# Do halo nuclei exhibit universality? 

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## Outline

- The system: halo nuclei
- The tool: Effective field theory for short-range interactions
- Application 1: dipole response of a two-body system in the universal regime $\left({ }^{19} \mathrm{C}\right)$
- Application 2: Radii of three-body systems in the universal regime (Radii of two-neutron halos)
- Application 3: E1 response of three-body systems in the universal regime (E1 response of ${ }^{11} \mathrm{Li}$ )
- Conclusion

Ordinary vs. halo nuclei

## Ordinary vs. halo nuclei

- In nuclei, each nucleon moves in the potential generated by the others
- The nuclear size grows as $\mathrm{A}^{1 / 3}$; cross sections like $A^{2 / 3}$

- Nuclear binding energies are on the order of $8 \mathrm{MeV} /$ nucleon


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http://www.uni-mainz.de $8 \mathrm{MeV} /$ nucleon
- Halo nuclei: the last few nucleons "orbit" far from the nuclear "core"
- Characterized by small nucleon binding energies, large radii, large interaction cross sections, large E1 transition strengths.


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- Understanding essential to modeling of neutron-rich nuclei
- "Physics beyond mean field"/"Open quantum systems"
- Unversality: common features of weakly-bound quantum systems


## Halo nuclei: history \& examples



## Universality

Systems with $|a| \gg R$ exhibit the same correlations between low-energy observables

| System | $\mathbf{R}$ | lal | Observables |
| :---: | :---: | :---: | :---: |
| He atom clusters | $7 \AA$ | $104 \AA$ | Binding energies, <br> distributions |
| Cold atoms | $100 \mathrm{a}_{\mathrm{B}}$ | Varies | Bound states; <br> recombination |
| $\mathrm{X}(3872)$ | 1.5 fm | $\sim 10 \mathrm{fm}$ | Spectrum, decays |
| Halo nuclei | 3 fm | $\sim 10 \mathrm{fm}$ | Spectrum, scattering, <br> EM excitation |
| NN, NNN, ... | 1.7 fm | 5.4 fm | Phase shifts; EM props... |

## EFT formulation for two-body

$$
-\frac{\hbar^{2}}{2 m_{R}} \nabla^{2} \psi+V(\mathbf{r}) \psi(\mathbf{r})=E \psi(\mathbf{r})
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$$
t_{0}^{2 B}=\frac{4 \pi a}{m} \frac{1}{1+i a k}
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Leading in systematic EFT expansion $\Rightarrow$ Estimate theory uncertainty

## Two-body t beyond LO

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t(E)=-\frac{4 \pi}{m} \frac{1}{k \cot \delta(k)-i k} ; \quad k=\sqrt{m E}
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- ...provided k~1/a. As good as ERE?


## Scales in halo nuclei



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- To be in the universal regime need $R_{\text {core }} \ll R_{\text {halo }}$
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- By this definition the deuteron is the lightest halo nucleus, and few-nucleon systems are a specific case of halos


## Lagrangian for shallow bound states

$$
\begin{aligned}
\mathcal{L}= & c^{\dagger}\left(i \partial_{t}+\frac{\nabla^{2}}{2 M}\right) c+n^{\dagger}\left(i \partial_{t}+\frac{\nabla^{2}}{2 m}\right) n \\
& +\sigma^{\dagger}\left[\eta_{0}\left(i \partial_{t}+\frac{\nabla^{2}}{2 M_{n c}}\right)+\Delta_{0}\right] \sigma+\pi_{j}^{\dagger}\left[\eta_{1}\left(i \partial_{t}+\frac{\nabla^{2}}{2 M_{n c}}\right)+\Delta_{1}\right] \pi_{j} \\
& -g_{0}\left[\sigma n^{\dagger} c^{\dagger}+\sigma^{\dagger} n c\right]-\frac{g_{1}}{2}\left[\pi_{j}^{\dagger}\left(n i \stackrel{\leftrightarrow}{\nabla}_{j} c\right)+\left(c^{\dagger} i \stackrel{\leftrightarrow}{\nabla}_{j} n^{\dagger}\right) \pi_{j}\right] \\
& -\frac{g_{1}}{2} \frac{M-m}{M_{n c}}\left[\pi_{j}^{\dagger} i \vec{\nabla}_{j}(n c)-i \overleftrightarrow{\nabla}_{j}\left(n^{\dagger} c^{\dagger}\right) \pi_{j}\right]+\ldots,
\end{aligned}
$$

