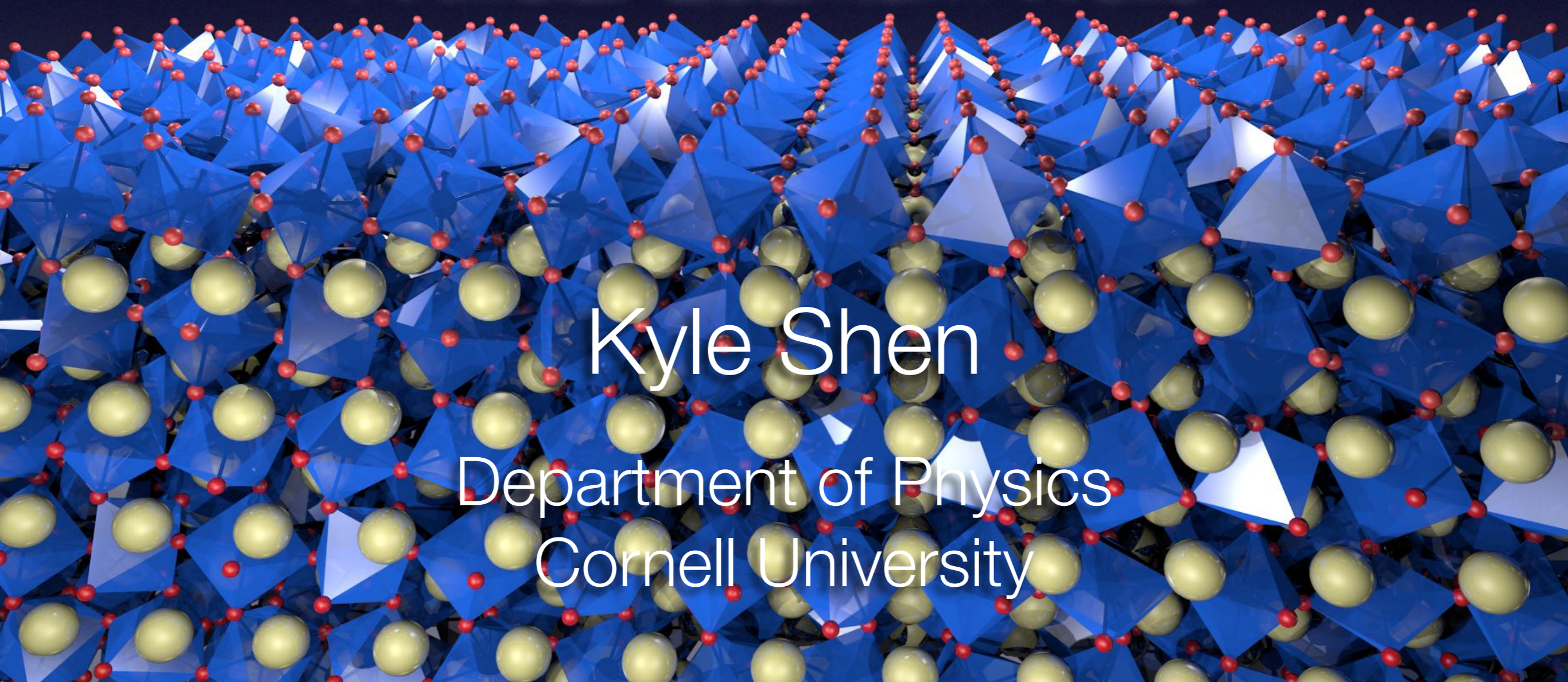


Interplay of Spin-Orbit Coupling, Dimensionality, and Coulomb Interactions in Iridate Thin Films & Artificial Quantum Wells

Kyle Shen

Department of Physics
Cornell University

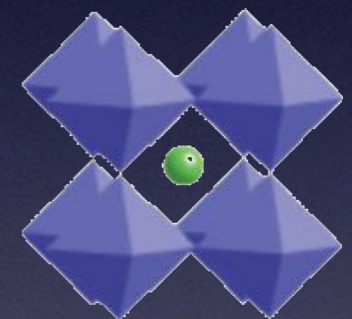
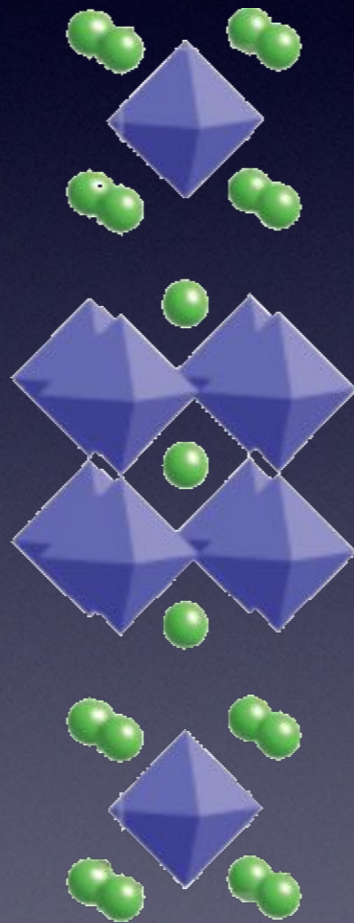
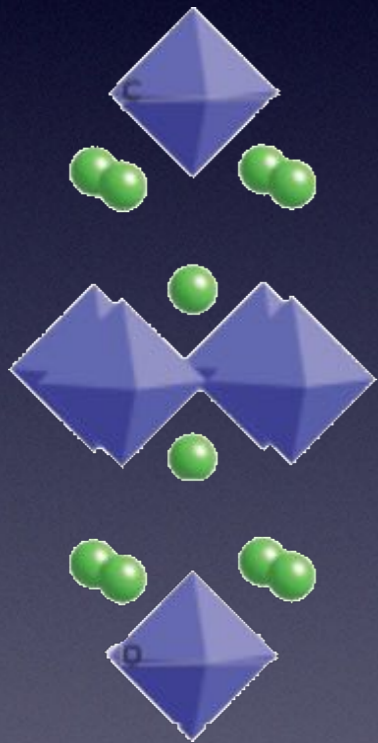
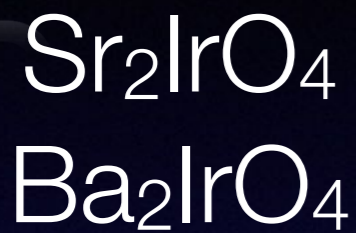


Ruddlesden-Popper series of iridates : $\text{Sr}_{n+1}\text{Ir}_n\text{O}_{2n+1}$

2D



3D



$J_{eff} = 1/2$

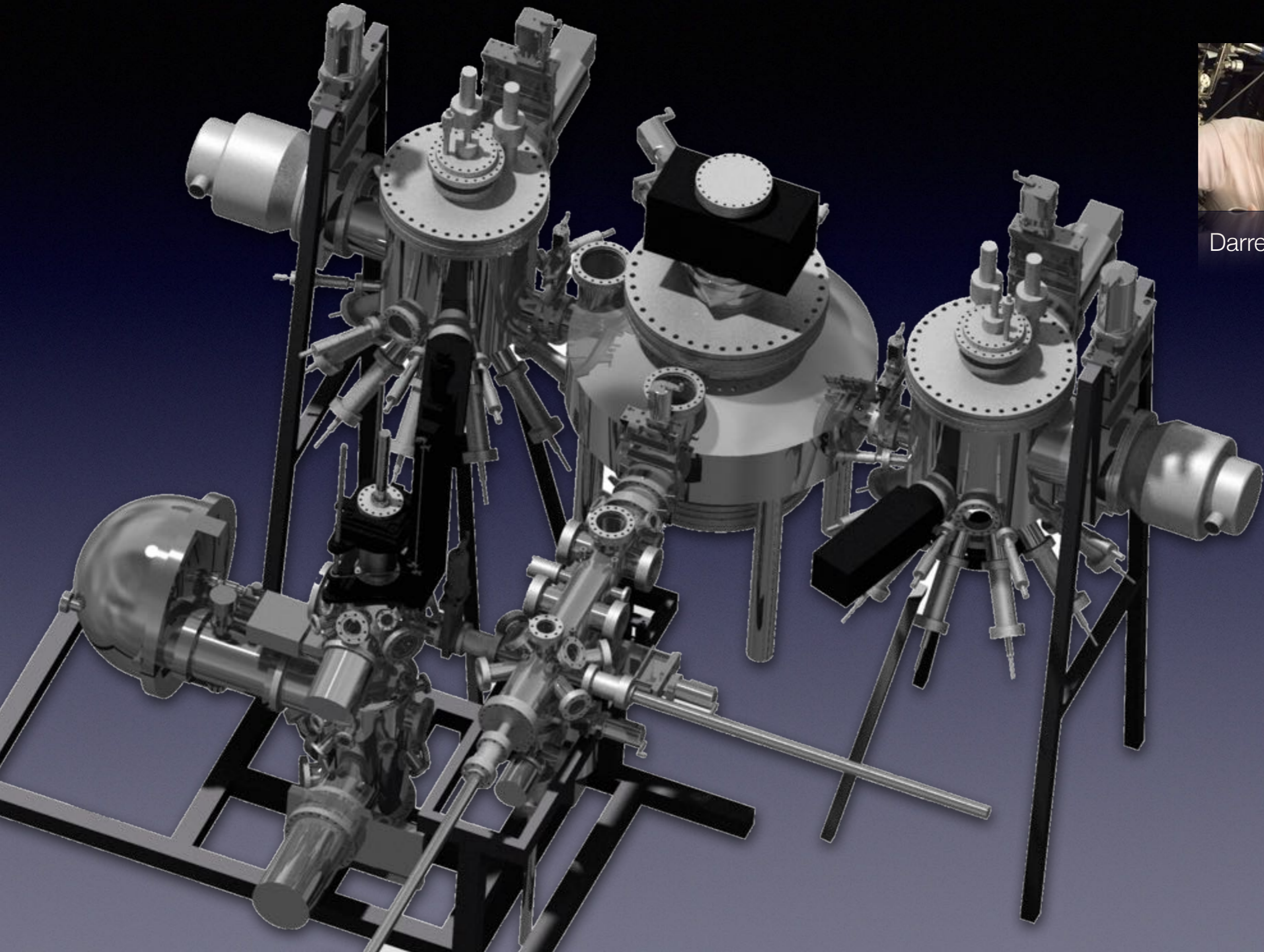
AF insulator

$J_{eff} = 1/2$

AF semiconductor

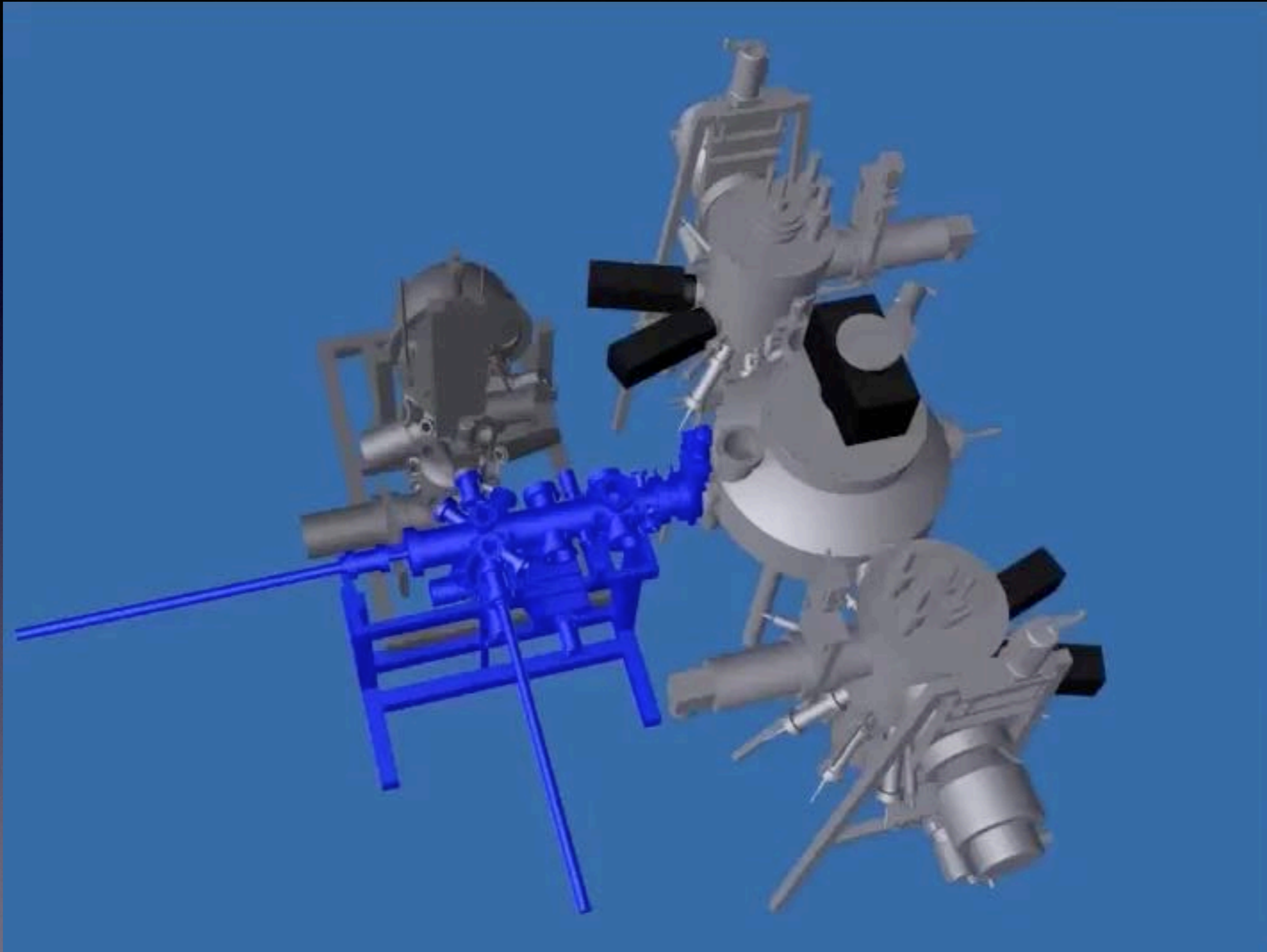
semimetallic

integrated ARPES & oxide MBE system



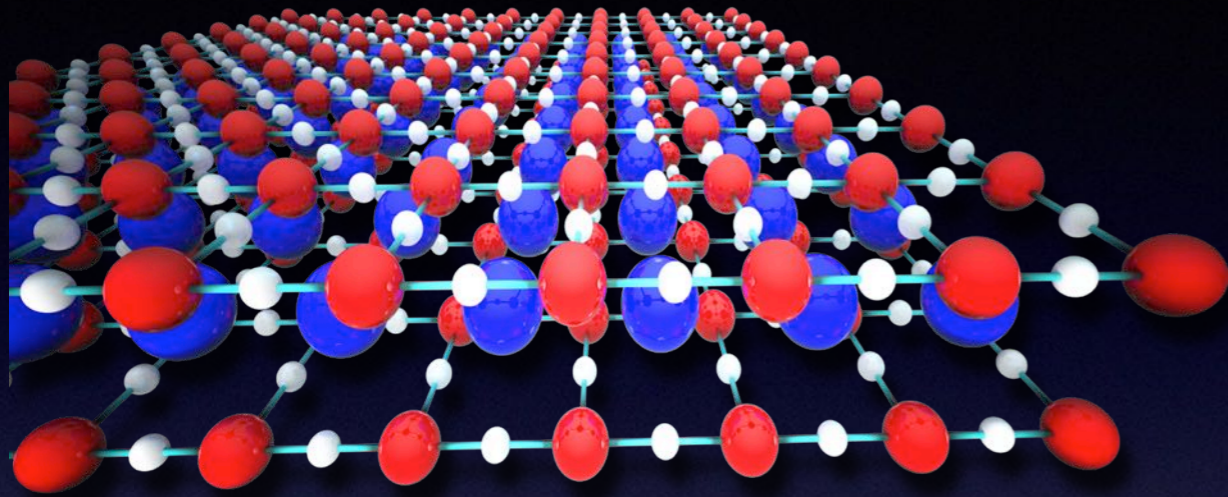
Darrell Schlom

integrated ARPES & oxide MBE system



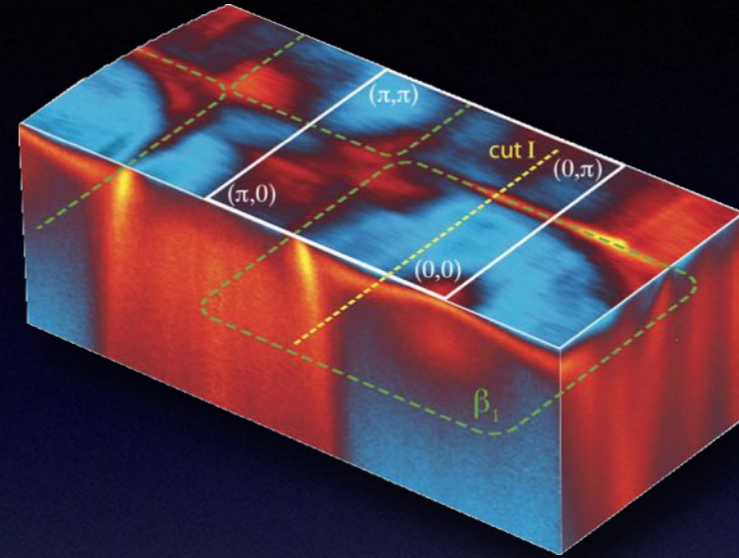
some recent work involving this system

archetypal cuprate : SrCuO_2



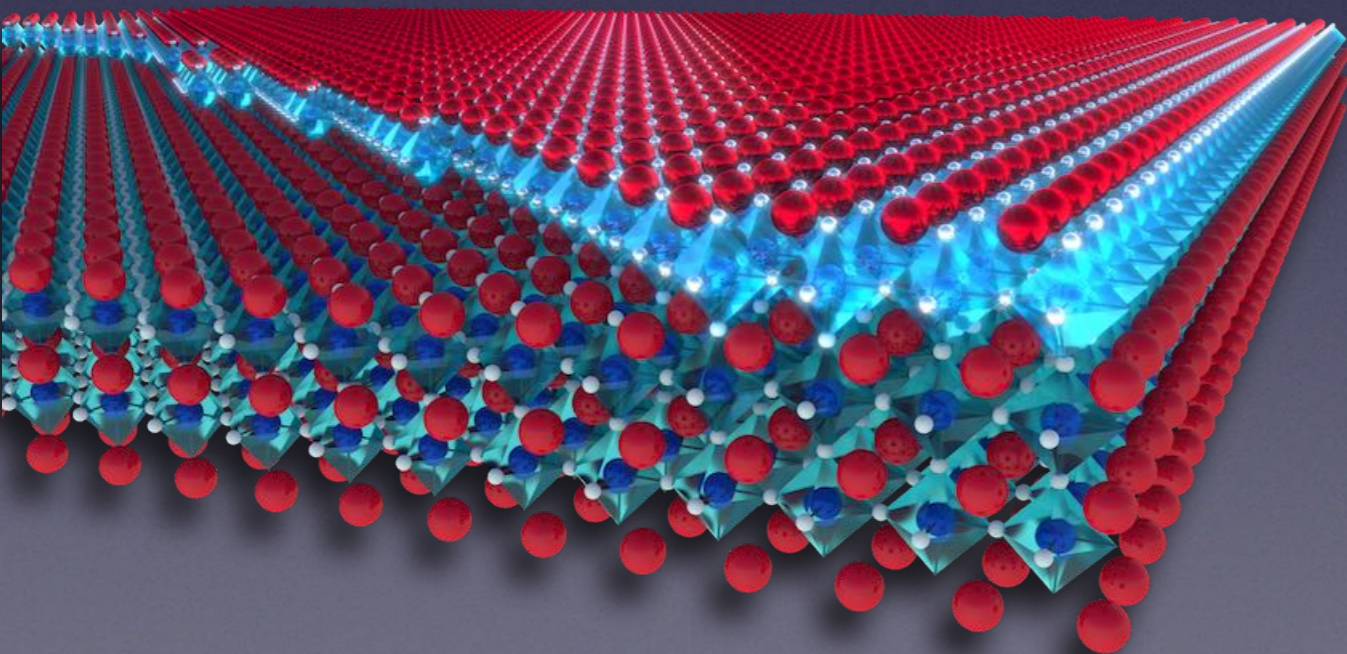
J.W. Harter et al., *Phys. Rev. Lett.* **109**, 267001

correlated ferromagnet : SrRuO_3



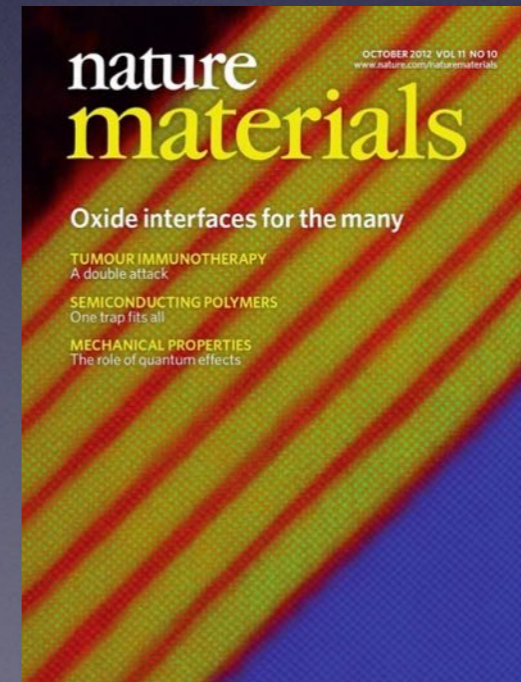
D.E. Shai et al., *Phys. Rev. Lett.* **110**, 087004

atomically thin nickelates : LaNiO_3



P.D.C. King et al., *Nature Nanotechnology* **9**, 443

oxide interfaces : $\text{LaMnO}_3 / \text{SrMnO}_3$



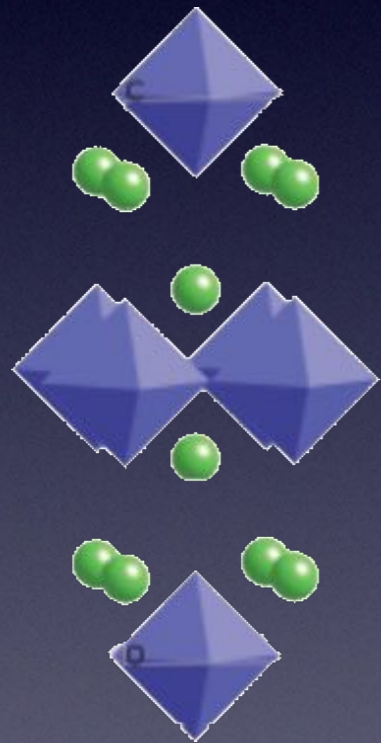
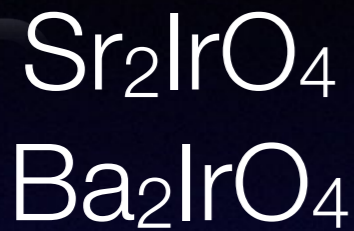
E.J. Monkman et al., *Nature Materials* **11**, 855

Ruddlesden-Popper series of iridates : $\text{Sr}_{n+1}\text{Ir}_n\text{O}_{2n+1}$

2D



3D



- what are the many-body interactions for a single hole in the undoped insulator?
- generic behavior for different parent insulating iridates?
- similarities / differences with undoped parent cuprates?

