



Phase diagram of K_1 — K_2 model on the honeycomb lattice

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University of Minnesota

Novel States in Spin-Orbit Coupled Quantum Matter:
from Models to Materials

July 28, KITP

Collaborators

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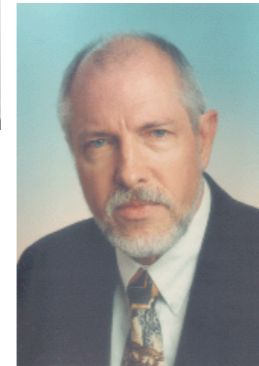
- **Craig Price (Penn state)**



- **Yuriy Sizyuk (UMN)**



- **Peter Woelfle (KTM)**



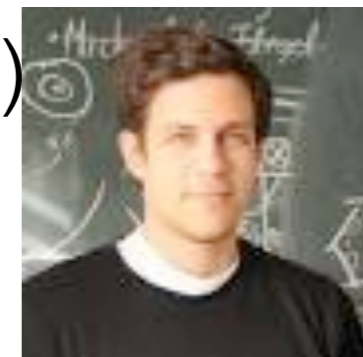
- Stephan Rachel (TU Dresden)



- Johannes Reuter (TU Berlin)



- Ronny Thomale (Wuerzburg University)



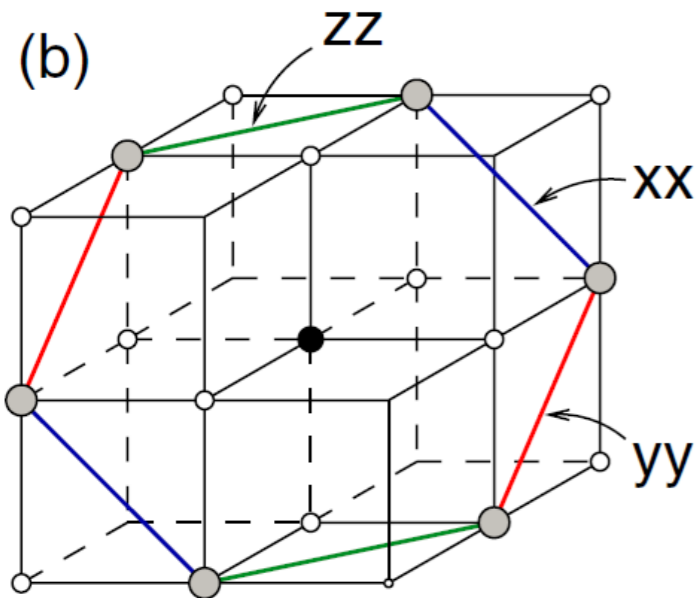
Outline

- Motivations
- Importance of K_2 interaction to resolve the puzzles related to Na_2IrO_3
- K_1 - K_2 model
- Classical and quantum phase diagrams.
- Conclusions

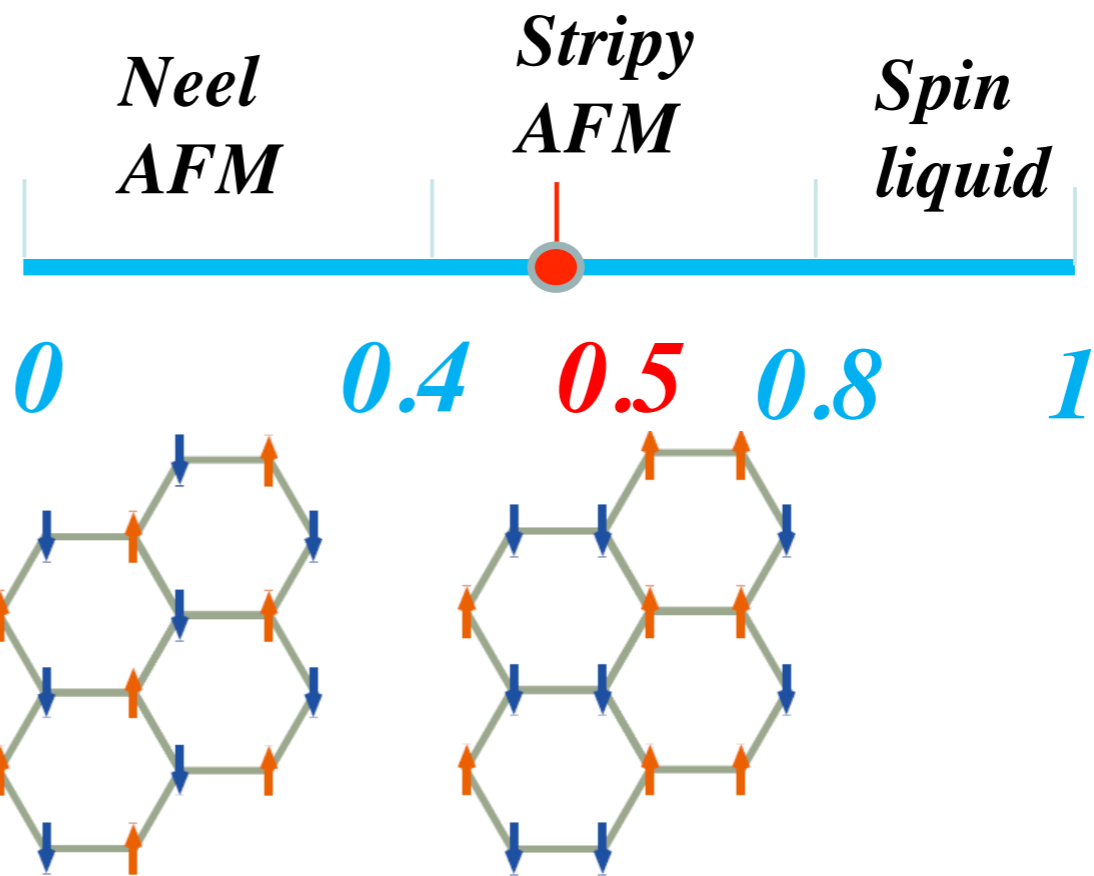
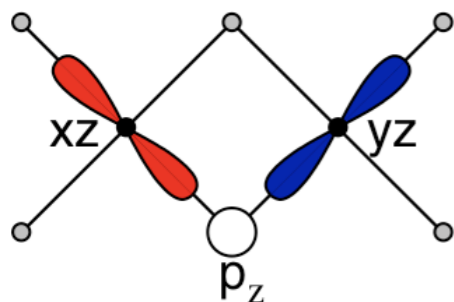
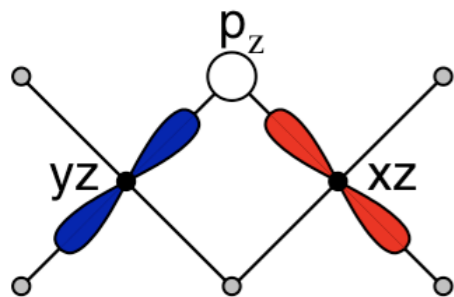
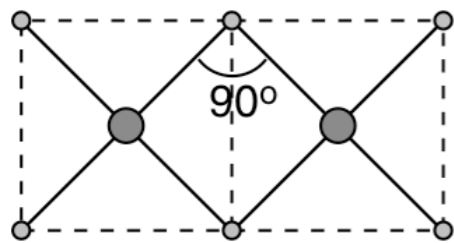
I.Rousochatzakis, J. Reuther, R. Thomale, S. Rachel, N. B. Perkins, arXiv: 1506.09185

C. Price, I.Rousochatzakis, N. B. Perkins, in preparation

Motivations: Super-exchange in A_2IrO_3



$$\mathcal{H} = -J_K \sum_{\langle ij \rangle_a} \hat{\sigma}_i^a \hat{\sigma}_j^a + J_H \sum_{\langle ij \rangle} \hat{\sigma}_i \cdot \hat{\sigma}_j$$

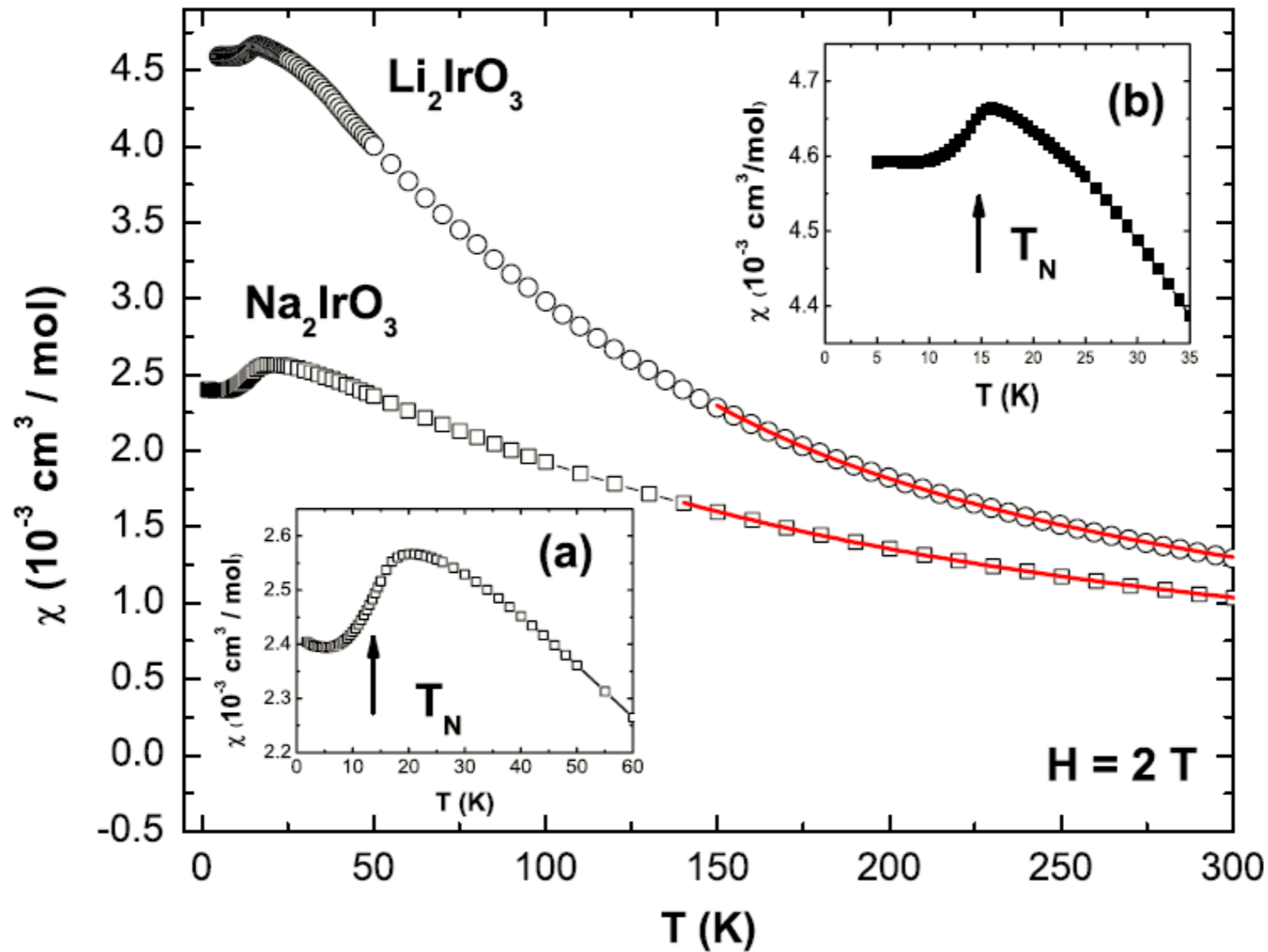


Kitaev spin liquid is stable against Heisenberg perturbations!

Q1: why Na_2IrO_3 orders?

Quantum chemistry results: $J_1 = 3 \text{ meV}$ $K_1 = -17 \text{ meV}$

V.M.Katukuri et al, New J. Phys. 2014

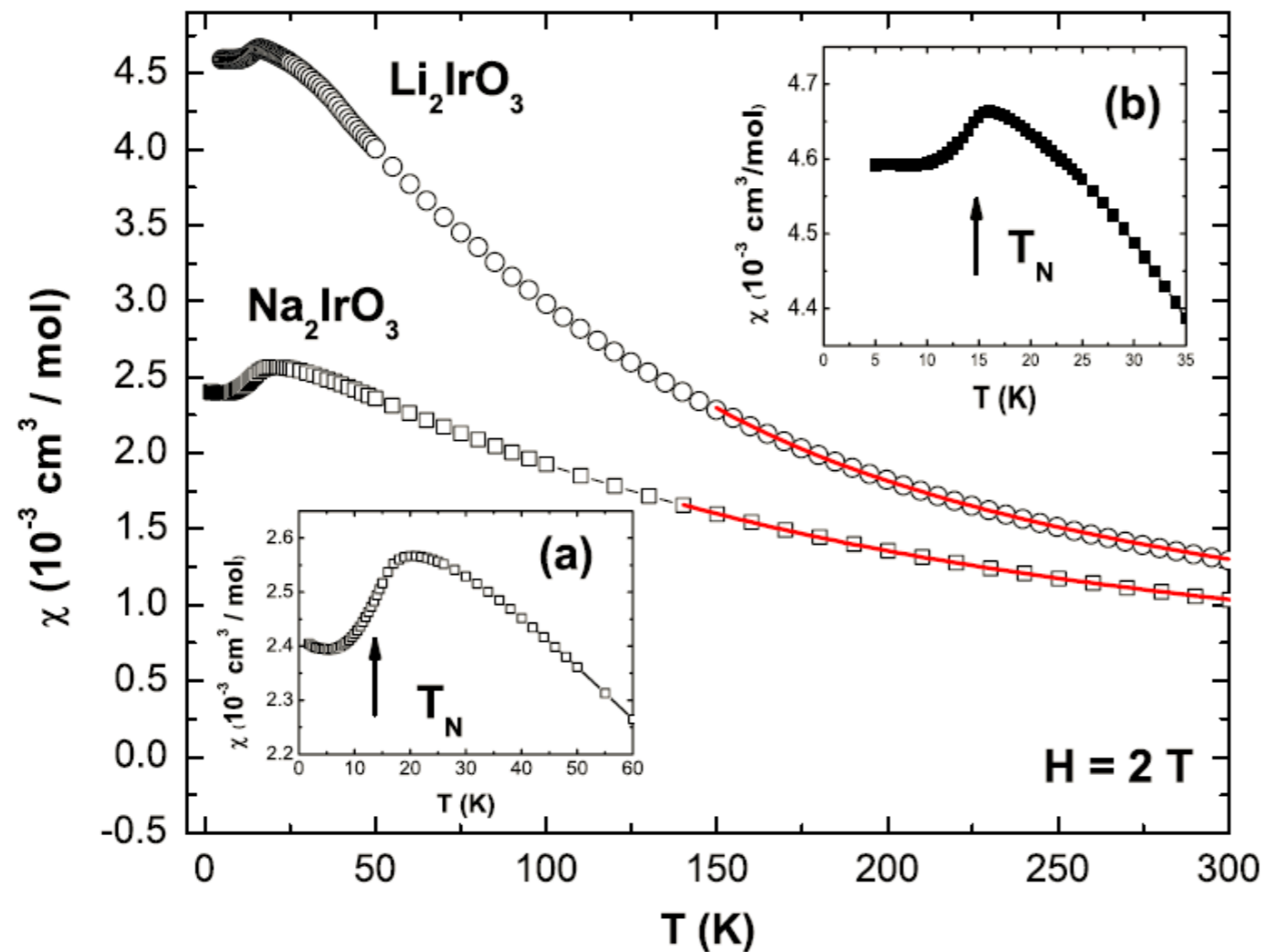


Singh and Gegenwart, PRB 82, 064412 (2010);
Singh et al, PRL 108, 127203 (2012)

