

Turbulence in accretion discs: the roles of the vertical shear (VSI) and spiral wave (SWI) instabilities

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Hydrodynamic stability in a disc – i.e. without a magnetic field

Quasi-keplerian discs with $\Omega=\Omega(R)$ stable according to Rayleigh criterion

$$\frac{dj^2}{dr} > 0$$

Compressible adiabatic disc gas with $\Omega=\Omega(R,Z)$ stable when Solberg-Hoiland criteria are satisfied

$$\frac{1}{R^3} \frac{\partial j^2}{\partial R} + \frac{1}{\rho C_p} \left(\left| \frac{\partial P}{\partial R} \right| \frac{\partial S}{\partial R} + \left| \frac{\partial P}{\partial Z} \right| \frac{\partial S}{\partial Z} \right) > 0$$
$$\frac{\partial j^2}{\partial R} \frac{\partial S}{\partial Z} - \frac{\partial j^2}{\partial Z} \frac{\partial S}{\partial R} > 0.$$

Typically, protoplanetary disc models have stable radial and vertical entropy gradients
-> dynamically stable according to Solberg-Hoiland criteria

Hydrodynamic stability in a disc

Rotating fluid in which thermal diffusion is much more rapid than viscous diffusion is unstable to **Goldreich-Schubert-Fricke** instability for perturbation modes that satisfy

$$\frac{\partial j^2}{\partial R} - \frac{k_R}{k_Z} \frac{\partial j^2}{\partial Z} < 0.$$

Goldreich & Schubert (1967), Fricke (1968),
Urpin & Brandenburg (1998), Urpin (2003), Arlt & Urpin (2004),
Nelson, Gressel & Umurhan (2013), Barker & Latter (2015),
McNally & Pessah (2015), Lin & Youdin (2015)

$$k_R = 2\pi/\lambda_R \quad k_Z = 2\pi/\lambda_Z$$

$$\frac{\partial j}{\partial Z} \approx q \left(\frac{H}{R} \right) \frac{\partial j}{\partial R}$$

→ for thin disc with radial power-law T profile with index q

Expect unstable modes in thin accretion discs to have $k_R / k_Z \approx R / H$

i.e. radial wavelengths smaller than vertical wavelengths by factor H / R

Disc models and simulations

2D axisymmetric and 3D disc models run with **NIRVANA** and **NIRVANA-III**

Density, temperature and angular velocity profiles

$$T(R) = T_0 \left(\frac{R}{R_0} \right)^q$$
$$\rho_{\text{mid}}(R) = \rho_0 \left(\frac{R}{R_0} \right)^p$$

$$\rho(R, Z) = \rho_0 \left(\frac{R}{R_0} \right)^p \exp \left(\frac{GM}{c_s^2} \left[\frac{1}{\sqrt{R^2 + Z^2}} - \frac{1}{R} \right] \right),$$
$$\Omega(R, Z) = \Omega_K \left[(p + q) \left(\frac{H}{R} \right)^2 + (1 + q) - \frac{qR}{\sqrt{R^2 + Z^2}} \right]^{1/2}$$

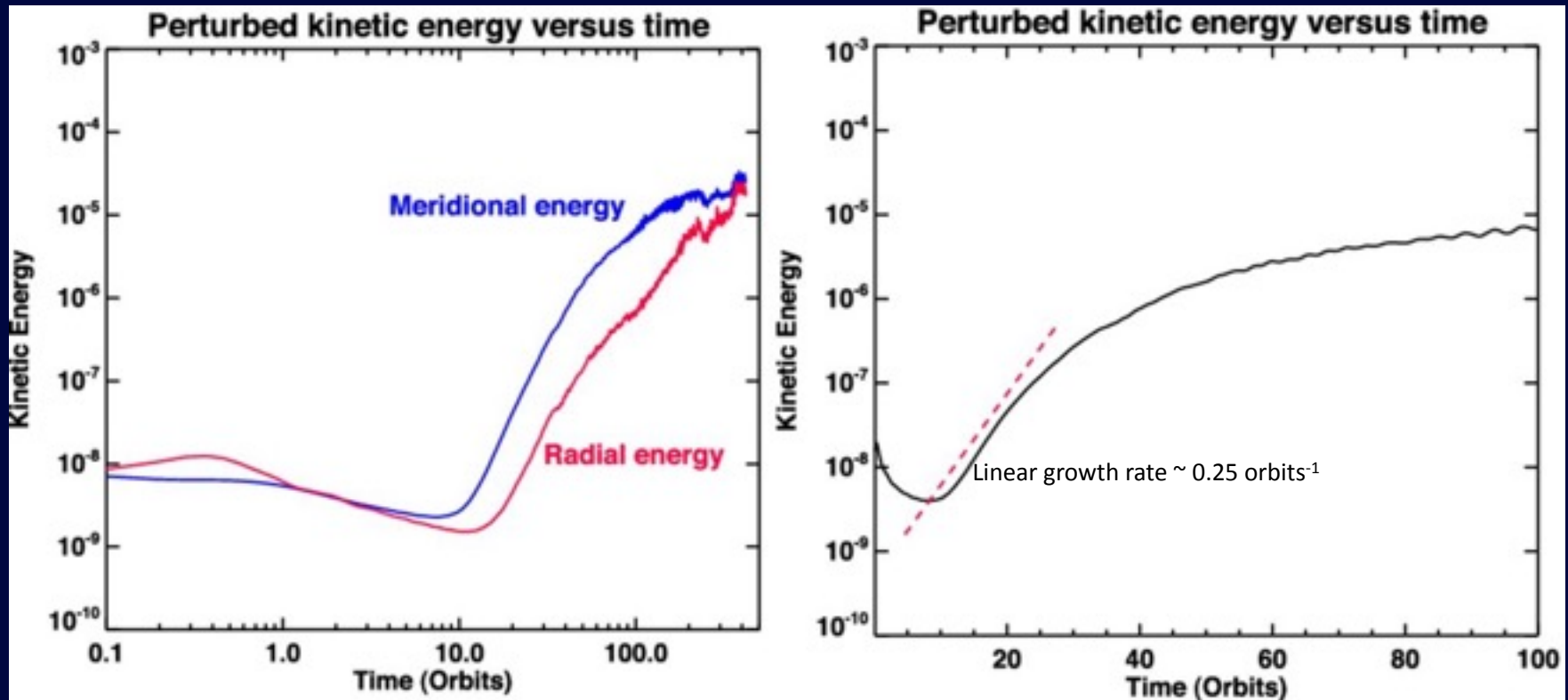
Thermal evolution: Isothermal, adiabatic or Newtonian cooling:

$$\frac{dT}{dt} = -\frac{(T - T_0)}{\tau_{\text{relax}}}$$

See Nelson, Gressel & Umurhan (2013)
for details

2D simulations with and without thermal relaxation

Locally isothermal model



$$T(R) = T_0 \left(\frac{R}{R_0} \right)^q \quad q=-1$$

$$\rho_{\text{mid}}(R) = \rho_0 \left(\frac{R}{R_0} \right)^p \quad p=-1.5$$

$$e_\theta = \frac{1}{2} \int_V \rho v_\theta^2 dV, \quad e_r = \frac{1}{2} \int_V \rho v_r^2 dV.$$

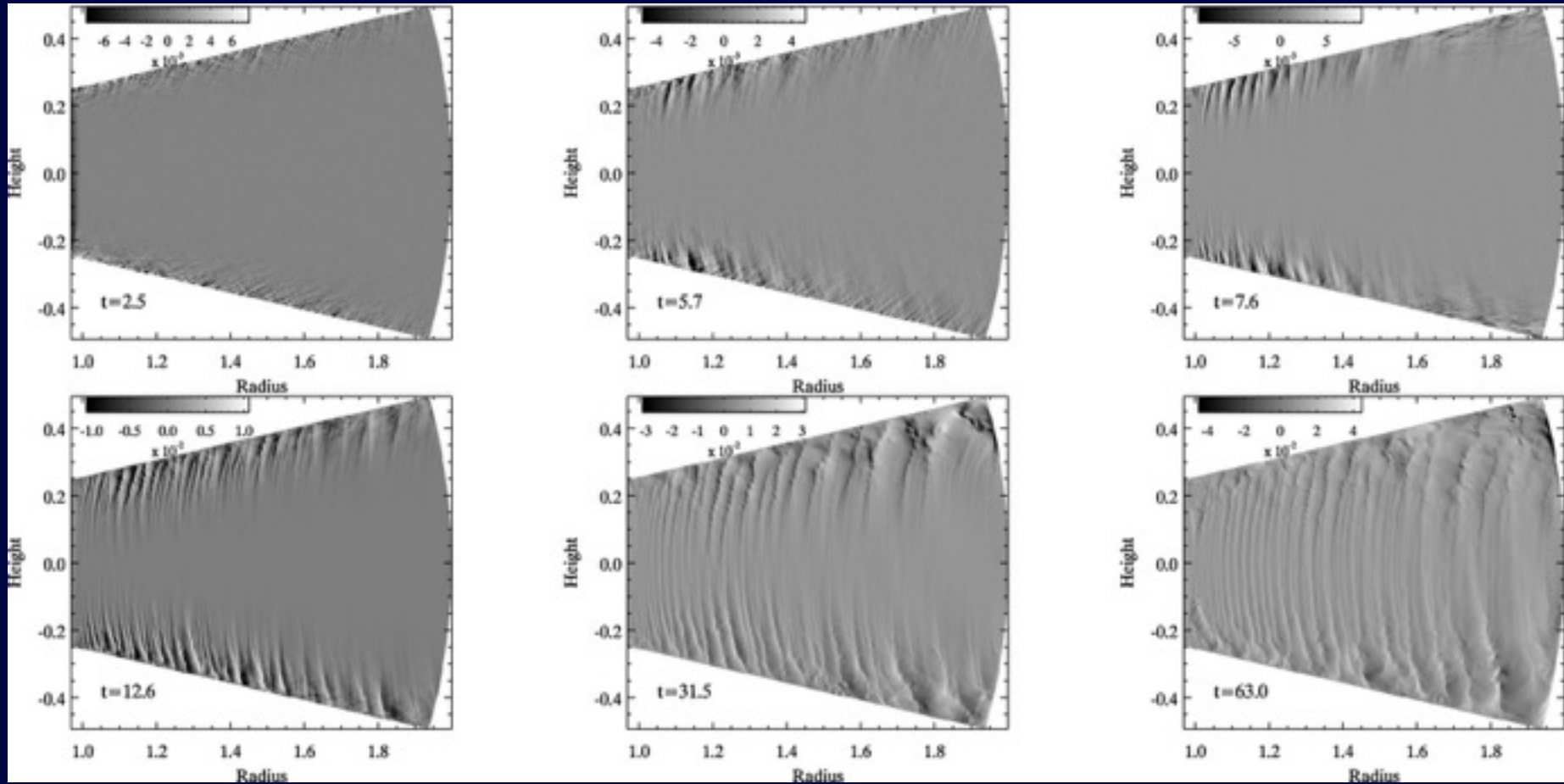
Numerical set-up

$$N_R \times N_\theta = (1328 \times 1000)$$

± 5 vertical scale heights

Reflecting B.C.s

Seed noise $\delta v = 0.01 c_s$



Numerical set-up

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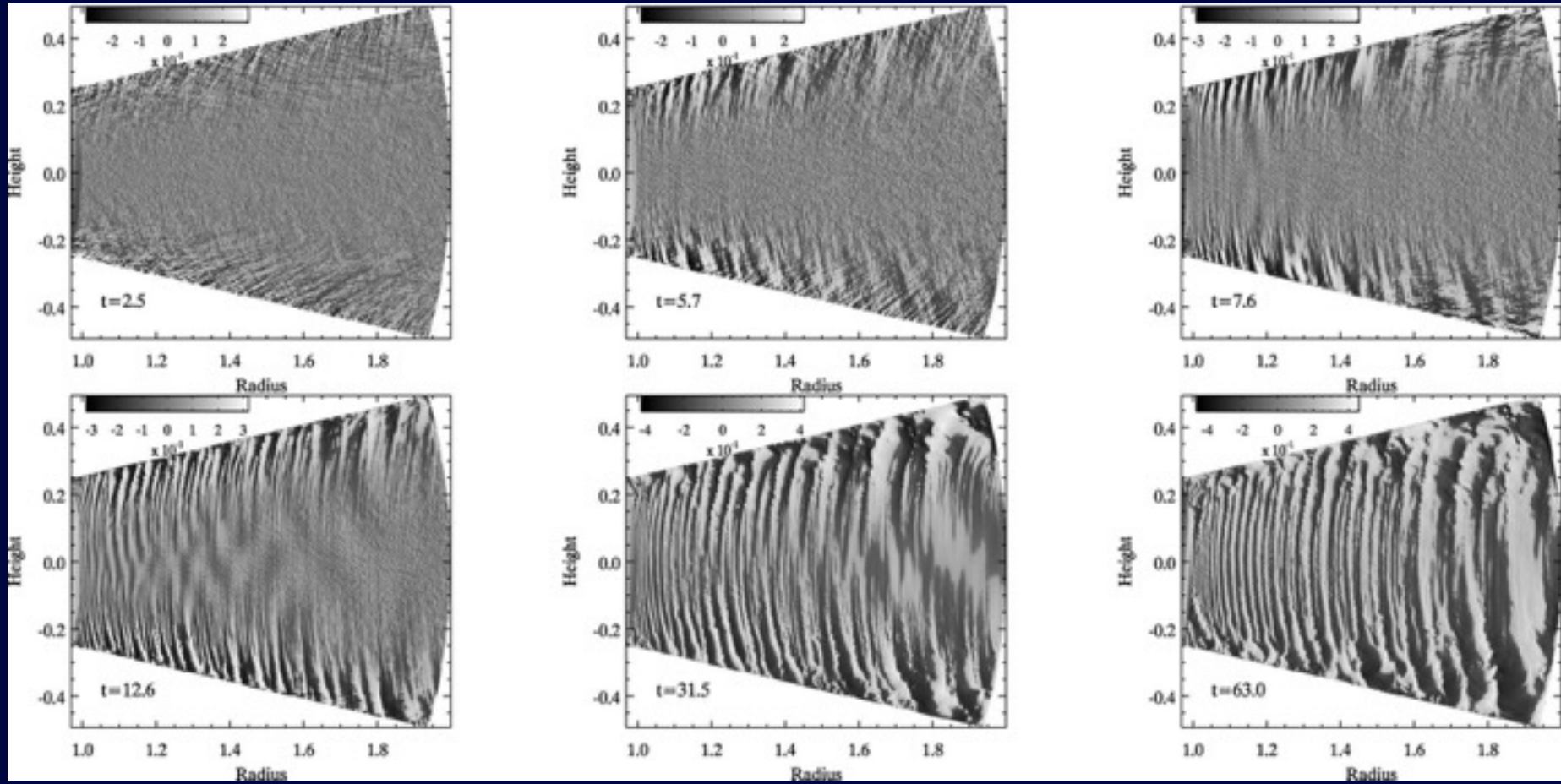
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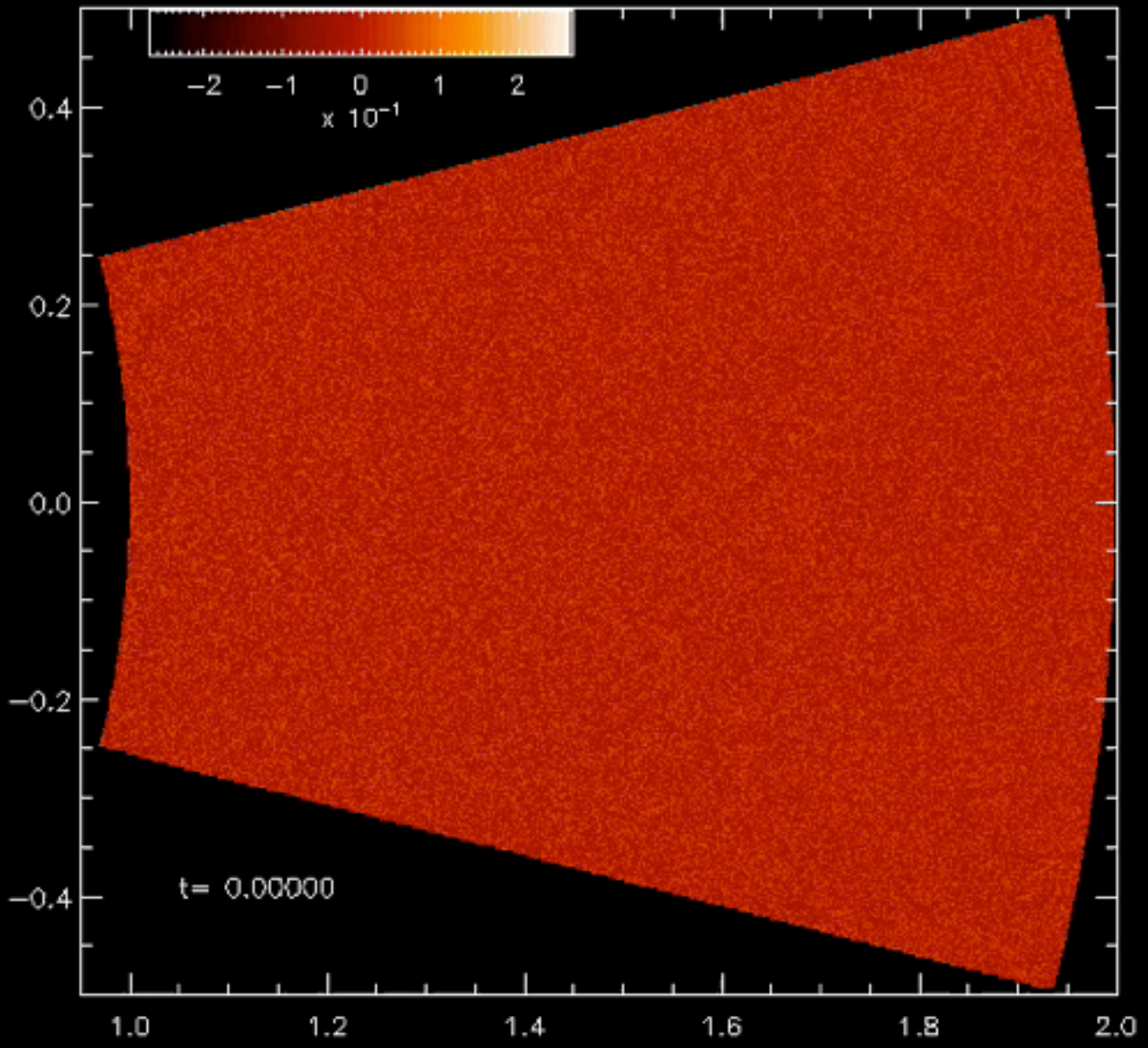
Vertical velocity perturbations
(linear grey-scale)