$$J_{eff} = 1/2$$

AF insulator

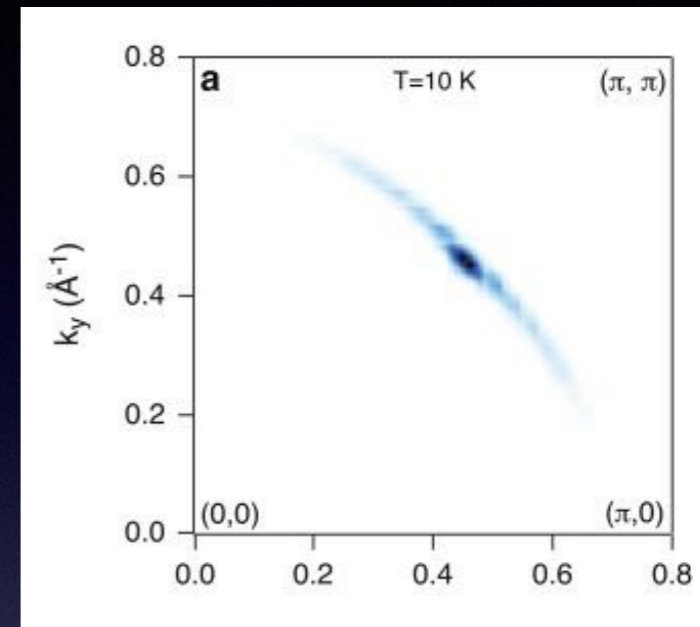
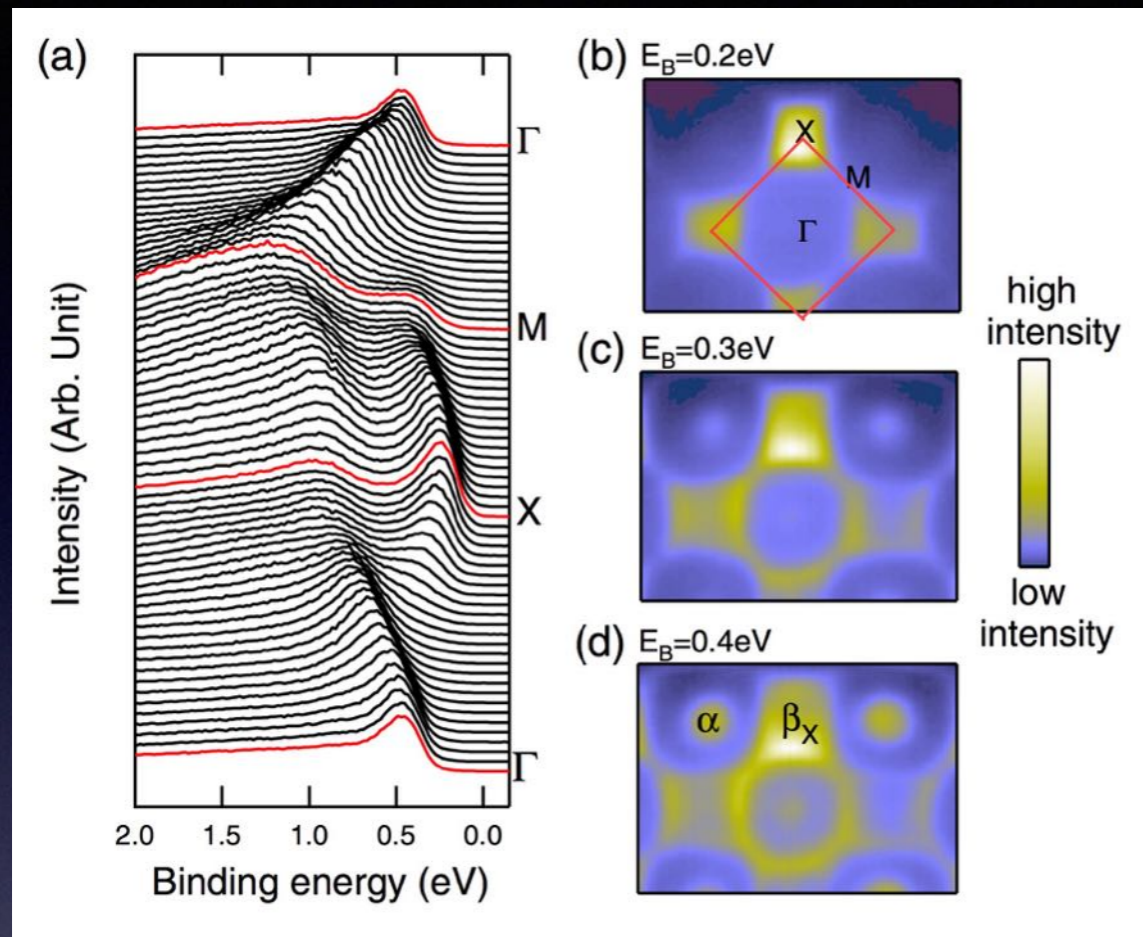
$$J_{eff} = 1/2$$

AF semiconductor

semimetallic

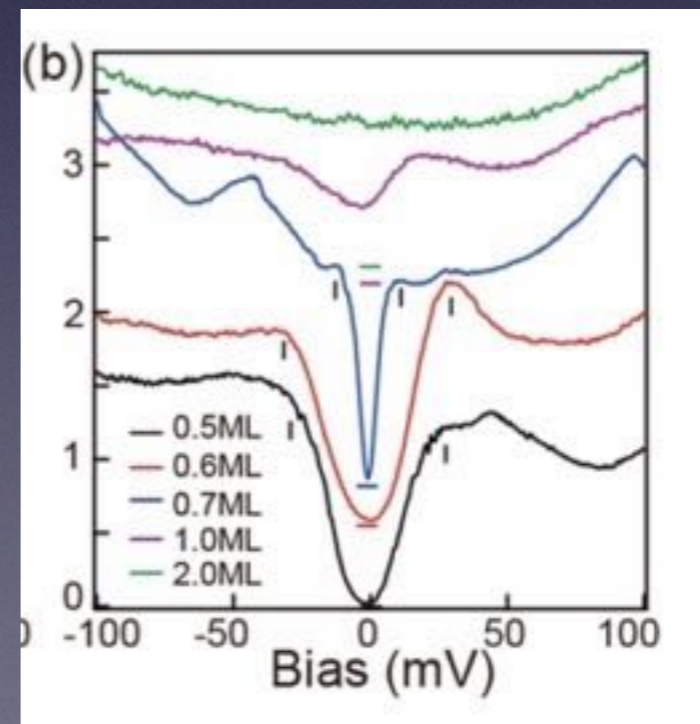
electronic structure of Sr_2IrO_4 : $J_{\text{eff}} = 1/2$ insulator

high- T_c superconductivity?



Y.K. Kim et al., *arXiv* 1506.06639

G. Cao et al., *Phys. Rev. B* **57**, 11039 (1998)
 B.J. Kim et al., *Phys. Rev. Lett.* **101**, 076402 (2008)



Y.J. Yan et al., *arXiv* 1506.06557

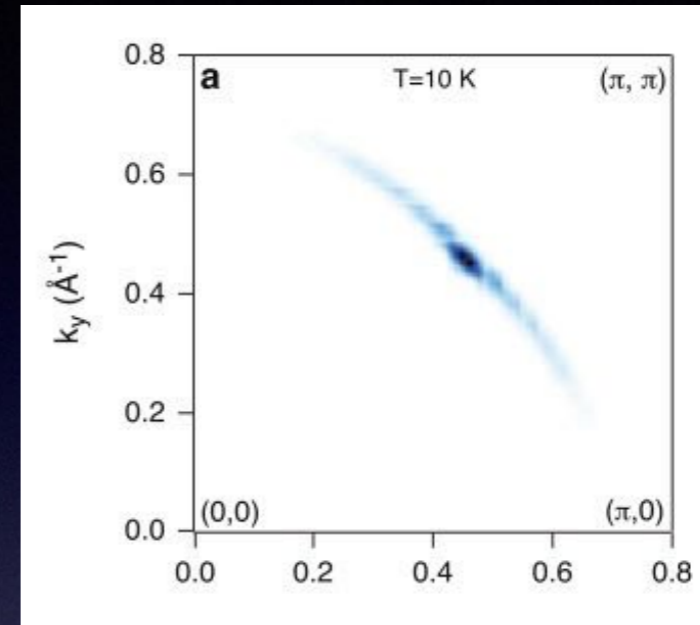
electronic structure of Sr_2IrO_4 : $J_{\text{eff}} = 1/2$ insulator

high- T_c superconductivity?

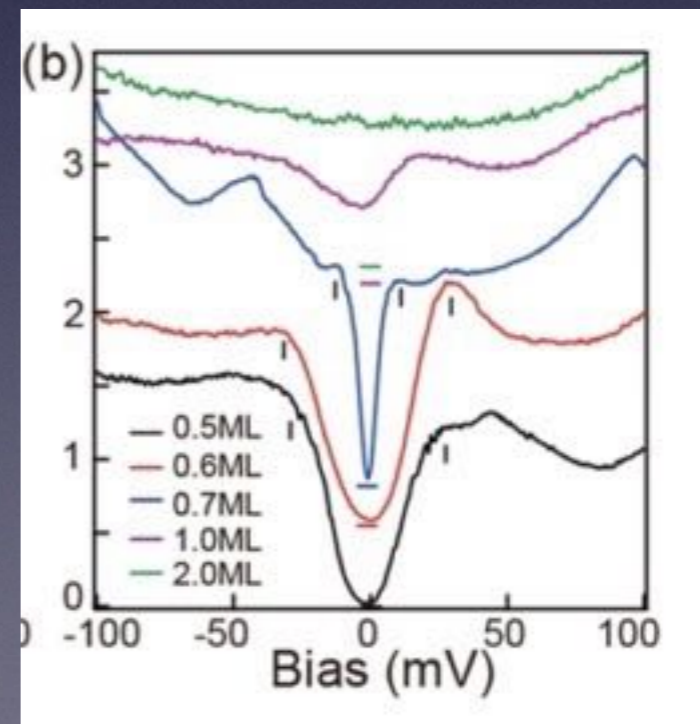
Similarities between Undoped Cuprates & Iridates

- S or $J = 1/2$ Heisenberg antiferromagnet
- Comparable values of exchange $J \sim 100$ meV
- Correlated insulator with ~ 1 - 2 eV charge gap
- Hopping integrals are similar (scaled within factor of 2) by particle-hole transformation

any other similarities between
parent cuprates & iridates?



Y.K. Kim et al., *arXiv* 1506.06639

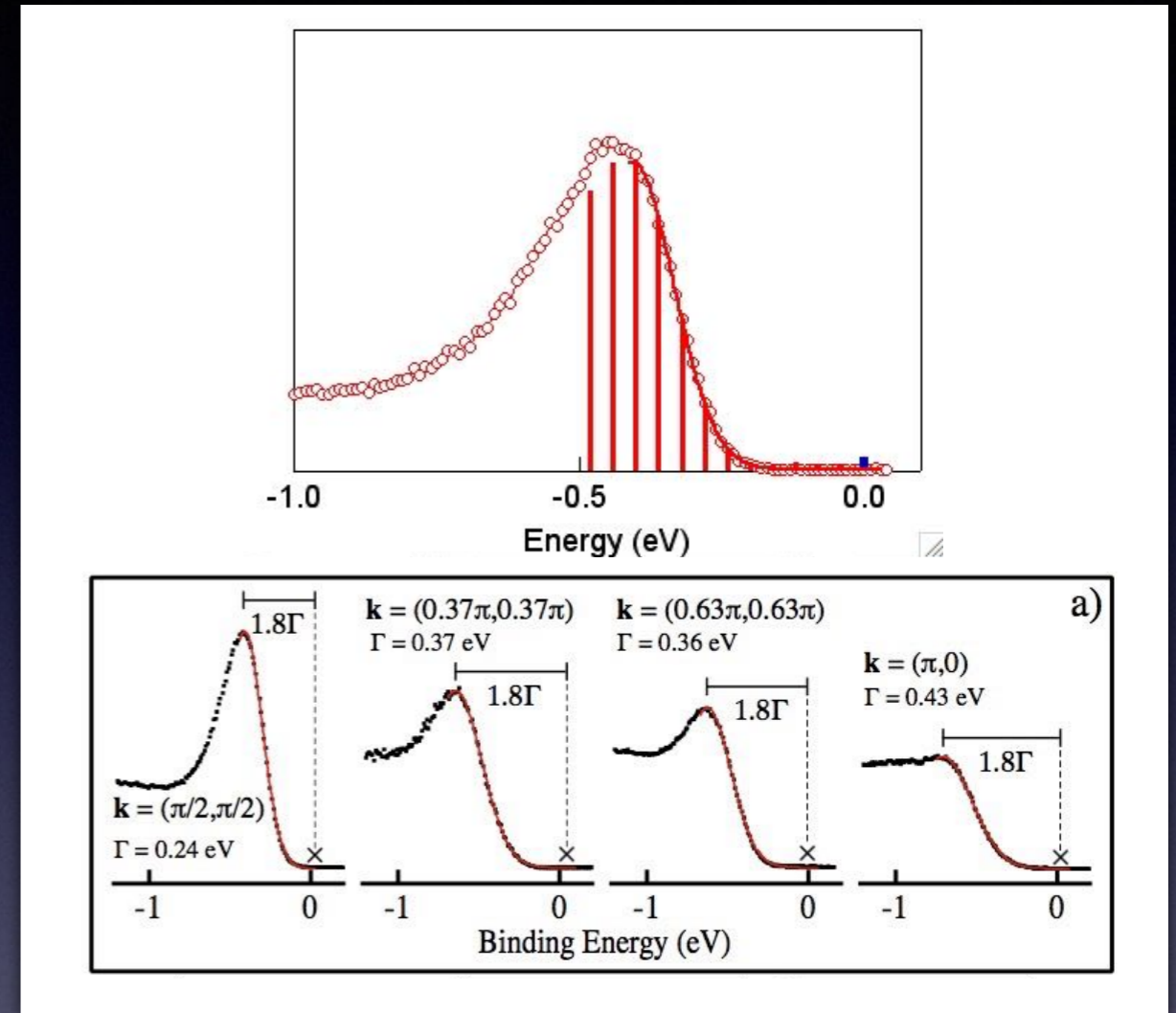
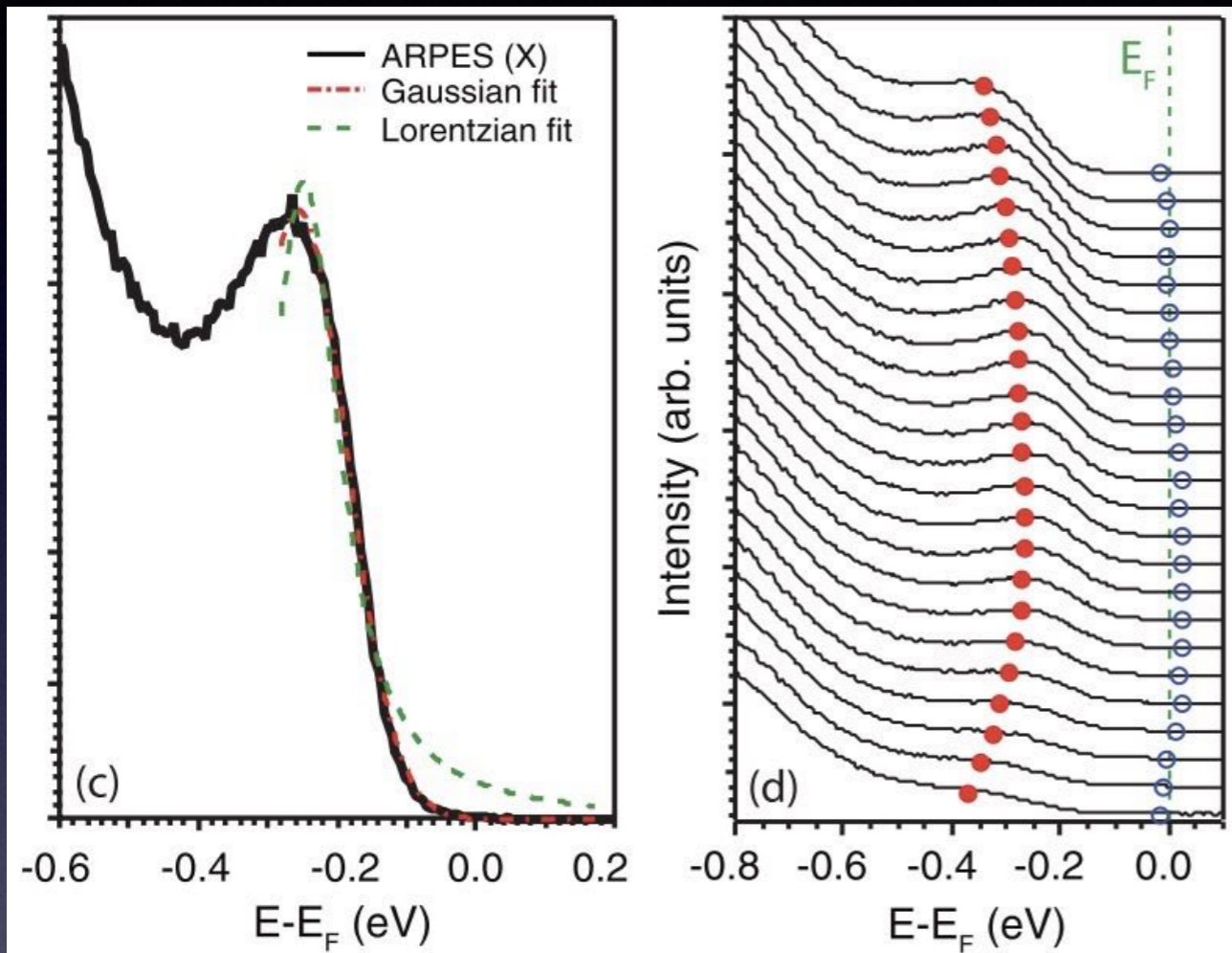


Y.J. Yan et al., *arXiv* 1506.06557

a single hole in Sr_2IrO_4 & $\text{Ca}_2\text{CuO}_2\text{Cl}_2$: polaron formation

Sr_2IrO_4

$\text{Ca}_2\text{CuO}_2\text{Cl}_2$



Phys. Rev. B **87**, 241106R (2013)

KMS et al. *Phys. Rev. Lett.* **87**, 267002

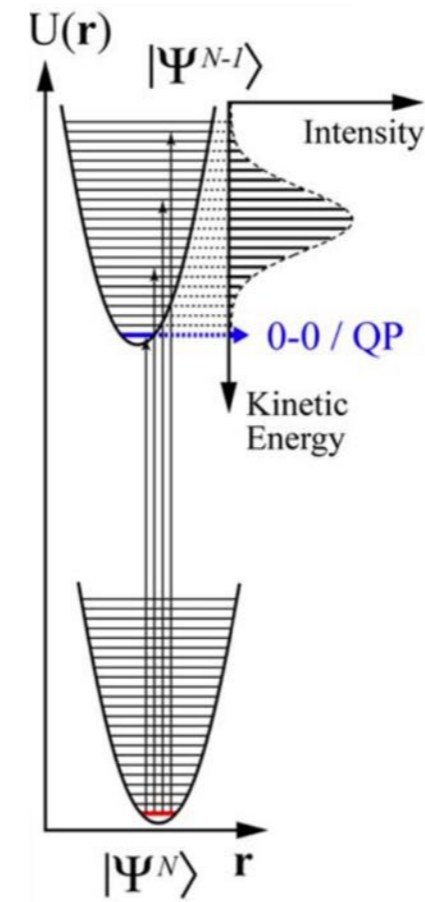
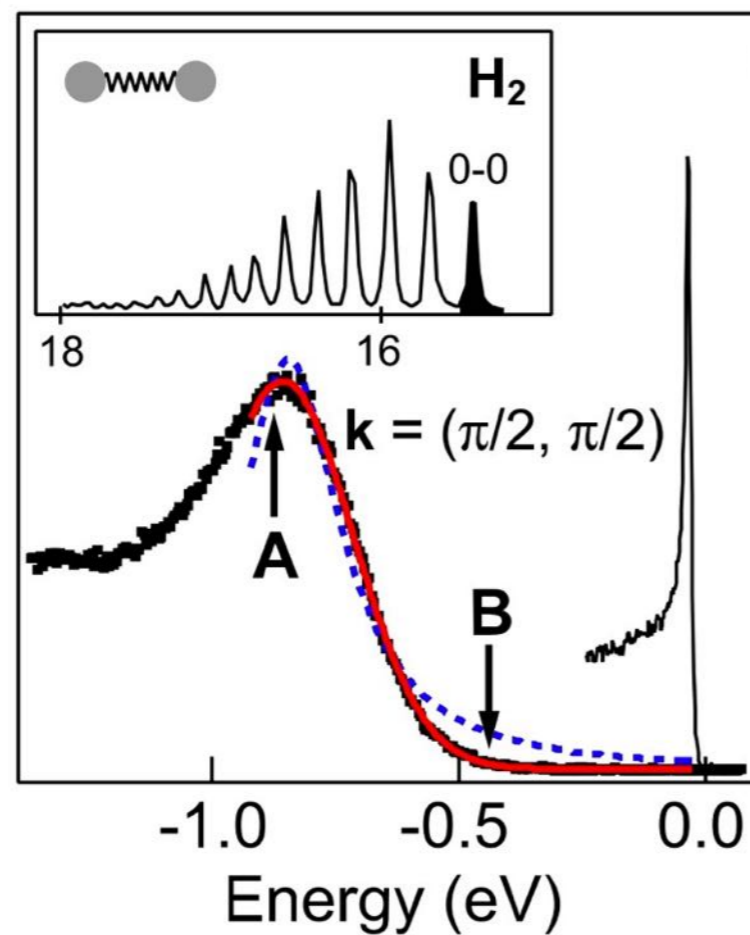
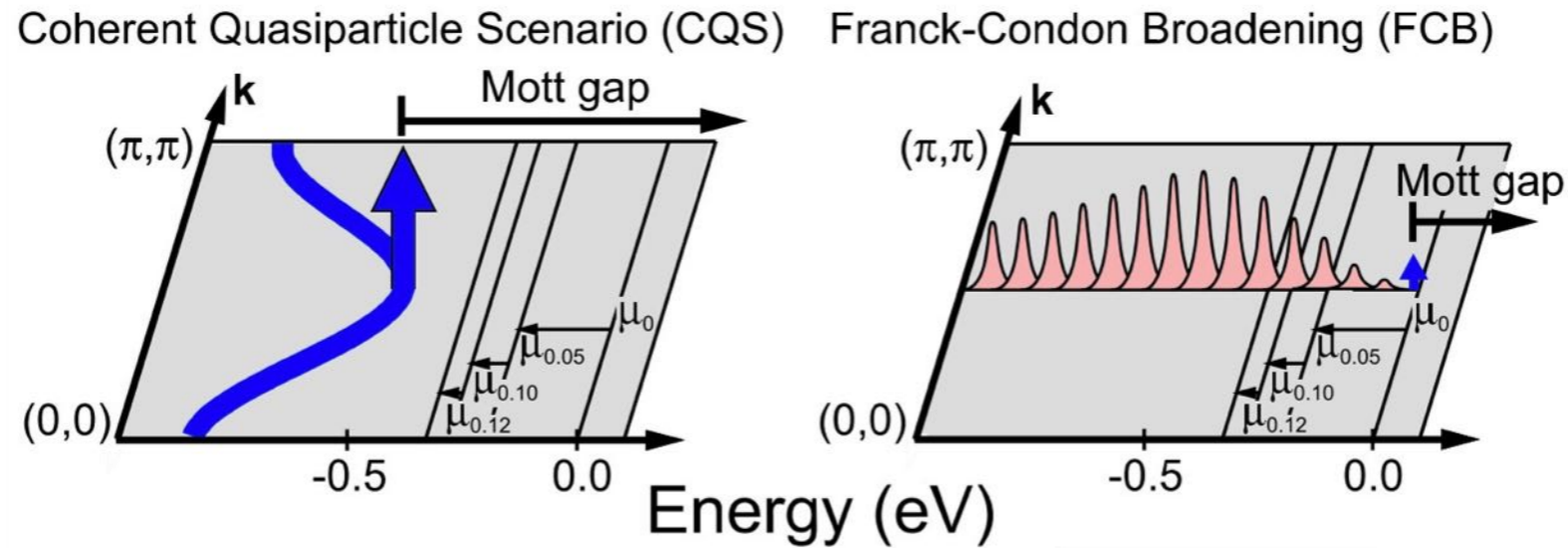
KMS et al. *Phys. Rev. B* **75**, 075115

- very broad, non-Fermi liquid lineshapes (Gaussian vs. Lorentzian)
- chemical potential pinned well above the peak location
- strong temperature & momentum dependent broadening