Q2: why CW temperature in Na_2IrO_3 is large and AFM?

$$J_1 = 3 \text{ meV} \quad K_1 = -17 \text{ meV}$$

V.M.Katukuri et al, New J. Phys. 2014



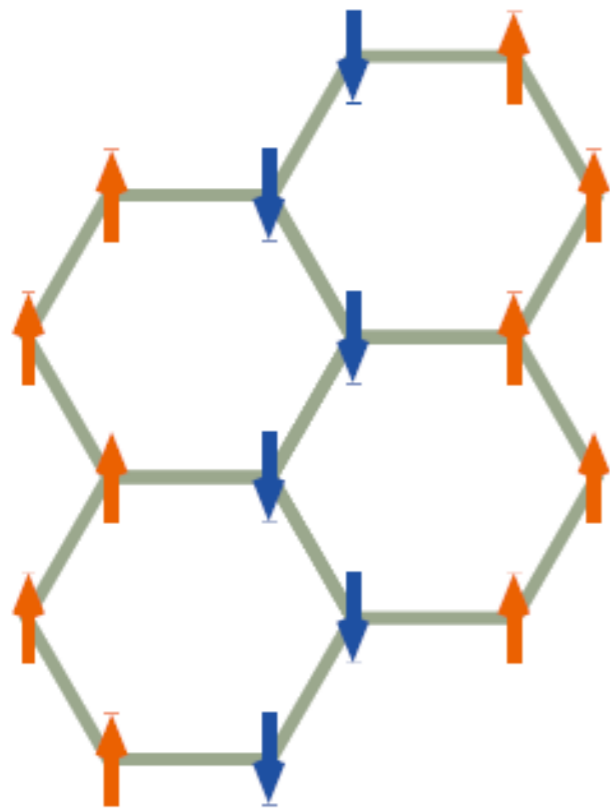
$\theta_{\text{CW}} = -125 \text{ K}$

Singh and Gegenwart, PRB 82, 064412 (2010);
Singh et al, PRL 108, 127203 (2012)

Q3: why Na_2IrO_3 orders to zigzag?

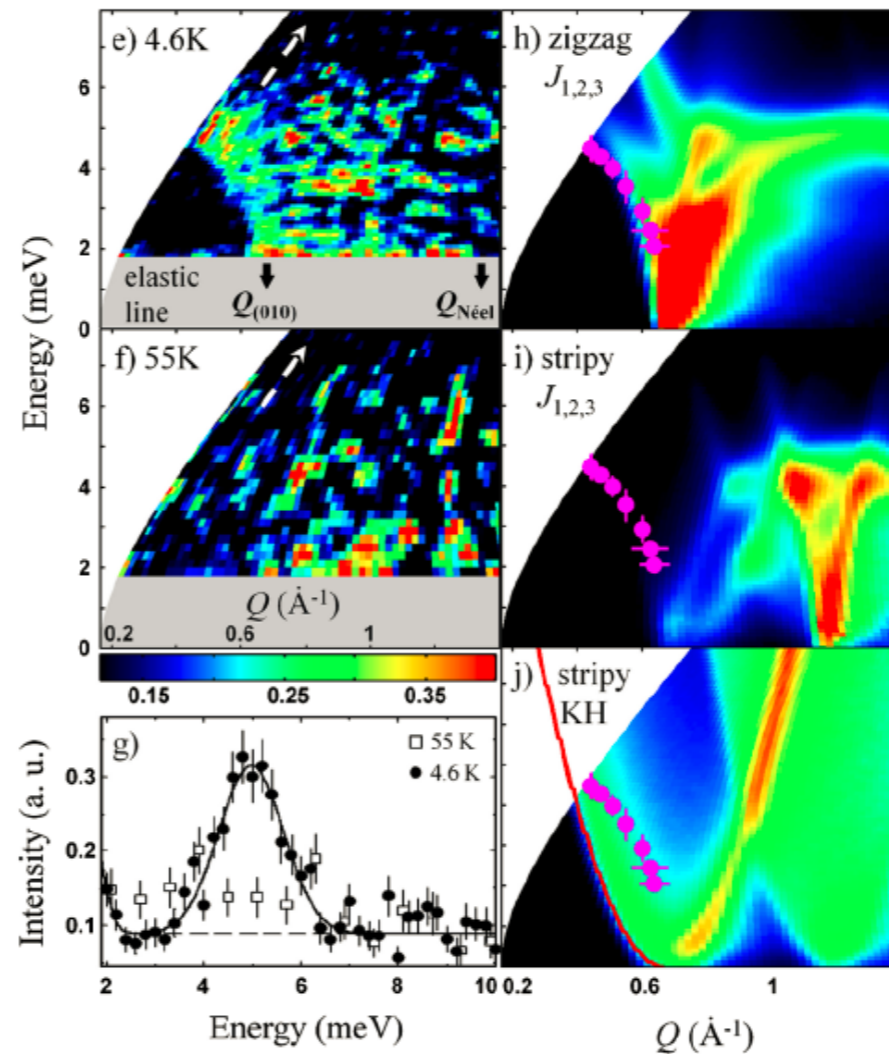
$$J_1 = 3 \text{ meV} \quad K_1 = -17 \text{ meV}$$

V.M.Katukuri et al, New J. Phys. 2014



X. Liu et al, PRB 2011

Feng Ye et al, PRB 2012

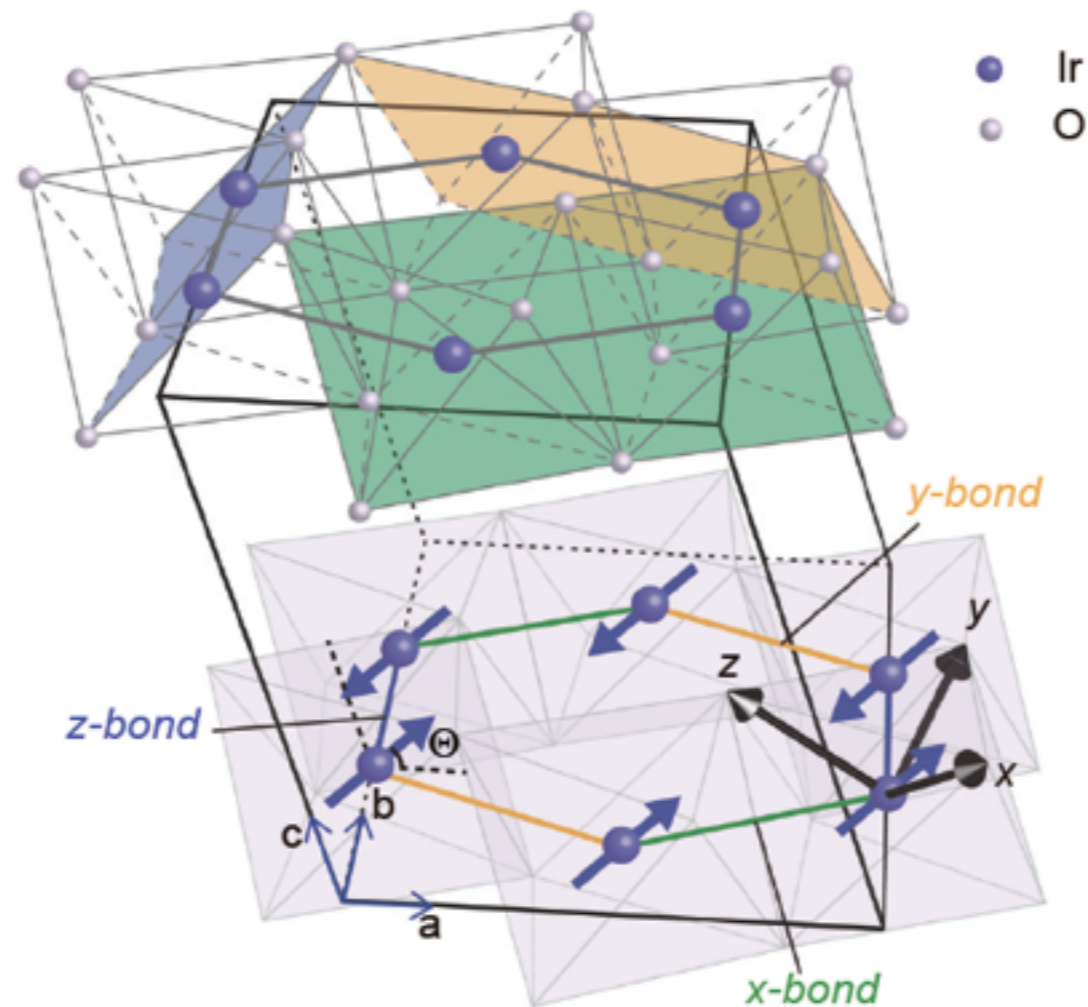


S. K. Choi et al PRL 2012

further neighbors interactions

I.Kimchi & Y.Z. You, PRB 2011

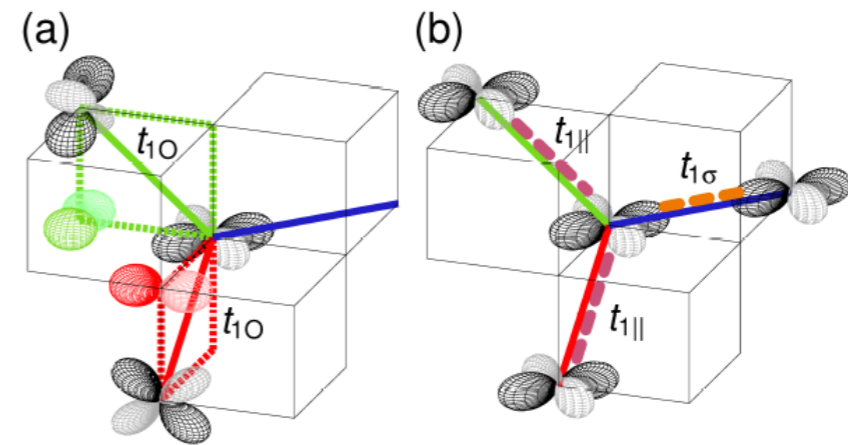
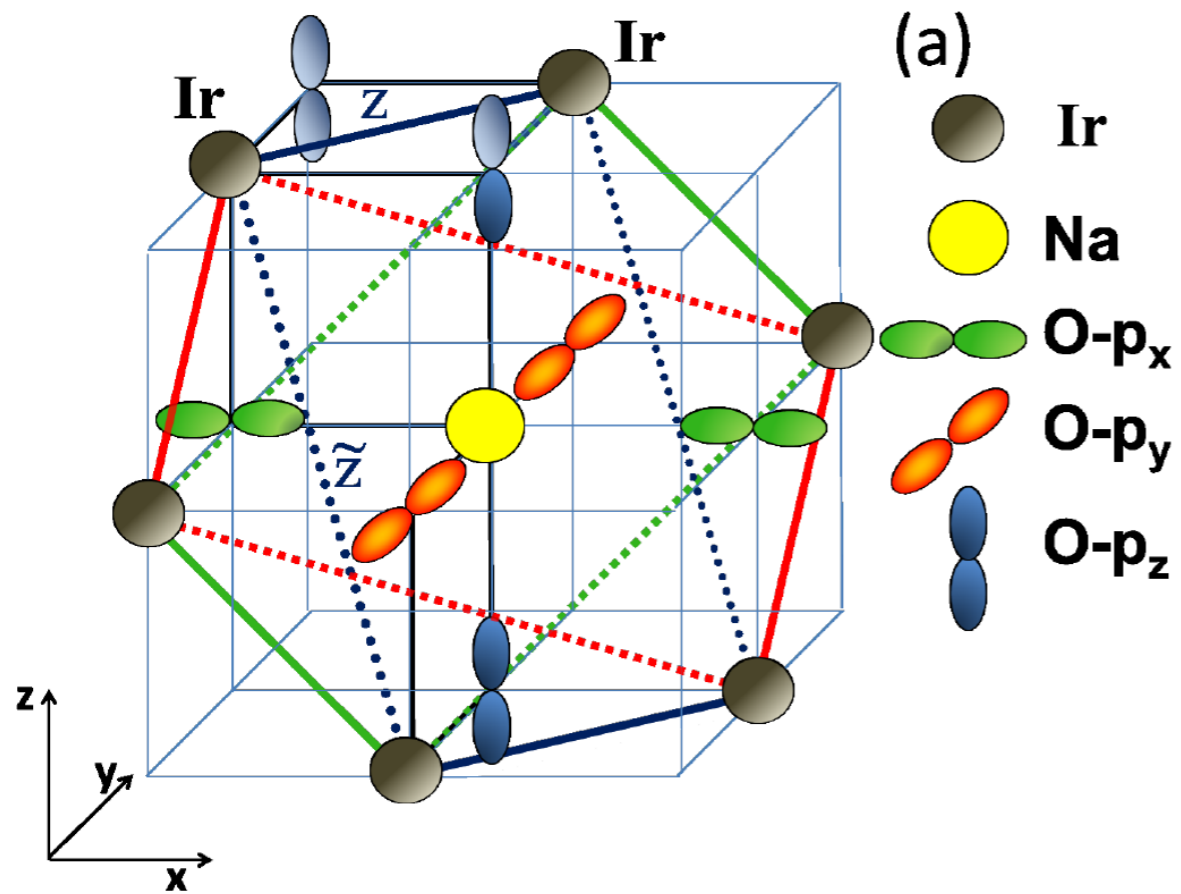
Q4: why spins in Na_2IrO_3 point along face diagonal directions?



S.H.Chun et al, Nature Physics 2015

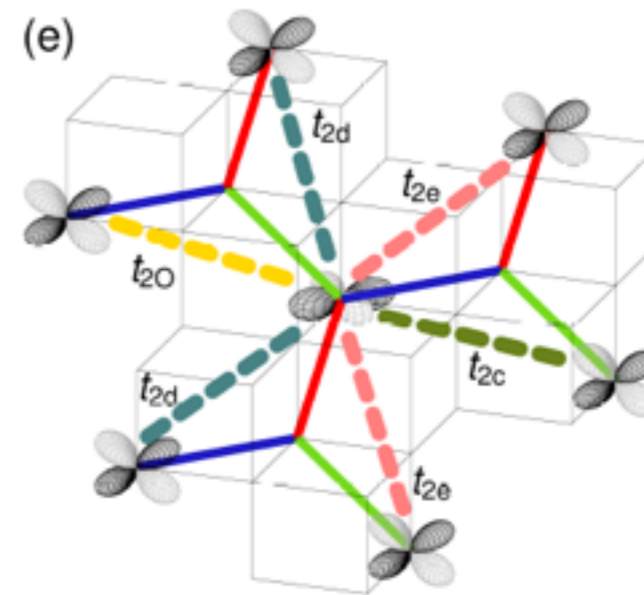
But in KH model both quantum and thermal fluctuations choose cubic directions.

