

Classification of gapless Z_2 spin liquids in three-dimensional Kitaev models

Maria Hermanns
University of Cologne

M.H., S.Trebst, PRB 89, 235102 (2014)

M.H., K. O'Brien, S.Trebst, PRL 114, 157202 (2015)

M.H., S.Trebst, A. Rosch, arXiv:1506.01379 (2015)



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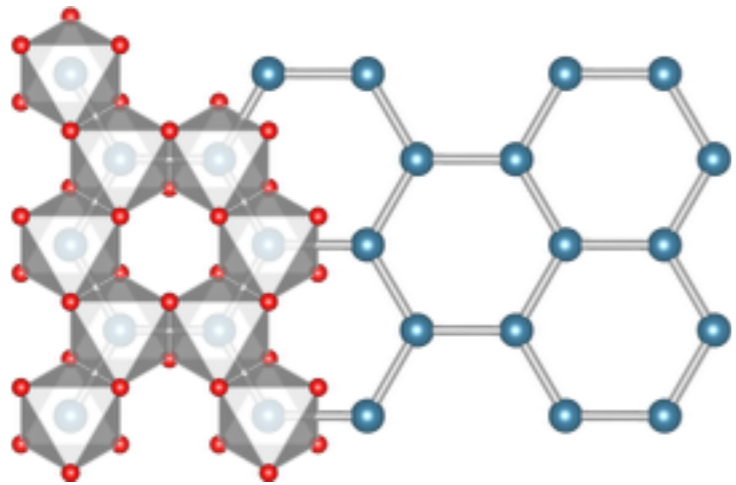
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A family of Li_2IrO_3 compounds

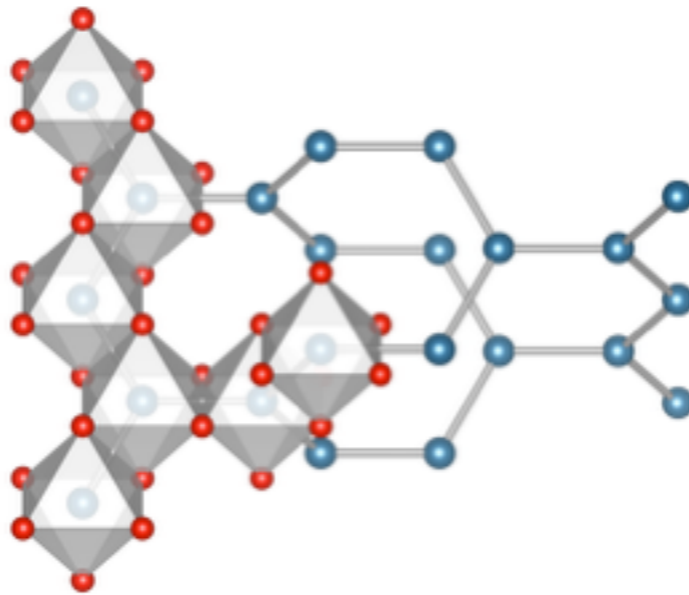
crystals

$\alpha\text{-Li}_2\text{IrO}_3$



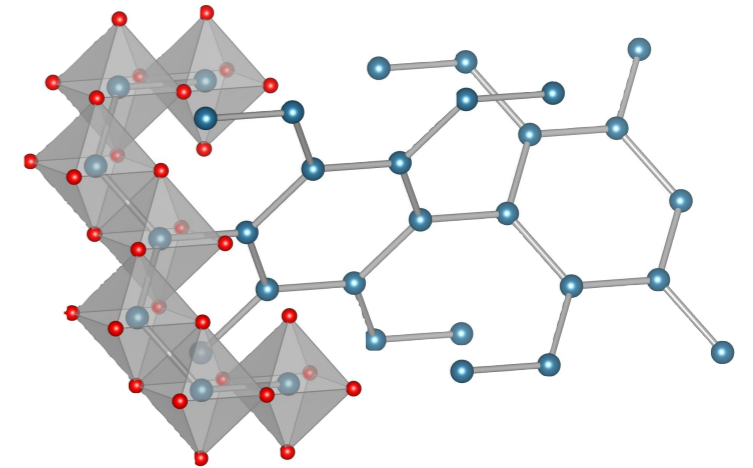
Singh et al., PRL 108, 127203 (2008)

$\beta\text{-Li}_2\text{IrO}_3$



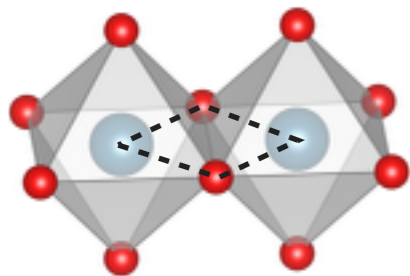
Takayama et al., PRL 114, 077202 (2015)

$\gamma\text{-Li}_2\text{IrO}_3$

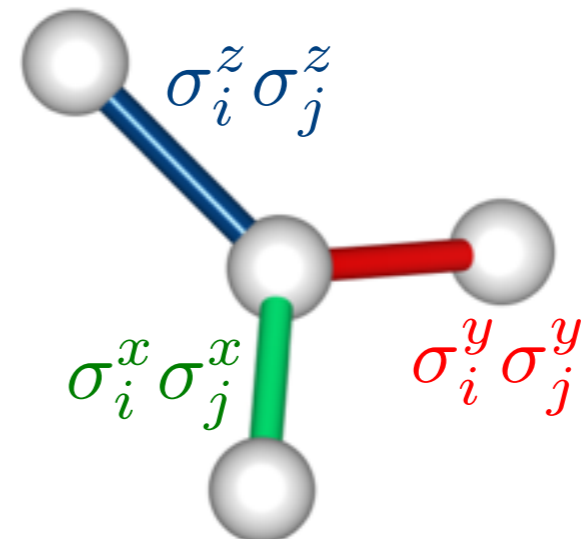


Modic et al., Nat. Comm. 5, 4203 (2014)

edge-sharing IrO_6 octahedra



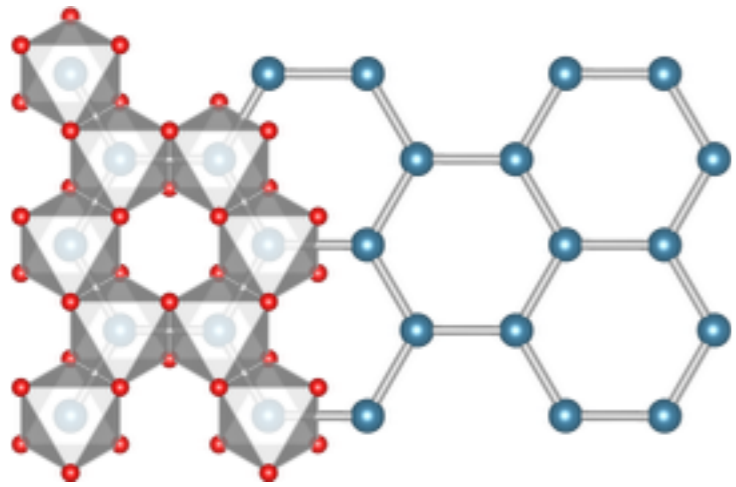
G. Jackeli and G. Khaliullin (2009)



A family of Li_2IrO_3 compounds

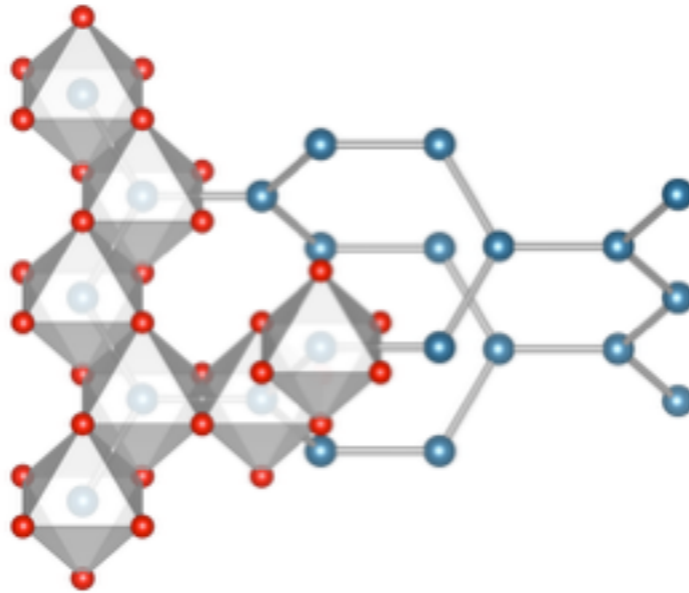
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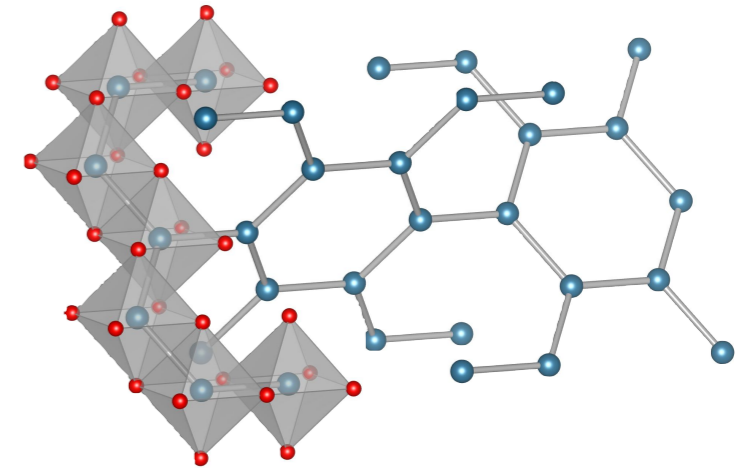
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$\beta\text{-Li}_2\text{IrO}_3$



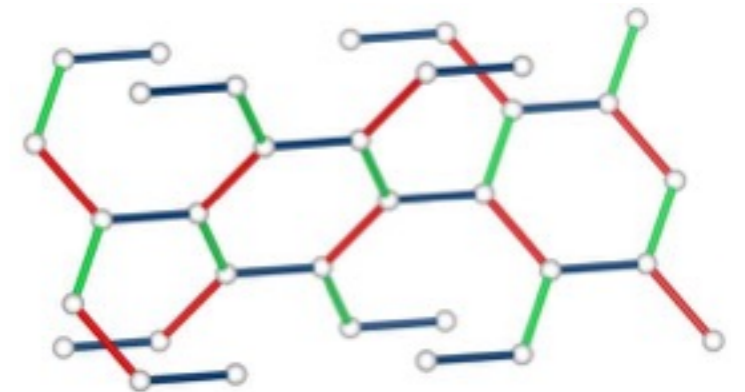
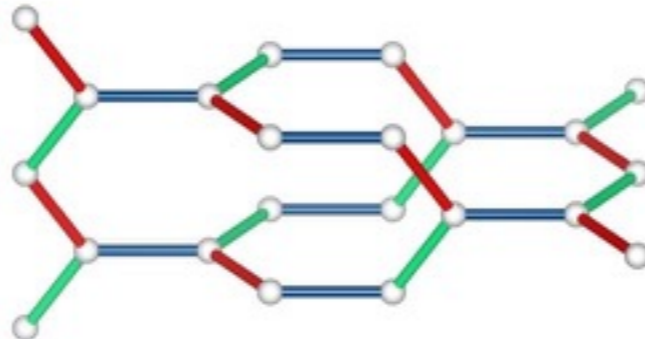
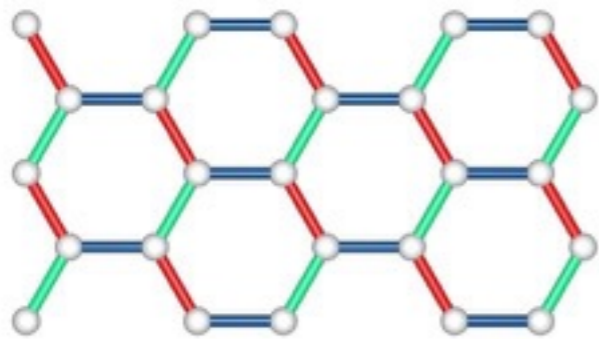
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$\gamma\text{-Li}_2\text{IrO}_3$



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Kitaev models

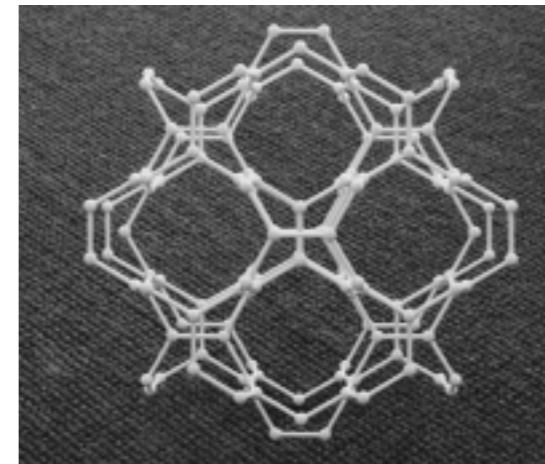
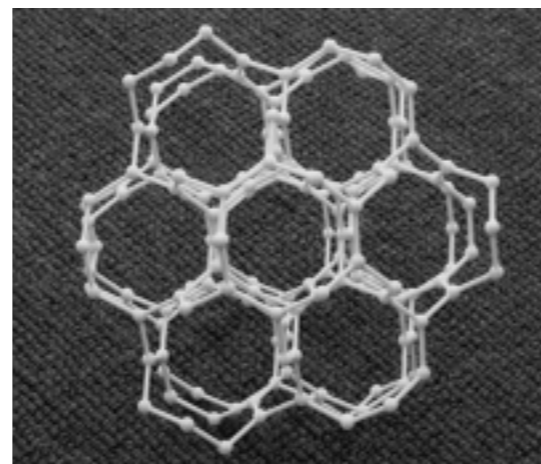
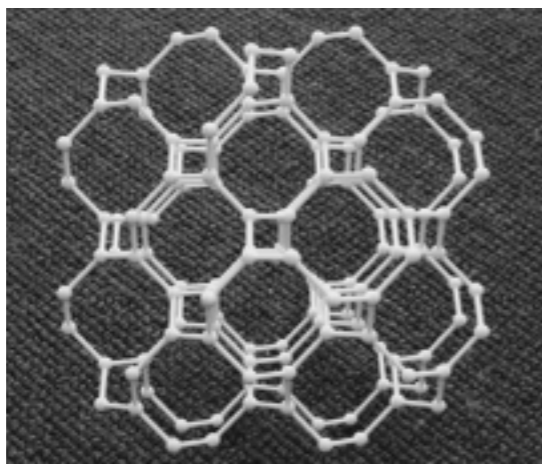
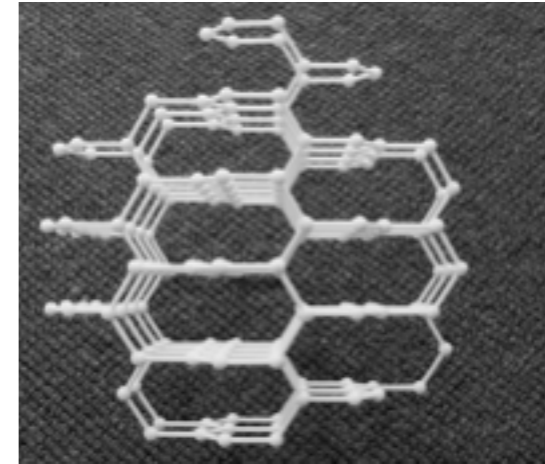
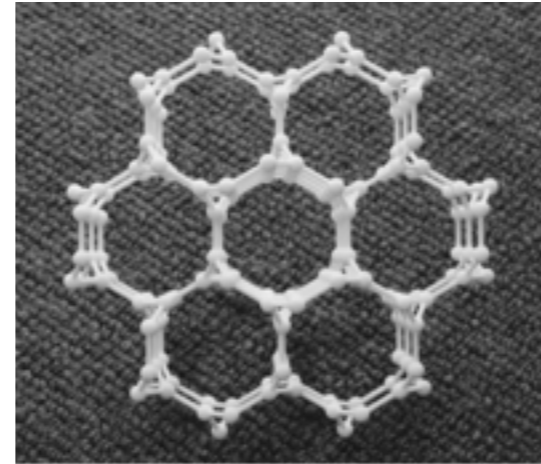
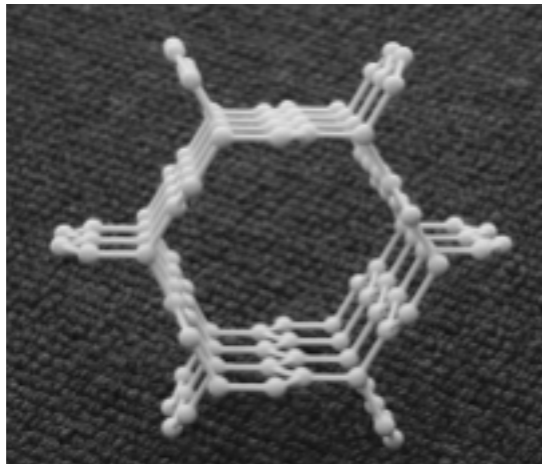
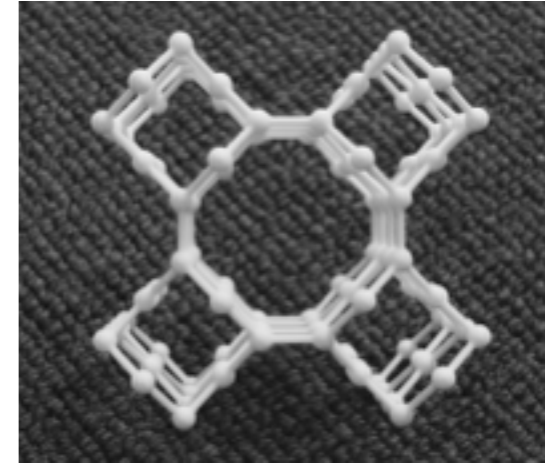
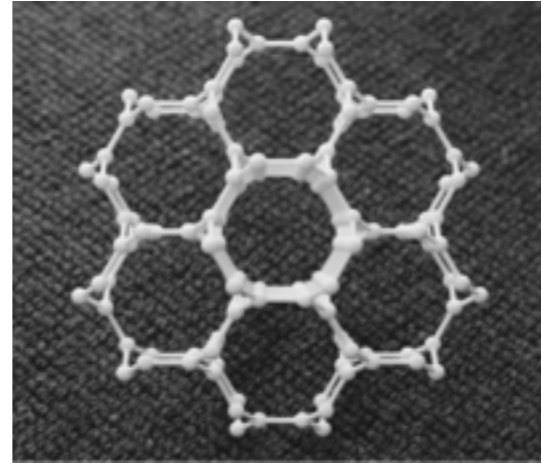
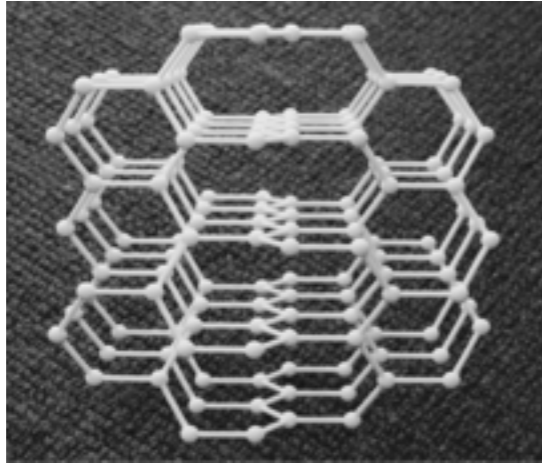


