

# ***A Renormalization Group Study of the Ground State of Bilayer Graphene***

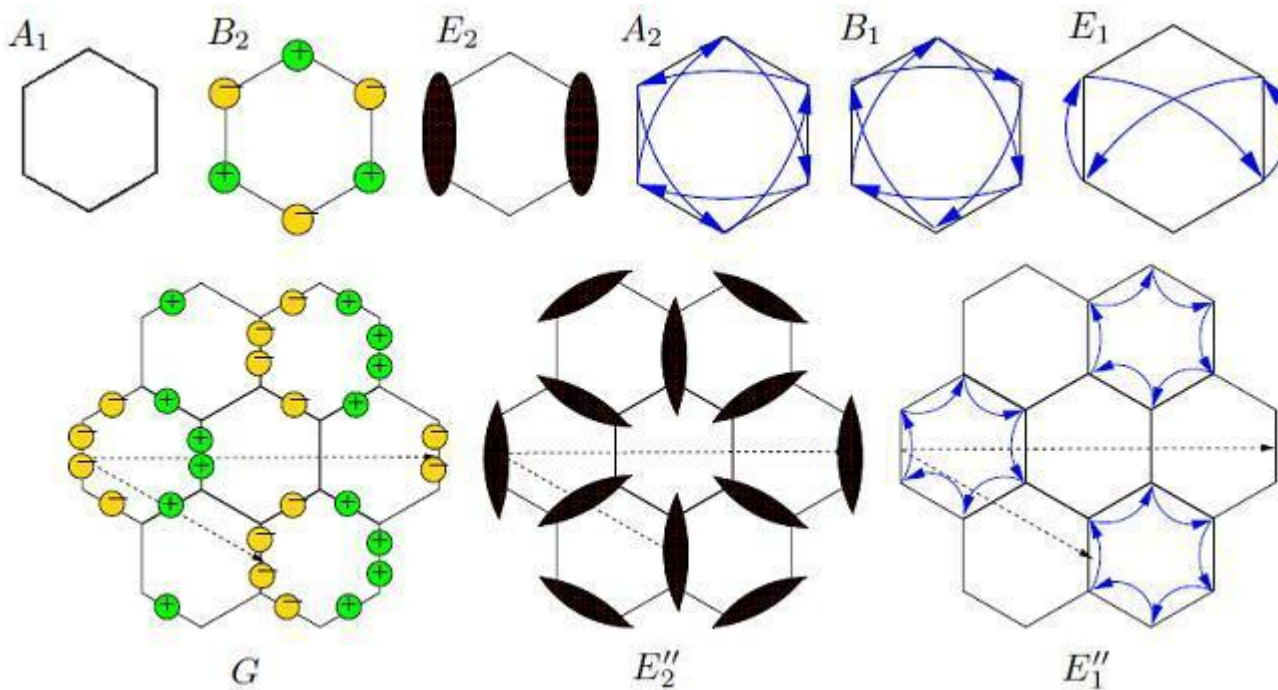


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***Y. L., I. Aleiner, C. Toke, & V.I. Falko, PRB 82, 201408(R) (2010)***

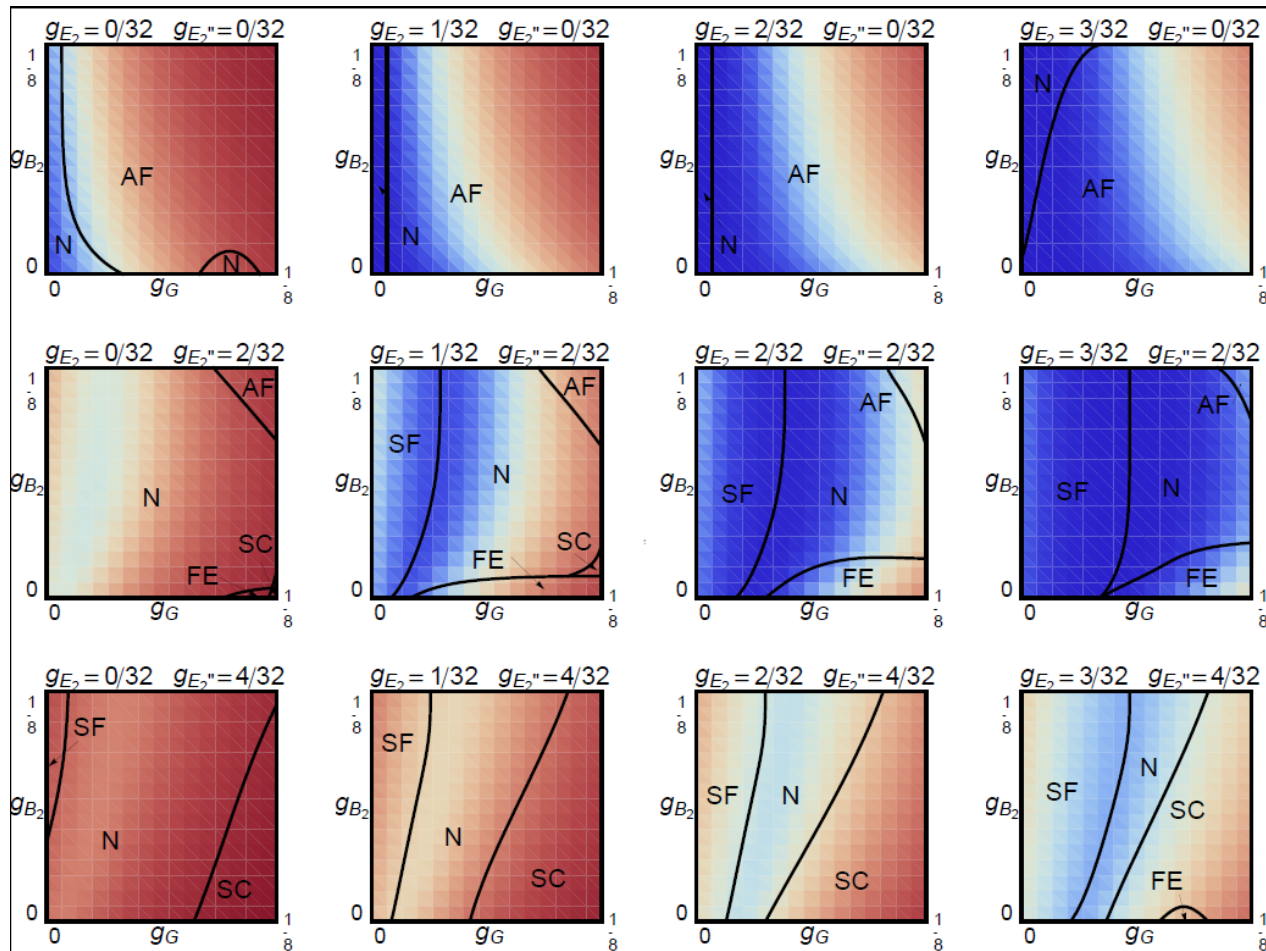
***Y. L., I. Aleiner, & V.I. Falko (in preparation)***

