

# *Superconducting glue: are there limits on $T_c$ ?*



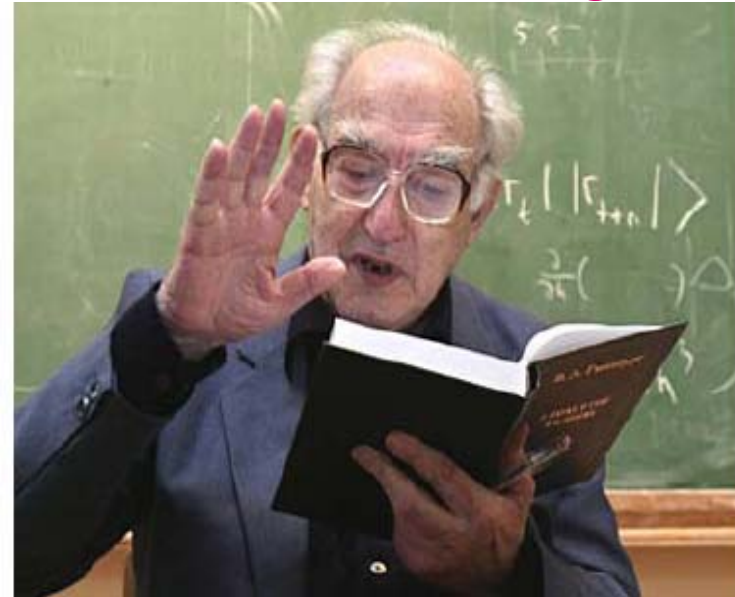
MAX-PLANCK-GESELLSCHAFT

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# V.L. Ginzburg



## High-Temperature Superconductivity

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ПРОБЛЕМА ВЫСОКОТЕМПЕРАТУРНОЙ СУПЕРПРОВОДИМОСТИ  
В. Л. Гинзбург и Д. А. Киришнитс

ПРОБЛЕМА ВИСОКОТЕМПЕРАТУРНОЇ СУПЕРПРОВОДИМОСТІ  
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‘Nauka’ Publishing,  
Moscow, 1977

$$T_c \leq 30 \div 40 \text{ K (фононный механизм сверхпроводимости)}. \quad (1.12)$$

Следует, правда, отметить, что оценка (1.12) базируется на рассмотрении металлов с более или менее известными параметрами и не может непосредственно применяться ко всем гипотетическим случаям. Так, для металли-

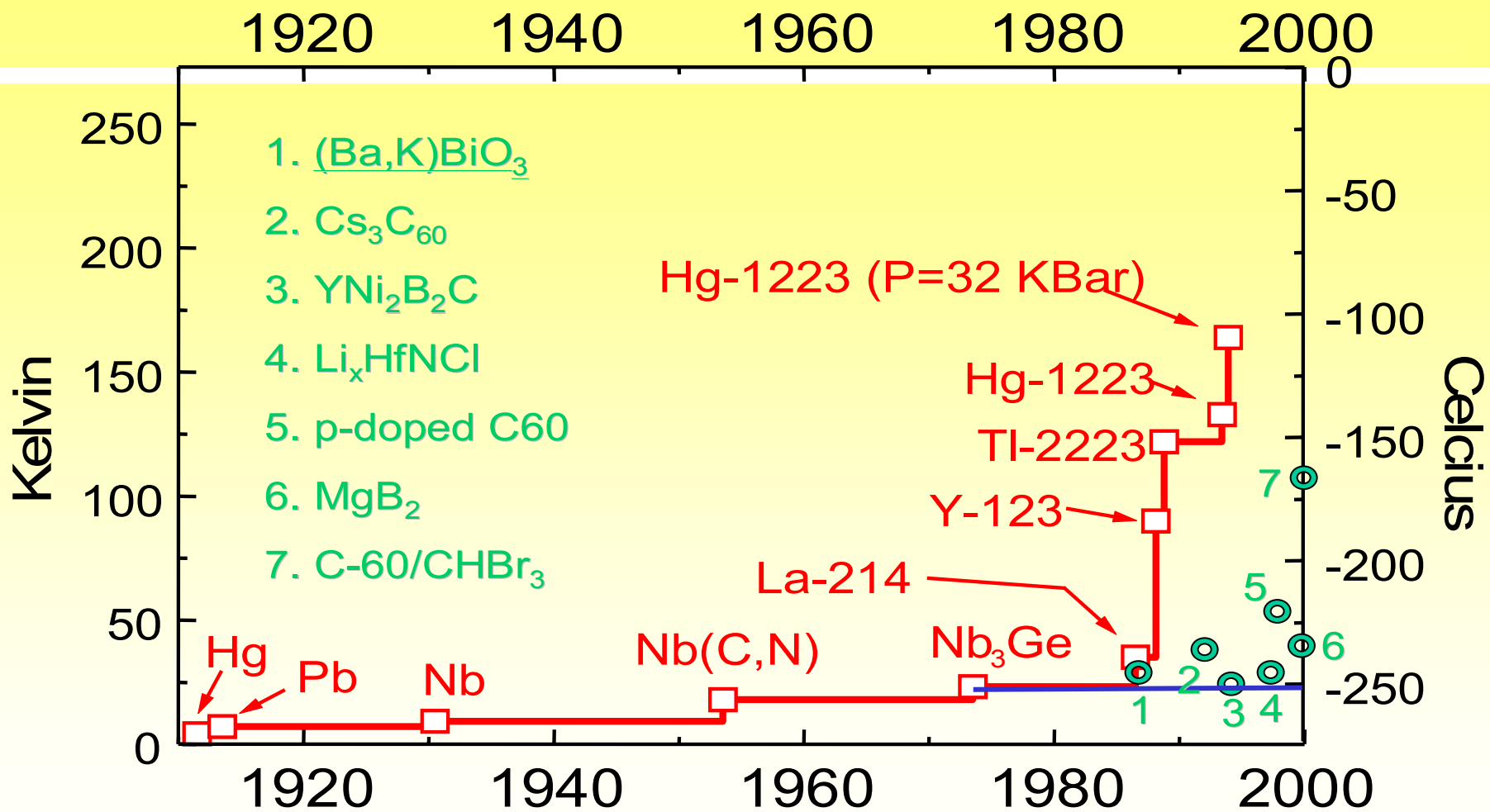
we have to point out, however, that the above estimate is based on a standard metal and can not be applied to all hypothetical situations

# Outline

1. Introduction.
2. Electron-phonon interaction.
3. Causality and stability.
4. Restrictions from Eliashberg equations.
5. Phonon instability.
6. Nonphonon mechanisms.
7. Conclusions.

Briefly:

1. Pnictides
2. *Quasiparticle renormalization effects  
in the optical properties of iron pnictides* (with A.V. Boris)



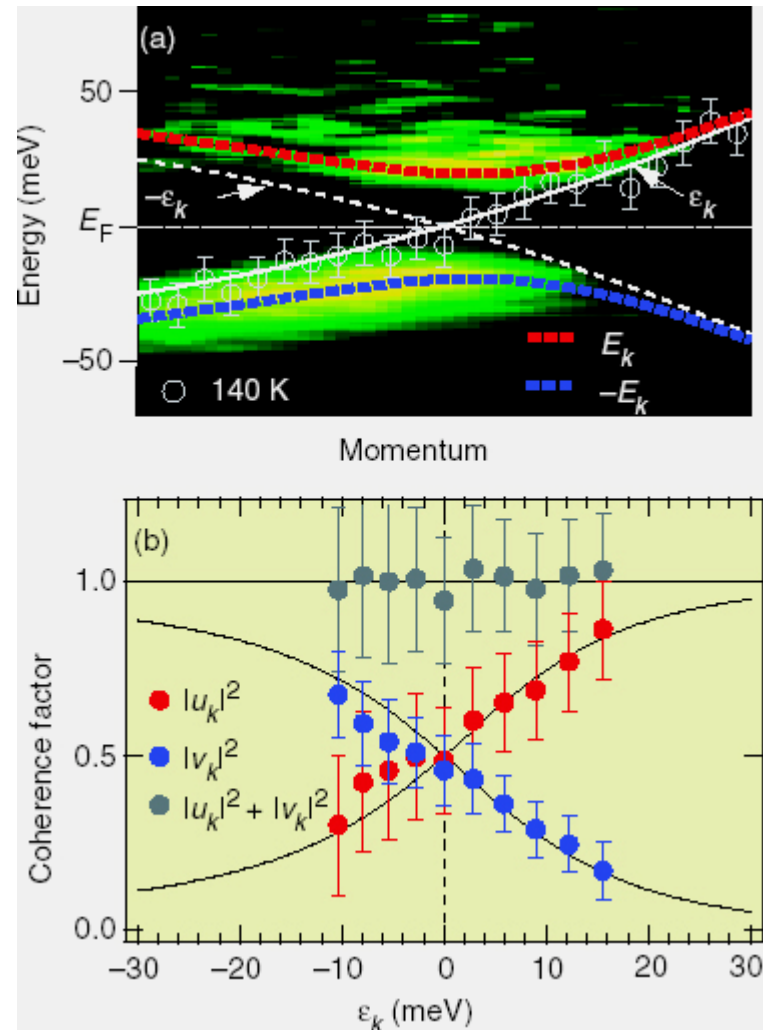
# BCS type behavior

- M.Matsui *et al.*  
PRL, **90**, 217002 (2003)

$$G(\mathbf{k}, \omega) = \frac{u_{\mathbf{k}}^2}{\omega - E(\mathbf{k}) + i\delta} + \frac{v_{\mathbf{k}}^2}{\omega - E(\mathbf{k}) - i\delta}$$

$$u_{\mathbf{k}}^2 = \frac{1}{2} \left( 1 + \frac{\epsilon_{\mathbf{k}}}{E(\mathbf{k})} \right)$$

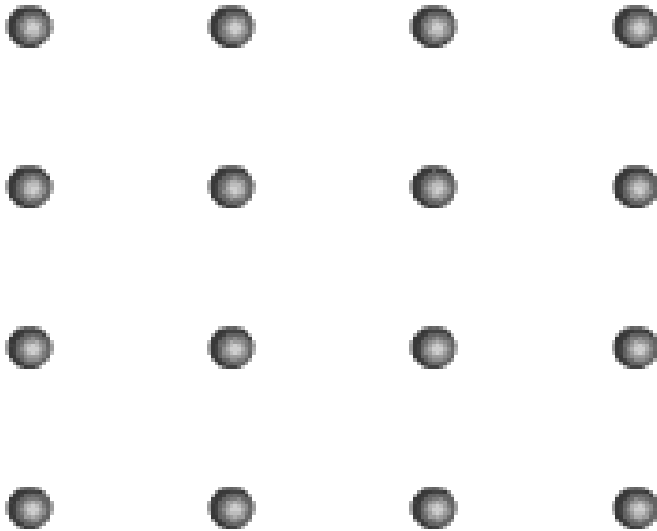
$$v_{\mathbf{k}}^2 = \frac{1}{2} \left( 1 - \frac{\epsilon_{\mathbf{k}}}{E(\mathbf{k})} \right)$$





# Electrons join to form Cooper Pairs usually

## Regular Superconductors



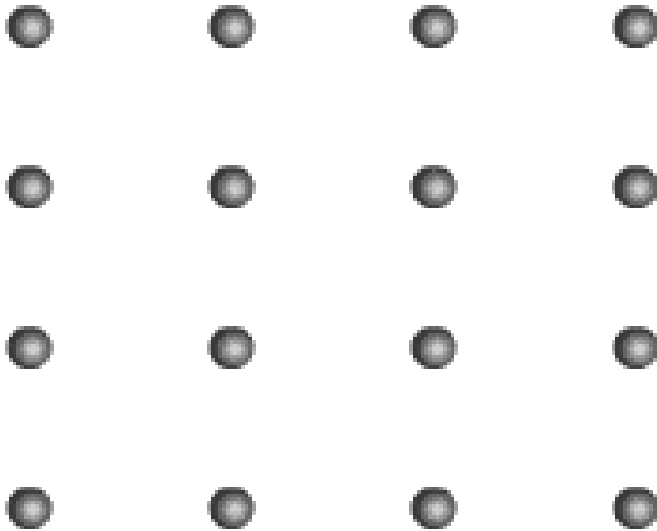
## High-Temperature Superconductors

- A Magnetic Attraction ?
- Spin attraction ?
- The lattice after all ?
- Something else ?



# Electrons join to form Cooper Pairs usually

## Regular Superconductors



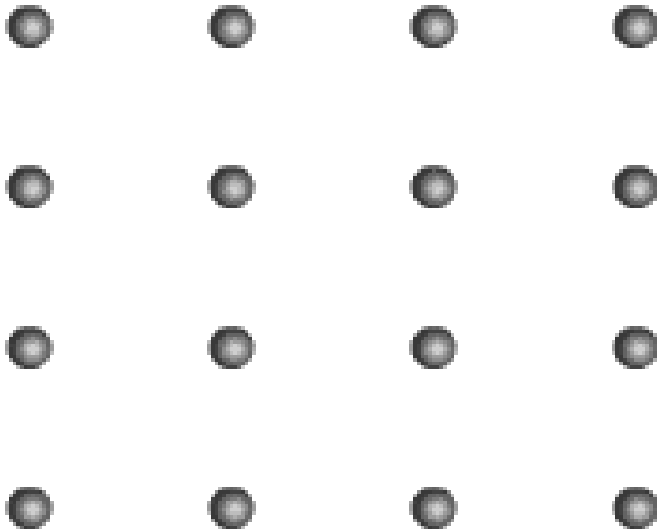
## High-Temperature Superconductors

- A Magnetic Attraction ?
- Spin attraction ?
- The lattice after all ?
-



# Electrons join to form Cooper Pairs usually

## Regular Superconductors



## High-Temperature Superconductors

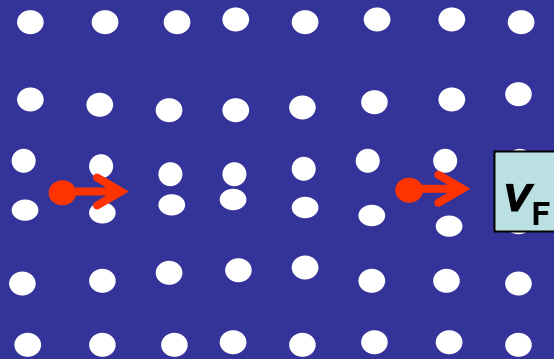
- A Magnetic Attraction ?
- Spin attraction ?
- The lattice after all ?
- Excitons (Little, Ginzburg)



# Phonon mediated superconductivity

attraction: ● =  $N(0)V$

Coulomb repulsion:



$$\mu^* = \frac{\mu}{1 + \mu \log(\epsilon_F / \Omega_D)}$$

●  ○\* > 0, then pairing

BCS model: ●  $\ll 1$  and constant for  $\epsilon_F < \epsilon_D$

**Eliashberg** formalism: | general and ●   $\epsilon_F$    
(strong coupling effects)

How can two electron attract ?

1. **Repulsive Coulomb interaction:**

$$V_C(\mathbf{q}, \omega) = \frac{4\pi e^2}{q^2 + \chi_{TF}^2}$$

2. **Screening by other electrons and ions**

$$V(\mathbf{q}, \omega) = \frac{4\pi e^2}{q^2 \epsilon_{tot}(\mathbf{q}, \omega)} = \frac{4\pi e^2}{q^2} \left[ 1 + \frac{4\pi e^2}{q^2} \chi_{tot}(\mathbf{q}, \omega) \right]$$

$$\tilde{V}_C(\mathbf{q}, \omega) = \frac{4\pi e^2}{q^2 + \chi_{TF}^2}$$

“Overscreening” by **phonons**

$$V(\mathbf{q}, \omega) = \tilde{V}_C(\mathbf{q}, \omega) + V_{ph}(\mathbf{q}, \omega) = \frac{4\pi e^2}{q^2 + \chi_{TF}^2} \left( 1 + \frac{\omega_q^2}{\omega^2 - \omega_q^2} \right)$$

# $T_c$ problem

$$T_c = 1.14 \omega_{av} \exp\left[-\frac{1}{N(0)V}\right]$$

$$V = \langle V(\mathbf{k} - \mathbf{k}', \omega = 0) \rangle_{FS} = -\int d^3q \frac{4\pi e^2}{q^2 \epsilon_{tot}(\mathbf{q}, \omega = 0)};$$

$$V(\mathbf{q}, \omega) = \tilde{V}_C(\mathbf{q}, \omega) + V_{ph}(\mathbf{q}, \omega)$$

$$T_c = 1.14 \omega_D \exp\left(-\frac{1}{\lambda - \mu^*}\right)$$

$$\lambda = N(0) \int d^3 \mathbf{q} V_{ph}(\mathbf{q}, 0)$$

$$\mu^* = \frac{\mu}{1 + \mu \ln \frac{\epsilon_F}{\omega_D}}$$

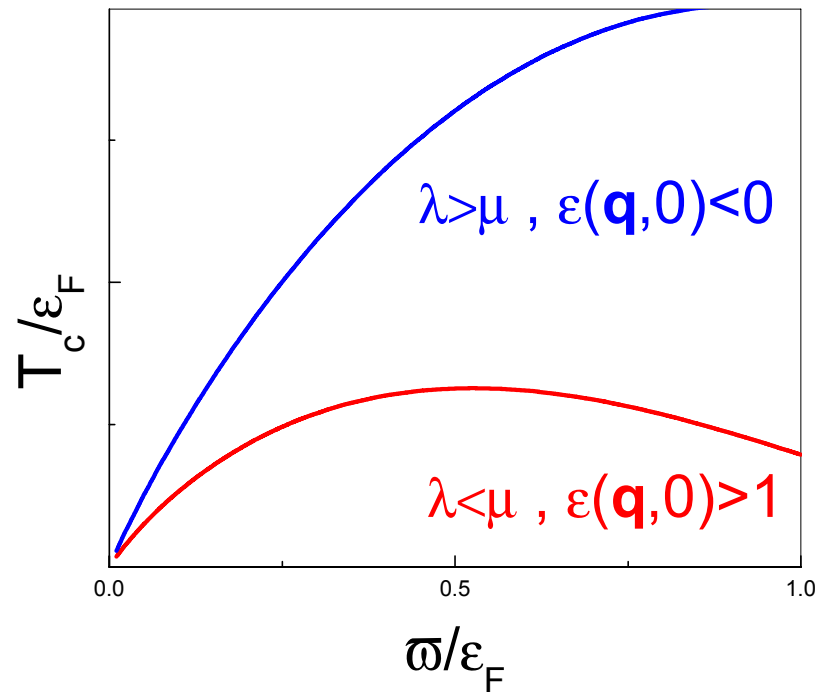
$$\mu = N(0) \int d^3 \mathbf{q} \tilde{V}_C(\mathbf{q}, 0)$$

$$\epsilon_{tot}(\mathbf{q}, 0) \geq 1$$

$$\lambda \leq \mu$$

$$T_c \approx 1.14 \underline{\varpi} \exp\left[-\frac{1 + \lambda}{\lambda - \frac{\mu}{1 + \mu \log(\varepsilon_F / \underline{\varpi})}}\right];$$

$$T_c \approx 1.14 \underline{\omega} \exp\left[-\frac{1 + \lambda}{\lambda - \frac{\mu}{1 + \mu \log(\varepsilon_F / \underline{\omega})}}\right];$$



