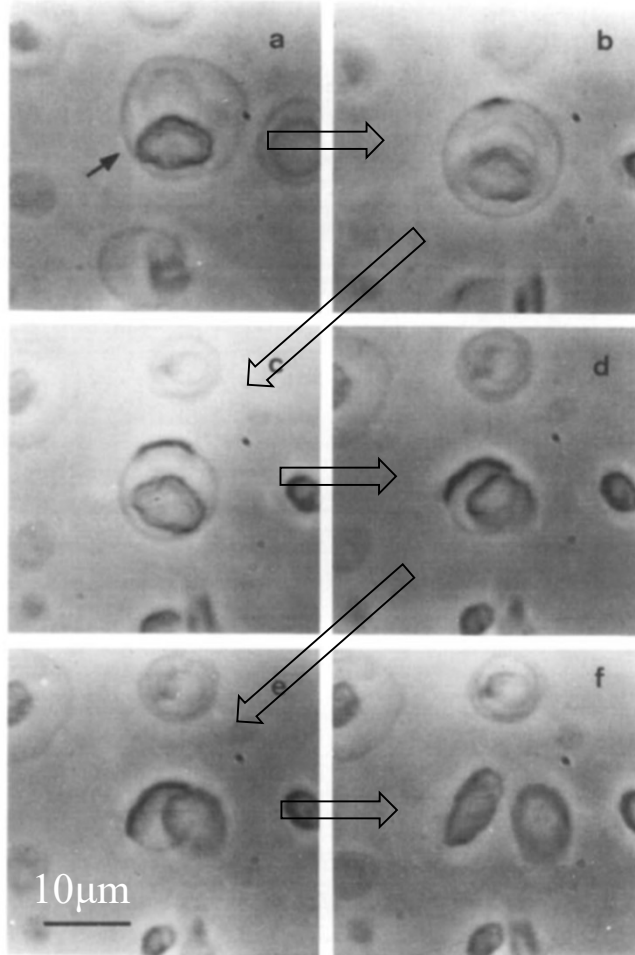




# What is the physics of thin flexible sheets with a shear modulus?

## Example 1: partially polymerized diacetylenic phospholipid vesicles

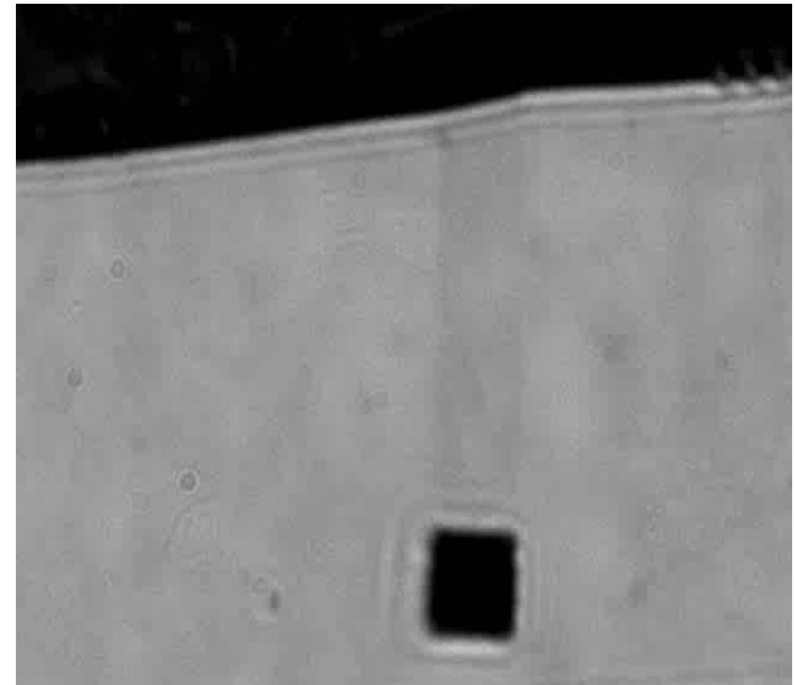
After UV polymerization, reversible wrinkling transition at 18°C obtained by cooling from 43°C. This vesicle contains additional liposomes including an already wrinkled one. time ~30s



*D. Bensimon et al. Physica A194, 190 (1993)*

## Example 2: Graphene!

*M. Bles, P. McEuen et al., Nature 524, 204 (2015)*



# Statistical Mechanics of Sheets, Shells and Cylinders

## Statistical mechanics of thin plates

- nonlinear bending and stretching energies
- $\nu K = \text{Föppl-von Karman number} = YR^2/\kappa \gg 1$
- strongly scale-dependent elastic parameters

## The physics of dilations in fluctuating sheets

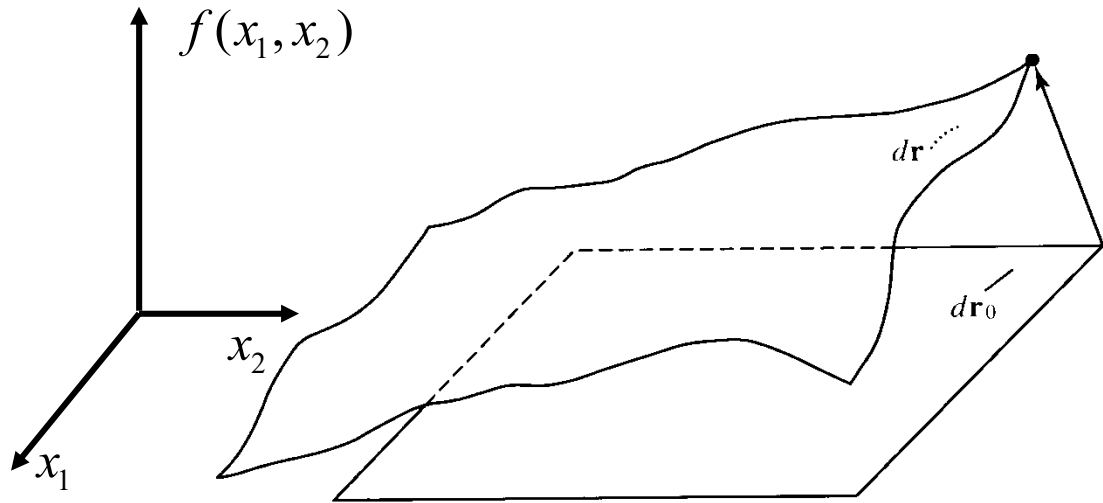
“dilations” are localized regions of positive or negative Gaussian curvature. These mechanical analogs of Ising spins, can order in a fluctuating background of flexural phonons

*Abby Plummer  
Paul Hanakata*

Curvature matters: thermally excited spherical shells & cylinders differ from flat sheets!

