When is a single many-body wavefcn "thermal"??

MPA Fisher

KITP Designer Quantum Systems conf; November 14, 2016

Eigenstate Thermalization Hypothesis (ETH): (Quantum stat mech T>0) **Eigenstates** of generic **Hamiltonians** are thermal ("ergodic")

Goal: Classify many-body wavefunctions, with no "recourse" to a Hamiltonian



Tarun Grover



Jim Garrison



Ryan Mishmash

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How to even define a "thermal wavefunction"?



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How to even define a "thermal wavefunction"?

Perhaps by classifying "non-thermal" wave functions... Towards this end, this talk...



Ryan Mishmash

Eigenstate Thermalization Hypothesis (ETH)

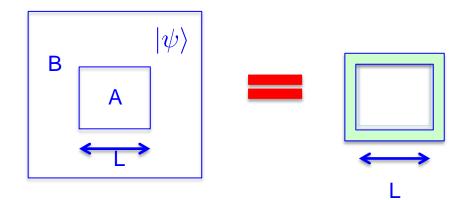
Josh Deutsch, Mark Srednicki

ETH: sub region of a single E/L^d eigenstate equivalent to thermal ensemble w/ heat bath

$$\hat{\mathcal{H}}|\psi\rangle = E|\psi\rangle$$

Regions A ("system") and B ("environment")

$$\hat{\rho} = |\psi\rangle\langle\psi|$$



Reduced density matrix in A

$$\hat{\rho}_A = Tr_B(\hat{\rho}) \approx \hat{\rho}_{th} = \frac{1}{Z}e^{-\beta H}$$

eg equivalence of (local) observables:

$$\langle \hat{\mathcal{O}} \rangle_E = \langle \hat{\mathcal{O}} \rangle_{th}$$

Eigenstates in narrow energy (density) window have identical correlations

$$\langle \hat{\mathcal{O}} \rangle_E = \langle \hat{\mathcal{O}} \rangle_{E + \Delta E}$$

Equivalence of operator averages in narrow energy window

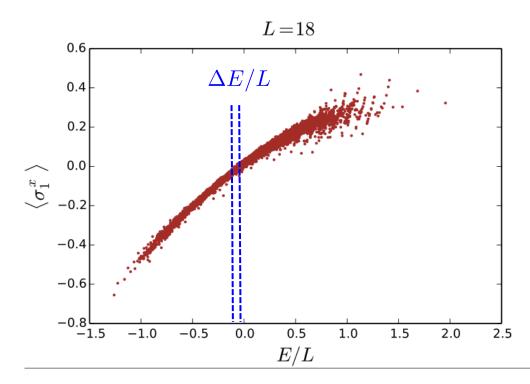
Kim, Ikeda and Huse, 2014 J. Garrison (unpublished)

Exact diagonalization 1d Quantum Ising chain

$$H = \sum_{i=1}^{L} \left(g\sigma_i^x + h\sigma_i^z + J\sigma_i^z\sigma_{i+1}^z \right)$$

$$(g, h, J) = (0.9, 0.8, 1)$$

$$\langle \psi_E | \sigma_1^x | \psi_E \rangle$$



Operaror average the "same" for all eigenstates in narrow energy window (ETH)

Entropy in ETH: Thermal "versus" entanglement

Thermal entropy:

Number of states, extensive for T>0

$$S_{th} = -Tr[\hat{\rho}_{th} \ln \hat{\rho}_{th}] \sim L^d$$

Entanglement Entropy: Single eigenstate

$$\hat{\mathcal{H}}|\psi\rangle = E|\psi\rangle$$
 $\hat{
ho} = |\psi\rangle\langle\psi|$
 $\hat{
ho}_A = Tr_B(\hat{
ho})$

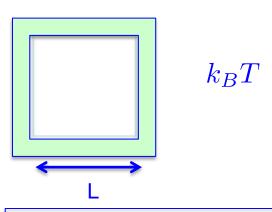
Entanglement entropy:

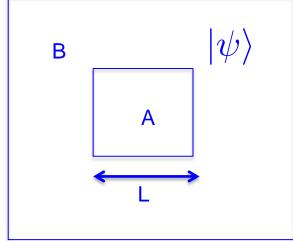
$$S_A(L) = -Tr_A(\hat{\rho}_A \ln \hat{\rho}_A)$$

ETH: Equivalence of Thermal and entanglement entropies

$$S_A/L^d = S_{th}/L^d; \quad L \to \infty$$

Thermal entropy is state counting, entanglement entropy depends on the properties of the states!

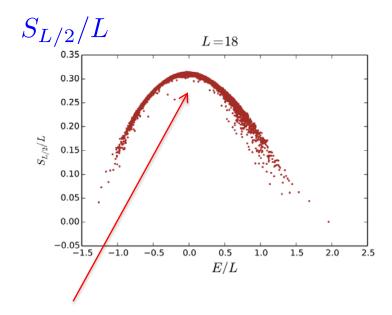




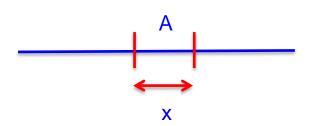
Ex: Entanglement entropy in Quantum Ising chain

1d Quantum Ising chain

$$H = \sum_{i=1}^L \left(g\sigma_i^x + h\sigma_i^z + J\sigma_i^z\sigma_{i+1}^z\right)$$
 (g, h, J) = (0.9, 0.8, 1)



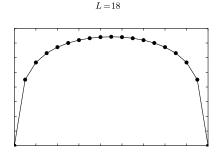
Maximum entropy state, minimal (zero) local information $S_A/L_A \approx \ln 2$



Ground state, **Area-law**: $S_A \sim O(1)$

"High-energy" state, **Volume law:**

$$S_A \sim \frac{L}{2} - |x - \frac{L}{2}|$$





Can we deduce "thermalization" from one wavefcn? (w/out a Hamiltonian)

How to tell if a single wavefunction is "thermal"?

Can we classify "thermal" wavefunctions by their entanglement structure? (as we do for ground states)

How should we define a "fully thermal" (ergodic) wavefunction?

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How should we define a "fully thermal" (ergodic) wavefunction?

Perhaps, by what it isn't (ie non-thermal...)?

When (and how) does ETH Break Down?

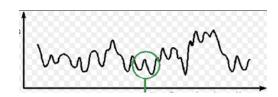
- 1) Fine-tuned Integrable models: Generalized Gibbs Ensemble (GGE).
 Can one deduce integrability from one eigenstate?
- 2) Many-body-localization (MBL) w/ disorder

Isolated, interacting, quantum particles in random potential

- Lack of thermalization Particles don't serve as own thermal bath
- Entanglement does not "propagate"
- All eigenstates w/ area law entanglement entropy (thermal entropy is extensive)

$$S_A \sim L^{d-1}$$
 $S_{th} \sim L^d$

I.V. Gornyi, A.D. Mirlin, D.G. Polyakov (2005) D. Basko, I. Aleiner, B. Altshuler (2006) V. Oganesyan, D. Huse (2007) Pall and Huse (2010) Bauer, Nayak (2013)



MBL is protected by "emergent" (i.e. hidden) integrals of motion Abanin et al,... (no fine tuning needed!)