COMMENTS ON THE MAXIMUM SUPERCONDUCTING  
TRANSITION TEMPERATURE

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Cavendish Laboratory, Cambridge, England  
Bell Laboratories, Murray Hill, New Jersey, 07974

*Superconductivity in d- and f-Band Metals, AIP Conf. Proc., p.17 (1972)*

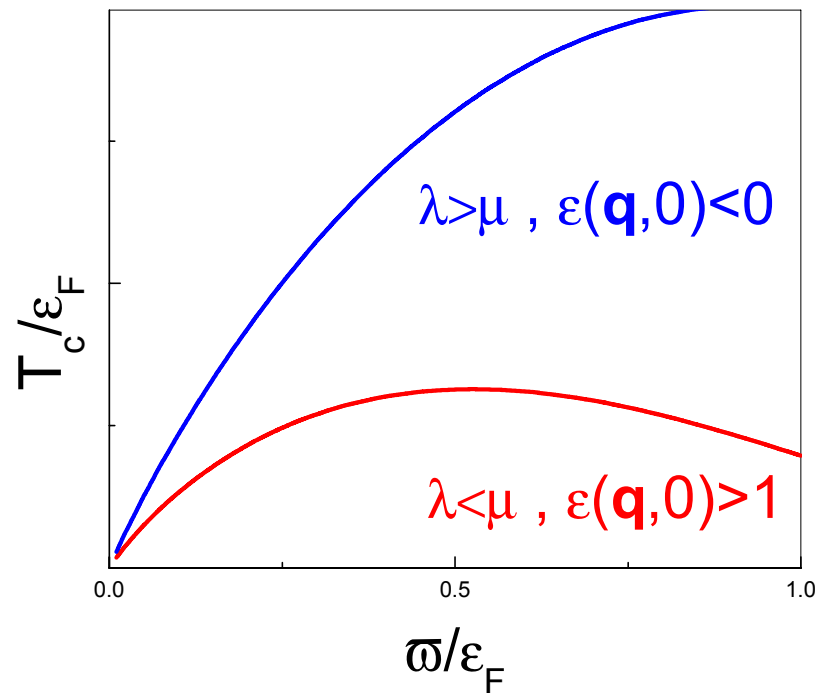
SUMMARY

So our comments on the maximum superconducting transition temperature are:

- (1) Reasonable theoretical estimates of  $T_c$  can be made.
- (2) Oversimplified models imply that resonant boson frequencies,  $\omega_0$ , should be as large as possible and this is not true.
- (3) Two square-well (2SW) models (like McMillan's model) should give reasonable estimates of  $T_c$  and  $T_c^{\max}$ .
- (4) Assuming no unklapps and using a 2SW model and a stability condition we find,  $\omega_0$  (optimum)  $\sim e^{-\frac{1}{2}E_F}$  and for  $E_F \sim 7$  eV,  $T_c \sim 10^\circ\text{K}$ . Phonon frequencies are not too far from the optimum value.
- (5) Unklapps lead to large electron-phonon couplings, hence we want symmetric structures. There is a tendency to form bonds and to have instabilities.
- (6) We present a simple model which exhibits the dependence of  $\lambda$  on  $\omega_{ph}$  and a lattice instability for large coupling constant.
- (7) The only system which might have a high  $T_c$  would involve high  $\omega_0$  and unklapp scattering. We can see no physical method of realizing this.

!!!

$$T_c \approx 1.14 \underline{\omega} \exp\left[-\frac{1 + \lambda}{\lambda - \frac{\mu}{1 + \mu \log(\varepsilon_F / \underline{\omega})}}\right];$$





$$T_c^{\max} \approx \varepsilon_F \exp\left(-\frac{3}{\lambda}\right);$$

$$\lambda^{\text{opt}} = \mu = \frac{1}{2};$$

↑  
Stoner instability

$$\varepsilon_F \approx 7 \text{ eV}$$

$$T_c^{\max} \approx \varepsilon_F \exp\left(-\frac{3}{\lambda}\right);$$

$$\varepsilon_F \approx 7 \text{ eV}$$

$$\lambda^{\text{opt}} = \mu = \frac{1}{2};$$

↑  
Stoner instability

$$T_c^{\max} \approx 10 \text{ K}$$

We need  $\varepsilon(\mathbf{q}, 0) \leq 0$  !

# Response functions

$$A = R \times I$$

# Response functions

$$A = R \times I$$

causality requirements



Kramers-Kronig relations

$$R(\mathbf{q}, \omega) = R(\mathbf{q}, \infty) + \frac{1}{\pi} \int_0^{\infty} \frac{dE^2}{E^2 - \omega^2 - i\delta} \text{Im} R(\mathbf{q}, E)$$

# Response functions

$$A = R \times I$$

causality requirements



Kramers-Kronig relations

$$R(\mathbf{q}, \omega) = R(\mathbf{q}, \infty) + \frac{1}{\pi} \int_0^{\infty} \frac{dE^2}{E^2 - \omega^2 - i\delta} \text{Im} R(\mathbf{q}, E)$$

static case

$$R(\mathbf{q}, 0) = R(\mathbf{q}, \infty) + \frac{1}{\pi} \int_0^{\infty} \frac{dE^2}{E^2} \text{Im} R(\mathbf{q}, E)$$

# Electromagnetic response functions

$$\delta\mathbf{D}(\mathbf{q}, \omega) = \underline{\varepsilon(\mathbf{q}, \omega)} \delta\mathbf{E}(\mathbf{q}, \omega)$$

or

$$\delta\mathbf{E}(\mathbf{q}, \omega) = \underline{\varepsilon^{-1}(\mathbf{q}, \omega)} \delta\mathbf{D}(\mathbf{q}, \omega)$$

$$\text{div } \delta\mathbf{D} = 4\pi\delta\rho_{\text{ext}},$$

$$\text{div } \delta\mathbf{E} = 4\pi\delta\rho_{\text{tot}},$$

$$1 / \boldsymbol{\varepsilon}(\mathbf{q}, 0) = 1 + \frac{1}{\pi} \int_0^{\infty} \frac{dE^2}{E^2} \text{Im } 1 / \boldsymbol{\varepsilon}(\mathbf{q}, E) \quad \text{arbitrary } \mathbf{q}$$

$$\boldsymbol{\varepsilon}(\mathbf{q} = 0, 0) = 1 + \frac{1}{\pi} \int_0^{\infty} \frac{dE^2}{E^2} \text{Im } \boldsymbol{\varepsilon}(\mathbf{q} = 0, E) \quad \mathbf{q} \rightarrow \mathbf{0}$$

$$1 / \varepsilon(\mathbf{q}, 0) = 1 + \frac{1}{\pi} \int_0^{\infty} \frac{dE^2}{E^2} \operatorname{Im} 1 / \varepsilon(\mathbf{q}, E) \quad \text{arbitrary } \mathbf{q}$$

$$\varepsilon(\mathbf{q} = 0, 0) = 1 + \frac{1}{\pi} \int_0^{\infty} \frac{dE^2}{E^2} \operatorname{Im} \varepsilon(\mathbf{q} = 0, E) \quad \mathbf{q} \rightarrow \mathbf{0}$$

requirements of **stability**

$\operatorname{Im} \varepsilon(\mathbf{q}, \omega) \geq 0$ , or  $\operatorname{Im} 1 / \varepsilon(\mathbf{q}, \omega) \leq 0$ , for all  $\mathbf{q}, \omega$



$$1 / \varepsilon(\mathbf{q}, 0) = 1 + \frac{1}{\pi} \int_0^{\infty} \frac{dE^2}{E^2} \text{Im } 1 / \varepsilon(\mathbf{q}, E) \quad \text{arbitrary } \mathbf{q}$$

$$\varepsilon(\mathbf{q} = 0, 0) = 1 + \frac{1}{\pi} \int_0^{\infty} \frac{dE^2}{E^2} \text{Im } \varepsilon(\mathbf{q} = 0, E) \quad \mathbf{q} \rightarrow \mathbf{0}$$

requirements of **stability**

$\text{Im } \varepsilon(\mathbf{q}, \omega) \geq 0$ , or  $\text{Im } 1 / \varepsilon(\mathbf{q}, \omega) \leq 0$ , for all  $\mathbf{q}, \omega$

$$1 / \varepsilon(\mathbf{q}, 0) \leq 1 \quad (\varepsilon(\mathbf{q}, 0) \geq 1, \varepsilon(\mathbf{q}, 0) \leq 0)$$

$$\varepsilon(\mathbf{0}, 0) \geq 1$$

# Local field effects

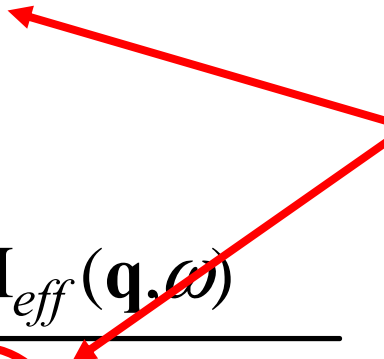
- Lorentz-Lorenz formula

$$\epsilon(\omega) = 1 + 4\pi n \alpha(\omega) / (1 - 4\pi / 3n \alpha(\omega)) \quad \alpha > 0$$

- General expression

$$\epsilon(\mathbf{q}, \omega) = 1 - \frac{(4\pi/q^2) \Pi_{eff}(\mathbf{q}, \omega)}{1 + (4\pi/q^2) G(\mathbf{q}) \Pi_{eff}(\mathbf{q}, \omega)} \quad \Pi_{eff} < 0$$

local field corrections



# Wigner crystal

- Dielectric function

$$\frac{1}{\epsilon(\mathbf{q}, \omega)} = 1 - \frac{\omega_{\text{pl}}^2}{q^2} \sum_{\lambda} \frac{(\mathbf{q}\mathbf{e}_{\mathbf{q}\lambda})^2}{\omega^2(\mathbf{q}, \lambda) - \omega^2}$$

$$\sum_{\lambda} (\mathbf{n}\mathbf{e}_{\mathbf{q}\lambda})^2 = 1$$

$$\frac{1}{\epsilon(\mathbf{q}, 0)} = \sum_{\lambda} \left\{ (\mathbf{n}\mathbf{e}_{\mathbf{q}\lambda})^2 \left[ 1 - \frac{\omega_{\text{pl}}^2}{\omega^2(\mathbf{q}, \lambda)} \right] \right\} \leq 0$$

$$\sum_{\lambda} \omega^2(\mathbf{q}, \lambda) = \omega_{\text{pl}}^2$$

$$\epsilon(\mathbf{q}, 0) < 0$$

**sum rule**

- Negative for all  $\mathbf{q}$

# Dielectric functions for real systems

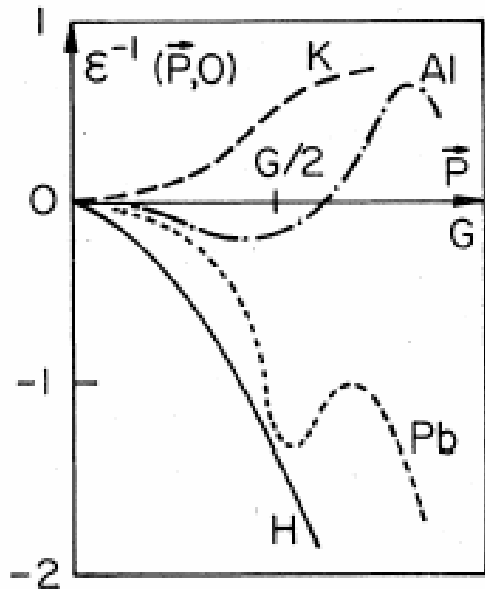


FIG. 2. Static dielectric function for normal metals (K, Al, Pb, and metallic H) vs wave vector in  $(1, 0, 0)$  direction ( $G$ —reciprocal lattice vector).

normal metals : *K, Al, Pb*

hypothetical metallic *H*

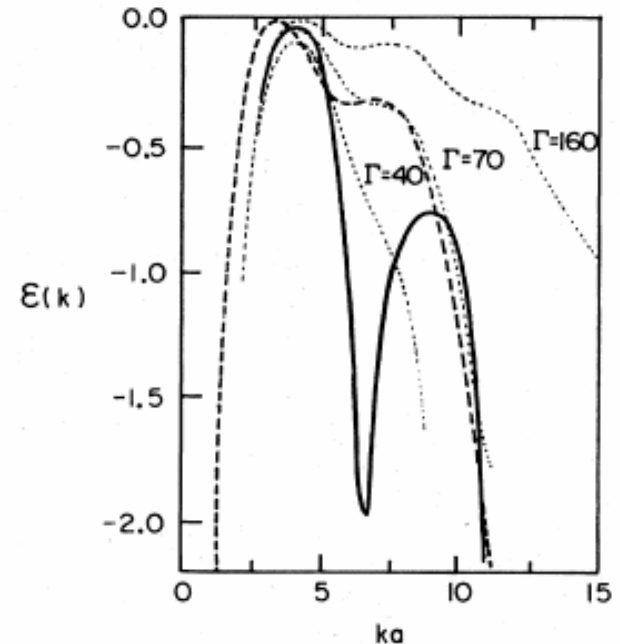


FIG. 1. Statistical dielectric function for classical charged fluids vs wave number. Dotted curves: classical one-component plasma, for three values of plasma parameter  $\Gamma$ ; dashed curve: symmetric molten salt; full curve: molten

classical charge fluid

## Two bounds on the maximum phonon-mediated superconducting transition temperature

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and Materials Sciences Division, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA*

(Received 2 June 2006; published 29 September 2006)

The argument of Cohen and Anderson postulates that stability requires **the static dielectric function of a material to be positive**, which leads to an **upper bound on  $T_{\text{cof}}$  approximately 10 K**. This bound and stability requirement are subsequently dismissed in the paper because of the **neglect of local fields** and umklapp processes, and further work<sup>4</sup> has clarified that **stability only requires a positive static dielectric function at long wavelengths**.

<sup>4</sup>O. V. Dolgov, D. A. Kirzhnits, and E. G. Maksimov, *Rev. Mod. Phys.* **53**, 81 (1981).

# Parameters, which determine $T_c$

$$T_c \approx \omega_{\text{in}} \exp\left[-\frac{1+\lambda}{\lambda-\mu^*}\right]$$

- large energies of intermediate **bosons** (phonons, excitons, spin-fluctuations, *etc.*)
- large coupling constants

$$\omega_{\text{in}} \approx \sqrt{\frac{1}{M}}$$

$$\lambda = 2 \int d\Omega \alpha^2(\Omega) F(\Omega) \frac{1}{\Omega}$$

$$\alpha_{\mathbf{k}\lambda}^2(\Omega) F(\Omega) = \frac{1}{N(0)} \sum_{\mathbf{k}', \lambda', \nu} \left| g_{\mathbf{k}', \mathbf{k}}^{\lambda, \lambda', \nu} \right|^2 \delta(\omega_{\mathbf{k}'-\mathbf{k}, \nu} - \Omega) \delta(\varepsilon_{\mathbf{k}' \lambda'}),$$

# $\alpha^2 F(\omega)$ from tunneling conductance

- planar junctions  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8 - \text{GaAs}$  (and Au)
- break-junctions from  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$

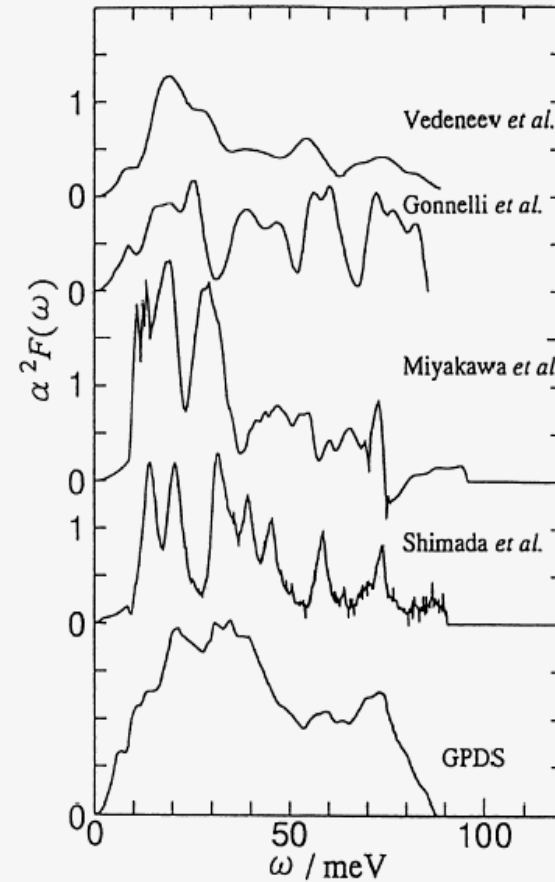
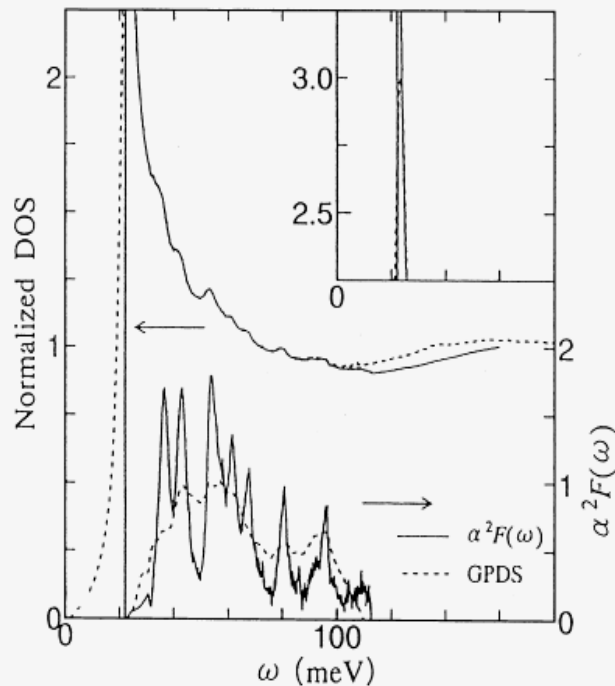


Fig. 58. The spectral function  $\alpha^2 F(\omega)$  and the calculated density of states at 0 K (upper solid line) obtained from the conductance measurements on the Bi(2212)-Au planar tunneling junction; from [42].