*Jayson Paulose  
Andrej Kosmrlj*

# Thin plate theory: the Foepppl-von Karman equations (1904)



$$\vec{r}(x_1, x_2) = \vec{r}_0 + \begin{pmatrix} f(x_1, x_2) \\ u_1(x_1, x_2) \\ u_2(x_1, x_2) \end{pmatrix}$$

$$dr^2 = dr_0^2 + 2u_{ij}dx_i dx_j$$

$$u_{ij}(\vec{x}) = \frac{1}{2} \left[ \frac{\partial u_i(\vec{x})}{\partial x_j} + \frac{\partial u_j(\vec{x})}{\partial x_i} + \frac{\partial f(\vec{x})}{\partial x_i} \frac{\partial f(\vec{x})}{\partial x_j} \right]$$

$$E = \frac{1}{2} \int d^2x \left[ \underbrace{\kappa(\nabla^2 f(\vec{x}))^2}_{\text{bending energy}} + \underbrace{2\mu u_{ij}^2(\vec{x}) + \lambda u_{kk}^2(\vec{x})}_{\text{stretching energy}} \right]$$

*bending  
energy*

*stretching  
energy*

$\kappa$  = bending rigidity

$\mu$  = shear modulus

$\mu + \lambda$  = bulk modulus

**Flexural phonons create a matrix “vector potential” and can escape softly into the 3<sup>rd</sup> dimension...**

## Statistical mechanics: thermally excited membranes (*L. Peliti & drn*)

Tracing out in-plane phonon degrees of freedom yields a massless nonlinear field theory at a critical point

$$F_{\text{eff}} = -k_B T \ln \left( \int D\{u_x(x, y)\} \int D\{u_y(x, y)\} e^{-E/k_B T} \right) \quad Y = \frac{4\mu(\mu + \lambda)}{2\mu + \lambda} = \text{Young's modulus}$$

$$F_{\text{eff}} = \frac{1}{2} \kappa \int d^2x [(\nabla^2 f)^2] + \frac{1}{4} Y \int d^2x [P_{ij}^T (\partial_i f \partial_j f)]^2 \equiv F_0 + F_1; \quad P_{ij}^T = \delta_{ij} - \frac{\partial_i \partial_j}{\nabla^2}$$

Assume  $k_B T / \kappa \ll 1$ , and do low temperature perturbation theory...

$$\kappa_R q^4 = \kappa q^4 + \text{[one-loop diagram]} + \text{[two-loop diagram]} + \dots$$

$$\kappa_R(q) = \kappa + \frac{k_B T Y}{8} \int \frac{d^2k}{(2\pi)^2} \frac{[\hat{q}_i P_{ij}^T(\vec{k}) \hat{q}_j]^2}{\kappa |\vec{q} + \vec{k}|^4} + \dots$$

$$vK = YL^2 / \kappa \sim (L/h)^2 \gg 1$$

$L$  = membrane size

$h$  = membrane thickness

$$\lim_{q \rightarrow 0} \kappa_R(q) \approx \kappa [1 + 3(vK) k_B T / (32\pi^3 \kappa) + \dots]$$

**Self-consistent bending rigidity,  $\kappa_R(q) \sim 1/q$ , diverges as  $q \rightarrow 0$ !**

# Renormalization Group for Thermally Excited Sheets (example of “self-organized criticality”)

L. Peliti & drn (~1987)  
 J. Aronovitz and T. Lubenksy (~1988)  
 P. Le Doussal and L. Radzihovsky  
 1992 & Ann. Phys. 39, 340 (2018)

$$E = \frac{1}{2} \int d^2x [\kappa (\nabla^2 f(\vec{x}))^2 + 2\mu u_{ij}^2(\vec{x}) + \lambda u_{kk}^2(\vec{x})]$$

$$u_{ij}(\vec{x}) = \frac{1}{2} \left[ \frac{\partial u_i(\vec{x})}{\partial x_j} + \frac{\partial u_j(\vec{x})}{\partial x_i} + \frac{\partial f(\vec{x})}{\partial x_i} \frac{\partial f(\vec{x})}{\partial x_j} \right]$$

$$Z = \int \mathcal{D}\vec{u}(x_1, x_2) \int \mathcal{D}f(x_1, x_2) \exp(-E / k_B T)$$

$$\kappa_R(l) \approx \kappa (l / l_{th})^\eta$$

$$Y_R(l) \approx Y (l_{th} / l)^{\eta_u}$$

$$\eta \approx 0.82, \quad \eta_u \approx 0.36$$

Thermal fluctuations  
 dominate whenever  $L > l_{th}$

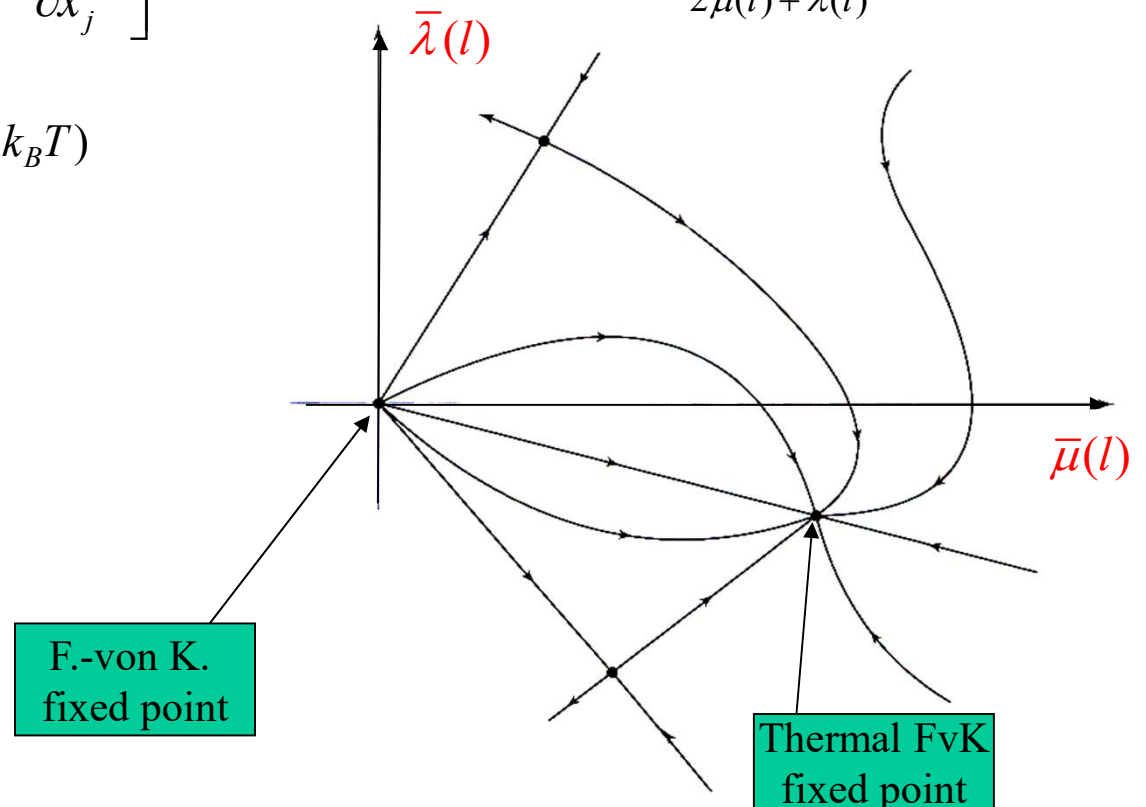
$$l_{th} \approx \sqrt{\kappa^2 / (k_B T Y)}$$

define running coupling constants...

