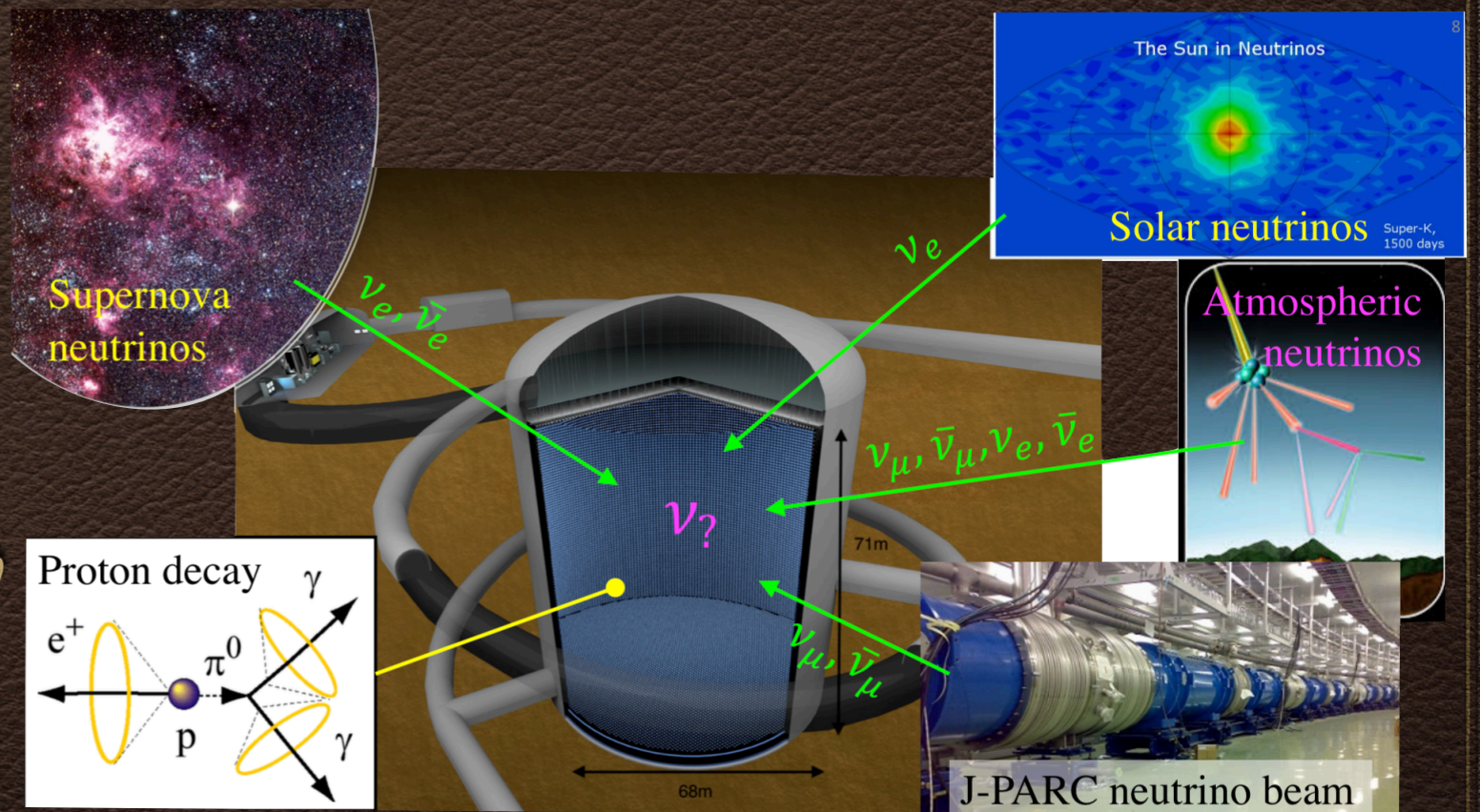


# Hyper-Kamiokande



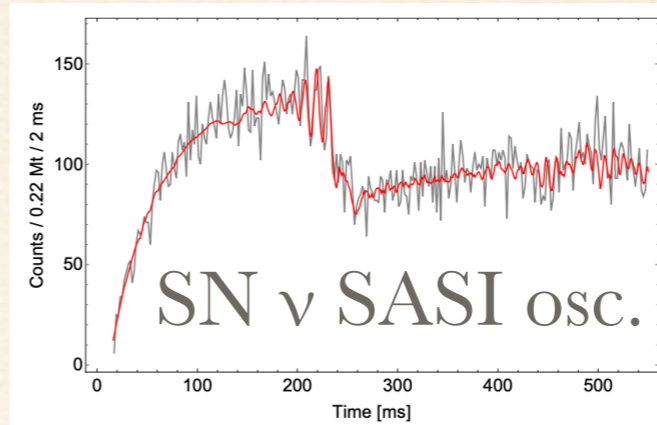
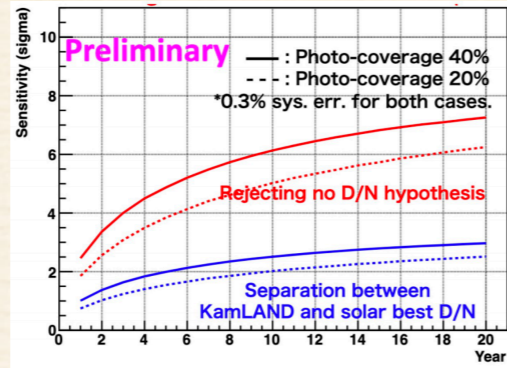
*Interdisciplinary  
Developments in  
Neutrino Physics,  
March 28<sup>th</sup>, 2022  
Michael Smy, University  
of California, Irvine*



