

# ISSUES IN SEMILEPTONIC B DECAYS

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# EXECUTIVE SUMMARY OF THE MITP TOPICAL WORKSHOP

## Challenges in Semileptonic B decays

April 20-24, 2015, JGU Campus Mainz

### Organized by

Paolo Gambino (Turin Univ.), Andreas Kronfeld (Fermilab), Marcello Rotondo (INFN Padua), Christoph Schwanda (Vienna), Sascha Turczyk (JGU Mainz)



$V_{ub} \text{ \& } V_{cb}$  SAGA  
EPIC ADVENTURE



# IMPORTANCE OF $|V_{xb}|$

$V_{cb}$  plays an important role in the determination of UT

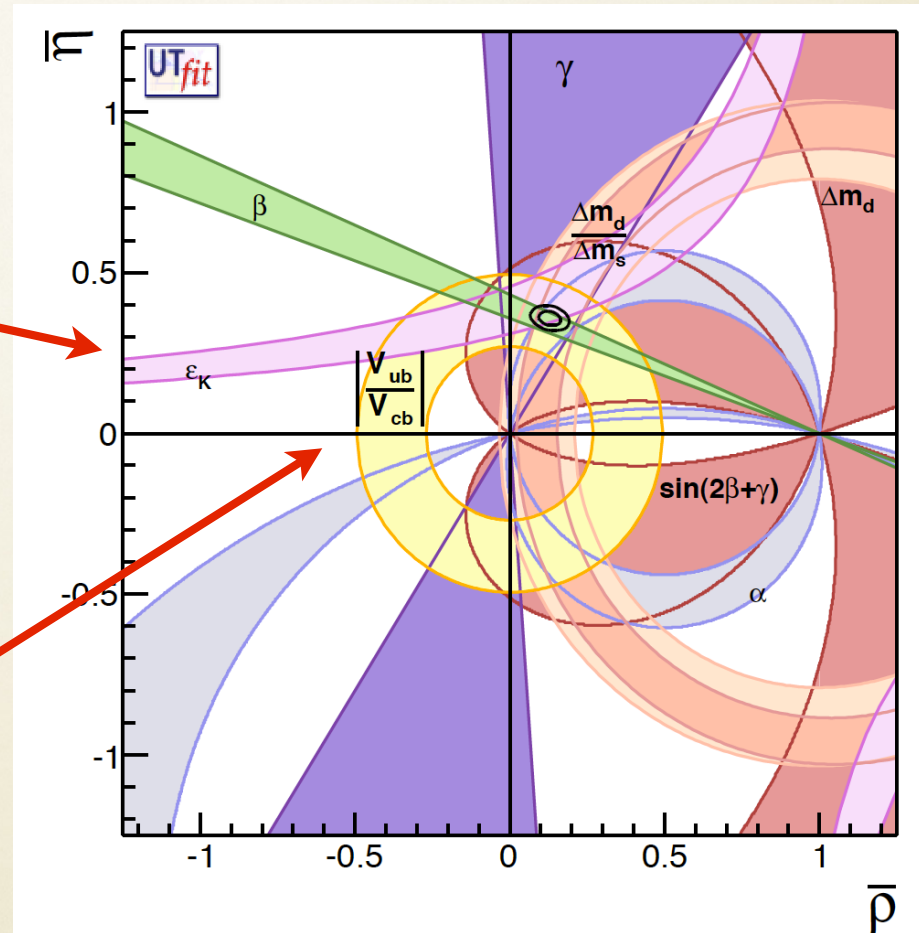
$$\varepsilon_K \approx x|V_{cb}|^4 + \dots$$

and in the prediction of FCNC:

$$\propto |V_{tb}V_{ts}|^2 \simeq |V_{cb}|^2 \left[ 1 + O(\lambda^2) \right]$$

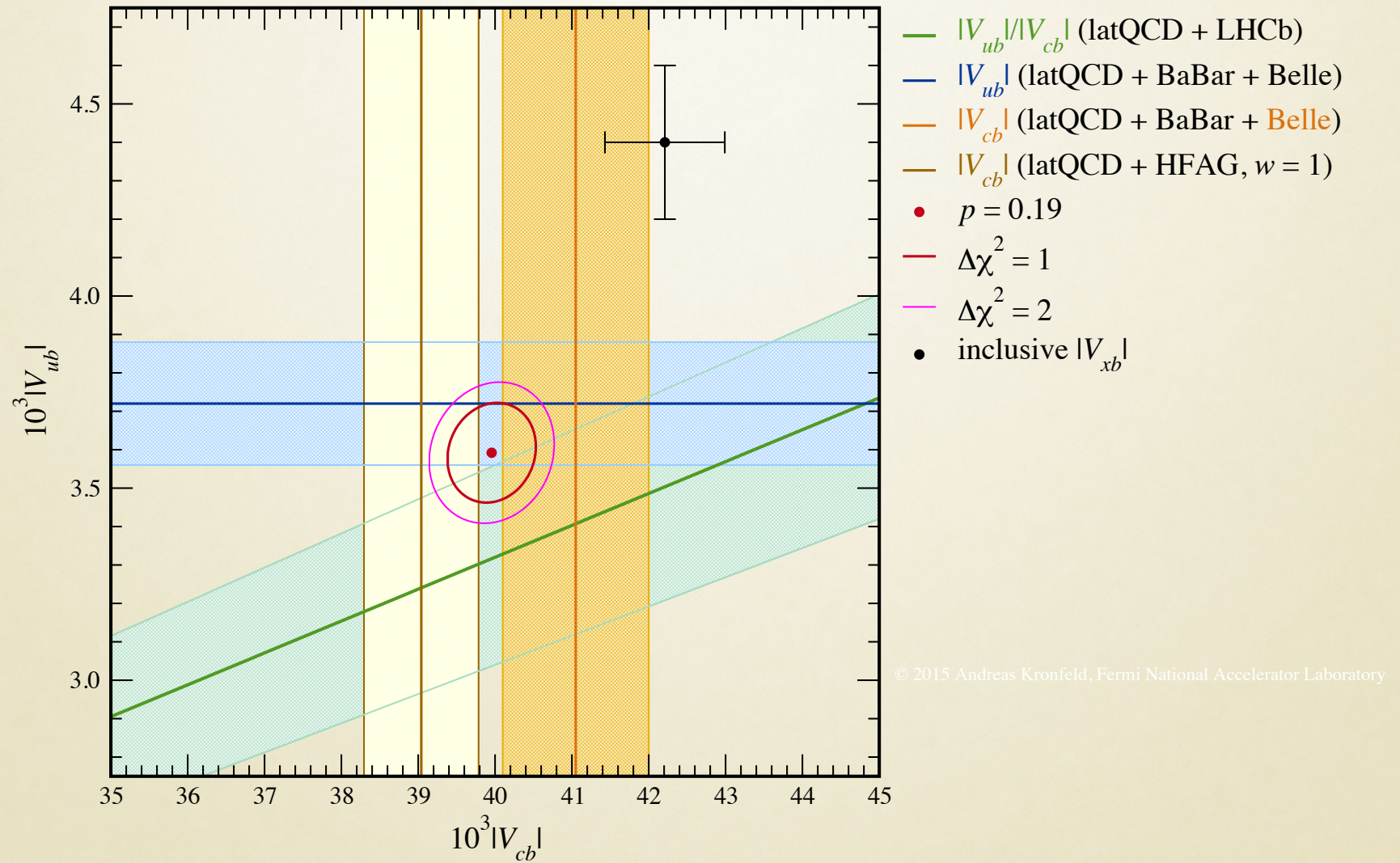
where it often dominates the theoretical uncertainty.

$V_{ub}/V_{cb}$  constrains directly the UT



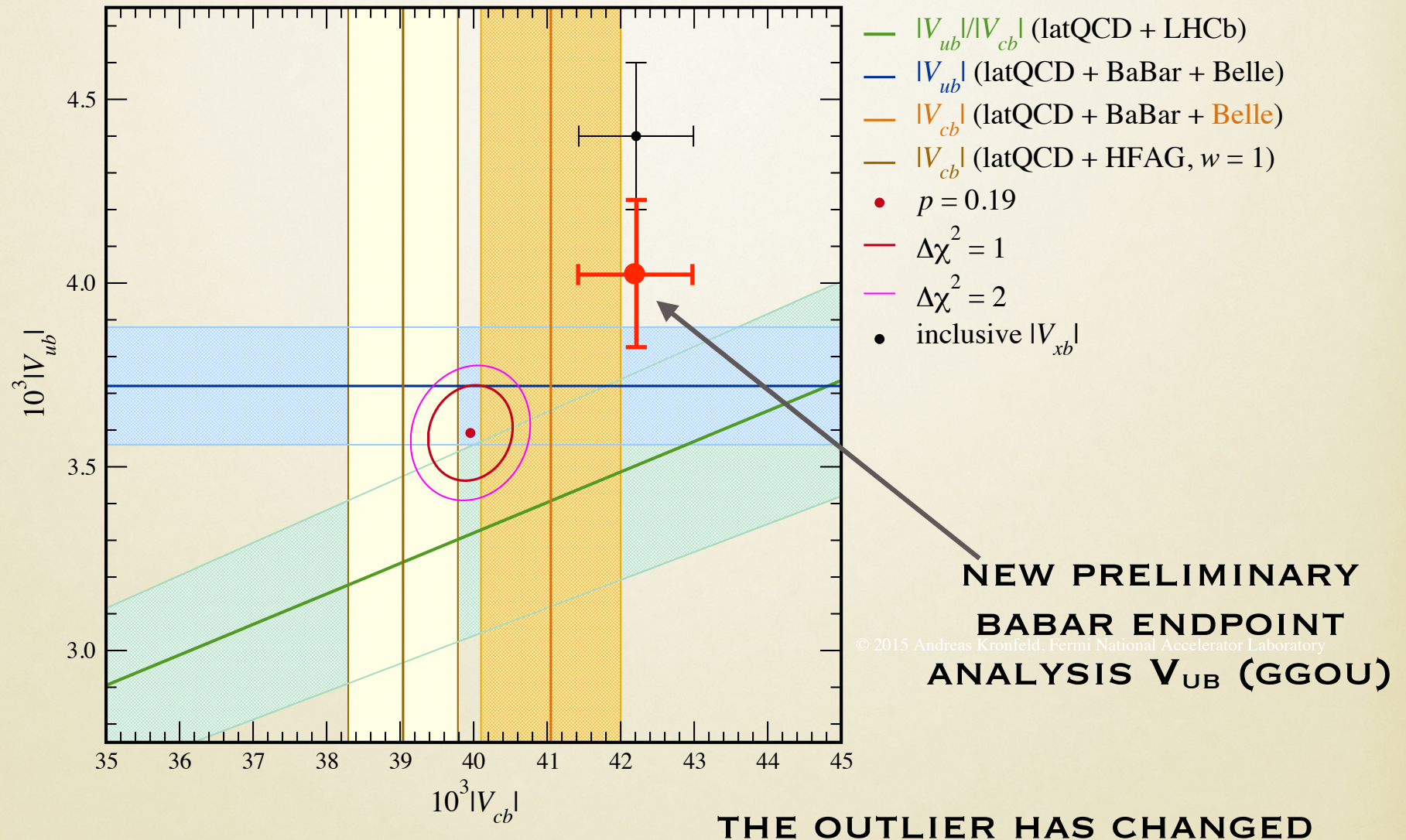
Since several years, exclusive decays prefer smaller  $|V_{ub}|$  and  $|V_{cb}|$