Note $k_R \gg k_Z$

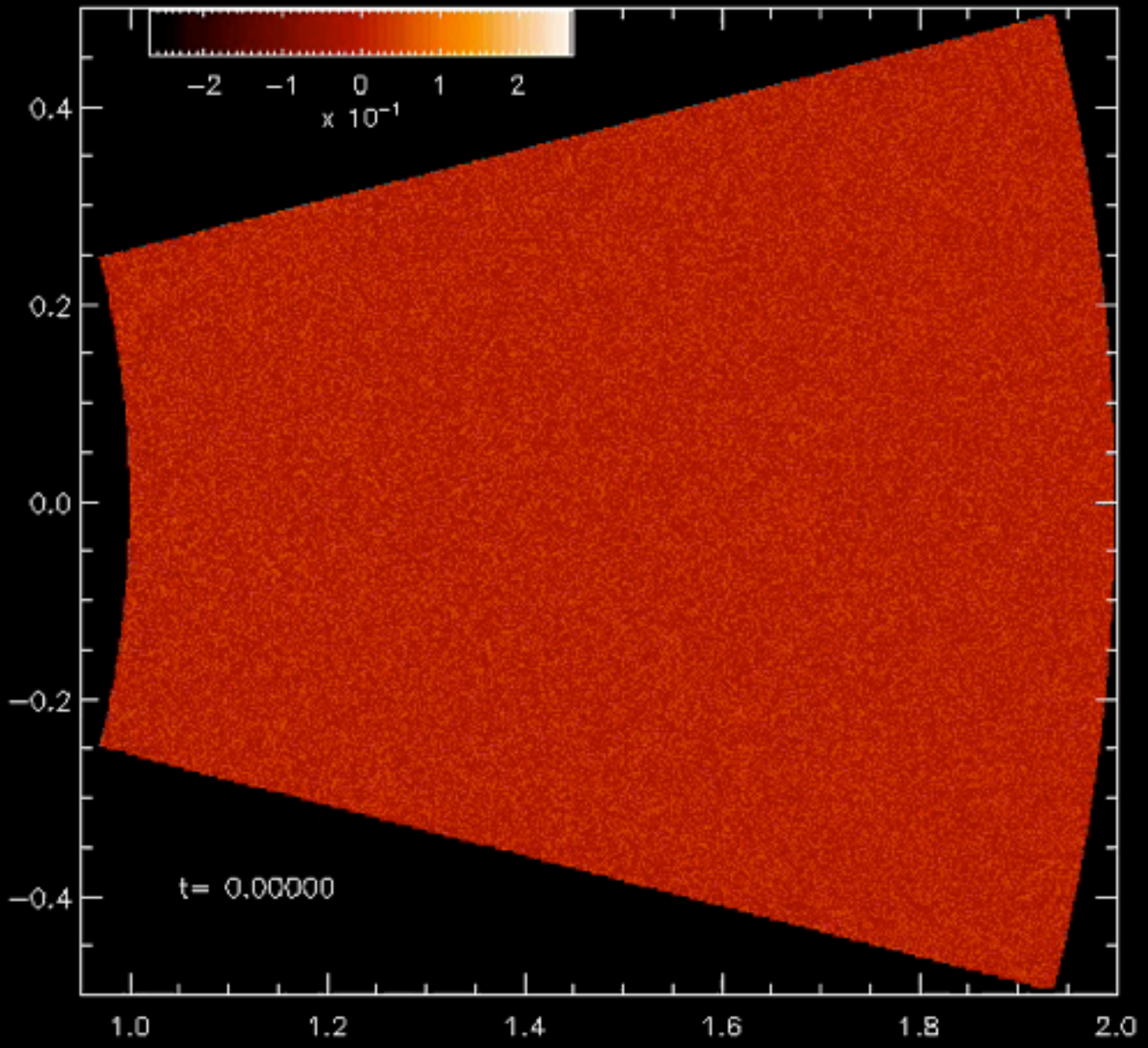


Vertical velocity
perturbations
(stretched grey-scale)
Note $k_R \gg k_Z$

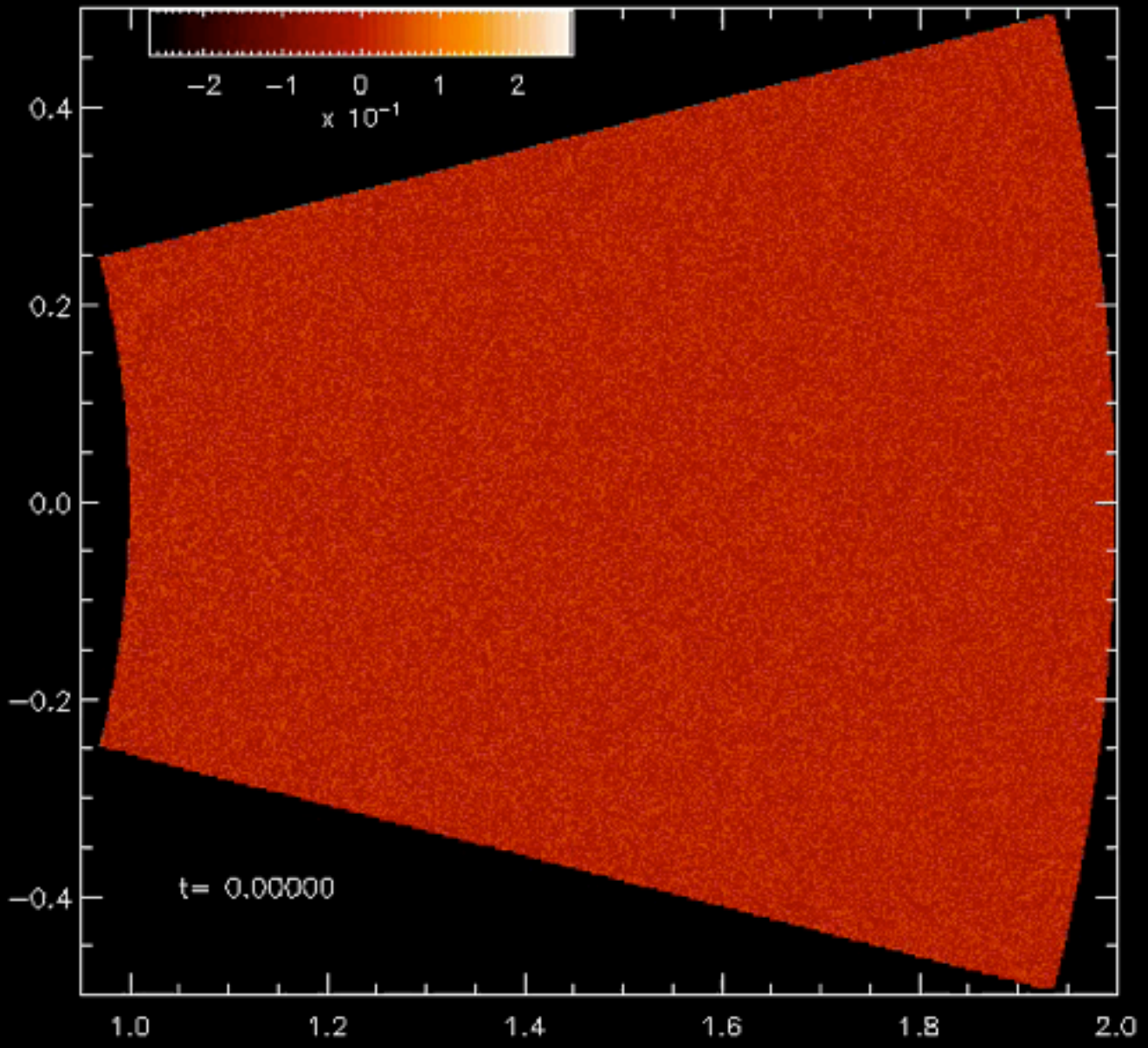
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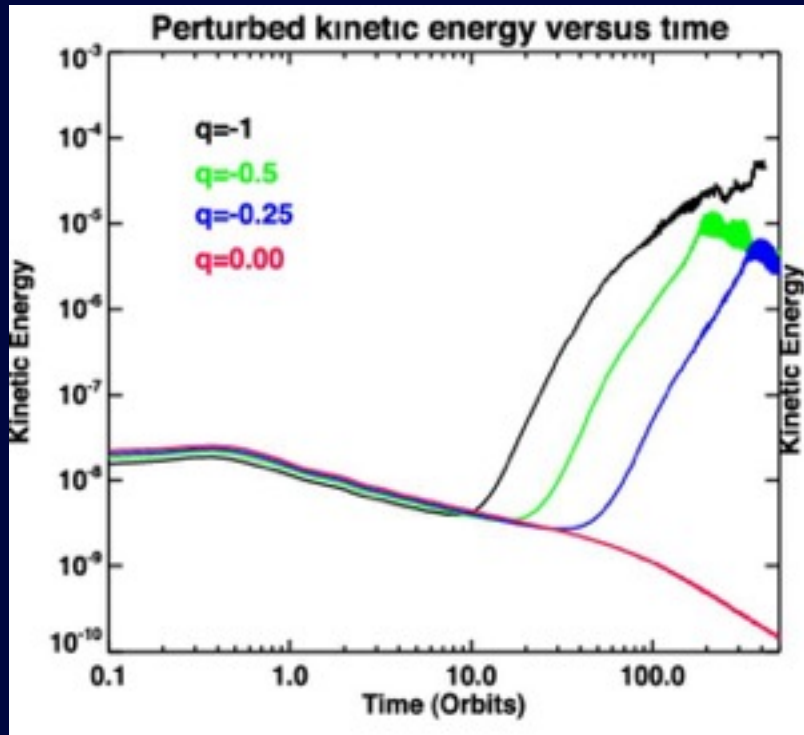
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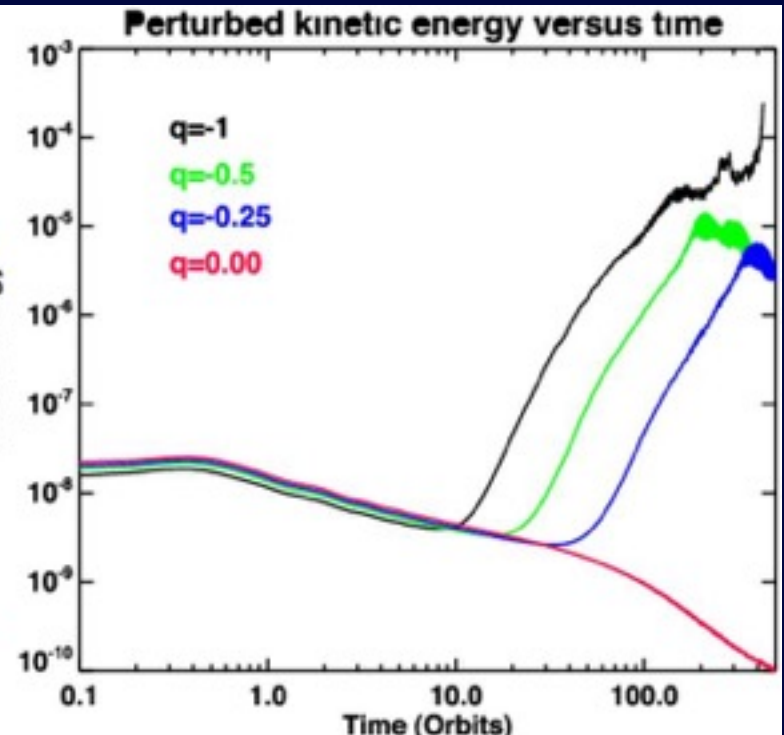
Vertical velocity perturbations



Evolution as function of radial temperature profile: $T \sim R^q$



Reflecting boundary conditions



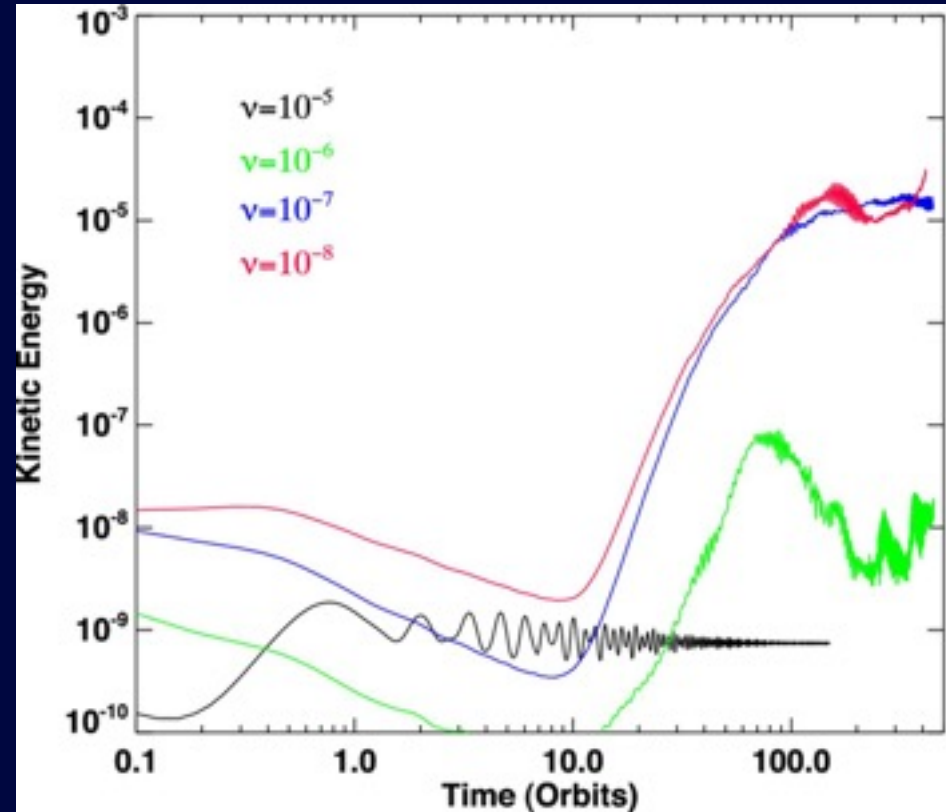
Outflow boundary conditions

Clearly require radial temperature gradient and associated vertical shear for instability

Evolution as function of viscosity

Simulations with constant kinematic viscosity show that instability is damped for $\nu \geq 10^{-6}$
→ corresponds to $\alpha \geq 4 \times 10^{-4}$

Global simulations of discs with fully-developed MRI turbulence with $\alpha \sim 10^{-3}$ do not show evidence for VSI
(Fromang & Nelson 2006)



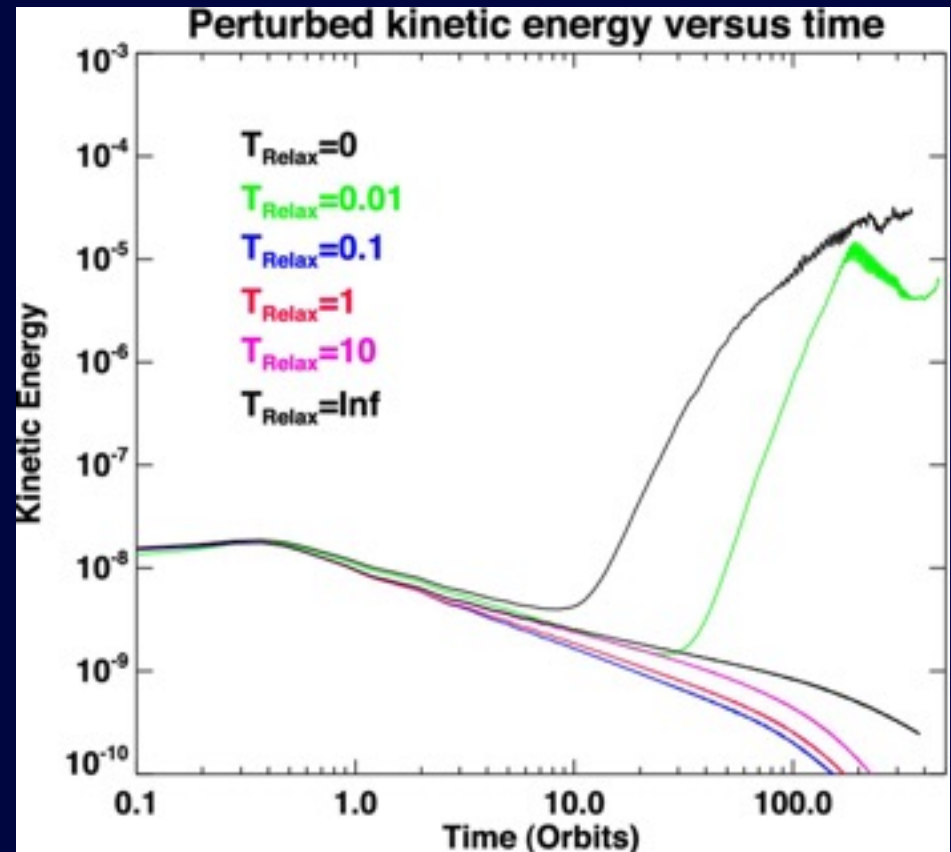
Evolution with thermal relaxation: $T \sim R^{-1}$

$$T(R) = T_0 \left(\frac{R}{R_0} \right)^q \quad q=-1$$

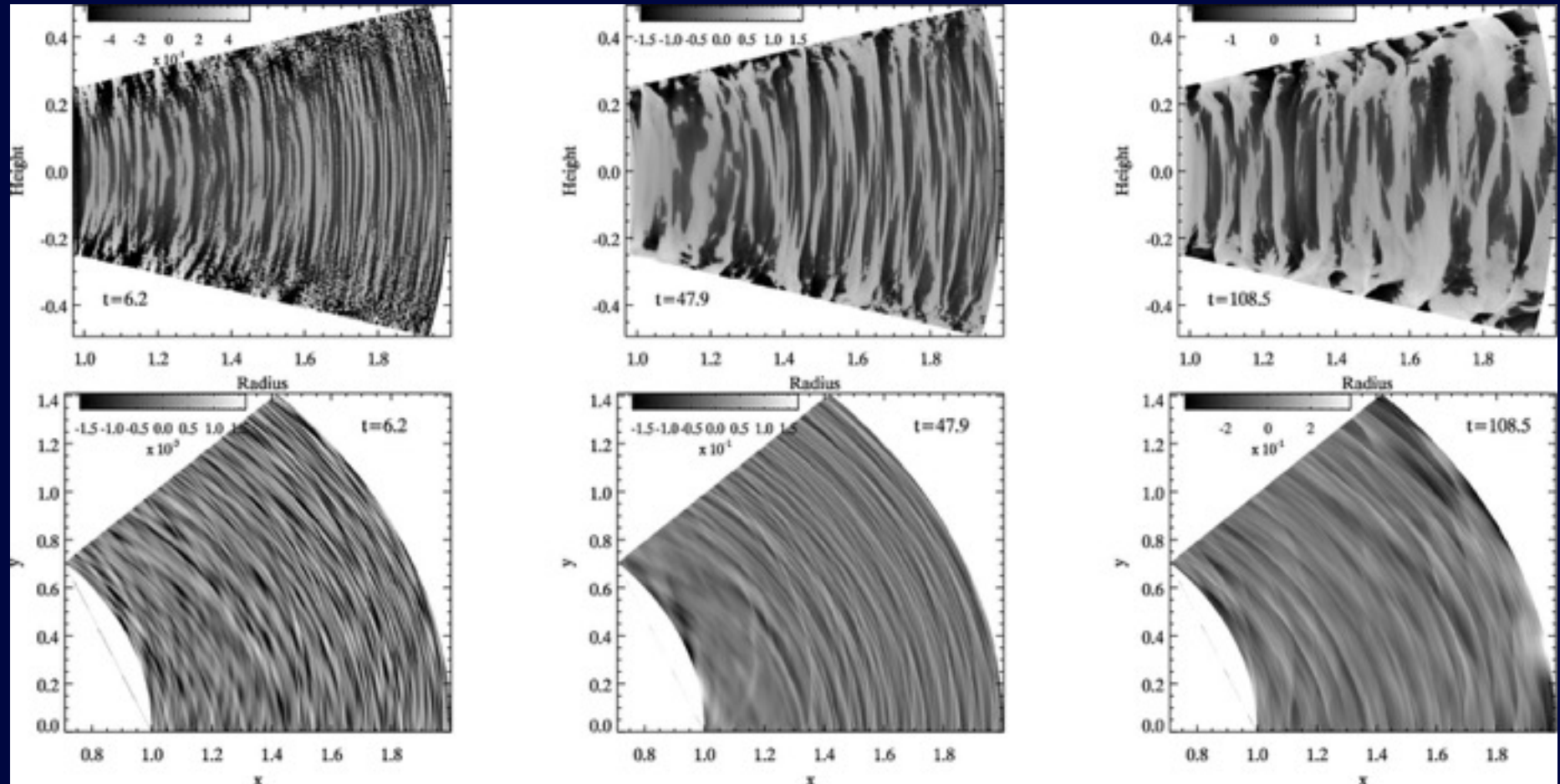
$$\rho_{\text{mid}}(R) = \rho_0 \left(\frac{R}{R_0} \right)^p \quad p=-3/2$$

$$\frac{dT}{dt} = -\frac{(T - T_0)}{\tau_{\text{relax}}}$$

Require short cooling times to overcome stabilizing influence of entropy gradients for these discs

