

## Colour Glass, Froissart bound, and all that

What can we say about high energy scattering  
in QCD from first principles?

- Deep inelastic scattering at small  $x$
- The Colour Glass Condensate
- Gluon Saturation
- Froissart Bound

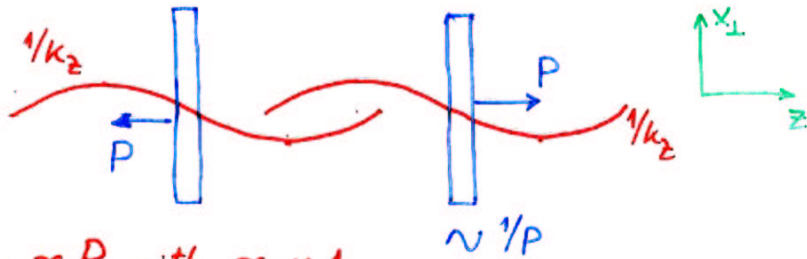
E. Iancu  
Saclay

What is the high energy limit  
of QCD scattering?

- Can this be addressed in perturbation theory?
  - $\alpha_s(Q^2)$  and not  $\alpha_s(s)$ ! [ $s = (E_{c.m.})^2$ ]
  - Froissart bound:  $\sigma(s) \leq \frac{\pi}{m_\pi^2} \ln^2 s$
  - BFKL's failure to describe the high energy limit
    - $\sigma_{\text{BFKL}}(s) \sim s^{\omega \alpha_s}$ ,  $\omega = 4(\ln 2) N_c / \pi$
    - "Infrared diffusion":  $Q^2 \rightarrow 0$  as  $s \rightarrow \infty$
- Can we use perturb. theory to study quantum evolution  
with  $s$ ?
- What are the relevant degrees of freedom?  
"Small- $x$ " partons (mostly gluons) in a state of  
high density  
"Colour Glass Condensate"  
= the matter made of the small- $x$  gluons

### High-energy Scattering in QCD

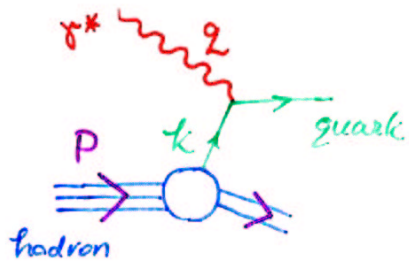
- Hadron-Hadron Collision (center-of-mass frame)



$k_z = x P$  with  $x \ll 1$

High energy  $\rightarrow$  Small- $x$  tail of the hadron wavefunction

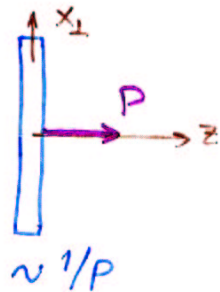
- Deep Inelastic Scattering (Bjorken frame)



$Q^2 \equiv -q^\mu q_\mu > 0$

$x_{Bj} \equiv \frac{Q^2}{2P \cdot q} \sim \frac{Q^2}{s} \ll 1$

Bjorken frame:  $P^\mu \approx (P, 0, 0, P)$  and  $q^\mu \approx (0, 0, 0, q_z)$



Feynman  $x$ :  $x = \frac{k_z}{P}$

Kinematics  $\Rightarrow x = x_{Bj} \ll 1$

or  $k_z = \frac{q_z}{2}$

### World's data on $F_2$ at $Q^2 = 15 \text{ GeV}^2$

$$F_2(x, Q^2) = \sum_f e_f^2 [x q_f(x, Q^2) + x \bar{q}_f(x, Q^2)]$$

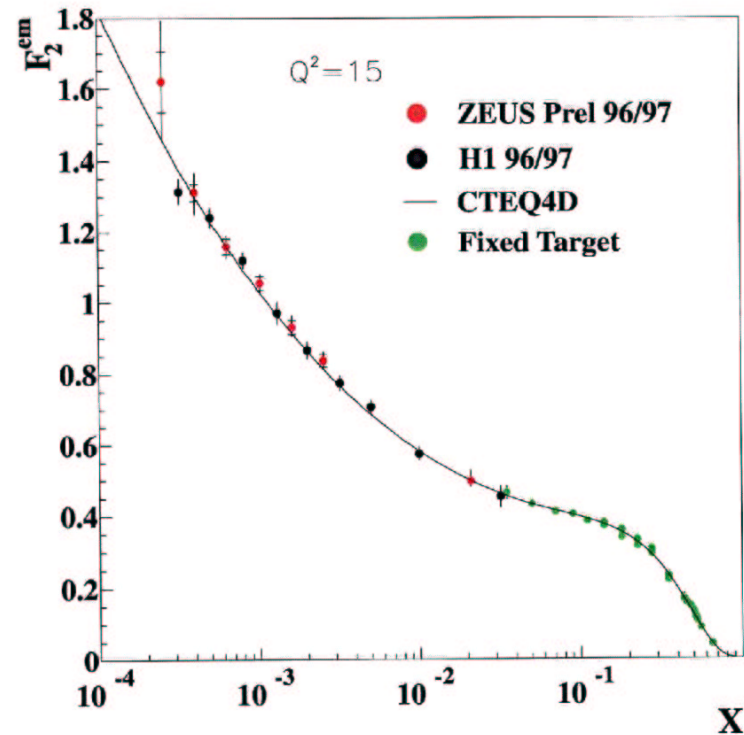


Figure 1: World's data on  $F_2$  at  $Q^2 = 15 \text{ GeV}^2$  as a function of  $x$ . The solid line is a DGLAP fit by the CTEQ group [?].