- c, n: "core", "neutron" fields. c: boson, n: fermion
- $\sigma, \Pi$ : S-wave and P-wave fields
- Minimal substitution generates leading EM couplings


## Dressing the S-wave state

Kaplan, Savage, Wise; van Kolck; Gegelia; Birse, Richardson, McGovern

- onc coupling $g_{0}$ of order Rhalo, nc loop of order 1/Rnalo. Therefore need to sum all bubbles:

$$
\begin{gather*}
D_{\sigma}(p)=\frac{1}{\Delta_{0}+\eta_{0}\left[p_{0}-\mathbf{p}^{2} /\left(2 M_{n c}\right)\right]-\Sigma_{\sigma}(p)} \\
\Sigma_{\sigma}(p)=-\frac{g_{0}^{2} m_{R}}{2 \pi}\left[\mu+i \sqrt{2 m_{R}\left(p_{0}-\frac{\mathbf{p}^{2}}{2 M_{n c}}+i \eta\right)}\right] \\
t=\frac{2 \pi}{m_{R}} \frac{1}{\frac{1}{a_{0}}-\frac{1}{2} r_{0} k^{2}+i k} \tag{PDS}
\end{gather*}
$$

$D_{\sigma}(p)=\frac{2 \pi \gamma_{0}}{m_{R}^{2} g_{0}^{2}} \frac{1}{1-r_{0} \gamma_{0}} \frac{1}{p_{0}-\frac{\mathbf{p}^{2}}{2 M_{n c}}+B_{0}}+$ regular
Counting in $S$ waves: $a_{0} \sim R_{\text {halo }} \sim 1 / \gamma_{0}$; ro~R Rcore . $r_{0}=0$ at LO.

## Radii of s-wave 1 n halos

Wave function: $u(r)=C \exp \left(-\gamma_{0} r\right) \Rightarrow\left\langle r_{n c}^{2}\right\rangle^{1 / 2}=\frac{C}{2}\left(\frac{A+1}{2 A M_{N} S_{1 n}}\right)^{3 / 4}$

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|  | $\operatorname{Sin}(\mathrm{MeV})$ | $R_{\text {core }} / R_{\text {halo }}$ | $\left\langle r_{n c}{ }^{2}\right\rangle 1 / 2(\mathrm{fm})$ <br> NNLO | $\left\langle r_{\mathrm{nc}}{ }^{2}\right\rangle{ }^{1 / 2}(\mathrm{fm})$ <br> Expt |
| :--- | :---: | :---: | :---: | :---: |
| ${ }^{2} \mathrm{H}$ | $2.224573(2)$ | 0.33 | 3.954 | $3.9270(90)$ |
| ${ }^{11} \mathrm{Be}$ | $0.50164(25)$ | 0.4 | 6.16 | $5.7(4)$ |
| ${ }^{15} \mathrm{C}$ | $1.2181(8)$ | 0.45 | 4.93 | $4.5(5)$ |
| ${ }^{19} \mathrm{C}$ | $0.58(9)$ | 0.33 | 5.72 | $6.8(7)$ |

All radii are substantially smaller at LO: range corrections are crucial to obtaining agreement with experiment

## Photodissociation: experiments

- Coulomb dissociation: collide halo (peripherally?) with high-Z nucleus
- Do with different Z, different nuclear sizes, different energies to test systematics
- C.f. trimer photoassociation



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Bazak, Liverts \& Barnea, PRL (2012), PRA (2013), Bazak \& Barnea, arXiv:1502.07119

- Coulomb excitation dissociation cross section (p.v. b»Rtarget)

$$
\frac{d \sigma_{C}}{2 \pi b d b}=\sum_{\pi L} \int \frac{d E_{\gamma}}{E_{\gamma}} n_{\pi L}\left(E_{\gamma}, b\right) \sigma_{\gamma}^{\pi L}\left(E_{\gamma}\right)
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- $n_{\pi L}\left(E_{\gamma}, b\right)$ virtual photon numbers, dependent only on kinematic factors. Number of equivalent (virtual) photons that strike the halo nucleus.
- $\sigma_{\gamma}^{\pi L}\left(E_{\gamma}\right)$ can then be extracted: it's the (total) cross section for dissociation of the nucleus due to the impact of photons of multipolarity $\pi \mathrm{L}$.