Na_2IrO_3 : Singh, Gegenwart, PRB 82, 064412 (2010)

RuCl_3 : Majumder et al. PRB 91, 180401(R) (2015)

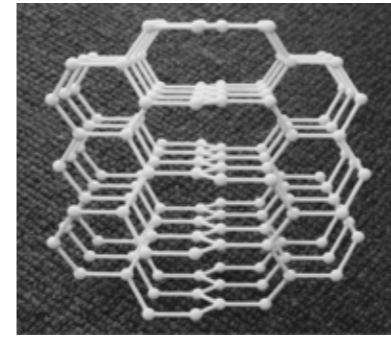
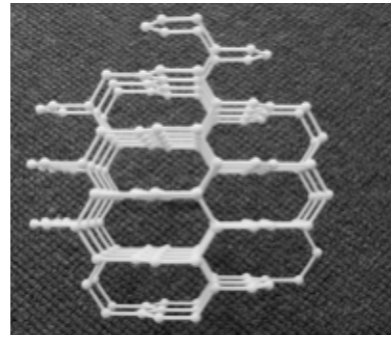
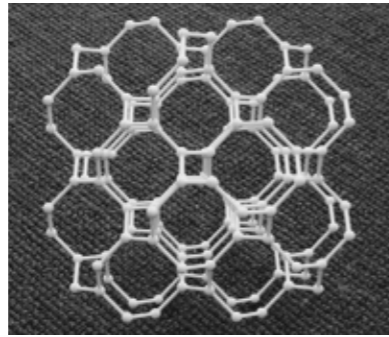
Tricoordinated lattices in 3D

Tricoordinated lattices in 3D

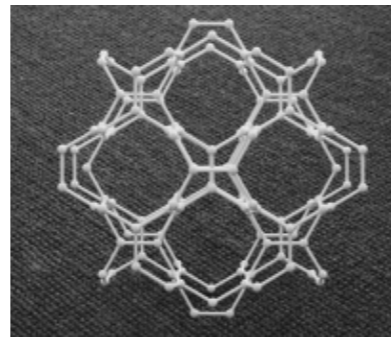
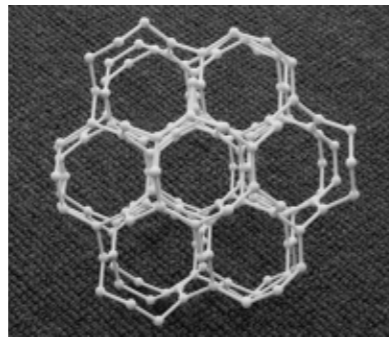


Tricoordinated lattices in 3D

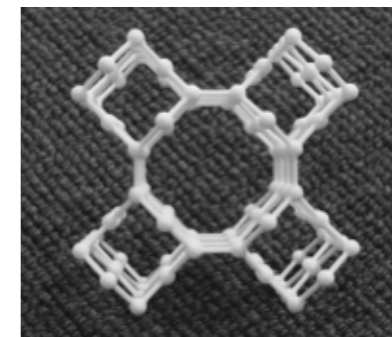
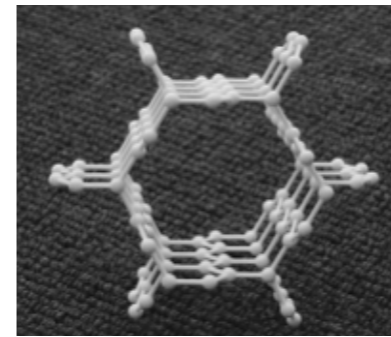
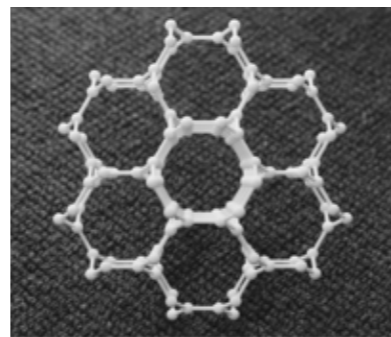
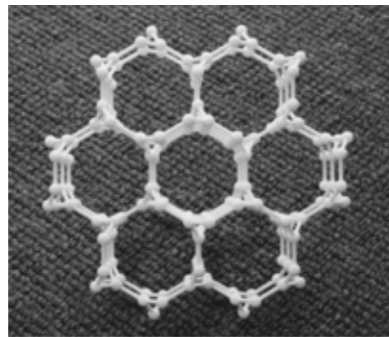
(10,3)



(9,3)

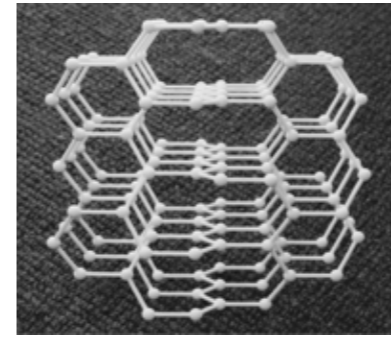
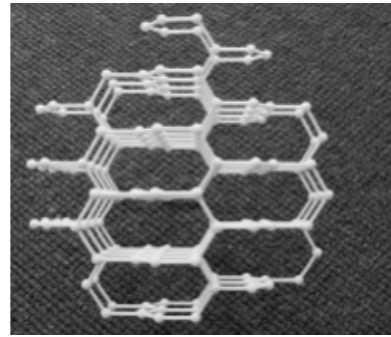
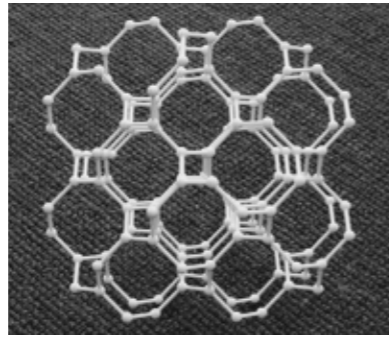


(8,3)

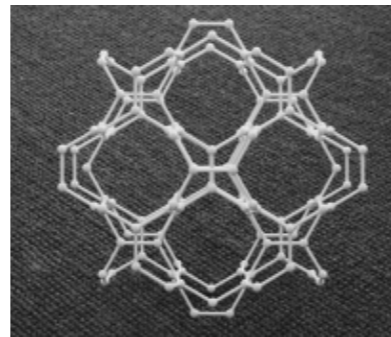
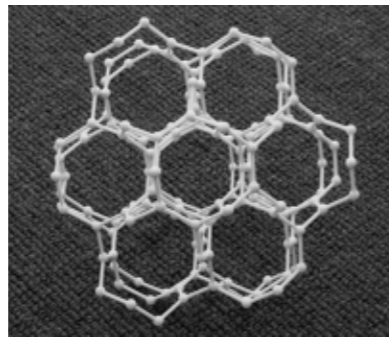


Tricoordinated lattices in 3D

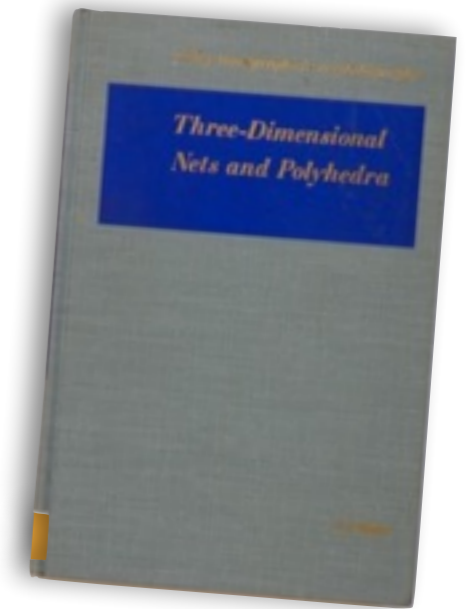
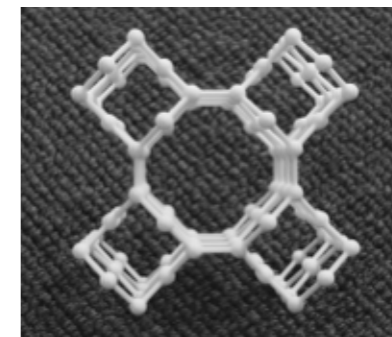
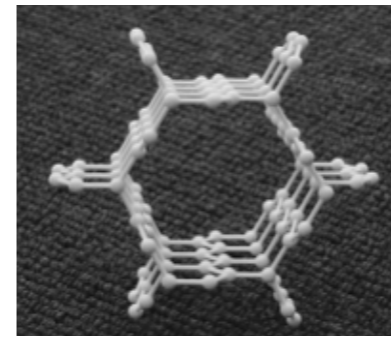
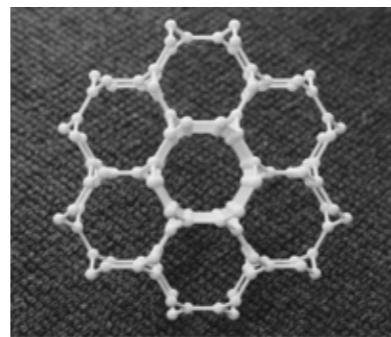
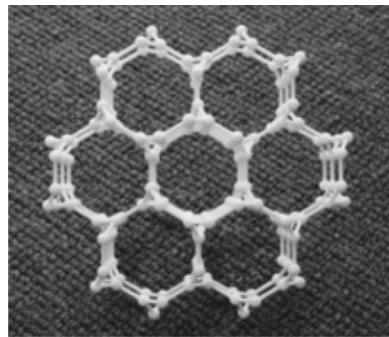
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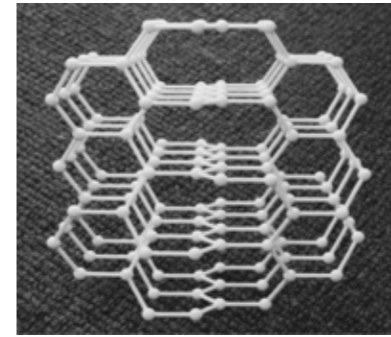
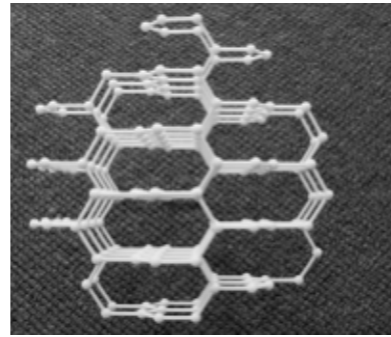
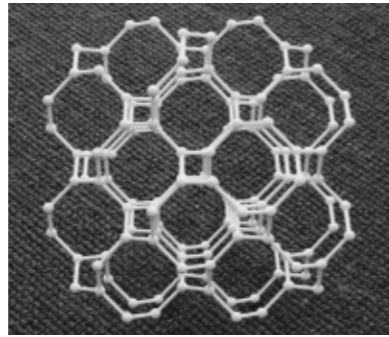
(8,3)



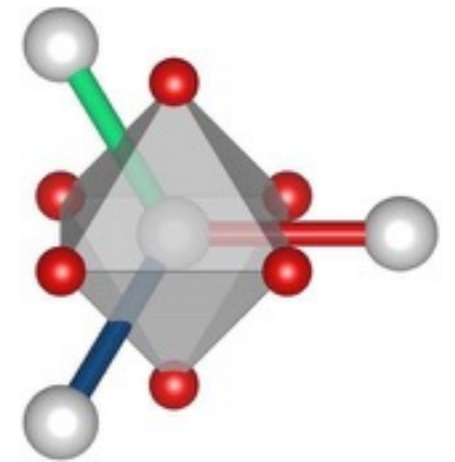
A.F.Wells, 1977

Tricoordinated lattices in 3D

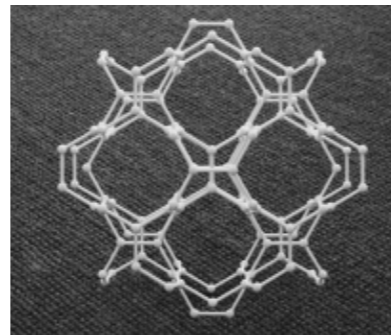
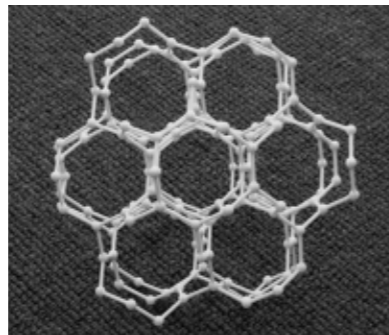
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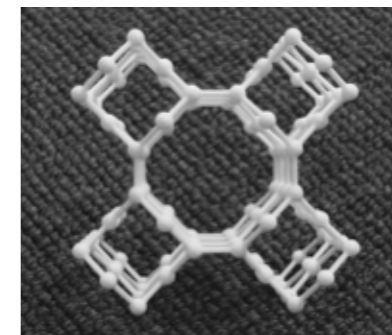
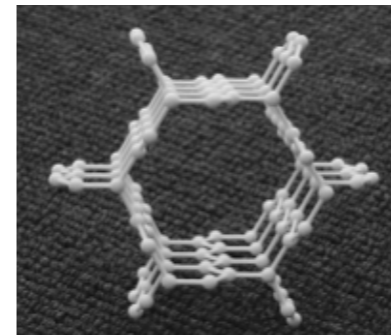
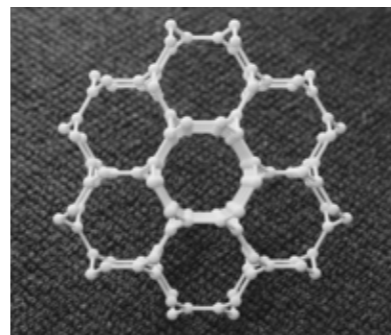
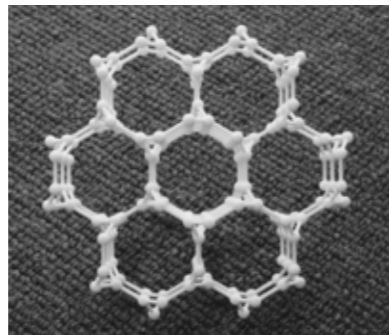
- equal bond length
- 120° bond angles



(9,3)



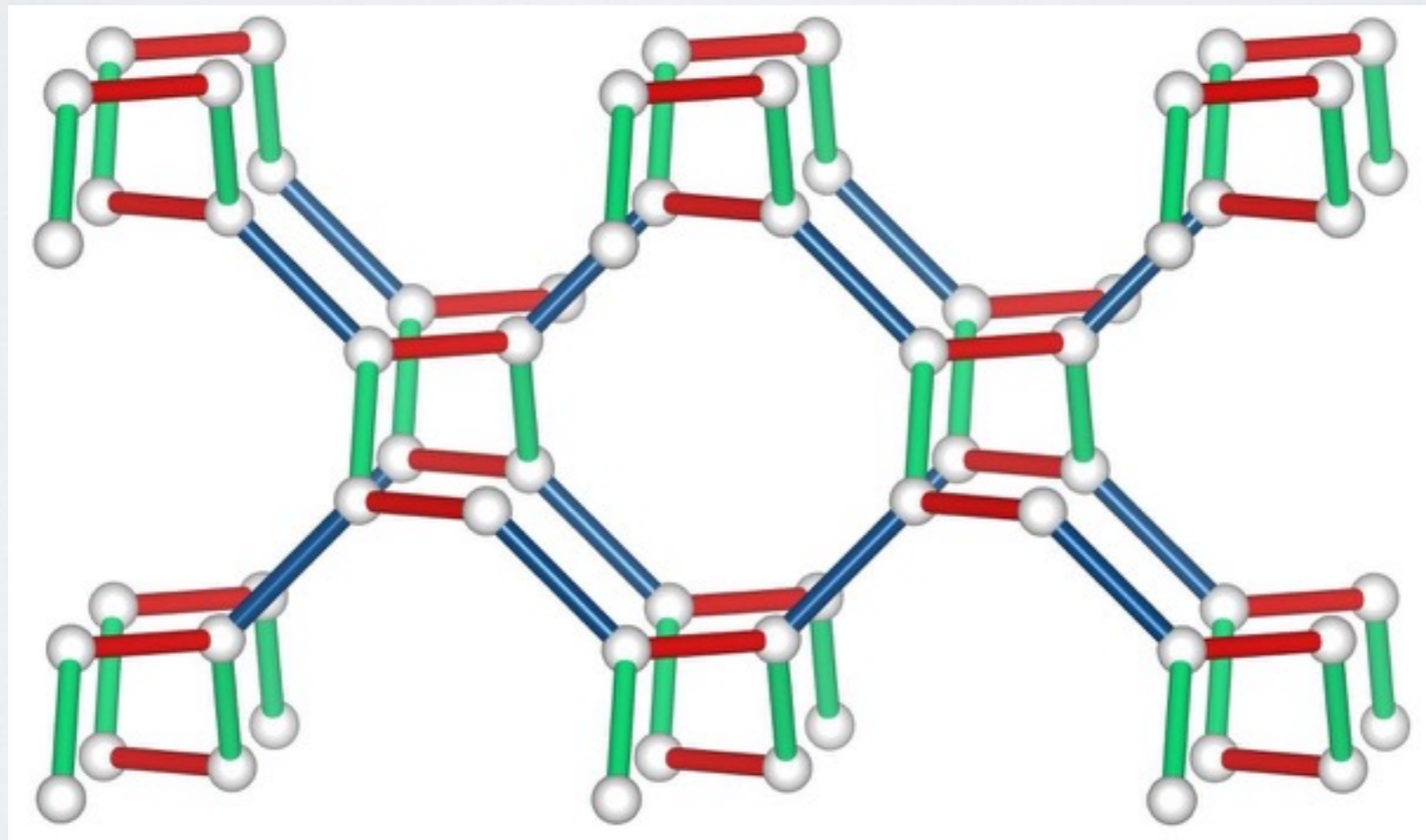
(8,3)



Tricoordinated lattices in 3D

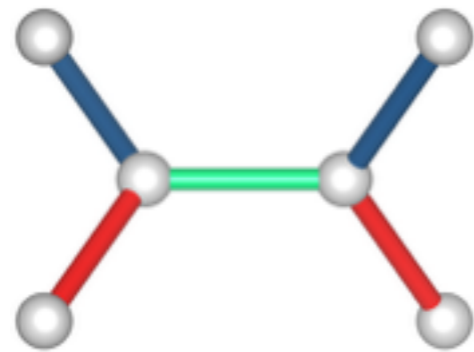
| Schäfli symbol | alternative names | atoms per unit cell | Inversion | Lieb theorem | space group |
|----------------|----------------------------|---------------------|-----------|--------------|-----------------------------|
| (10,3)a | hyperoctagon K4 lattice | 4 | ✗ | ✗ | I ₄ 32 (214) |
| (10,3)b | hyperhoneycomb | 4 | ✓ | ✗ | Fddd (70) |
| (10,3)c | - | 6 | ✗ | ✗ | P3 ₁ 2 (151) |
| (9,3)a | - | 12 | ✓ | ✗ | R-3m (166) |
| (9,3)b | - | 24 | ✓ | ✗ | P42 / nmc (137) |
| (8,3)a | - | 6 | ✗ | ✗ | P6 ₂ 22 (180) |
| (8,3)b | - | 6 | ✓ | ✓ | R-3m (166) |
| (8,3)c | - | 8 | ✓ | ✗ | P6 ₃ / mmc (194) |
| (8,3)n | - | 16 | ✓ | ✗ | I4 / mmm (139) |
| (6.3) | honeycomb | 2 | ✓ | ✓ | |

