

WILLIAM S. KLUG

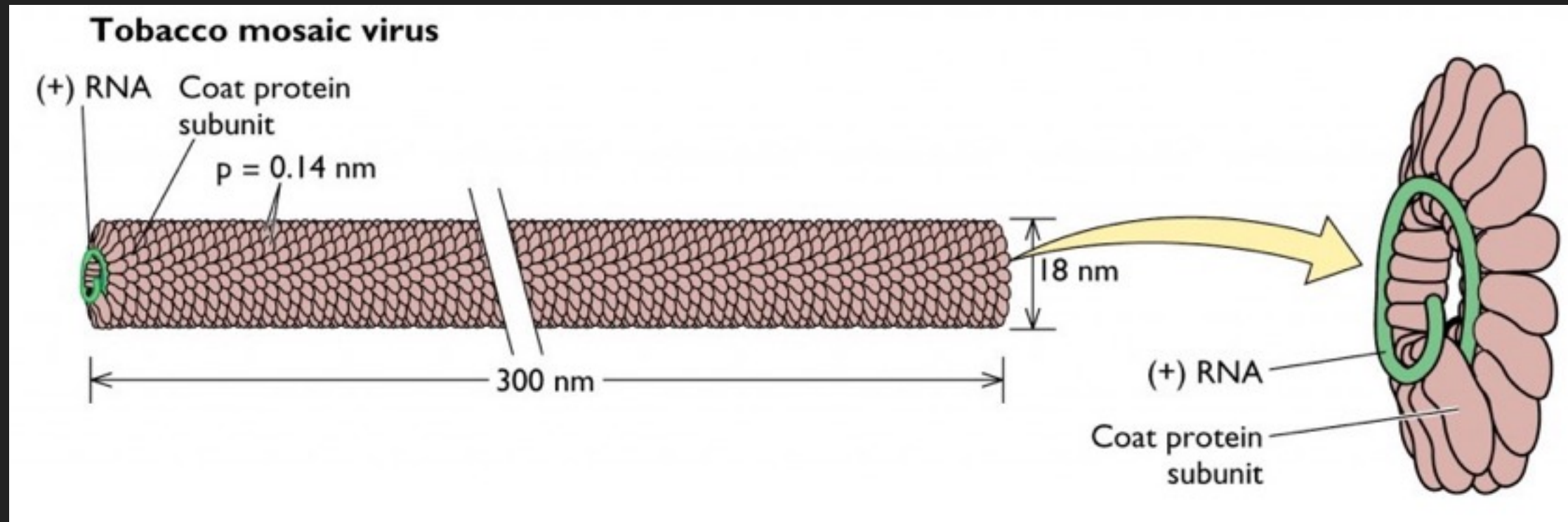
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*UCLA*

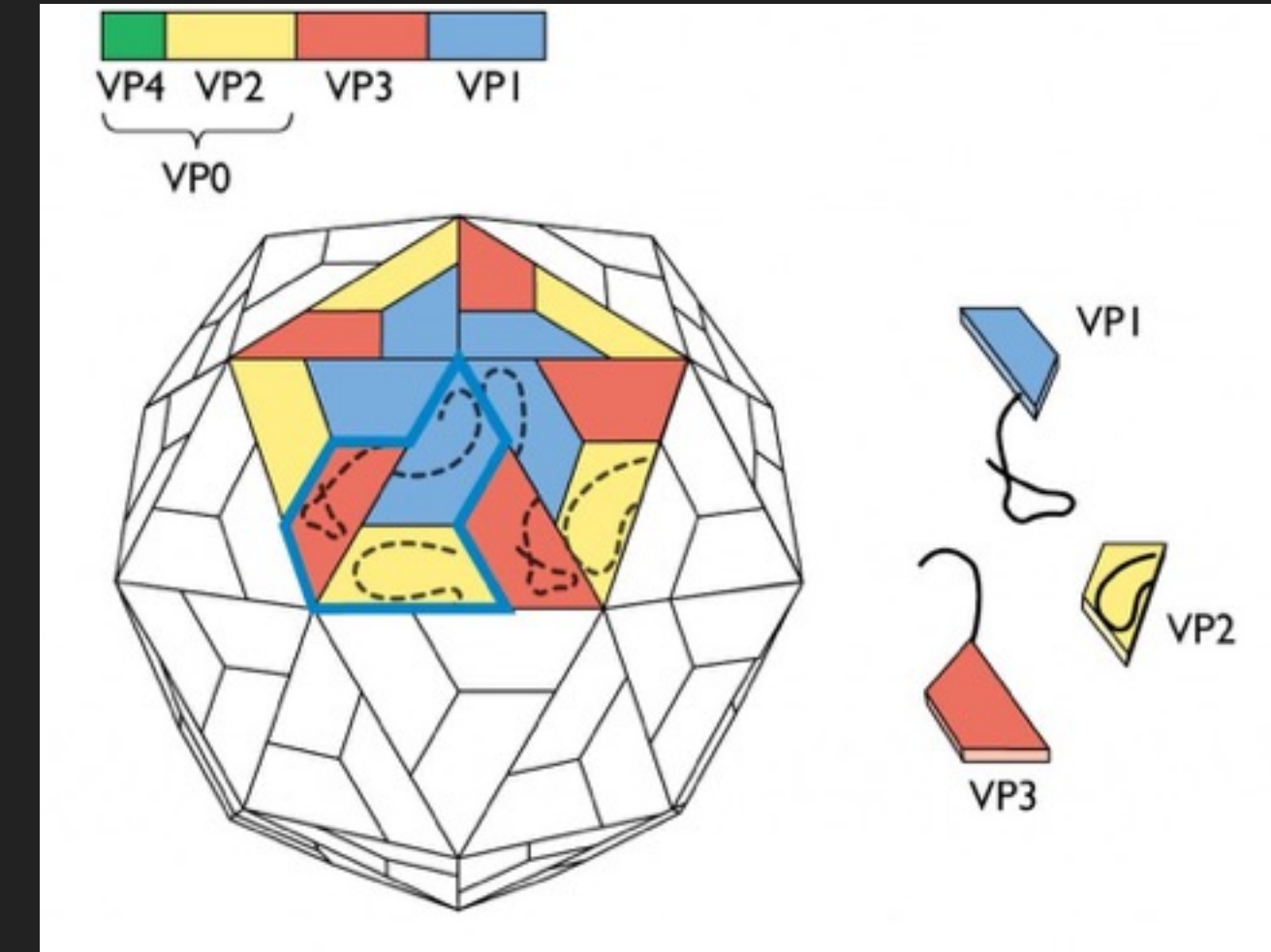
# STRUCTURE AND DYNAMICS OF DEFECTS AND SCARS IN THE PROTEIN SHELLS OF VIRUSES



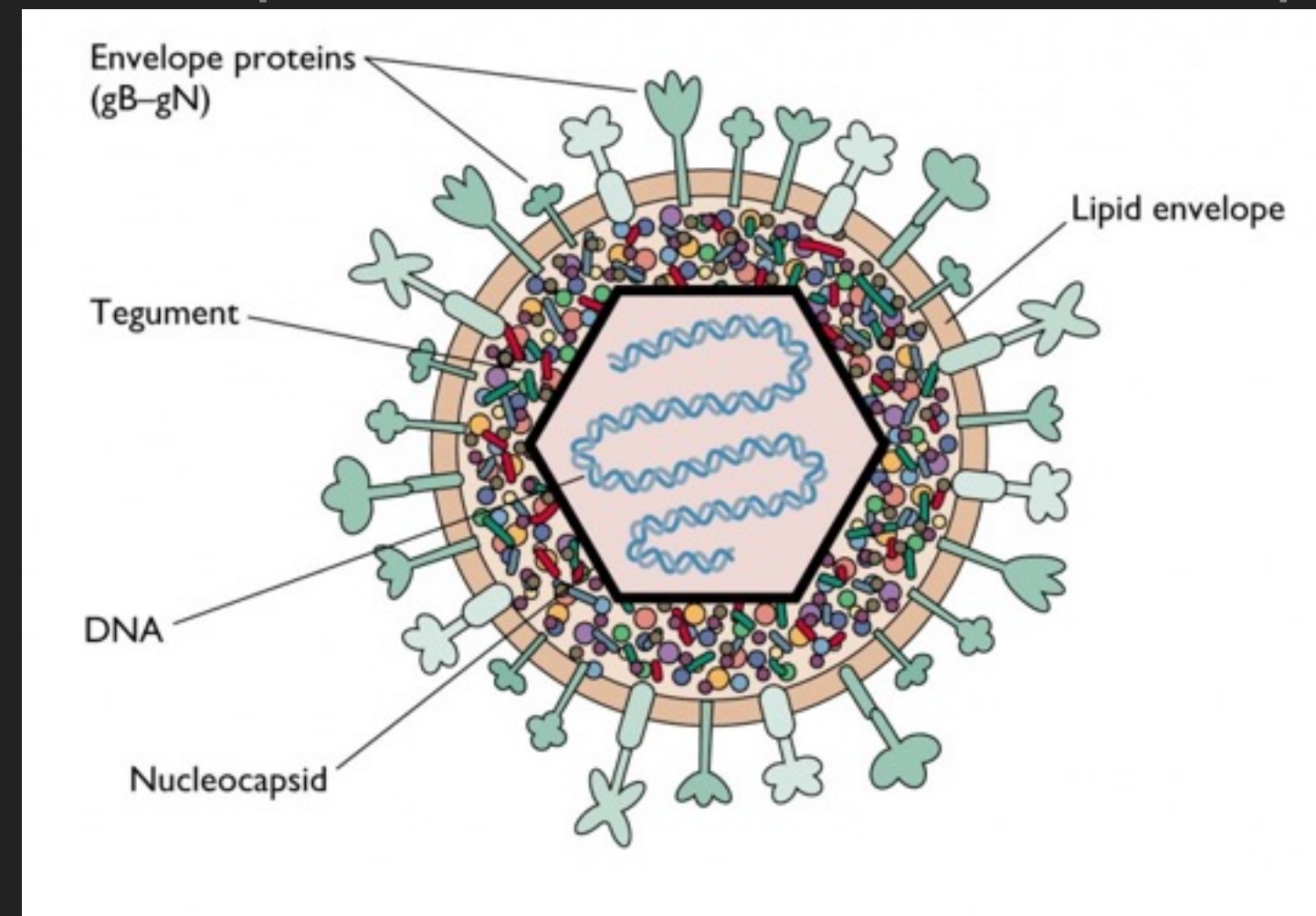
## Helical Symmetry



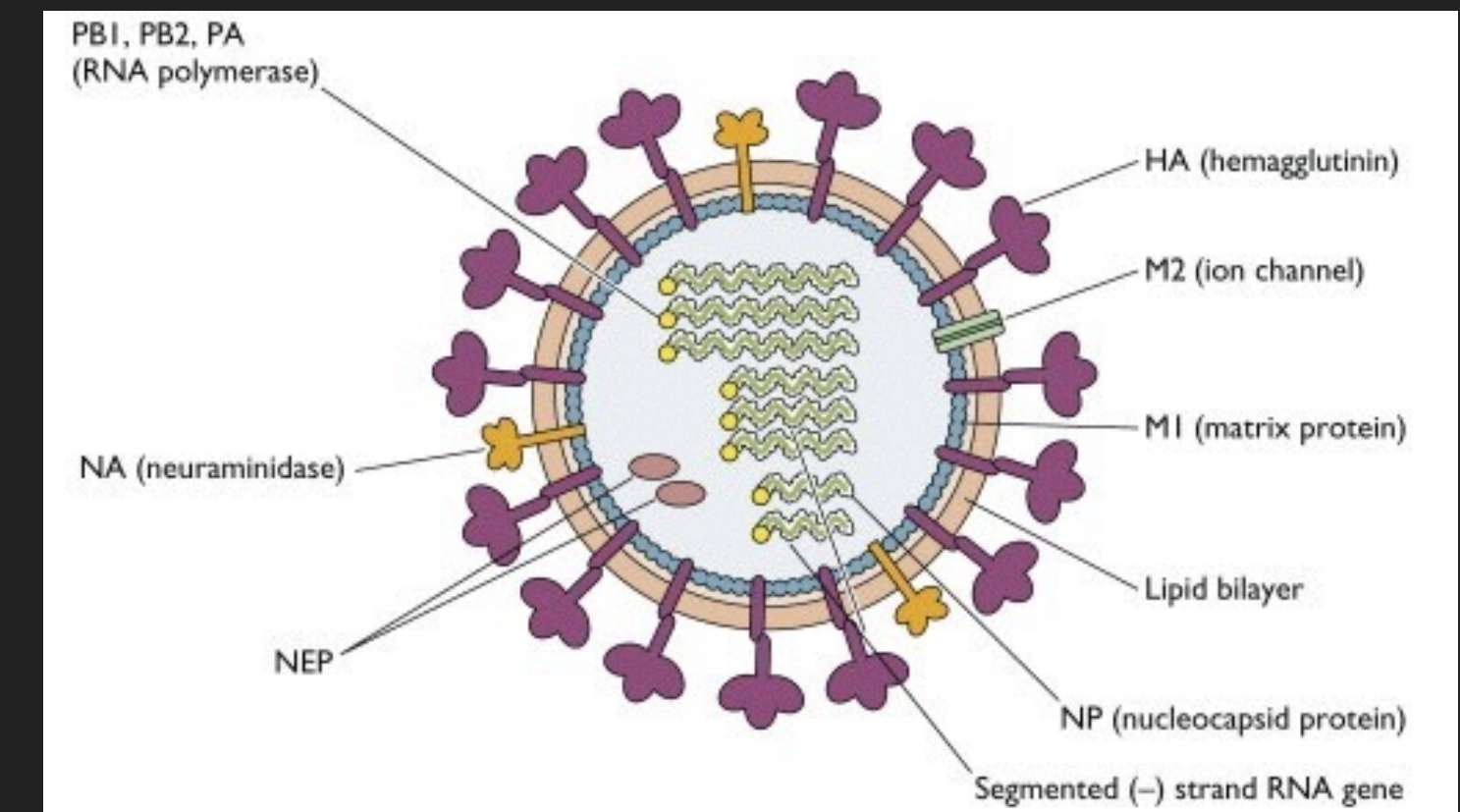
## Icosahedral Symmetry



## Enveloped with icosahedral nucleocapsid (herpesvirus)



## Enveloped with helical nucleocapsid (influenza virus)



<http://www.twiv.tv/>

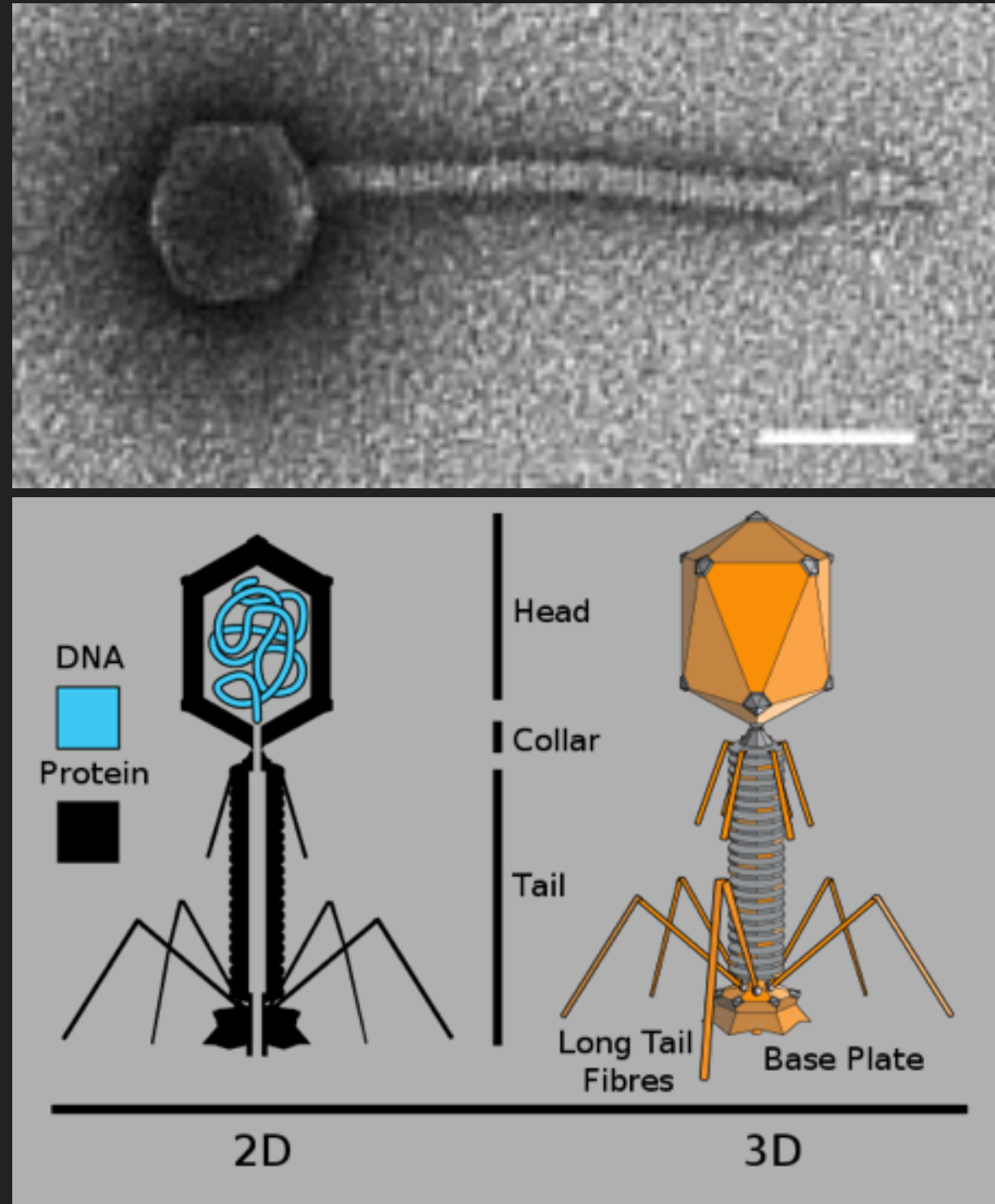
**TWIV**  
 THIS WEEK IN VIROLOGY

A netcast about viruses - the kind that make you sick

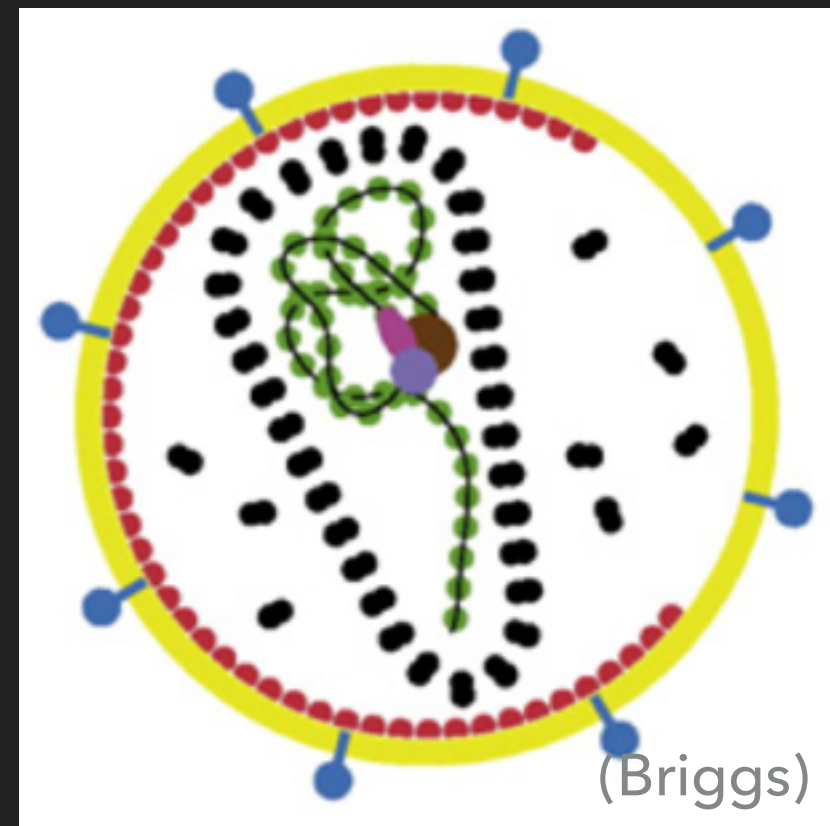
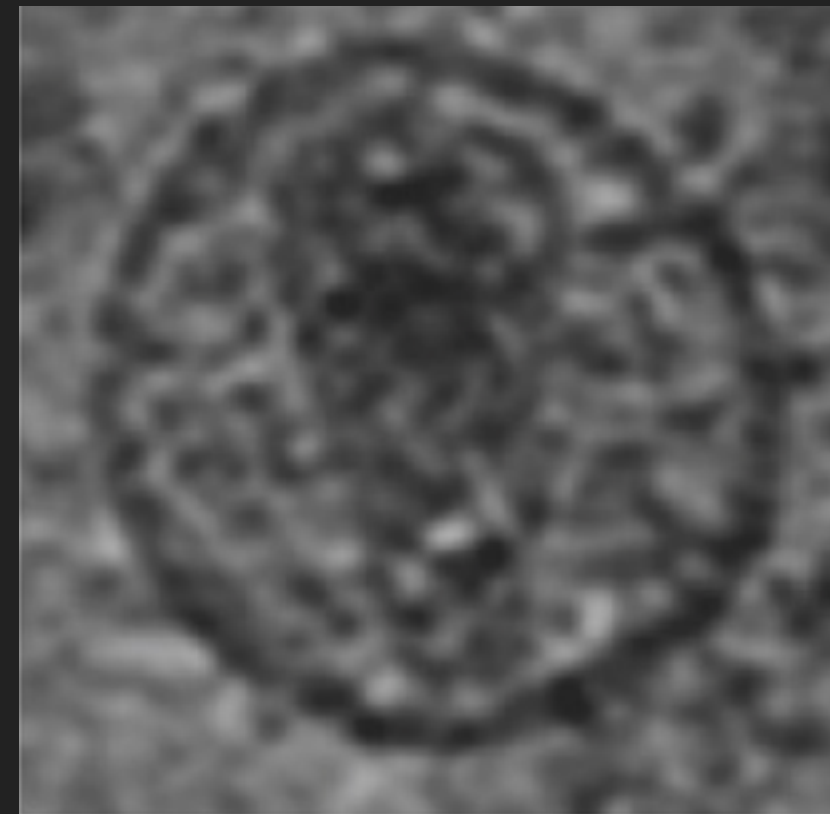
with Vincent Racaniello & friends

Detailed description: This is a promotional banner for 'This Week in Virology' (TWiV). It features the show's logo, a tagline 'A netcast about viruses - the kind that make you sick', and mentions the hosts 'with Vincent Racaniello & friends'. The background is blue with a stylized virus particle.

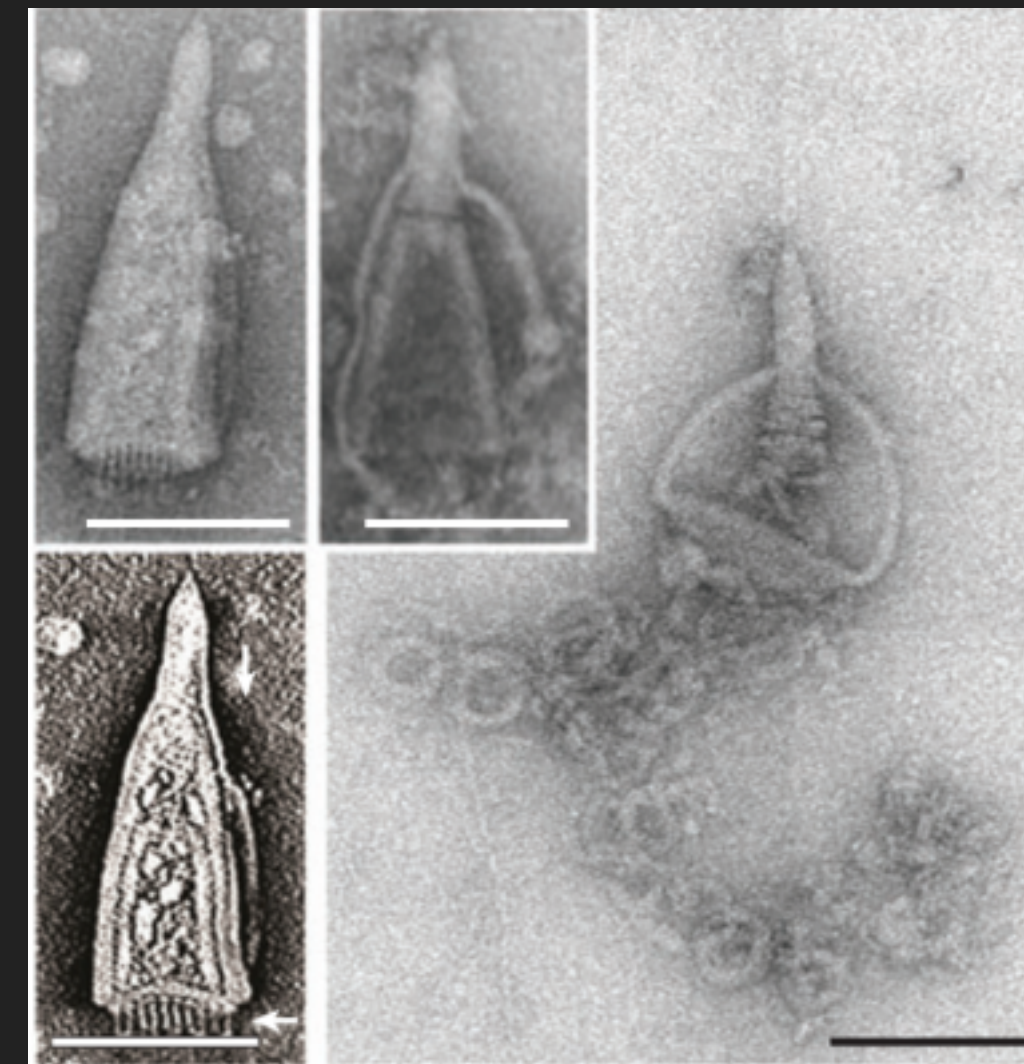
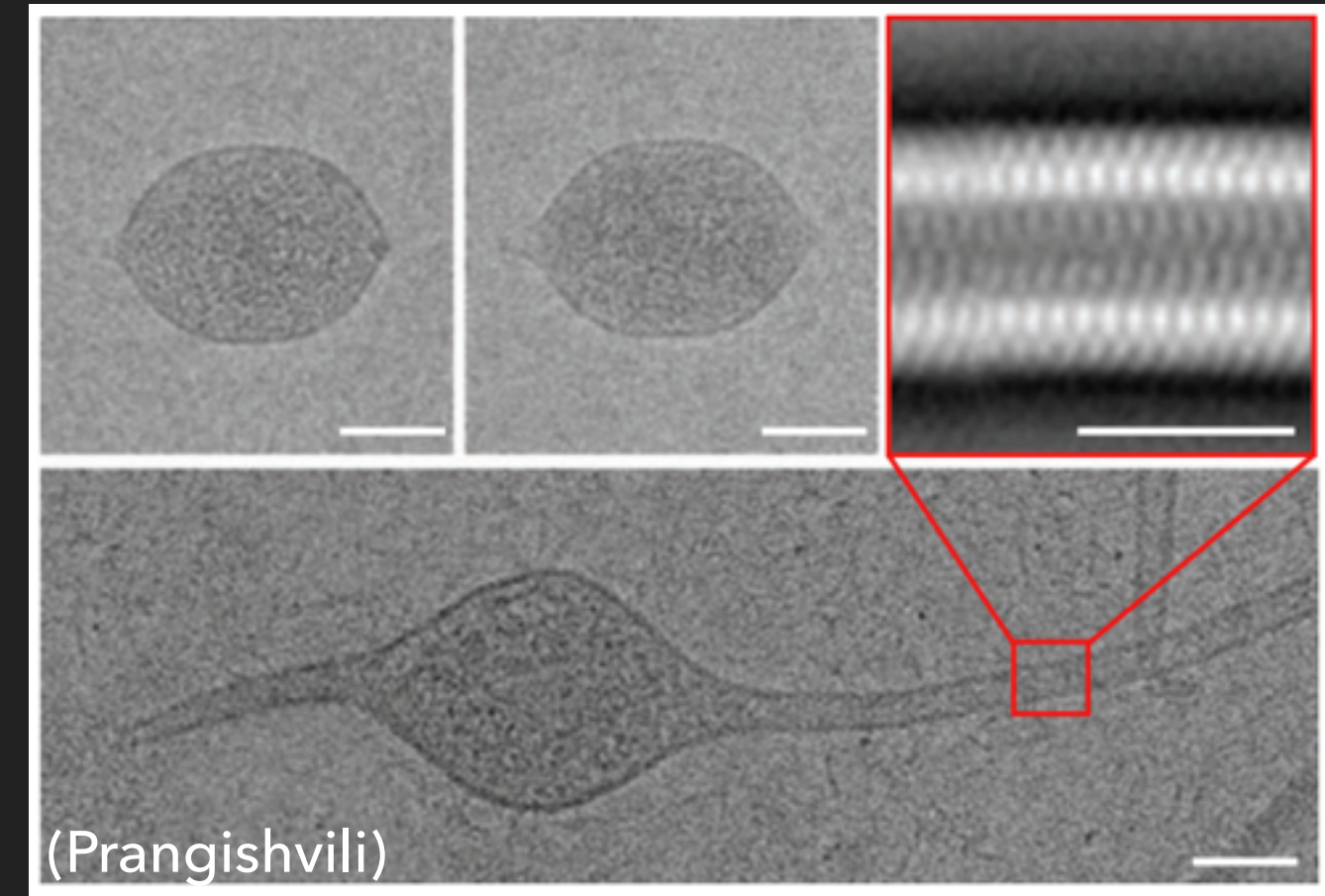
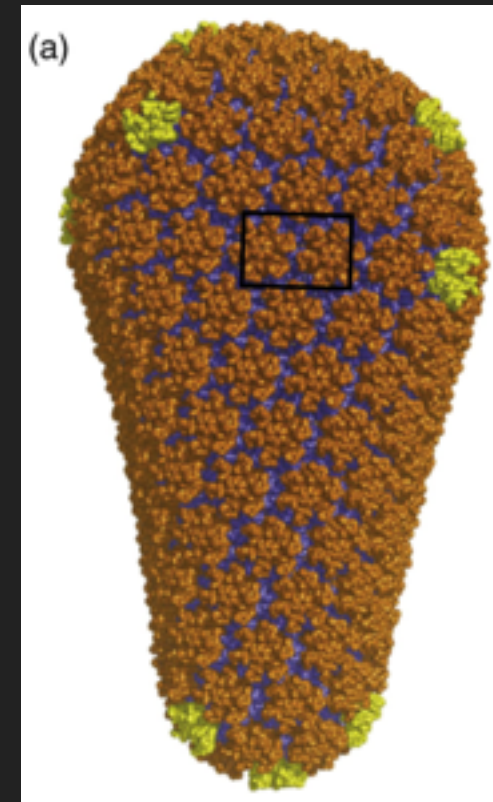