## Universal dissociation

- Leading order: no $\mathrm{FSI}, r_{0}=0 \Rightarrow \gamma_{0}$ is only free parameter

$$
\gamma_{0}{ }^{2}=2 m_{R} S_{1 n} \quad \mathcal{M}=\frac{e Q_{c} g_{0} 2 m_{R}}{\gamma_{0}^{2}+\left(\mathbf{p}^{\prime}-\frac{m}{M_{n c}} \mathbf{k}\right)^{2}}
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Up to NNLO: $\frac{d B(E 1)}{e^{2} d E}=\frac{12 m_{R}}{\pi^{2}} Z_{e f f}^{2} \frac{\gamma_{0}}{1-r_{0} \gamma_{0}} \frac{p^{3}}{\left(\gamma_{0}^{2}+p^{2}\right)^{4}}$


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Universal EI strength formula for 2B systems

- Corresponds to $u_{0}(r)=C \exp \left(-\gamma_{0} r\right): C^{2}=\frac{2 \gamma_{0}}{1-r_{0} \gamma_{0}}$
- Final-state interactions suppressed by $\left(\mathrm{R}_{\text {core }} / \mathrm{R}_{\text {halo }}\right)^{3}$
- First gauge-invariant contact operator: $L_{E 1} \sigma^{\dagger} \mathbf{E} \cdot(n \stackrel{\leftrightarrow}{\nabla} c)+$ h.c.


## Results

- Integrate this E1 strength for transition to a core + neutron state, per unit energy per unit solid angle, as function of energy of the outgoing nc pair over differential photon numbers and over angle.




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$\gamma_{0} \equiv$ a determines peak position and fall off of angular distribution
- $r_{0}$ fixed from fitting height of peak


## Results

- Integrate this E1 strength for transition to a core + neutron state, per unit energy per unit solid angle, as function of energy of the outgoing nc pair over differential photon numbers and over angle.



$$
\begin{aligned}
a & =(7.75 \pm 0.35(\text { stat. }) \pm 0.3(\mathrm{EFT})) \mathrm{fm} \\
r_{0} & =\left(2.6_{-0.9}^{+0.6}(\text { stat. }) \pm 0.1(\text { EFT })\right) \mathrm{fm}
\end{aligned}
$$

Determine S-wave ${ }^{18} \mathrm{C}-\mathrm{n}$ scattering parameters $\Leftrightarrow$ ANCs from dissociation data.

## P-waves: $\gamma_{\mathrm{E} 1}+{ }^{11} \mathrm{Be} \rightarrow{ }^{10} \mathrm{Be}+\mathrm{n}$

Typel \& Baur, Phys. Rev. Lett. 93, 142502 (2004); Nucl. Phys. A759, 247 (2005); Eur. Phys. J. A 38, 355 (2008)

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- FSI in spin-1/2 channel: stronger, but "kinematic" nature of Pwave state means it's perturbative away from resonance.

Bertulani, Hammer, van Kolck (2002); Bedaque, Hammer, van Kolck (2003)


LO


NLO

- Need $\gamma_{1}$ and $r_{1} \equiv A_{1}$ at NLO in this observable. Coulomb dissociation of ${ }^{11} \mathrm{Be}$


## How-to: three-body system

$$
\begin{array}{r}
-\frac{\hbar^{2}}{2 m_{1}} \nabla_{1}^{2} \Psi-\frac{\hbar^{2}}{2 m_{2}} \nabla_{2}^{2} \Psi-\frac{\hbar^{2}}{2 m_{3}} \nabla_{3}^{2} \Psi+\sum_{i<j} V_{i j}\left(\mathbf{r}_{i j}\right) \Psi\left(\mathbf{r}_{i j}, \mathbf{r}_{i j, k}\right) \\
+V_{123}\left(\mathbf{r}_{i j}, \mathbf{r}_{i j, k}\right) \Psi\left(\mathbf{r}_{i j}, \mathbf{r}_{i j, k}\right)=E \Psi\left(\mathbf{r}_{i j}, \mathbf{r}_{i j, k}\right)
\end{array}
$$