3D Kitaev models



Spin fractionalization and Majorana fermions

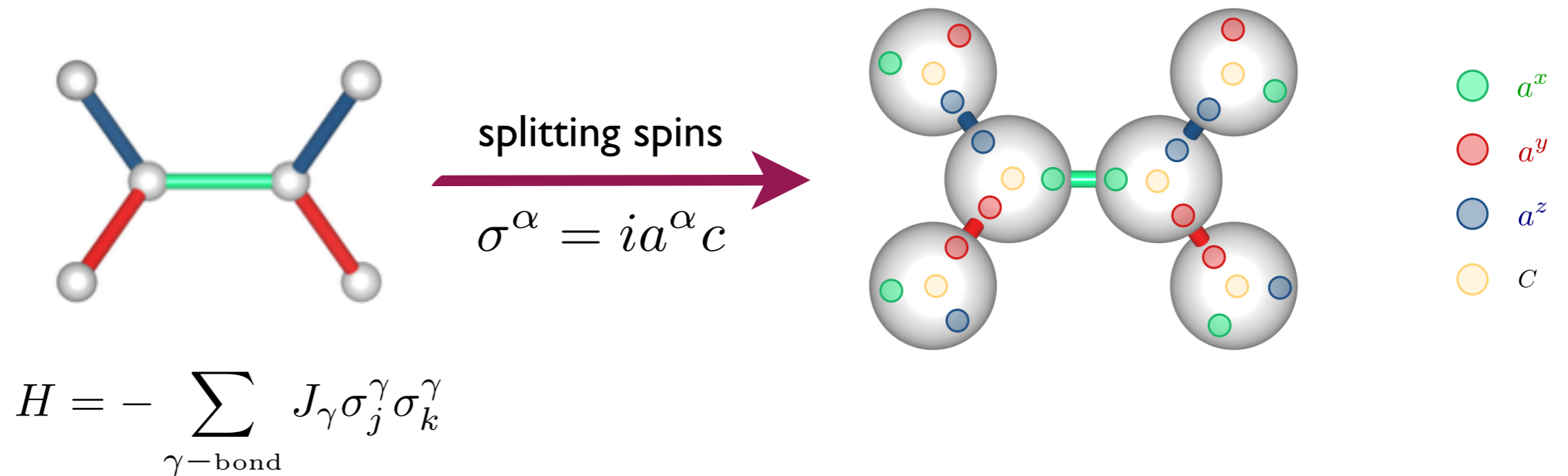
A. Kitaev, Annals of Physics 321, 2 (2006)



$$H = - \sum_{\gamma\text{-bond}} J_{\gamma} \sigma_j^{\gamma} \sigma_k^{\gamma}$$

Spin fractionalization and Majorana fermions

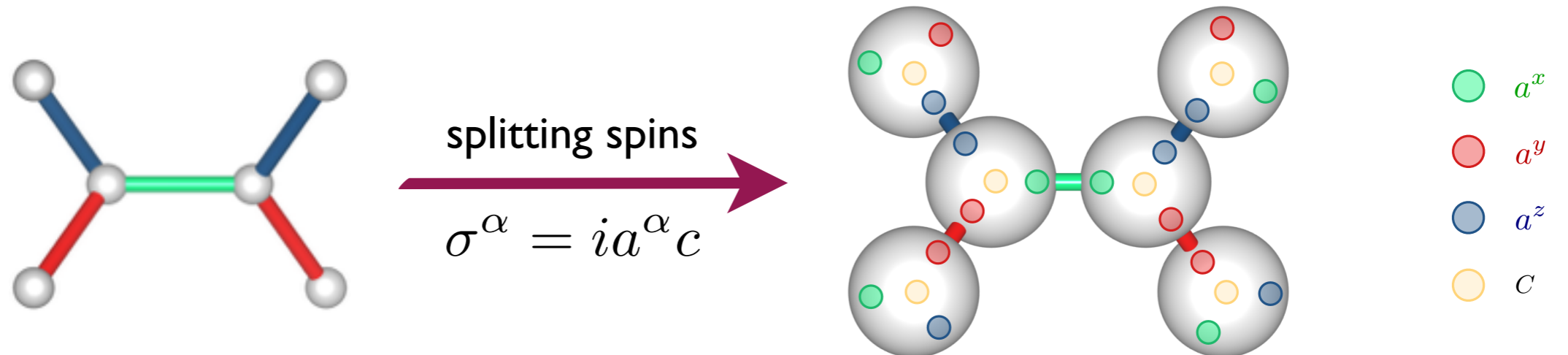
A. Kitaev, Annals of Physics 321, 2 (2006)



- represent spins by four **Majorana fermions**

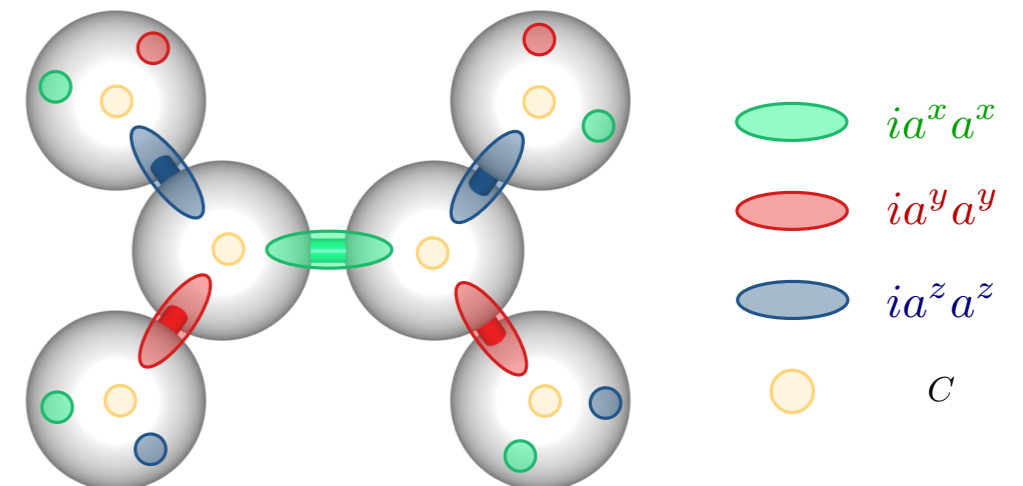
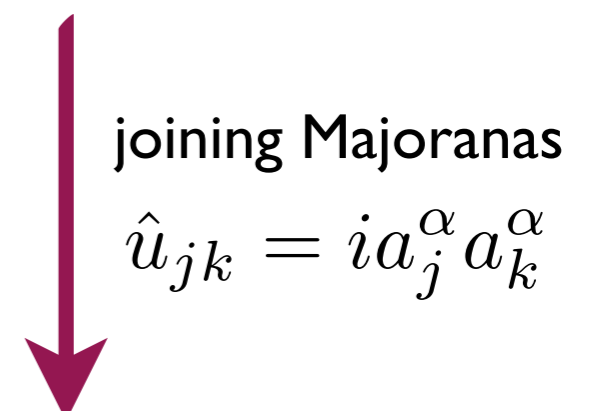
Spin fractionalization and Majorana fermions

A. Kitaev, Annals of Physics 321, 2 (2006)



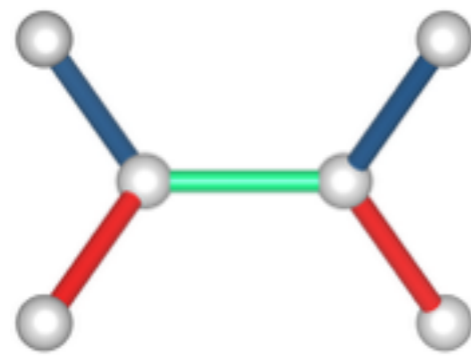
$$H = - \sum_{\gamma\text{-bond}} J_\gamma \sigma_j^\gamma \sigma_k^\gamma$$

- represent spins by four **Majorana fermions**
- emergent \mathbf{Z}_2 gauge field on bonds

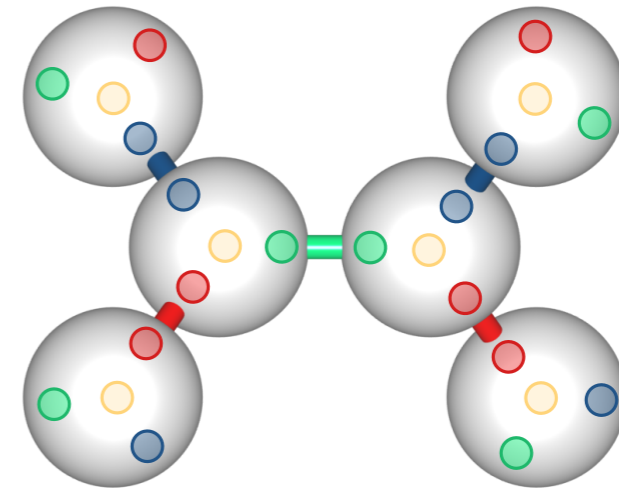


Spin fractionalization and Majorana fermions

A. Kitaev, Annals of Physics 321, 2 (2006)



splitting spins
 $\sigma^\alpha = ia^\alpha c$

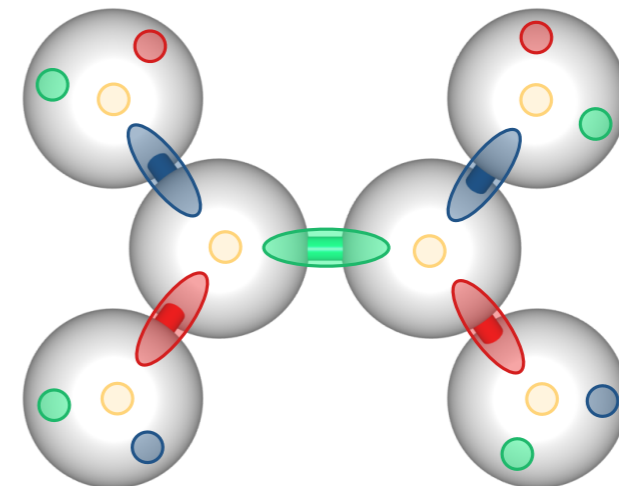


● a^x
● a^y
● a^z
● c

$$H = - \sum_{\gamma\text{-bond}} J_\gamma \sigma_j^\gamma \sigma_k^\gamma$$

joining Majoranas

$$\hat{u}_{jk} = ia_j^\alpha a_k^\alpha$$



○ $ia^x a^x$
○ $ia^y a^y$
○ $ia^z a^z$
○ c

- represent spins by four **Majorana fermions**
- emergent **\mathbf{Z}_2 gauge field** on bonds

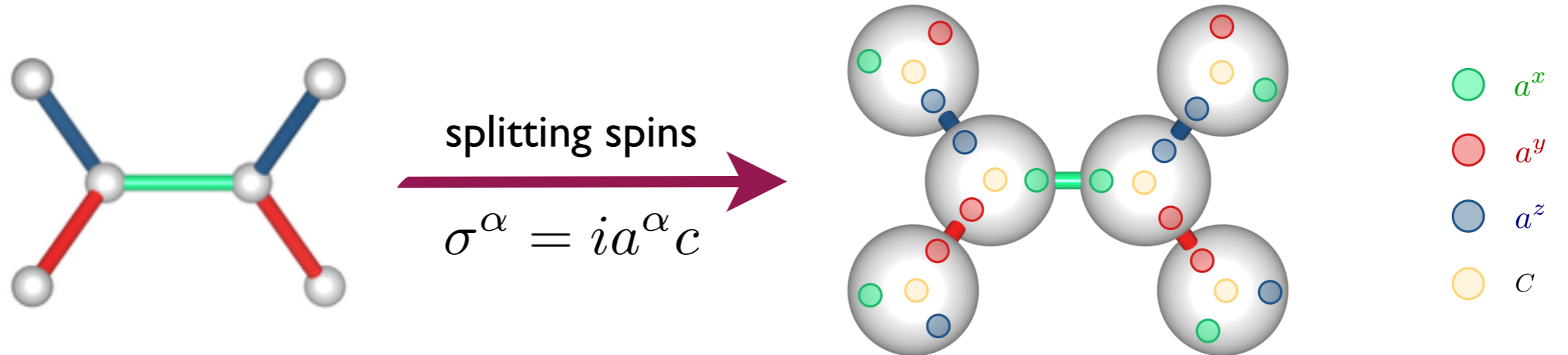
- Hilbert space split into two separate sectors: $2^N = 2^{N/2} \times 2^{N/2}$

Majorana fermions c_j
 “spinons”

flux loops “visons”
 (static and gapped)

Spin fractionalization and Majorana fermions

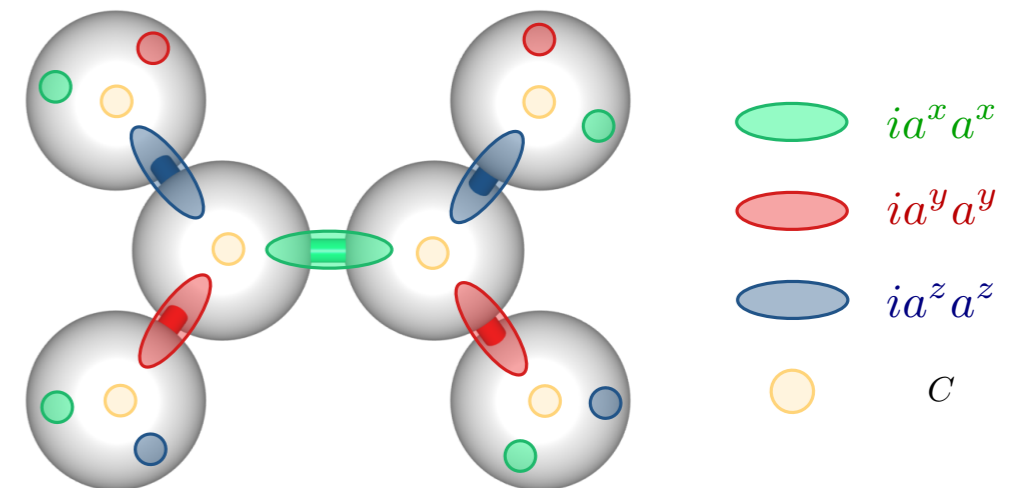
A. Kitaev, Annals of Physics 321, 2 (2006)



$$H = i \sum_{\gamma\text{-bond}} J_{\gamma} c_j c_k$$

joining Majoranas

$$\hat{u}_{jk} = i a_j^{\alpha} a_k^{\alpha}$$



- represent spins by four **Majorana fermions**

- emergent **\mathbf{Z}_2 gauge field** on bonds

- Hilbert space split into two separate

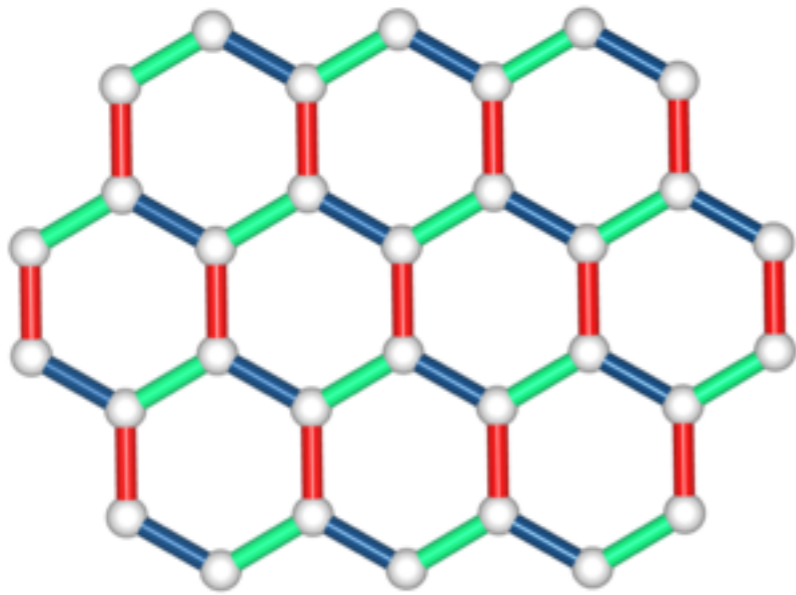
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Majorana fermions c_j
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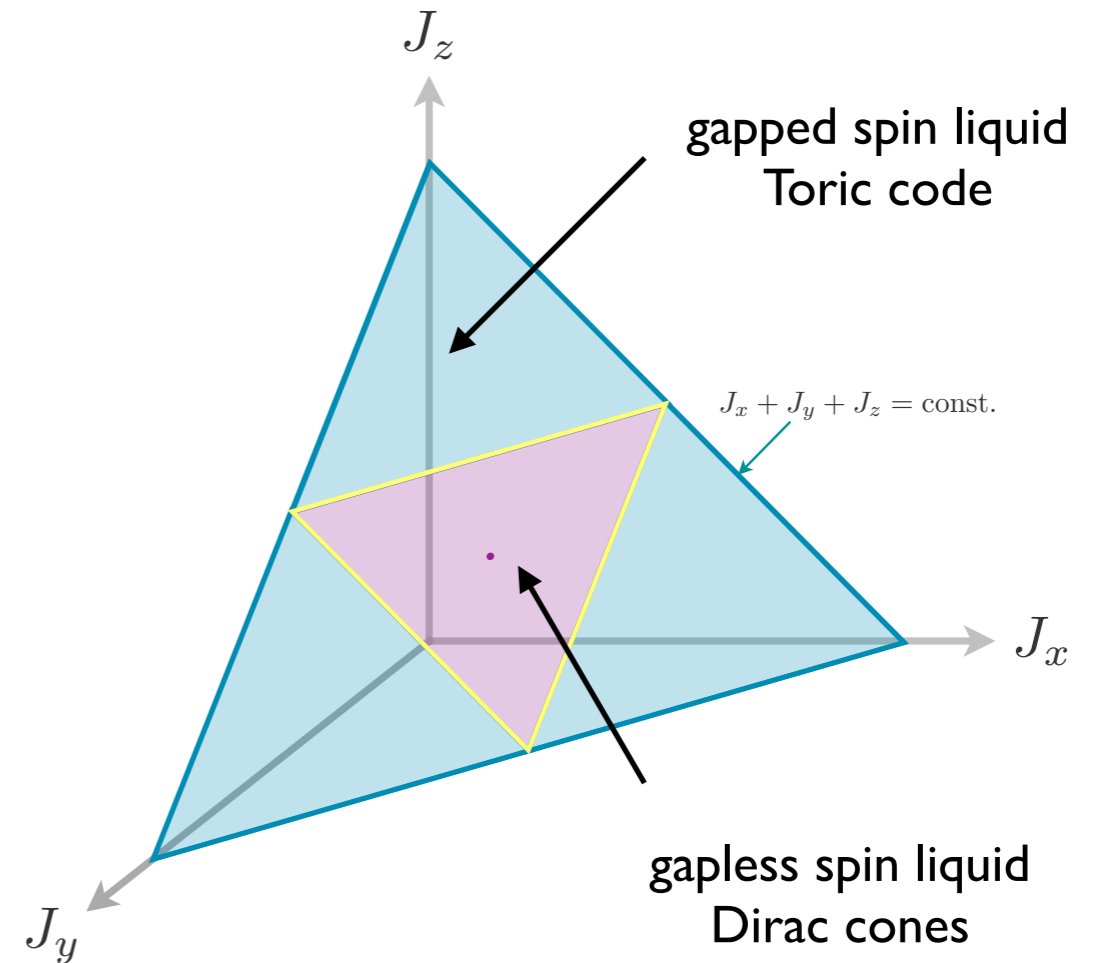
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Kitaev spin liquids in 2D