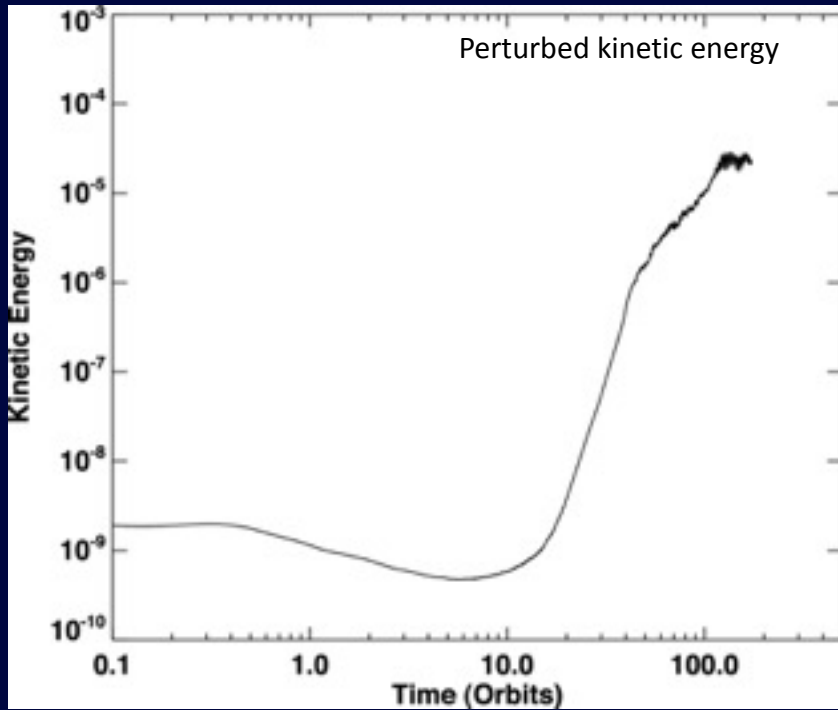


A 3D locally isothermal simulation

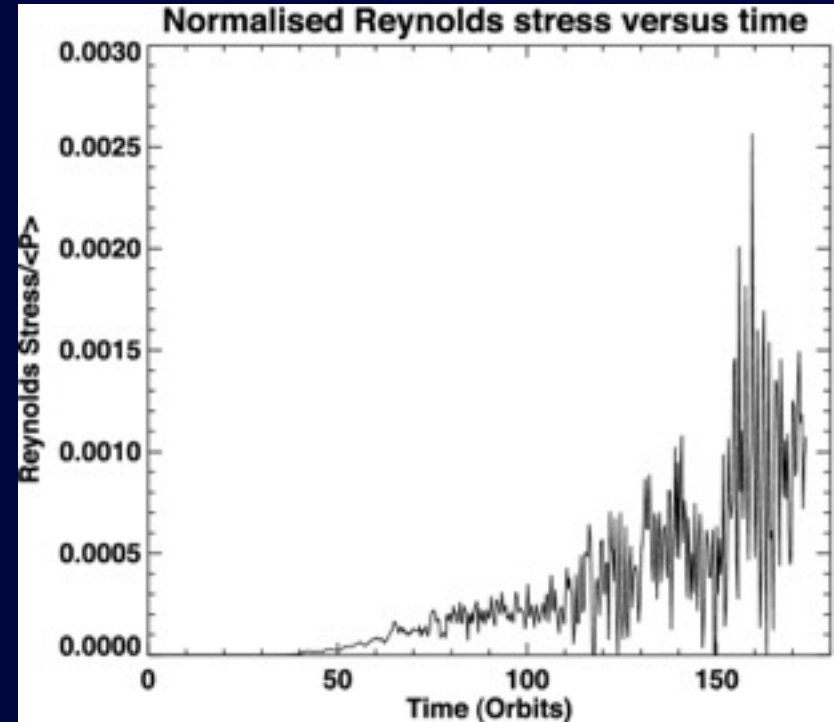


Locally isothermal equation of state
 $N_r \times N_\theta \times N_\phi = 1328 \times 1000 \times 300$
 in $\pi/4$

Upper panel: vertical velocity perturbations
 Lower panel: mid-plane density perturbations



3D simulation show similar growth rate for perturbed energy as 2D runs



Quasi-turbulence in nonlinear saturated state gives rise to Reynolds stress with effective mean $\alpha \sim 10^{-3}$

Cooling rates in protostellar/protoplanetary discs

Thermal time scale due to radiative diffusion

$$\tau_{\text{Rad}} = \frac{\Delta^2}{\mathcal{D}} \quad \mathcal{D} = \frac{4acT^3}{3\kappa\rho^2C_v}$$

Use MMSN model and temperature structure from Chiang & Goldreich (1997)

$$\frac{\tau_{\text{Rad}}}{P_{\text{orb}}} = 168F^2\kappa \left(\frac{\Delta}{R}\right)^2 \left(\frac{R}{20 \text{ AU}}\right)^{-53/14}$$

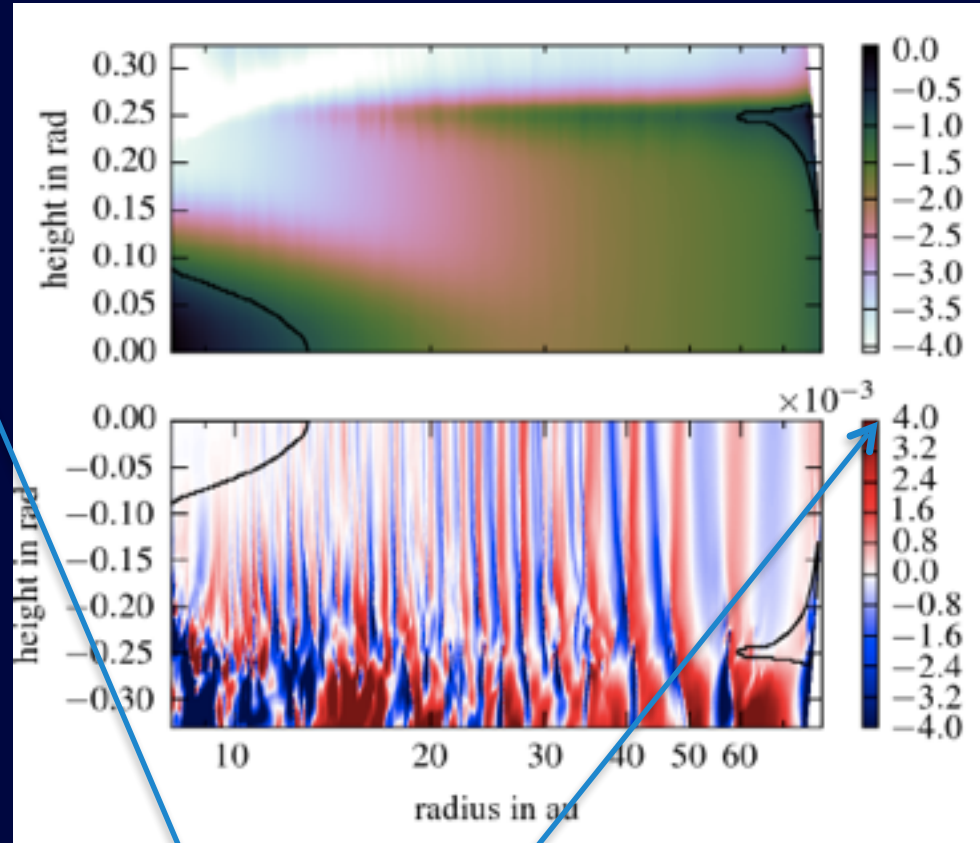
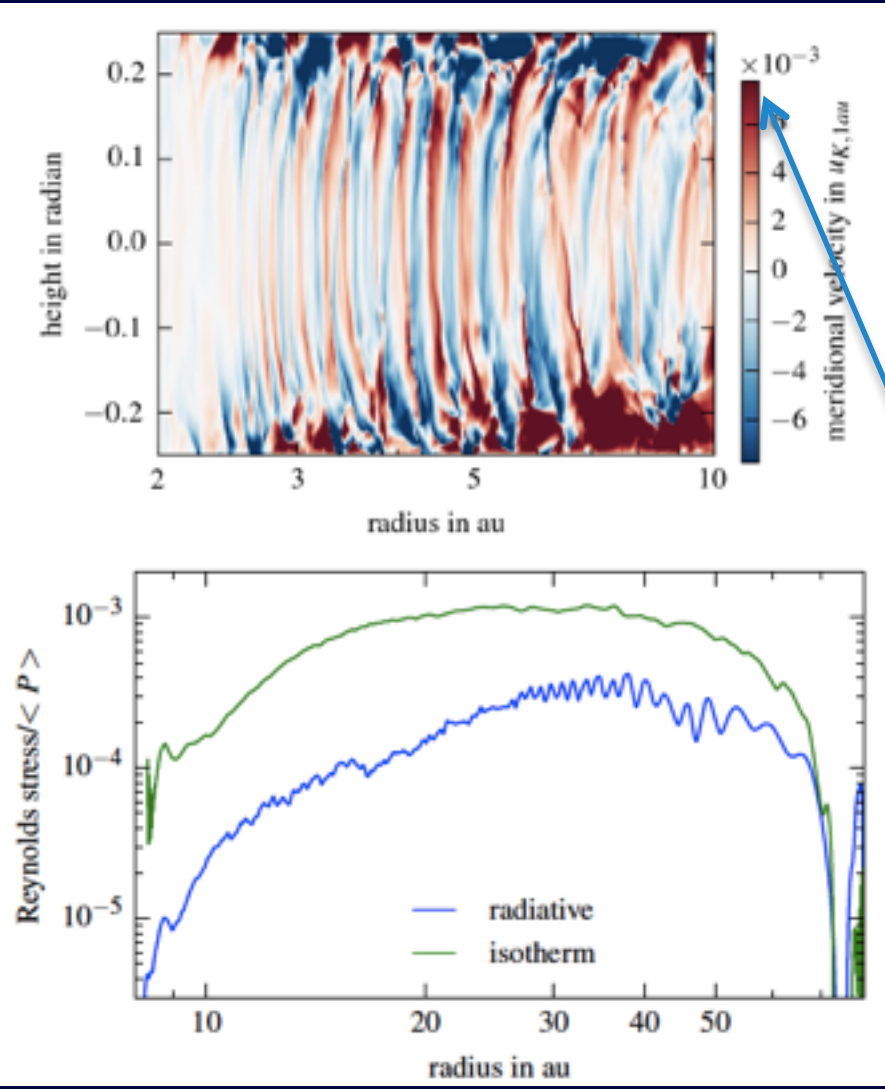
$$\Delta = \lambda_R \simeq \frac{H^2}{R}$$

VSI likely to operate in outer regions (beyond 10 – 20 AU) of protoplanetary discs

$$\frac{\tau_{\text{Rad}}}{P_{\text{orb}}} = 0.015 \quad \text{At 10 AU}$$

$$\frac{\tau_{\text{Rad}}}{P_{\text{orb}}} = 0.001 \quad \text{At 20 AU}$$



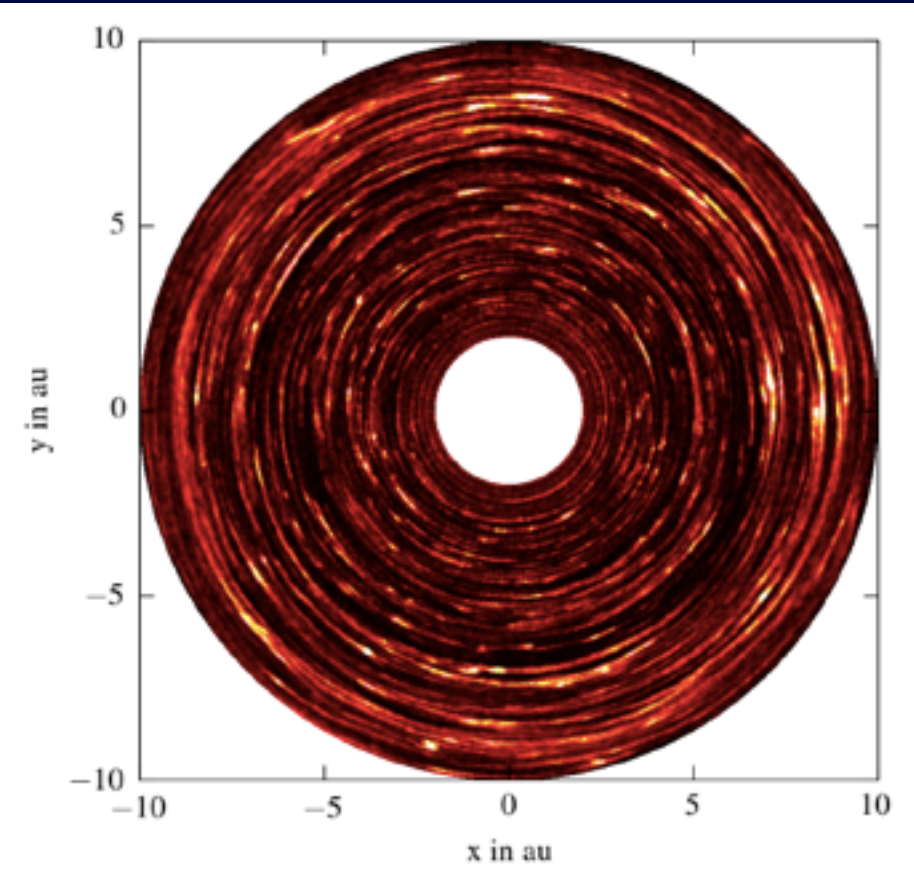


$V_z \sim 0.3 \times c_s$

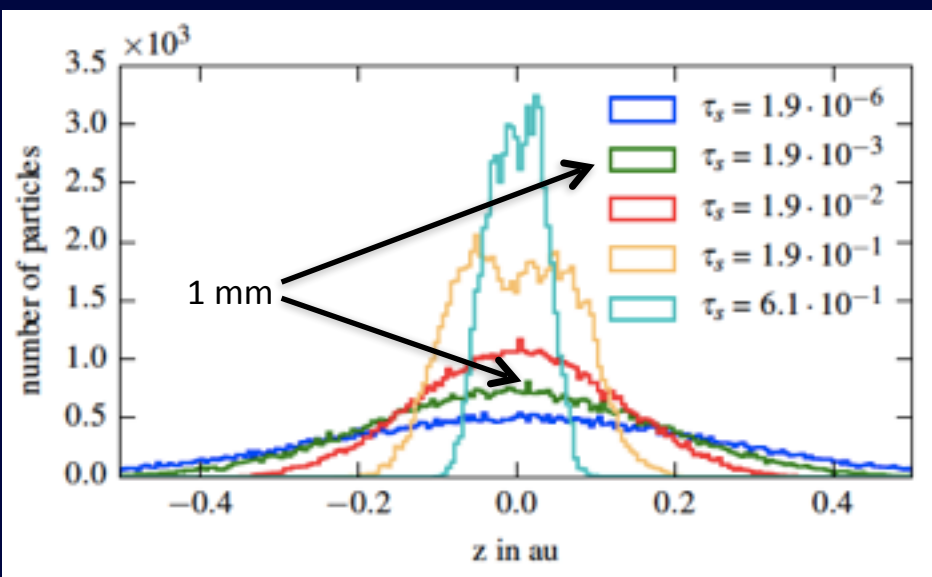
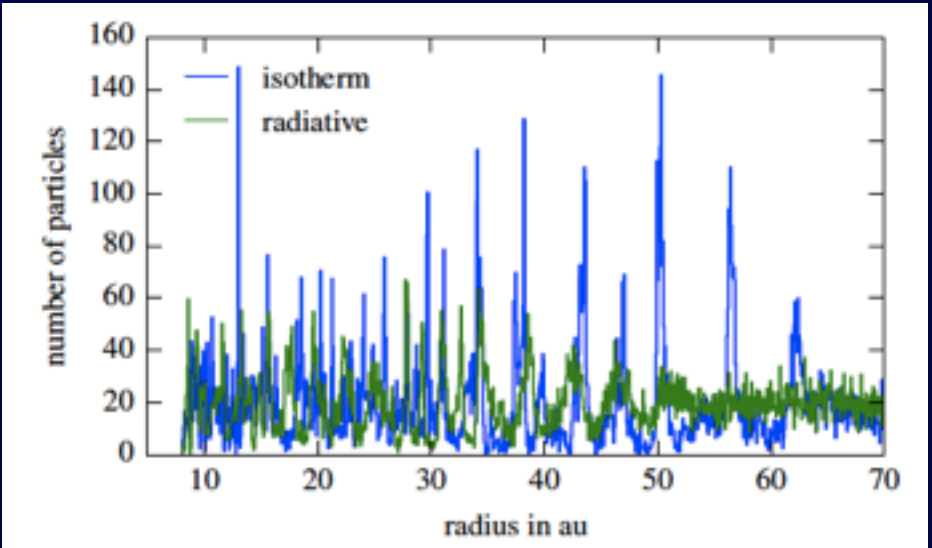
$V_z \sim 0.2 \times c_s$

VSI induces turbulent velocities $v_z \sim 0.2 \times c_s$ near disc surfaces

Stoll & Kley (2016) study VSI for irradiated discs with internal radiation transport using flux limited diffusion - nonlinear state of instability similar to simple models