When (and how) does ETH Break Down?

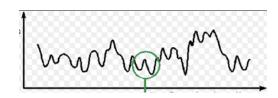
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But... The random potential consitutes **classical (frozen) set of degrees of freedom**... (as does the Floquet drive...)

Can ETH Breakdown in "Quantum-only" (all) systems?

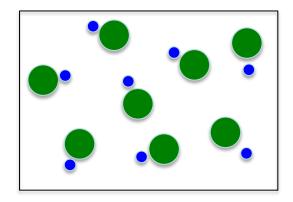
When might this happen?

Perhaps when "almost classical" and very quantum systems interact...

"Quantum-only" system of ions (almost classical) and electrons (very quantum)

Liquid of Neutral atoms, isolated in box, prepared in many-body eigenstate, energy E

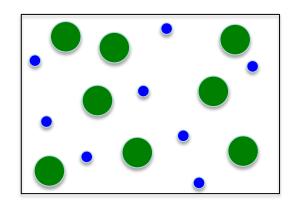
Nucleus: Electron:



Atomic-Liquid

- Vary energy E (the "temperature")
- T ~ 10-1,000 K atomic-liquid
- T > 10 eV, ionized-plasma

Question: Are eigenstates of **atomic-liquid** qualitatively the same as the **ionized-plasma** eigenstates?



Ionized-Plasma

Atomic-Liquid vs ionized-plasma?

Standard answer: Atomic-liquid and ionized plasma are "equivalent"

- Atoms weakly ionized (even at low "T")
- · Low density of ionized electrons "should" thermalize

But...

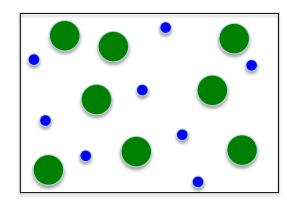
How does one (or can one) define "ionized"? How to characterize thermalization of "ionized" electrons?

Atomic-Liquid

Plan:

- New wavefcn diagnostic ("a" characterization of ionization)
- New class of "non-thermal" quantum states

"Quantum Disentangled States"



Ionized-Plasma

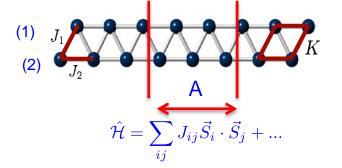
Throwing away information (partial tracing) versus extracting information (projective measurement)

(Global) Full measurement

E>0 eigenstate w/ volume law entanglement: $|\Psi\rangle$ $S_A=-Tr_A[\hat{
ho}_A\ln\hat{
ho}_A]=sL^d$ $\hat{
ho}_A=Tr_B|\Psi\rangle\langle\Psi|$

Measure spin on every site (global full measurement) get direct product state, fully disentangled

$$|\Psi\rangle \to |\Psi'\rangle = |\tilde{S}\rangle$$
 $S_A = sL^d \to S_A' = 0$



(Global) Partial Measurement:

Ex: Measure spin on leg (2) but not on leg (1)

$$|\Psi
angle
ightarrow |\Psi_1'
angle_{ ilde{S}_2}$$
 Wf for spins in leg (1)

Partial Tracing: Throwing away information

What is entanglement entropy of post (partial) measured wf??

New wf diagnostic: "Post-measurement entanglement"

Illustrate w/ Atomic-liquid

E >0 volume-law wavefcn,
$$\Psi(R,r)$$

- (i) Measure nuclei (but not electron) positions, (partial measurement) find R
- (ii) Wavefcn of (unmeasured) electrons,

$$\psi_e(r) \sim \Psi(\underline{R}, r)$$

- (iii) Compute bi-partition entanglement entropy of electron wf (w/ fixed nuclei, R)
- (iv) Repeat (i)-(iii) and average: post-measurement entanglement entropy $S^{r/R}_{\ A}$

(R, r denote nuclei/electron coordinates)

Interest: Size scaling of $S_A^{r/R}$

Scaling of post-measurment entanglement entropy?

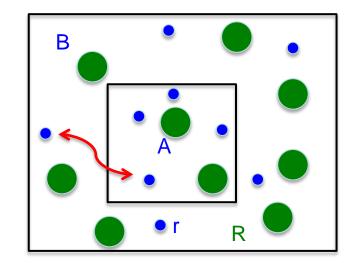
If Volume Law:

$$S_A^{r/R} \sim L^d$$

Electrons thermalized (entangled) even after measuring nuclei positions,

Then: Thermalized wavefunction

ionized-pasma, fully thermal w/ volume laws

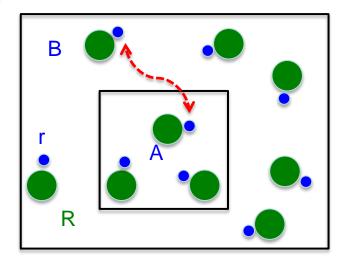


IF Area Law:

$$S_A^{r/R} \sim L^{d-1}$$

Measuring nuclei positions has disentangled ("localized") electrons

Then: "Quantum Disentangled liquid" wavefcn



Quantum Disentangled States: Def'n

Volume law entangled wf

$$S_A^\Psi \sim L^d$$

Perform (some) **global partial-measurement**, wf of un-measured d.o.f.

$$|\Psi\rangle
ightarrow |\psi\rangle$$

Entanglement-entropy of post-measurement wf

$$|\psi\rangle \longrightarrow S_A^{\psi}$$

Quantum Disentangled State: post-measurement wf has area law

$$S_A^{\psi} \sim L^{d-1}$$

Partial Measurment induces full locality

In QDL a partial measurement effectively measures all degrees of freedom.

Thermal State: wf post-measurment still entangled (non-local, volume law)

$$S_A^{\psi} \sim L^d$$

Do Quantum Disentangled States exist as eigenstates of generic Hamiltonians?

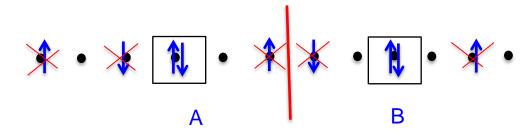
Fermion Hubbard models (U>0, 1/2 filling):

$$\hat{\mathcal{H}} = -t \sum_{ij} (\hat{c}_i^{\dagger} \hat{c}_j + h.c.) + u \sum_{i} n_{i\uparrow} n_{i\downarrow} + \dots \qquad |\Psi\rangle$$

Measure Spin on each site, but not charge

$$|\Psi\rangle \to \mathcal{P}|\Psi\rangle = |\psi\rangle$$

After measurement, "doublon" (charge) wf



Entanglement entropy of "charge-wf" (post spin-measurement)

$$S_{c/s} \sim L^d$$
 Thermal Eigenstate $S_{c/s} \sim L^{d-1}$ Quantum Disentance

$$S_{c/s} \sim L^{d-1}$$
 Quantum Disentangled Eigenstate

Numerics on 1d "Hubbard" chains

J. Garrison, R. Mishmash,, MPAF (2016)

$$\hat{\mathcal{H}} = -t \sum_{i,\sigma} (\hat{c}_{i\sigma}^{\dagger} \hat{c}_{i+1\sigma} + h.c.) + U \sum_{i} \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} + V \sum_{i} \hat{n}_{i} \hat{n}_{i+1}$$