# A SIMPLISTIC PICTURE?





# A SIMPLISTIC PICTURE?



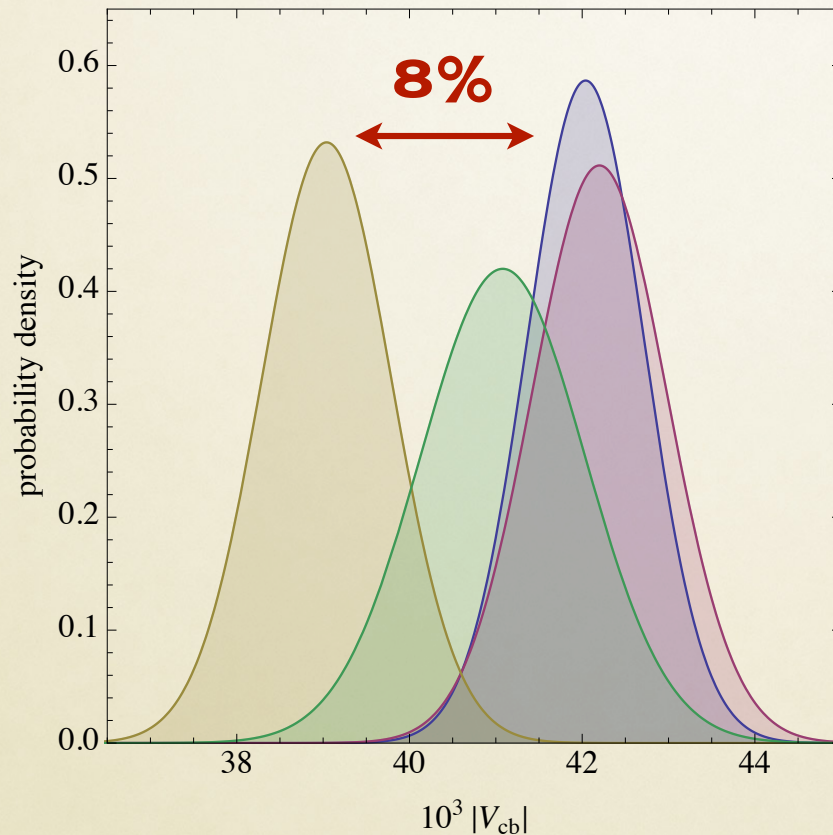
# $V_{cb}$ SUMMARY

EXCLUSIVE  $B \rightarrow D$

EXCLUSIVE  $B \rightarrow D^*$

INCLUSIVE

UTFIT SM PREDICTION:  
 $(42.04 \pm 0.68) \cdot 10^{-3}$



form factors from  
HQSR, HQE, LCSR  
for exclusives also  
available but less precise

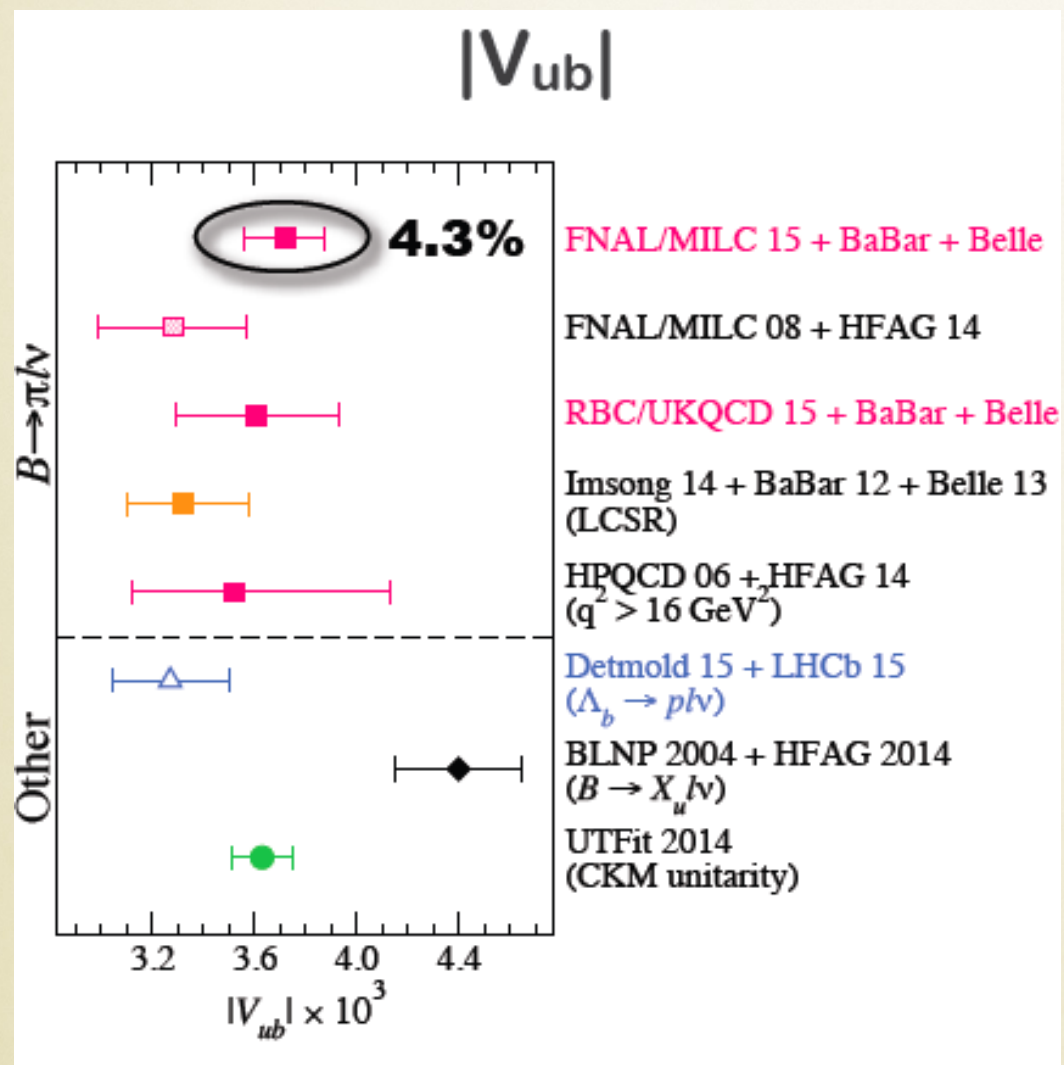
HQSR lead to  $\sim 5\%$   
higher  $V_{cb}$  from  $B \rightarrow D^*$

Mannel, Uraltsev, PG

New Belle  $B \rightarrow D$  analysis + latest lattice results  
(FNAL/MILC+HPQCD) at non-zero recoil !



# $V_{ub}$ SUMMARY



Latest lattice results  
(FNAL/MILC+RBC/UKQCD)  
prefer higher  $V_{ub}$

LHCb measures  $V_{ub}/V_{cb}$  but  
prefers lowish  $V_{ub}$

New Babar precise  
endpoint analysis  
prefers lower  $V_{ub}$   
 $4.03(16)(11) \times 10^{-3}$  (GGOU)



# NEW PHYSICS?

The difference in  $V_{cb}$  incl vs excl  $D^*$  with FNAL/MILC form factor is **quite large**:  $3\sigma$  or about 8%. The perturbative corrections to inclusive  $V_{cb}$  total 5%, the power corrections about 4%.

Right Handed currents now excluded since

$$|V_{cb}|_{incl} \simeq |V_{cb}| \left( 1 + \frac{1}{2} |\delta|^2 \right)$$

$$|V_{cb}|_{B \rightarrow D^*} \simeq |V_{cb}| \left( 1 - \delta \right)$$

$$|V_{cb}|_{B \rightarrow D} \simeq |V_{cb}| \left( 1 + \delta \right)$$

Chen, Nam, Crivellin, Buras, Gemmler, Isidori, ...

$$\delta = \epsilon_R \frac{\tilde{V}_{cb}}{V_{cb}} \approx 0.08$$

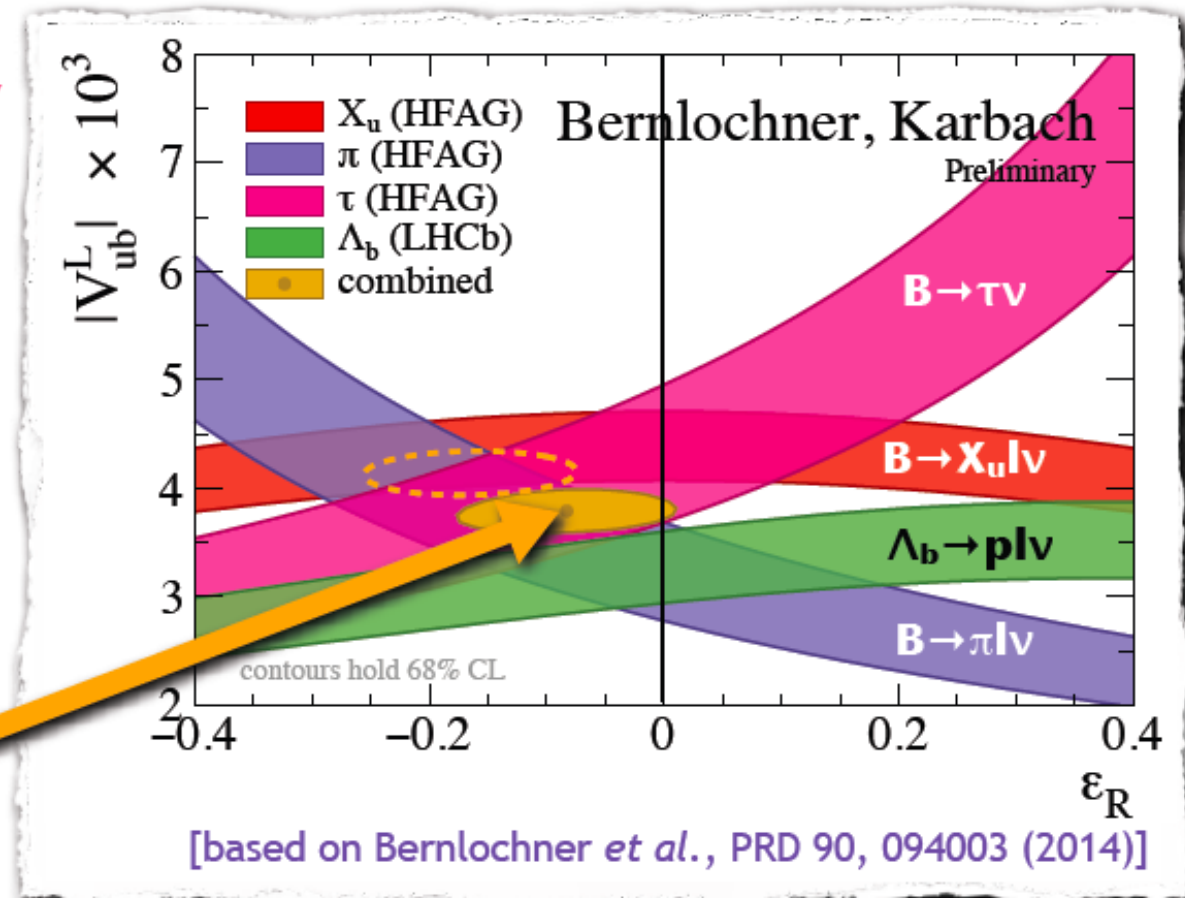
Most general  $SU(2)$  invariant dim 6 NP (without RH neutrino) can explain results, but it is incompatible with  $Z \rightarrow b\bar{b}$  data

Crivellin, Pokorski 1407.1320

# NEW PHYSICS?

- ♦ Can ease  $|V_{ub}|$  tension by allowing small right-handed contribution to Standard-Model weak current [Crivellin, PRD81 (2010) 031301]
- ♦ RH currents disfavored by  $\Lambda_b$  decays (taking  $|V_{cb}|$  from  $B \rightarrow D^* l \nu$  + HFAG to obtain  $|V_{ub}|$ )

