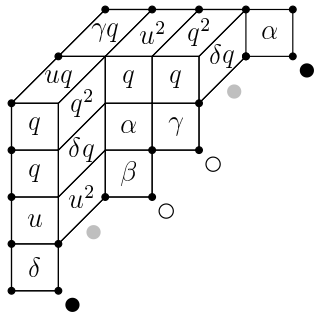
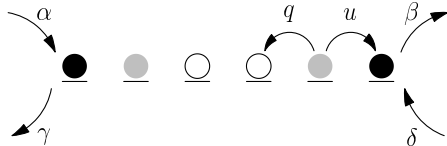


# The two-species exclusion process and Koornwinder moments

Lauren K. Williams, UC Berkeley



# Program

1. The asymmetric simple exclusion process (ASEP) and its applications
2. Staircase tableaux and steady state probabilities
3. The ASEP with 2 kinds of particles (the 2-species ASEP)
4. Rhombic tableaux and steady state probabilities
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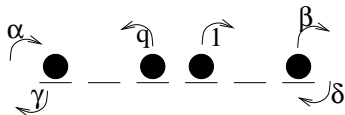
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- New particles can enter and exit the lattice from the left at rates  $\alpha, \gamma$ , and particles can exit and enter from the right at rates  $\beta, \delta$ .

- A particle can hop right at rate  $u$  and left at rate  $q$ .

Model is *asymmetric*: we don't require  $u = q$ .

- *Exclusion*: at most one particle on each site

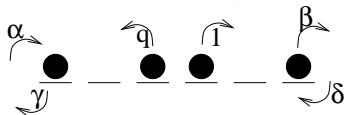
Depict particles as  $\bullet$  or 1 and "holes" as  $\circ$  or 0.

- Question: what happens as time  $t \rightarrow \infty$ ?



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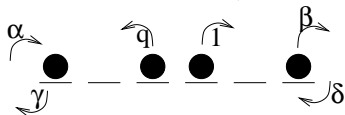
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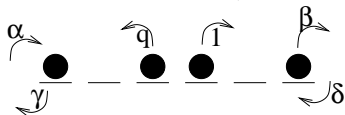
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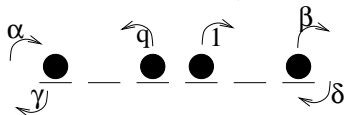
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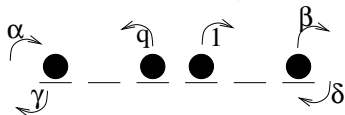
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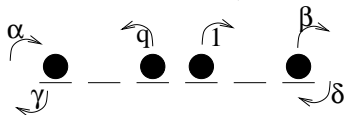
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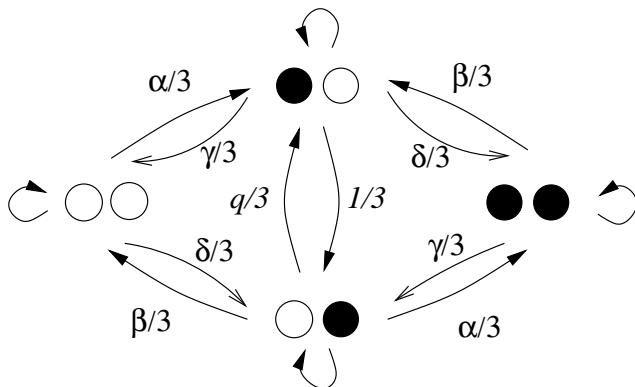
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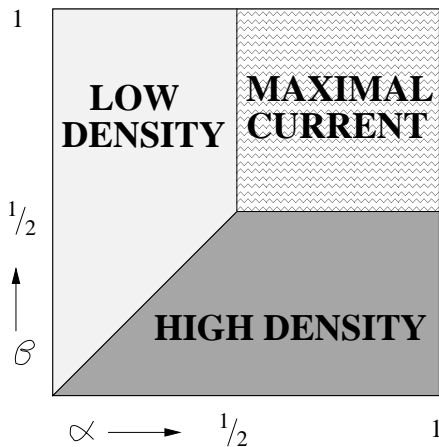
The state diagram of the ASEP for  $N = 2$ .



