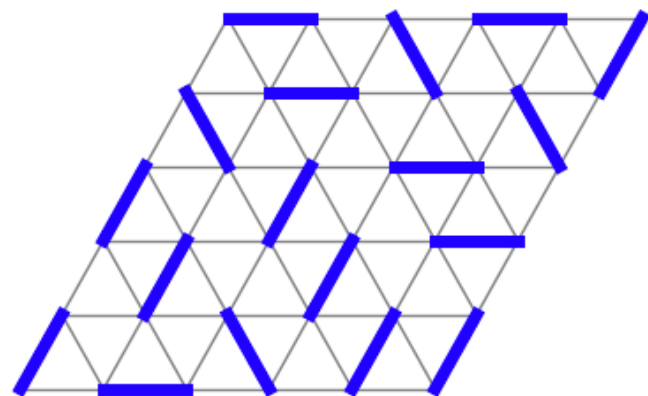




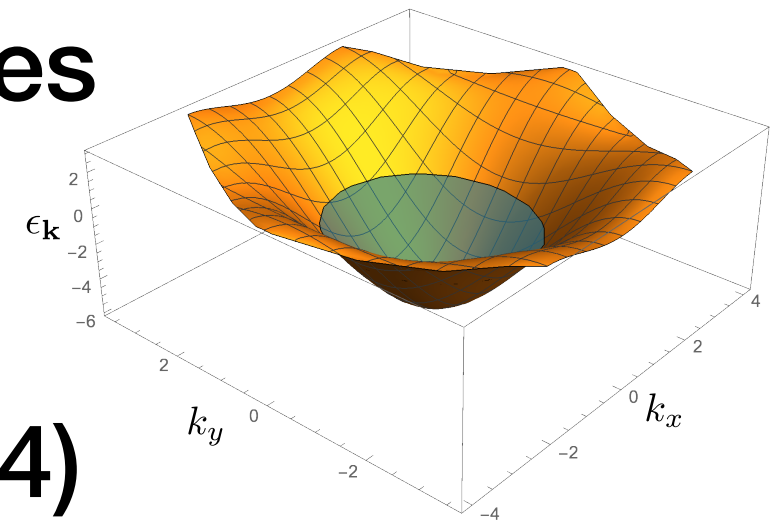
Kavli Institute for
Theoretical Physics
University of California, Santa Barbara

GORDON AND BETTY
MOORE
FOUNDATION

Valence-bond and gapless liquid states



in triangular lattice $SU(4)$
antiferromagnets

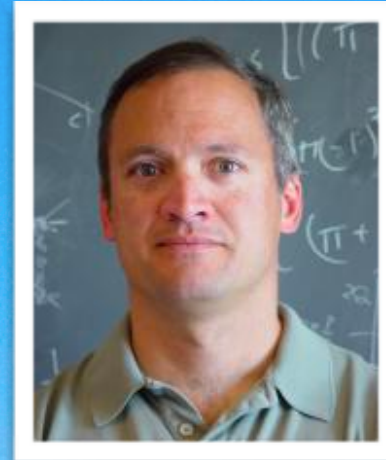


Anna Keselman, KITP

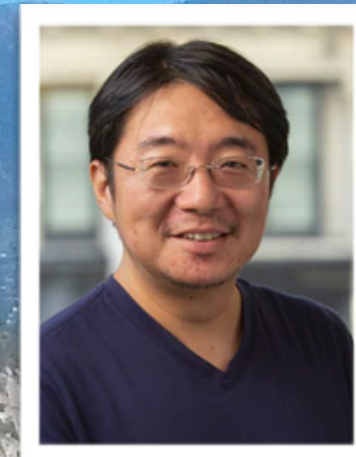
Collaborators



**Lucile Savary,
Lyon**



**Leon Balents,
KITP**



**Cenke Xu,
UCSB**



**Chao-Ming Jian,
Cornell**



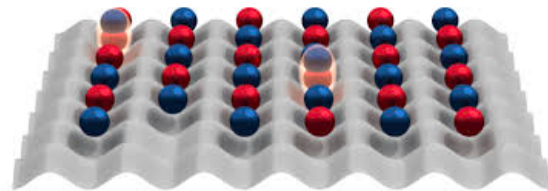
**Bela Bauer,
MS Station Q**

Systems with (approximate) SU(4) symmetry

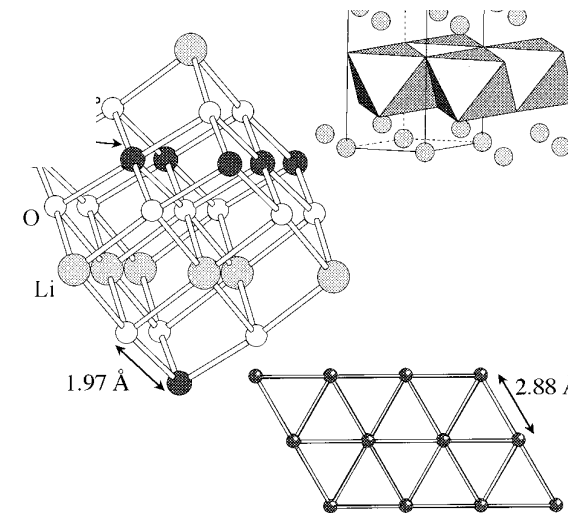
Cold atoms

(Alkaline-earth Fermi gases)

Gorshkov et al.,
Nat. Phys. 2010

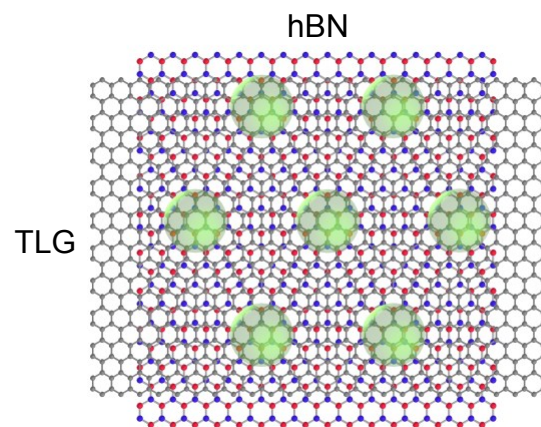


Transition metal compounds



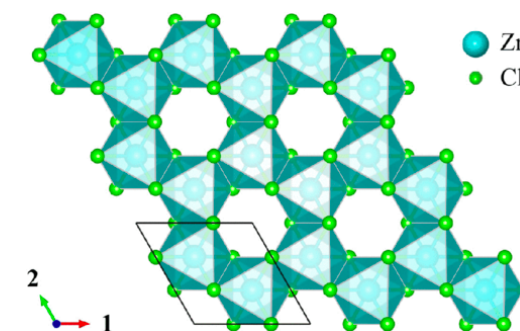
Kugel, Khomskii, 1973

Moiré superlattices



Chen et al.,
Nat. Phys. 2019
and many more...

$\alpha - \text{ZrCl}_3$



Yamada et al., *PRL* 2018

SU(4) “spin” systems

Hubbard model

$$H = -t \sum_{\langle i,j \rangle, a=1,\dots,4} c_{i,a}^\dagger c_{j,a} + U \sum_i (n_i - \bar{n})^2$$

$$n_i = \sum_{a=1,\dots,4} n_{i,a}$$

$U \gg t$

SU(4) Antiferromagnetic “Heisenberg” model

$$H = J \sum_{\langle i,j \rangle} \sum_{a,b=1}^4 T_i^{ab} T_j^{ba}$$

$$J \sim \frac{t^2}{U} > 0$$

$$T_i^{ab} = c_{i,a}^\dagger c_{i,b}$$

SU(4) fermions

$$c_{i,a=1,2,3,4}$$

↑
“flavor” = (spin, valley/orbit)

$$1 = (\uparrow, K) \quad 3 = (\uparrow, K')$$

$$2 = (\downarrow, K) \quad 4 = (\downarrow, K')$$

Different representations of SU(4)

SU(4) “spin” operators

SU(4) antiferromagnet

$$H = J \sum_{\langle i,j \rangle} \sum_{a,b=1}^4 T_i^{ab} T_j^{ba}$$

$$T_i^{ab} = c_{i,a}^\dagger c_{i,b}$$

SU(4) “spin” operators

$$T_i^{aa} = c_{i,a}^\dagger c_{i,a} = n_{i,a}$$

SU(2) Heisenberg:

$$H = 2J \sum_{\langle i,j \rangle} \left[S_i^+ S_j^- + S_i^- S_j^+ + \underbrace{n_{i,\uparrow} n_{j,\uparrow} + n_{i,\downarrow} n_{j,\downarrow}}_{= \frac{1}{2} S_i^z S_j^z + const.} \right] = J \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j + const.$$

$$S_i^+ = c_{i,\uparrow}^\dagger c_{i,\downarrow}$$

An equivalent form:

$$H = J \sum_{\langle ij \rangle, \alpha, \beta} \left(S_i^\alpha S_j^\alpha + V_i^\beta V_j^\beta + 4(S^\alpha V^\beta)_i (S^\alpha V^\beta)_j \right)$$

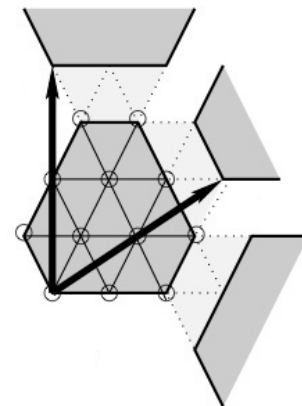
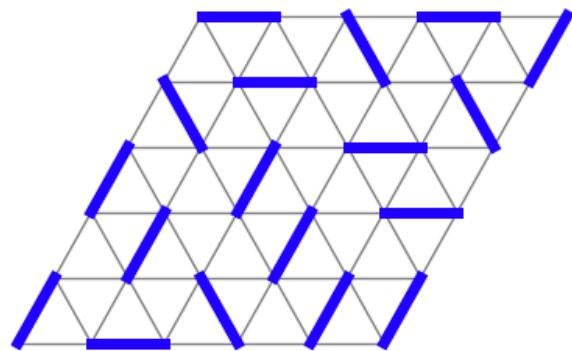
SU(4) symmetric point
of the SU(2)xSU(2)
Kugel-Khomskii model

$$\tilde{S}_i = \left\{ c_{i,s,v}^\dagger \sigma_{s,s'}^\alpha \delta_{v,v'} c_{i,s',v'}, \quad c_{i,s,v}^\dagger \delta_{s,s'} \tau_{v,v'}^\beta c_{i,s',v'}, \quad c_{i,s,v}^\dagger \sigma_{s,s'}^\alpha \tau_{v,v'}^\beta c_{i,s',v'} \right\}$$

