

# Higher form symmetries and large-N confinement in gauge/gravity duality

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KITP Confinement, Flux Tubes, and Large N  
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# Outline

Magical features of gravity.

Center symmetry in gauge theory/gravity.

Eguchi-Kawai mechanism reproduces magical features.

Miscellaneous lessons about Eguchi-Kawai and gravity.

# Gravity as phase of matter

Given holography, can gravity be thought of as a phase of matter?

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Landau paradigm requires existence of symmetries and pattern of symmetry breaking:

liquid  $\rightarrow$  ice

paramagnet  $\rightarrow$  ferromagnet

Bose gas  $\rightarrow$  superfluid

# Universality in holography

*Local* Einstein-like AdS/CFT dualities require special CFTs:

- ▶ Large  $N$
- ▶ Strong interactions
- ▶ CFT is almost always some sort of gauge theory
- ▶ Universality of free energy [Hartman, Keller, Stoica; Belin, de Boer, Kruthoff, Michel, ES, Shyani]
- ▶ Large dimensions for single-trace higher-spin operators [Heemskerk, Penedones, Polchinski, Sully]
- ▶ Correlators under bulk spacetime quotients obtained by method of images
- ▶ ...

Symmetry principle must require or explain some of the above.

# Black hole entropy

Black hole entropy in Einstein gravity:

$$S = \frac{A}{4G}$$

Quotient spacetime such that the horizon  $\mathcal{M}^{d-1}$  transforms:

$$\mathcal{M}^{d-1} \rightarrow \mathcal{M}^{d-1}/\Gamma \quad \Longrightarrow \quad S \rightarrow S/|\Gamma|$$

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*Not* true for non-geometric quantum corrections:

$$S \rightarrow S + \log S$$

# Correlation functions

Connected two-point function at finite size:

$$\langle O(x, \vec{y}) O(0) \rangle_{S_L^1 \times \mathcal{M}^{d-1}} = \sum_{n=-\infty}^{\infty} \langle O(x + nL, \vec{y}) O(0) \rangle_{\mathbb{R} \times \mathcal{M}^{d-1}},$$

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Entanglement entropy in e.g. holographic CFT<sub>2</sub> on  $S_\beta^1 \times S_L^1$ :

$$S_{EE} = \begin{cases} \frac{c}{3} \log \left( \frac{L}{\epsilon} \sin \frac{\pi \ell}{L} \right) & L < \beta \\ \frac{c}{3} \log \left( \frac{\beta}{\epsilon} \sinh \frac{\pi \ell}{\beta} \right) & L > \beta \end{cases}$$

## Proposal

Center symmetry and general higher-form symmetries in QFT characterize the gravitational phase through their pattern of breaking.

And they imply strings/branes.

## Center symmetry in gauge theory

$$A_\mu \rightarrow gA_\mu g^{-1} + g\partial_\mu g^{-1}, \quad g : \mathcal{M}^{d-1} \times S_\beta^1 \rightarrow SU(N)$$
$$g(x, \tau + \beta) = g(x, \tau)h \quad \text{for} \quad h \in \mathbb{Z}_N$$

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Polyakov loop transforms as

$$W(C) = \text{Tr}_F P \exp \left( \oint A_\mu dx^\mu \right) \rightarrow hW(C),$$

so  $\langle W(C) \rangle$  is an order parameter for center symmetry.

Diagnoses deconfinement transition. It is a 1-form symmetry.

# Center symmetry in gravity

In gauge-gravity duality,  $\langle W(C) \rangle$  measured by classical string worldsheet with disk topology ending on  $C$ . **Non-contractible cycle  $\implies \langle W(C) \rangle = 0$ .**

## Center symmetry in gravity

In gauge-gravity duality,  $\langle W(C) \rangle$  measured by classical string worldsheet with disk topology ending on  $C$ . **Non-contractible cycle  $\implies \langle W(C) \rangle = 0$ .**

In smooth gravitational description, **only one cycle can cap off in the bulk, so center preserved along all but one cycle.**

e.g. bulk phases of holographic  $\text{CFT}_2$  on  $S^1_\beta \times S^1_L$ :

$$ds^2 = \begin{cases} (1+r^2)d\tau^2 + \frac{dr^2}{1+r^2} + r^2 d\phi^2, & S^1_\beta \times D_L, \quad \langle W_\beta \rangle = 0, \quad \langle W_L \rangle \neq 0 \\ (r^2 - r_+^2)d\tau^2 + \frac{dr^2}{r^2 - r_+^2} + r^2 d\phi^2, & D_\beta \times S^1_L, \quad \langle W_\beta \rangle \neq 0, \quad \langle W_L \rangle = 0 \end{cases}$$