Kitaev, Annals of Physics '06

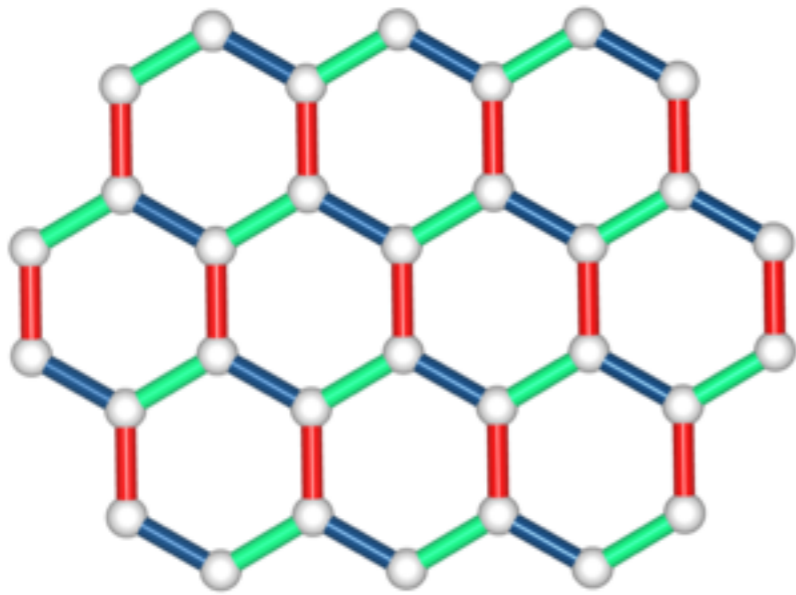


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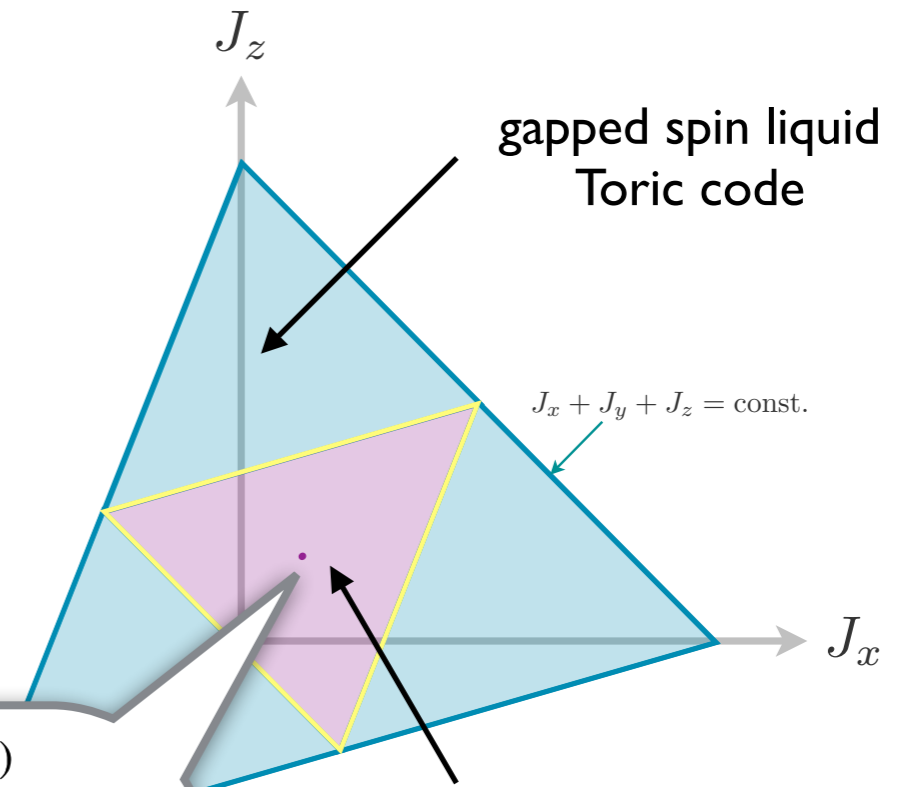
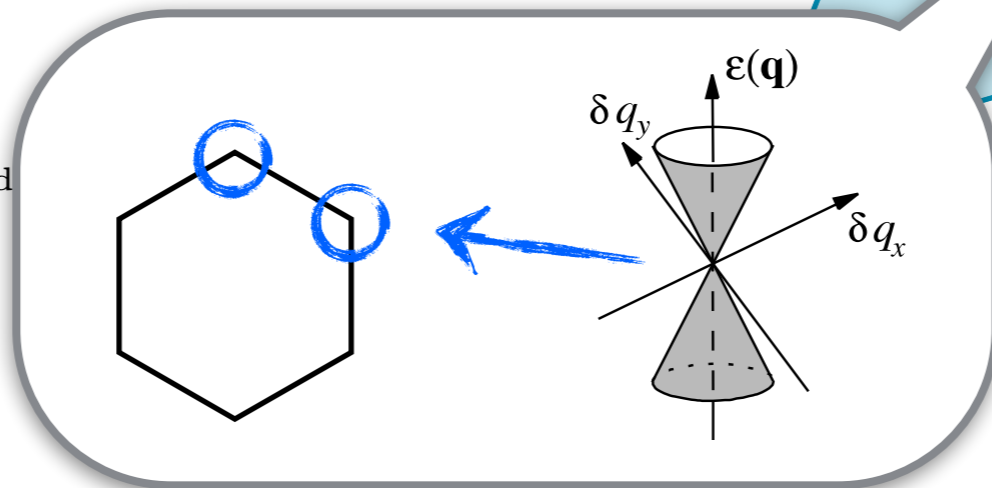


Kitaev spin liquids in 2D

Kitaev, Annals of Physics '06



$$H = i \sum_{\gamma\text{-bond}} \dots$$



gapless spin liquid
Dirac cones

Kitaev models in 3D

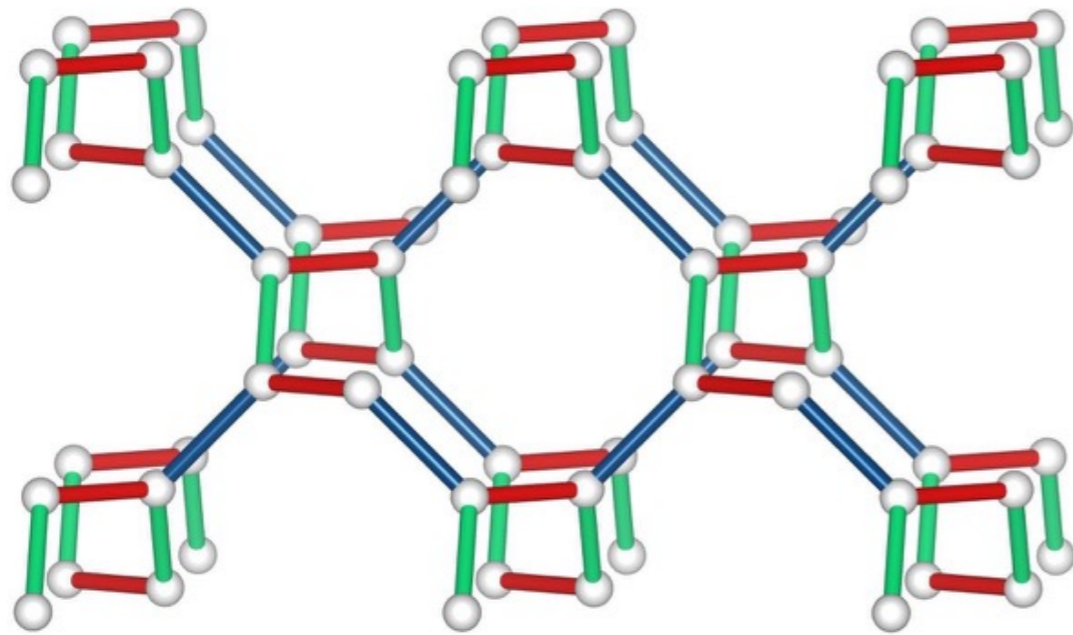
| Schäfli symbol | Majorana metal | TR breaking | Peierls instability |
|-----------------------------|--------------------------------|--------------------------------|---------------------|
| (10,3)a (hyperoctagon) | (topological) Fermi surface | (topological) Fermi surface | ✓ |
| (10,3)b (hyperhoneycomb) | Fermi line | Weyl nodes | ✗ |
| (10,3)c | Fermi line | topological Fermi surface | ✗ |
| (9,3)a | Weyl nodes | Weyl nodes | ✗ |
| (9,3)b | - | - | ✗ |
| (8,3)a | (topological) Fermi surface | (topological) Fermi surface | ✓ |
| (8,3)b | Weyl nodes | Weyl nodes | ✓ |
| (8,3)c | Fermi line | Weyl nodes | ✗ |
| (8,3)n | gapped | gapped | ✗ |
| (6,3)a (honeycomb) | Dirac points | gapped NA | ✗ |

Kitaev models in 3D

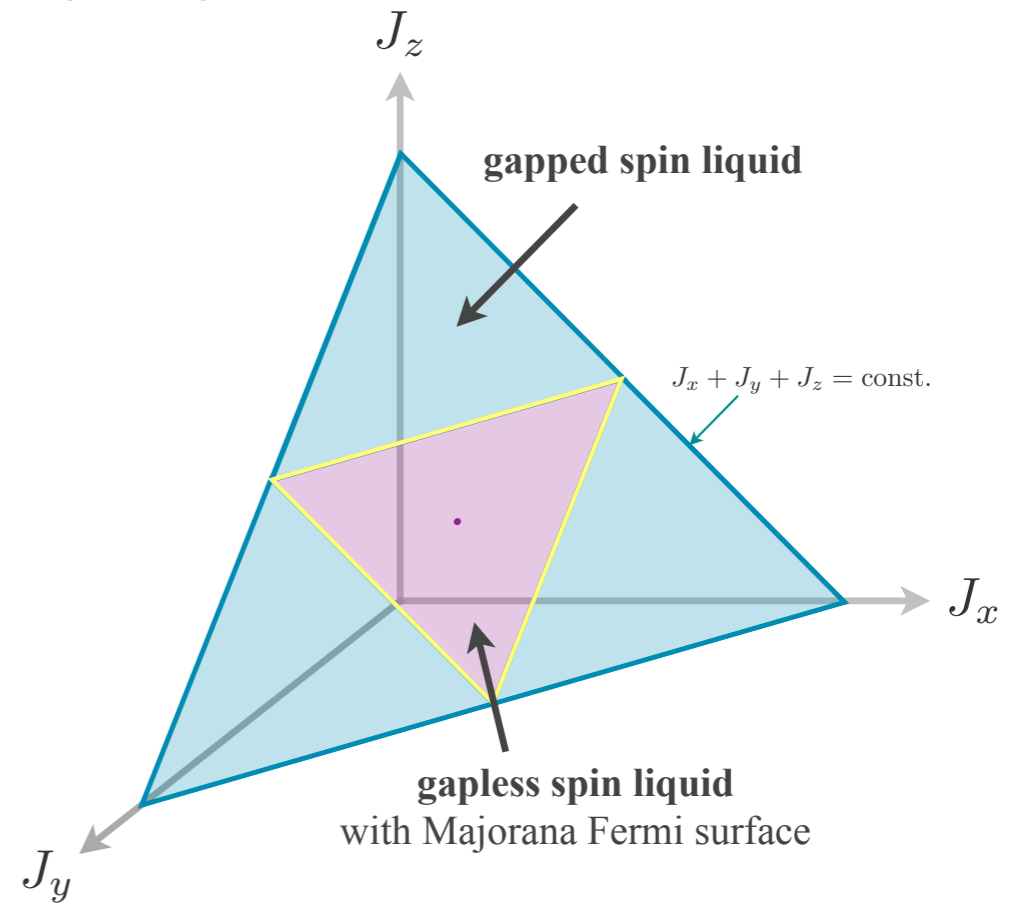
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(10,3)a – Majorana Fermi surface

M.H., S.Trebst, PRB 89, 235102 (2014)

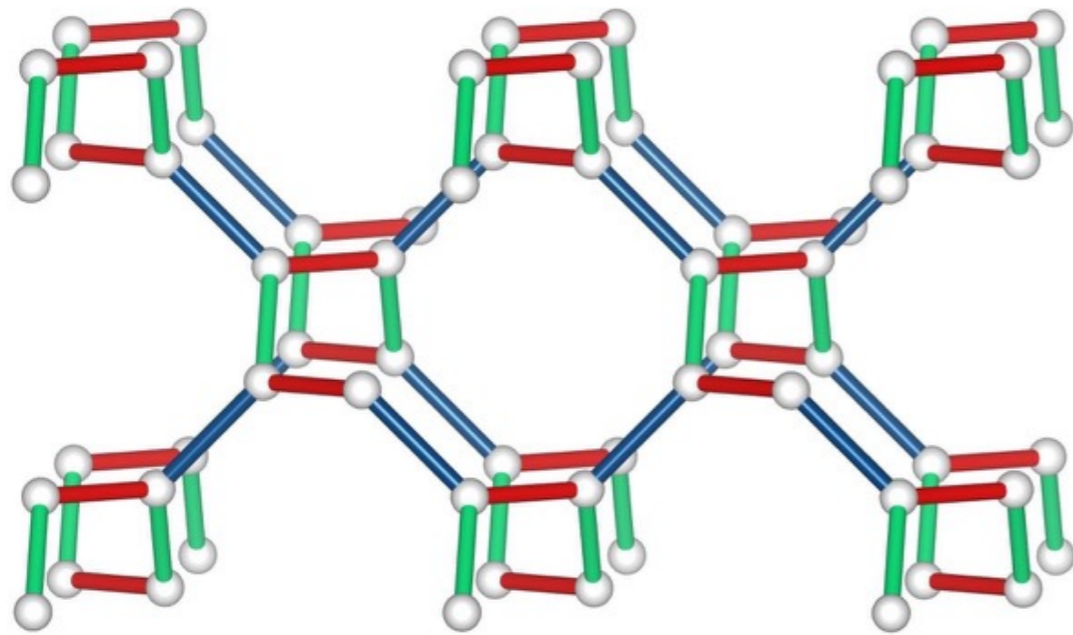


$$H = i \sum_{\gamma\text{-bond}} J_{\gamma} c_j c_k$$

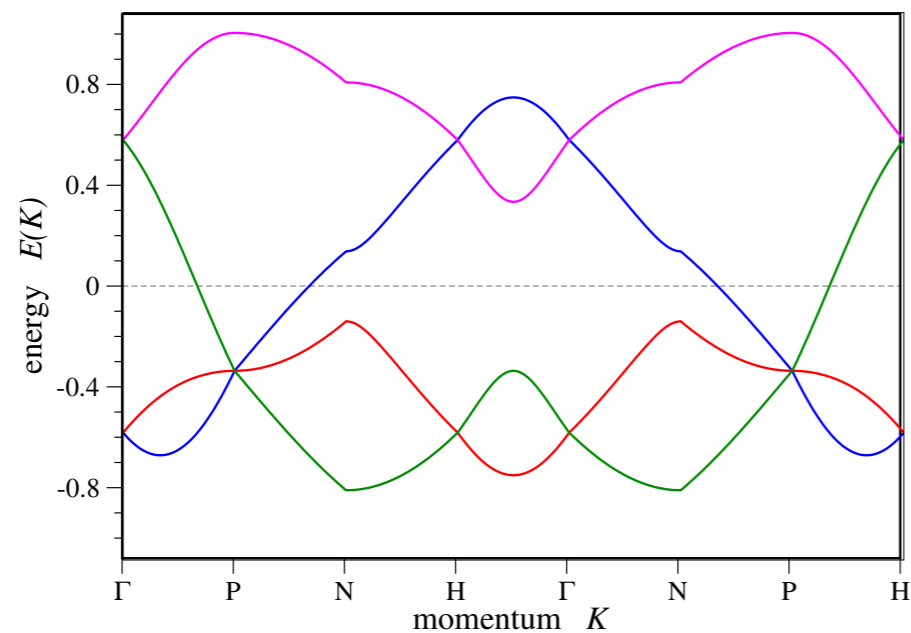
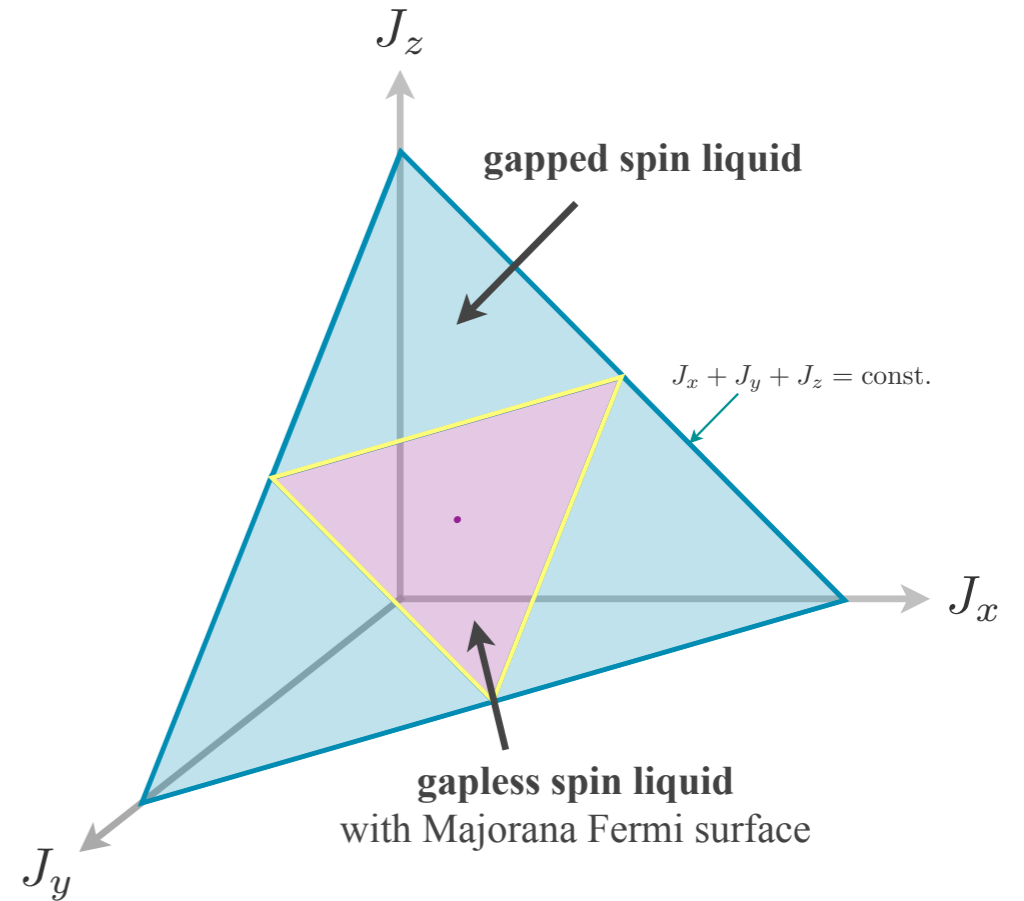