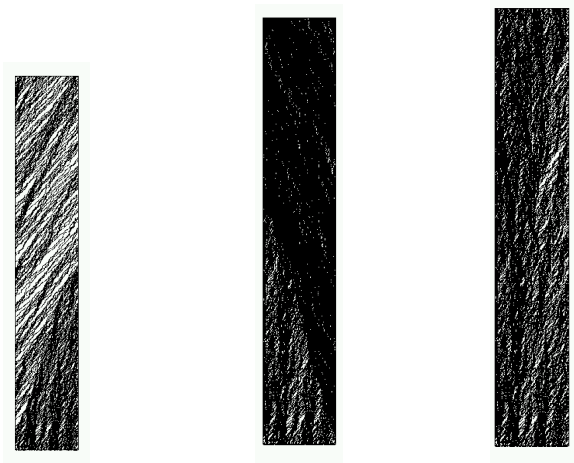


# Some features of the ASEP

The ASEP exhibits boundary-induced *phase transitions*. (Here,  $q = 0$ .)



This picture from paper of Sasamoto. Phase diagram also appeared in e.g. works of Liggett.



(a)  $\alpha = 0.2, \beta = 1$    (b)  $\alpha = 1, \beta = 0.2$    (c)  $\alpha = \beta = 1$

<http://front.math.ucdavis.edu/9910.0270> (Sasamoto)

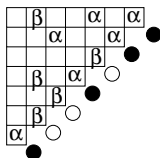
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## Theorem (Corteel-Williams):

There is an explicit combinatorial formula for all steady state probabilities of the ASEP using *staircase tableaux*.

**Def.** (C.-W.) An  $\alpha/\beta$  staircase tableau of size  $N$  is a Young diagram of shape  $(N, \dots, 2, 1)$ , whose boxes are empty or filled with  $\alpha, \beta$ , such that:

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Its *type* is the word in  $\{\bullet, \circ\}^N$  obtained by reading the southeast border and assigning a  $\bullet$  to an  $\alpha$  and a  $\circ$  to a  $\beta$ .

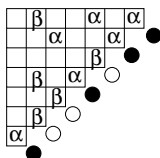
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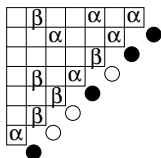
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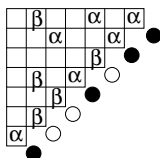
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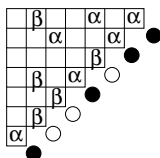
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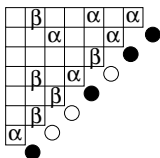
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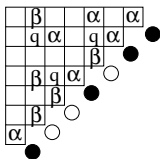
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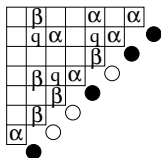
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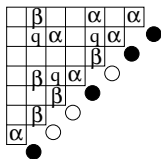
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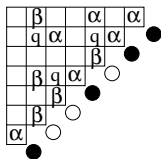
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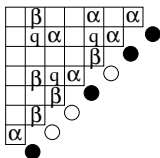
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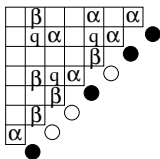
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What are the probabilities of the different states for  $N = 2$ ?