# BCS, McMillan, Eliashberg

$$\Delta(i\omega_n)Z(i\omega_n) = \pi T \sum_{m=-\infty}^{+\infty} [\lambda \text{sign}(m-n) - \mu] \Delta(i\omega_m) / |\omega_m|$$

$$\omega_n Z(i\omega_n) = \omega_n + \pi T \sum_{m=-\infty}^{+\infty} \lambda \text{sign}(m-n) \text{sign}(\omega_m)$$

- 1)  $Z(0) = 1 + \lambda$  - mass renormalization
- 2) frequency dependence of  $\lambda$  

Mass renormalization *increases* DOS;  
why does it reduce the coupling?

$$T_c \approx 1.14 \omega_{ph} \exp \left[ \frac{-1}{\lambda - \mu^*} \right]$$

$$\lambda \rightarrow \frac{\lambda}{1 + \lambda}$$

$$1.14 \rightarrow 1/1.2$$



# Strong coupling

BCS  $1 = T_c \lambda \int_{-\theta_D}^{\theta_D} d\xi \sum_{n=-\infty}^{\infty} \frac{1}{(2n+1)^2 (\pi T_c)^2 + \xi^2};$

$$2\pi T_c \ll \theta_D$$

or  $\lambda \ll 1$

$$1 = \lambda \left[ \ln \left( \theta_D / 2\pi T_c \right) - \ln \left( e^{-\gamma} / 4 \right) \right]$$

$$T_c \approx 1.13 \theta_D \exp \left( -1 / \lambda \right);$$

$$2\pi T_c \gg \theta_D$$

or  $\lambda \gg 1$

$$1 \approx \lambda \frac{2\theta_D}{\pi^2 T_c}$$

$$T_c \approx \lambda \frac{2\theta_D}{\pi^2}$$

# Strong coupling

BCS  $1 = T_c \lambda \int_{-\theta_D}^{\theta_D} d\xi \sum_{n=-\infty}^{\infty} \frac{1}{(2n+1)^2 (\pi T_c)^2 + \xi^2};$

$$2\pi T_c \ll \theta_D$$

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$$T_c \approx 1.13 \theta_D \exp \left( -1 / \lambda \right);$$

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or  $\lambda \gg 1$

$$1 \approx \lambda \frac{2\theta_D}{\pi^2 T_c}$$

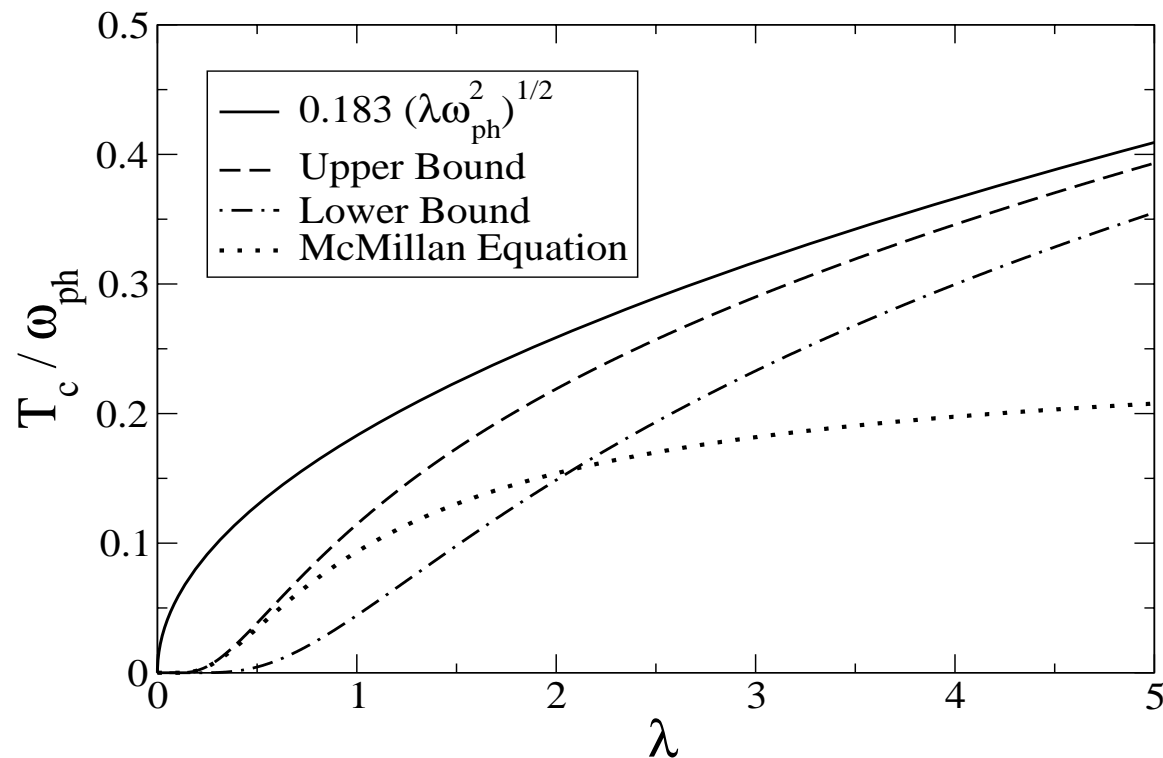
$$T_c \approx \cancel{\lambda} \frac{2\theta_D}{\pi^2}$$

$\sqrt{\lambda}$

# Superstrong coupling ( $\lambda \gg 1$ )

$$0 \leq T_c \leq 0.183 \sqrt{\lambda \omega_{\text{ph}}^2}, \quad \bar{\lambda}(\omega^2) = \sum_i \frac{\tilde{\eta}_i}{M_i}$$

$$\tilde{\eta}_i(E) = \nabla_{\mathbf{r}_i} \left| \frac{\partial V_{\text{SCF}}(\mathbf{r}_i, \mathbf{r}_i)}{\partial \mathbf{r}_i} \right|^2$$



# Metallic Hydrogen

E.G.Maksimov, D.Savrasov

SSC.119,569 (2001)

$$|\Omega_{j1}| = \sqrt{\frac{4\pi e^2 R}{M}} \quad (9)$$

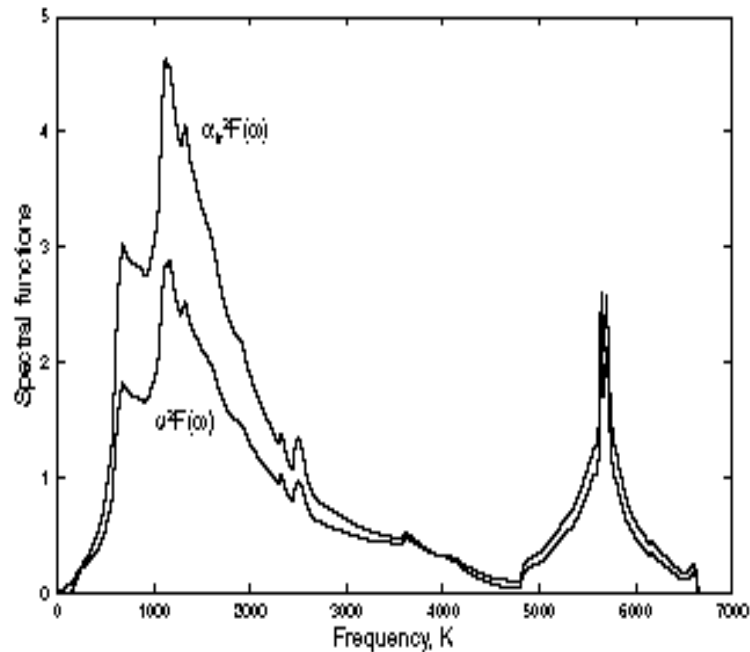
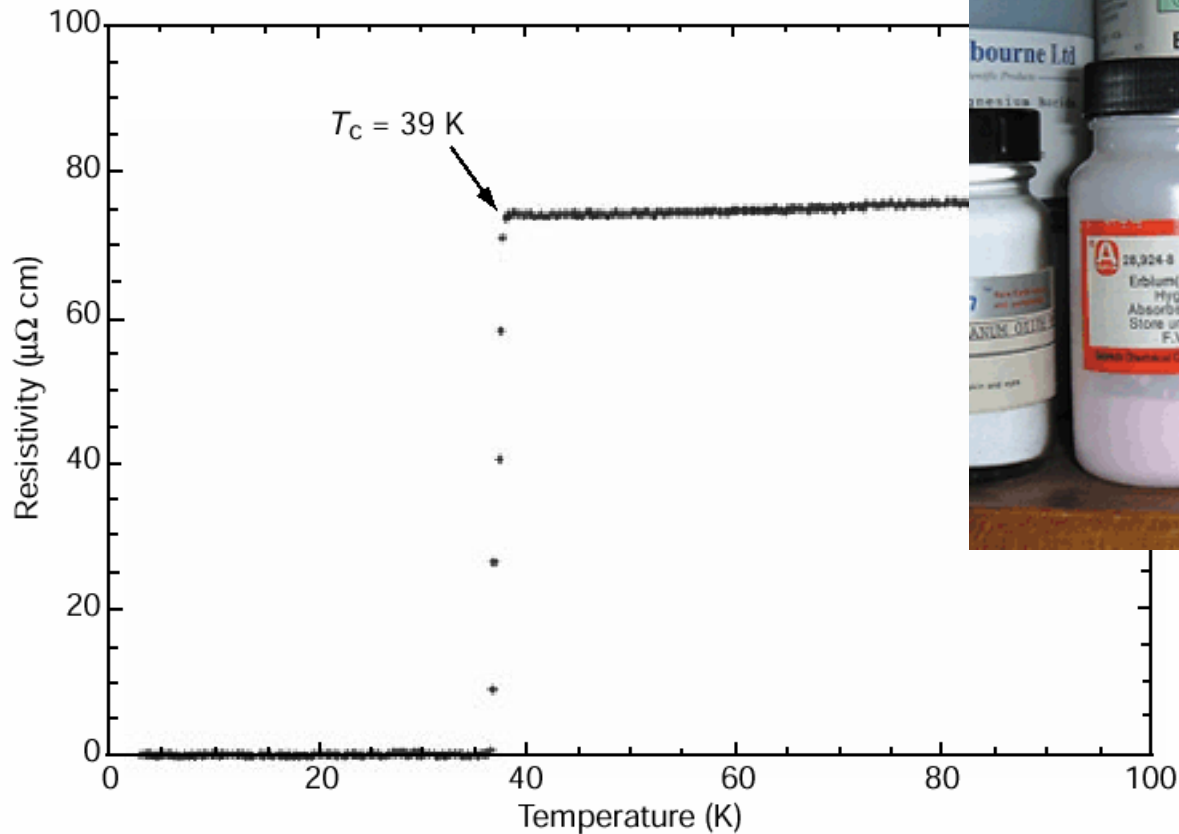


Fig. 2. Calculated spectral and transport spectral function of electron-phonon interaction of metallic hydrogen in FCC lattice for  $r_s = 1$ .

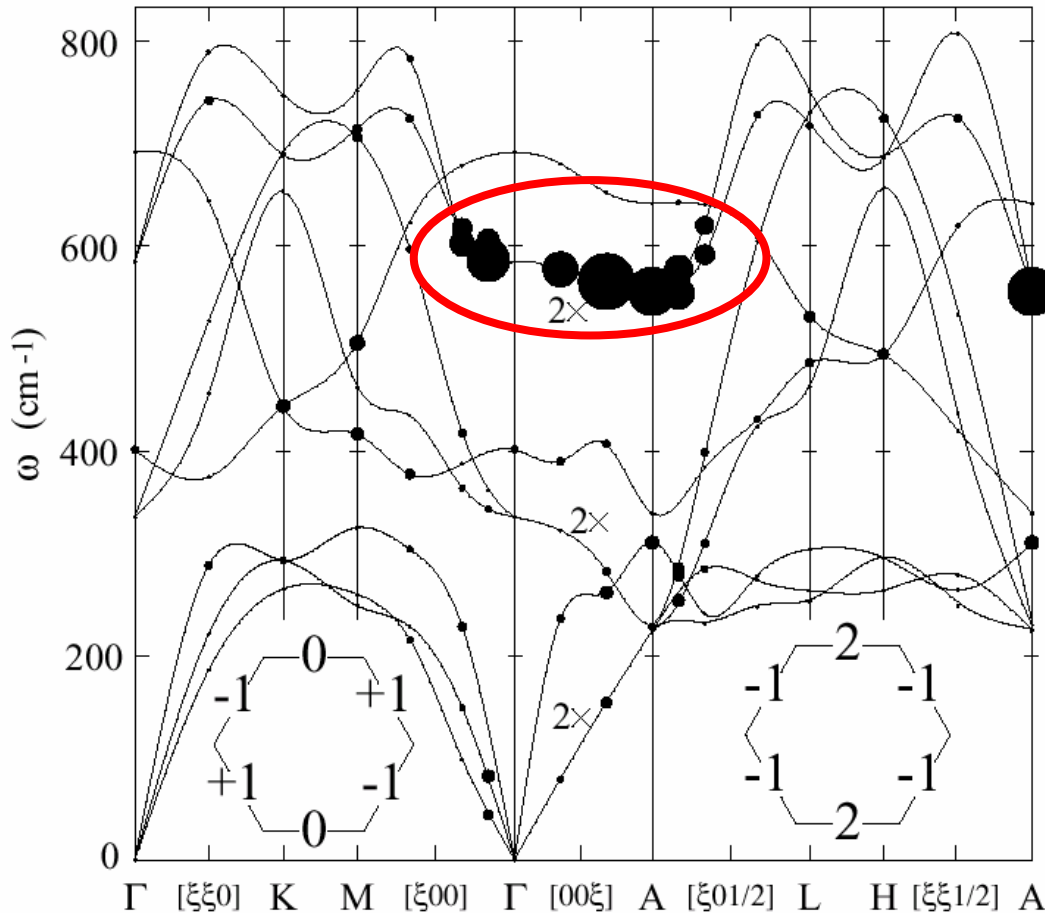
$\lambda = 7.33,$   
 $T_c = 600 \text{ K} !$

# Superconductivity at 39K in MgB<sub>2</sub>



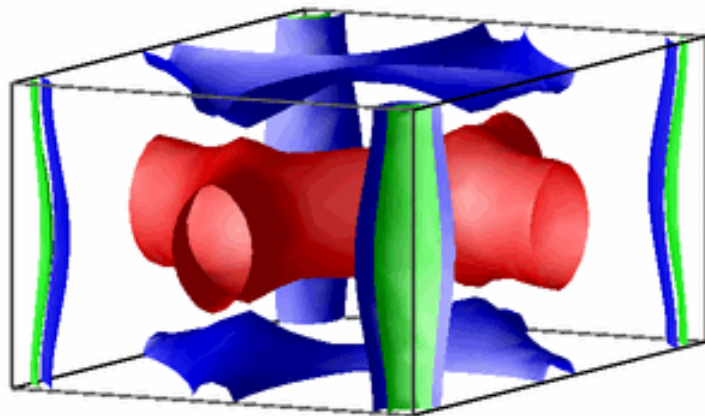
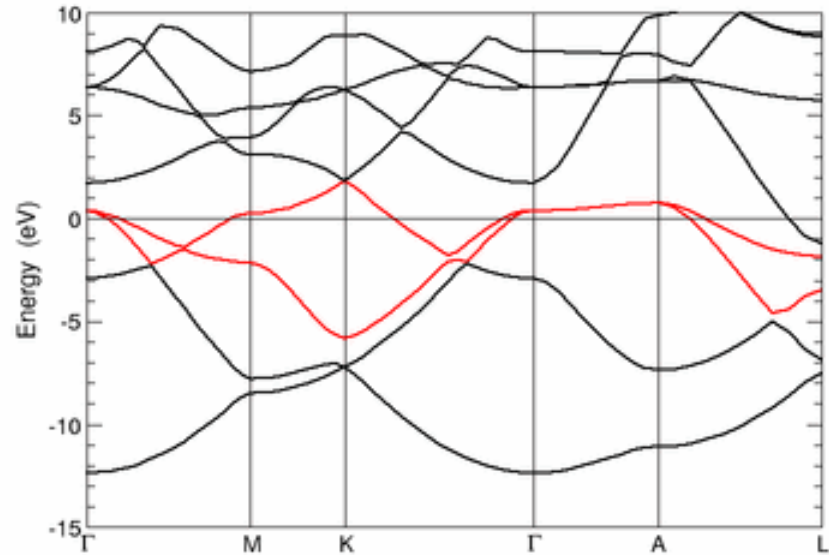
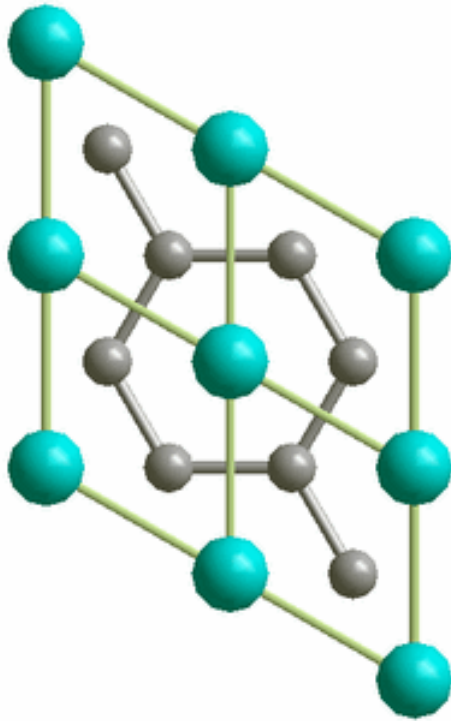
Akimitsu *et al.* Nature (London) **410**, 63 (2001)

# Something unusual is going on



The quasi-2D  $\diamond$  bands couple to the optical B-B bond-stretching modes which are softened by the e-ph coupling and are strongly anharmonic.