$$3 + 3 + 9 = 15 \text{ operators}$$

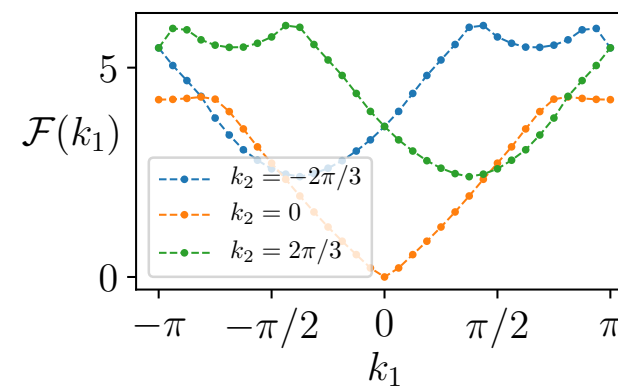
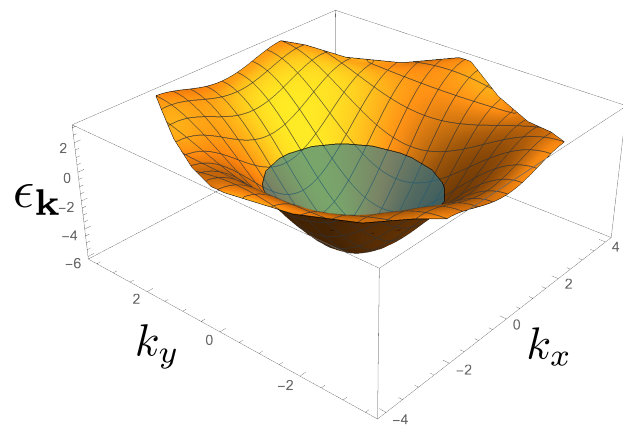
Outline - SU(4) “spins” on the triangular lattice

- **Half filling - dimer description and valence bond states**



AK, Lucile Savary, Leon Balents, SciPost 2019

- **Quarter filling - evidence for a gapless quantum liquid**



AK, Bela Bauer, Cenke Xu, Chao-Ming Jian, PRL 2020

SU(4) “spins” at half filling

2 particles per site

$$|ab\rangle_i = c_{i,a}^\dagger c_{i,b}^\dagger |0\rangle_i$$

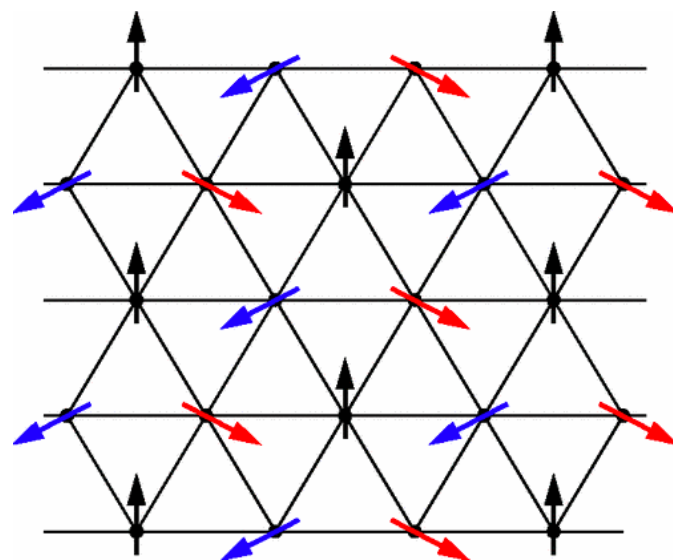


6 possible states per site

$$\{|12\rangle, |13\rangle, |14\rangle, |23\rangle, |24\rangle, |34\rangle\}$$

Classical (mean-field) limit

$$|\Psi\rangle = \prod_i |\psi\rangle_i$$



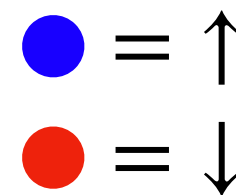
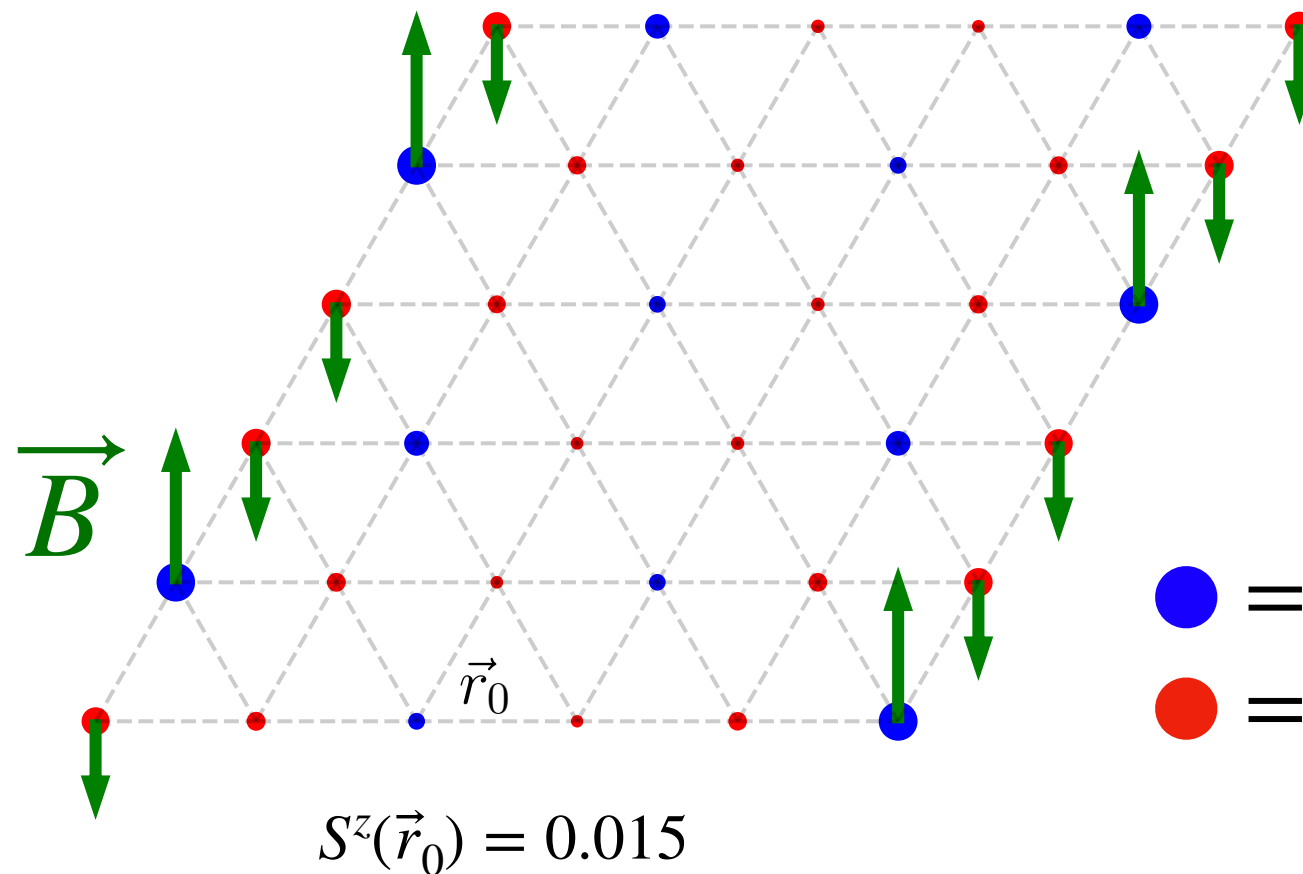
120° order!

(up to SU(4) rotations)

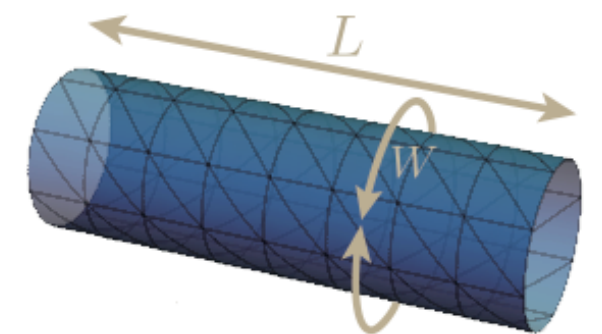
Probing long range order in the SU(4) model



Cylinder with pinning fields at the boundaries



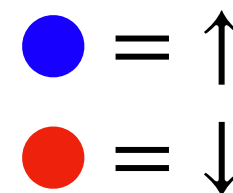
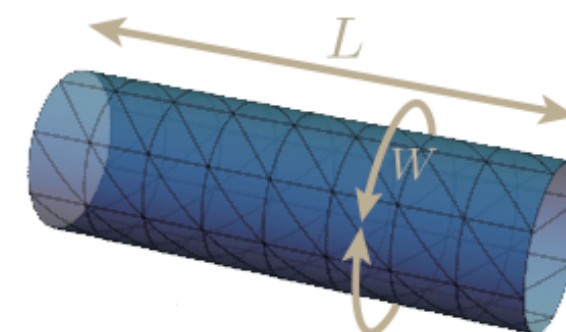
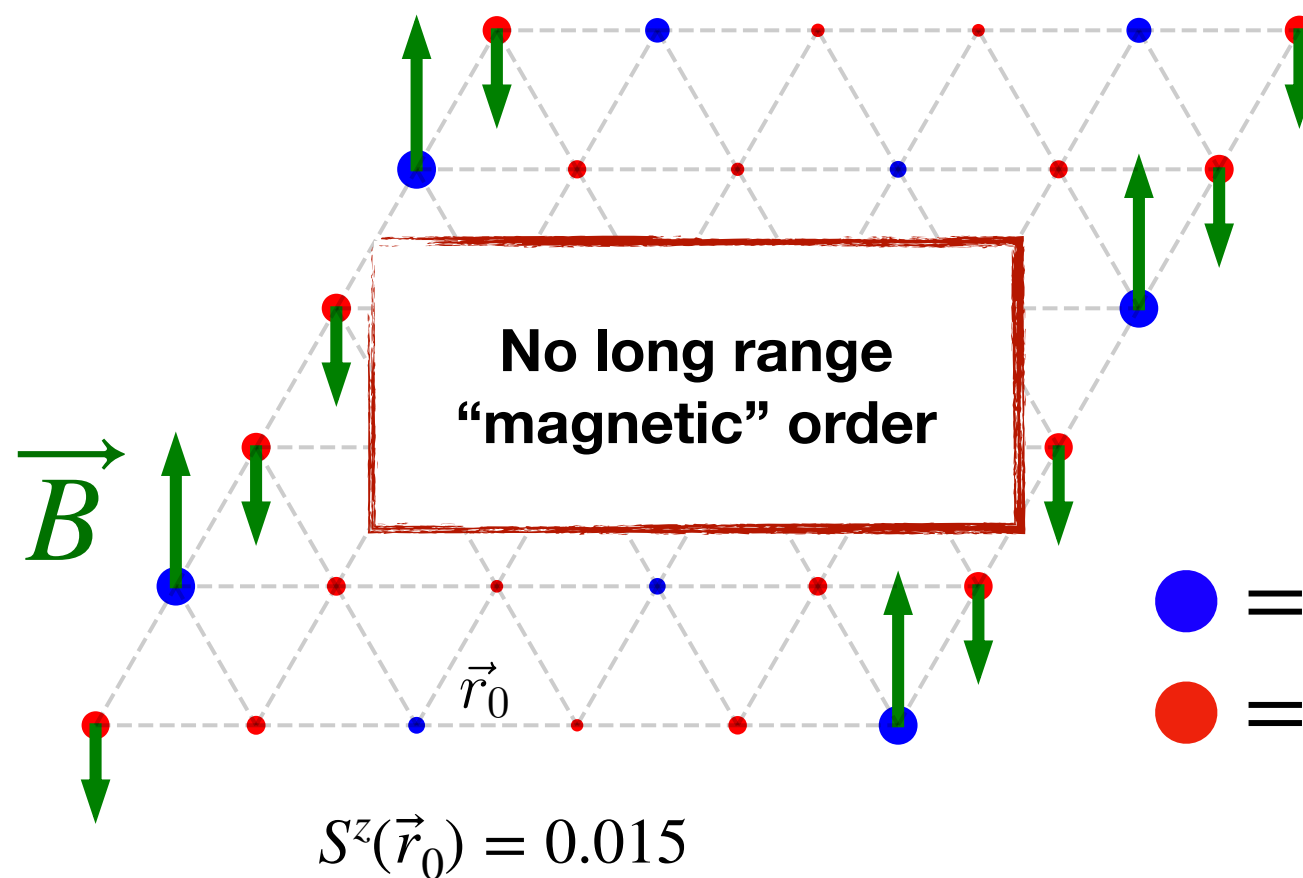
Expectation value of the spin along the field direction