Hawking-Page transition = deconfinement transition. [Witten]

## Eguchi-Kawai mechanism (large- $N$ volume independence)

For large- $N$  center-symmetric gauge theory on  $\mathcal{M}^{d-n} \times \mathbb{T}^n$ , if translation symmetry and center symmetry are preserved along an  $S^1 \in \mathbb{T}^n$ , then appropriate observables are independent of the size of the  $S^1$  at leading order in  $N$ . [Eguchi, Kawai; Kovtun, Unsal, Yaffe]

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Helps large- $N$  systems mimic large-volume thermodynamics.

## Entropy and free energy

Assuming symmetry breaking pattern for holographic CFT<sub>2</sub>, we find

$$\frac{\log Z}{\beta L} = \begin{cases} cL^{-2}, & \text{for } \beta > L & (\text{used } \langle W_\beta \rangle = 0, \quad \langle W_L \rangle \neq 0) \\ c\beta^{-2}, & \text{for } \beta < L & (\text{used } \langle W_\beta \rangle \neq 0, \quad \langle W_L \rangle = 0) \end{cases}$$

This precisely matches the phase structure of AdS<sub>3</sub> gravity.

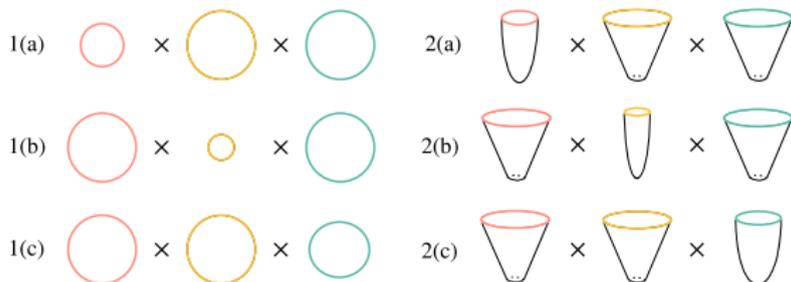
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Argument extends to  $SL(2, \mathbb{Z})$  family of black holes and higher dimensions:



# Euclidean gravity a la Gibbons and Hawking

For spacetimes with a non-contractible  $S^1_\beta$ :

$$\log Z(\beta) \propto \beta \implies S(\beta) = (1 - \beta \partial_\beta) \log Z(\beta) = 0$$

For spacetimes with a contractible thermal circle,  $\beta^{-1} \log Z(\beta)$  depends on  $\beta$  and  $S(\beta) \neq 0$ .

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Quantum-mechanical dual is preservation or breaking of center:  
non-contractible  $S^1_\beta \implies \langle W_\beta \rangle = 0 \implies \log Z(\beta) \propto \beta$ .

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Consider single  $S^1$ :

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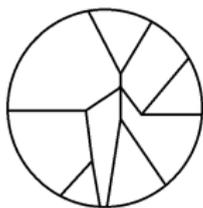
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This is precisely what you get in BTZ or thermal AdS:

$$\langle O(t, \phi)O(0, 0) \rangle_{\text{BTZ}} = \sum_{n=-\infty}^{\infty} \left[ \cosh \left( \frac{2\pi t}{\beta} \right) - \cosh \left( \frac{2\pi(\phi + nL)}{\beta} \right) \right]^{-2\Delta}$$

Extends to  $n$ -point functions:



# Quotients of curved manifolds

Gravity manifests “topological volume independence”: e.g.  $\text{CFT}_4$  on  $S^1 \times S^3/\mathbb{Z}_p$  independent of  $p$  in deconfined phase.

Phase structure between Eguchi-Hanson soliton and quotient black hole.

# Introducing geometry through center symmetry

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*Pattern* of symmetry breaking also important, e.g.  $\mathcal{N} = 4$  SYM at weak coupling.

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More generally a higher-form symmetry is relevant, e.g. 2-form symmetry of  $\mathcal{N} = (2, 0)$  theory in 6d. Dual to M2-branes.

# Gravity as phase of matter

Diagnose gravity as a phase of matter through pattern of higher-form symmetry breaking.

Requires strong coupling, large  $N$ .

Predicts universal large- $N$  properties consistent with gravity.

Also implies strings/branes behind the scenes!

Helps explain the *gauge* in gauge-gravity duality.