$F_2 \sim \frac{1}{x^\lambda}$  with  $\lambda = 0.4 \div 0.1$  (depending upon  $Q^2$ )

$$x G(x, Q^2) \equiv \frac{dN_{\text{gluons}}}{d \ln \frac{1}{x}} \propto \frac{\partial F_2(x, Q^2)}{\partial \ln Q^2}$$

for sufficiently high  $Q^2$

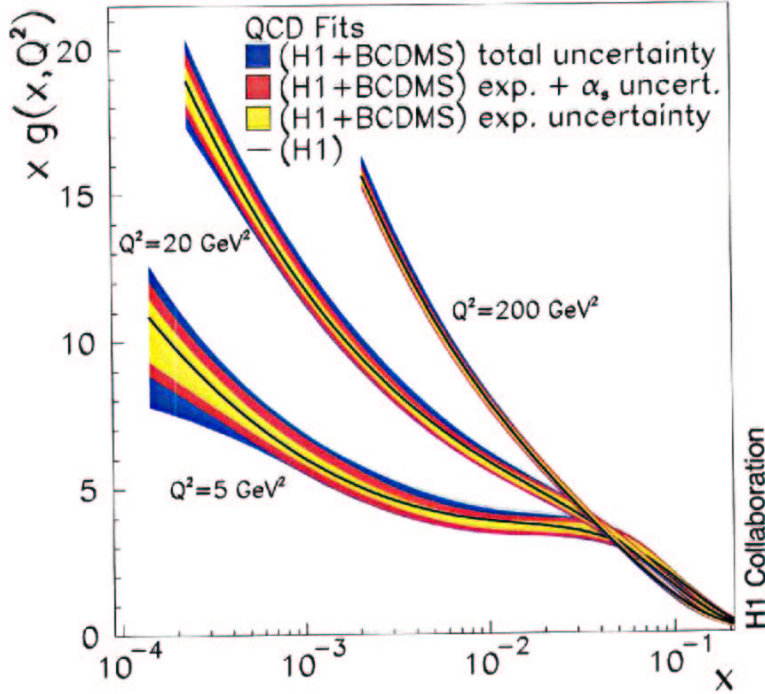
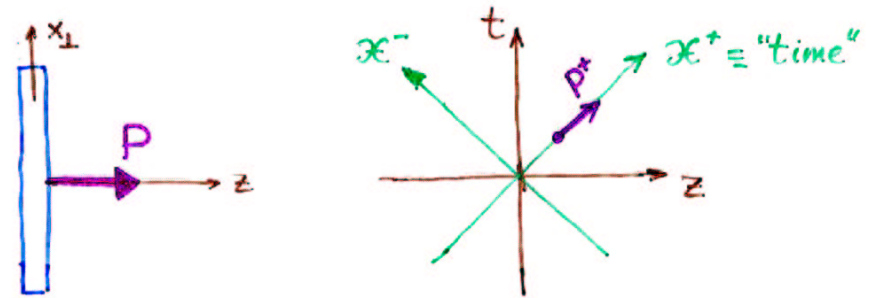


Figure 23: Gluon distribution resulting from the NLO DGLAP QCD fit to H1 ep and BCDMS  $\mu p$  cross section data in the massive heavy flavour scheme. The innermost error bands represent the experimental error for fixed  $\alpha_s(M_Z^2) = 0.1150$ . The middle error bands include in addition the contribution due to the simultaneous fit of  $\alpha_s$ . The outer error bands also include the uncertainties related to the QCD model and data range. The solid lines inside the error band represent the gluon distribution obtained in the fit to the H1 data alone.

### Light-Cone Kinematics

$$v^+ = \frac{1}{\sqrt{2}}(v^0 + v^3); \quad v^- = \frac{1}{\sqrt{2}}(v^0 - v^3); \quad v_\perp = (v^1, v^2)$$



$$z \approx t \quad \longleftrightarrow \quad x^- \approx 0$$

$$P^H \approx (P, 0, 0, P) \quad \longleftrightarrow \quad P^H \approx (P^+, 0, Q); \quad P^+ \approx \sqrt{2}P$$

$$k \cdot x = \underbrace{k^- x^+}_{\text{energy}} + \underbrace{k^+ x^-}_{\text{time}} - \underbrace{k_\perp \cdot x_\perp}_{\text{transverse}}$$

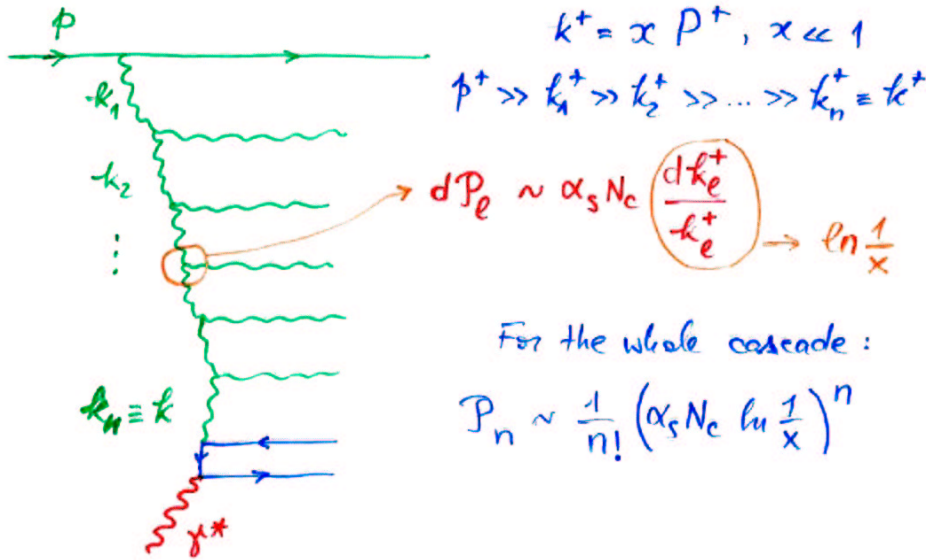
Feynman's  $x$ :  $x \equiv \frac{k^+}{P^+}$  (boost invariant)