Probing long range order in the SU(4) model



Cylinder with pinning fields at the boundaries



Expectation value of the spin along the field direction

SU(4) spins at half-filling

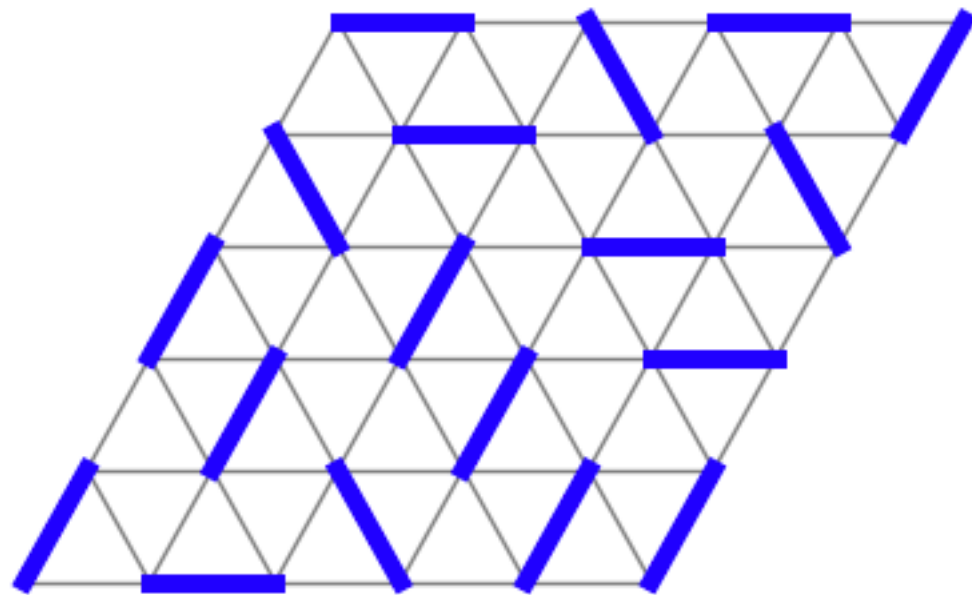
2 particles per site

$$|ab\rangle_i = c_{i,a}^\dagger c_{i,b}^\dagger |0\rangle_i$$



SU(4) singlet

$$|s\rangle_{ij} = \frac{1}{2\sqrt{6}} \sum_{a,b,c,d=1,\dots,4} \epsilon_{abcd} |ab\rangle_i |cd\rangle_j$$



Singlet coverings are candidates for the ground state on a lattice!

From SU(4) spins to dimers

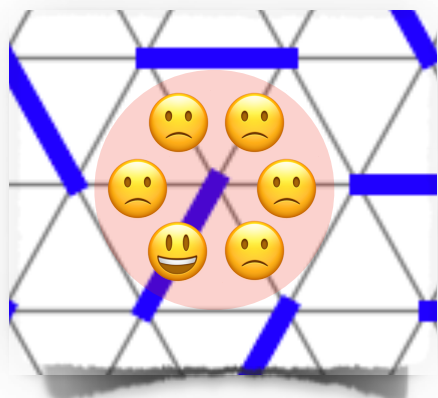
Can the nearest-neighbor singlet coverings capture the low energy physics of the SU(4) spin model?

- Large N limit -

For SU(N) at half-filling ground state description in terms of dimer coverings is exact

Rokhsar 1990

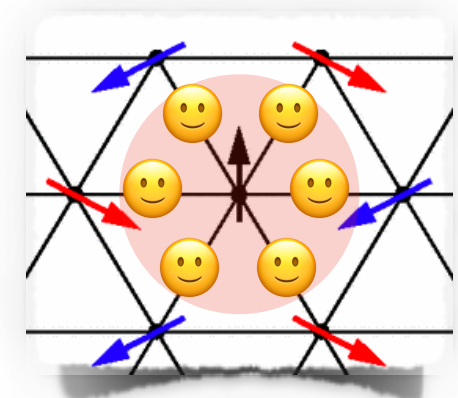
- Classical order vs dimer covering -



For SU(2), spin-1/2: $E_{\text{dimer}}^{\text{SU}(2)} = E_{120^\circ}^{\text{SU}(2)}$

For SU(4):

$$\frac{E_{\text{dimer}}^{\text{SU}(4)}}{N_{\text{bonds}}} = -\frac{5}{6}J < -\frac{1}{2}J = \frac{E_{120^\circ}^{\text{SU}(4)}}{N_{\text{bonds}}}$$



Dimer coverings have significantly lower energy!

Quantum dimer models

Projecting the spin Hamiltonian onto the nearest-neighbor singlet-coverings subspace

$$|\psi\rangle = \sum_C \psi_C |C\rangle$$

singlet covering
↙

Effective Hamiltonian for **orthogonal dimers**

$$E(\psi) = \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle} \quad \longrightarrow \quad H_{\text{proj}} \psi = E_0 S \psi \quad \longrightarrow \quad H_{\text{dimer}} \psi = E_0 \psi,$$

$$H_{\text{dimer}} = S^{-1/2} H_{\text{proj}} S^{-1/2}$$

$$H_{\text{proj}, C'C} = \langle C' | H | C \rangle, \quad S_{C'C} = \langle C' | C \rangle$$

*singlet coverings are not orthogonal!

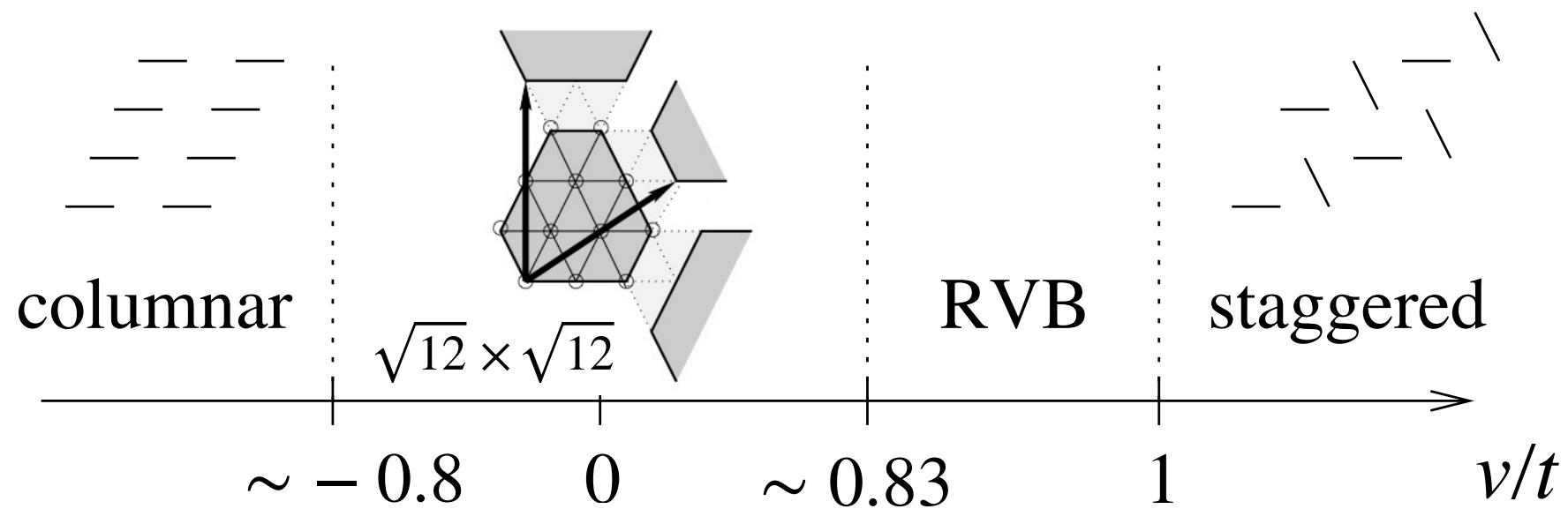
$$x = \left\langle \begin{array}{c} \text{---} \bullet \text{---} \bullet \\ \diagup \quad \diagdown \\ \bullet \text{---} \bullet \\ \diagdown \quad \diagup \\ \text{---} \bullet \text{---} \bullet \end{array} \middle| \begin{array}{c} \text{---} \bullet \text{---} \bullet \\ \diagdown \quad \diagup \\ \bullet \text{---} \bullet \\ \diagup \quad \diagdown \\ \text{---} \bullet \text{---} \bullet \end{array} \right\rangle = \frac{1}{6}$$

$$\begin{aligned}
H_{\text{dimer}} = & \sum' - (1 - x + x^2) \left(|\square\rangle \langle \square| + |\square\rangle \langle \square| \right) \\
+ & x(1 - x) \left(|\parallel\rangle \langle \parallel| + |\text{--}\rangle \langle \text{--}| \right) \\
- & x(1 - x) \left(|\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right) \\
+ & \frac{1}{2}x^2 \left(|\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right) \\
+ & x^2 \left(|\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right) \\
- & \frac{1}{2}x^2 \left(|\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right) \\
- & x^2 \left(|\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right. \\
& \quad \left. + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right) \\
- & \frac{1}{2}x^2 \left(|\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right. \\
& \quad \left. + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right) \\
+ & \frac{1}{2}x^2 \left(|\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right. \\
& \quad \left. + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right) \\
- & 4x^2 \left(|\text{--}\rangle \langle \text{--}| + |\text{--}\rangle \langle \text{--}| \right)
\end{aligned}$$