$p=0.03$





# New HFAG average of $R(D)$ and $R(D^*)$

$$R(D^{(*)}) = \frac{\text{BR}(B \rightarrow D^{(*)} \tau \nu)}{\text{BR}(B \rightarrow D^{(*)} \ell \nu)}$$

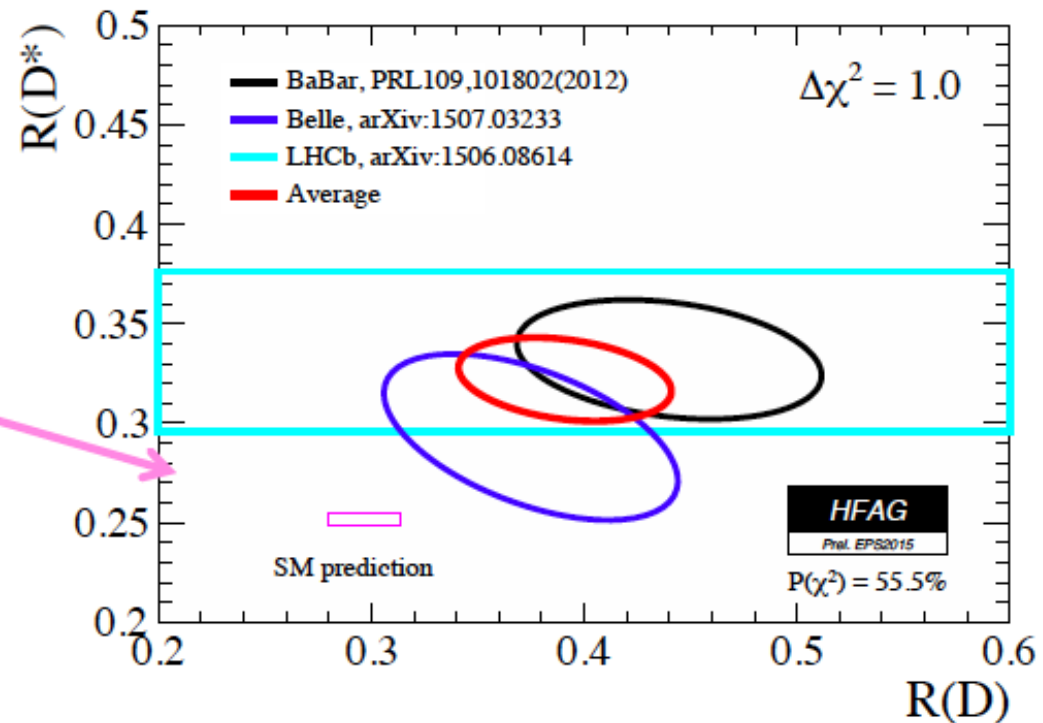
SM predictions

$R(D^*) = 0.252 \pm 0.003$

PRD **85** (2012) 094025

$R(D) = 0.297 \pm 0.017$

PRD **78** (2008) 014003



HFAG average

$R(D^*) = 0.322 \pm 0.018 \pm 0.012$

$R(D) = 0.391 \pm 0.041 \pm 0.028$

Corr (D, D\*) = - 0.29

- Difference with the SM predictions at **3.9  $\sigma$**  level.

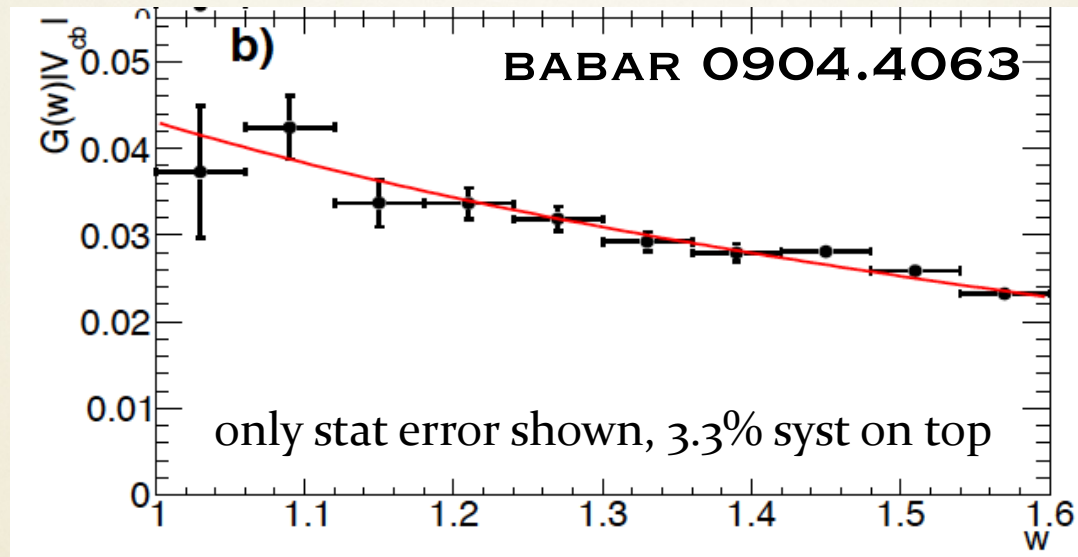
# DISCUSSION

Great recent progress but still tensions, NP unlikely.

- A. How can exclusive can be improved?  
a closer look at  $B \rightarrow D$  may be useful
- B. How can inclusive can be improved?  
(how can lattice help continuum?)



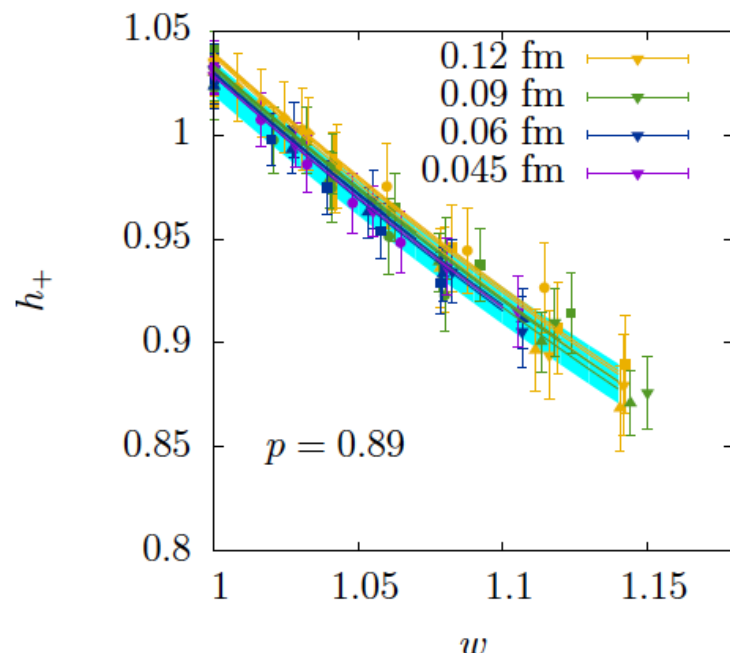
# PROGRESS IN $B \rightarrow D l \nu$



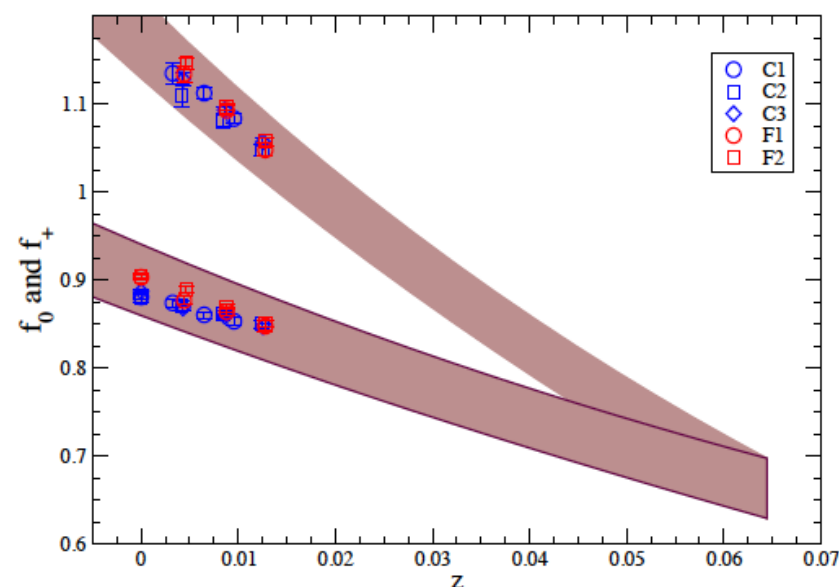
- First calculation at non-zero recoil by FNAL/MILC
- First unquenched non FNAL/MILC calculation by HPQCD
- New Belle analysis with full statistics, reported in a model independent way (no CLN or else) at EPS-HEP 2015

