

# Vacancies-Excitons Mechanism of Supersolidity (in helium?)

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## **Collaborators:**

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The Supersolid State of Matter  
KITP, 2006

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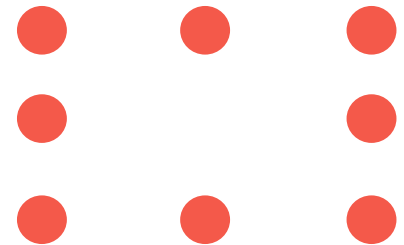
Intriguing possibility for quantum crystal:

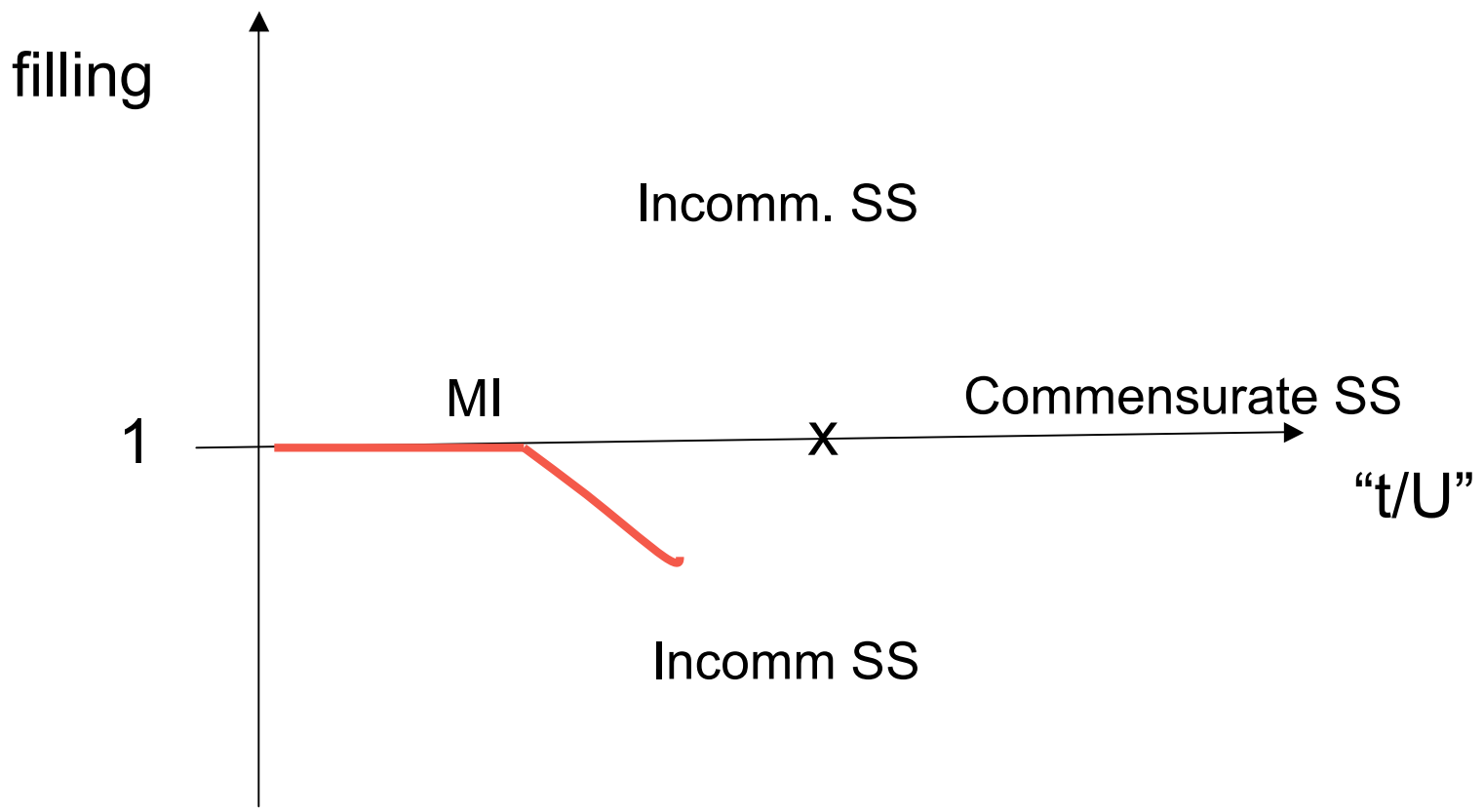
- $\rho(r) = \rho_0 \cos(G \cdot r)$
- *but* atoms mobile
- mobile atoms (bosons) can Bose condense
- exhibit superfluidity
- SUPERSOLID

- NCRI observed in solid He4 by 3 groups
- Bulk, equilibrium property?

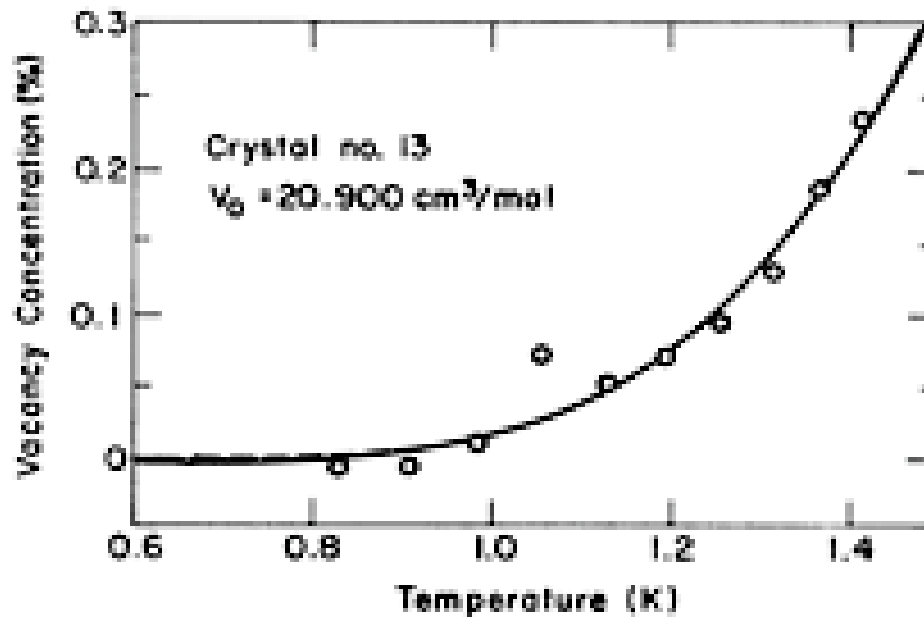
# Vacancy mechanism of supersolid

- Andreev and Lifshitz - quantum fluctuations favor finite density of vacancies even at  $T=0$ . Vacancies are mobile and can Bose condense.
- Chester - Jastrow wavefunctions generally have ODLRO, including ones describing solid order. Speculate due to vacancy condensation.
- Presence of vacancies in supersolids necessary provided there is no vacancy-interstitial symmetry, shown by Prokofev and Svistunov recently.