Quantum dimer model

$$H = v \sum (|\overline{\Delta}\rangle\langle\overline{\Delta}| + |\overline{\nabla}\rangle\langle\overline{\nabla}|) - t \sum (|\overline{\Delta}\rangle\langle\overline{\nabla}| + |\overline{\nabla}\rangle\langle\overline{\Delta}|)$$

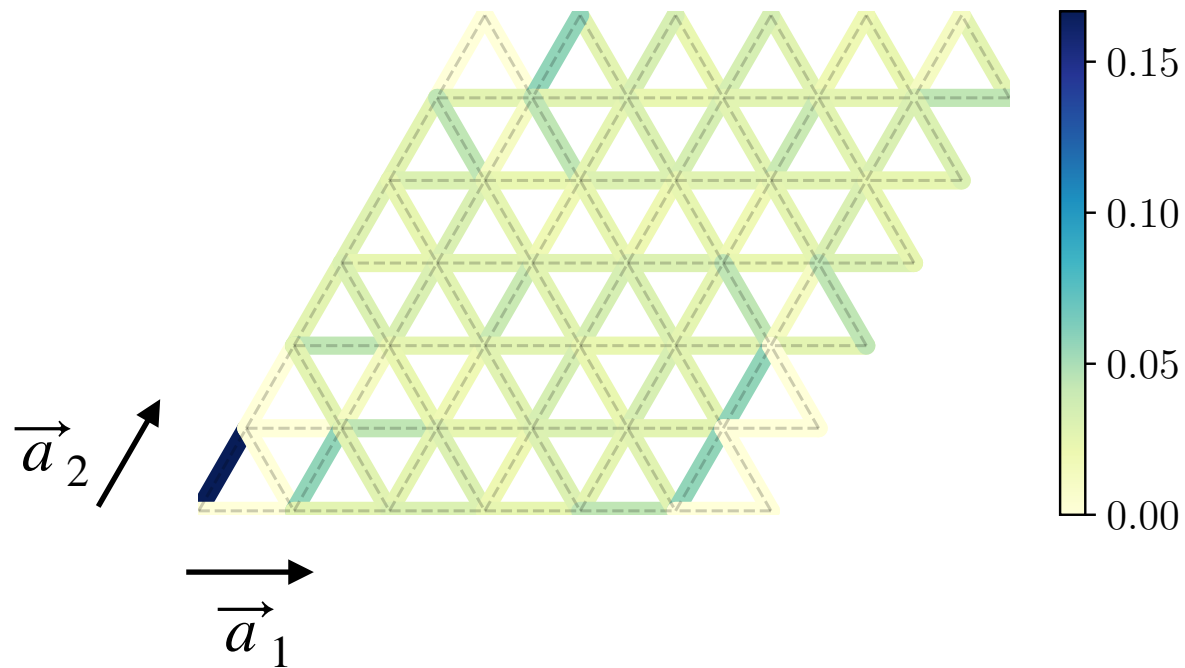
Phase diagram on the triangular lattice:



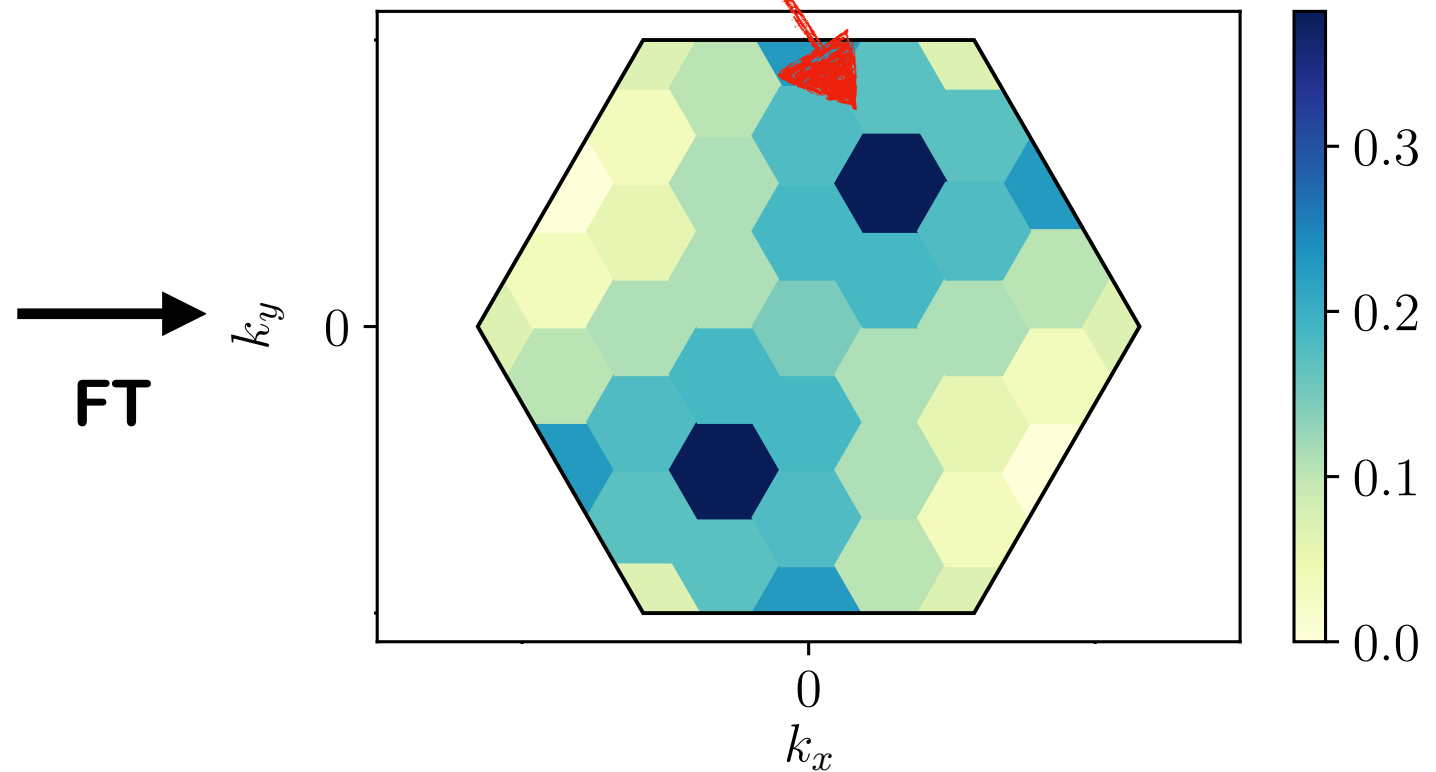
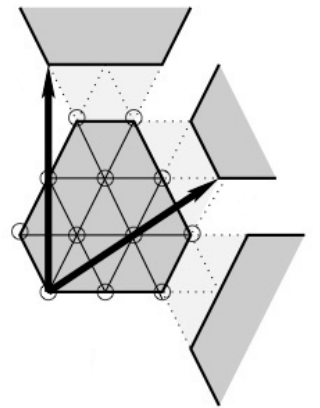
Back to the full dimer model - Exact Diagonalization

6x6 lattice with periodic boundary conditions

Bond-bond correlations in the ground state:

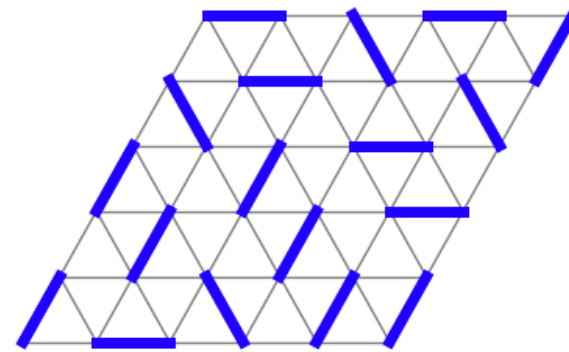


Ground state breaks translational invariance forming a 12-site unit cell!

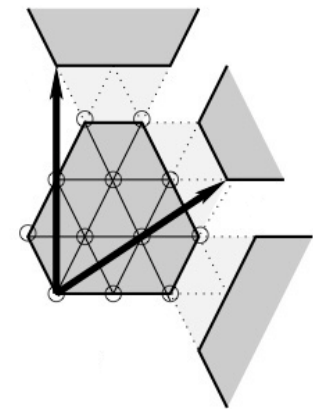
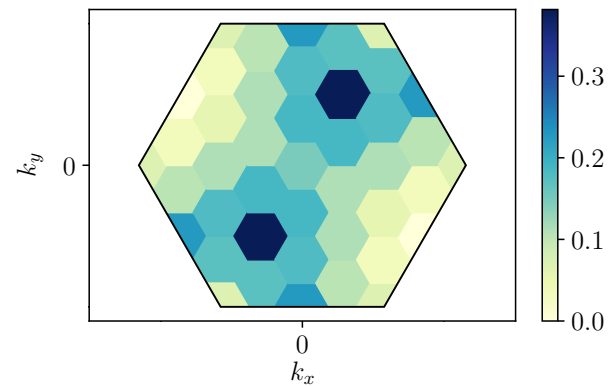


Half-filling - summary so far

Low energy properties of the Mott phase at half filling can be captured by an effective dimer model



The ground state of the effective dimer model is a valence bond solid state with a 12-site unit cell



Breaking SU(4) symmetry

Hund's coupling

$$H = J \sum_{\langle i,j \rangle} T_i^{ab} T_j^{ba} - J_H \sum_i |\vec{S}_i|^2$$