Franck-Condon broadening for small polarons

Parent cuprate $\text{Ca}_2\text{CuO}_2\text{Cl}_2$

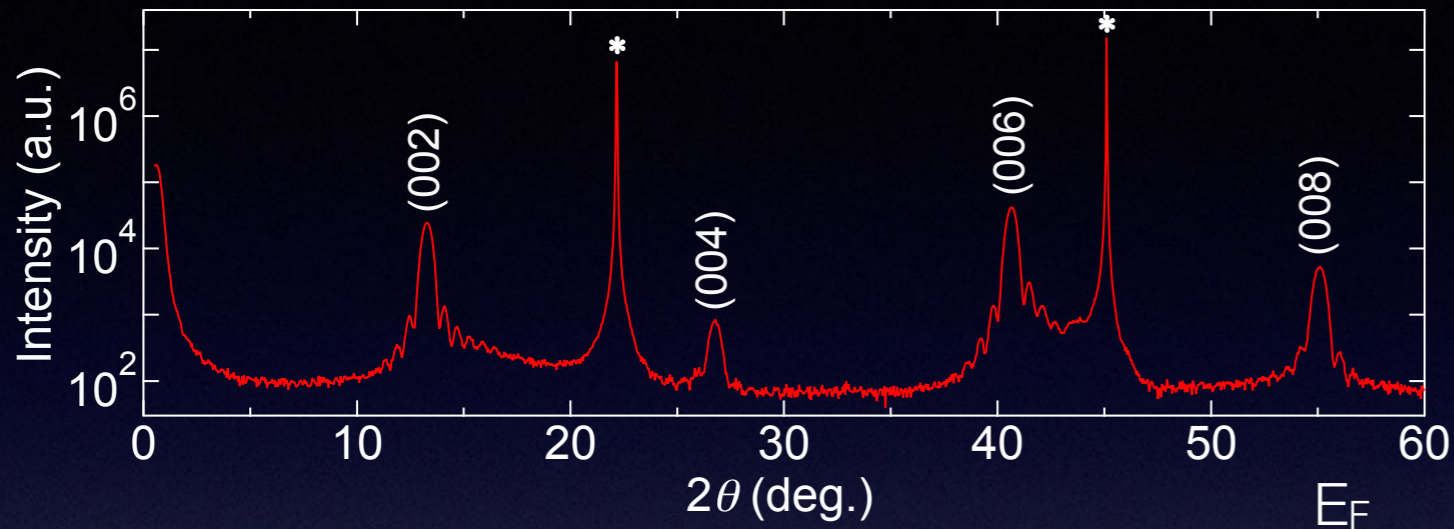


K.M.S. *et al.*, *Phys. Rev. Lett.* **93**, 267002

K.M.S. *et al.*, *Phys. Rev. B* **75**, 075115

structure & properties of Ba_2IrO_4 thin films

x-ray diffraction

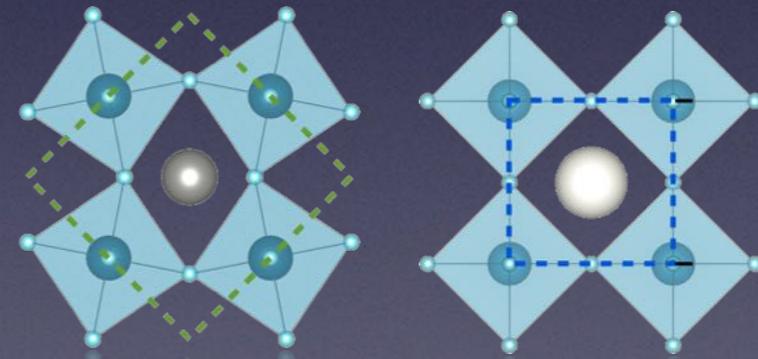
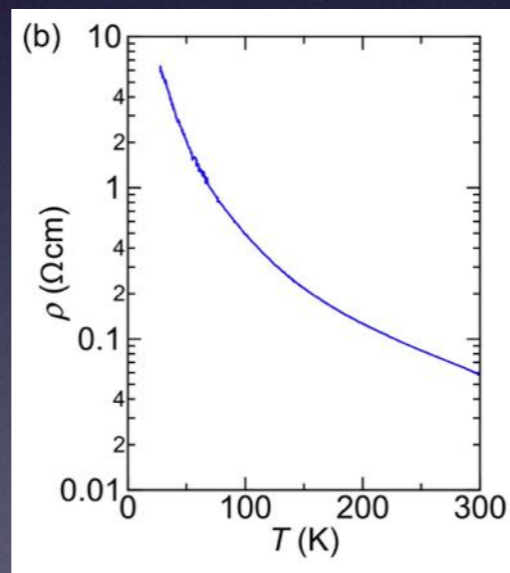


- MBE-grown epitaxial thin films grown on PrScO_3 at 800°C at 10^{-6} torr of 100% O_3 (adsorption controlled)
- films typically $\sim 10\text{-}20$ nm; $a = 4.021 \text{ \AA}$; $c = 13.34 \text{ \AA}$

RHEED

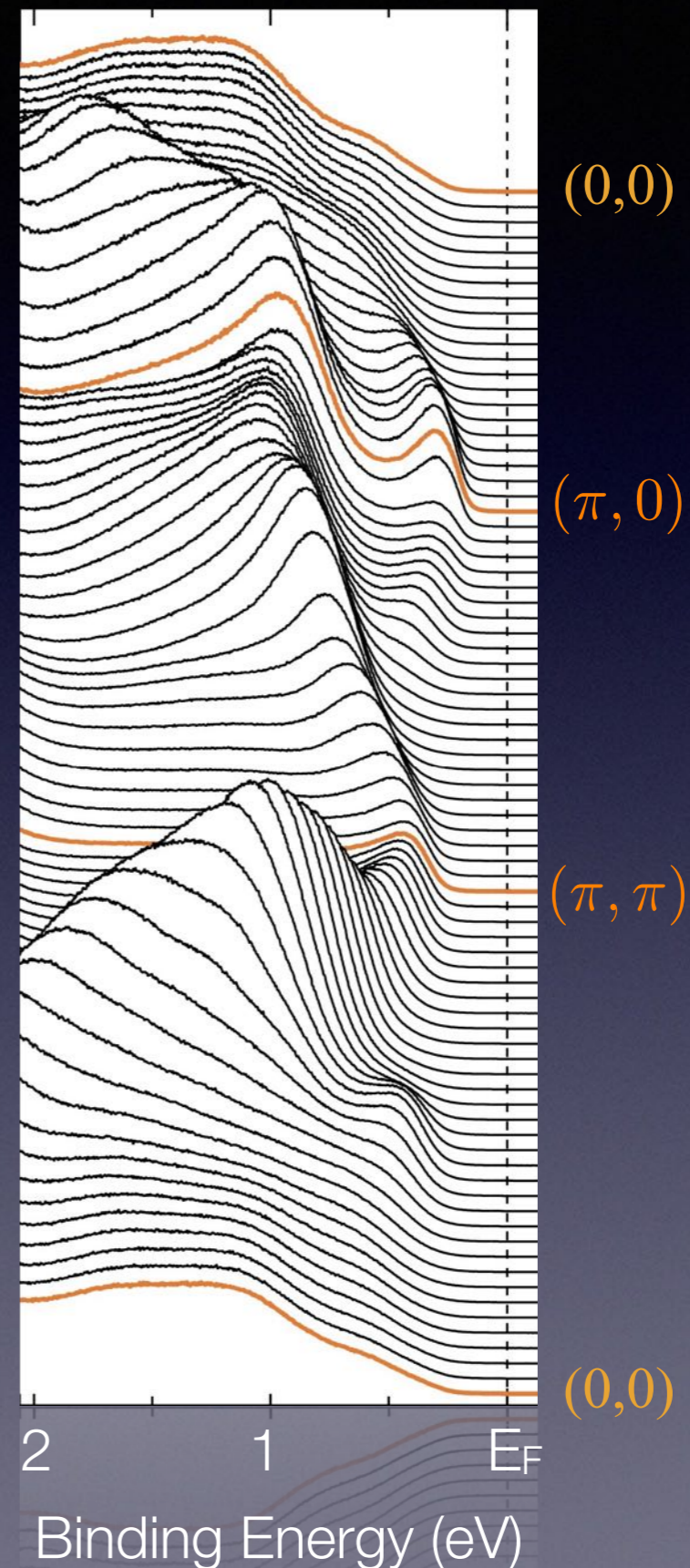
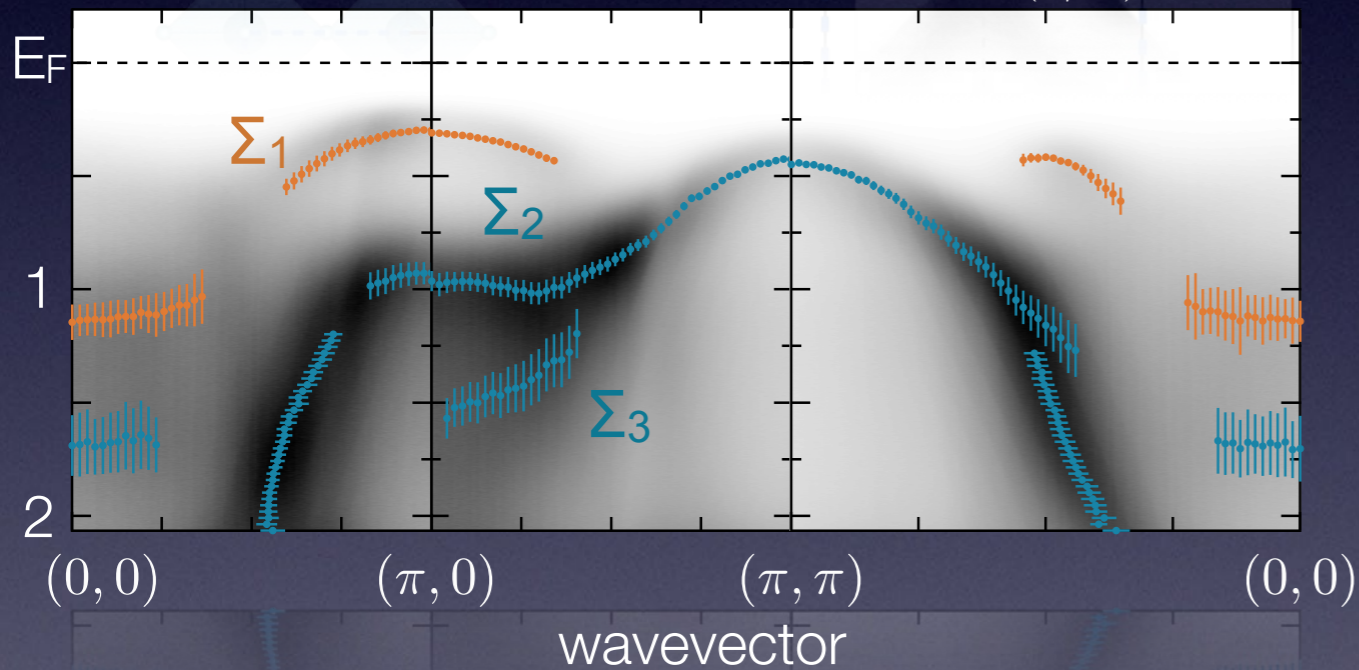
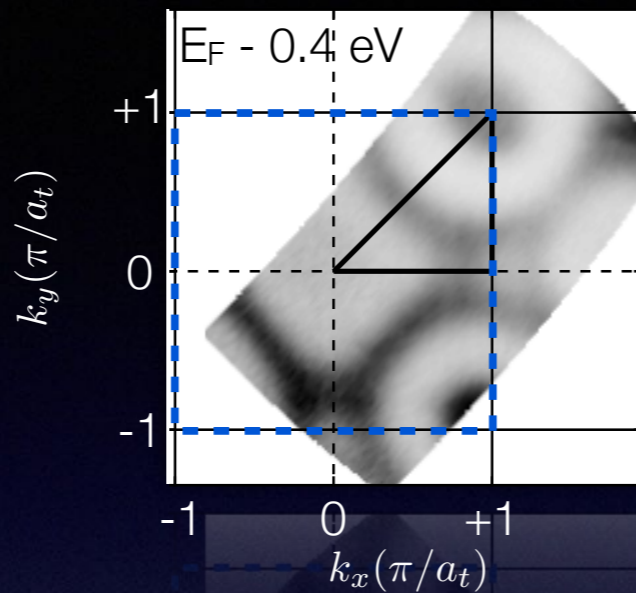
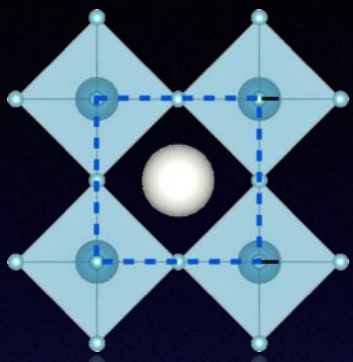


resistivity

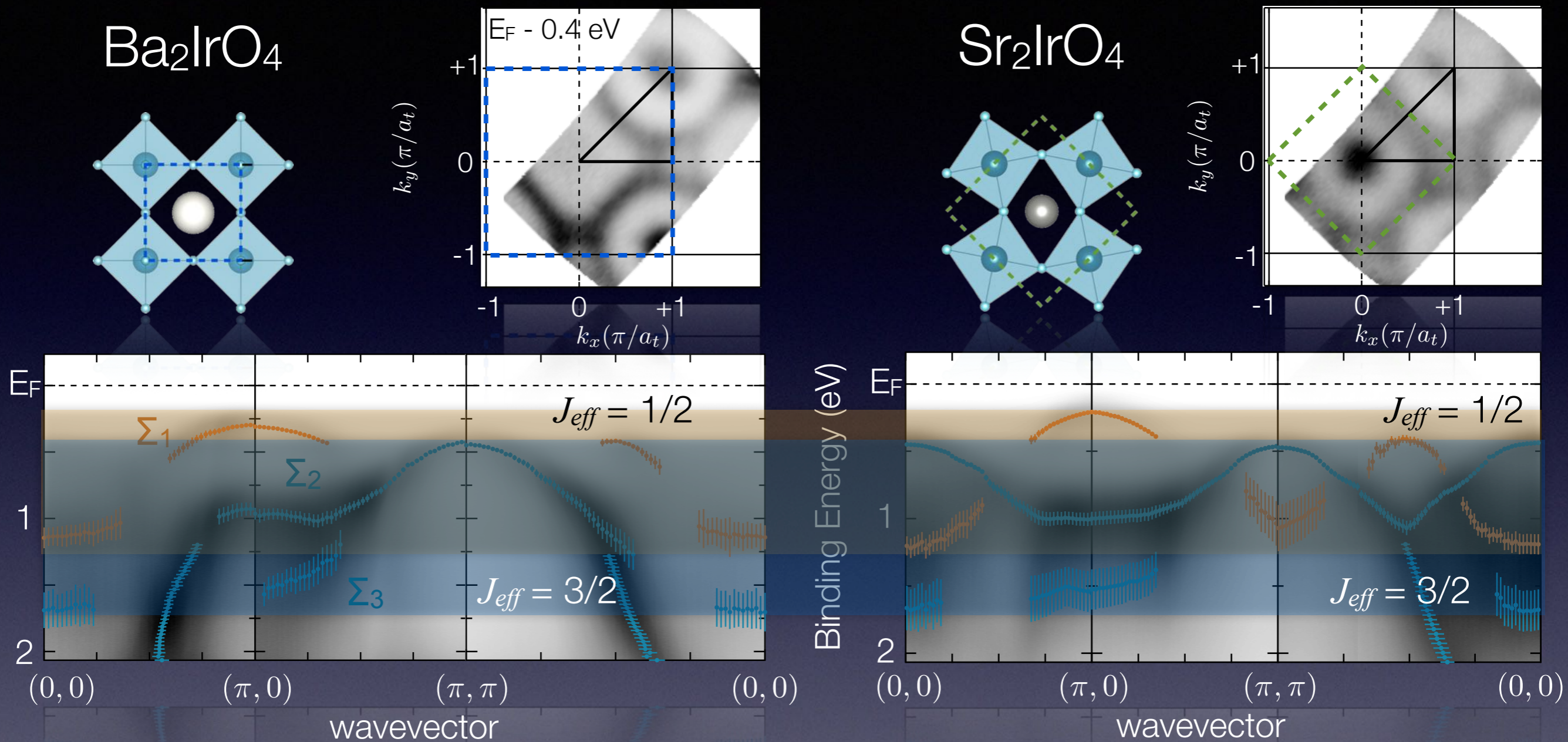


comparison of electronic structure of Sr_2IrO_4 vs Ba_2IrO_4

Ba_2IrO_4



comparison of electronic structure of Sr_2IrO_4 vs Ba_2IrO_4

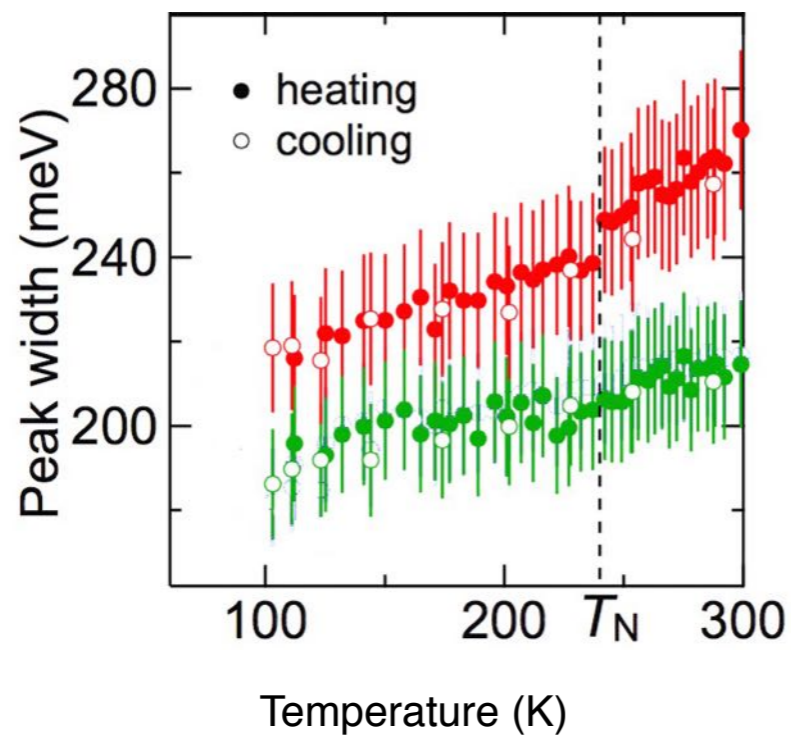
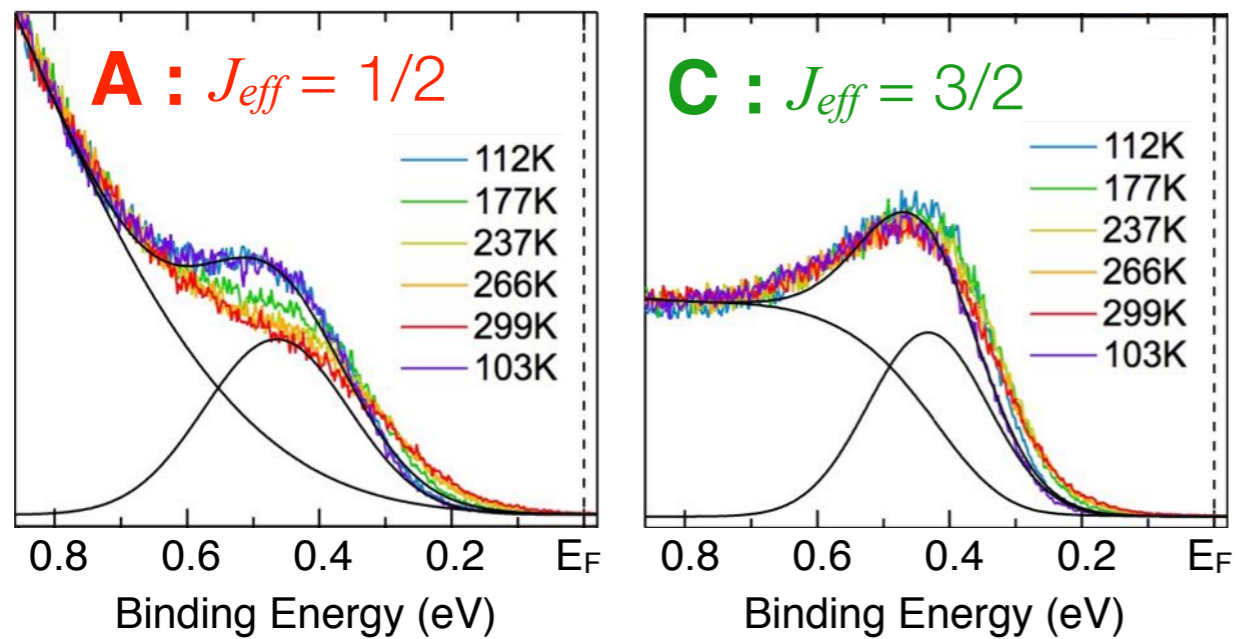


- Sr_2IrO_4 & Ba_2IrO_4 show quantitatively similar bandwidths and band positions (to within 10% for the lowest energy states)

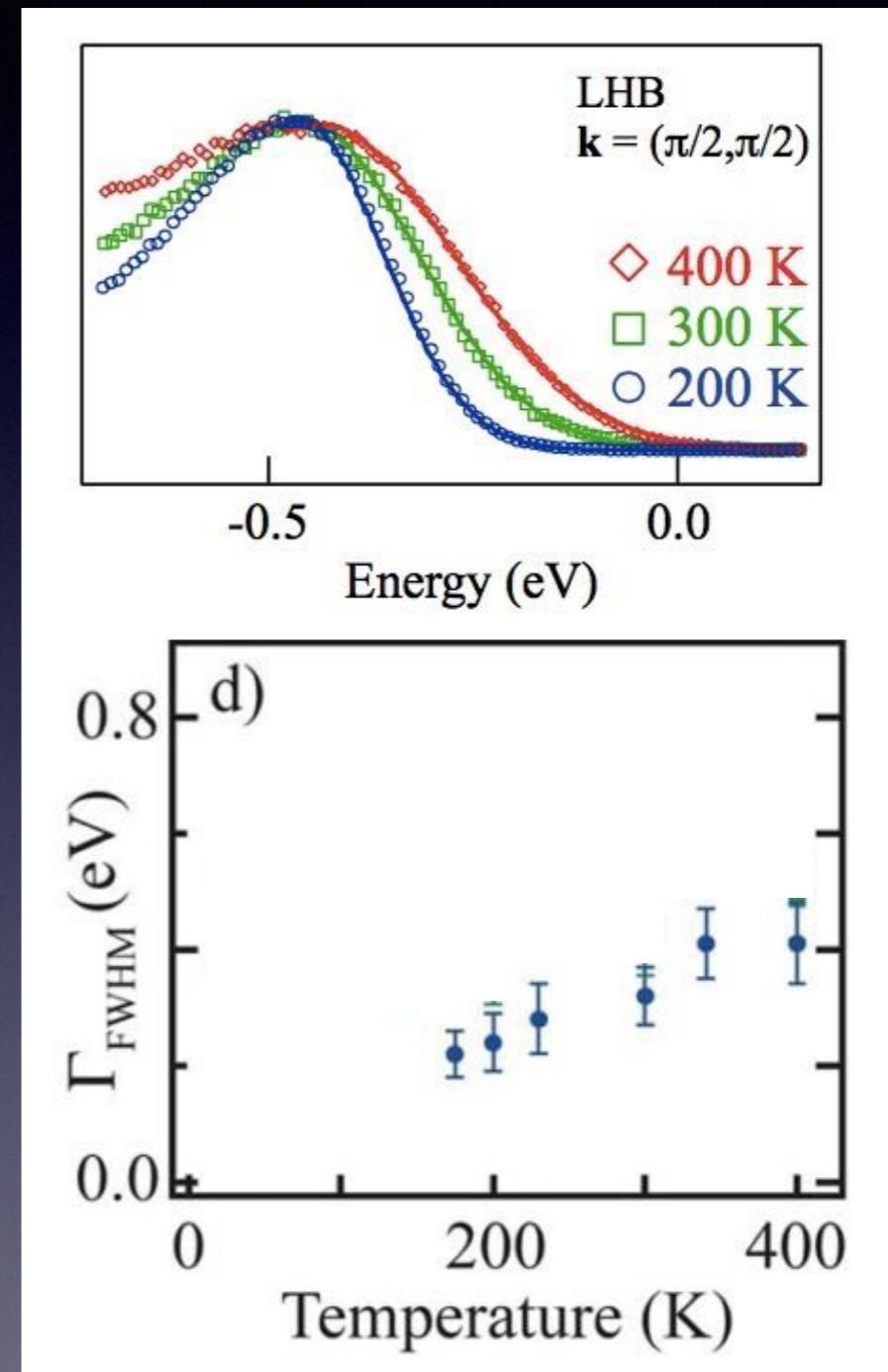


temperature dependence of Franck-Condon broadening

Ba₂IrO₄



Ca₂CuO₂Cl₂



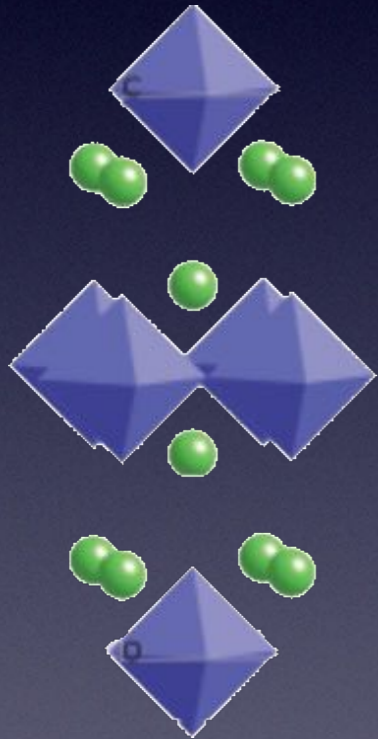
Ruddlesden-Popper series of iridates : $\text{Sr}_{n+1}\text{Ir}_n\text{O}_{2n+1}$

2D



3D

Sr_2IrO_4
 Ba_2IrO_4



$J_{eff} = 1/2$
AF insulator

$\text{Sr}_3\text{Ir}_2\text{O}_7$

- how does the electronic structure of $\text{Sr}_3\text{Ir}_2\text{O}_7$ (bilayer) compare to Sr_2IrO_4 ?