The tableaux of the various types are:

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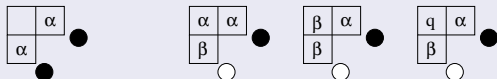
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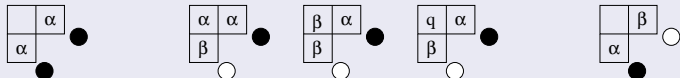
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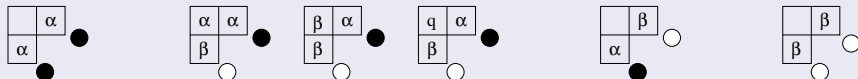
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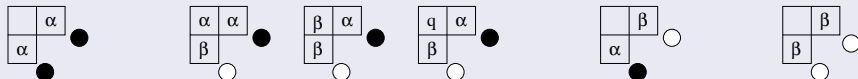
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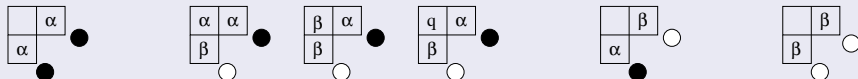
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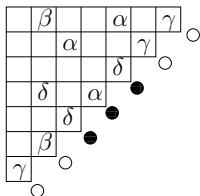


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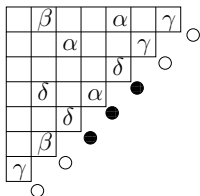
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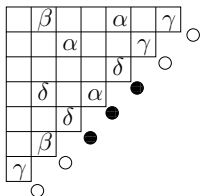
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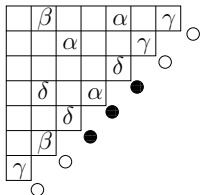
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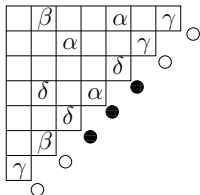
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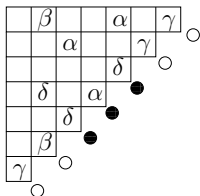
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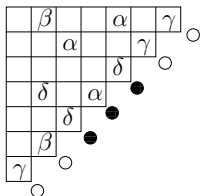
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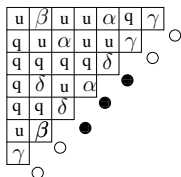
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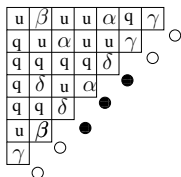
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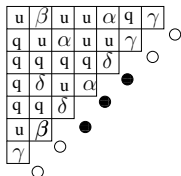
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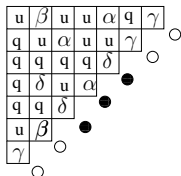
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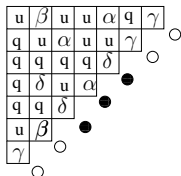
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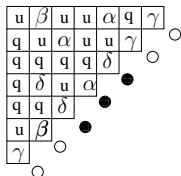
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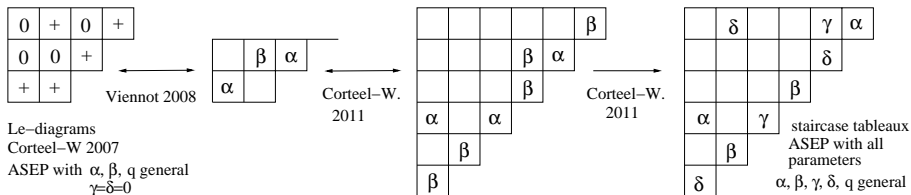
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# Staircase tableaux are nice objects

Let  $Z_n(\alpha, \beta, \gamma, \delta; q) = \sum_{\mathcal{T}} \text{wt}(\mathcal{T})$ , where the sum is over all staircase tableaux of size  $n$ .

$\alpha$	$\beta$	$\gamma$	$\delta$	$q$	$Z_n(\alpha, \beta, \gamma, \delta; q)$
1	1	1	1	1	$4^n n! = 4n!!!!$
1	1	1	0	1	$(2n + 1)!!$
1	1	0	0	1	$(n + 1)!$
1	1	0	0	0	$C_{n+1} = \frac{1}{n+2} \binom{2n+2}{n+1}$
$\alpha$	$\beta$	$\gamma$	$\delta$	1	$\prod_{j=0}^{n-1} (\alpha + \beta + \gamma + \delta + j(\alpha + \gamma)(\beta + \delta))$
$\alpha$	$\beta$	$\gamma$	$-\beta$	$q$	$\prod_{j=0}^{n-1} (\alpha + q^j \gamma)$

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Let  $\text{Pr}_N(\sigma)$  be the steady state prob. of configuration  $\sigma \in \{0, 1\}^N$ .