- I. Bilayer Graphene
- II. Hamiltonian & Interactions
- III. Renormalization Group Flow of Interaction Constants
- IV. Termination of RG & Mean Field Analysis
- V. Phases



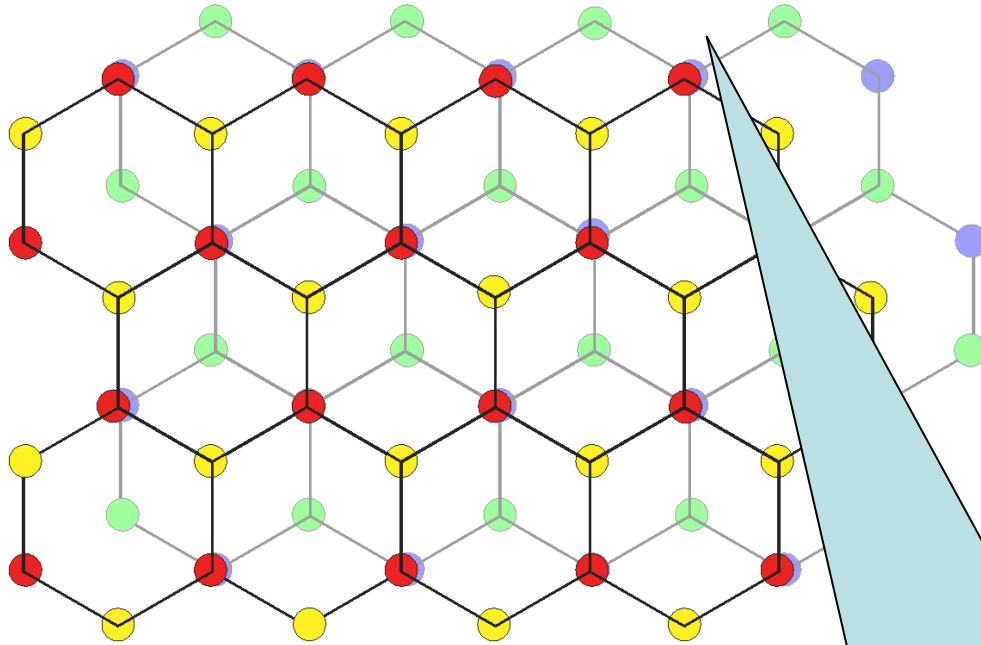
Warning: presented results are obtained using  $1/N$  expansion,  $N=4$ .

# Results:



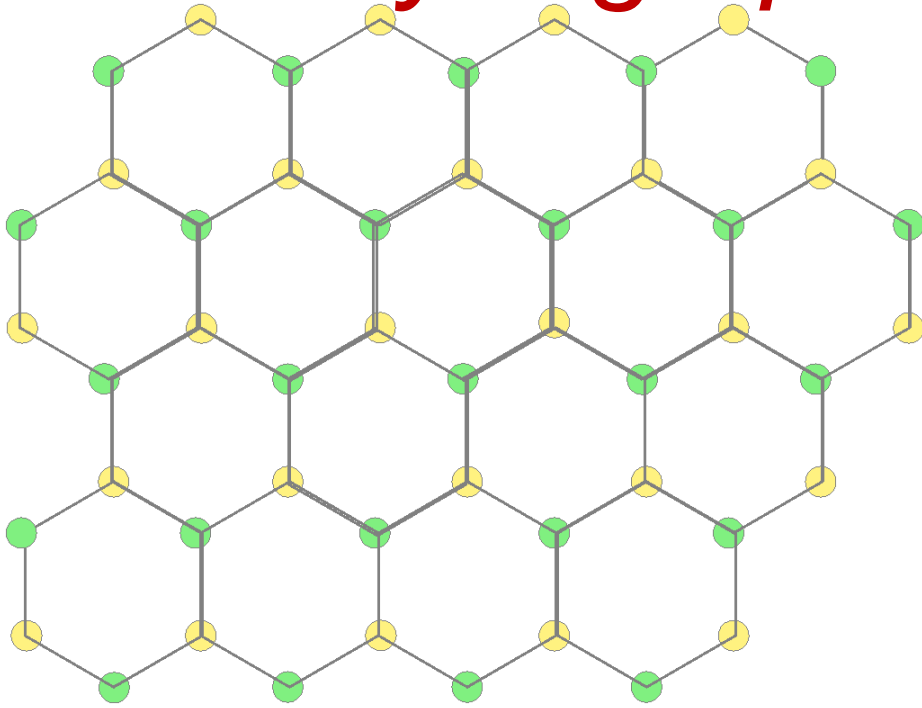
**AF – Antiferromagnetic**  
**N – Nematic**  
**SF – Spin Flux**  
**FE – Ferroelectric (unlikely)**  
**SC – Triplet Superconductor**

# *Bilayer graphene (intro)*



***Dimers hybridization energy 0.4 eV; split bands can be removed from the four band model.***

# Bilayer graphene (intro)



$$\vec{u} \equiv \begin{pmatrix} u_K^A \\ u_K^B \\ u_{K'}^B \\ -u_{K'}^A \end{pmatrix}$$