$J_{eff} = 1/2$
AF semiconductor

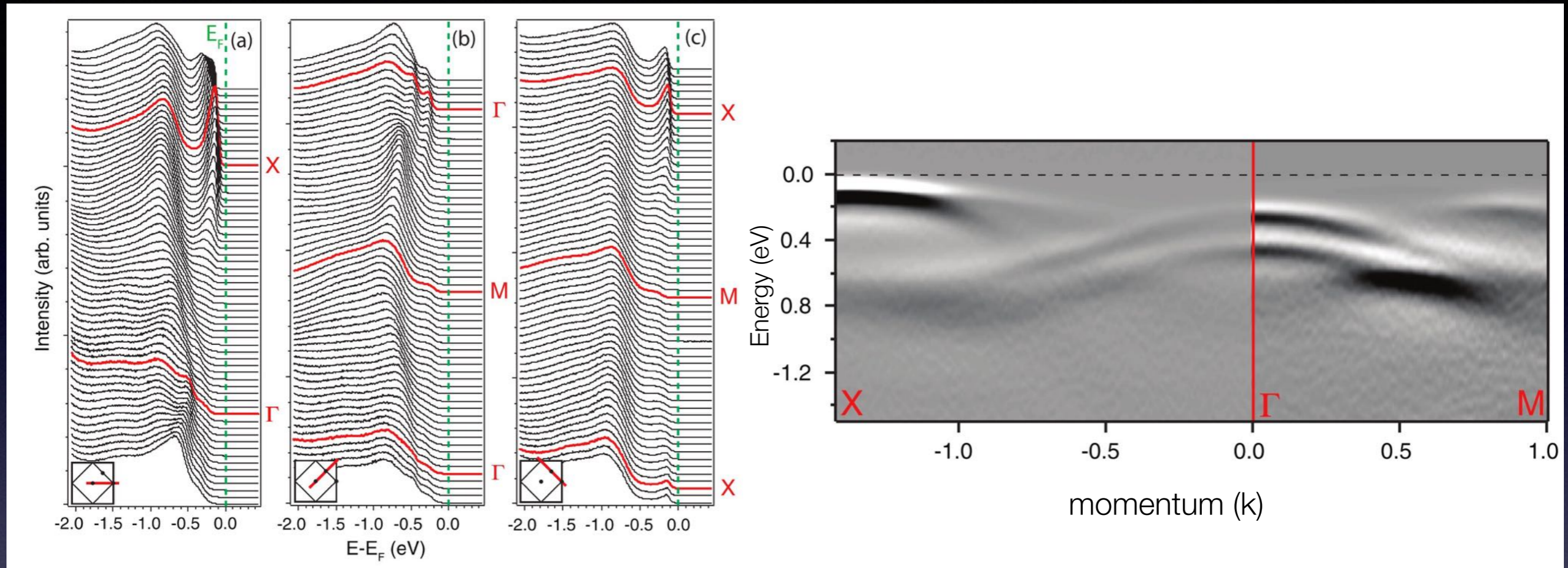
SrIrO_3

- does polaron formation persist in $\text{Sr}_3\text{Ir}_2\text{O}_7$?



semimetallic

electronic structure of $\text{Sr}_3\text{Ir}_2\text{O}_7$



- bandwidths similar to Sr_2IrO_4 , but with bilayer splitting, qualitatively consistent with LDA +SO+U (band maximums incorrect)
- LHB maximum pinned close to E_F (consistent with optical measurements)



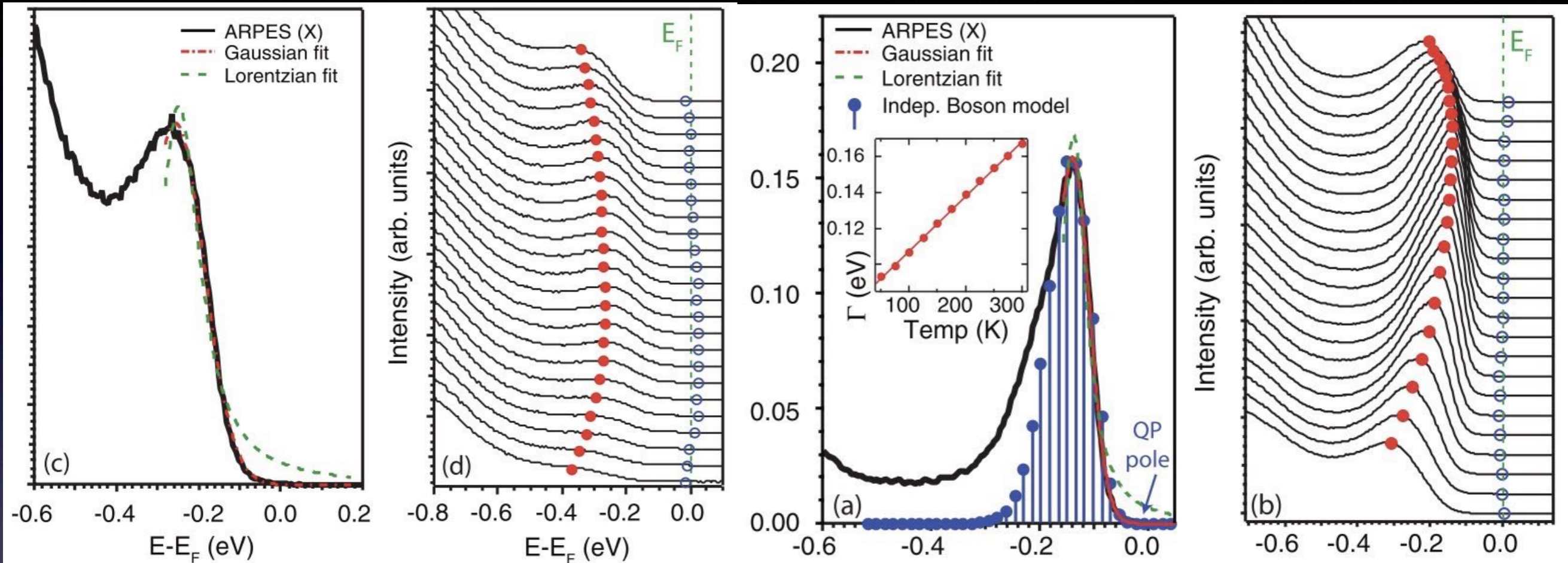
P.D.C. King, K.M.S, H. Takagi, F. Baumberger
Phys. Rev. B **87** 241106R (2013)

*see also : L. Moreschini, M. Grioni et al., *PRB* **89**, 201114R (2014)
Q. Wang, D.S. Dessau et al., *PRB* **87**, 245109 (2013)
B.M. Wojek, O. Tjernberg et al., *J.Phys.C* **24**, 415602 (2012)

polaron formation also exists in $\text{Sr}_3\text{Ir}_2\text{O}_7$

Sr_2IrO_4

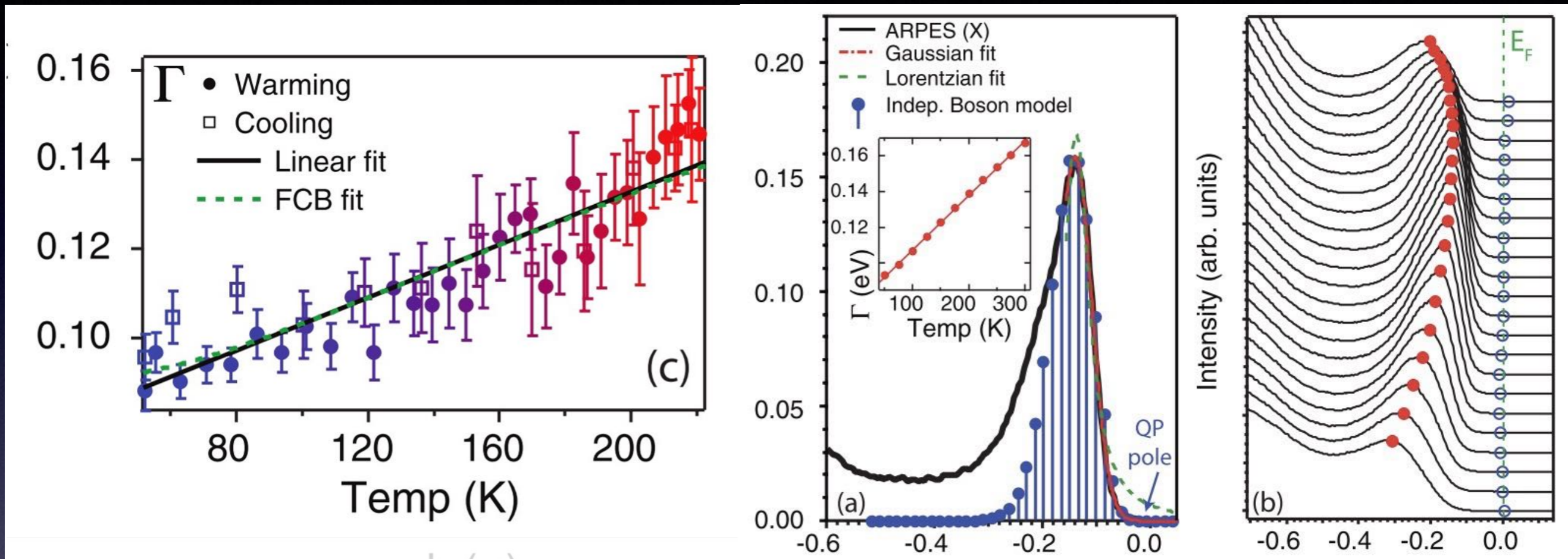
$\text{Sr}_3\text{Ir}_2\text{O}_7$



- LHB maximum pinned close to E_F (consistent with optical measurements of a smaller gap than Sr_2IrO_4)
- fitted boson energy : $\omega_0 = 15$ meV; coupling constant $g \sim 6$

temperature dependence of broadening in $\text{Sr}_3\text{Ir}_2\text{O}_7$

$\text{Sr}_3\text{Ir}_2\text{O}_7$



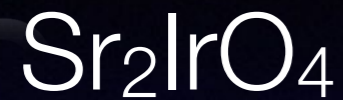
- temperature-dependent broadening consistent with boson energy of ~ 15 meV and coupling constant of $g \sim 6$
- large spin gap in $\text{Sr}_3\text{Ir}_2\text{O}_7$ (~ 90 meV) suggests that this is due to low-energy phonons

Ruddlesden-Popper series of iridates : $\text{Sr}_{n+1}\text{Ir}_n\text{O}_{2n+1}$

2D

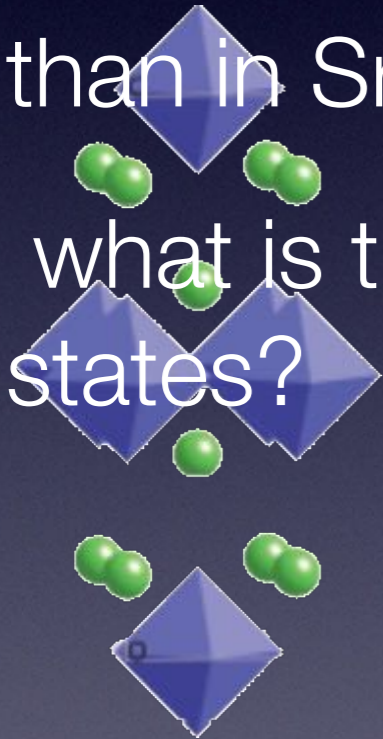


3D



- are the bandwidths in SrIrO_3 much wider than in Sr_2IrO_4 , as naively expected?

- what is the character of the low-energy states?



$$J_{eff} = 1/2$$

AF insulator

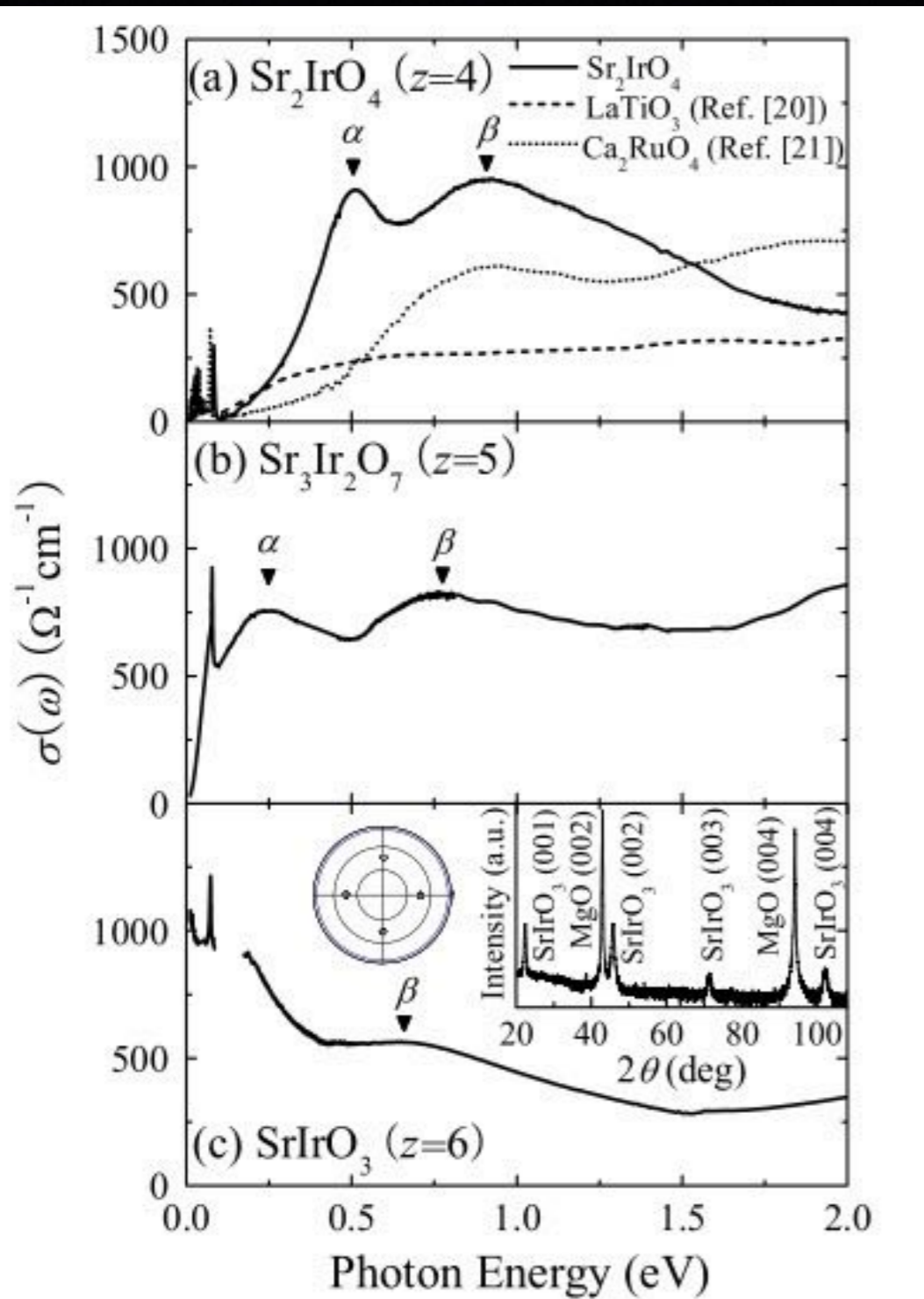
$$J_{eff} = 1/2$$

AF semiconductor

semimetallic

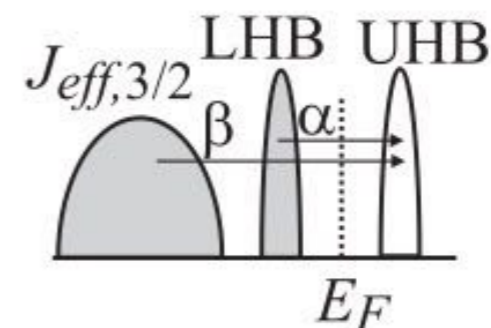
evolution from insulator to metal with increasing dimensionality

optical conductivity

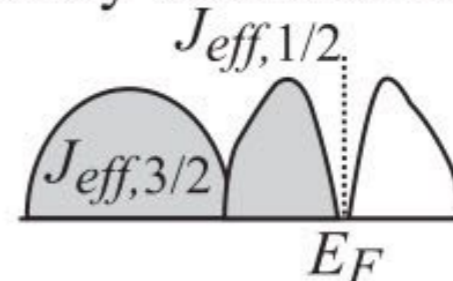


increasing bandwidth with dimensionality?

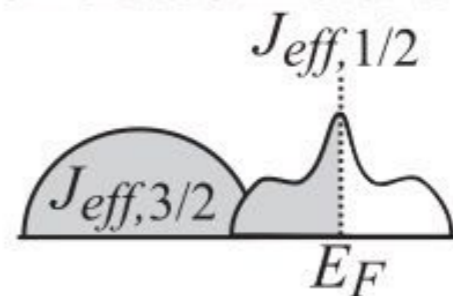
(a) Mott insulator Sr_2IrO_4



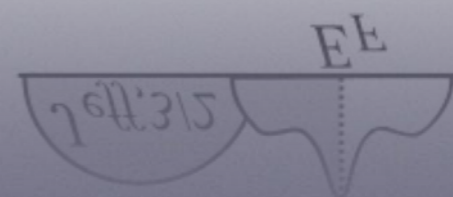
(b) Barely insulator $\text{Sr}_3\text{Ir}_2\text{O}_7$



(c) Correlated metal SrIrO_3

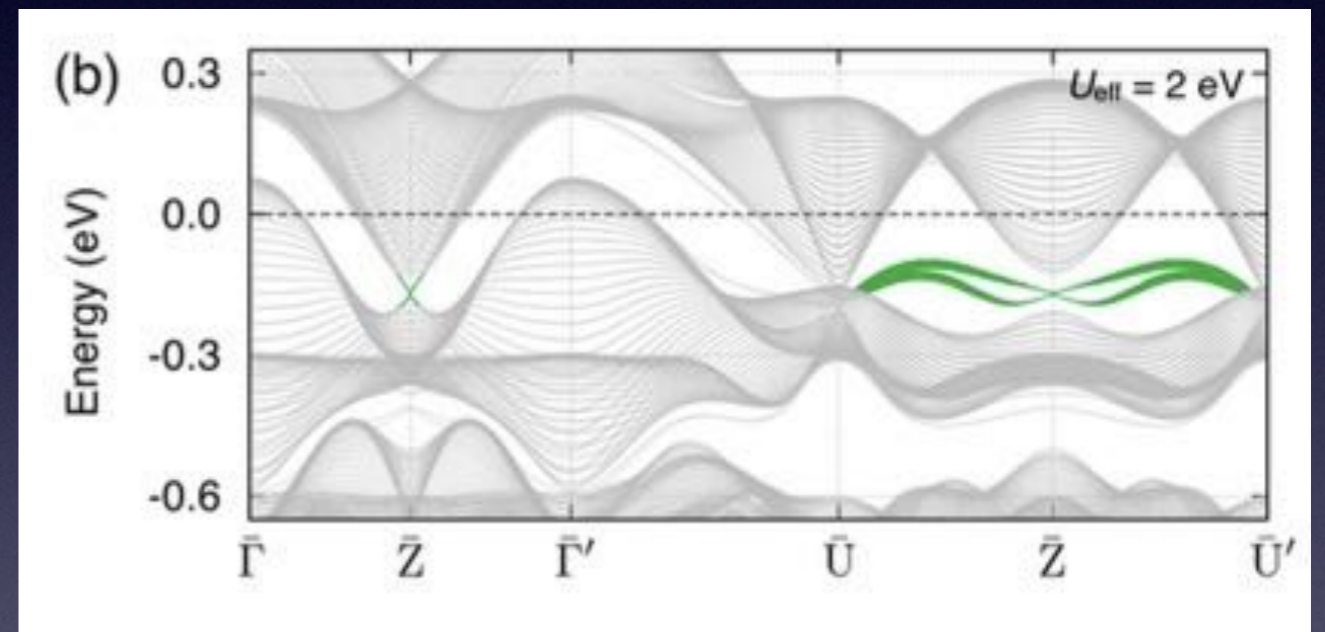
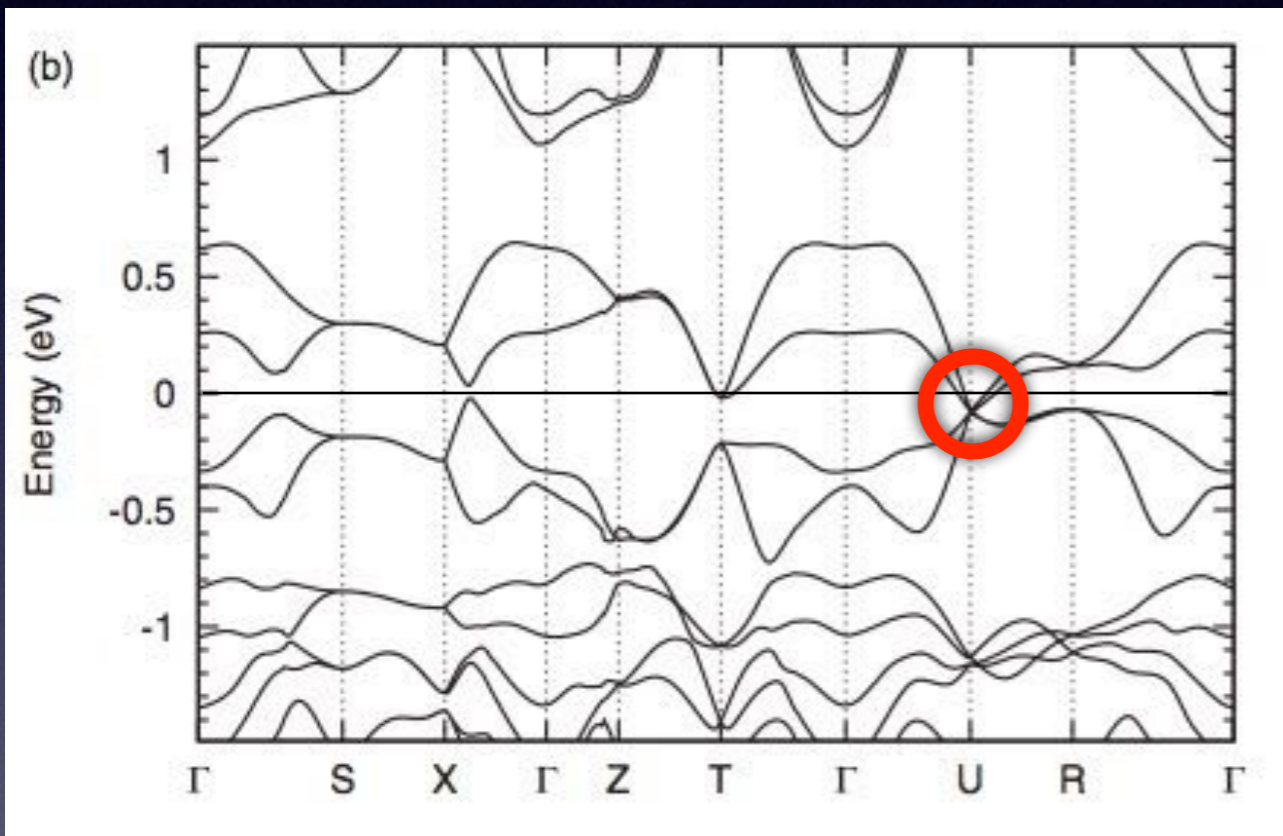