$$\bar{\mu}(l) = k_B T \mu a_0^2 / \kappa^2; \quad \bar{\lambda}(l) = k_B T \lambda a_0^2 / \kappa^2$$

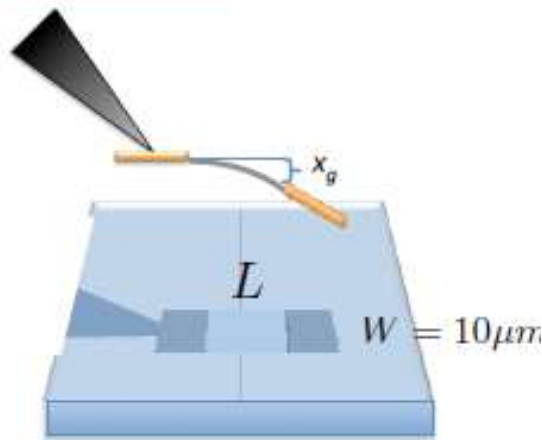
scale dependent Young's modulus

$$Y(l) = \frac{4\mu(l)[\mu(l) + \lambda(l)]}{2\mu(l) + \lambda(l)}$$

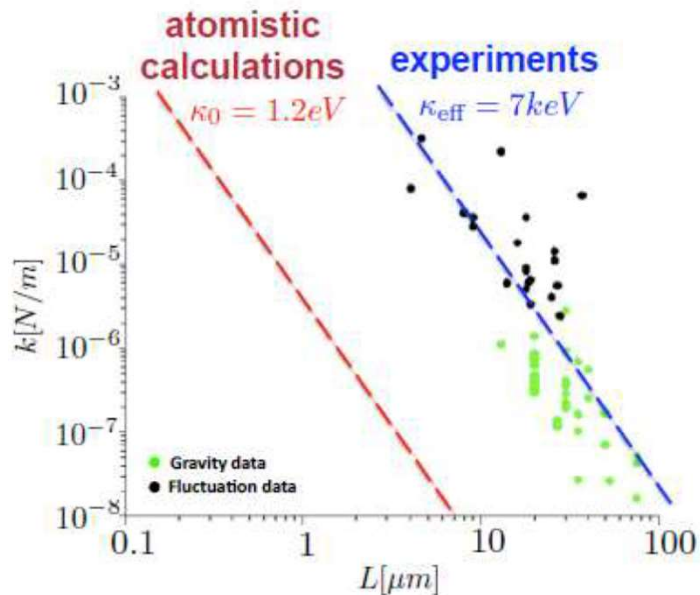


# Measured Bending rigidity of graphene membranes

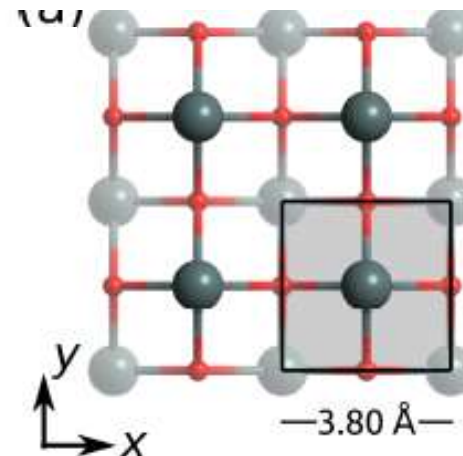
M. Blees et al., *Nature* 524, 204 (2015);  $T = 300^\circ\text{K}$



Bending rigidity enhanced  
 $\sim 4000$  fold; agrees with  
 $\kappa_R(l) \approx \kappa(W/l_{th})^{0.8}$   
( $l_{th} \sim 0.2\text{nm}$  for graphene)  
Scale-dependent bending  
rigidity!

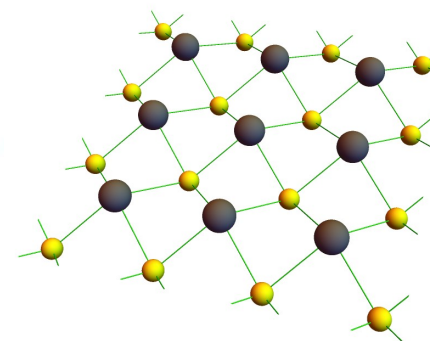


What about more complex  
“metamaterials”



**Tin  
oxide  
SnO  
“AFM”**

Seixas, et al.,  
*PRL*, **116**  
(2016): 206803



**Lead  
Sulfide  
PbS  
“FM”**

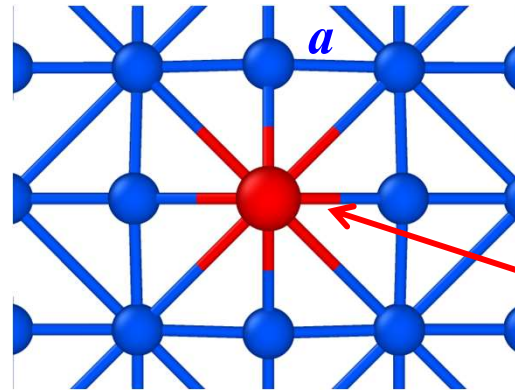
Hanakata, et al.  
*PR B* **96** (2017):  
161401

# Dilational impurities hosted on a square lattice

$$E = \frac{k}{2} \sum_{\langle i,j \rangle} \left| |\mathbf{r}_i - \mathbf{r}_j| - a_{ij} \right|^2 -$$

$$+ \kappa \sum_{\alpha, \beta} (1 - \mathbf{n}_\alpha \cdot \mathbf{n}_\beta)$$

$(n_\alpha$  and  $n_\beta$  are normals to neighboring triangular plaquettes)



Abigail Plummer, & drn, Phys. Rev E102, 033002 (2020)

$a(1+\delta)$

Finite temperature simulations by Paul Hanakata

**Regular nodes** - Equilibrium bond length  $r_e = a$

**Impurities** - Equilibrium bond length  $r_e = a_{ij}(1+\delta)$

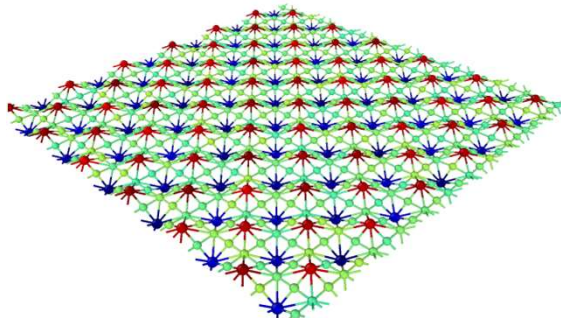
**Spacing** between impurities  $2a$

$$\gamma = \frac{Y\Omega_0}{\kappa} = \text{local vK number}$$

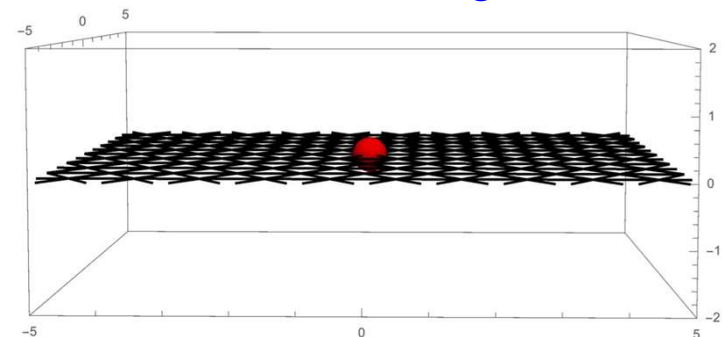
$$\Omega_0 = 4a^2 \delta = \text{area of dilation}$$