But expt => vacancies activated



X-ray data  
Simmons

data fit to  $c(T) \sim \exp -(f/kT)$

$E_v \sim 10 \text{ K}$

- Supersolid He4 not observed until Kim and Chan's expt
- Previous expts and theoretical calculations place strict limit on vacancy density in normal solid
- High activation energies for defects  
 $E_v \sim 10 - 15 \text{ K}$        $E_i \sim 50 \text{ K}$

Quandary:

How can defects of such high activation energies condense at low temperature,  $T_c \sim 0.2 \text{ K}$ ?

We provide one resolution to this quandary.  
New mechanism for vacancy condensation.

# Proposed Resolution

- **First order transition**

At  $T=0$ ,  $n_v = 0$  in normal solid  
finite in supersolid

- **“Vacuum” switching**

vacancies condense in background of another type  
of defectons called **“excitons”**

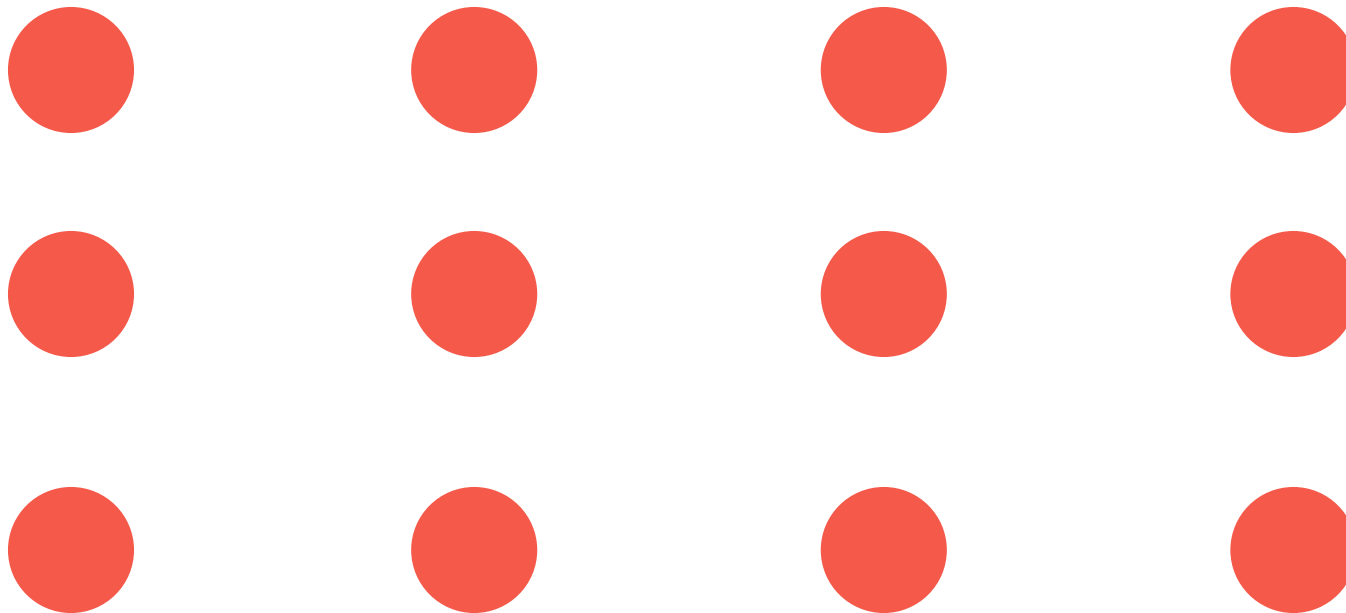
- **Normal-Supersolid transition accompanied by**

