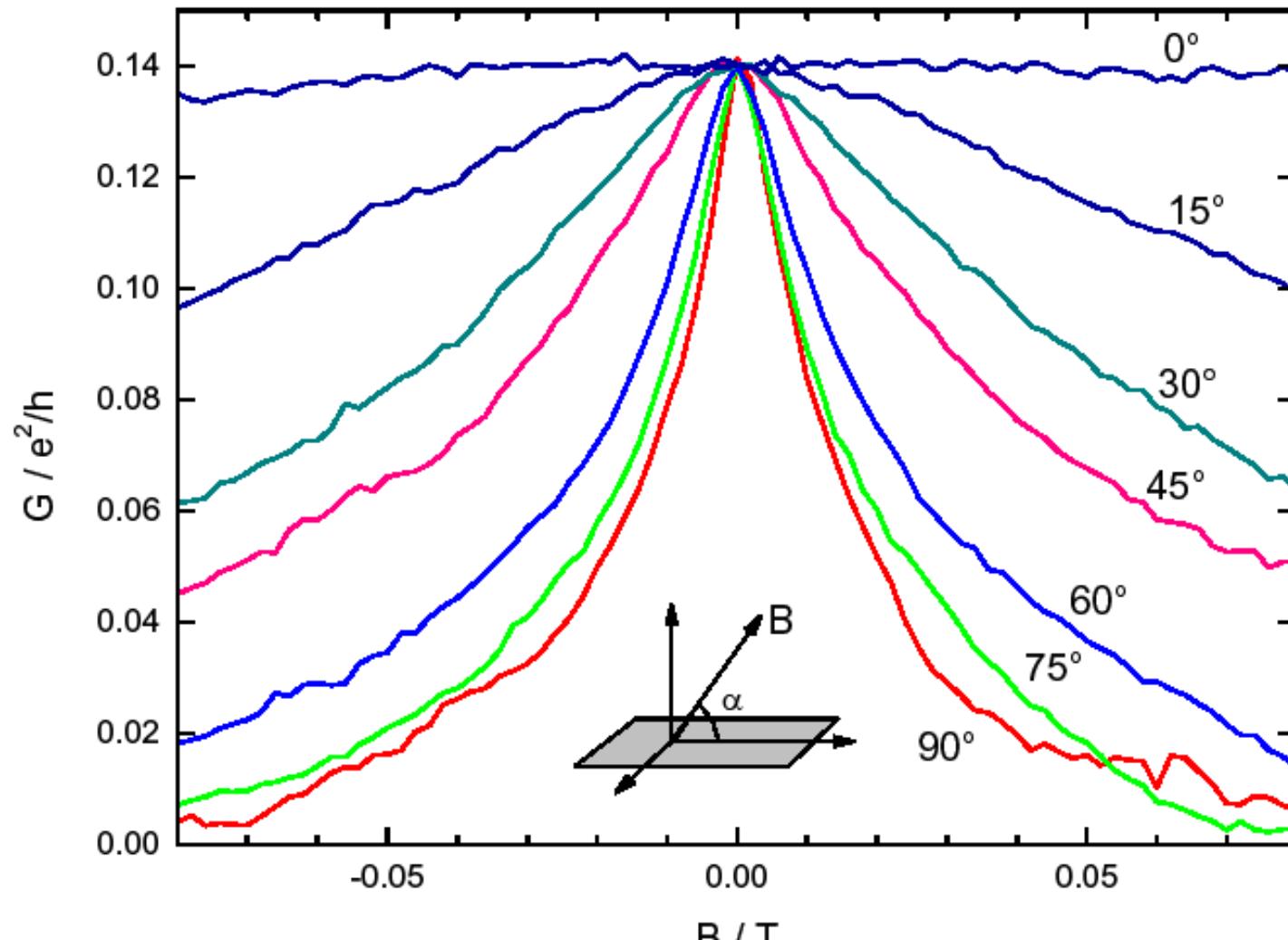
$$E_g \propto g|B|$$

Conductance now takes the activated form:

$$G \propto f(T) e^{-g|B|/kT}$$



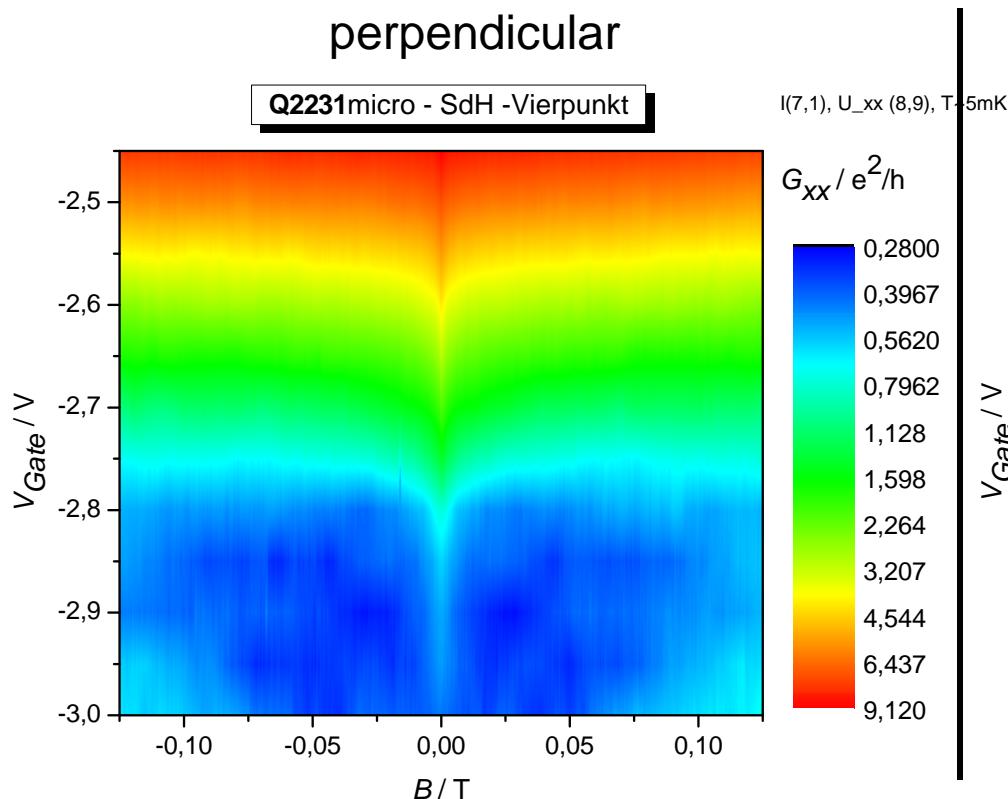
# Angular dependence of the magneto-conductance