$\delta < 0 \leftrightarrow$  'stitch', negative dilation

## AFM ground state at *zero* temperature

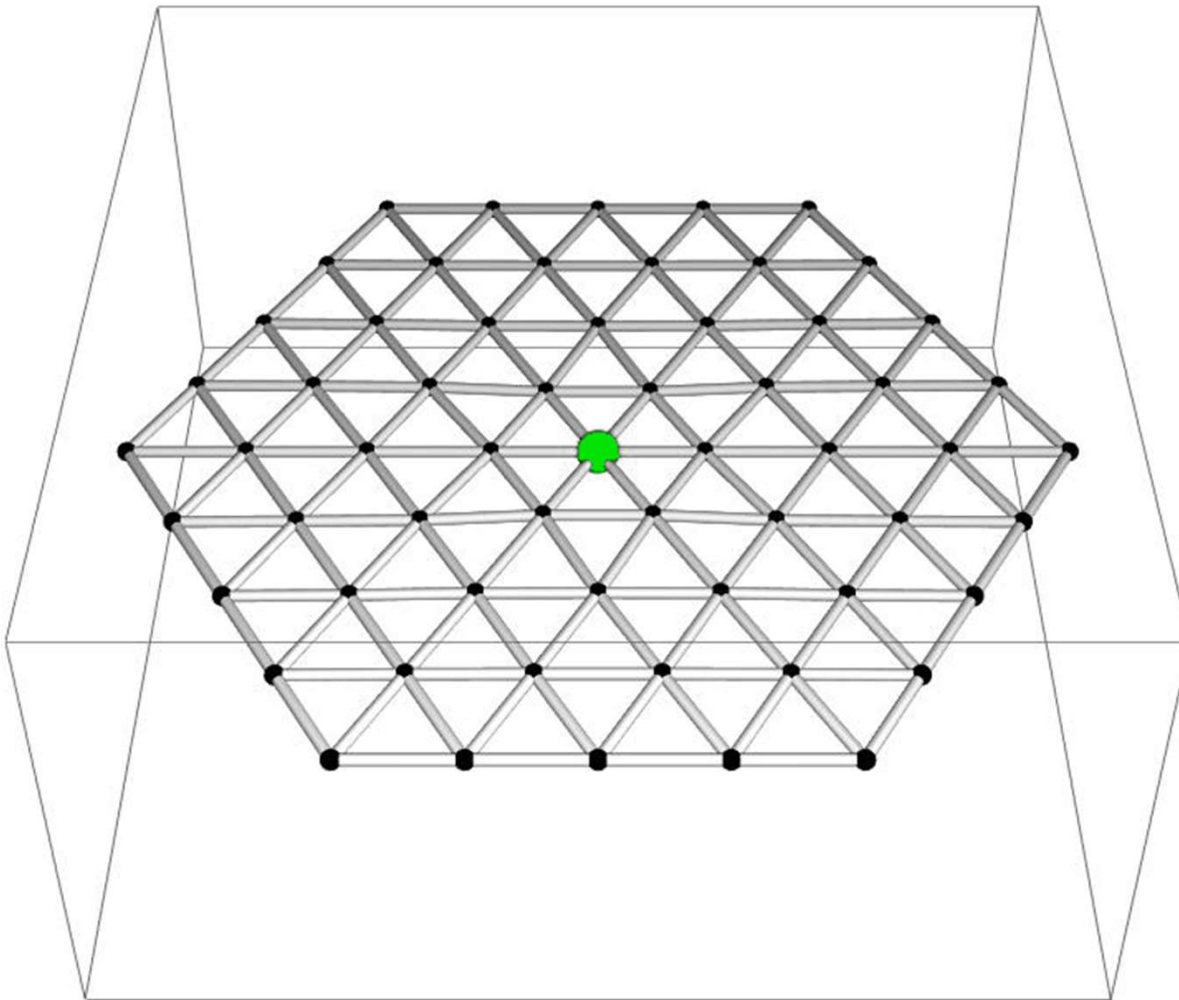


- Mechanical analog of an Ising antiferromagnet....