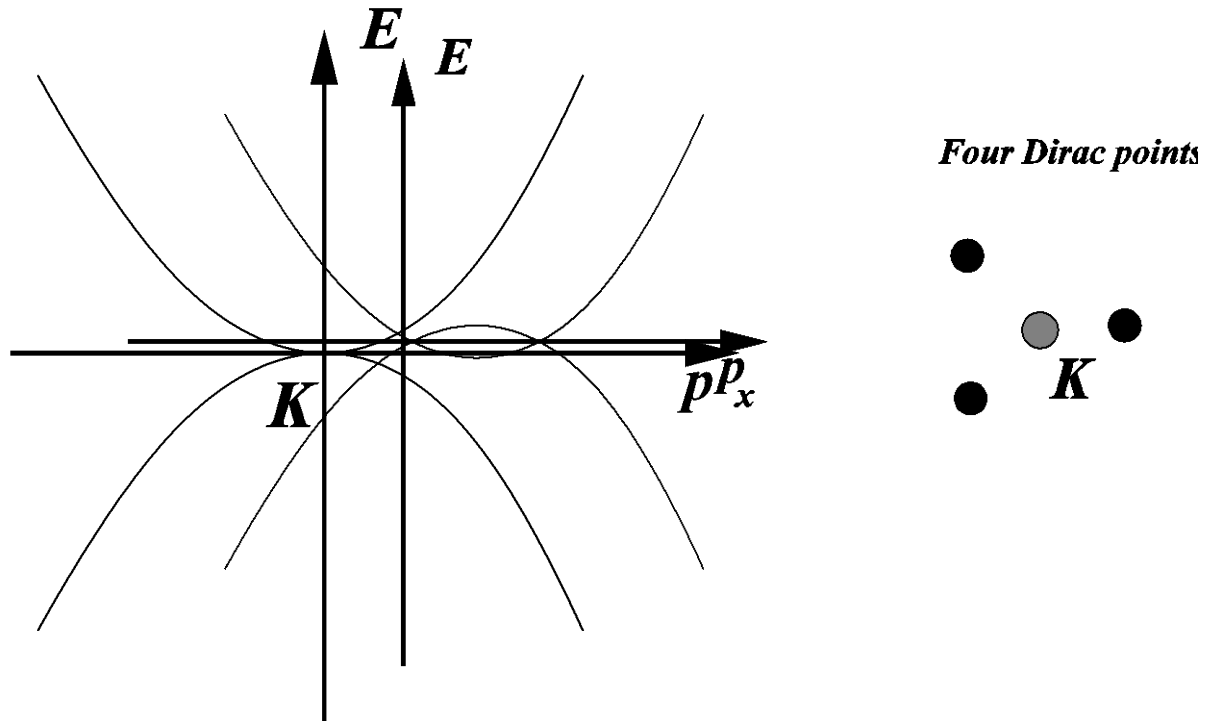
**Only low energy sites are left: two band model on the honeycomb lattice.**

$$\hat{H}_0 = \frac{1}{2m} \int d^2\mathbf{r} \psi^\dagger \left\{ \hat{\tau}_3^{KK'} \otimes [(\partial_y^2 - \partial_x^2) \hat{\tau}_1^{AB} - 2\partial_x \partial_y \hat{\tau}_2^{AB}] \right\} \psi \quad \text{Hopping via dimer}$$

$$+ v_3 \int d^2\mathbf{r} \psi^\dagger \left\{ \mathbb{1}^{KK'} \otimes [-i\partial_x \hat{\tau}_1^{AB} - i\partial_y \hat{\tau}_2^{AB}] \right\} \psi \quad \text{Small direct tunneling}$$

## ***Spectrum of non-interacting electrons:***

$$\hat{H}_0 = \gamma \int d^2 \mathbf{r} \psi^\dagger \left\{ \hat{\tau}_3^{KK'} \otimes [(\partial_y^2 - \partial_x^2) \hat{\tau}_1^{AB} - 2\partial_x \partial_y \hat{\tau}_2^{AB}] \right\} \psi$$



***In a wide energy interval the spectrum is parabolic***

# Interaction Hamiltonian

$$\begin{aligned}
 \hat{H}_0 = & \frac{1}{2m} \int d^2\mathbf{r} \psi^\dagger \left\{ \hat{\tau}_3^{KK'} \otimes [(\partial_y^2 - \partial_x^2) \hat{\tau}_1^{AB} - 2\partial_x \partial_y \hat{\tau}_2^{AB}] \right\} \psi \\
 & - iv_3 \int d^2\mathbf{r} \psi^\dagger \left\{ \partial_x \hat{\tau}_1^{AB} + \partial_y \hat{\tau}_2^{AB} \right\} \psi \\
 & + \frac{e^2}{2} \int d\mathbf{r} d\mathbf{r}' \frac{[\psi^\dagger \psi](\mathbf{r}) [\psi^\dagger \psi](\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \\
 & + \frac{2\pi}{m} \sum_{i,j=0}^3 g_j^i \int d\mathbf{r} \left[ \psi^\dagger \hat{\tau}_i^{AB} \otimes \hat{\tau}_j^{KK'} \psi \right]^2
 \end{aligned}$$

**Relevant**

**marginal**

**Symmetries:**

$$\begin{aligned}
 g_{xx} = g_{xy} = g_{yx} = g_{yy} &\equiv g_G \\
 g_{xz} = g_{yz} &\equiv g_{E_2}; \quad g_{zx} = g_{zy} \equiv g_{E_2''} \\
 g_{x0} = g_{y0} &\equiv g_{E_1}; \quad g_{0x} = g_{0y} \equiv g_{E_1''} \\
 g_{z0} &\equiv g_{B_1}; \quad g_{0z} \equiv g_{A_2}; \quad g_{zz} \equiv g_{B_2}
 \end{aligned}$$