Rapidity:  $\tau \equiv \ln \frac{1}{x} = \ln \frac{P^+}{k^+}$

For the struck parton:  $\tau \sim \ln s$



The BFKL cascade



Gluon distribution:

$$\frac{dN}{d \ln \frac{1}{x}} \equiv x G(x, Q^2)$$

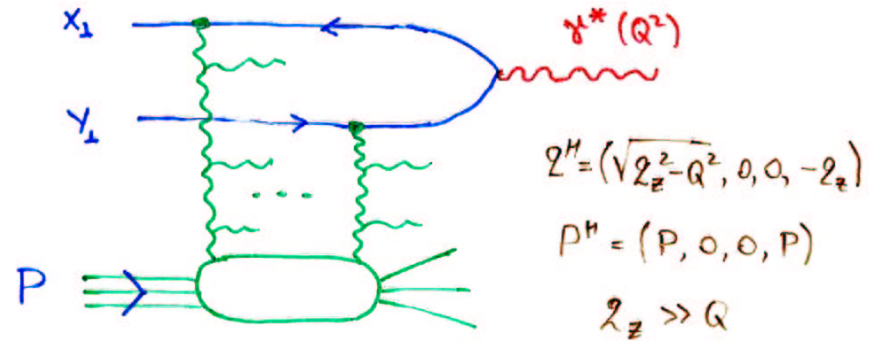
= # of gluons with transverse size  $\Delta_{\perp} \sim \frac{1}{Q}$  per unit "rapidity"

$$\tau \equiv \ln \frac{1}{x} \sim \ln S$$

$$\frac{dN}{d\tau} \Big|_{\text{BFKL}} \sim \alpha_s N_c \sum_{n=0}^{\infty} P_n = \alpha_s N_c e^{\frac{\omega \alpha_s N_c \tau}{5 \omega \alpha_s N_c}}$$

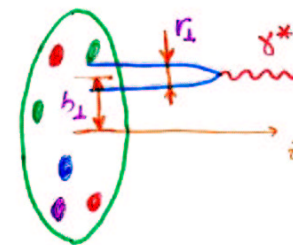
$$\omega = \frac{4 \ln 2}{\pi}$$

DIP in the dipole frame



$$\sigma_{\gamma^* p}(\tau, Q^2) = \int d^2 r_{\perp} \Phi(\tau, r_{\perp}^2 Q^2) \sigma_{\text{dipole}}(\tau, r_{\perp})$$

$$\sigma_{\text{dipole}}(\tau, r_{\perp}) = 2 \int d^2 b_{\perp} \underbrace{\mathcal{N}_{\tau}(r_{\perp}, b_{\perp})}_{\text{scattering amplitude}}$$



$\langle \text{tr} V_{x_{\perp}}^+ V_{y_{\perp}}^+ \rangle_{\tau}$  = average over the hadron wave function "evolved" up to rapidity  $\tau$   
 $\text{" "}$   
 $S$ -matrix element

Assume :

- i) Two-point function only :  $\langle A_a^+(x) A_b^+(y) \rangle_z$
- ii) Small-dipole :  $r_\perp \ll$  correlation length of  $A^+$   

$$igt^a (A_a^+(x_\perp) - A_a^+(y_\perp)) \simeq igt^a r_\perp^i \partial^i A_a^+(x_\perp)$$

$$\mathcal{N}_z(r_\perp, b_\perp) \simeq 1 - \exp \left\{ -\alpha_s r_\perp^2 \times G(x, \frac{1}{r_\perp^2}, b_\perp) \right\}$$

$\times G(x, Q^2, b)$  = Wigner transform of  $\langle \partial^i A^+ \partial^i A^+ \rangle$   
 = Fock space, local, gluon distribution

- Low density / very small dipole  $\Rightarrow$  single scattering

$$\mathcal{N}_z(r_\perp, b_\perp) \simeq \alpha_s r_\perp^2 \times G(x, \frac{1}{r_\perp^2}, b_\perp)$$

$\sim s^{w \alpha_s N_c}$  (BFKL)

"leading twist" ( $r_\perp^2 \sim \frac{1}{Q^2}$ )

- The scattering amplitude at fixed  $b_\perp$  rises as a power of  $s$   
 $\Rightarrow$  Violation of unitarity ( $\Rightarrow \mathcal{N}_z \leq 1$ )

Unitarization at fixed  $b_\perp$

- Coherent multiple scattering

$$\mathcal{N}_z(r_\perp, b_\perp) = 1 - \exp \left\{ -\alpha_s r_\perp^2 \times G(x, \frac{1}{r_\perp^2}, b_\perp) \right\} \leq 1$$

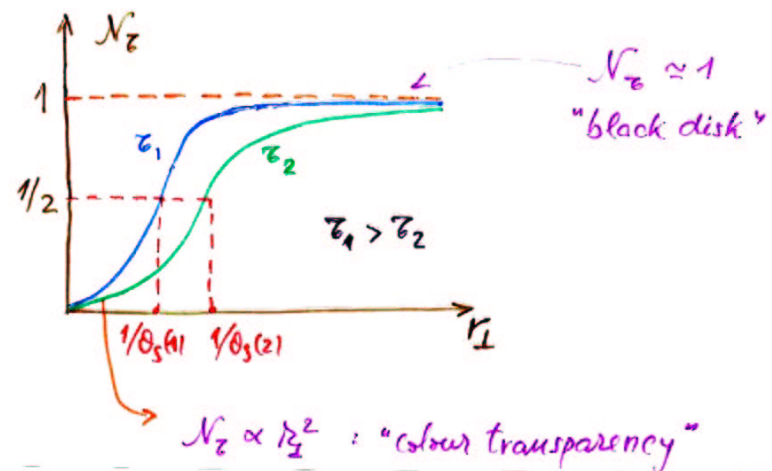
"all twists"

- Multiple scattering becomes important when

$$r_\perp^2 \geq \frac{1}{Q_s^2(z, b)} \equiv \text{"(saturation length)}^2 \text{"}$$