# Coupling of the $E_{2g}$ phonon mode to the electronic structure



# *Journal of Superconductivity and Novel Magnetism, Vol. 19, Nos. 3–5. July 2006 (C 2006)*

## **Electron–Phonon-Induced Superconductivity**

Marvin L. Cohen<sup>1,2</sup>

*Published online: 12 December 2006*

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Some background regarding the evolution of calculations, predictions, and approaches to understand and increase  $T_c$  for phonon-mediated superconductivity is presented. This is followed by a description of a successful “after the fact” theoretical study of  $\text{MgB}_2$  and a description of current thinking about the relationship between phonon renormalization and  $T_c$ . It is then argued that in the search for high  $T_c$ s the evolution has gone from searching for high density of states materials to high electron–phonon couplings described by  $\lambda$ . Finally, it is suggested that the focus on finding large  $\lambda$  can be supplemented by a search for large electronic spring constants  $\eta$ . This is expected to be particularly relevant for the highest  $T_c$  materials in this class of superconductors.

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**KEY WORDS:** Superconductivity; electron-phonon interactions; superconducting transition temperature maximizing.



# *Journal of Superconductivity and Novel Magnetism, Vol. 19, Nos. 3–5, July 2006*

## **Design for a Room-Temperature Superconductor**

W. E. Pickett<sup>1</sup>

*Published online: 19 December 2006*

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The vision of “room-temperature superconductivity” has appeared intermittently but prominently in the literature since 1964, when W. A. Little and V. L. Ginzburg began working on the *problem of high-temperature superconductivity* around the same time. Since that time the prospects for room-temperature superconductivity have varied from gloom (around 1980) to glee (the years immediately after the discovery of HTS), to wait-and-see (the current feeling). Recent discoveries have clarified old issues, making it possible to construct the blueprint for a viable room-temperature superconductor.

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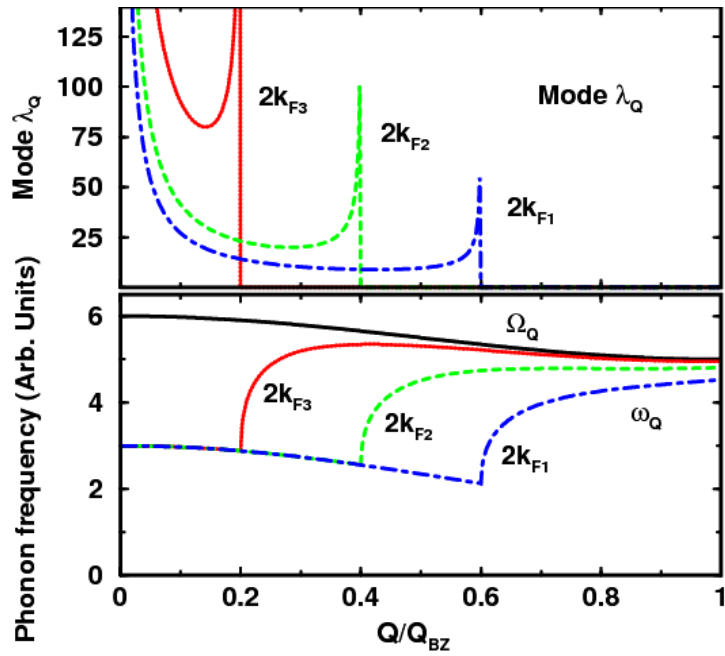
# W.E. Pickett: $T_c=430\text{K} !!!$

$$\lambda=22.5$$

## Problems:

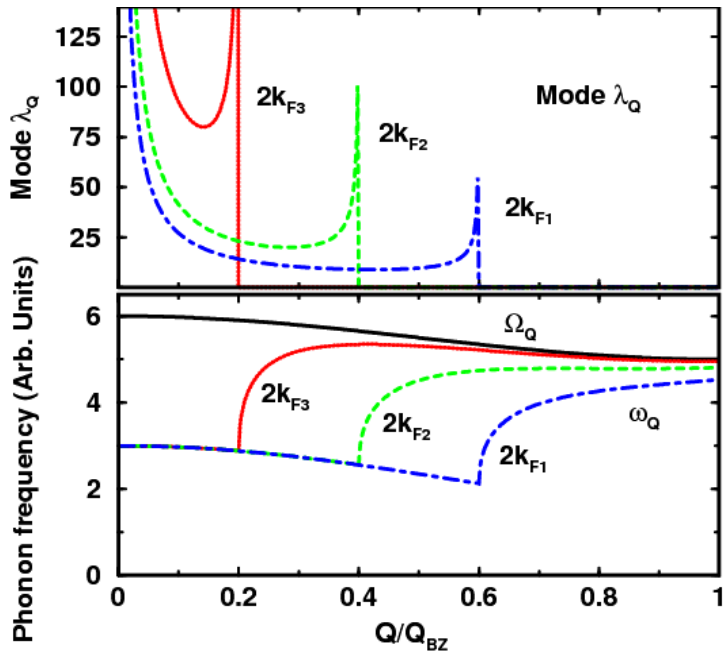
- lattice stability ?
- pair-breaking effect  
by low-energy phonons  
(Dolgov&Golubov, *PRB*, **77**,  
214526(2008))

This extension from  $\text{MgB}_2$  is not yet optimum, because  $\text{MgB}_2$  uses only 2/9 of its branches. Optimally, every branch would be drafted into service in strong coupling, giving another factor of 4.5, or a total enhancement of  $\sim 30\text{--}35$ . The strongly coupled modes in  $\text{MgB}_2$  have mode- $\lambda_Q$ 's of mean value 20–25 (calculations so far have not been precise enough to pin this down). Let us not be pessimistic, and therefore use  $\bar{\lambda}_Q = 25$ ; this value is consistent with the value of  $\lambda$  from the strongly coupled modes divided by 3%, i.e.  $0.7/0.03 \sim 23$ . Then with 90% participation of the phonons  $\lambda = 25 \times 0.90 = 22.5$ . Using the Allen–Dynes equation [33] to account properly for the strong-coupling limit that is being approached, and using the  $\text{MgB}_2$  frequency of 60 meV, one obtains  $T_c = 430\text{ K}$ . The strong coupling limit [34] of the ratio  $2\Delta/k_B T_c$  is 13, so we can estimate the gap of such a superconductor to be  $2\Delta \sim 12k_B T_c \sim 0.4\text{ eV}$ . This will be an interesting superconductor indeed.



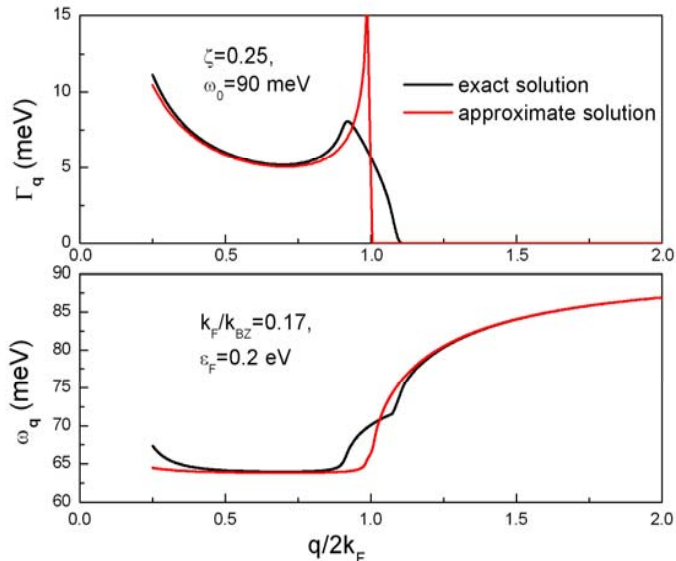
$$\lambda_Q = \frac{1}{MN(0)\omega_Q^2} \sum_{\mathbf{k}} |g_{\mathbf{k},\mathbf{k}+\mathbf{Q}}|^2 \delta(\epsilon_{\mathbf{k}}) \delta(\epsilon_{\mathbf{k}+\mathbf{Q}})$$

W.E. Pickett



$$\lambda_{\mathbf{Q}} = \frac{1}{MN(0)\omega_{\mathbf{Q}}^2} \sum_{\mathbf{k}} |g_{\mathbf{k},\mathbf{k}+\mathbf{Q}}|^2 \delta(\epsilon_{\mathbf{k}})\delta(\epsilon_{\mathbf{k}+\mathbf{Q}})$$

W.E. Pickett



$$\lambda_{\mathbf{Q}} = \frac{1}{MN(0)} \sum_{\mathbf{k}} |g_{\mathbf{k},\mathbf{k}+\mathbf{Q}}|^2 \frac{\delta(\epsilon_{\mathbf{k}})\delta(\epsilon_{\mathbf{k}+\mathbf{Q}})}{\omega_{\mathbf{Q}}^2 + \Gamma_{\mathbf{Q}}^2}$$

O.V.D., O.K. Andersen, I.I. Mazin  
PRB (2008)

Phys. Rev. B **74**,094520 (2006)

# Two Bounds on the Maximum Phonon-Mediated Superconducting Transition Temperature

Jonathan E. Moussa\* and Marvin L. Cohen

*Department of Physics, University of California at Berkeley, and Materials Sciences Division,  
Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA*

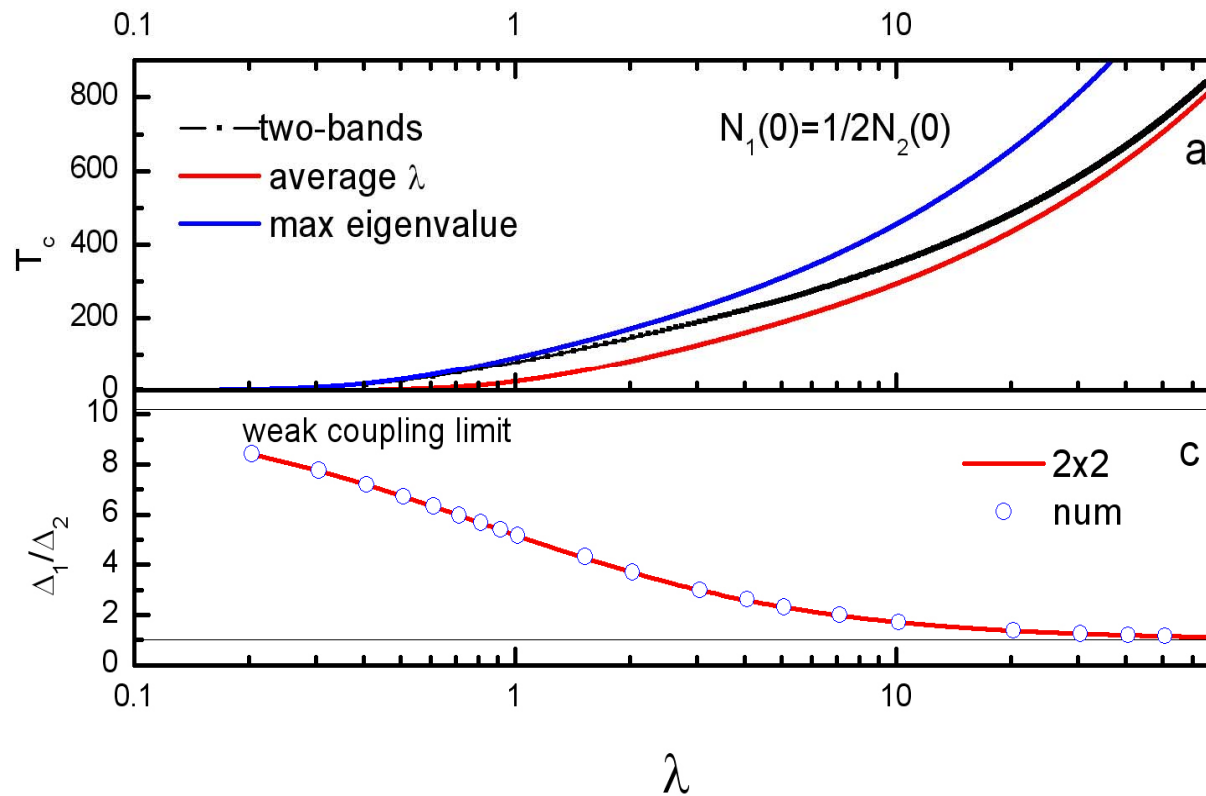
(Dated: July 31, 2006)

Two simple bounds on the  $T_c$  of conventional, phonon-mediated superconductors are derived within the framework of Eliashberg theory in the strong coupling regime. The first bound is set by the total electron-phonon coupling available within a material given the hypothetical ability to arbitrarily dope the material. This bound is studied by deriving a generalization of the McMillan-Hopfield parameter,  $\tilde{\eta}(E)$ , which measures the strength of electron-phonon coupling including anisotropy effects and rigid-band doping of the Fermi level to  $E$ . The second bound is set by the softening of phonons to instability due to strong electron-phonon coupling with electrons at the Fermi level. We apply these bounds to some covalent superconductors including  $\text{MgB}_2$ , where  $T_c$  reaches the first bound, and boron-doped diamond, which is far from its bounds.

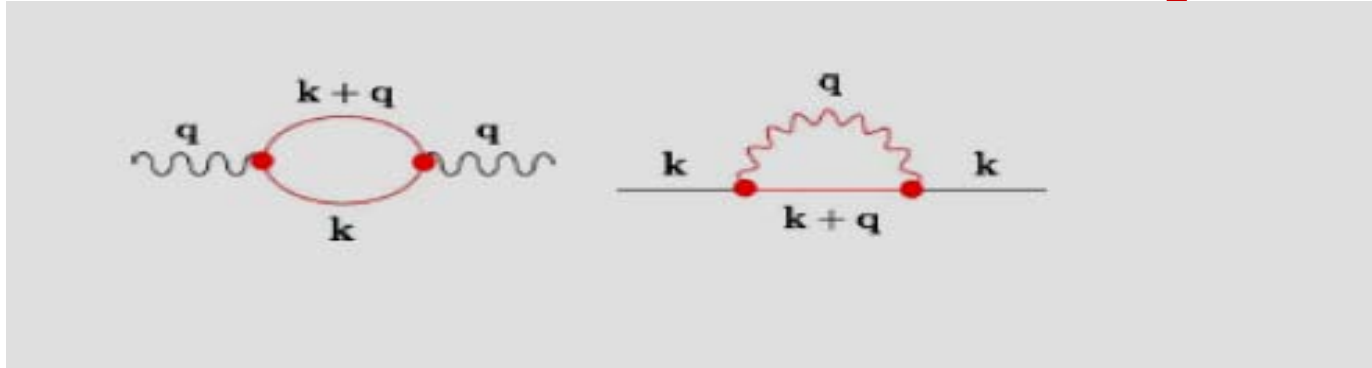
Hypothetical  $BC$  (boron-doped diamond)

$\lambda=3.6$ ,  $T_c=140 - 160$  K

# Anisotropy **vanishes** for strong coupling



# Phonon instability

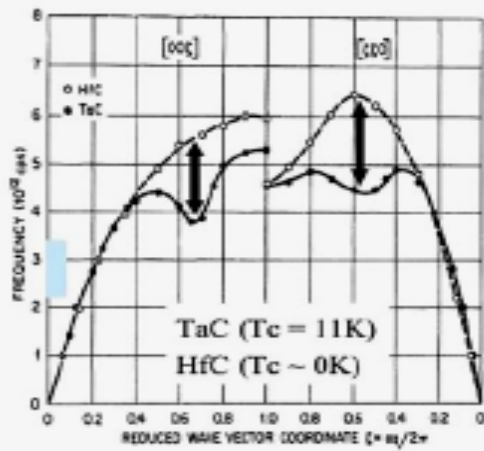


$$\omega_{\mathbf{q}}^2 = \omega_{bare}^2 - 2 \sum_{\mathbf{k}} \frac{|g|^2}{M} \frac{n_{\mathbf{k}} - n_{\mathbf{k}+\mathbf{q}}}{\varepsilon_{\mathbf{k}+\mathbf{q}} - \varepsilon_{\mathbf{k}}}$$