**9 couplings**

**Current-Current &  
Density-Density**

# Interaction Hamiltonian

$$\begin{aligned}
 \hat{H}_0 = & \frac{1}{2m} \int d^2\mathbf{r} \psi^\dagger \left\{ \hat{\tau}_3^{KK'} \otimes [(\partial_y^2 - \partial_x^2) \hat{\tau}_1^{AB} - 2\partial_x \partial_y \hat{\tau}_2^{AB}] \right\} \psi \\
 & - iv_3 \int d^2\mathbf{r} \psi^\dagger \left\{ \partial_x \hat{\tau}_1^{AB} + \partial_y \hat{\tau}_2^{AB} \right\} \psi \\
 & + \frac{e^2}{2} \int d\mathbf{r} d\mathbf{r}' \frac{[\psi^\dagger \psi](\mathbf{r}) [\psi^\dagger \psi](\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \\
 & + \frac{2\pi}{m} \sum_{i,j=0}^3 g_j^i \int d\mathbf{r} \left[ \psi^\dagger \hat{\tau}_i^{AB} \otimes \hat{\tau}_j^{KK'} \psi \right]
 \end{aligned}$$

**Relevant**

**marginal**

**First loop RG**

**1/N RG**




## ***Renormalization Group***

- ***Iteratively remove highest energy electrons***
- ***Incorporate their effect into redefinition of parameters  $g_{ij}$  &  $m$***
- ***Parameters become functions of energy scale***
- ***Effectively re-sums logarithmic corrections to observable quantities.***

# Warning:

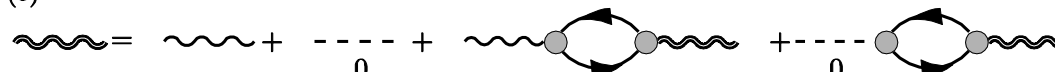
**1) Each diagram is infrared divergent: only their sum is logarithmic;**

(b)



$$\omega, q = \Pi(q, \omega) = \frac{N}{2\pi\gamma D\left(\frac{\omega}{\gamma q^2}\right)}; \quad D(x) = \left[ \ln\left(\frac{4x^2+4}{4x^2+1}\right) + \frac{2\arctan x - \arctan(2x)}{x} \right]^{-1};$$

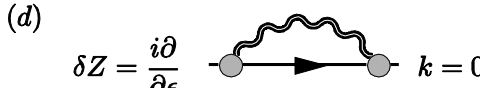
(c)



$$= - \left[ \left( \frac{2\pi e^2}{|q|} + 8\pi\gamma g_0^0 \right)^{-1} + \Pi \right]^{-1} = - \frac{2\pi\gamma D\left(\frac{\omega}{\gamma q^2}\right)}{N}$$

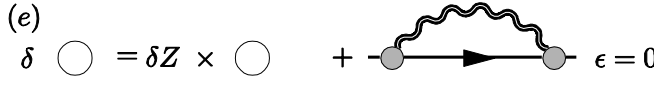
**Screening of scalar potential**

(d)



$$\delta Z = \frac{i\partial}{\partial\epsilon} \text{diagram} \quad k=0$$

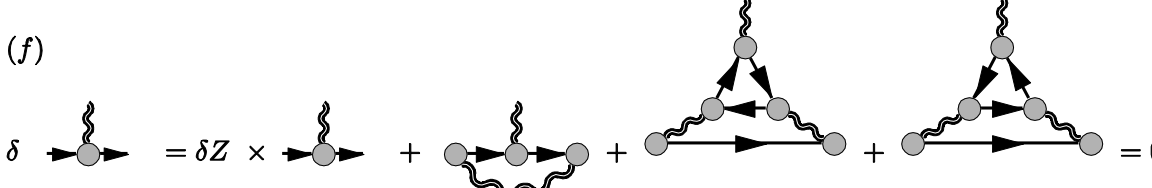
(e)



$$\delta \text{circle} = \delta Z \times \text{circle} + \text{diagram} \quad \epsilon=0$$

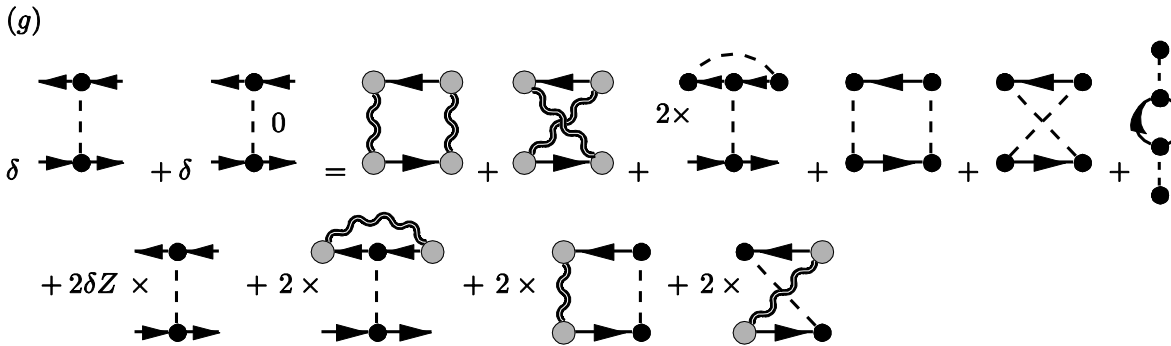
**Spectrum curvature renormalization**

(f)



$$\delta \text{diagram} = \delta Z \times \text{diagram} + \text{diagram} + \text{diagram} + \text{diagram} = 0$$

(g)



$$\delta \text{diagram} + \delta \text{diagram} = \text{diagram} + \text{diagram} + 2 \times \text{diagram} + \text{diagram} + \text{diagram} + \text{diagram} + \text{diagram}$$

$$+ 2\delta Z \times \text{diagram} + 2 \times \text{diagram} + 2 \times \text{diagram} + 2 \times \text{diagram}$$

**Short range interaction renormalization**

$$-\frac{1}{\epsilon, \bar{\epsilon}} = \hat{G}(\epsilon, \bar{\epsilon}) = \frac{1}{i\epsilon + 0}; \quad -\circ = \gamma = [\hat{M}_z^2 (k_z^2 - k_\perp^2) + 2\hat{M}_z^2 k_\perp k_z]$$

$$\omega, \bar{q} = -\frac{2\pi\epsilon^2}{|\bar{q}|}; \quad \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \rightarrow = -8\pi\gamma\delta^2 \hat{M}_z^2 \otimes \hat{M}_z^2; \quad \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \leftarrow = -8\pi\gamma \sum_{i,j=0}^3 \hat{M}_i^2 \otimes \hat{M}_j^2;$$

