


# Introduction & context

General framework: description of sp-time instantons in ST

- \* Effects of D-inst b.c. (prior <sup>to</sup> recognition of Dp-branes as solitons...) "Combinatorics of boundaries in ST" 9407032 Polchinski


 $\sim \frac{2\pi}{g_s} (\rightarrow 2\pi i \tilde{v})$  , exp needed  $1 + \frac{1}{2} \textcircled{1} \textcircled{2} \dots$   
 soliton  $\sim e^{2\pi i \tilde{v}}$  effect

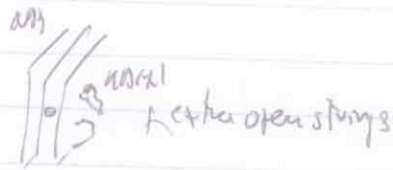
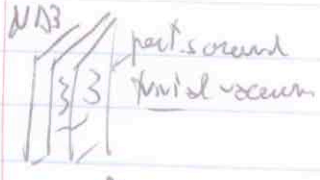
- \* (After D-branes) generalization to  $\tilde{D}$ -branes (p-like in sp-time, wrapped in int dir) as possible sources of n-p. connections

Early work, e.g., BBS 9507158, written 9604030, U.S. Grant 9612077 (role of mixed D-modes)

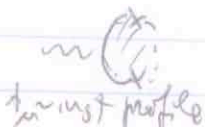
- \* Role of A1-1's for SL(2,Z) of Type IIB eff action (R<sup>4</sup> terms) 66 9701093, ...

- related comp: D-instanton partition function ( $\rightarrow$  moduli integration, see later)
- $\sim$  0-Dim SYM integral  $\rightarrow$  approach based on what's now known as "localization", by NMS 9803265; math techniques we will also use
- work on role of inst branes for other string dualities, in particular het / type I dualities (see later)

- \* Instantons as gauge solitons. D-1/D3 (regarded Dp-4/Dp) correspond to inst. kets of D3 gauge theory, reproduce ADM constr. of moduli space (written 9510135, Douglas 9512077, ...)



$S_{D3} \supset \int G \wedge F \wedge F$   
 $\sim$  kets as  $U(1)^k$



(billetol 0211250)



- much work on this (english group Day followed... results up to  $u=2$ )
- breakthrough by Nekrasov (2002) in  $d=2$  SYM instanton calculus

$$\mathcal{F}(\Phi) = \text{pert} + \sum_u c_u \left(\frac{1}{\Phi}\right)^{4k}$$

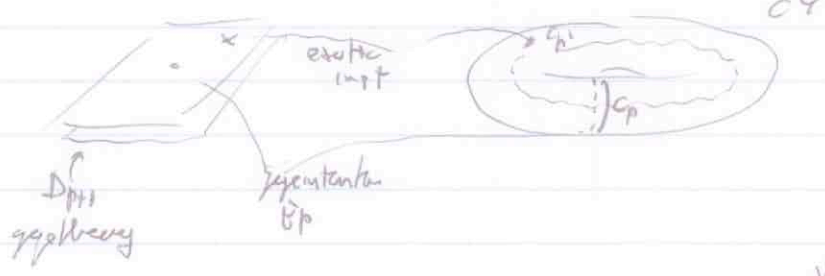
↑  
 explicitly enumerated by int. over moduli space of  $4k$   
 using "localization" techniques (see Cecotti (proposed by ok guys e.g. Romo group...))

Ref. these techniques due to MMS  
 are mentioned for  $d=2$  path functions

→ Much activity in the phenomenologically interesting context of  $d=2$  orientifolds  
 with D-branes, in particular considering v.p. cons. to the superpotential  
 (we heard some of it in last weeks talks by Rychka, (Rizov)...)