Commensurate-incommensurate transition

Change in local density profile

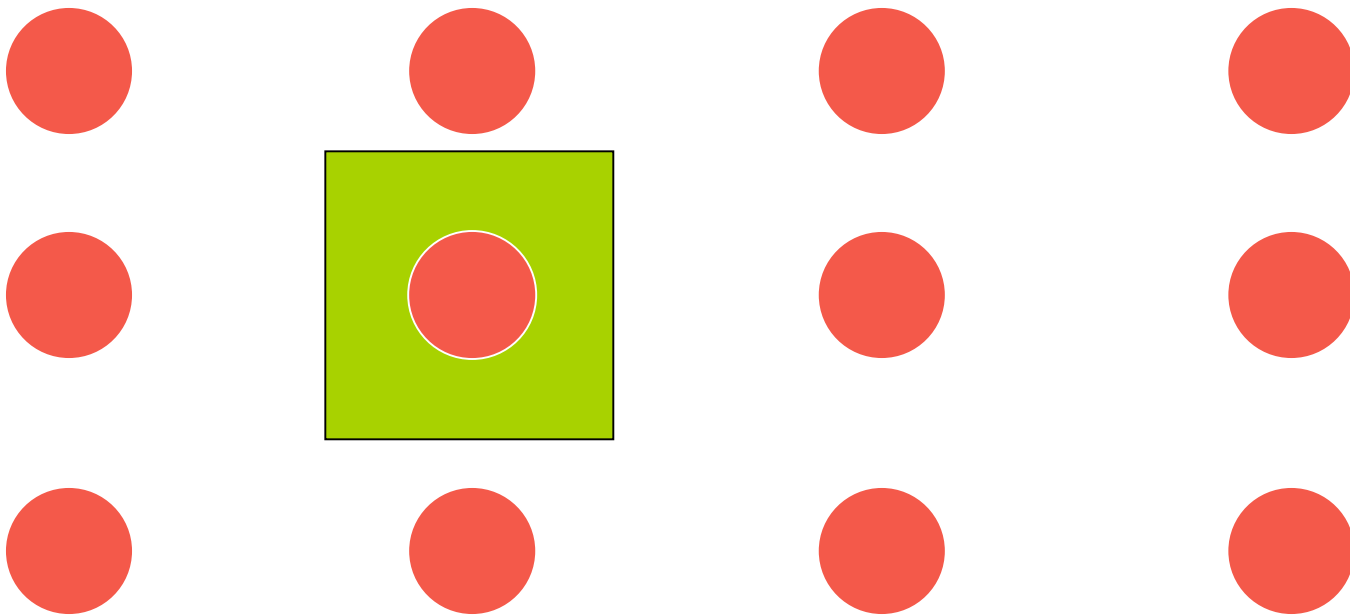
# Andreev-Lifshitz Vacancy Model

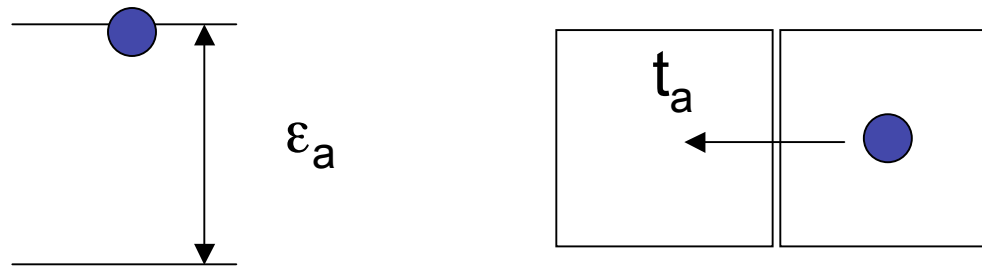
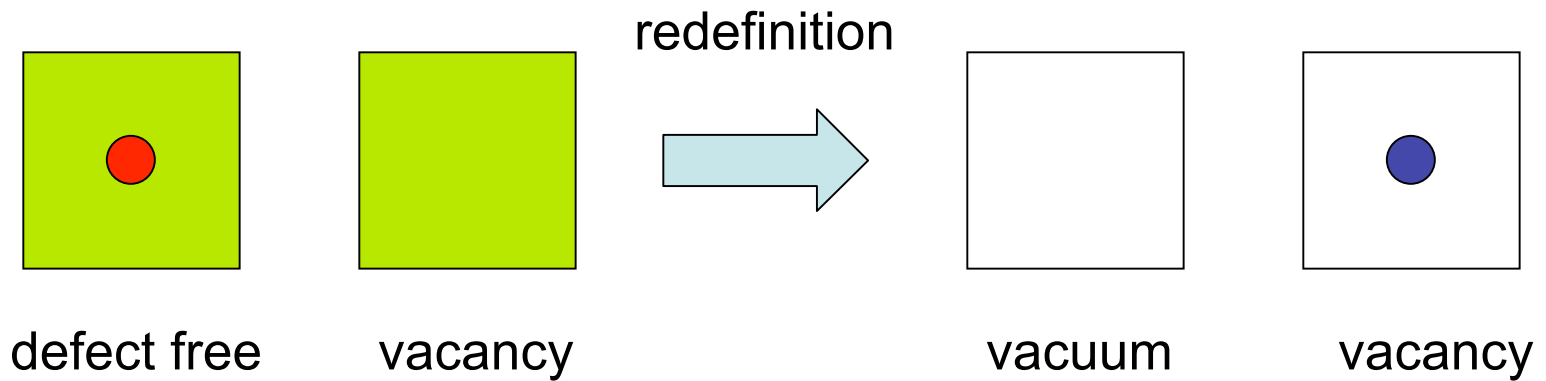
Defect free solid - Mott insulator





# Andreev-Lifshitz Vacancy Model



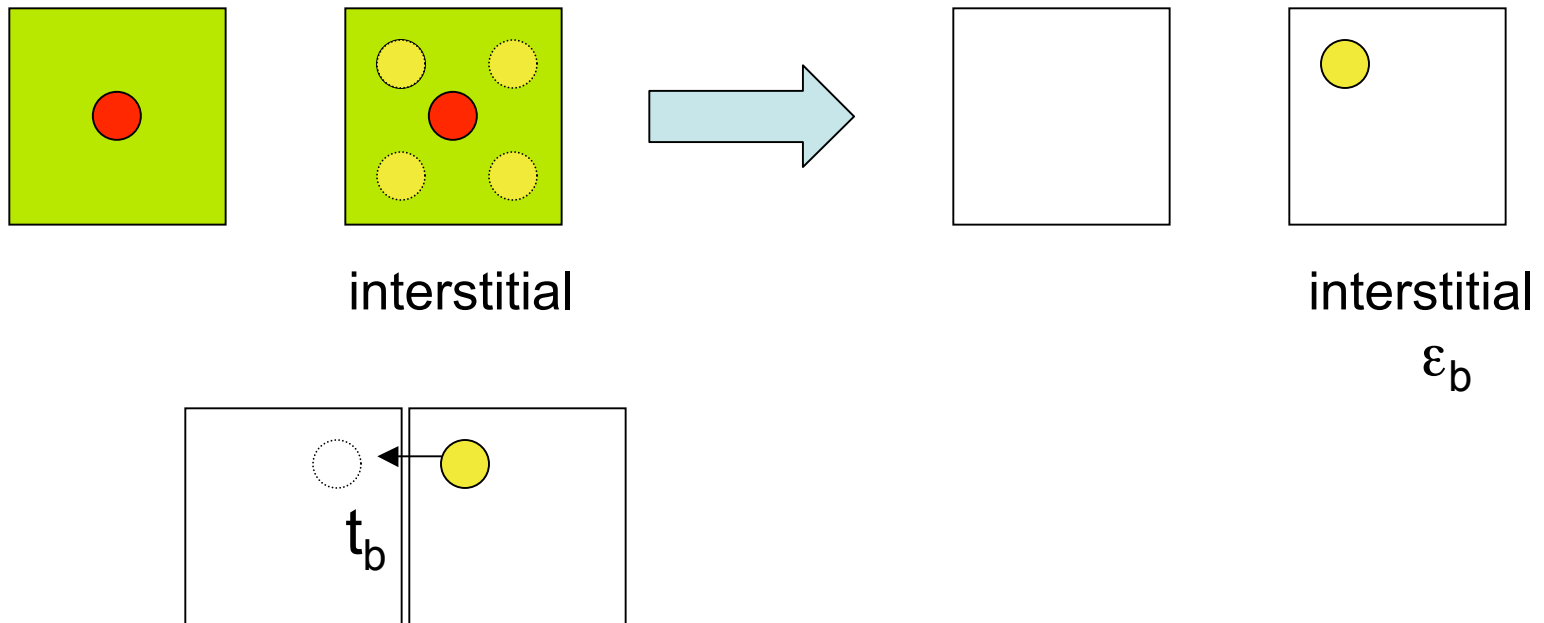


$$E_v = \epsilon_a - zt_a$$

If  $E_v < 0$ , spontaneous creation of vacancies at  $T = 0$   
 Such vacancies will Bose condense