# NEW RESULTS FOR $B \rightarrow D l \nu$ F.F.

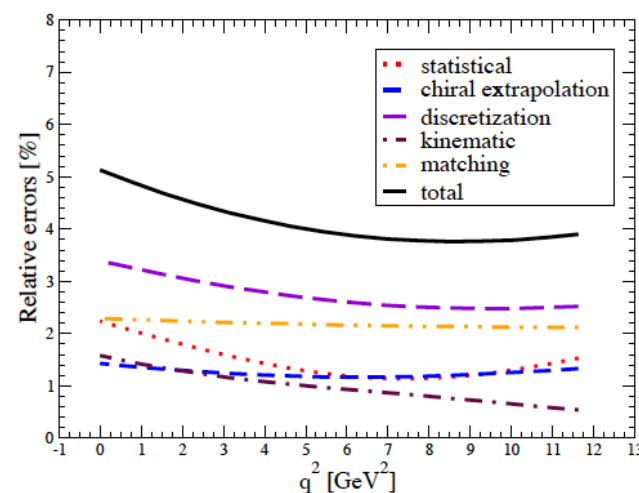
FNAL/MILC 1503.07237



HPQCD 1505.03925

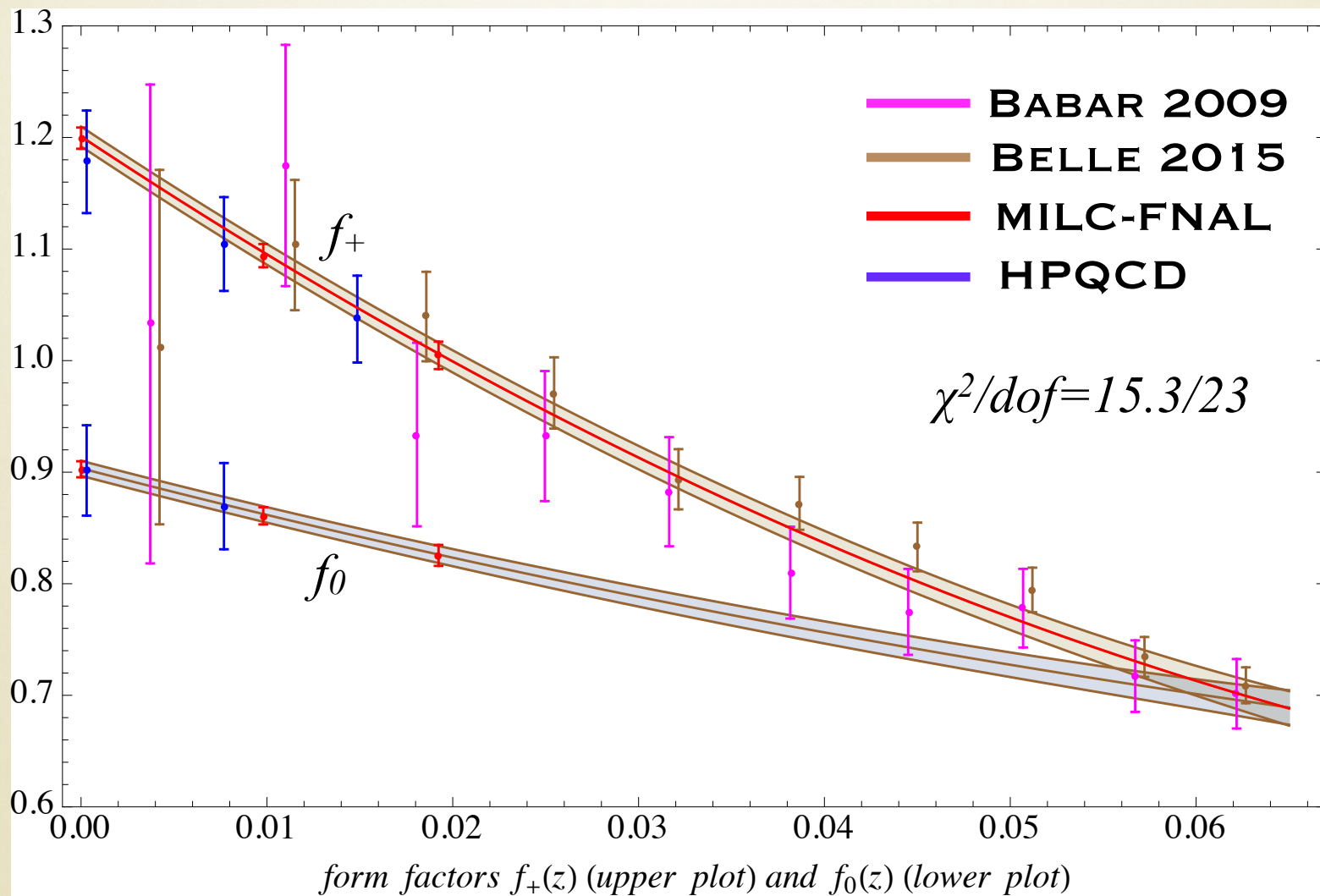


Source	$f_+$ (%)
Statistics+matching+ $\chi$ PT cont. extrap.	1.2
(Statistics)	(0.7)
(Matching)	(0.7)
( $\chi$ PT/cont. extrap.)	(0.6)
Heavy-quark discretization	0.4
Lattice scale $r_1$	0.2
Total error	1.2





# Global fit to $B \rightarrow D \ell \nu$



# A Global fit to $B \rightarrow D l \nu$

- $|V_{cb}| = 41.09(95) \cdot 10^{-3}$  p-value: 0.88
- based on  $z$ -expansion with unitarity constraints (BGL, N=2)
- BGL N=3:  $40.87(98) \cdot 10^{-3}$  p-value: 0.88  
only FNAL  $40.79(1.07)$  (N=3)  $40.87(1.04)$  (N=2)
- assumes no correlation between FNAL and HPQCD, 3.3% syst error on Babar data, correct treatment of last bin, no finite size bin effect
- little dependence on parameterization (CLN gives  $41.03(97) \cdot 10^{-3}$ ) if lattice data at non-zero recoil are included
- **Non-zero recoil data are crucial:** only zero recoil leads to  $|V_{cb}| = 39.8(1.1) \cdot 10^{-3}$  (CLN)  $39.6(1.9)$  (BGL, N=2)...
- $R(D) = 0.302(9)$  (preliminary)  $1.8\sigma$  from recent HFAG average



# ISSUES IN EXCLUSIVES

- Most experimental  $B \rightarrow D^{(*)}$  results tied up with CLN...
- Is there anything we don't know yet about **z-expansions**?  
(e.g. for  $\Lambda_b$ , or its relation to continuum and chiral limit)
- Need for checks and extension of  $B \rightarrow D^*$  **ff** to non-zero recoil.  
Matching at  $1/m_Q^3$  for lattice discretization effects under study by FNAL/MILC. Simulations at physical pion mass and  $m_b a \approx 1$ ?
- **QED/EW corrections**: SD log (Sirlin factor, 0.7%) OK, SD remainder tiny if  $G_\mu$  employed, soft/collinear radiation subtracted out by Photos, intermediate photons (IR finite) are structure dependent: lattice calculations? exp cuts?
- relevance of Coulomb enhancement for  $B^0$  decays?

# LQCD calculations for $|V_{ub}|$ : recent progress

- Disclaimer: the list is not meant to be inclusive. I am focusing on the publicized results.

Lattice Group	Fermilab/MILC	HPQCD	RBC/UKQCD	Alpha	Detmold et al.
Process	$B \rightarrow \pi \ell \nu$ ( $B_s \rightarrow K \ell \nu$ )	$B_s \rightarrow K \ell \nu$ ( $B \rightarrow \pi \ell \nu$ )	$B \rightarrow \pi \ell \nu$ $B_s \rightarrow K \ell \nu$	( $B_s \rightarrow K \ell \nu$ )	$\Lambda_b \rightarrow p \ell \nu$
Gauge ensembles	MILC asqtad	MILC asqtad	Domain-Wall	CLS	Domain-Wall
Sea flavors	2+1	2+1	2+1	2	2+1
$a$ (fm)	0.045–0.12	0.09–12	0.086–0.11	0.049–0.076	0.086–0.11
$M_\pi$	$\geq 177$ MeV	$\geq 354$ MeV	$\geq 289$ MeV	$\geq 310$ MeV	$\geq 295$ MeV
$l$ -quark action	asqtad	HISQ	Domain-Wall	Imprv. Wilson	Domain-Wall
$b$ -quark action	Fermilab Clover	NRQCD	RHQ	Lat. HQET	RHQ
$\chi$ PT	NNLO, SU(2), hard- $\pi$	HP $\chi$ PT+	NLO, SU(2), hard- $\pi$		
$q^2$ -extrapolation	functional BCL	modified $z$	synthetic BCL		modified- $z$
Ref.	arXiv:1503.07839 arXiv:1312.3197	arXiv:1406.2279	arXiv:1501.05373v2	arXiv:1411.3916	arXiv:1306.0446 arXiv:1503.01421v2 arXiv:1504.01568

- ( ): work in progress

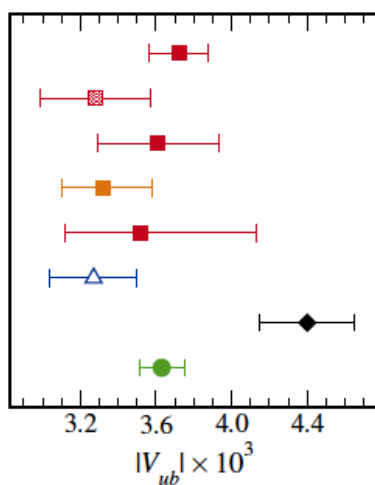
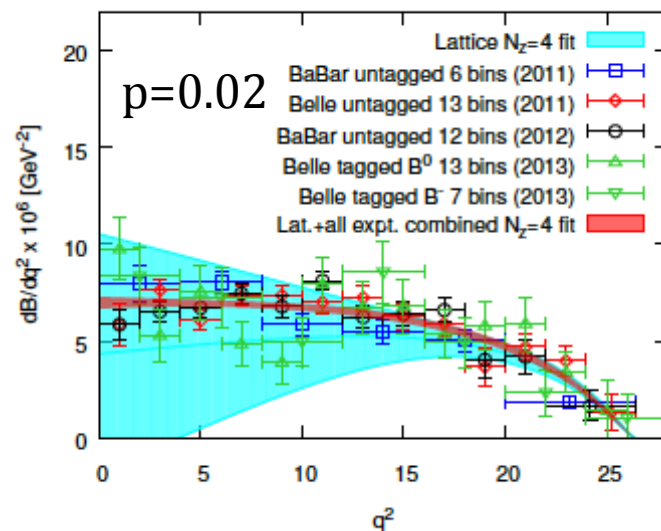
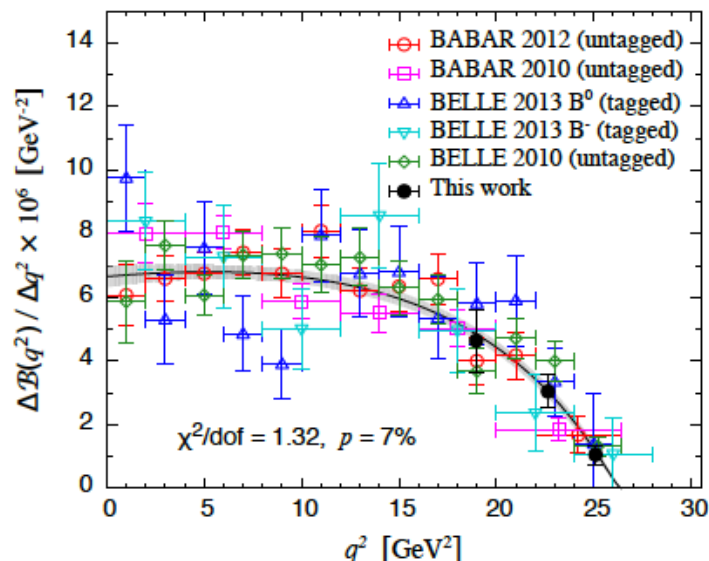
Du, MITP workshop, April 2015