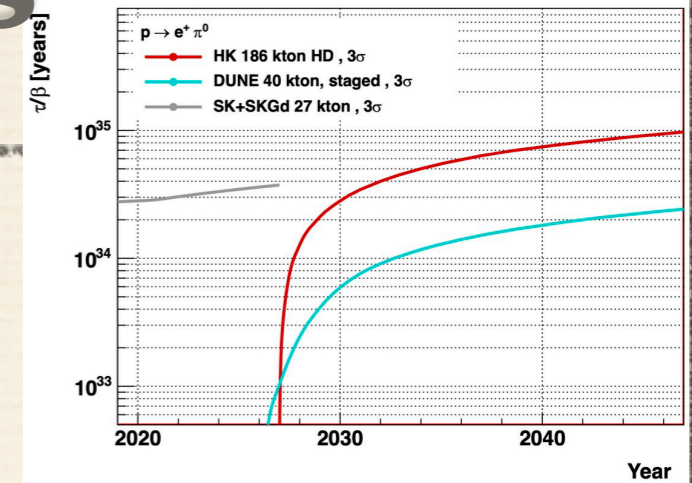
# The Kamiokande Series

- ❖ neutrino oscillations
  - ❖ beam and atm.  $\nu$ 's
  - ❖ BSM (sterile, NSI, ...)
- ❖ astrophysics
  - ❖ solar & SN  $\nu$ 's
  - ❖ dark matter
  - ❖ multi messenger
- ❖ nuclear physics
  - ❖  $\nu$ -N interactions
- ❖ geophysics
  - ❖ matter effects
  - ❖ electron density