$E_v < 0$  not supported by expts or theories

# Interstitial Model



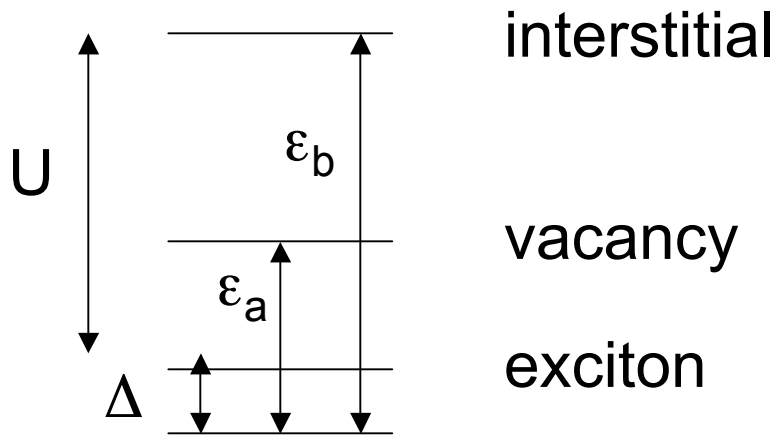
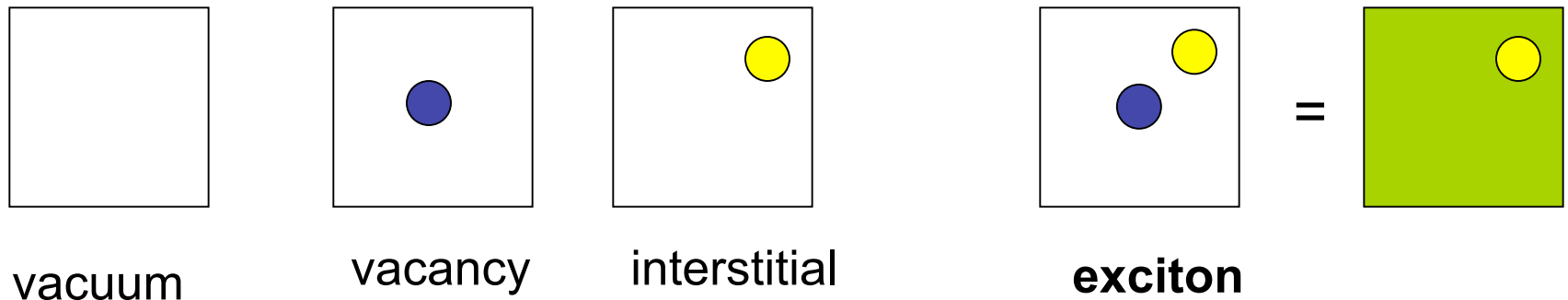
$$E_b = \epsilon_b - z t_b$$

$$t_b > t_a, \text{ but } \epsilon_b \gg \epsilon_a, \text{ so } E_b > E_a$$

Interstitial condensation even more unfavorable.

# Have Your Cake and Eat it Too Model

Third type of defect : bound vacancy-interstitial or “exciton”



$$\epsilon_b \gg \epsilon_a > \Delta$$

Key physics:

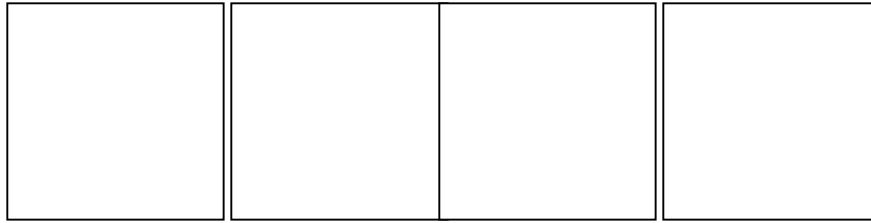
Vacancies can Bose condense easier over exciton background than over defect free background

- activation energy  $\varepsilon_a - \Delta < \varepsilon_a$
- vacancy hops with  $t_b$ , not  $t_a$

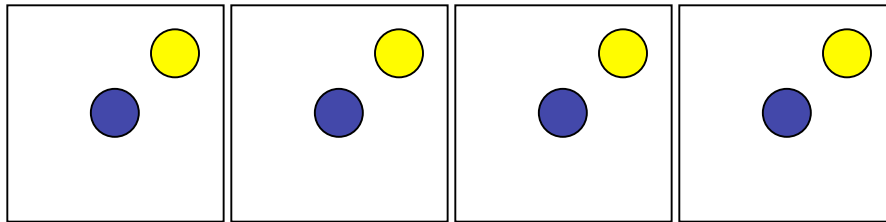


Instability criteria  $\varepsilon_a - \Delta - zt_b$

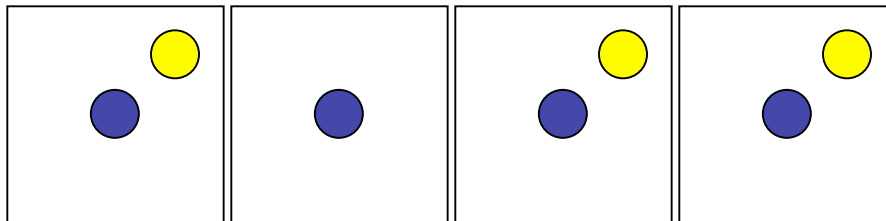
If condensation amplitude sufficiently large,  
condensation energy  $> \Delta$   
vacancies Bose condense



stable normal solid  
defect free  
 $n_{\text{ex}} = n_{\text{v}} = 0$



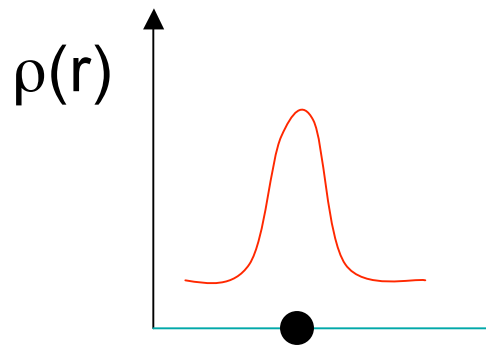
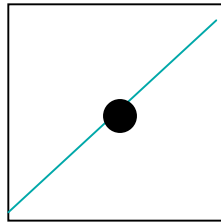
unstable normal solid  
defect rich  
 $n_{\text{ex}} \neq 0, n_{\text{v}} = 0$



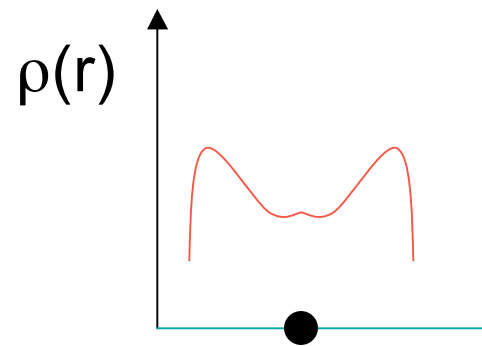
stable supersolid  
defect rich  
 $n_{\text{ex}} \neq 0, n_{\text{v}} \neq 0$