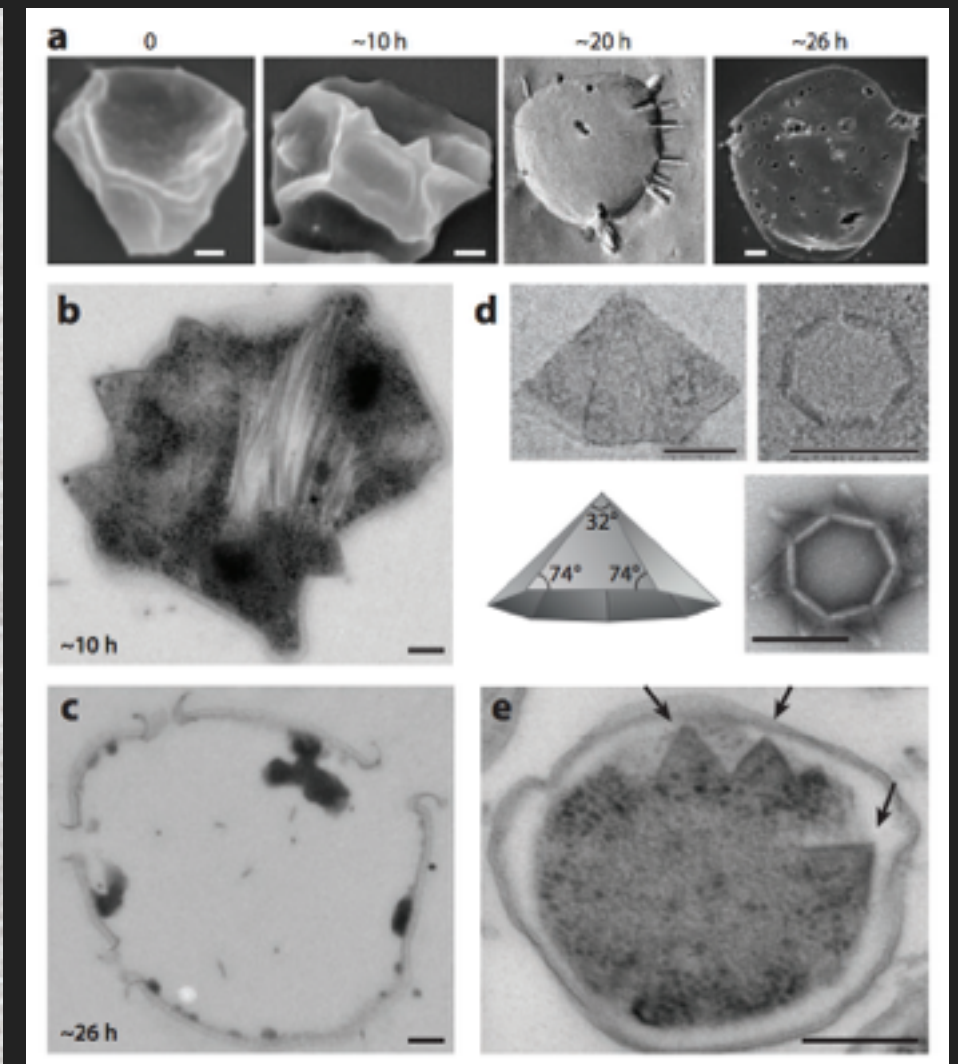
Regular



Irregular

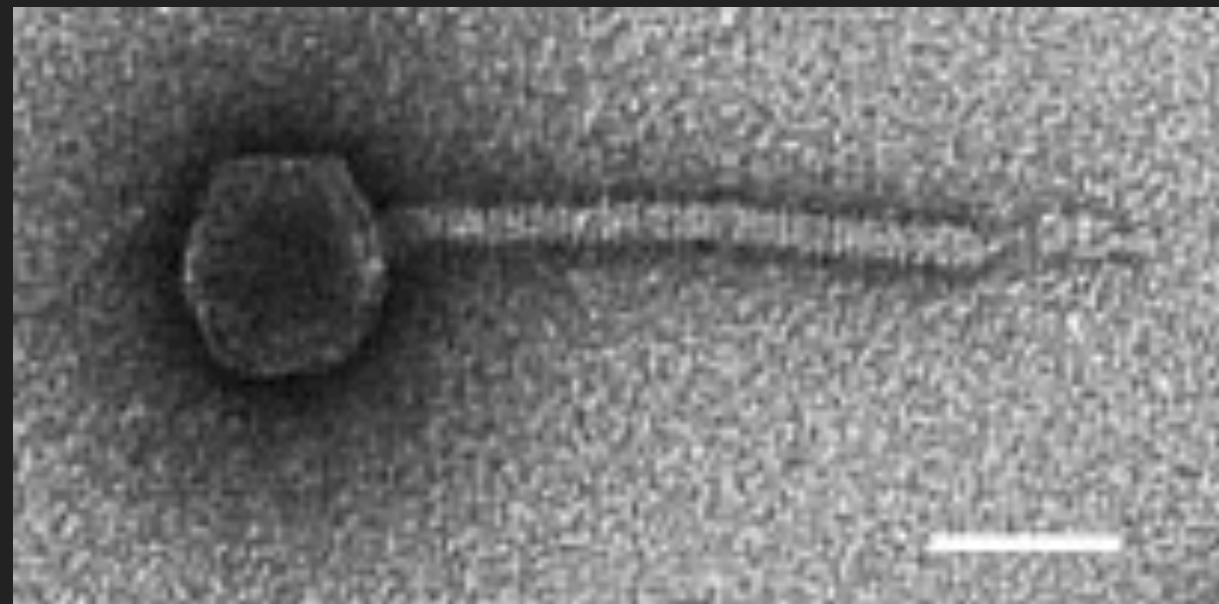


Just plain weird

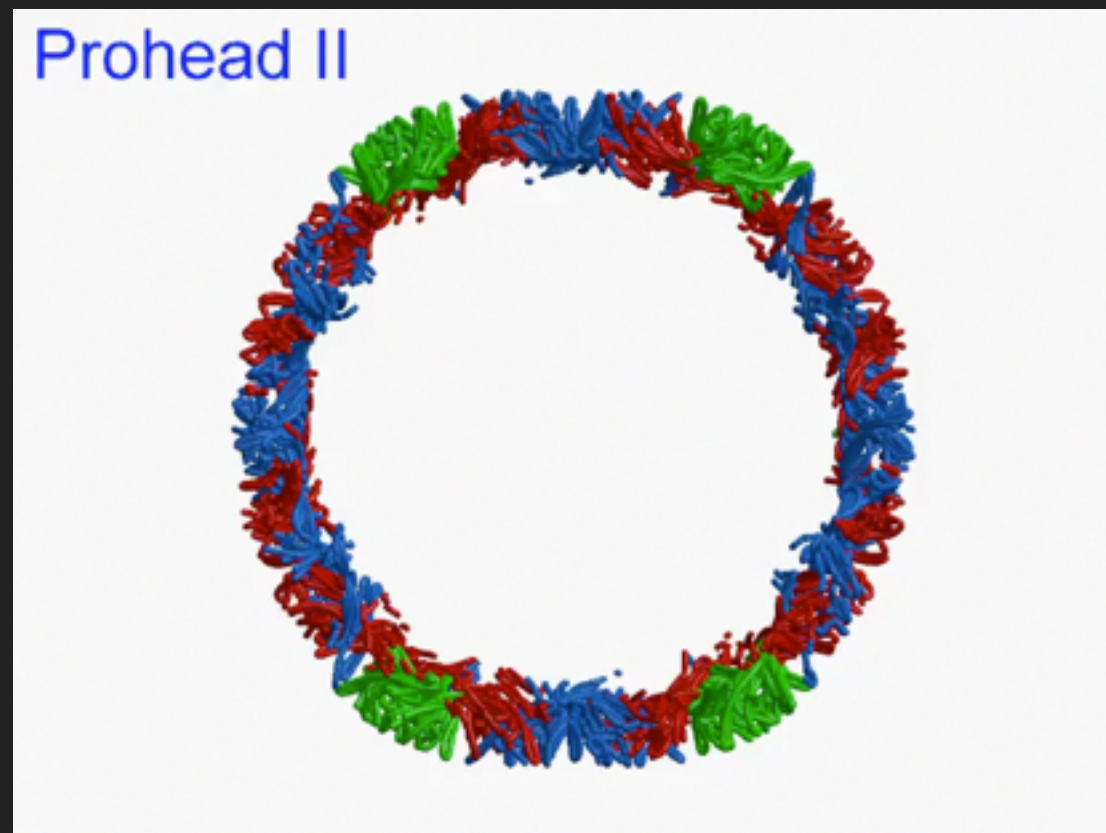




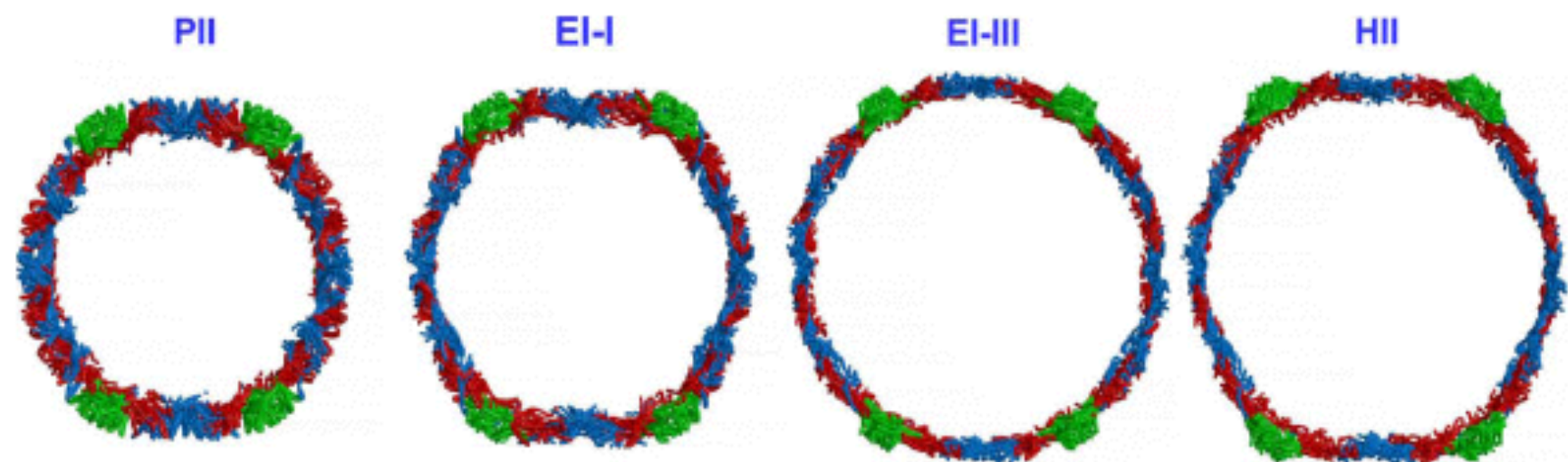
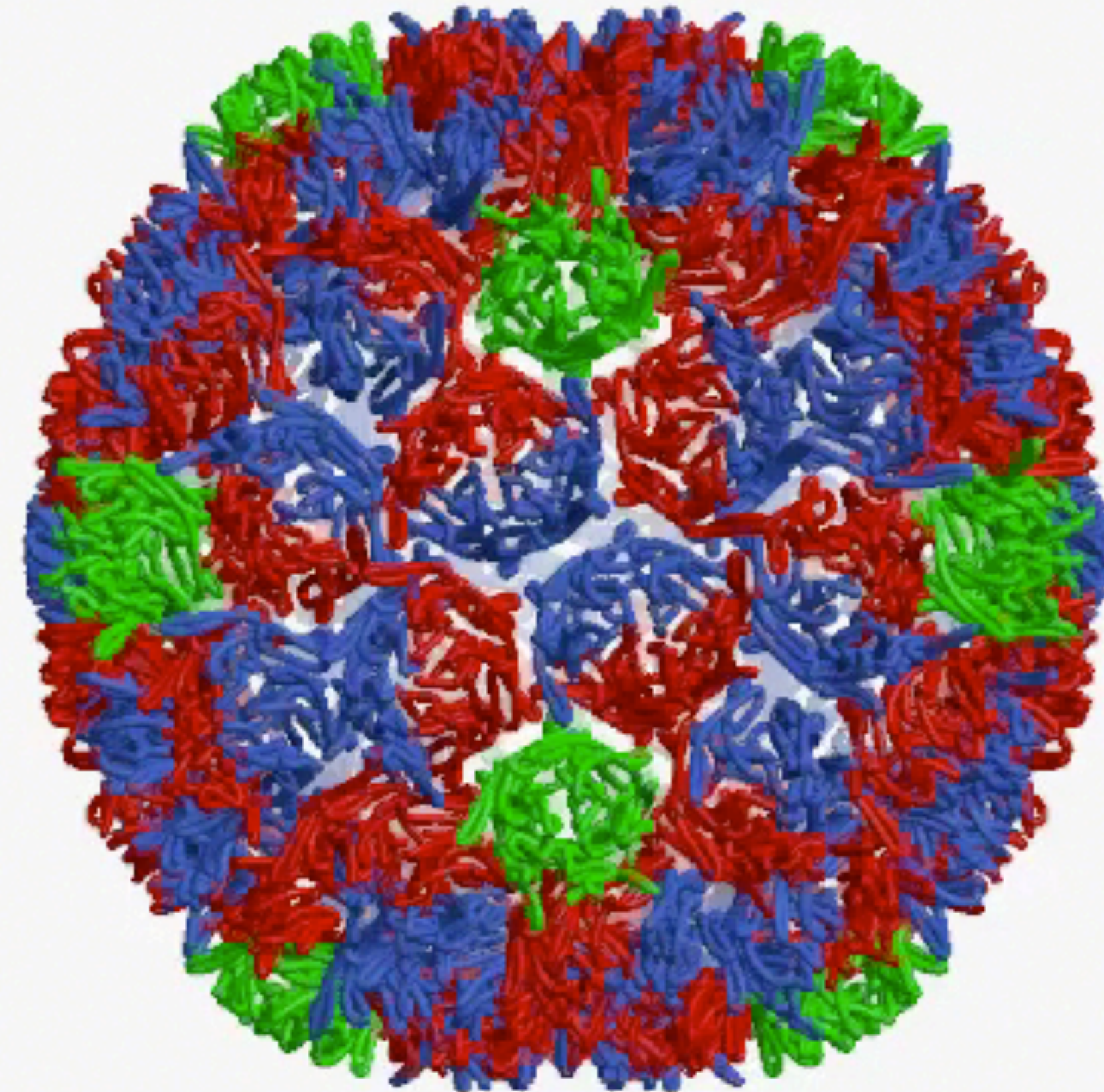
# BACTERIOPHAGE HK97



Prohead II



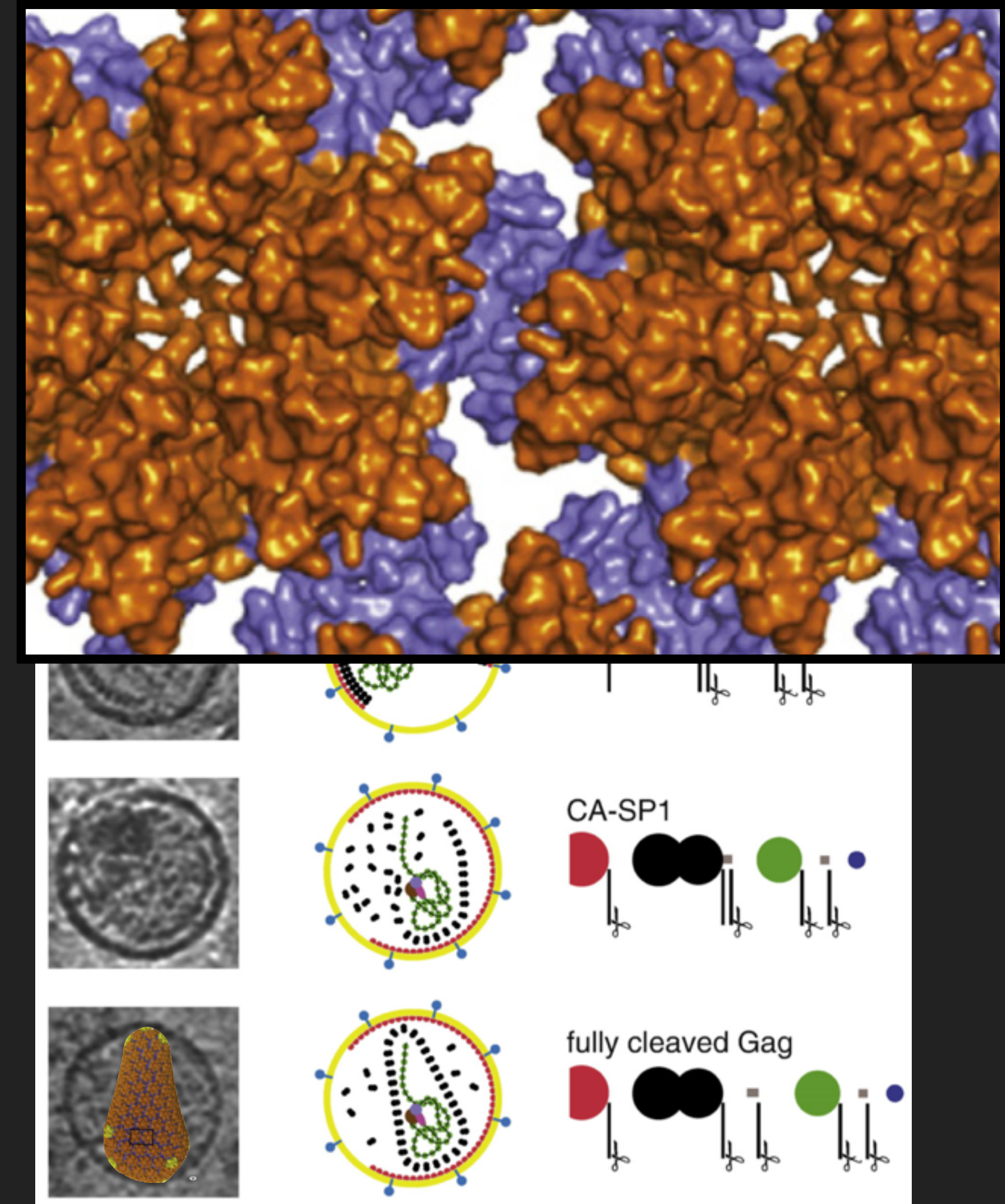
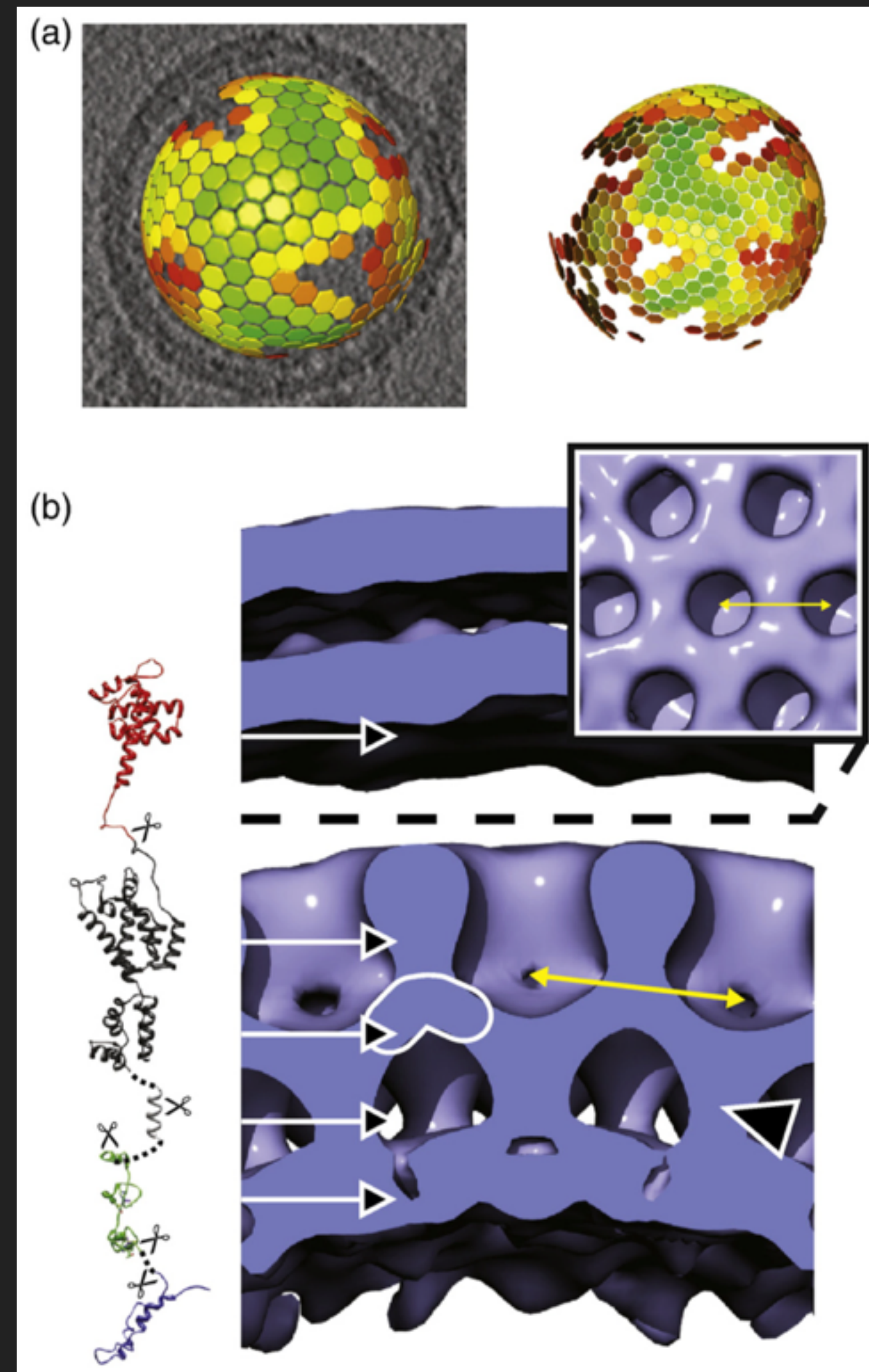
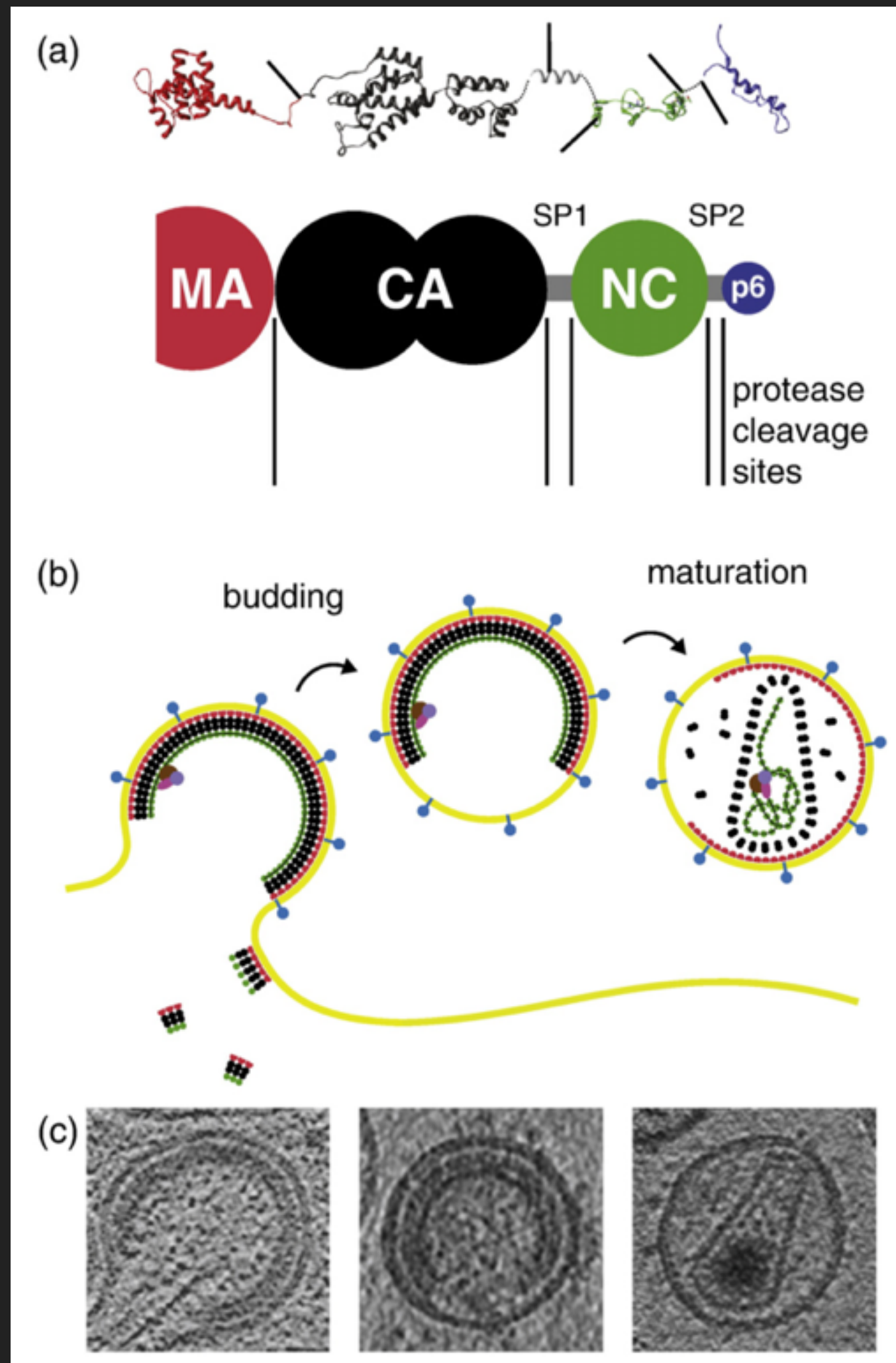
## Prohead II



(Wikoff et. al., *J Struc Biol*, 2006)



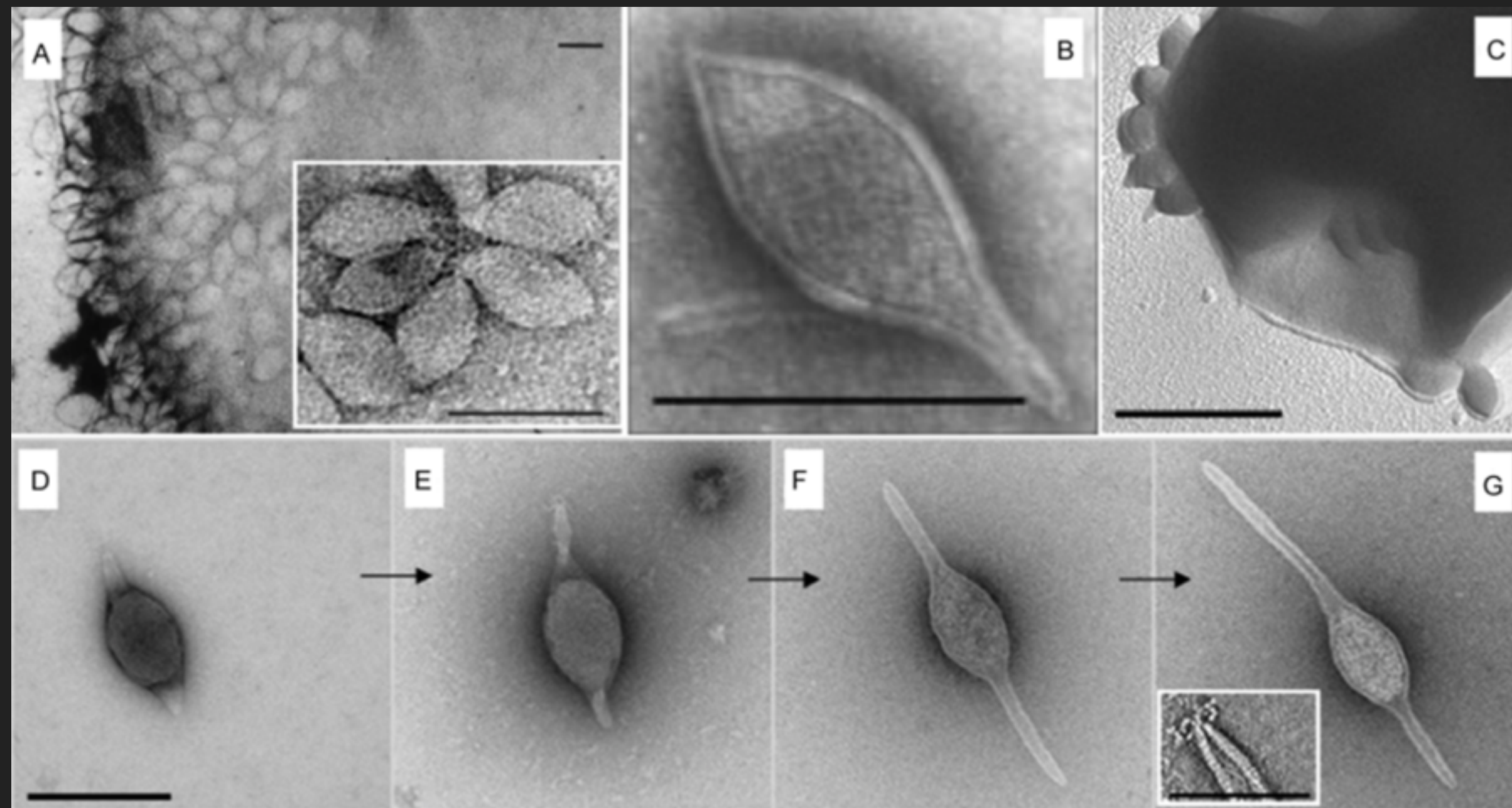
HIV



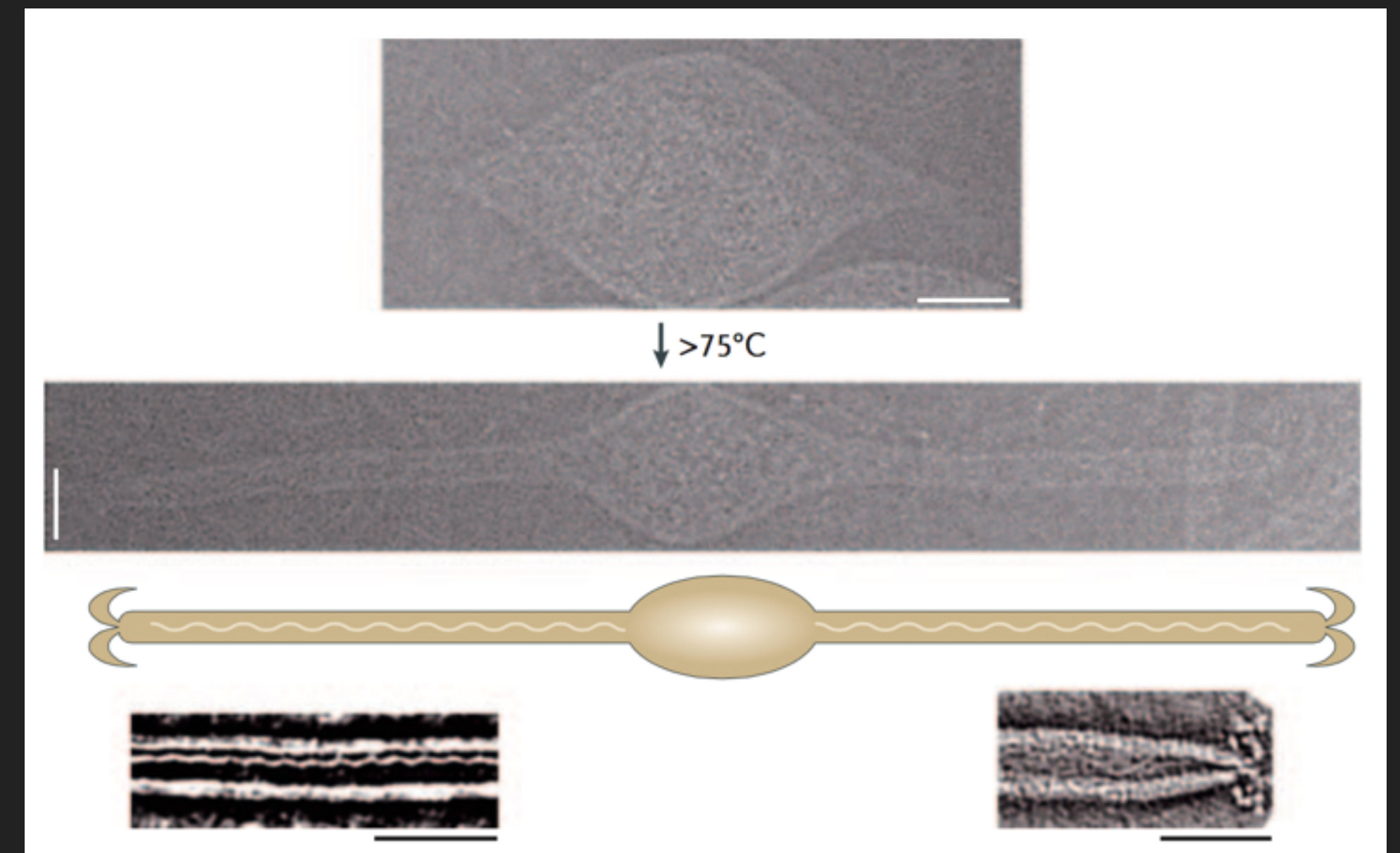
(Briggs, 2011)



# ARCHAEAL VIRUSES



(Xu, 2012)

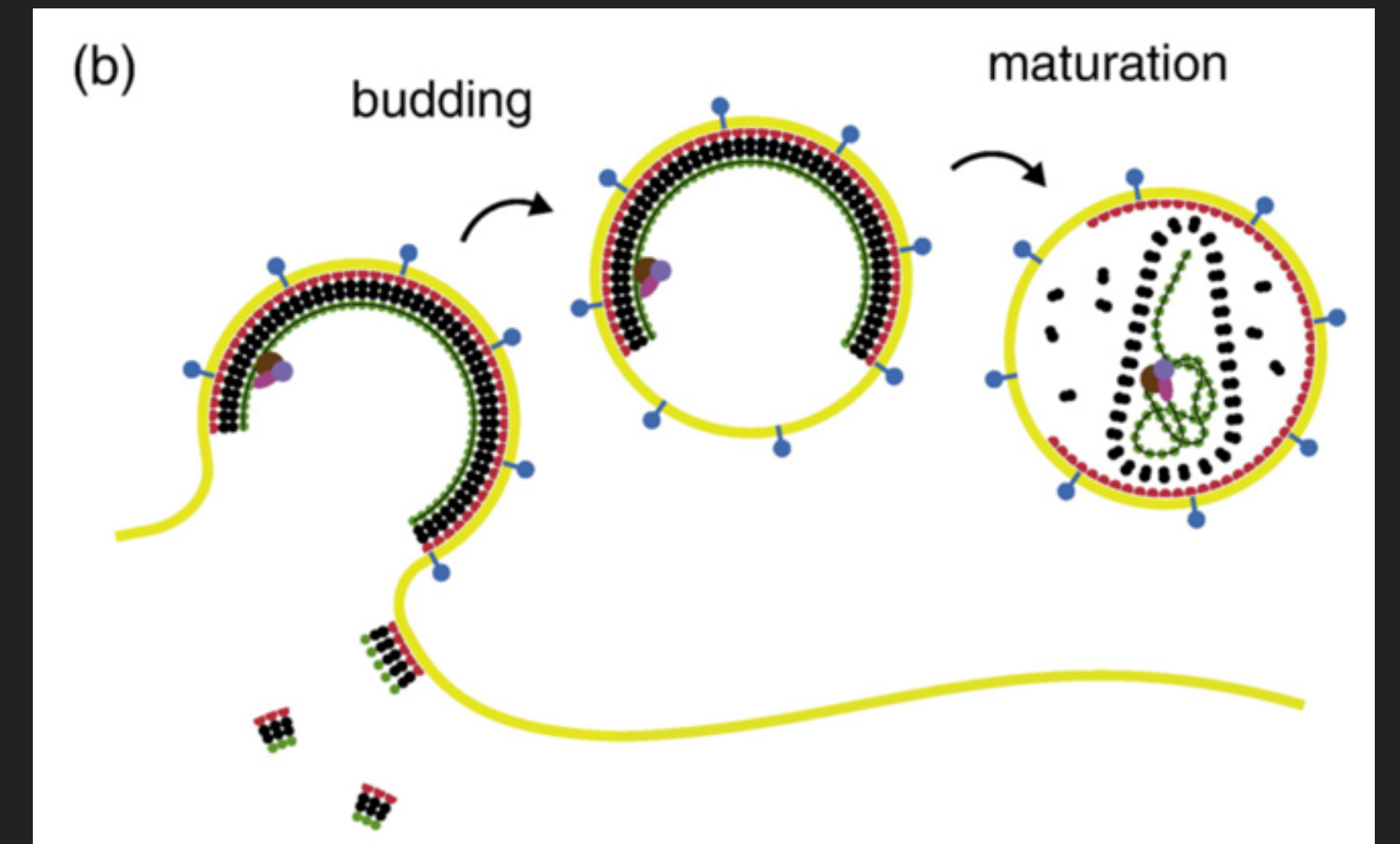
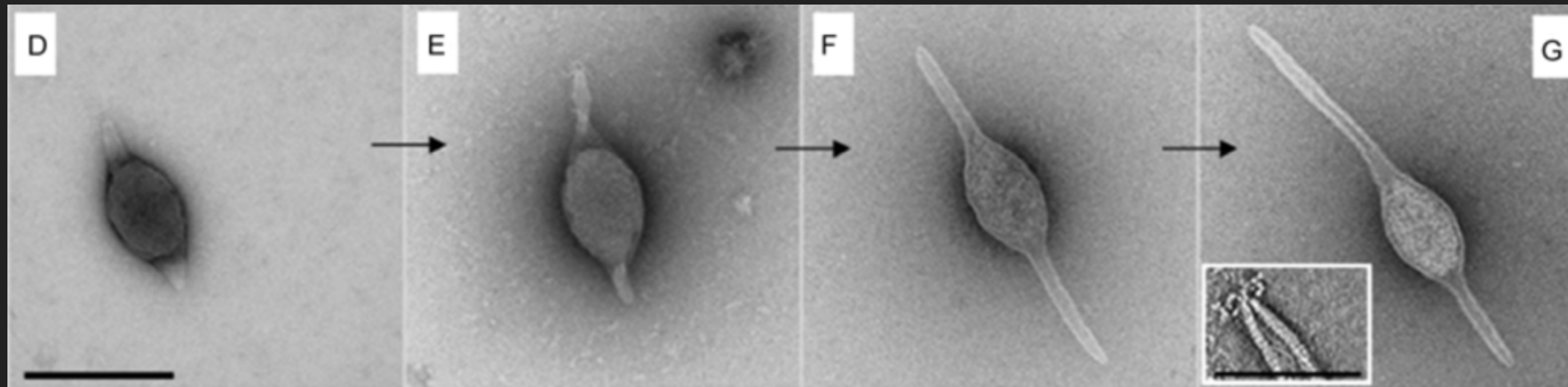
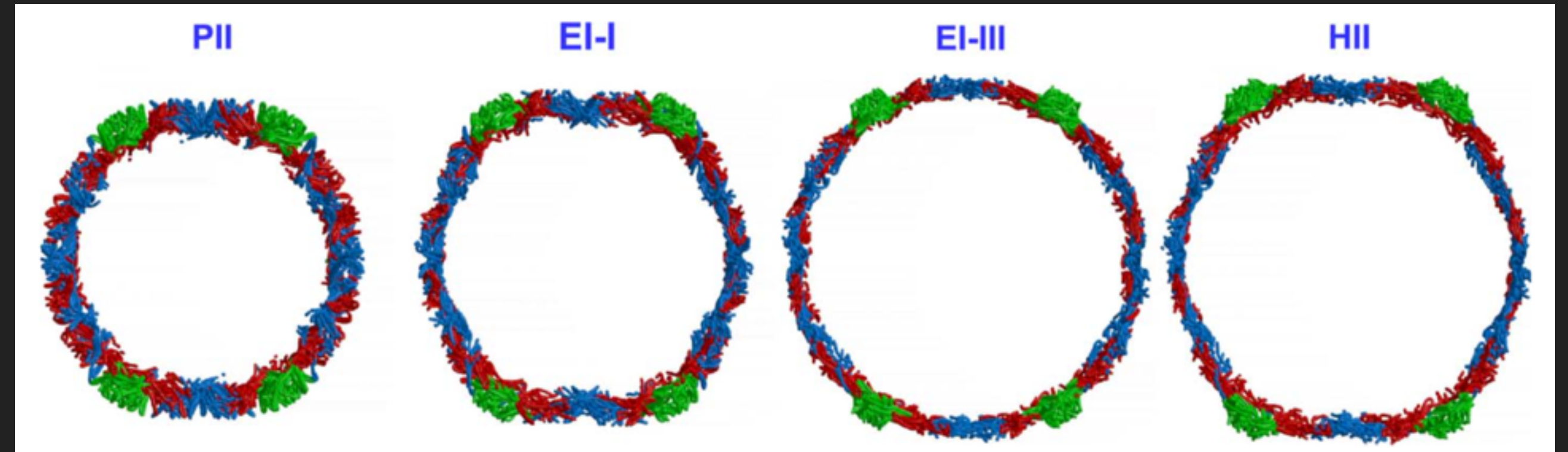


(Prangishvili, 2006)



# THE PHYSICS OF VIRUS SHAPE

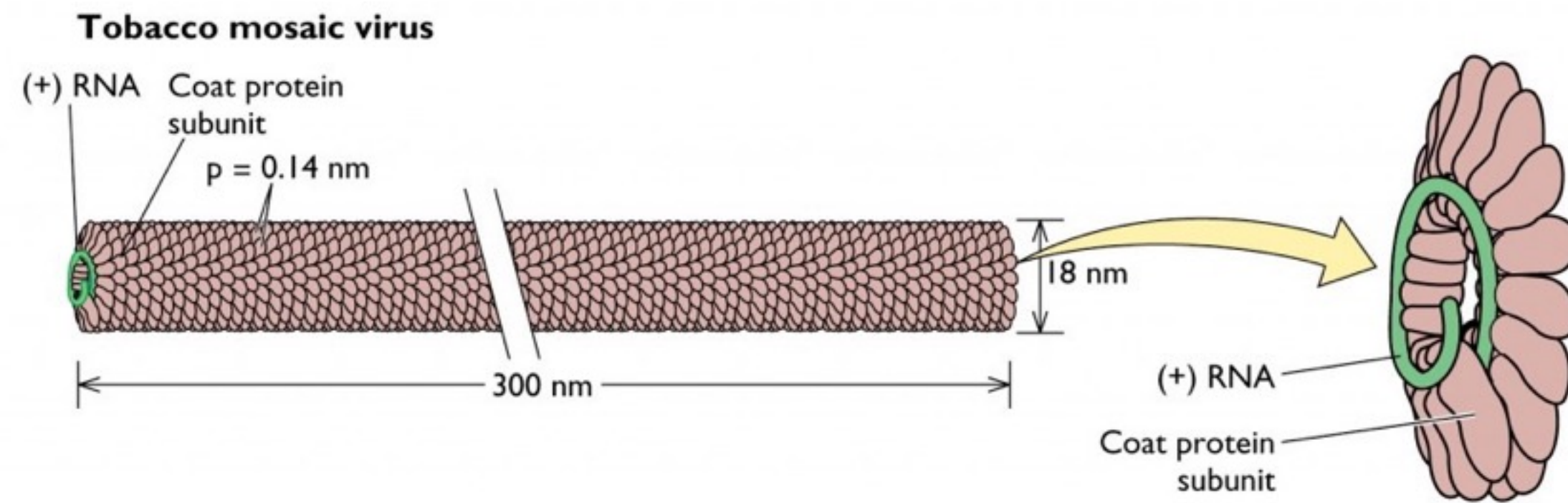
- ▶ What drives shape and structure?
  - ▶ Symmetric shells?
  - ▶ Pleomorphic shells?
  
- ▶ Why and how does shape change?





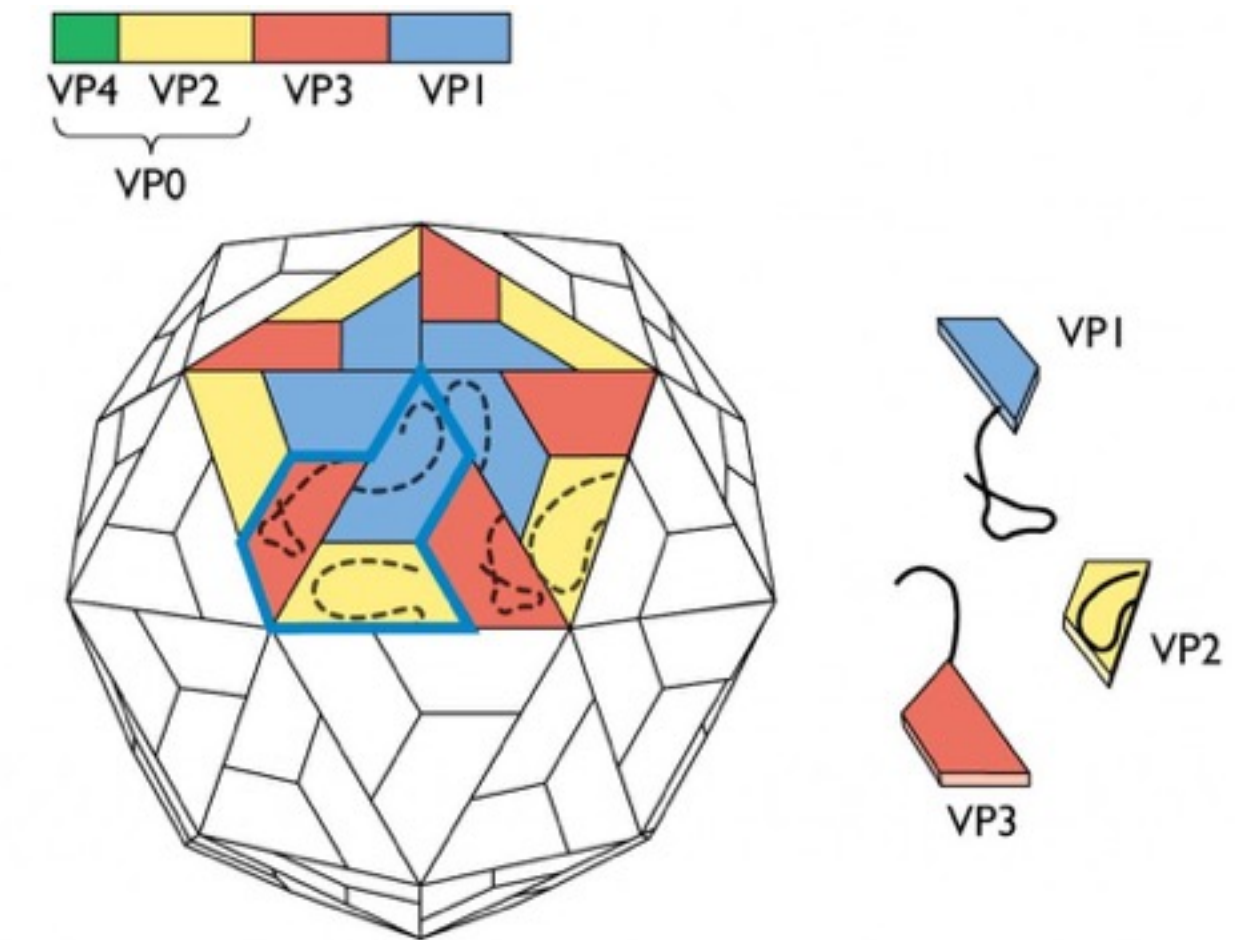
# GEOMETRY AND STRUCTURE

## Helical Symmetry



Proteins are in EQUIVALENT positions.