(b)  $\omega, q = \Pi(q, \omega) = \frac{N}{2\pi\gamma D(\frac{\omega}{N})}; \quad D(x) = \left[ \ln\left(\frac{4x^2+4}{4x^2+1}\right) + \frac{2 \arctan x - \arctan(2x)}{x} \right]^{-1};$

(c)  $-\left[ \left( \frac{2\pi\epsilon^2}{|\bar{q}|} + 8\pi\gamma\delta^2 \right)^{-1} + \Pi \right]^{-1} = -\frac{2\pi\gamma D(\frac{\omega}{N})}{N}$

(d)  $\delta Z = \frac{i\theta}{\delta\epsilon} \rightarrow k=0$   $\delta \circ = \delta Z \times \circ + \dots \rightarrow \epsilon=0$

(f)  $\delta \rightarrow \dots = \delta Z \times \dots + \dots = 0$

(g)  $\delta \rightarrow \dots + \delta \rightarrow \dots = \dots + 2 \times \dots + 2 \times \dots + 2 \times \dots + 2 \times \dots$

# Renormalization Group equations:

$$\frac{dg_{ij}}{d\ell} = -\frac{\alpha_3}{N^2} \delta(E_2)_{ij} - \frac{\alpha_1 + 2\alpha_2 A_{ij}}{N} g_{ij} - \sum_{kl} \frac{\tilde{g}_{kl}}{N} \alpha_2 B_{ij}^{kl} - 2N A_{ij} g_{ij}^2 + \sum_{kl} \sum_{mn} \tilde{C}_{klmn}^{ij} g_{kl} g_{mn}$$

$$\frac{d \log m}{d\ell} = -\frac{\alpha_1}{2N} \approx .01$$

$$\ell \equiv \log\left(\frac{p_0}{p}\right)$$

**Valid only for weak coupling**  $g_i \ll \frac{1}{N}$

$$A_{ij} \equiv \frac{1}{16} \sum_{\gamma=x,y} \text{tr} \left( \left[ \hat{\tau}_i^{KK'} \hat{\tau}_j^{AB}, \hat{\tau}_z^{KK'} \hat{\tau}_\gamma^{AB} \right]^2 \right)$$

$$B_{kl}^{ij} \equiv \frac{1}{64} \sum_{\gamma=x,y} \text{tr} \left( \hat{\tau}_k^{KK'} \hat{\tau}_l^{AB} \left\{ \hat{\tau}_i^{KK'} \hat{\tau}_j^{AB}, \hat{\tau}_z^{KK'} \hat{\tau}_\gamma^{AB} \right\} \right)^2$$

$$\alpha_1 \equiv \frac{1}{2\pi} \int dx f(x) (1-3x^2)/(1+x^2)^3 \approx -.078$$

$$\alpha_2 \equiv \int \frac{dx}{2\pi} f(x) \frac{2}{(1+x^2)^2} \approx .469$$

$$\alpha_3 \equiv \int \frac{dx}{2\pi} \frac{f(x)^2}{4(1+x^2)^2} \approx .066$$

$$C_{klmn}^{ij} = \frac{1}{8} \sum_{\gamma=x,y} \text{tr} \left( \hat{\tau}_k^{KK'} \hat{\tau}_l^{AB} \hat{\tau}_i^{KK'} \hat{\tau}_j^{AB} \hat{\tau}_z^{KK'} \hat{\tau}_\gamma^{AB} \times \left[ \hat{\tau}_k^{KK'} \hat{\tau}_l^{AB}, \hat{\tau}_z^{KK'} \hat{\tau}_\gamma^{AB} \right] \hat{\tau}_i^{KK'} \hat{\tau}_j^{AB} \right) + \frac{1}{64} \sum_{\gamma=x,y} \left\{ \text{tr} \left( \hat{\tau}_i^{KK'} \hat{\tau}_j^{AB} \left[ \hat{\tau}_k^{KK'} \hat{\tau}_l^{AB} \hat{\tau}_z^{KK'} \hat{\tau}_\gamma^{AB} \hat{\tau}_m^{KK'} \hat{\tau}_n^{AB} + \hat{\tau}_k^{KK'} \hat{\tau}_l^{AB} \hat{\tau}_z^{KK'} \hat{\tau}_\gamma^{AB} \hat{\tau}_m^{KK'} \hat{\tau}_n^{AB} \right] \right) \right\}^2 + \frac{1}{32} \left\{ \text{tr} \left( \hat{\tau}_i^{KK'} \hat{\tau}_j^{AB} \left[ \hat{\tau}_k^{KK'} \hat{\tau}_l^{AB}, \hat{\tau}_m^{KK'} \hat{\tau}_n^{AB} \right] \right) \right\}^2$$

## ***Analysis of the RG equations:***

$$g_i(0) = 0$$

$$\begin{aligned} \frac{dg_{E_2}(\ell)}{d\ell} = & -\frac{1}{N(N+2)} \left( \frac{\alpha_3(N+2)}{N} - \frac{(\alpha_2 - \alpha_1)^2}{8N} \right) \\ & - 2(N+2) \left( g_{E_2} - \frac{\alpha_2 - \alpha_1}{4N(N+2)} \right)^2 \end{aligned}$$

***No fixed point.***

***It suggests phase transition to nematic phase.***

***But what happens when  $g_i(0) \neq 0$  ?***

***RG flow from  $g_i(0) = 0$  not stable!  
Need to consider full RG equations.***

$$\frac{dg_{ij}}{dl} = -\frac{\alpha_3}{N^2} \delta(E_2)_{ij} - \frac{\alpha_1 + 2\alpha_2 A_{ij}}{N} g_{ij} - \sum_{kl} \frac{g_{kl}}{N} \alpha_2 B_{ij}^{kl} - 2N A_{ij} g_{ij}^2 + \sum_{kl} \sum_{mn} C_{klmn}^{ij} g_{kl} g_{mn}$$