- $T = 0$  transition first order
- normal - supersolid transition  
*commensurate - incommensurate transition*  
*change in local density profile*

# Change in Local Density Profile



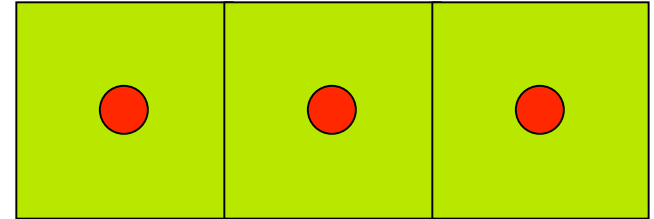
Normal Solid



Supersolid

# Microscopic Wavefunction

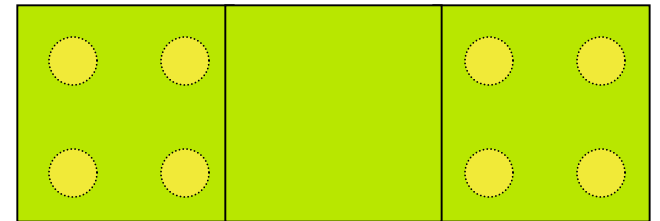
**Normal solid**  $\psi = \prod_{i=1}^N b_i^+ |vacuum\rangle$



$b_i^+$  creates a He atom in localized state  $\phi_i = \phi(r-R_i)$

commensurate  
 $\phi$  has single peak

**Supersolid**  $\psi_{SS} = \prod_{i=1}^{N_0} (u + va_i^+) |vacuum\rangle$



$a_i^+$  creates a He atom in localized state  $\chi_i = \chi(r-R_i)$

$|u|^2$  = vacancy fraction

$N < N_0$ , incommensurate  
 $\chi$  less localized than  $\phi$ , perhaps even multipeak



# Equivalence between Jastrow and Nosanow-Jastrow wavefunctions with vacancies

$$\begin{aligned}\psi_{SS} &= \prod_{i=1}^{N_0} (u + va_i^+) |vacuum\rangle \\ &\equiv P_G \left( \sum_{i=1}^{N_0} \frac{v}{u} a_i^+ \right)^N |vacuum\rangle \\ &\sim P_G \left( \int dr \sum_{i=1}^{N_0} \chi(r - R_i) \psi^+(r) \right)^N |vacuum\rangle \\ &= P_G \prod_{\alpha} \left( \sum_{i=1}^{N_0} \chi(r_{\alpha} - R_i) \right)\end{aligned}$$

# Single-Site Mean Field Theory

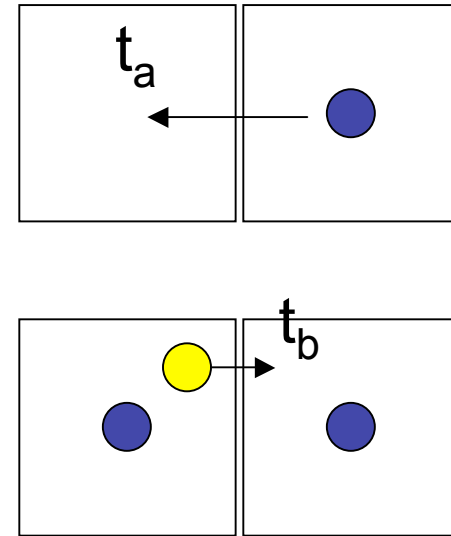
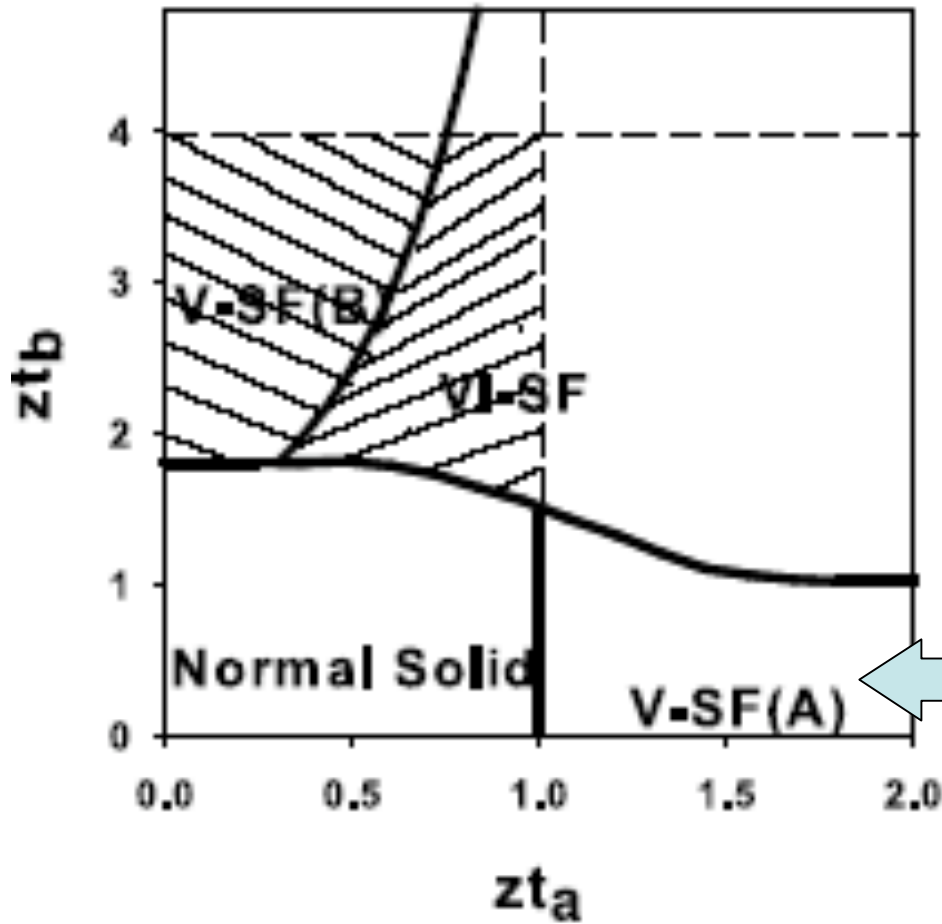
- Decouple K.E.

$$ta^{\dagger}a \rightarrow t\langle a^{\dagger} \rangle a + ta^{\dagger} \langle a \rangle - t\langle a^{\dagger} \rangle \langle a \rangle$$