- U>0, half-filling
- n.n. repulsion, V, destroys integrability
- Compute *all* eigenstates from ED

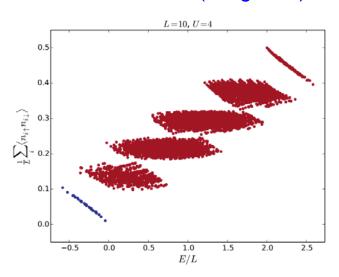
First: Extract mean-double occupancy for each eigenstate (at many different values of U, V=0,3)

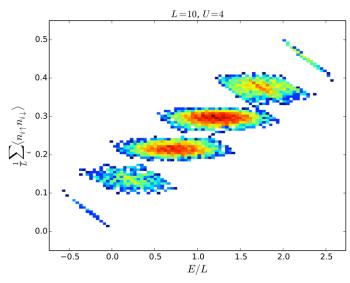
$$\mathcal{D} = \langle \hat{n}_{j\uparrow} \hat{n}_{j\downarrow} \rangle$$

Movie time!

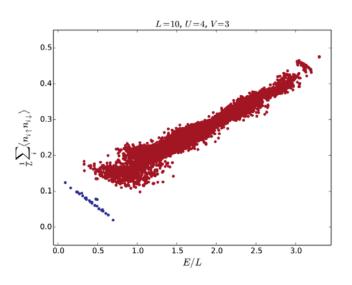
Integrable versus non-integrable

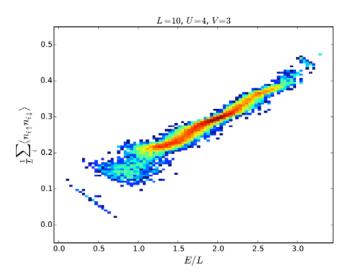
Hubbard (integrable)





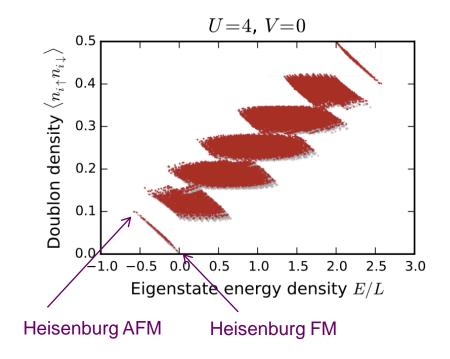
Non-zero V (non-integrable)



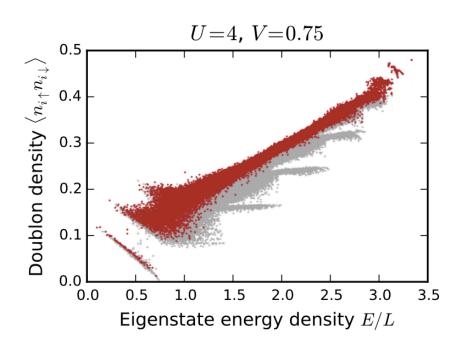


Larger system size L=12

Hubbard (integrable)

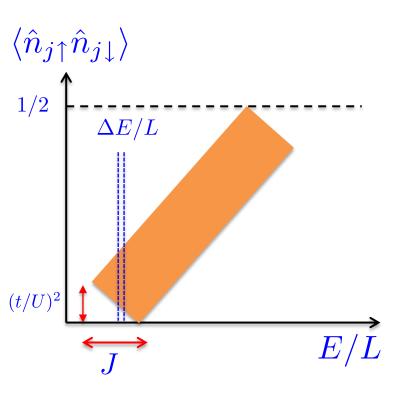


Non-zero V (non-integrable)

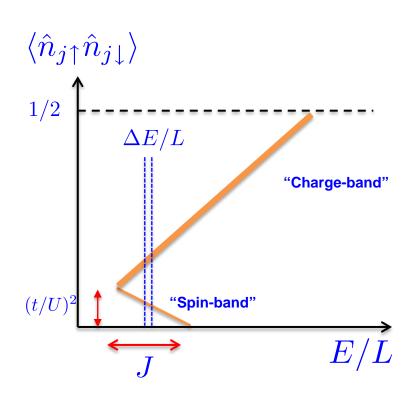


Total spin singlets in red

Two sectors at same energy "spin" and "charge"??



Conjecture for 1d Hubbard (large U=4) in thermo limit??



Conjecture for 1d Hubbard + V (large U=4, V=3/4) in thermo limit??

Breakdown of ETH?? "Hot spin-states" w/ same energy as "Cold charge states"

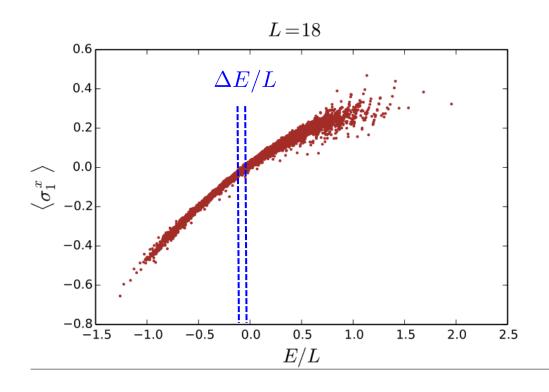
Qualitatively different than Quantum Ising chain

$$H = \sum_{i=1}^{L} \left(g\sigma_i^x + h\sigma_i^z + J\sigma_i^z \sigma_{i+1}^z \right)$$

Kim, Ikeda and Huse, 2014 J. Garrison (unpublished)

Exact diagonalization

$$\langle \psi_E | \sigma_1^x | \psi_E \rangle$$



Operaror average "same" for all eigenstates in narrow energy window (ETH)

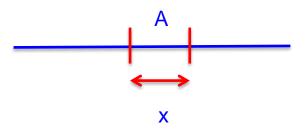
Entanglement entropies for Hubbard+V

J. Garrison, R. Mishmash, MPAF arXiv;1606.05650

- Compute entanglement entropy for eigenstates
- Measure eigenstate Spin, but not charge, compute post-measurement entanglement entropy of "charge-wf"