At large J_H , electrons on each site pair up into a spin-triplet

$$\begin{array}{l} |1\rangle = |K \uparrow \rangle \\ |2\rangle = |K \downarrow \rangle \\ |3\rangle = |K' \uparrow \rangle \\ |4\rangle = |K' \downarrow \rangle \end{array} \quad \longrightarrow \quad \begin{array}{l} |13\rangle = |S = 1, m_z = +1\rangle \\ \frac{1}{\sqrt{2}} (|14\rangle + |23\rangle) = |S = 1, m_z = 0\rangle \\ |24\rangle = |S = 1, m_z = -1\rangle \end{array}$$

$$J_H \gg J$$

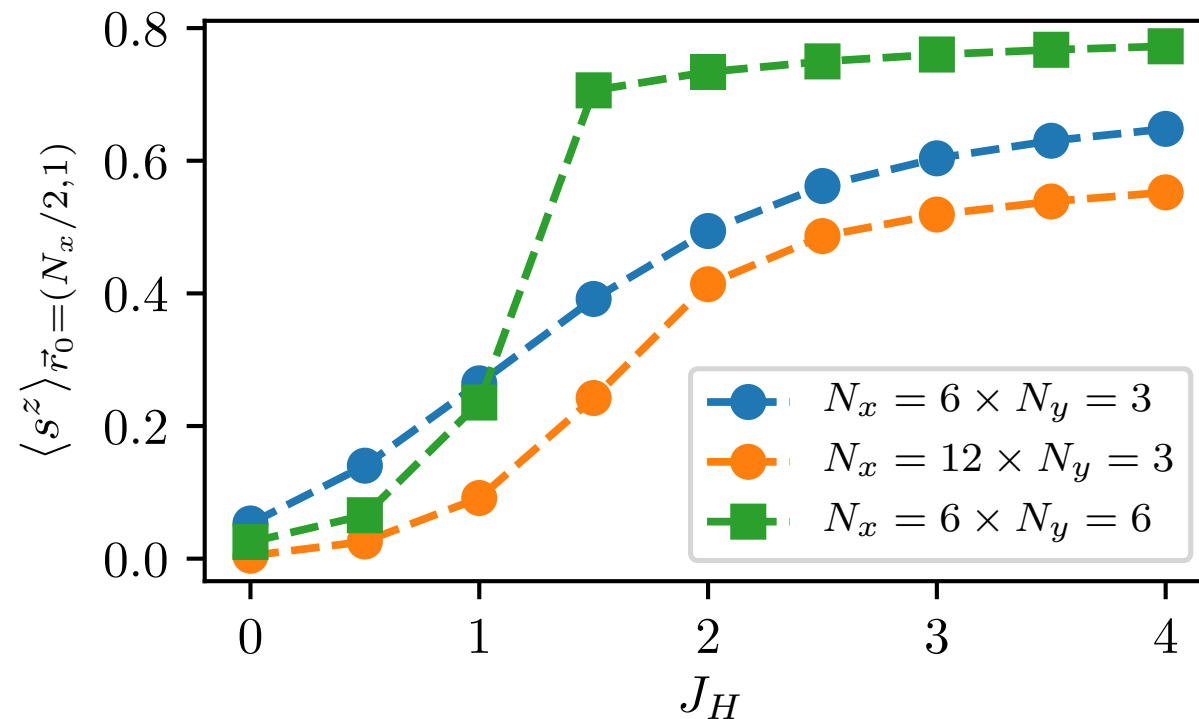
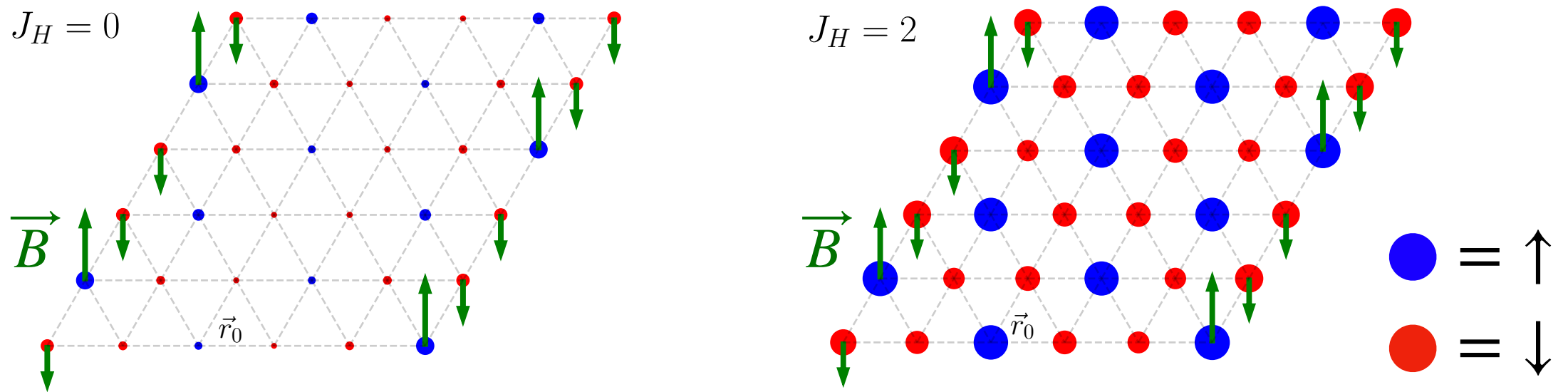
$$H = J \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

Spin-1 Heisenberg model!

120° magnetic order at large J_H

J_H

S_z expectation value obtained using DMRG



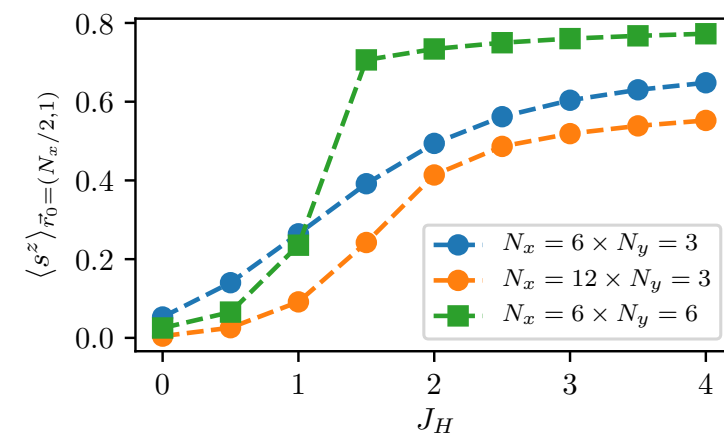
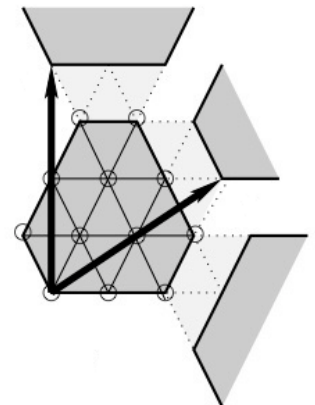
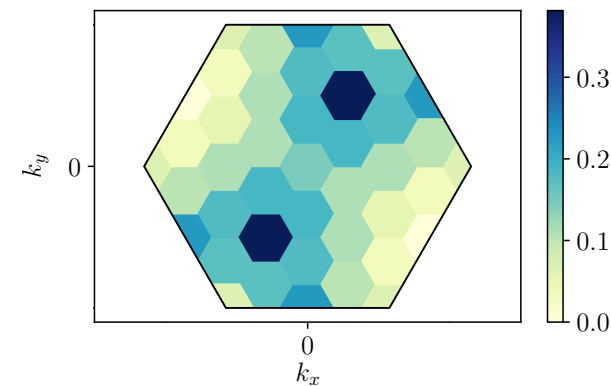
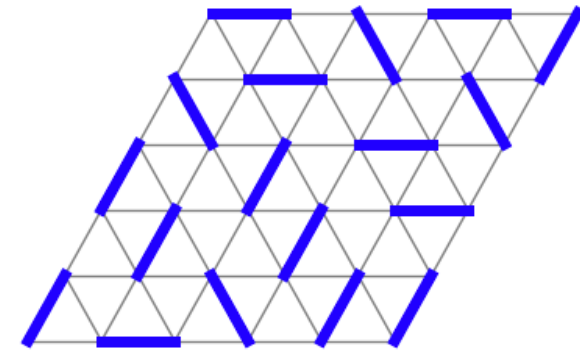
Phase transition
from valence bond
to magnetic order?

Half-filling - summary

Low energy properties of the Mott phase at half filling can be captured by an effective dimer model

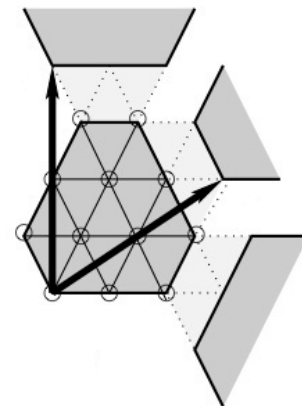
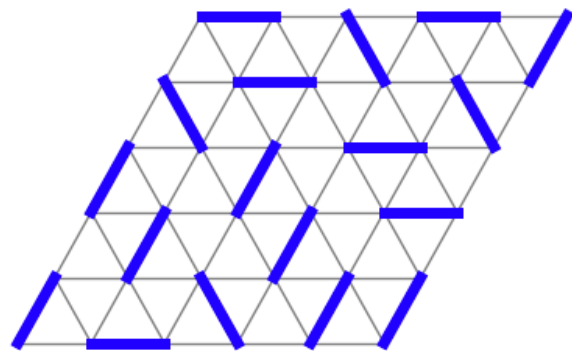
The ground state of the effective dimer model is a valence bond solid state with a 12-site unit cell

Breaking $SU(4)$ symmetry by a Hund's coupling term drives the system into a magnetically ordered state



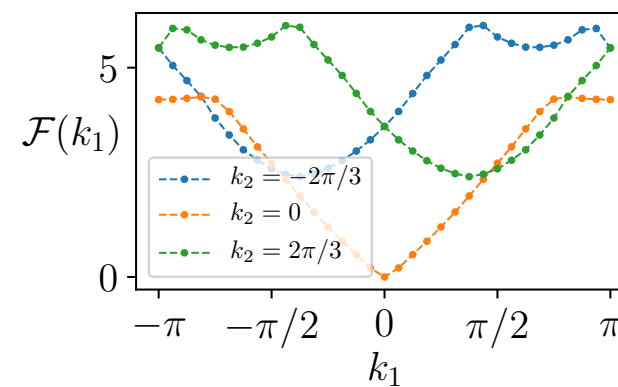
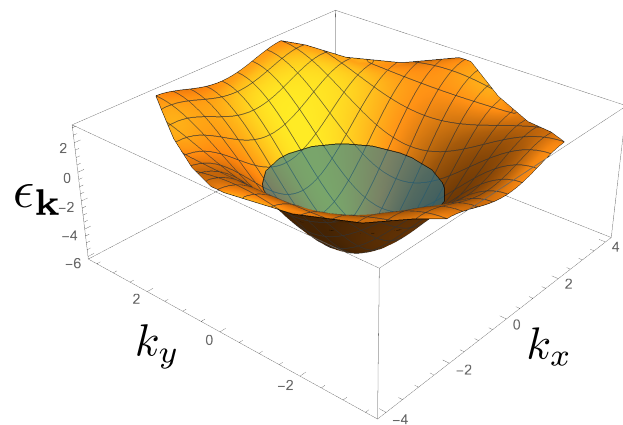
Outline - SU(4) “spins” on the triangular lattice