$\langle a \rangle$  solved self-consistently to give Bose condensed amplitude

- $E = E_{MF} +$  elastic energy for change in lattice constant
- Respect strong on-site correlations (hard core)
- Successful for other lattice boson models for  $d \geq 2$  at  $T=0$
- Gives exact instability criteria for Andreev-Lifshitz Model
- Key results for  $T=0$  strengthened by quantum fluctuations

# T = 0 Phase Diagram



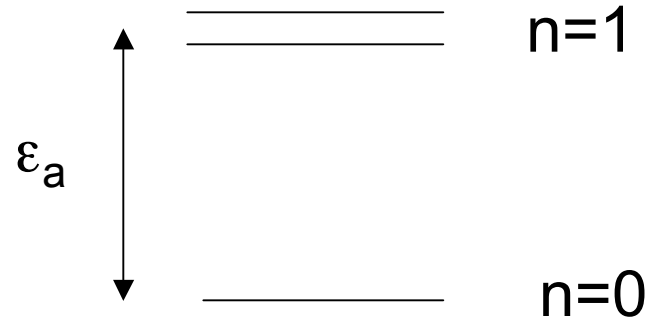
Andreev-Lifshitz

$$\varepsilon_b = 4 \varepsilon_a \quad \Delta = 0.2 \varepsilon_a$$

(b)

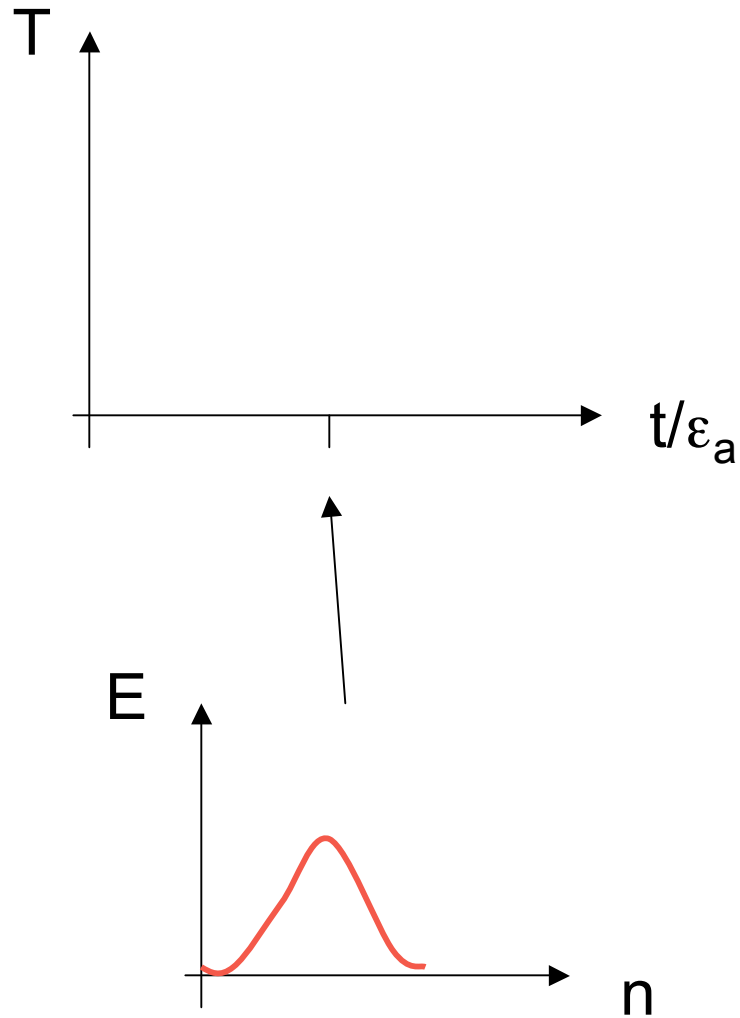
# Finite T

Illustrate with  $\varepsilon_b = \text{infinity}$ ,  $t_a = 0$ ,  $\Delta = \varepsilon_a$

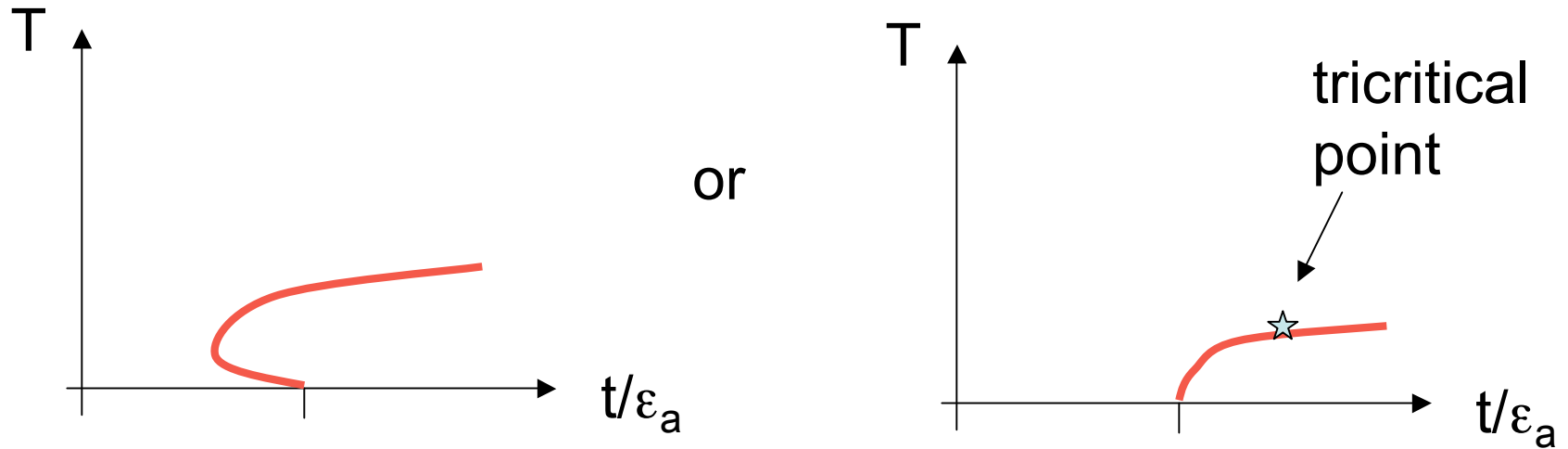


Two coupled order parameters:  
 $n = \langle n \rangle$ , defect concentration  
 $b = \langle b \rangle$ , condensate amplitude