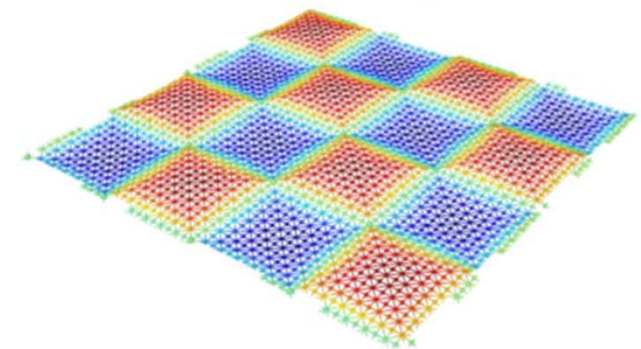


Increasing  $\gamma \rightarrow$  mechanical analog of an Ising spin

# Positive and negative dilations distort the host lattice in different ways



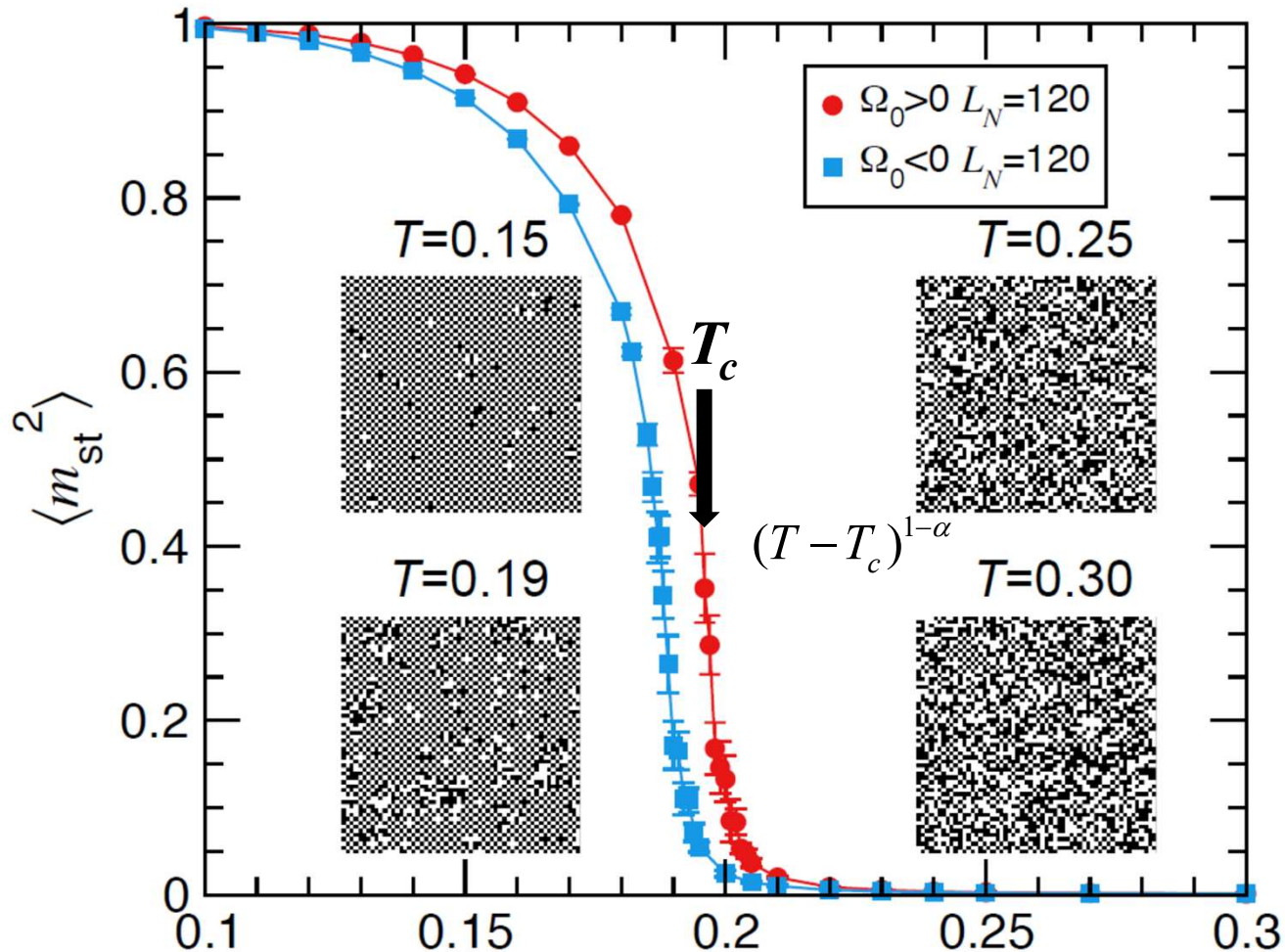
(0,12) arrays



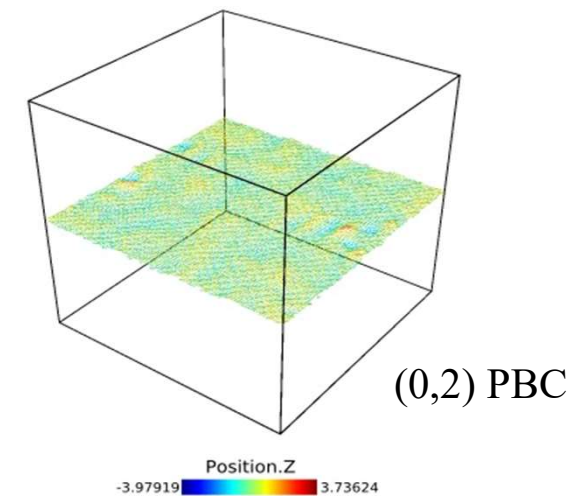
Position.Z  
-0.8 0.8



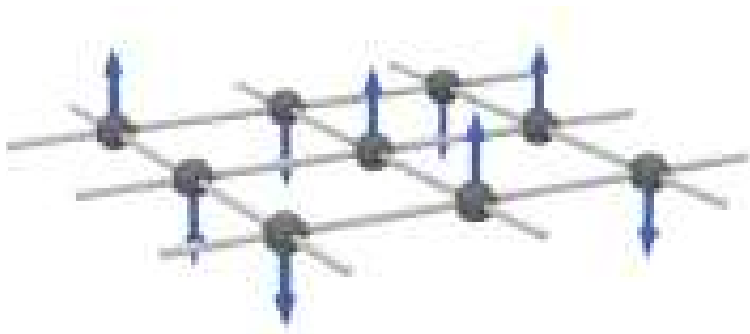
# Both positive and negative dilation lattices exhibit an “antiferromagnetic” phase transition



Paul Hanakata &  
Abby Plummer



An 'antiferromagnetic' phase transition in these mechanical analogs of Ising spins occurs at  $k_B T_c \approx 0.2\kappa$  ( $T_c = 800^\circ\text{K}$ ), riding on a fluctuating background of flexural phonons

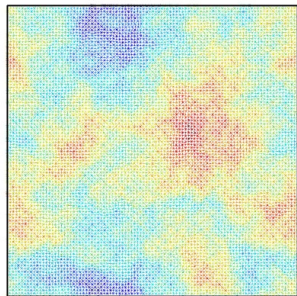
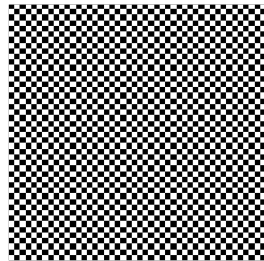
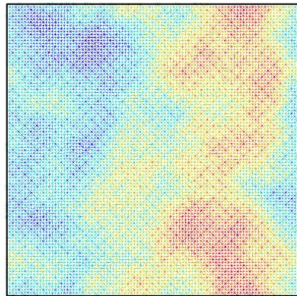


*Couple the local squared staggered magnetization  $m_{st}(\vec{x})$  to the strain field...*

**Staggered magnetization:**

Top view

“Spins”



$$M_s = \sum_i^{N_I} (-1)^{(x_i+y_i)} S_i$$

$$H = H_{elastic} + H_{Ising} + H_{coupling}$$

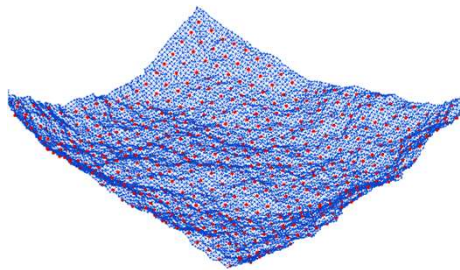
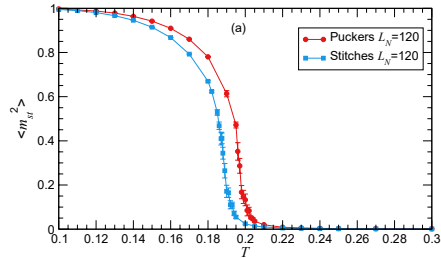
$$H_{elastic} = \frac{1}{2} \int d^2x [\kappa(\nabla^2 f(\vec{x}))^2 + 2\mu u_{ij}^2(\vec{x}) + \lambda u_{kk}^2(\vec{x})]$$

$$u_{ij}(\vec{x}) = \frac{1}{2} \left[ \frac{\partial u_i(\vec{x})}{\partial x_j} + \frac{\partial u_j(\vec{x})}{\partial x_i} + \frac{\partial f(\vec{x})}{\partial x_i} \frac{\partial f(\vec{x})}{\partial x_j} \right]$$