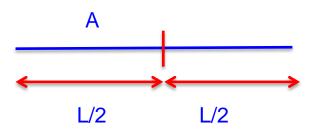


$$S_{c/s}(x)$$



Focus first on L/2 + L/2 partition, for each eigenstate

 $S_{c/s}(L/2)$



Full/post-measurement entanglement entropy: L=10

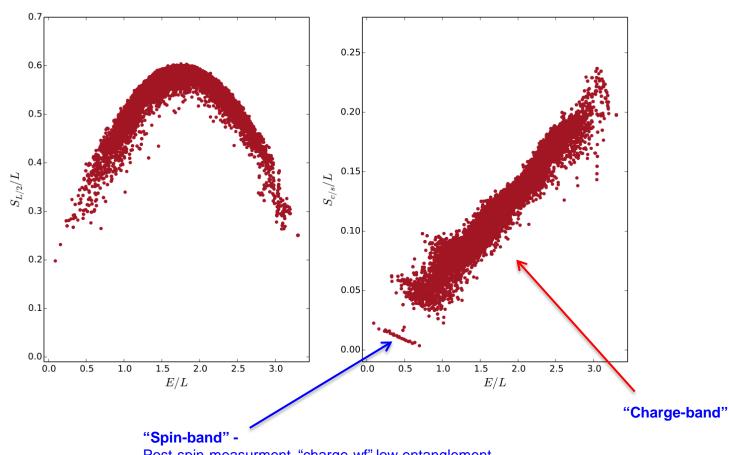
L=10 site Hubbard, V=3

Full Entanglement entropy

Post-spin-measurement entropy of "charge-wf"

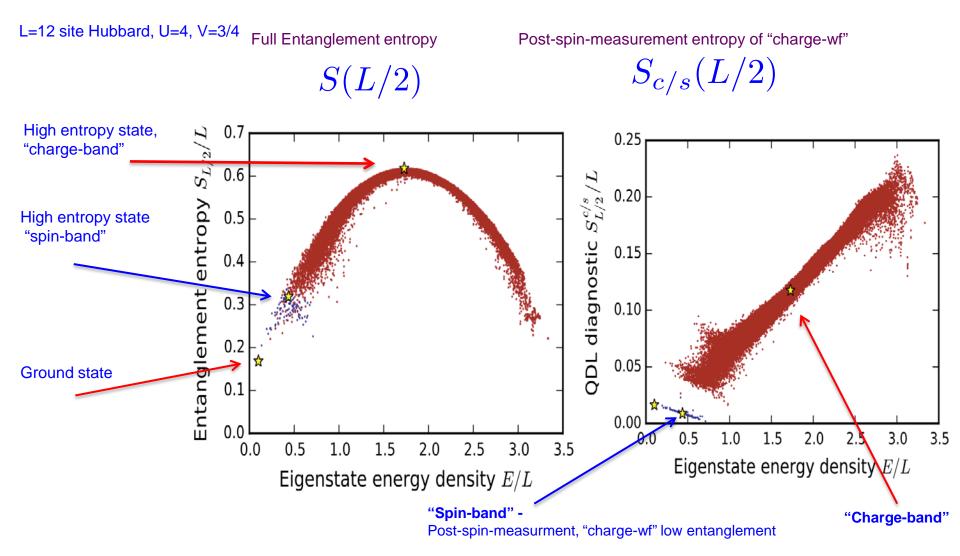
$$S_{c/s}(L/2)$$

L=10, U=4, V=3

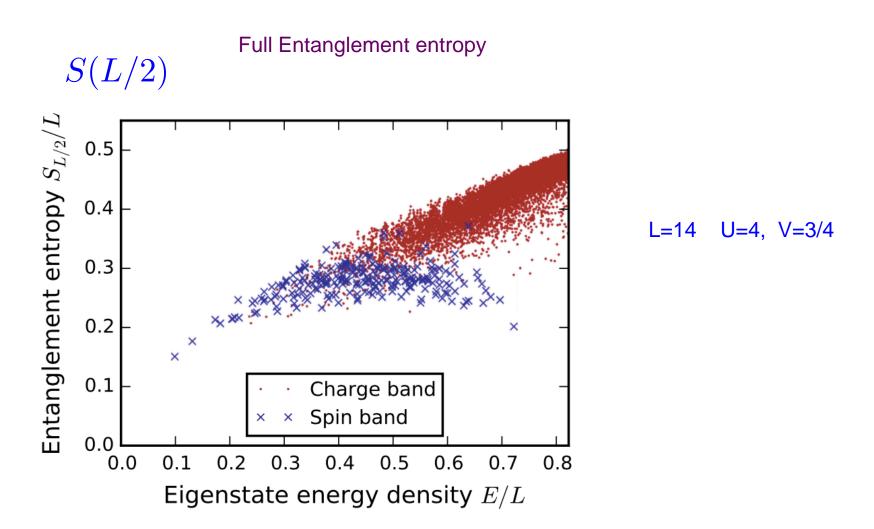


Post-spin-measurment, "charge-wf" low entanglement

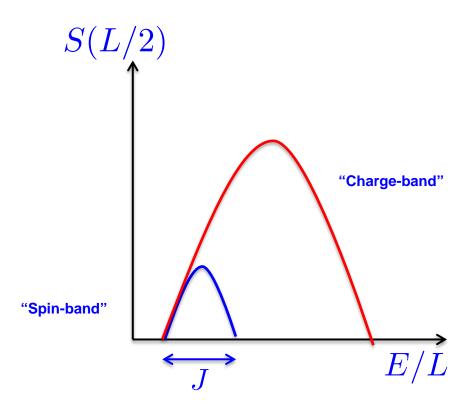
Full/post-measurement entanglement entropy: L=12



"Spin" band "Charge" band for L=14

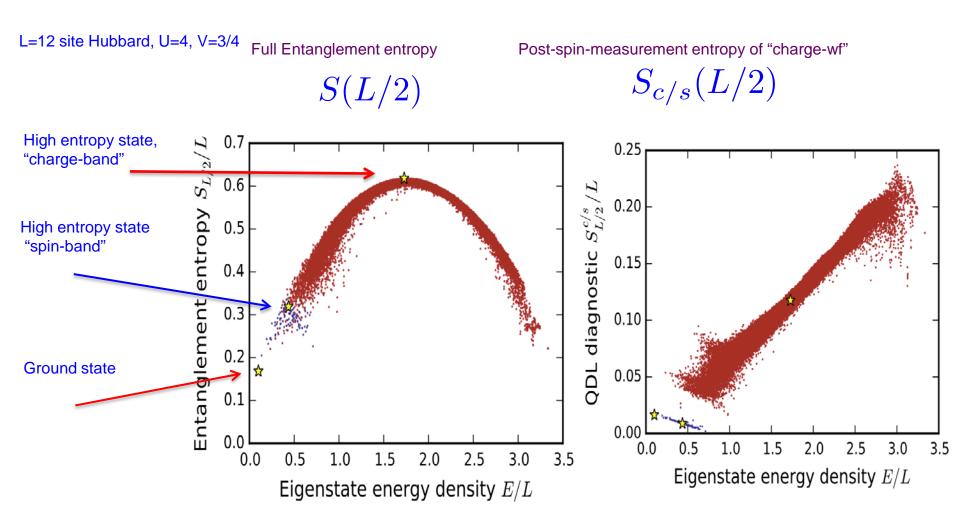


Co-existing "entropy-bands"?