solar  $\nu$ 's



proton decay



Hyper-K

Super-K

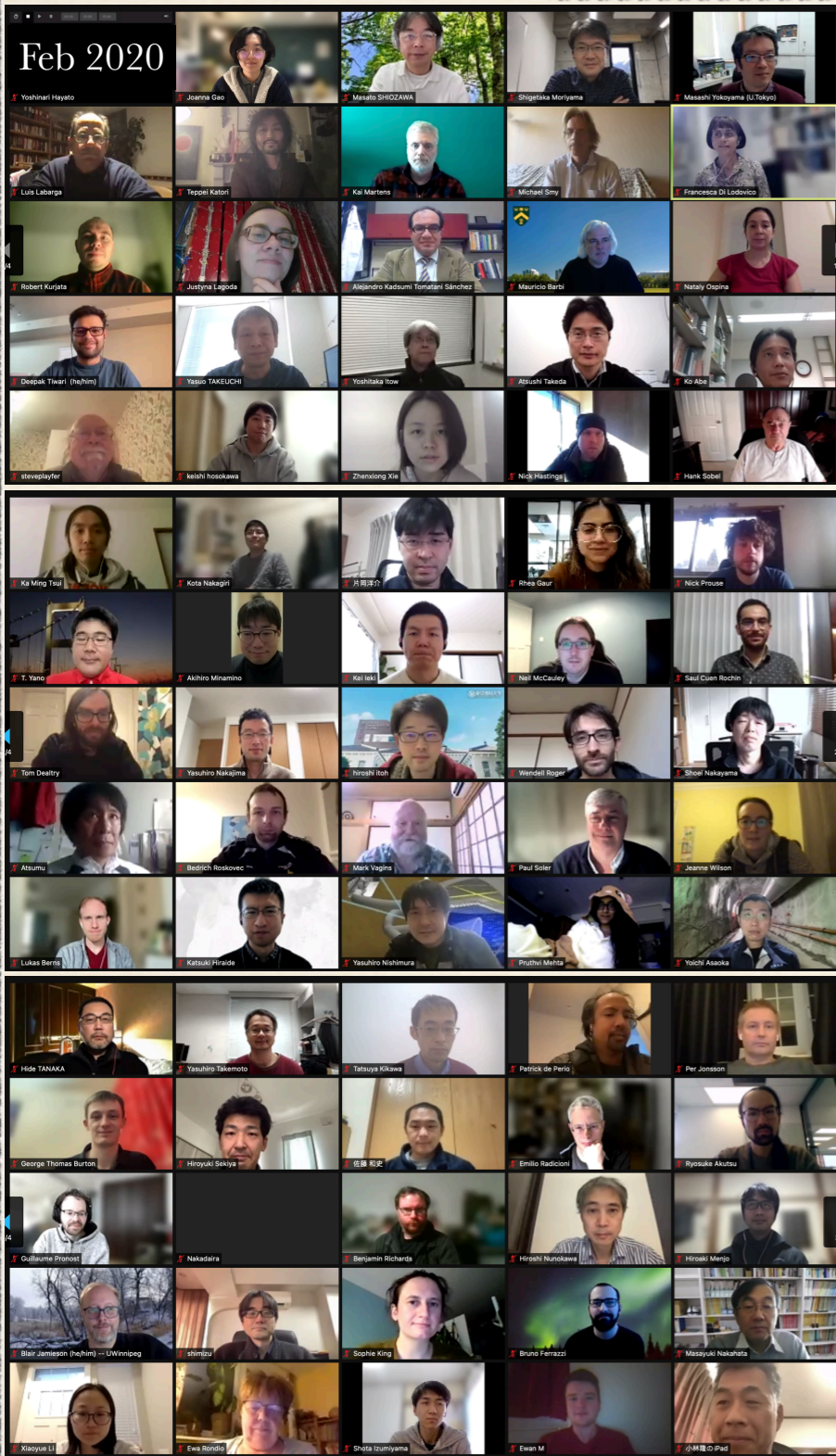
Kamikande

258 kton

50 kton

3 kton

# Hyper-Kamiokande Collaboration

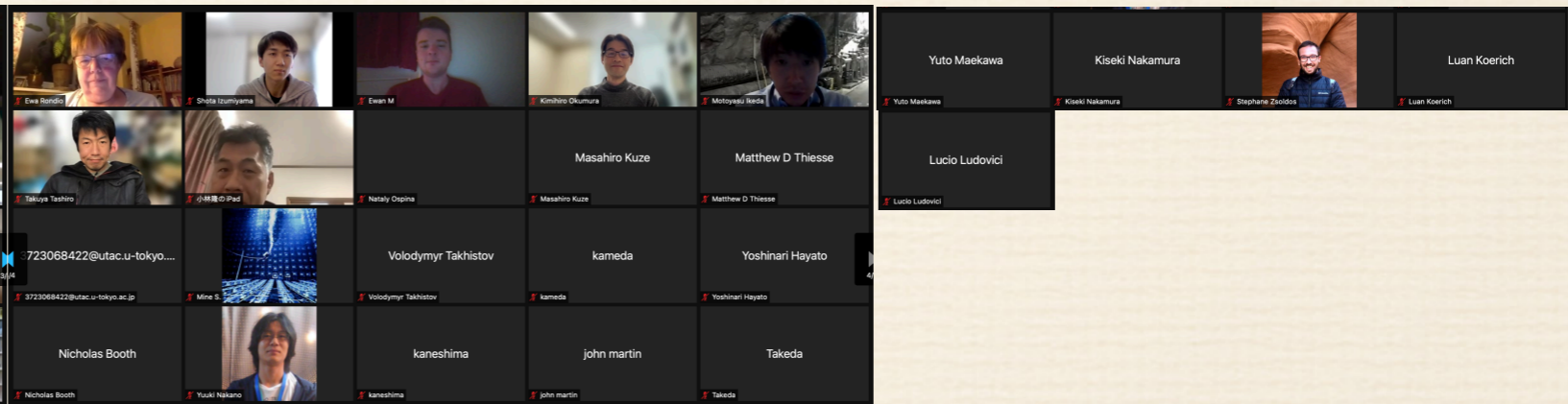
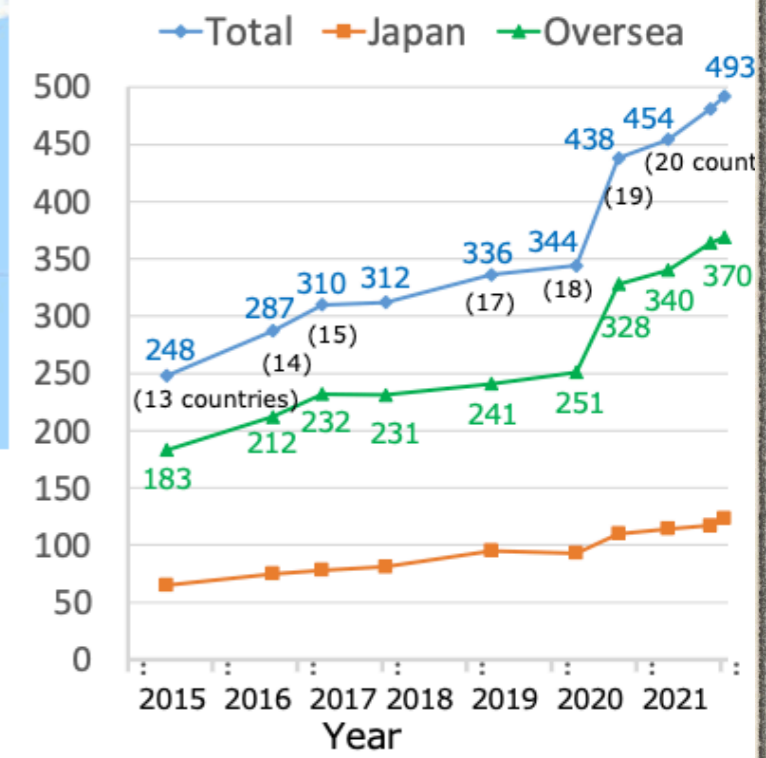