Theorem (Derrida, Evans, Hakim, Pasquier 1993):

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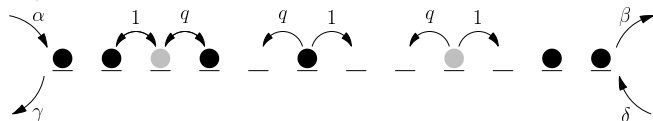
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Same as the ASEP, but with two kinds of particles, *heavy* and *light*.

Sometimes represent these particles by 2 and 1, and a hole by a 0.

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(Usually  $u = 1$ .)



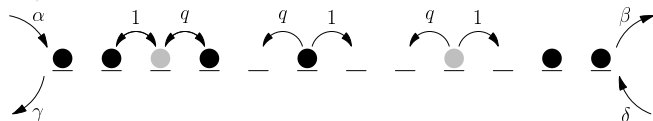
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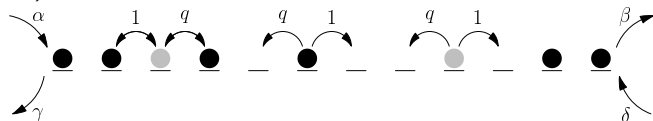
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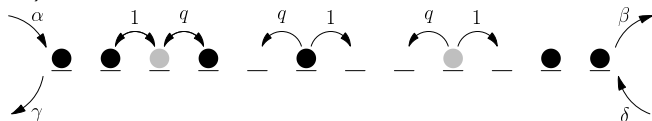
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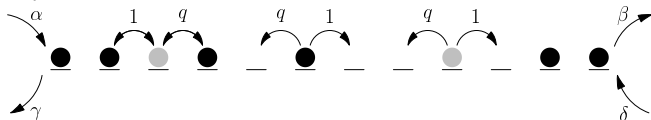
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Same as the ASEP, but with two kinds of particles, *heavy* and *light*. Sometimes represent these particles by 2 and 1, and a hole by a 0.

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(Usually  $u = 1$ .)



- New *heavy* particles can enter and exit the lattice (swapping places with a hole) from the left at rates  $\alpha, \gamma$ , and *heavy* particles can exit and enter from the right (swapping places with a hole) at rates  $\beta, \delta$ .

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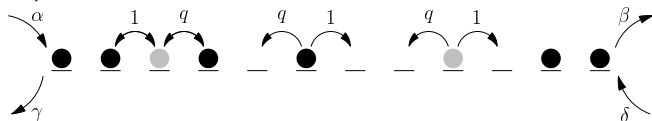
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There is an explicit combinatorial formula for all steady state probabilities of the two-species ASEP using *rhombic staircase tableaux*.

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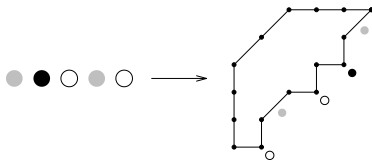
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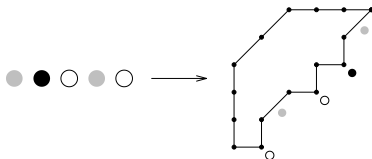
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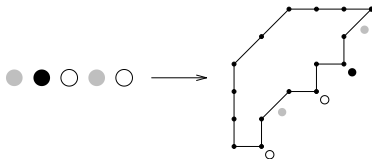
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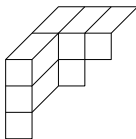
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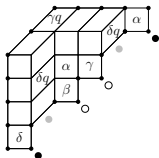
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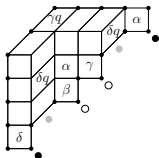
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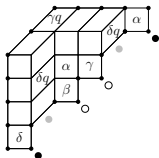