***No fixed points; couplings always diverge at finite energy scale.***

***Always spontaneously broken symmetry.***

# Twenty-Six Candidate Phases

8 Singlet

$$\langle \psi^\dagger \tau_i^{KK'} \tau_j^{AB} \psi \rangle$$

9 Magnetic

$$\langle \psi^\dagger \tau_i^{KK'} \tau_j^{AB} \vec{\sigma} \psi \rangle$$

9 Superconducting

$$\langle \psi^\dagger \tau_i^{KK'} \tau_j^{AB} \hat{\mathcal{T}} \psi^\dagger \rangle$$

## Mean Field Theory

$$H_{MF} \equiv \sum_k \Psi(\vec{k})^\dagger \left[ \frac{1}{2m} \tau_z^{PH} \tau_z^{KK'} (\tau_+^{AB} \hat{p}_+^2 - \tau_-^{AB} \hat{p}_-^2) - \sum_t (c_t \mathcal{O}_t \hat{M}^t) \right] \Psi(\vec{k}) + \frac{1}{2} \sum_t c_t \mathcal{O}_t^2$$

$$c_t \equiv \sum_s g_s \left\{ \delta_{st} - \frac{1}{4N^2} \text{tr} \left[ (\hat{M}^s \hat{M}^t)^2 \right] \right\}$$

**BCS logarithms  
already incorporated  
in constants**

## Competing exchange energy contributions

$$E_{AF} \propto -4g_G - g_{B_2} + 2g_{E_2''} + 2g_{E_2} + 2g_{E_1''} - g_{A_2} + 2g_{E_1} - g_{B_1}$$

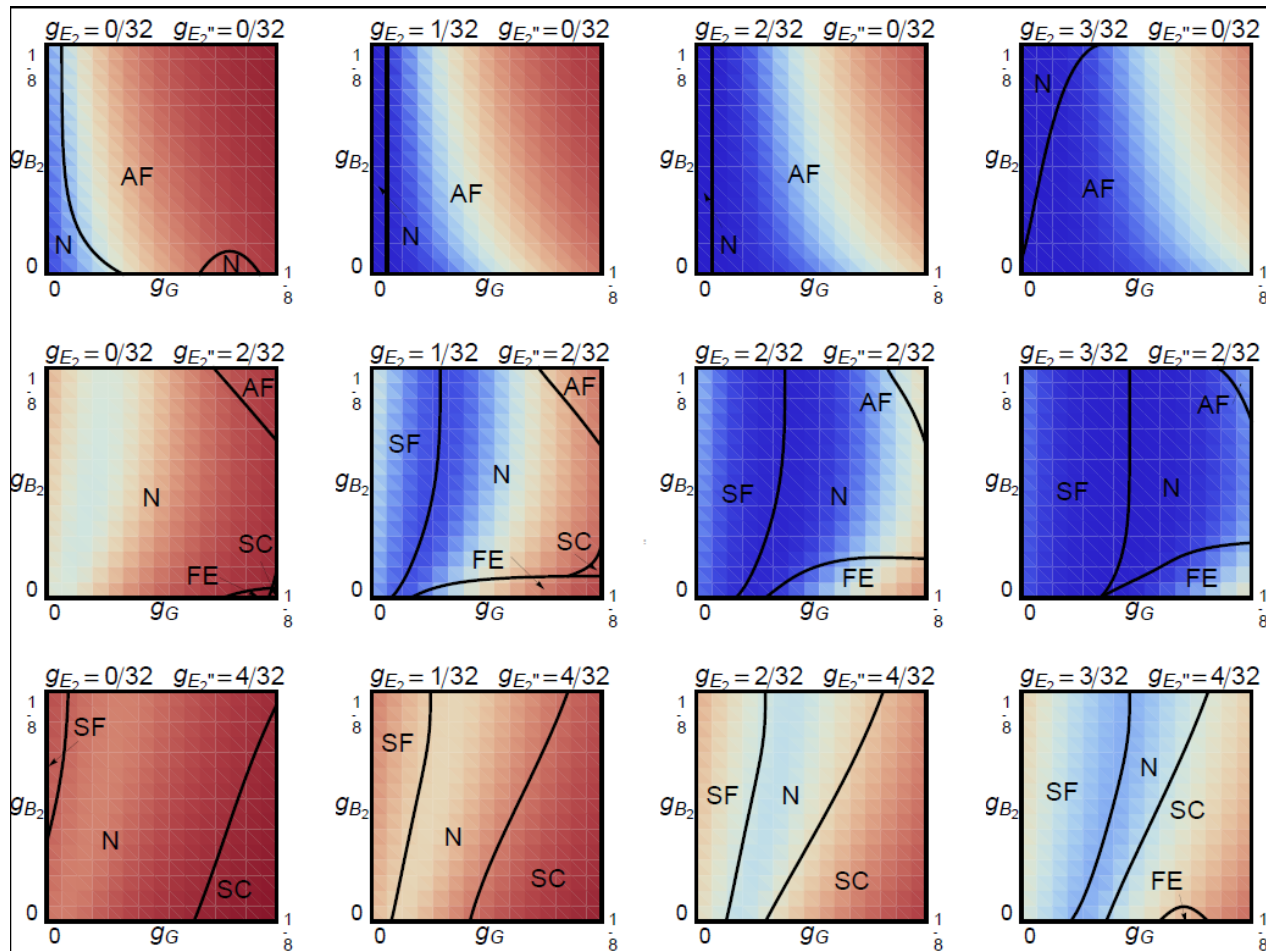
***We don't know the values of the bare couplings!***

***What's reasonable?***

- ***Bare couplings small: less than 1/N***
- ***Current-current couplings zero***
- ***$g_{B_2} \left( \psi^\dagger \tau_z^{AB} \tau_z^{KK'} \psi \right)^2$  ,  $g_G \left( \psi^\dagger \tau_{x,y}^{AB} \tau_{x,y}^{KK'} \psi \right)^2$  are dipole-dipole interactions and likely positive.***