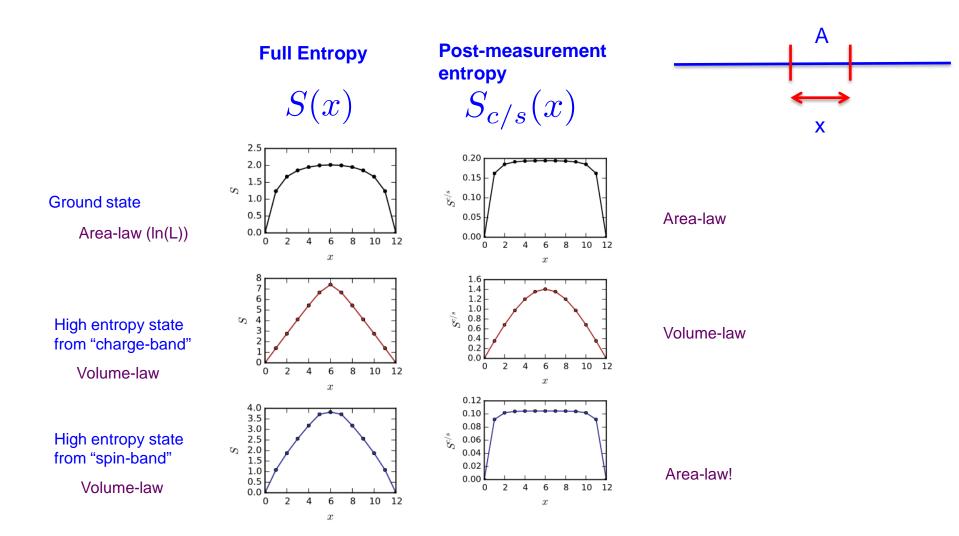


Conjecture: Breakdown of ETH, co-existing "hot spin-states" w/ "cold charge states"

Focus on 3 eigenstates



Entropy scaling for 3 eigenstates



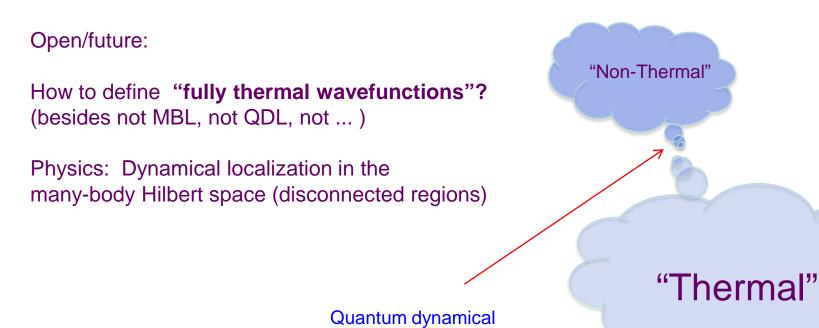
Possible "spin-band" of Quantum-disentangled states, area law charge entropy post-spin-measurement

Summary

Diagnostic: entanglement entropy of post partial-measured wf

Quantum Disentangled States: Volume-law wf w/ area law entanglement following partial-measurement – "exposing hidden locality"

In QDL a partial measurement effectively measures all degrees of freedom



bottle-neck (closed?)

Experimental systems?

(Not so) Cold Atoms

- Heavy/light QDL? Li-6, Cs-133 mixtures
- Spin/Charge QDL? 1d Fermion Hubbard in optical lattice (making partial measurements w/ optical microscope)

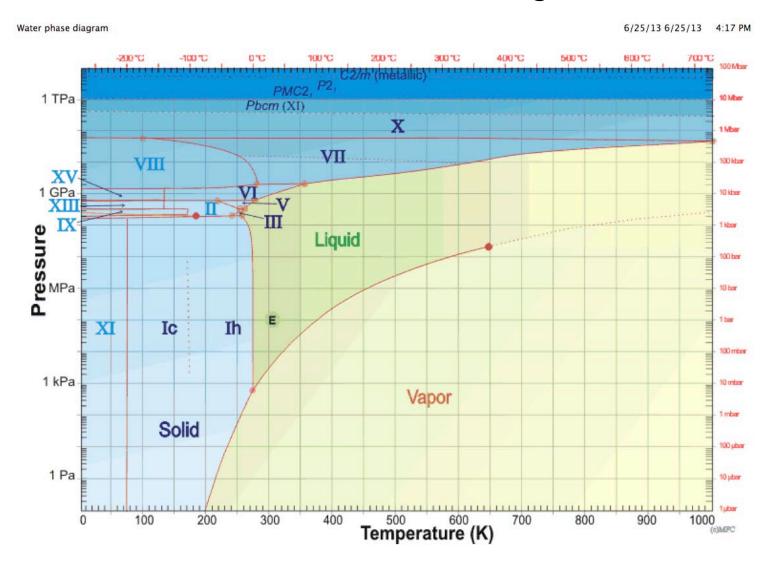
Electron Mott Insulators: U>0 Hubbard

• Undoped Mott insulators, excite spin-excitations staying in "spin-band"? Electrical conductivity vanish?

Atomic/Molecular Liquids: eg water

- Heavy/light, Oxygen/protons, conductivity of water vanish?
- Charge/spin: Nuclear-charge/nuclear-spin

Water: Phase Diagram



Electrical Conductivity of Water

Water STP

$$\sigma_{salt} = 10^{-1} S/cm$$
 $\rho_{salt} = 10 \Omega - cm$ $\sigma_{tap} = 10^{-4} S/cm$ $\sigma_{pure} \sim 10^{-7} S/cm$

"Supercritical Water", pressure up to 500 kbar (pure water under "shock")

$$\sigma_{STP} \sim 10^{-7} S/cm$$

$$\sigma_{50 \, kbar} \sim 10^{-4} S/cm$$

$$\sigma_{200 \, kbar} \sim 20 S/cm \quad (T \sim 5,000 K)$$

Water at STP in QDL???
Water at 200 kbar in conventional phase?

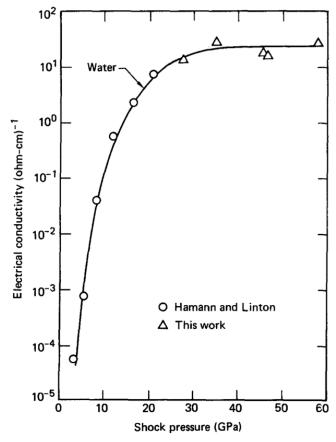
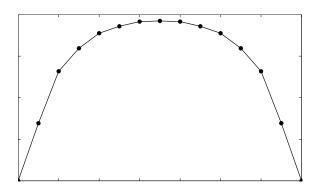


FIG. 10. Electrical conductivity vs shock pressure for liquid H_2O (10 GPA=100 kbar).

S(x)-vs-x for J1-J2 s=1/2 model

Ground state (VBS state)



Maximally entangled state

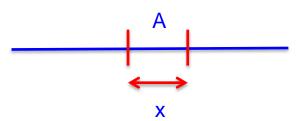
Entropy scaling for 3 eigenstates



S(x)

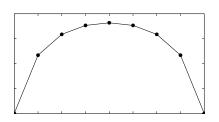
Post-measurement Spin entropy

 $S_{s/c}(x)$



Ground state

Area-law



Area-law

E>0 eigenstate, not in "band"

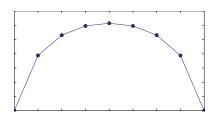
Volume-law

Volume-law

E>0 Eigenstate from "band"

Volume-law





Area-law?