20 countries, 99 institutes, ~500 people as of Jan 2022, and growing

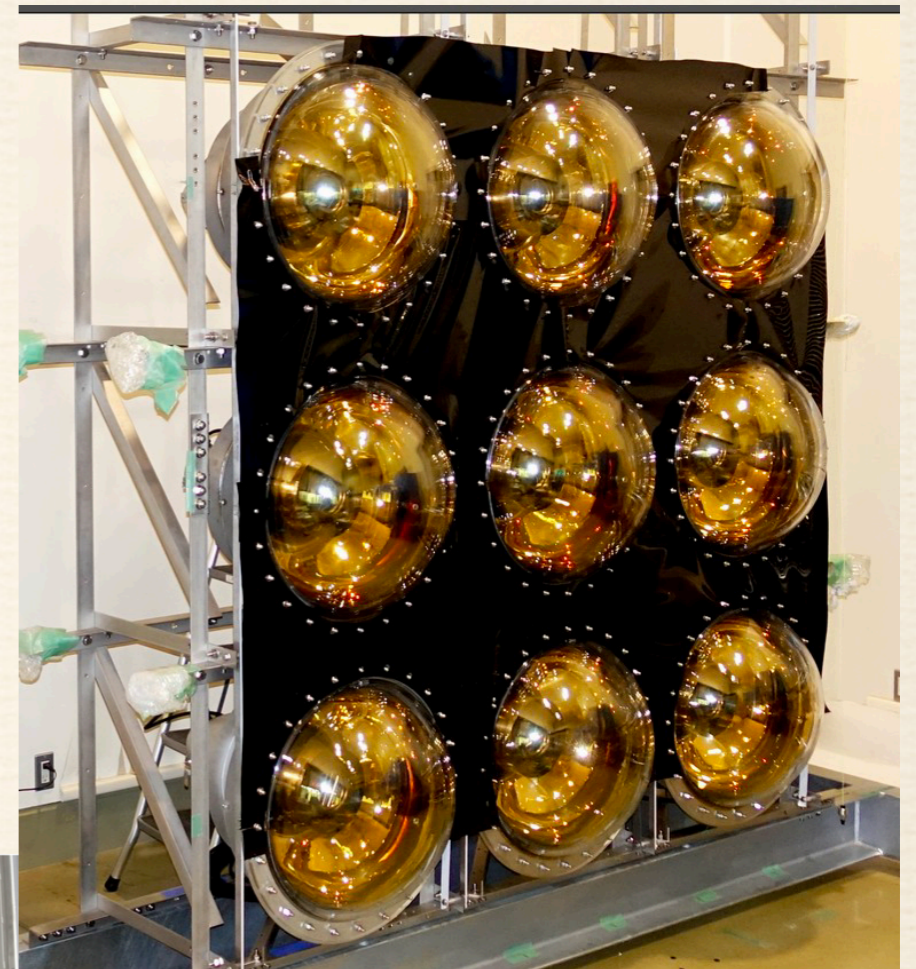
## Collaborating Institutes



## Number of Collaborators



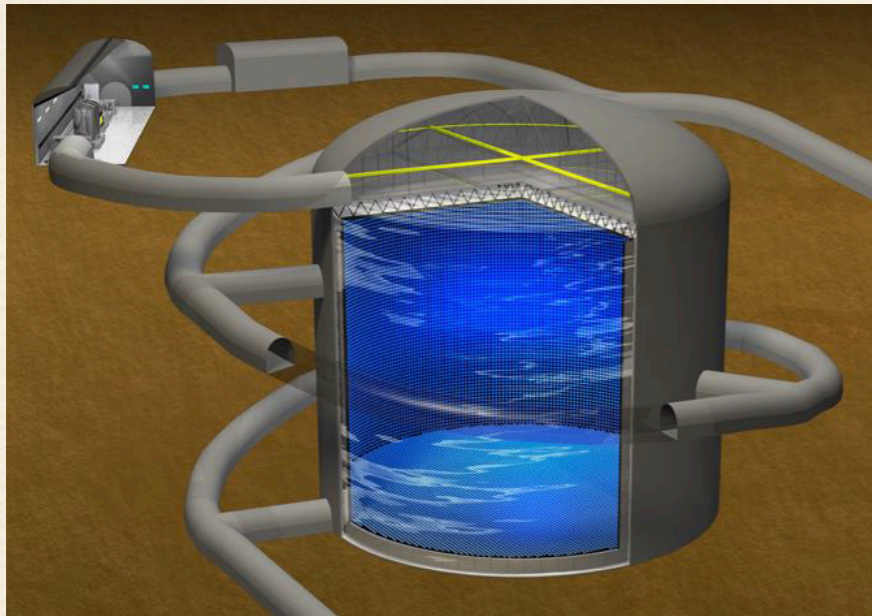
more than a 1,000 words



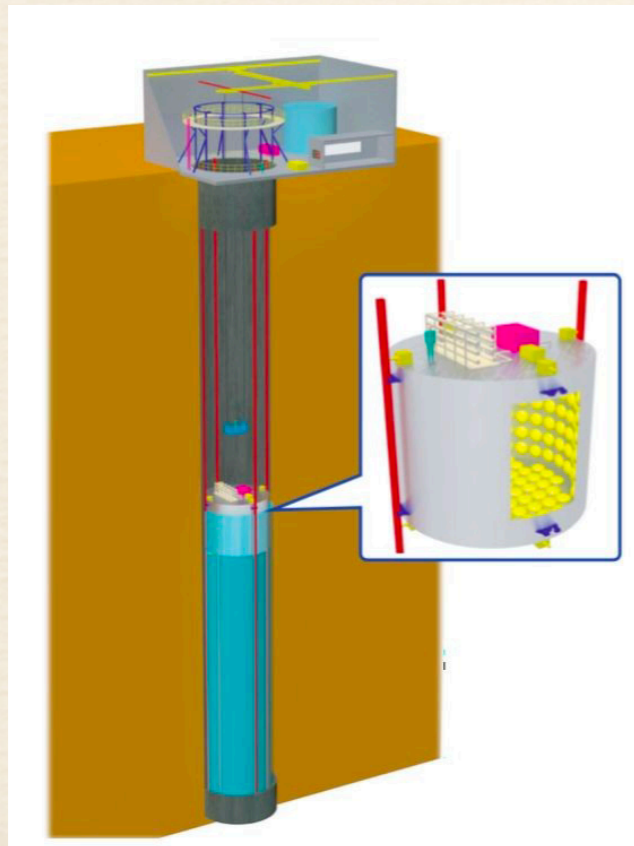
Courtesy S. King,  
King's College