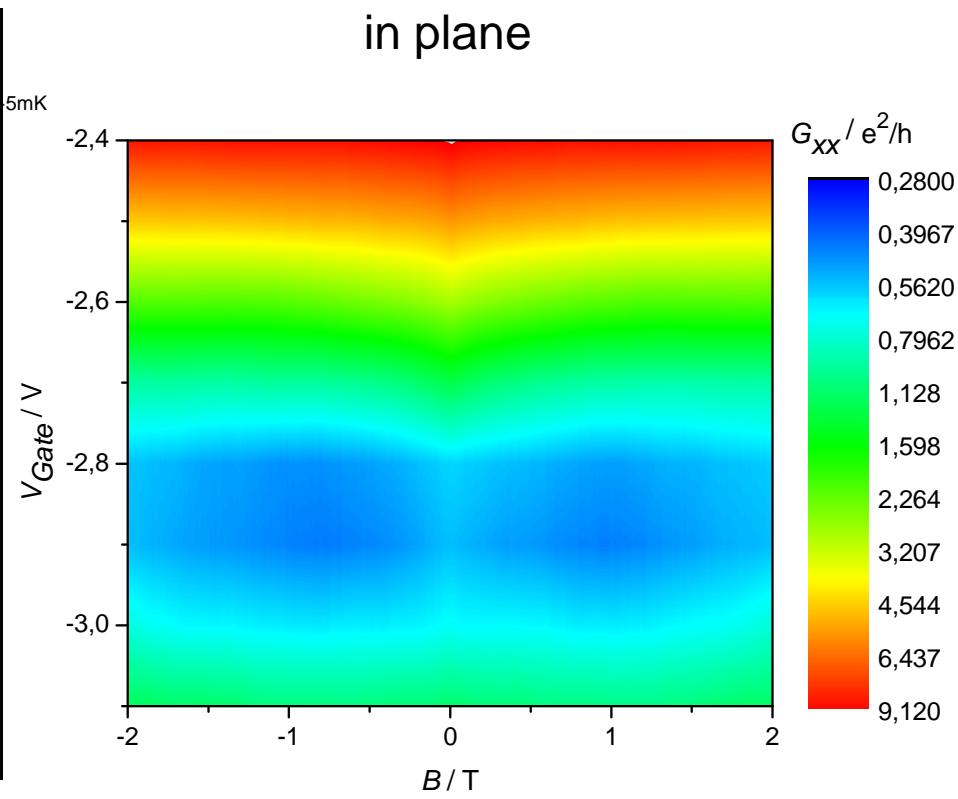
# Magnetic field dependence

perpendicular

Q2231micro - SdH -Vierpunkt



in plane



similar magnetic field behavior but on a different scale,  
anisotropy due to high Fermi velocity and small gap.

# Intrinsic SHE in Metals

# Spin-Hall Effect

VOLUME 83, NUMBER 9

PHYSICAL REVIEW LETTERS

30 AUGUST 1999

## Spin Hall Effect

J. E. Hirsch

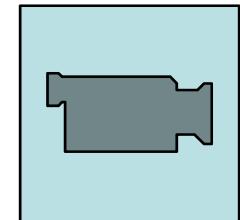
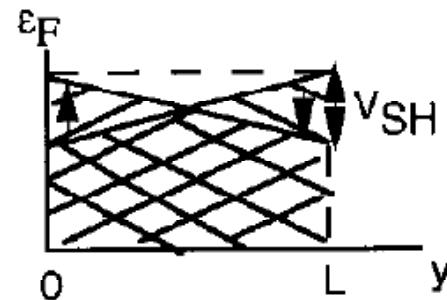
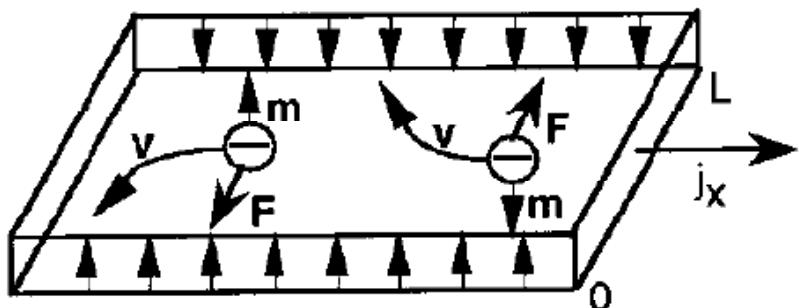
*Department of Physics, University of California, San Diego, La Jolla, California 92093-0319*

(Received 24 February 1999)

It is proposed that when a charge current circulates in a paramagnetic metal a transverse spin imbalance will be generated, giving rise to a “spin Hall voltage.” Similarly, it is proposed that when a spin current circulates a transverse charge imbalance will be generated, giving rise to a Hall voltage, in the absence of charge current and magnetic field. Based on these principles we propose an experiment to generate and detect a spin current in a paramagnetic metal.