Revision of the super-exchange model for Na_2IrO_3



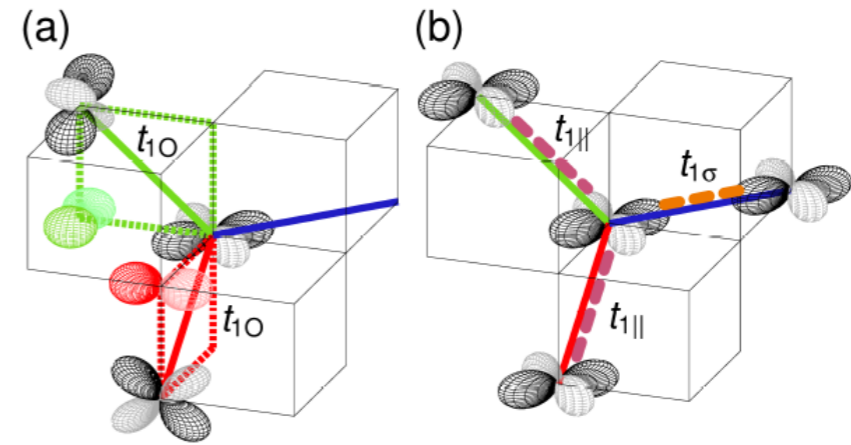
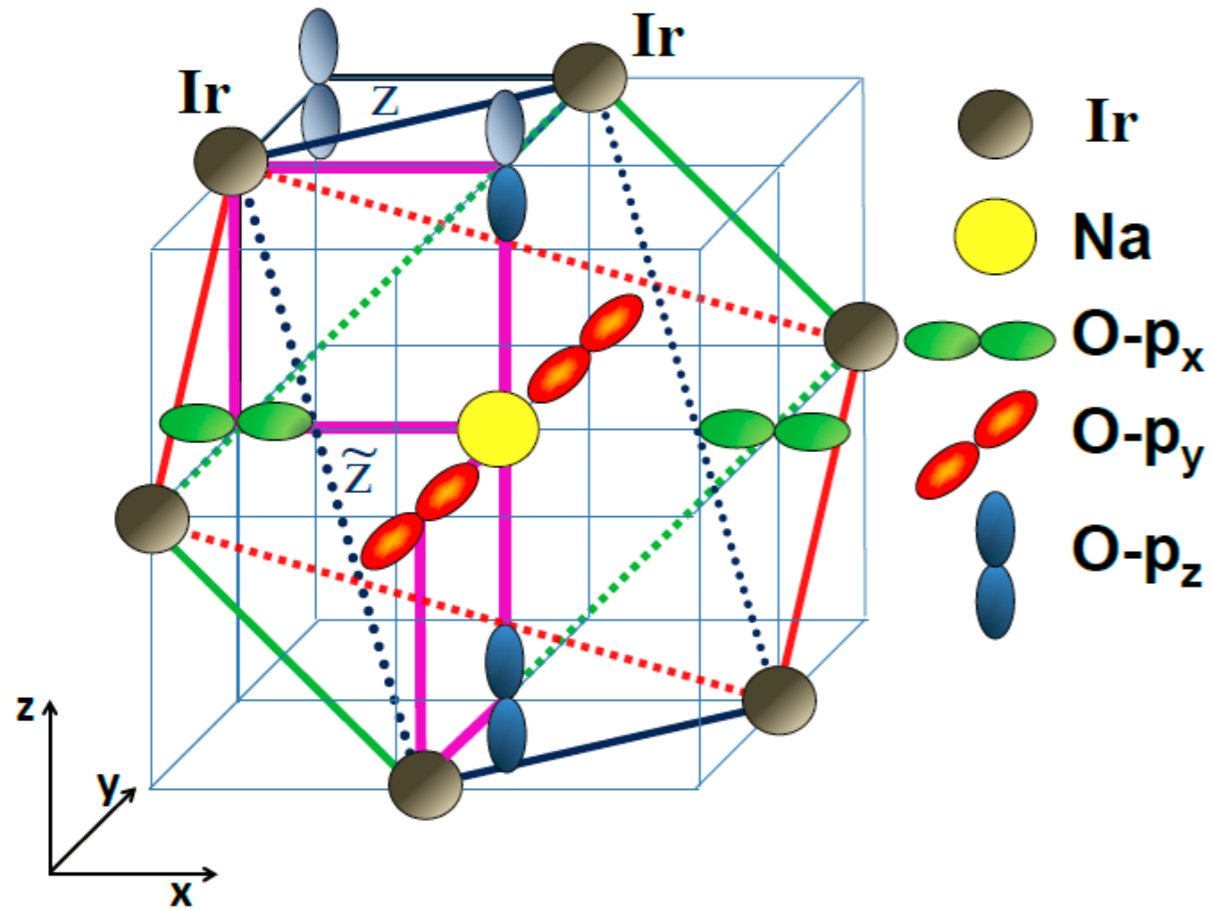
$$t_{1o} = 230 \text{ meV}$$

$$t_{1\sigma} = 67 \text{ meV}$$



$$t_{2o} = 94.7 \text{ meV}$$

Revision of the super-exchange model for Na_2IrO_3



$$t_{1o} = 230 \text{ meV}$$

$$t_{1\sigma} = 67 \text{ meV}$$

Second neighbors hopping

Path 1 : Ir (Y) \rightarrow O (p_z) \rightarrow Na (s) \rightarrow O (p_z) \rightarrow Ir (X)

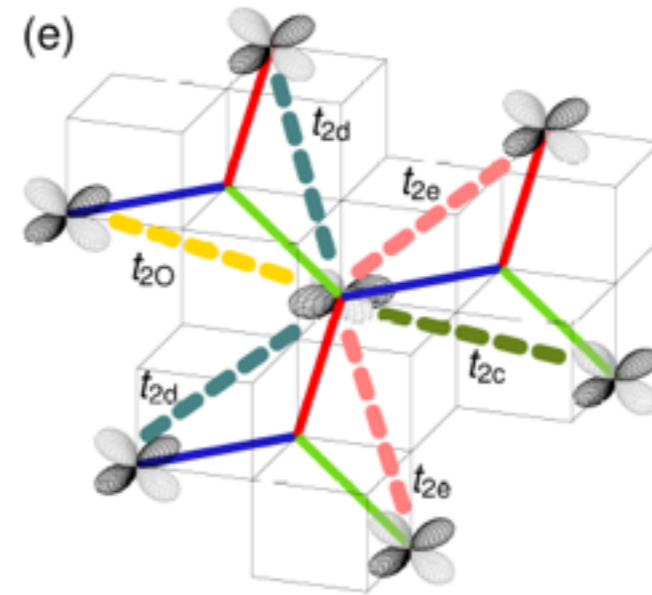
Path 2 : Ir (Y) \rightarrow O (p_z) \rightarrow Na (s) \rightarrow O (p_x) \rightarrow Ir (X)

Path 3 : Ir (Y) \rightarrow O (p_x) \rightarrow Na (s) \rightarrow O (p_x) \rightarrow Ir (X)

Path 4 : Ir (Y) \rightarrow O (p_x) \rightarrow Na (s) \rightarrow O (p_y) \rightarrow Ir (X)

Kitaev interaction

$$|X\rangle = |yz\rangle, |Y\rangle = |zx\rangle \text{ and } |Z\rangle = |xy\rangle$$



$$t_{2o} = 94.7 \text{ meV}$$

J_1 - K_1 - J_2 - K_2 model

$$\mathcal{H} = J_1 \sum_{\langle n, n' \rangle_\gamma} \mathbf{S}_n \mathbf{S}_{n'} + K_1 \sum_{\langle n, n' \rangle_\gamma} S_n^\gamma S_{n'}^\gamma$$

$$+ J_2 \sum_{\langle\langle n, n' \rangle\rangle_{\tilde{\gamma}}} \mathbf{S}_n \mathbf{S}_{n'} + K_2 \sum_{\langle\langle n, n' \rangle\rangle_{\tilde{\gamma}}} S_n^\gamma S_{n'}^{\tilde{\gamma}}$$

$$J_1 \mathbf{S}\mathbf{S} + K_1 S^x S^x$$



$$J_1 \mathbf{S}\mathbf{S} + K_1 S^y S^y$$



$$J_1 \mathbf{S}\mathbf{S} + K_1 S^z S^z$$



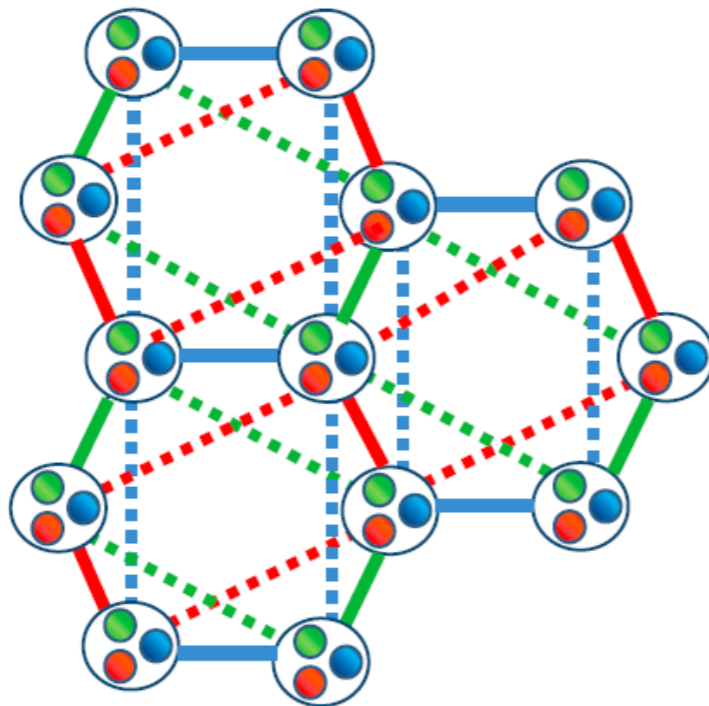
$$J_2 \mathbf{S}\mathbf{S} + K_2 S^x S^x$$



$$J_2 \mathbf{S}\mathbf{S} + K_2 S^y S^y$$



$$J_2 \mathbf{S}\mathbf{S} + K_2 S^z S^z$$



Na_2IrO_3

$$\Delta = 0.1 \text{ eV}, \lambda = 0.4 \text{ eV}$$

$$J_H = 0.3 \text{ eV}, U_2 = 1.8 \text{ eV}$$

$$t_{1o} = 230 \text{ meV}, t_d = 67 \text{ meV}$$

$$t_{2o} = 95 \text{ meV}$$

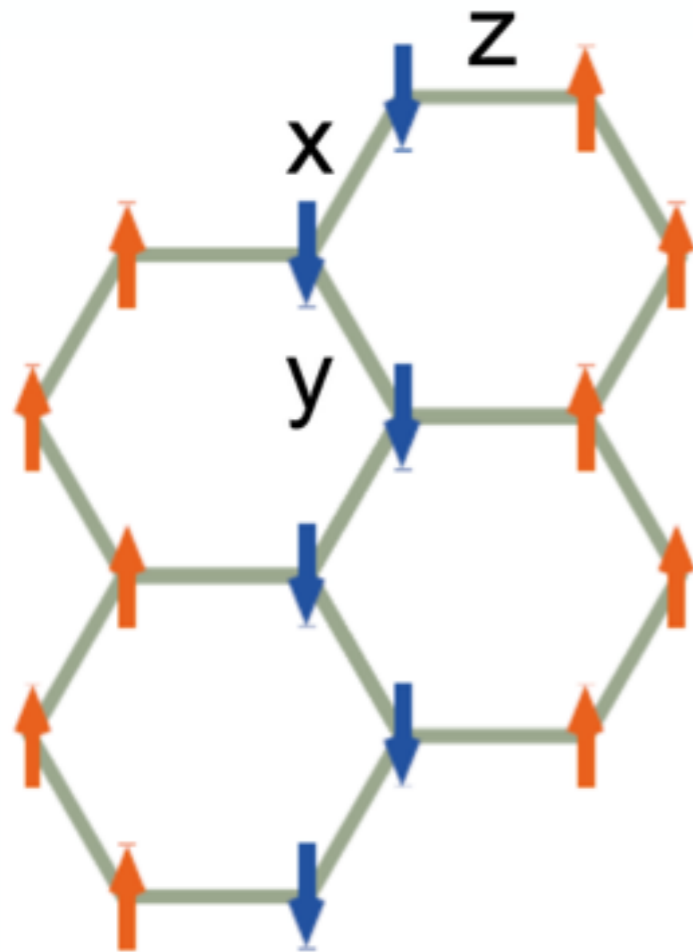
$$J_1 = 5.1 \text{ meV}, K_1 = -14.8 \text{ meV}$$

$$J_2 = -4.5 \text{ meV}, K_2 = 9 \text{ meV}$$

zigzag

$$\theta_{\text{CW}} \approx -98 \text{ K}$$

Locking of the spin direction to the spatial orientation of the zigzag in Na_2IrO_3

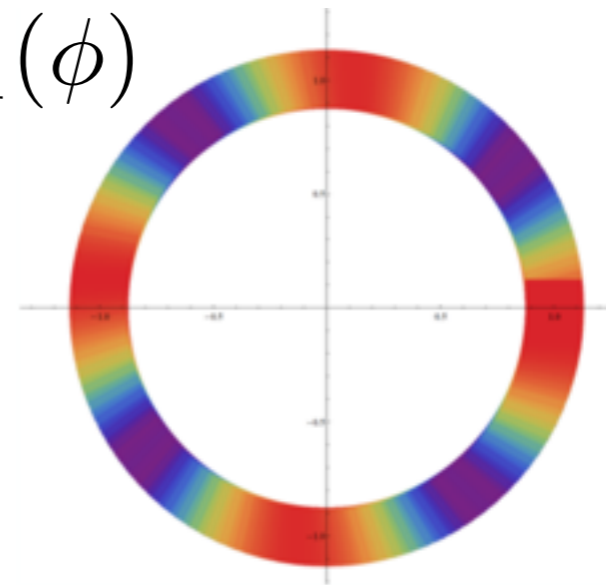


$$S = S_0 + S_{\text{fl}}(\theta, \phi)$$

$$S_{\text{fl}} = - \sum_{\mathbf{q}} \sum_{\kappa, \kappa' = A, B} \sum_{\mu, \mu' = 0}^2 \tilde{A}_{\mathbf{q}}^{\kappa\mu; \kappa'\mu'} \delta\phi_{-\mathbf{q}}^{\kappa\mu} \delta\phi_{\mathbf{q}}^{\kappa'\mu'}$$

$$\tilde{A}_{\mathbf{q}} = \begin{pmatrix} c_{11} & c_{12} & c_{13} & 1/(J_{\mathbf{q}}^x)^* & 0 & 0 \\ c_{12} & c_{22} & c_{23} & 0 & 1/(J_{\mathbf{q}}^y)^* & 0 \\ c_{13} & c_{23} & c_{33} & 0 & 0 & 1/(J_{\mathbf{q}}^z)^* \\ 1/J_{\mathbf{q}}^x & 0 & 0 & c_{11} & c_{12} & c_{13} \\ 0 & 1/J_{\mathbf{q}}^y & 0 & c_{12} & c_{22} & c_{23} \\ 0 & 0 & 1/J_{\mathbf{q}}^z & c_{13} & c_{23} & c_{33} \end{pmatrix}$$