# Hyper-K: New Detectors

Hyper-K

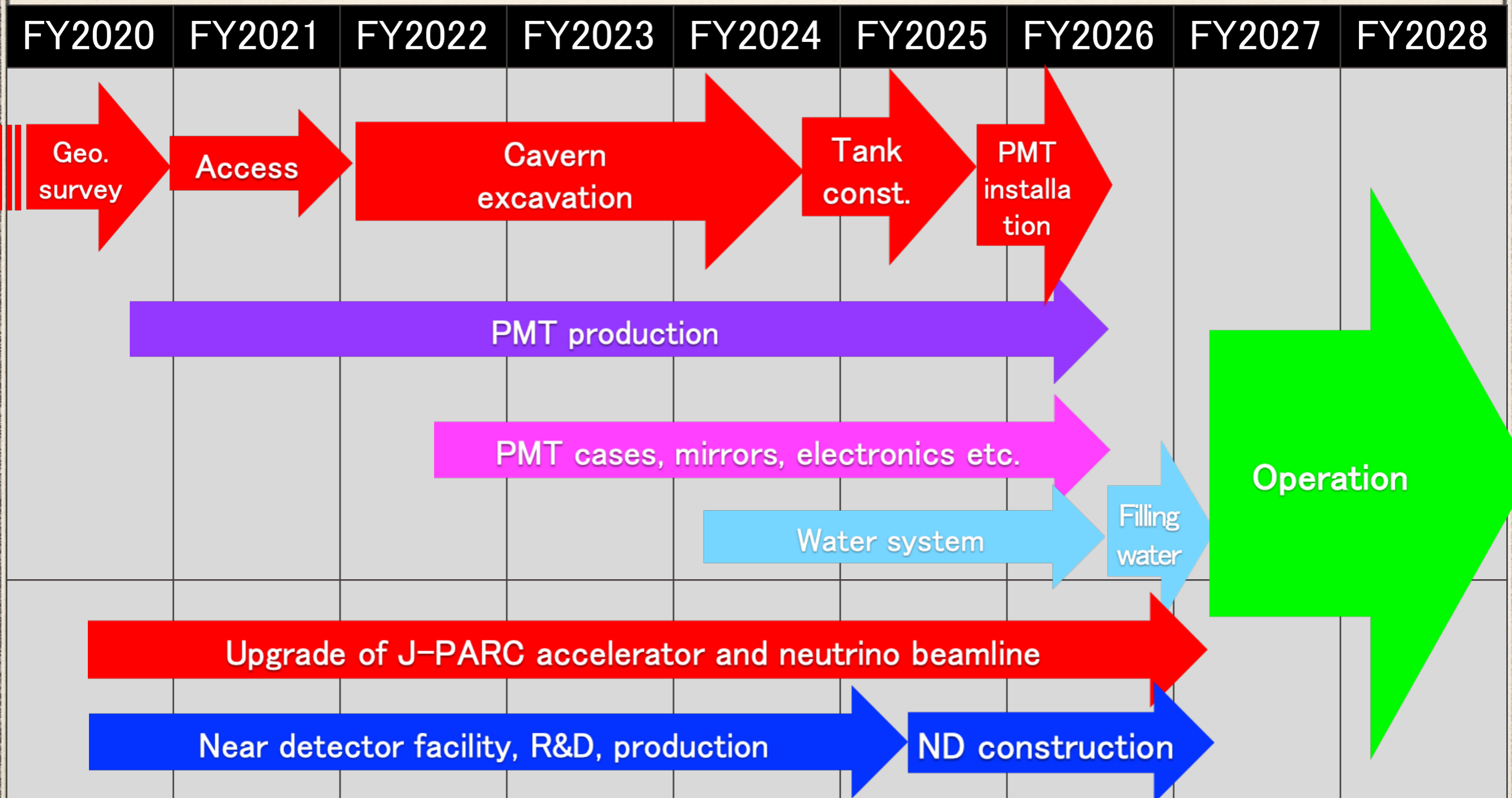


IWCD

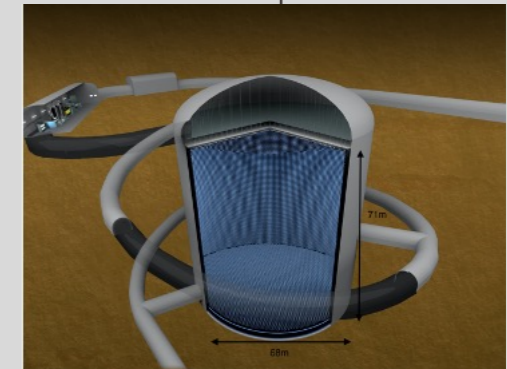
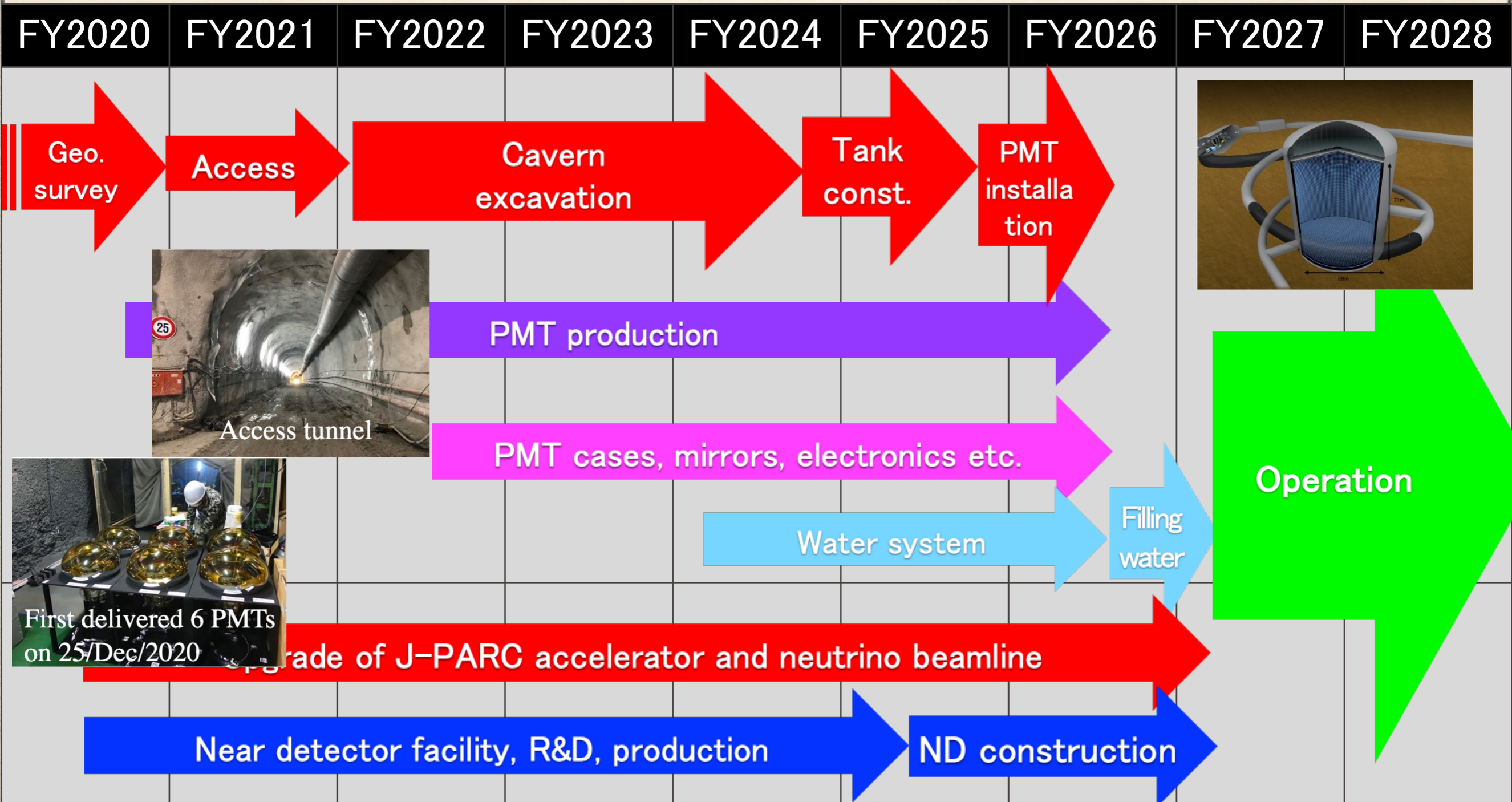


- ❖ diameter 68m, height 71m  $\Rightarrow$  cavern diameter 69m, height 73m + dome on top
- ❖ tunnel construction almost done, cavern excavation will start soon,
- ❖ tank will be built in 2024/2025, PMTs will be installed in 2025/2026, and data collection starts in 2027
- ❖ Gd doping not in Hyper-K baseline design
- ❖ IWCD:  $\sim$ 1kt scale intermediate Gd-doped water Cherenkov detector with minimal overburden
- ❖ diameter  $\sim$ 8m, height  $\sim$ 6m
- ❖ uses multi-PMT modules (19 3" PMTs)