- Remember: most of $\Psi$ occurs outside range of V's
- Construct two-body and three-body potentials as limiting sequence of functions: you can take whatever's easiest to solve!
- Strength of $\mathrm{V}_{\mathrm{ij}}$ set to a , strength of $\mathrm{V}_{\mathrm{ij}}$ set to lowest 3B binding energy
- Favorite solution method
- Perturbative evaluation of R/a (or kR) corrections: EFT expansion


## Universal three-body relations

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- Energies of two states: $B_{n+1}=e^{-2 \pi / s_{0}} B_{n}$
- Features on the Efimov plot: $a_{0, n}=-0.210 a_{-, n}$


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- Radii: $\left\langle r_{0}^{2}\right\rangle_{\mathrm{pt}} m B=f(a \sqrt{2 m B}) \xrightarrow{|a| \rightarrow \infty} \frac{\left(1+s_{0}\right)^{2}}{9} \approx 0.224$


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+ range corrections if necessary
"Semi-universal relations"
E.g. Ji, Phillips, Platter,

Ann. Phys. (2012)

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+ range corrections if necessary
"Semi-universal relations" Ann. Phys. (2012)
- Unification via universality: in what ways are all halo nuclei similar?
- Diagnosing via universality: determine unmeasured properties of halo nuclei through universal relationships


## Equations for s-wave $2 n$ halo

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- Core-n and n-n contact interactions at leading order: solve 3B problem


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- Output: everything. Up to Rcore/Rhalo corrections.


## Matter radii of 2 n s-wave halos



Canham, Hammer (2008)

- One-body form factors:

$$
\mathcal{F}_{x}\left(k^{2}\right)=\int_{0}^{\infty} \mathrm{d} p p^{2} \int_{0}^{\infty} \mathrm{d} q q^{2} \int_{-1}^{1} \mathrm{~d}(\hat{q} \cdot \hat{k}) \Psi_{x}(p, q) \Psi_{x}(p,|\vec{q}-\vec{k}|) .
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- Radii: $\mathcal{F}_{x}\left(k^{2}\right)=1-\frac{1}{6}\left\langle r_{x}^{2}\right\rangle k^{2}+O\left(k^{4}\right)$
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- Define: $f\left(\frac{E_{n n}}{B}, \frac{E_{n c}}{B} ; A\right) \equiv m B\left\langle r_{0}^{2}\right\rangle$
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## LO results for radii of $2 n$ halos

Canham, Hammer (2011); Hagen, Platter, Hammer (2014); Acharya, Ji, Phillips (2013)
Are these systems "universal enough"?

|  | $\mathrm{Enc}_{\text {c }}(\mathrm{MeV})$ | $\mathrm{S}_{2 \mathrm{n}}(\mathrm{MeV})$ | $R_{\text {core }} / R_{\text {nald }}$ | $\begin{aligned} & \left\langle\mathrm{ra}_{2}>\left(\mathrm{fm}^{2}\right)\right. \\ & \mathrm{LO} \end{aligned}$ | $\mid\left\langle r_{0}{ }^{2}\right\rangle\left(\mathrm{fm}^{2}\right)$ <br> Expt |
| :---: | :---: | :---: | :---: | :---: | :---: |
| "Li | -0.026(13) | 0.3693(6) | 0.37 | $5.76 \pm 2.13$ | $5.34 \pm 0.15$ |
| ${ }^{14} \mathrm{Be}$ | -0.510 | 1.27(13) | 0.78 | $1.23 \pm 0.96$ | $\begin{aligned} & 4.24 \pm 2.42 \\ & 2.90 \pm 2.25 \end{aligned}$ |
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Errors tend to be dominated by EFT uncertainty $\Rightarrow$ need ranges to become more accurate

## Application to ${ }^{22} \mathrm{C}$

- Include finite size of ${ }^{20} \mathrm{C}$
- Consider uncertainty due to NLO effects:

Relative size $\sim$ largest of $\left(m E_{n n}\right)^{1 / 2} R_{\text {core }} ;\left(2 m E_{n c}\right)^{1 / 2} R_{\text {core }} ;(2 m B)^{1 / 2} R_{\text {core }}$

cf. Yamashita et al. (2011);
Fortune \& Sherr (2012)

## Next-to-leading order



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- Insert corrections $\sim r$ perturbatively in $n p$ and $n n$ sub-amplitudes



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- Need to know ranges for ${ }^{11} \mathrm{Li},{ }^{14} \mathrm{Be},{ }^{22} \mathrm{C}$; estimates mostly move EFT prediction closer to data.