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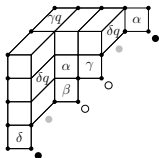


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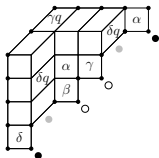


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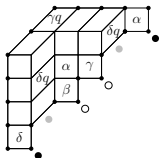


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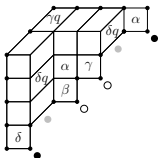


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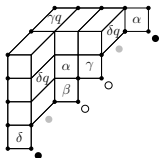


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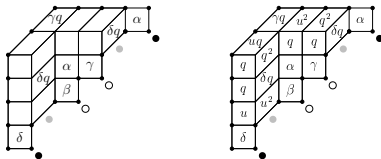


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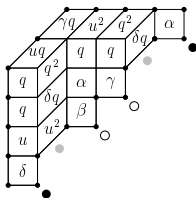
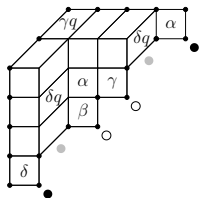
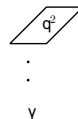
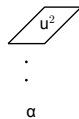
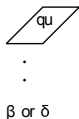
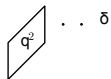
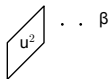
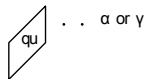
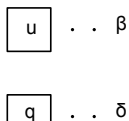
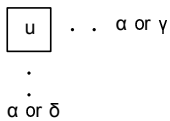
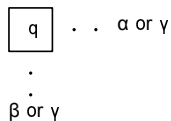
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# RULE for filling in blank tiles and determining the weight



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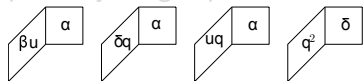
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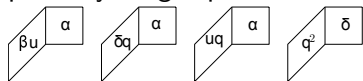
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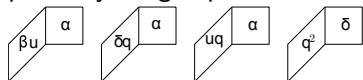
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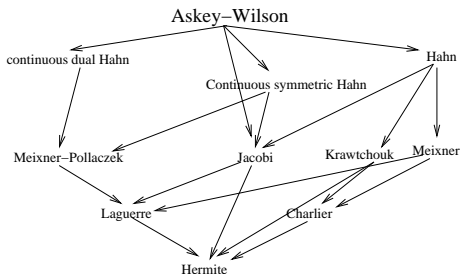
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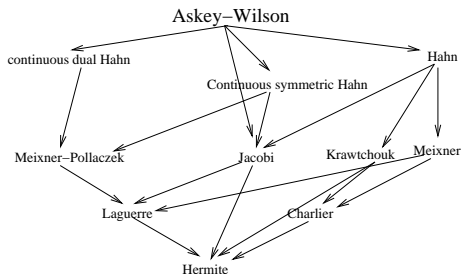
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- Choose a measure  $\mu$ . We say that  $\{P_k(x)\}_{k \geq 0}$  is a family of *orthogonal polynomials* if  $\int P_j(x)P_k(x)d\mu(x) = 0$  for  $j \neq k$ .
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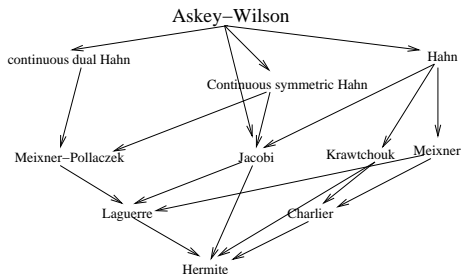
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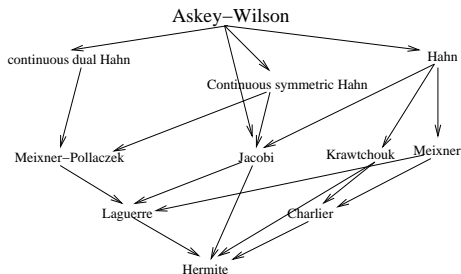
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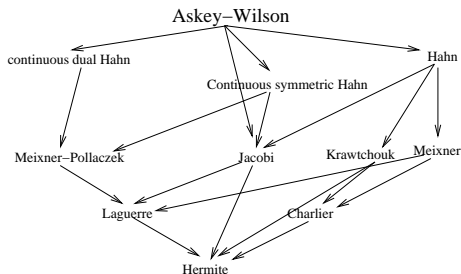
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# Combinatorics of (one-variable) orthogonal polynomials