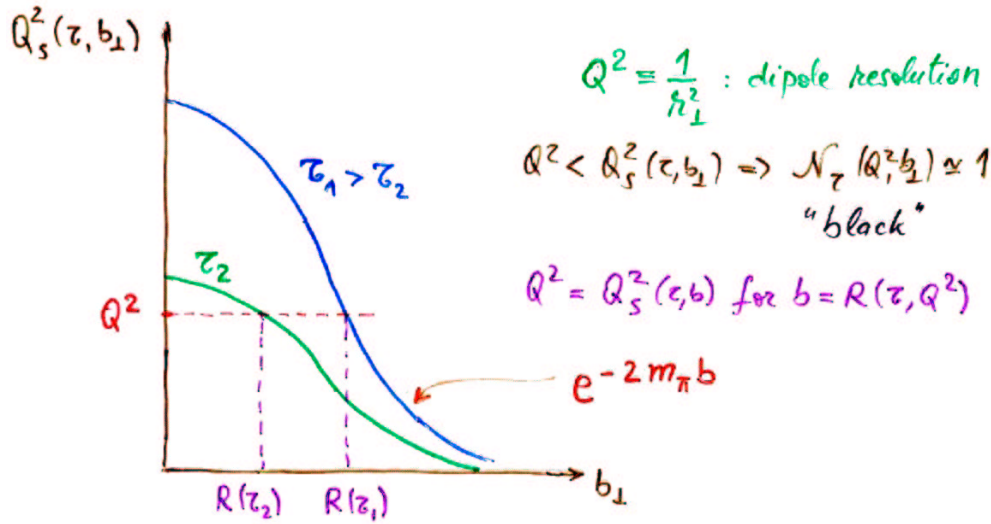
$$Q_s^2(z, b_\perp) \sim \frac{\alpha_s N_c}{N_c - 1} \times G(x, Q_s^2, b_\perp)$$

- $Q_s^2(z, b_\perp)$  increases with  $z$  ( $\sim e^{w \alpha_s z}$ ), decreases with  $b_\perp$



$b_{\perp}$ -dependence and the problem of Froissart bound

- The edge of the hadron is not sharp!



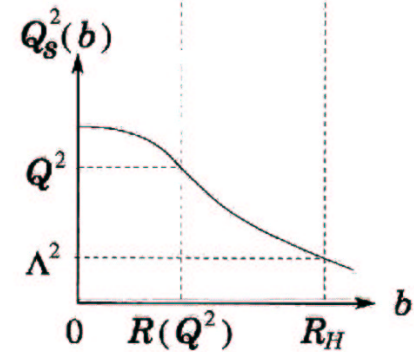
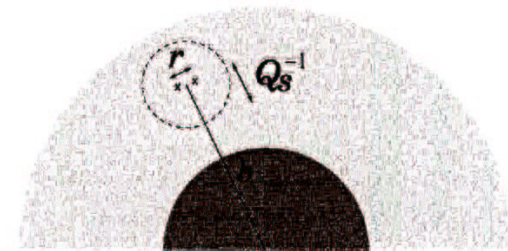
- Assume quantum evolution is local in  $b_{\perp}$

$$\Rightarrow \mathcal{N}_{\tau}(Q^2, b_{\perp}) \sim e^{\omega \alpha_s \tau} e^{-2m_{\pi} b} \sim 1 \text{ for } b = R(z, Q^2)$$

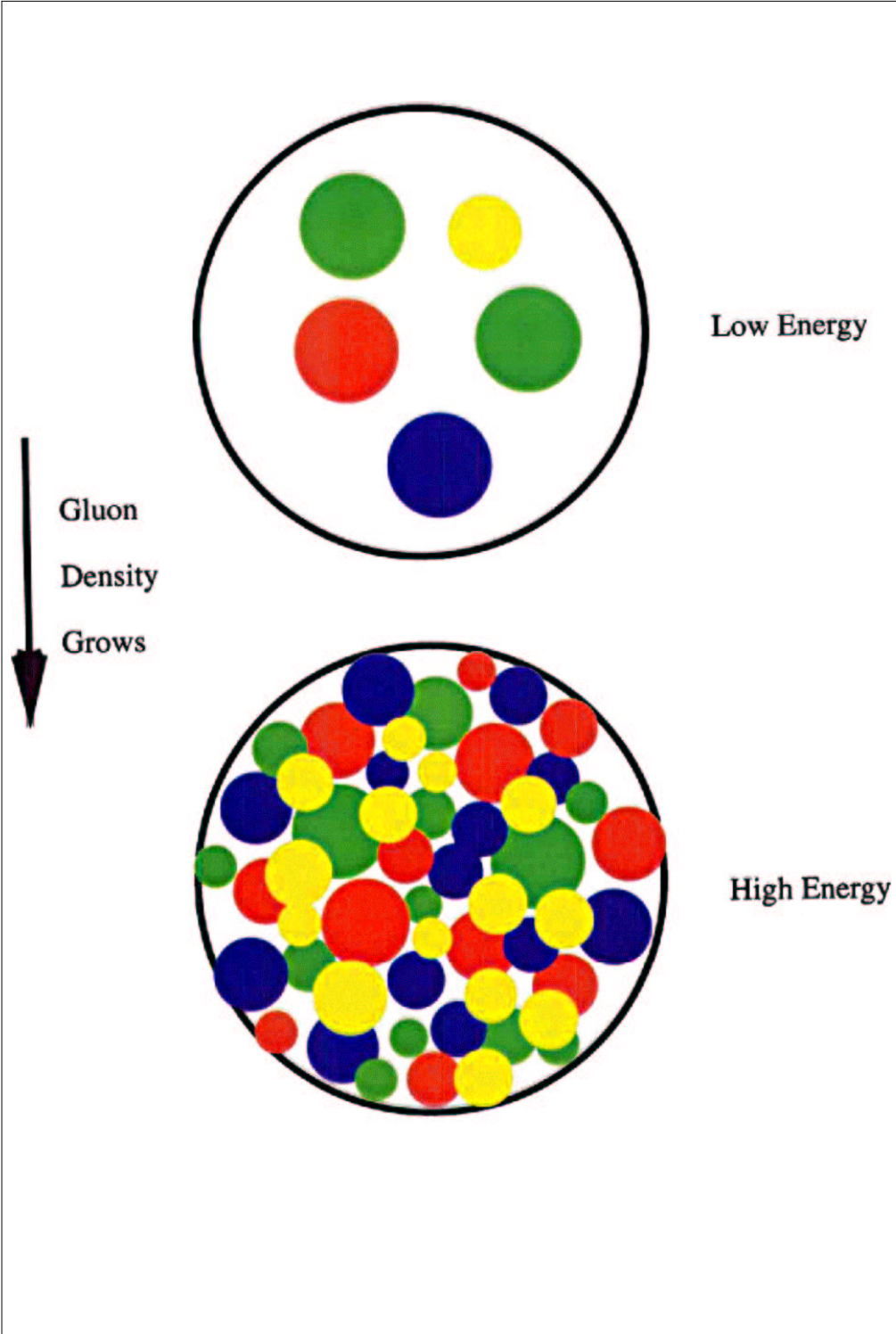
$$\Rightarrow R(z, Q^2) \simeq \frac{\omega \alpha_s}{2m_{\pi}} \tau \rightarrow \ln s \quad \text{Heisenberg, 52}$$

$$\Rightarrow J_{\text{black disk}} \equiv 2\pi R^2(z, Q^2) \sim (\ln s)^2 !$$

- However: perturbative evolution  $\Rightarrow$  massless gluons







What happens to the high density gluons ?