## Icosahedral Symmetry



Proteins are in QUASI-EQUIVALENT positions.



# Physical Principles in the Construction of Regular Viruses

D. L. D. CASPAR AND A. KLUG\*

*The Children's Cancer Research Foundation, The Children's Hospital Medical Center,  
and the*

*Department of Biophysics, Harvard Medical School, Boston, Massachusetts;*

*\*Medical Research Council Laboratory of Molecular Biology, University Postgraduate Medical School, Cambridge, England.*



Caspar and Klug, Ventura, CA, Feb 2015



# Physical Principles in the Construction of Regular Viruses

D. L. D. CASPAR AND A. KLUG\*

*The Children's Cancer Research Foundation, The Children's Hospital Medical Center,  
and the*

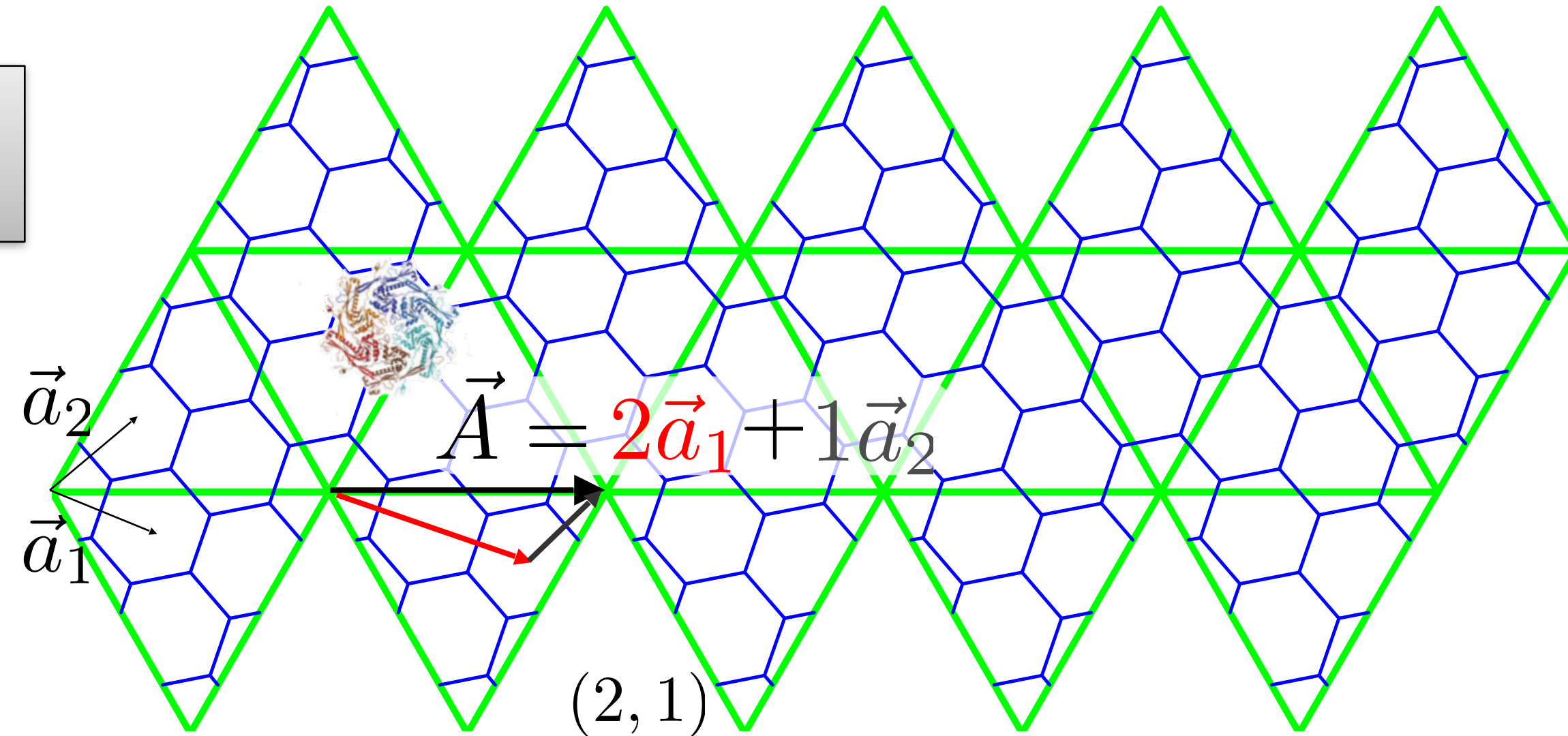
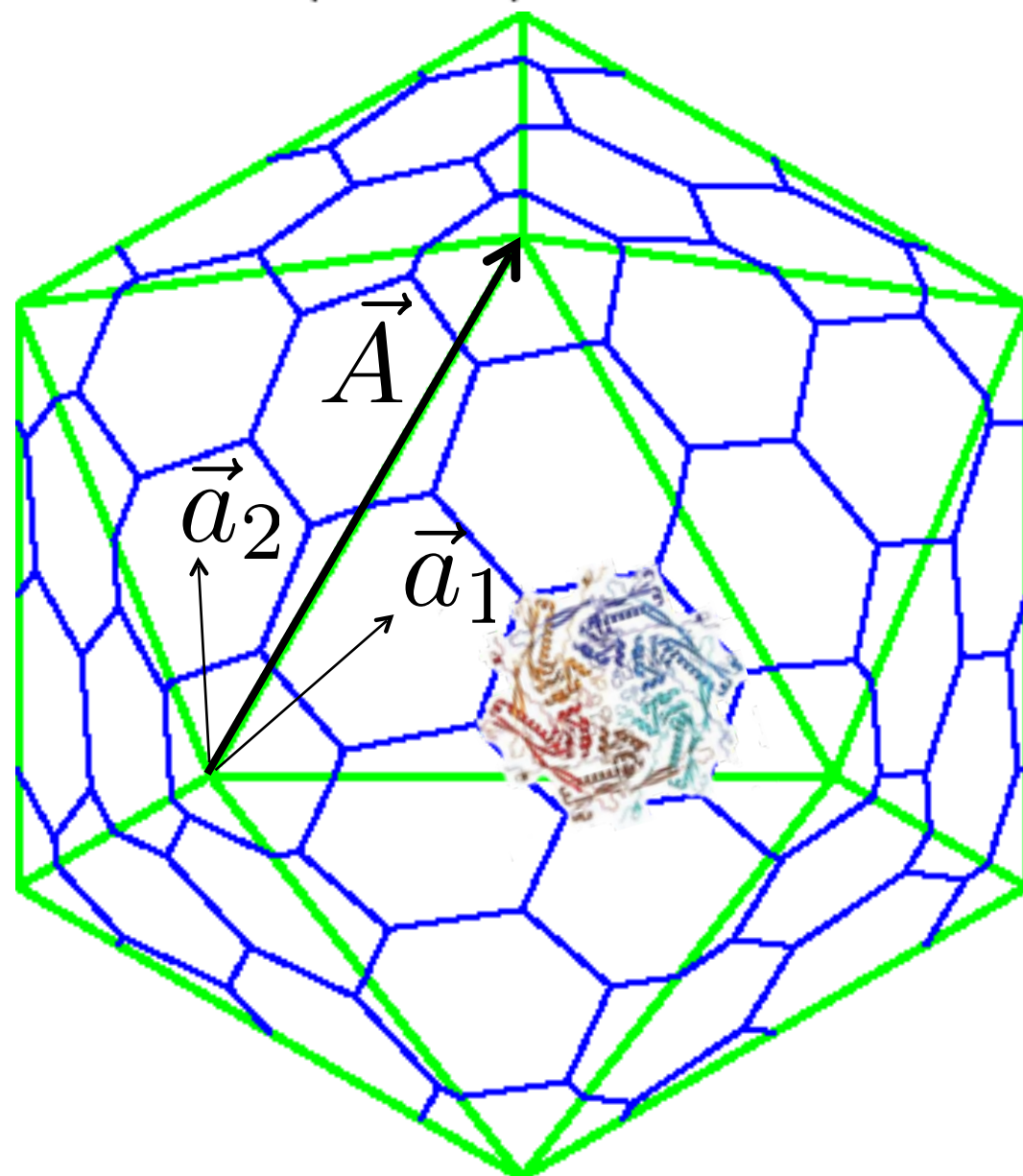
*Department of Biophysics, Harvard Medical School, Boston, Massachusetts;*

*\*Medical Research Council Laboratory of Molecular Biology, University Postgraduate Medical School, Cambridge, England.*

**Hexagonal lattice wrapped  
onto an icosahedron**

$$\vec{A} = h\vec{a}_1 + k\vec{a}_2$$

$(h, k)$  integers



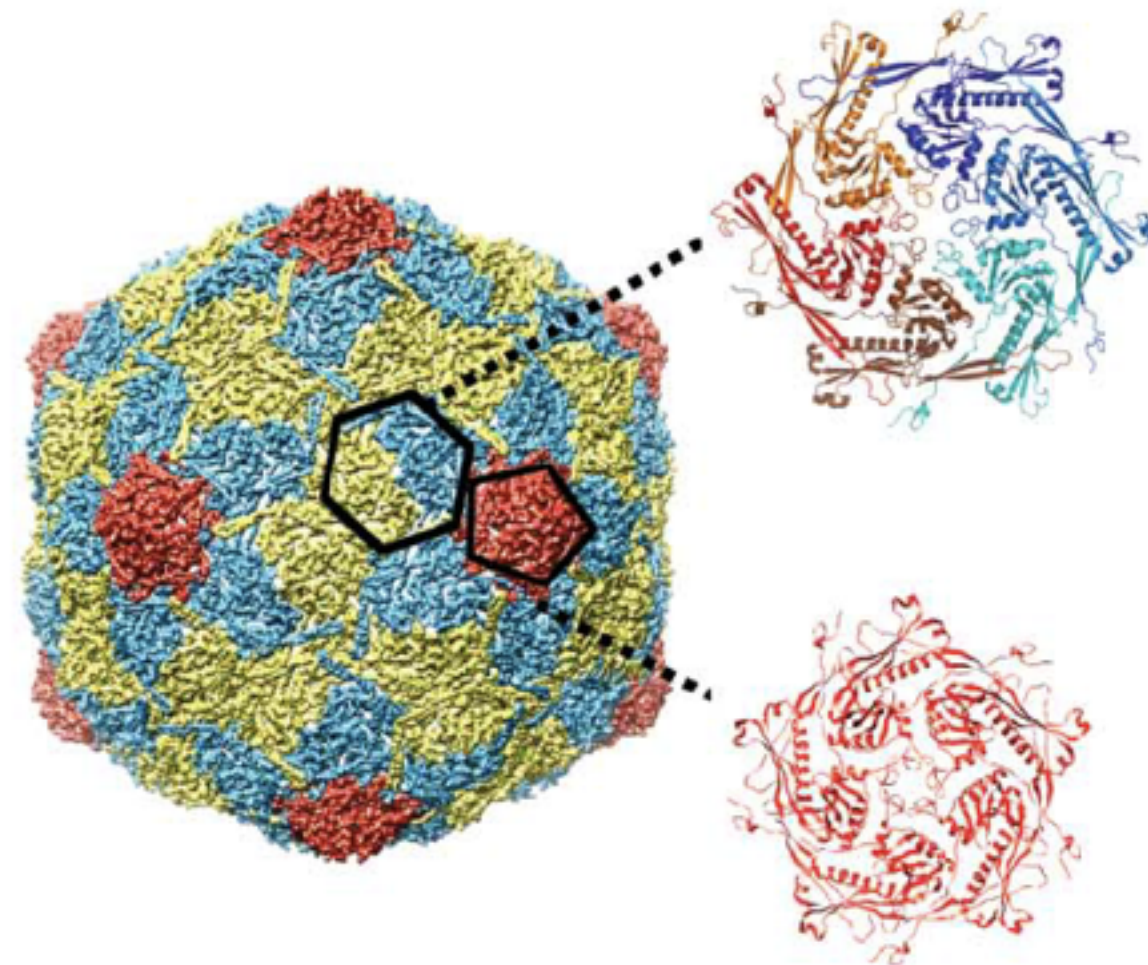
$(2, 1)$

$$T = 7(l)$$

$$T = h^2 + hk + k^2$$

$$12 \times 5 + 60(T - 1)$$

$$= 60T \text{ protein subunits per shell}$$



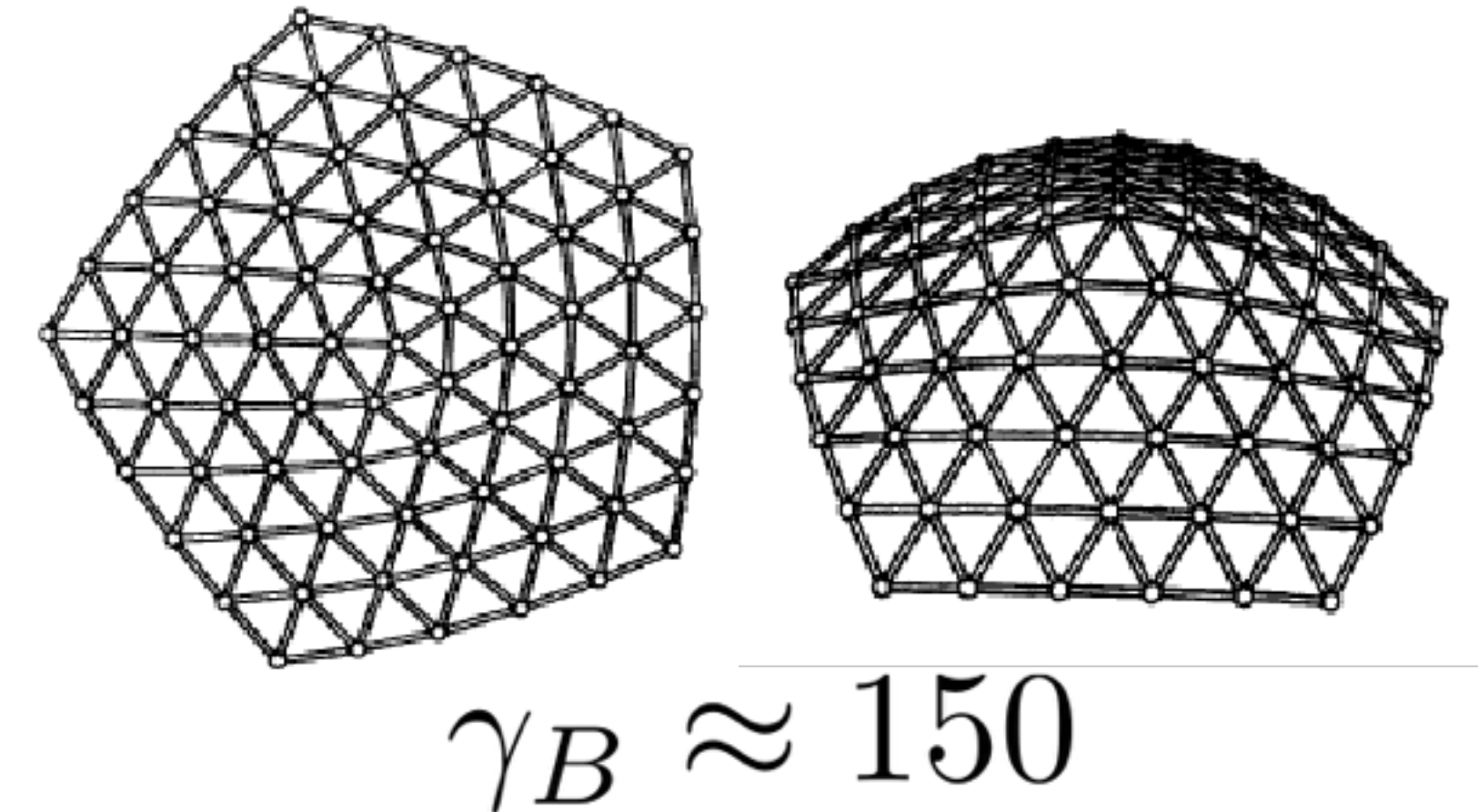


# THE FÖPPL-VON KÁRMÁN NUMBER $\gamma = \frac{Y R^2}{\kappa}$

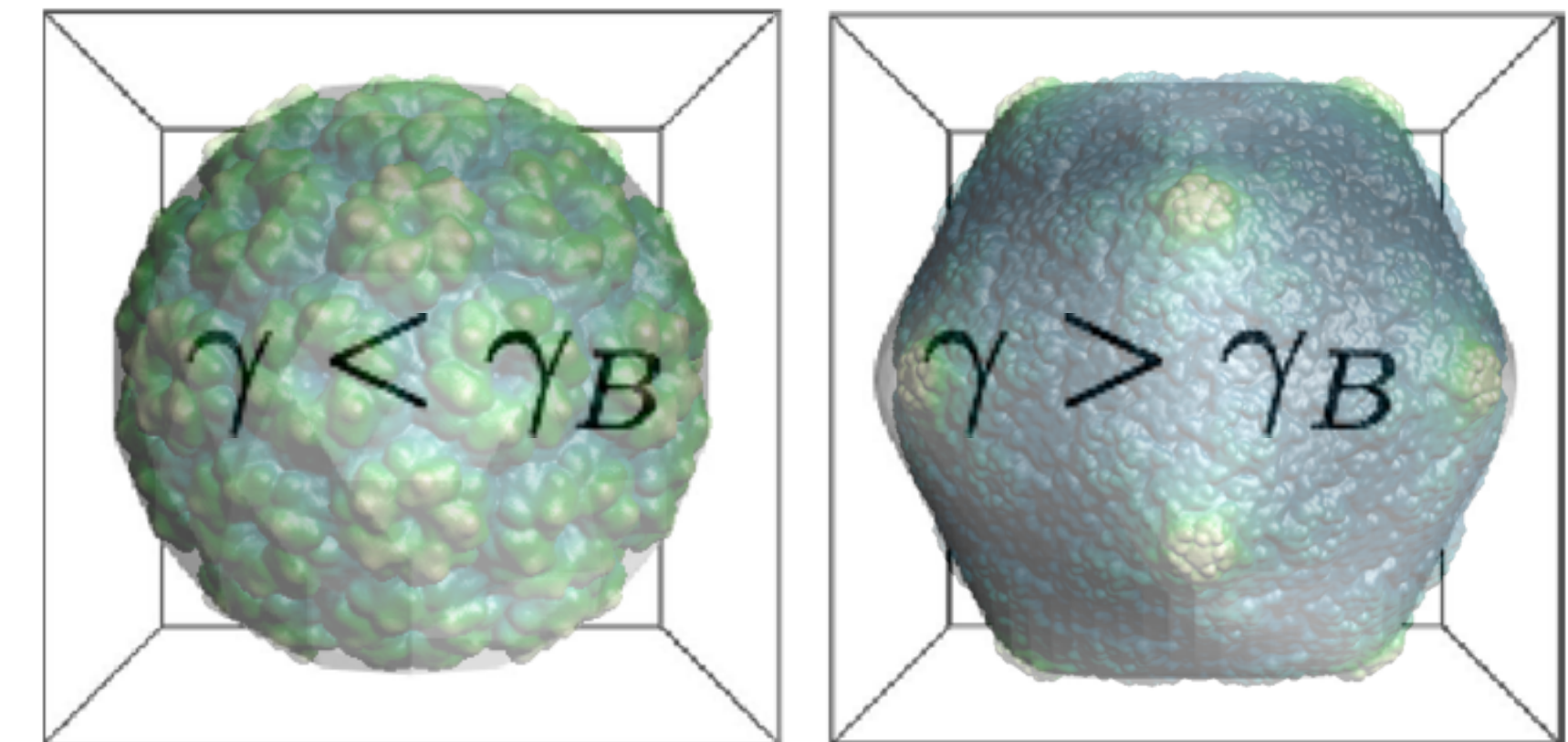
- ▶ Elastic energy of a thin shell

$$\mathcal{H} = \int \left( \underbrace{\frac{\kappa}{2} H^2 + \kappa_G K}_{\text{bending}} + \underbrace{\frac{\lambda}{2} E_{ii}^2 + \mu E_{ij} E_{ij}}_{\text{stretching}} \right) dA$$

- ▶ Pentamers are 5-fold Disclinations
- ▶ Capsid faceting (asphericity) results from *buckling*.



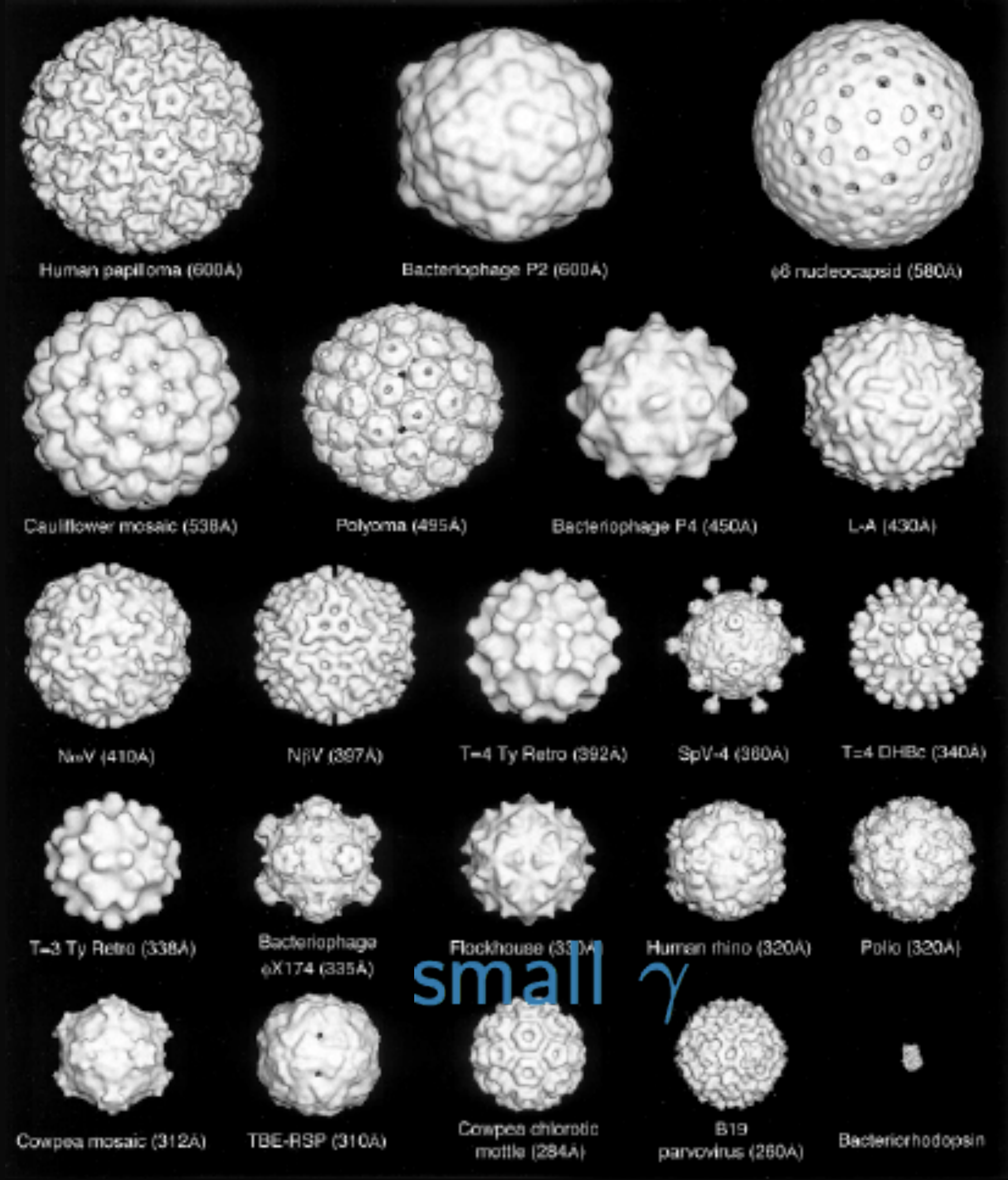
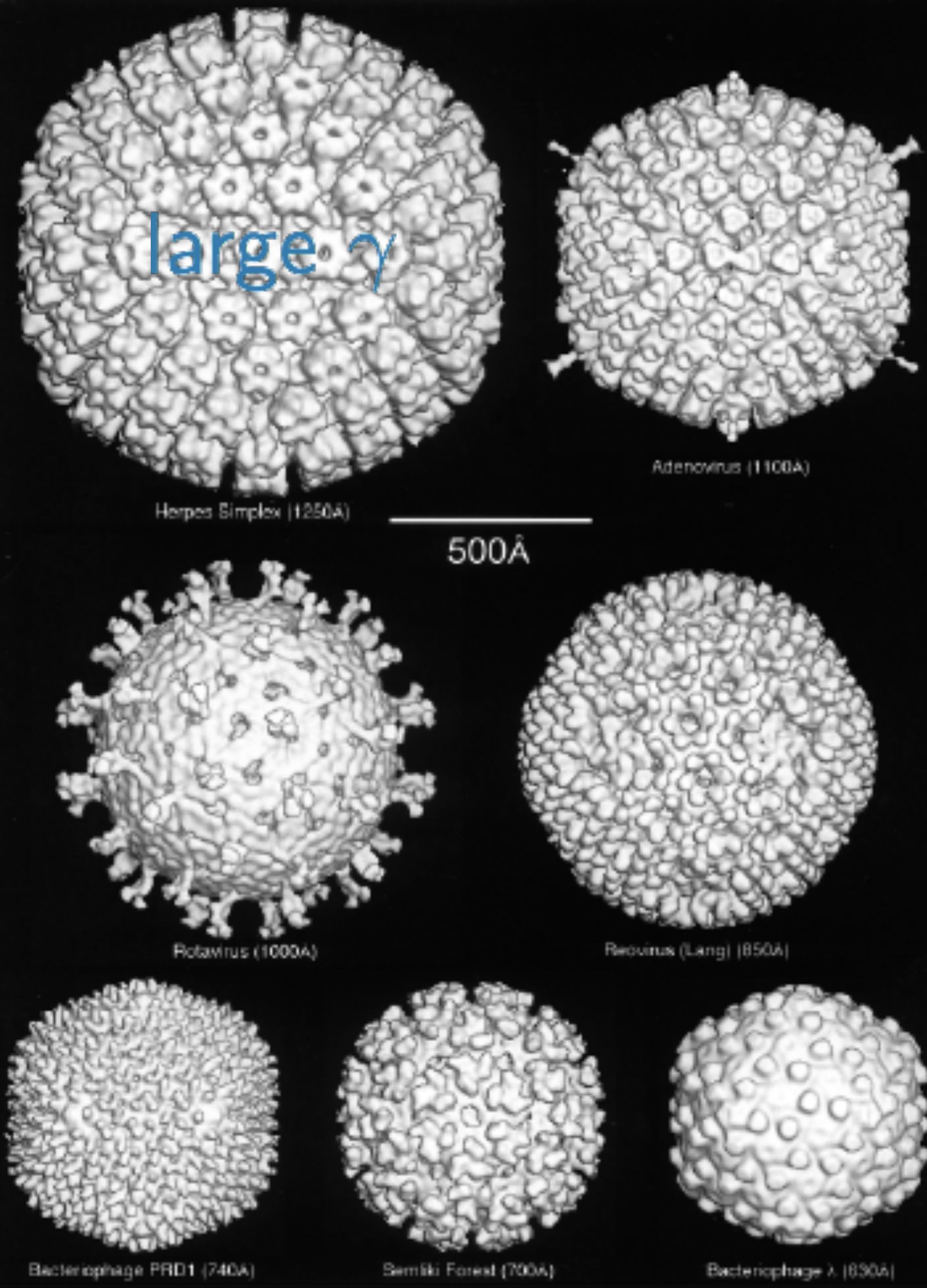
Seung & Nelson, Phys. Rev. A (1988)



Lidmar, Mirny, & Nelson, Phys. Rev. E (2003)



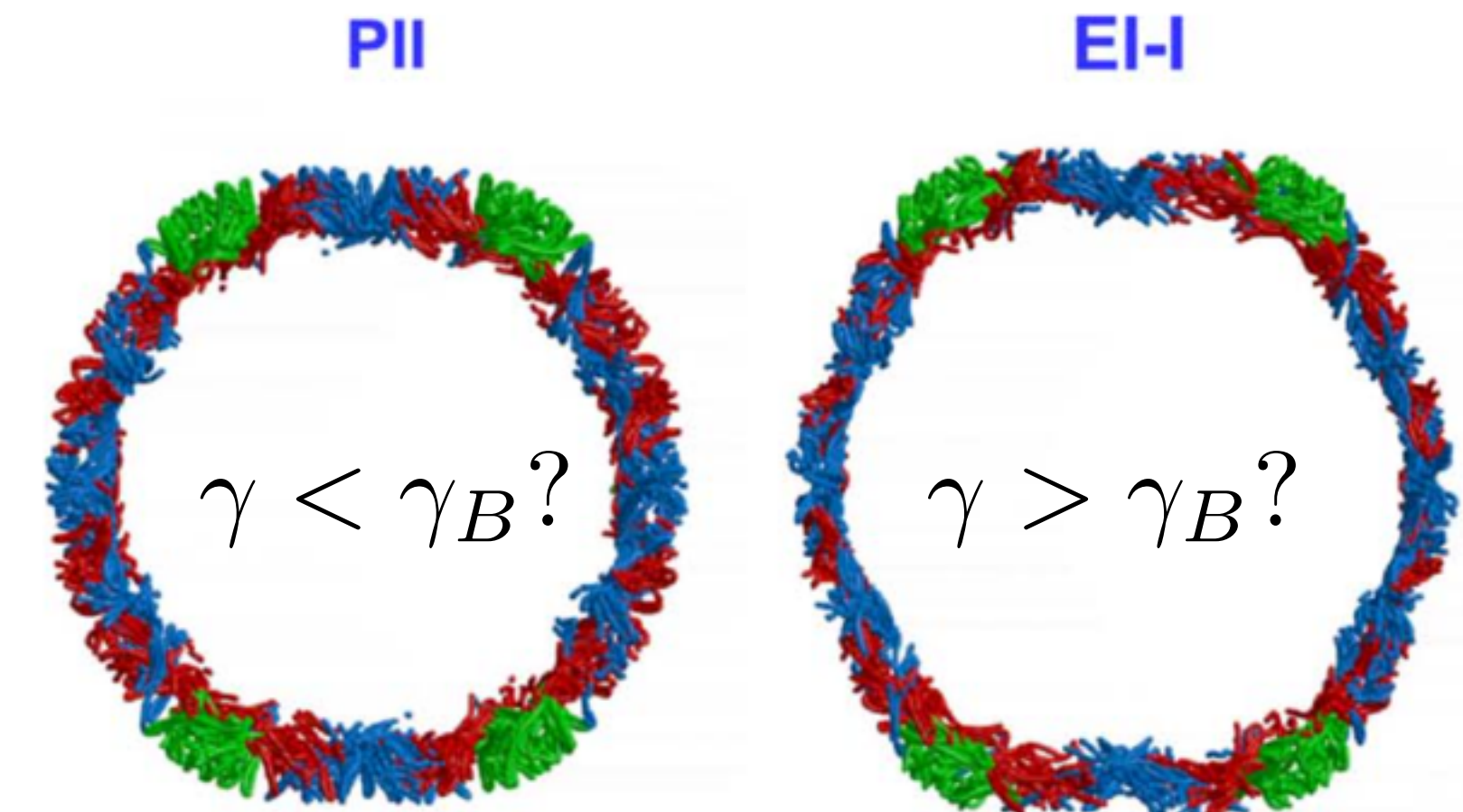
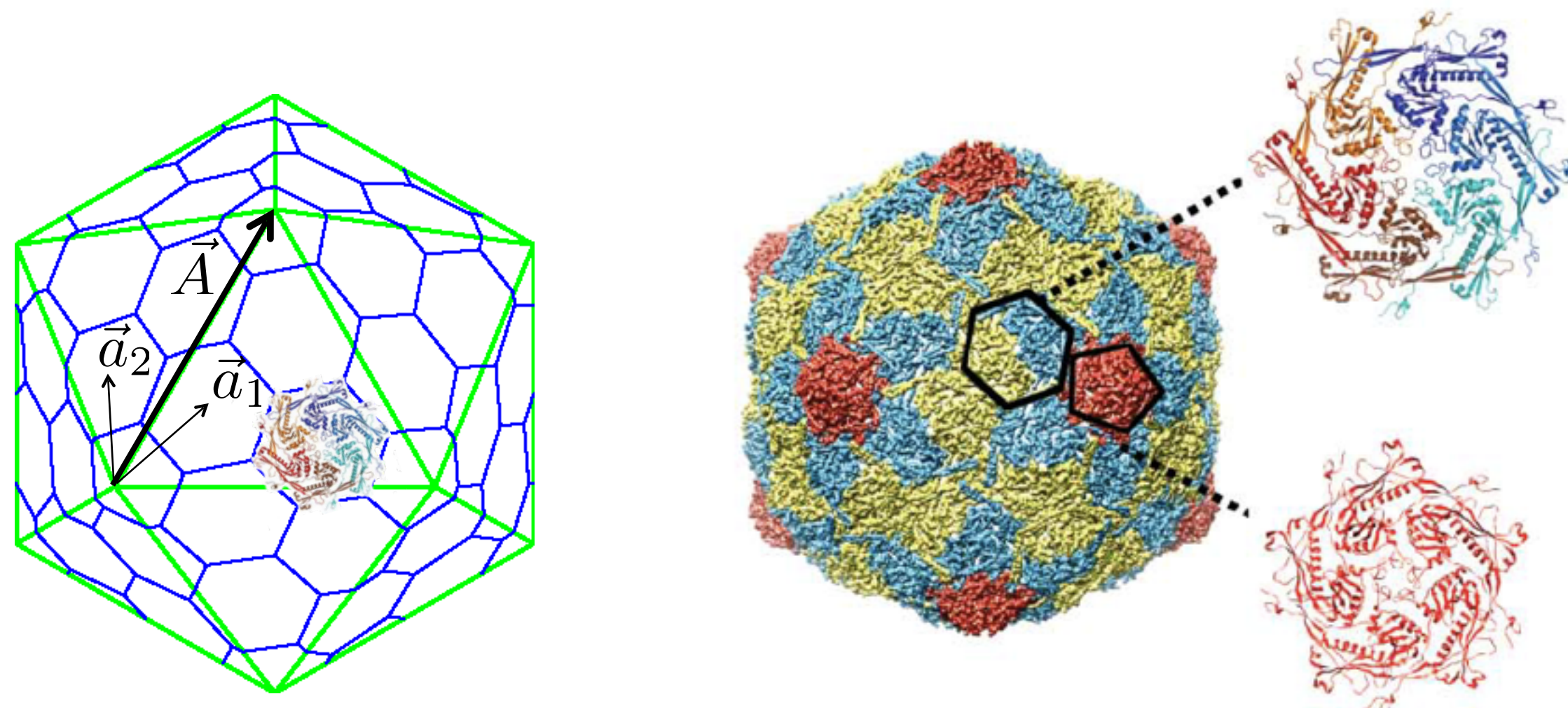
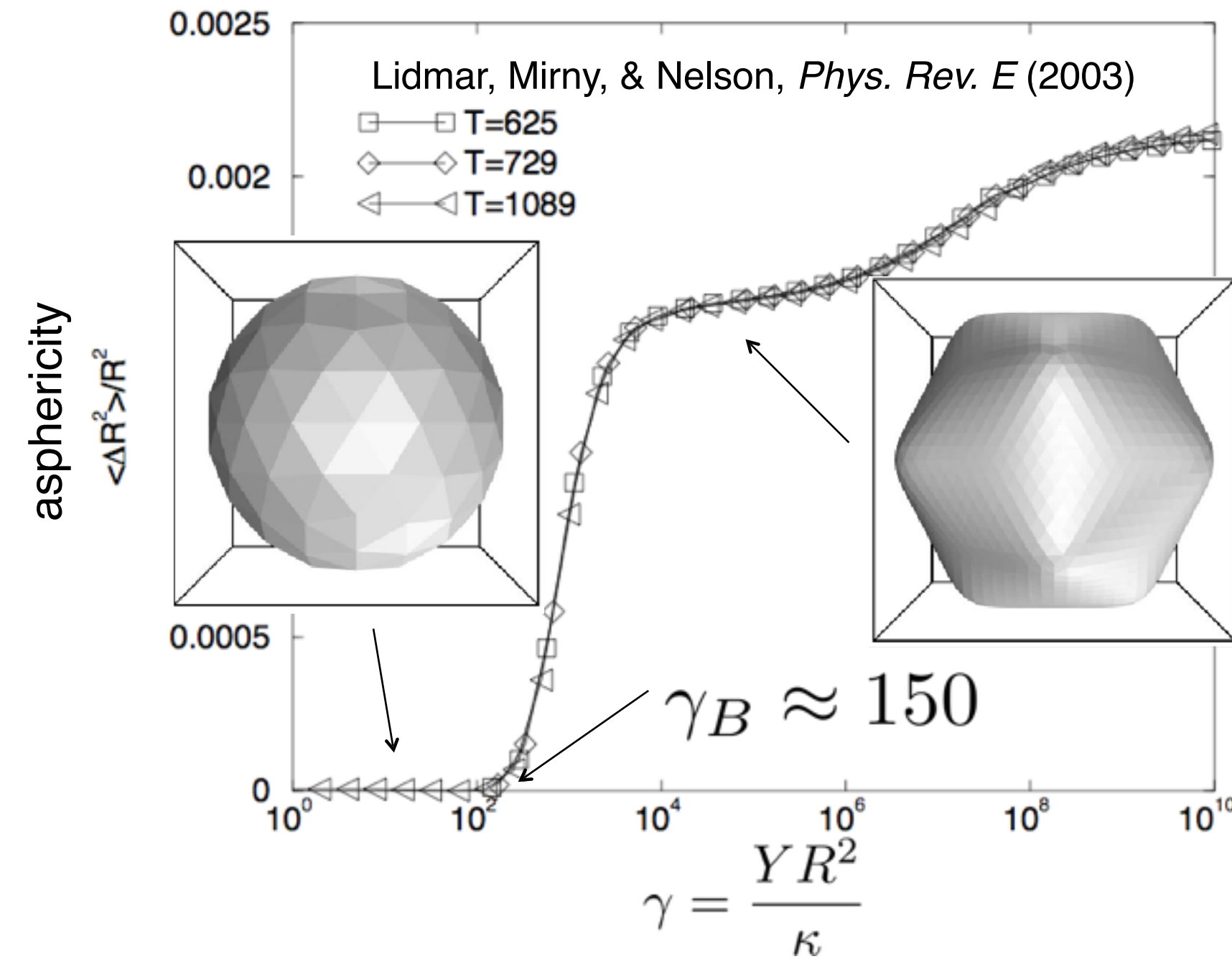
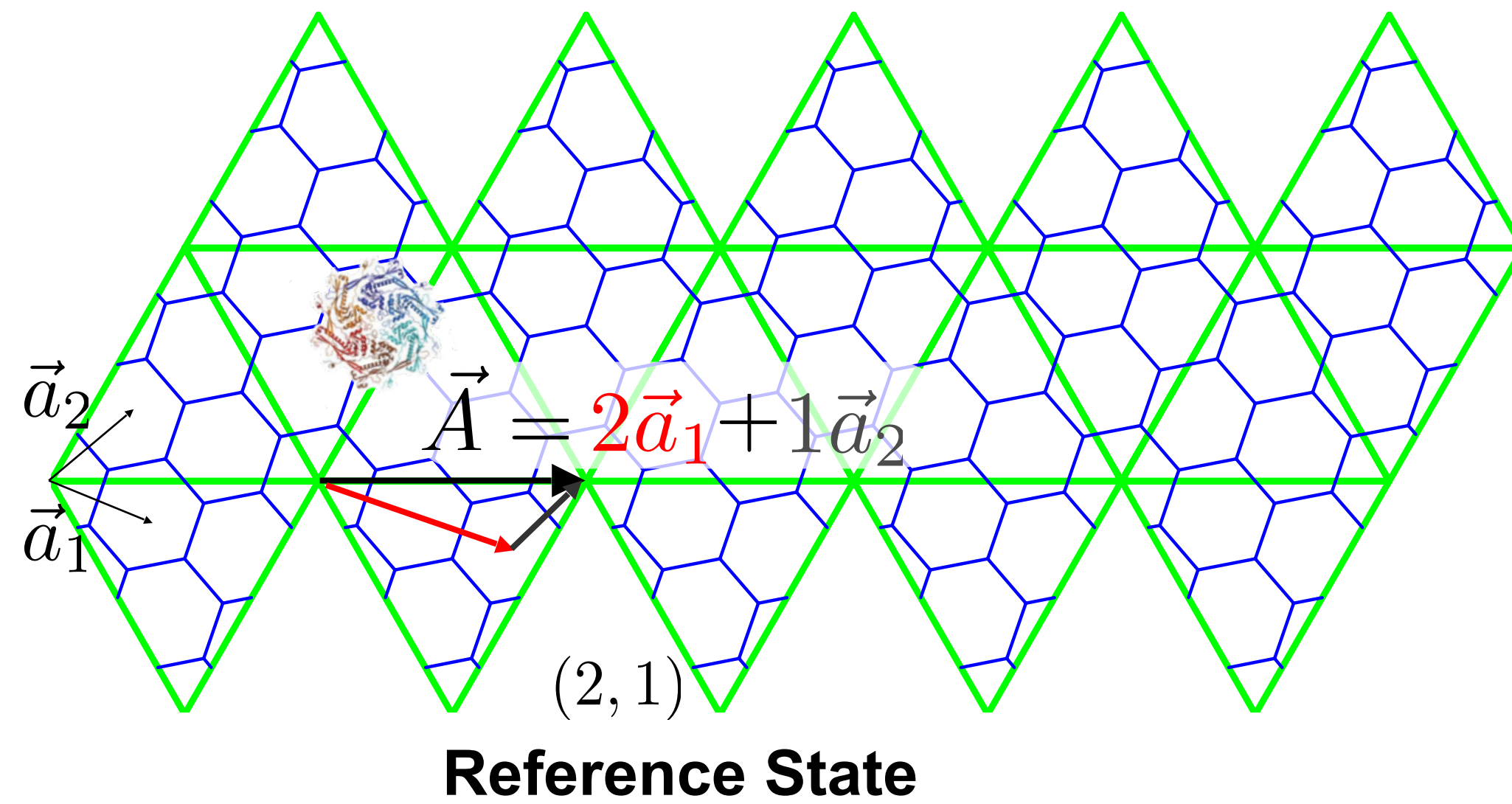
$$\gamma = \frac{YR^2}{\kappa}$$