Increase of W



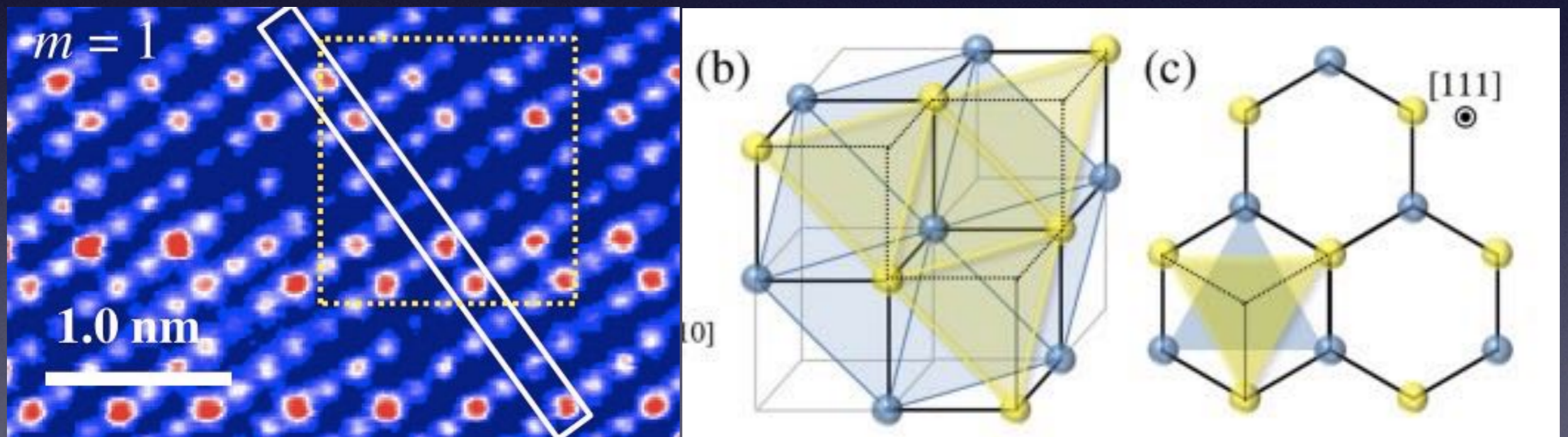
electronic properties of SrIrO₃

- possibility of line nodes in the bulk, or topological surface states



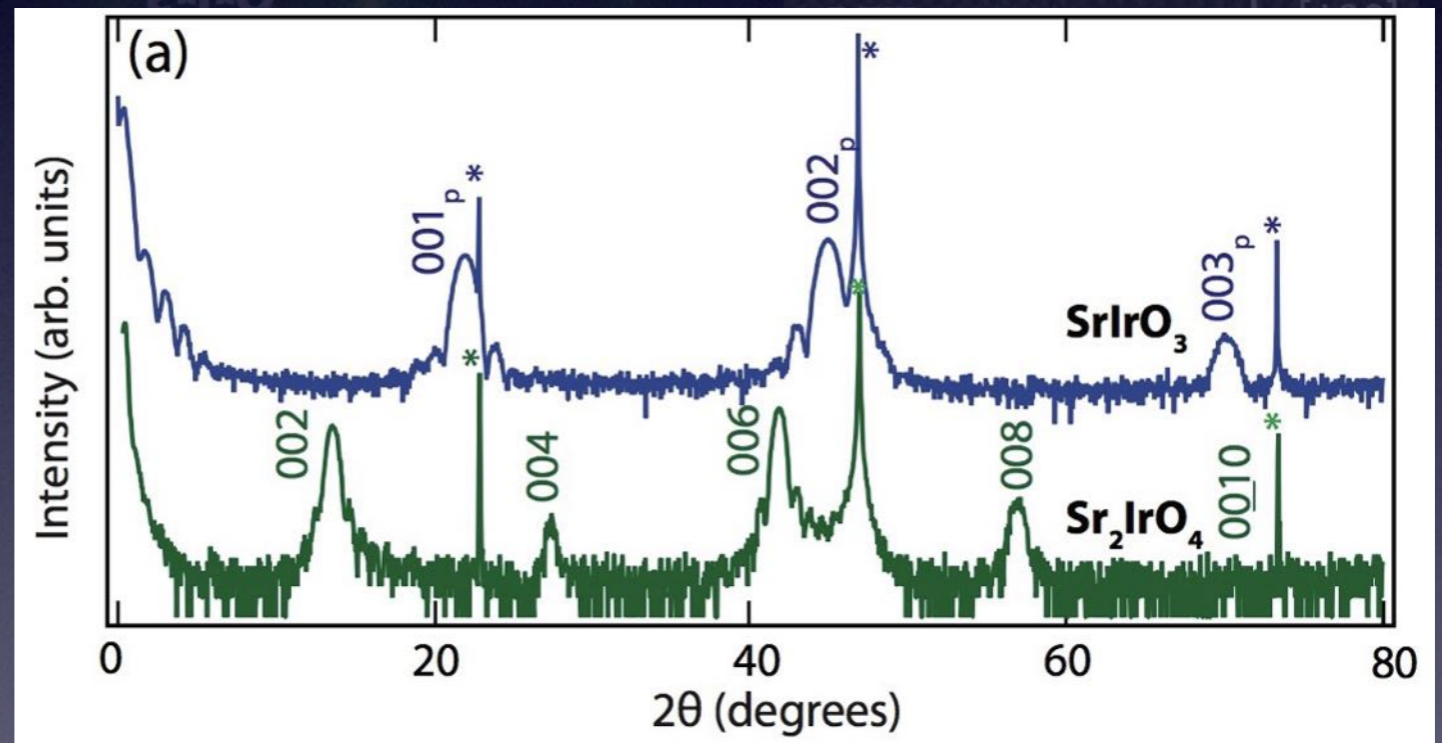
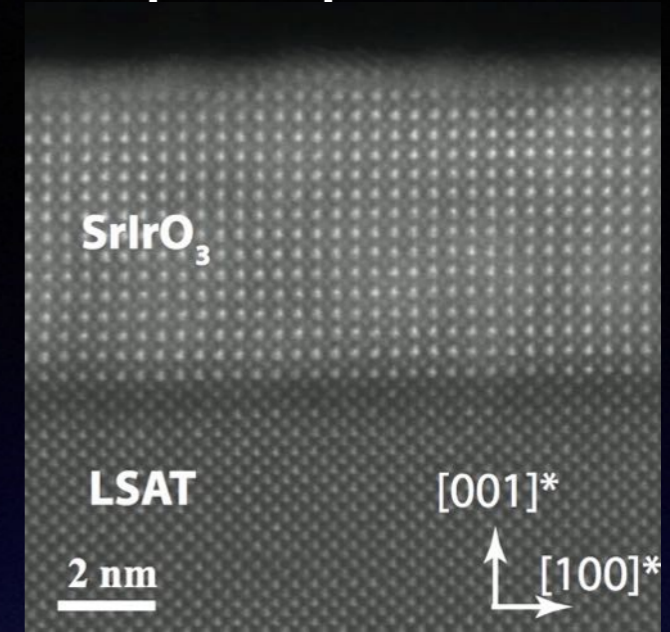
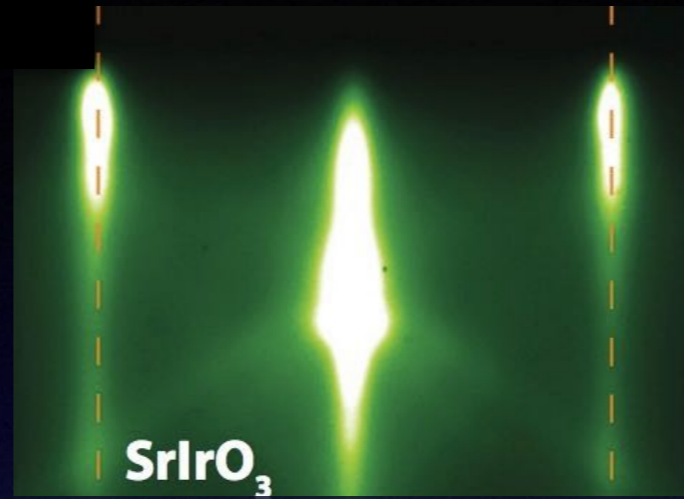
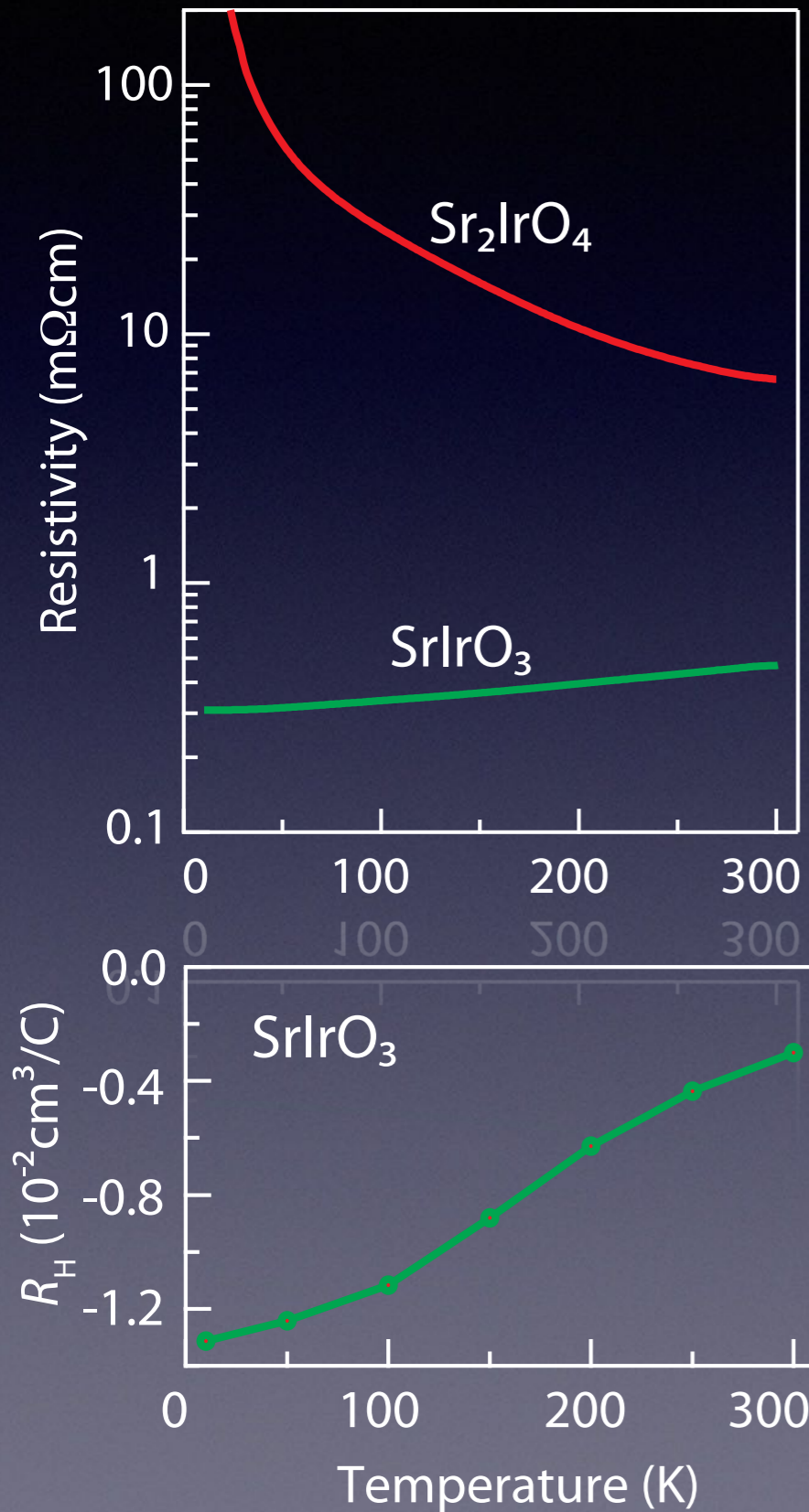
electronic properties of SrIrO₃

- possibility of line nodes in the bulk, or topological crystalline metallic surface states
- realization of exotic electronic, magnetic, or topological phases in SrIrO₃-based superlattices or bilayers



D. Hirai, J. Matsuno, H. Takagi, *APL Materials* **3**, 041508 (2012)
H. Kim, Y. Chen, H.Y. Kee, arXiv:1411.1406 (2014)
J.M. Carter, H.Y. Kee et al., *Phys. Rev. B* **85**, 115105 (2012)
D. Xiao et al., *Nature Comm.* **2**, 596 (2011)

SrIrO₃ epitaxial thin films : structure & properties

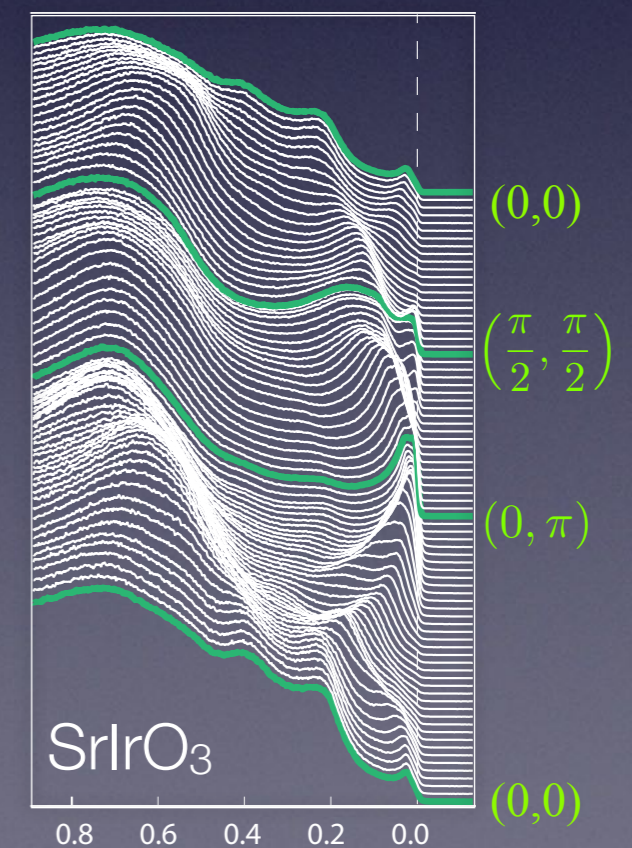
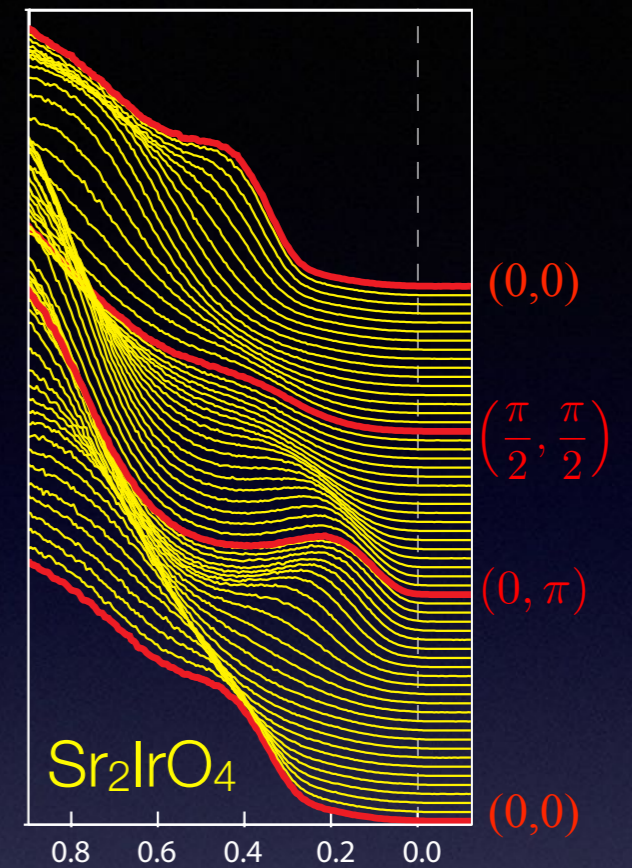
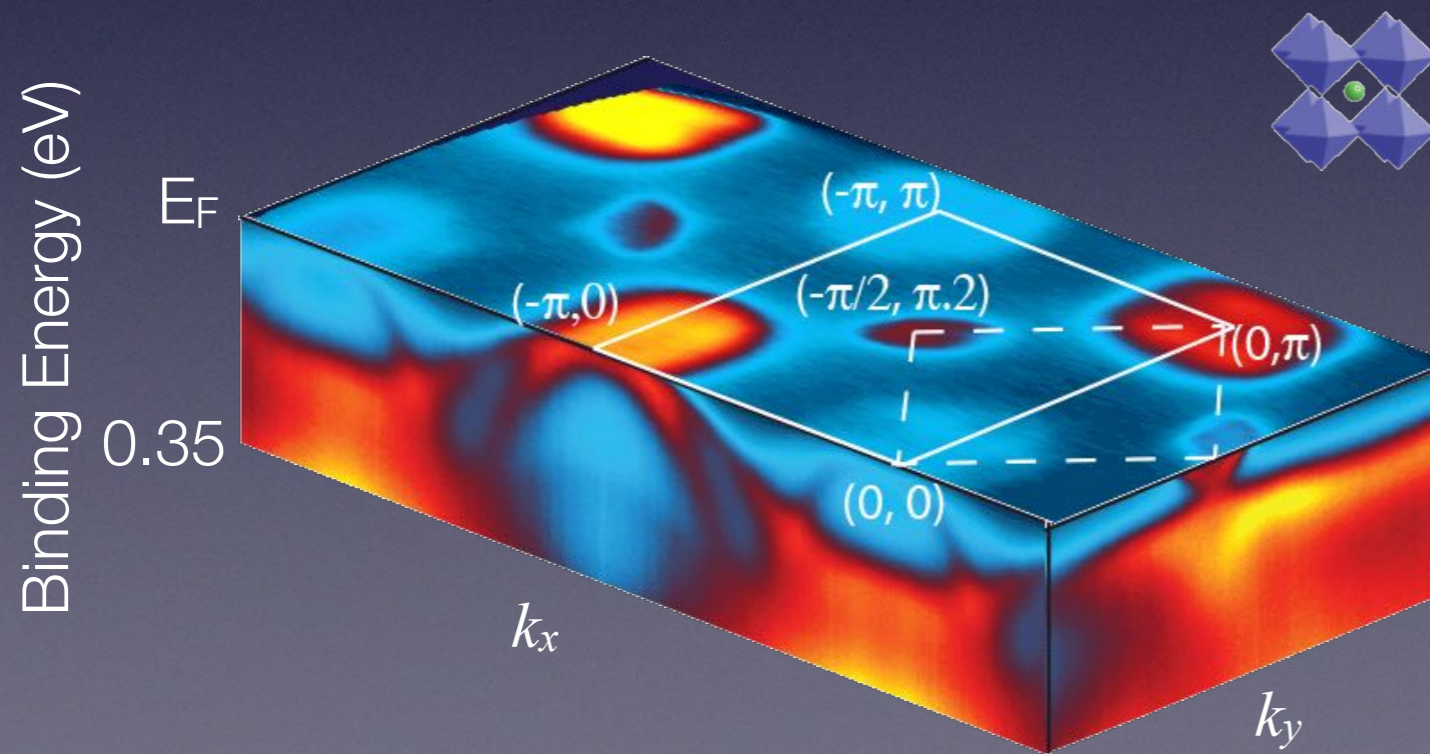
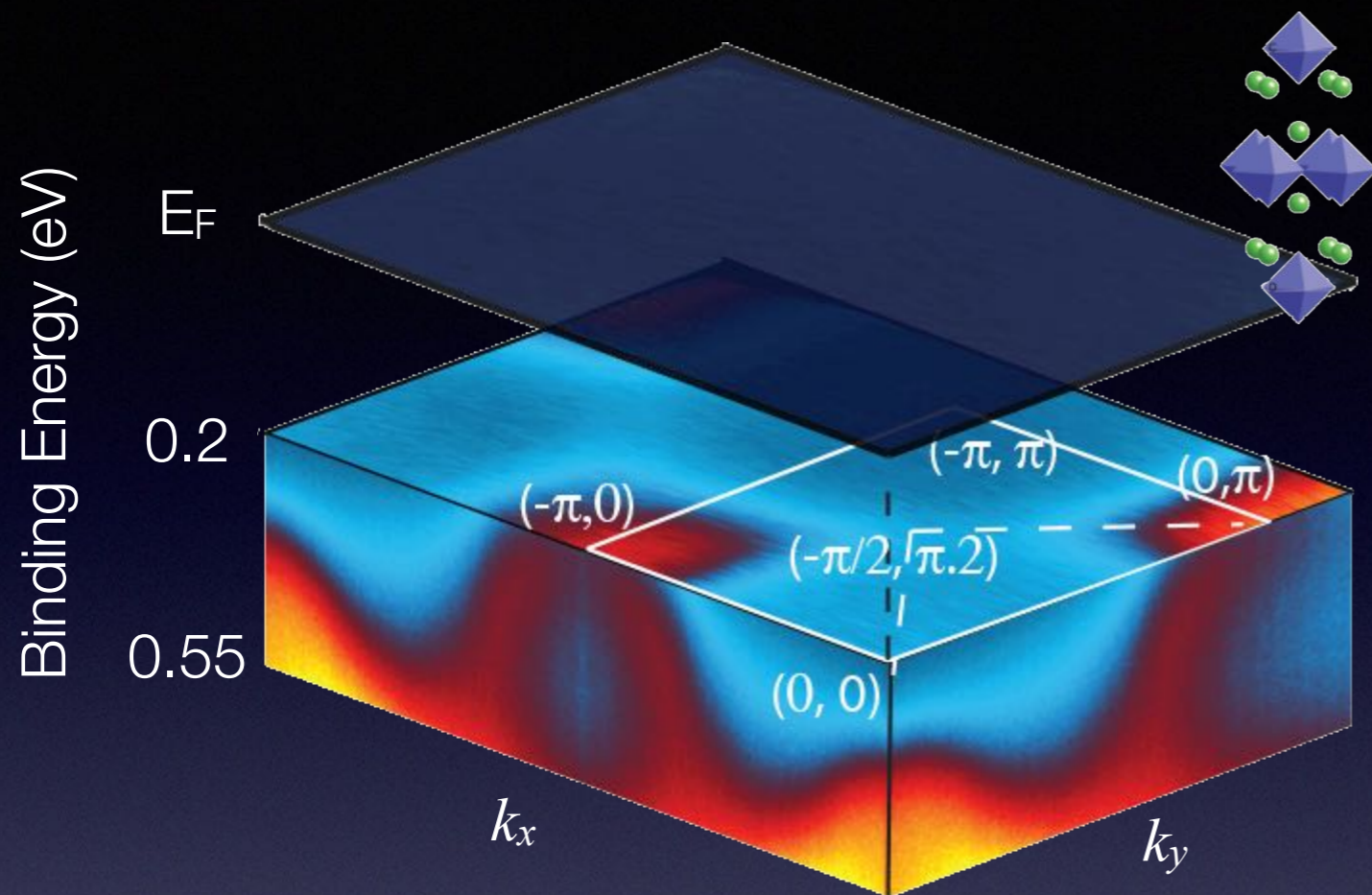


- bulk single crystals not available
- MBE-grown epitaxial thin films grown on LSAT at 700° C at 10⁻⁶ torr of 100% O₃