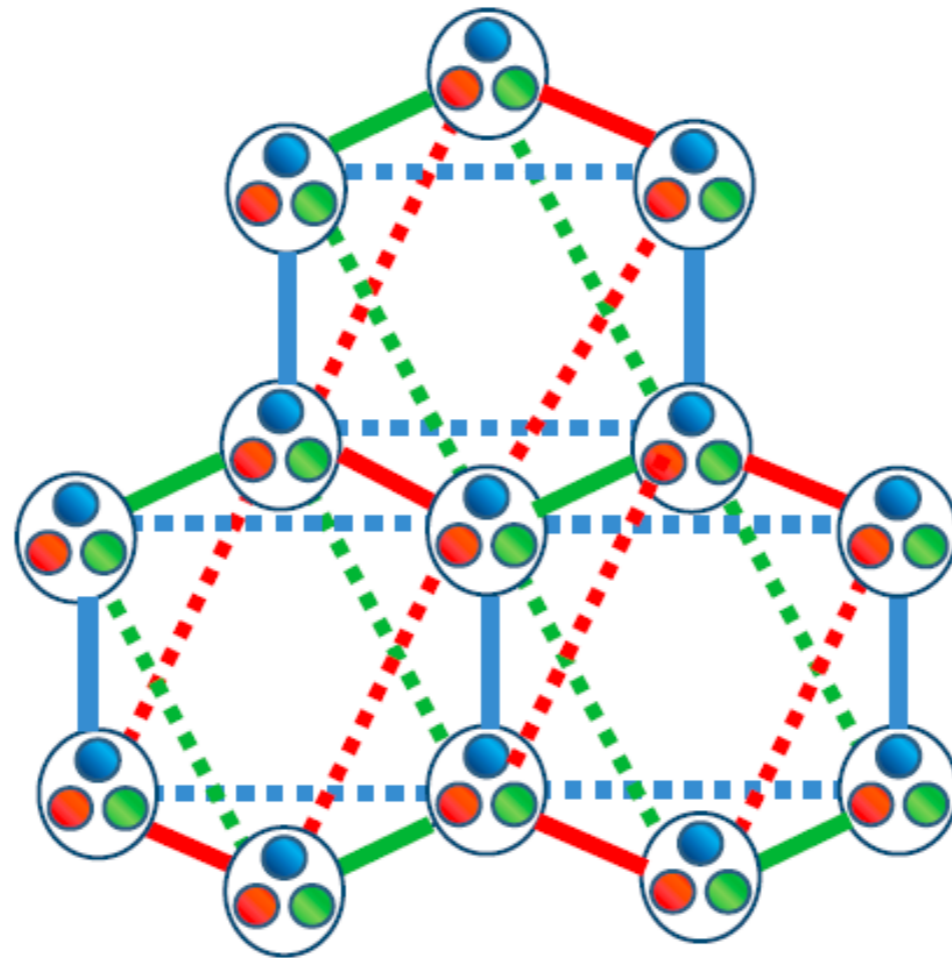
$$S_{\text{fl}}(\phi)$$



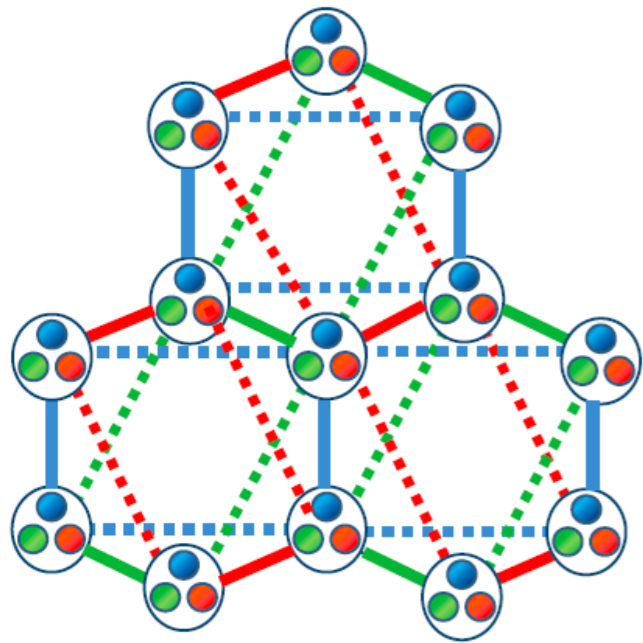
spin fluctuations select one of the diagonals in xy-plane

K_1 - K_2 model

$$\mathcal{H} = K_1 \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma + K_2 \sum_{\langle\langle ij \rangle\rangle_\lambda} S_i^\lambda S_j^\lambda$$



Classical K_1 - K_2 model

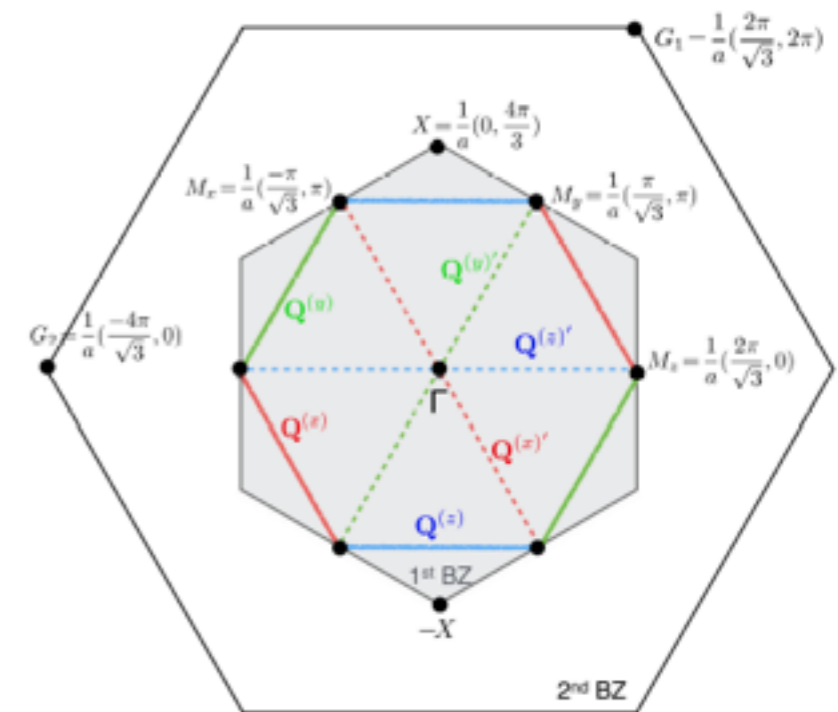


$$\mathcal{H} = K_1 \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma + K_2 \sum_{\langle\langle ij \rangle\rangle_\lambda} S_i^\lambda S_j^\lambda$$

$$H = \sum_{\vec{k}, \alpha, ij} S_{-\vec{k}, i}^\alpha \Lambda_{ij}^\alpha(-\vec{k}) S_{\vec{k}, j}^\alpha$$

$$\begin{aligned} \mathbf{t}_1 &= \mathbf{x}, & \mathbf{t}_2 &= \frac{1}{2}\mathbf{x} + \frac{\sqrt{3}}{2}\mathbf{y} \\ \mathbf{t}_3 &= \mathbf{t}_1 + \mathbf{t}_2 \end{aligned}$$

$$\begin{aligned} \lambda_{\pm}^x &= K_2 \cos(\vec{k} \cdot \vec{t}_2) \pm \frac{1}{2}K_1 \\ \lambda_{\pm}^y &= K_2 \cos(\vec{k} \cdot \vec{t}_3) \pm \frac{1}{2}K_1 \\ \lambda_{\pm}^z &= K_2 \cos(\vec{k} \cdot \vec{t}_1) \pm \frac{1}{2}K_1 \end{aligned}$$



Degeneracy of the ground state: 3×2^L

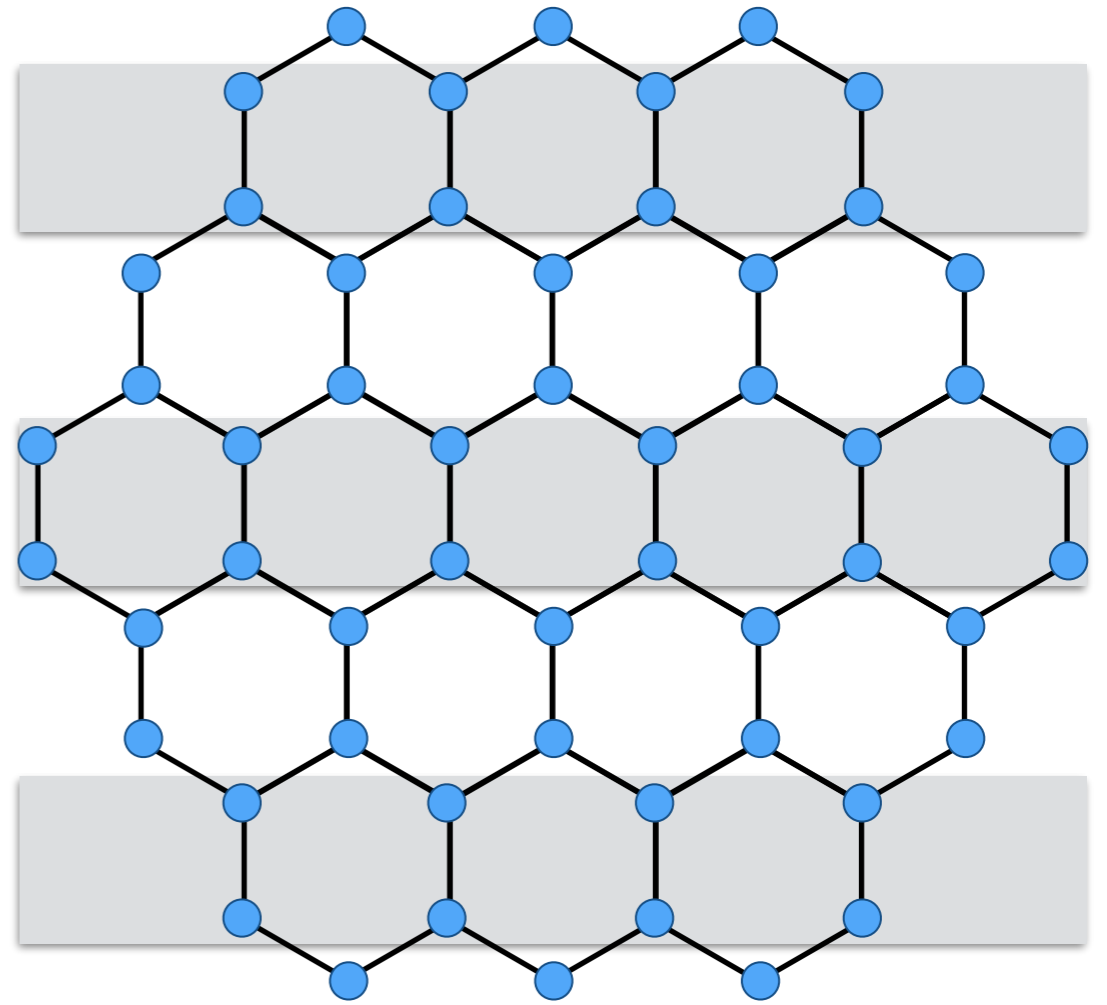
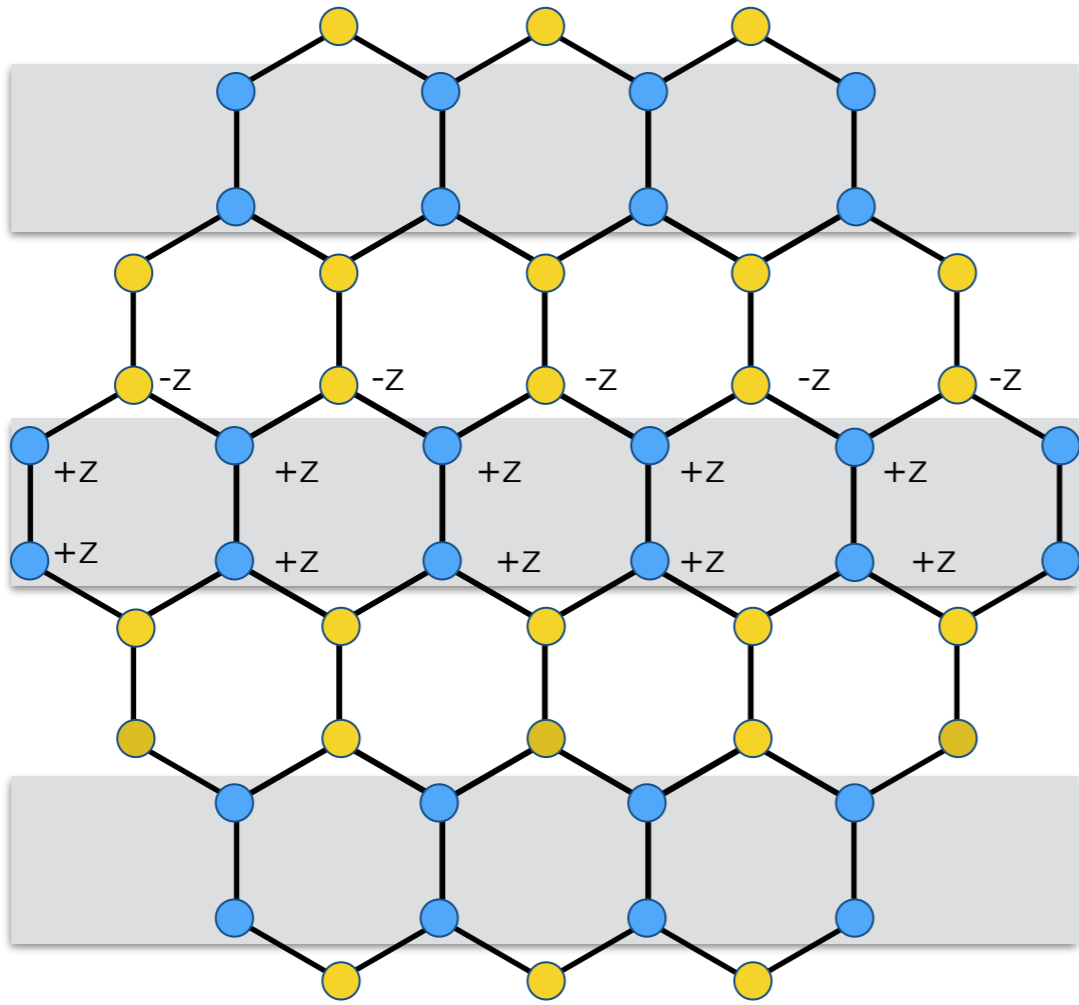
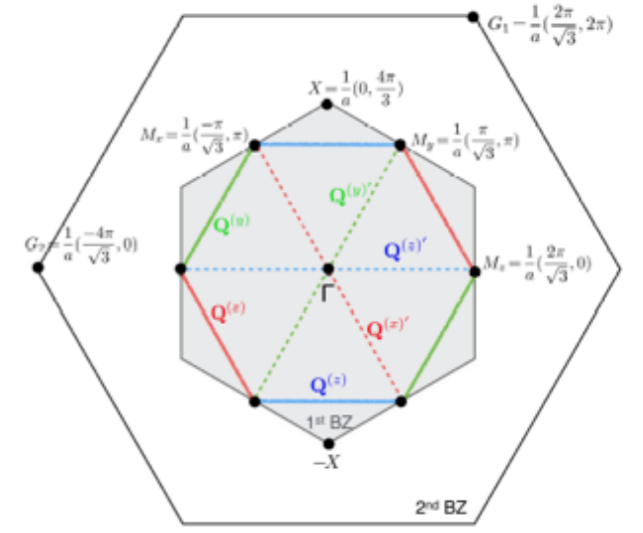
This degeneracy is not accidental but it is related to the sliding gauge-like symmetries in the Hamiltonian.