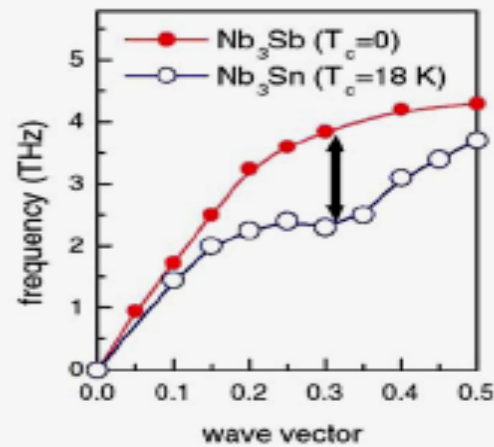
$$\Sigma(\mathbf{k}, \omega) = \sum_{\mathbf{q}} \delta(\varepsilon_{\mathbf{k}+\mathbf{q}}) \frac{|g|^2}{2M} \int d\xi \left[ \frac{\theta(\xi)}{\omega - \xi - \omega_{\mathbf{q}} + i\delta} + \frac{\theta(-\xi)}{\omega - \xi + \omega_{\mathbf{q}} - i\delta} \right]$$

$$\lambda_A = \frac{N(0) |g|^2}{M \omega_{bare}^2}; \lambda = \frac{N(0) |g|^2}{M \omega_{\mathbf{q}}^2}$$

$$\lambda = \frac{\lambda_A}{1 - 2\lambda_A}$$

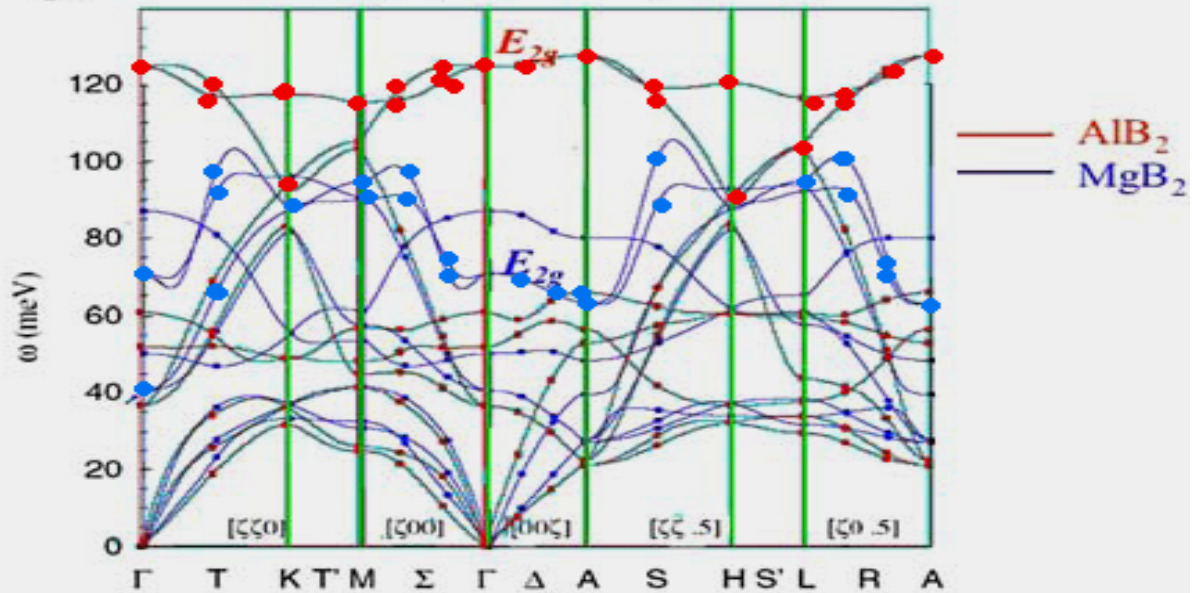


H. G. Smith and W. Glaser. PRL 25, 1611 (1970).



L. Pintschovius et al, PRL 54, 1260 (1985)  
 L. Pintschovius et al PRB 28, 5866 (1983)  
 L. Pintschovius, phys. stat. sol (b) 242, 30 (2005)

### $E_{2g}$ phonon in $MgB_2$ and $AlB_2$



K. P. Bohnen, R. Heid, and B. Renker, PRL 86, 5771 (2001).



# Excitonic mechanisms

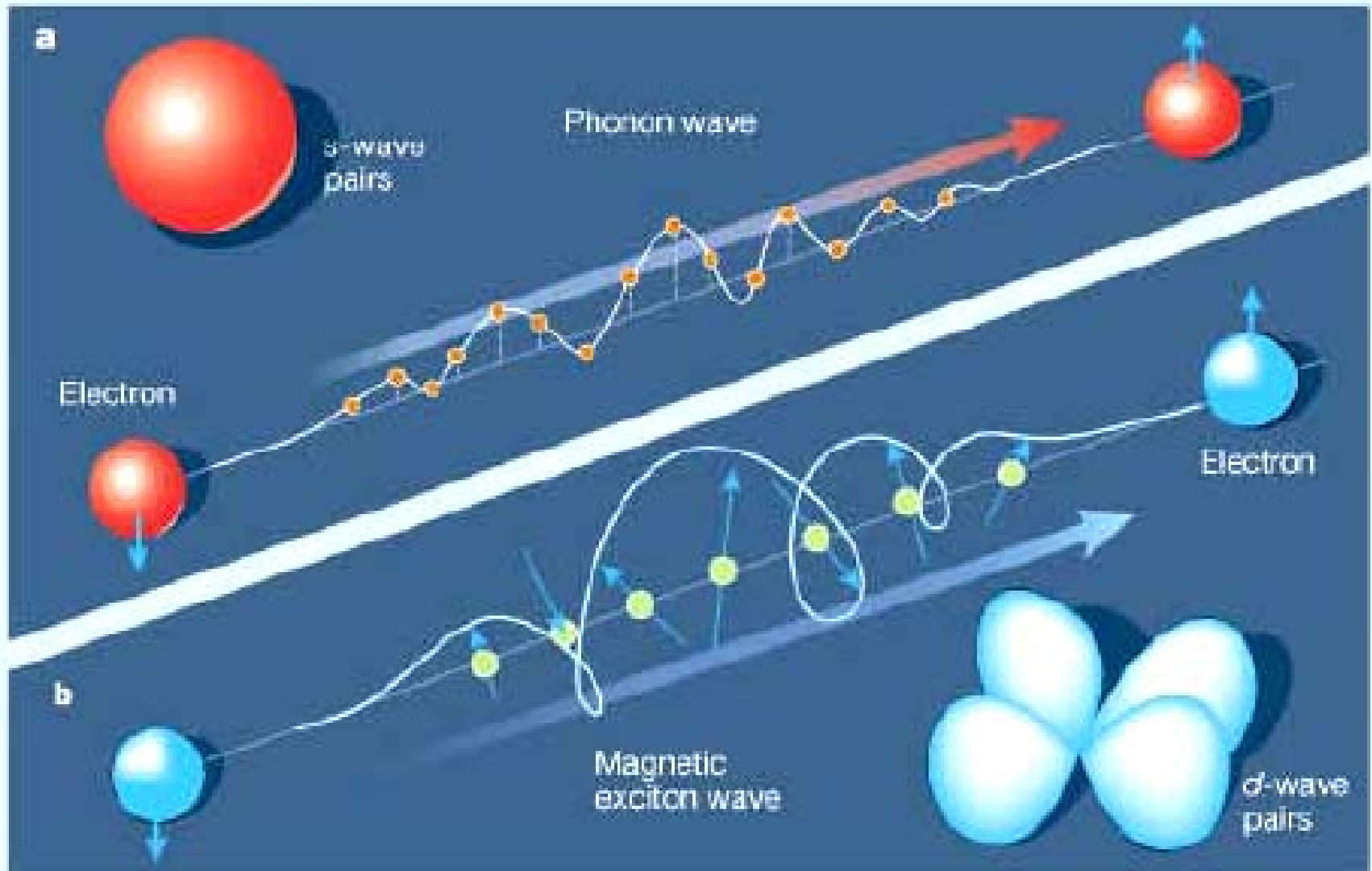
- Negative **Electronic** Dielectric Function

$$\epsilon_{el}(\mathbf{q}, 0) < 0$$

- Problems with the stability of **phonons**

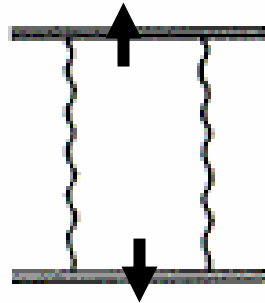
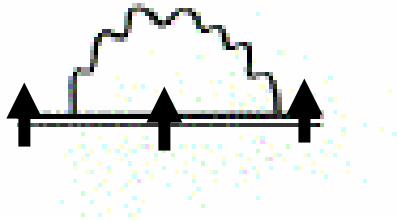
$$\omega_{ph}^2(\mathbf{q}) = \frac{\Omega_{pl}^2}{\epsilon_{el}(\mathbf{q}, 0)} \leq 0; \quad \Omega_{pl}^2 = \frac{4\pi Z_i^2 e^2 N_i}{M_i}$$

# Interaction via spin-waves



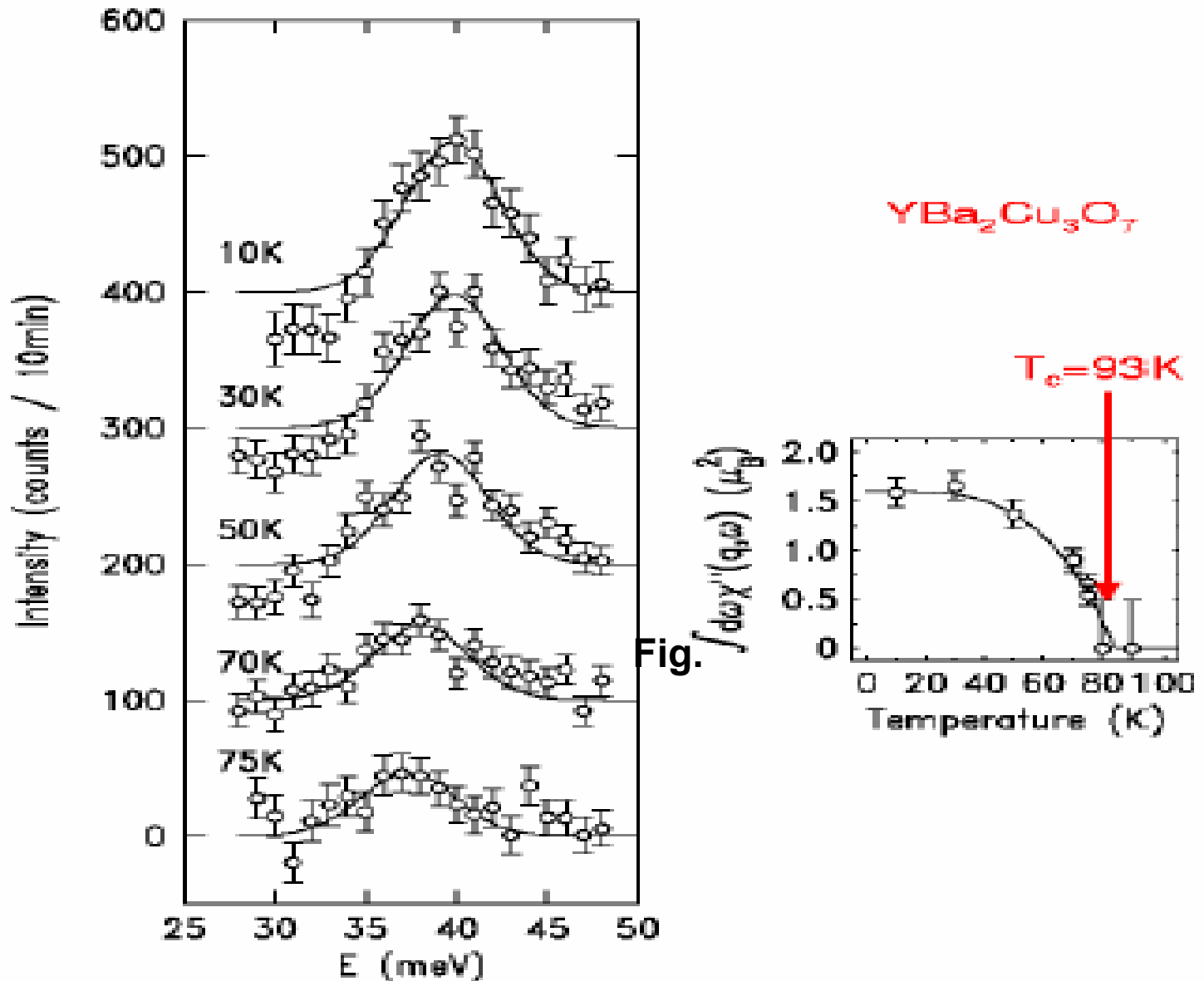
# Spin fluctuations

- How exactly are spin fluctuations different from phonons?
- Phonon induced superconductivity is much better understood than spin-fluctuation induced (despite comparable history – *Berk-Schrieffer '66, Fay-Appel '77-80*)
- Opposite effect of  $\bullet_{SF}$  on  $Z$  and on  $\kappa$  (in singlet pairing):



Spin fluctuations *increase* the mass but *decrease* the net pairing strength

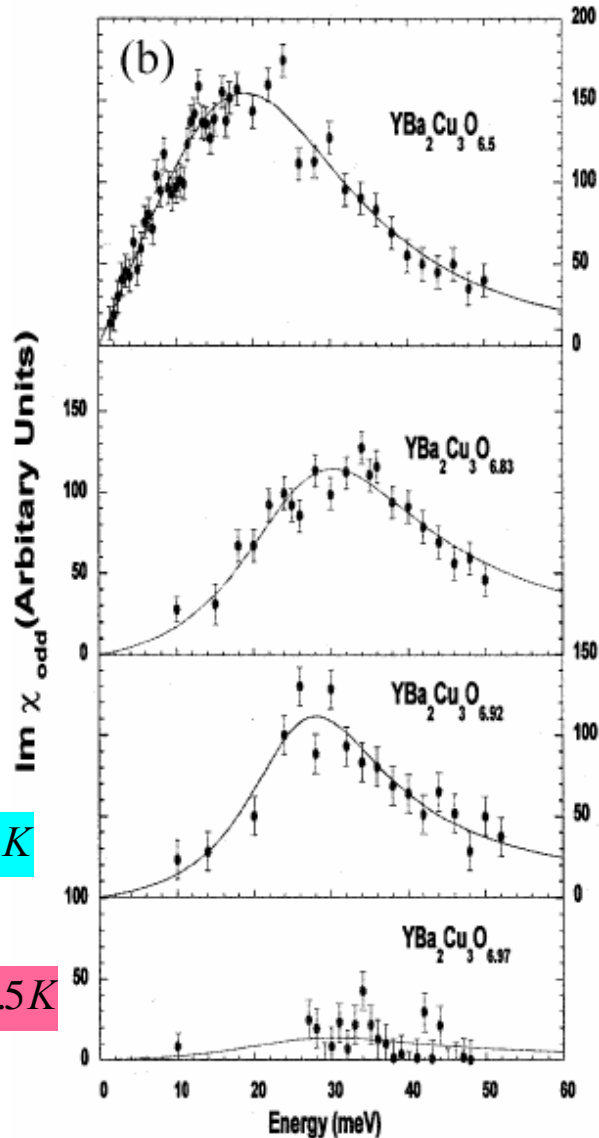
# Spin resonance B. Keimer(2002)



# Magnetic neutron scattering and NMR against SFI



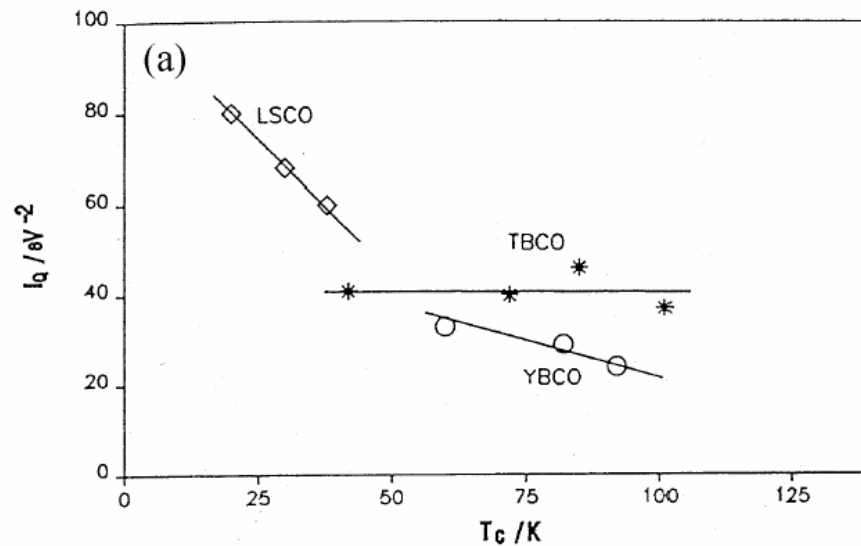
Normal State, 100 K,  $Q=(\pi,\pi)$



$T_c = 91 K$

$T_c = 92.5 K$

$$I_Q = \lim_{\omega \rightarrow 0} (\text{Im } \chi(Q, \omega) / \omega)$$



$$\lambda_{sf}^{pair} \propto g_{sfi}^2 \int d\omega \frac{\text{Im } \chi(Q, \omega)}{\omega}$$

- big change of  $\chi(Q, \omega)$  but small change of  $T_c$  !