Yuefeng Nie



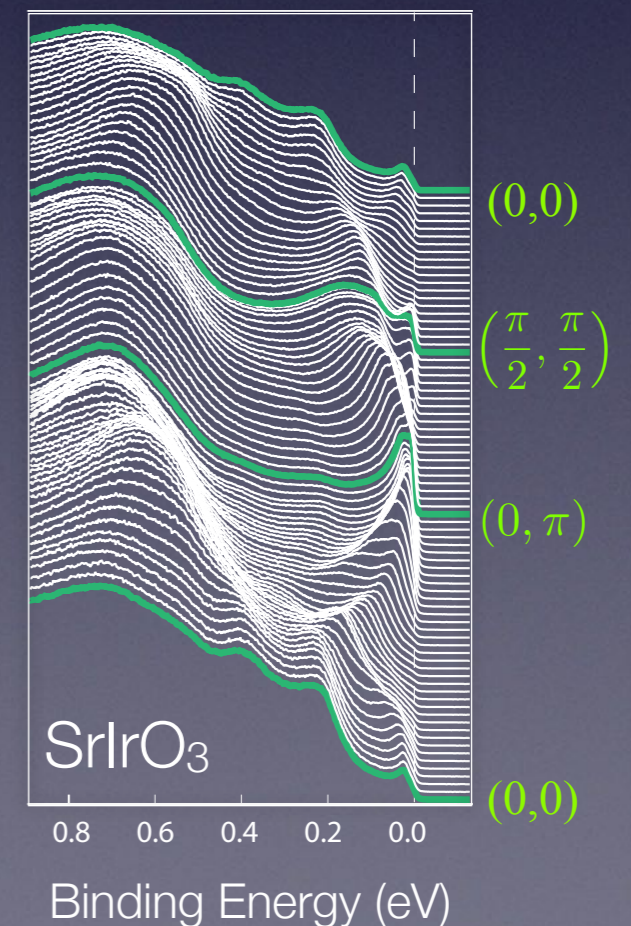
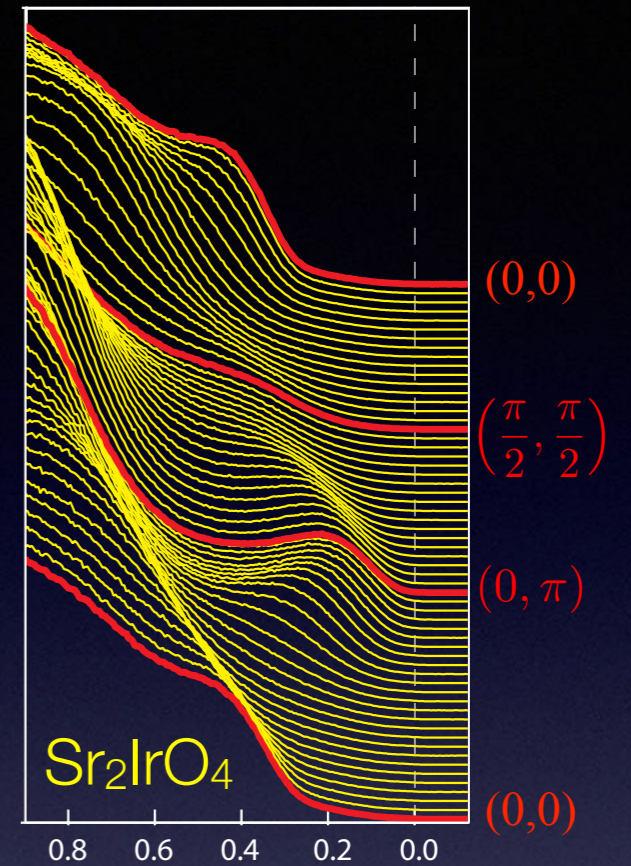
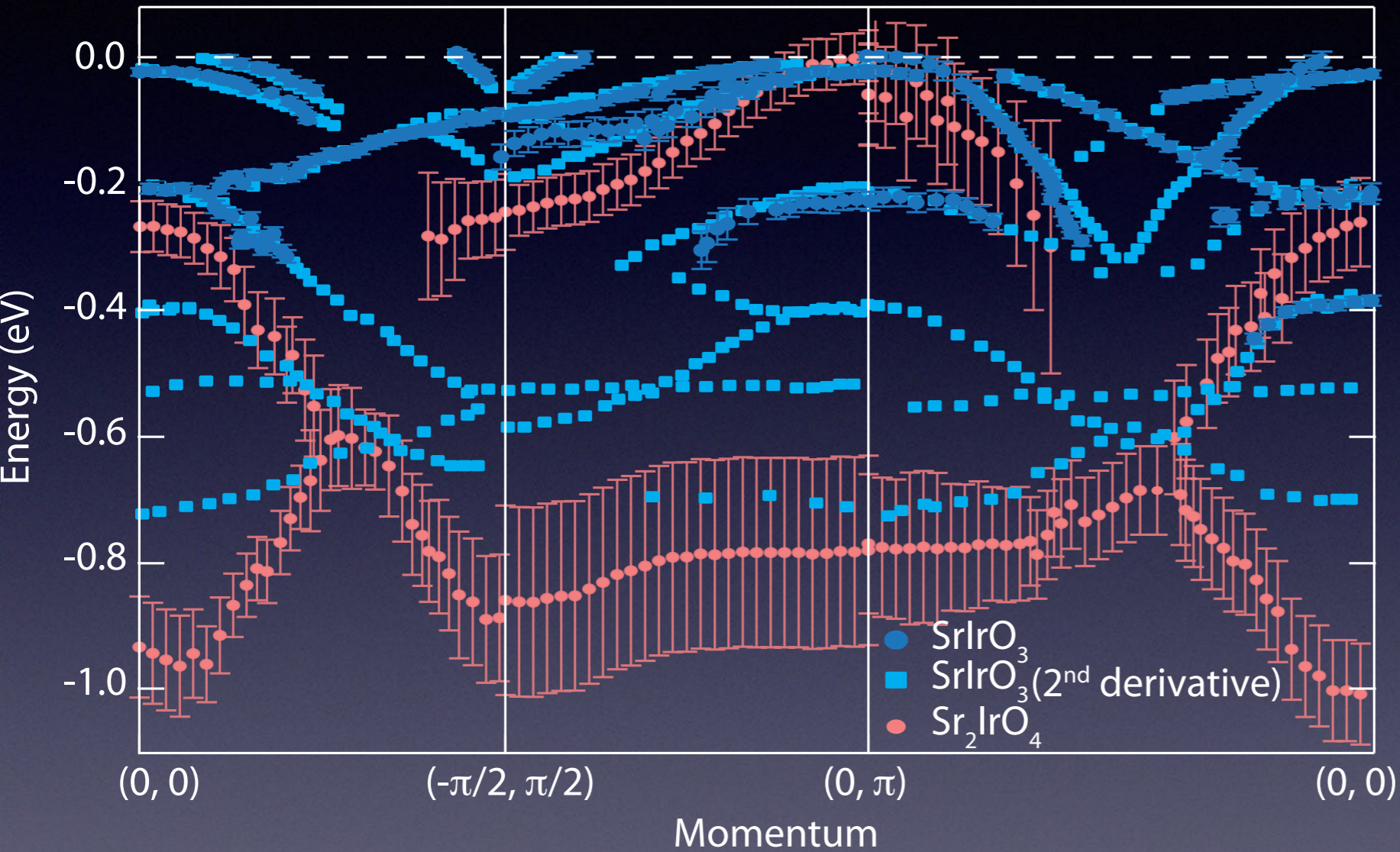
ARPES shows a complex Fermiology



Binding Energy (eV)

individual bands of SrIrO_3 are narrower than Sr_2IrO_4

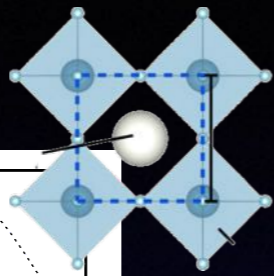
dispersions of SrIrO_3 and Sr_2IrO_4



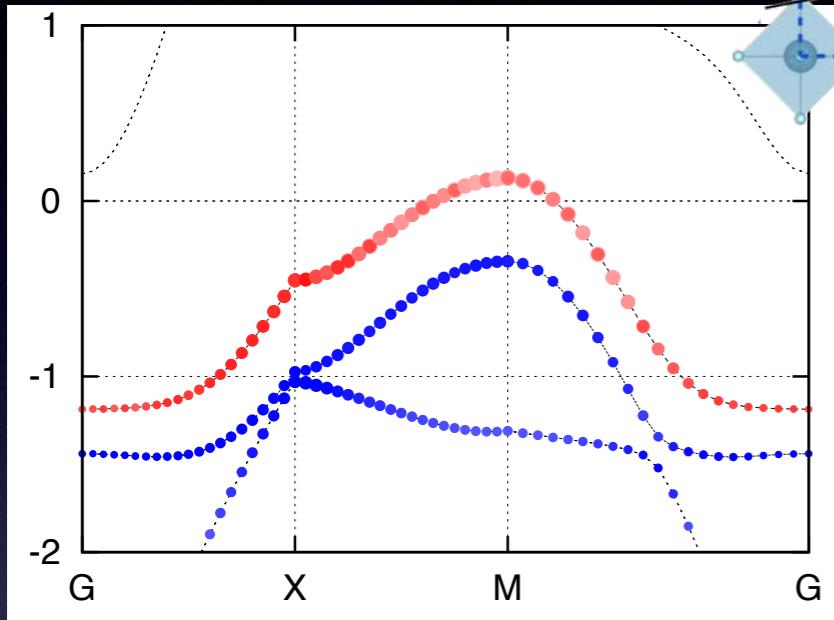
effects of octahedral rotations on band structure

Sr_2IrO_4

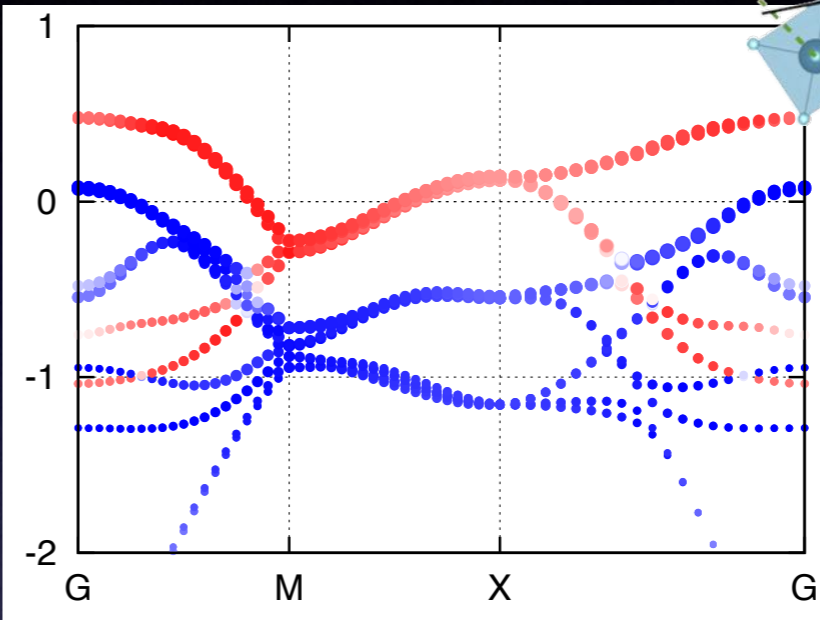
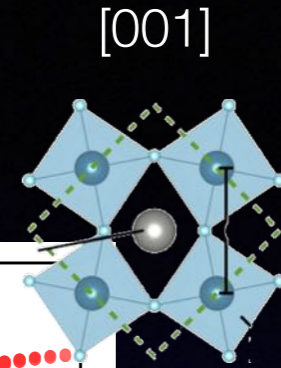
no rotations



energy (eV)



with rotations

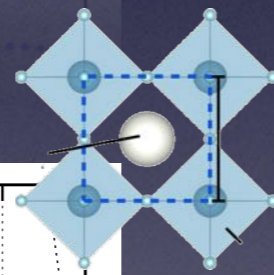


OpenMX,
U = 0

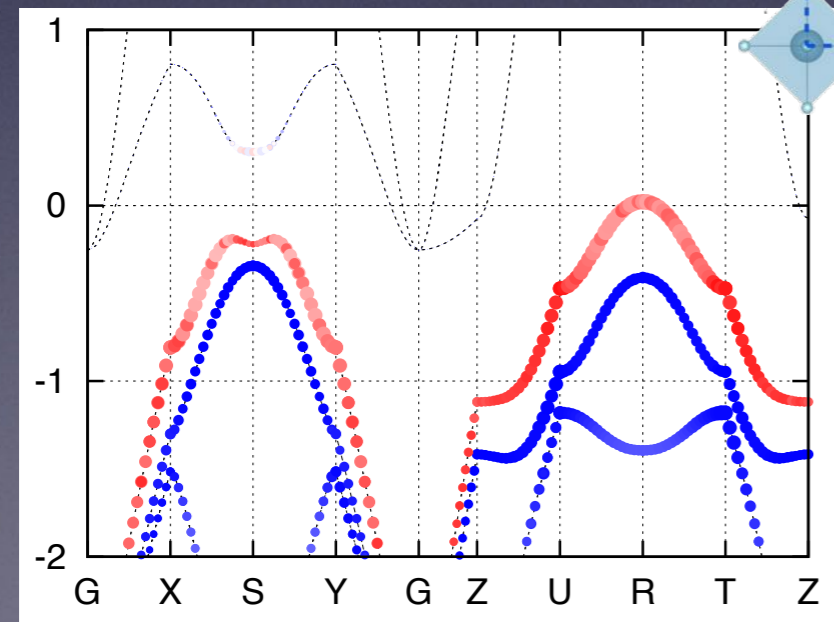
$J_{eff} = 1/2$

$J_{eff} = 3/2$

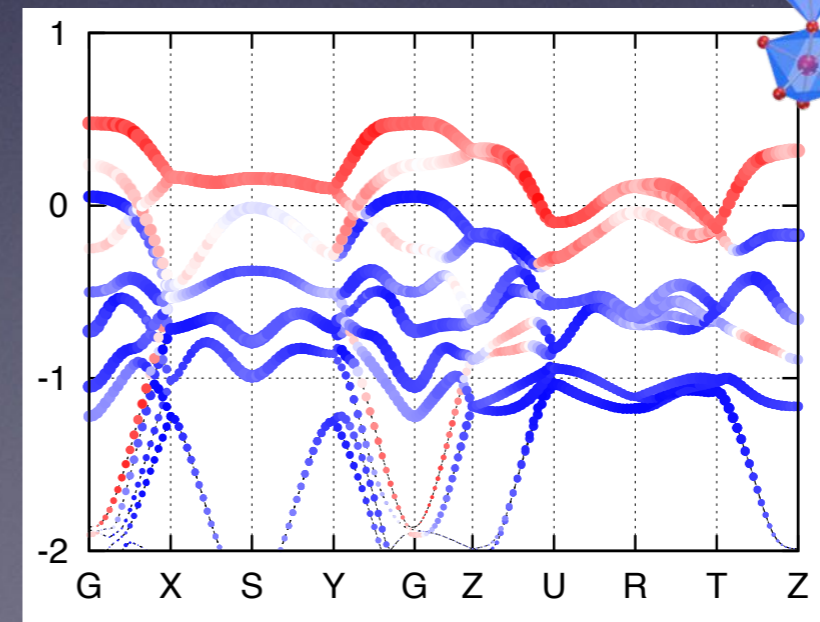
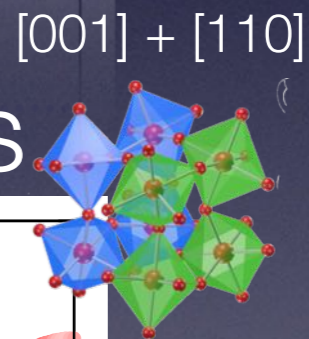
SrIrO_3 cubic



energy (eV)



with rotations



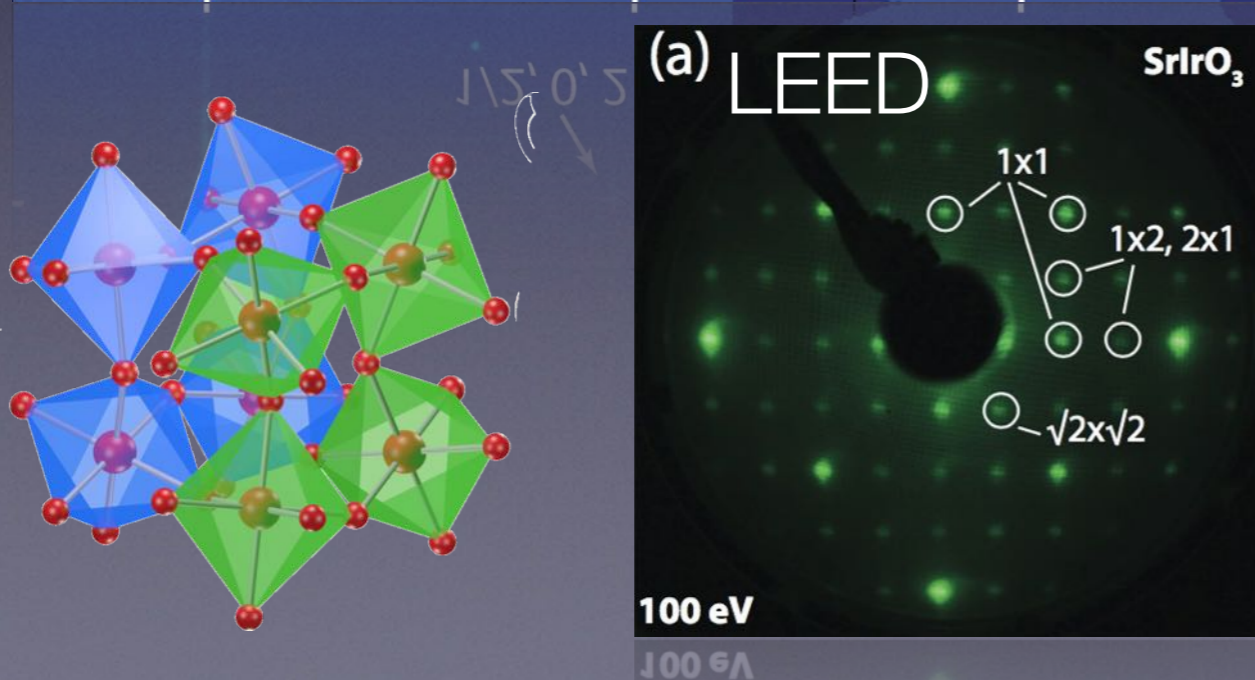
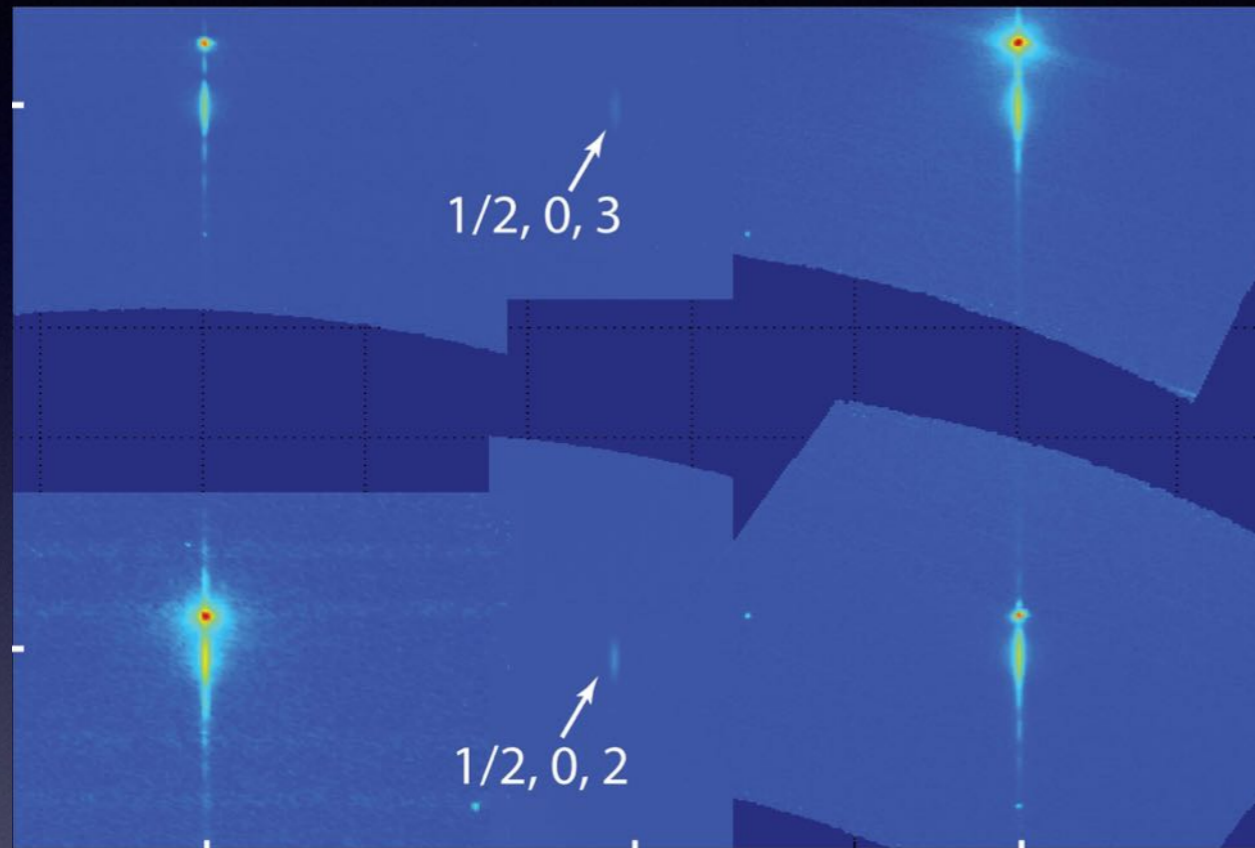
Choong
Hyun
Kim



octahedral rotations in SrIrO₃ thin films

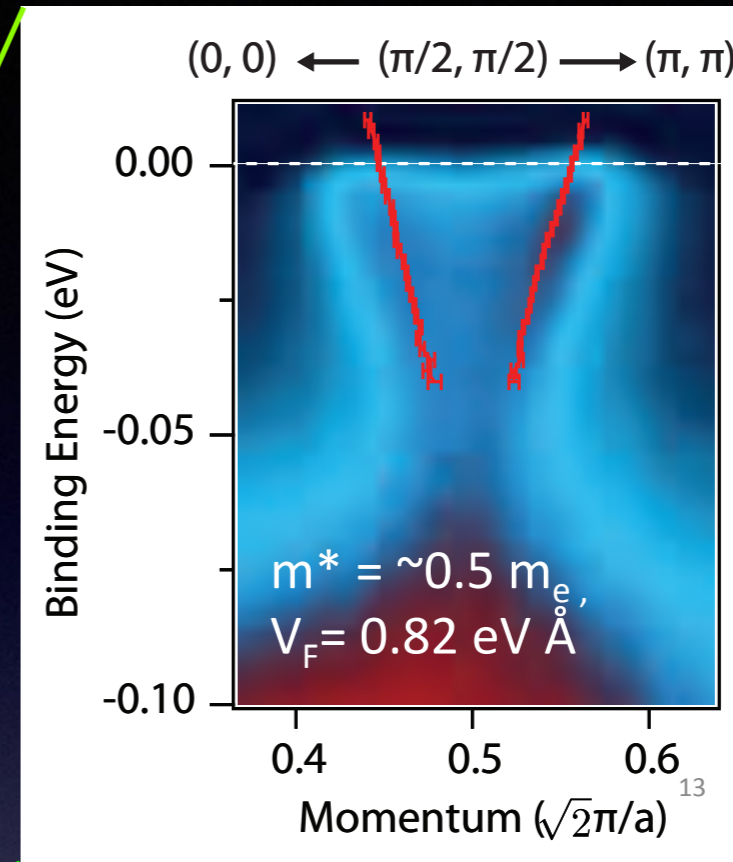
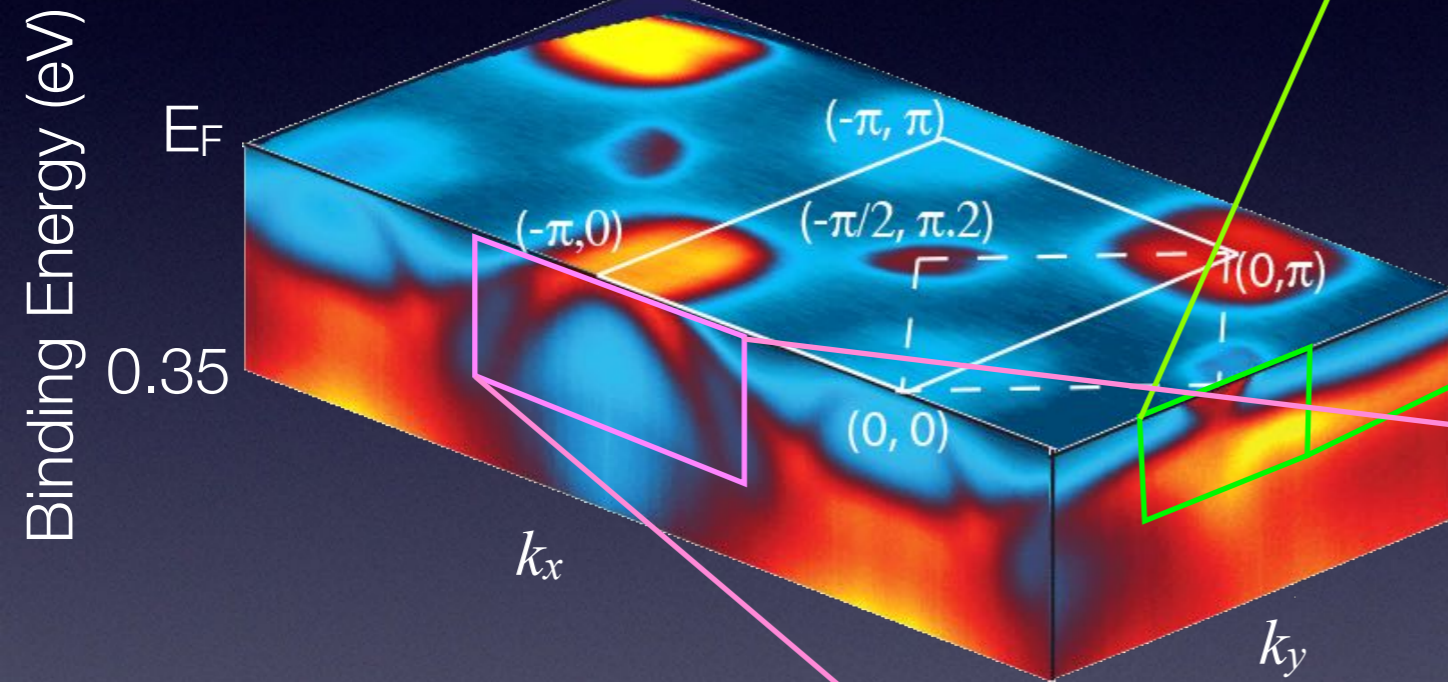
synchrotron x-ray diffraction
of half-order peaks