## Photodissociation of trimers

- Look at E1 dissociation of Borromean core-neutron-neutron system into three particles
- Go to unitary limit $E_{n n}=E_{n c}=0$. Only scales are $B$ and energy of outgoing particles


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## Conclusion

- Universality: quantum few-body systems with R<<lal that differ in scale by orders of magnitude exhibit the same correlations

Correlations between three- and four-body systems...and beyond

- Short-range EFT: expand observables in r/a, kr
- Two-body: compute matter radii, photodissociation cross sections
- Three-body: halo radii in terms of B, $\mathrm{E}_{\mathrm{nc}}, \mathrm{Enn}_{\mathrm{nn}}:{ }^{3} \mathrm{H}(\mathrm{NNLO}),{ }^{22} \mathrm{C}$ (LO)
- Photodissociation (aka Coulomb excitation): do halo nuclei approach the E1 response of universal trimers?
- Range effects are sizable: do such systems still exhibit universality?
- p-waves are another (controllable) source of universality violation

Backup slides: Efimov effect

## Efimov effect

Consider three-body problem in limit $R \rightarrow 0$, $|a| \rightarrow \infty$


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$$
\tan a=r_{3,12} / r_{12}
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3B Schrödinger equation:

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- Energy of lowest state set by short-distance dynamics $\frac{\hbar^{2}}{m R^{2}}$


## The Efimov spectrum



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Efimov, Yad. Fiz., 1970
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- 1/a=0: infinite set of bound states, related by $\mathrm{K}_{\mathrm{n}+1}=\mathrm{K}_{\mathrm{n}} e^{\pi / s_{0}}=\mathrm{K}_{\mathrm{n}}(22.7)$



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- Correlations between different recombination features on an Efimov branch (or different branches): universal relations


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- Efimov states in the continuum?

Grigorenko \& Zhukov, arXiv:1503.03186


## Backup slides: NLO and NNLO in three-body systems

## Perturbation theory at NLO

Hammer, Mehen (2001); Ji, Phillips, Platter (2009, 2010)
Insert $\mathrm{t}_{1}{ }^{2 B}$ in first-order perturbation theory between LO wfs


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No new 3B datum needed at NLO at fixed a.

## Corrections to universality



Platter, Phillips (2006)

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## He-4 trimers at NLO

Platter, Phillips (2006); Ji, Phillips (2012)

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Experimentally: $a=104^{+8}-18 \AA ; B_{d}=1.1^{+0.3}-0.2 \mathrm{mK} \Rightarrow r \sim 10 \AA$, and trimer observed, but no measurement of $B_{t}$ or $a_{a d}$

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## Semi-universal relations in ${ }^{7} \mathrm{Li}$



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Minima and maxima at: $\mathrm{a}_{0}=1160$ ав; $\mathrm{a}^{(-)}=-264$ ав

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Grosset al. (2012) Experiment: $\mathrm{a}^{*}=(196 \pm 4) \mathrm{aB}$

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Experiment: $\mathrm{a}^{*}=(426 \pm 20) \mathrm{a} \mathrm{B}$

## N2LO results using STM equation

Bedaque, Greisshammer, Hammer, Rupak (2002)
E Insert $\mathrm{t}_{0}{ }^{2 \mathrm{~B}}+\mathrm{t}_{1}{ }^{2 \mathrm{~B}}+\mathrm{t}_{2}{ }^{2 \mathrm{~B}}$ in STM eqn, solve to get $\mathrm{t}_{0}+\mathrm{t}_{1}+\mathrm{t}_{2}$
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Just six numbers...


- Alternative: replace $-\frac{1}{a} \rightarrow-\frac{1}{a}+\frac{1}{2} r k^{2}$ in $\mathrm{t}^{2 \mathrm{~B}}$, solve integral equation. Caution required!


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## Results at N2LO



Phase shifts predicted to better than $0.2 \%$ at $\mathrm{N}^{2} \mathrm{LO}$
For application to n-d system see: Bedaque, Greisshammer, Hammer, Rupak (2002)