# NEW LATTICE RESULTS

RBC/UKQCD 1501.05373

FNAL/MILC 1503.07839



This work + BaBar + Belle,  $B \rightarrow \pi l \nu$

Fermilab/MILC 2008 + HFAG 2014,  $B \rightarrow \pi l \nu$

RBC/UKQCD 2015 + BaBar + Belle,  $B \rightarrow \pi l \nu$

ImSong *et al.* 2014 + BaBar12 + Belle13,  $B \rightarrow \pi l \nu$

HPQCD 2006 + HFAG 2014,  $B \rightarrow \pi l \nu$

Detmold *et al.* 2015 + LHCb 2015,  $\Lambda_b \rightarrow p l \nu$

BLNP 2004 + HFAG 2014,  $B \rightarrow X_u l \nu$

UTFit 2014, CKM unitarity

**FNAL**  $3.72(16) \cdot 10^{-3}$   
only 4.3% error

2.2 $\sigma$  from inclusive

**RBC/UKQCD**  $3.61(32) \cdot 10^{-3}$

1.9 $\sigma$  from inclusive

**LCSR**  $3.32(26) \cdot 10^{-3}$

2.9 $\sigma$  from inclusive

**LHCb** depends  
on  $V_{cb}$  employed

# NEW LATTICE RESULTS

FROM 1503.07839

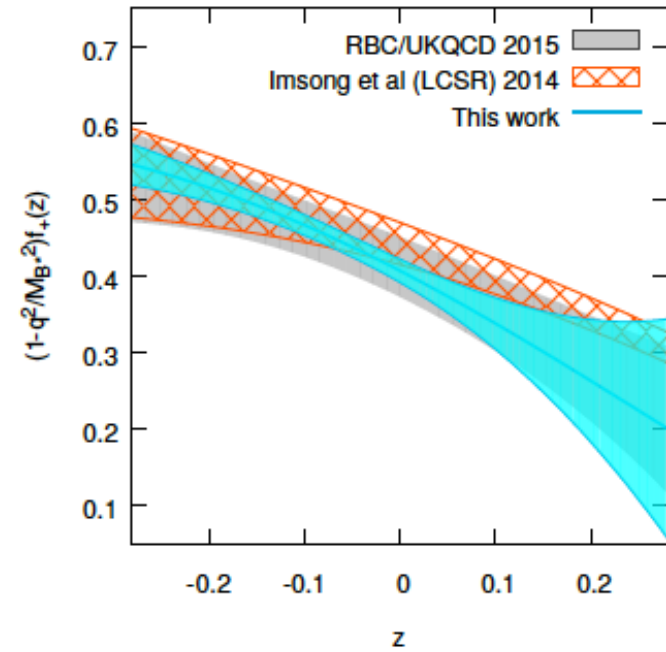
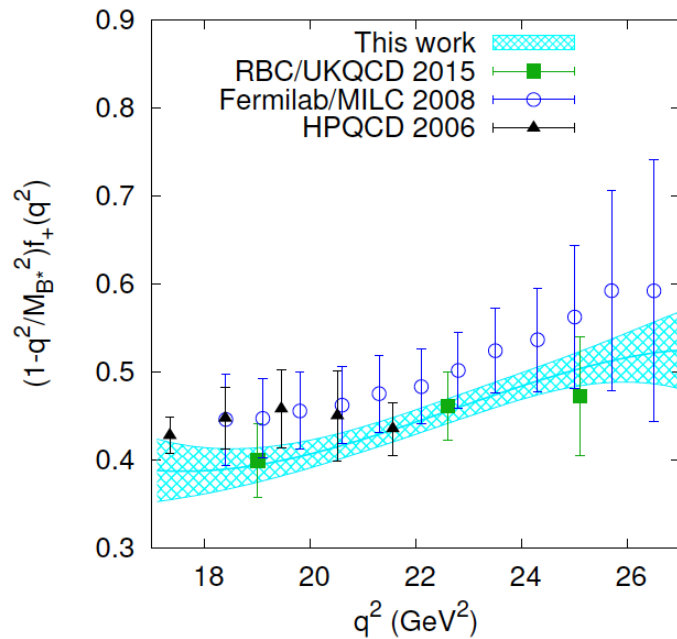


Table XVI. Results of the combined lattice+experiment fits with  $N_z = 4$ .

Fit	$\chi^2/\text{dof}$	dof	$p$ value	$b_0^+$	$b_1^+$	$b_2^+$	$b_3^+$	$ V_{ub} (\times 10^3)$
Lattice+exp.(all)	1.4	54	0.02	0.419(13)	-0.495(55)	-0.43(14)	0.22(31)	3.72(16)
Lattice+BaBar11 [7]	1.1	9	0.38	0.414(14)	-0.488(73)	-0.24(22)	1.33(44)	3.36(21)
Lattice+BaBar12 [8]	1.1	15	0.34	0.415(14)	-0.551(72)	-0.45(18)	0.27(41)	3.97(22)
Lattice+Belle11 [9]	0.9	16	0.55	0.412(13)	-0.574(65)	-0.40(16)	0.38(36)	4.03(21)
Lattice+Belle13 [10]	1.0	23	0.42	0.406(14)	-0.623(73)	-0.13(22)	0.92(45)	3.81(25)



# INCLUSIVE SEMILEPTONIC B DECAYS

OPE allows us to write inclusive observables as double series in  $1/m_b$  and  $\alpha_s$

$$M_i = M_i^{(0)} + \frac{\alpha_s(\mu)}{\pi} M_i^{(1)} + \left(\frac{\alpha_s}{\pi}\right)^2 M_i^{(2)} + \left( M_i^{(\pi,0)} + \frac{\alpha_s(\mu)}{\pi} M_i^{(\pi,1)} \right) \frac{\mu_\pi^2}{m_b^2} \\ + \left( M_i^{(G,0)} + \frac{\alpha_s(\mu)}{\pi} M_i^{(G,1)} \right) \frac{\mu_G^2}{m_b^2} + M_i^{(D)} \frac{\rho_D^3}{m_b^3} + M_i^{(LS)} \frac{\rho_{LS}^3}{m_b^3} + \dots$$

$$\mu_\pi^2(\mu) = \frac{1}{2M_B} \left\langle B \left| \bar{b} (i\vec{D})^2 b \right| B \right\rangle_\mu$$

$$\mu_G^2(\mu) = \frac{1}{2M_B} \left\langle B \left| \bar{b} \frac{i}{2} \sigma_{\mu\nu} G^{\mu\nu} b \right| B \right\rangle_\mu$$

OPE valid for inclusive enough measurements, away from perturbative singularities  $\Rightarrow$  semileptonic width, moments

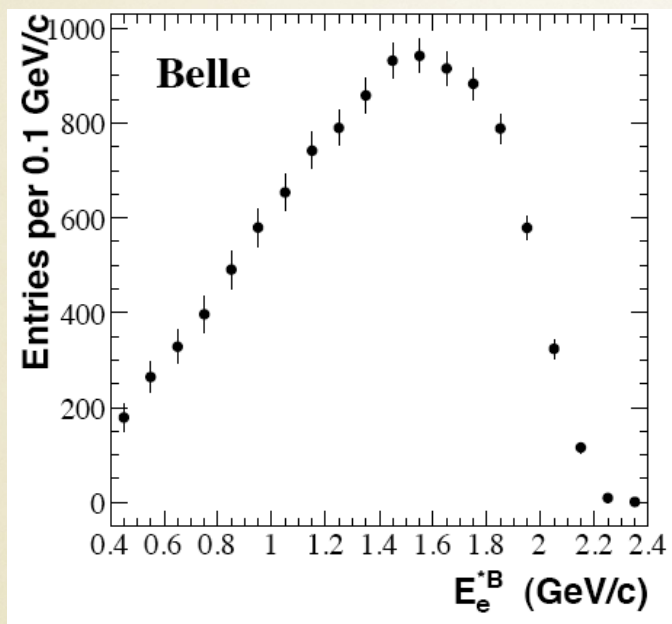
Current fits includes 6 non-pert parameters

$$m_{b,c} \quad \mu_{\pi,G}^2 \quad \rho_{D,LS}^3$$

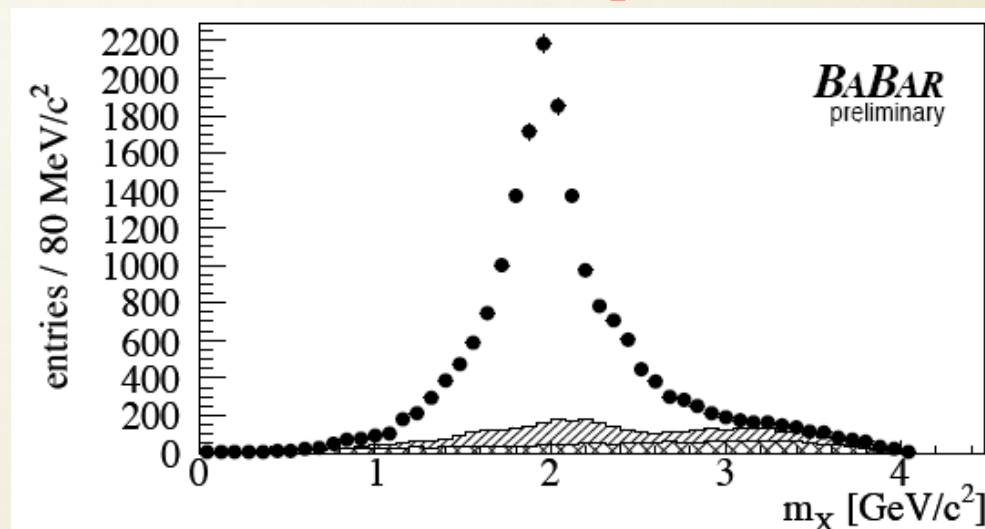
and all known corrections up to  $O(\Lambda^3/m_b^3)$

# EXTRACTION OF THE OPE PARAMETERS

$E_l$  spectrum



hadronic mass spectrum

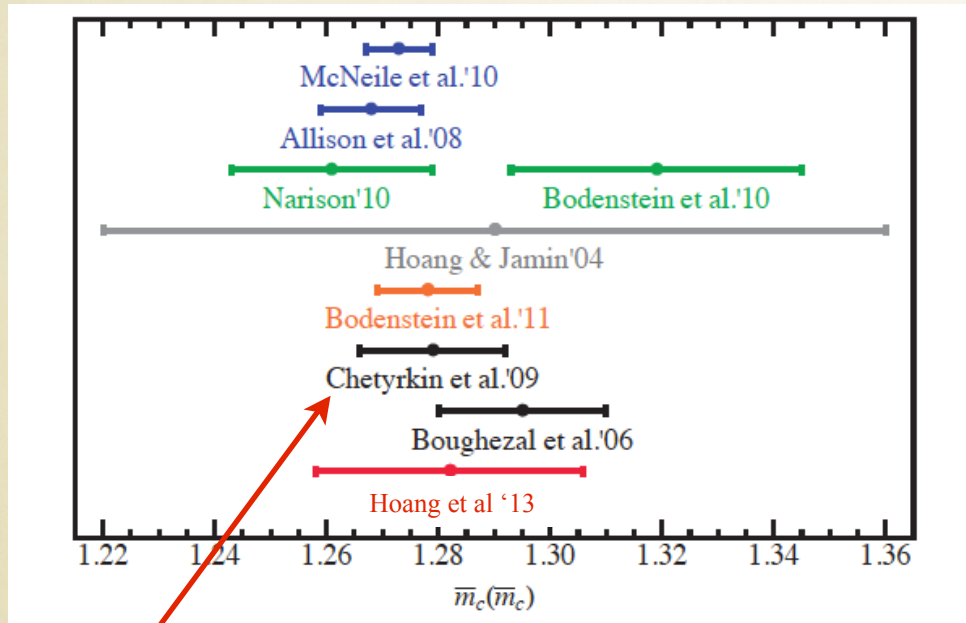


Global **shape** parameters (first moments of the distributions) tell us about  $m_b$ ,  $m_c$  and the  $B$  structure, total **rate** about  $|V_{cb}|$

*OPE parameters describe universal properties of the  $B$  meson and of the quarks  $\rightarrow$  useful in many applications (rare decays,  $V_{ub}$ ,...)*

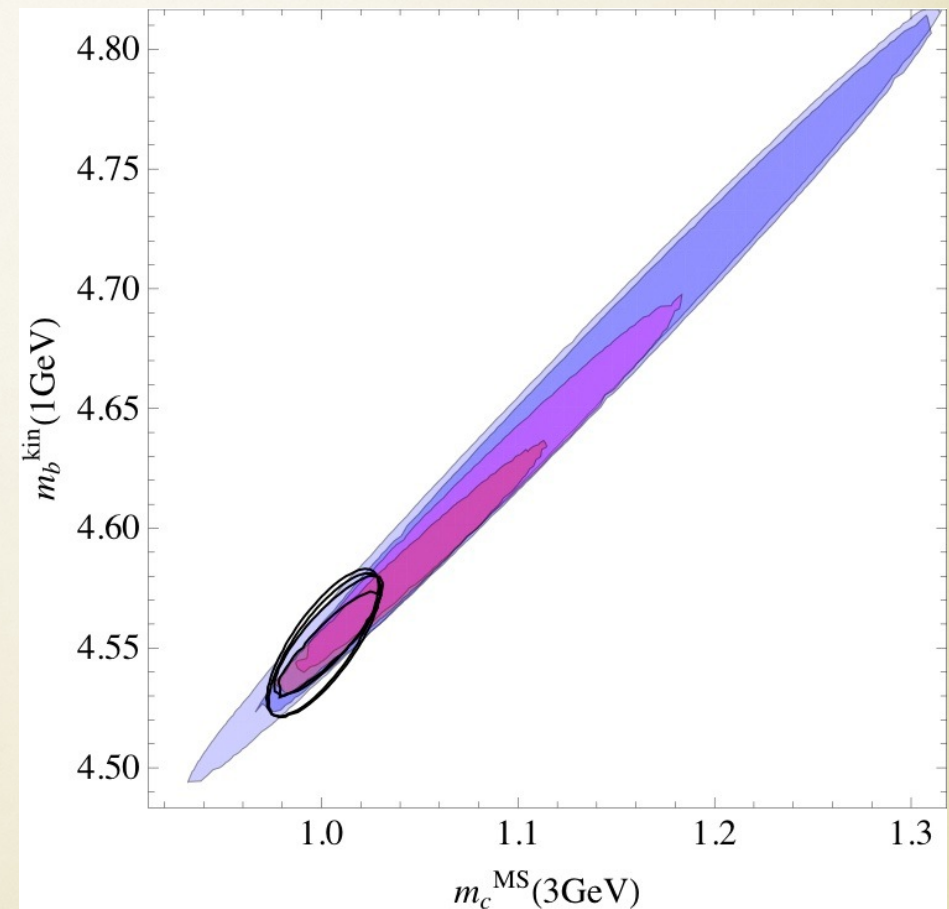


# CHARM MASS DETERMINATIONS



sum rules studies of  $\sigma(e^+e^- \rightarrow \text{hadrons})$   
almost all at NNNLO

our default  
choice



Remarkable improvement in recent years.