Baker, et al. (2000)

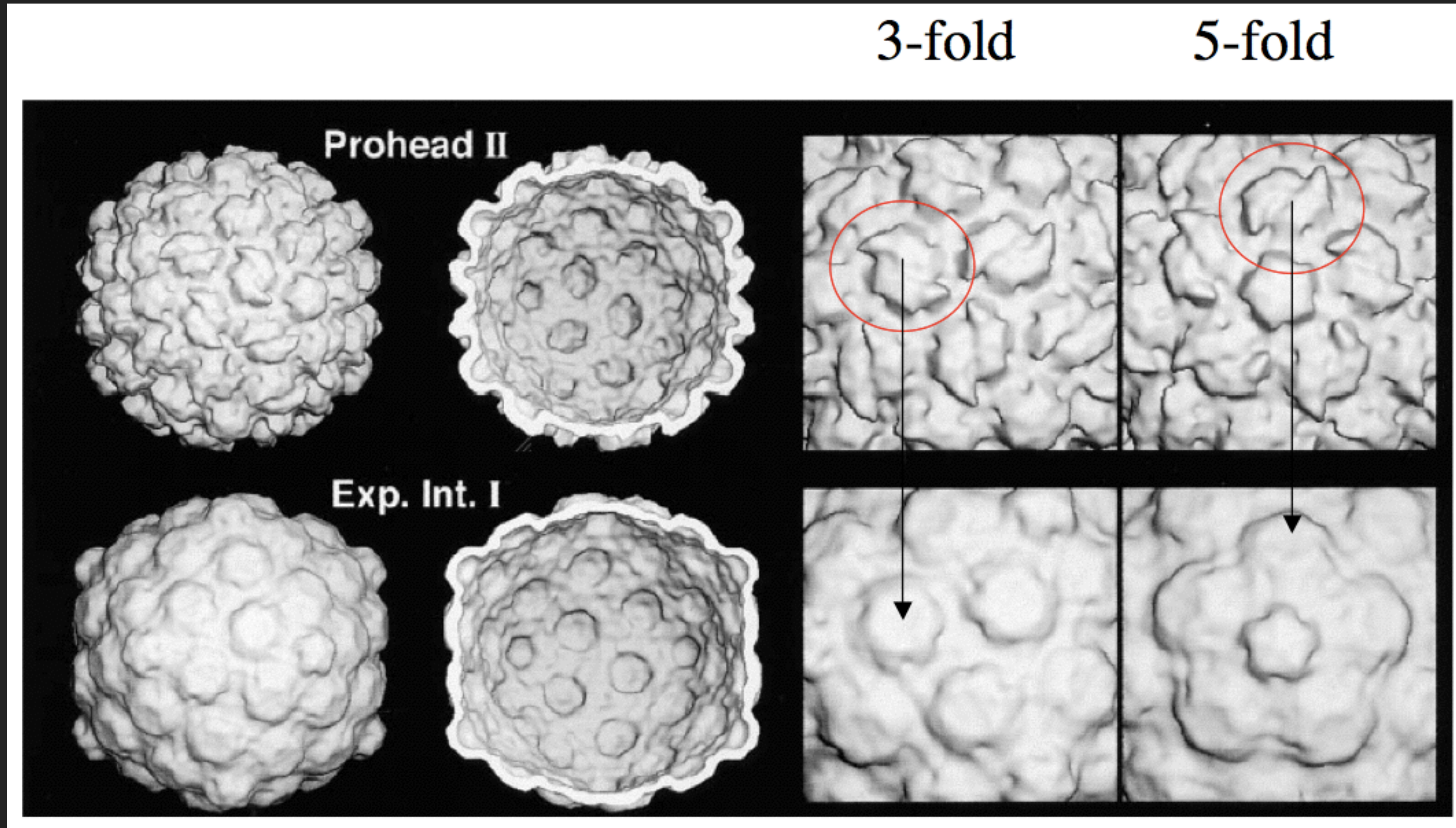


5-FOLD ELASTIC DEFECTS DRIVE A "BUCKLING TRANSITION"





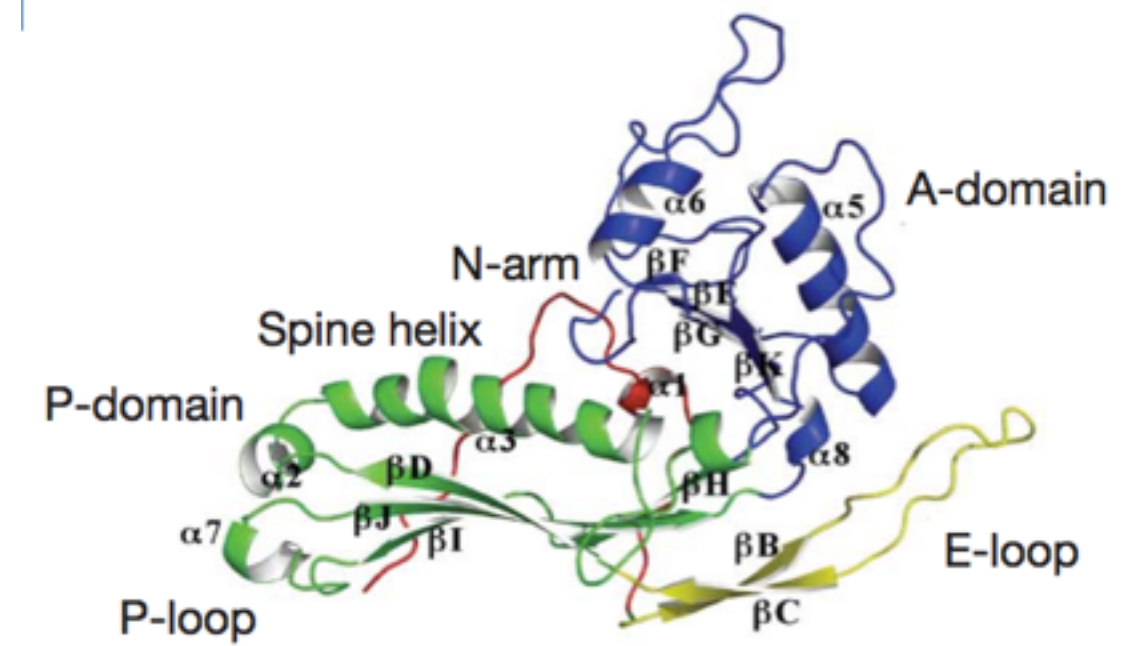
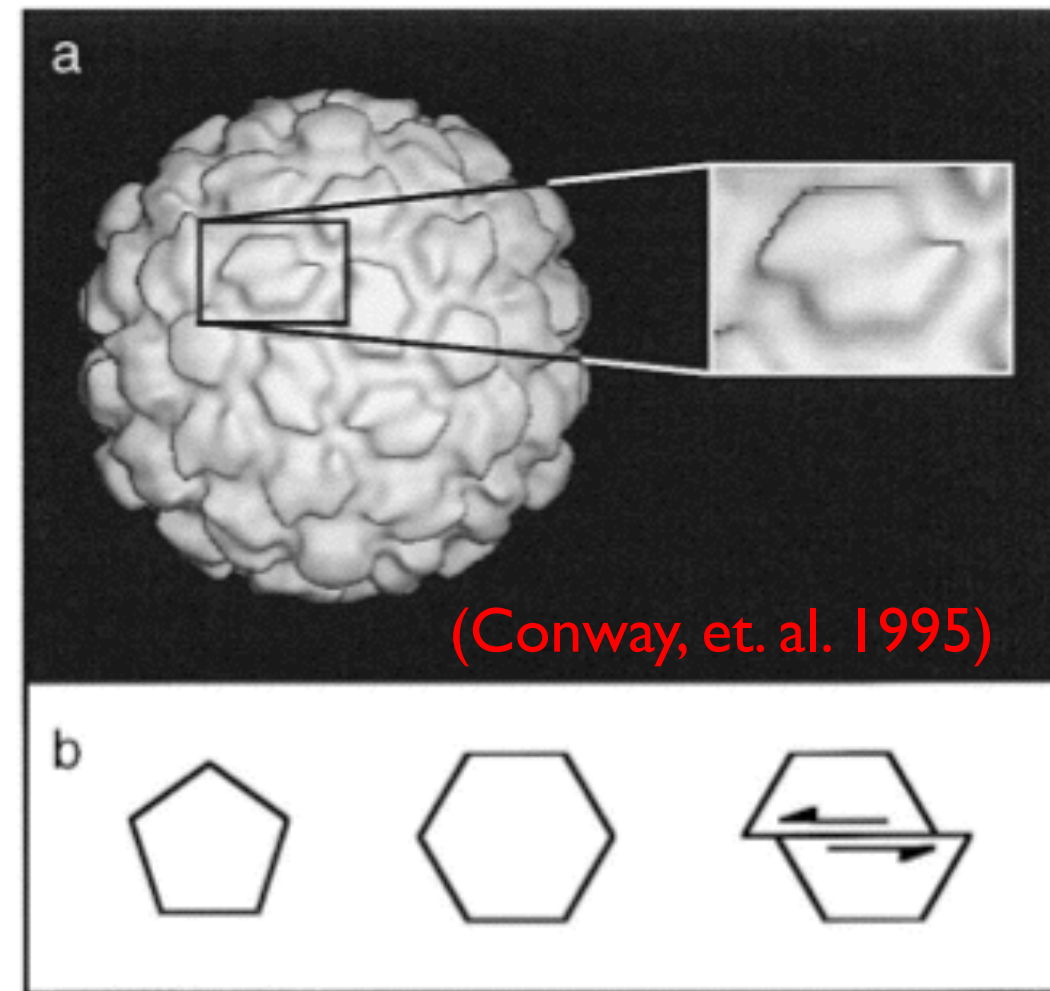
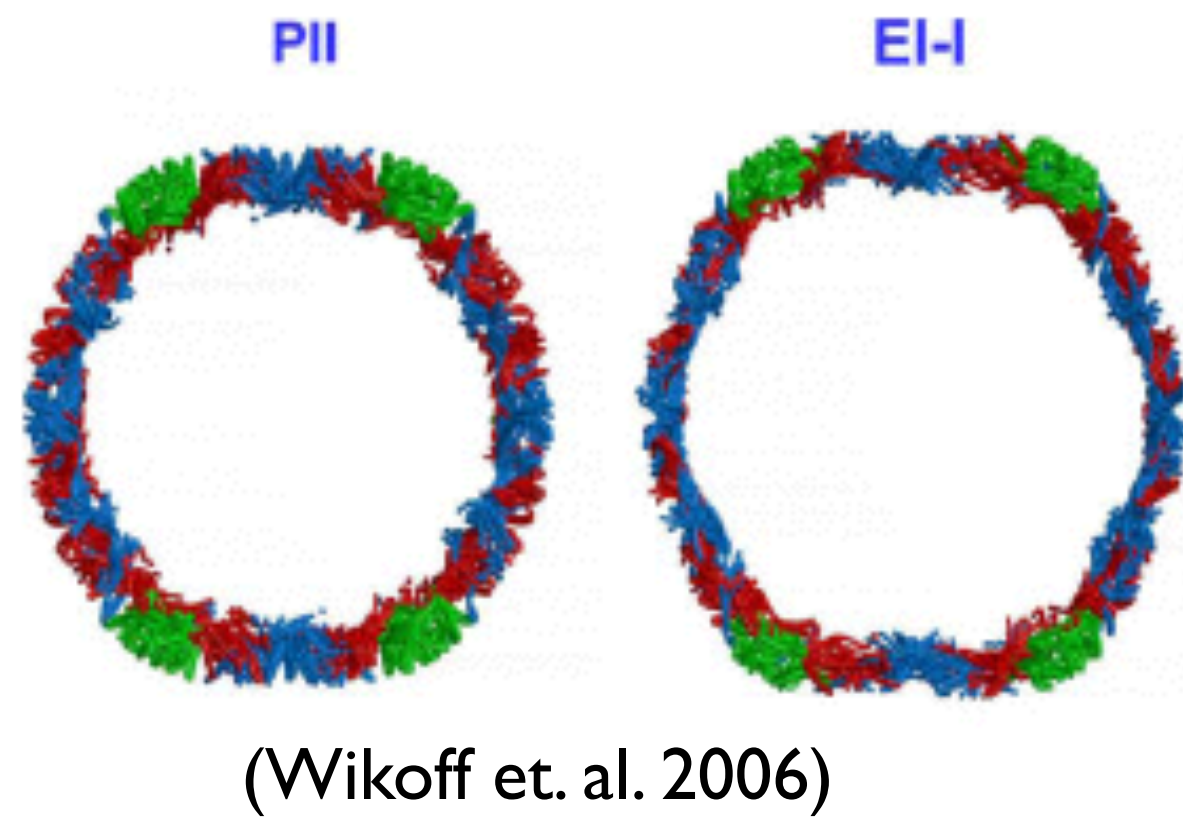
BUT WAIT....



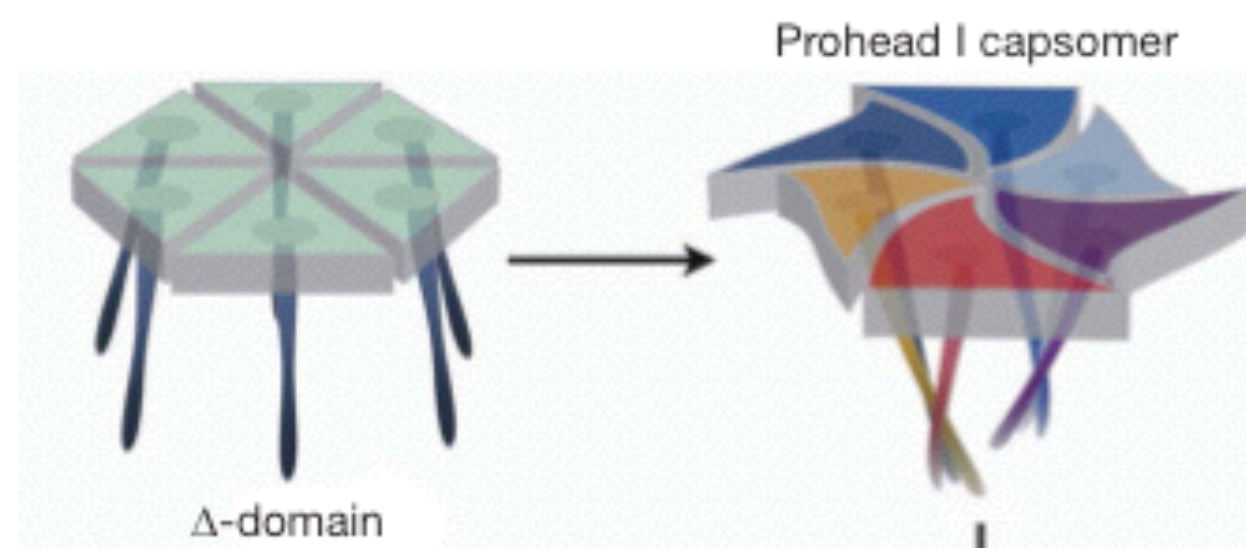
(Lata, et. al. 2000)

- *P-II to EI-I Displacement pattern does not agree with theory.*

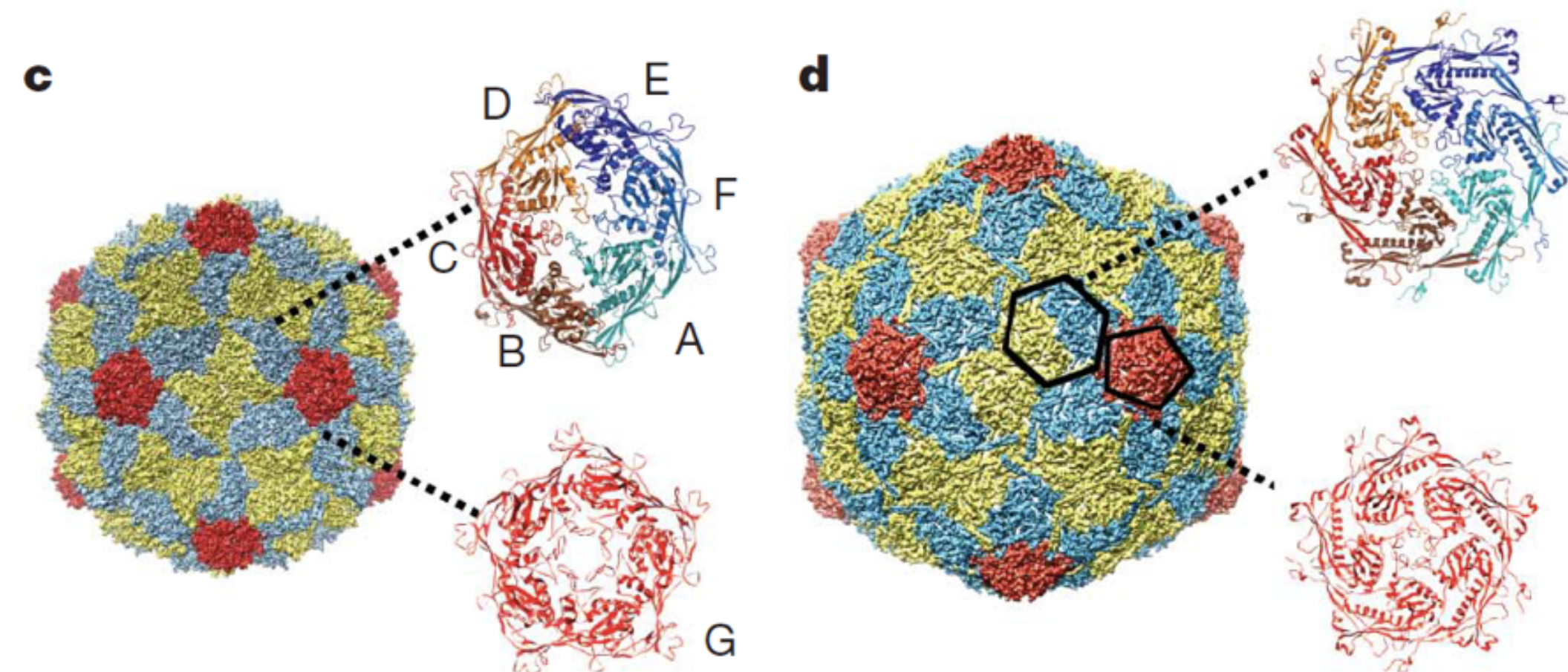




- Delta domains cleaved
- Skewed hexons become symmetric
- Energy released “like a coiled spring”



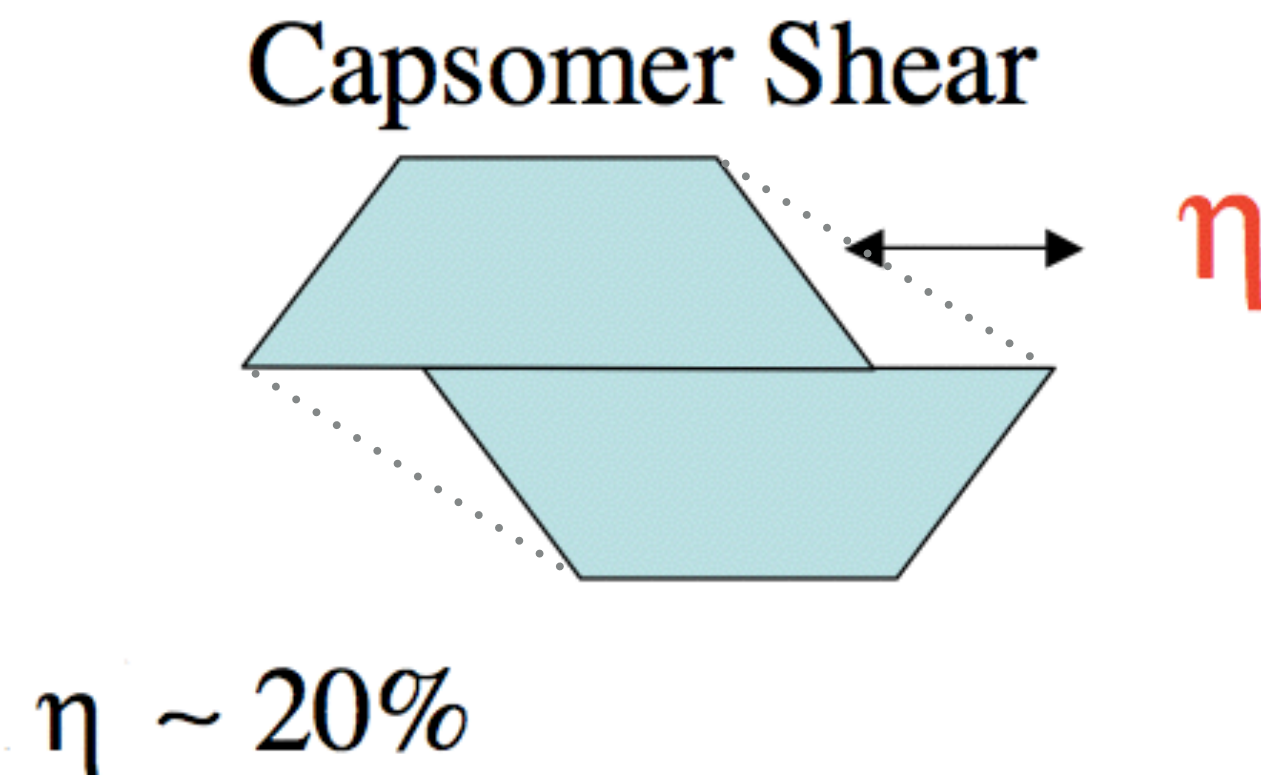
(Gertsman, et. al. 2009)



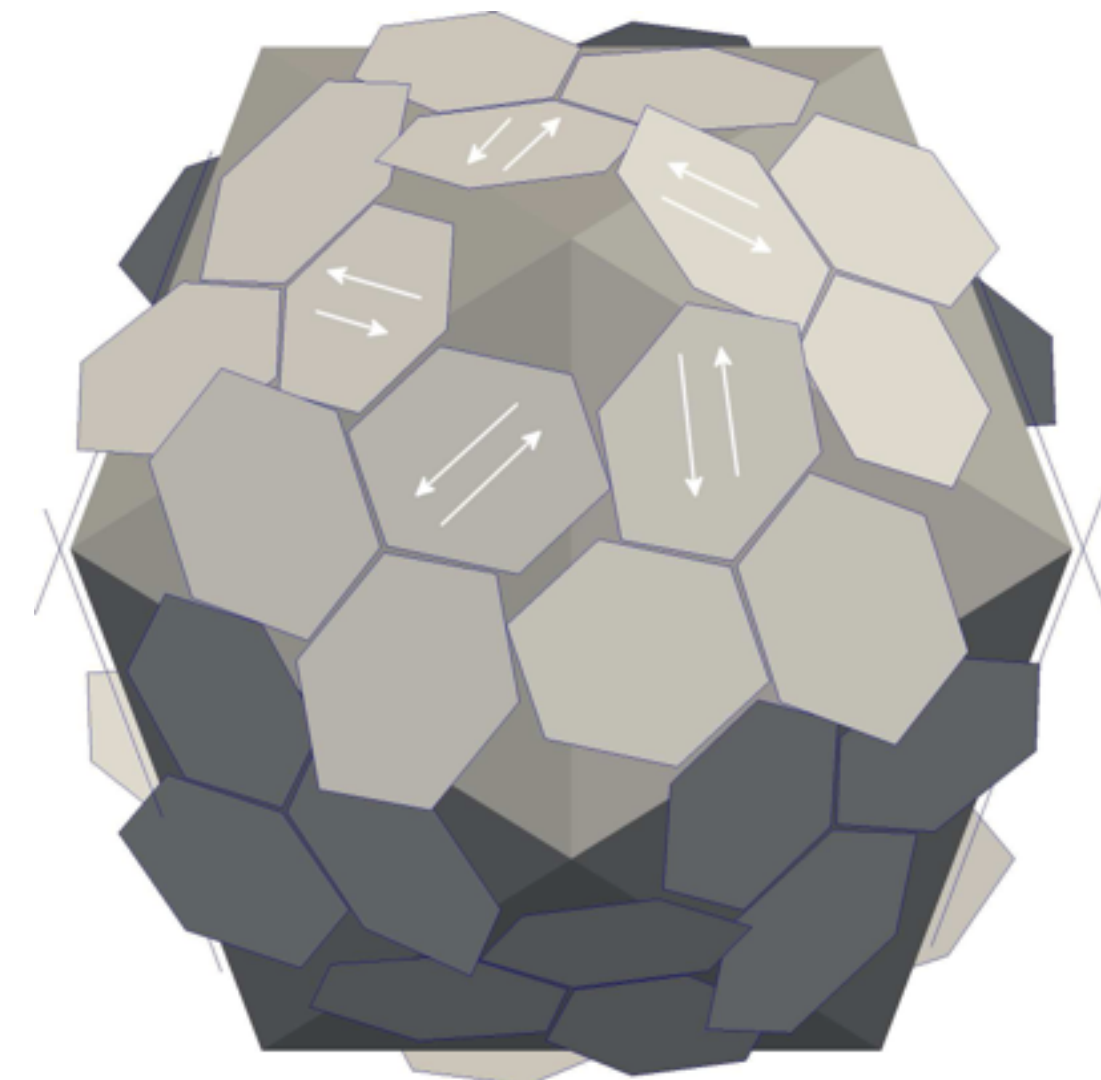


# THREE FUNDAMENTAL PROBLEMS

- II. **Small Strain Assumption.**  
 Conformational Shears are large.

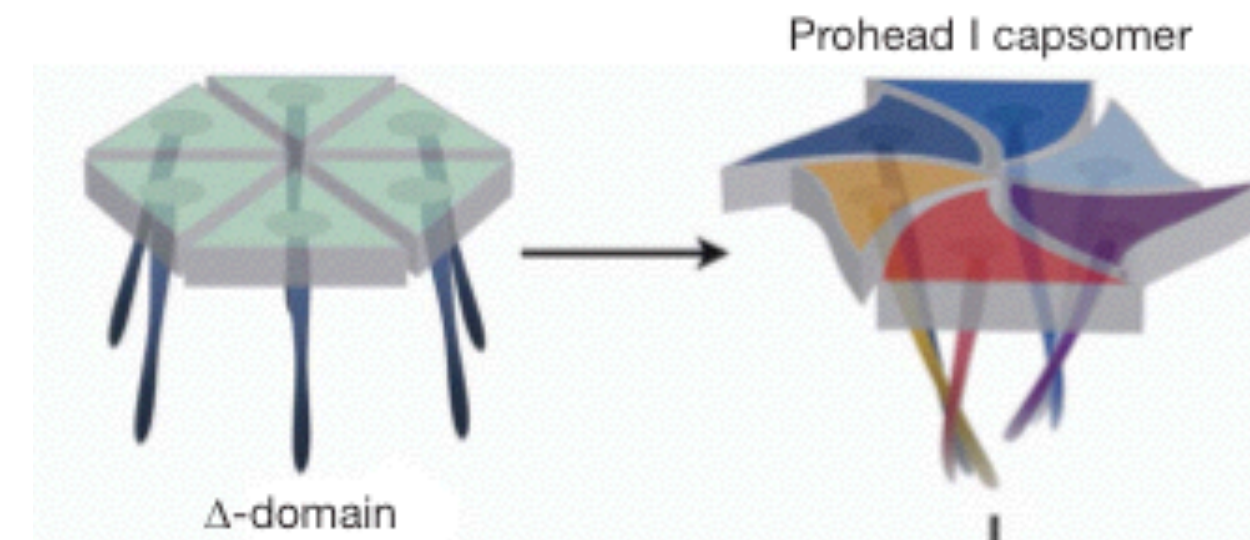


- III. **Shape Incompatibility**  
 Sheared capsomers do not fit on a CK shell.



- I. **Stored Internal Prestress.**

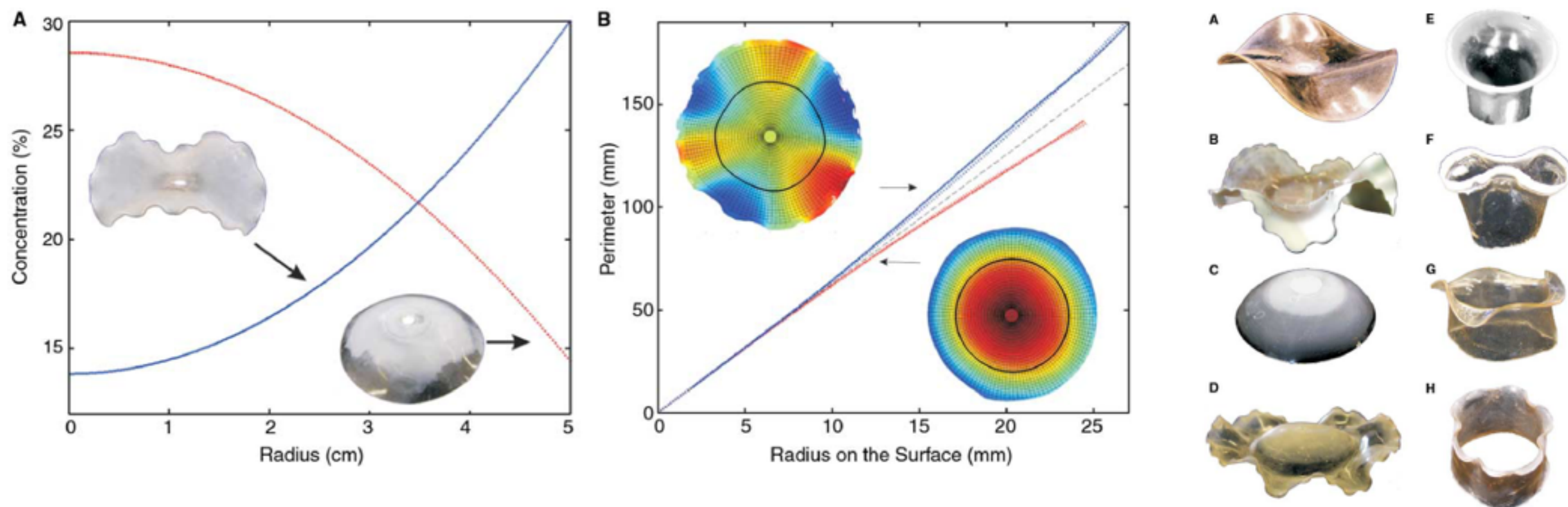
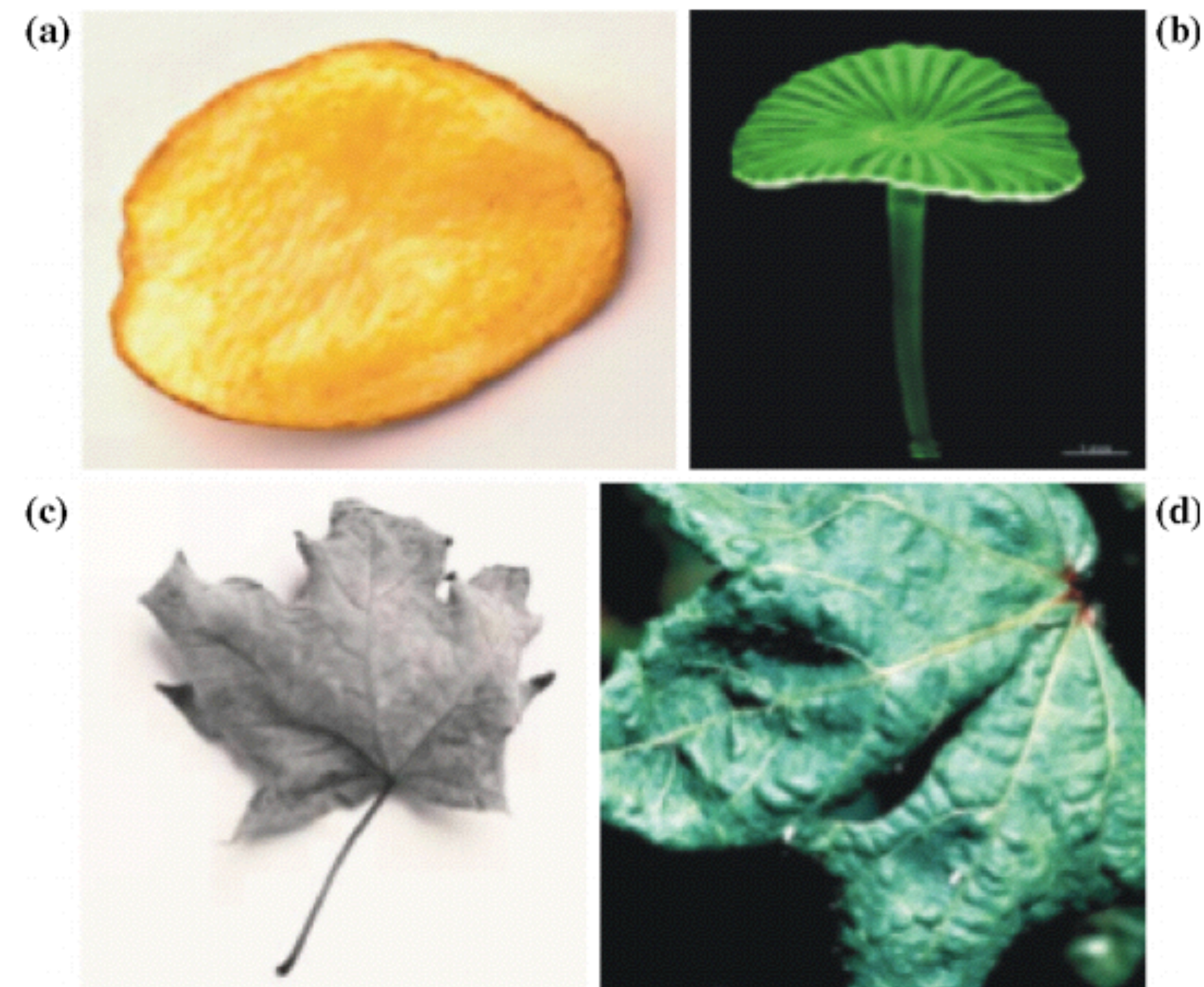
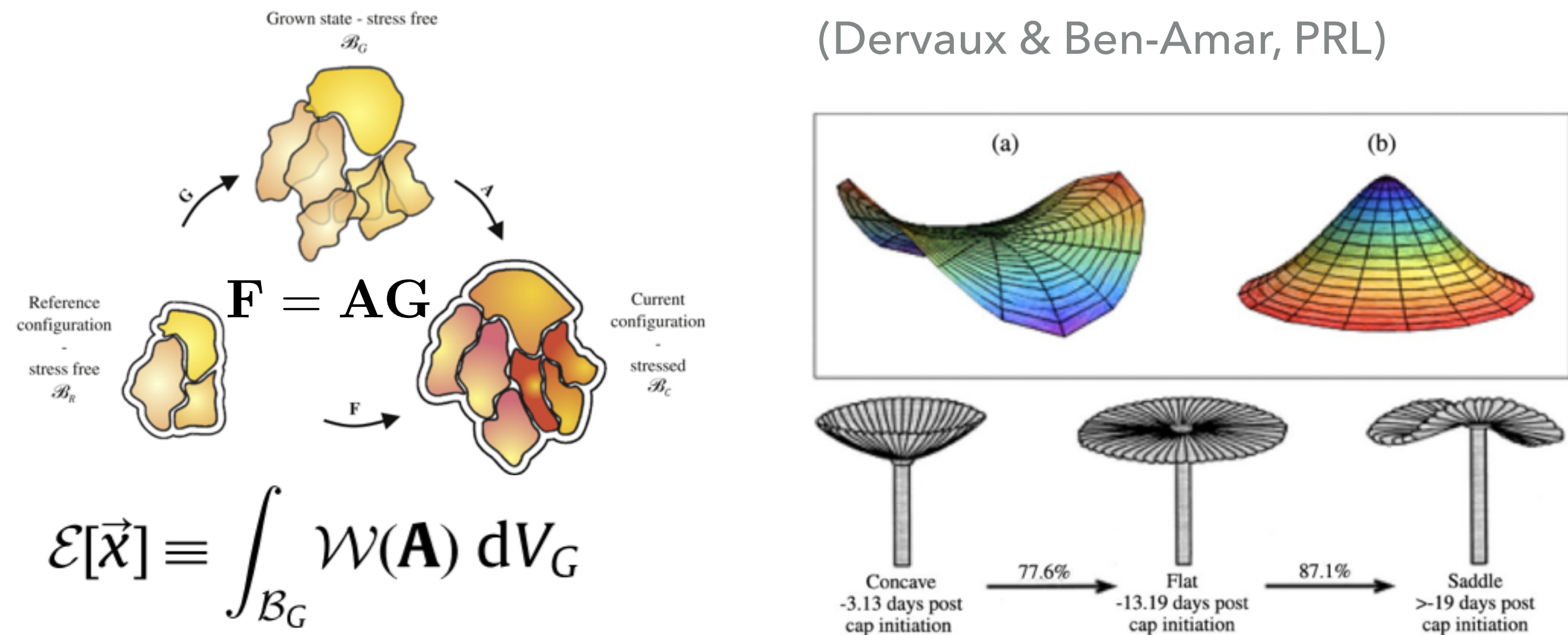
What is the stress-free reference state?