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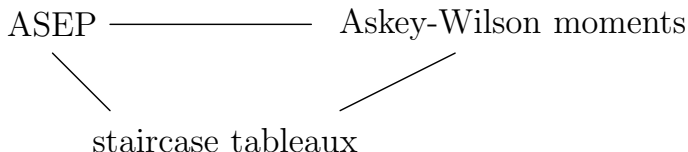
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# (Macdonald-)Koornwinder polynomials

- Let  $x = (x_1, \dots, x_m)$ ,  $\lambda = (\lambda_1, \dots, \lambda_m)$  be a partition, and  $a, b, c, d, q, t$  be generic complex parameters.
- The *Koornwinder polynomials*  $P_\lambda(x; a, b, c, d | q, t)$  are multivariate orthogonal polynomials which are the type BC-case of Macdonald polynomials. Include **Askey-Wilson polynomials** as a limiting case.
- Macdonald polynomials have deep relationship with affine Hecke algebras and Hilbert schemes. In type A, lots of amazing combinatorics: Haiman ( $n!$  conjecture), Haglund-Haiman-Loehr explicit formula, etc.
- So far not much combinatorics of Koornwinder polynomials. But understanding these would be very desirable – the Macdonald polynomials associated to any classical root system can be expressed as limits or special cases of Koornwinder polynomials (Van Diejen).

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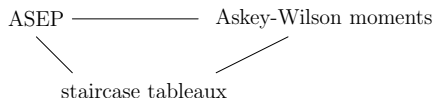
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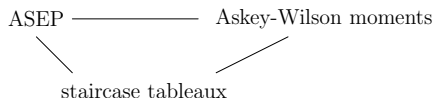
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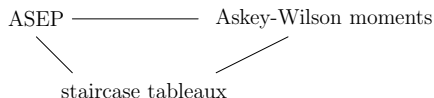
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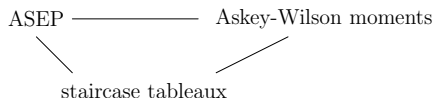
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where  $M_{(N-r,0^r)}$  is the homogeneous Koornwinder moment (and there is a particular change of variable between  $\alpha, \beta, \gamma, \delta$  and  $a, b, c, d$ ).

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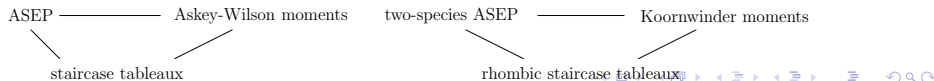
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# Next steps

- Positivity conjecture:  $M_\lambda$  can be written as a polynomial in  $\alpha, \beta, \gamma, \delta, q$  with positive coefficients. (We proved it for partitions with one non-zero part.)
- Use rhombic staircase tableaux to give formulas for Koornwinder moments and polynomials? (Cantini: our Koornwinder moments are specializations of certain Koornwinder polynomials)
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- Relate our work to that of Cantini, and Cantini-de Gier-Wheeler, which also relates various Macdonald polynomials to multispecies exclusion process?
- Relate our work to that of Borodin-Corwin on Macdonald processes?
- Is there a dynamics on the tableaux themselves?