***Broadly explore parameter space***

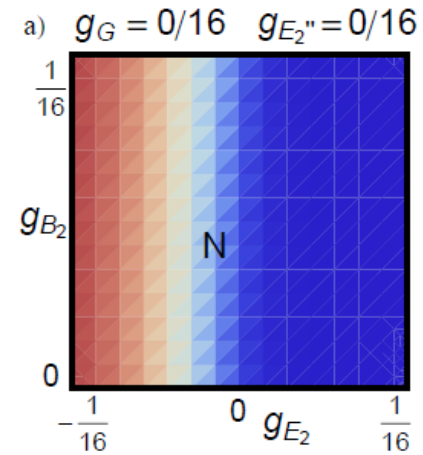
# Results:



**AF** – Antiferromagnetic  
**N** – Nematic  
**SF** – Spin Flux  
**FE** – Ferroelectric  
**SC** – Triplet Superconductor

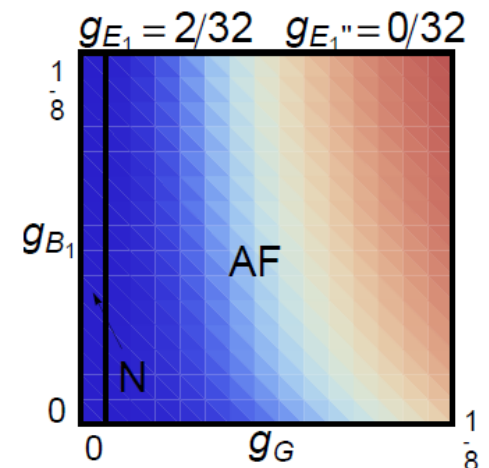


***Without intervalley scattering only nematic phase!***



### ***Complex behavior***

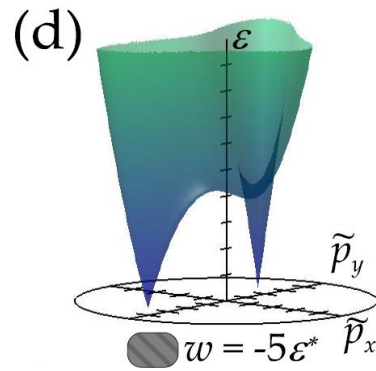
- $g_{B_2} \left( \psi^\dagger \tau_z^{AB} \tau_z^{KK'} \psi \right)^2$  **does not introduce AF, but term  $g_G \left( \psi^\dagger \tau_{x,y}^{AB} \tau_{x,y}^{KK'} \psi \right)^2$  does.**
- **“Current-current” couplings important**
- **Multiple instabilities in RG flow**



# Phases

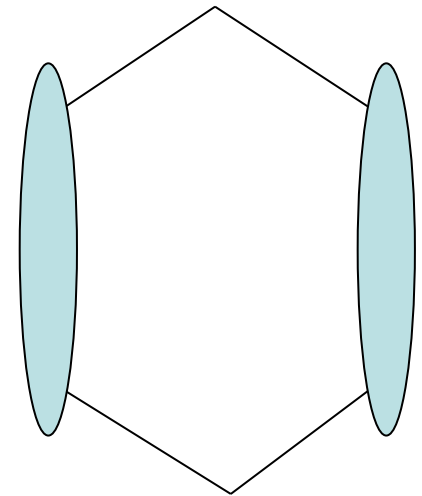
## Nematic

- Order parameter selects one of BLG's principal axes; akin to uniaxial strain
- Gapless spectrum; parabolic band touching reconstructed.
- Interesting interaction with strain, trigonal warping.
- Discussed by Vafeek & Yang, Lemonik *et al.*



Reconstructed dispersion

$$\langle \psi^\dagger \tau_z^{K\bar{K}'} \tau_{x,y}^{AB} \psi \rangle$$

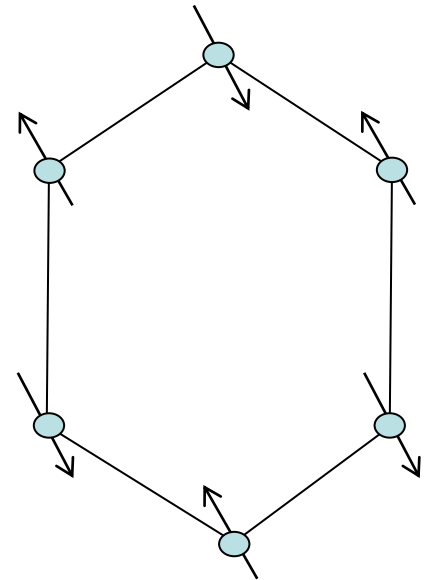


# Phases

## ***Antiferromagnetic***

- Spins oppositely polarized on opposite layers.
- Gapped charge excitations, gapless neutral excitations
- Discussed by Vafeek, Kharitonov.

$$\langle \psi^\dagger \tau_z^A B \tau_z^{KK'} \vec{\sigma} \psi \rangle$$

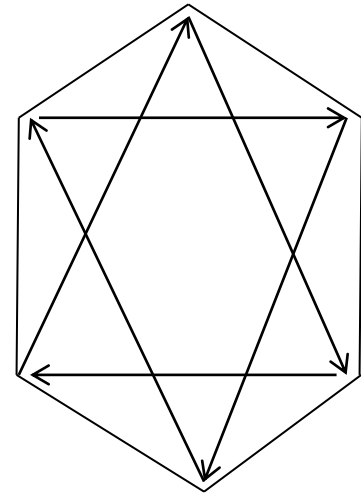


# Phases

## *Spin Flux*

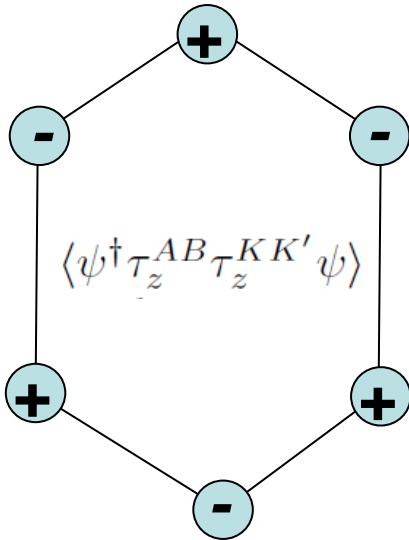
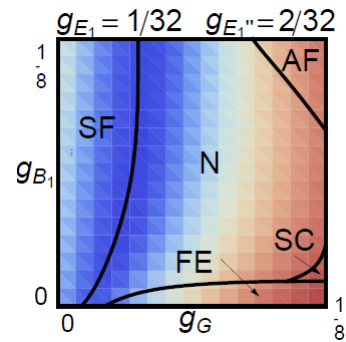
- Persistent spin current circling honeycomb rings
- Gapped charge excitations, gapless neutral excitations
- Quantum spin hall effect?