Possible band of Quantum-disentangled states, "liquid of pairs" w/ area-law post-measurement spin-entropy?

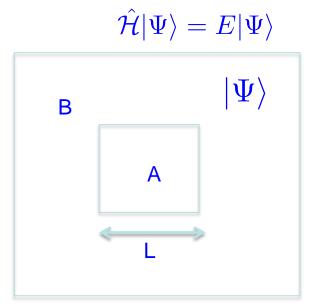
Quantum Disentangled Eigenstates

Consider finite-energy density eigenstate of a many-body Hamiltonian, which has a volume law entanglement entropy $S^{\Psi}_{\Lambda} \sim L^d$

Perform (some) local **partial** measurement, and obtain projected wavefunction for unmeasured degrees of freedom

 $|\Psi
angle
ightarrow |\psi
angle$ Compute spatial entanglement entropy of

post-measurement wavefunction



"Conventional Thermal state": Post-measurment entanglement is still volume law $S^{\psi}_{\Lambda} \sim L^d$

"Quantum Disentangled state": Area law post-measurement entanglement $S^{\psi}_{\Lambda} \sim L^{d-1}$

In Quantum Disentangled Liquid a partial local measurement induces full locality

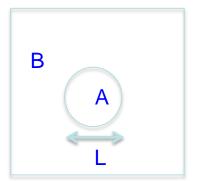
Full measurement in spatially extended system: Disentangles

Heisenberg model with s=1/2
$$\hat{\mathcal{H}} = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j + ...$$

Hilbert space: Direct product of up/down spin on each site $|\{s_j\}\rangle=\prod_{j=1}^N|s_j\rangle_j$ $s_j=\pm 1$

Finite energy-density eigenstate: Volume law entanglement, $|\Psi\rangle = \sum_{\{s_j\}} \phi(\{s_j\}) |\{s_j\}\rangle$

$$\hat{\rho}_A = Tr_B |\Psi\rangle\langle\Psi|$$
 $S_A = -Tr_A [\hat{\rho}_A \ln \hat{\rho}_A] = sL^d$



Make Full (Local) Measurement: Measure spin on each and every site, find $\{\tilde{s}_i\}$

$$|\Psi
angle
ightarrow |\Psi'
angle = |\{ ilde{s}_j\}
angle$$
 (a direct product state)

After measurement: Wavefon fully disentangled

$$S_A = sL^d \to S_A' = 0$$

Local Measurement collapses "non-local" volume law state, inducing "locality"

Local Partial-Measurements

Hubbard model for electrons
$$\hat{\mathcal{H}} = -t \sum_{ij} (\hat{c}_i^{\dagger} \hat{c}_j + h.c.) + u \sum_i n_{i\uparrow} n_{i\downarrow} + \dots$$

On-site Hilbert space: Up/down spin, empty, doubly-occupied

$$|\uparrow\rangle, |\downarrow\rangle, |0\rangle, |\uparrow\downarrow\rangle$$

Partial-Measurement: Measure spin on each site

Single-site wf:
$$|\psi\rangle = \phi_{\uparrow}|\uparrow\rangle + \phi_{\downarrow}|\downarrow\rangle + \phi_{0}|0\rangle + \phi_{\uparrow\downarrow}|\uparrow\downarrow\rangle$$

$$|\psi\rangle \to \begin{cases} \mathcal{P}_{\uparrow}|\psi\rangle = \phi_{\uparrow}|\uparrow\rangle \\ \mathcal{P}_{\downarrow}|\psi\rangle = \phi_{\downarrow}|\downarrow\rangle \\ \mathcal{P}_{0}|\psi\rangle = \phi_{0}|0\rangle + \phi_{\uparrow\downarrow}|\uparrow\downarrow\rangle \end{cases}$$

Spin projection operators

$$\mathcal{P}_{\uparrow} = |\uparrow\rangle\langle\uparrow|$$

$$\mathcal{P}_{\downarrow} = |\downarrow\rangle\langle\downarrow|$$

$$\mathcal{P}_{0} = |0\rangle\langle0| + |\uparrow\downarrow\rangle\langle\uparrow\downarrow|$$

After measuring spin, get a charge wavefunction (doublon/holon)

Partial-Measurement: Measure charge on each site

$$|\psi\rangle \longrightarrow \left\{ \begin{array}{c} \mathcal{P}_0|\psi\rangle = \phi_0|0\rangle \\ \mathcal{P}_1|\psi\rangle = \phi_\uparrow|\uparrow\rangle + \phi_\downarrow|\downarrow\rangle \\ \mathcal{P}_2|\psi\rangle = \phi_{\uparrow\downarrow}|\uparrow\downarrow\rangle \end{array} \right.$$

After measuring charge, get a spin wavefunction (up/down)

Charge projection operators:

$$\mathcal{P}_{0} = |0\rangle\langle 0|$$

$$\mathcal{P}_{1} = |\uparrow\rangle\langle\uparrow| + |\downarrow\rangle\langle\downarrow|$$

$$\mathcal{P}_{2} = |\uparrow\downarrow\rangle\langle\uparrow\downarrow|$$

Entanglement, locality, information and measurment

Alice and Bob, each with a s=1/2 particle

Direct product:
$$|\psi\rangle = |\uparrow\rangle_A \otimes |\downarrow\rangle_B$$

Bob's measurement, no effect on Alice. Alice has full **local quantum information**.

Singlet state:
$$|\psi\rangle=\frac{1}{\sqrt{2}}[|\uparrow\rangle_A\otimes|\downarrow\rangle_B-|\downarrow\rangle_A\otimes|\uparrow\rangle_B]$$

Bob's measurement affects Alice. Alice has **no local quantum information** about "her" spin - two spins are "entangled"

Entanglement entropy quantifies local quantum information

Direct product state – complete local info: $S_A = S_B = 0$

Entangled Singlet state – no local info: $S_A = S_B = \ln(2)$

Local Measurement: Causes spatial disentanglement

For singlet state Bob **measures** his spin:

$$|\psi\rangle = \frac{1}{\sqrt{2}}[|\uparrow\rangle_A \otimes |\downarrow\rangle_B - |\downarrow\rangle_A \otimes |\uparrow\rangle_B] \longrightarrow \begin{cases} |\psi'\rangle = |\uparrow\rangle_A \otimes |\downarrow\rangle_B \\ |\psi'\rangle = |\downarrow\rangle_A \otimes |\uparrow\rangle_B \end{cases}$$

After measurment, direct product state

$$S_A = S_B = \ln(2)$$
 $S'_A = S'_B = 0$

Measuring spin disentangles charge

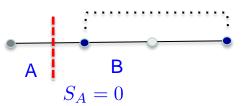
4-site Hubbard, 2 up, 2 down spin electrons