TEM measurements
of half-order peaks

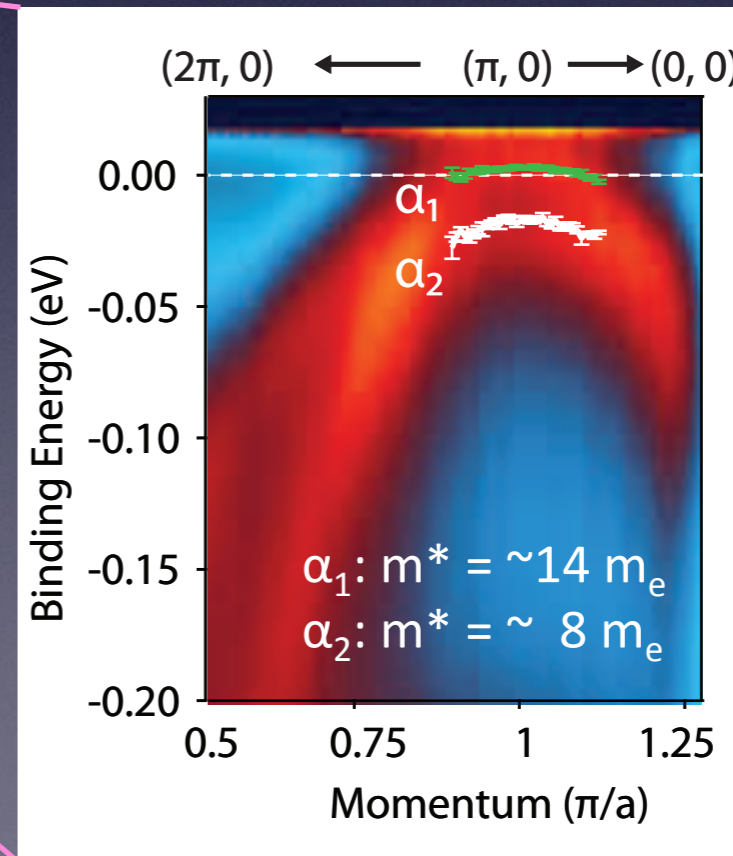


- synchrotron x-ray, TEM, and LEED measurements show out-of-plane [1 1 0] and in-plane [001] octahedral rotations

intriguing features in electronic structure of SrIrO₃



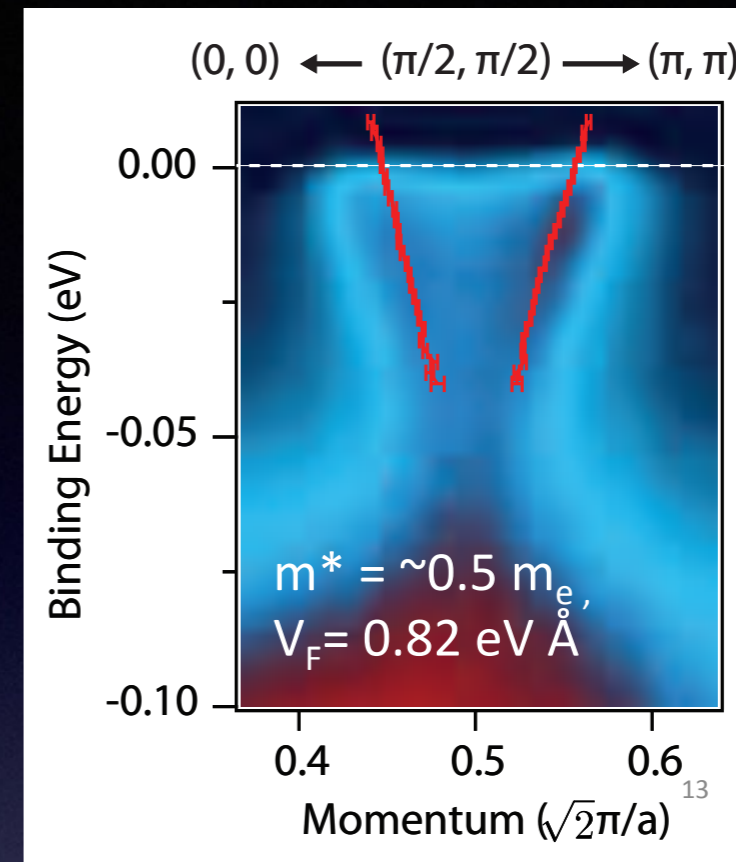
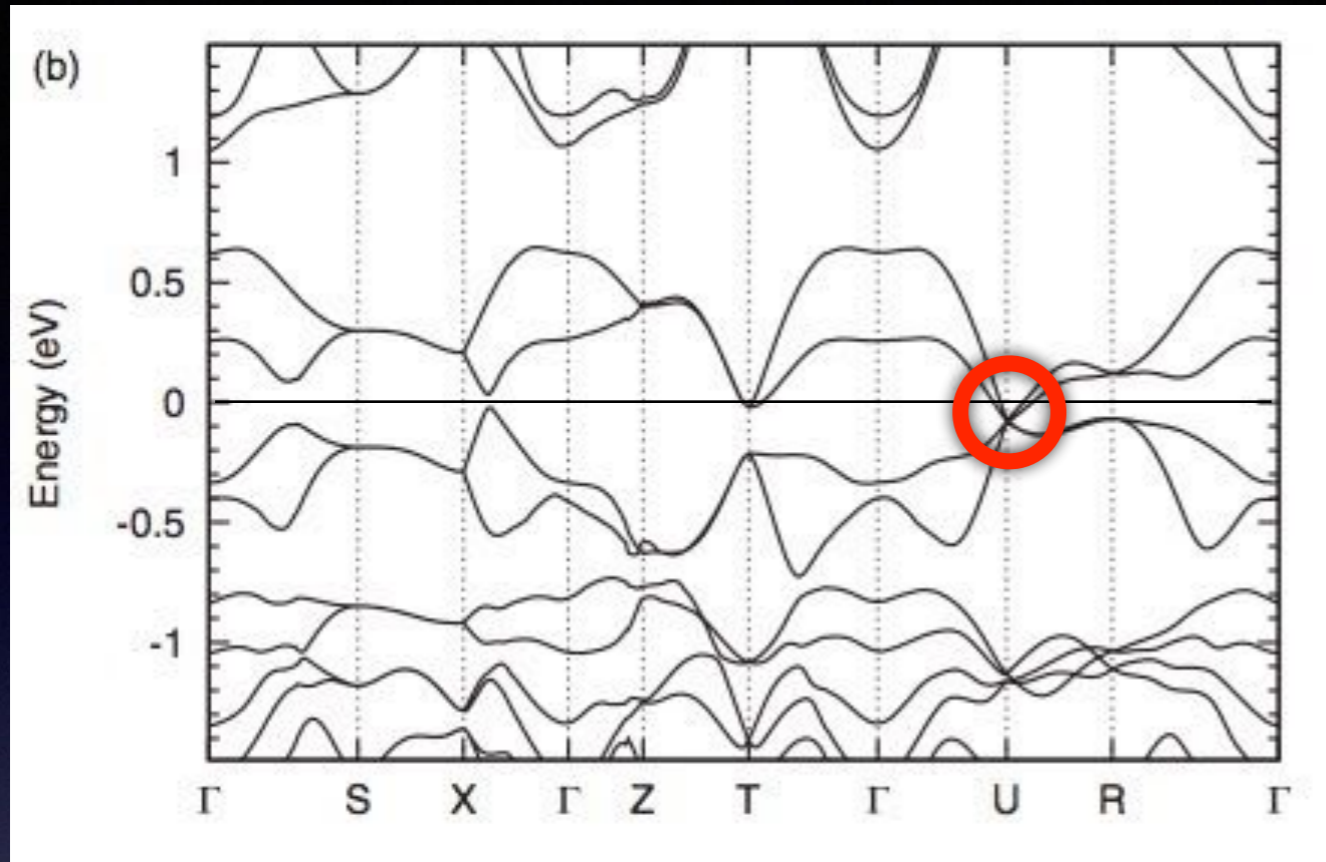
- light (Dirac-like), small electron pockets
- symmetry-protected 3D Dirac points?



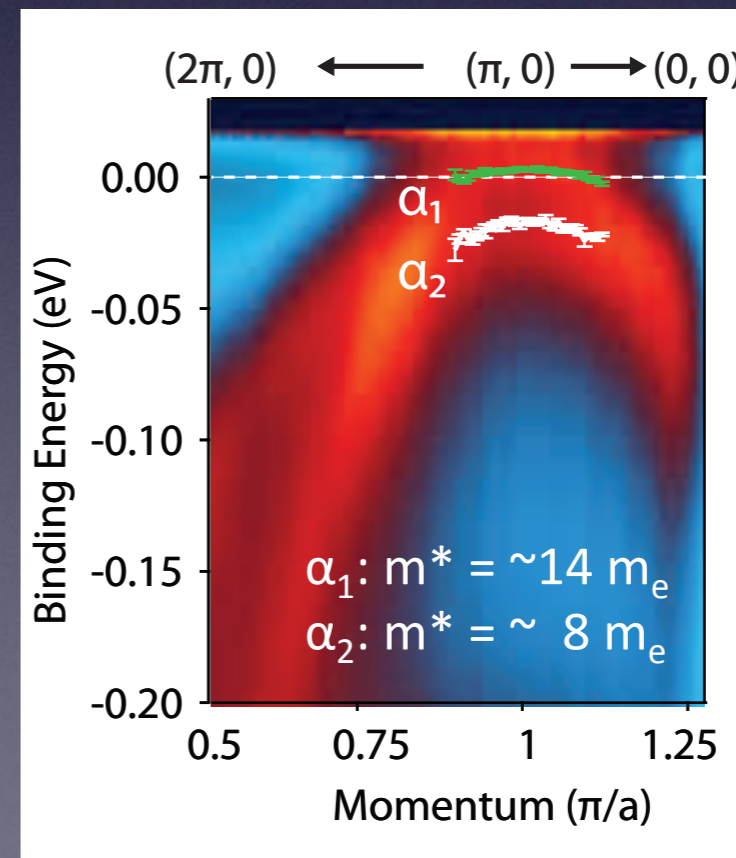
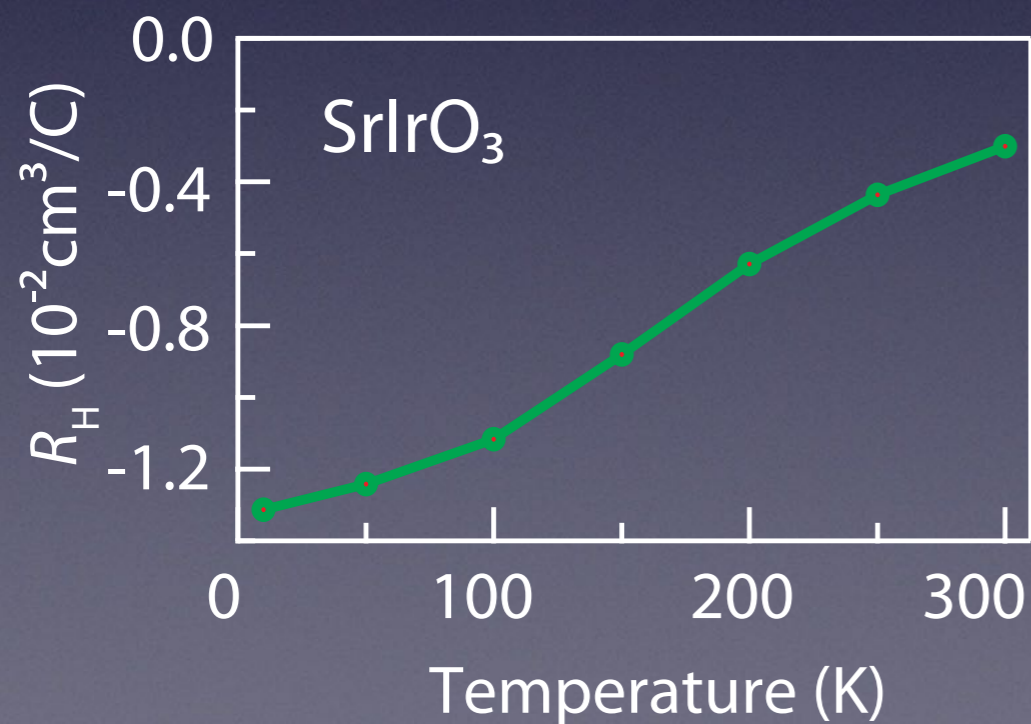
- massive hole bands with van Hove singularity close to E_F



intriguing features in electronic structure of SrIrO₃

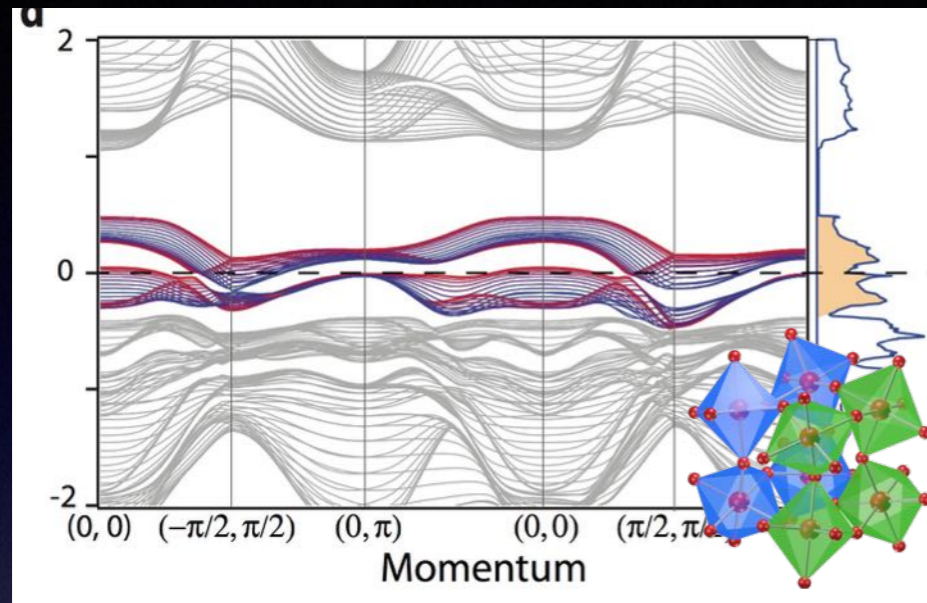


- light (Dirac-like), small electron pockets
- symmetry-protected 3D Dirac points?

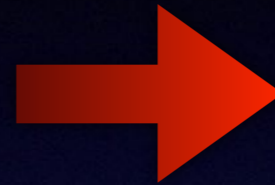


- massive hole bands with van Hove singularity close to E_F

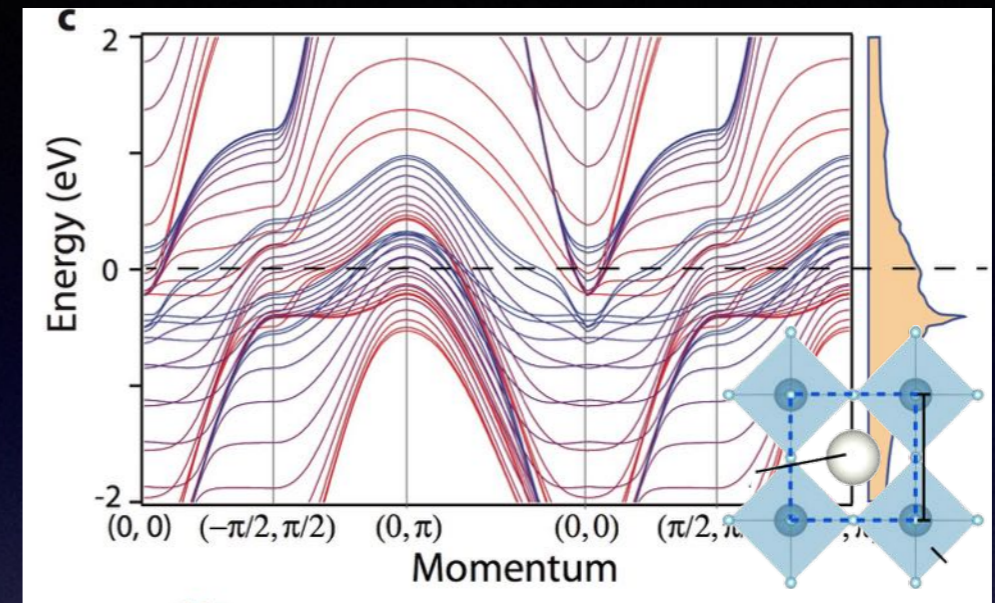
future directions : strain control of properties



tensile
strain?



BaIrO₃



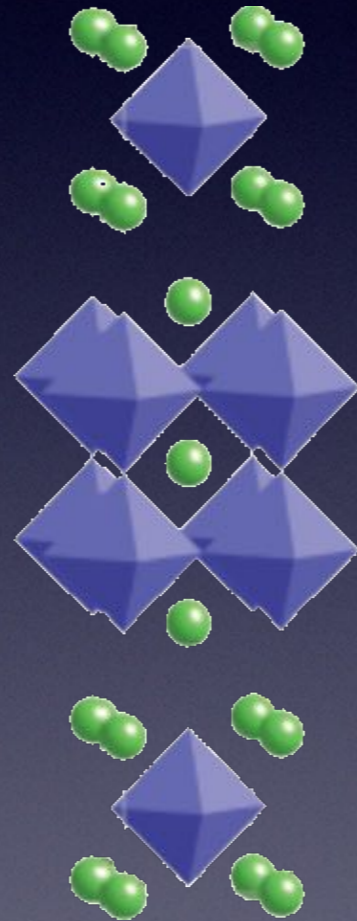
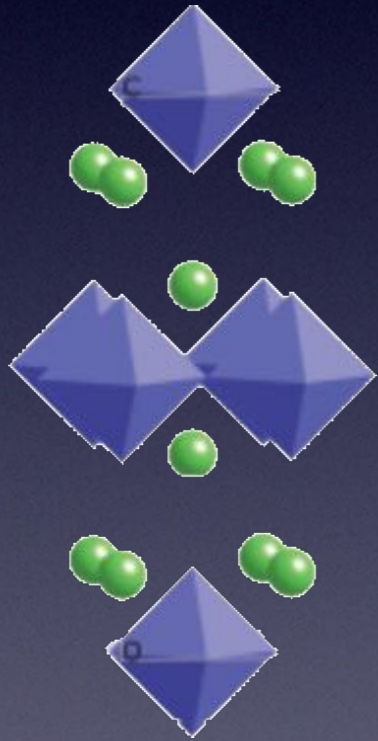
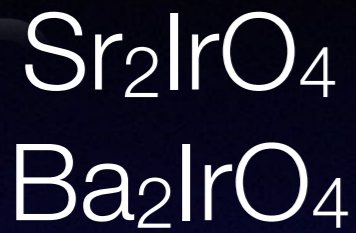
- large enhancement of bandwidths and mobilities in AlrO₃ by “unrotating” octahedra, e.g. through tensile strain?
- BaIrO₃ in perovskite structure (larger cation) has 180° Ir-O-Ir bonds, and exhibits more metallic behavior (high pressure growth of polycrystalline)

Ruddlesden-Popper series of iridates : $\text{Sr}_{n+1}\text{Ir}_n\text{O}_{2n+1}$

2D



3D



$J_{eff} = 1/2$

AF insulator

$J_{eff} = 1/2$

AF semiconductor

semimetallic

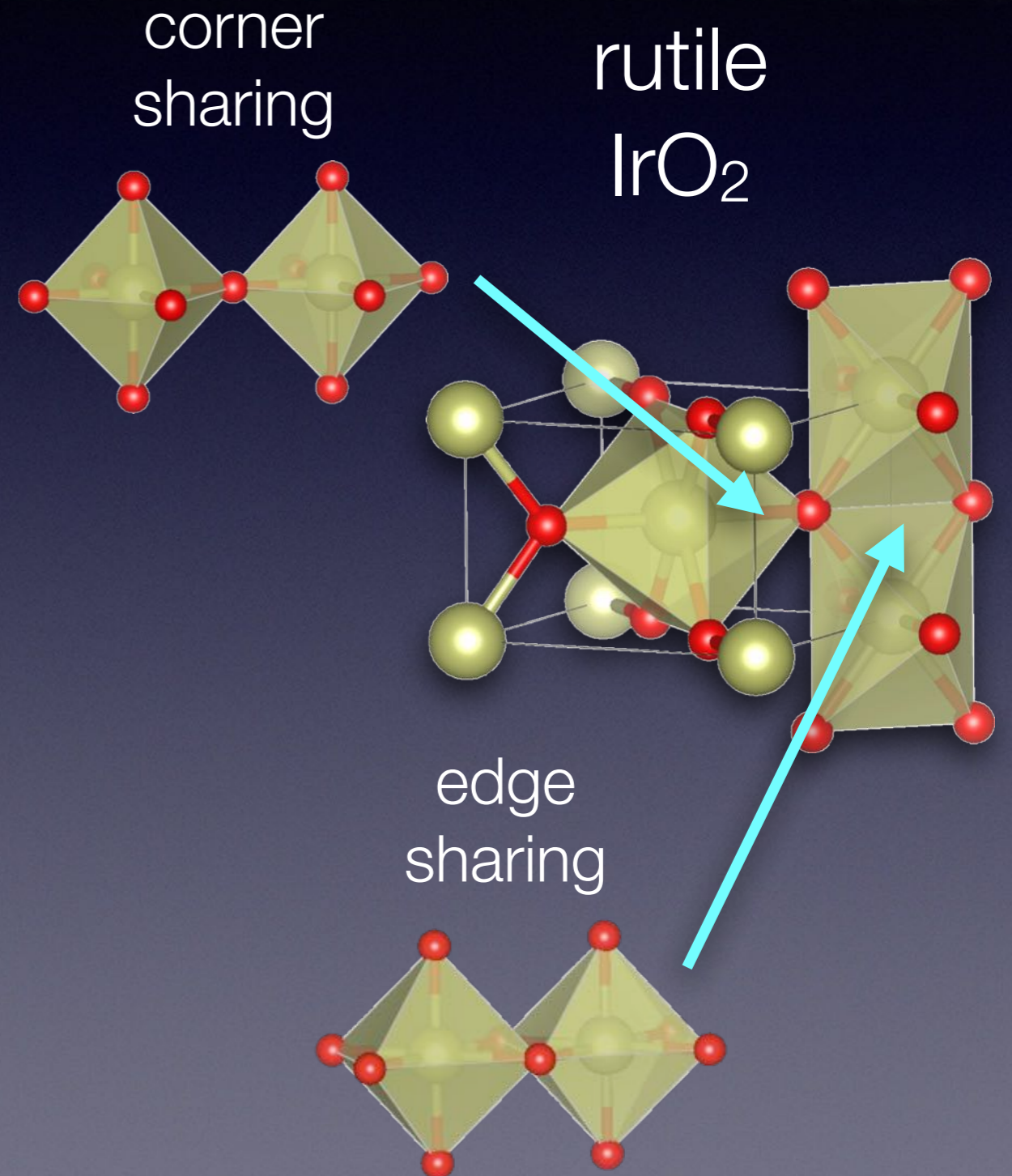
Beyond the Ruddlesden-Popper iridates : Rutile IrO_2