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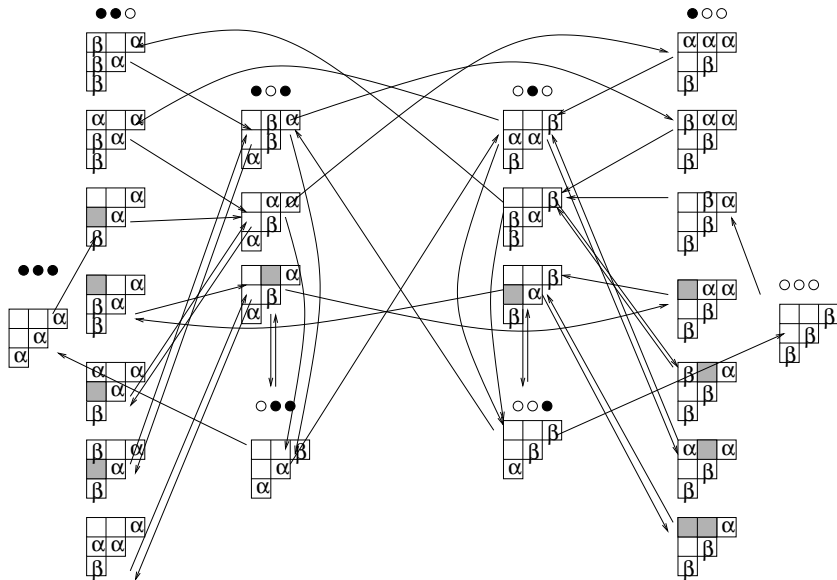
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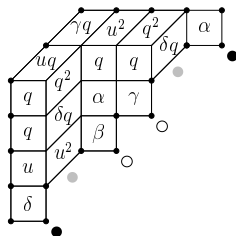
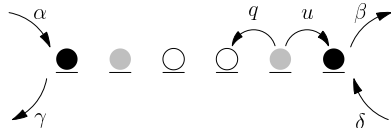
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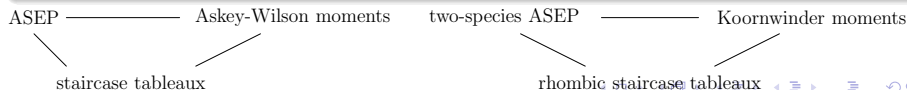
# Dynamics on staircase tableaux when $\gamma = \delta = 0$



# Thank you for listening!



- Tableaux combinatorics for the asymmetric exclusion process and Askey-Wilson polynomials (with Corteel), *Duke Math.*, 2011.
- Macdonald-Koornwinder moments and the two-species exclusion process (with Corteel), arXiv:1505.00843.
- Combinatorics of the two-species ASEP and Koornwinder moments (with Corteel and Mandelshtam), arXiv:1510.05023.



# Relationship between ASEP and Askey-Wilson moments

Let  $Z_N(\xi; \alpha, \beta, \gamma, \delta; q) = \sum_{\mathcal{T}} \text{wt}(\mathcal{T}) \xi^{b(\mathcal{T})}$ , where  $b(\mathcal{T})$  is the number of black particles in the type of  $\mathcal{T}$ . This is the *fugacity partition function*.

## Theorem (Corteel-Stanton-Stanley-W.)

The  $N^{\text{th}}$  Askey-Wilson moment is equal to

$$\mu_N(a, b, c, d|q) = \frac{(1-q)^N}{2^N i^N} Z_N(-1; \alpha, \beta, \gamma, \delta; q),$$

where  $i^2 = -1$  and

$$\alpha = \frac{1-q}{1-ac+ai+ci}, \quad \beta = \frac{1-q}{1-bd-bi-di},$$
$$\gamma = \frac{(1-q)ac}{1-ac+ai+ci}, \quad \delta = \frac{(1-q)bd}{1-bd-bi-di}.$$