# Hyper-Kamiokande Schedule



# Hyper-Kamiokande Schedule



# Photosensors: 20'' PMTs

## Inner Detector

	Super-K	Hyper-K
	11,129 20'' PMTs	20,000 20'' PMTs (JPN) (+addition PDs) (Overseas))
photo-coverage	40%	20%
single photon efficiency/PMT	~12%	~24%
dark noise	~5kHz (typical)	4kHz (average)
time resolution (one p.e)	~3ns	~1.5ns

- ❖ PMT production has started on time for 20'' 'Box & Line' dynode PMTs
- ❖ 300 PMTs this month
- ❖ 20,000 by 2026 (according to the Japanese budget profile)



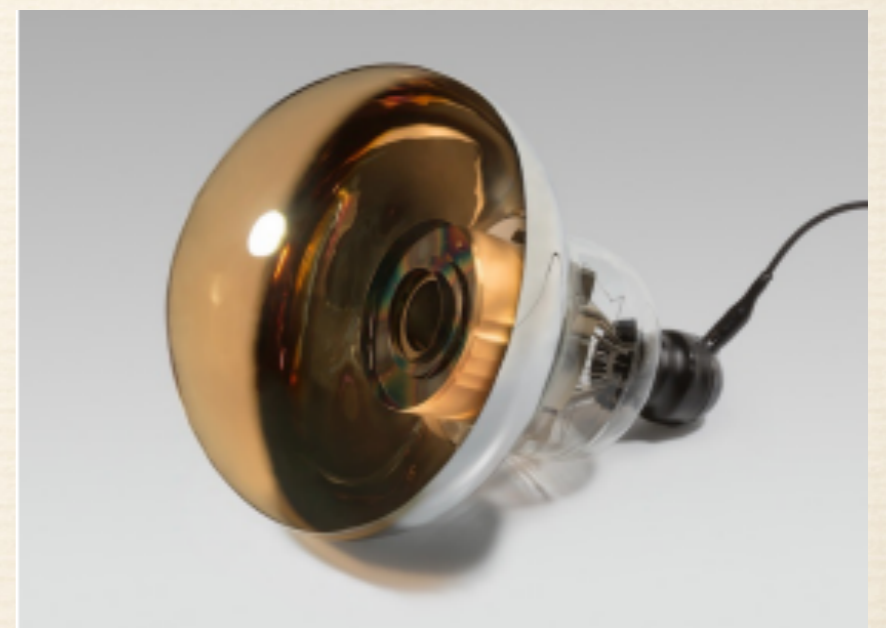
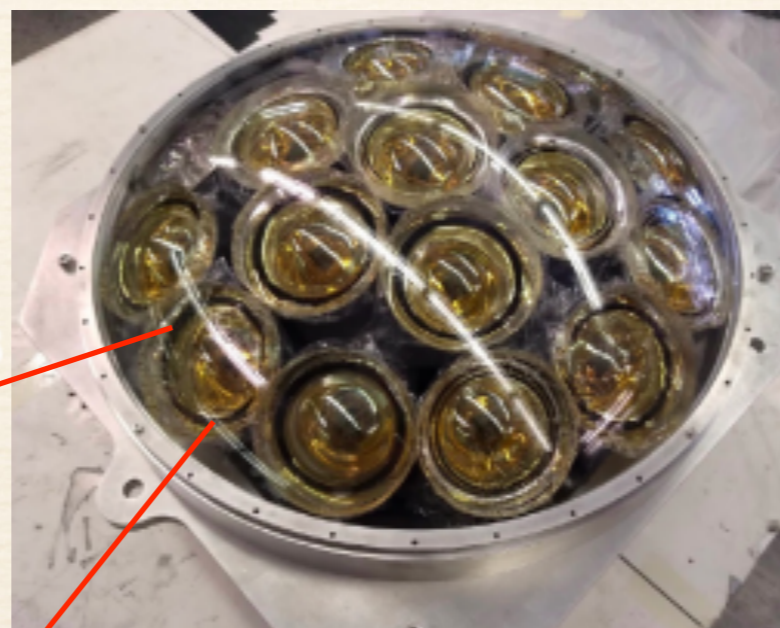
2020/12 First six PMTs delivered to Kamioka