(10,3)a – Majorana Fermi surface

M.H., S.Trebst, PRB 89, 235102 (2014)

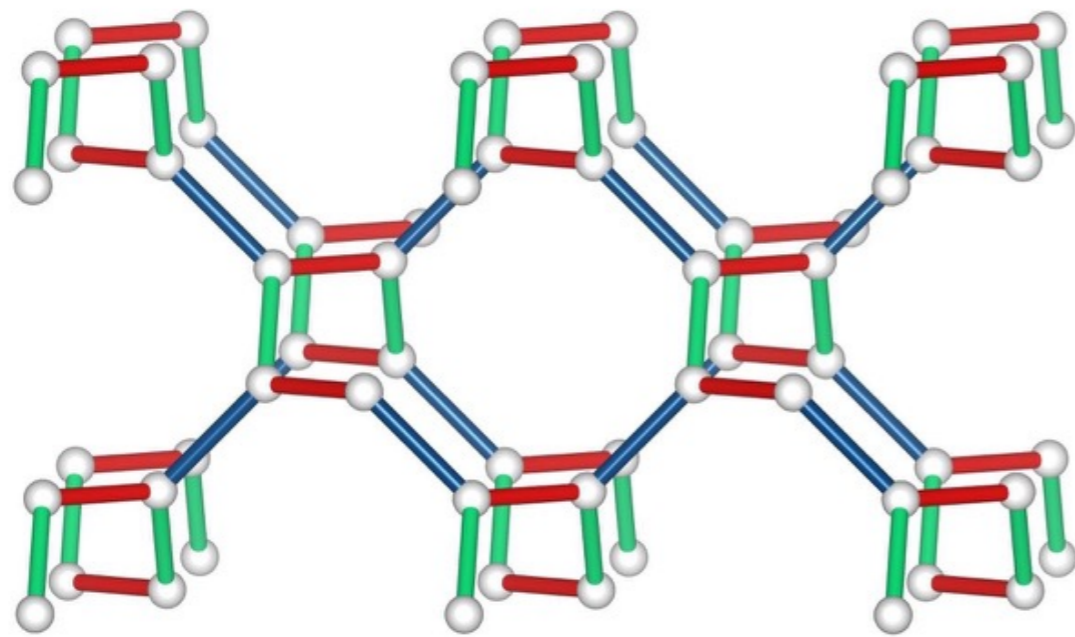


$$H = i \sum_{\gamma\text{-bond}} J_{\gamma} c_j c_k$$

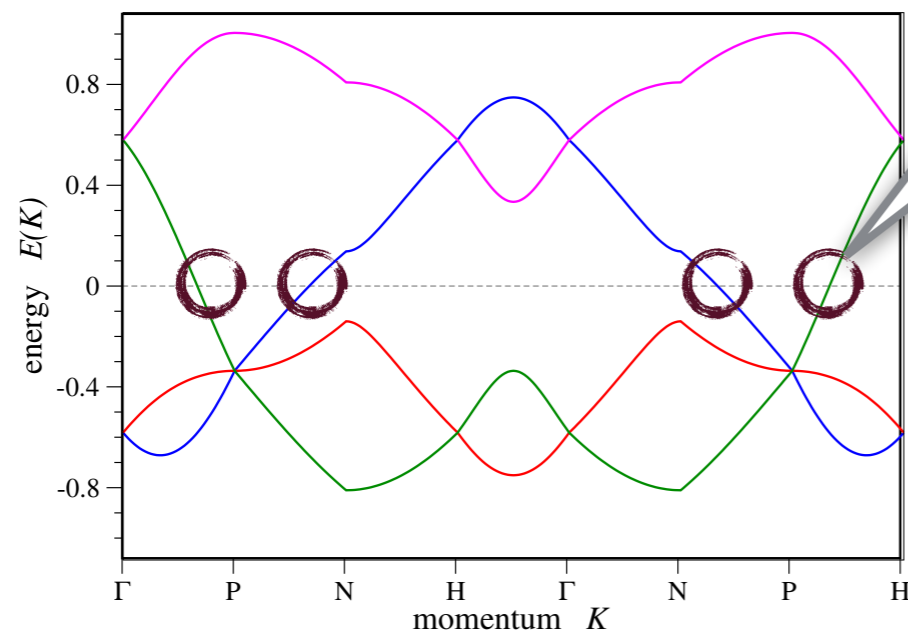
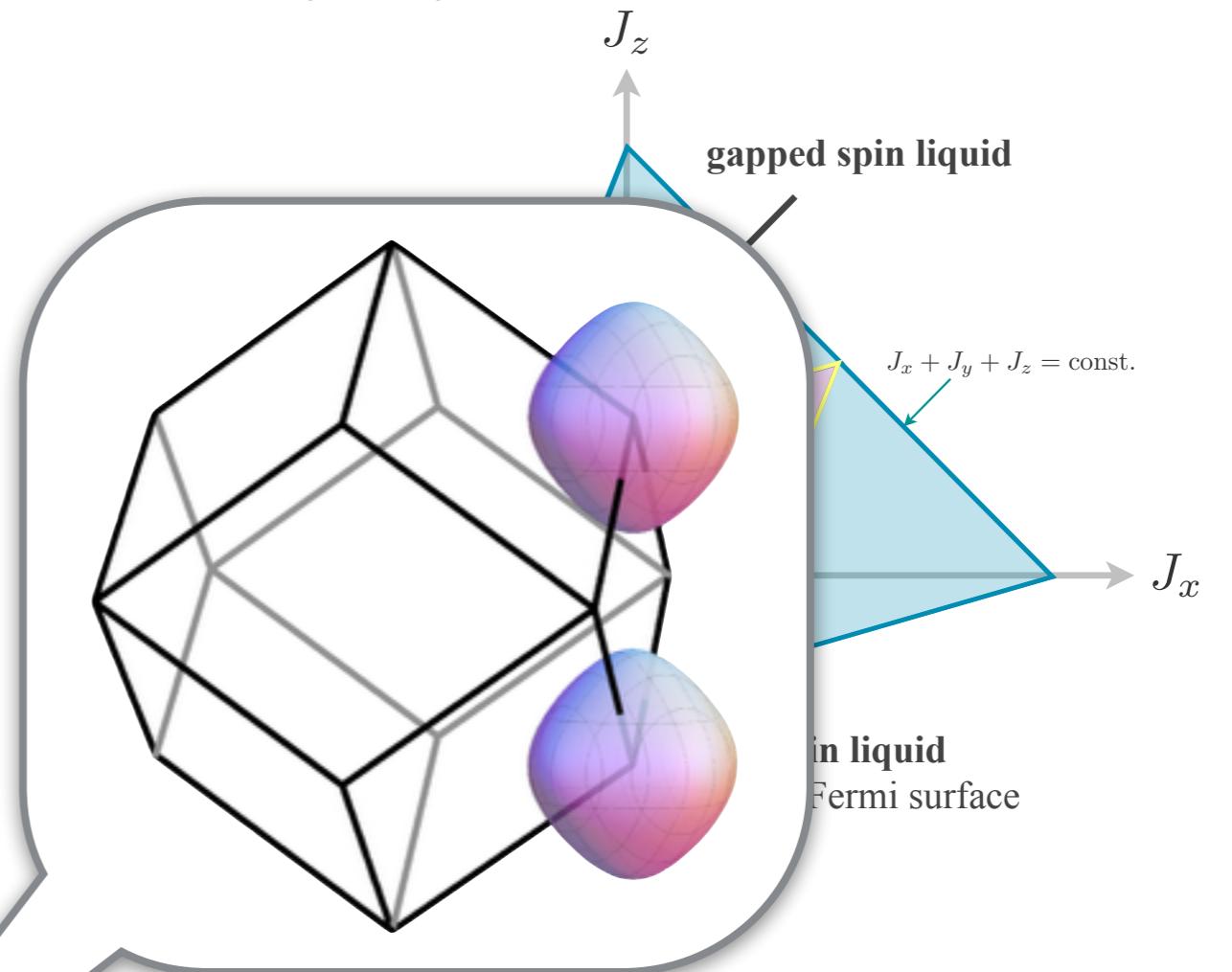


(10,3)a – Majorana Fermi surface

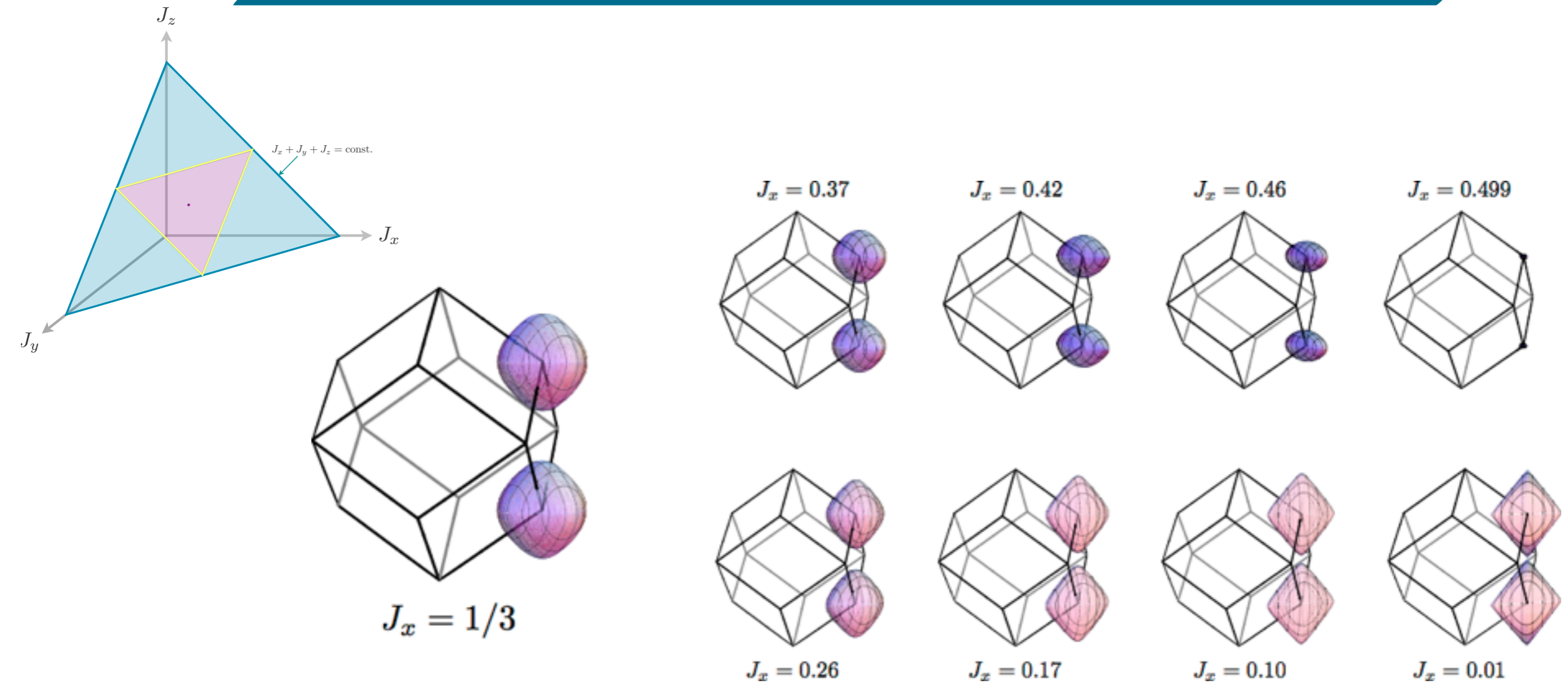
M.H., S.Trebst, PRB 89, 235102 (2014)



$$H = i \sum_{\gamma\text{-bond}} J_{\gamma} c_j c_k$$



(10,3)a – Majorana Fermi surface



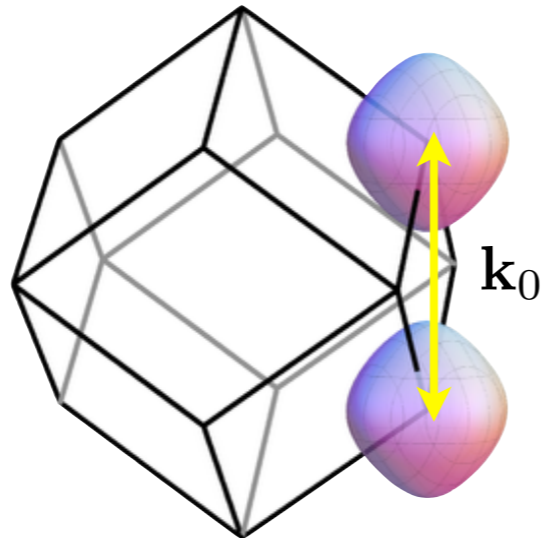
stable Majorana Fermi surface throughout the gapless region
 \mathbb{Z}_2 spin liquid with spinon Fermi surface

Spin-Peierls BCS instability

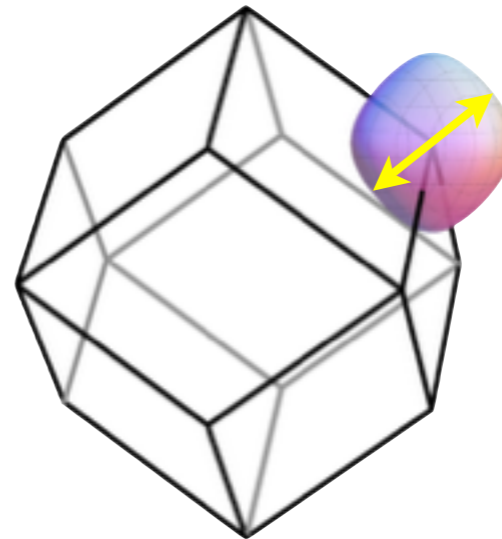
M.H., S.Trebst, A. Rosch, arXiv:1506.01379 (2015)

natural BCS-type instability for time-reversal invariant systems

Majorana fermions c_k
→ perfect nesting



complex fermion f_k
→ BCS pairing

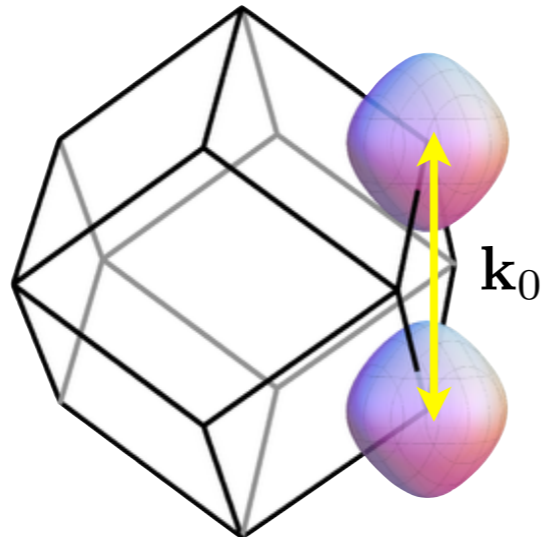


Spin-Peierls BCS instability

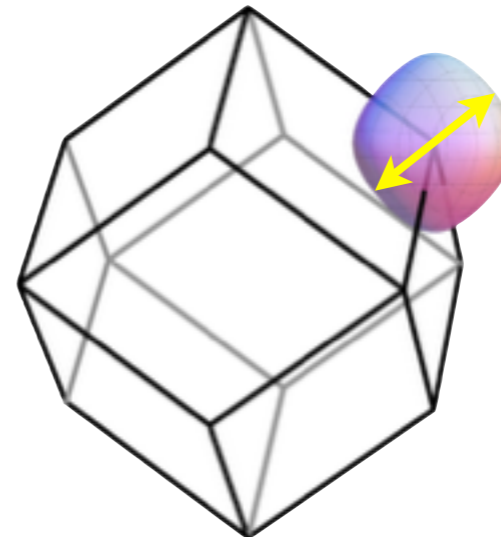
M.H., S.Trebst, A. Rosch, arXiv:1506.01379 (2015)

natural BCS-type instability for time-reversal invariant systems

Majorana fermions c_k
 → perfect nesting



complex fermion f_k
 → BCS pairing



Fermi surface centered around $\mathbf{k}_0/2$

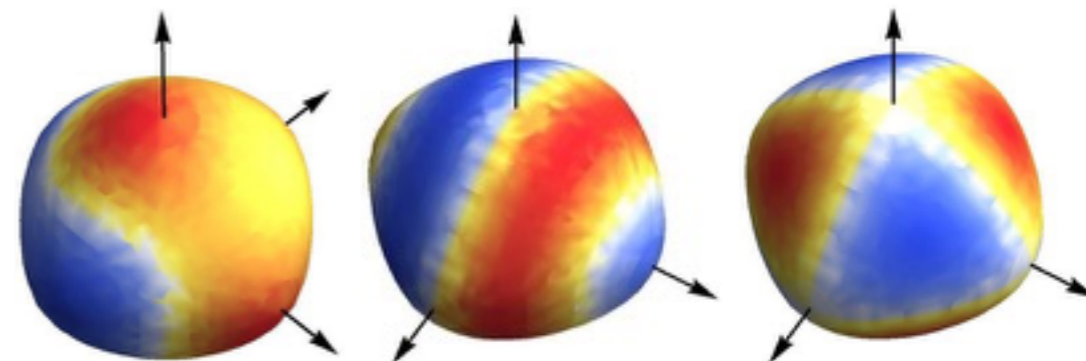
order parameter has finite momentum \mathbf{k}_0 : $\Delta \sim \langle f_{\mathbf{k}_0+\mathbf{q}}^\dagger f_{\mathbf{k}_0-\mathbf{q}}^\dagger \rangle$

spontaneous breaking of translational symmetry

↳ spin-Peierls transition

additional breaking of rotation symmetry possible

order parameter distribution



Spin-Peierls BCS instability

M.H., S.Trebst, A. Rosch, arXiv:1506.01379 (2015)