- effects in the closed sector (e.g. used for model stab. in KKLT, 0501240)
- and in the open sector, e.g. reproducing the MSS superpotential in SBOS  
 [Aker-Komarov, Argurio - Int. J. Mod. Phys. A 17 (2002) 0704, 0202]

- renewed interest: possible "exotic" or "stringy" instanton effects



- stringy by construction
  - appear with exp. factor not tied to  $g$  scale
- $\left. \begin{array}{l} \text{gauge theory} \\ \text{instanton} \end{array} \right\} \begin{array}{l} e^{-\frac{V(\Phi)}{g_s}} \sim e^{-\frac{1}{g_s}} \\ e^{-\frac{V(\Phi)}{g_s}} \end{array}$

- may give rise to phenomena important terms perturbatively forbidden in these string models  
 mention improved world terms,  $p$ -form, Yukawa couplings, super-breaking terms

Some refs: Blumenhagen-Volk-Weigand 0603191  
 Ibáñez Uranga 0603213 (Ibáñez & Hellmuths 0704.1079)  
 Kreuz-Kachru McPherson Localina 0610003  
 Blumenhagen-Kristof Weigand 0707.1874  
 Argurio Petrini Franco Kachru 0703216  
 Shorony Kachru Silverstein 0707.0493  
 Senescherudharam: 0710.080 (746)

\* the work I'm going not in this line, but phenomen. implications are motivation  
 to pursue the technical aspects of stringy instanton calculus  
 [follow by motivation: role in duality]

\* new characterization of exotic inst's

(for simplicity: toroidal comp., different b.c.'s on cycles  $\rightarrow$  twisted eqs.)

Dp+1/Ep strings

$$D_{p+1}/E_p \text{ strings} \quad \begin{array}{c} \text{int cycles} \quad \text{sp time (NO)} \\ \swarrow \quad \searrow \\ \text{---} \end{array}$$

$$D_{p+1}/E_p \text{ strings} \quad L_{p-1} = N_x + N_y + \sum_{i=1}^3 \frac{D_i}{2} + \frac{1}{2} - \frac{1}{2}$$

- gauge inst's:  $D_i = 0 \Rightarrow$   $\exists$  0-modes  $\psi_{\alpha}$  (related to size)

- exotic inst's: some  $D_i \neq 0$  no such 0-modes

OR modes modes always present. Certain modes  $d_i$  in gauge case  $\rightarrow$  log mod. for  
 fermi ADHM constraints. In exotic case, a subset part of f ADHM constraints vanish ( $\psi_{\alpha}$ )  
 $\Rightarrow$  a subset of  $d_i$  degenerate (Yuse form 0-modes): all's all constraints, if not lifted!

Proposed mechanisms to lift them:

- orientifold projection [Argurio Petrini Franco Kachru 0703.216

Argurio " Pan Lada Petrini 0704.0262

...

- closed string fluxes [Blumenhagen, Volk, Kristof, Weigand 0707.0493]

- other mechanisms [Petrini, 0711.1837]



Computed by several groups (Lerche-Stiecherer, ... Gaiotto, Kiritsis-Ohar-Pidune,  
Gaiotto-Maroni-Schmied)

(calculated by Lerche-Mohr-Schellekens)

$$\rightarrow \frac{1}{4} \text{Tr} F^4 \log \left| \frac{\eta(\tau)}{\eta(2\tau)} \right| + \frac{1}{16} (\text{Tr} F^2)^2 \log \left( \frac{\text{Im} \tau \text{Im} \nu (\eta(2\tau))^8 |\eta(\nu)|^4}{|\eta(\tau)|^4} \right)$$

where  $\nu$  is a root of  $T_2$

$$+ 2 \text{Tr} F^2 \log \left| \frac{\eta(\tau + 1/2)}{\eta(\tau)} \right|$$

Duality map:  $T \leftrightarrow \bar{\nu}$  (analogous)

contains terms that map to the free level + one-loop result in type I' (if empty-fermion level!)

plus a series of corrections  $e^{2\pi i T \cdot k} \rightarrow e^{2\pi i \bar{\nu} \cdot k} = q^k$

$$-\frac{1}{2} \text{Tr} F^4 \left\{ \sum_{k=1}^{\infty} (d_k q^{4k} - d_k q^{2k}) + \text{c.c.} \right\} + \frac{1}{8} (\text{Tr} F^2)^2 \left\{ \sum_{k=1}^{\infty} (d_k q^{4k} - 2d_k q^{2k}) + \text{c.c.} \right\}$$

$$+ 8 \text{Tr} F^2 \left\{ \sum_{k=2}^{\infty} d_{k-2} q^{2k-1} + \text{c.c.} \right\} \quad (d_k = \sum_{e|k} \frac{1}{e})$$

Gaiotto (1999) showed that the model space integral over  $\underline{u=2}$  instantons had the right structure, but this was before Laxol's techniques were commonly available