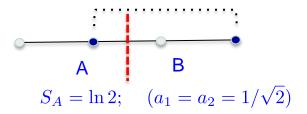
Measure spin on each site: $|\Psi
angle
ightarrow |\Psi'
angle$

Suppose spin measurement gives (+1,0,-1,0), wf after measurement

$$|\Psi'\rangle = a_1|\uparrow,0,\downarrow,\uparrow\downarrow\rangle + a_2|\uparrow,\uparrow\downarrow,\downarrow,0\rangle$$

Bipartition and compute entanglement entropy



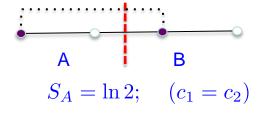


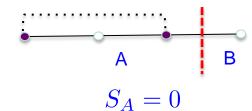
Measuring spin (partially) disentangles charge

$|\Psi angle ightarrow |\Psi' angle$ Measuring charge (partially) disentangles spin

Suppose charge measurement gives (1,0,1,2) leaving spin wf
$$|\Psi'\rangle=c_1|\uparrow,0,\downarrow,\uparrow\downarrow\rangle+c_2|\downarrow,0,\uparrow,\uparrow\downarrow\rangle$$

Bipartition, entanglement entropy of spin wf





Can partial measurments fully disentangle?

Do Quantum-disentangled eigenstates exist with area law "spin-wf"?

Can Charge measurement on volume-law wf induce complete locality, area law of post-measurment "spin-wf"?

$$S_{s/c} \sim L^{d-1}$$

Do Quantum-disentangled eigenstates exist with area law "charge-wf"?

Can Spin measurment on volume-law wf induces complete locality, **area law of post-measurment "charge-wf"?**

$$S_{c/s} \sim L^{d-1}$$

QDL in Hubbard Model?

Bi-partite Hubbard, half-filling

$$\hat{\mathcal{H}} = -t \sum_{ij} (\hat{c}_{i\sigma}^{\dagger} \hat{c}_{j\sigma} + h.c.) + U \sum_{i} n_{i\uparrow} n_{i\downarrow}$$

Charge/spin duality between positve and negative U Hubbard

$$\hat{c}_{j\uparrow} \to \hat{c}_{j\uparrow}
\hat{c}_{j\downarrow} \to (-1)^j \hat{c}_{j\downarrow}^{\dagger} \qquad \hat{\mathcal{H}}(U) \to \hat{\mathcal{H}}(-U)$$

Duality between charge/spin and spin/charge entanglement entropies

$$S_{c/s}(U) = S_{s/c}(-U)$$

Implication: Free Fermions are not in a QDL phase!

$$S_{c/s}(0) = S_{s/c}(0) \sim L^d$$

Large U>0: Measuring spin almost determines charge, since doublons are rare, Possible charge-disentangled QDL?

Large U<0, measuring charge almost determines state since mostly Cooper pairs Possible spin-disentangled QDL?

"Doublons" in the Hubbard Model

Near-neighbor Hubbard, H, in any dimension

$$\hat{\mathcal{H}} = -t \sum_{ij} (\hat{c}_{i\sigma}^{\dagger} \hat{c}_{j\sigma} + h.c.) + U \sum_{i} n_{i\uparrow} n_{i\downarrow} \qquad \text{MacDonald, Girvin, Yoshioka (1988)}$$

Order-by-order in t/U, can perform a unitary transformation on H which eliminates terms coupling states w/ differing number of doubly occupied sites

$$H' = e^{iS}He^{-iS}$$

H' is "block-diagonalized" into decoupled "doublon-sectors"

Example: At leading order in t/U

$$iS=(T_1-T_{-1})/U+\mathcal{O}(t/U)^2$$
 $T_{\pm 1}$ Terms in kinetic energy which change number of doubly occupied sites by $+1$

In sector w/ zero doublons, at leading order in t/U, get t-J model $H'=H_{tJ}$

"Doublons" at half-filling

At half-filling get spin-model

$$H' = J_2 \sum_{ij} \vec{S}_i \cdot \vec{S}_j + J_4 \sum_{ijkl} (P_{ijkl} + h.c.) + ...$$
 $J_n \sim t(t/U)^{n-1}$

Naively, can transform spin-model eigenstates into Hubbard eigenstates with the unitary,

$$|\psi_{Hubbard}\rangle = e^{iS}|\psi_{spin}\rangle$$

Questions:

- Does the t/U expansion converge
 - for ground state of spin-model?
 - for thermal eigenstates of spin-model??
- Is the operator S local in space (for U>>t)? Is exp(iS) a "local unitary"?
- Role of integrability of 1d Hubbard model?
- Generalization to 1d non-integrable model, eg w/ n.n. interaction, V

If a volume law Hubbard eigenstate can be transformed via a local unitary into a (volume law) spin-wf, then a spin-measurement of wf will disentangle, giving an area law post-measurement charge-entanglement entropy (ie it is a QDL state)

$$S_{c/s} \sim L^{d-1}$$

Equivalence of local correlators in ETH

Kim, Ikeda and Huse, 2014

$$H = \sum_{i=1}^{L} \left(g\sigma_i^x + h\sigma_i^z + J\sigma_i^z\sigma_{i+1}^z \right)$$

$$H = \sum_{i=1}^{L} \left[-t(b_{i+1}^{\dagger}b_{i} + b_{i}^{\dagger}b_{i+1}) + Vn_{i}n_{i+1} \right]$$

$$+ \sum_{i=1}^{L} \left[-t'(b_{i+2}^{\dagger}b_{i} + b_{i}^{\dagger}b_{i+2}) + V'n_{i}n_{i+2} \right]$$

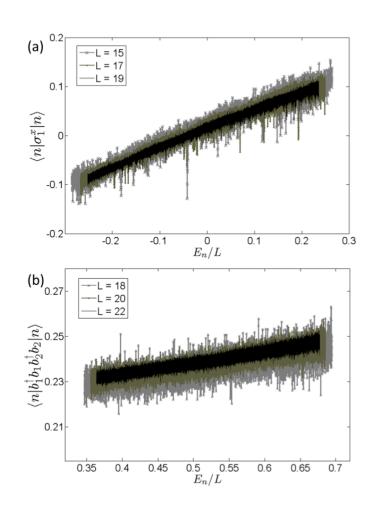
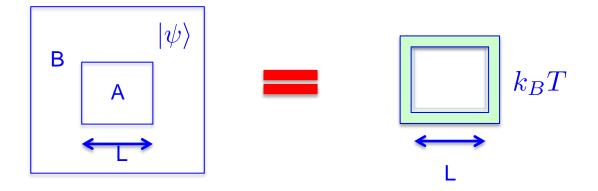


FIG. 1: Diagonal elements of a few-body operator in energy eigenbasis vs. energy density. The darker, the larger the system size. (a) Ising Hamiltonian (Eq. (7)). The operator is σ_1^x . (b) Hard-core boson Hamiltonian (Eq. (8)). The operator is $n_1n_2 = b_1^{\dagger}b_1b_2^{\dagger}b_2$. For both cases, the fluctuations become smaller as the system size increases.