PACS numbers: 72.15.Gd, 73.61.At

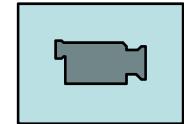
## Spin Hall effect



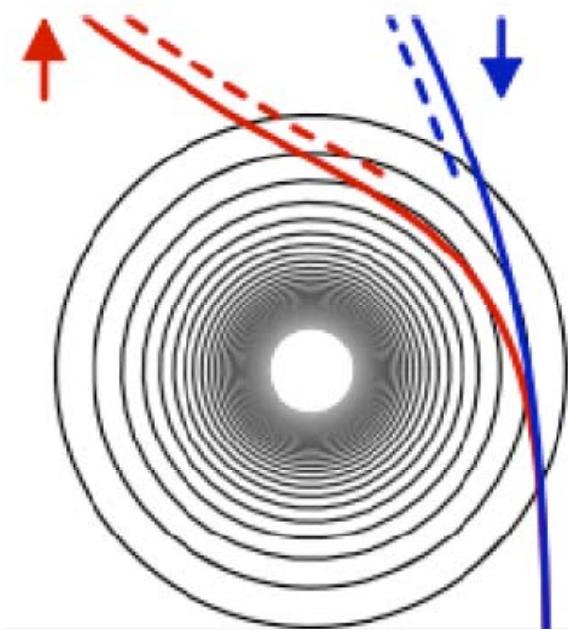
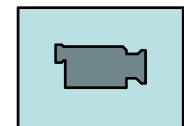
# Spin-Hall Effect

1. Extrinsic Spin Hall Effect: spin-dependent scattering at impurities

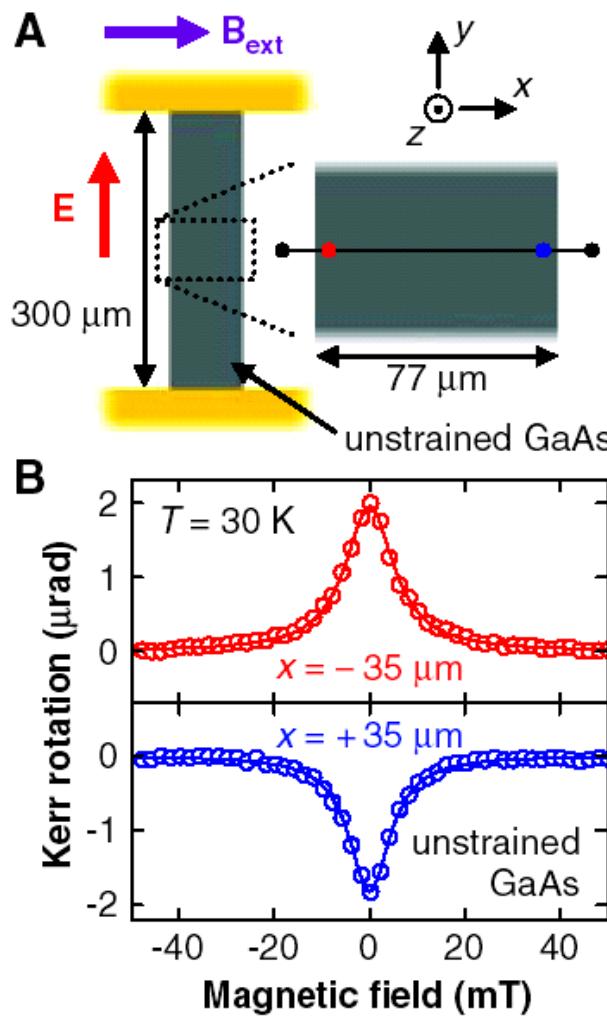
- skew scattering



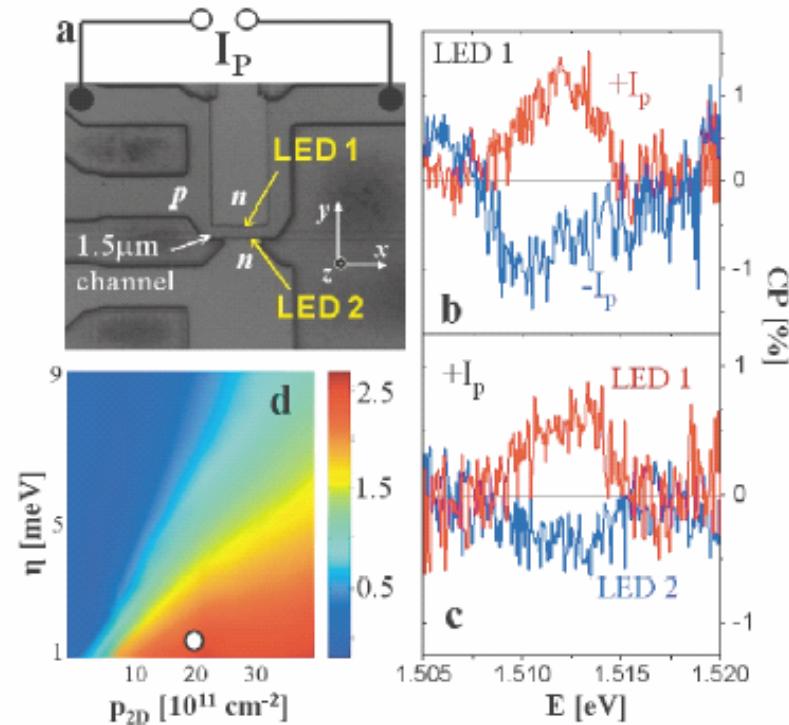
- side jump effect



# Spin-Hall Effect



optical detection

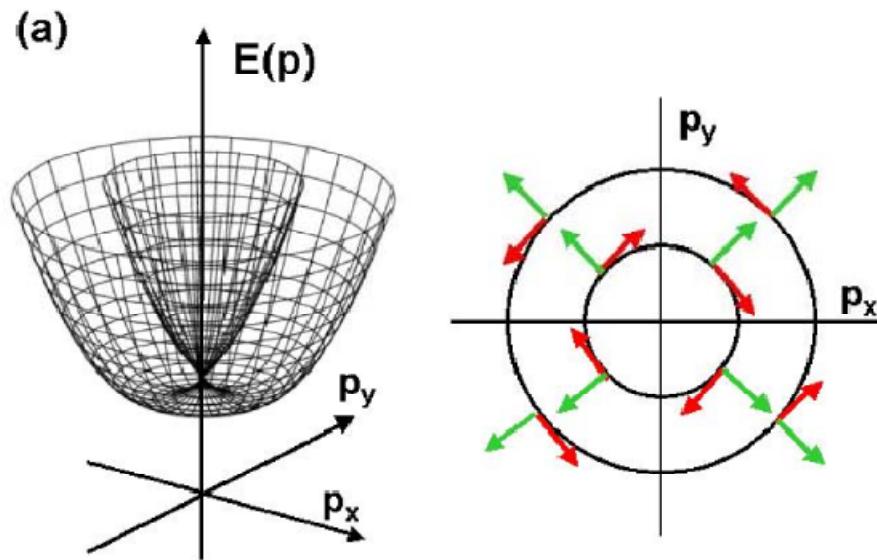


Wunderlich et al. PRL 94, 47204 (2005)