- At high density (= small  $x$ ), gluons overlap in the transverse plane and interact with each other.



Radiation = Recombination

→ Saturation

(Gribov, Levin, Ryskin, 83)

- Non-linear effects become important when

$$\frac{\alpha_s N_c}{Q^2} \cdot \frac{x G(x, Q^2, b_2)}{N_c - 1} \sim 1$$

i.e. at low transverse momenta:  $Q^2 \lesssim Q_s^2(z, b_2)$

$$Q_s^2(z, b_2) \approx \alpha_s \frac{N_c}{N_c - 1} x G(x, Q_s^2, b_2)$$

- Unitarization of the (local) scattering amplitude  
 $\Leftrightarrow$  Saturation of small- $x$  gluons in the hadron wavefunction

- N.B. In the non-linear regime at saturation,  $T_{dipole}$  is NOT computable in terms of the gluon distribution (a 2-point fcn) alone.

A classical effective theory

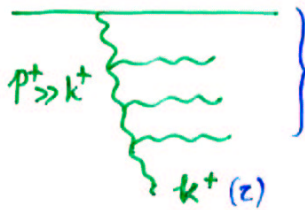
- How to compute in the non-linear regime at small  $x$ ?

Typical momenta:  $k_{\perp}^2 \sim Q_s^2 \sim e^{\omega \alpha_s \tau} A^{1/3}$

$\Rightarrow \alpha_s(Q_s^2) \ll 1$  for high energy and/or large  $A$

- Large occupation numbers:  $\#G(x, Q_s^2, b_{\perp}) \sim \frac{1}{\alpha_s}$

$\Rightarrow$  Semi-classical regime (McLerran, Venugopalan, 94)



$\rho_z =$  colour charge density of the "fast" partons:  $p^+ \gg k^+$   
 $[k^+ = x P^+ = e^{-\tau} P^+]$

$\rightarrow$  Lorentz contracted:  $\rho_z(x^-, x_{\perp}) \propto \delta_z(x^-)$

$[\ln(x^- P^+) \ll \tau]$

$\rightarrow$  Time dilated:  $\rho_z$  is independent of LC-time  $x^+$

$(D_{\nu} F^{\nu\mu})_a(x) \approx \delta^{\mu+} \delta(x^-) \rho_a(x_{\perp})$

$\rightarrow$  Classical random variable, with weight from  $W_z[P]$

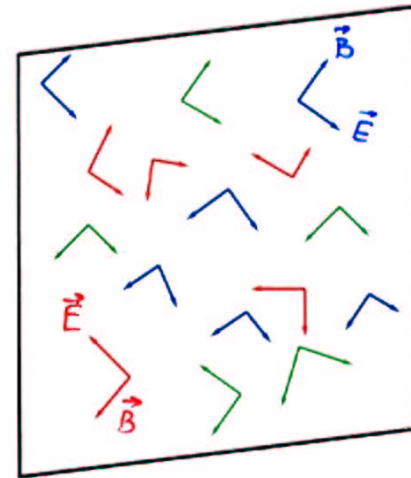
$\langle F^{+i}(x) F^{+i}(y) \rangle_z = \int \mathcal{D}[P] W_z[P] \underbrace{\mathcal{F}_x^{+i}[P]} \mathcal{F}_y^{+i}[P]$

$F^{+i} \sim \frac{1}{g}$  at saturation  $\Rightarrow$  Exact classical solution

The Classical Solution

A non-Abelian Weizsäcker-Williams field.

$\begin{cases} E_z = B_z = 0 \\ E_x = B_y; E_y = -B_x \quad (\vec{E}_{\perp} \cdot \vec{B}_{\perp} = 0) \end{cases}$



$E^i(x; x_{\perp}) = \delta(x^-) V(x_{\perp}) \partial^i V^+(x_{\perp}) \quad (i=x,y)$

$V^+(x_{\perp}) = P \exp(ig \int dx^- \alpha_a(x; x_{\perp}) T^a)$

Wilson line

$-\nabla_{\perp}^2 \alpha_a = \rho_a \quad : \text{2-dimens Coulomb field}$

$\tau \rightarrow \infty$  with some gauge  $A^+ = 0$

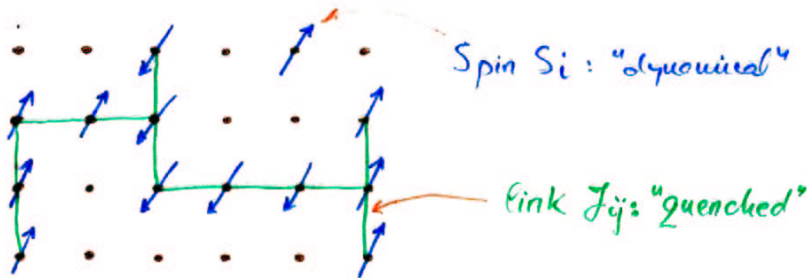


Why "Colour Glass" ?

• Spin glass

Random distribution of spins  $S_i = \pm 1$

$\Rightarrow H = - \sum_{ij} J_{ij} S_i S_j$  with random links  $J_{ij}$



$\Rightarrow$  The spins thermalize for a fixed configuration of links

$F[\mathcal{J}] = -T \int [d\mathcal{J}_{ij}] \mathcal{W}[\mathcal{J}] \ln Z_T[\mathcal{J}]$

$Z_T[\mathcal{J}] = \sum_{\{S_i\}} e^{-\beta H[\mathcal{J}]}$

• Analogy:  $S_i \leftrightarrow F_a^{+i}(x)$      $J_{ij} \leftrightarrow P_a(x)$

• Very different from a plasma!