(Gertsman, et. al. 2009)



# GROWTH/METRIC-INDUCED BUCKLING



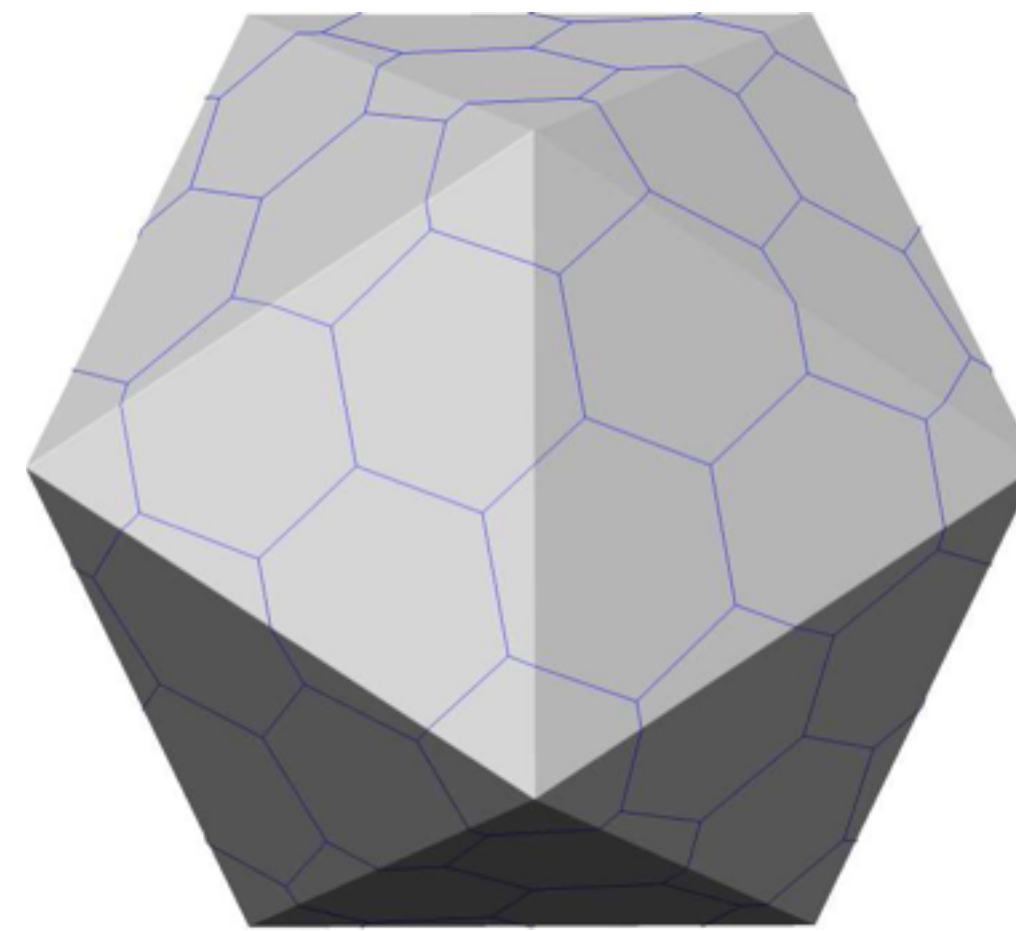
(Sharon, et al.)

$$\varepsilon_{ij} = \frac{1}{2}(g_{ij} - \bar{g}_{ij}) \quad w = \frac{1}{2}A^{ijkl} \varepsilon_{ij} \varepsilon_{kl}$$



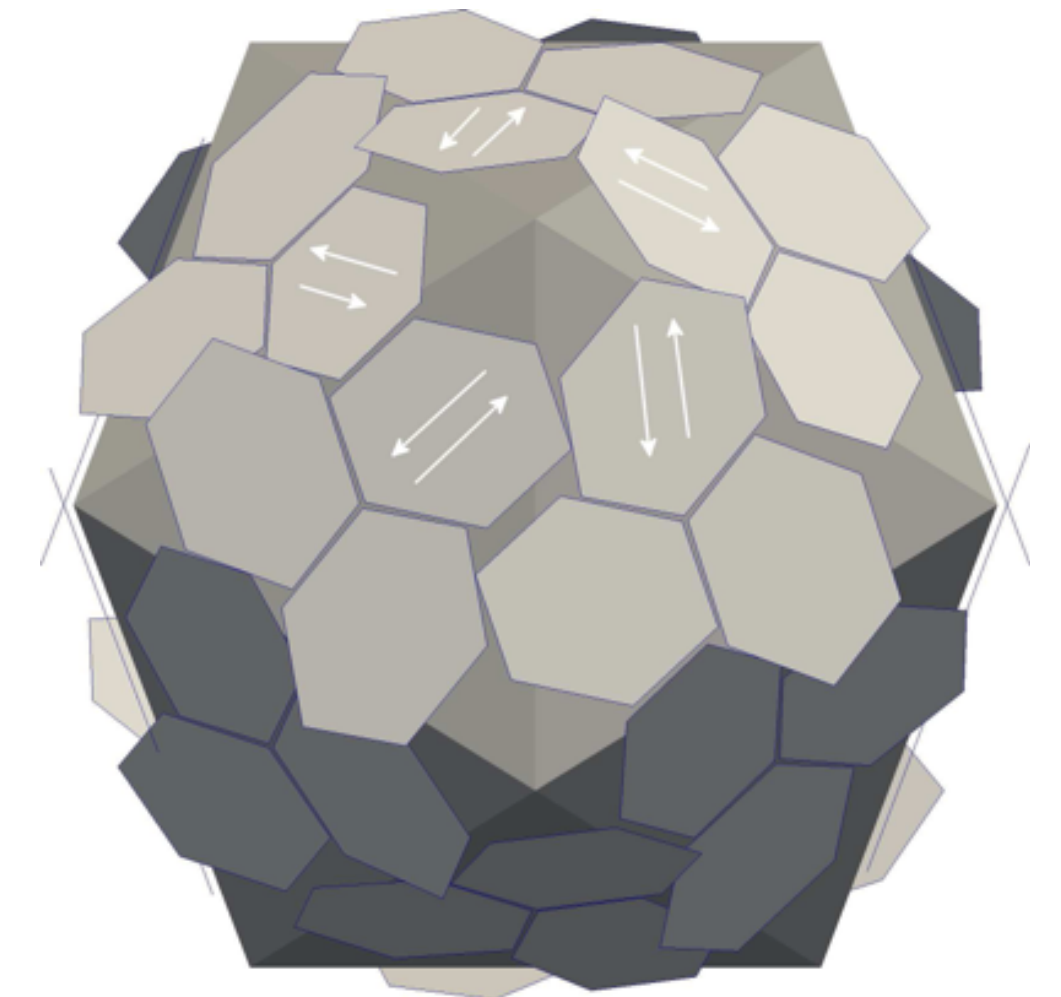
MAP CK CAPSOMERS ONTO NON-INTERACTING CAPSOMERS.

- ▶ Internal state of each hexon in isolation may be *INCOMPATIBLE* with assembly
- ▶ Energy depends on chemistry (pH, temp, ...)



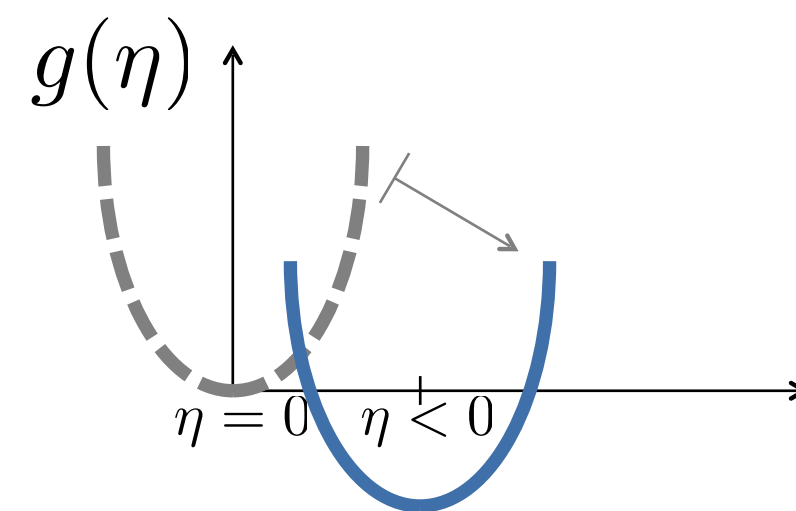
Pre-stressed CK State

$$\begin{array}{c} \xrightarrow{\mathbf{G}(\eta)} \\ \xleftarrow{\mathbf{G}^{-1}(\eta)} \end{array}$$

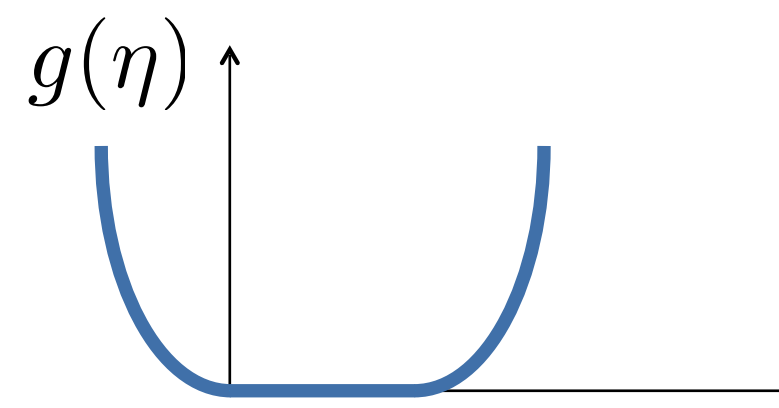


Stress-free reference state

$g(\eta)$  = “Landau energy”     $\eta$  = Internal conformational degrees of freedom



**Hypothesis 1:**  
“Enthalpic shift”



**Hypothesis 2:**  
“Soft mode”

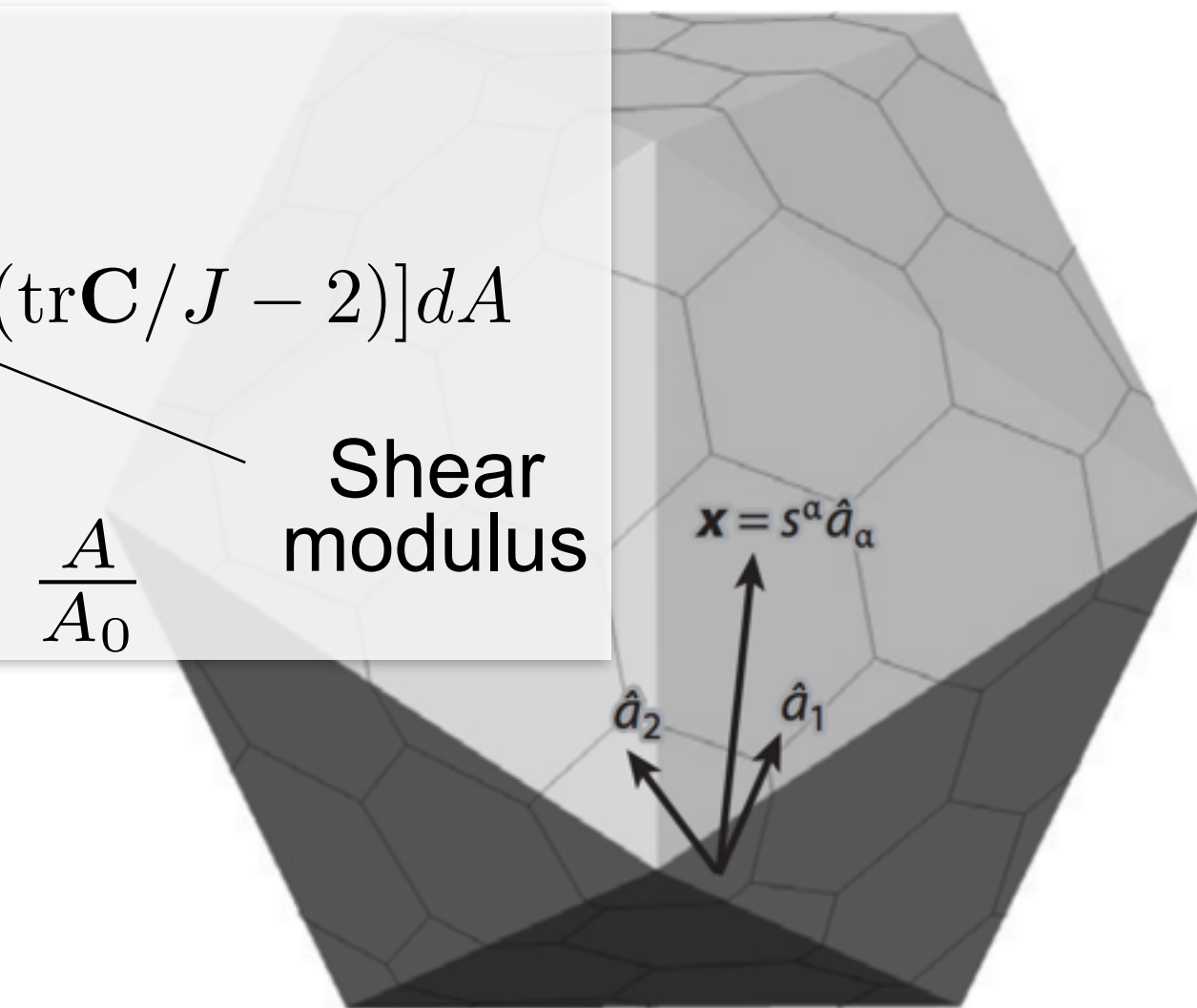


ELASTICITY REPAIRS CONFORMATIONAL INCOMPATIBILITY

Elastic Energy

$$\mathcal{F} = \int \frac{\kappa}{2} b_{ii}^2 dA + \frac{1}{2} \int [K(J-1)^2 + \mu(\text{tr}\mathbf{C}/J - 2)] dA$$

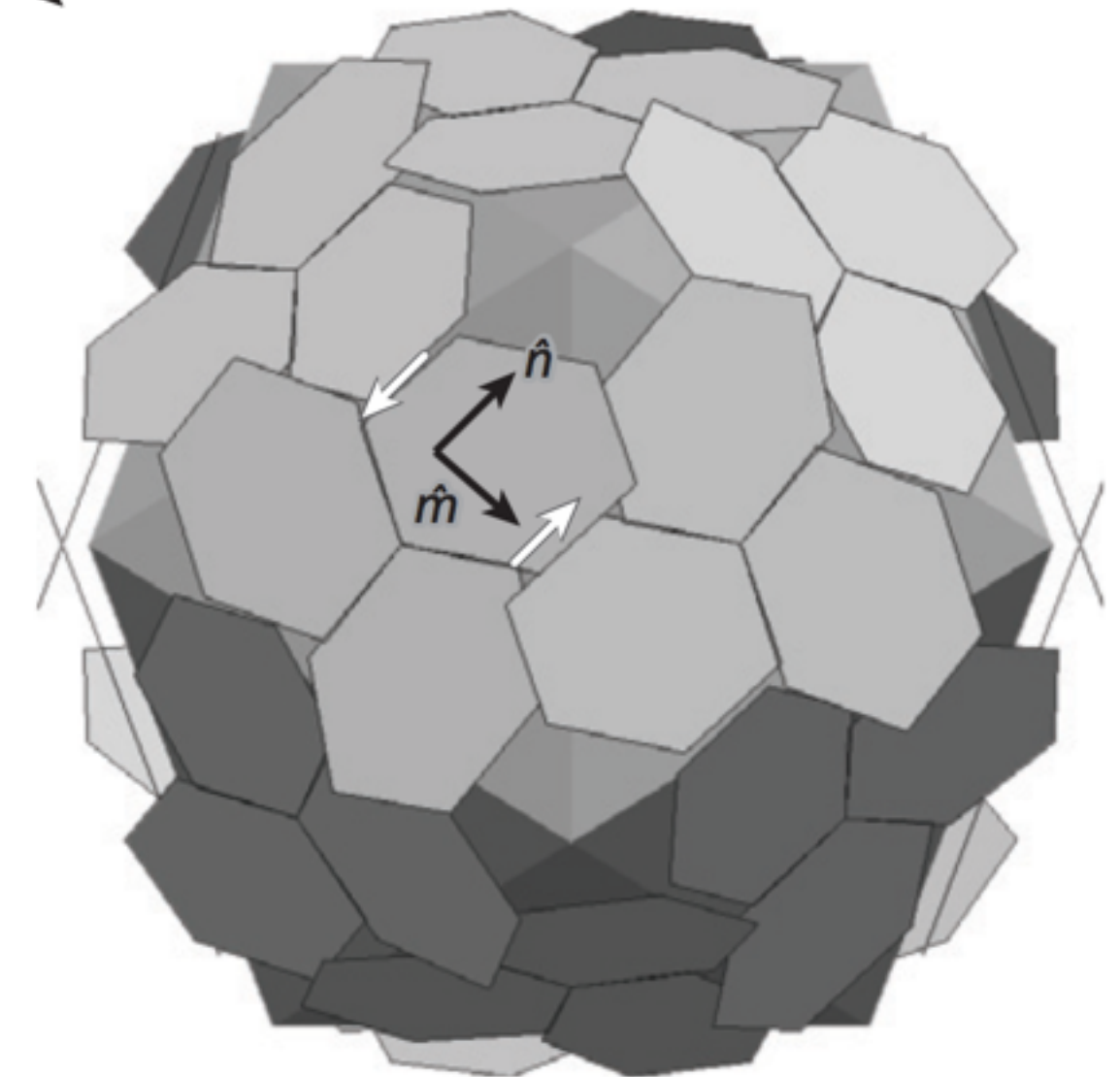
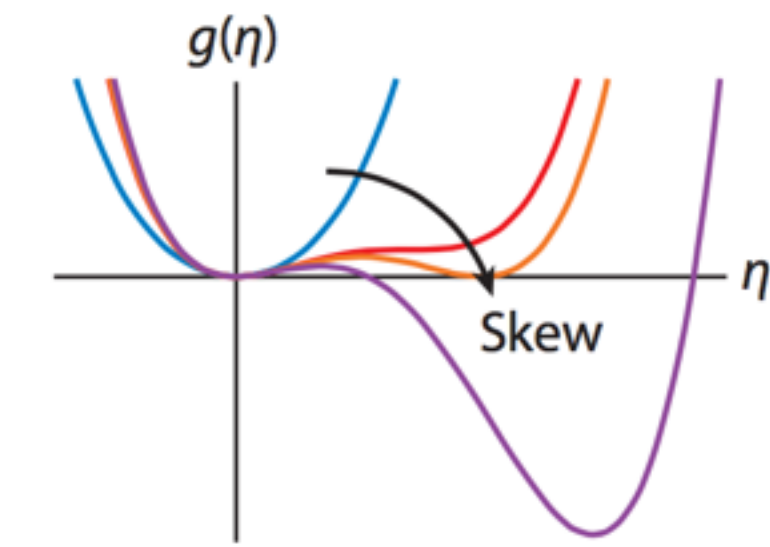
Compressional modulus      Area ratio =  $\det \mathbf{F} = \frac{A}{A_0}$       Shear modulus



$$\mathbf{y} = \mathbf{G}(\eta) \cdot \mathbf{x}$$

$$\mathbf{G}(\eta) = \mathbf{I} + \eta(\hat{n} \otimes \hat{m})$$

Conformational Deformation

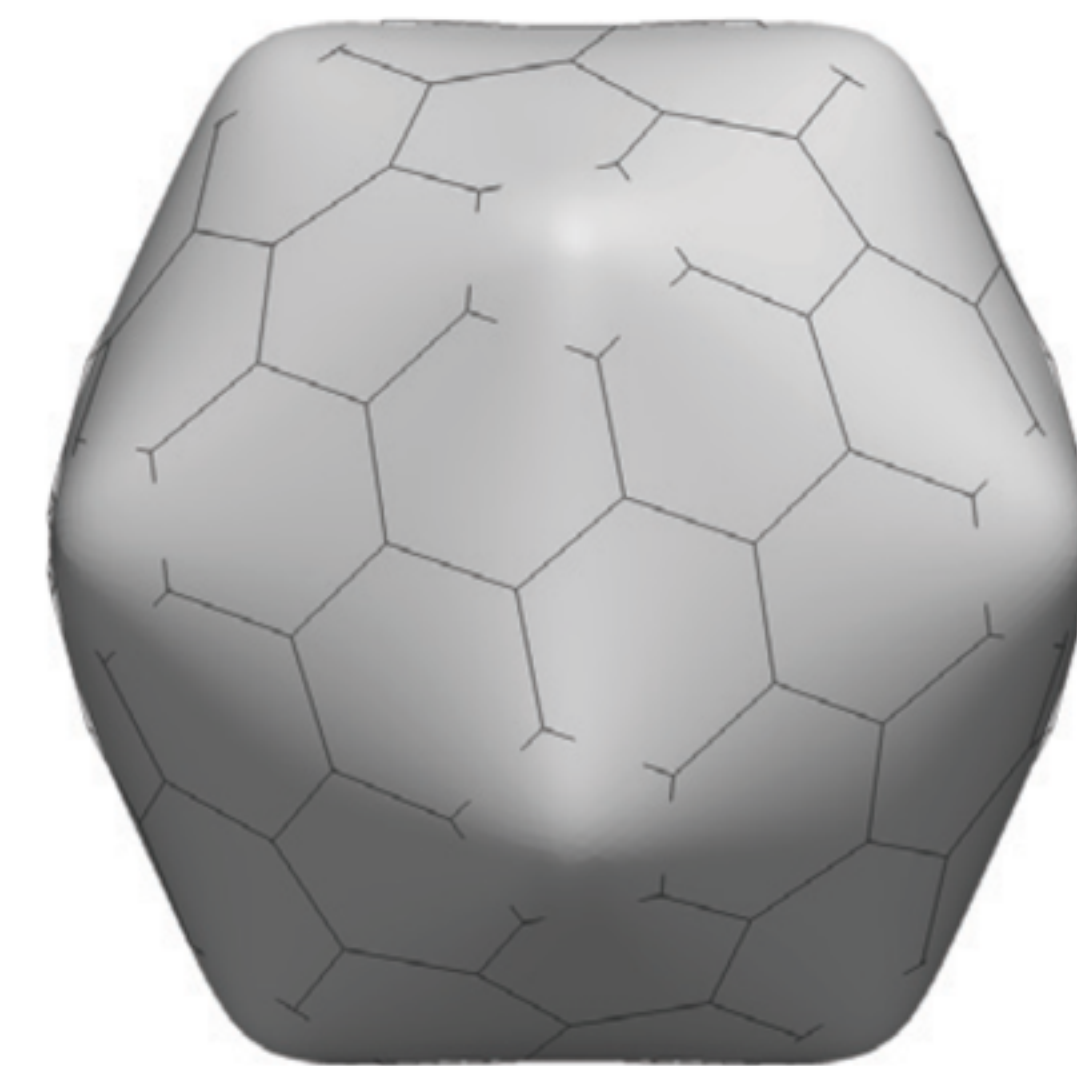


Pre-stressed CK Reference State

$$\mathbf{z}(\mathbf{x})$$

$$\nabla \mathbf{z} = \mathbf{F} \cdot \mathbf{G}$$

Total Deformation

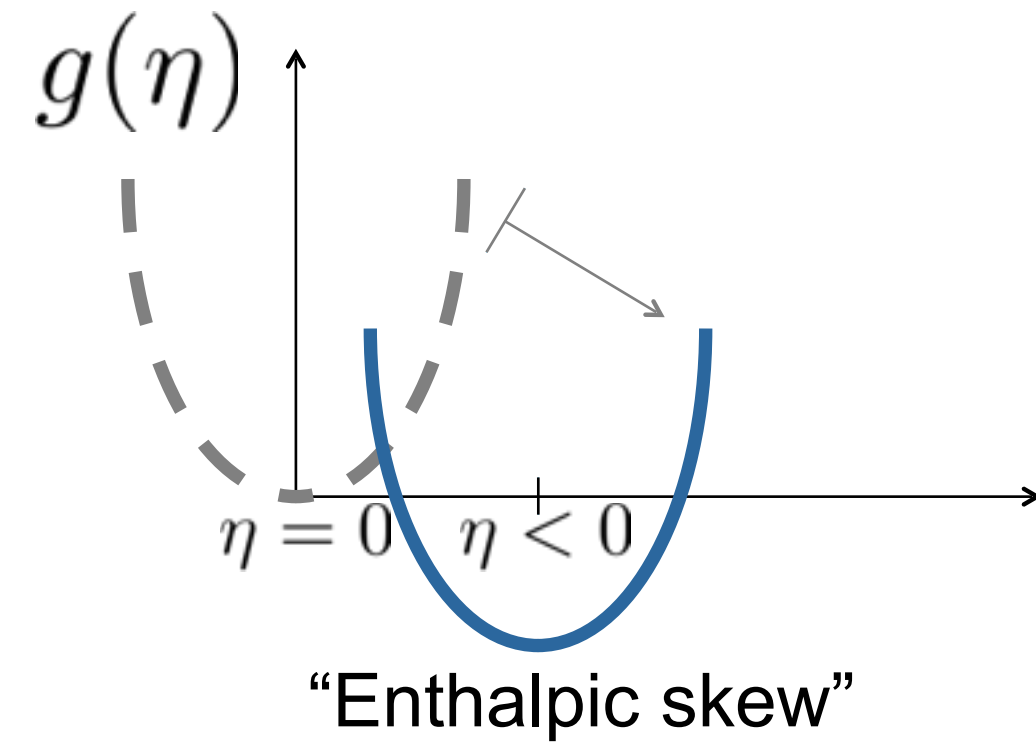


$$d\mathbf{z} = \mathbf{F} \cdot d\mathbf{y}$$

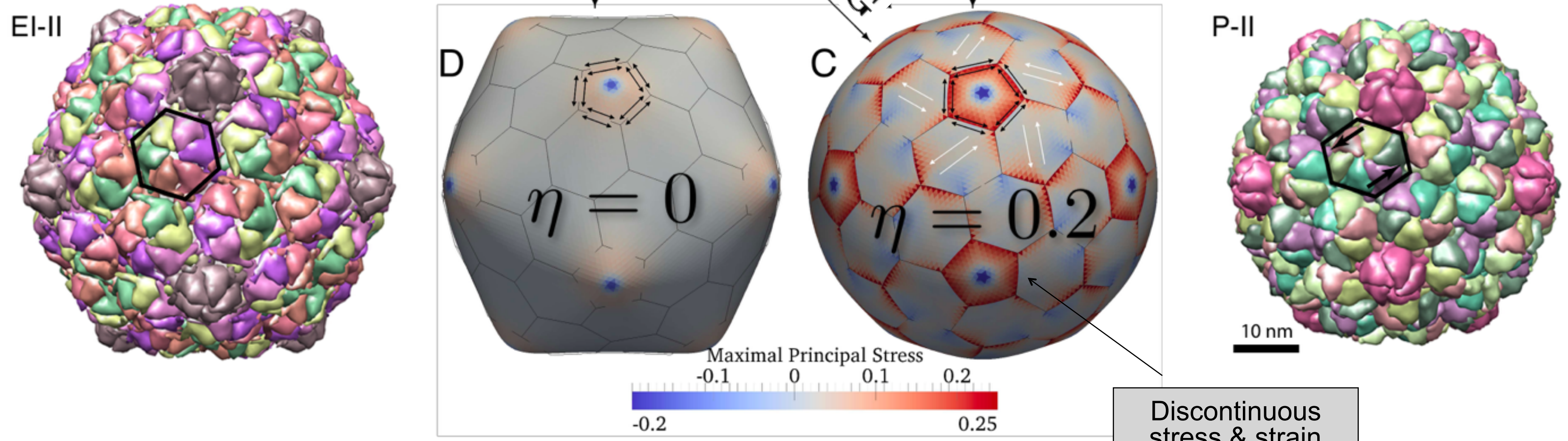
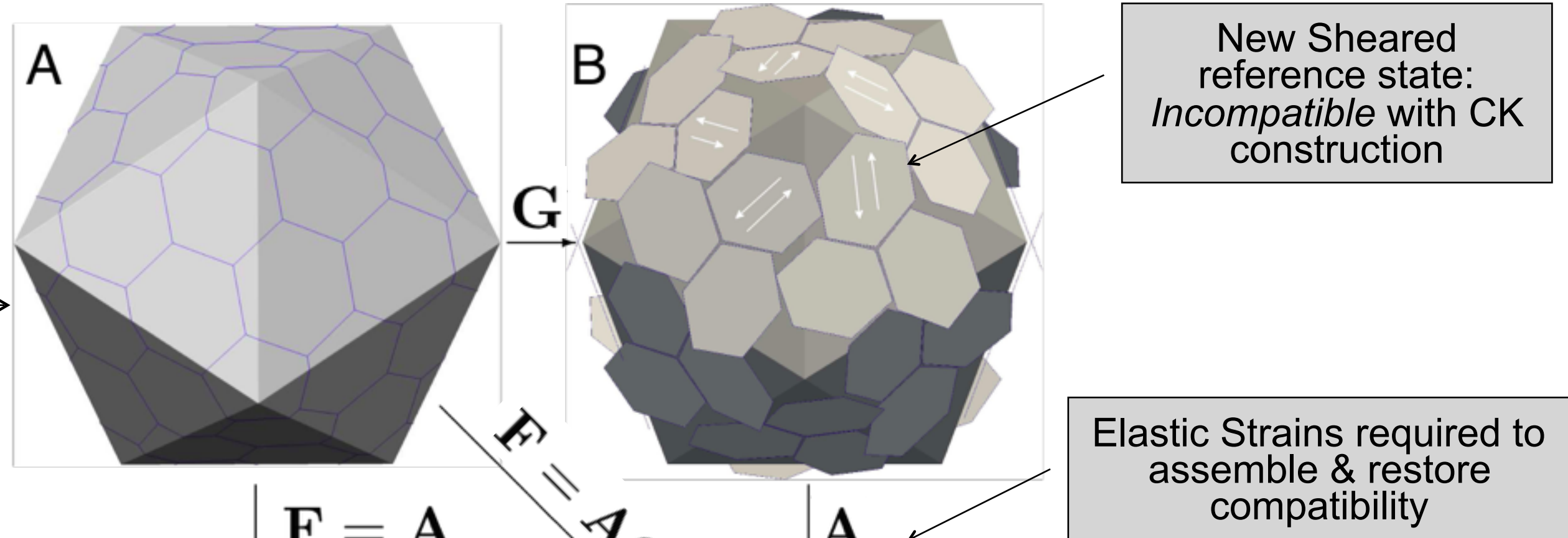
Elastic Deformation



PRE-SHEARED HEXONS DRIVE A "REVERSE BUCKLING TRANSITION"



"Not your mother's thin-shell elasticity theory."  
- R. Bruinsma

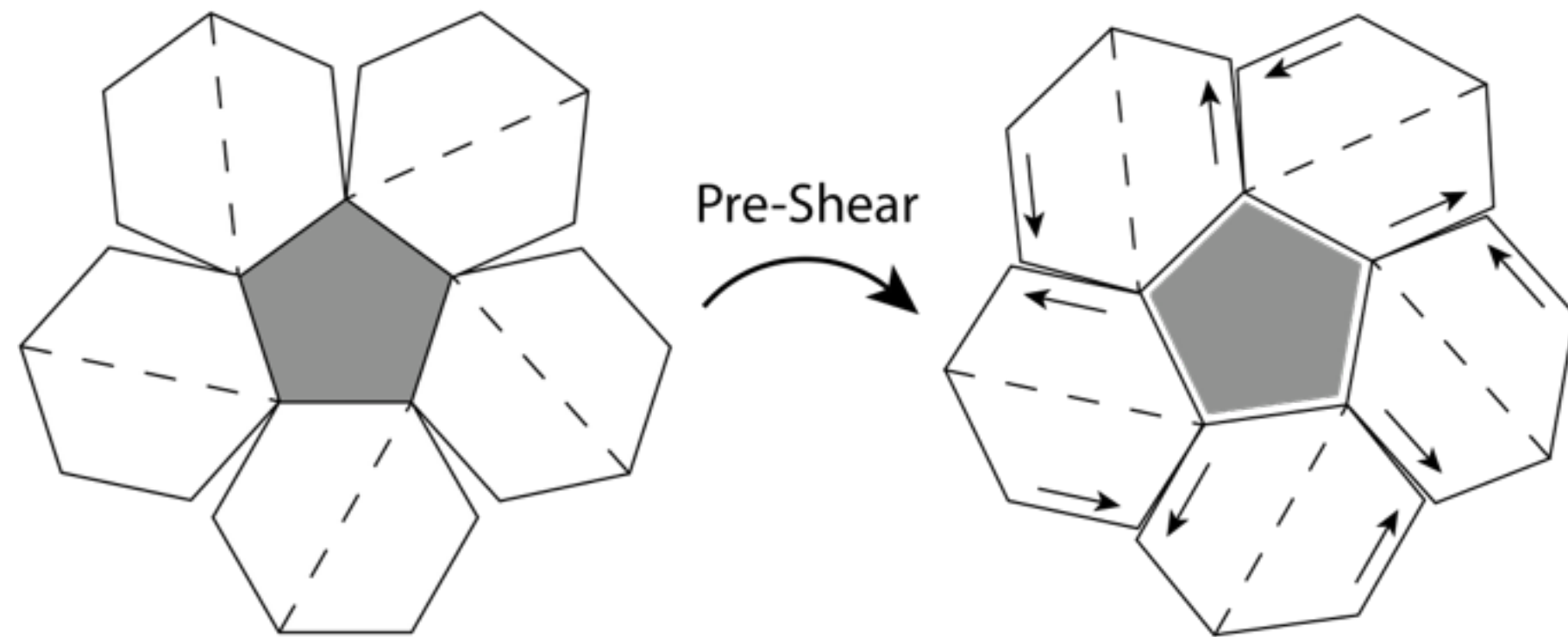


Discontinuous stress & strain fields

**Buckling transition at fixed  $FvK$  number!**



REVERSE BUCKLING TRANSITION – “EFFECTIVE” FVK NUMBER



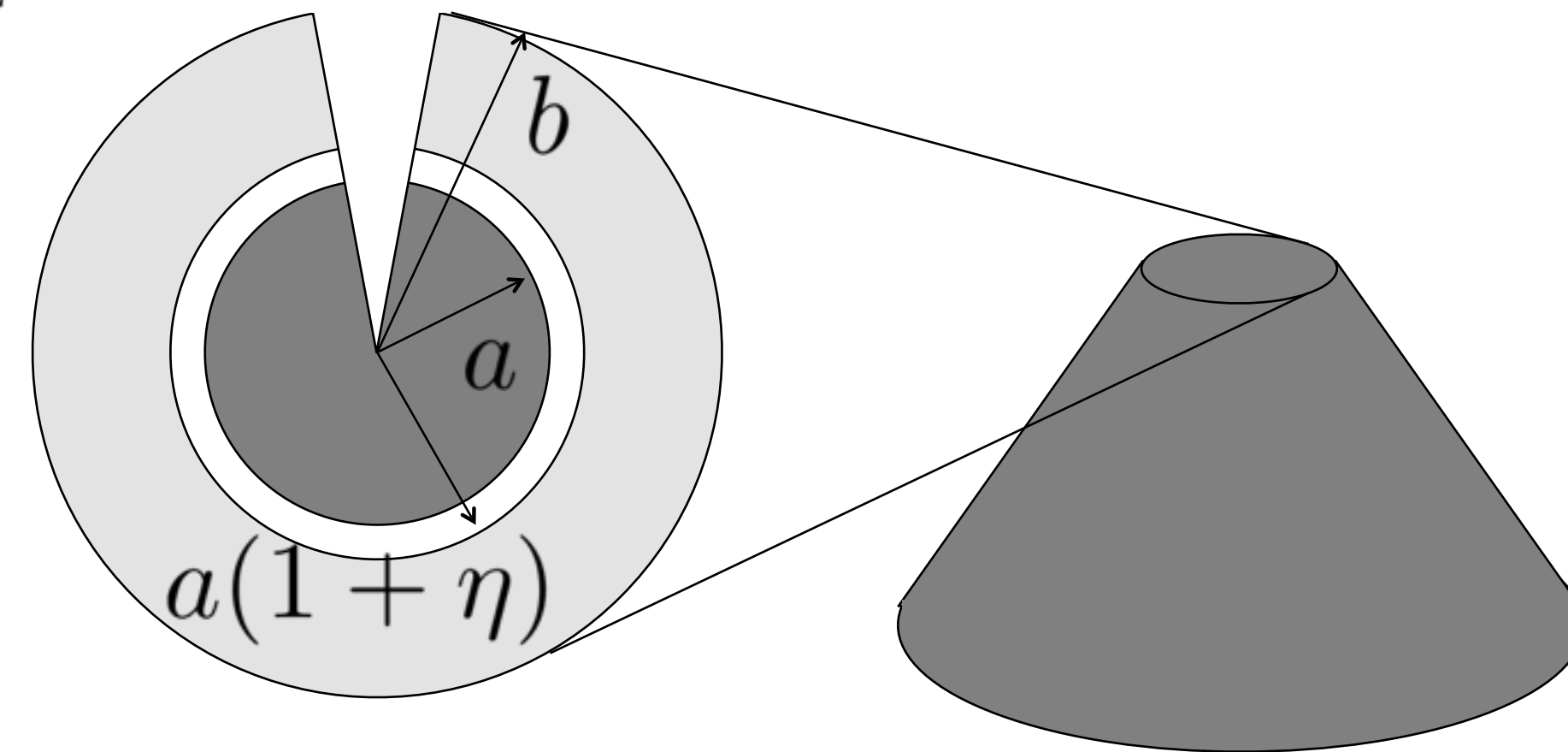
Pre-shear:

- Changes disclination angle
- Elongates shared pent-hex edges, opens gap between penton and neighboring hexons

- Enforce compatibility to close gaps.

$$\sigma_{rr} = \frac{E\eta}{2} a^2 \left( \frac{1}{r^2} - \frac{1}{b^2} \right) + \frac{E\delta}{4\pi} \ln \left( \frac{r}{b} \right)$$

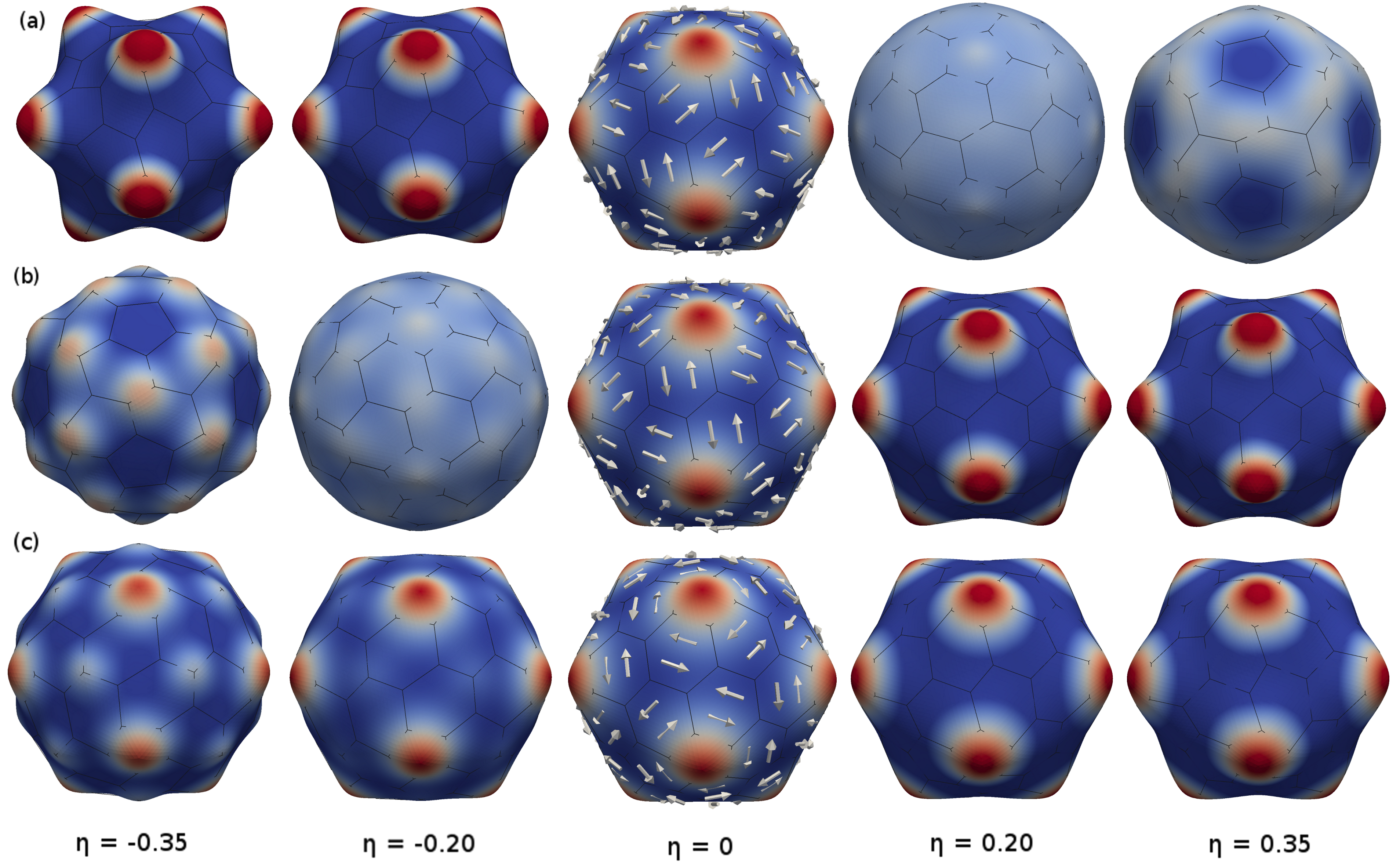
$$\sigma_{\theta\theta} = \frac{E\eta}{2} a^2 \left( -\frac{1}{r^2} - \frac{1}{b^2} \right) + \frac{E\delta}{4\pi} \left( \ln \frac{r}{b} + 1 \right)$$



- As disc grows, eventually plate can alleviate in-plane strain by buckling into a conical shape.