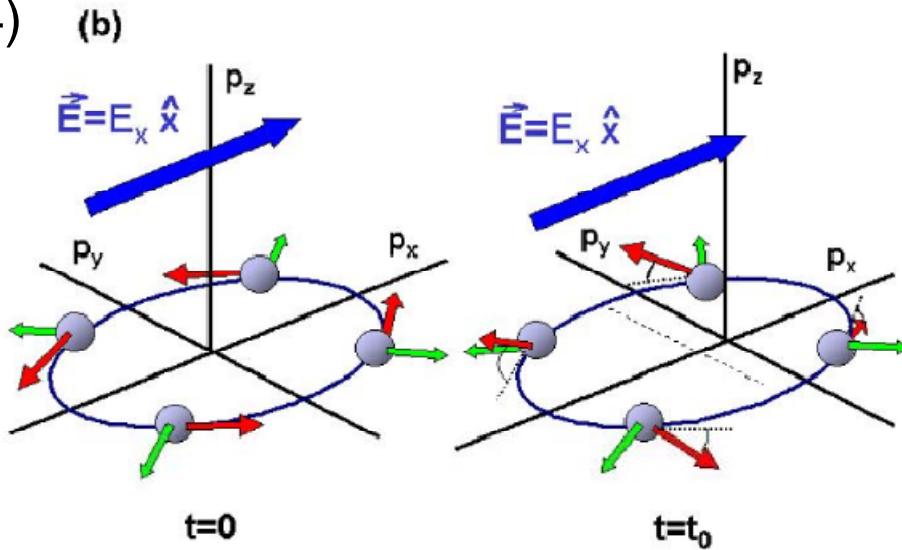
# Spin-Hall Effect

Intrinsic SHE

Rashba effect

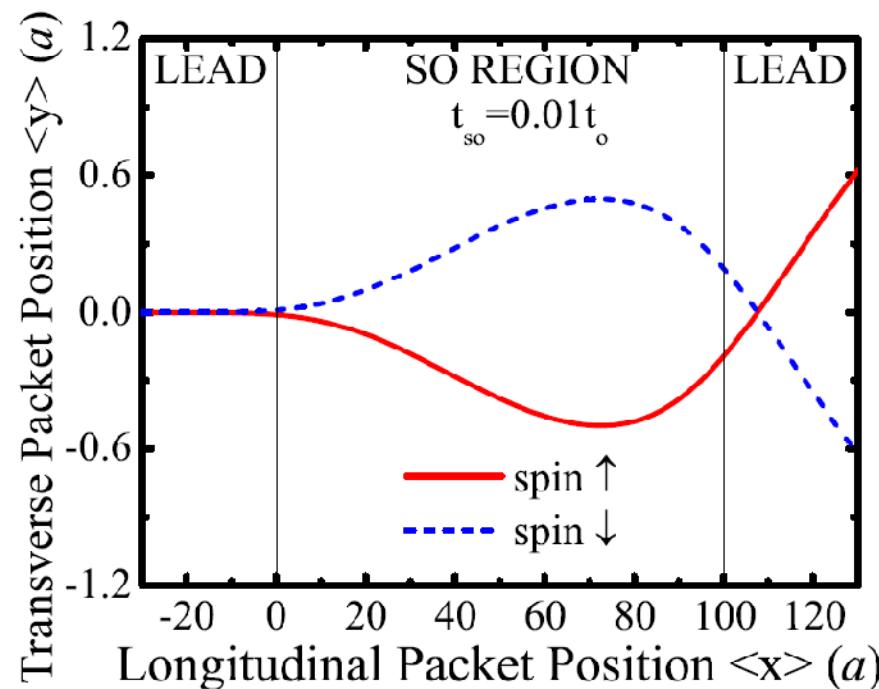


J.Sinova et al.,  
Phys. Rev. Lett. **92**, 126603 (2004)



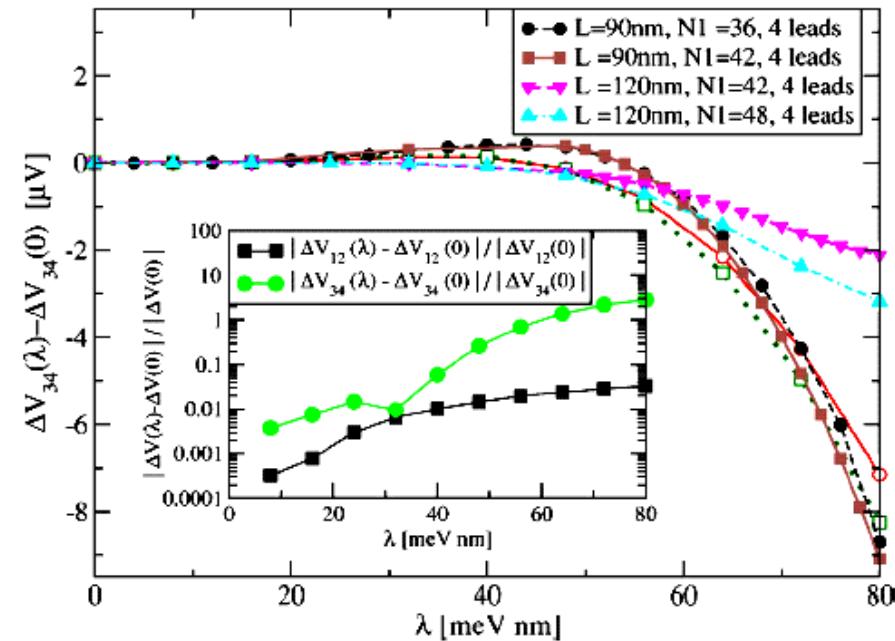
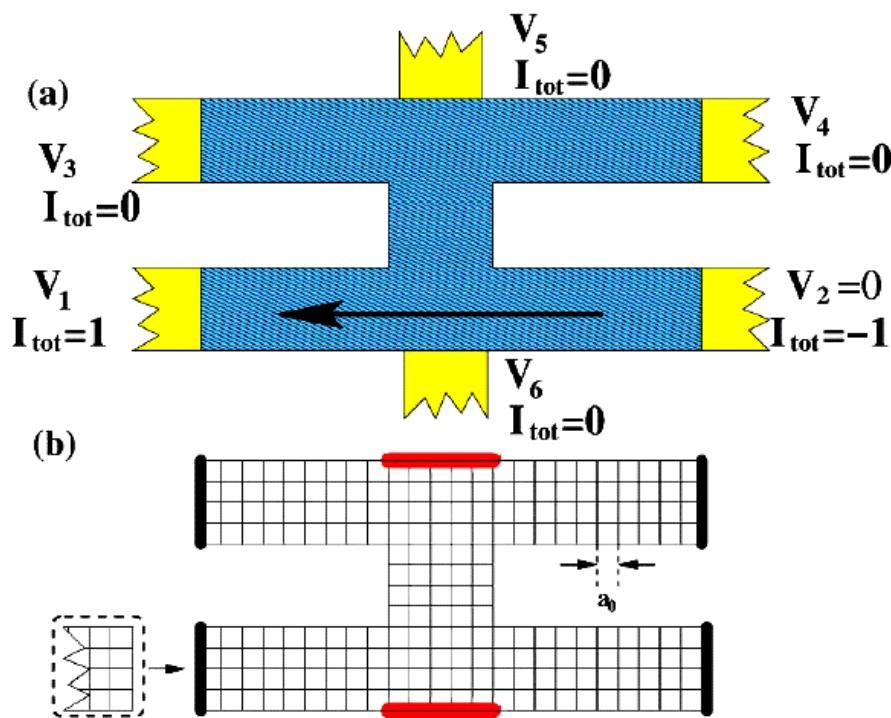
# Spin-Orbit Force