$$K_1 < 0$$

$$K_2 < 0$$

$$\lambda^z = K_2 \cos(\vec{k} \cdot \vec{t}_1) + \frac{1}{2} K_1$$

$$\min(\lambda^z) \text{ is for } \cos(\vec{k} \cdot \vec{t}_1) = -1$$

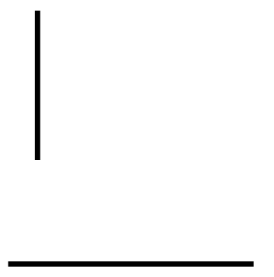


I.Kimchi & Y.Z. You, PRB 2011

Classical order is Nematic-like

n.n. z-bond

n.n.n. z-bond

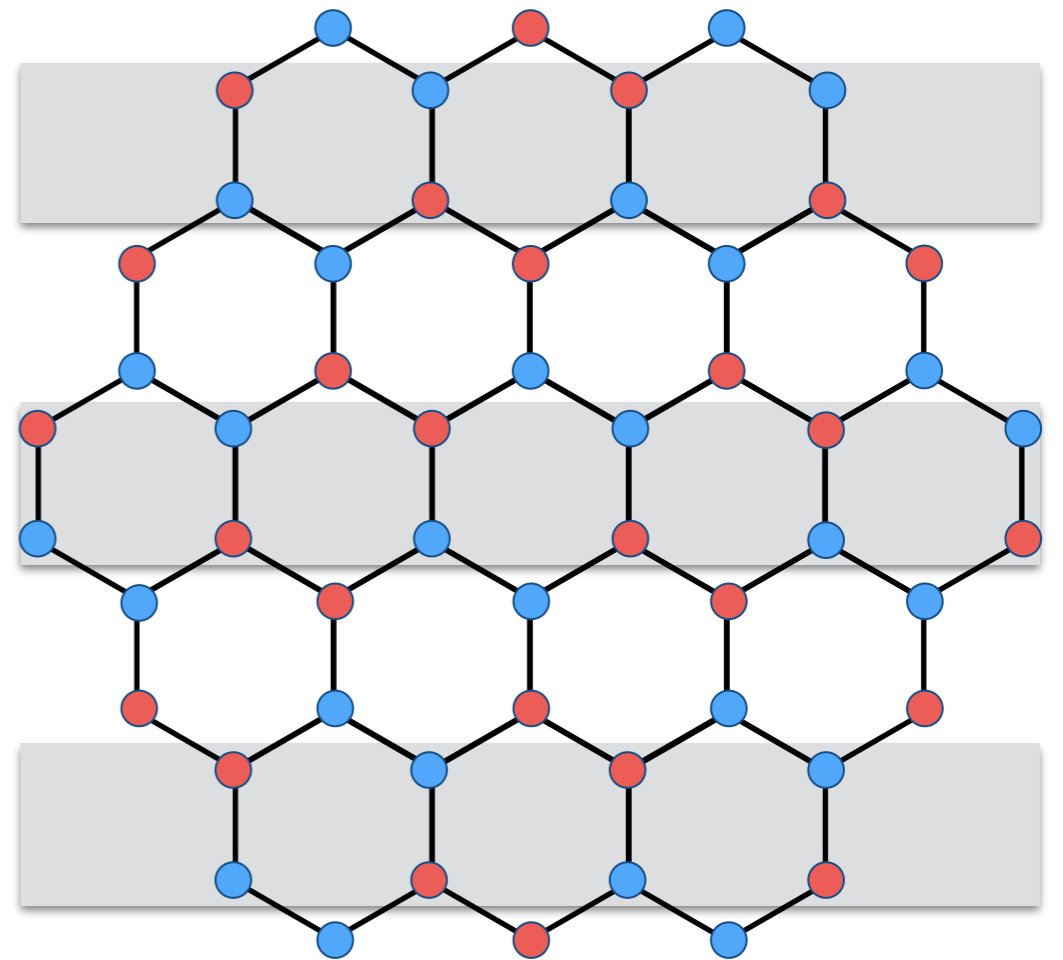
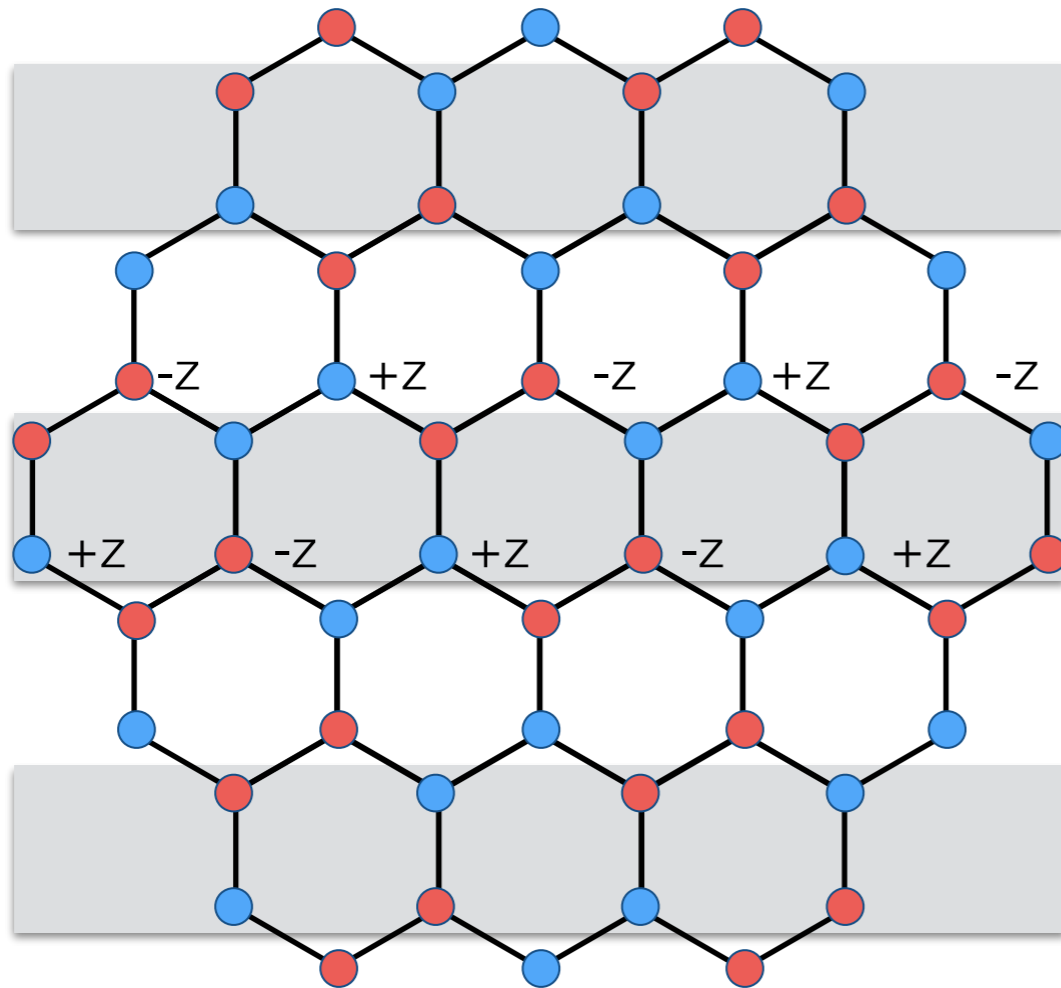
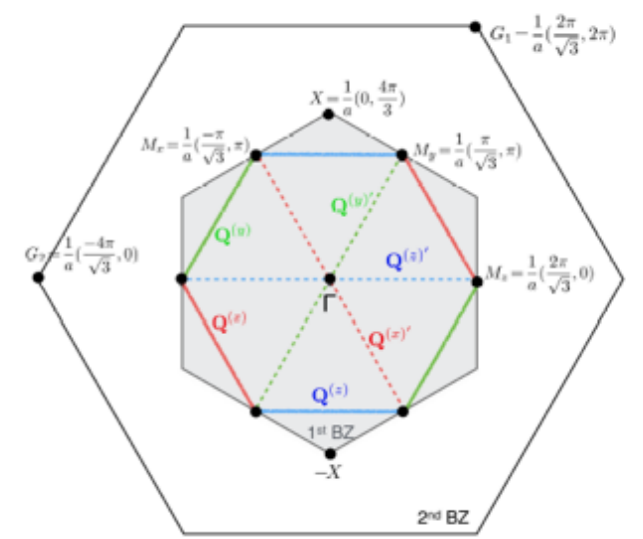


$$K_1 > 0$$

$$K_2 > 0$$

$$\lambda^z = K_2 \cos(\vec{k} \cdot \vec{t}_1) - \frac{1}{2} K_1$$

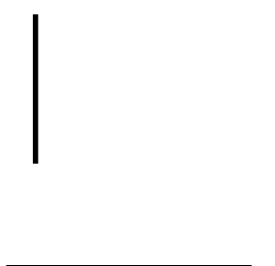
$$\min(\lambda^z) \text{ is for } \cos(\vec{k} \cdot \vec{t}_1) = 1$$



Classical order is Nematic-like

n.n. z-bond

n.n.n. z-bond

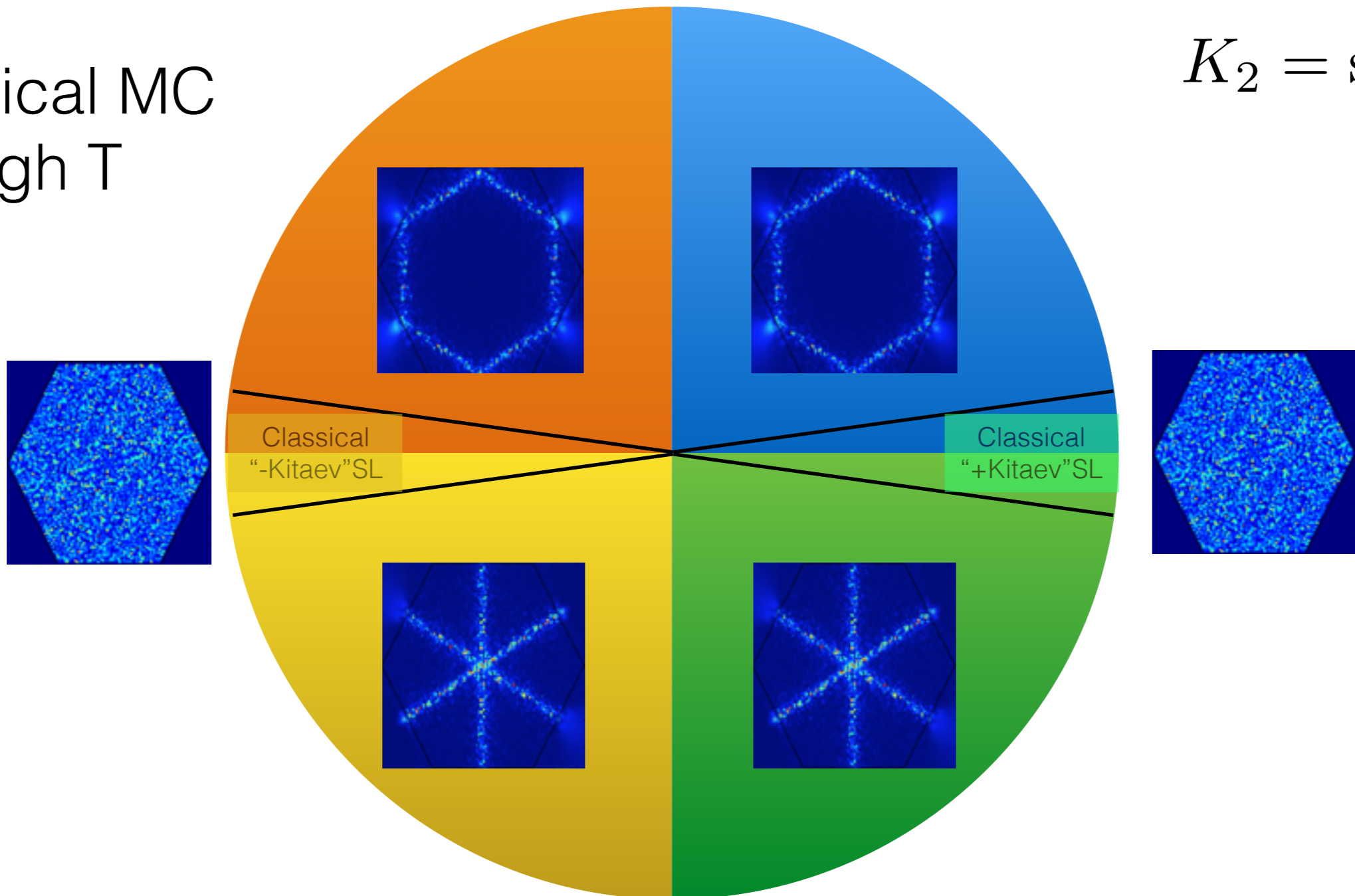


$$H(\varphi) = \cos(\varphi) \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma + \sin(\varphi) \sum_{\langle\langle ij \rangle\rangle_\lambda} S_i^\lambda S_j^\lambda$$