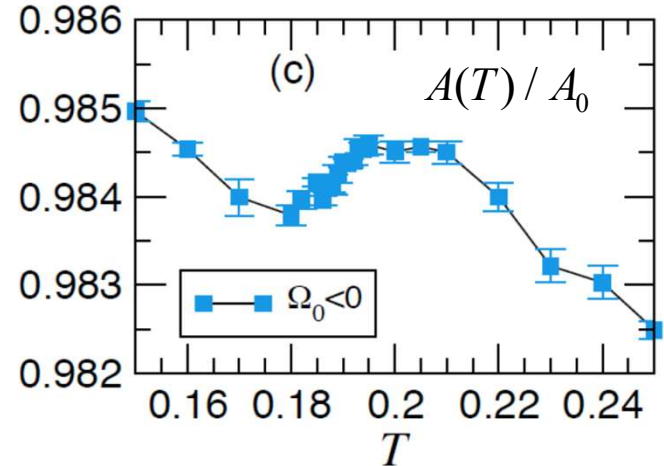
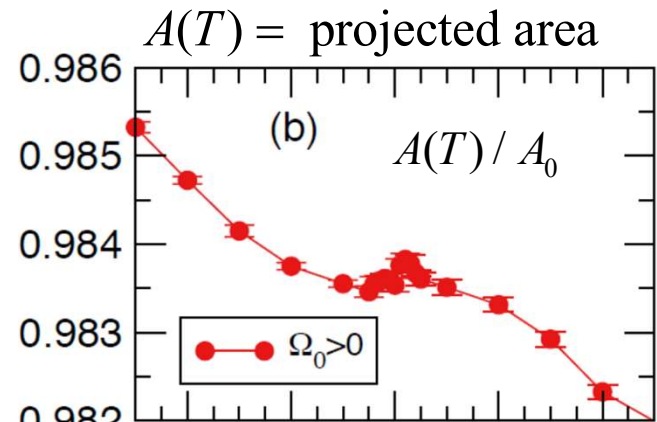
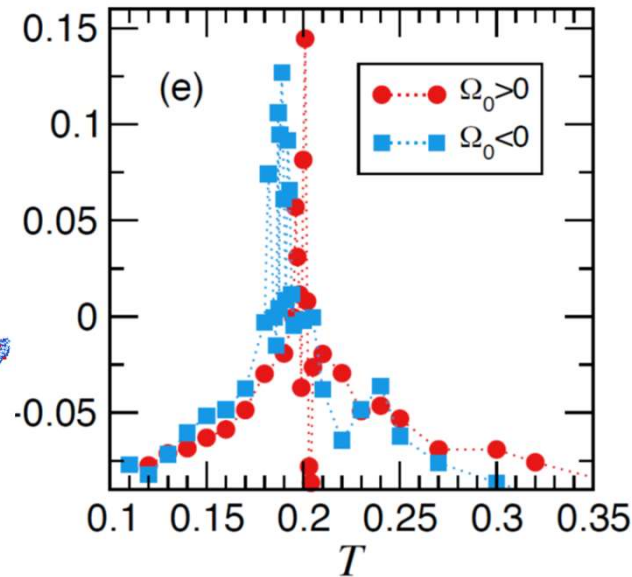
$$H_{Ising} = \int d^2x \left[ \frac{1}{2} |\vec{\nabla} m_{st}|^2 + \frac{1}{2} r m_{st}^2 + u m_{st}^4 \right], \quad r = T - T_c^0$$

$$H_{coupling} = g \int d^2x m_{st}^2(\vec{x}) u_{kk}(\vec{x}), \quad g > 0$$

# Anomalous thermal expansion when dilations disorder



$$\alpha_T = \frac{1}{A_0} \frac{dA(T)}{dT} = \text{coeff. of thermal exp.}$$



→ the disordering of the AFM dilations near  $T_c$  causes the membrane to swell.



$$A(T)/A_0 = \underbrace{-\frac{1}{2} \sum_q q^2 \langle |f(q)|^2 \rangle}_{\text{shrinkage}} - \underbrace{\frac{g}{\mu + \lambda} \langle m_{st}^2 \rangle}_{\text{expansion}}$$

Trace out the in-plane phonons to get an Ising order parameter coupled to the flexural phonons

$$\begin{aligned}
 H_{eff} = & \frac{1}{2} \kappa \int d^2 x [(\nabla^2 f)^2] + \frac{1}{8} Y \int d^2 x [P_{ij}^T (\partial_i f \partial_j f)]^2 \quad (\text{flexural phonons}) \\
 & + \int d^2 x \left[ \frac{1}{2} |\vec{\nabla} m_{st}|^2 + \frac{1}{2} r m_{st}^2 + \tilde{u} m_{st}^4 \right] + \frac{v}{A} \left( \int d^2 x m_{st}^2 \right)^2 \quad (\text{2d ising model}) \\
 & + w \int d^2 x m_{st}^2 P_{ij}^T (\partial_i f \partial_j f) \quad (\text{cross coupling})
 \end{aligned}$$

$$Y = \frac{4\mu(\mu + \lambda)}{2\mu + \lambda} \quad \tilde{u} = u - \frac{g^2}{2(2\mu + \lambda)} \quad v = \frac{-g^2 \mu}{2(\mu + \lambda)(2\mu + \lambda)} \quad w = \frac{g\mu}{2\mu + \lambda}$$

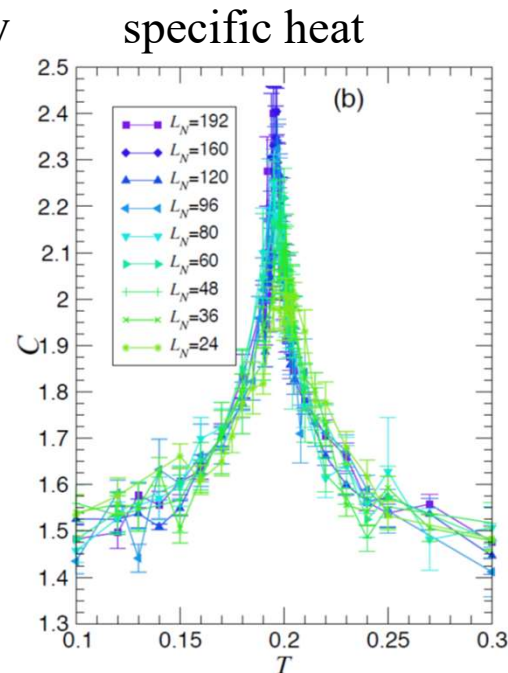
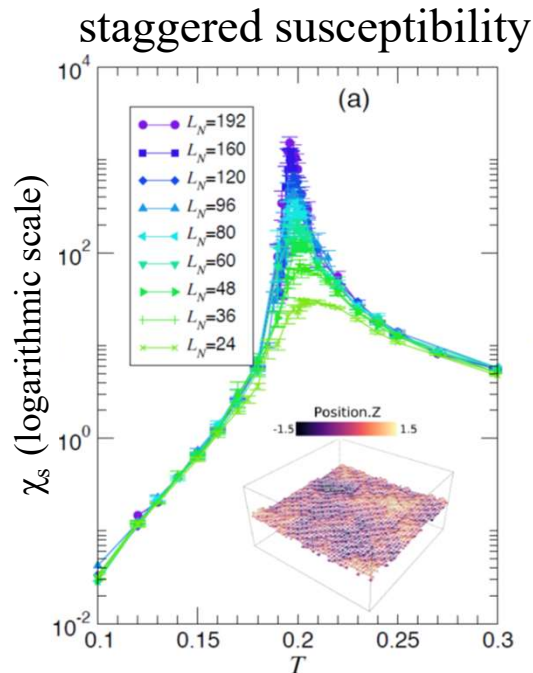
The term  $w \int d^2 x m_{st}^2 P_{ij}^T (\partial_i f \partial_j f)$  produces a long range interaction between