$$\begin{aligned}\hat{\mathbf{F}}_H &= m^* \frac{d\hat{\mathbf{r}}_H^2}{dt^2} = \frac{m^*}{\hbar^2} [\hat{H}, [\hat{\mathbf{r}}_H, \hat{H}]] \\ &= \frac{2\alpha^2 m^*}{\hbar^3} (\hat{\mathbf{p}}_H \times \mathbf{z}) \otimes \hat{\sigma}_H^z - \frac{dV_{\text{conf}}(\hat{y}_H)}{d\hat{y}_H} \mathbf{y}\end{aligned}$$



# H-bar for detection of Spin-Hall-Effect

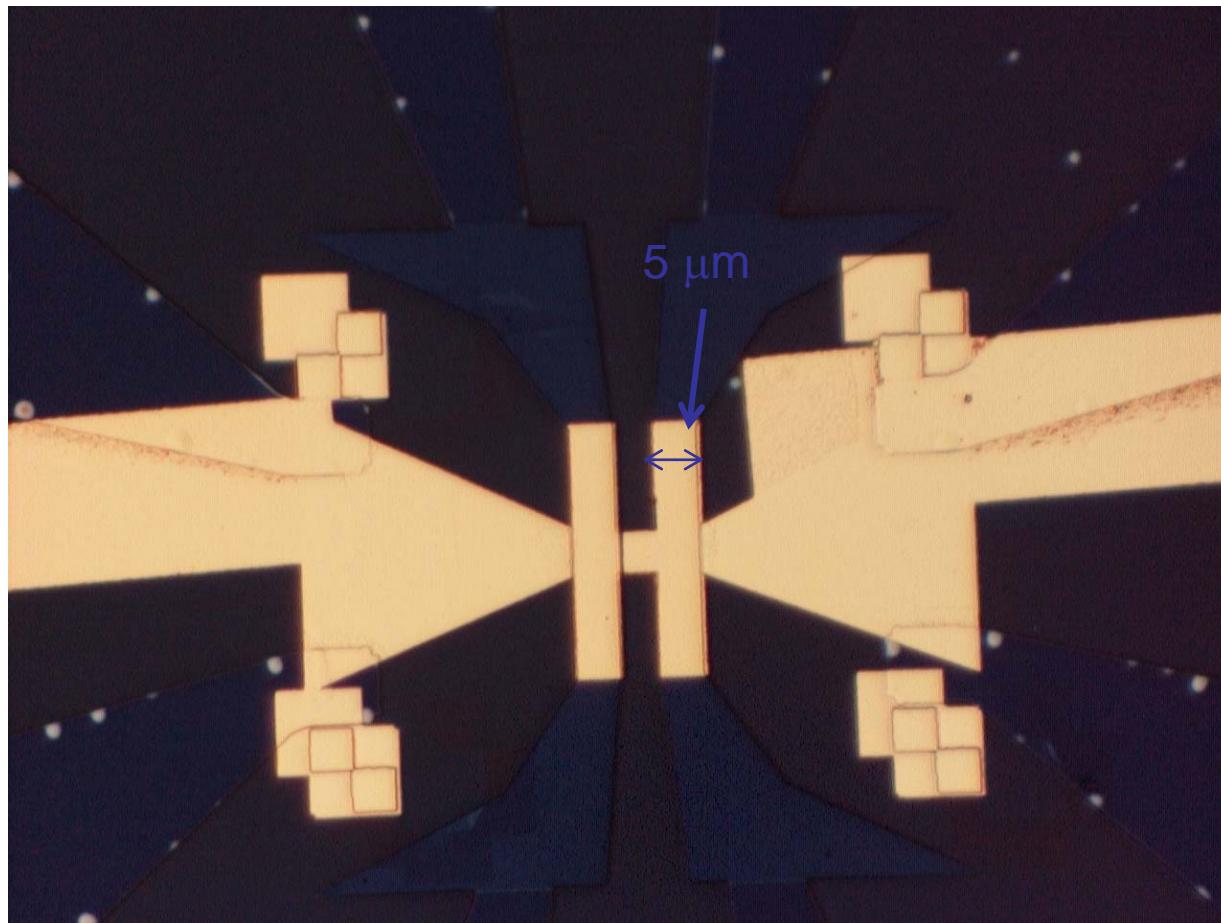
(electrical detection through inverse SHE)