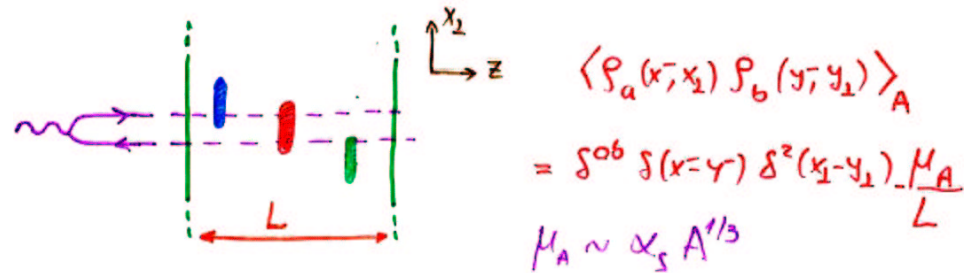
Mobile charges  $\Rightarrow$  The current  $j^H$  is determined by the background field  $A^H$ , and not vice-versa!

$\partial_\nu F^{\nu H} = j^H[A]$

Why "condensate" ?

• Coherent state with occupation number  $\sim \frac{1}{\alpha_s}$

• MV model: Colour sources  $\equiv$  Valence partons



• Gluon density in the transverse phase-space

$\frac{d^5 N}{d\tau d^2 k_\perp d^2 b_\perp} \longleftrightarrow \langle F_a^{+i}(x) F_a^{+i}(y) \rangle_A$   
Wigner tr.

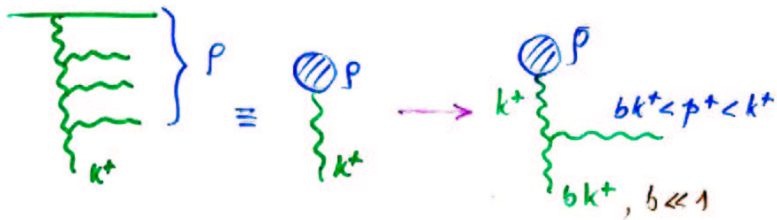
$\langle F^{+i}(x_\perp) F^{+i}(y_\perp) \rangle_A = \frac{1 - \exp\{-\tau_\perp^2 \alpha_s N_c M_A \ln \frac{1}{\tau_\perp^2 \Lambda^2}\}}{\alpha_s \tau_\perp^2}$

Saturation scale:  $Q_s^2(A) = \alpha_s N_c M_A \ln \frac{Q_s^2}{\Lambda^2} \sim \alpha_s^2 A^{1/3}$

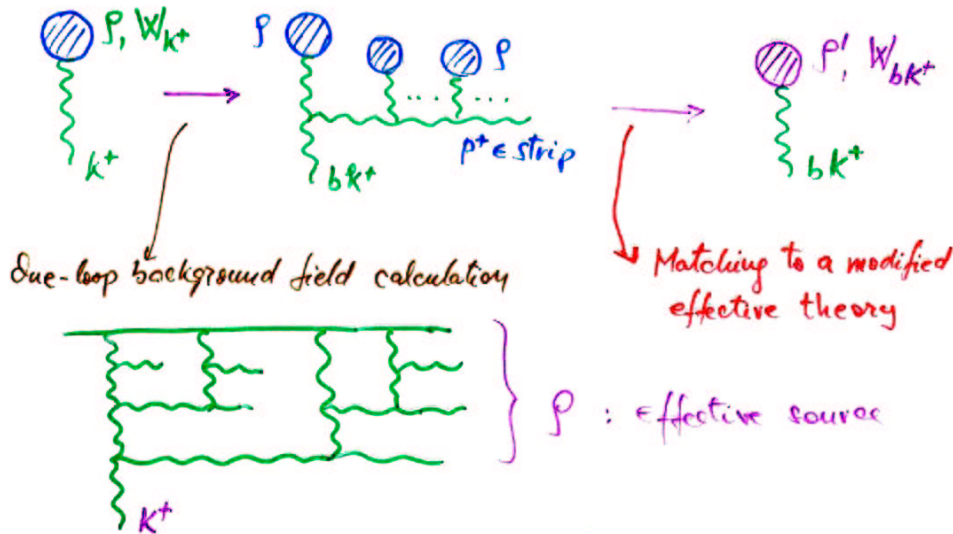
$\varphi_A(k_\perp) \approx \begin{cases} \frac{M_A}{k_\perp^2} \sim A^{1/3} & \text{if } k_\perp \gg Q_s \\ \left(\frac{1}{\alpha_s}\right) \ln \frac{Q_s^2}{k_\perp^2} \sim \ln A & \text{if } k_\perp \ll Q_s \end{cases}$

Non-Linear Quantum Evolution

- How to compute the weight fctn  $W_z[\rho]$  at high energy?
- Renormalization group in  $k^+$   
Jalilian-Marian, Kovner, Leonidov, Weigert, 97
- Linear evolution  $\leftrightarrow$  BFKL



- Non-linear evolution



The Renormalization Group Equation

$$\frac{\partial}{\partial z} W_z[\alpha] = \frac{1}{2} \int_{x_1, y_1} \frac{\delta}{\delta \alpha_z(x_1)} \chi_{x_1 y_1}[\alpha] \frac{\delta}{\delta \alpha_z(y_1)} W_z[\alpha]$$

$$\chi_{x_1 y_1} = \int_{z_1} \underbrace{\frac{x^i - z^i}{(x-z)^2} \frac{y^i - z^i}{(y-z)^2}}_{\text{BFKL like}} \underbrace{(1 + V_x^+ V_y - V_z^+ V_y - V_x^+ V_z)}_{\text{Wilson lines: } V_z^+ = P e^{ig \int dx^- \alpha}}$$

- A functional Fokker-Planck eq. (E.I., Leonidov, McLerran 2000)

- Ordinary evolution eqs. for observables

$$\frac{\partial}{\partial z} \langle \text{tr} V_x^+ V_y \rangle = \int d[\alpha] \text{tr}(V_x^+ V_y) \frac{\partial}{\partial z} W_z[\alpha]$$

- Equivalent to eqs. established within other formalisms by Balitsky (96), Kovchegov (99), Weigert (2000)

