

# Violation of the Fluctuation-Dissipation Theorem in Time-Dependent Mesoscopic Heat Transport

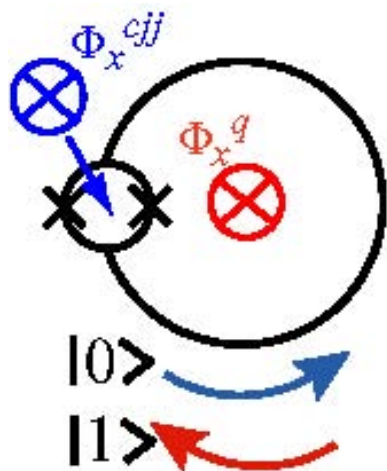
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## Outline

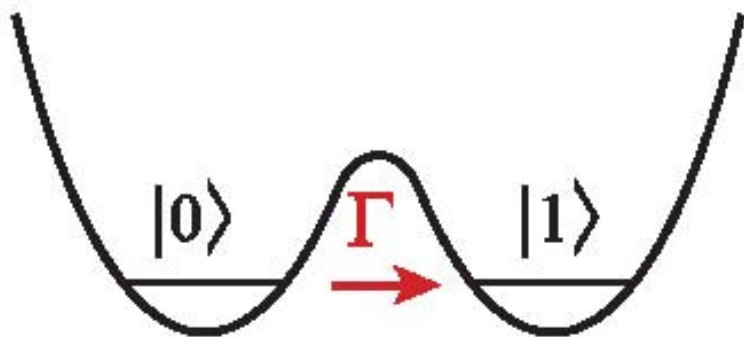
1. FDT, applications to qubits and status for thermal conductance.
2. Energy flux fluctuations in mesoscopic heat transport.
3. Finite-frequency heat conductance, no thermal FDT.

# Applications of the FDT in studies of qubit decoherence



1. Microscopic origin of the low-frequency flux noise remains one of the main not fully resolved issues in superconducting qubits.
2. It can be addressed through macroscopic resonant tunneling as a convenient tool for measuring properties of the flux noise.

$$\Gamma(\epsilon) = \frac{\Delta^2}{2} \text{Re} \int_0^\infty dt e^{i\epsilon t} \exp \left\{ \int d\omega S(\omega) \frac{e^{-i\omega t} - 1}{\omega^2} \right\}$$



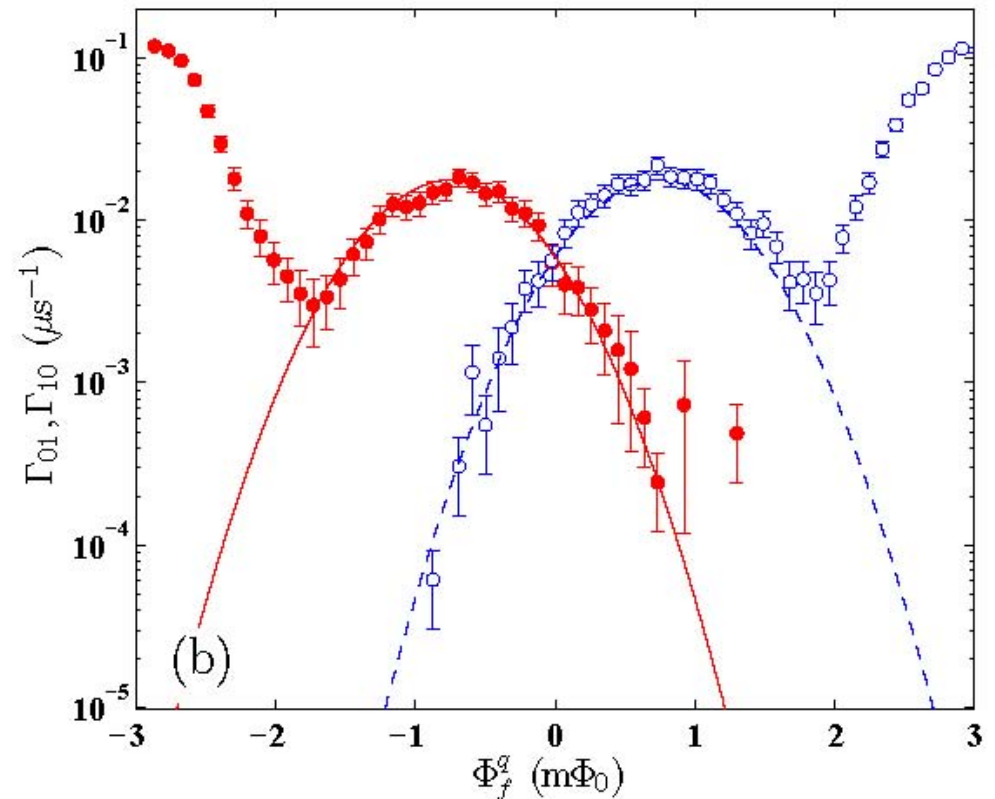
$$S(\omega) = \frac{1}{2\pi} \int dt e^{i\omega t} \langle E(t) E \rangle.$$