# Original gated H-bar sample (2004)

HgTe-QW

$\Delta_R = 5\text{-}15 \text{ meV}$



↑  
ohmic Contacts

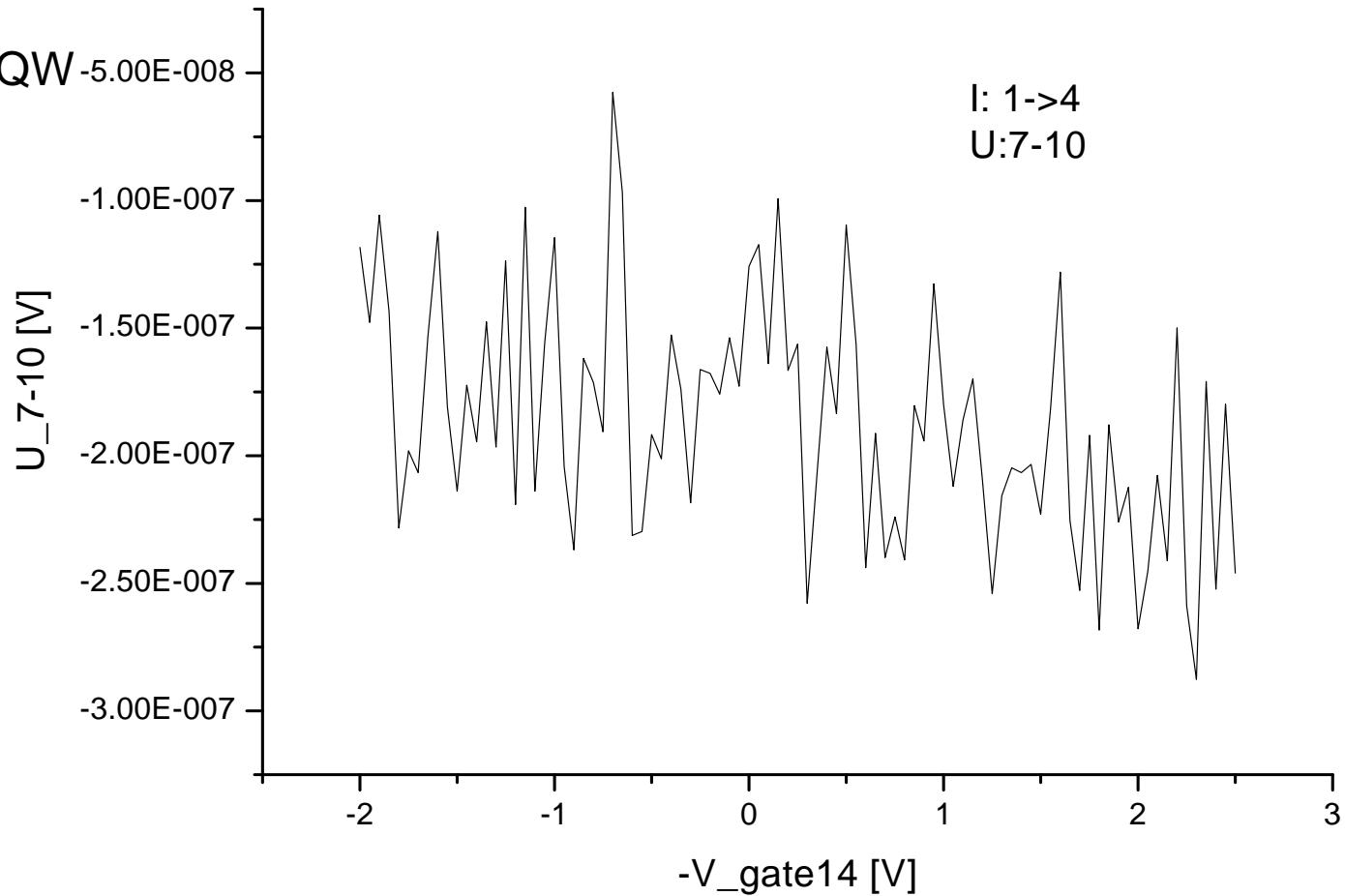
Gate-  
Contact

# High density Sample

Symmetric HgTe-QW

$\Delta_R = 0\text{-}5 \text{ meV}$