- Path-integral solution (Blaziot, E.I., Weigert, 2002)

- Langevin eq: a random walk on a group manifold

$$V_i^+ = e^{ig \alpha_i} V_{i-1}^+$$

$$\langle \alpha_i \alpha_i \rangle = \chi[V_{i-1}] ; \quad \langle \alpha_i \rangle = \frac{\delta}{\delta \alpha_{i-1}} \chi[V_{i-1}]$$

- Numerical implementation (in progress)  
Rammukhainen, Weigert



Approximate solutions (E.I., McLerran, 2001)

- $Q_s(\tau) \leftrightarrow$  Inverse correlation length for  $\langle V_x^+ V_y \rangle_\tau$

$$Q_s^2(\tau) \approx \Lambda^2 e^{c\alpha_s \tau}, \quad c = (4+5)N_c/\pi$$

- $k_\perp \gg Q_s(\tau) : V_x^+ \approx 1 + ig\alpha(x) \Rightarrow$  BFKL
- $k_\perp \ll Q_s(\tau) : g\alpha \sim 1 \Rightarrow V^+ \approx 0 \Rightarrow$  "free" diffusion

0. Initial condition: the Valence quark model (MV)

$$\langle P(k_\perp) P(-k_\perp) \rangle_0 \approx M_A = \text{const.} \quad \begin{cases} \text{no evolution} \\ \text{no correlations} \end{cases}$$

I.  $k_\perp \gg Q_s(\tau) : \langle P(k_\perp) P(-k_\perp) \rangle_\tau \approx e^{c\alpha_s \tau} \Phi_\tau(k_\perp)$

- exponential increase with  $\tau$
- transverse correlations get built up

II.  $k_\perp \ll Q_s(\tau) : \langle P(k_\perp) P(-k_\perp) \rangle_\tau \approx k_\perp^2 (\tau - \bar{\tau}(k_\perp))$   
diffusion "time"

- colour neutrality:  $\langle Q^a Q^a \rangle = 0$  over a scale  $\sim 1/Q_s$
- linear increase with  $\tau \sim \ln s$ : saturated sources

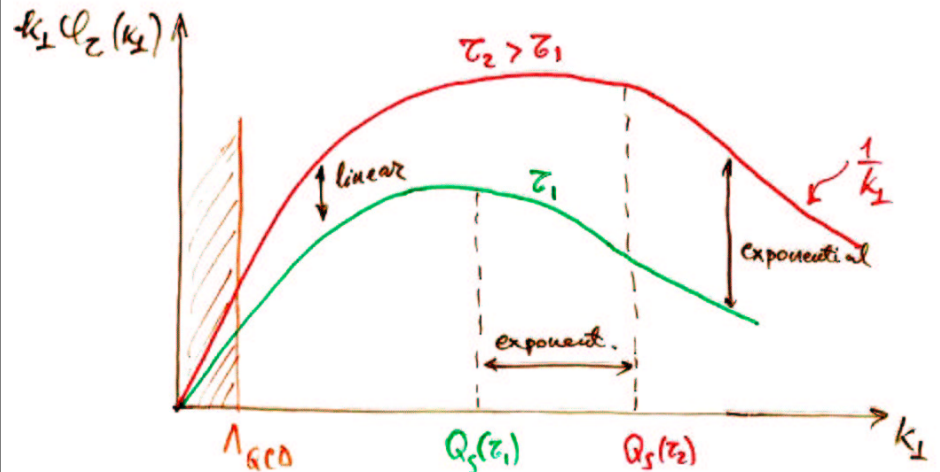
$$\bar{\tau}(k_\perp) = \frac{1}{c\alpha_s} \ln \frac{k_\perp^2}{\Lambda^2} : \text{critical rapidity where } Q_s = k_\perp$$

Gluson Saturation & Scaling.

- Gluson phase-space density:

$$\varphi_\tau(k_\perp) \equiv \frac{d^5 N}{d\tau d^2 k_\perp d^2 b_\perp} \approx \frac{1}{k_\perp^2} \langle \rho_a(k_\perp) \rho_a(-k_\perp) \rangle_\tau$$

$$\varphi_\tau(k_\perp) = \tau - \bar{\tau}(k_\perp) = \frac{1}{c\alpha_s} \ln \frac{Q_s^2(\tau)}{k_\perp^2} \quad \text{for } k_\perp \ll Q_s$$



- Geometric scaling:  $\varphi_\tau(k_\perp) = f\left(\frac{Q_s^2(\tau)}{k_\perp^2}\right)$
- Holds also above  $Q_s$ , up to  $k_\perp \sim Q_s^2/\Lambda_{QCD}$ . (E.I., Itakura, McLerran, 2002)
- Consistent with  $F_2$  data at HERA.