\* From now on: sketch the comp. that allows to check against the <sup>Stueckelberg</sup> and the exact coeffs  $c_n$  by model space integration in type I' (we arrived at  $u=5$ , but that's just a technical limitation) <sub>↑ will later</sub>

\* First step: identify the models and their transf. properties (determined by the <sup>↓</sup> projection) under  $SO(4)$  and  $SO(8)$

$$\begin{array}{ccc} & \uparrow & \uparrow \\ \eta(\tau) & & \theta\tau \\ \text{c.p.} & & \text{c.p.} \end{array}$$



The BRS action is then written as

$$\begin{aligned} \delta a^\mu &= M^\mu & \delta M^\mu &= [\chi, a^\mu] \\ \delta \lambda_m &= D_m & \delta D_m &= [\chi, \lambda_m] \\ \delta \bar{\chi} &= \eta & \delta \eta &= [\chi, \bar{\chi}] \\ \delta \mu &= \omega & \delta \omega &= \chi_\mu + \mu \phi \end{aligned}$$

$\phi = \langle \Phi(x, \omega) \rangle$  we restrict to configurations sufficient to do BRS comp. (see later) reinstating  $\Phi(x, \omega)$  at the end

equivalent BRS cohomology w.r.t  $so(N) + so(\Phi)$ :

$$Q^2 = T_{so(N)}(\chi) + T_{so(\Phi)}(\phi)$$

↑  
parameters of the theory.

the models organize in BRS brackets:

	$so(N)$	$so(\Phi)$	$so(\eta)$
$(a^\mu, M^\mu)$	□	1	8s
$(\lambda_m, D_m)$	□	1	7
$(\bar{\chi}, \eta)$	□	1	1
$(\mu, \omega)$	□	□	1
$\chi$	□	1	1

↖  $\mu, \eta, \bar{\chi}, \eta$  treated as indep.  
↙ customizing in all instanton collections

acquire

Complete that  $[d, \hat{d}_\mu] = \hat{L}^{-4} \forall \mu$

$\Rightarrow \int d^4x e^{-S[M_\mu, \Phi(x, \omega)]} = \text{measure } \mu, \omega \int \Phi(x, \omega) \forall \mu$

\* The model's action is BRS <sup>exact</sup> ~~invariant~~:  $S_{mod} = Q \square$  (part from above not matter here)

\* This allows to reduce model's integration to the evaluation of  $\int$  det determinants around the fixed point of  $Q$  (much easier to proceed: see later)



- However, fixed points must be isolated. This requires to deform the action, making a equivariant also wrt  $SO(7)$
- This is the perfect analogue of  $\mathcal{E}$ -defs in Melvin's comp of  $N=2, d=4$  instantons
- Just as in that case (bilocal, ...) these defs correspond to BPS fields strengths from the RR sector,  $\mathcal{F}_{\mu\nu} = F_{\mu\nu} + \frac{1}{\Lambda^2} \tilde{F}_{\mu\nu}$ ,  $\tilde{F}_{\mu\nu} = F_{\mu\nu} \tilde{\epsilon}$

Moduli action modified by interactions such as



- the  $\mathcal{E}$  part of  $\mathcal{F}_{\mu\nu}$  (from)  $\rightarrow$  <sup>defs</sup> accounted for by changing  $\mathcal{Q}$ -action:

e.g.,  $\mathcal{Q}^{ab} = M^{ab}$ ,  $\mathcal{Q}M^{\mu\nu} = [X_\mu, dM^\nu] + \tilde{F}_{\mu\nu} dV$

In general:  $\mathcal{Q}^2 = T_{SO(4)}(X) + T_{SO(3)}(\psi) + T_{SO(7)}(\mathcal{F})$

equiv. wrt  $SO(7)$  as well

- the  $\mathcal{E}$  part of  $\mathcal{F}_{\mu\nu}$  and  $\tilde{\mathcal{F}}_{\mu\nu} \rightarrow$  def. the  $\mathbb{E}^7$  representation org  $\Rightarrow$  fixed point should not depend on them

\* We are now ready to carry out the integration over the moduli

- Int. over  $\mathbb{E}^7/\mathbb{Z}$  moduli is quadratic:

$$\int d\mu dx e^{-\text{tr}(W \mu + \text{quadratic})} = \text{Pf}(\mathcal{Q}^2) \leftarrow \text{depends just on the transf. properties of } \text{Re } \mu\text{'s}$$