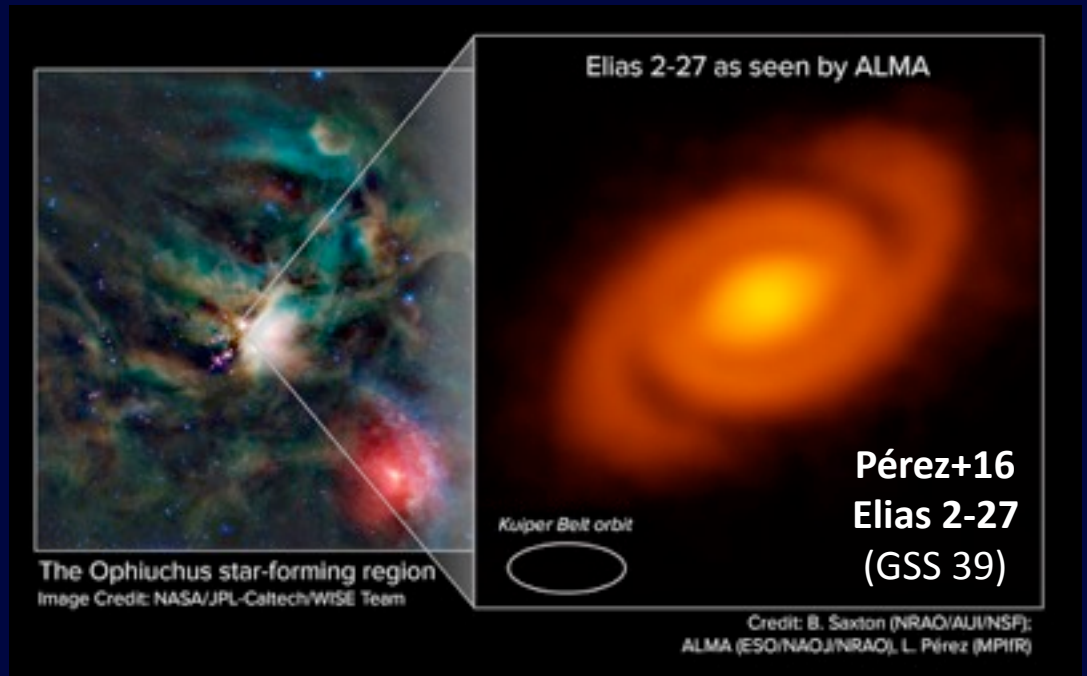
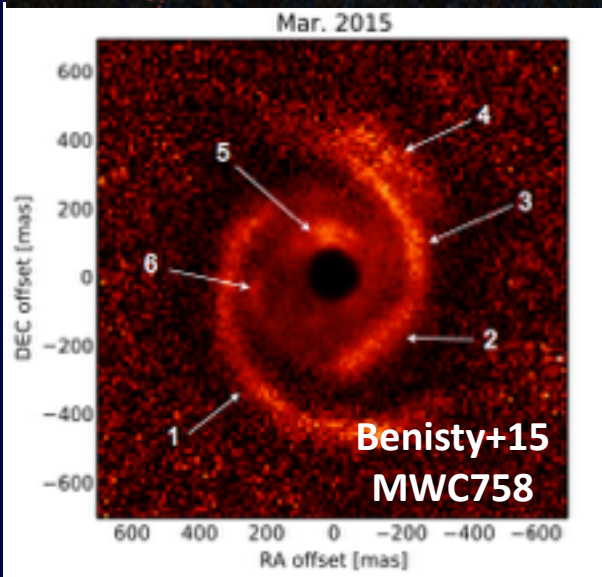
Particle concentration in pressure bumps
 (see also Richard, Nelson & Umurhan 2016)



Anisotropic diffusion leads to vertical mixing
 of dust and pebbles

Spiral Wave Instability

Spiral arms in circumstellar disks



The roles of spiral waves

Transport angular momentum and mass in discs

(e.g. Lynden-Bell & Kalnajs 1972)

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Important in a broad variety of contexts related to accretion discs:

Self-gravitating discs (e.g. Papaloizou & Savonije 1991, Laughlin & Bodenheimer 1994)

+ FU Orionis outbursts (Gammie 1999, Zhu et al 2010, Bae et al. 2013a, 2014)

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Disc-planet interactions (Goldreich & Tremaine 1980, Bryden et al 1999, Kley 1999)

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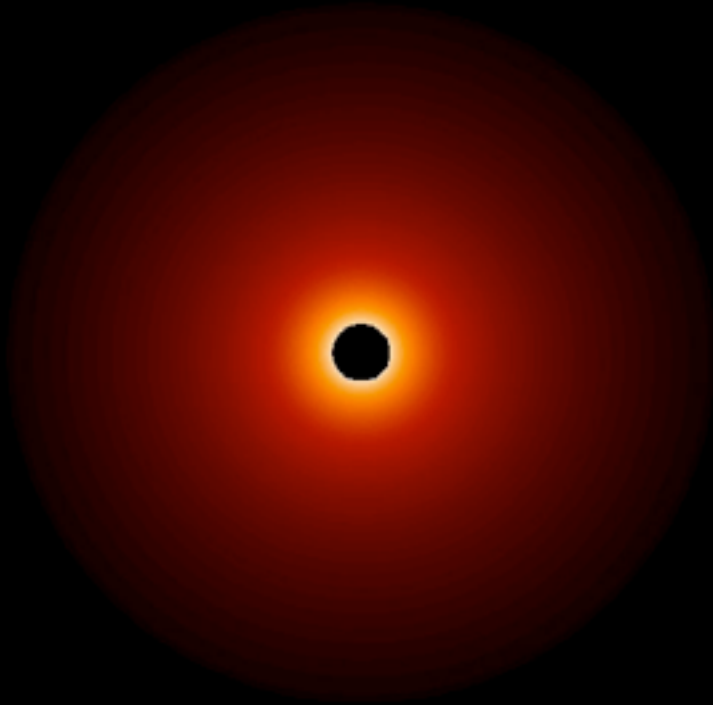
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Disc-planet interactions (Goldreich & Tremaine 1980, Bryden et al 1999, Kley 1999)

But, spiral waves are unstable in 3D -> turbulence (Bae et al. 2016a,b)

Circumstellar disc with external binary companion

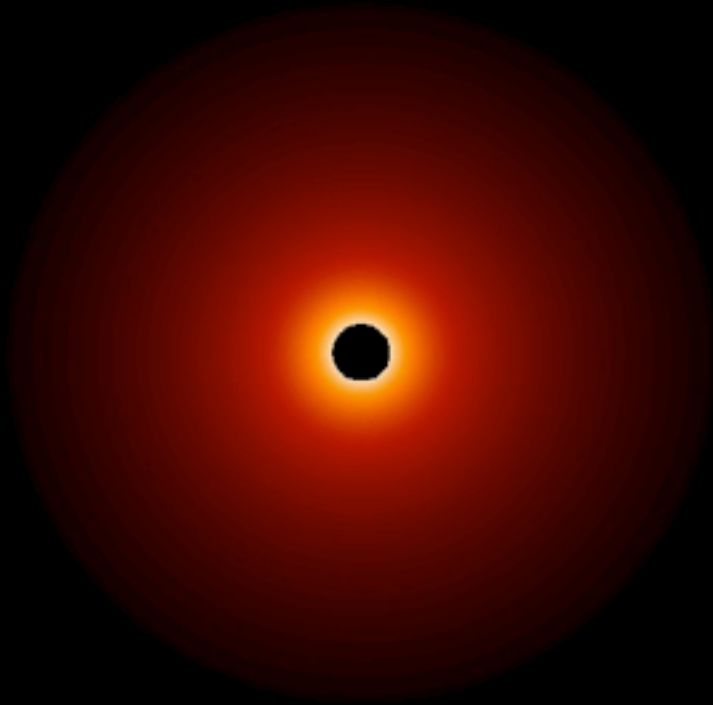


2D



3D

Circumstellar disc with external binary companion

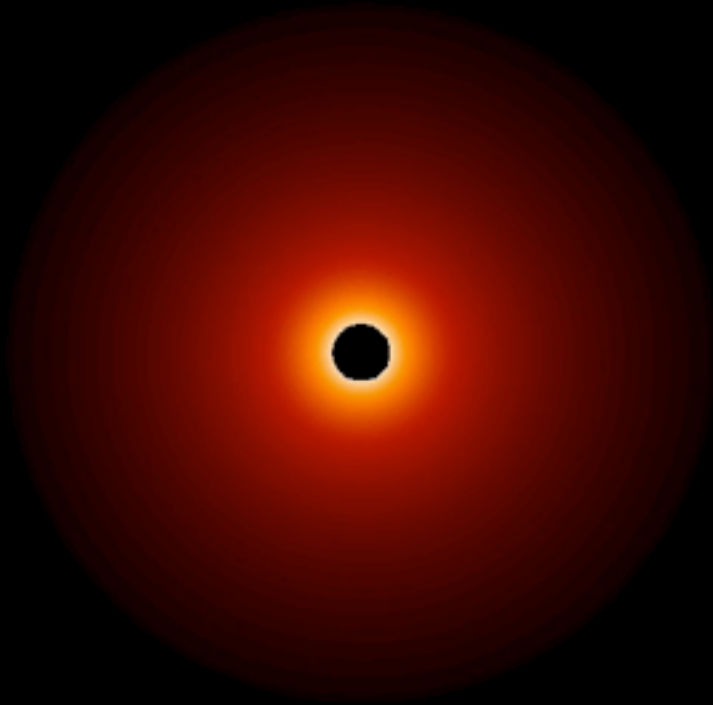


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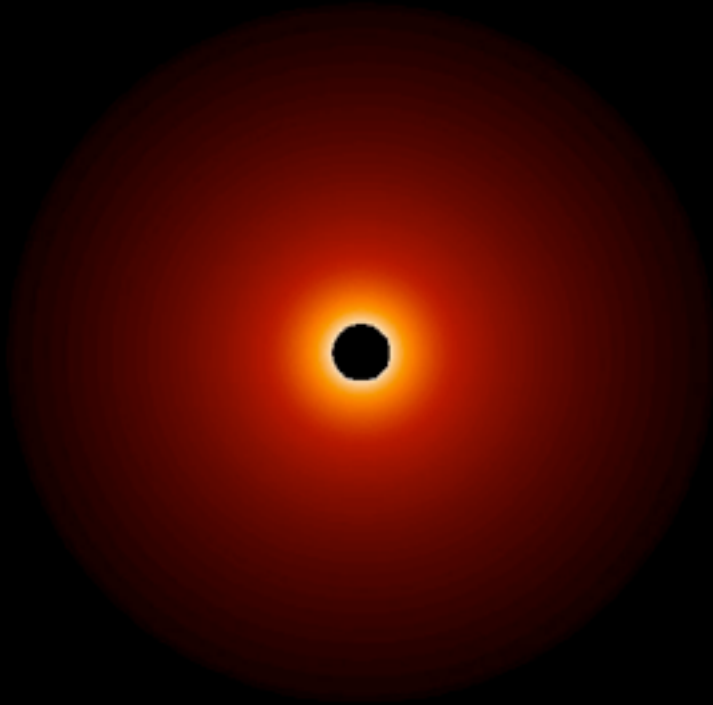


2D



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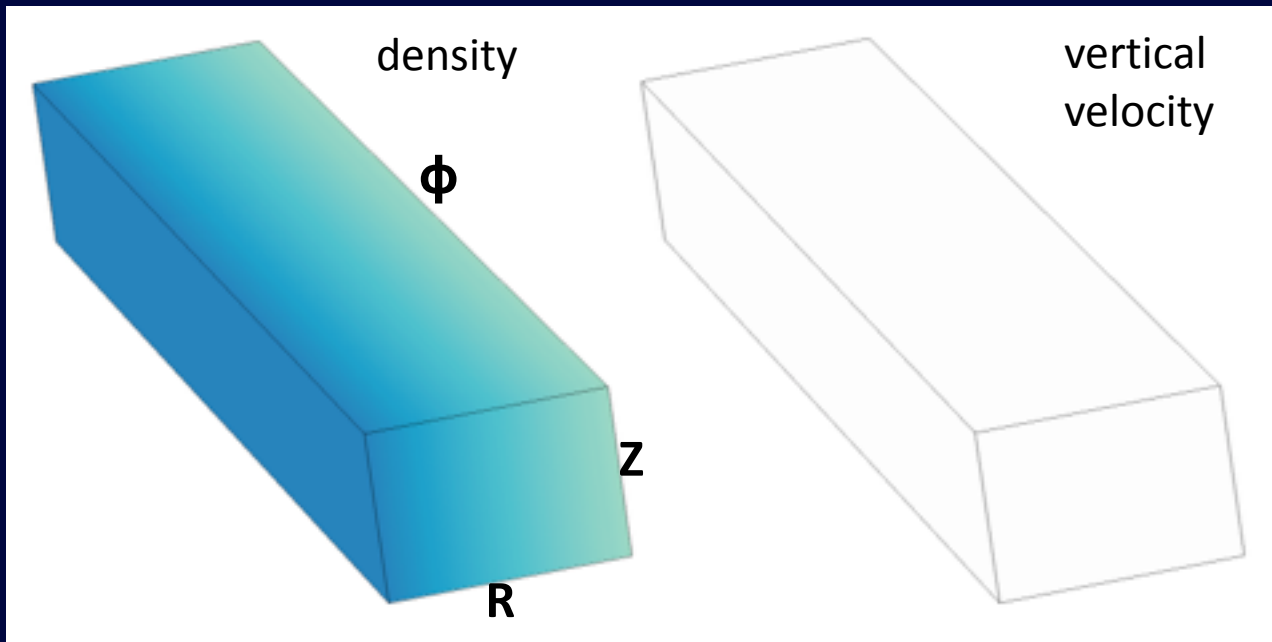
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2D

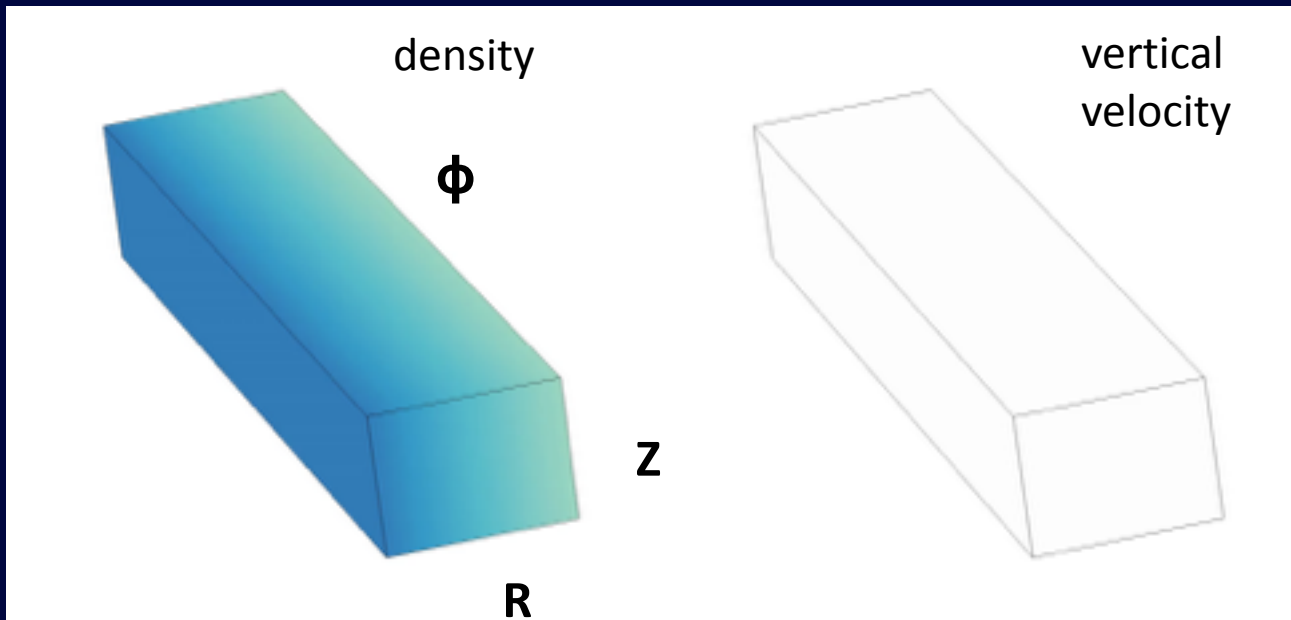


3D



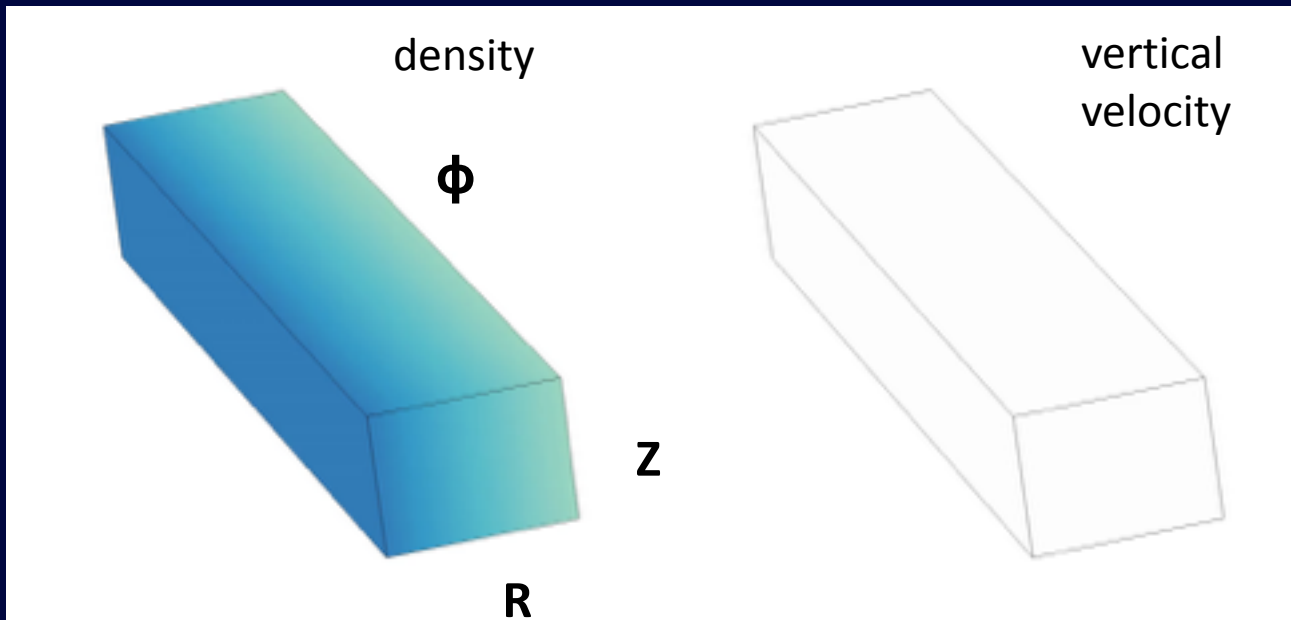
Monochromatic $m=2$ spiral waves are driven in a local unstratified shearing box

64 grid cells per scale height (cell size $\sim 80,000$ km at 1 AU with $H/R=0.033$)