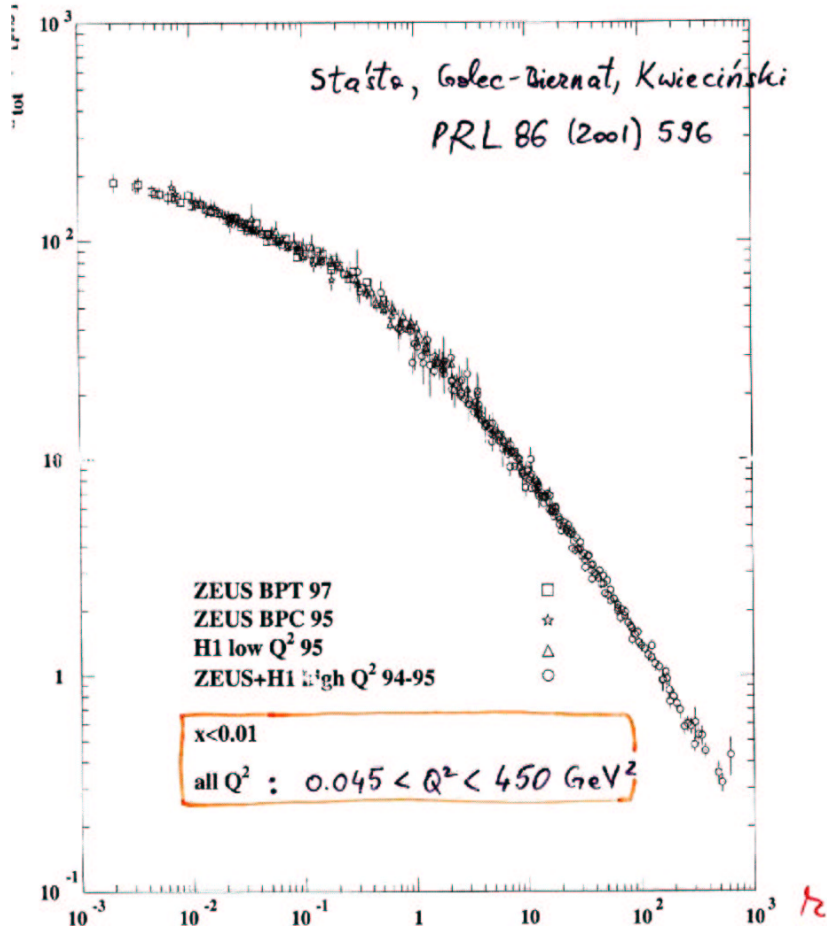


Experimental data on  $\sigma_{\gamma^*p}$  plotted versus  
the scaling variable  $\tau \equiv \frac{Q^2}{Q_s^2(x)}$

$$Q_s^2(x) = Q_0^2 \left(\frac{x_0}{x}\right)^\lambda \quad ; \quad \lambda = 0.29$$

} from fit  
to DIS  
at  $x < 0.01$

$Q_0 = 1 \text{ GeV} \quad x_0 = 3 \cdot 10^{-4}$



### The Froissart Bound Revisited

(Ferreiro, E.I., Itakura, McLerran, in preparation)

- Can the perturbative evolution preserve the exponential fall-off of the non-perturbative initial condition ?
  - { Non-linear evolution eg. for  $\mathcal{N}_\tau(r_1, b_1)$
  - { Initial condition:  $\mathcal{N}_{\tau_0}(r_1, b_1) \propto e^{-2m_\pi b}$  at large  $b$
- Potential problem: massless gluons (Kovner, Wiedemann, 2001)
  - i) At high energy, the cross-section is dominated by the black disk:  $\sigma_{\text{dipole}}(\tau, r_1) \simeq 2\pi R^2(\tau, r_1)$
  - ii) The expansion of the black disk is controlled by scattering within the grey area:  $b > R(\tau, r_1)$
  - iii) The scattering in the grey area is dominated by nearby colour sources:
 
$$|z_1 - b_1| < \frac{1}{Q_f(\tau, b_1)} \ll \frac{1}{\Lambda_{\text{QCD}}}$$
    - the evolution proceeds locally in  $b_1$
    - factorization:  $\mathcal{N}_\tau(r_1, b_1) \simeq \underbrace{\mathcal{N}_\tau(r_1)} e^{-2m_\pi b}$   
 $\sim \text{BFKL (low density)}$

Colour neutrality of the saturated gluons is crucial!

$$N_{\tau}(R_{\perp}, b_{\perp}) \Big|_{\text{grey area}} \simeq \text{"short-range"} + \text{"long-range"}$$

$$|z_{\perp} - b_{\perp}| < \frac{1}{Q_s} \quad z_{\perp} \in \text{black disk}$$

$$\simeq \underbrace{\sqrt{k_{\perp}^2} \Lambda^2 e^{\omega \alpha_s \tau - 2M_{\pi} b}}_{\text{BFKL solution}} + \underbrace{\frac{k_{\perp}^2}{b_{\perp}^4} \int_0^{\tau} d\tau' R^2(\tau')}_{\text{dipole-dipole inter.}}$$

$$N_{\tau}(R_{\perp}, b_{\perp}) \sim 1 \text{ for } b_{\perp} = R(z, R_{\perp})$$

$\Rightarrow$  "short-range" =  $\mathcal{O}(1)$  and "long-range"  $\ll 1$

$$\Rightarrow R(\tau) \simeq \frac{\omega \alpha_s}{2 m_{\pi}} \tau, \quad \omega = 4(\ln 2) \frac{N_c}{\pi}$$

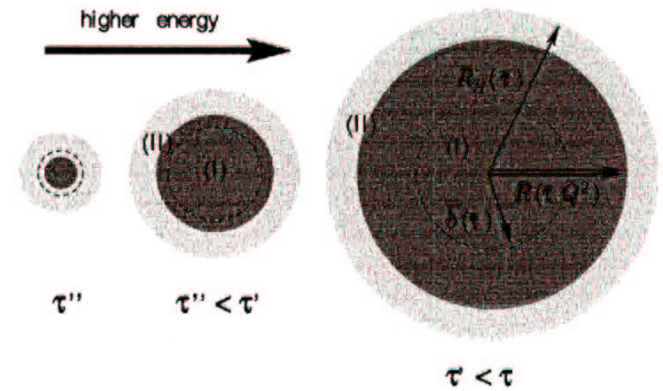
N.B.  $\sigma_{\text{BFKL}} \sim S^{\omega \alpha_s} \leftrightarrow \sigma_{\text{Froissart}} \sim \left( \frac{\omega \alpha_s}{m_{\pi}} \ln S \right)^2$

• Without transverse correlations:  $\langle p(k_{\perp}) p(-k_{\perp}) \rangle = \mu$

$$\Rightarrow \text{"long-range"} = \frac{\mu k_{\perp}^2}{b_{\perp}^2} \int_0^{\tau} d\tau' R^2(\tau')$$

$$\Rightarrow R^2(\tau) \sim \exp(\alpha_s \mu k_{\perp}^2 \tau) \sim S^{\alpha_s \mu k_{\perp}^2}$$

$\Rightarrow$  no Froissart bound!



## Perspectives and open problems

- Detailed numerical studies of the non-linear evolution e.g. (including  $b_1$ -dependence)  
(E.g., to numerically confirm Froissart bound, and to compare with  $F_2$  data at HERA)
- Next-to-leading order formalism (non-linear generalization of NLO-BFKL)  
- necessary in order to compare with data
- Extension to hadron-hadron collisions (e.g. heavy ions): "factorization formulae"  
Any hadron may be viewed as a collection of "dipoles" (for large  $N_c$  and high energy).