For low-frequency noise:

$$\Gamma(\epsilon) = \sqrt{\frac{\pi}{8}} \frac{\Delta^2}{W} \exp\left\{-\frac{(\epsilon - \epsilon_p)^2}{2W^2}\right\},$$

$$W^2 = \int d\omega S(\omega), \quad \epsilon_p = \mathcal{P} \int d\omega \frac{S(\omega)}{\omega}.$$

Qualitative effect of the level renormalization by noise: splitting of the lowest tunneling peak.



R. Harris et. al., PRL 2008

If the noise-generating environment is in equilibrium, FDT applies to the noise correlators and gives:

$$S_+(\omega) = S_-(\omega) \coth(\omega/2T), \quad S_{\pm}(\omega) = [S(\omega) \pm S(-\omega)]/2$$

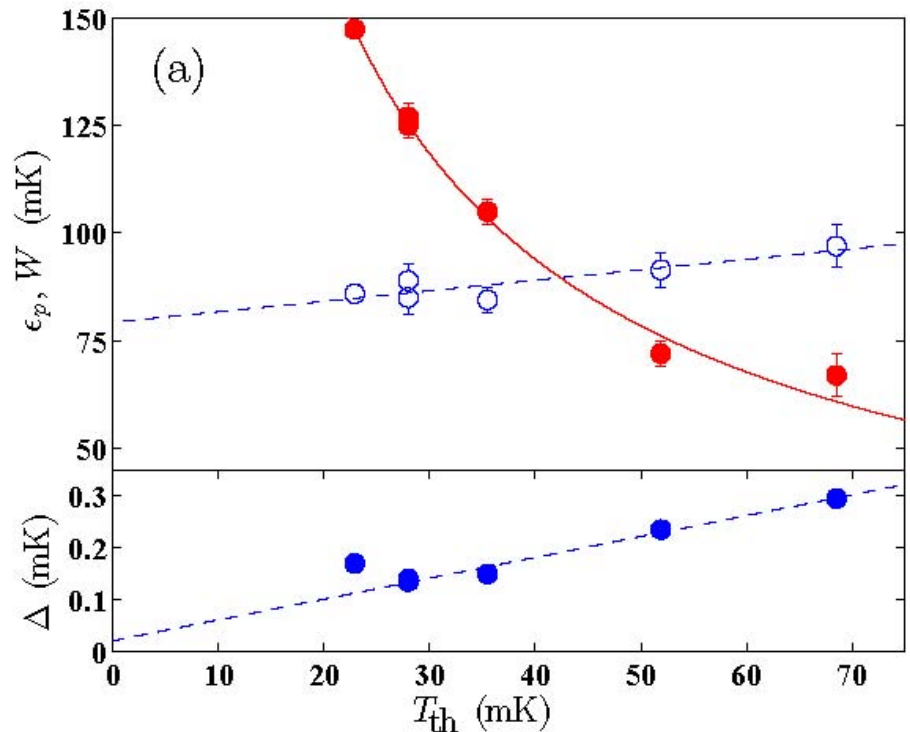
$$W^2 = \int d\omega S_+(\omega), \quad \epsilon_p = \int d\omega S_-(\omega)/\omega$$

$$T \gg \omega$$

$$W^2 = 2T\epsilon_p$$

M.H.S. Amin and D.V.A., PRL 2008

Conclusion: equilibrium noise source with paramagnetic susceptibility.