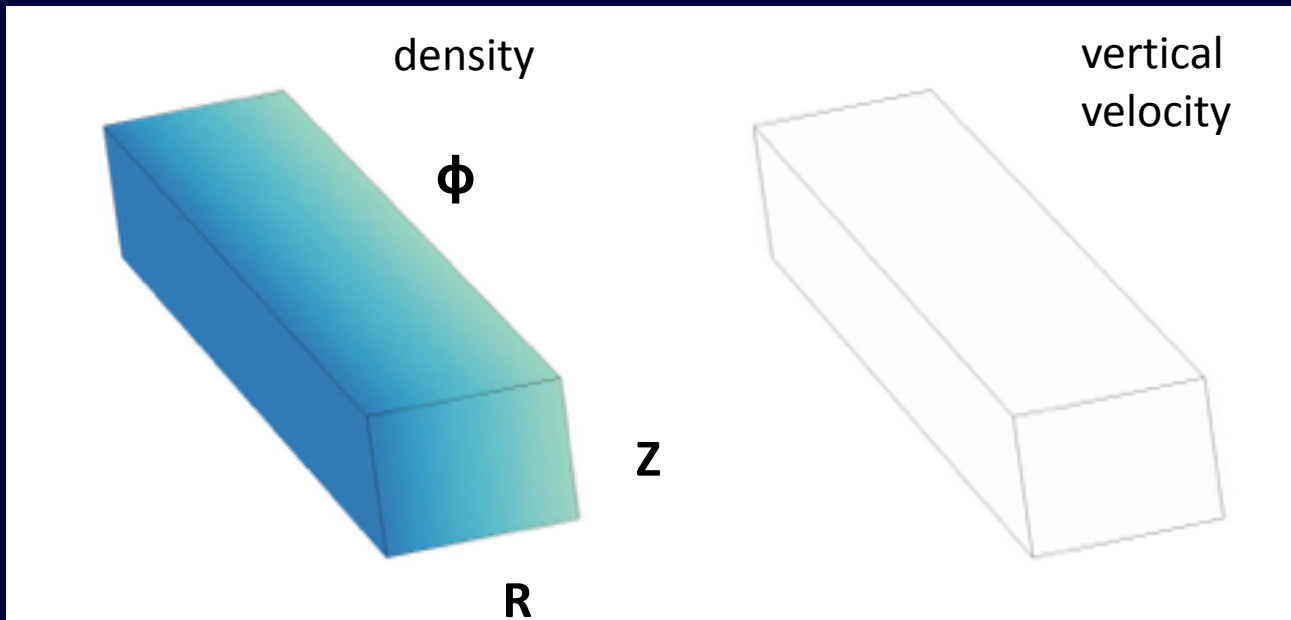
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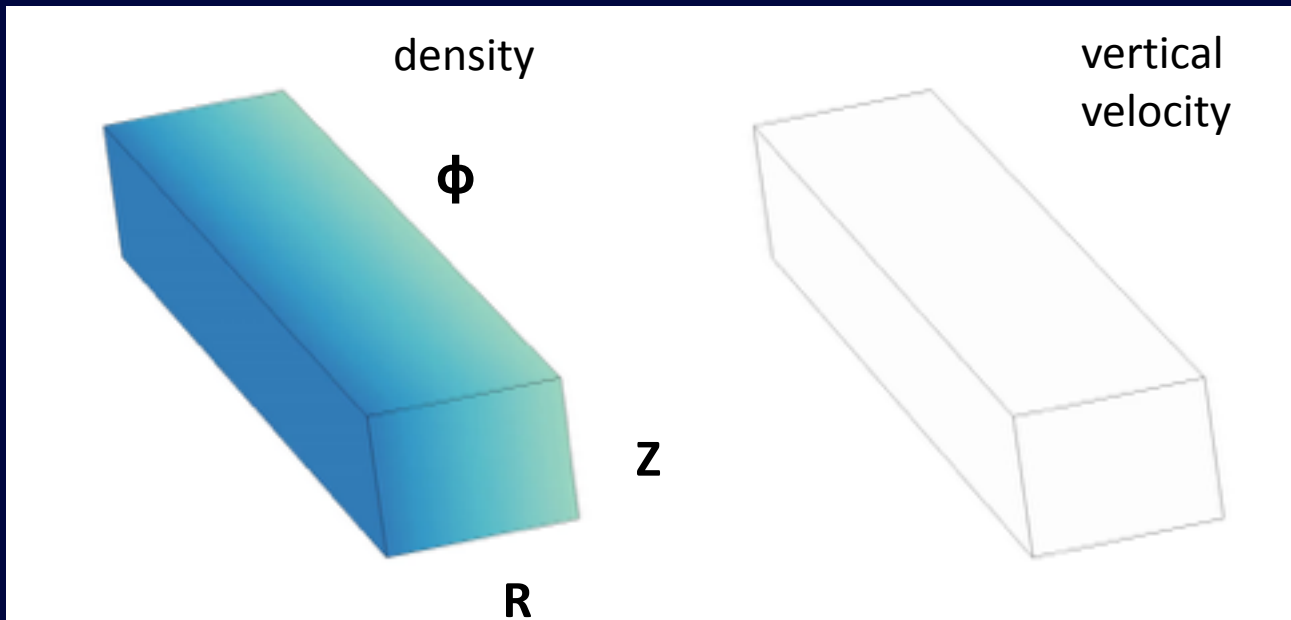
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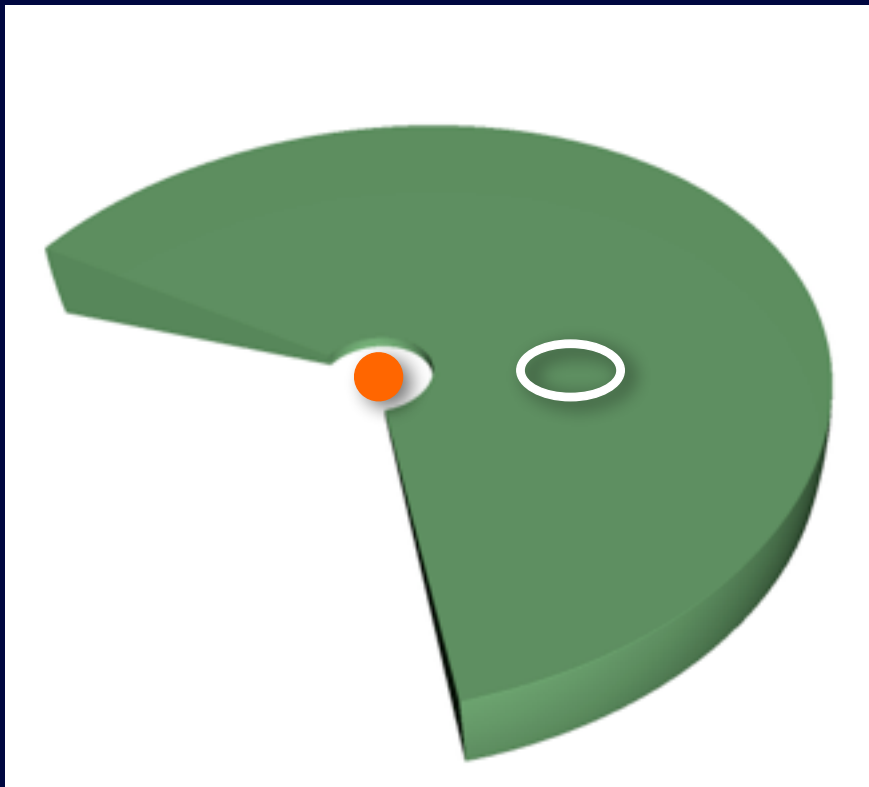


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Origin of the instability

- **Pairs of inertial modes amplify** as they resonantly couple with and extract energy from the background spiral wave
- Maximum growth rate obtained when $\omega_{i1} + \omega_{i2} = \omega_s$ (Goodman 1993).

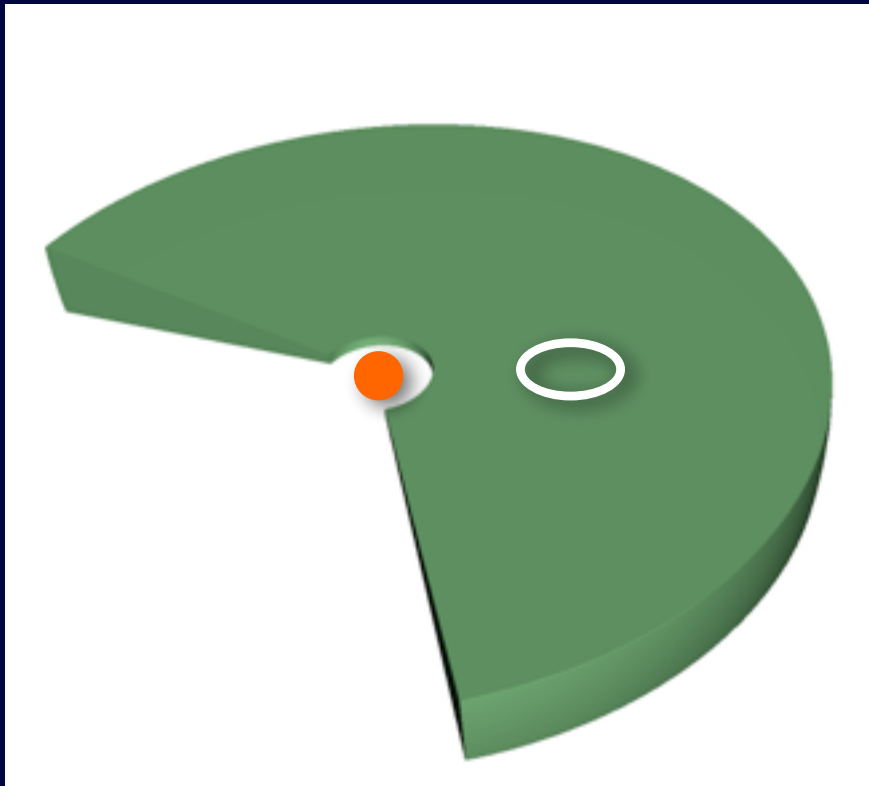


In large wavenumber limit $\omega_{i1} = \omega_{i2} = \omega_s/2$
(Fromang & Papaloizou 2007)

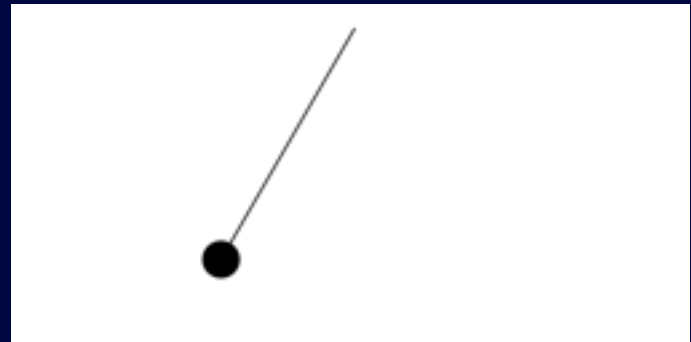


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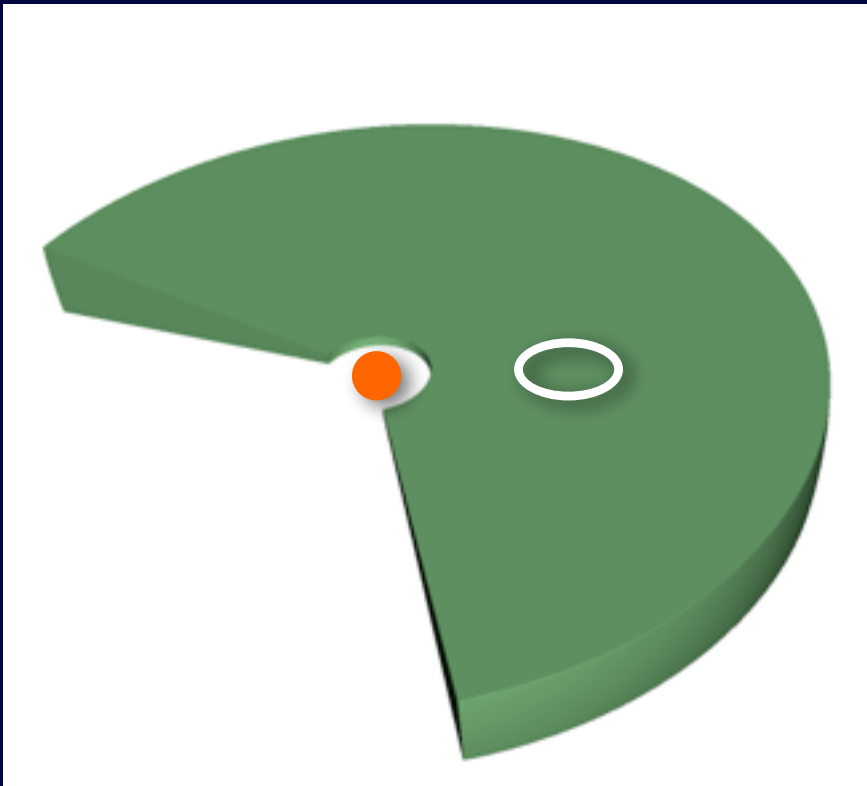


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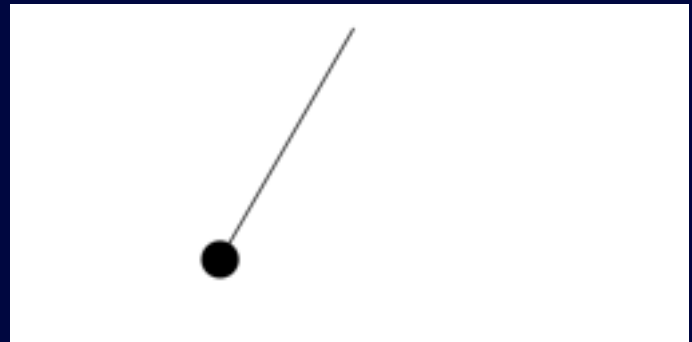


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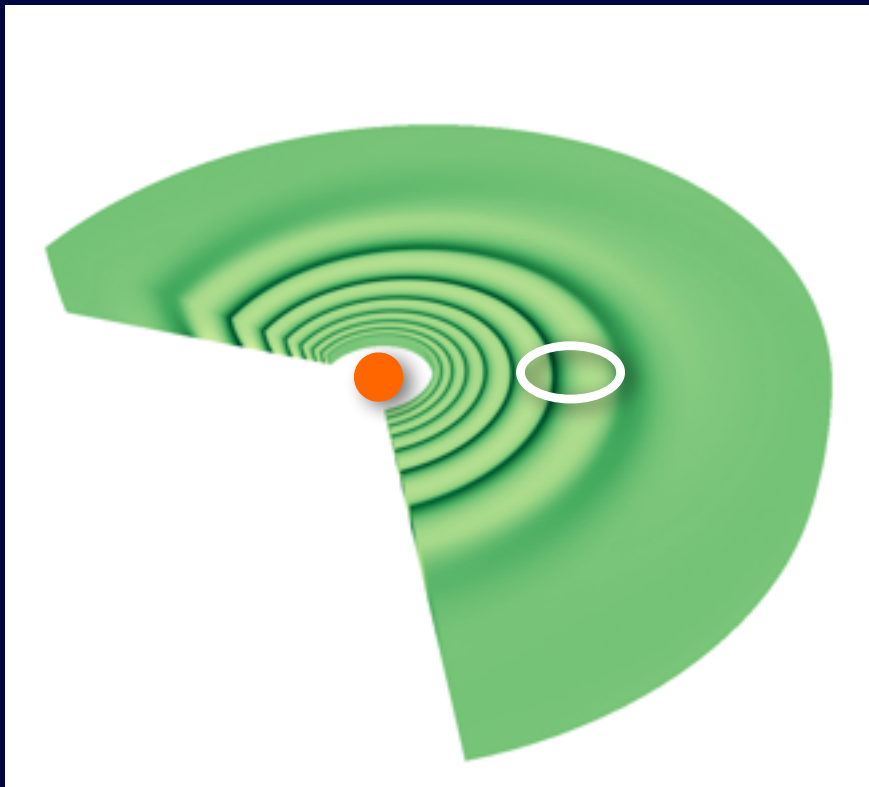


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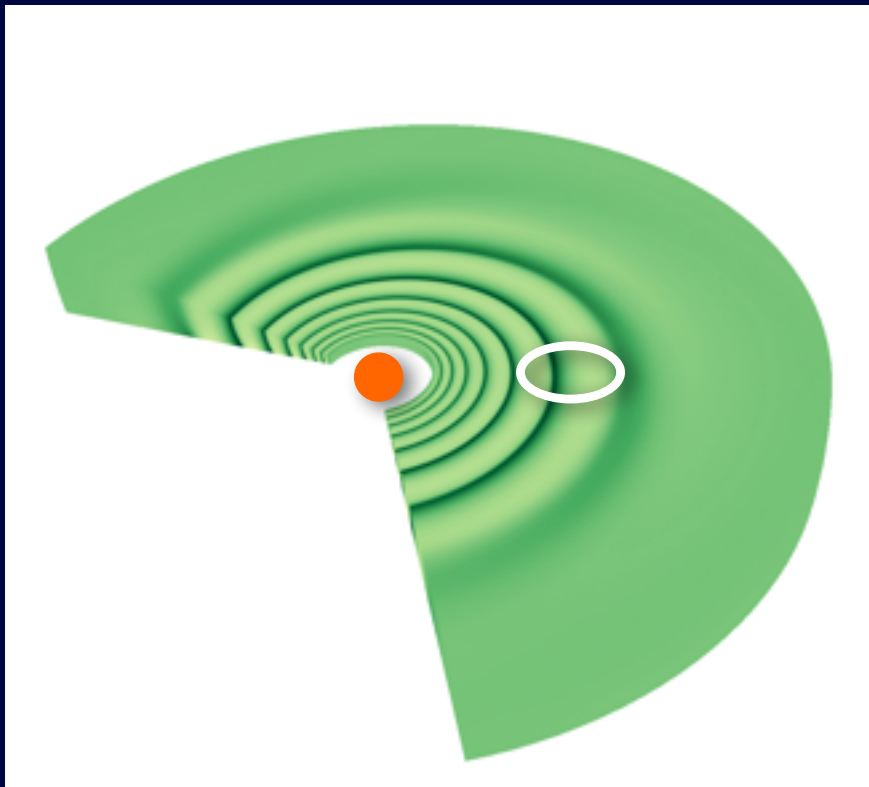
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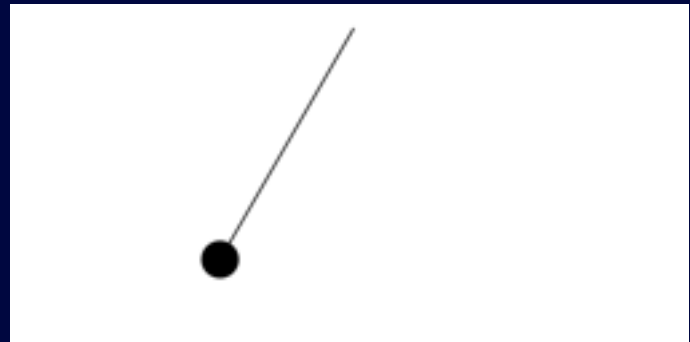
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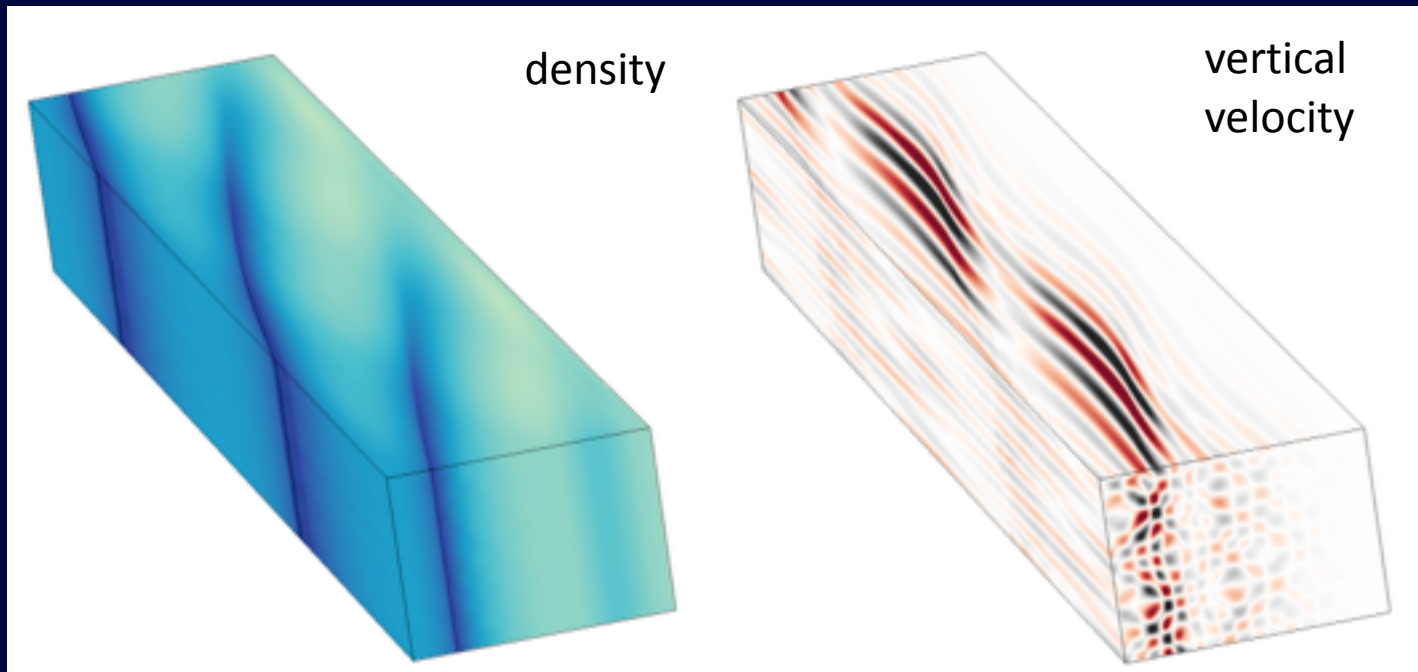