$m_{st}^2(\vec{x})$  and the local Gaussian curvature  $G(\vec{x}) = \det \left( \frac{\partial^2 f}{\partial x_i \partial x_j} \right)$  of the flexural phonons

$$w \int d^2 x m_{st}^2 P_{ij}^T (\partial_i f \partial_j f) = w \int d^2 x \int d^2 x' m_{st}^2(\vec{x}) \ln |\vec{x} - \vec{x}'| G(\vec{x}'), \quad G(\vec{x}') = \det \left( \frac{\partial^2 f(\vec{x}')}{\partial x'_i \partial x'_j} \right)$$

$$dw(\ell) / d\ell \approx \frac{19}{20} w(\ell) \quad (w \text{ strongly perturbs Onsager's 2d Ising solution!})$$

# The Ising ordering of dilations coupled to flexural phonons *may* be in a new universality class...



|                                  |          |
|----------------------------------|----------|
| flexural Ising model             | Onsager  |
| $\alpha / \nu = 0.071 \pm 0.017$ | 0(log)   |
| $\gamma / \nu = 1.712 \pm 0.062$ | 7/4=1.75 |

*Our measured critical exponents for this highly compressible Ising model coupled to flexural phonons are several standard deviation away from Onsager's famous exact solution of the incompressible Ising model in two dimensions.*

*Can anyone calculate them?*

$$\chi = \frac{1}{N_I k_B T} \langle M_s^2 \rangle - \langle |M_s| \rangle^2$$

$L =$  system size

$$\chi_{\max}(T = T_c) \propto L^{\gamma/\nu},$$

$$\gamma/\nu \approx 1.70 \pm 0.06$$

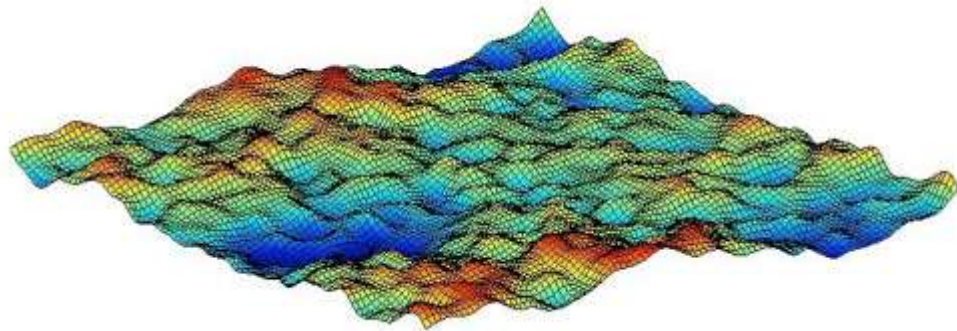
$$C_V = \frac{1}{N k_B T^2} (\langle E^2 \rangle - \langle E \rangle^2)$$

$L =$  system size

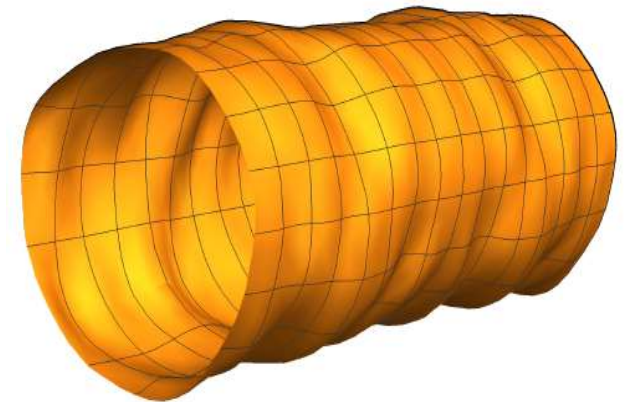
$$C_V^{\max}(T = T_c) \propto L^{\alpha/\nu},$$

$$\alpha/\nu \approx 0.07 \pm 0.02$$

Curvature matters: The statistical mechanics of spherical and cylindrical shells seem have striking differences in the themodynamic limit of large size!



≠

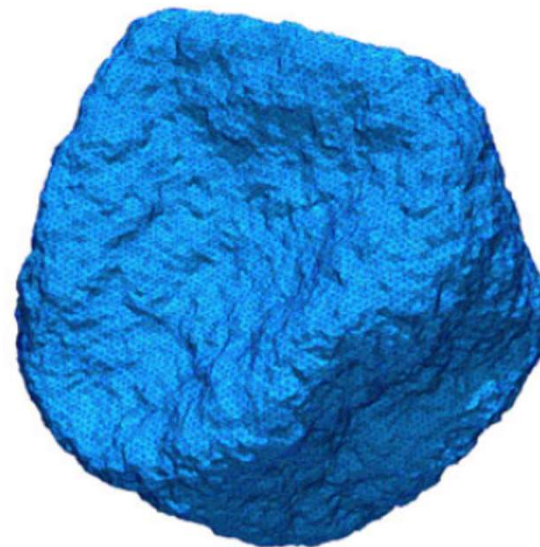


*What is is the physics of thin, fluctuating shells and cylinders?*

*Do they collapse when sufficiently large?*

*(A. Kosmrlj & drn)*

≠



S. Komura, Shigeyi and R. Lipowsky.  
"Fluctuations and stability of polymerized vesicles." *Journal de Physique II* 2, no. 8 (1992): 1563-1575,

# Statistical mechanics of thin spherical shells and spherocylinders...

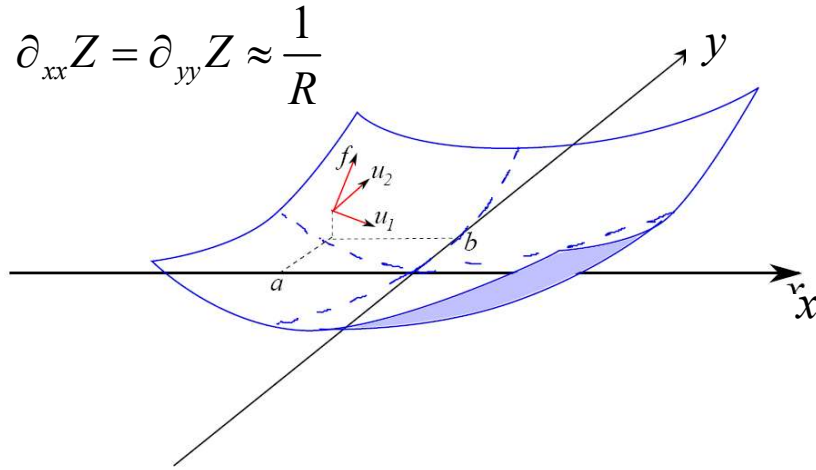


Evacuated tanker car crushed by atmospheric pressure; what happens on the nanoscale?