$$\langle \psi^\dagger \tau_z^{AB} \vec{\sigma} \psi \rangle$$

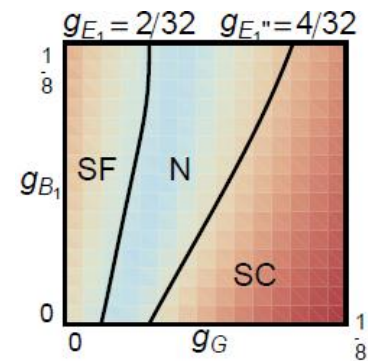


# Least Likely Phases [Fine tuning required]

## Ferroelectric



## Triplet Superconductor



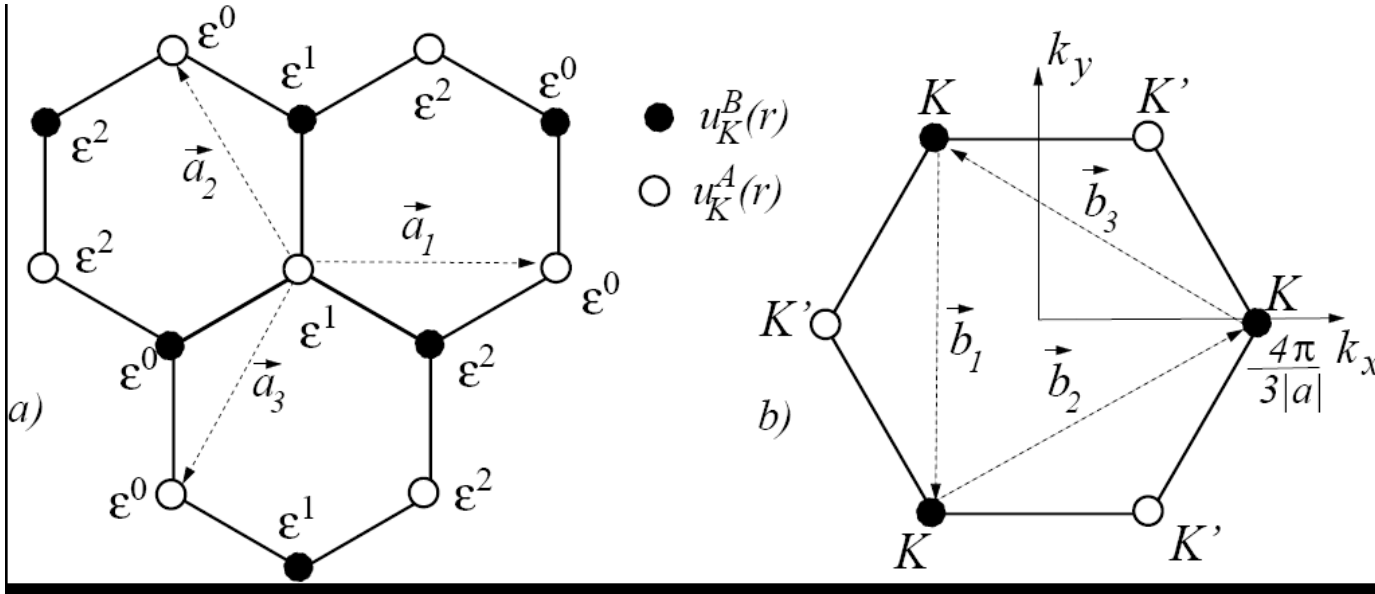
$$\langle \psi^\dagger \tau_z^{KK'} \vec{\sigma} \mathcal{T} \psi^\dagger \rangle$$

# Conclusions:

- We used  $1/N$  expansion & RG analysis of interaction constants in all symmetry allowed interaction channels to determine possible ground states of BLG: Antiferromagnetic, Nematic, Spin Flux, Superconducting, Ferroelectric.
- Because of instabilities in the RG flow the relation between bare constants & phases is not transparent, sometimes counter-intuitive.
- **Possible strain-induced transition from AF to nematic state.**



# Field theory parametrization for bilayer graphene



$$\Psi_s(\mathbf{r}; \tau) = \vec{\psi}_s(\mathbf{r}, \tau) * \vec{u}(\mathbf{r}); \quad s = \uparrow, \downarrow$$

$$\vec{u} = \begin{pmatrix} \begin{pmatrix} u_K^A \\ u_K^B \end{pmatrix}_{AB} \\ \begin{pmatrix} u_{K'}^B \\ -u_{K'}^A \end{pmatrix}_{AB} \end{pmatrix}_{KK'}$$

**Time reversal symmetry:**

$$\psi^\dagger \mathcal{H} \psi = \left[ \hat{\mathcal{T}} \psi^\dagger \right] \mathcal{H}^T \hat{\mathcal{T}} \psi$$

$$\hat{\mathcal{T}} \equiv i\sigma_y \otimes \tau_y^{AB} \otimes \tau_y^{KK'}$$



- ***Nonlinear differential equation in eight variables.***
- ***Multiple regimes, competing asymptotics.***
- ***No “good” subset of parameters***
- ***Asymptotic behavior of RG when new symmetries emerge is outside domain of applicability of RG equations.***

$$\frac{dg_{ij}}{d\ell} = -\frac{\alpha_3}{N^2}\delta(E_2)_{ij} - \frac{\alpha_1 + 2\alpha_2 A_{ij}}{N}g_{ij} - \sum_{kl}^{\sim} \frac{g_{kl}}{N} \alpha_2 B_{ij}^{kl} - 2N A_{ij} g_{ij}^2 + \sum_{kl}^{\sim} \sum_{mn}^{\sim} C_{klmn}^{ij} g_{kl} g_{mn}$$