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The instability is found to occur

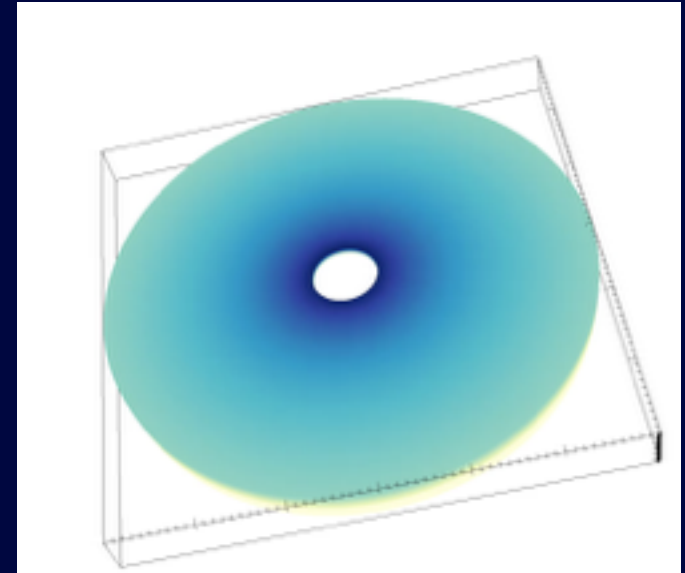
The instability is found to occur

- With various codes (FARGO3D, PLUTO, NIRVANA, ...)
- With various numerical resolutions and boundary conditions
- With/without vertical density stratification
- With/without radial temperature gradient
- With different equations of state (isothermal, adiabatic, local cooling)
- With non-zero viscosity (operates with $\alpha \sim 0.001$)
- With imposed monochromatic potentials, planet potentials, binary potentials

Details in Bae et al. 2016a,b and forthcoming work

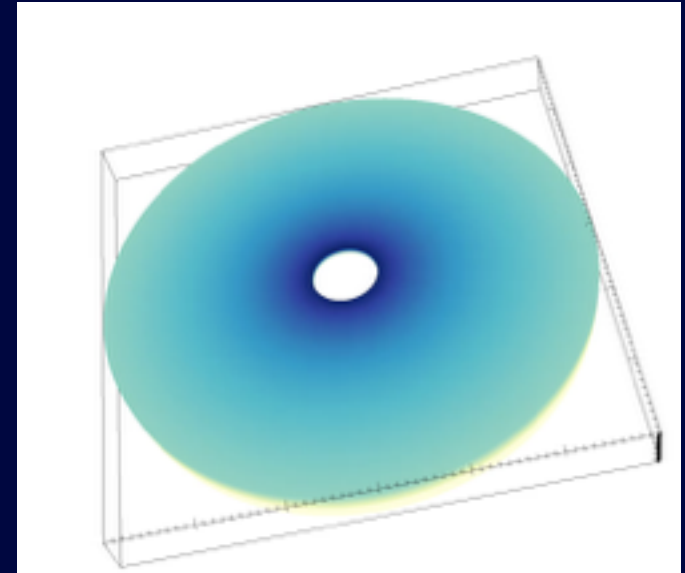
Vertical Stirring of Solid Particles by Giant Planets

- Place a Jupiter-mass planet in a three-dimensional circumstellar disk ($\Sigma=5\Sigma_{\text{MMSN}}$) and estimate vertical stirring of particles due to SWI-induced turbulence



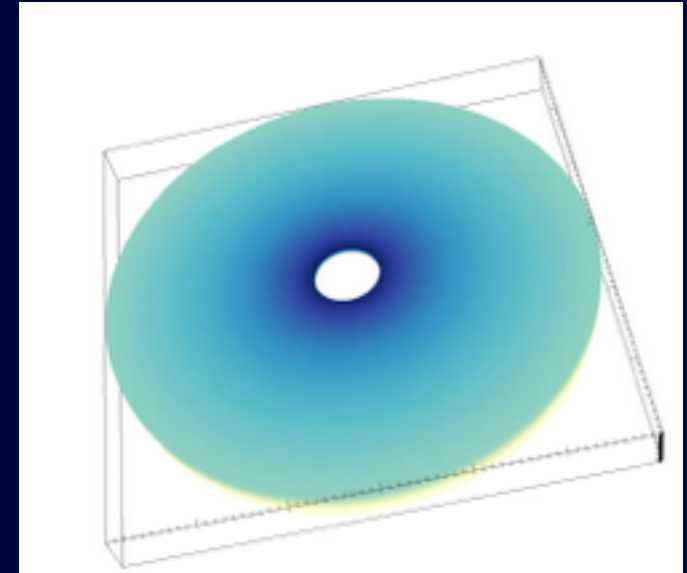
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The largest particle sizes that can be vertically dispersed up to one pressure scale height.

TABLE 1
PLANET RUN RESULTS

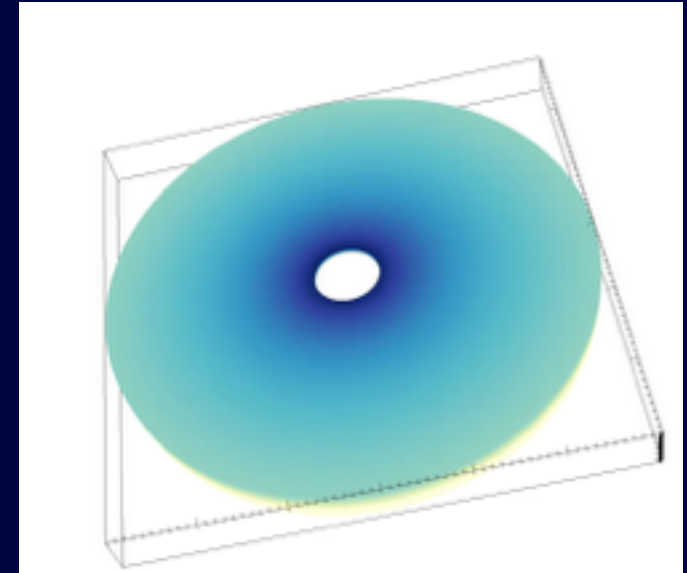
Bae et al. (2016c)

| R | $\langle v_\theta^2 \rangle$ | $\langle v_\theta^2 \rangle^{1/2} / \langle c_s \rangle$ | t_{corr} (t_{orb}) | t_{corr} (Ω^{-1}) | \mathcal{D}_Z | α_{diff} | t_{mix} (t_{orb}) | $\langle \rho_g \rangle$ (g cm^{-3}) | $(9/4)\lambda$ (cm) | s_{mix} (cm) |
|-----|------------------------------|--|---|--|-----------------------|------------------------|--|--|------------------------|--------------------------|
| 0.3 | 3.08×10^{-5} | 0.050 | 0.43 | 2.62 | 8.32×10^{-5} | 0.0065 | 0.62 | 8.05×10^{-10} | 2.49 | 4.63 |
| 0.4 | 3.15×10^{-5} | 0.059 | 0.55 | 2.17 | 1.09×10^{-4} | 0.0075 | 0.90 | 4.32×10^{-10} | 4.64 | 3.20 |
| 0.5 | 2.26×10^{-5} | 0.055 | 0.51 | 1.44 | 7.24×10^{-5} | 0.0043 | 2.06 | 3.36×10^{-10} | 5.96 | 1.75 |
| 0.6 | 3.08×10^{-5} | 0.112 | 0.46 | 0.99 | 2.34×10^{-4} | 0.0124 | 0.95 | 2.16×10^{-10} | 9.28 | 3.99 |
| 0.7 | 1.67×10^{-5} | 0.054 | 0.41 | 0.70 | 4.30×10^{-5} | 0.0020 | 7.34 | 2.30×10^{-10} | 8.71 | 0.82 |

* For the quantities in the brackets $\langle \rangle$, we average them over azimuth within $|Z| < 1H$.

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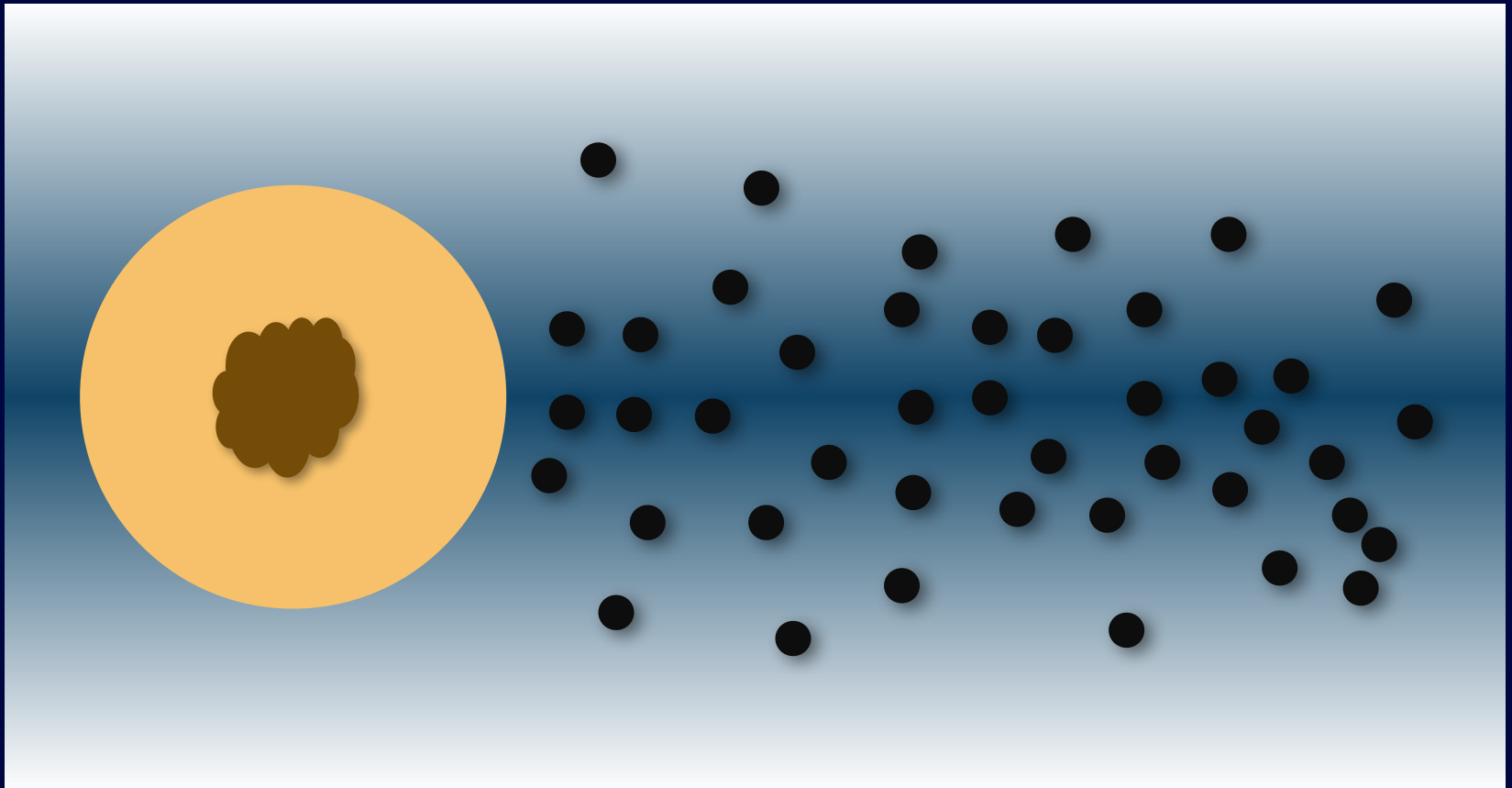
Bae et al. (2016c)

| R | $\langle v_\theta^2 \rangle$ | $\langle v_\theta^2 \rangle^{1/2} / \langle c_s \rangle$ | t_{corr} (t_{orb}) | t_{corr} (Ω^{-1}) | \mathcal{D}_Z | α_{diff} | t_{mix} (t_{orb}) | $\langle \rho_g \rangle$ (g cm^{-3}) | $(9/4)\lambda$ (cm) | s_{mix} (cm) |
|-----|------------------------------|--|---|--|-----------------------|------------------------|--|--|------------------------|--------------------------|
| 0.3 | 3.08×10^{-5} | 0.050 | 0.43 | 2.62 | 8.32×10^{-5} | 0.0065 | 0.62 | 8.05×10^{-10} | 2.49 | 4.63 |
| 0.4 | 3.15×10^{-5} | 0.059 | 0.55 | 2.17 | 1.09×10^{-4} | 0.0075 | 0.90 | 4.32×10^{-10} | 4.64 | 3.20 |
| 0.5 | 2.26×10^{-5} | 0.055 | 0.51 | 1.44 | 7.24×10^{-5} | 0.0043 | 2.06 | 3.36×10^{-10} | 5.96 | 1.75 |
| 0.6 | 3.08×10^{-5} | 0.112 | 0.46 | 0.99 | 2.34×10^{-4} | 0.0124 | 0.95 | 2.16×10^{-10} | 9.28 | 3.99 |
| 0.7 | 1.67×10^{-5} | 0.054 | 0.41 | 0.70 | 4.30×10^{-5} | 0.0020 | 7.34 | 2.30×10^{-10} | 8.71 | 0.82 |

* For the quantities in the brackets $\langle \rangle$, we average them over azimuth within $|Z| < 1H$.

Gas-drag-aided Pebble Accretion

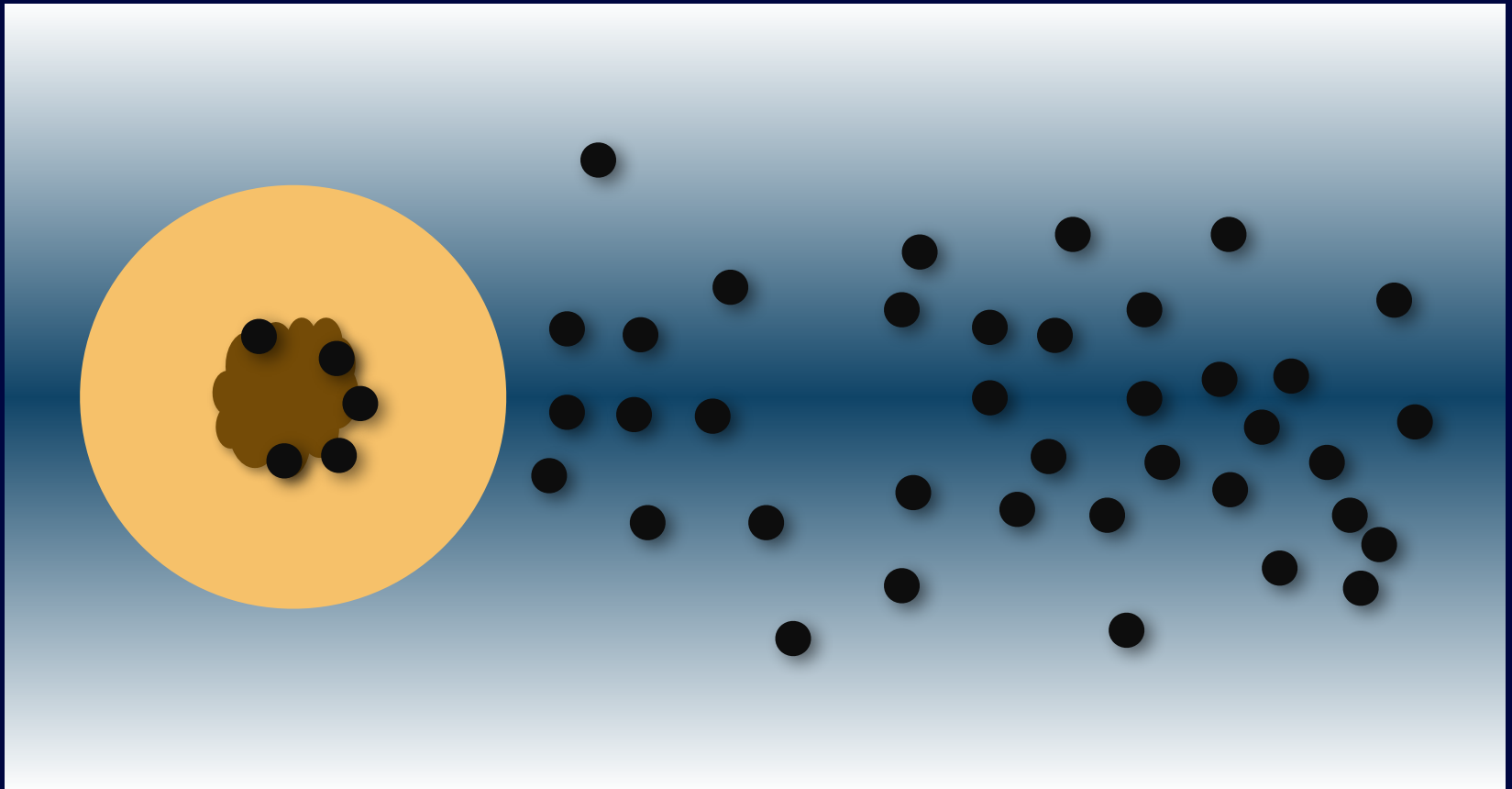
Johansen & Lacerda 2010, Ormel & Klahr 2010, Lambrechts & Johansen 2012, Morbidelli et al. 2012, ...