Perfect nesting condition is destroyed by TR breaking



BCS instability cut-off at low temperatures

Time-reversal breaking **stabilizes** Majorana Fermi surface

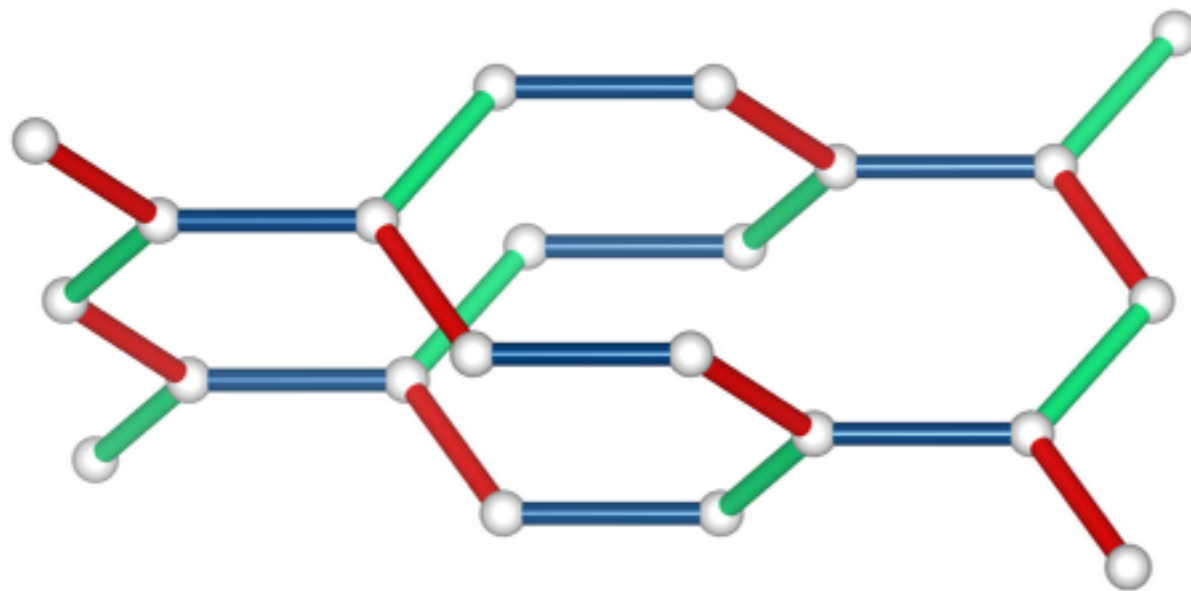
(10,3)b – Fermi line

Mandal, Surendran, PRB 79, 024426 (2009)

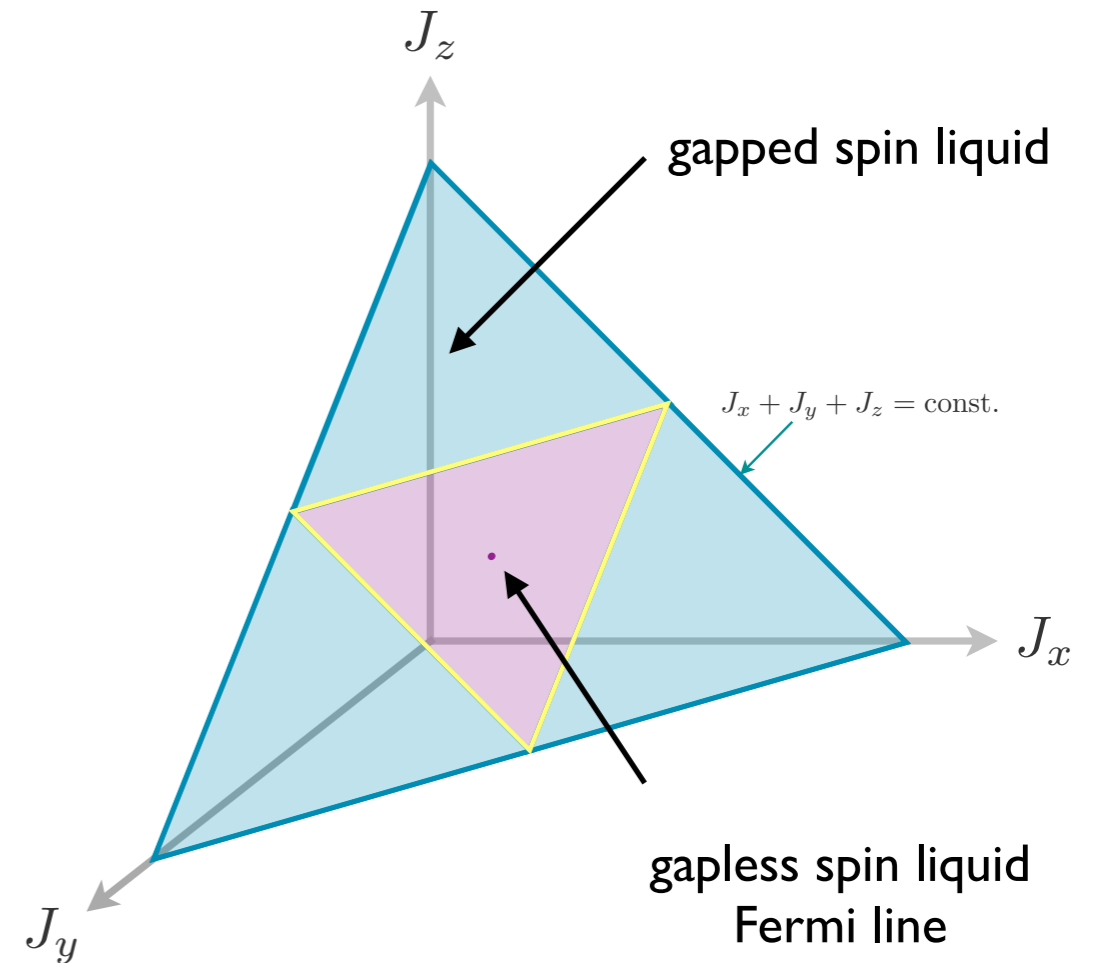
Lee et al., PRB 89, 014424 (2014)

Kimchi, Analytis, Vishwanath, PRB 90, 205126 (2014)

Nasu, Udagawa, Motome, PRL 113, 197205 (2014)



$$H = i \sum_{\gamma\text{-bond}} J_{\gamma} c_j c_k$$



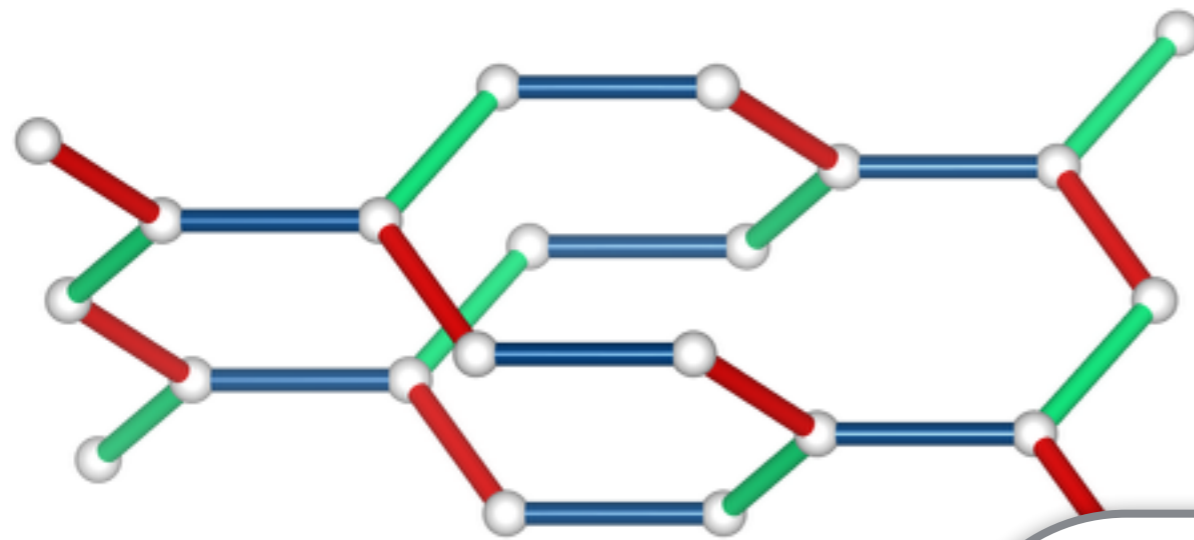
(10,3)b – Fermi line

Mandal, Surendran, PRB 79, 024426 (2009)

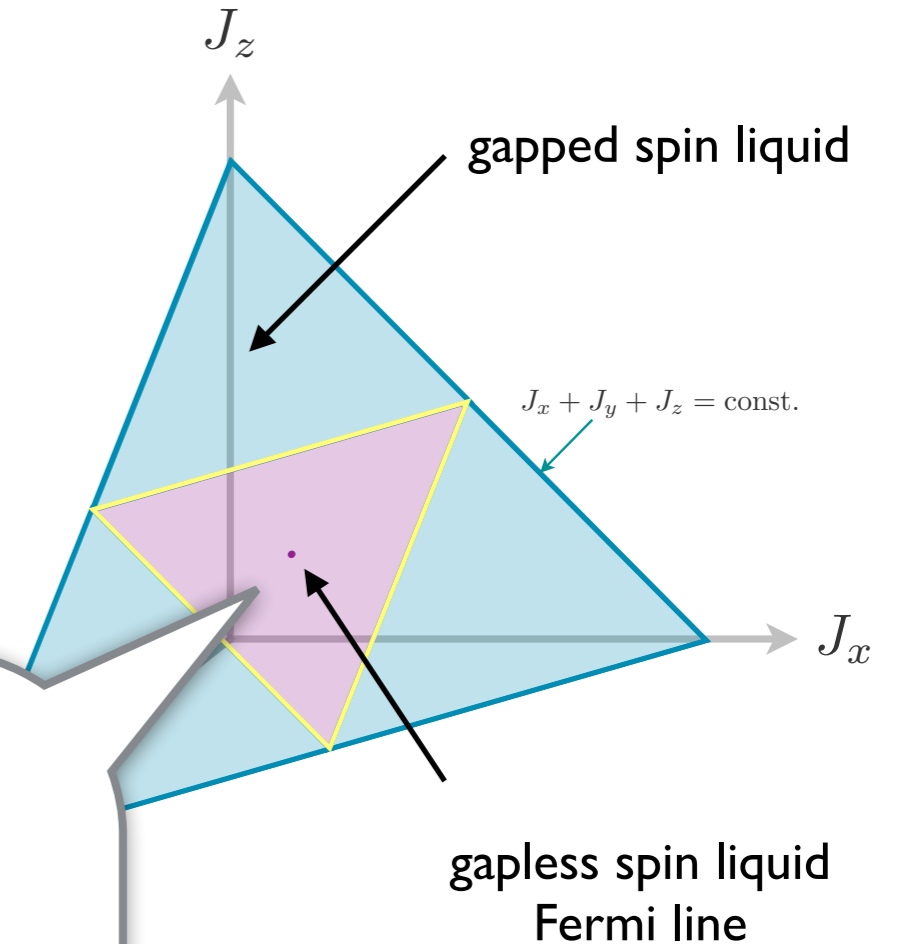
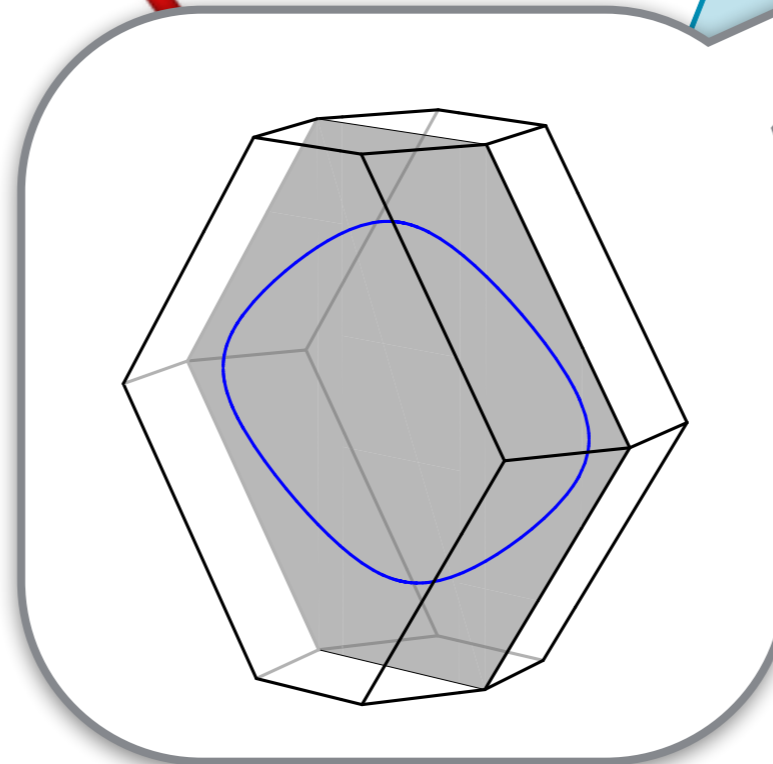
Lee et al., PRB 89, 014424 (2014)

Kimchi, Analytis, Vishwanath, PRB 90, 205126 (2014)

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Symmetries in Majorana systems

M.H., S. Trebst, PRB 89, 235102 (2014)

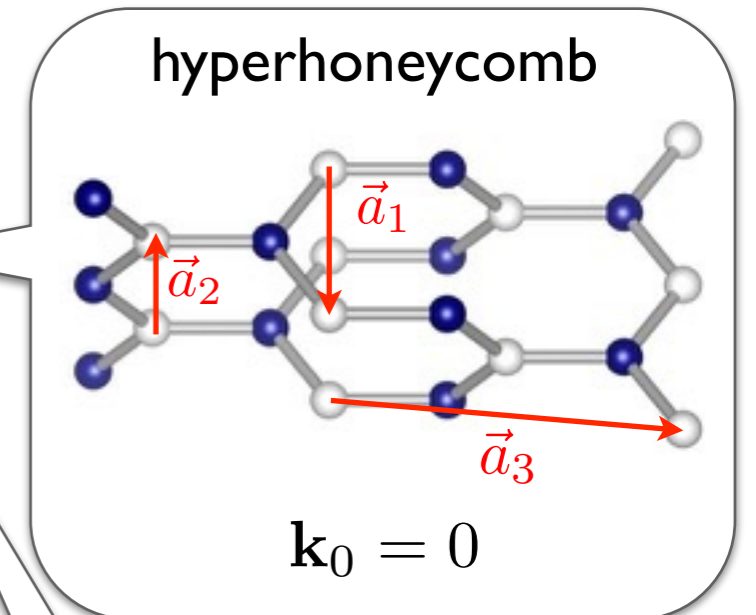
| | |
|------------------------|---|
| Particle-hole symmetry | $\epsilon(\mathbf{k}) = -\epsilon(-\mathbf{k})$ |
| | |
| | |

\mathbf{k}_0 is the reciprocal lattice vector of the sublattice

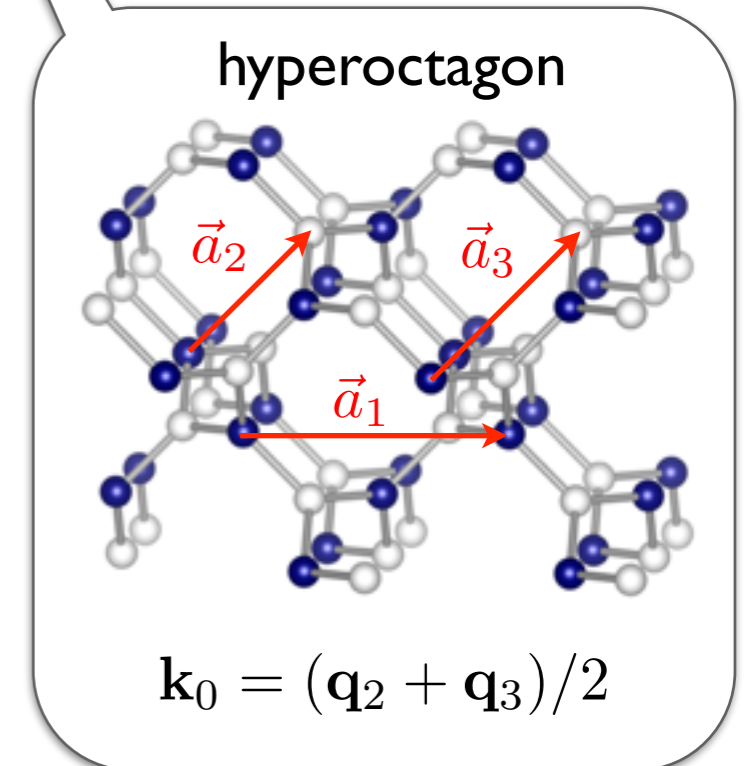
Symmetries in Majorana systems

M.H., S.Trebst, PRB 89, 235102 (2014)

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| | |



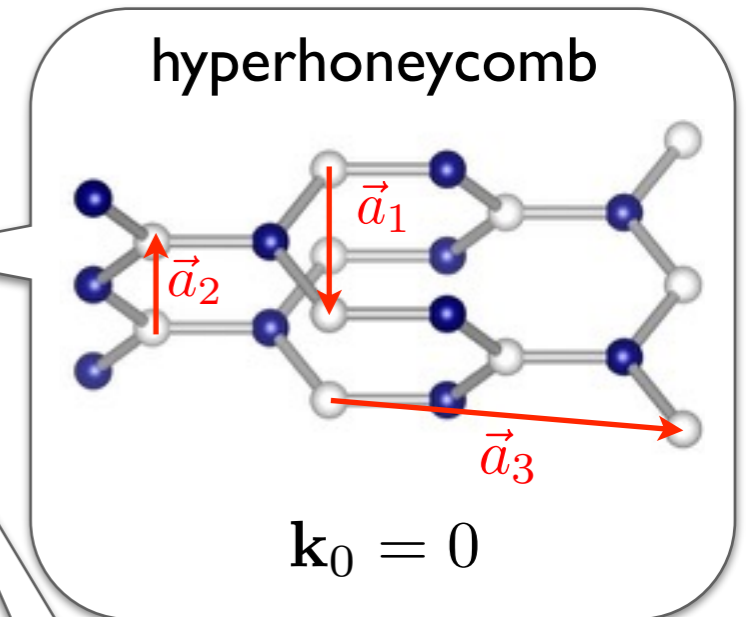
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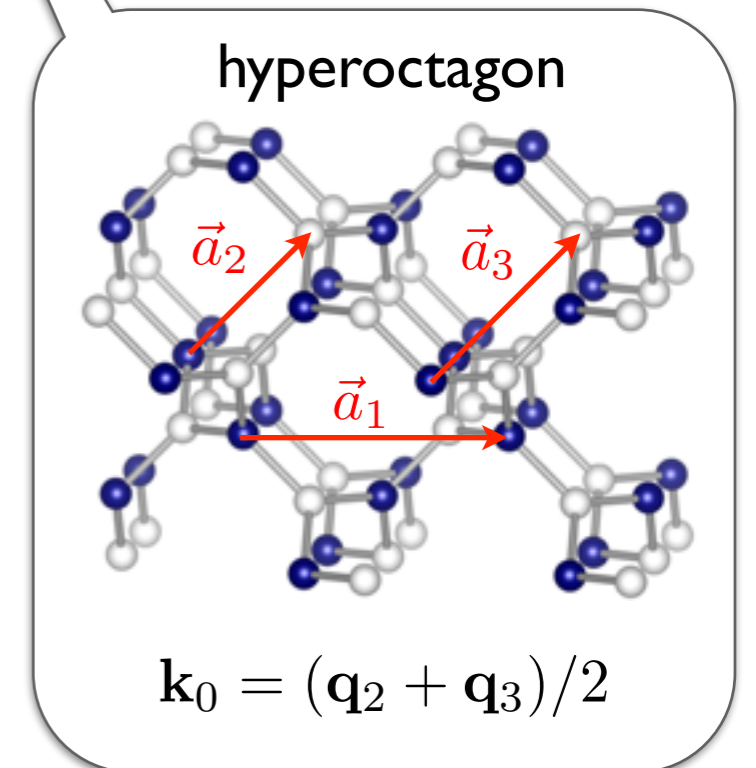
Symmetries in Majorana systems