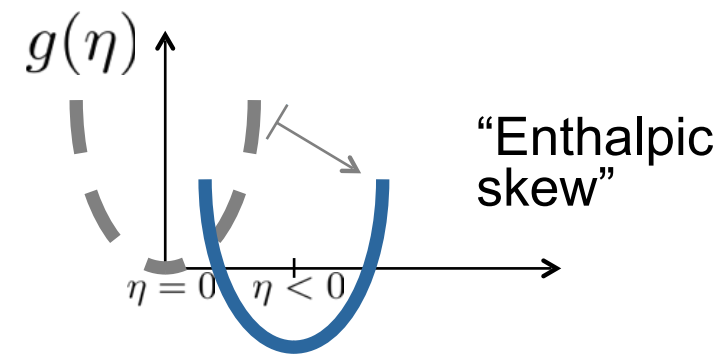


NEW SHAPES

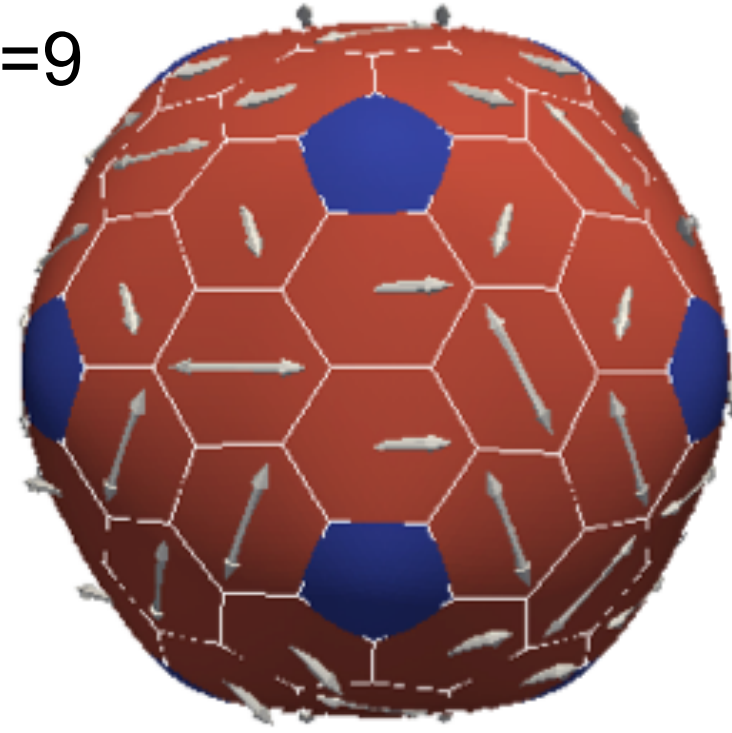




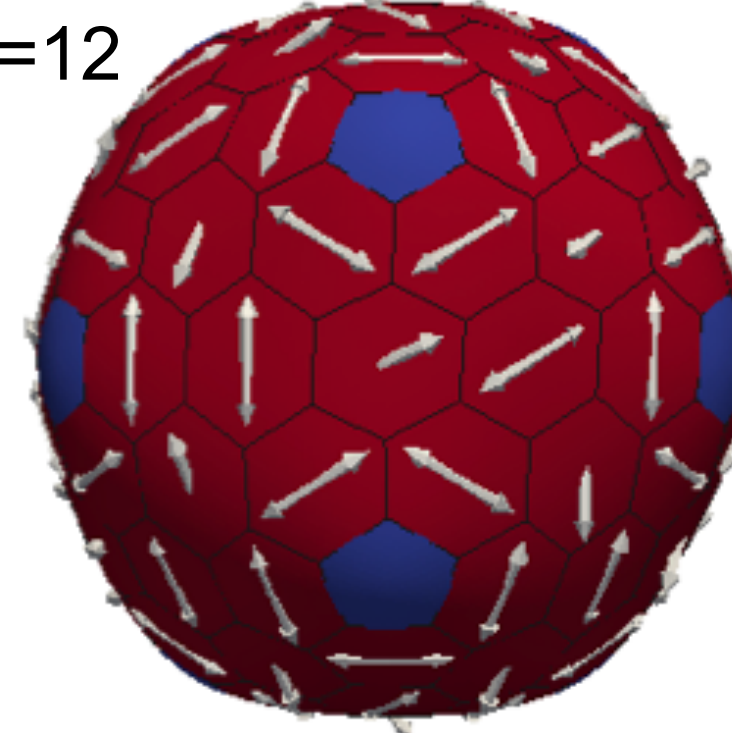
$T > 7$



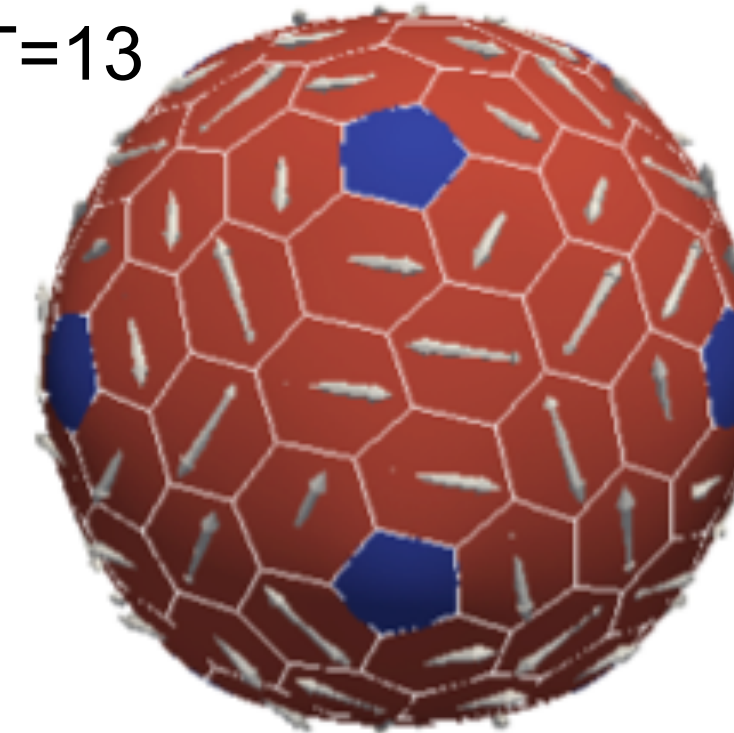
T=9



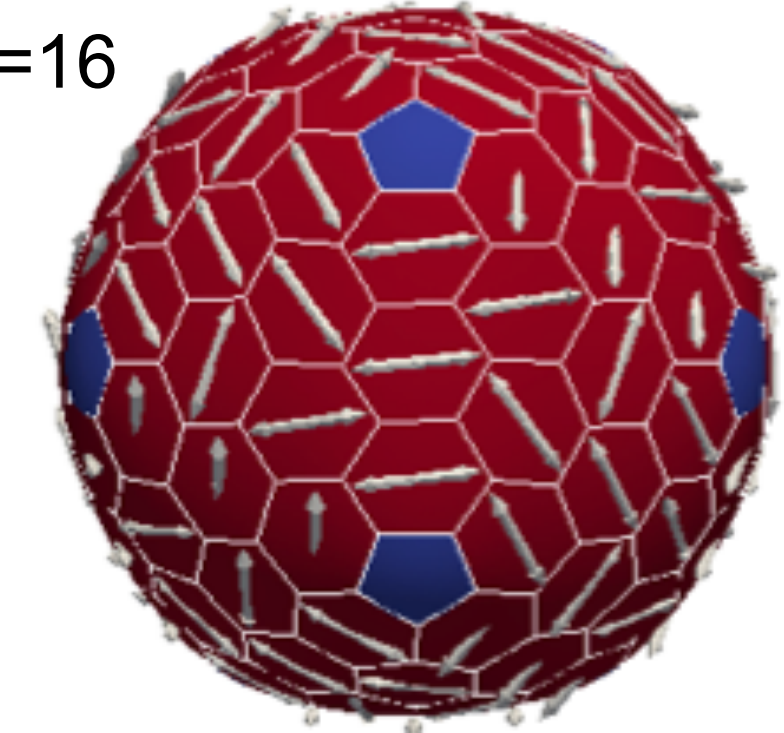
T=12



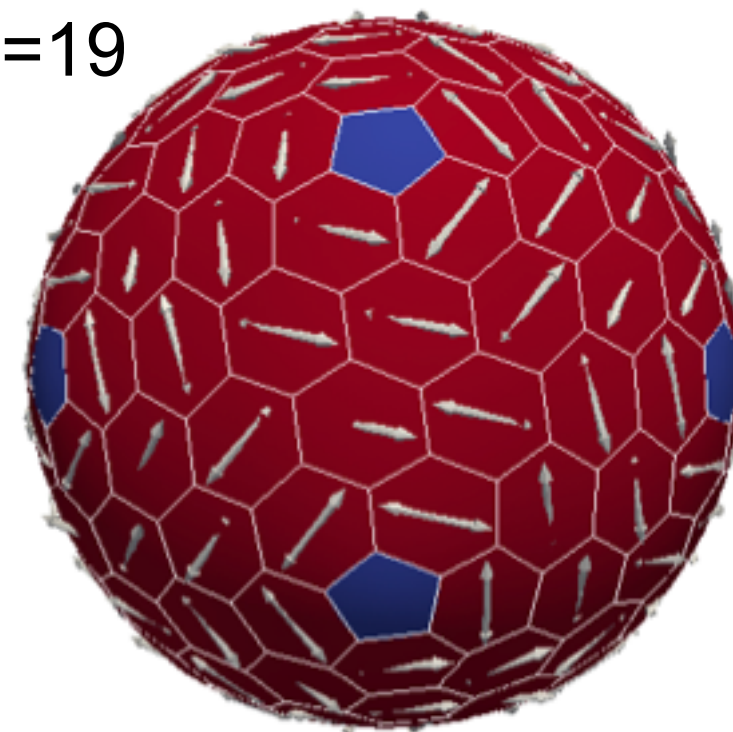
T=13



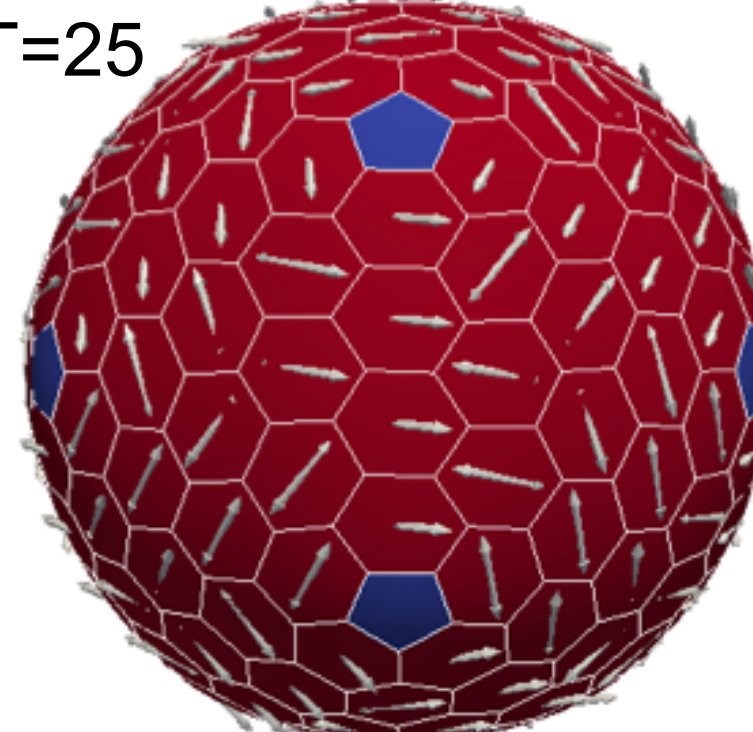
T=16



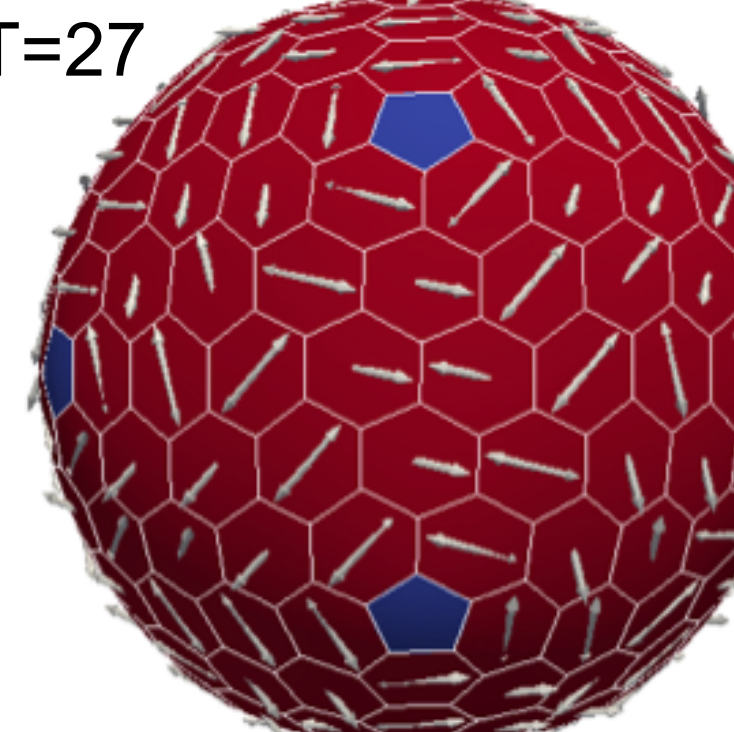
T=19



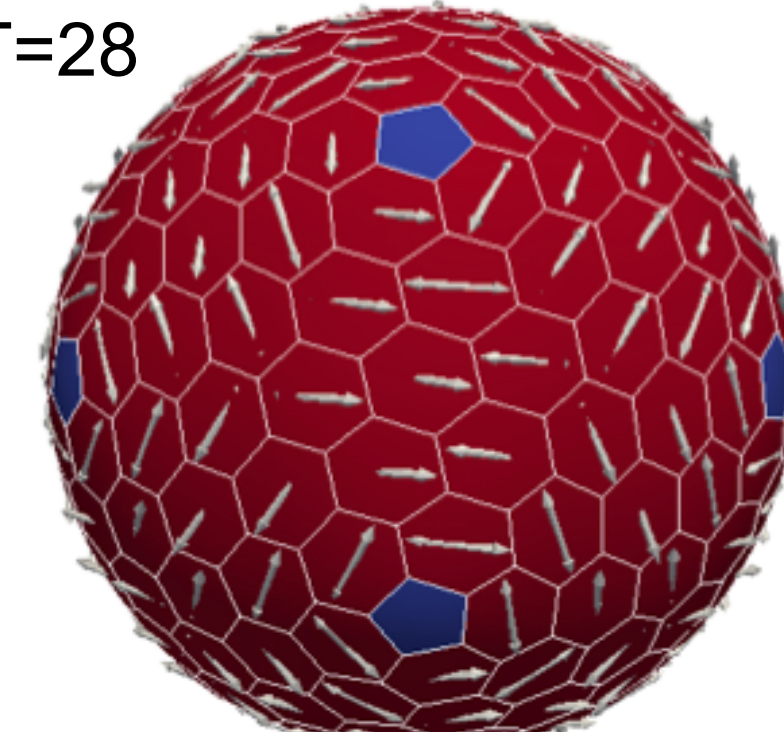
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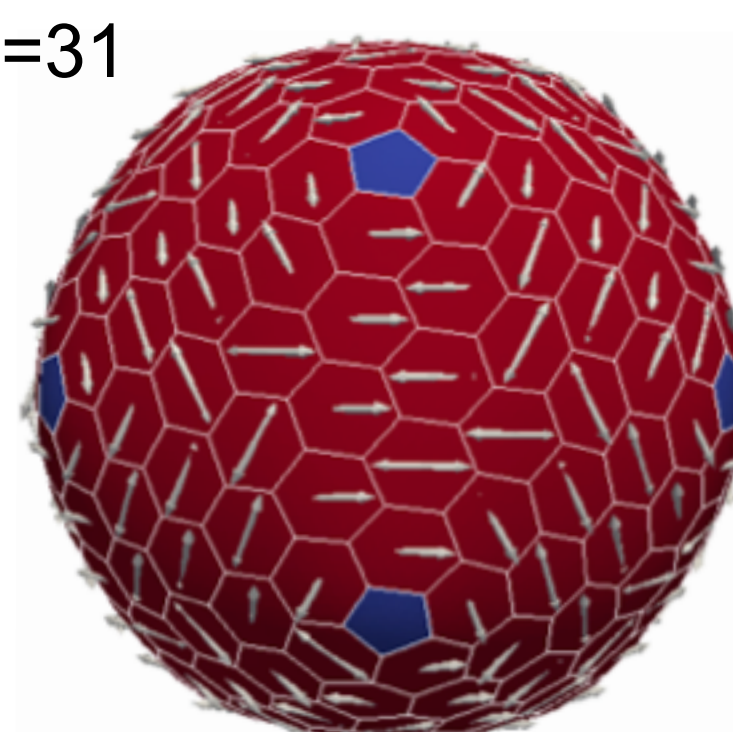
T=27



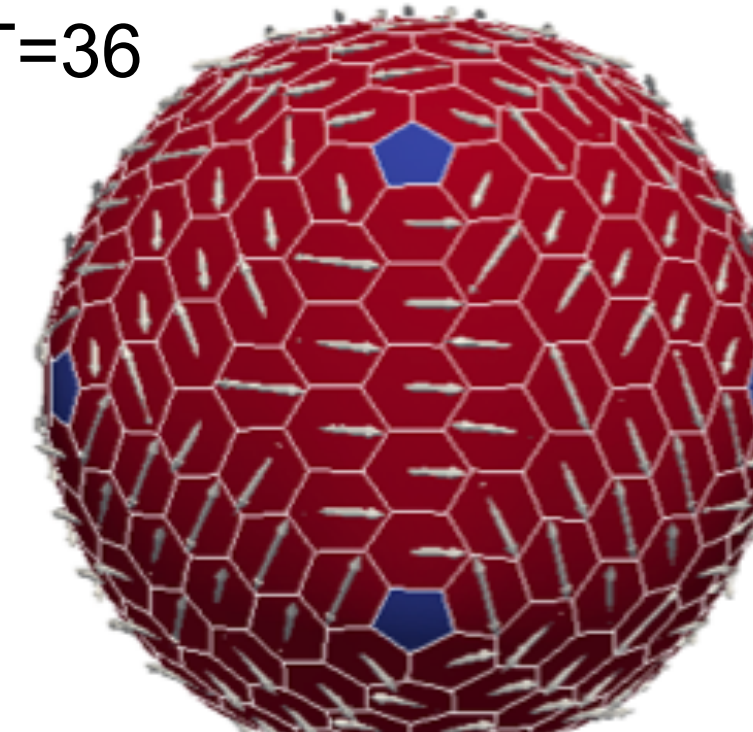
T=28



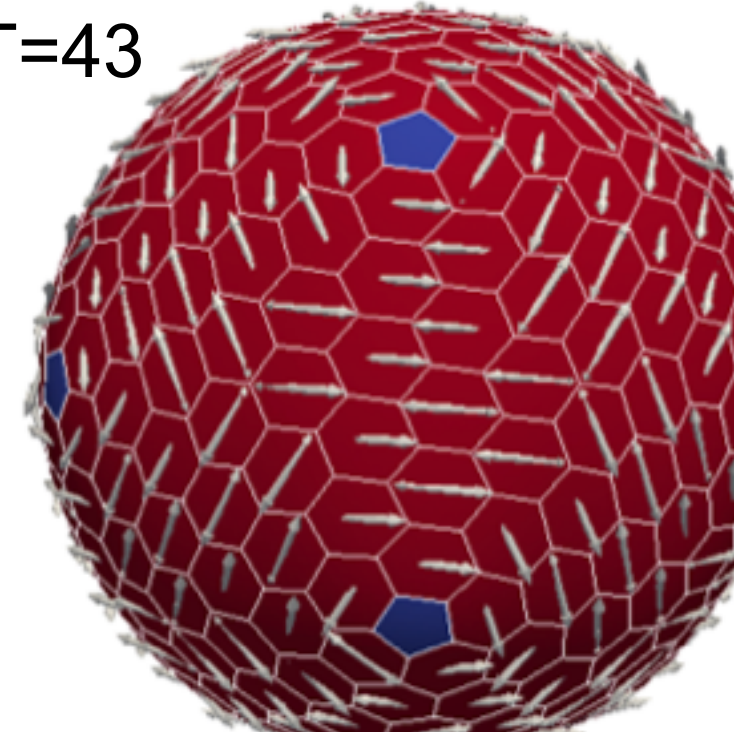
T=31



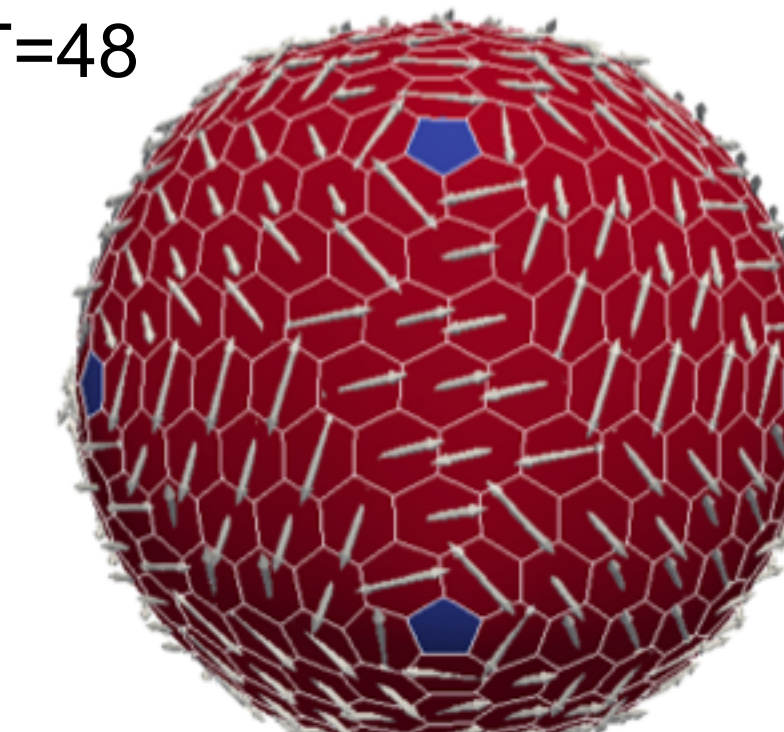
T=36



T=43



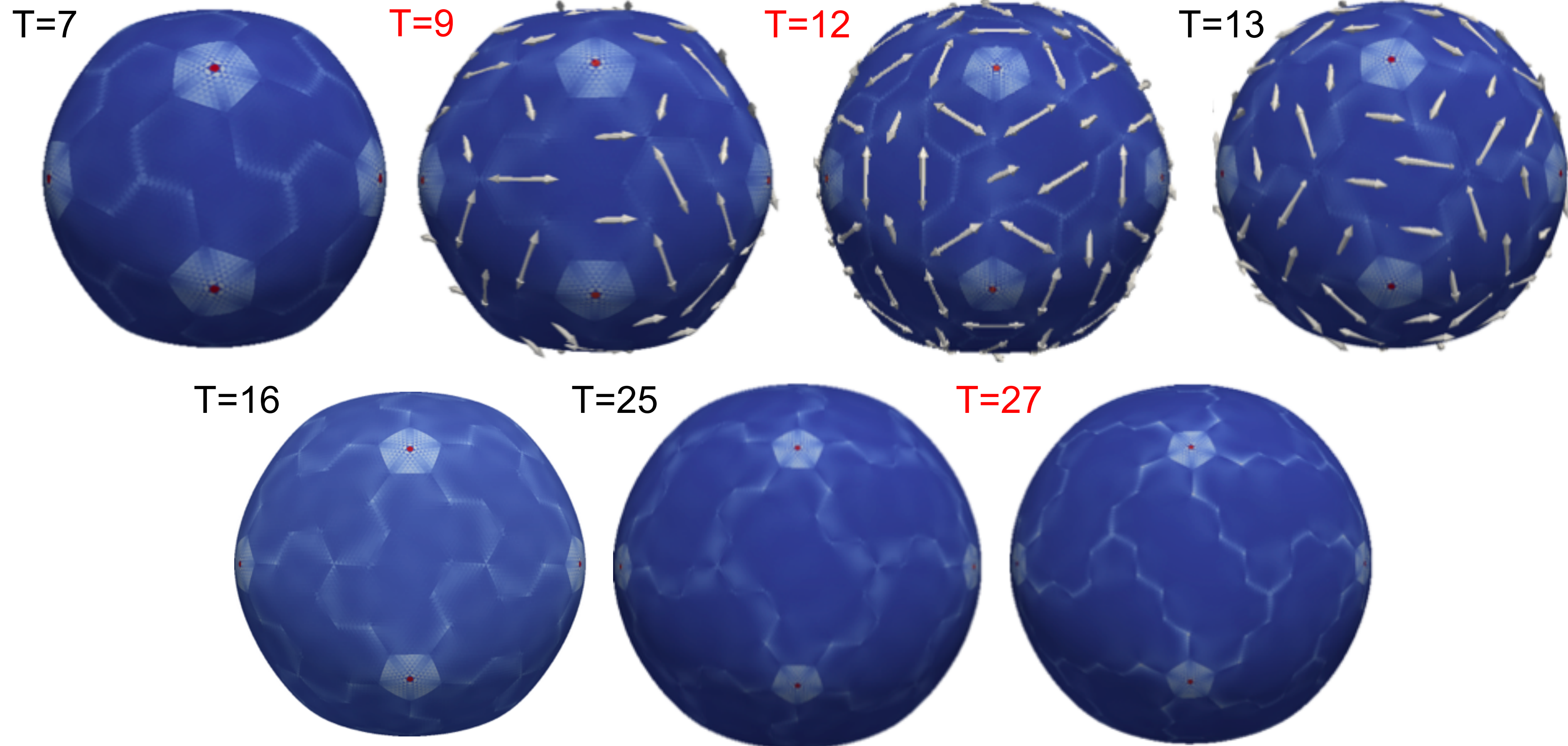
T=48





# CRYSTALLINE DOMAINS

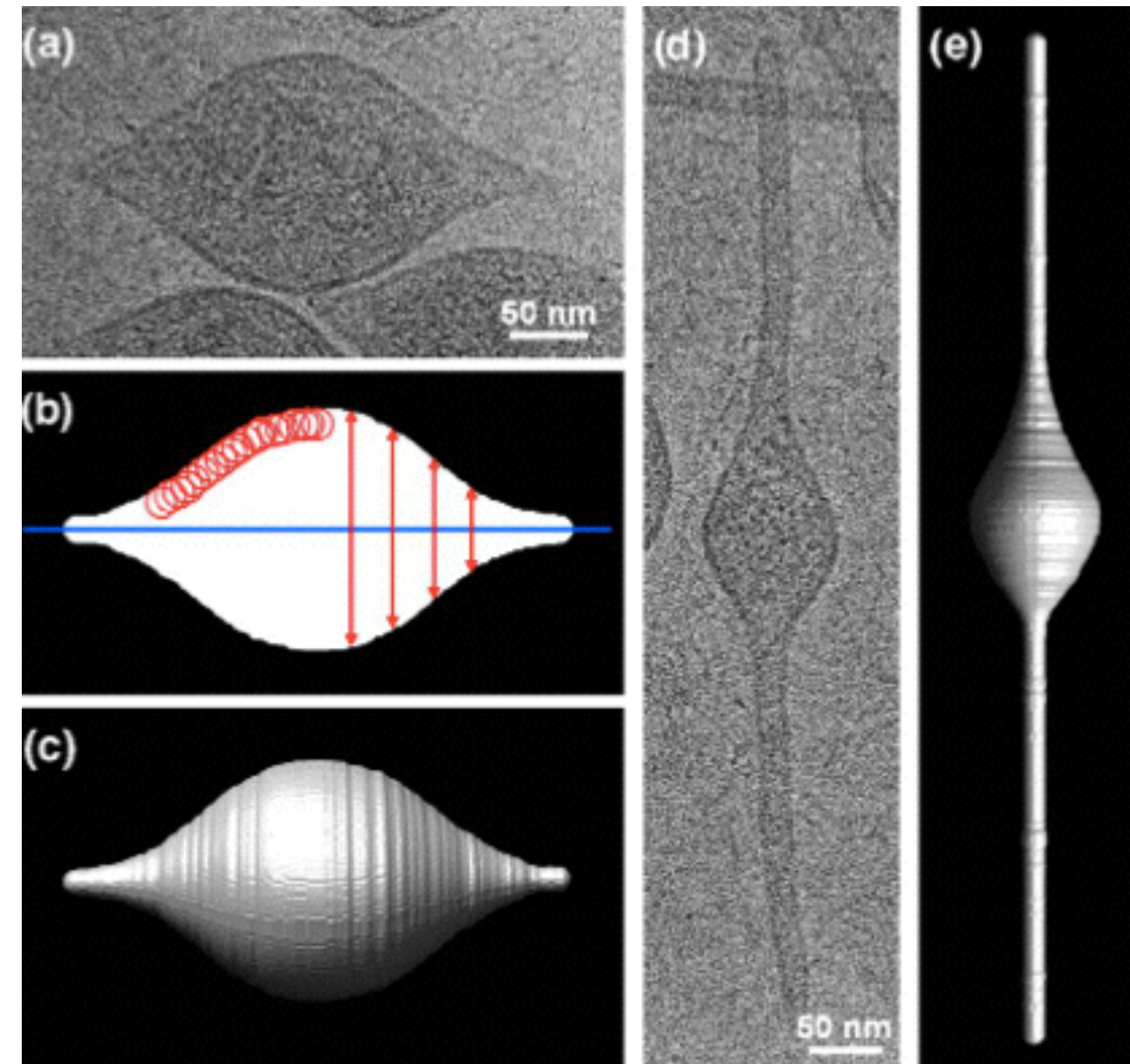
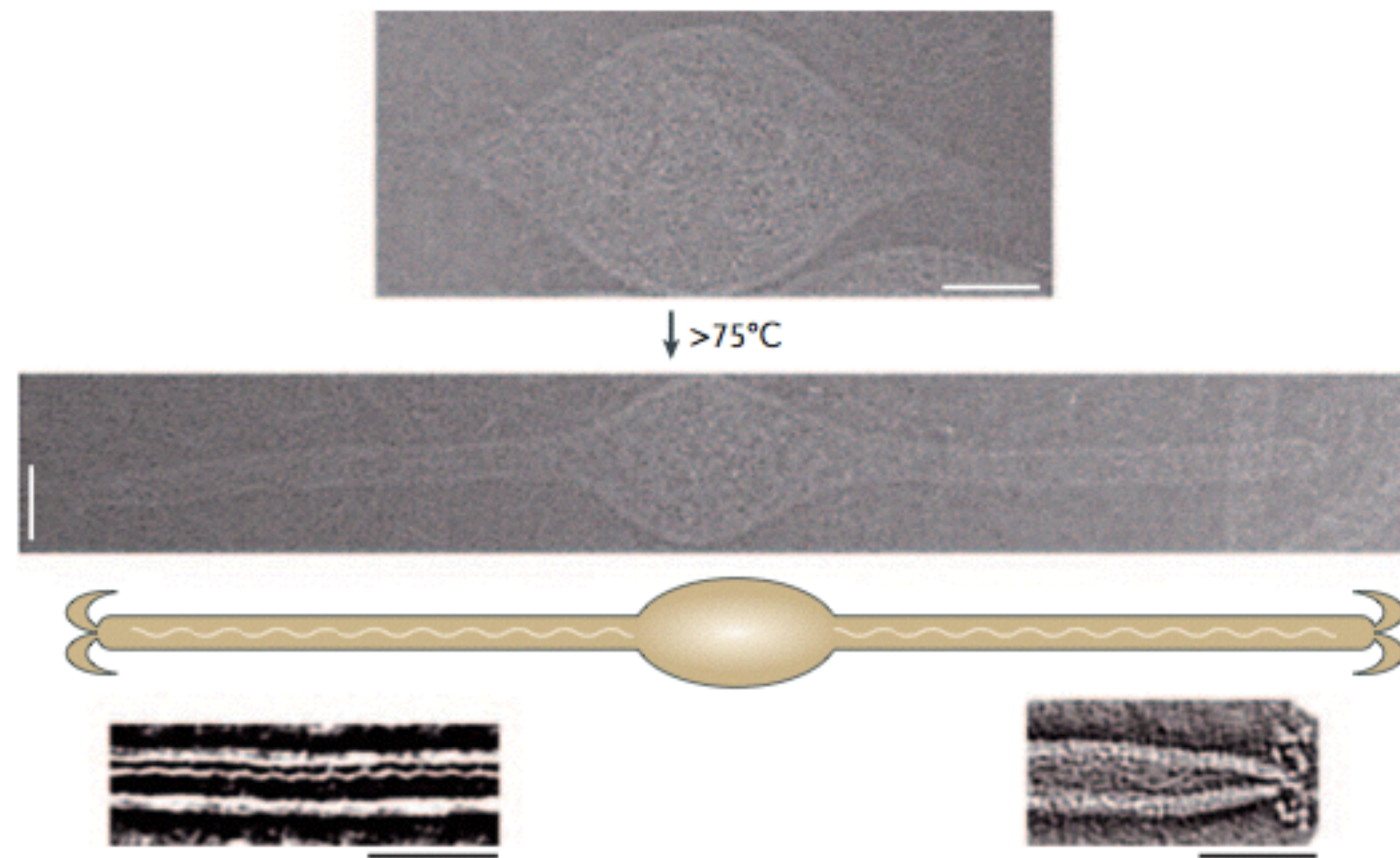
(STRAIN/STRESS CONTOURS SHOW DISCONTINUITIES AT GRAIN BOUNDARIES)



Hexon @ 3-fold site: icosahedral symmetry broken necessarily



# A "SPINDLE-SHAPED" VIRUS ATV



(D. Prangishvili, G. Vestergaard, and M. Häring, J Molec Biol, 2006)