- it is suff. to take  $\mathcal{Q}$  as Cartan of  $SO(8)$   $\mathcal{Q} = (\mathcal{Q}_1, \mathcal{Q}_2, \mathcal{Q}_3, \mathcal{Q}_4, \mathcal{Q}_5, -\mathcal{Q}_5, -\mathcal{Q}_4, -\mathcal{Q}_3, -\mathcal{Q}_2)$

- bring  $\mathcal{Q}$  to center of  $SO(k)$  ( $\Rightarrow$  Vander det!!)

total  $\left\{ \begin{array}{l} k \geq 2n \\ k \geq n+1 \end{array} \right. \prod_{a=1}^n \prod_{i=1}^n (\mathcal{Q}_a^2 - \mathcal{Q}_i^2)$   
 Pf  $\cdot \mathbb{R}^n$

- The integrals over other moduli are not gaussian but we're free to rescale BRs doublets arbitrarily (has/fun Jacobians cancel) and also we can rescale  $\tilde{F}_{\mu\nu}$  (is in the gauge fermion only)

→ it is possible to find a rescaling limit (many to some very parameters) in such a way that the leading action is quadratic in all moduli (exc  $\chi$ )

The relevant part (the int. over  $D_{\mu\nu}, \lambda, \eta \rightarrow$  constant) becomes:

$$\int d\lambda d\eta d\mu d\nu d\lambda_{\mu\nu} dD_{\mu\nu} e^{-\text{tr} \{ D_{\mu\nu}^2 - \lambda_{\mu\nu}^2 + a_{\mu\nu} \tilde{F}_{\mu\nu} + M_{\mu\nu} \tilde{F}_{\mu\nu} \}}$$

(example) ↑ cancellation of gaussian integrals

- Finally, the int. over the  $\chi$ 's (→ <sup>algebraic</sup> variables) looks like done:

$$Z_{\text{tr}} \sim \int d\chi d\psi \det_{\text{tr}}(K A) \frac{\text{Pf}_{\text{tr}}(K^2)}{\det_{\text{tr}}(K^2)} \uparrow \text{all det. by symmetry prop. under } \text{SO}(d) \times \text{SO}(r)$$

i.e. by the weights of representations once parameters are in the Cartan:

$$\chi \rightarrow \chi_i \quad \psi \rightarrow \psi_i \quad \eta_{\mu\nu} \rightarrow \eta_i \quad (i=1,2,3,4)$$

N.B. Acting on the  $a_{\mu\nu}$  4k spinors, as only  $(\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4, \dots)$  with  $\sum \epsilon_i = 0$

u=1 (no  $\chi$ )  $Z_1 = \frac{\text{Pf} \mathbb{D}}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4}$  ↑ from integration over algebraic comp. of  $(D_{\mu\nu}, M_{\mu\nu}) \sim \chi^{\mu\nu} \partial_{\mu\nu}$

- Unreframed action: appear in the action only through  $\mathbb{D}(A, \chi)$   
 → leave KR's intepol  $\int d\chi d\psi$  apart

- Reframed: key concepts to def's! → see disjuncte intepol, with the result  $1/\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4$

- $\Rightarrow$  in the result we have to 'replace'
  - $\frac{1}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4} \rightarrow \int d^4x d^4y$
  - $\phi \rightarrow \Phi(x, y)$

$$\underline{k=2} \quad Z_2 = \int dX \frac{\prod_{i=1}^4 \phi_i}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4} \frac{\prod_{a=1}^4 (\phi_a - X_a^2)}{\prod_{A=1}^4 (2X - \epsilon_A)(2X + \epsilon_A)}$$

- Integration prescription [MNS]:  $\int dX \rightarrow$  contour integral  $\oint$ ,  
 sing. avoided by small imprints for the  $\epsilon_A$   
 - seems arbitrary & problematic but works perfectly in all instances it's been applied  
 (YM integrals in D: 4, 5, 6, ...; various cases of instanton calculus...)