M.H., S.Trebst, PRB 89, 235102 (2014)

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| Sublattice symmetry | $\epsilon(\mathbf{k}) = -\epsilon(\mathbf{k} - \mathbf{k}_0)$ |
| Time-reversal symmetry | $\epsilon(\mathbf{k}) = \epsilon(-\mathbf{k} + \mathbf{k}_0)$ |



\mathbf{k}_0 is the reciprocal lattice vector of the sublattice

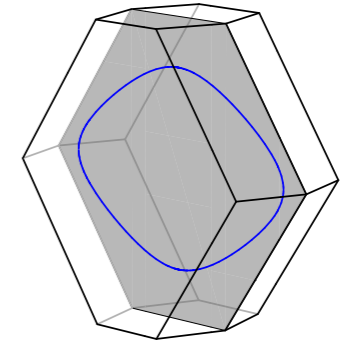


Symmetries in Majorana systems

M.H., S.Trebst, PRB 89, 235102 (2014)

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hyperhoneycomb



$$\mathbf{k}_0 = 0$$

\mathbf{k}_0 is the reciprocal lattice vector of the sublattice

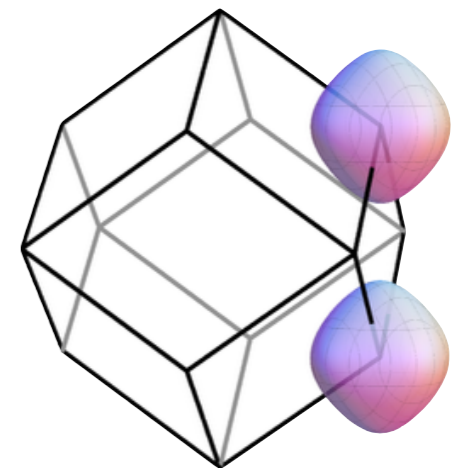
$\mathbf{k}_0 = 0$: particle-hole symmetry at each \mathbf{k}

- separated points (2D)
- lines (3D)

$\mathbf{k}_0 \neq 0$: generic band Hamiltonian

- lines (2D)
- surfaces (3D)

hyperoctagon



$$\mathbf{k}_0 = (\mathbf{q}_2 + \mathbf{q}_3)/2$$

Kitaev models in 3D

| Schäfli symbol | Majorana metal | TR breaking | Peierls instability |
|-----------------------------|--------------------------------|--------------------------------|---------------------|
| (10,3)a (hyperoctagon) | (topological) Fermi surface | (topological) Fermi surface | ✓ |
| (10,3)b (hyperhoneycomb) | Fermi line | Weyl nodes | ✗ |
| (10,3)c | Fermi line | topological Fermi surface | ✗ |
| (9,3)a | Weyl nodes | Weyl nodes | ✗ |
| (9,3)b | - | - | ✗ |
| (8,3)a | (topological) Fermi surface | (topological) Fermi surface | ✓ |
| (8,3)b | Weyl nodes | Weyl nodes | ✓ |
| (8,3)c | Fermi line | Weyl nodes | ✗ |
| (8,3)n | gapped | gapped | ✗ |
| (6,3)a (honeycomb) | Dirac points | gapped NA | ✗ |

Weyl physics

Touching of two bands in 3D is generically linear

$$\hat{H} = \vec{v}_0 \cdot \vec{q} \mathbb{1} + \sum_{j=1}^3 \vec{v}_j \cdot \vec{q} \sigma_j \quad \text{Weyl nodes}$$

Weyl nodes are **sources/sinks of Berry flux**

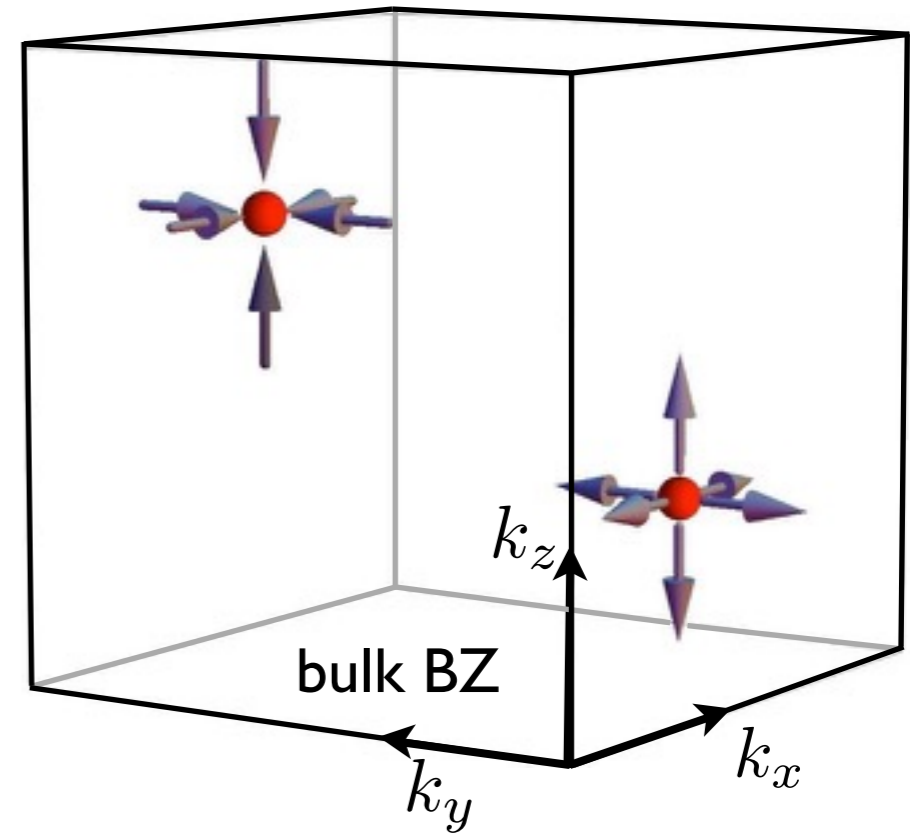
with charge/chirality $\text{sign}[\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3)]$

Weyl physics

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Wan et al., PRB 83, 205101 (2011)

Weyl physics

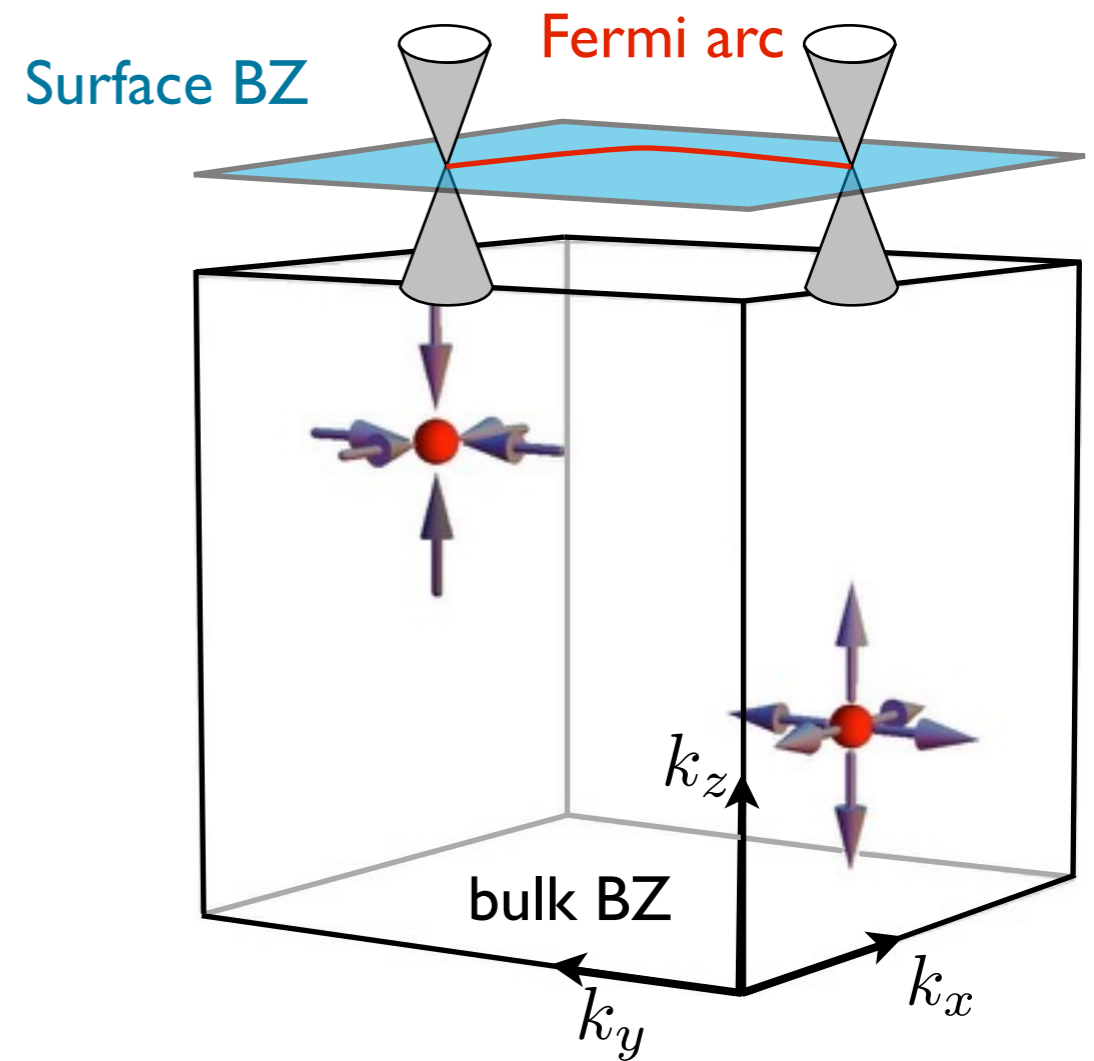
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with charge/chirality $\text{sign}[\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3)]$

protected surface states: **Fermi arcs**

topological semi-metal with protected surface states
(metallic cousin of the topological insulator)

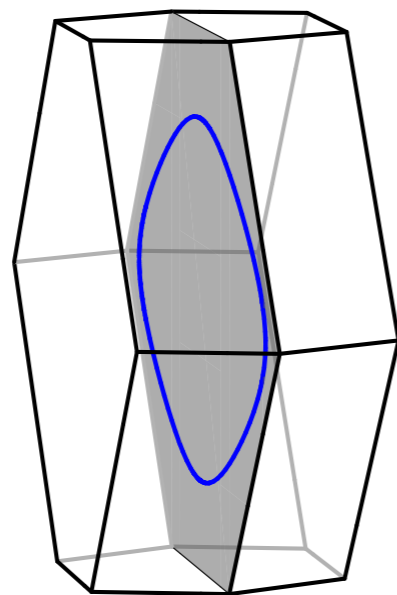
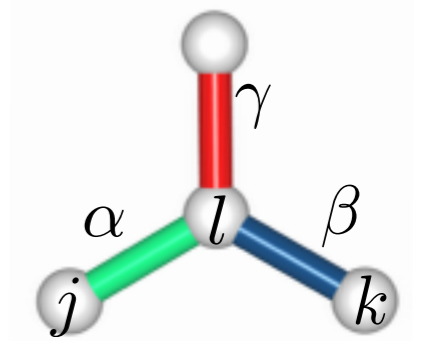


Wan et al., PRB 83, 205101 (2011)

Weyl spin liquids

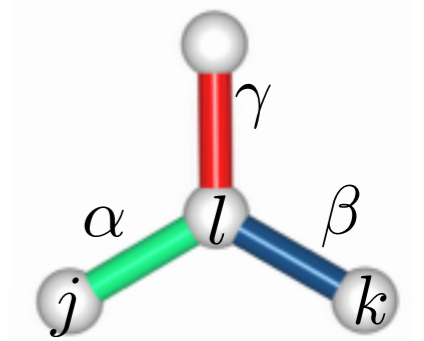
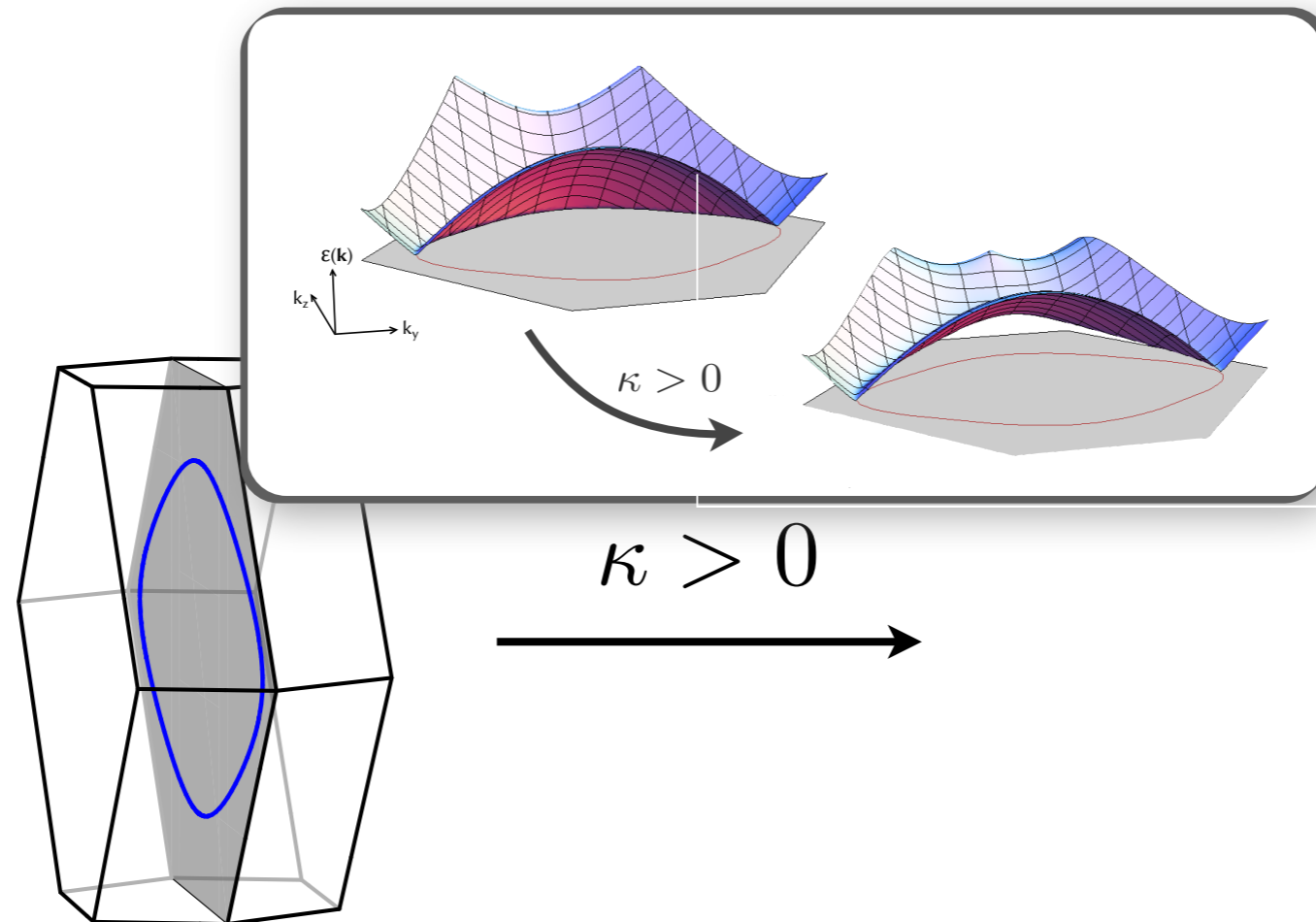
external magnetic field in (1,1,1)-direction

$$H_{eff} = -J \sum_{\langle j,k \rangle} \sigma_j^\gamma \sigma_k^\gamma - \kappa \sum_{\langle j,k,l \rangle} \sigma_j^\alpha \sigma_k^\beta \sigma_l^\gamma$$



Weyl spin liquids

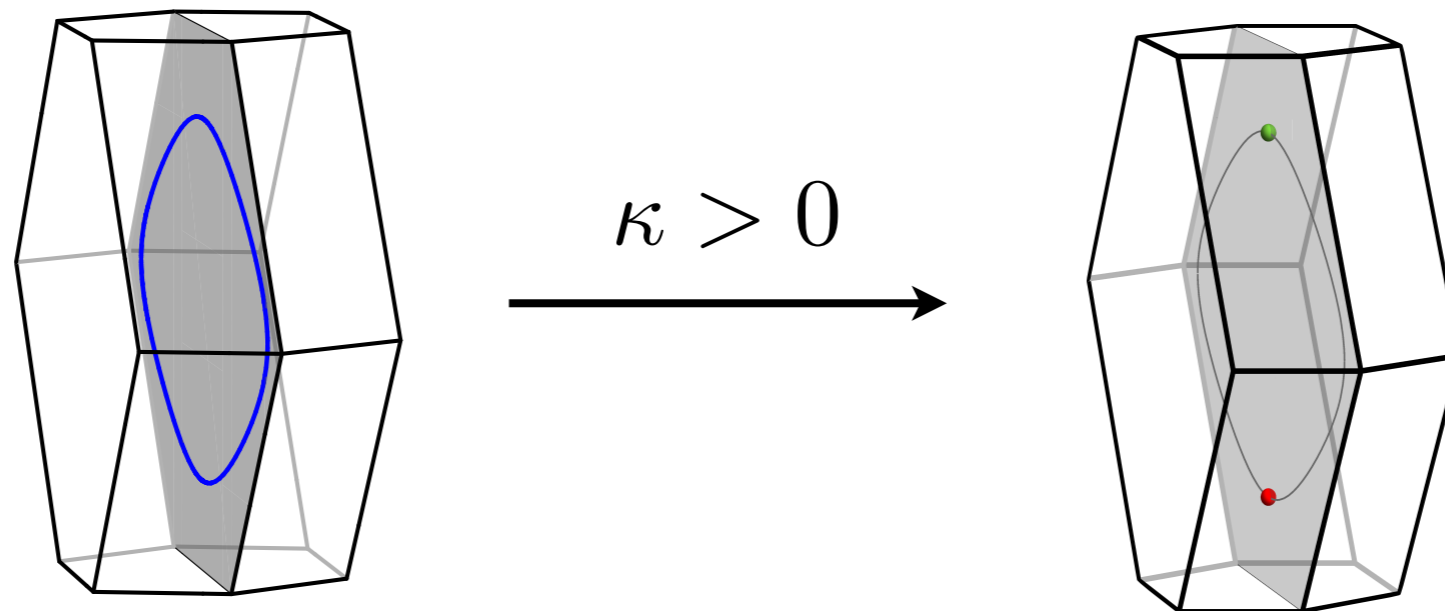
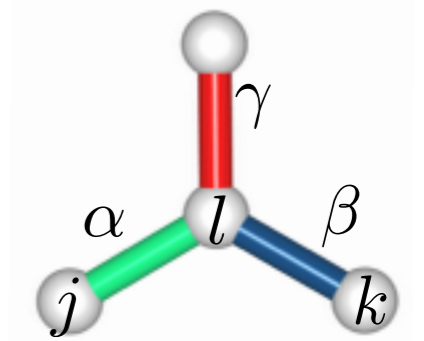
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Weyl spin liquids