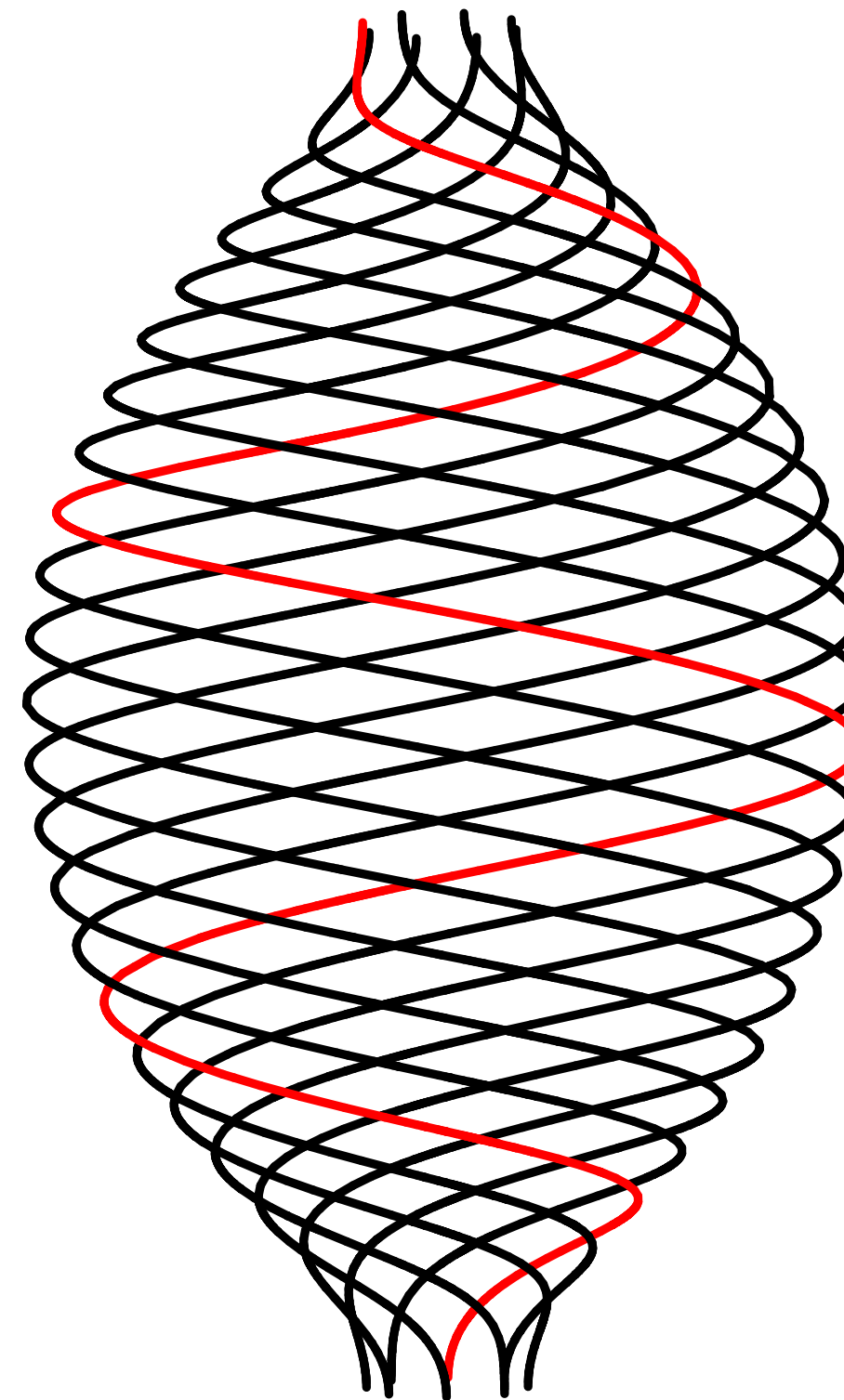
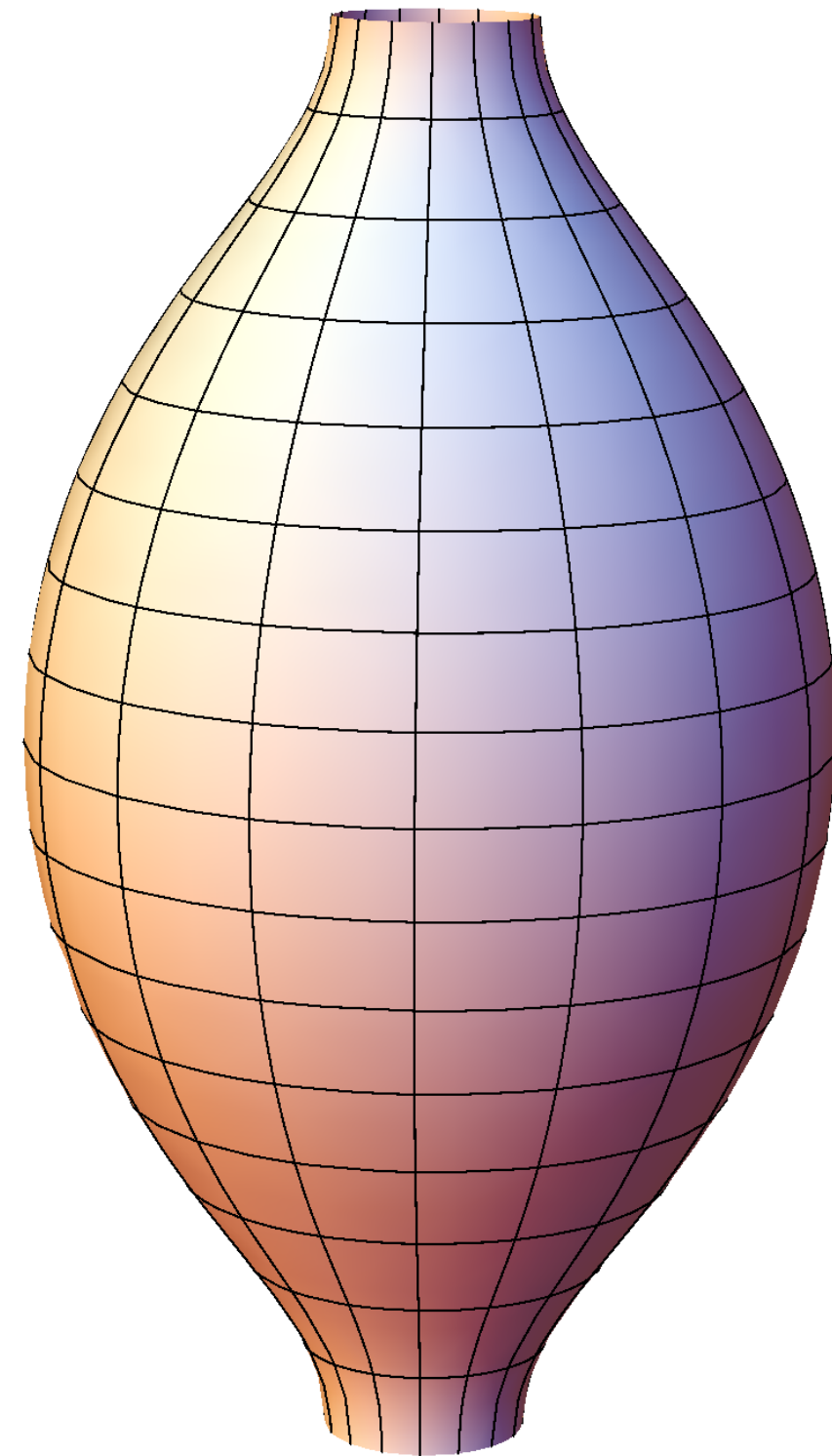
What is the local structure? What is the physics?



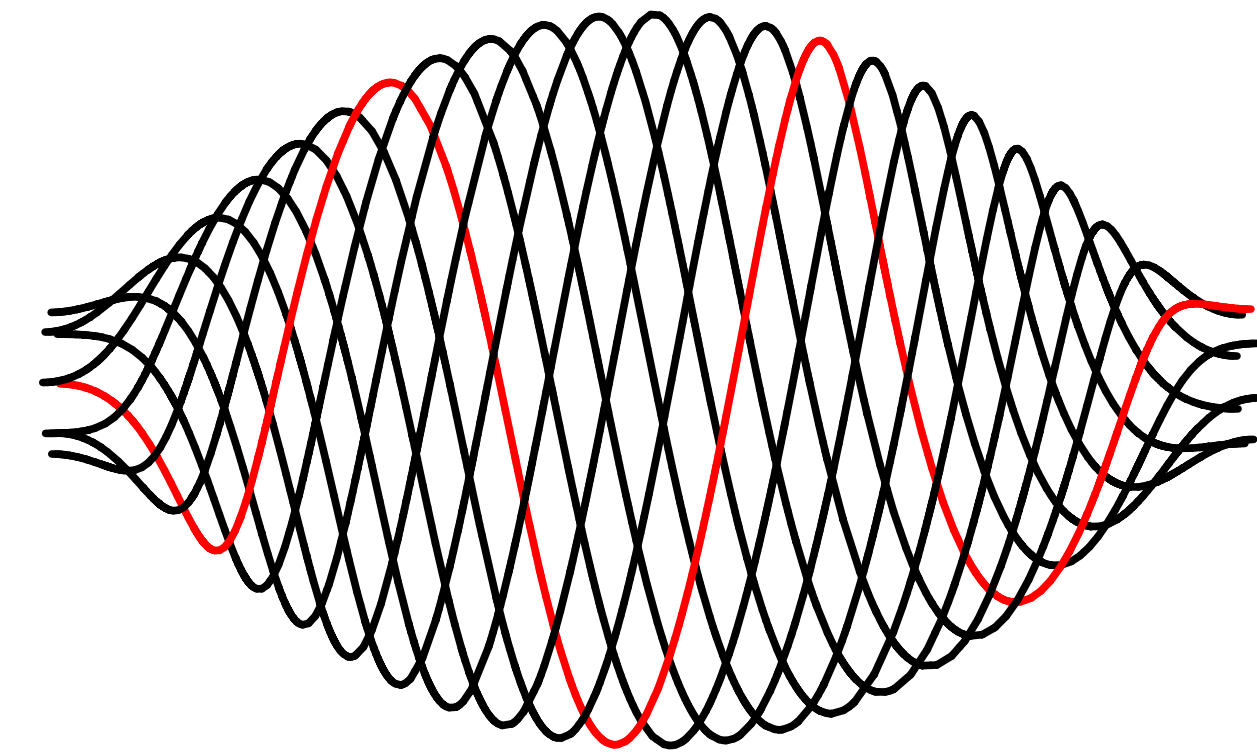
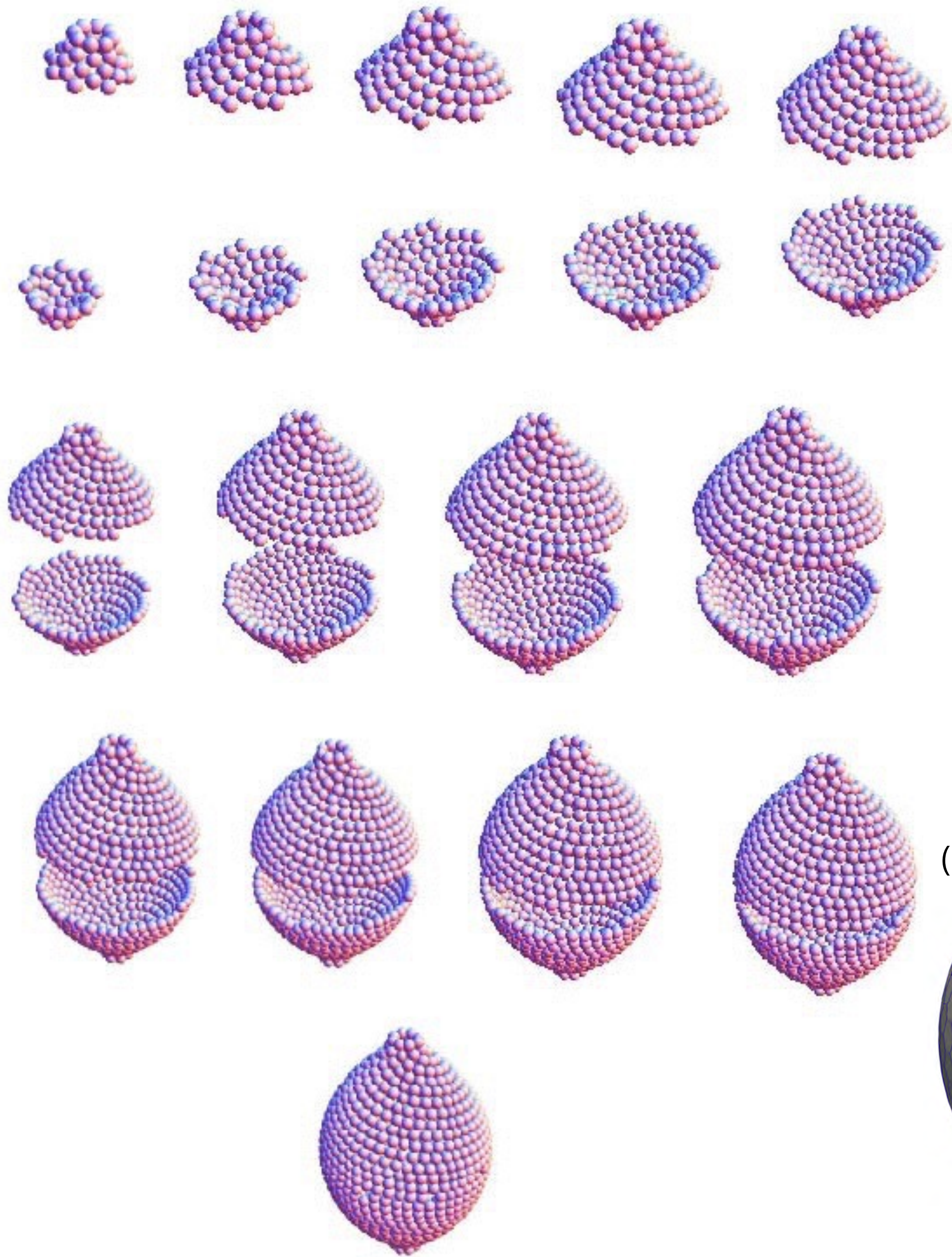
# A FLUID MEMBRANE MODEL

**Unduloid Construction** Surface of revolution of an elliptic catenary  
(constant nonzero mean curvature)

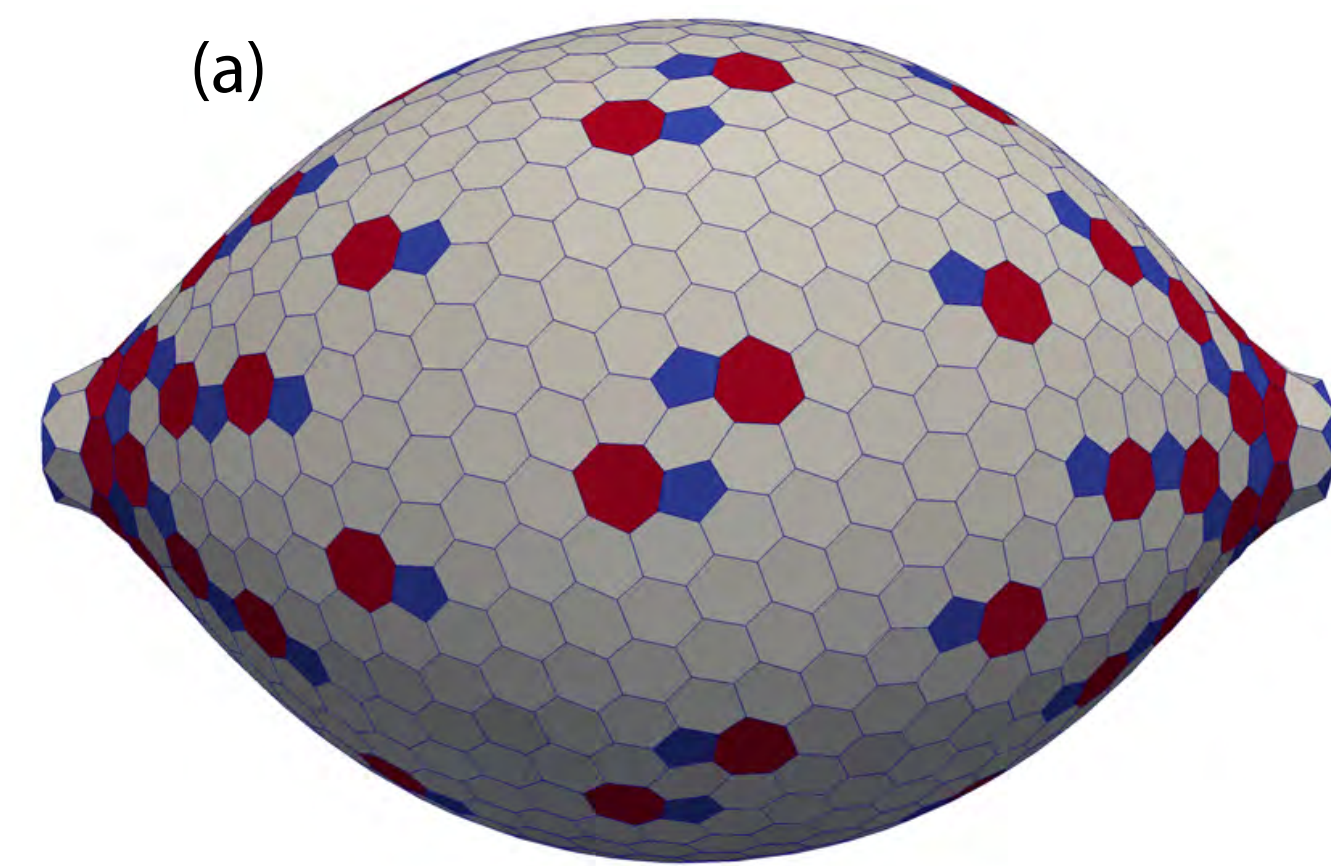
$$\Delta p = \gamma \left( \frac{1}{R_1} + \frac{1}{R_2} \right)$$



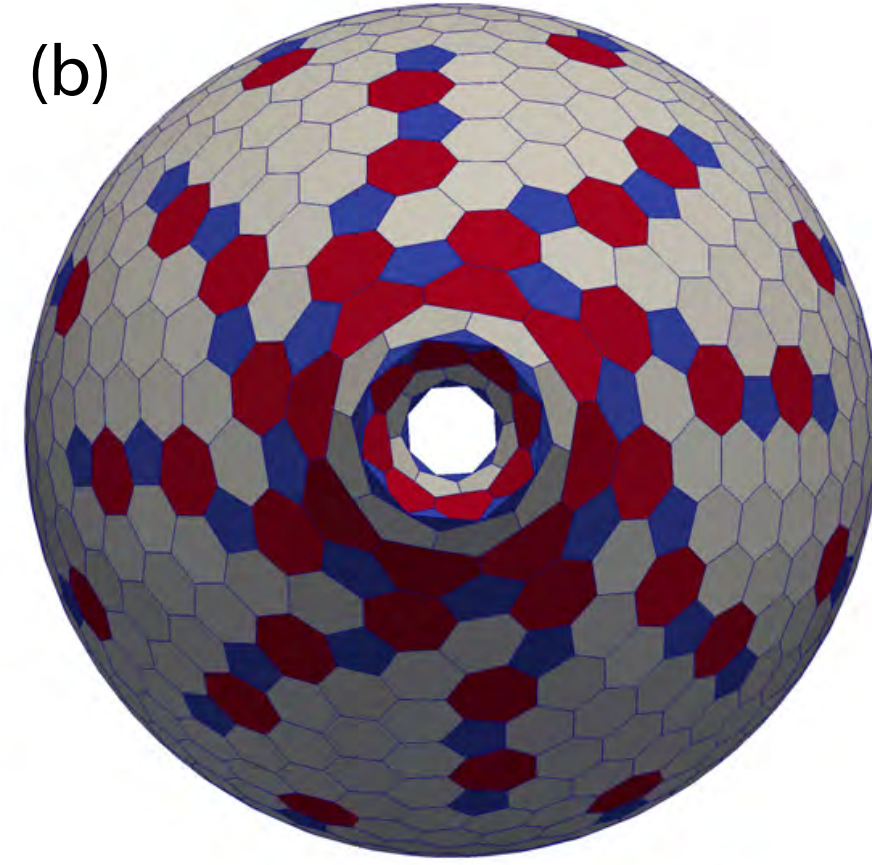




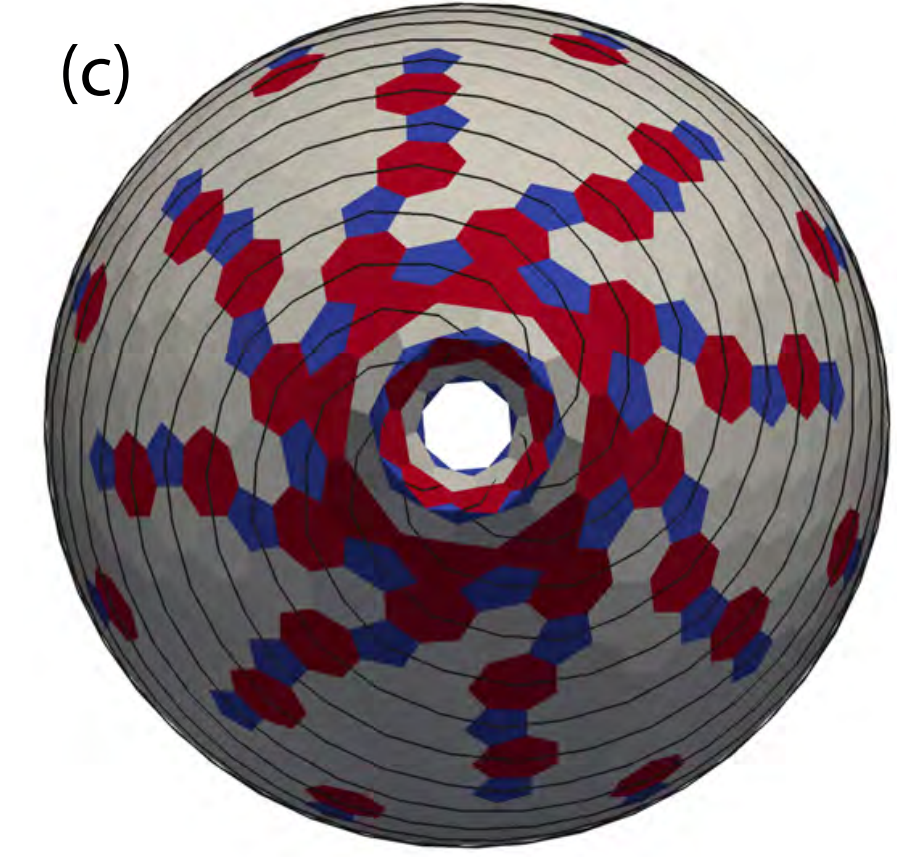
(a)



(b)



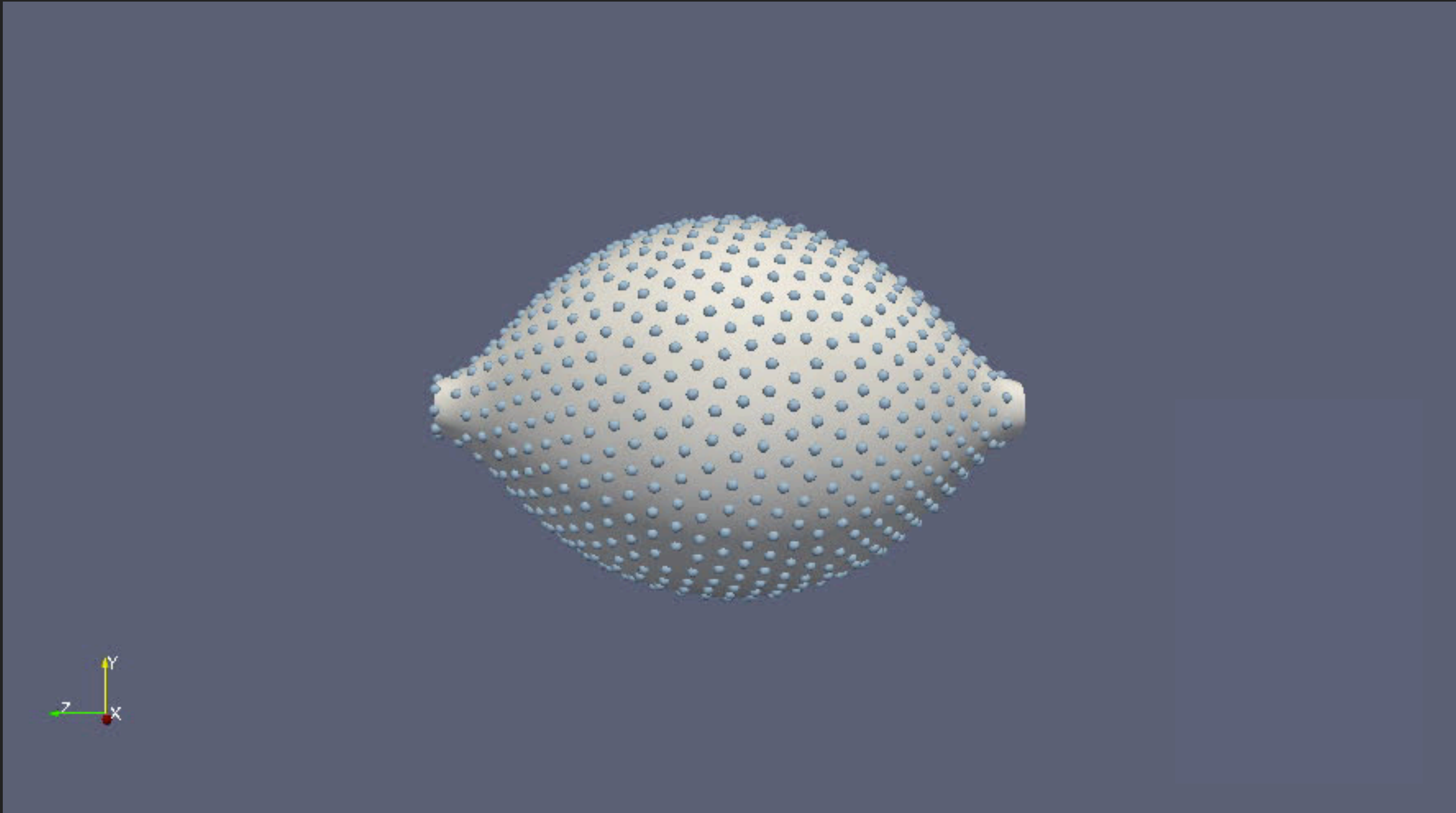
(c)



(Perotti, et al., submitted)

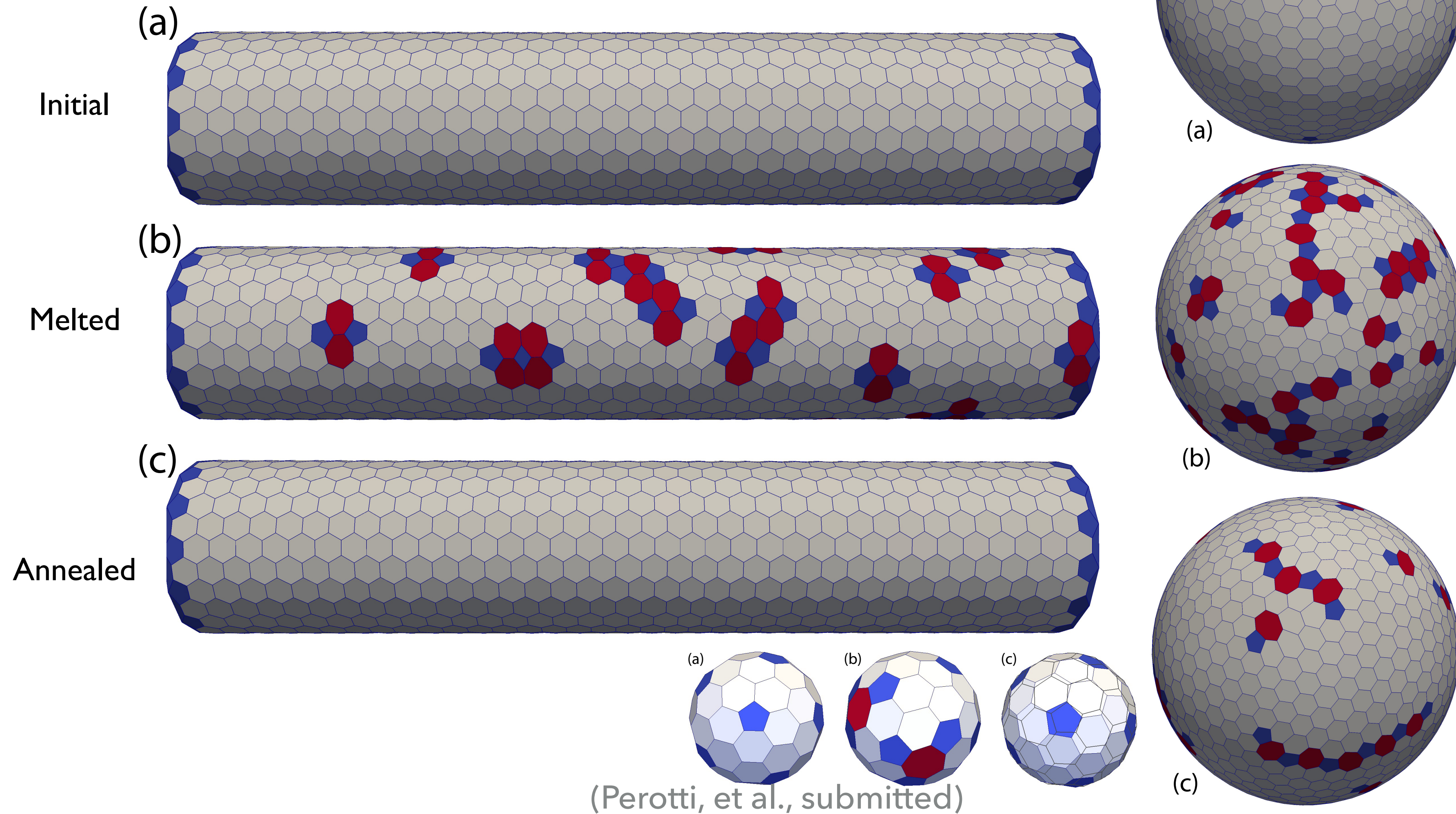


# ANNEALING





# ANNEALED STRUCTURES

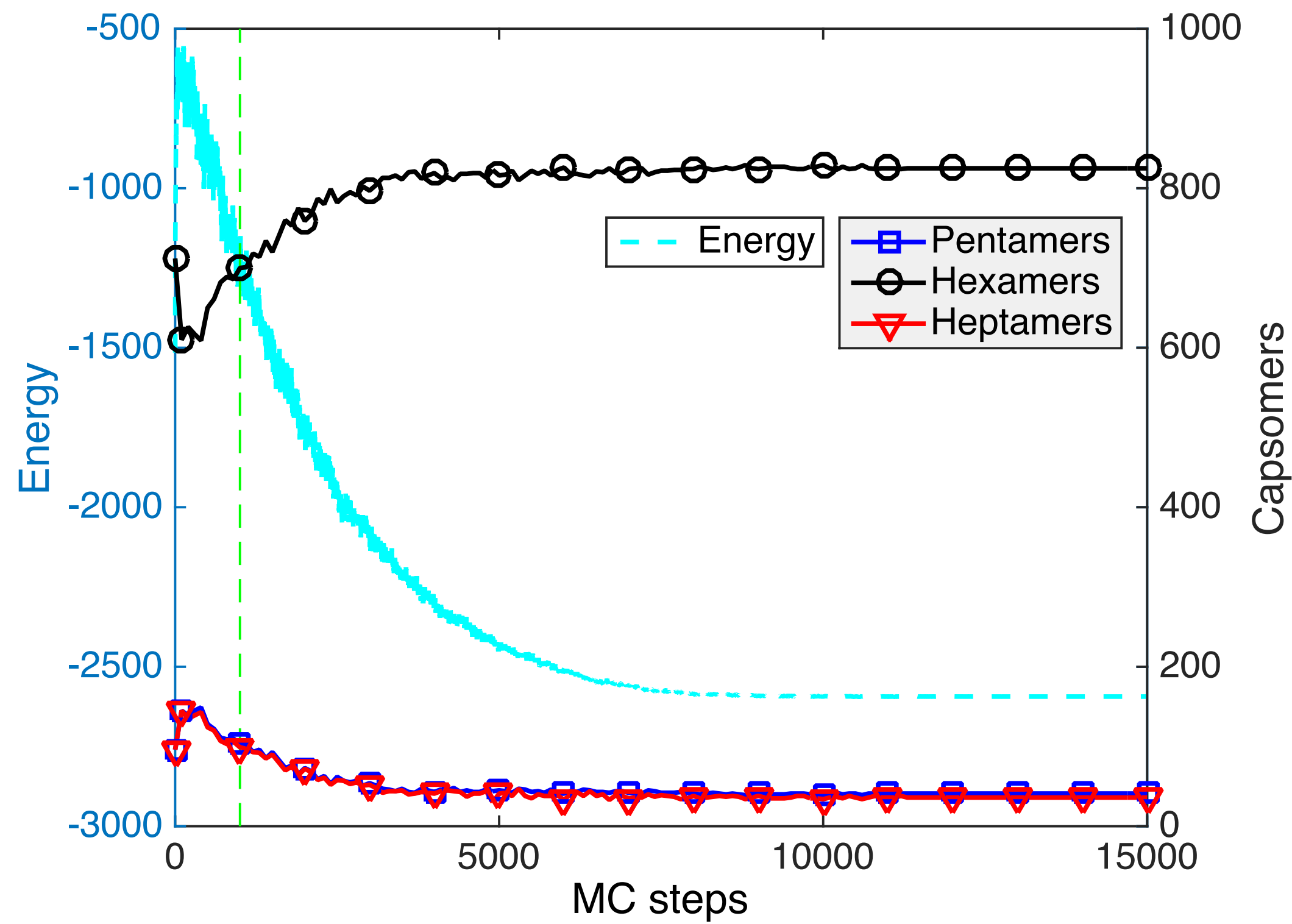




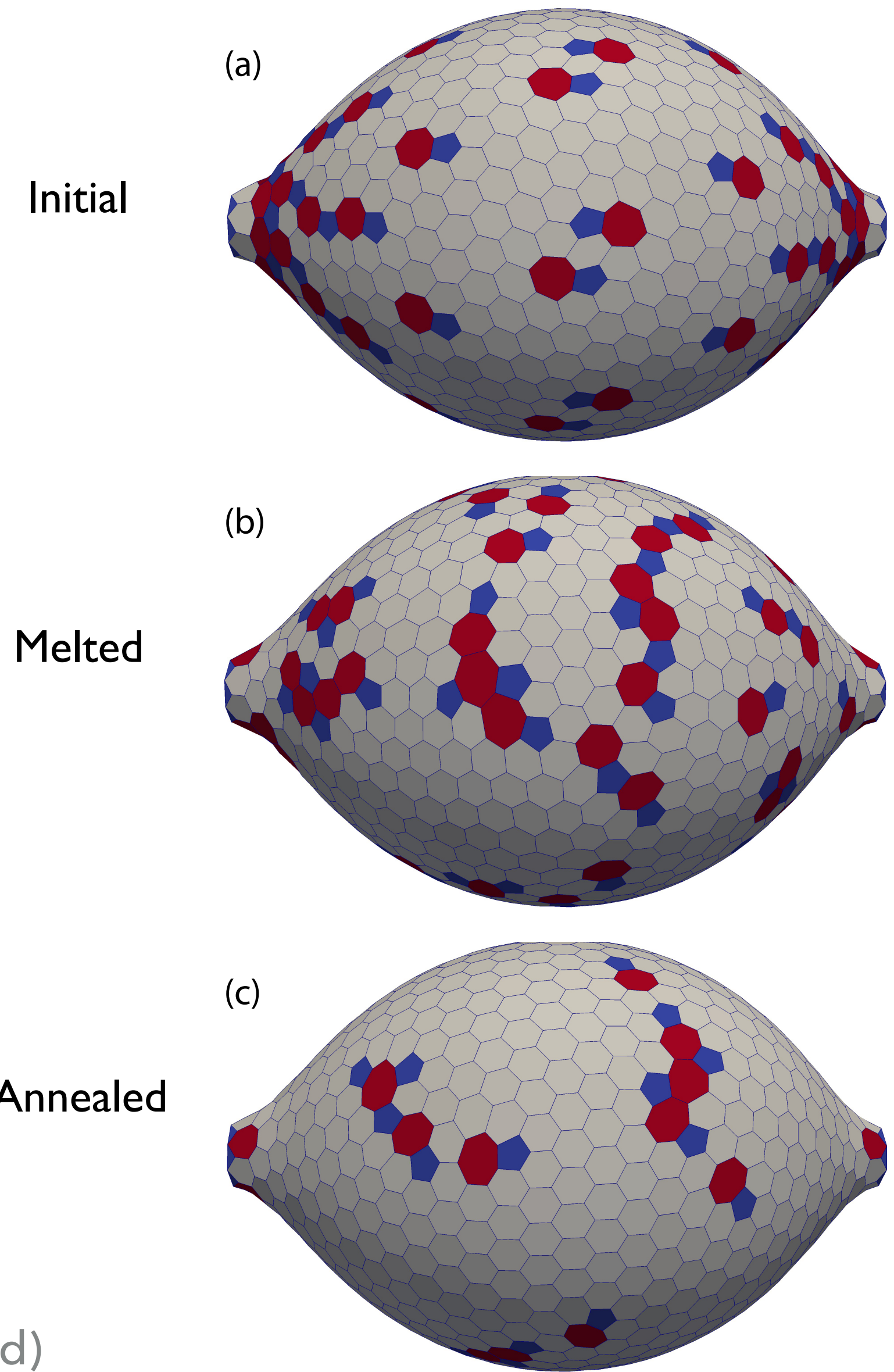
# ANNEALED STRUCTURES

Monte Carlo simulation of Lennard-Jones Particles on unduloid surface

$$V(r) = \epsilon \left[ \left( \frac{r_m}{r} \right)^{12} - 2 \left( \frac{r_m}{r} \right)^6 \right]$$