- **Half filling - dimer description and valence bond states**



AK, Lucile Savary, Leon Balents, SciPost 2019

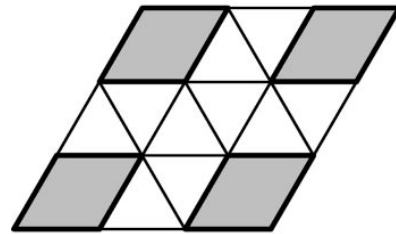
- **Quarter filling - evidence for a gapless quantum liquid**



AK, Bela Bauer, Cenke Xu, Chao-Ming Jian, PRL 2020

SU(4) Heisenberg antiferromagnets at quarter-filling

Triangular lattice



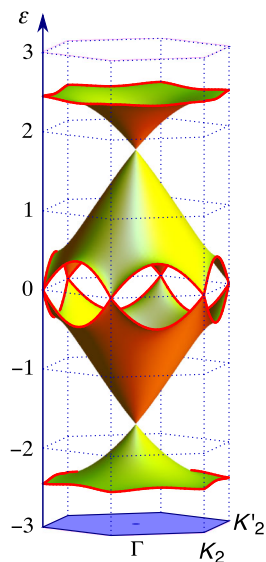
4 spins on a
plaquette can
form a singlet \Rightarrow expect
plaquette
order?

Li et al., PRL 1998

Variational study with singlet
plaquettes as a starting points
suggests spin-orbital liquid

Penc et al., PRB 2003

Honeycomb lattice



evidence for an algebraic
(Dirac) spin-orbital liquid

Corboz et al., PRX 2012

Parton construction

$$S_i^\alpha = \frac{1}{2} f_{i,a}^\dagger \sigma^\alpha f_{i,a},$$

$$V_i^\beta = \frac{1}{2} f_{i,a}^\dagger \tau^\beta f_{i,a},$$

$$(S^\alpha V^\beta)_i = \frac{1}{4} f_{i,a}^\dagger \sigma^\alpha \tau^\beta f_{i,a}$$

Abrikosov fermions

$$\{f_{i,a}, f_{j,b}^\dagger\} = \delta_{i,j} \delta_{a,b}$$

with the constraint

$$n_i = \sum_{a=1}^4 f_{i,a}^\dagger f_{i,a} = 1$$

Gutzwiller projection:

Numerically project out all states with occupancy $n_i \neq 1$

Parton mean field

$$H = J \sum_{\langle ij \rangle} \left(2\mathbf{S}_i \cdot \mathbf{S}_j + \frac{1}{2} \right) \left(2\mathbf{V}_i \cdot \mathbf{V}_j + \frac{1}{2} \right)$$

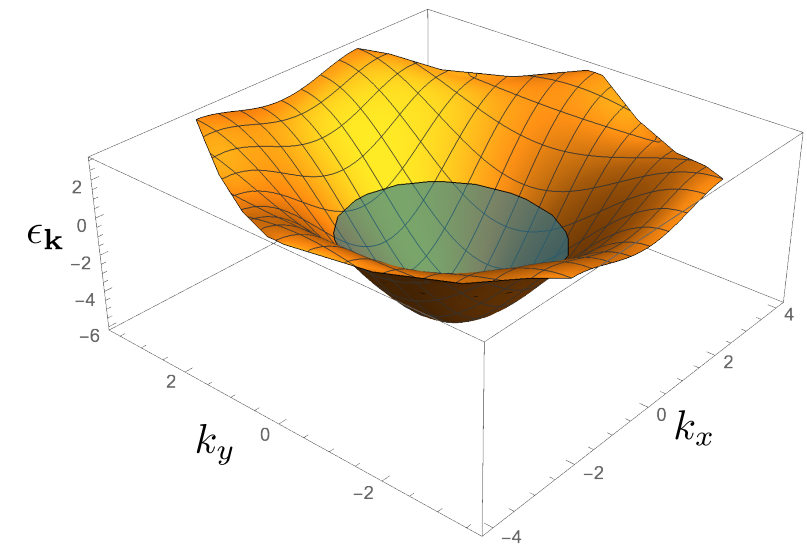
$$S_i^\alpha = \frac{1}{2} f_i^\dagger \sigma^\alpha f_i, \quad V_i^\beta = \frac{1}{2} f_i^\dagger \tau^\beta f_i, \quad (S^\alpha V^\beta)_i = \frac{1}{4} f_i^\dagger \sigma^\alpha \tau^\beta f_i$$

$$H = -J \sum_{\langle i,j \rangle} \chi_{ij}^\dagger \chi_{ij} + \text{const.} \quad \chi_{ij} = \sum_a f_{i,a}^\dagger f_{j,a}$$

$$\langle \chi_{ij} \rangle = \chi_0, \quad t = J\chi_0$$

$$H_{\text{MF}} = -t \sum_{\langle i,j \rangle, a=1,\dots,4} f_{i,a}^\dagger f_{j,a} \quad \nu = \frac{1}{4}$$

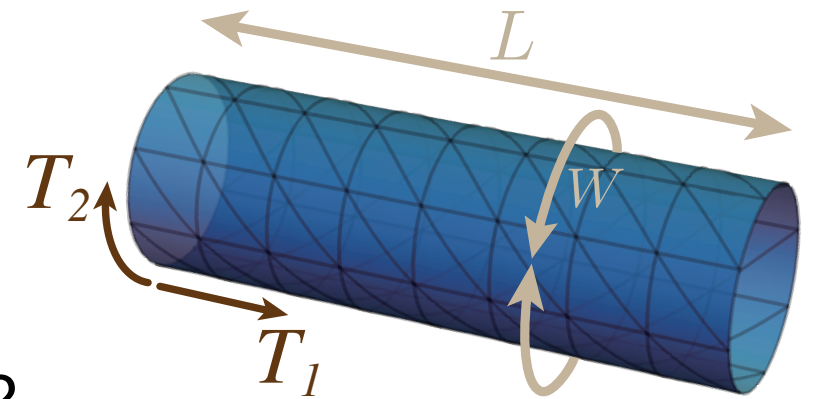
$|\Psi_{\text{MF}}\rangle$ - Slater determinant state



Finite-circumference cylinders

Periodic boundary conditions for the *spins*

What are the boundary conditions for the *partons*?

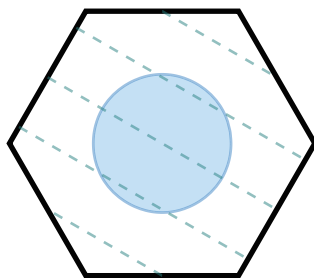


From symmetry constraints: $\Phi = 0$ or $\Phi = \pi$

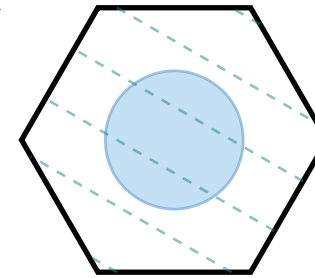


Different cuts through the Fermi surface for finite circumference!

$\Phi = 0$



$\Phi = \pi$



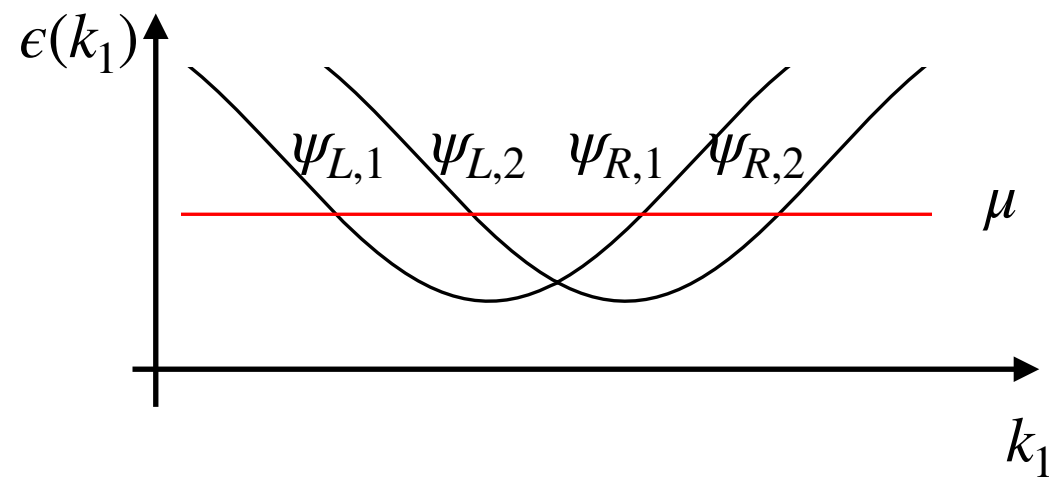
Finite-circumference cylinders

Low energy theory

$$\mathcal{L} = \sum_m \sum_{a=1}^4 \left[\psi_{L,m,a}^\dagger (i\partial_t - iv_m \partial_x) \psi_{L,m,a} + \psi_{R,m,a}^\dagger (i\partial_t + iv_m \partial_x) \psi_{R,m,a} \right]$$

1D bands

Coupling to the gauge field $\partial_{\mu=x,t} \rightarrow \partial_\mu - a_\mu$



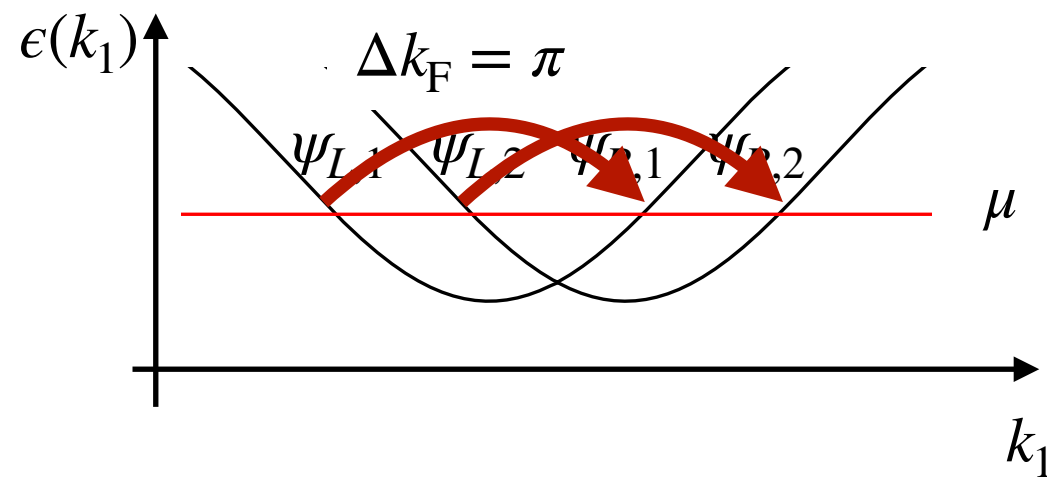
Finite-circumference cylinders

Low energy theory

$$\mathcal{L} = \sum_m \sum_{a=1}^4 \left[\psi_{L,m,a}^\dagger (i\partial_t - iv_m \partial_x) \psi_{L,m,a} + \psi_{R,m,a}^\dagger (i\partial_t + iv_m \partial_x) \psi_{R,m,a} \right]$$