$m_c$  can be used as precise input to fix  $m_b$  instead of radiative moments

# FIT RESULTS

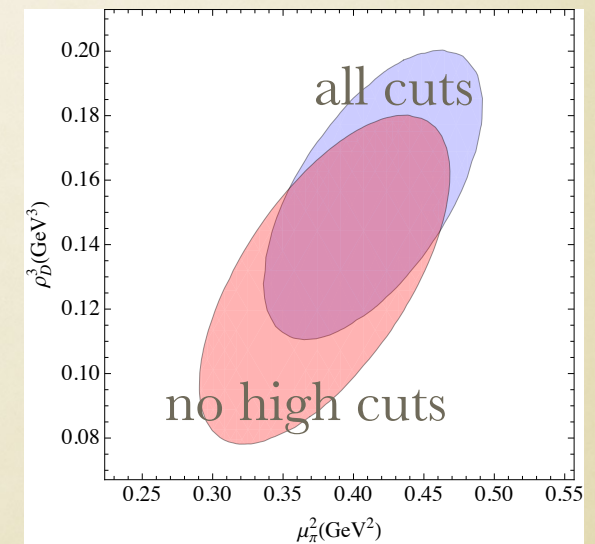
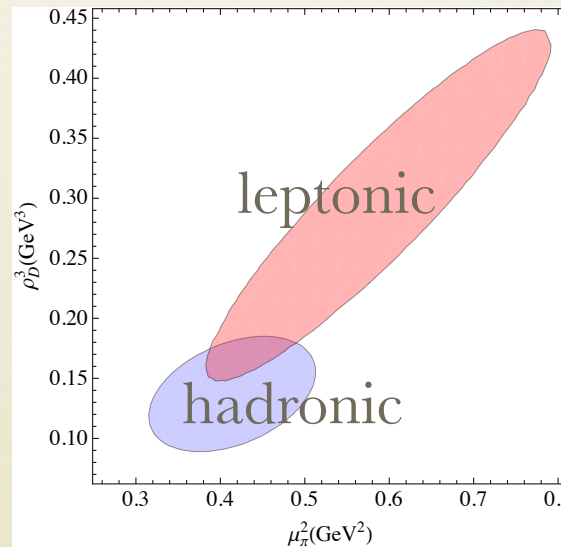
Alberti et al, 1411.6560

$m_b^{kin}$	$\overline{m}_c(3\text{ GeV})$	$\mu_\pi^2$	$\rho_D^3$	$\mu_G^2$	$\rho_{LS}^3$	$\text{BR}_{cl\nu}$	$10^3 V_{cb} $
4.553	0.987	0.465	0.170	0.332	-0.150	10.65	42.21
0.020	0.013	0.068	0.038	0.062	0.096	0.16	0.78

**WITHOUT MASS CONSTRAINTS**

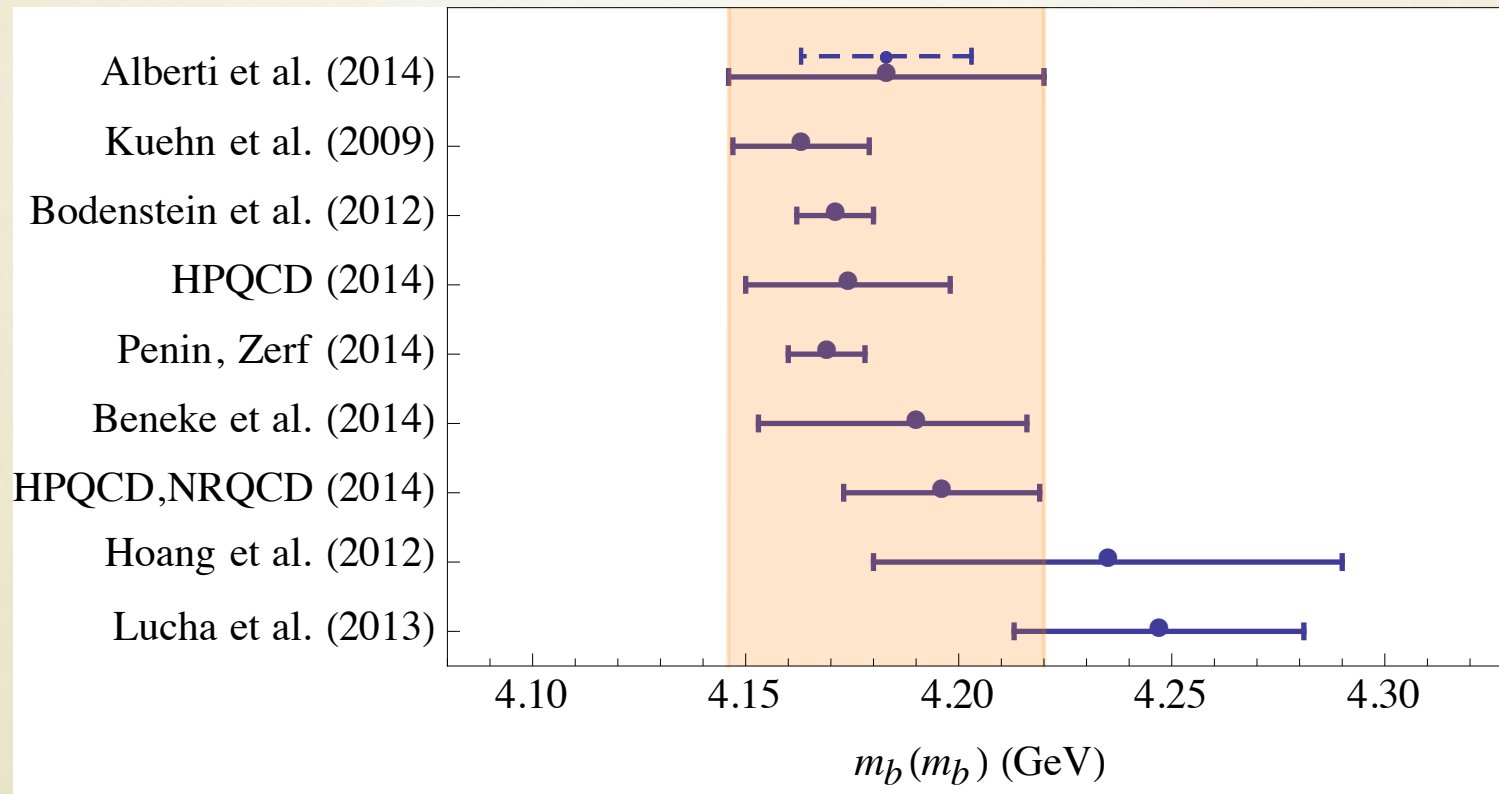
$$m_b^{kin}(1\text{ GeV}) - 0.85 \overline{m}_c(3\text{ GeV}) = 3.714 \pm 0.018 \text{ GeV}$$

- results depend little on assumption for correlations and choice of inputs, 1.8% determination of  $V_{cb}$
- 20-30% determination of the OPE parameters





# RESULTS: BOTTOM MASS



The fit gives  $m_b^{kin}(1\text{GeV})=4.553(20)\text{GeV}$   
 scheme translation error  $m_b^{kin}(1\text{GeV})=m_b(m_b)+0.37(3)\text{GeV}$   
 $\bar{m}_b(\bar{m}_b)=4.183(37)\text{GeV}$

# HIGHER ORDER EFFECTS

- Reliability of the method depends on our ability to control higher order effects. Quark-hadron duality violation would manifest as inconsistency in the fit.
- **Purely perturbative corrections** complete at NNLO, small residual error (kin scheme)<sub>Melnikov,Biswas,Czarnecki,Pak,PG</sub>
- **Higher power corrections**  $O(1/m_Q^{4,5})$  known  
Mannel,Turczyk,Uraltsev 2010    not included in fit
- **Mixed corrections** perturbative corrections to power suppressed coefficients completed at  $O(\alpha_s/m_b^2)$   
Becher, Boos, Lunghi, Alberti, Ewerth, Nandi, PG, Mannel,Pivovarov, Rosenthal



# PROSPECTS FOR THEORY

- Theoretical uncertainties dominate already
- $O(a_s/m_b^3)$  calculation under way
- $O(1/m_Q^{4,5})$  effects need further investigation: estimates based on vacuum saturation approx suggest small impact Turczyk, PG, preliminary
- NNNLO corrections to total width feasible
- Electroweak corrections
- **Lattice QCD information on local matrix elements is the next frontier**

# HOW CAN LATTICE HELP?

- Improve heavy quark mass determinations
- Compute  $M_B$  and  $M_{B^*}$  as function of the heavy quark mass: chromomagnetic and kinetic m.el., non local guys needed in HQSR
- HQE parameters are B meson expectation values of local heavy quark operators. Direct evaluation looks tricky...
- ...but it can perhaps be sidestepped: compute current correlators in the B meson to extract matrix elements in euclidean in analogy with HPQCD studies to extract  $m_b$  and  $m_c$

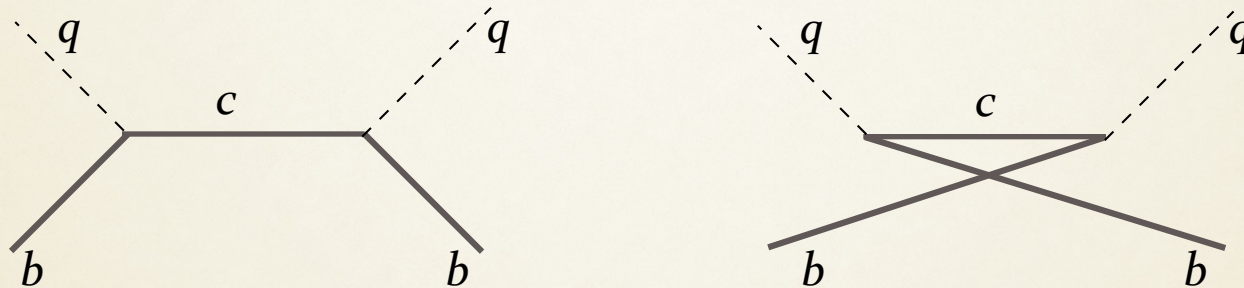
$$\frac{d^n}{d(q^2)^n} \Pi_p(q^2) \Big|_{q^2=0}, \quad \Pi_p(q^2) = i \int d^4x e^{iq \cdot x} \langle 0 | T J_5(x) J_5(0) | 0 \rangle$$
$$G_{2n} = a^6 \sum_{t, \vec{x}} (am_{0c})^2 \left( \frac{t}{a} \right)^{2n} \langle 0 | j_5(\vec{x}, t) j_5(0, 0) | 0 \rangle$$