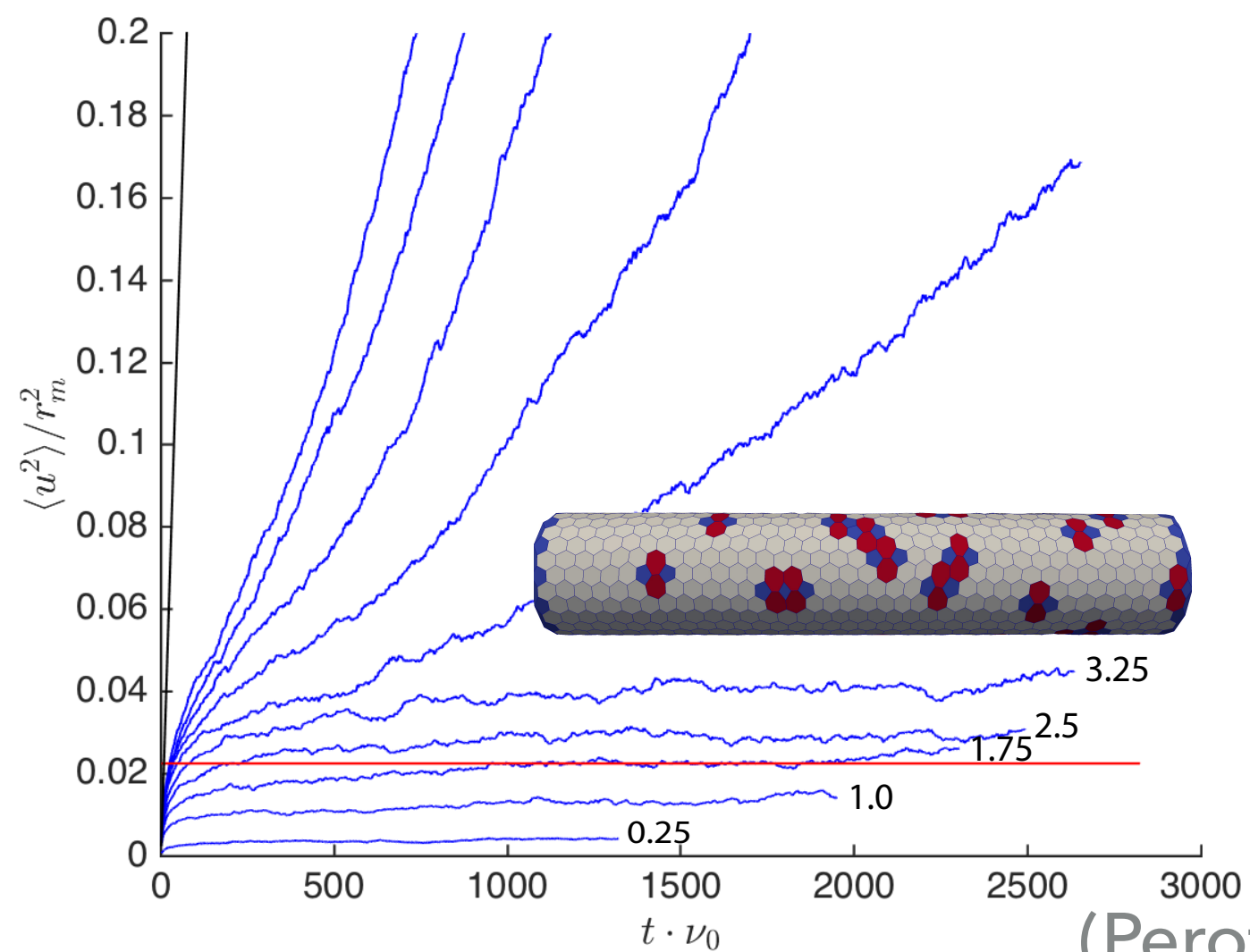
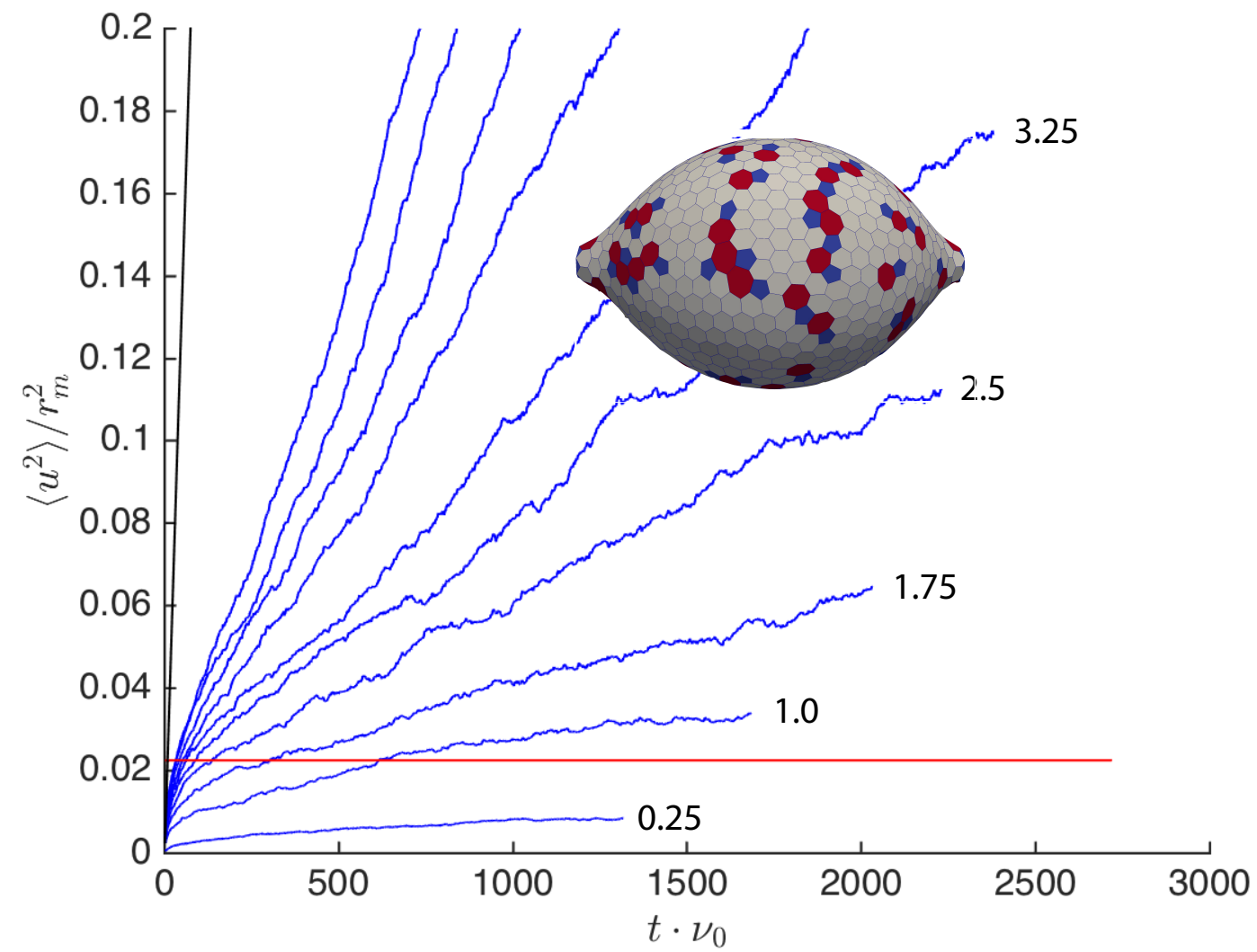


(Perotti, et al., submitted)

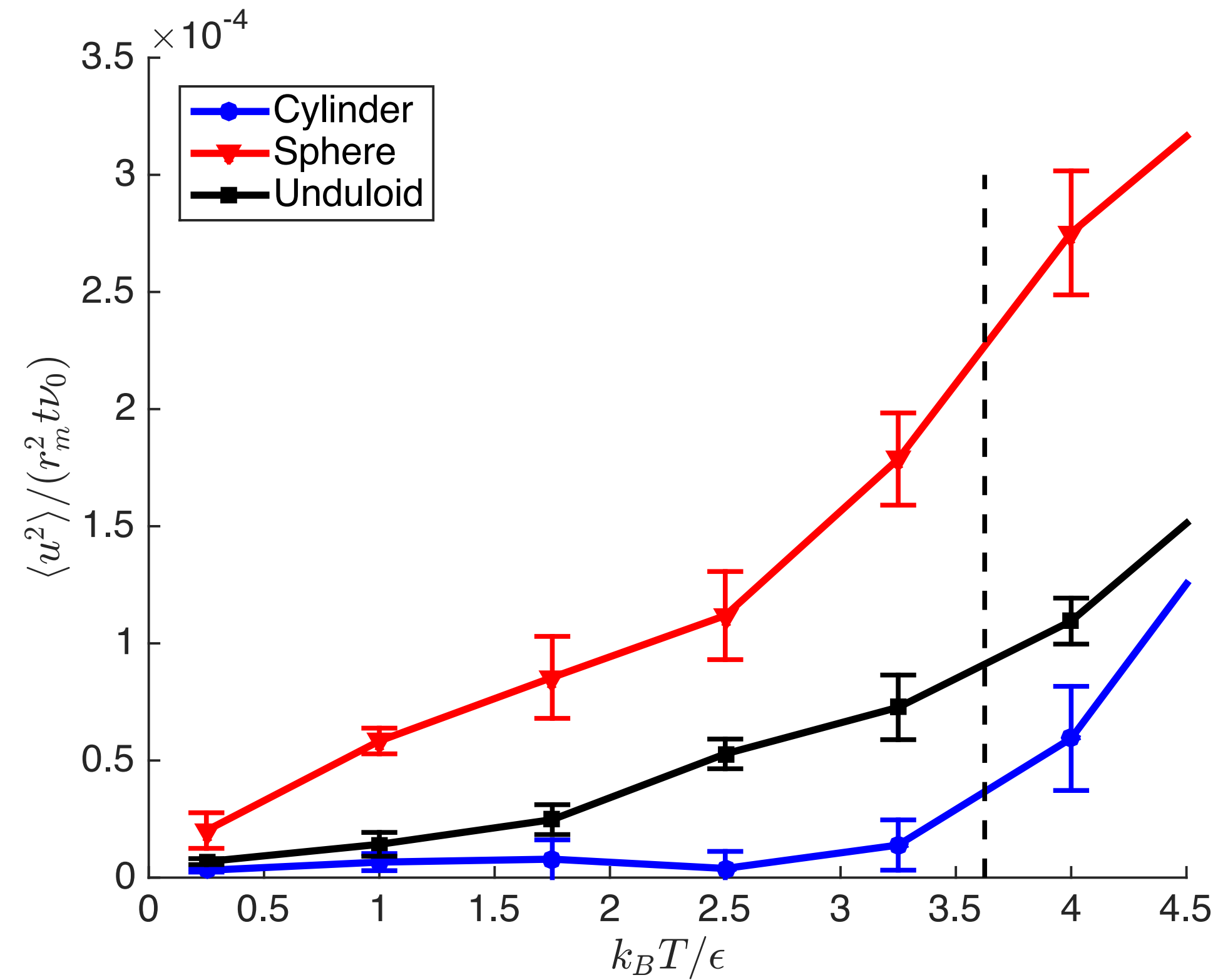




# TRANSPORT

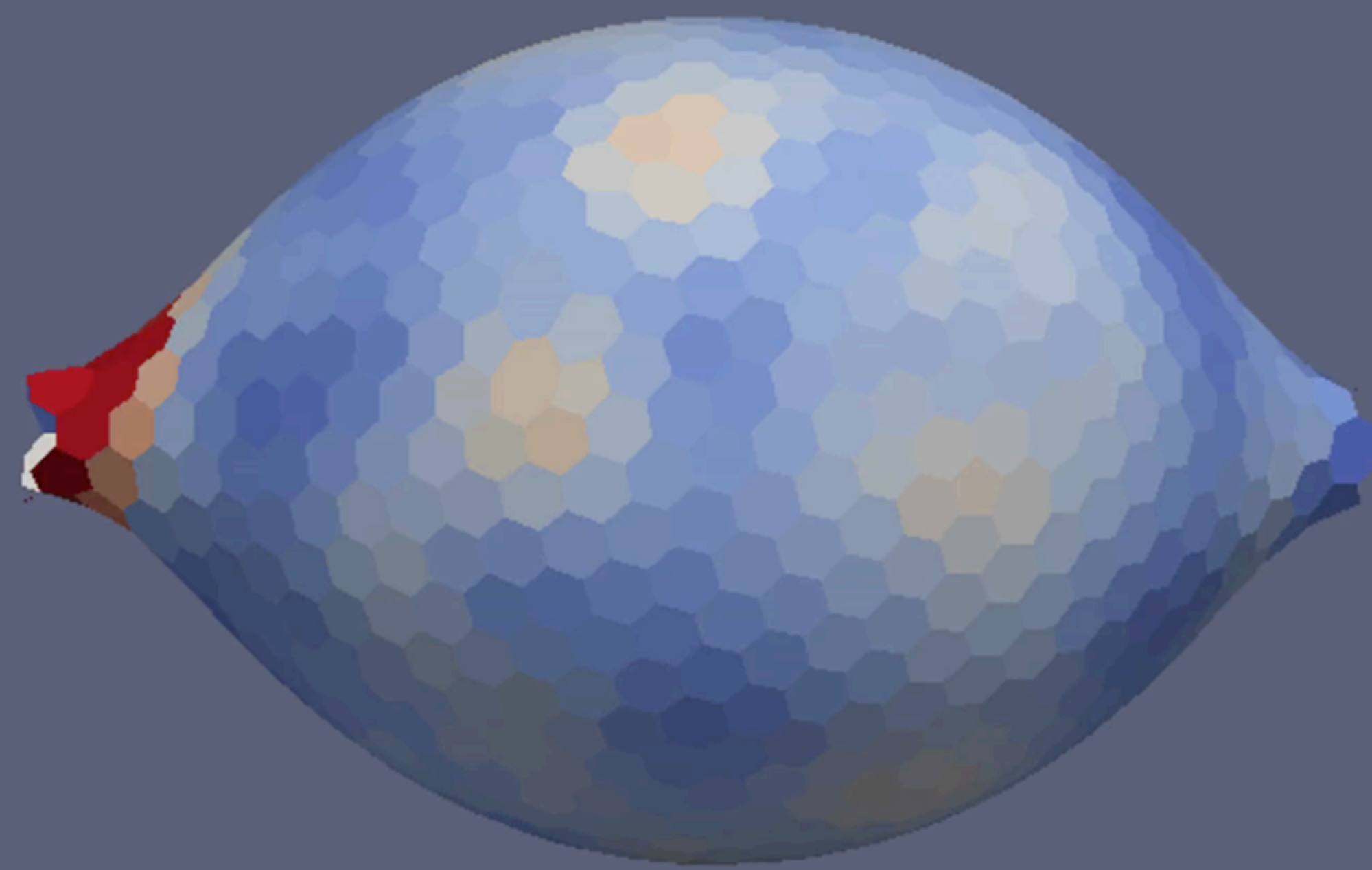
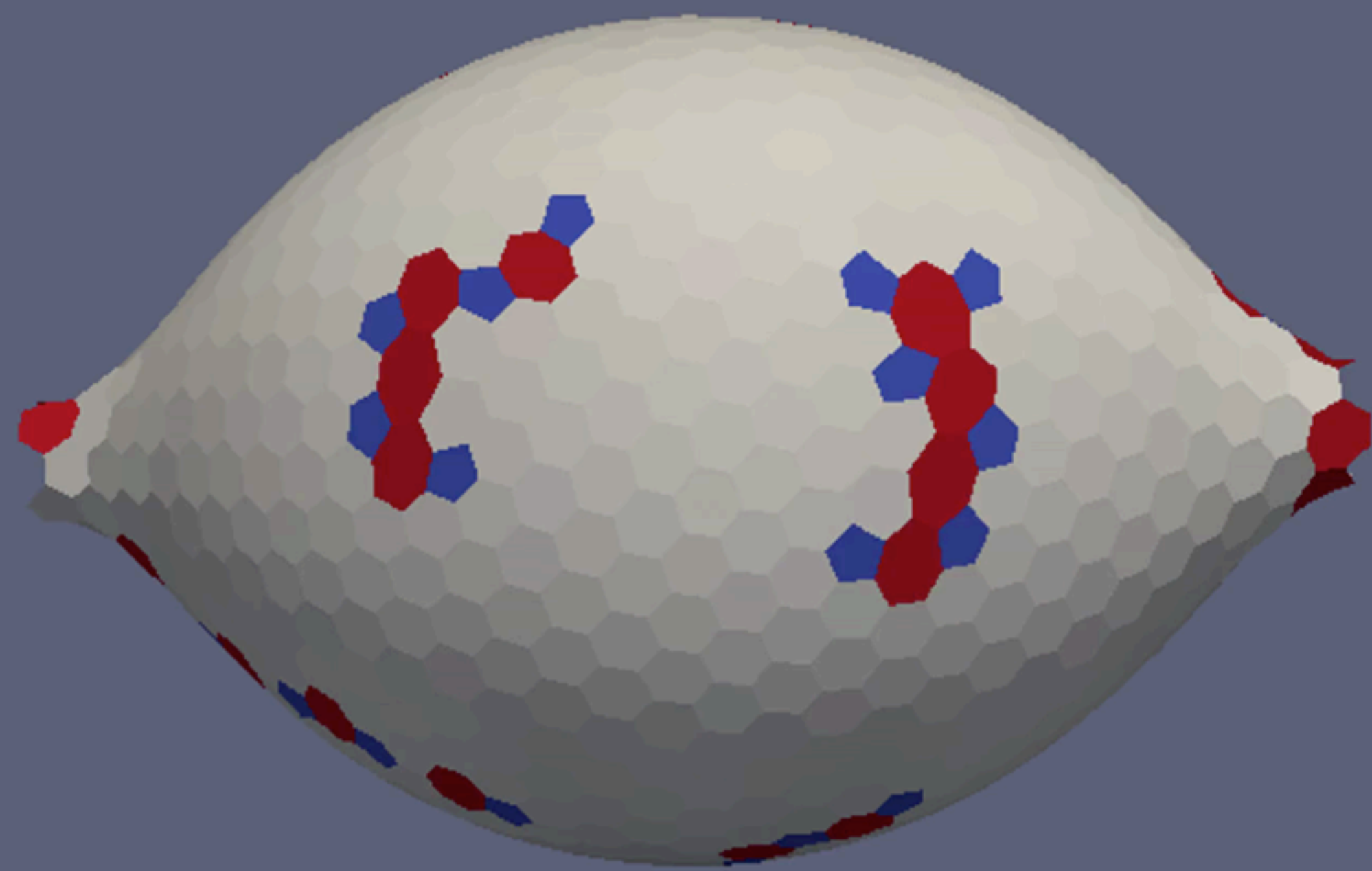


## Diffusion of Defects enhances fluidity



(Perotti, et al., submitted)

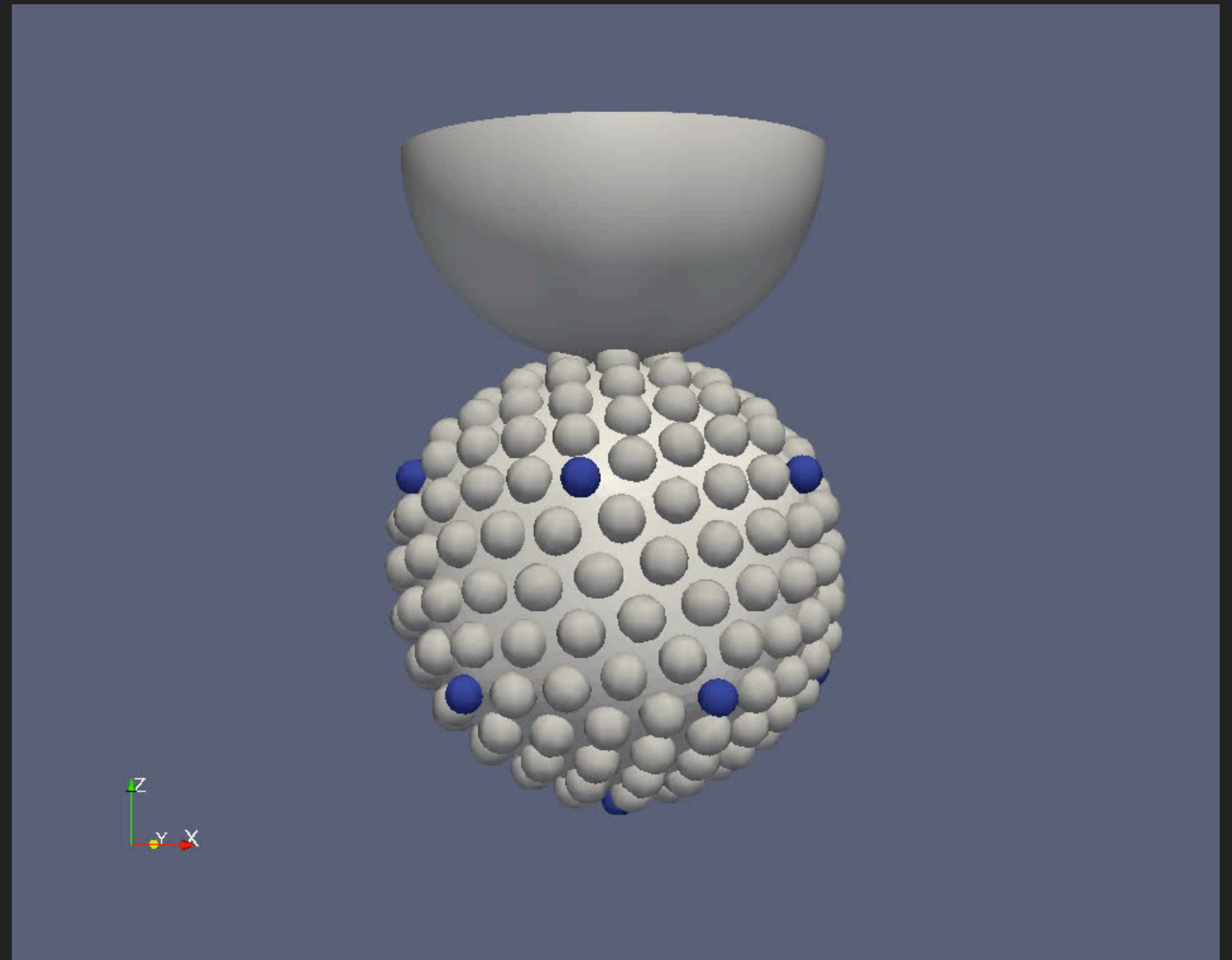
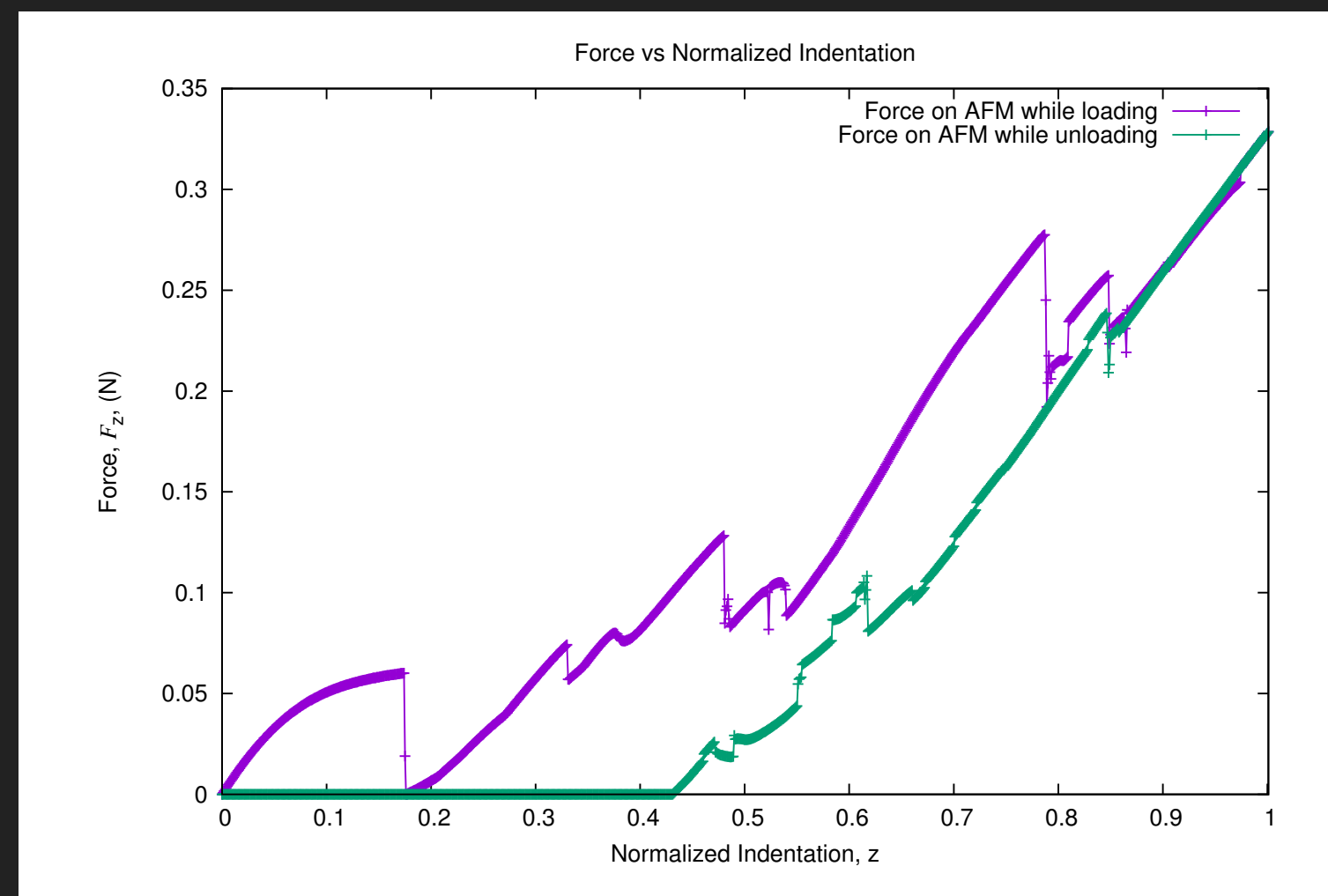






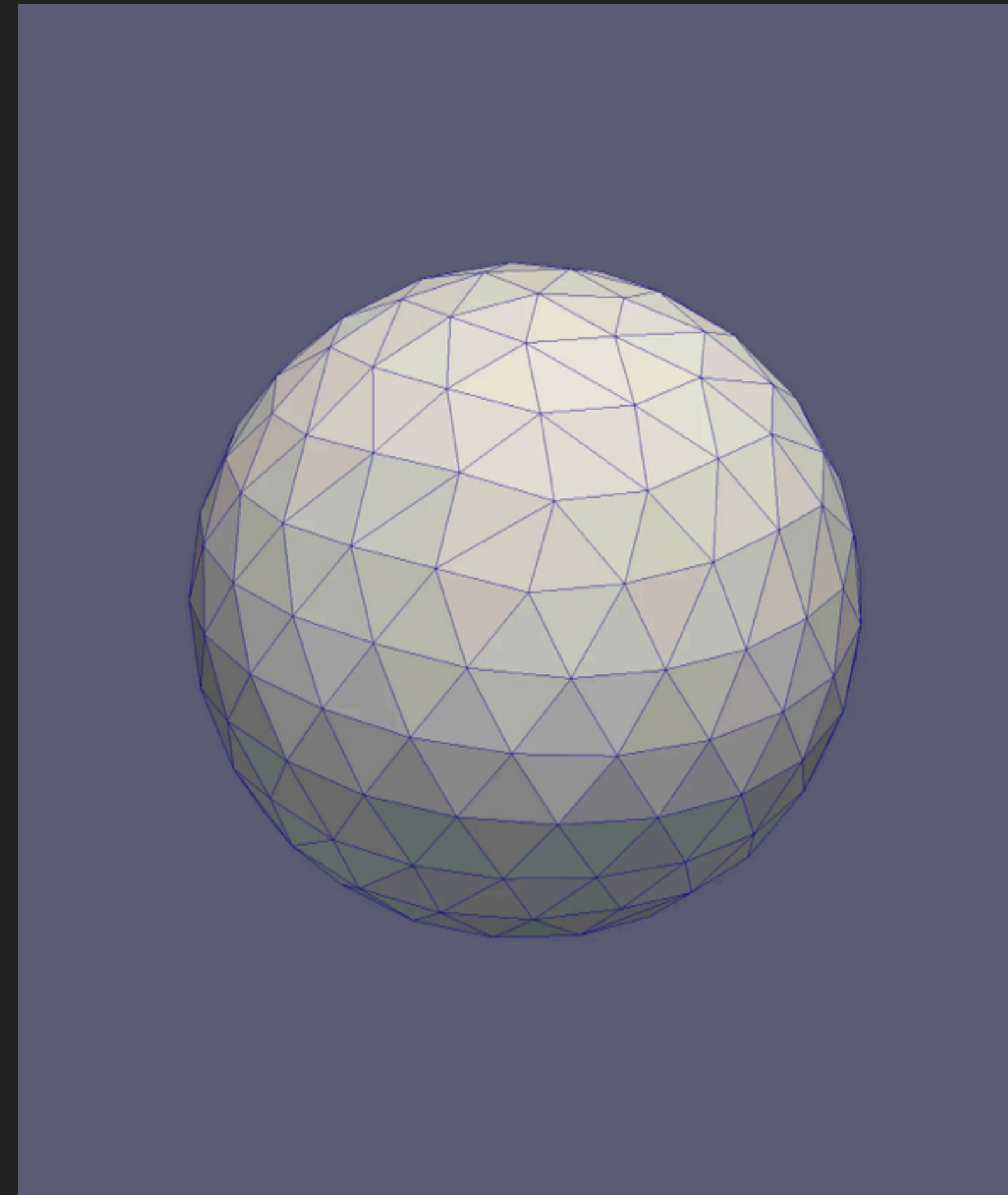
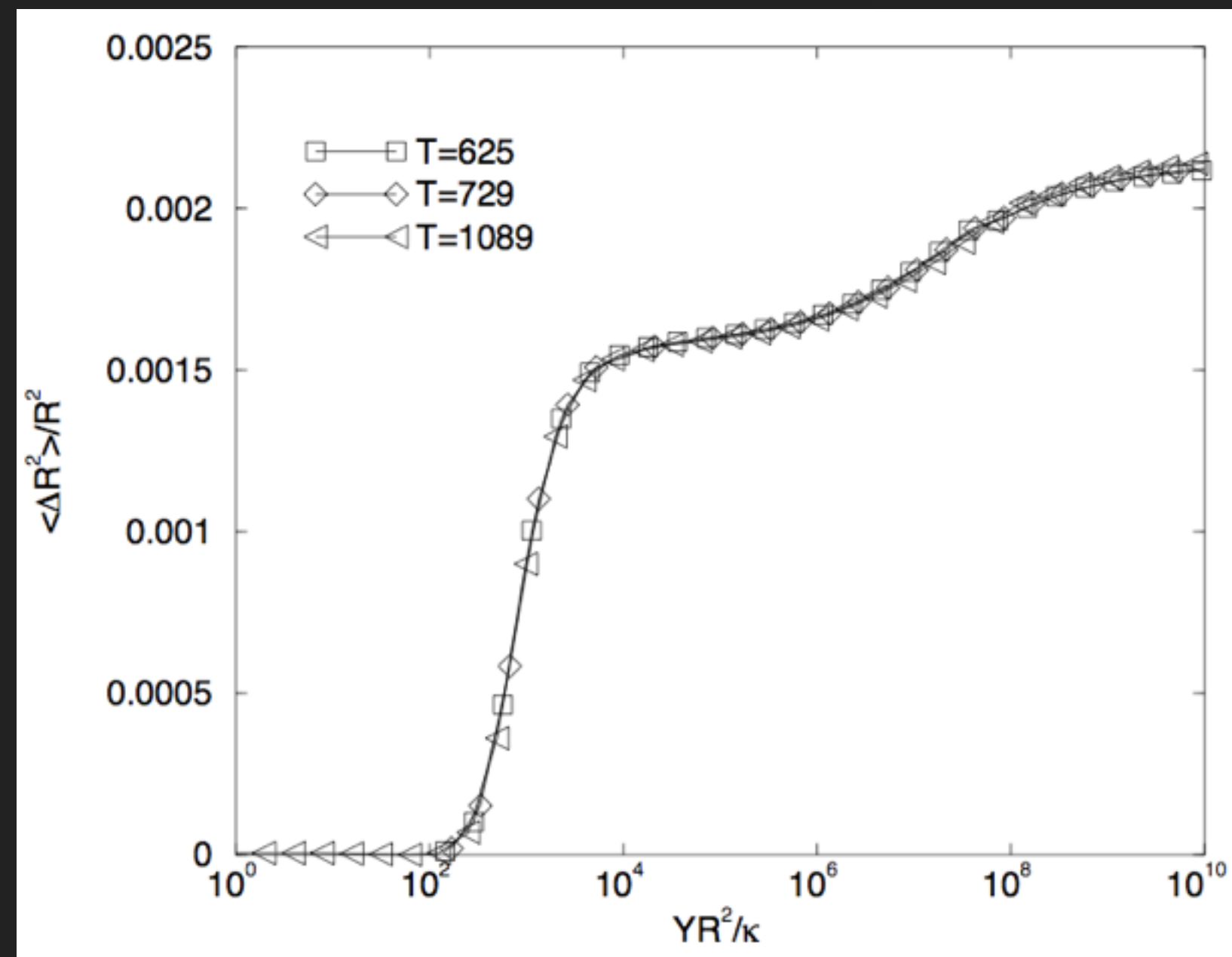
# AFM INDENTATION

- ▶ Hybrid particle-continuum model:
  - ▶ Curvature bending energy
  - ▶ L-J particle interactions



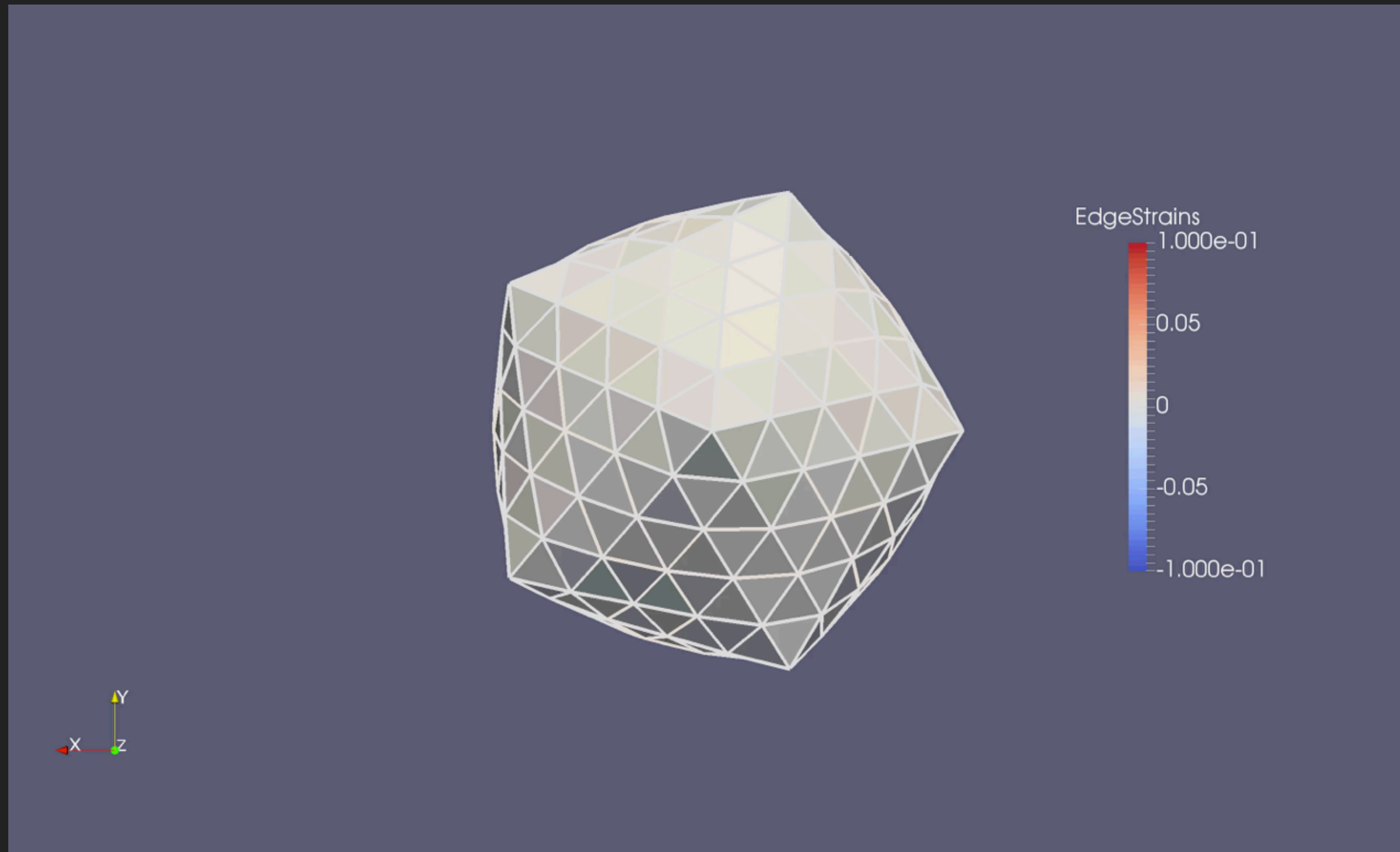


## EFFECTIVE FVK NUMBER?





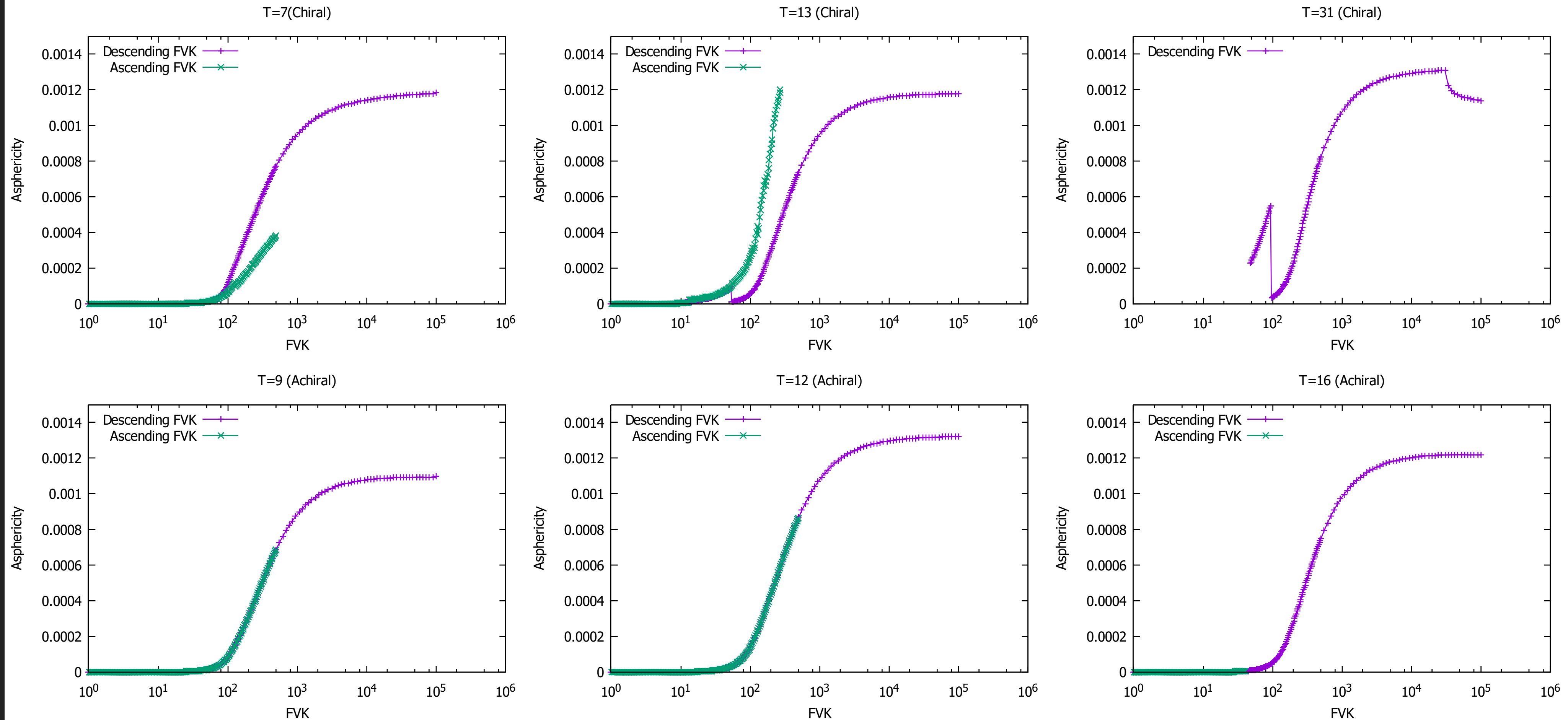
# ADD PRESSURE TO STABILIZE CRUMPLING





# HYSTERESIS IN CHIRAL SHELLS

Asphericity Vs FVK with Hysterisis for Chiral and Achiral T-numbers





## QUESTIONS TO THINK ABOUT

- ▶ What is the reference configuration for a protein shell?
  - ▶ Can we know?
  - ▶ Treat it as a degree of freedom?
- ▶ How do we classify/understand pleomorphic capsids?
  - ▶ Solid? Fluid? Something else?
  - ▶ Anisotropy?
- ▶ Better ways to model defect motion in disordered solids?



# ACKNOWLEDGEMENTS

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**Joe Rudnick**



Martin Lawrence



Thanks for your attention!