# Finite T Phase Diagram (schematic)



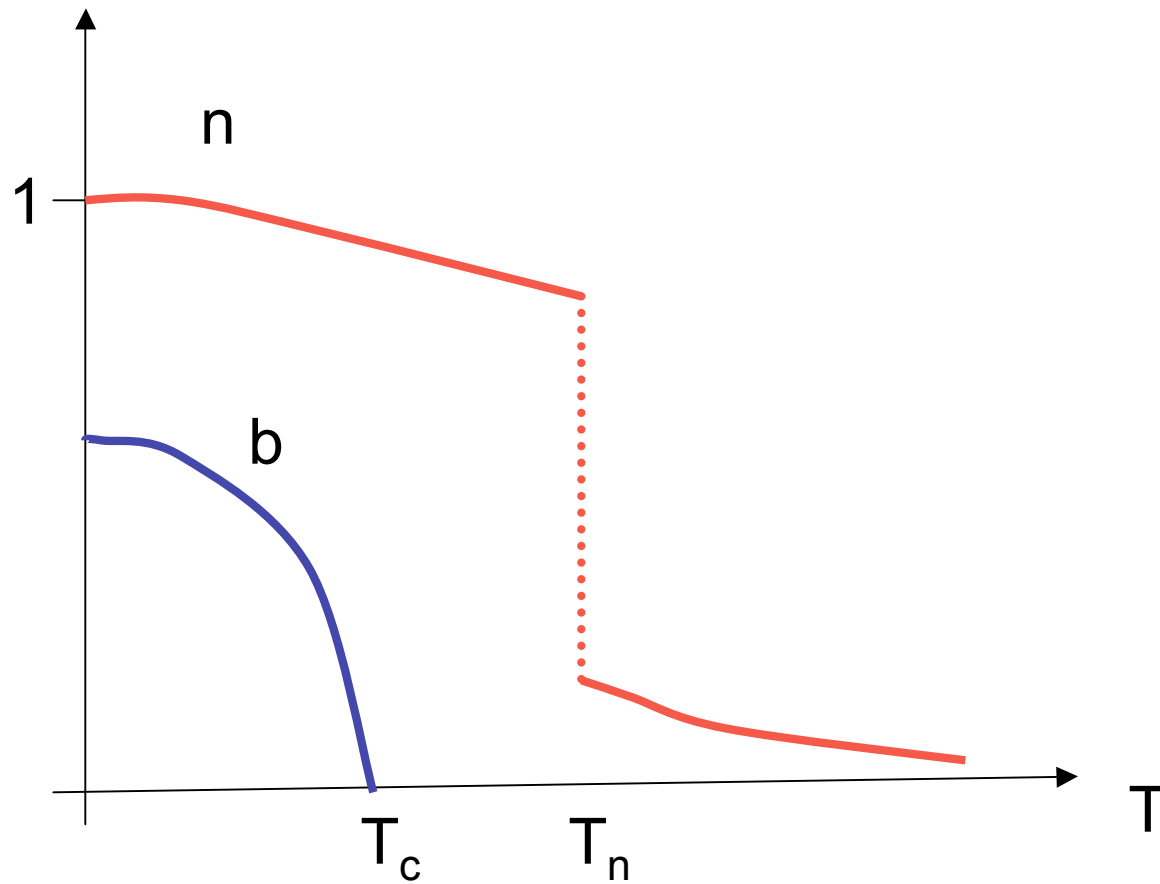
# Finite T Phase Diagram (schematic)