$$K_1 = \cos \phi$$

$$K_2 = \sin \phi$$

Classical MC
High T

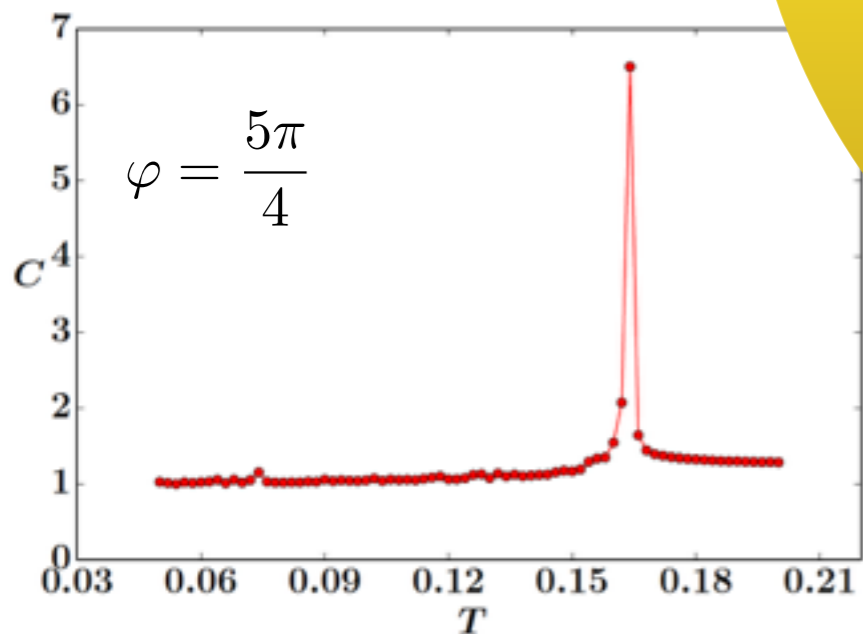
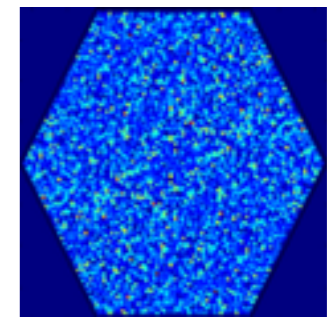
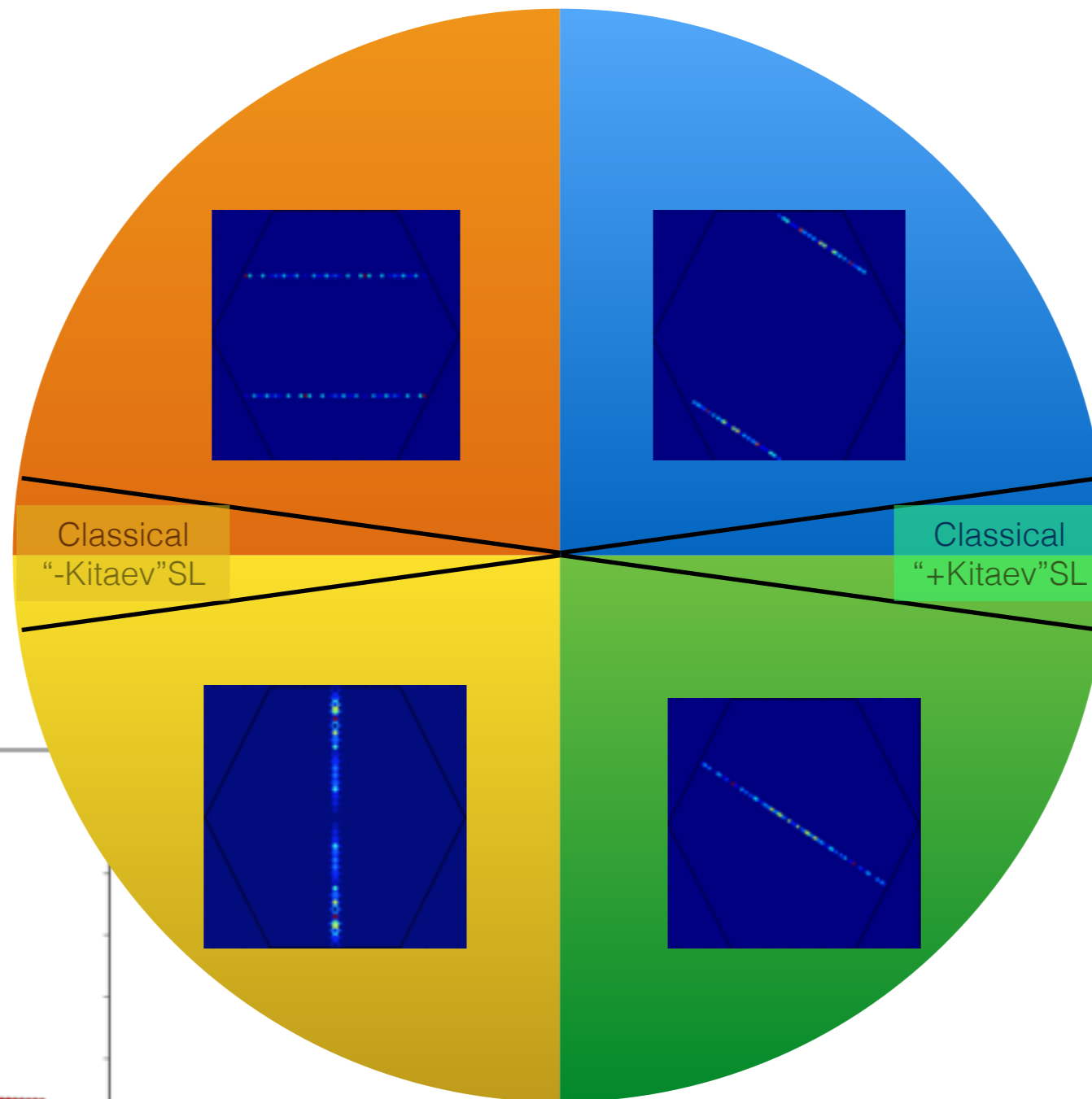
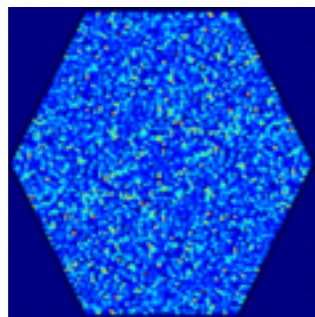


$$H(\varphi) = \cos(\varphi) \sum_{\langle ij \rangle_\gamma} S_i^\gamma S_j^\gamma + \sin(\varphi) \sum_{\langle\langle ij \rangle\rangle_\lambda} S_i^\lambda S_j^\lambda$$

$$K_1 = \cos \phi$$

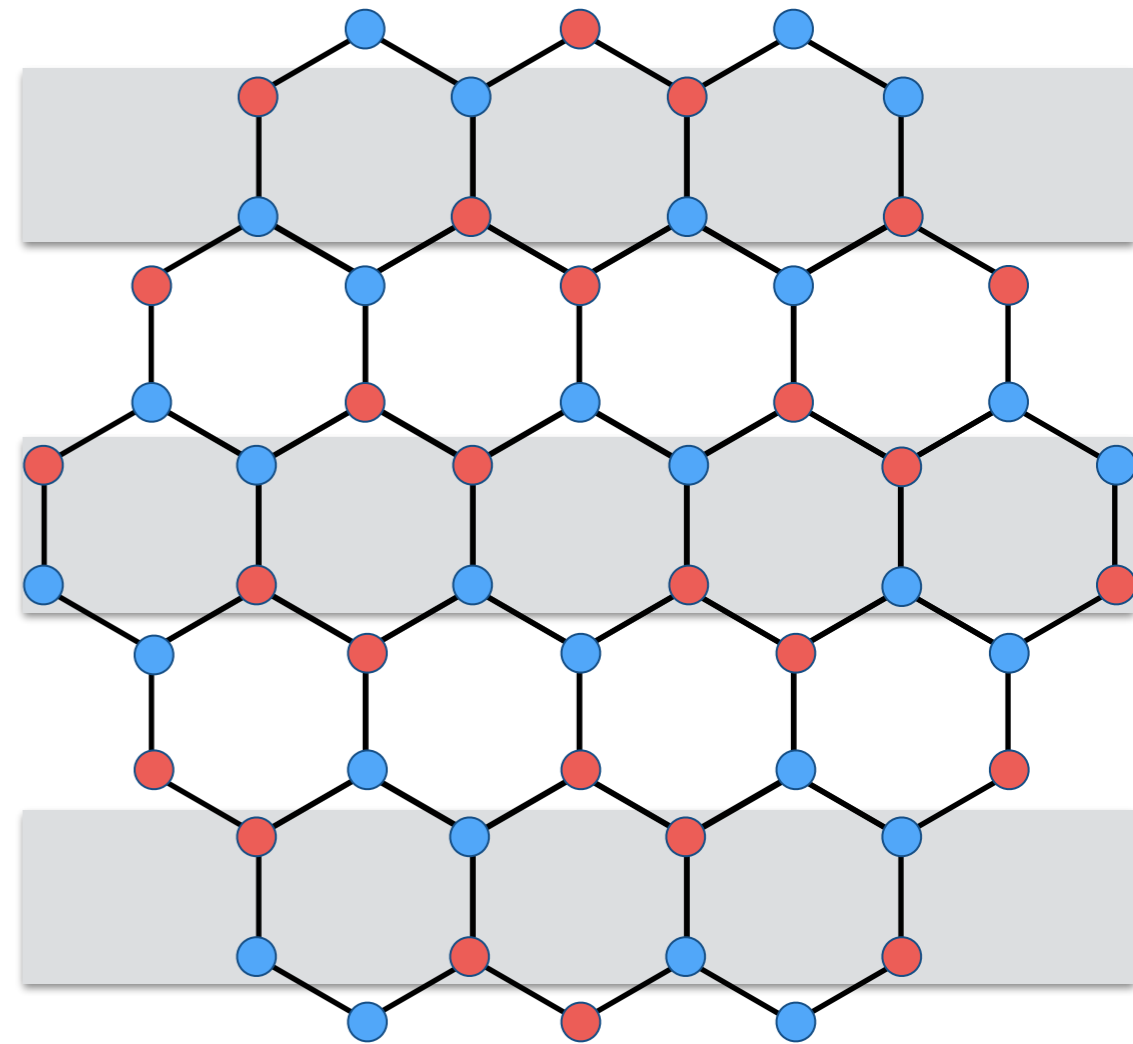
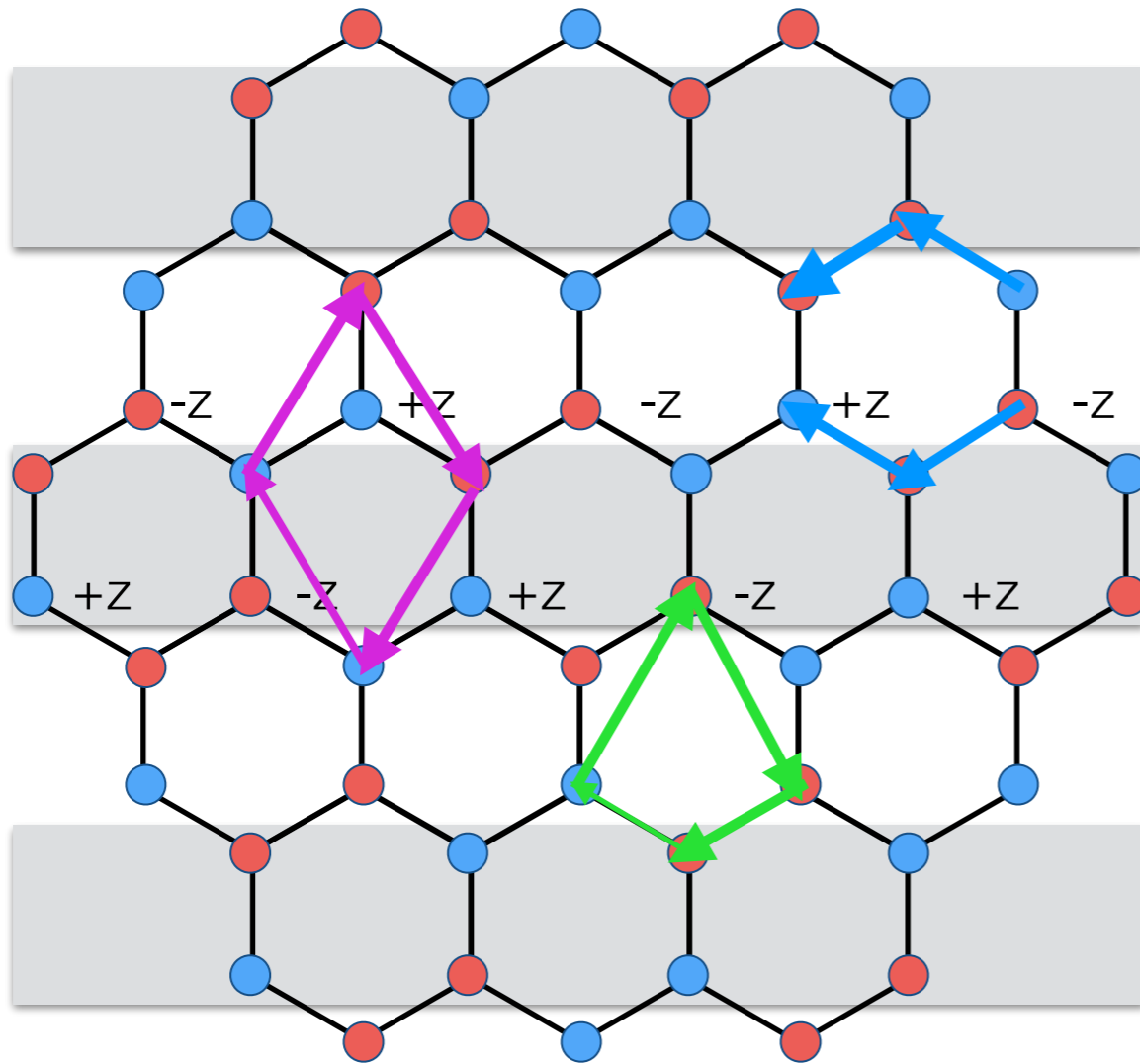
$$K_2 = \sin \phi$$

Classical MC
 $T < T_c$



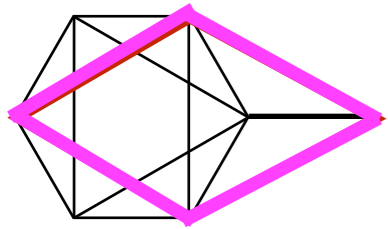
Quantum K_1 - K_2 model

LR magnetic order is possible



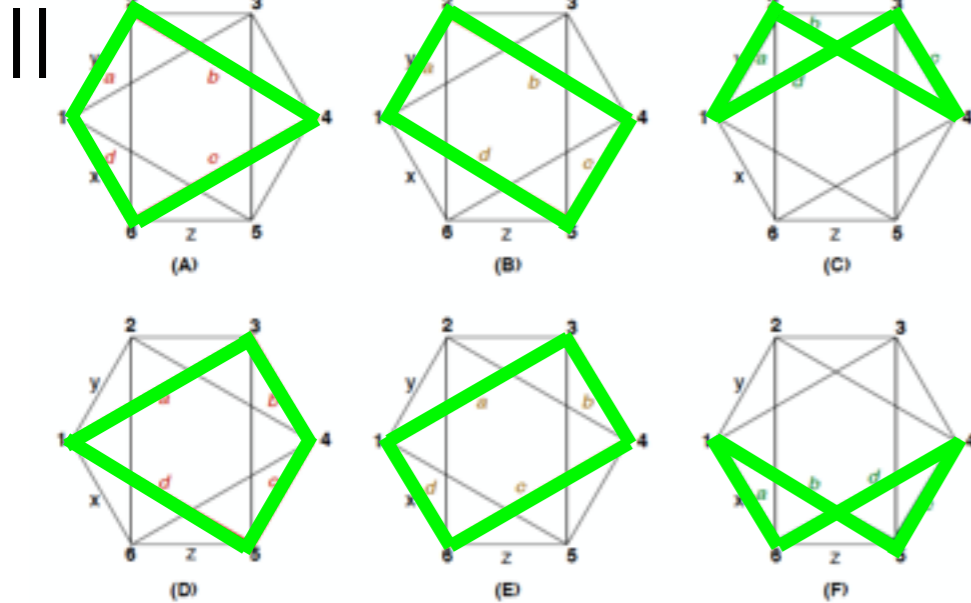
$$K_1 > 0$$
$$K_2 > 0$$

Perturbation theory: $K_{1x} = K_{1y} = xK_{1z}$ $x < 1$
 $K_{2x} = K_{2y} = xK_{2z}$



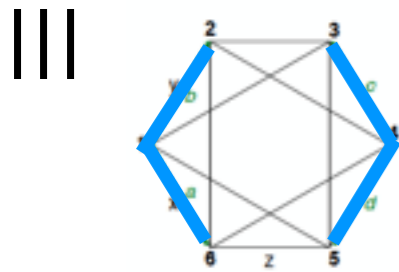
$$J_{\text{eff},1} = + \frac{K_{2x}^2 K_{2y}^2}{8(|K_{1z}| + 2|K_{2z}|)^2 (2|K_{1z}| + 3|K_{2z}|)} \text{sgn}(K_{2z})$$

Jackeli & Avella, arXiv:1504.03618



$$J_{\text{eff},2} = - \frac{\kappa}{4\Delta_{12}^3} \left[\frac{|K_{1z}| + |K_{2z}|}{2|K_{1z}| + 3|K_{2z}|} + \frac{2|K_{2z}|}{|K_{1z}| + 4|K_{2z}|} \right] > 0$$

$$\kappa = K_{1x}K_{1y}K_{2x}K_{2y}$$



$$J_{\text{eff},3} = \frac{\mu|K_{1z}|}{(|K_{1z}| + 2|K_{2z}|)^2 (|K_{1z}| + 3|K_{2z}|) (|K_{1z}| + 4|K_{2z}|)}$$