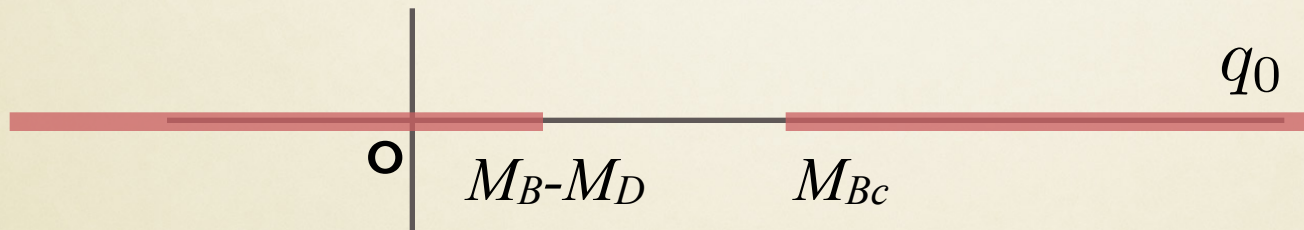
In inclusive decays we compute moments of  $Im\ T^i$

$$T^{\mu\nu}(q) = \frac{2i}{\pi M_B} \int d^x e^{-iq \cdot x} \langle \bar{B} | T J_L^\mu(x) J_L^\nu(0) | \bar{B} \rangle$$

with contributions from (*4 point functions*)



Setting  $\vec{q} = 0$  the analytic structure in the  $q_0$  plane is



and appropriate dispersion relations/analytic continuation to connect to euclidean. Alternatively, one can compute OPE in euclidean, but it's much more work...

Pros: moments suppress lower dimensional operators, can study OPE convergence at different quark masses, can change currents...

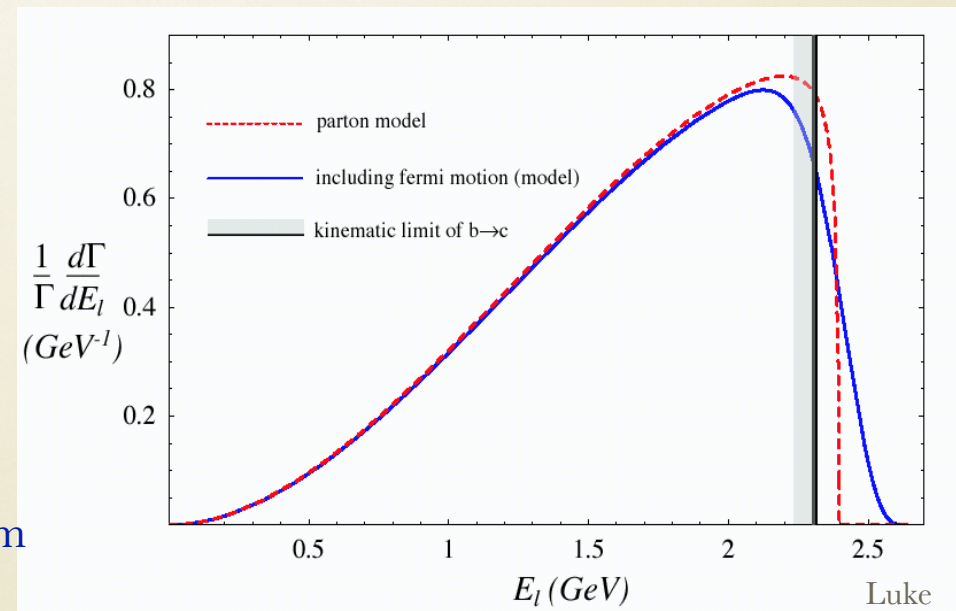
# $B \rightarrow X_u l \nu$ AND CUTS

Experiments often use kinematic cuts to avoid the  $\sim 100\times$  larger  $b \rightarrow cl\nu$  background:

$$m_X < M_D \quad E_l > (M_B^2 - M_D^2)/2M_B \quad q^2 > (M_B - M_D)^2 \dots$$

*The cuts destroy convergence of the OPE that works so well in  $b \rightarrow c$ . OPE expected to work only away from pert singularities*

Rate becomes sensitive to *local* b-quark wave function properties like Fermi motion. Dominant non-pert contributions can be resummed into a **SHAPE FUNCTION**  $f(k_+)$ . Equivalently the SF is seen to emerge from soft gluon resummation





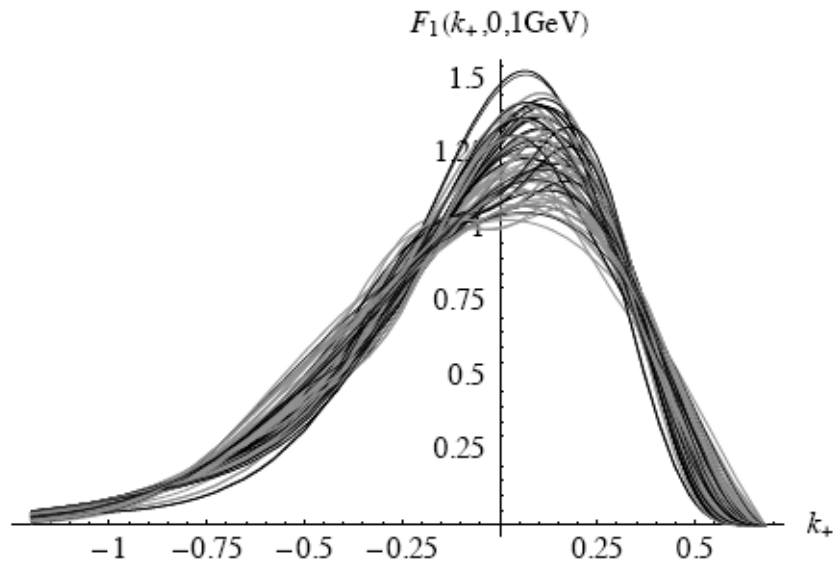
# HOW TO ACCESS THE SF?

$$\frac{d^3\Gamma}{dp_+ dp_- dE_\ell} = \frac{G_F^2 |V_{ub}|^2}{192\pi^3} \int dk C(E_\ell, p_+, p_-, k) F(k) + O\left(\frac{\Lambda}{m_b}\right)$$

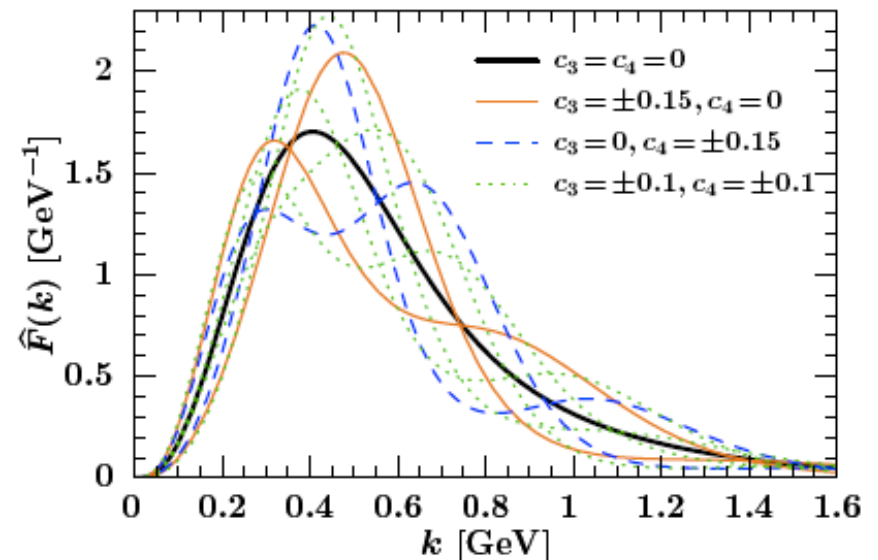
*Subleading SFs*

Prediction <i>based</i> on resummed pQCD  DGE, ADFR	OPE constraints + parameterization without/with resummation  GGOU, BLNP
Fit semileptonic (and radiative) data SIMBA, NNVub	

# FUNCTIONAL FORMS



About 100 forms considered in GGOU, large variety, double max discarded. Small uncertainty (1-2%) on  $V_{ub}$

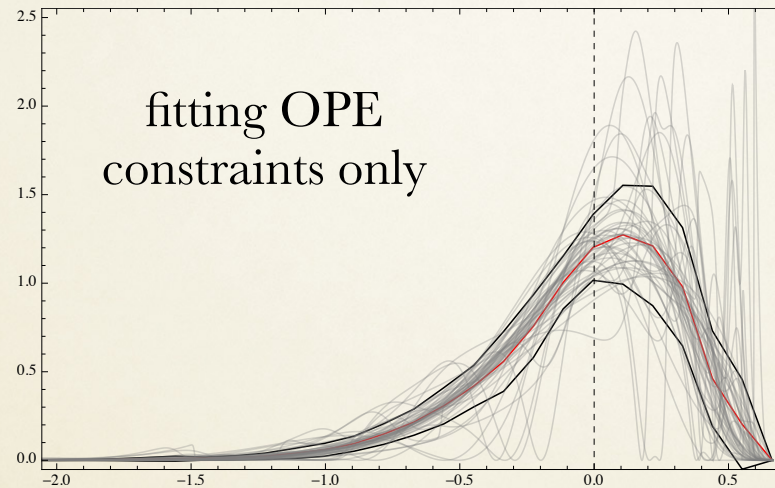


A more systematic method by Ligeti et al. [arXiv:0807.1926](https://arxiv.org/abs/0807.1926)  
Plot shows 9 SFs that satisfy all the first three moments



# The NNVub Project

K.Healey, C. Mondino, PG, in progress



- Use **Artificial Neural Networks** to fit shape functions to theoretical constraints and data without bias, extracting  $V_{ub}$  and HQE parameters in a model independent way (without assumptions on functional form).
- Belle-II will be able to measure some kinematic distributions, thus constraining directly the shape function. NN $V_{ub}$  will provide a flexible tool to analyse data.