Eigenstate Thermalization Hypothesis (ETH)

Josh Deutsch, Mark Srednicki

ETH = Microcanonical ensemble for E/L^d >0 eigenstate "equivalent", in region A, to canonical ensemble w/ heat bath



Equivalence of (non-local) Thermal and entanglement entropies

$$S_A/L^d = S_{th}/L^d; \quad L \to \infty$$

Thermal entropy is state counting, entanglement entropy depends on the properties of the states!

Eigenstates in narrow energy (density) window have identical correlations of local operators

$$\langle \hat{\mathcal{O}} \rangle_E = \langle \hat{\mathcal{O}} \rangle_{E + \Delta E}$$

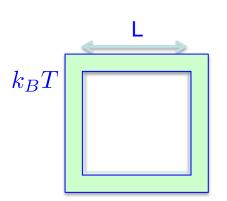
Quantum Statistical Mechanics

Canonical Ensemble, w/ heat bath

Thermal density matrix $\hat{
ho}_{th} = rac{1}{Z} e^{-eta \hat{\mathcal{H}}}$

(Local) Observables $\langle \hat{\mathcal{O}}
angle_{th} = Tr[\hat{
ho}_{th}\hat{\mathcal{O}}]$

Internal Energy (extensive) $U = Tr[\hat{
ho}_{th}\hat{\mathcal{H}}]$ $U/L^d>0$



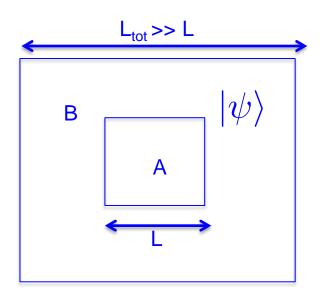
Microcanonical Ensemble: Isolated, single eigenstate

 $\hat{\mathcal{H}}|\psi
angle = E|\psi
angle$

 $\hat{\rho} = |\psi\rangle\langle\psi|$

Spatial partition: Regions A ("system") and B ("environment")

Observables inside "system" A $\langle \hat{\mathcal{O}}
angle_E = Tr[\hat{
ho}\hat{\mathcal{O}}]$



Equivalence of Canonical and Microcanonical Ensemble:

For "all" states w/ energy E, observables are equivalent

$$E/L^{d} = U/L^{d}$$
$$\langle \hat{\mathcal{O}} \rangle_{E} = \langle \hat{\mathcal{O}} \rangle_{th}$$

Entropy: Thermal "versus" entanglement

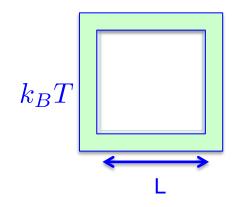
Entropy is "non-local"

Thermal entropy, w/ heat bath:

Number of states, extensive for T>0

$$S_{th} = -Tr[\hat{\rho}_{th} \ln \hat{\rho}_{th}]$$
$$\hat{\rho}_{th} = \frac{1}{Z}e^{-\beta\hat{\mathcal{H}}}$$

$$S_{th} \sim L^d$$



Entanglement Entropy: Isolated, single eigenstate

$$\hat{\mathcal{H}}|\psi\rangle = E|\psi\rangle$$

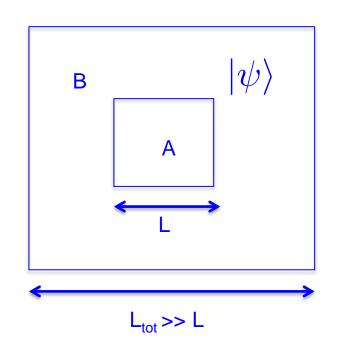
$$\hat{\rho} = |\psi\rangle\langle\psi|$$

Reduced density matrix in A

$$\hat{
ho}_A = Tr_B(\hat{
ho})$$

Entanglement entropy:

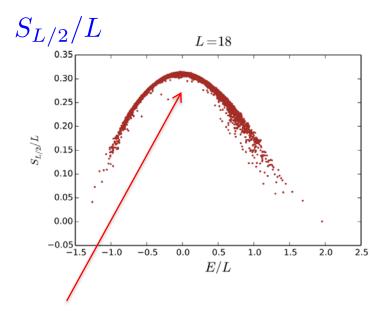
$$S_A(L) = -Tr_A(\hat{\rho}_A \ln \hat{\rho}_A)$$



Ex: Entanglement entropy in Quantum Ising chain

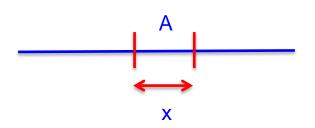
1d Quantum Ising chain

$$H = \sum_{i=1}^L \left(g\sigma_i^x + h\sigma_i^z + J\sigma_i^z\sigma_{i+1}^z\right)$$
 (g, h, J) = (0.9, 0.8, 1)



Maximum entropy state, minimal (zero) local information

$$S_A/L_A \approx \ln 2$$

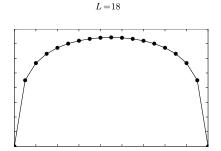


Ground state, **Area-law**:

$$S_A \sim O(1)$$

"High-energy" state, **Volume law:**

$$S_A \sim \frac{L}{2} - |x - \frac{L}{2}|$$





"Doublons" at half-filling

U>>t Hubbard: In t/U expansion unitary transformation on H to eliminate doubly occupancy, spin Hamiltonian:

MacDonald, Girvin, Yoshioka (1988)

$$\hat{H}_{spin} = \hat{\mathcal{U}} \; \hat{H}_H \; \hat{\mathcal{U}}^\dagger$$

$$\hat{H}_{spin} = J_2 \sum_{ij} \vec{S}_i \cdot \vec{S}_j + J_4 \sum_{ijkl} (P_{ijkl} + h.c.) + \dots$$
 $J_n \sim t(t/U)^{n-1}$

Naively, can transform spin-model eigenstates into Hubbard eigenstates with the unitary,

$$|\psi_H\rangle = \hat{\mathcal{U}} |\psi_{spin}\rangle$$

Questions:

- Does t/U expansion converge
 - for ground state of spin-model?
 - for thermal eigenstates of spin-model??
- Is U a "local unitary"?

If volume law Hubbard eigenstate can be transformed via local unitary into (vol law) spin-wf, then measuring spin of Hubbard wf will disentangle the charge, giving (a post-measurement) area-law charge-entanglement entropy (ie it is a QDL state)

$$S_{c/s} \sim L^{d-1}$$

Subtlety: Mixing of large U "Bands"?

- Start w/ infinite U
- Spin-sector

$$E_0 = 0$$



















$$E_1 = E_0 + U$$



















Perturb in t/U, spin model

$$H_s = J_2 \sum_{ij} \vec{S}_i \cdot \vec{S}_j \qquad J_2 \sim t^2 / U$$

$$J_2 \sim t^2/U$$

Does the spin band "mix" with doublon (charge) bands in the same energy range?