Thus one remains with some residues. Result:

$$Z_2 = \frac{(\text{tr} \phi)^2}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4} + \frac{1}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4} \frac{\text{tr} \phi^4 - \frac{1}{2} (\text{tr} \phi^2)^2}{256} + \text{terms vanishing with } \epsilon \rightarrow 0$$

↑  
higher divergence

• similar structure (more and more divergent) for  $Z_k$  with higher  $k$ 's

• Due to disconnected confs  $\{k_i\}, \sum k_i = k$  of lower  $k$  contribute to  $Z_k$  <sup>on top</sup> beyond of true  $k$  instanton  $k$  conf.  $\Rightarrow$  must restrict to connected contributions

$$Z = \sum_k Z_k e^{2\pi i \bar{v} k} \quad \rightarrow \text{take } \log Z$$

• Thus the actual formula for n.p. contrib. is

$$\text{Sup}[\Phi] = \int d^4x d^4y \lim_{\epsilon \rightarrow 0} \frac{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4}{\Gamma_{\text{up}}[\Phi]} \log Z \Big|_{\phi \rightarrow \Phi(x, y)}$$

Just simplified algebra: identify residues, sum them, take the  $\dots$   
 we were able to do it upto  $k=5$  (just technical limitation). Result:

$$F_{n,p} = \frac{1}{2} \text{Tr} \phi^4 \left( \frac{1}{2} q^2 + \frac{1}{4} q^4 + \dots \right) - (\text{Tr} \phi^2)^2 \left( \frac{1}{4} q^2 + \frac{1}{4} q^4 + \dots \right) \\
 + 8 \text{Pf} \phi \left( q + \frac{4}{3} q^3 + \frac{6}{5} q^5 + \dots \right)$$

Agrees with the het result upon locality  
 (w.r.t. for  $\text{tr} \phi^k \rightarrow \text{tr} F^k$ )

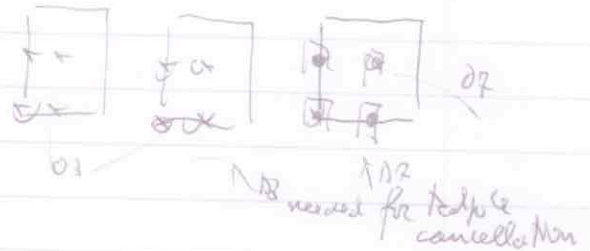
4d example 1002.4322 (MR, H. Freed, F. Frenkel, A. Lucha, J. Morales, P. Popoviciu)

- shows certain characteristics of previous 8d model.
- exotic instantons at odd orders  $k$  contribute to the gauge effective action. Computed directly via localization techniques
- $\Rightarrow$  computable het. dual.  $w = \text{computed} \rightarrow$  ~~full~~ agreement

Confirms these exotic calculus techniques also apply to 4d examples

Model = Compactif. on  $T^2/\mathbb{Z}_2$  of the 8d one  $T^4/\mathbb{Z}_2$   $T^2$

- Can also be seen as  $T^2$ -twist on  $T^2$   
 of the 6d model (960103P)



[p.3 het side + exp. w. math N-instantons for 6d  
 compiled by Cameron Dudas 08063102]

- Brane arrangement can be taken to that (at one loop  $(p)$  except a  $U(k)$  singular  $d=2$  dim)
- D1's are exotic to w.r.t. the D7, contribute at odd orders to the quadratic gauge coupling (Comp's rather complex, one could go upto  $k=3$  (triple integrals over the  $X_i$ ))

• the bet dual is a  $U(1)$  bet so (N) on  $T^4/Z_2$  + vector lines on  $T^2 \rightarrow U(1)^4$

• interesting point: the  $\log Z$  phases diverges of different types

$\nearrow$  8d  $\rightarrow$  quadratic prop as in previous case  $\leftrightarrow$  untrivial betastic  
 $\searrow$  4d  $\rightarrow$  quadratic prop as twisted bet

$$\tilde{\Gamma}(4) = \lim_{\epsilon \rightarrow 0} \epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4 \log Z$$

$$\tilde{\Gamma}(4) = \lim_{\epsilon \rightarrow 0} \left( \epsilon_1 \epsilon_2 \log Z - \frac{1}{\epsilon_1 \epsilon_2} \tilde{\Gamma}(4) \right)$$

\* Perspectives

- phenom appl.  $\rightarrow$  where such techniques might be needed

• relation to F-theory 5Kgs

e.g. D7 in type I'  $\rightarrow$  exactly the set of Jan "F-theory orientifolds", 8605150

$\downarrow$   
 uncompact 0-membranes  $\rightarrow$  F-theory curve with  $\tau$

$\nwarrow$  relation between quantum ggp  
 potential and  $\tau$  of F-theory?