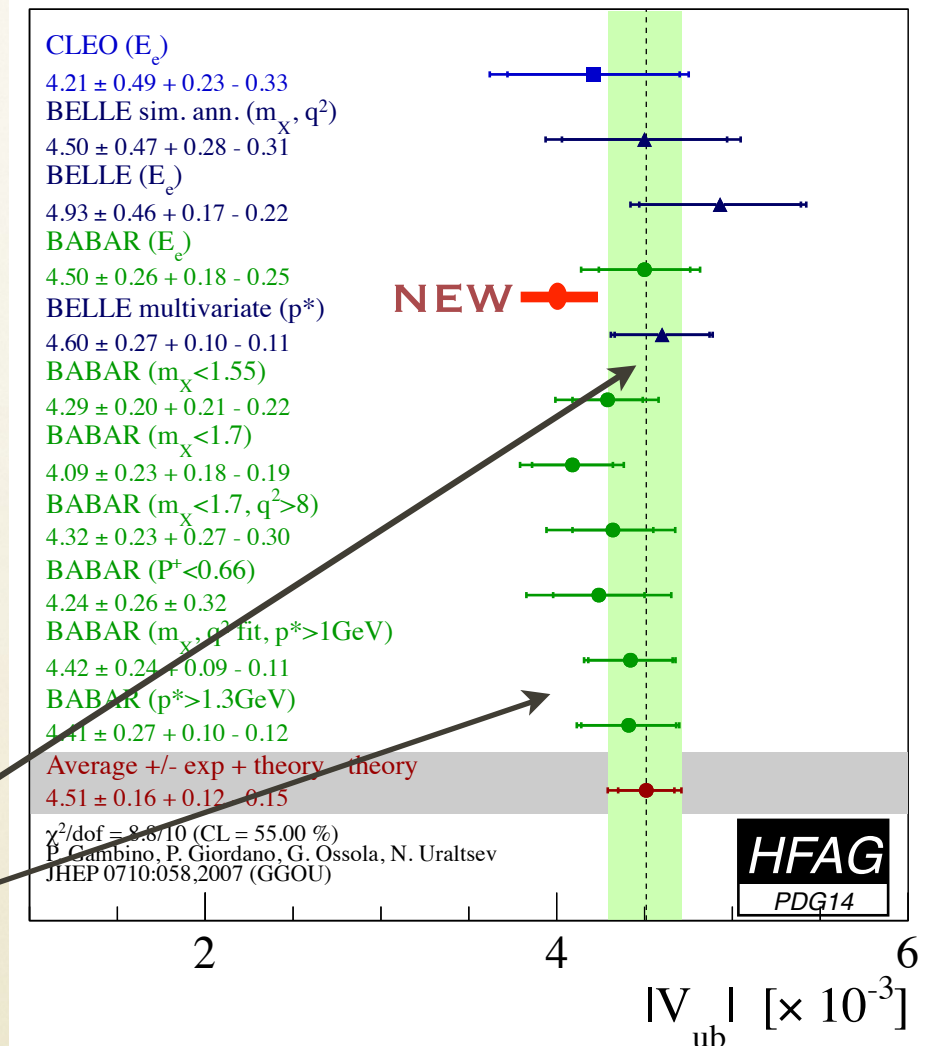
# $|V_{ub}|$ DETERMINATIONS

**Inclusive: 5% total error**

HFAG 2014	Average $ V_{ub} $ 1000
DGE	4.52(16)(16)
BLNP	4.45(16)(22)
GGOU	4.51(16)(15)

UT fit (without direct  $V_{ub}$ ):  
 $V_{ub} = 3.62(12) \cdot 10^{-3}$

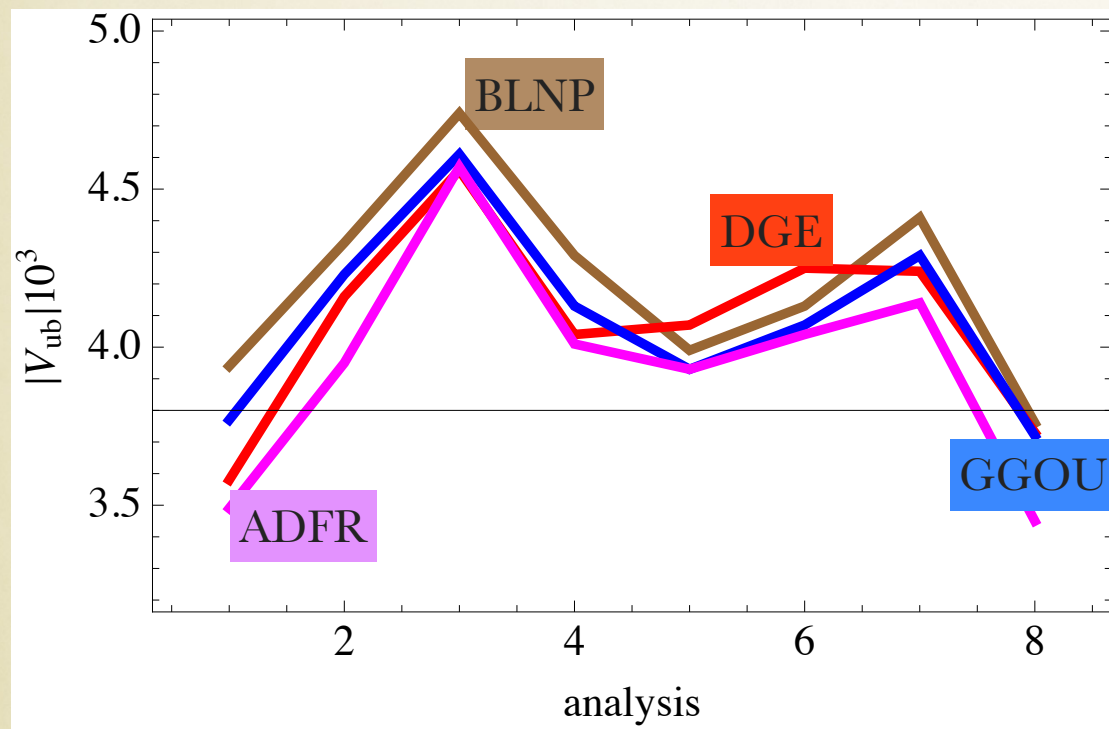
Recent experimental results  
 are theoretically cleanest (2%)  
 but based on background modelling.  
 Signal simulation also relies on theoretical models...





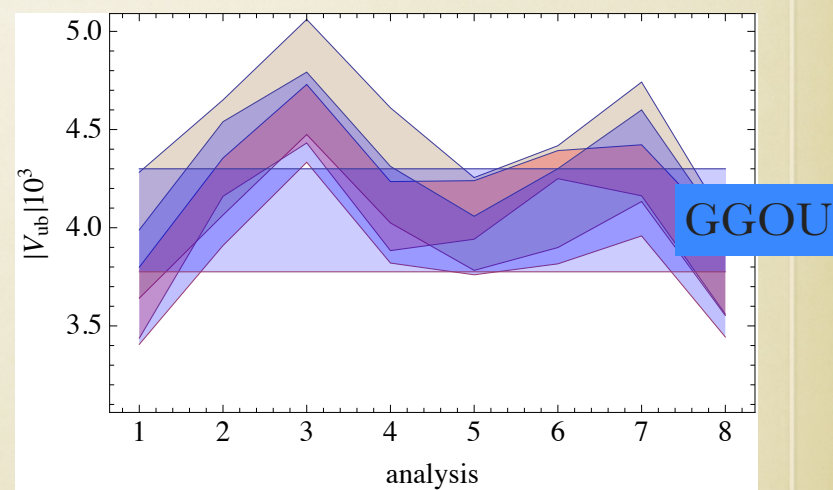
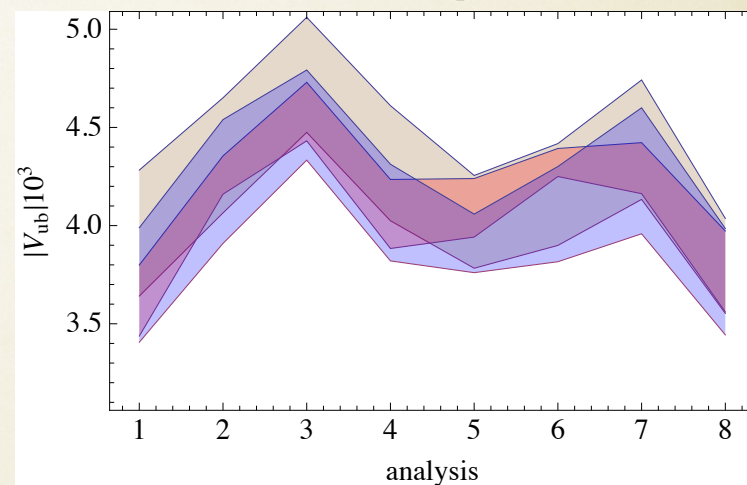
# A GLOBAL COMPARISON

0907.5386, Phys Rept

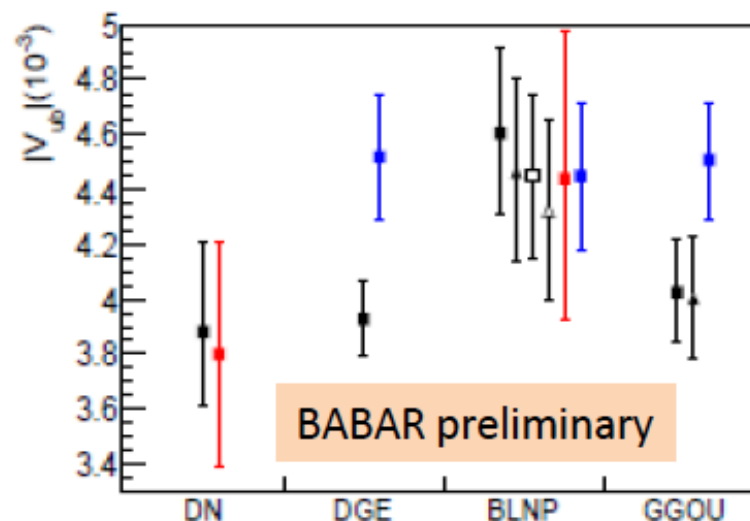
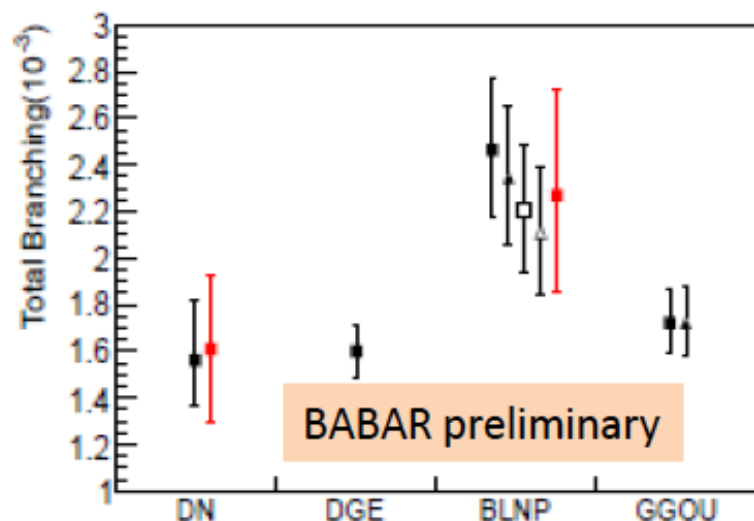


- \* common inputs (except ADFR)
- \* Overall good agreement **SPREAD WITHIN THEORY ERRORS**
- \* NNLO BLNP still missing: will push it up a bit
- \* Systematic offset of central values: normalization? to be investigated

only theory errors  
(without common parametric)



# Summary



solid squares and triangles –  $X_c$  with mc constraint fit and  $X_c + X_s \gamma$  fit of SF parameters (BLNP and GGOU)  
 solid and open - translation “kinetic” to “shape-function” with  $\mu = 2.0\text{GeV}$  and  $\mu = 1.5\text{GeV}$  (BLNP), respectively  
 results based on 0.8-2.6GeV/c momentum range  
 HFAG 2014 average based on tagged and untagged measurements  
 Consistent with and more precise than our previous result:  
 BaBar, Phys.Rev. D73(2006)012006 ( $p_e > 2\text{ GeV/c}$ )



# SUMMARY

- **New twists in the  $V_{ub}, V_{cb}$  saga!** Too early to draw conclusions though, we need more precise data and calculations.
- Improvements of OPE approach to semileptonic decays continue. All effects  $O(\alpha_s \Lambda^2/m_b^2)$  implemented. **No sign of inconsistency in this approach so far, competitive  $m_b$  determination.**
- Exclusive/incl. tension in  $V_{cb}$  remains ( $3\sigma$ , 8%) only in the  $D^*$  channel. The **D channel is becoming competitive and agrees with inclusive.** The remaining tension calls for new lattice analyses and probably for model independent reanalyses of B factories data.
- Exclusive/incl tension in  $V_{ub}$  slightly receding because of new FNAL/MILC result and of new Babar inclusive analysis (if confirmed).
- Belle-II will improve precision and allow for consistency checks of our methods, especially for inclusive  $V_{ub}$ .