1D bands

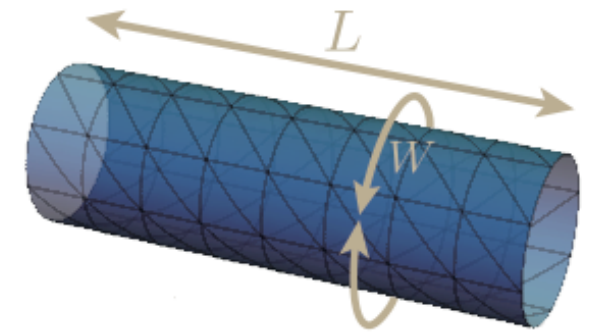
Coupling to the gauge field $\partial_{\mu=x,t} \rightarrow \partial_\mu - a_\mu$



Umklapp scattering is a relevant perturbation for $W=2$ and $W=4$

→ will break translation invariance and open a gap!

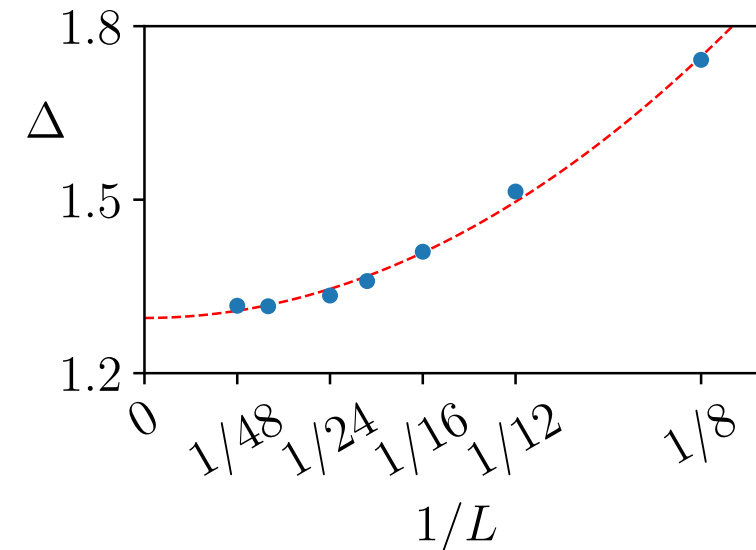
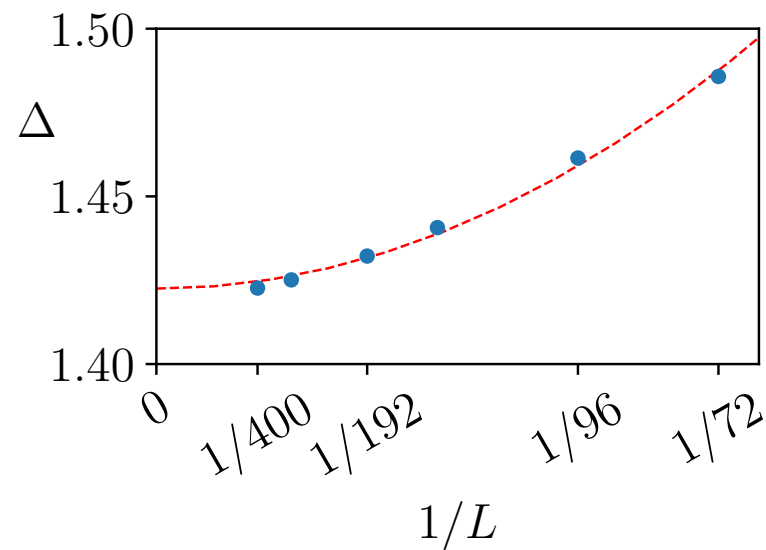
DMRG results



Spin gap

$W = 2$

$W = 4$



Bond expectation values

$\langle \tilde{S}_i \cdot \tilde{S}_j \rangle$

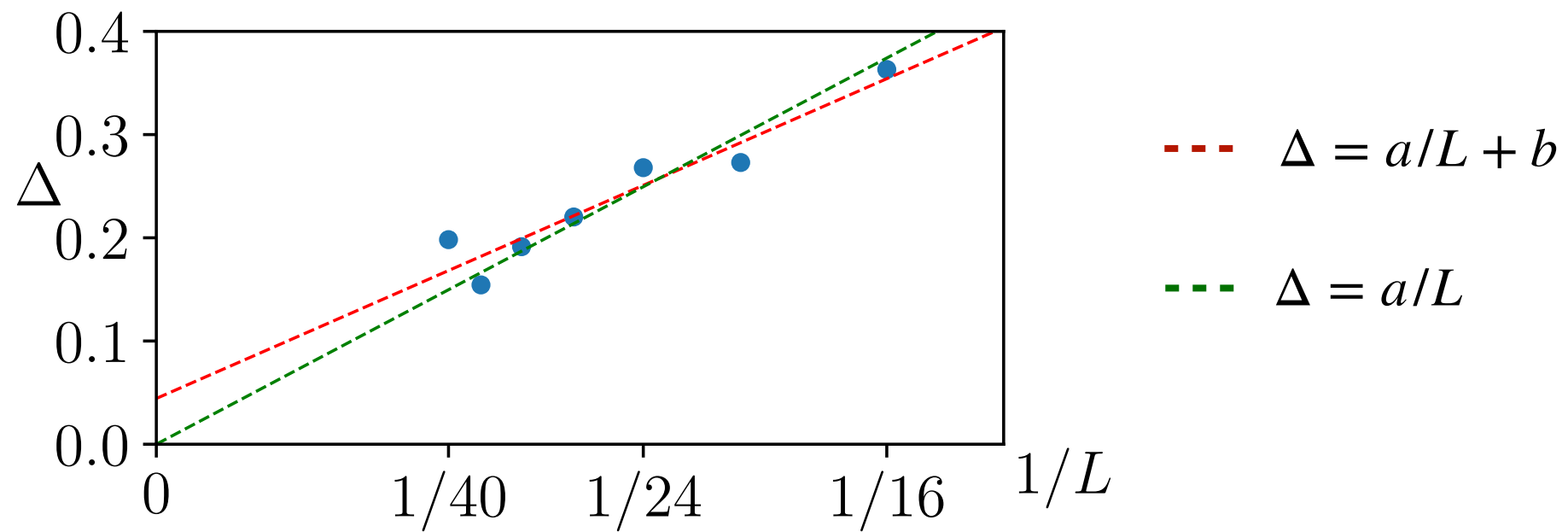
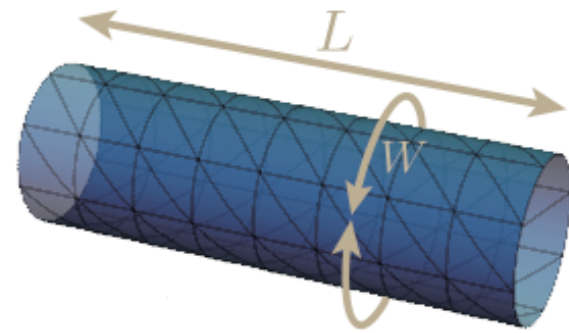


Gapped phases with a two-site unit cell - consistent with the field theory

DMRG results

Spin gap

$W = 3$



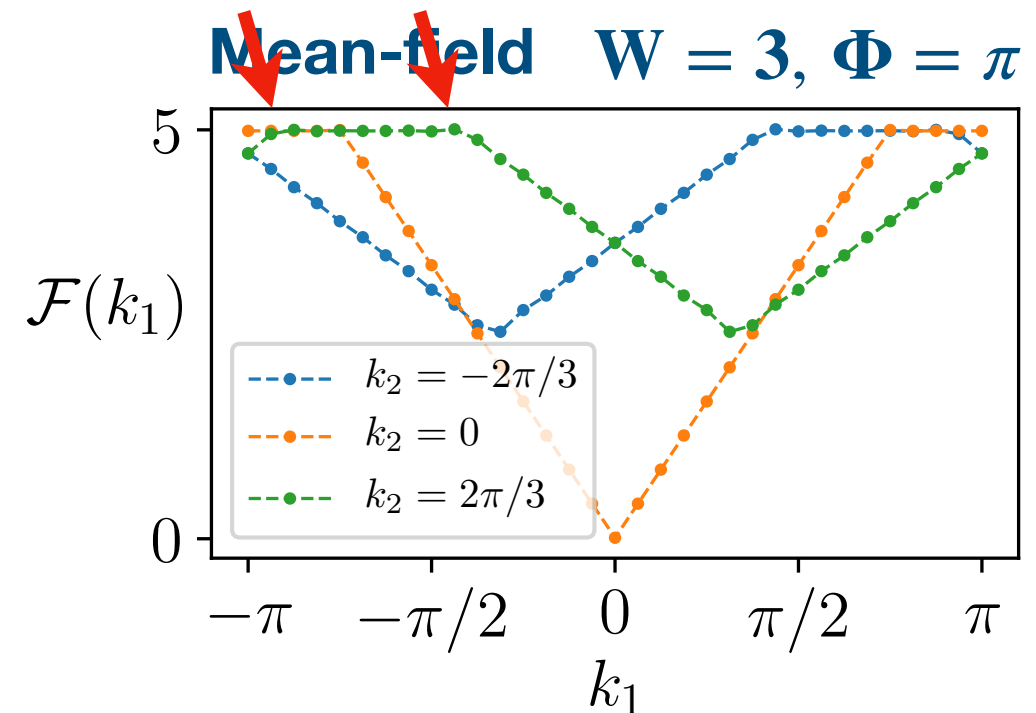
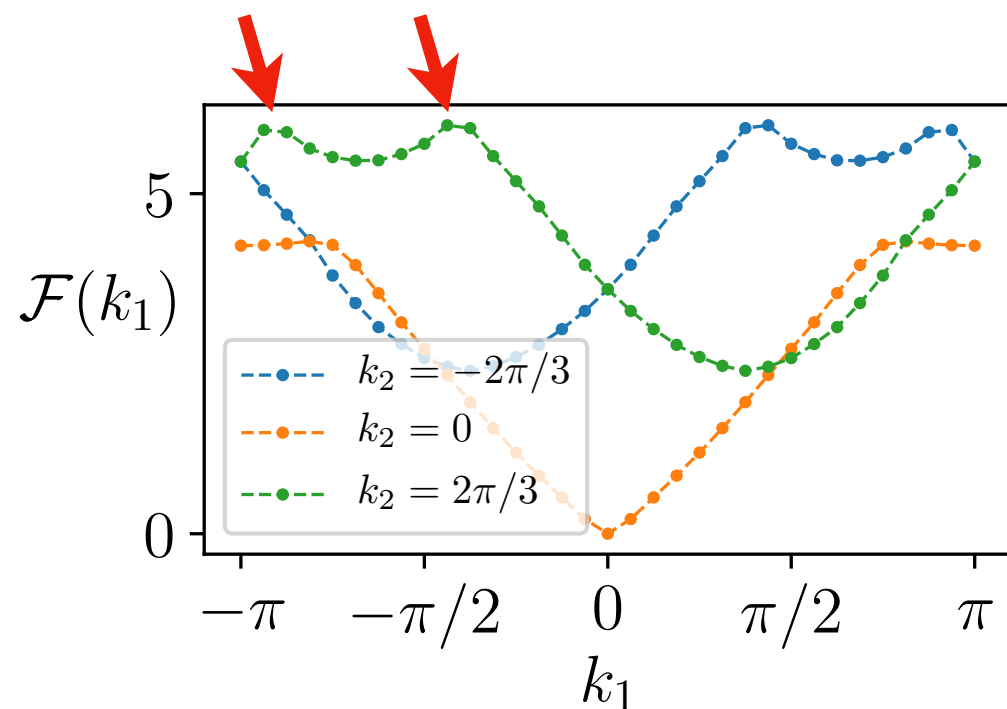
Consistent with a gapless state