R. Harris et. al., PRL 2008

# Status of the thermal FDT

1. Microscopically, temperature is not a mechanical quantity.
2. There is still a common view that FDT should work for thermal conductance as well, e.g.

## STATISTICAL PHYSICS

Part 2

*Theory of the Condensed State*

by

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Thermodynamic motivation:

$$\langle \tilde{E}^2 \rangle = CT^2, \quad \dot{\tilde{E}} = -\tilde{E}/\tau + J, \quad \tau = C/G_{th}$$

$$S(0) = 2\langle \tilde{E}^2 \rangle / \tau = 2T^2 G_{th}.$$

This coincides with the  $\omega=0$  limit of the thermal FDT:

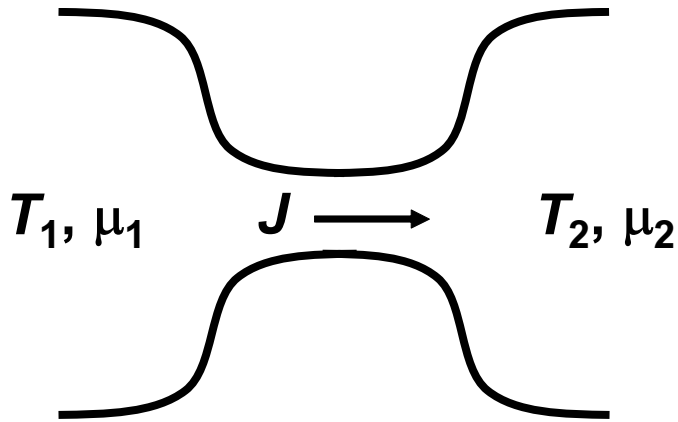
$$S(\omega) = \hbar\omega T \operatorname{Re} G_{th}(\omega) \coth(\hbar\omega/2T).$$

the case of quantum fluctuations. According to the general rules of the fluctuation–dissipation theorem, such a generalization is obtained by including an extra factor  $(\hbar\omega/2T) \coth(\hbar\omega/2T)$  (which is unity in the classical limit  $\hbar\omega \ll T$ ).

$$(g_i^{(1)} g_k^{(2)})_\omega = \delta_{ik} \delta(\mathbf{r}_1 - \mathbf{r}_2) \hbar\omega T \coth(\hbar\omega/2T) \operatorname{re} \kappa(\omega), \quad (88.20)$$

# Fluctuations of the energy flux in mesoscopic heat transport

Transport through a shot constriction:



$$S(\omega) = \int dt e^{-i\omega t} \langle \tilde{J}\tilde{J}(t) + \tilde{J}(t)\tilde{J} \rangle / 2,$$