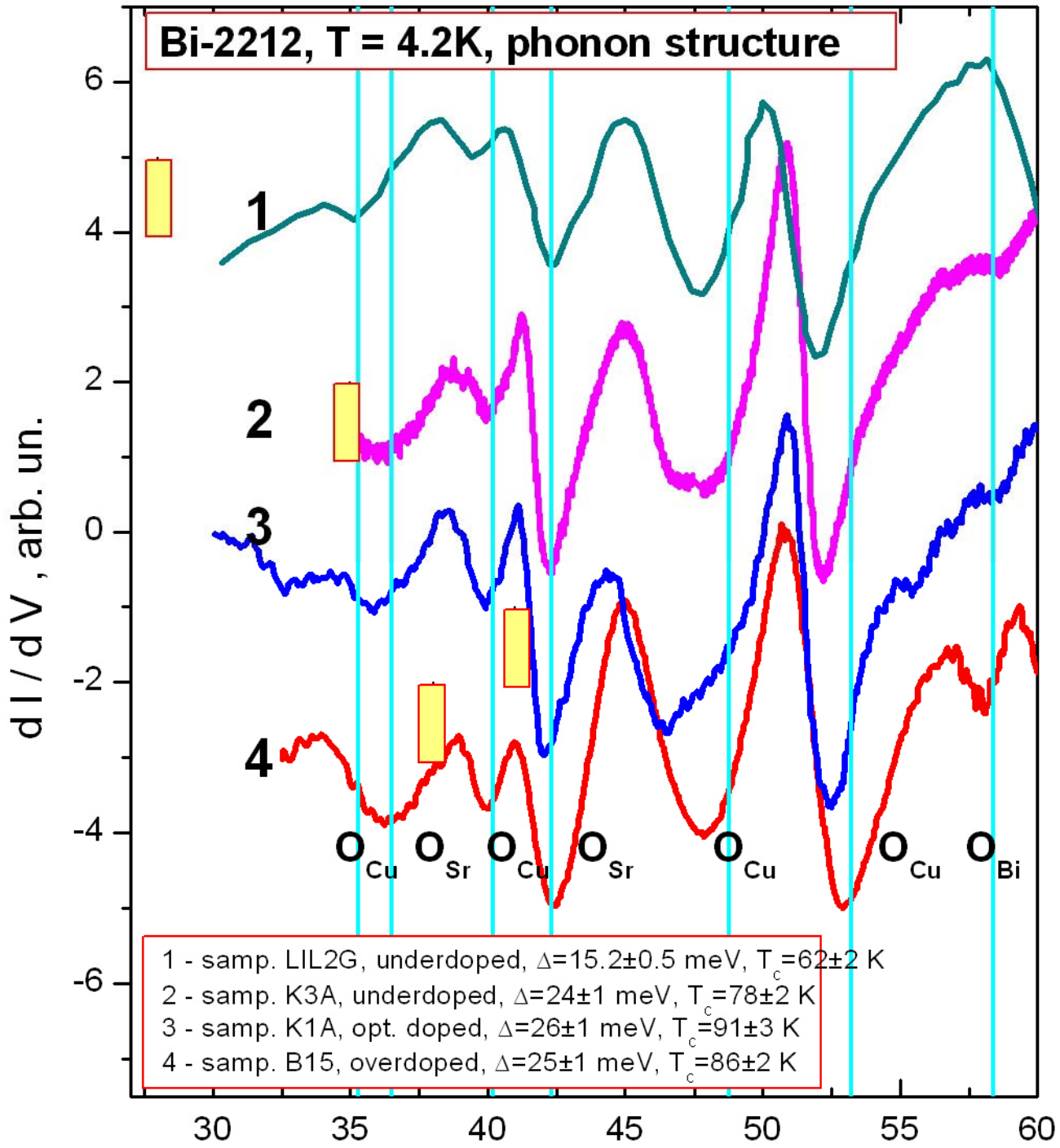
# Spin fluctuations

$$\tilde{\lambda}_{sp} = 2 \sum_{\mathbf{q}} \int_0^T \frac{d\omega}{\omega} g_{sp}^2 \operatorname{Im} \chi(\mathbf{q}, \omega). \quad n_s \sim \sum_{\mathbf{q}} \int_0^{\infty} d\omega \operatorname{Im} \chi(\mathbf{q}, \omega).$$

$$\tilde{n}_s \sim \sum_{\mathbf{q}} \int_0^T d\omega \operatorname{Im} \chi(\mathbf{q}, \omega). \quad n_s \sim 1.$$

$$\tilde{\lambda}_{sp} = \lambda_{sp} \frac{\tilde{n}_s}{n_s}, \quad \tilde{n}_s \approx 3.2\%$$

$$\lambda_{sp} \approx \frac{t}{ZU} \approx 0.1$$



d-wave

Non-phonon

$$Z(i\omega_n)\Delta(\mathbf{k}, i\omega_n) = \pi T_c \sum_{n'}^{\Omega_{\text{n.ph}}} \sum_{\mathbf{q}} V_{\text{n.ph}}(\mathbf{k}, \mathbf{q}, \omega_n, \omega_{n'}) \frac{\Delta(\mathbf{q}, i\omega_{n'})}{|\omega_{n'}|}$$

$$Z(i\omega_n) \approx 1 + \Gamma_{ep} / \omega_n.$$

$$\cancel{\Gamma_{ep}} \blacklozenge 2 \cancel{\mathcal{V}}_{ep} T$$



d-wave

$$Z(i\omega_n)\Delta(\mathbf{k}, i\omega_n) = \pi T_c \sum_{n'}^{\Omega_{\text{n.ph}}} \sum_{\mathbf{q}} V_{\text{n.ph}}(\mathbf{k}, \mathbf{q}, \omega_n, \omega_{n'}) \frac{\Delta(\mathbf{q}, i\omega_{n'})}{|\omega_{n'}|}$$

Non-phonon

$$Z(\omega) \approx 1 + i\Gamma_{ep} / \omega \quad \leftarrow_{ep} \blacklozenge 2\mathcal{V}_{ep}^2 T$$

Damping due to phonons

$$T_c \blacklozenge T_{c0} e^{-\tau_{ep}}$$

d-wave

$$Z(i\omega_n)\Delta(\mathbf{k}, i\omega_n) = \pi T_c \sum_{n'}^{\Omega_{\text{n.ph}}} \sum_{\mathbf{q}} V_{\text{n.ph}}(\mathbf{k}, \mathbf{q}, \omega_n, \omega_{n'}) \frac{\Delta(\mathbf{q}, i\omega_{n'})}{|\omega_{n'}|}$$

Non-phonon

$$Z(\omega) \approx 1 + i\Gamma_{ep} / \omega \quad \cancel{\Gamma_{ep}} \blacklozenge 2\mathcal{V}_{ep}T$$

Damping due to phonons

$$T_c \blacklozenge T_{c0} e^{-\lambda_{ph} T_c}$$

For  $\lambda_{ph} \sim 1-2$ , and  $T_c \sim 160$  K

bare  $T_{c0}$   400  1100 K !!!

d-wave

$$Z(i\omega_n)\Delta(\mathbf{k}, i\omega_n) = \pi T_c \sum_{n'}^{\Omega_{n,\text{ph}}} \sum_{\mathbf{q}} V_{n,\text{ph}}(\mathbf{k}, \mathbf{q}, \omega_n, \omega_{n'}) \frac{\Delta(\mathbf{q}, i\omega_{n'})}{|\omega_{n'}|}$$

Non-phonon

$$Z(\omega) \approx 1 + i\Gamma_{ep} / \omega \quad \cancel{\Gamma_{ep}} \blacklozenge 2\mathcal{V}_{ep}T$$

Damping due to phonons

$$T_c \blacklozenge T_{c0} e^{-\lambda_{ph} T_c}$$

For  $\lambda_{ph} \sim 1-2$ , and  $T_c \sim 160$  K

bare  $T_{c0}$   400  1100 K !!!

# Conclusions

- There are **NO** formal restrictions on  $T_c$ .
- There are some problems with phonon instabilities for all mechanisms.
- The **ROOM-TEMPERATURE** superconductivity is not the myth, but the object for investigations.

# LaOFeAs, a not-so-simple superconductor

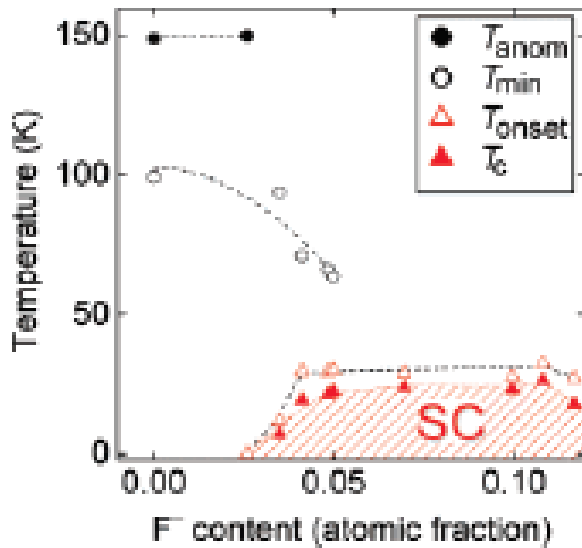
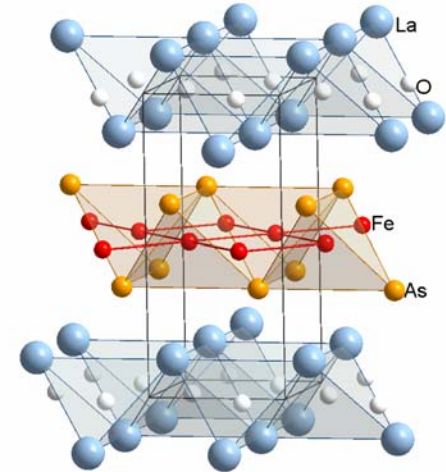
J|A|C|S  
COMMUNICATIONS

Published on Web 02/23/2008

## Iron-Based Layered Superconductor $\text{La}[\text{O}_{1-x}\text{F}_x]\text{FeAs}$ ( $x = 0.05\text{--}0.12$ ) with $T_c = 26\text{ K}$

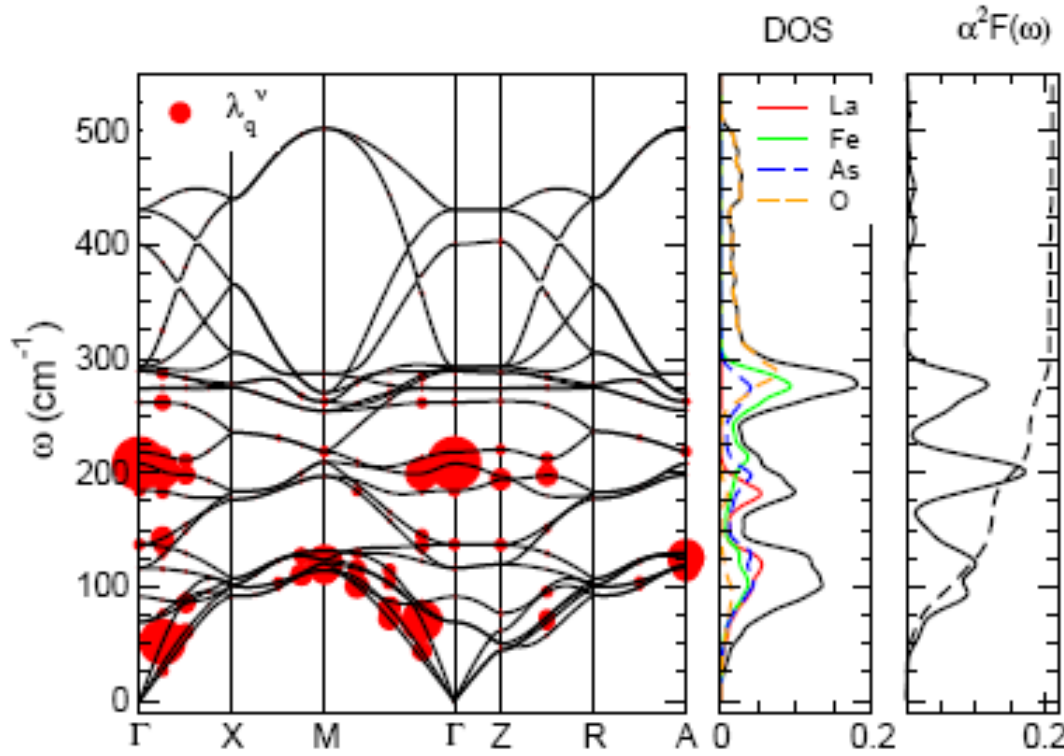
Yoichi Kamihara,<sup>\*,†</sup> Takumi Watanabe,<sup>‡</sup> Masahiro Hirano,<sup>†,§</sup> and Hideo Hosono<sup>†,‡,§</sup>

*ERATO-SORST, JST, Frontier Research Center, Tokyo Institute of Technology, Mail Box S2-13, Materials and Structures Laboratory, Tokyo Institute of Technology, Mail Box R3-1, and Frontier Research Center, Tokyo Institute of Technology, Mail Box S2-13, 4259 Nagatsuta, Midori-ku, Yokohama 226-8503, Japan*



- **2008:**  $\text{LaO}_{1-x}\text{F}_x\text{FeAs}$   $T_c^{\text{max}} = 26\text{ K}$  at  $x = 0.11$
- Tetragonal layers of La-O + Fe-As
- F replaces O and dopes electrons into the system.
- Replacing La with other Rare-Earths increases  $T_c$  up to 55 K (Sm).
- **EXP:** small coherence length, T-dependent Hall coefficient, no jump in specific heat at  $T_c$ , specific heat + point contact: nodes in the gap ?

# LaOFeAs, Electron-phonon coupling



$$\lambda = 0.21$$

$$\omega_{\log} = 206K$$

$$T_c^{ME} \leq 0.5K$$

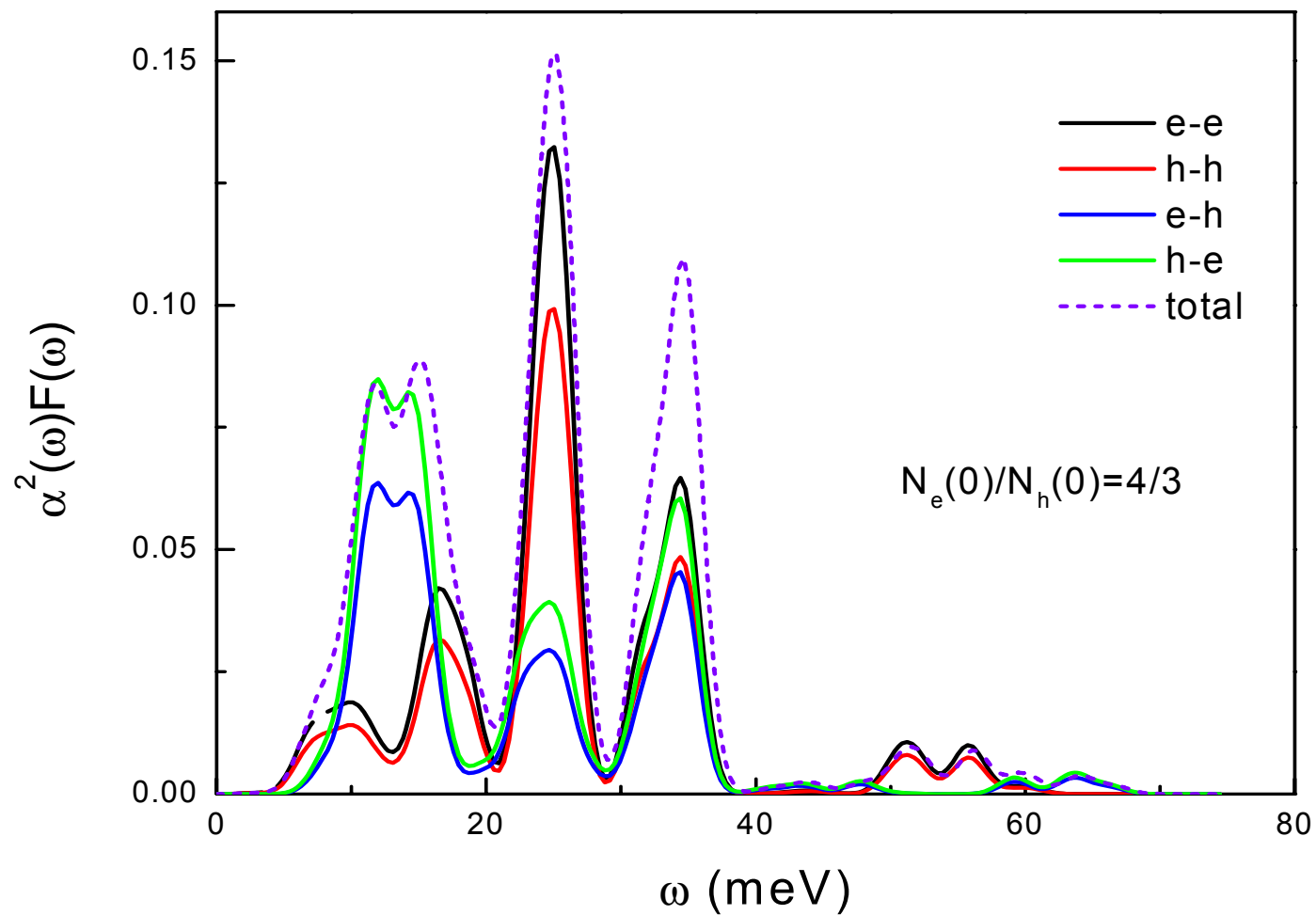
$$N(0) = 2.1st / eV$$

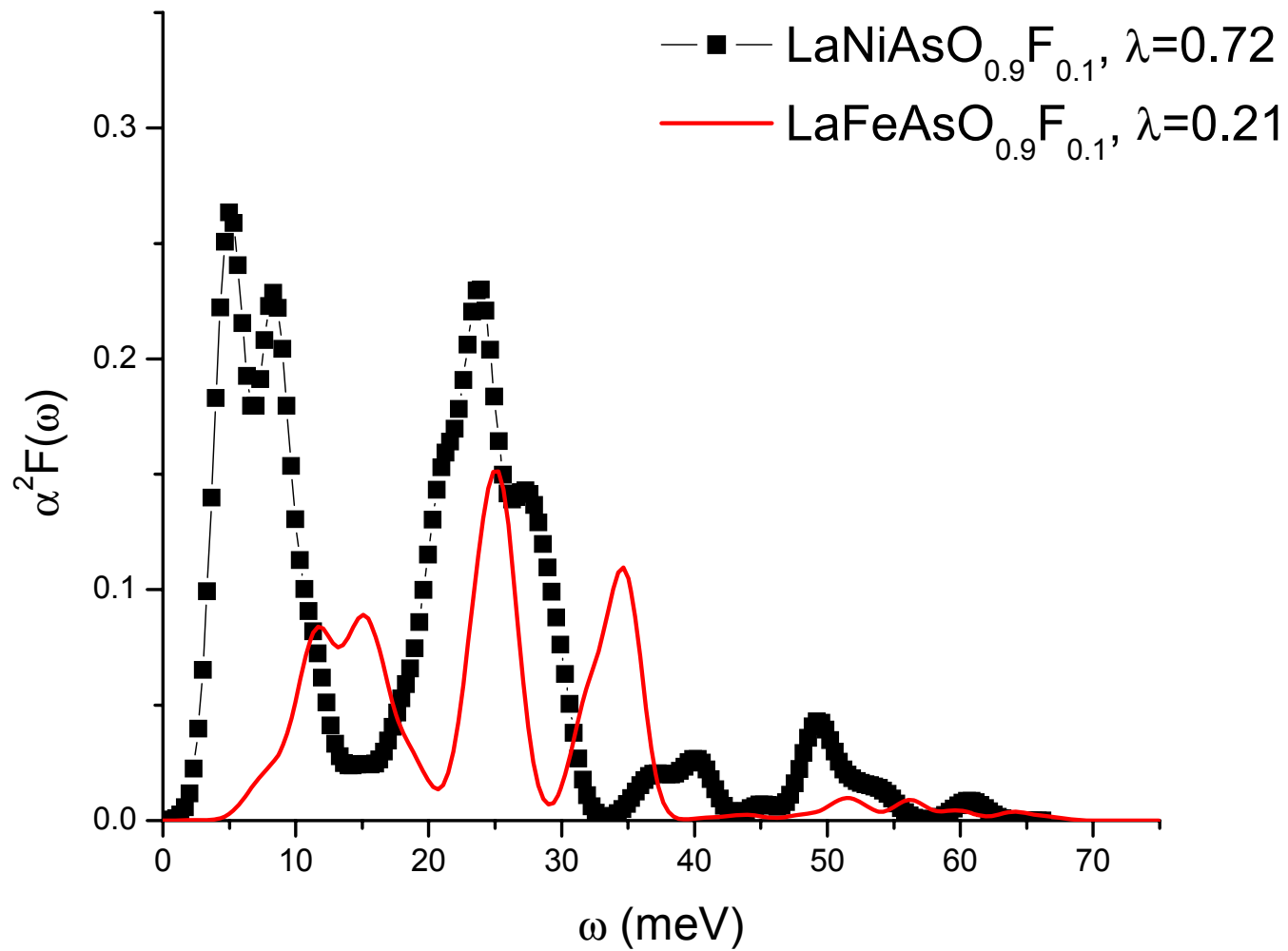
L. Boeri, OVD, A.A. Golubov, PRL, 101, 026403 (2008)