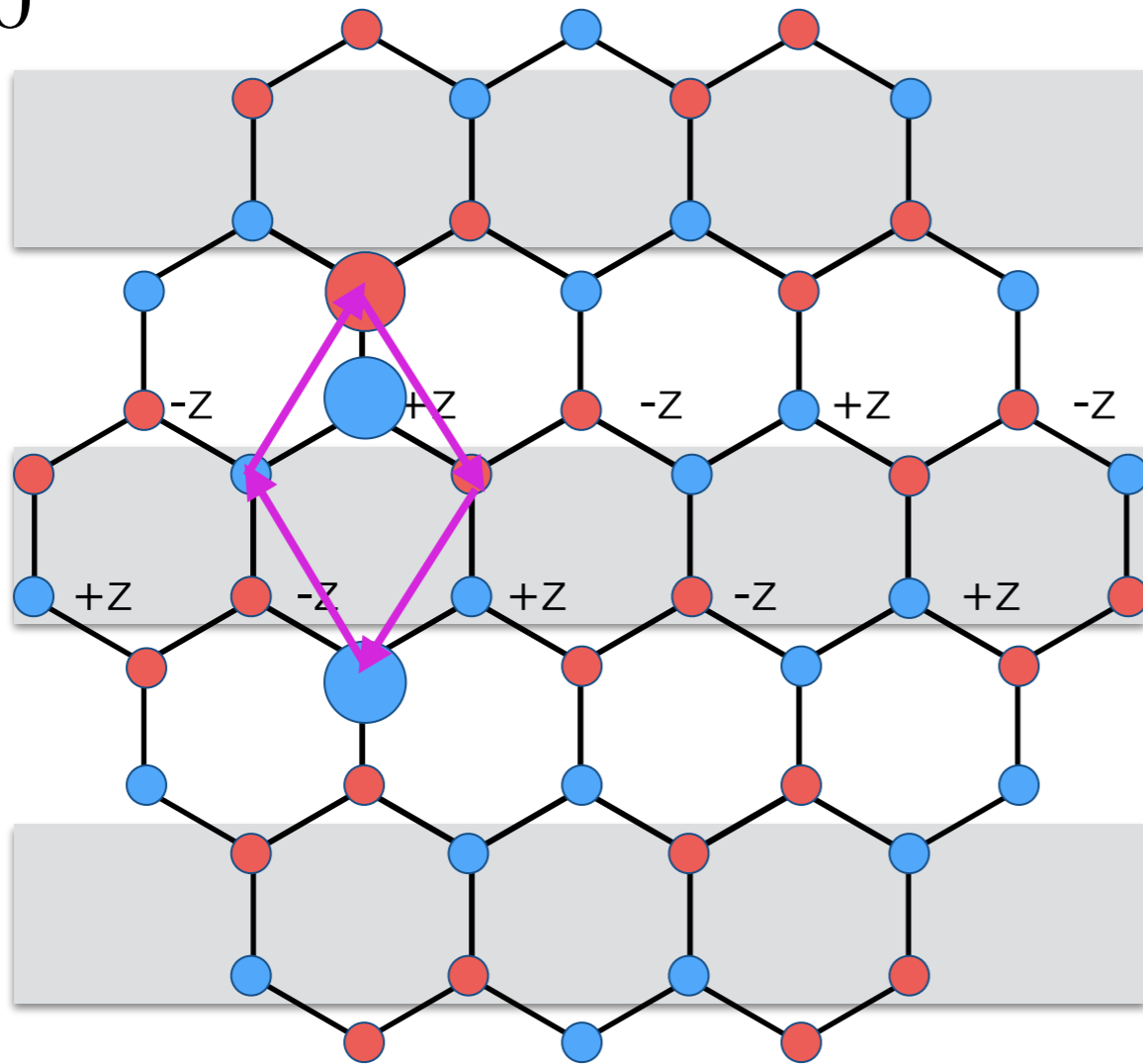
A. Kitaev, Annals of Physics **321**, 2 (2006)

$$\mu = K_{1x}^2 K_{1z}^2$$

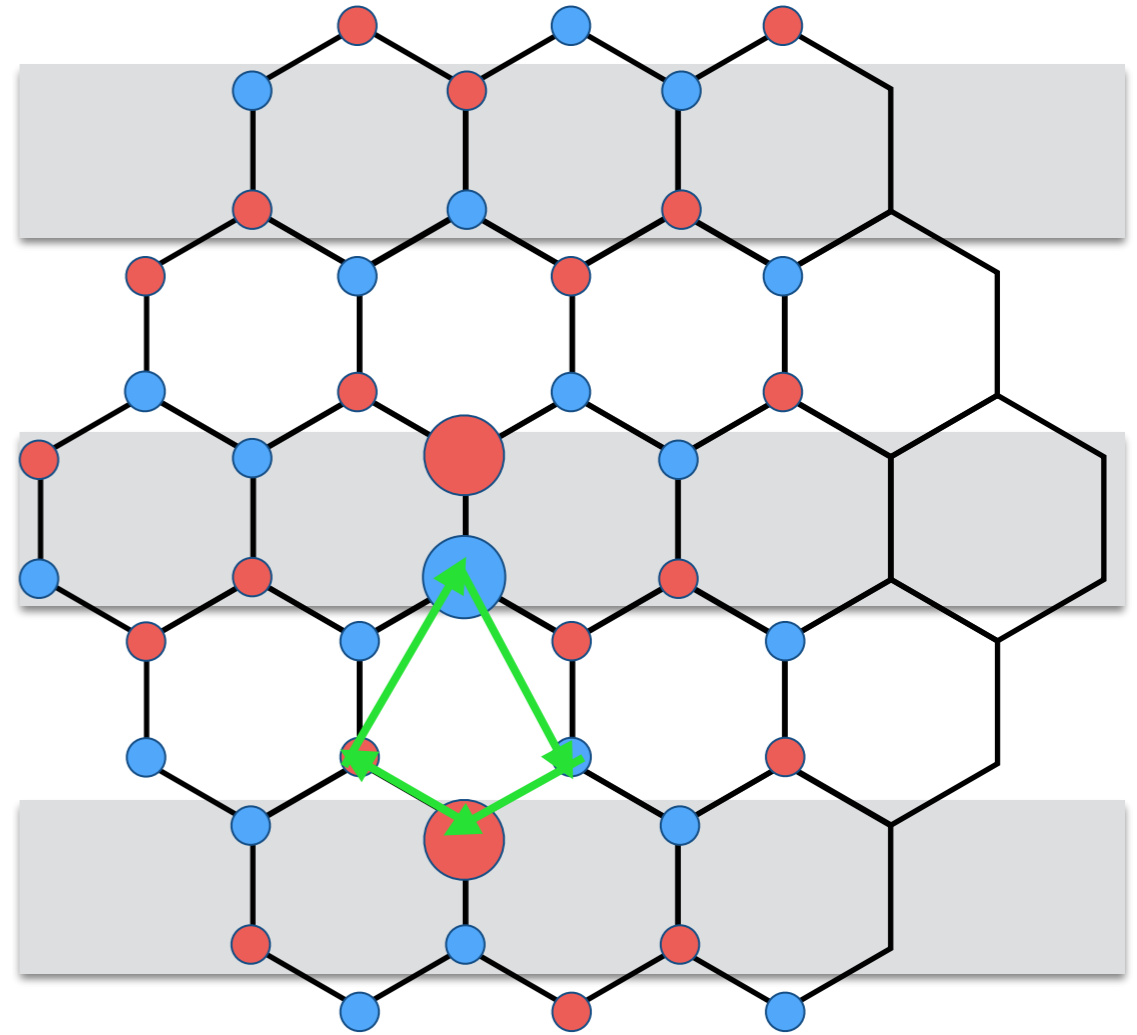
Competing phases

$$K_1 > 0$$

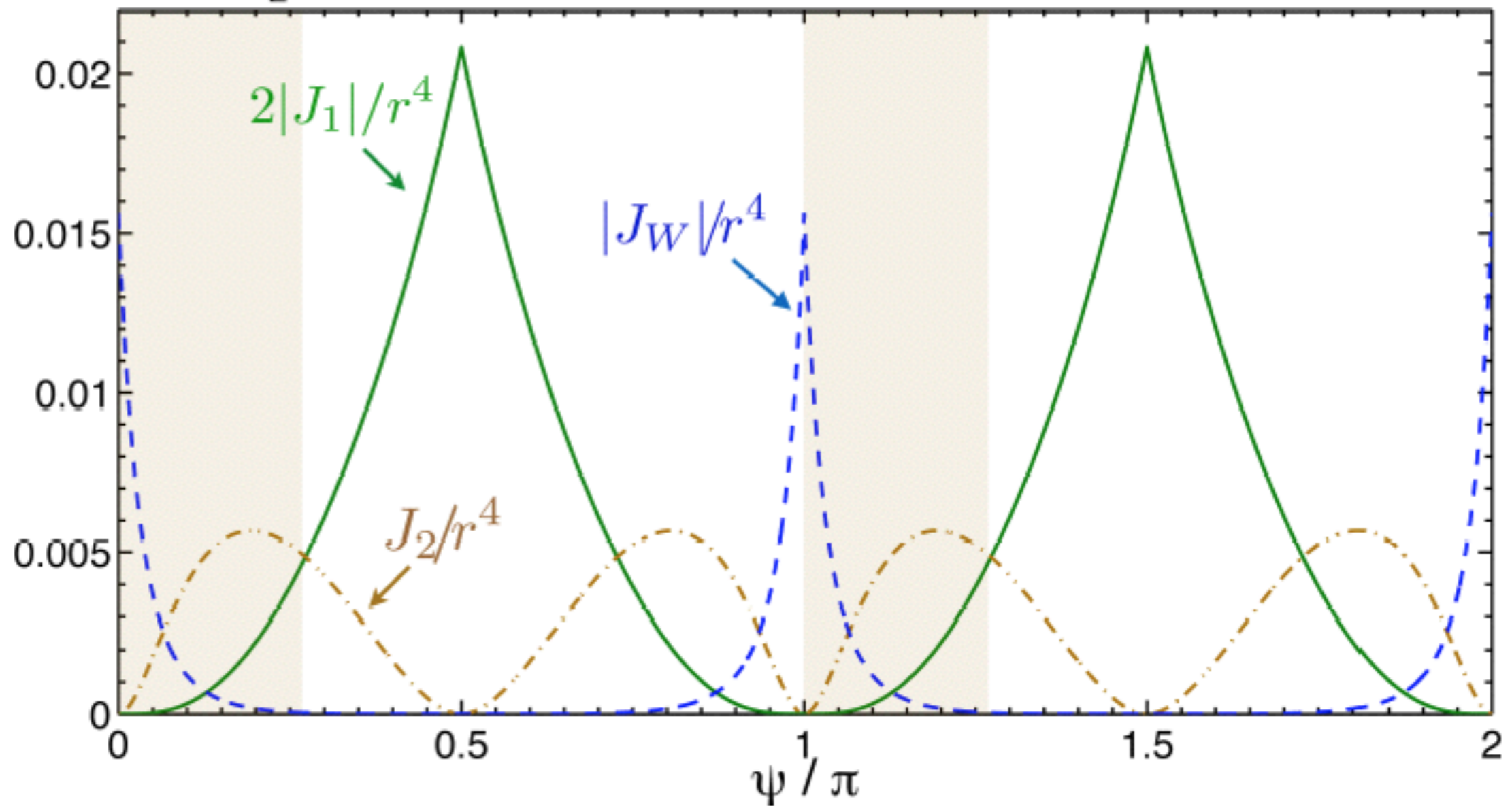
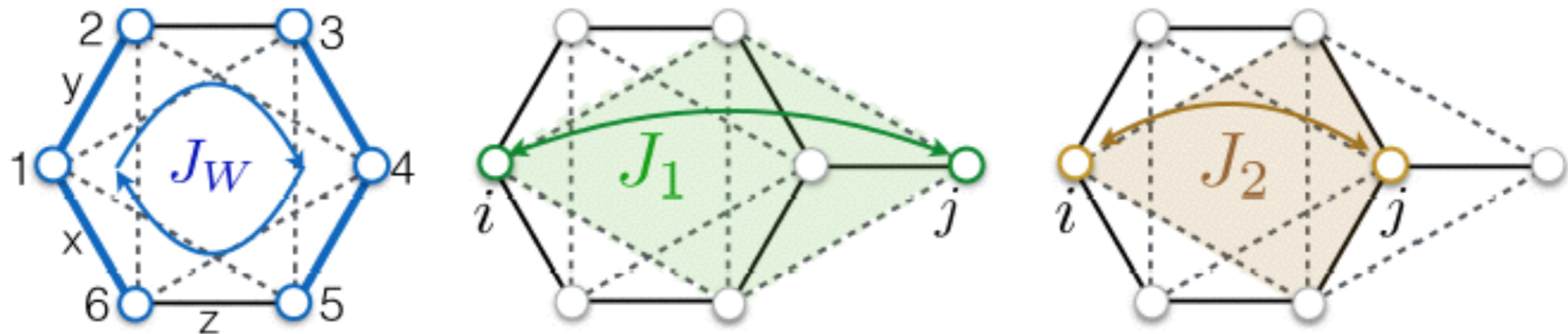
$$K_2 > 0$$