Not to scale!

Gas-drag-aided Pebble Accretion

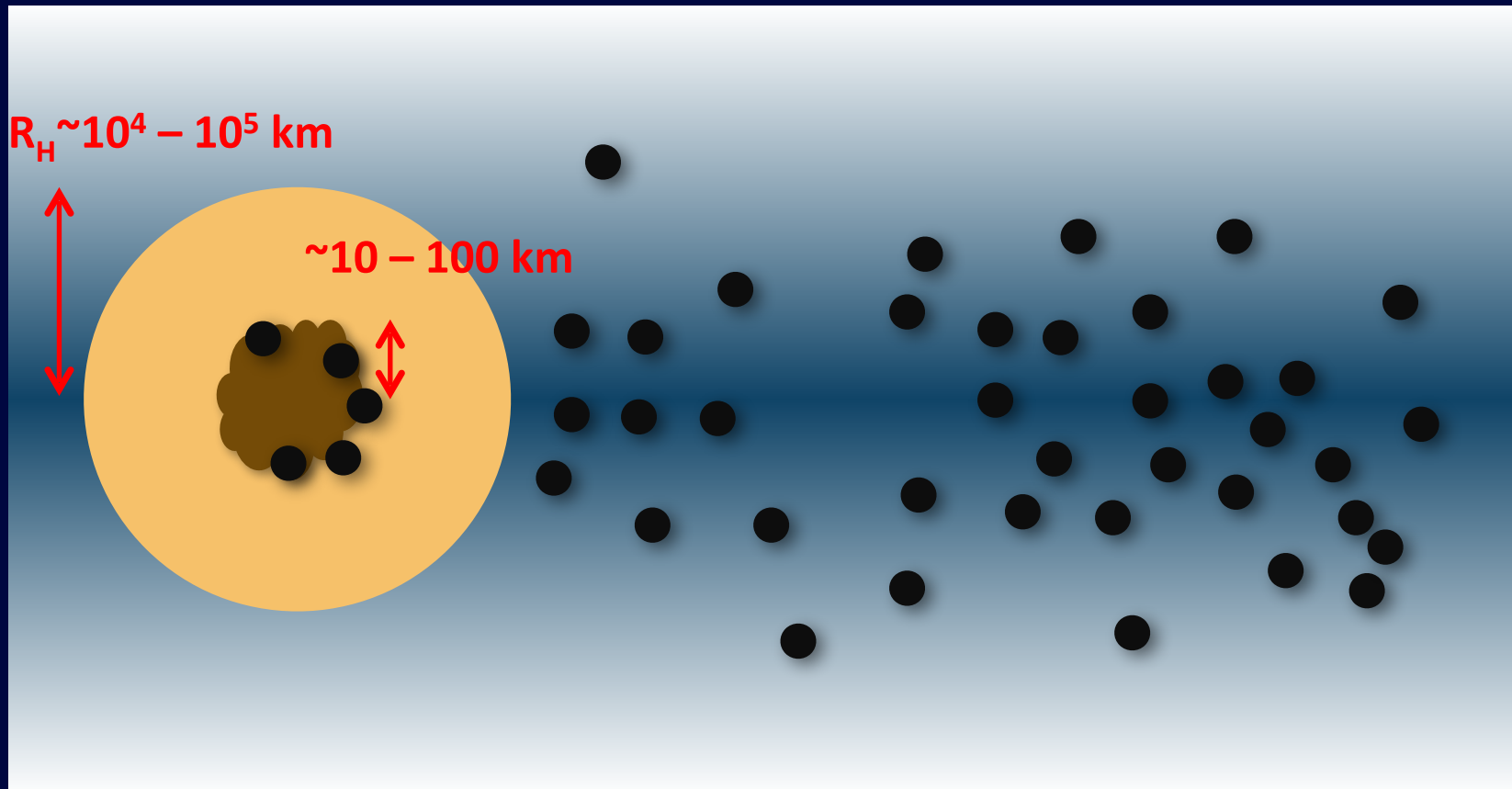
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Not to scale!

Gas-drag-aided Pebble Accretion

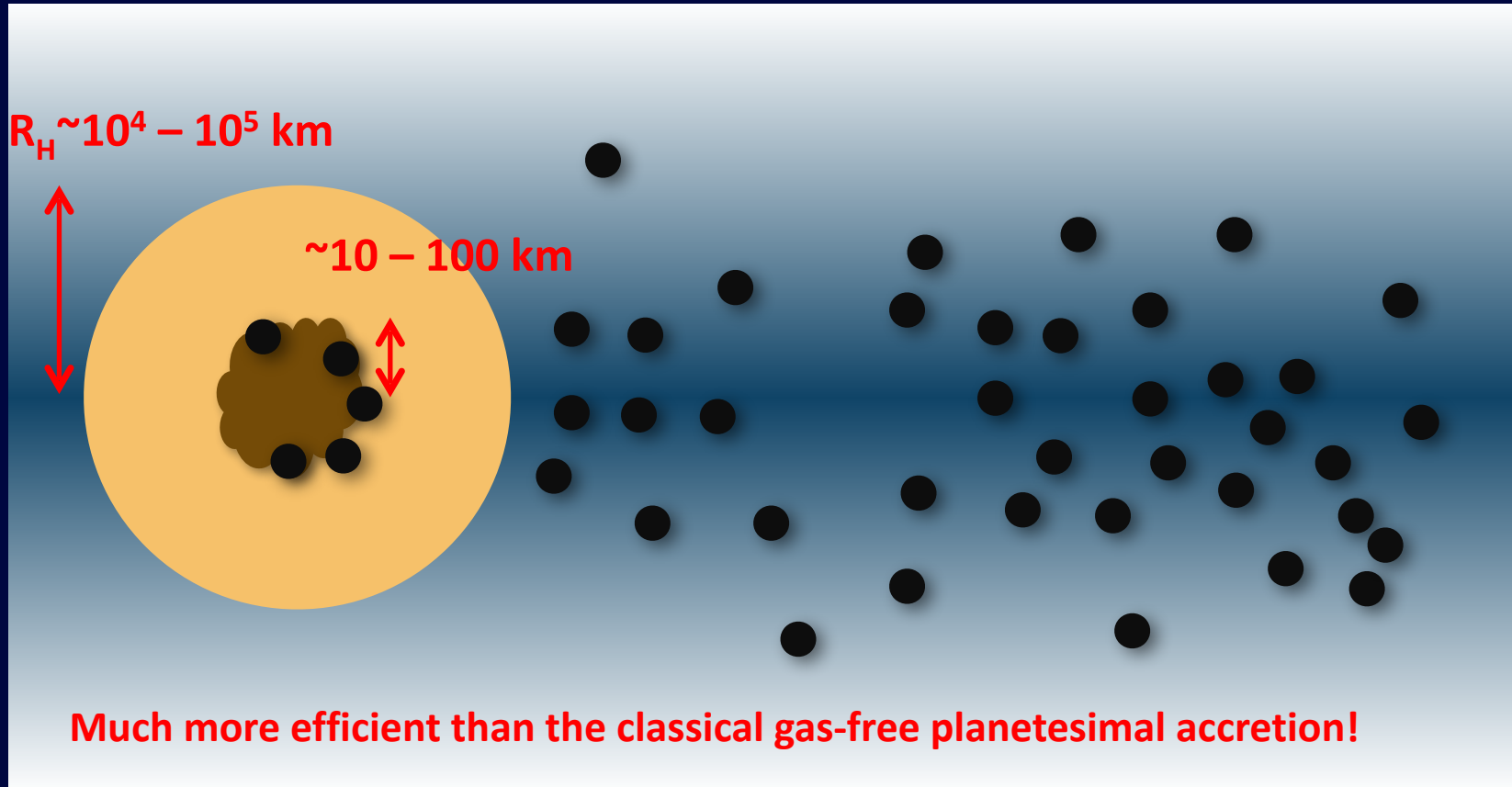
Johansen & Lacerda 2010, Ormel & Klahr 2010, Lambrechts & Johansen 2012, Morbidelli et al. 2012, ...



Not to scale!

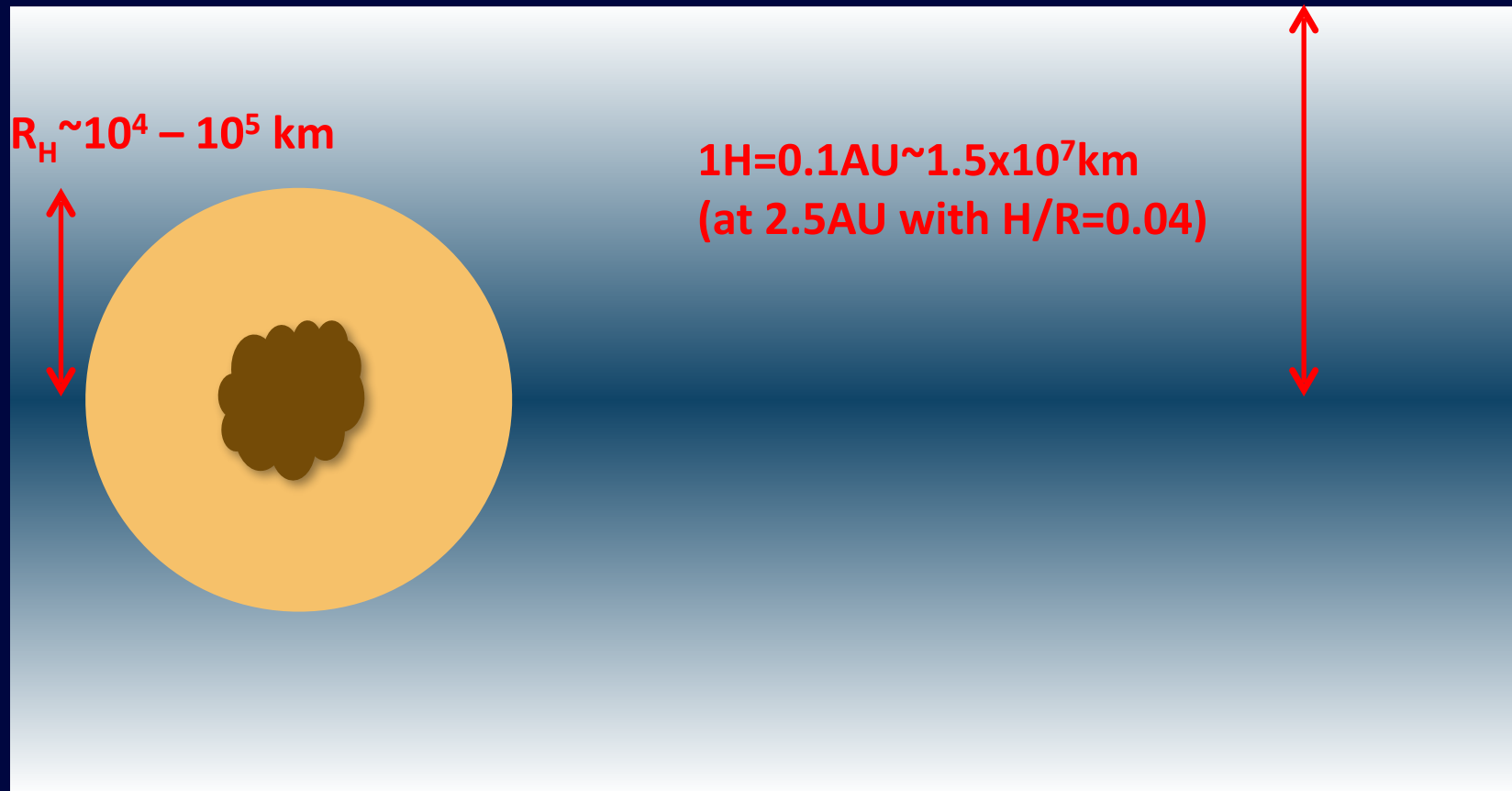
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Not to scale!

Gas-drag-aided Pebble Accretion



Not to scale!

Gas-drag-aided Pebble Accretion

$R_H \sim 10^4 - 10^5 \text{ km}$

$1H = 0.1 \text{ AU} \sim 1.5 \times 10^7 \text{ km}$
(at 2.5 AU with $H/R = 0.04$)



TO SCALE!

Gas-drag-aided Pebble Accretion

$R_H \sim 10^4 - 10^5$ km

$1H = 0.1 \text{ AU} \sim 1.5 \times 10^7$ km
(at 2.5 AU with $H/R = 0.04$)



The scale height of pebbles has to be less than $\sim 1\%$ of that of gas: $\alpha \leq 10^{-4}$!

TO SCALE!

Growth of asteroids, planetary embryos, and Kuiper belt objects by chondrule accretion

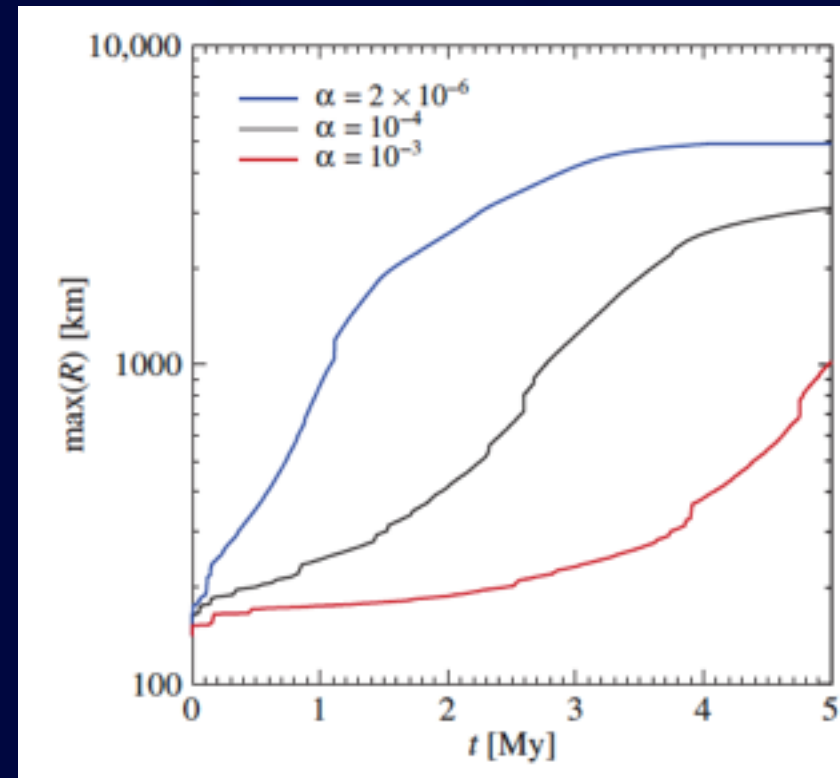
Anders Johansen,^{1*} Mordecai-Mark Mac Low,² Pedro Lacerda,³ Martin Bizzarro⁴

- Planetesimals initially have sizes in a range of 10 – 150km.
- $0.2M_{\oplus}$ initially in mm-sized particles + $0.2M_{\oplus}$ continuously added over the next 3Myr.

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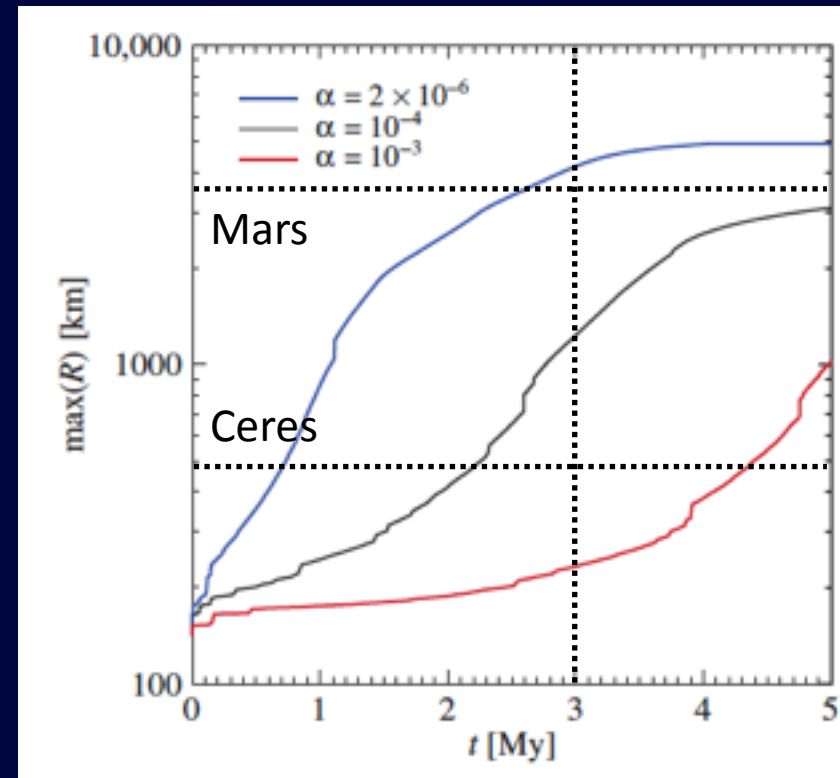
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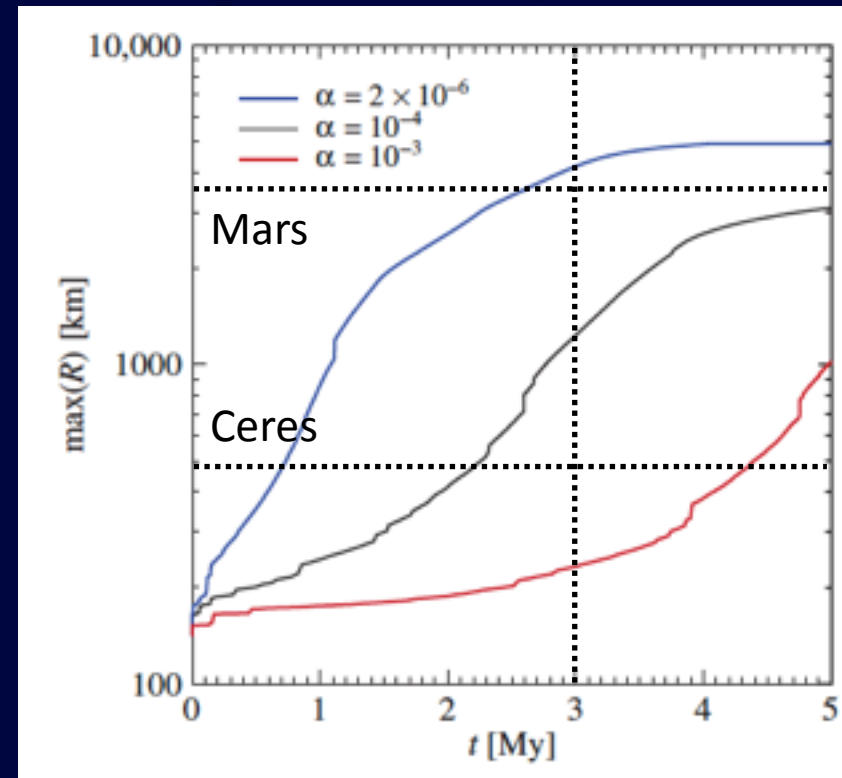
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| α_{diff} |
|------------------------|
| 0.0065 |
| 0.0075 |
| 0.0043 |
| 0.0124 |
| 0.0020 |