These are transition curves for n.  
NCRI is related to transition in b

# NCRI transition

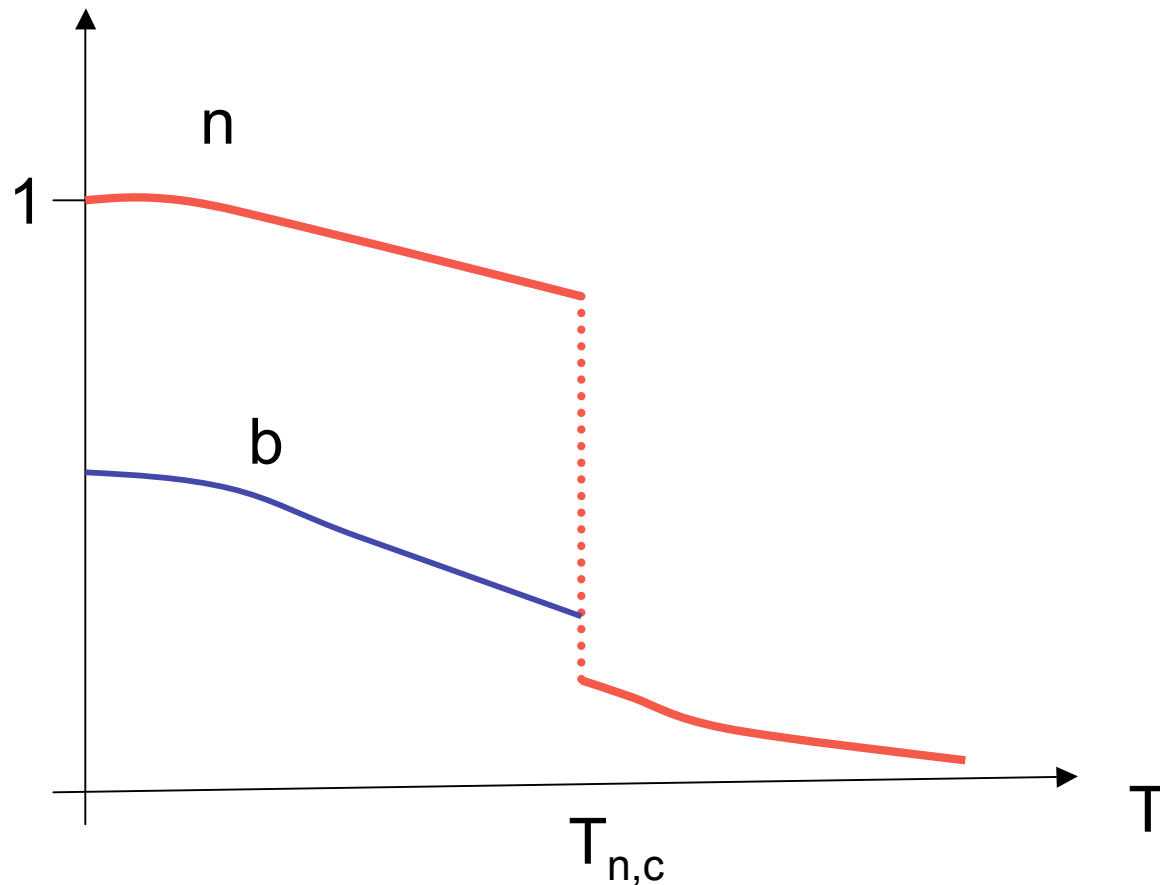
Possibility I



NCRI transition occurs below defect density transition  
transition second order

# NCRI transition

Possibility II



NCRI transition occurs with defect density transition first order

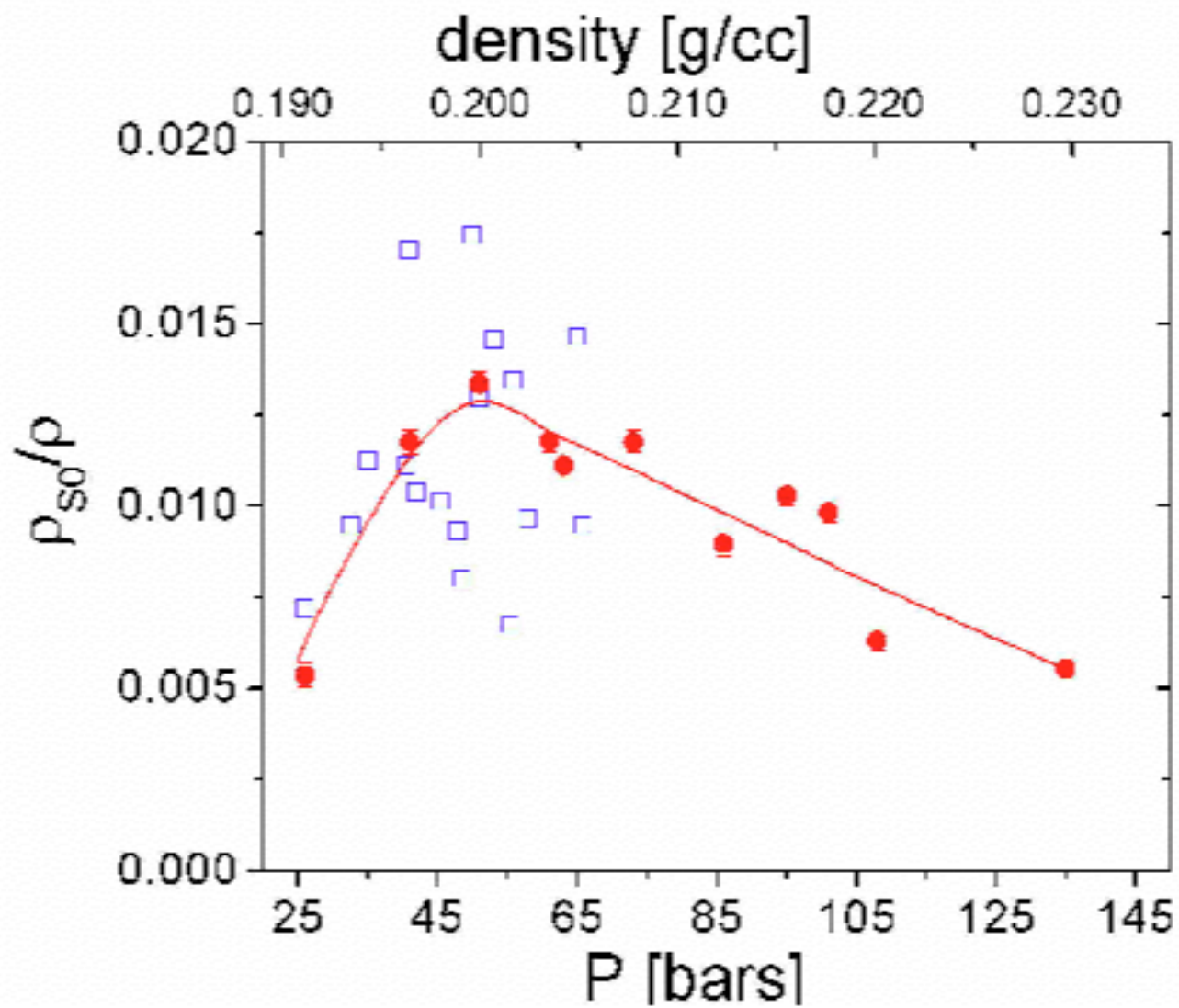


# *Casual* Comparison to Experiments

# T=0 Superfluid Density

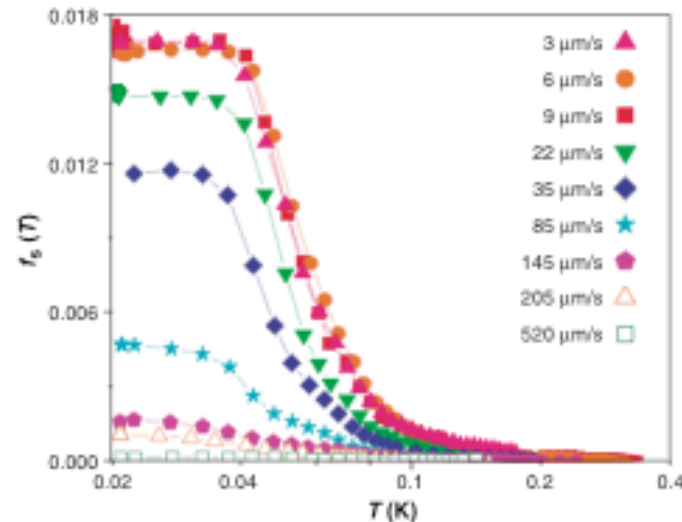
- Kim and Chan reported max  $\rho_s/\rho \sim 1\%$   
Our MFT gives 3 - 9%  
Value should be reduced by quantum (phase) fluctuations  
Fluctuations stabilize supersolid vs. defect free state
- More recent data shows  $\rho_s$  increasing then decreasing with pressure/density  
Within our model,  $\rho_s$  favored by small  $\Delta$ ,  $\epsilon_a$ , large  $t_{a,b}$   
,  $t_{a,b}$  may be non-monotonic with  $\rho$

# Penn State data



# Finite $T$ $\rho_s$

Finite  $T$



- data suggests transition smeared by disorder
- specific heat shows no critical behavior

Two possibilities for pure system:

- second order transition *not* in X-Y universality class
- first order transition

Transition is first order at  $T=0$  in our model

May also be first order at finite  $T$

# He 3 Impurities

Expt, with increasing He3 concentration (ppm):

- $T_c$  increases
- low T  $\rho_s$  decreases
- NCRI not observable beyond 0.1% He3 concentration

Qualitative agreement:

- He 3 favors defects due to its smaller mass  
=>  $T_c$  increases
- Impurities localize vacancies  
=> reduce  $\rho_s$  and eventually destroys Bose condensation  
(dirty bosons)

# Conclusions

- Vacancies can condense in solid He4 in spite of negative evidence from normal state
- Normal solid defect free, supersolid defect rich
  - first order transition
  - commensurate - incommensurate
  - change in local density profile
- No intrinsic contradiction between Kim and Chan's observation and existing normal solid data