$$|J_{eff,1}| > |J_{eff,2}|$$

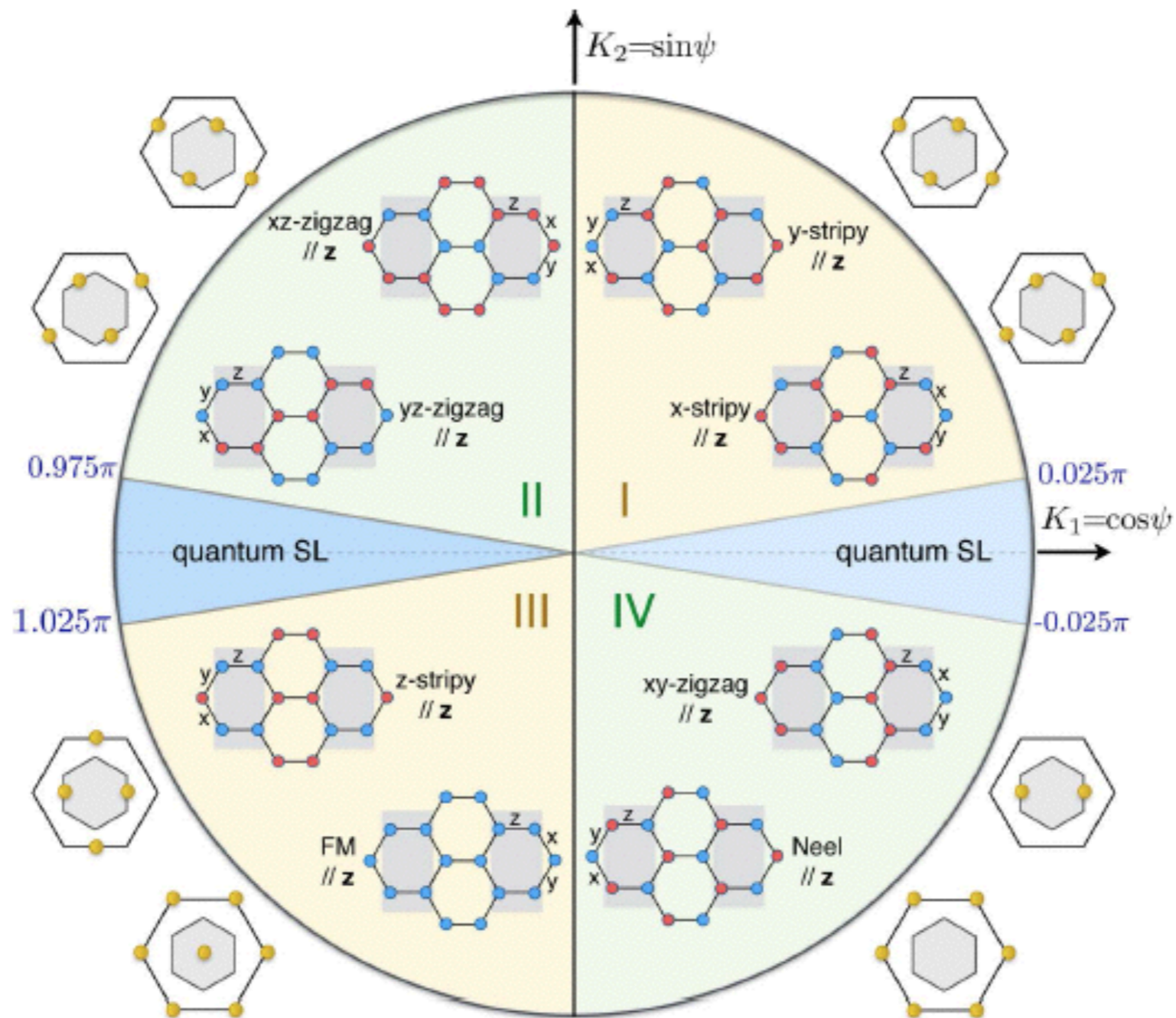


$$|J_{eff,2}| > |J_{eff,1}|$$



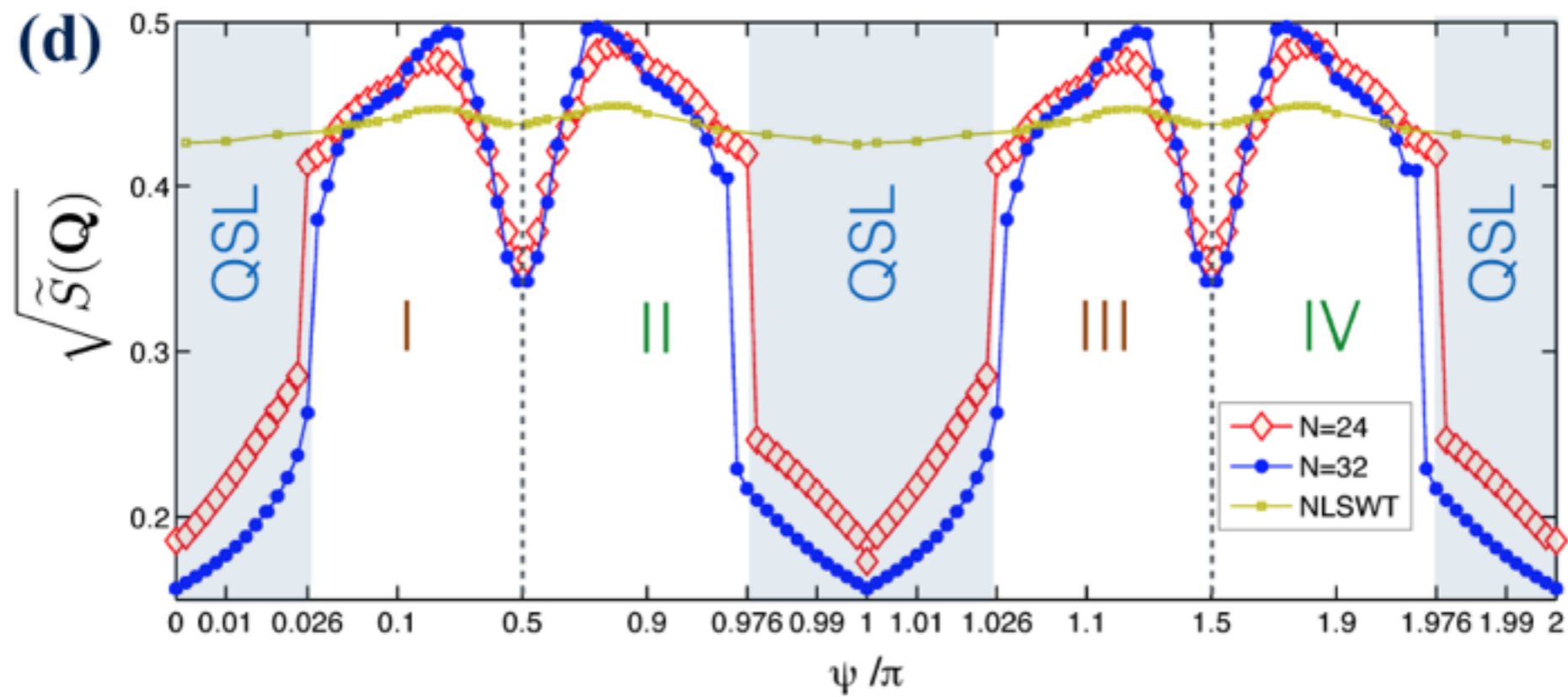
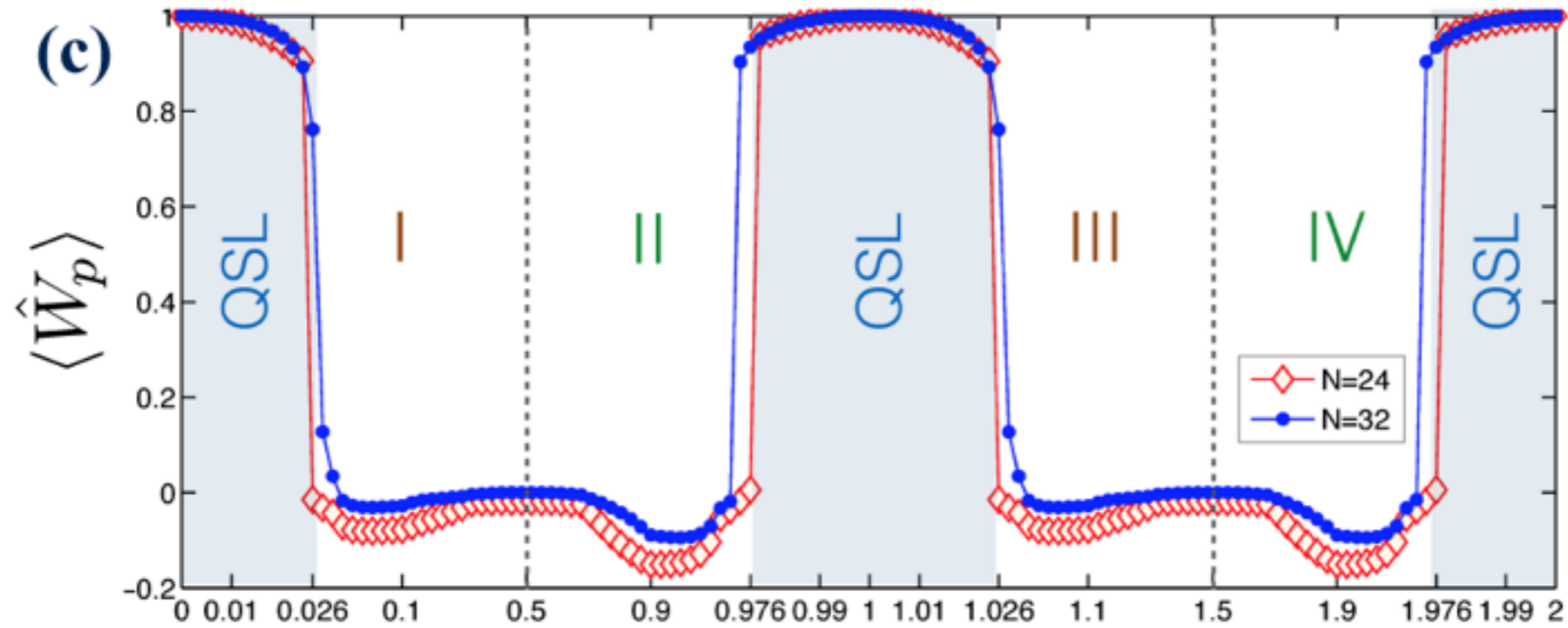
The shaded strips denote the regions where J_2 competes with J_1 and $J_2 > 2|J_1|$.

Quantum phase diagram of K_1 - K_2 model



Each of the magnetic regions (I-IV) hosts twelve degenerate quantum states.

Flux operator and structure factor

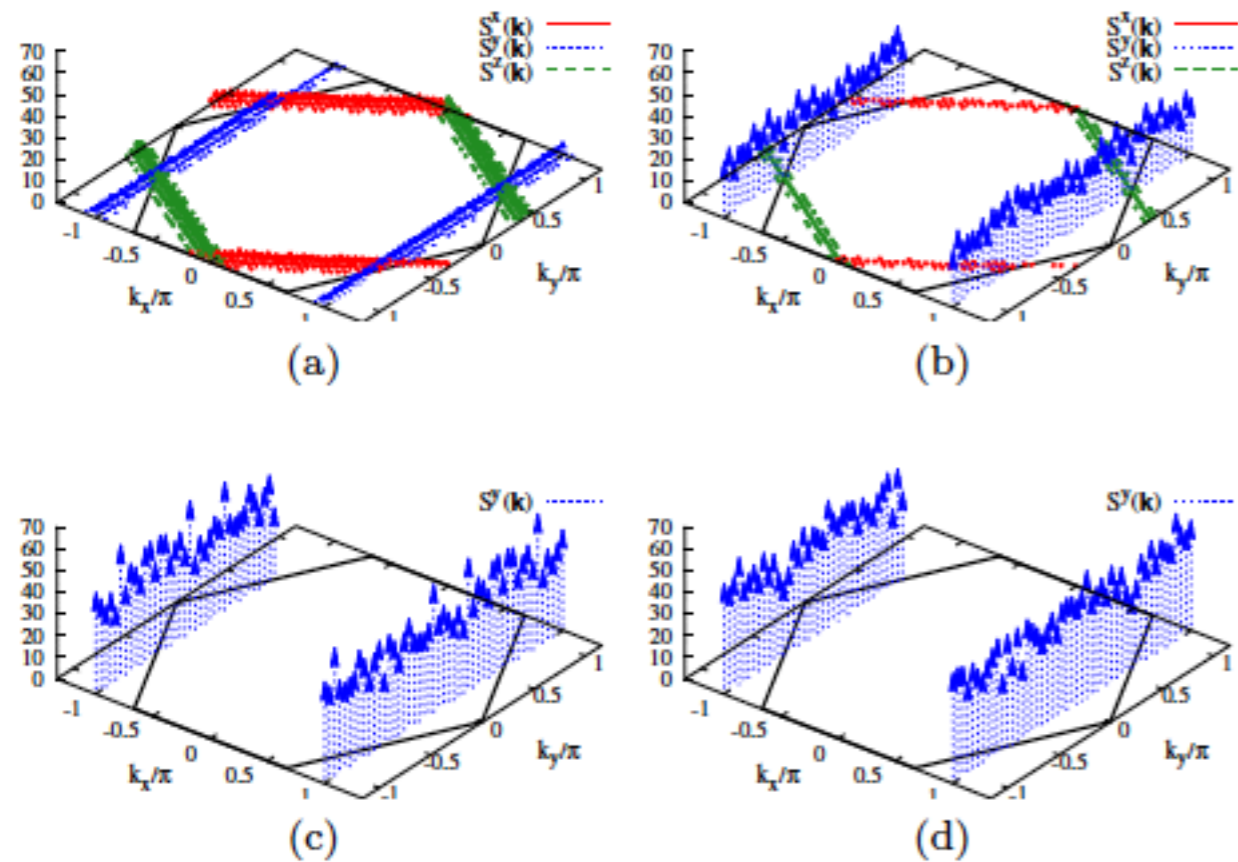


Triangular points: $K_1=0$

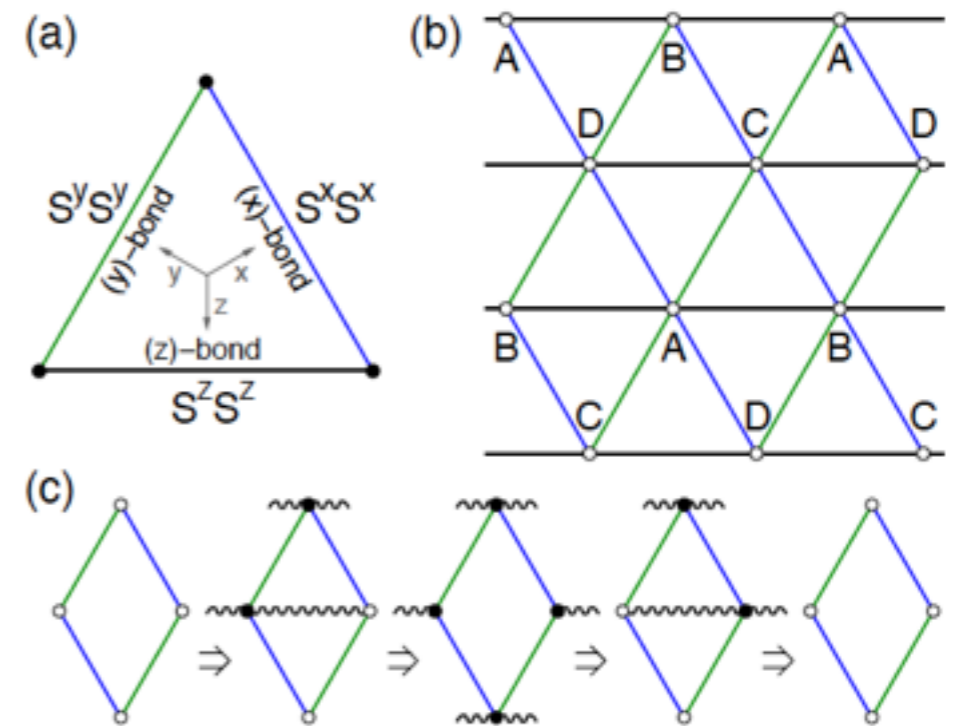
I. Rousochatzakis, U.K. Roessler,
J. van der Brink, M. Daghofer, arXiv:1209.5895

G. Jackeli and A. Avella, arXiv:1504.

Classical



Quantum



Also:

I. Kimchi and A. Vishwanath PRB 2014

M. Becker, M. Hermanns, B. Bauer, M. Garst, S. Trebst PRB 2015

Conclusions

1. K_1 - K_2 model hosts a number of unconventional aspects, such as the fundamentally different role of thermal and quantum fluctuations.

2. Magnetic phases of the K_1 - K_2 model are only stabilized for quantum spins and not for classical spins, despite having a strong classical character.

3. Classical spins have only nematic order at finite temperatures.

4. Relevance to Na_2IrO_3 : the K_2 coupling is the largest energy scale after the NN coupling K_1 . The Kitaev spin liquid is significantly more fragile against K_2 than against isotropic Heisenberg terms that shows that Na_2IrO_3 is deep inside the magnetically ordered phase.

Thank you