$$\tilde{J} \equiv J - \langle J \rangle.$$

Phonons

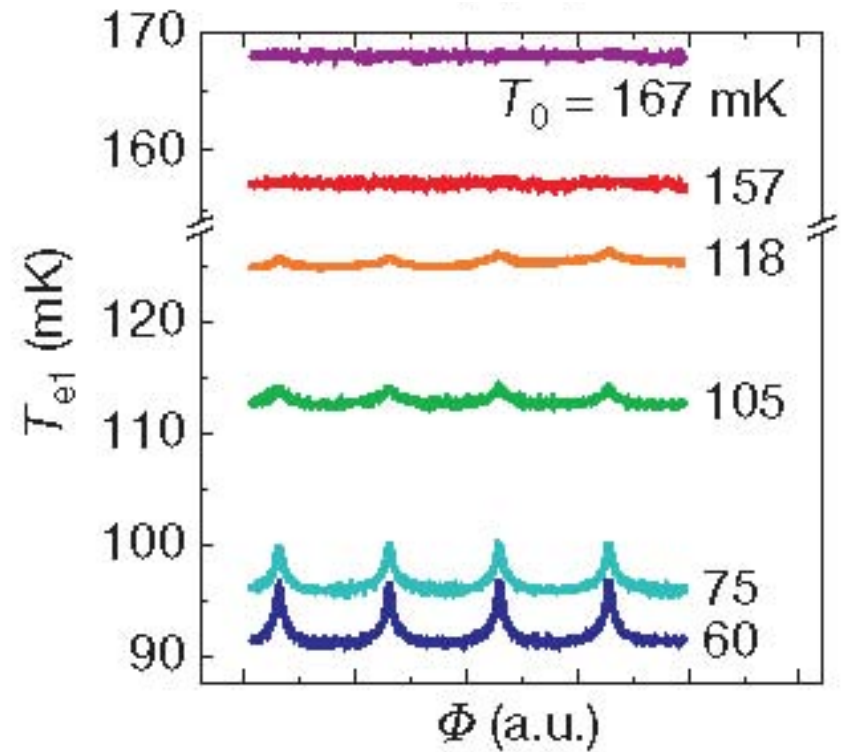
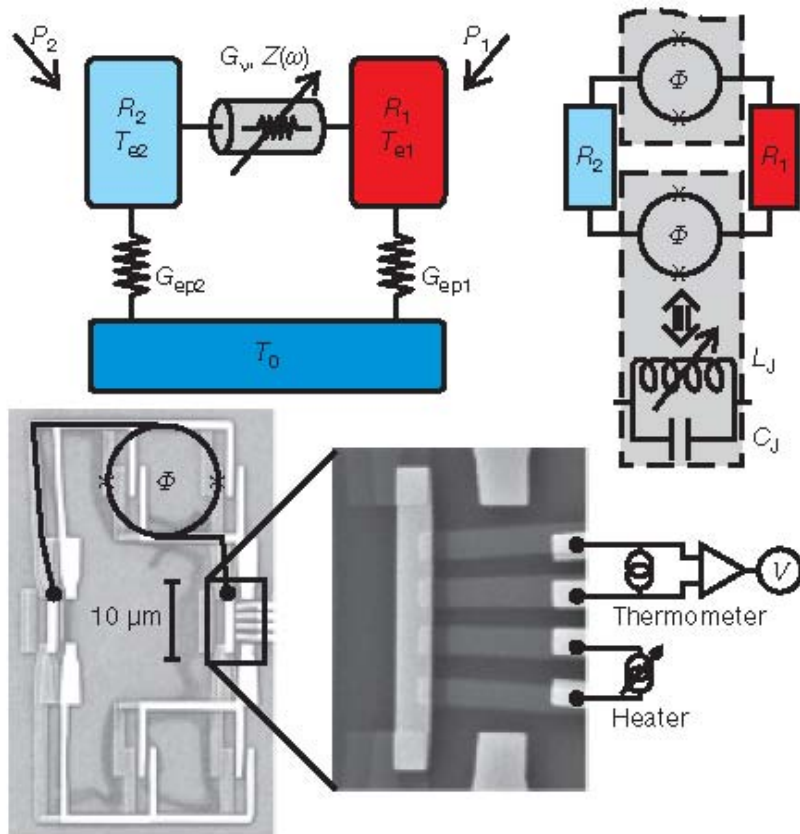
$$J = \frac{\hbar v}{2L} \sum_{k,p} (\omega_k \omega_p)^{1/2} \text{sgn}(k) (a_k - a_k^+) (a_p^+ - a_p).$$

$$S(\omega) = \frac{1}{48\pi\hbar} \sum_{j=1,2} \left[ (2\pi T_j)^2 + (\hbar\omega)^2 \right] \hbar\omega \coth(\hbar\omega / 2T_j).$$