DMRG results

$$W = 3$$

Structure factor

$$\mathcal{F}(\vec{k}) = \sum_i e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_{i_0})} \sum_\alpha \langle \tilde{\mathbf{S}}_i \cdot \tilde{\mathbf{S}}_{i_0} \rangle$$



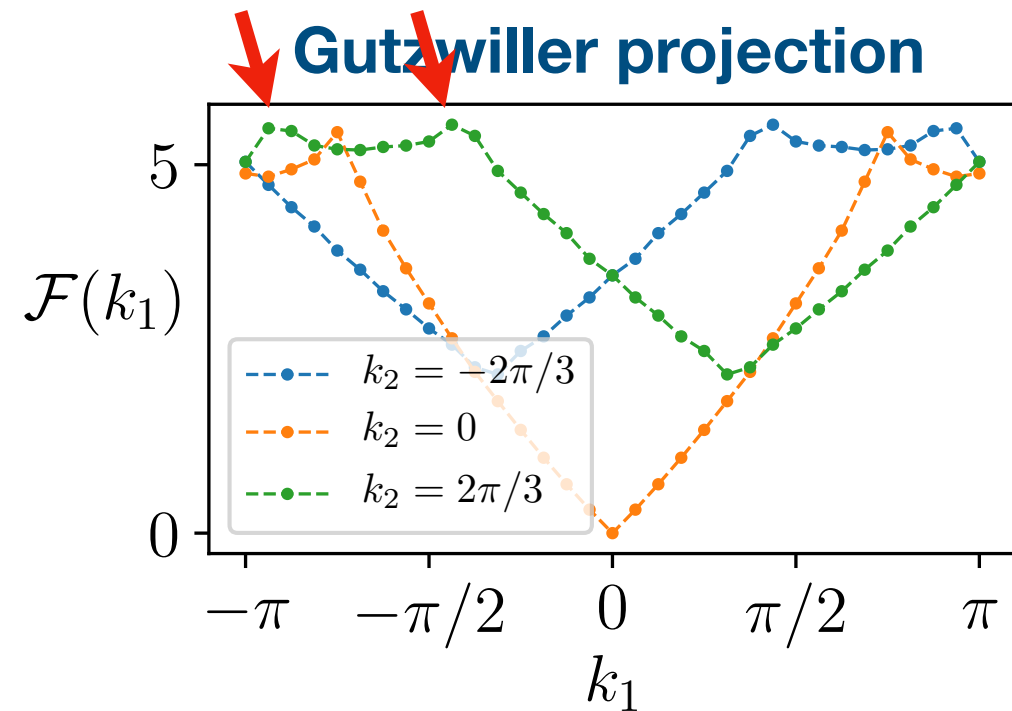
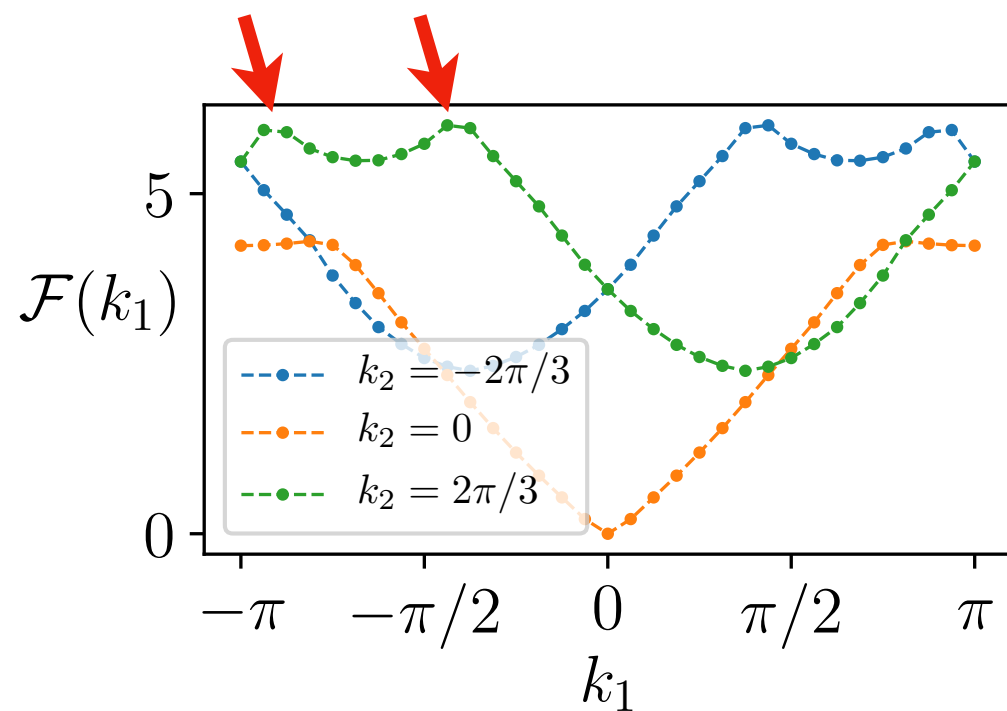
Cusps in the structure factor appear at the same values, corresponding to “ $2k_F$ ”s !

DMRG results

$$W = 3$$

Structure factor

$$\mathcal{F}(\vec{k}) = \sum_i e^{i\vec{k}\cdot(\vec{r}_i - \vec{r}_{i_0})} \sum_\alpha \langle \tilde{\mathbf{S}}_i \cdot \tilde{\mathbf{S}}_{i_0} \rangle$$

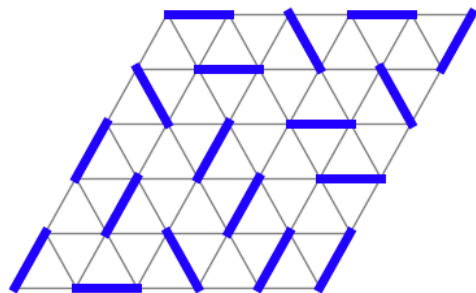


Cusps in the structure factor appear at the same values, corresponding to “ $2k_F$ ”s !

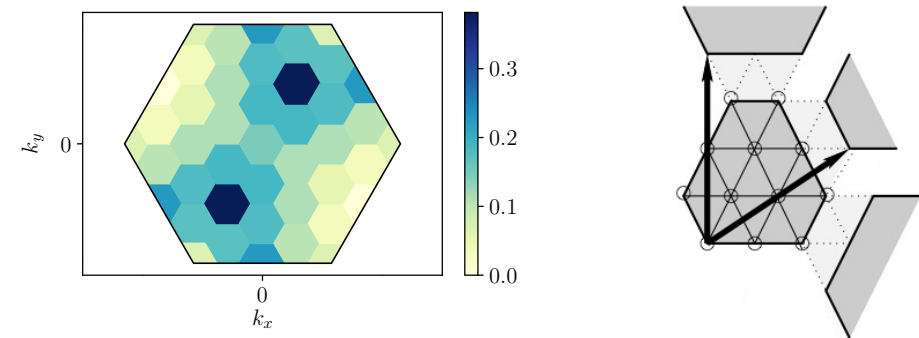
Summary

- **Half filling**

Low energy properties of the Mott phase at half filling can be captured by an effective dimer model



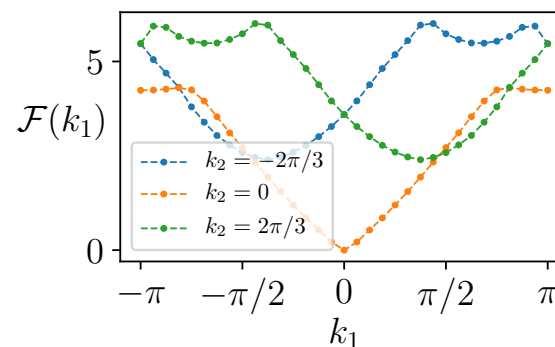
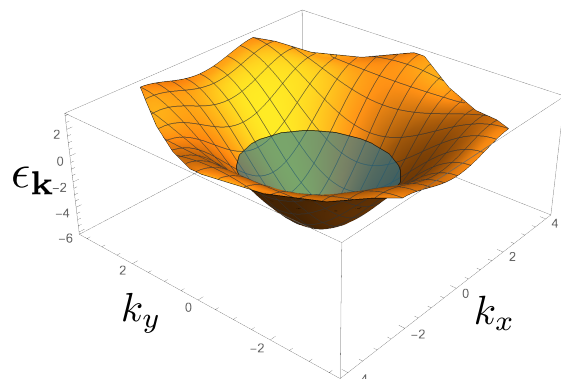
The ground state of the effective dimer model is a valence bond solid state with a 12-site unit cell



AK, Lucile Savary, Leon Balents, SciPost 2019

- **Quarter filling**

Numerical results on finite circumference cylinders are consistent with a gapless liquid state with an emergent Fermi surface



AK, Bela Bauer, Cenke Xu, Chao-Ming Jian, PRL 2020