- **E-ph interaction**:  $\lambda \sim 0.2$  is **too small** to account for  $T_c$
- Doping can only decrease  $\lambda$ .
- $N(0)$  is large,  $\lambda$  is small because of small e-ph matrix elements.
- The coupling is uniform over phonon modes: the only directed bands sit far from  $E_F$ .
- Possible two-gap scenario with large **repulsive interband** interaction

$$\lambda = \begin{pmatrix} 0.111 & 0.093 \\ 0.124 & 0.083 \end{pmatrix}$$

$T_c = 0.8$  K

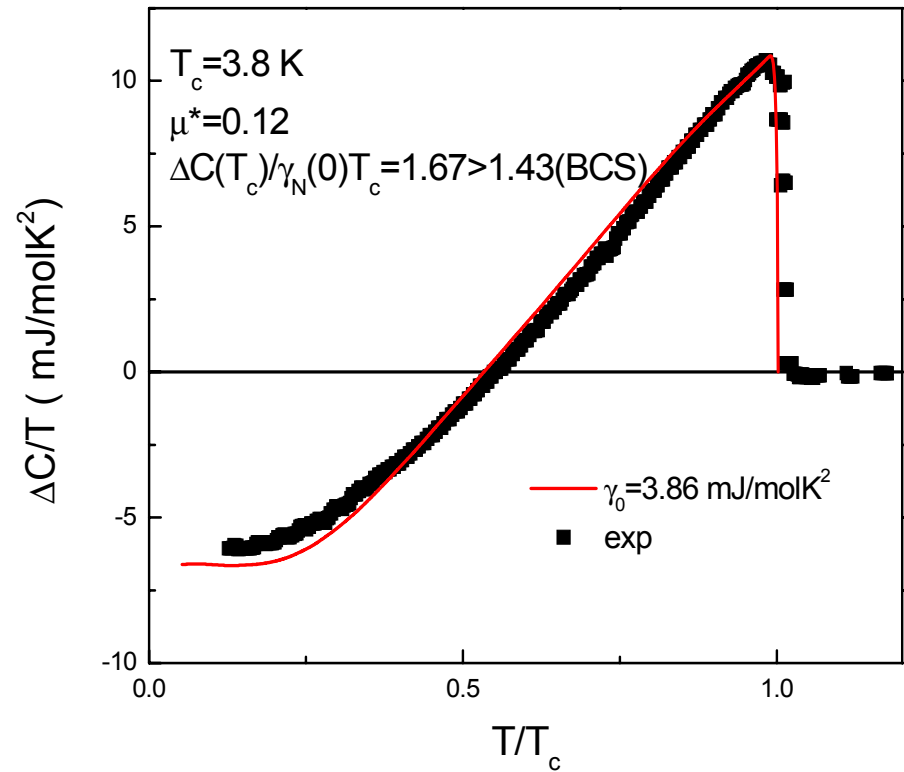
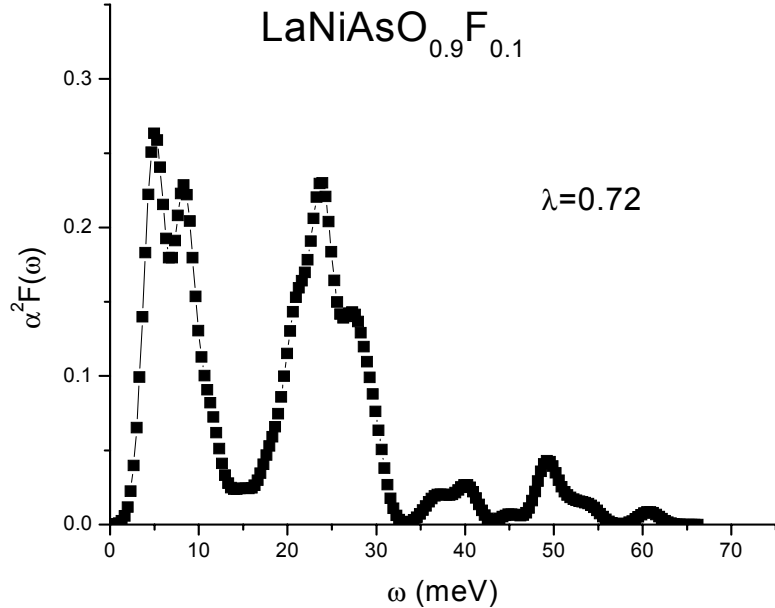






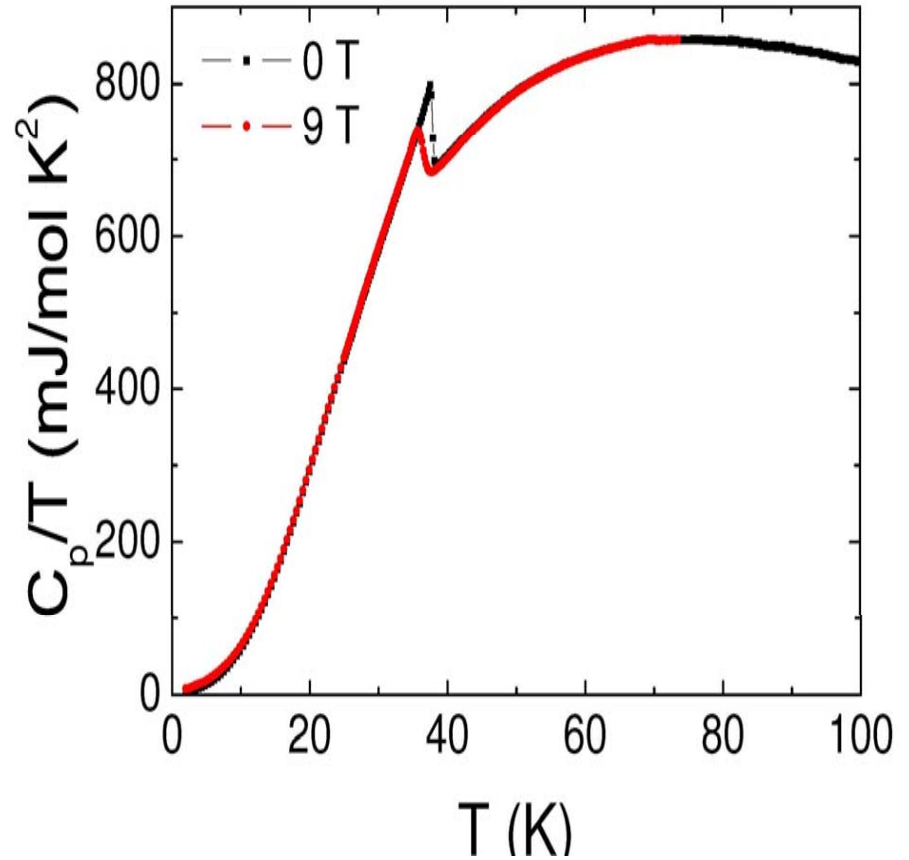
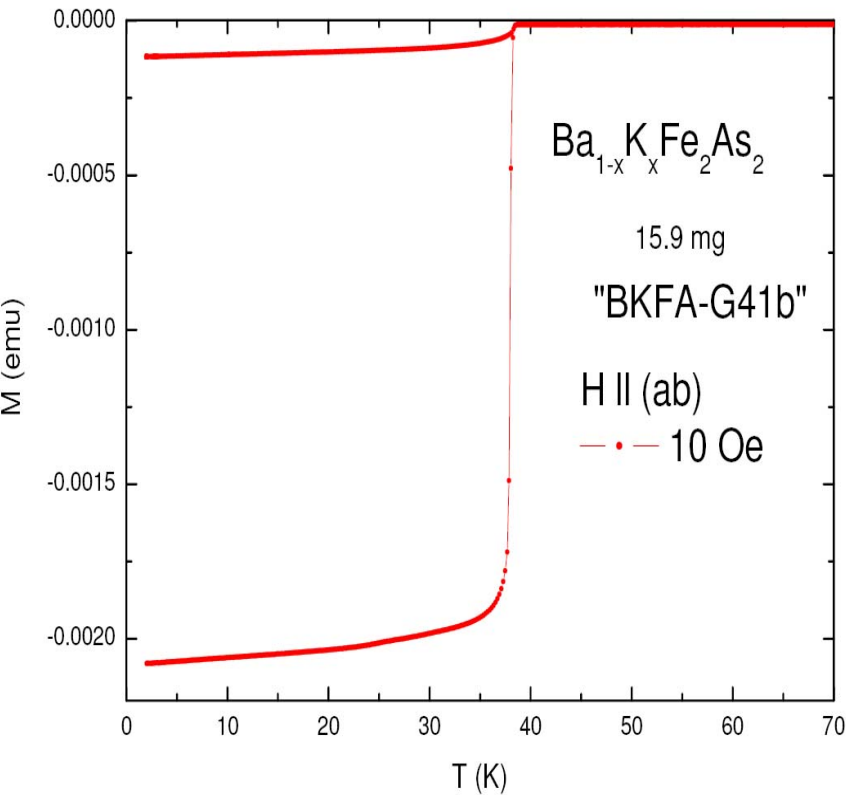
# LaNiAsO<sub>0.9</sub>F<sub>0.1</sub>

Z. Li et al., Phys. Rev., **B78**, 060504 (R) (2008)



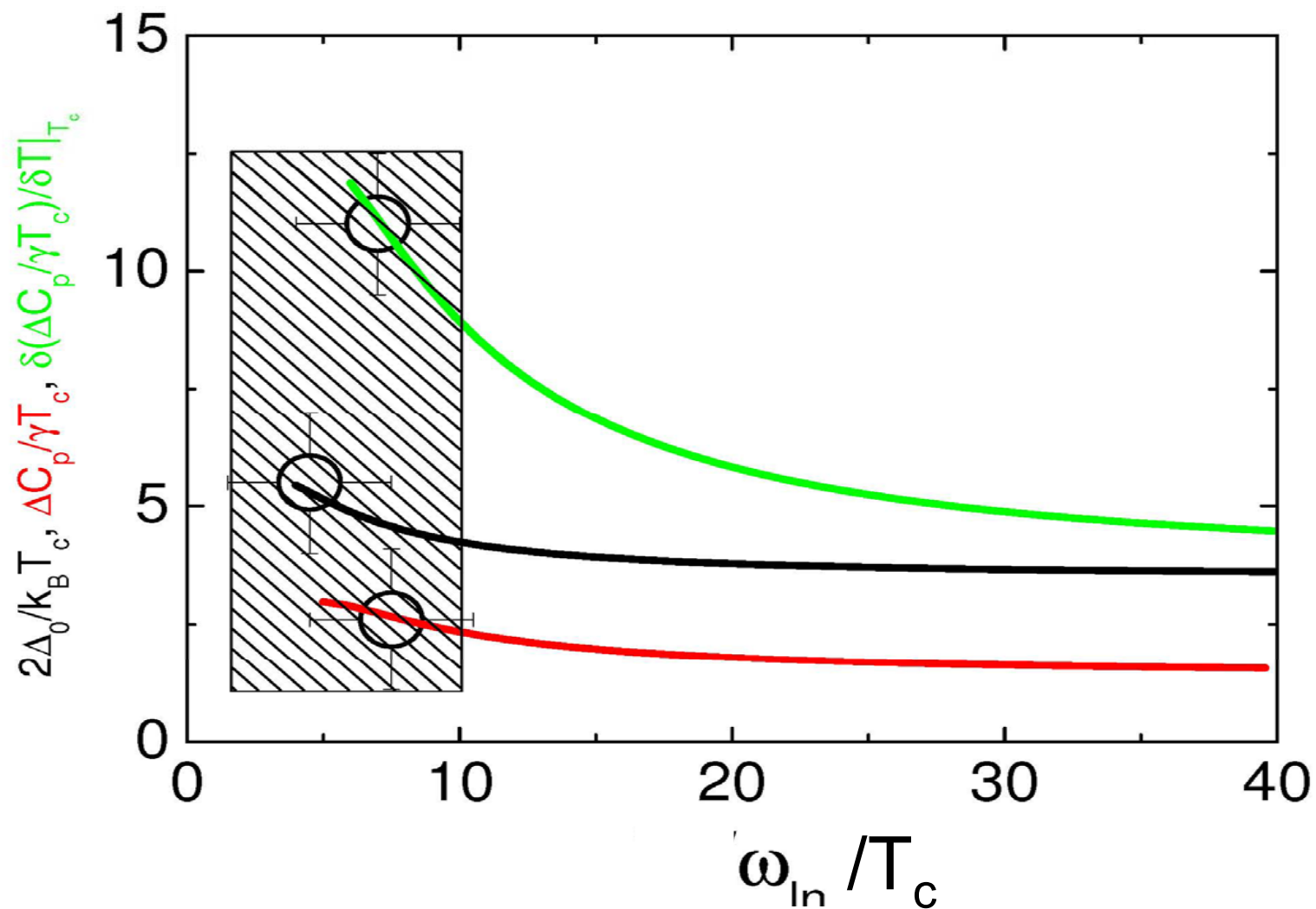
L.Boeri, OVD, A.A. Golubov, the special issue of Physica C (2009)

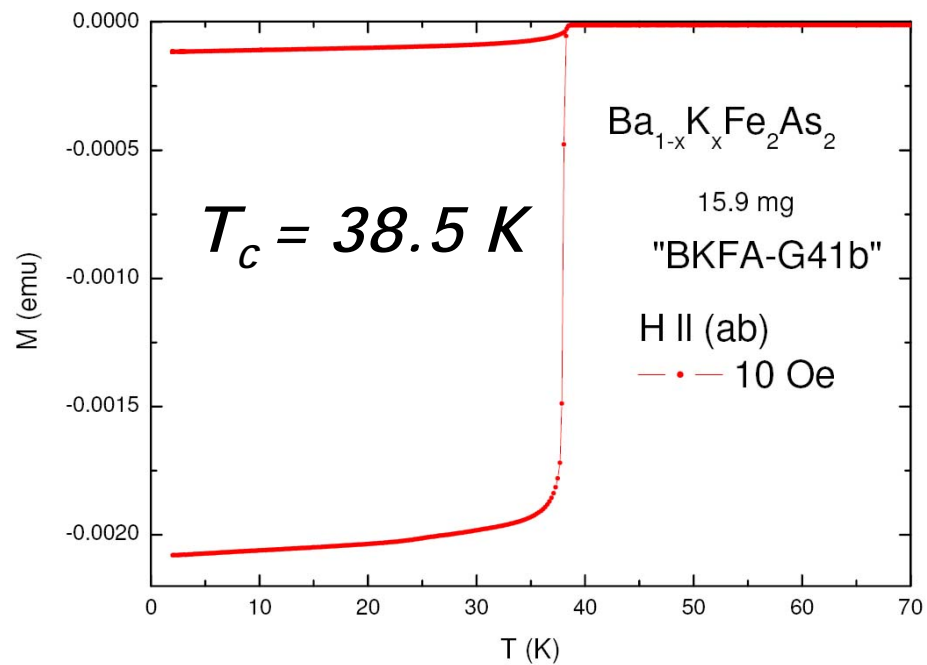
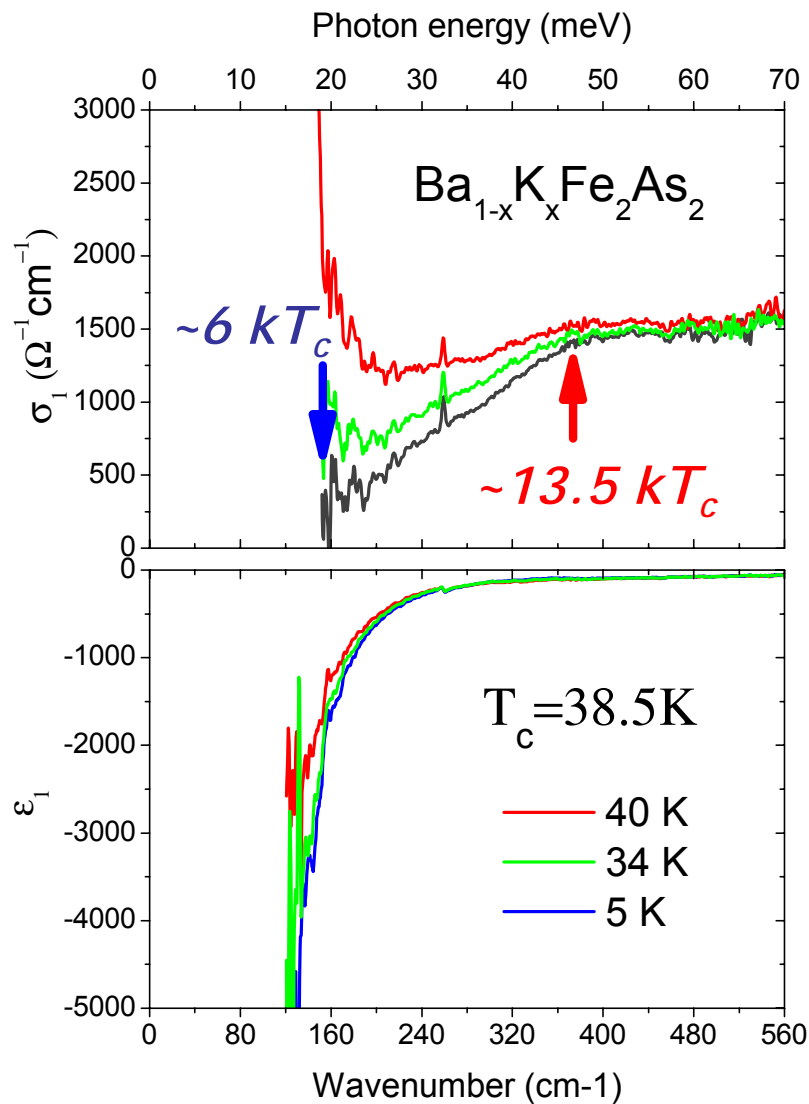
# The specific heat of the single crystal $\text{Ba}_{1-x}\text{K}_x\text{Fe}_2\text{As}_2$