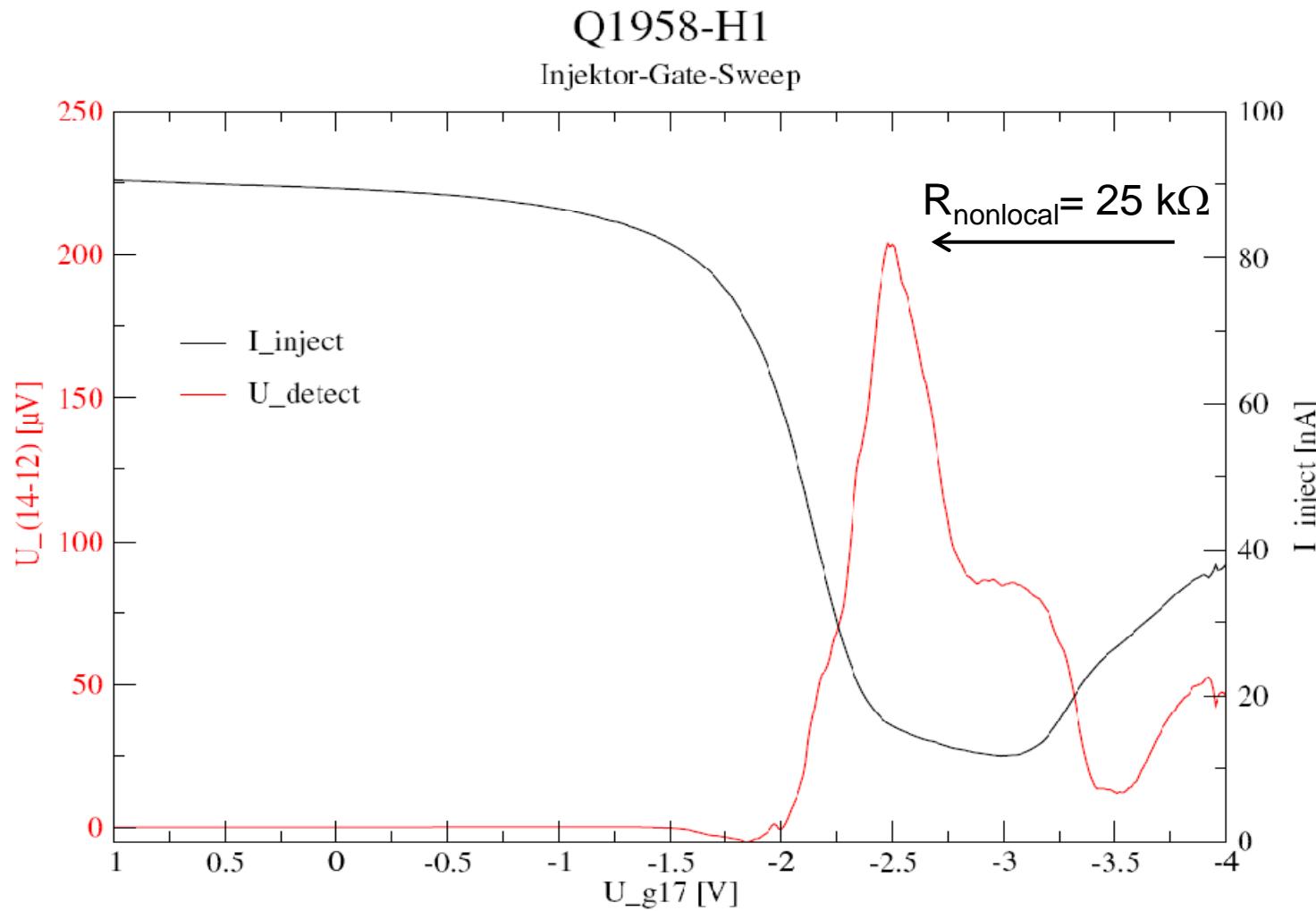
Signal less  
than  $10^{-4}$



Sample is diffusive:

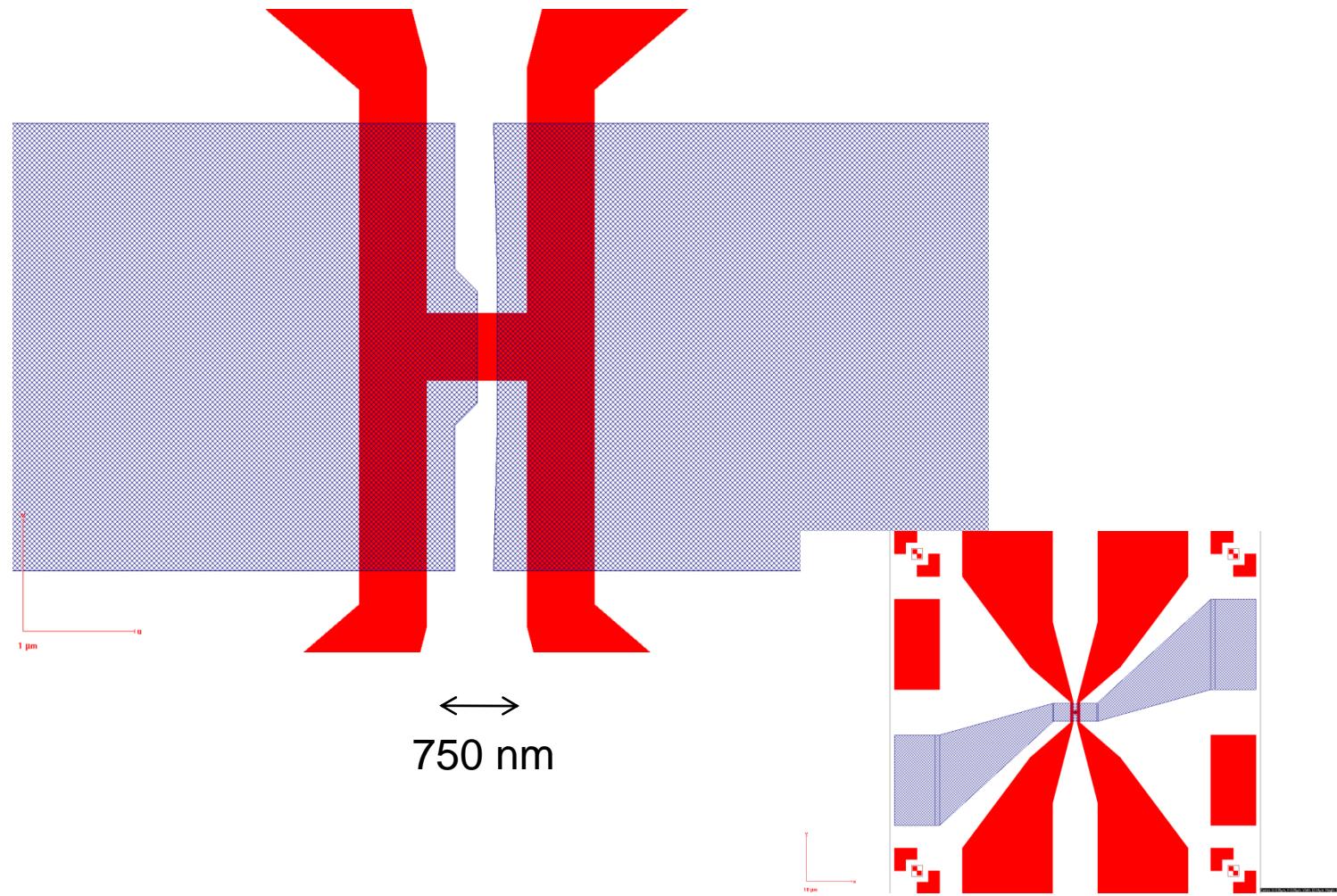
Vertex correction kills SHE (J. Inoue et al., Phys. Rev. B **70**, 041303 (R) (2004)).