2D



3D

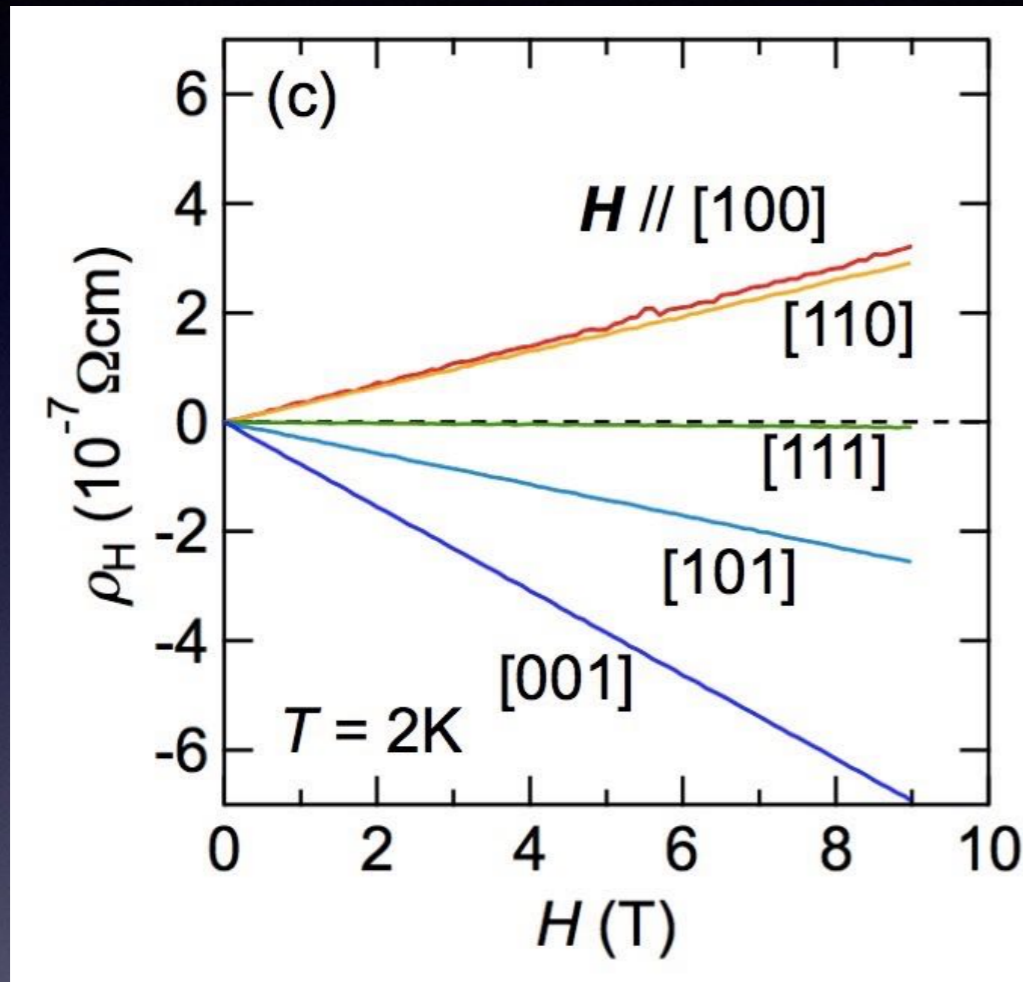
- does additional connectivity beyond perovskite lead to further metallicity?
- how do the edge & corner-shared octahedra change the electronic structure?
- how do SOC and Coulomb U affect electronic structure?



exotic magnetotransport in IrO₂

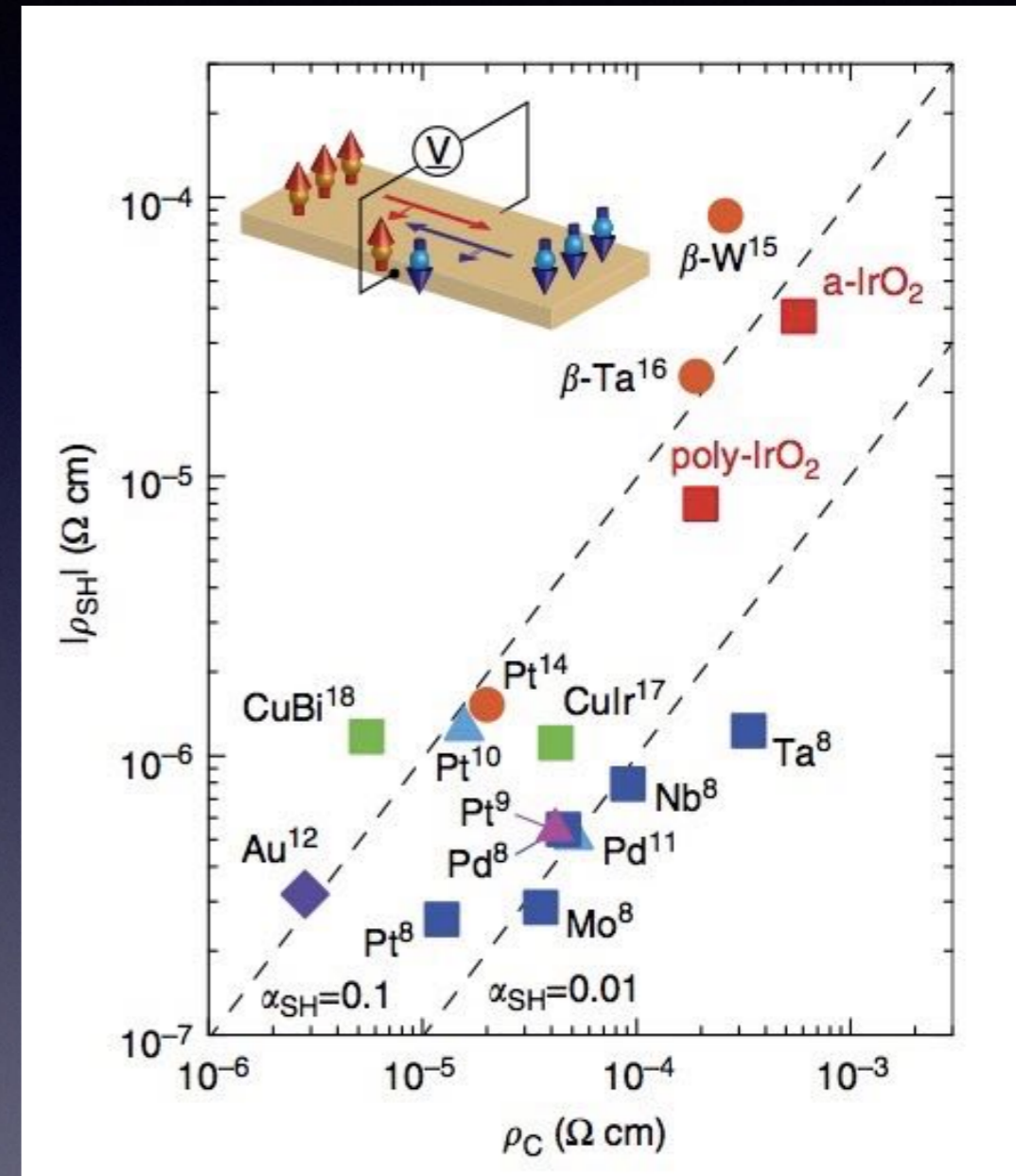
hole or electron transport can be switched with field direction

large spin Hall resistivity



M. Uchida, R. Arita, Y. Tokura, M. Kawasaki, et al. *Phys. Rev. Lett.* (submitted)

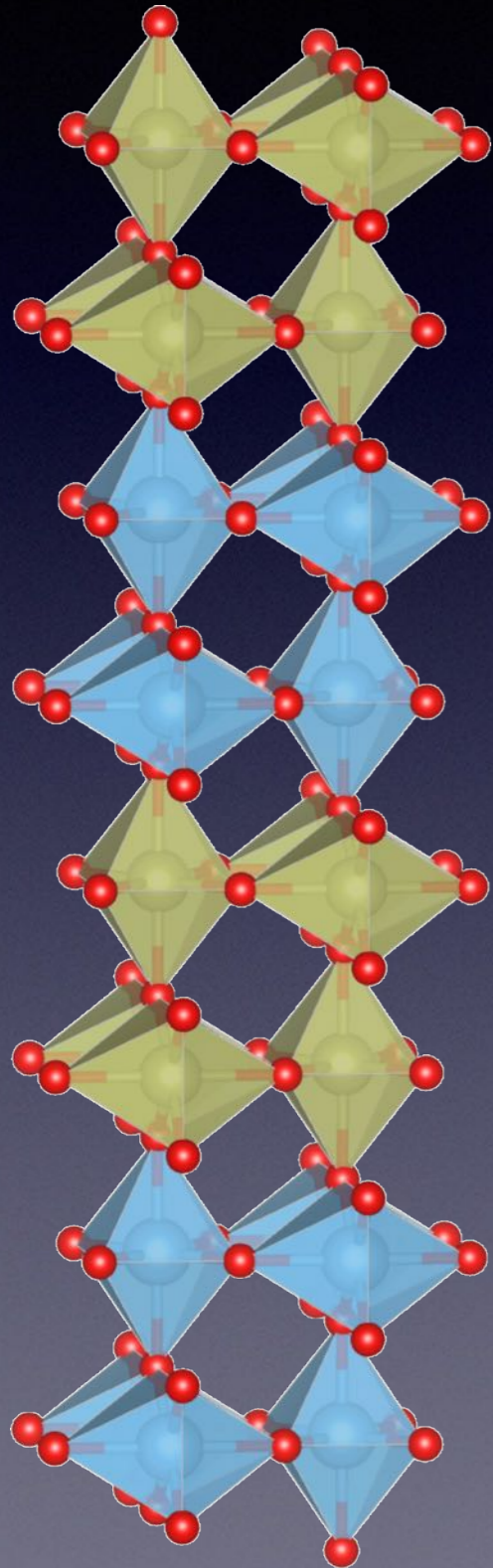
Masaki Uchida



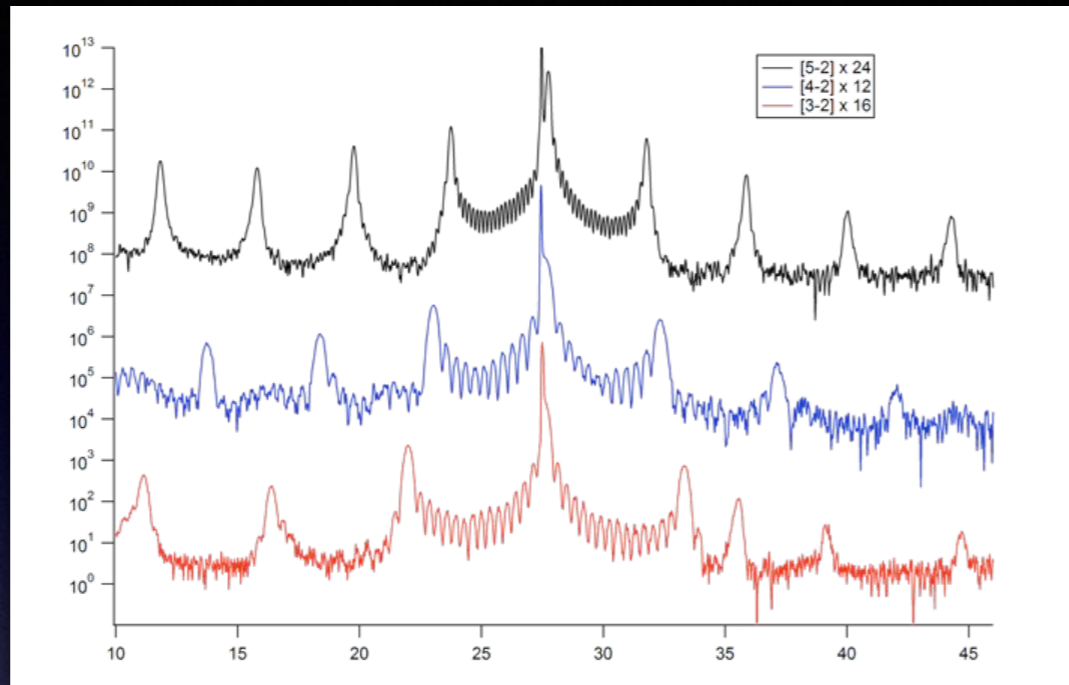
K. Fujiwara, H. Takagi, et al. *Nature Comm.* **4**:2893 (2013)

future directions : iridate-based heterostructures

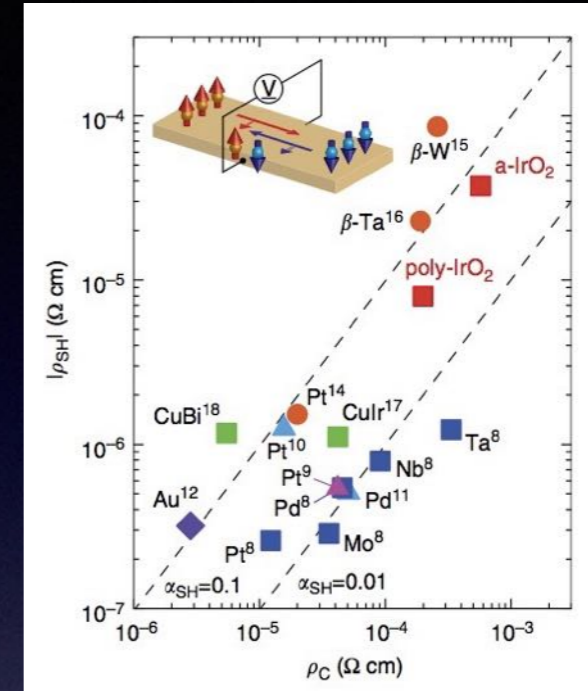
IrO₂ / TiO₂



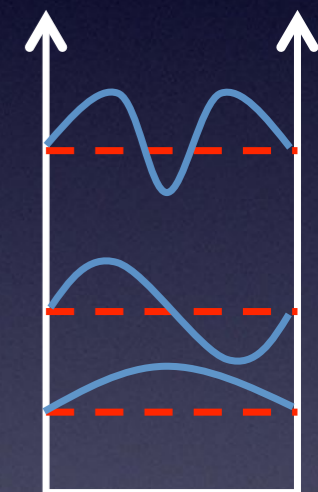
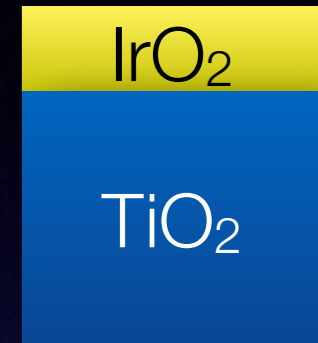
x-ray diffraction



large spin Hall



K. Fujiwara, H. Takagi, et al.
Nature Comm. **4**:2893 (2013)

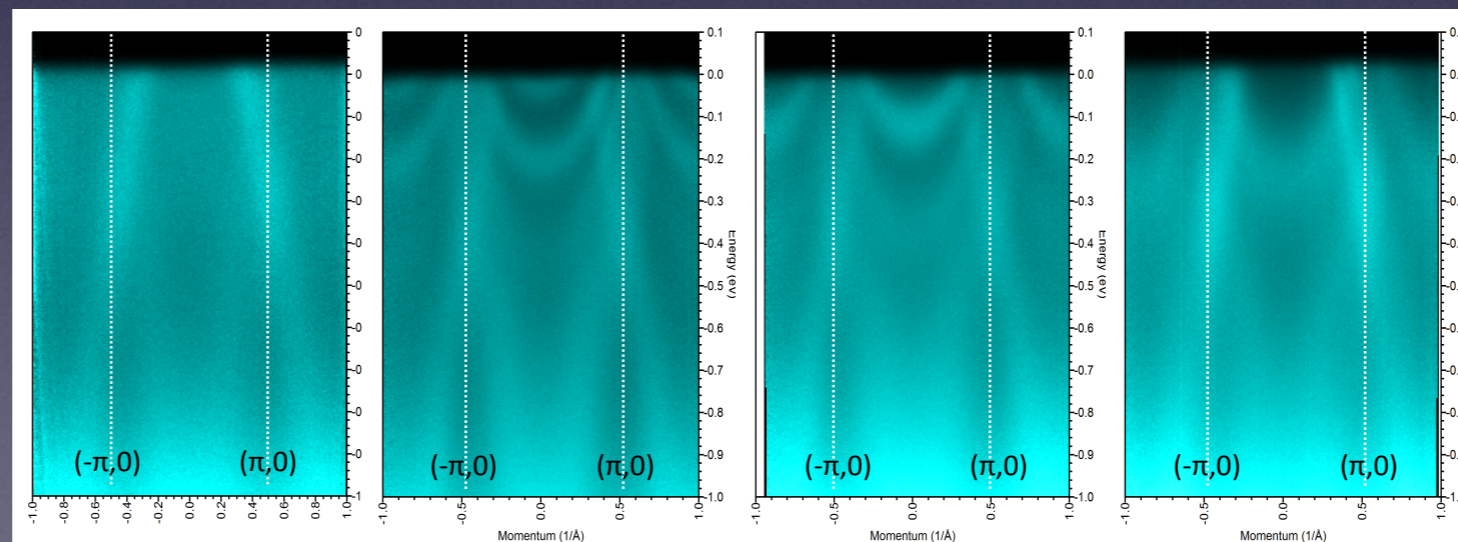


20 ML

10 ML

8 ML

4 ML



- IrO₂ grown on TiO₂ (110)
- T = 300 K @ 1.5 × 10⁻⁶ torr O₃ (adsorption controlled)
- IrO₂ : a = 4.498 Å; c = 3.154 Å
- TiO₂ : a = 4.594 Å; c = 2.959 Å

Jason
Kawasaki
(Kavli
Fellow)

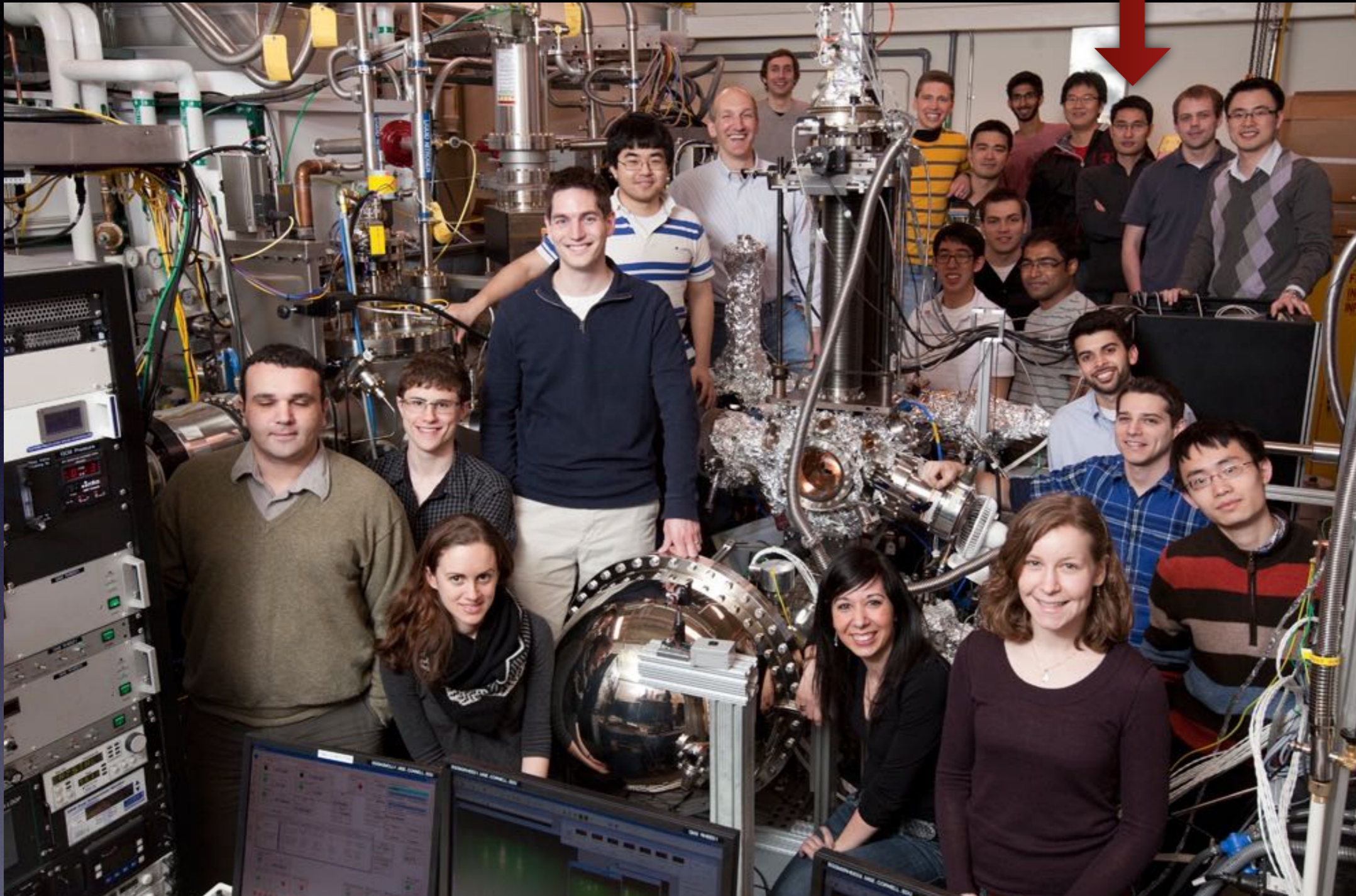


final thoughts

- epitaxial stabilization allows us to explore a wider variety of iridate structures
- single-particle excitations in insulating perovskite iridates (Sr_2IrO_4 , Ba_2IrO_4 , $\text{Sr}_3\text{Ir}_2\text{O}_7$) are best described by small polarons, very similar to the parent cuprates
- $[001]$ & $[110]$ octahedral rotations play a critical role in narrow band semimetal SrIrO_3
- metallic bands in SrIrO_3 have strongly mixed $J_{eff} = 1/2$ and $3/2$ character

acknowledgements

Yuefeng
Nie



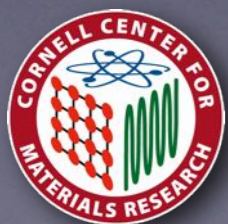
Phil King
(@ St. Andrews)



Masaki Uchida
(@ Tokyo)



Jason Kawasaki



GORDON AND BETTY
MOORE
FOUNDATION