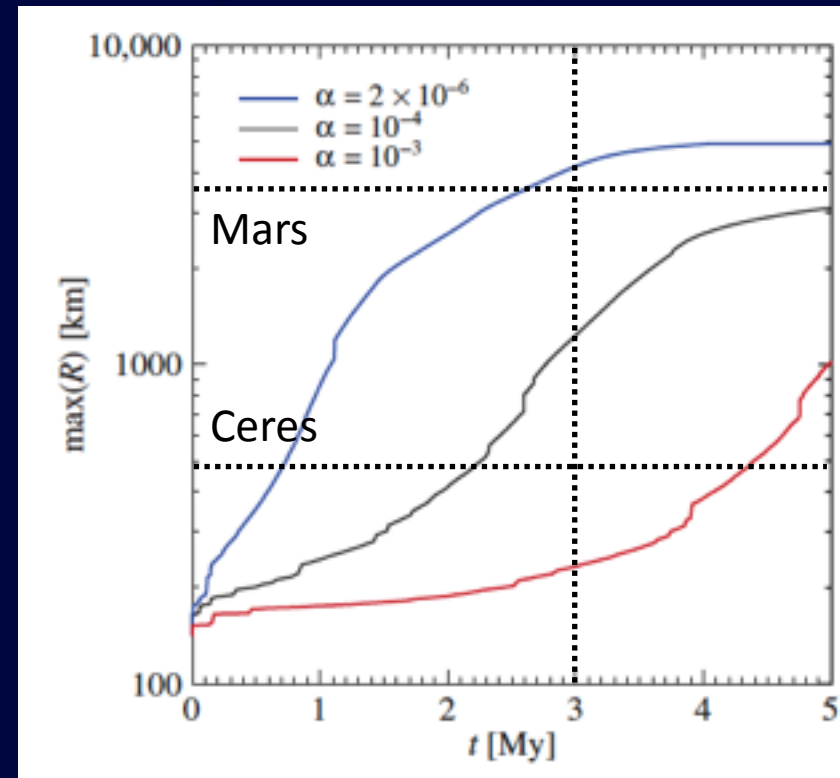
Bae et al. (2016b)



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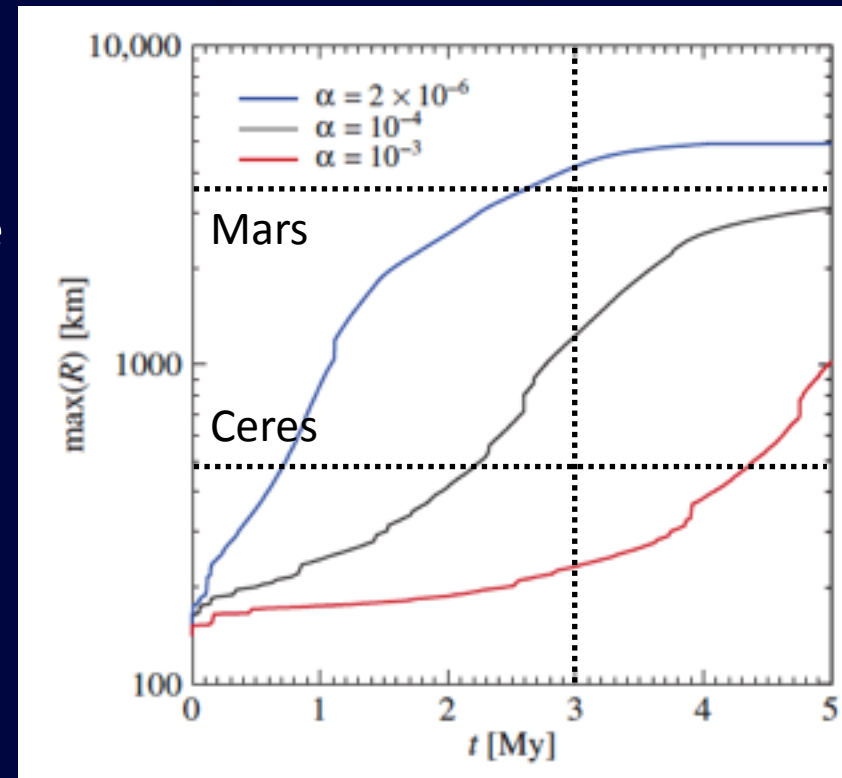
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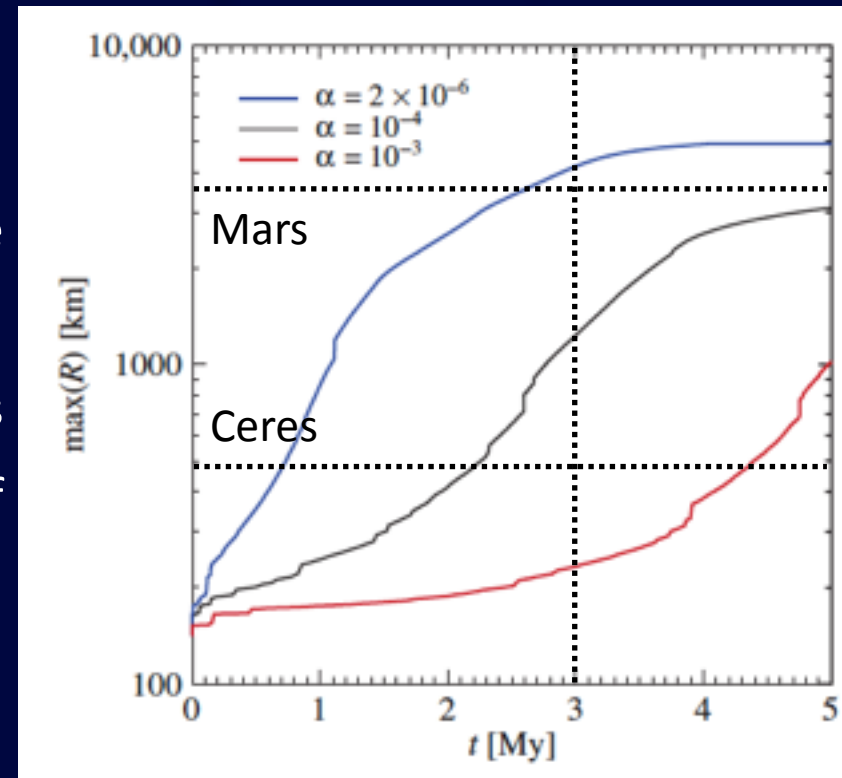
- Planetesimals initially have sizes in a range of 10 – 150km.
- $0.2M_E$ initially in mm-sized particles + $0.2M_E$ continuously added over the next 3Myr.
- This does **NOT** disprove pebble accretion!
- The SWI can help better understand the timing of planetary body formation.



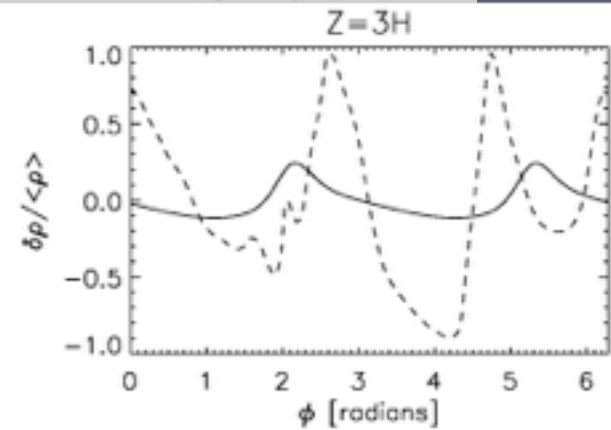
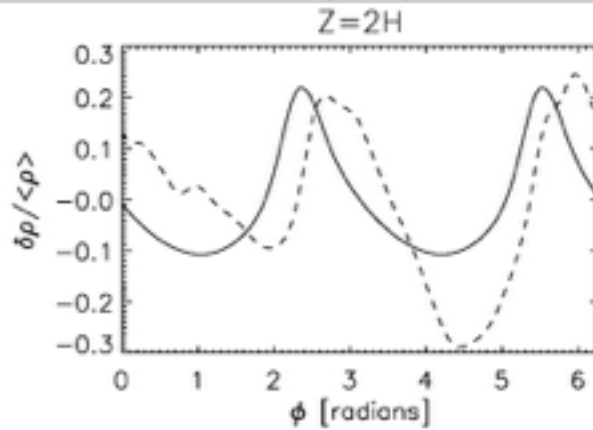
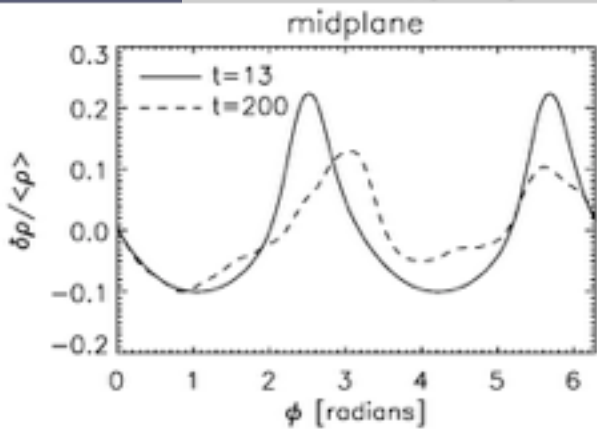
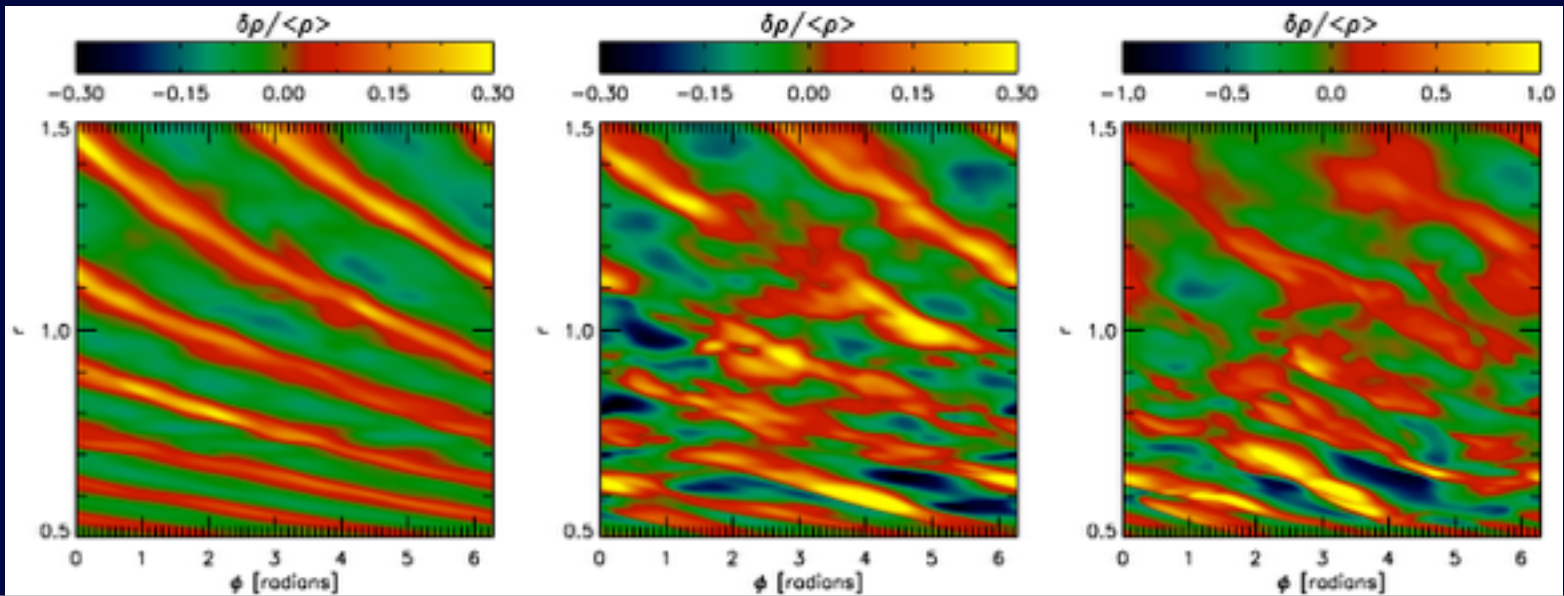
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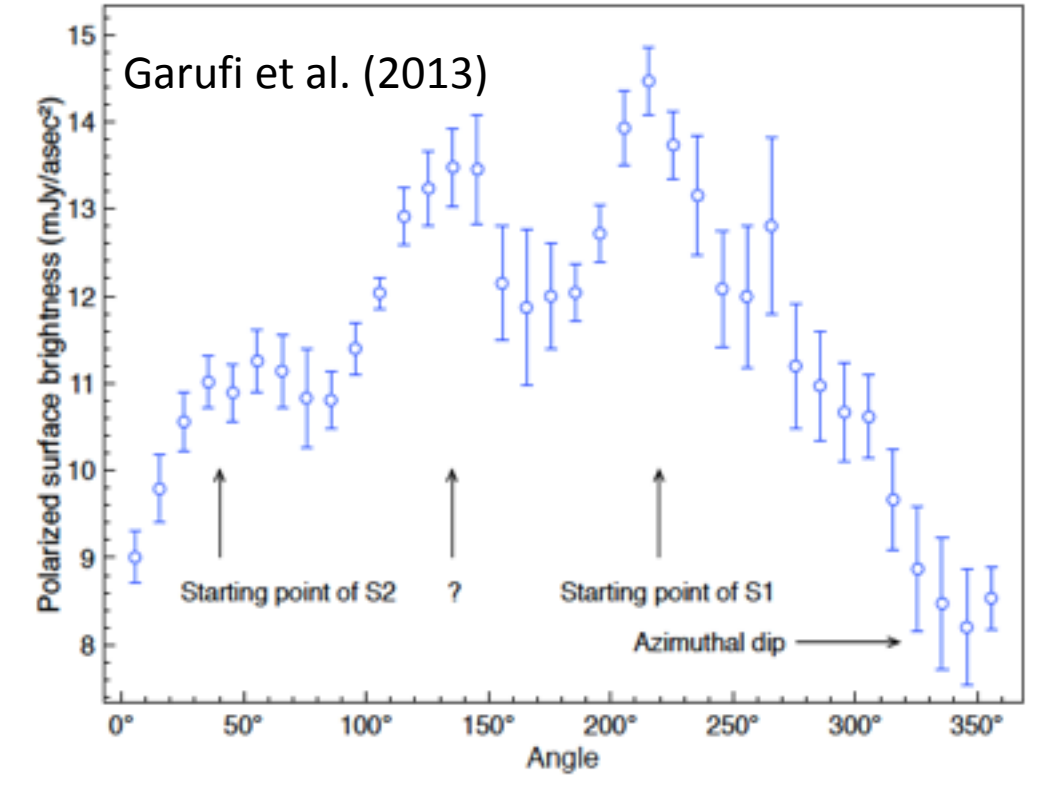
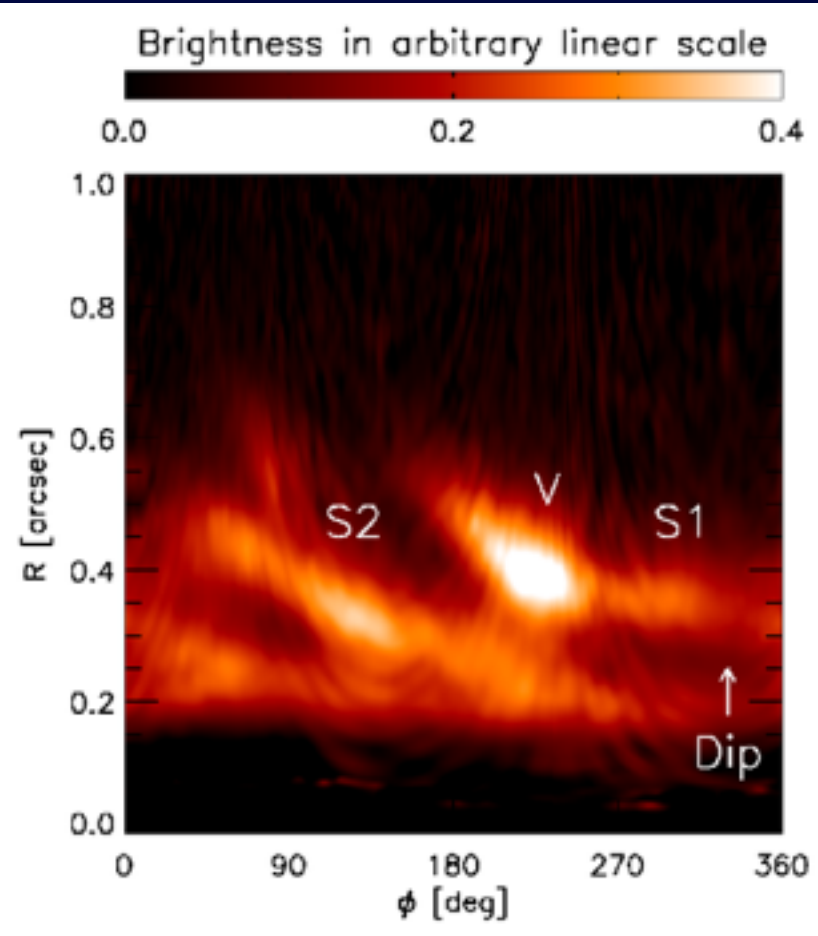
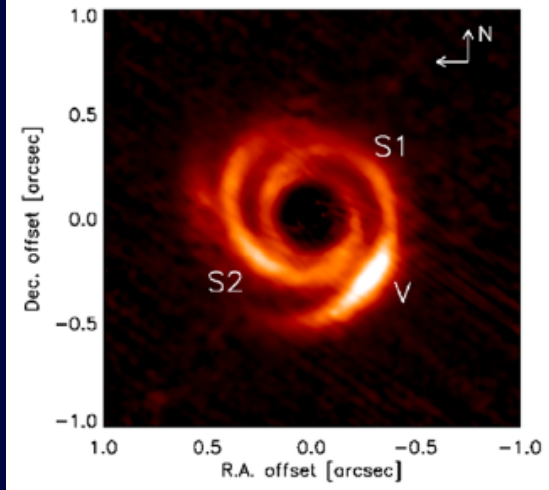
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- The SWI can help better understand the timing of planetary body formation.
- The early formation scenario of Mars is consistent of the isotope measurement of Martian meteorites
(Dauphas & Pourmand 2011).



Observability of SWI in scattered light



Observability of SWI in scattered light



Summary

- **VSI may operate in outer regions of protoplanetary discs**
 - induce turbulence with $v_{\text{turb}} \sim 0.2 \times c_s$ and stir solid particles
 - need to examine in presence of magnetic fields and non-ideal MHD
- **SWI leads to significant modification of spiral wave amplitudes and morphologies**
- **Giant planets can induce turbulence that significantly stirs up solid particles and thus can affect**
 - the subsequent planet formation in the disk and
 - the appearance of the disc
- **Role of SWI in planet formation in binaries and in FU Orionis outbursts currently under investigation...**