# Low-Doped Sample (2004)

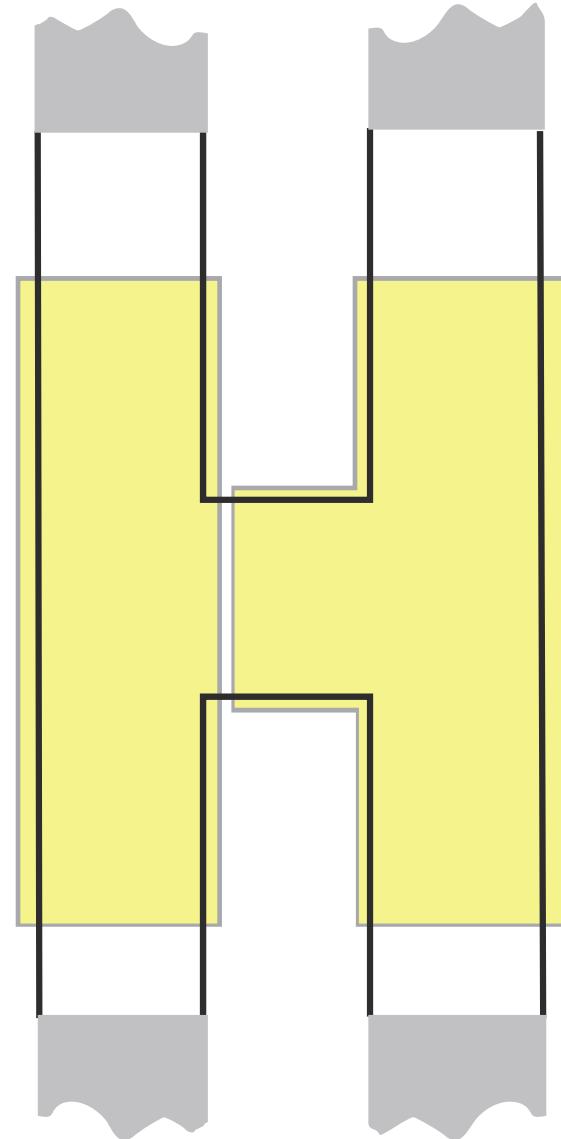
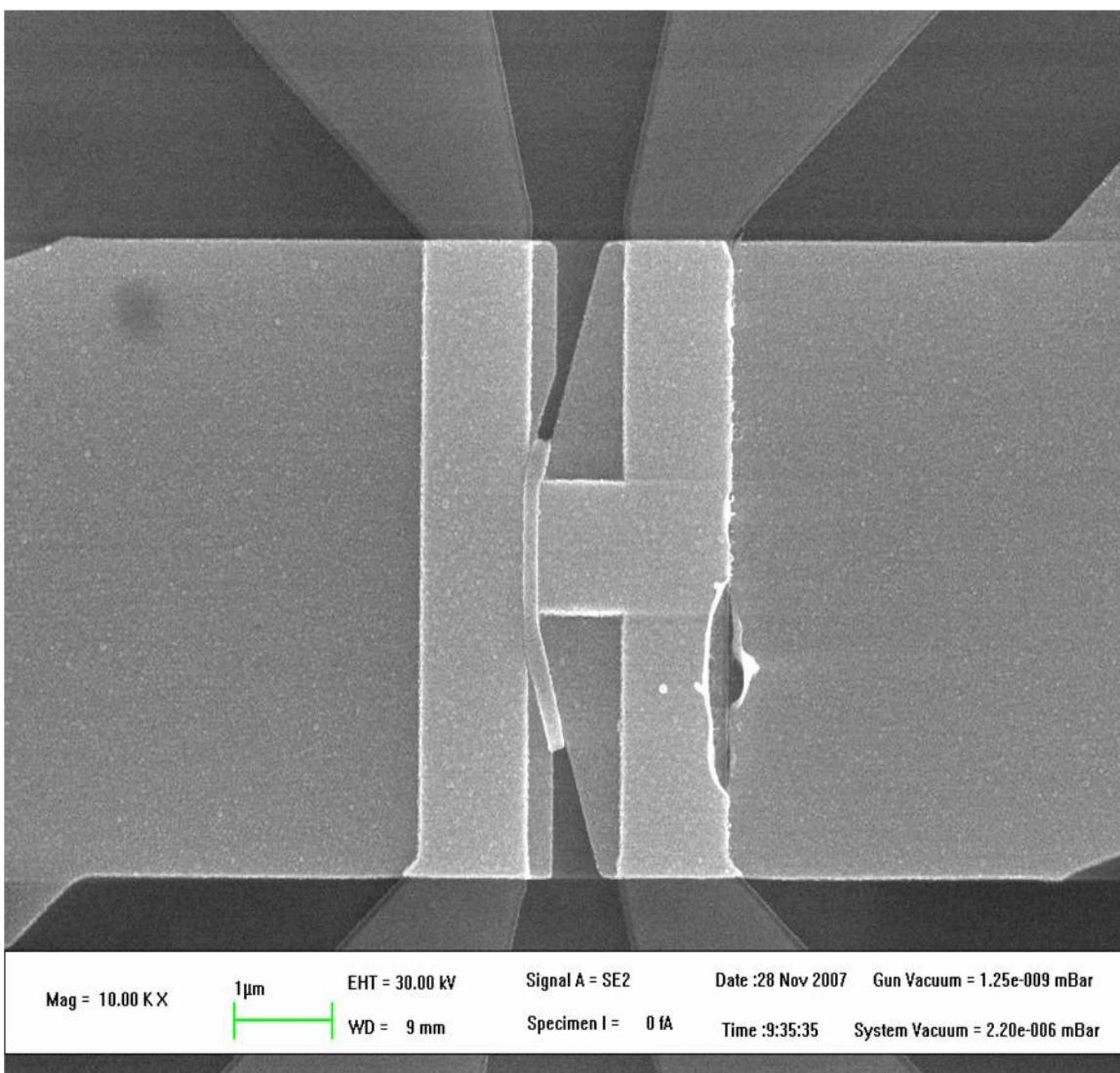


# QSHE and iSHE as spin injector and detector

## Q2315 HX



# Split Gate H-Bar



# Conclusions

- HgTe Quantum wells: strong Rashba, normal and inverted semiconductors
- High mobility, gate-able through the bandgap
- First observation quantum SHI
- Clear evidence for non-local transport through edge channels
- First evidence for intrinsic SHE in metals

## Collaborators:

Charlie Becker, Christoph Brüne, **Hartmut Buhmann**, Markus König,  
Andreas Roth, Volkmar Hock

Theory: Alina Novik, Manuel Schmidt, Ewelina Hankiewicz ,  
Gerrit E.W. Bauer (Delft), Junichiro Inoue (Nagoya), Jairo Sinova (TAMU),  
**Shoucheng Zhang (Stanford)**, Sasha Finkel'stein (Weizmann/TAMU)

Funding: DFG (SFB 410), ONR, GIF