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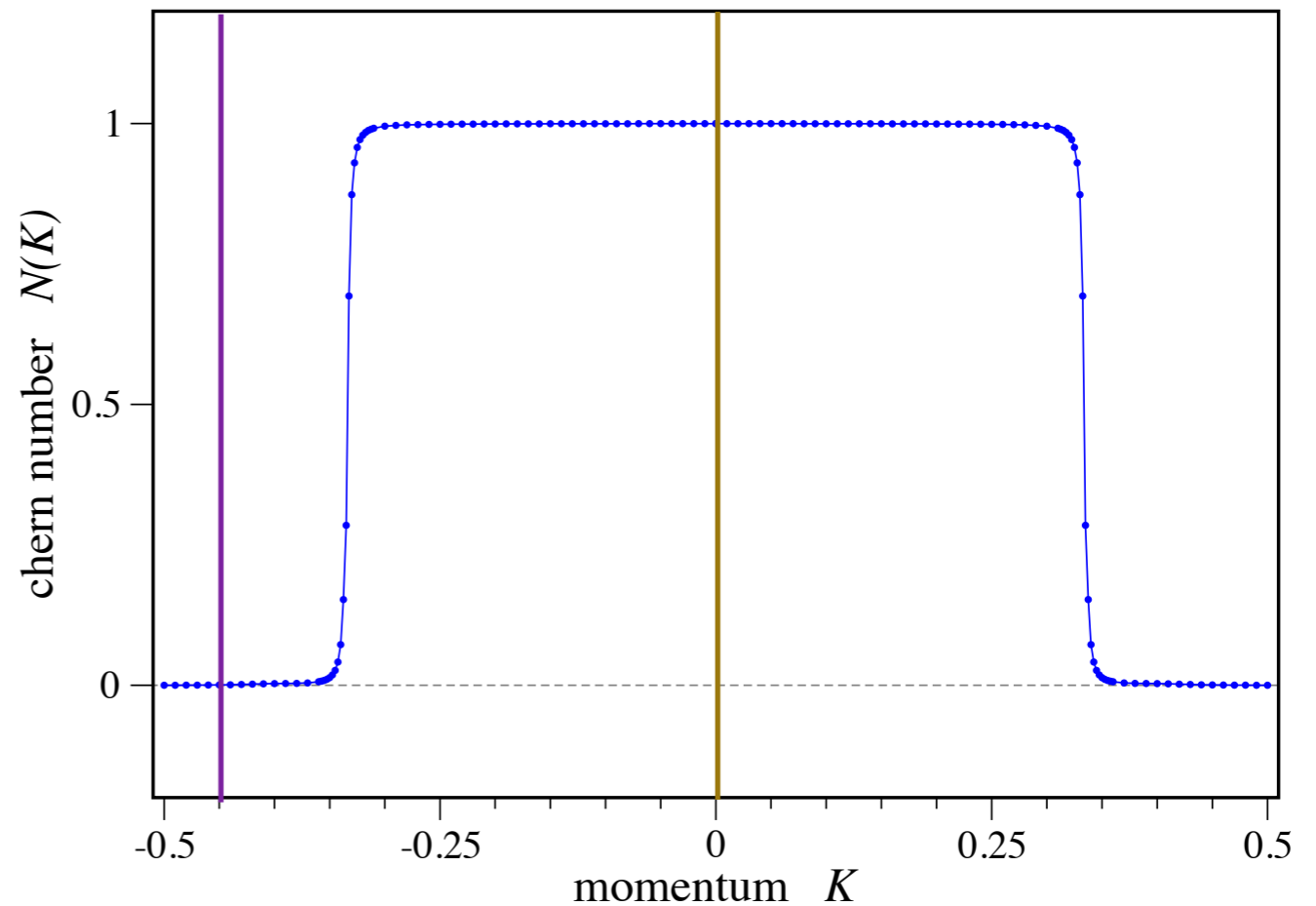
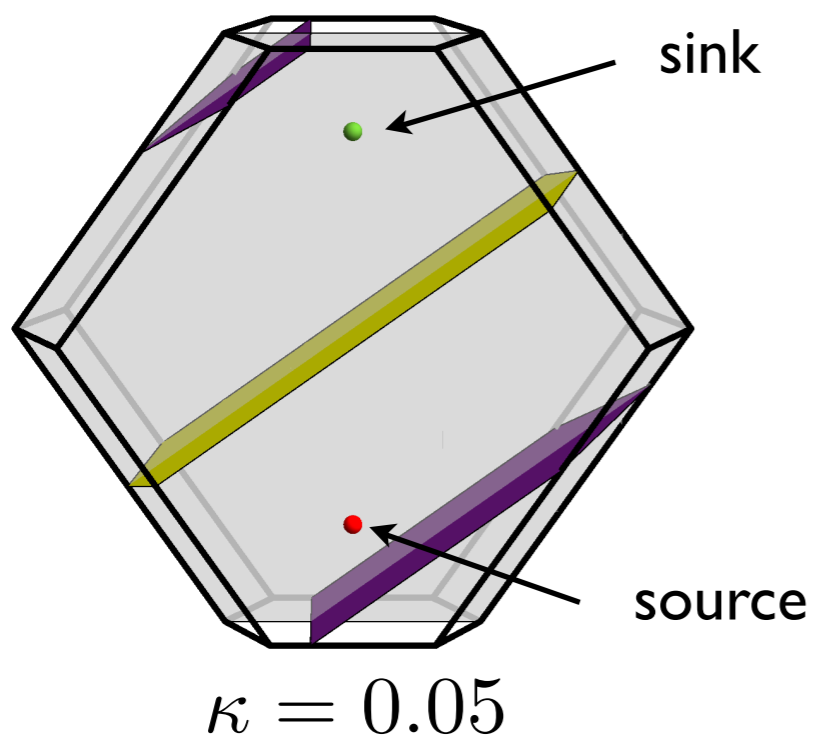
Breaking time-reversal reduces line to a **pair of gapless Weyl nodes**

Weyl nodes are pinned to zero energy due to inversion symmetry

Topology of Weyl spin liquids

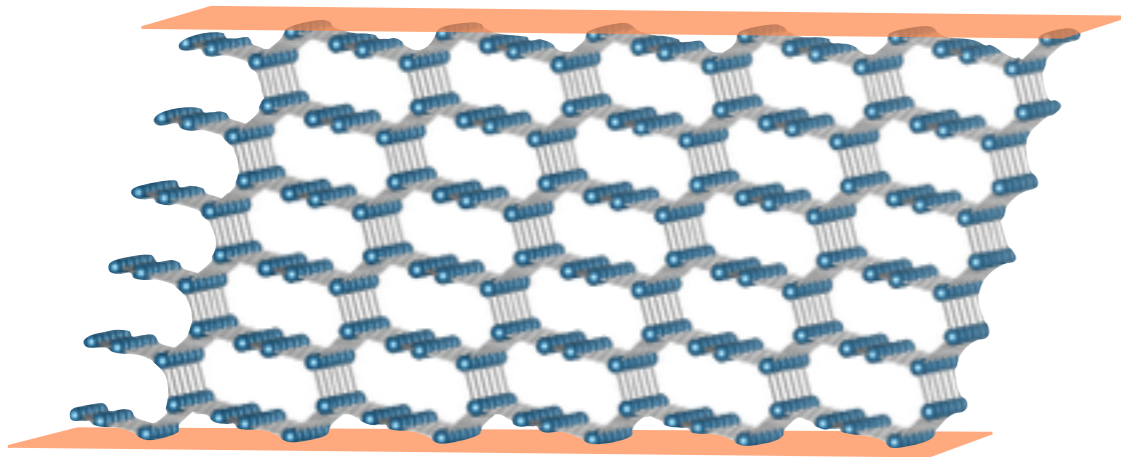
Sources/sinks of Berry flux with chirality $\text{sign}[\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3)]$

non-zero Chern number for surface surrounding a Weyl node

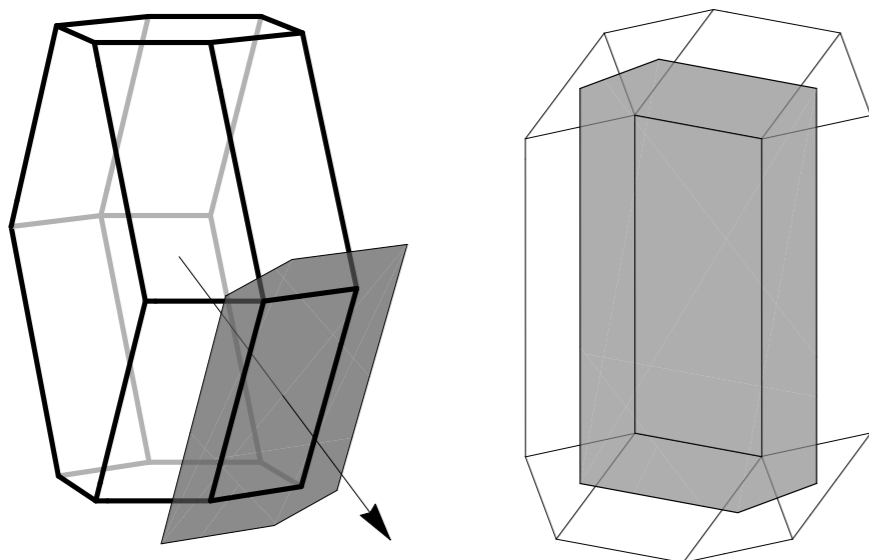


Topological surface states – Fermi arcs

slab geometry

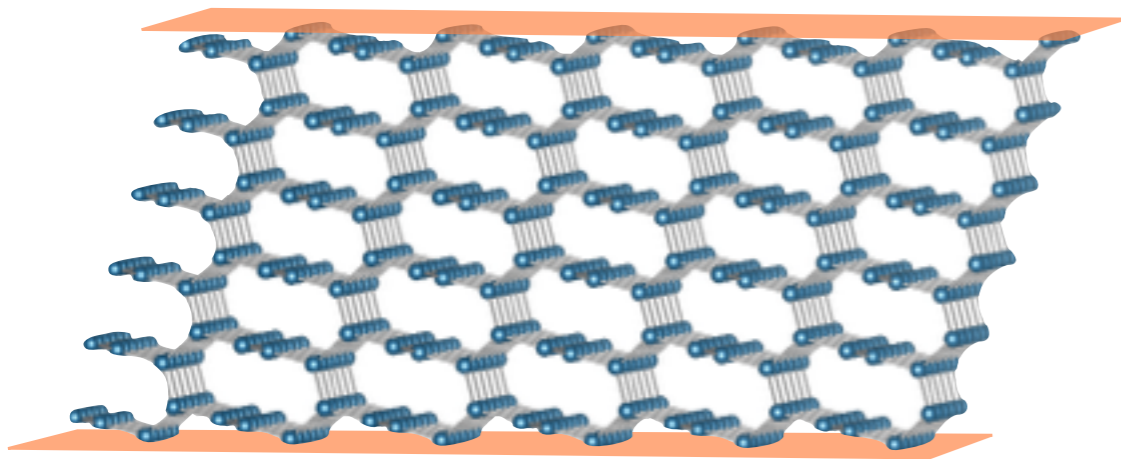


surface Brillouin zone



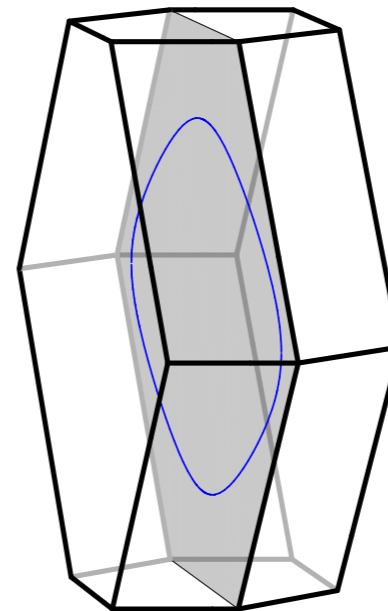
Topological surface states – Fermi arcs

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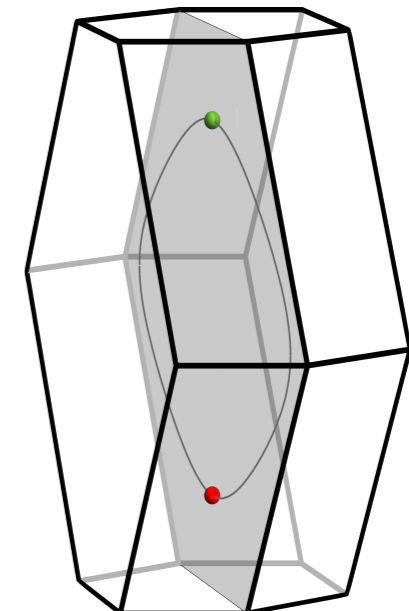


topologically protected gapless surface states

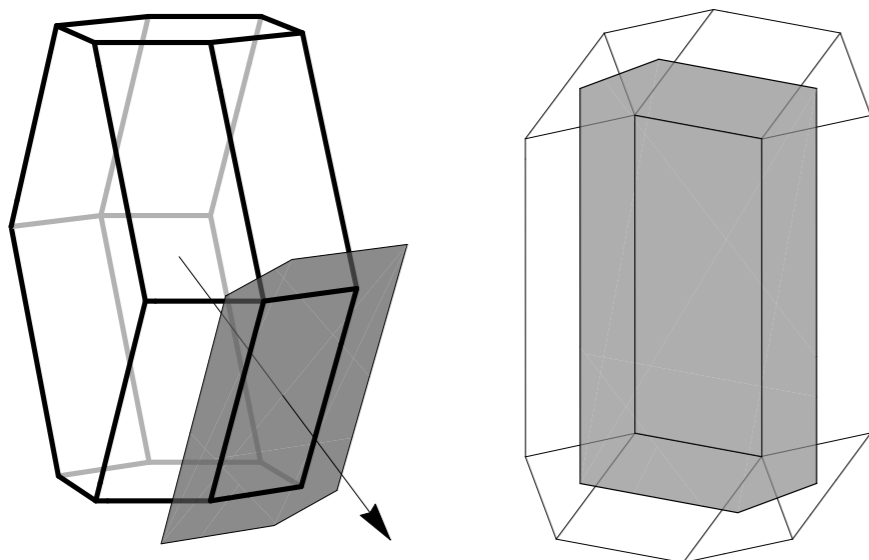
$$\kappa = 0$$



$$\kappa = 0.01$$



surface Brillouin zone



Kitaev models in 3D

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| (8,3)c | Fermi line | Weyl nodes | ✗ |
| (8,3)n | gapped | gapped | ✗ |
| (6,3)a (honeycomb) | Dirac points | gapped NA | ✗ |

explicit breaking of time-reversal symmetry

Kitaev models in 3D

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spontaneous breaking of time-reversal symmetry

explicit breaking of time-reversal symmetry

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| (8,3)c | Fermi line | Weyl nodes | ✗ |
| (8,3)d | gapped | gapped | ✗ |
| (8,3)e | points | gapped NA | ✗ |

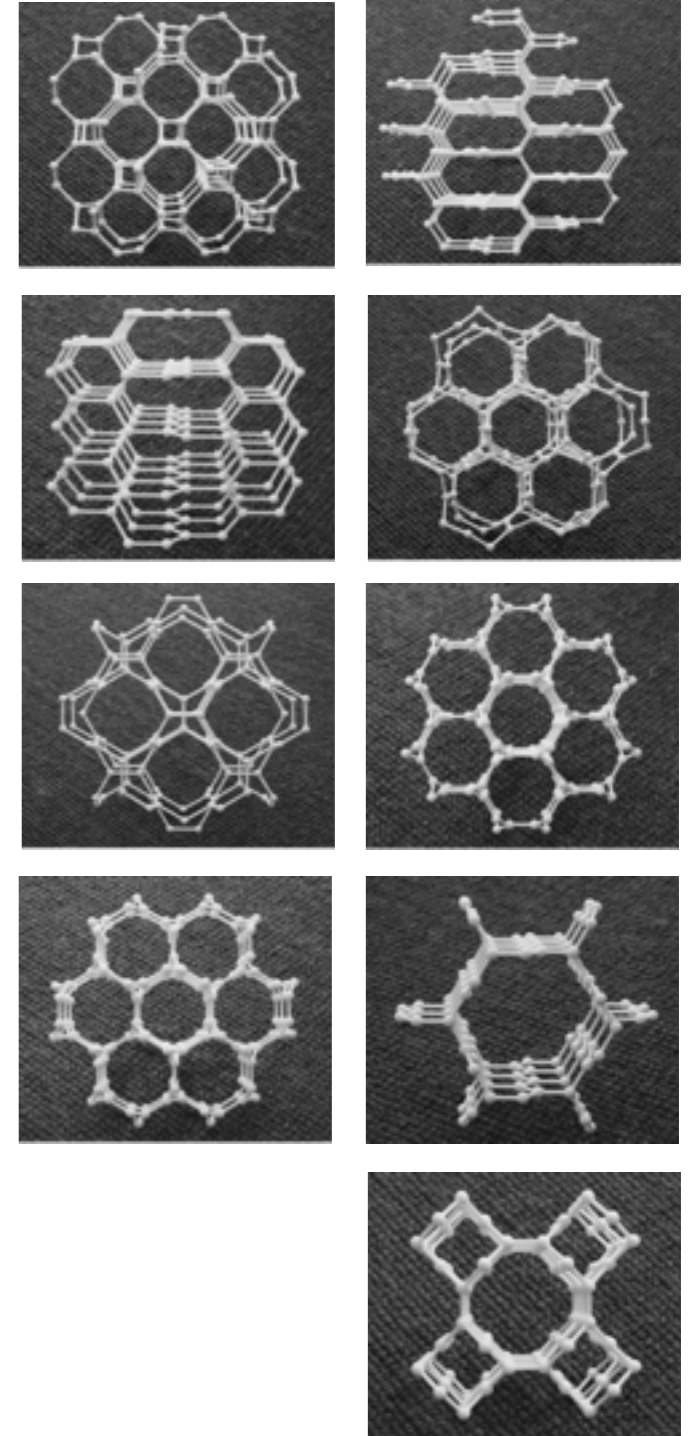
spontaneous breaking of time-reversal symmetry

explicit breaking of time-reversal symmetry

Weyl spin liquid with inversion and time-reversal symmetry

Conclusion

- 3D Kitaev models show rich behavior depending on the underlying lattice structure
- Z_2 spin liquid with
 - Majorana Fermi surface
 - Fermi line
 - Weyl nodes (Weyl spin liquid)



Conclusion

- 3D Kitaev models show rich behavior depending on the underlying lattice structure
- Z_2 spin liquid with
 - Majorana **Fermi surface**
 - **Fermi line**
 - **Weyl nodes** (Weyl spin liquid)
- Can be distinguished experimentally by e.g. specific heat measurements

Fermi surface: $C(T) \propto T$

Fermi line: $C(T) \propto T^2$

Weyl nodes: $C(T) \sim a_{\text{bulk}} \cdot L^3 \cdot T^3 + a_{\text{surf}} \cdot L^2 \cdot T$

M.H., S.Trebst, PRB 89, 235102 (2014)

M.H., K. O'Brien, S.Trebst, PRL 114, 157202 (2015)

M.H., S.Trebst, A. Rosch, arXiv:1506.01379 (2015)

K. O'Brien, M.H, S.Trebst (in preparation)