In equilibrium, only the first part corresponds to the thermal FDT with

$$G_{th} = \pi T / 6\hbar.$$

# Mesoscopic heat conduction by photons



M. Meschke *et al.*, Nature (2006).

## Electrons

Heisenberg equation of motion for the energy density

$$h(x) = [\psi^\dagger \hat{h} \psi + (\hat{h} \psi^\dagger) \psi] / 2, \quad \hat{h} = -(\hbar^2 / 2m) \partial^2 / \partial x^2 + V(x)$$

gives the energy flux operator as

$$J = \frac{v_F}{L} \sum_{k,p} \frac{\varepsilon_k + \varepsilon_p}{2} \left[ D(a_k^\dagger a_p - b_k^\dagger b_p) + \sqrt{DR} (a_k^\dagger b_p + b_k^\dagger a_p) \right],$$

where the energies are measured relative to the chemical potentials  $\mu_j$ . With this operator one obtains the spectrum of the energy flux noise which in equilibrium reduces to

$$S(\omega) = \left( G / 12e^2 \right) \left[ (2\pi T)^2 + (\hbar\omega)^2 \right] \hbar\omega \coth(\hbar\omega / 2T).$$

Both quantitatively and qualitatively, this result is similar to that for phonons.



## Definition of the finite-frequency thermal conductance

Use the simplest electron tunnel model as a model of heat transport

$$H = H_1 + H_2 + H_T, \quad H_T = \sum_{k,p} (T_{kp} a_k^\dagger b_p + \text{H.c.})$$

Conductance is defined as the linear response of the energy current

$$J = \dot{Q}/2 = (i/2\hbar) \sum_{k,p} (\epsilon_k + \epsilon_p) (T_{kp} a_k^\dagger b_p - \text{H.c.})$$

to the time-dependent difference  $\delta T$  between the electrode temperatures

$$\delta\rho(t) = -\rho_0 Q (\delta T / 2T^2) e^{-i\omega t}, \quad Q \equiv H_2 - H_1, \quad \rho_0 = (1/Z) e^{-(H_1 + H_2)/T}.$$

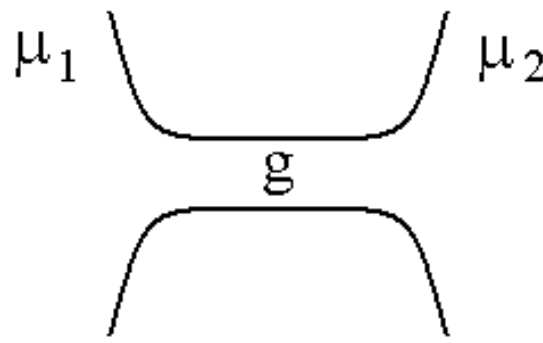
This gives

$$G_{\text{th}}(\omega) = \frac{i}{2\hbar T^2} \int_0^\infty dt e^{i\omega t} \langle J(t) H_T Q - Q H_T J(t) \rangle$$

$$\text{Re } G_{\text{th}}(\omega) = \pi^2 G T / 3e^2$$

## Discussion

- ✓ Violation of the thermal FDT in “mesoscopic” context is a direct consequence of the finite relaxation energy.
- ✓ Lack of explicit treatment of this energy in the “thermal Kubo-Green formula” approach can invalidate its conclusions.
- ✓ Discrepancy between Kubo formula for conductivity and mesoscopic calculations of conductance is characteristic not only for the thermal, but also electric transport:



The diagram illustrates a double-dot system. Two parabolic energy levels are shown, one above the other. The upper level is labeled with chemical potentials  $\mu_1$  on the left and  $\mu_2$  on the right. The energy gap between the two levels is labeled  $g$ . Below the lower level, the conductivity is given by the equation  $\sigma = \cancel{g} e^2/h$ , where the  $g$  is crossed out with a red line.

$$\sigma = \cancel{g} e^2/h$$

## Conclusion

In mesoscopic heat transport, the fluctuations of the energy flux are not related to the thermal conductance by the fluctuation-dissipation theorem, but contains additional noise. The main physical consequence of this extra noise is that the fluctuations do not vanish at zero temperature together with the vanishing thermal conductance.