[https://www.youtube.com/watch?v=Zz95\\_VvTxZM](https://www.youtube.com/watch?v=Zz95_VvTxZM)

Initial shape: 
$$\begin{cases} z = Z(x, y) \\ z = \sqrt{R^2 - x^2 - y^2} \end{cases}$$

To study thermal deformations of spherical shells, we use shallow shell theory....



$$\partial_{xx}Z = \partial_{yy}Z \approx \frac{1}{R}$$

$$\begin{pmatrix} x \\ y \\ Z(x, y) \end{pmatrix} \rightarrow \begin{pmatrix} x + u_x(x, y) - f(x, y)\partial_x Z(x, y) \\ y + u_y(x, y) - f(x, y)\partial_y Z(x, y) \\ Z(x, y) + f(x, y) \end{pmatrix}$$

$$E = \frac{1}{2} \int d^2x [\kappa(\nabla^2 f(\vec{x}))^2 + 2\mu u_{ij}^2(\vec{x}) + \lambda u_{kk}^2(\vec{x})]$$

$$ds'^2 = ds^2 + 2u_{ij}dx_i dx_j$$

$$u_{ij}(\vec{x}) = \frac{1}{2} \left[ \frac{\partial u_i(\vec{x})}{\partial x_j} + \frac{\partial u_j(\vec{x})}{\partial x_i} + \frac{\partial f(\vec{x})}{\partial x_i} \frac{\partial f(\vec{x})}{\partial x_j} \right]$$

Paulose et al. PNAS **109**, 19551 (2012); Kosmrlj & drnPhysical Review X **7** 011002 (2017)

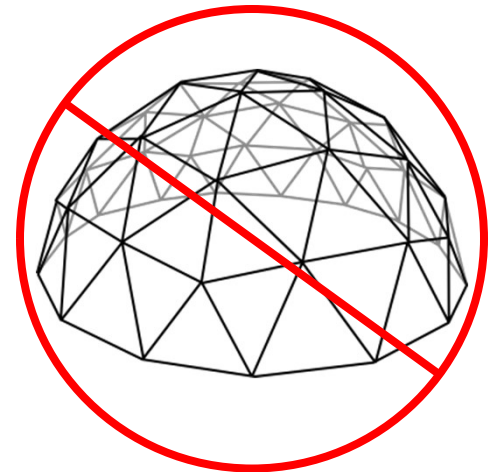
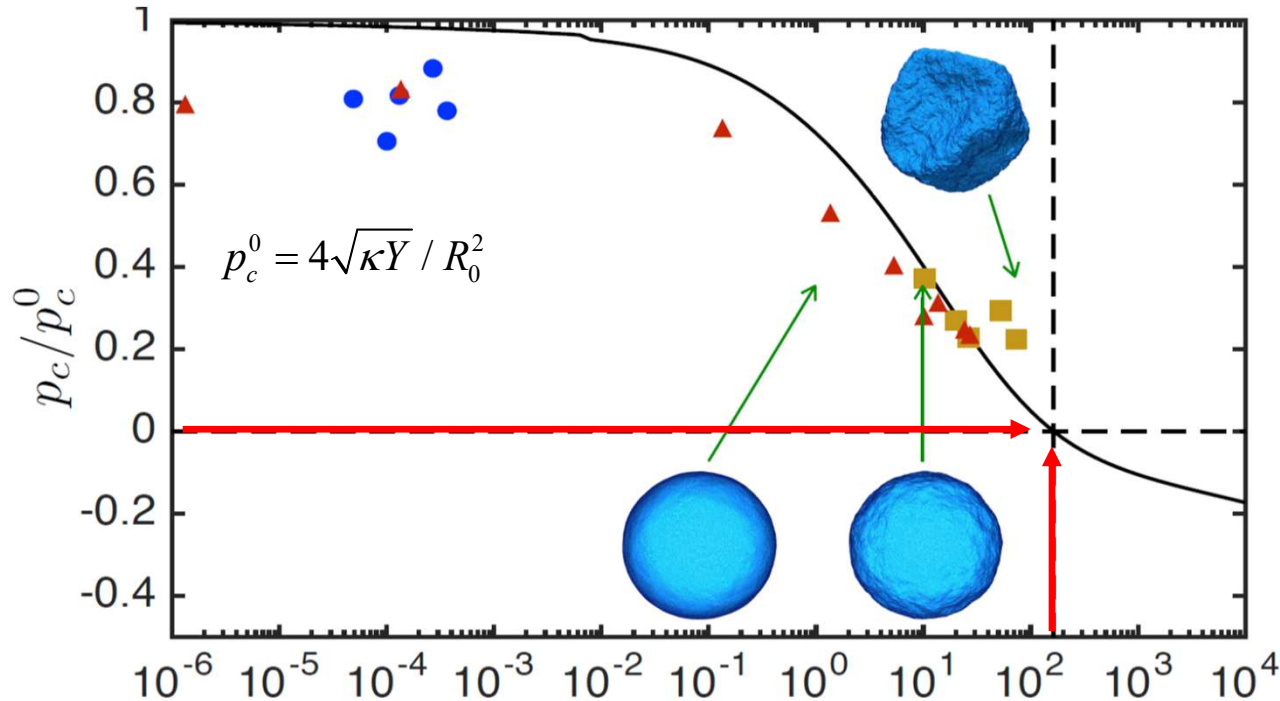


$$u_{ij}(\vec{x}) = \frac{1}{2} \left[ \frac{\partial u_i(\vec{x})}{\partial x_j} + \frac{\partial u_j(\vec{x})}{\partial x_i} + \frac{\partial f(\vec{x})}{\partial x_i} \frac{\partial f(\vec{x})}{\partial x_j} - \delta_{ij} \frac{f}{R} \right]$$



Thermalized Shells with  $\kappa_R(l) \approx \kappa(l/l_{th})^{0.8}$ ,  $Y_R(l) \approx Y(l_{th}/l)^{0.4}$

Spherical shells, even at zero microscopic pressure, are crushed when the thermally renormalized pressure exceeds the critical buckling threshold



*Amorphous domes with  $R > R_c$  will collapse*

*Sufficiently large spherical shells can be crushed by thermal fluctuations alone even when  $p=0$*

$$\frac{k_B T}{\kappa_0} \sqrt{\frac{Y_0 R_0^2}{\kappa_0}}$$

$$R_c \approx 160 \frac{\kappa}{k_B T} \sqrt{\frac{\kappa}{Y}} \sim \frac{E h^4}{k_B T}$$

*( $R_c \approx 160\text{nm}$  for graphene)*

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## Statistical mechanics of thin plates

- nonlinear bending and stretching energies
- $\nu K = \text{Föppl-von Karman number} = YR^2/\kappa \gg 1$
- strongly scale-dependent elastic parameters

**Questions?**

## The physics of dilations in fluctuating sheets

“dilations” are localized regions of positive or negative Gaussian curvature. These mechanical analogs of Ising spins, can order in a fluctuating background of flexural phonons

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