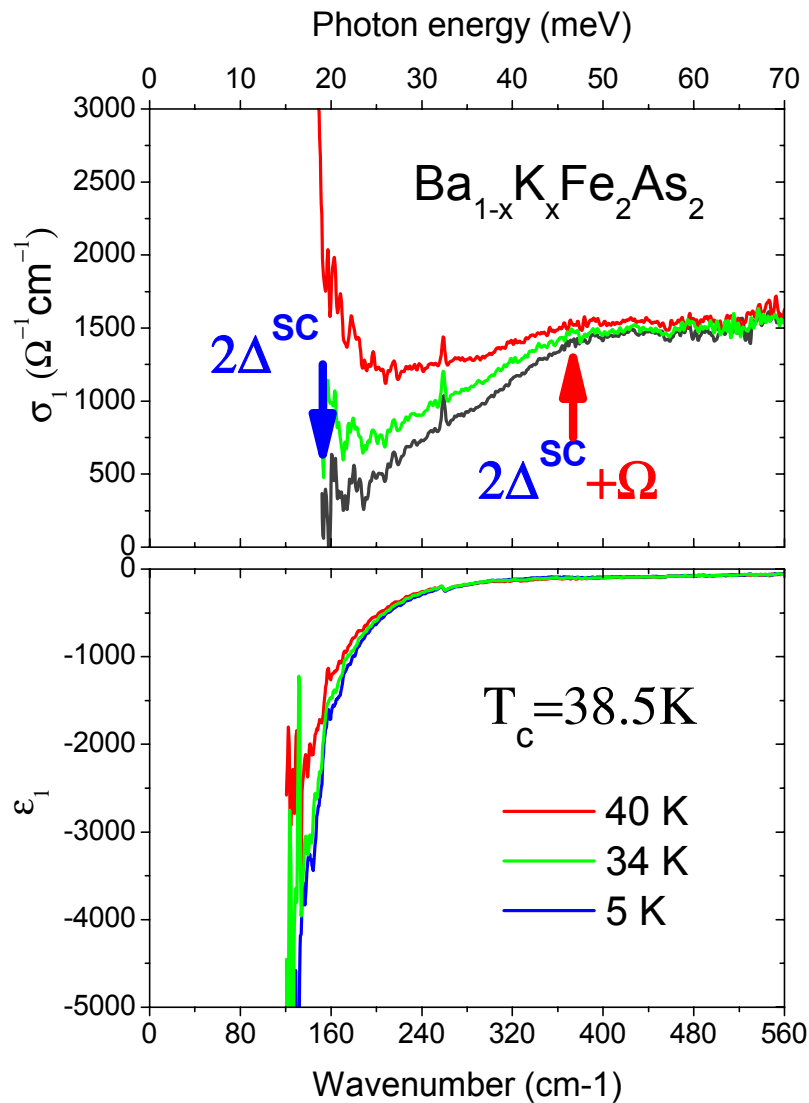


P. Popovich, R. Kremer, A. Boris, OVD

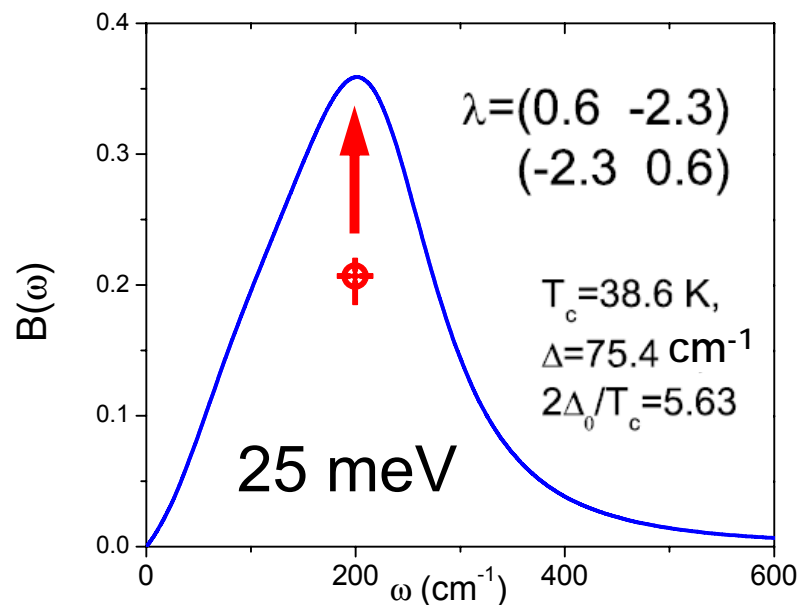
◆<sub>In</sub> ~ 25-40 meV; ◆<sup>ph</sup><sub>In</sub> ~ 15 meV

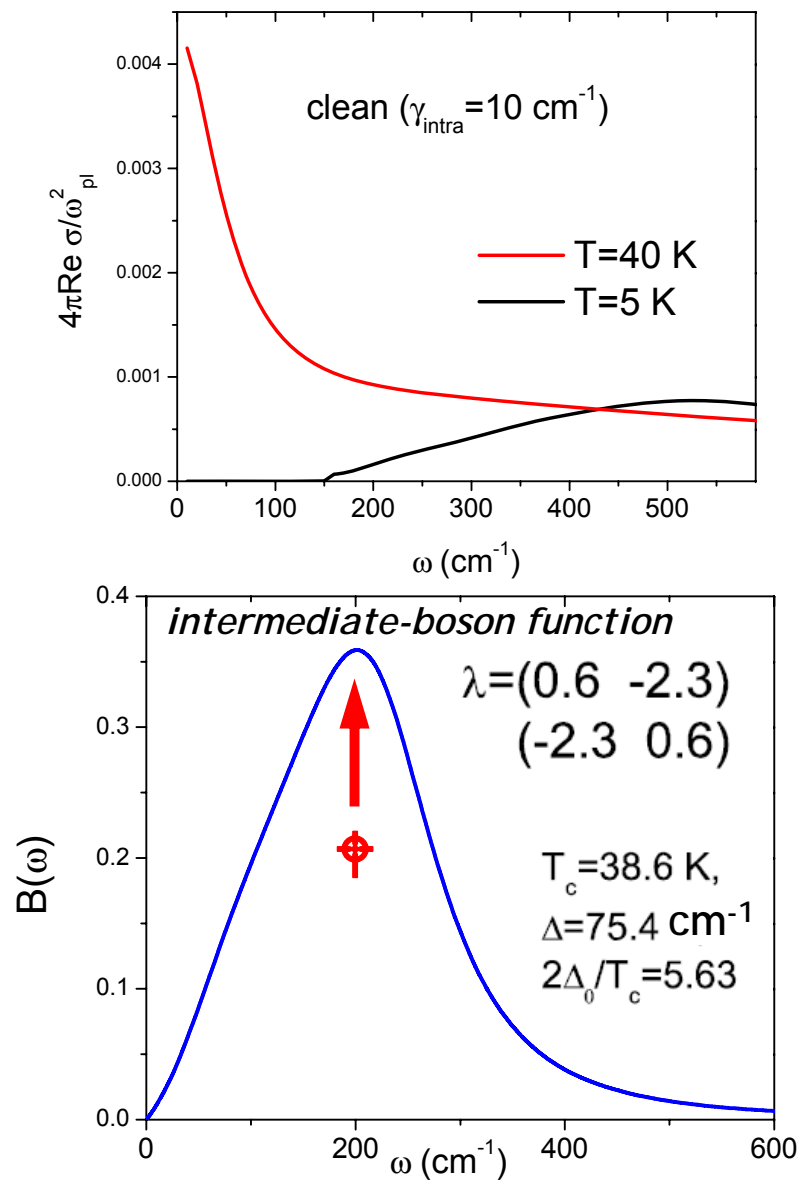
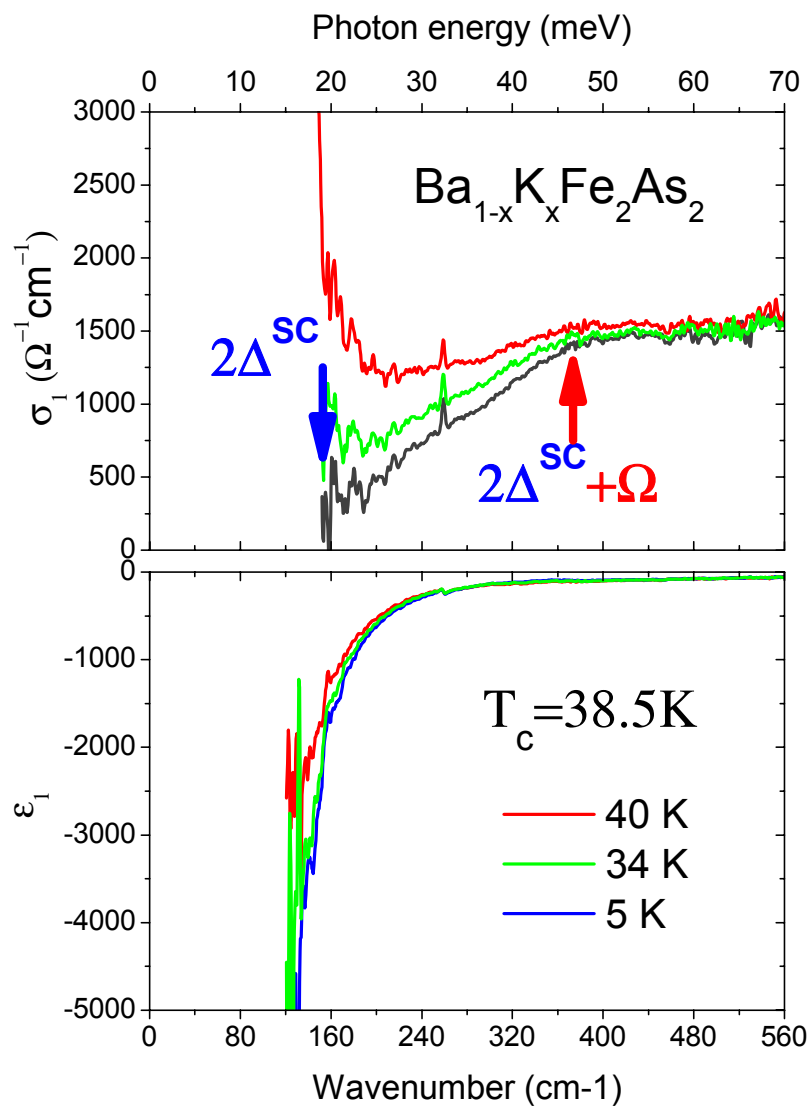






- *single*  $\hbar\omega_{\text{SC}} = 8-9 \text{ meV}$
- *strong coupling*,  $2\hbar\omega_{\text{SC}} = 5.5-6.5T_c$
- *clean limit*,  $\gamma_b \sim 10 \text{ cm}^{-1}$
- *$s_{\pm}$  model with intermediate-boson function:*







# Charge carrier optical self-energy $\Sigma(\omega)$

or

Why I am worry about  $1/\tau(\omega)$  representation in Fe pnictides.

$$\varepsilon(\omega) = \varepsilon_1(\omega) + i\varepsilon_2(\omega)$$

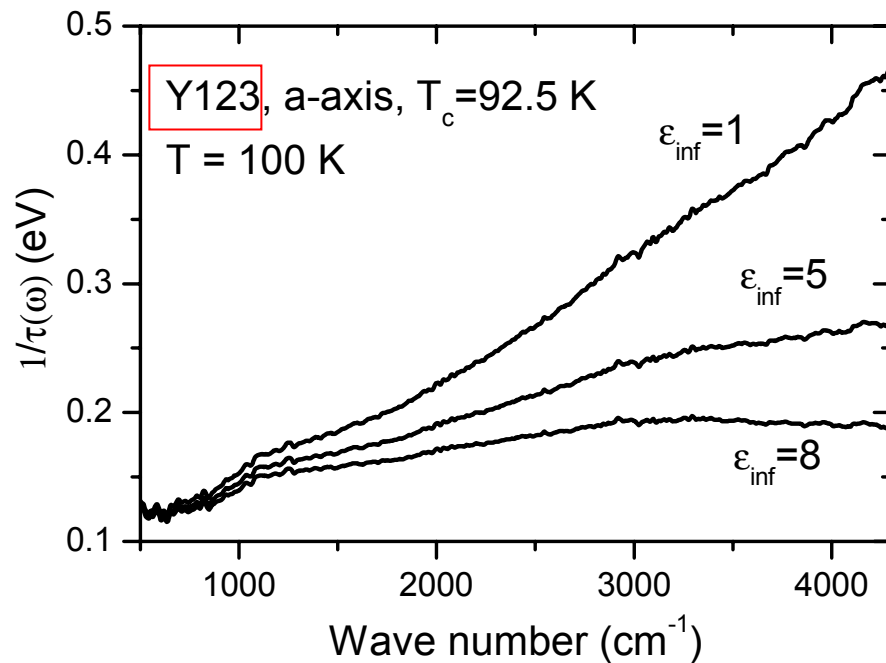
$$\varepsilon(\omega) = 1 + \frac{4\pi i}{\omega} \sigma(\omega)$$

$$\varepsilon(\omega) = \varepsilon_\infty + \frac{4\pi i}{\omega} \sigma^{Drude}(\omega)$$

$$\text{Im}[\Sigma(\omega)] = \frac{1}{\tau(\omega)} = \text{Re} \frac{\omega_{pl}^2}{4\pi\sigma^{Drude}(\omega)} = \frac{\omega_{pl}^2}{\omega} \frac{\varepsilon_2(\omega)}{\varepsilon_2^2(\omega) + (\varepsilon_\infty - \varepsilon_1(\omega))^2}$$



$$\text{Im}[\Sigma(\omega)] = \frac{1}{\tau(\omega)} = \text{Re} \frac{\omega_{pl}^2}{4\pi\sigma^{Drude}(\omega)} = \frac{\omega_{pl}^2}{\omega} \frac{\epsilon_2(\omega)}{\epsilon_2^2(\omega) + (\epsilon_\infty - \epsilon_1(\omega))^2}$$

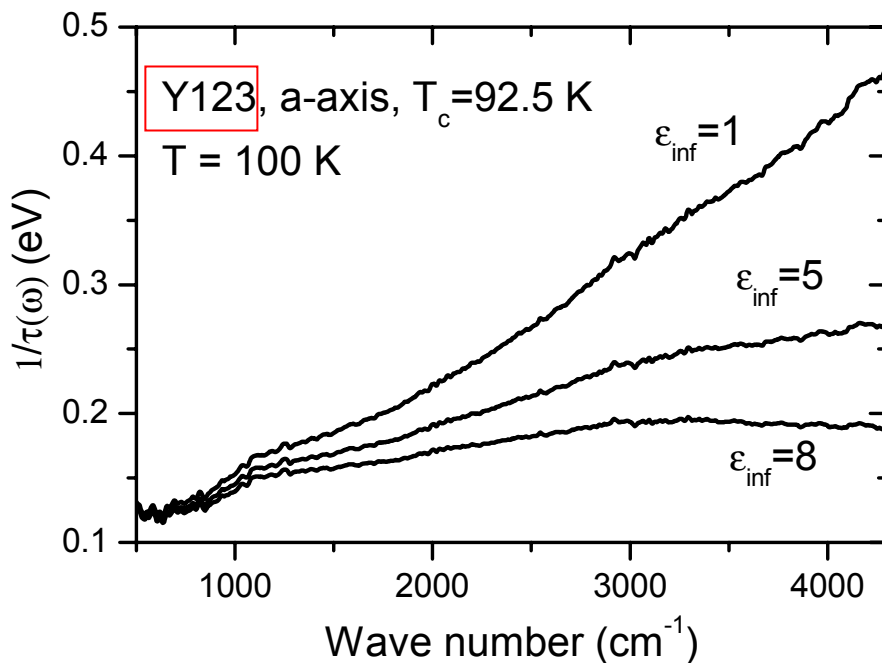


cuprates:

$$\epsilon_{\odot} \approx 5 - 6$$

lowest inter-band transitions  
 at 1.8 - 2.0 eV

$$\text{Im}[\Sigma(\omega)] = \frac{1}{\tau(\omega)} = \text{Re} \frac{\omega_{pl}^2}{4\pi\sigma^{Drude}(\omega)} = \frac{\omega_{pl}^2}{\omega} \frac{\epsilon_2(\omega)}{\epsilon_2^2(\omega) + (\epsilon_\infty - \epsilon_1(\omega))^2}$$



cuprates:

$\epsilon_{\odot} \approx 5 - 6$

lowest inter-band transitions  
at 1.8 - 2.0 eV

pnictides:

$\epsilon_{\odot} \approx 12 - 15 !!$

lowest inter-band transitions  
at 0.2 - 0.5 eV !!

An incorrect account of the interband transitions !

# Conclusions II

- Pnictides (except  $\text{LaNiAsO}_{0.9}\text{F}_{0.1}$ ) cannot be described by **electron-phonon interaction**.
- Optical properties in the N- and SC- states can be described by **spin fluctuations**.
- The linear frequency dependence of the optical scattering rate  $1/\tau(\omega)$  is an **artifact** of the incorrect account of **interband transitions**.

# Possible connection with other exotic superconductors (S.-L. Drechsler)