# Photosensors: mPMTs vs 20" PMTs

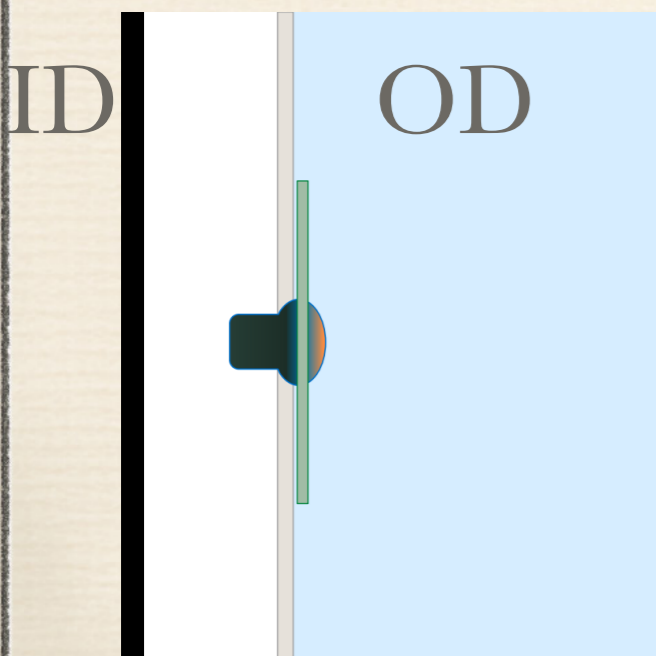
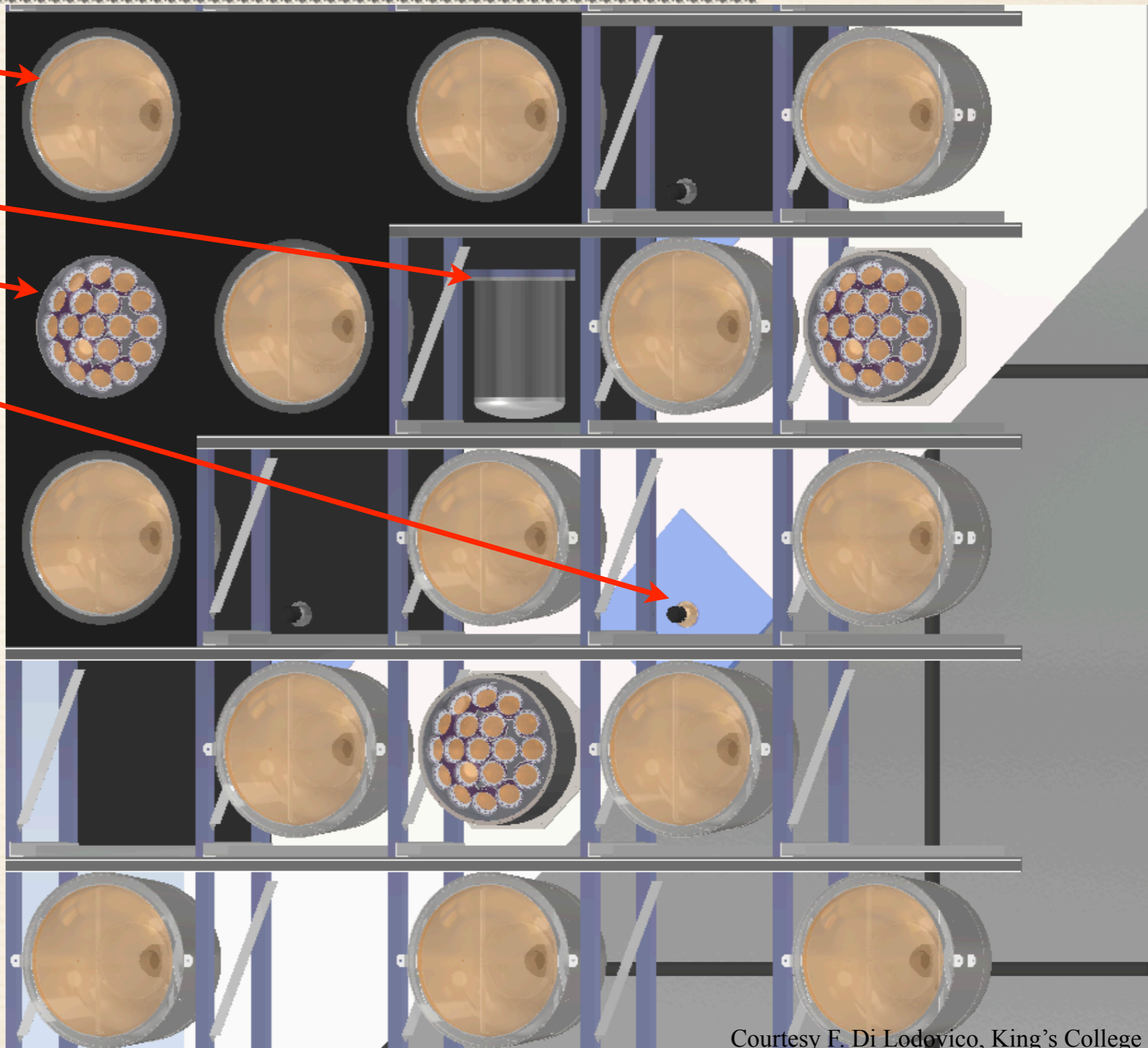
	mPMT: 19 x 3" PMTs	20" 'B&L' PMT
photo-cathode area	870 cm <sup>2</sup>	2000 cm <sup>2</sup>
effective light yield	~ 1 hit/MeV/5,000 mPMTs	~6 hits/MeV/20,000 PMTs
dark noise	19 x 200-300 Hz	~4kHz (typical)
transit time spread	1.3ns	2.7ns
comments	<ul style="list-style-type: none"> <li>• granularity</li> <li>• directionality</li> <li>• better time resolution</li> </ul>	<ul style="list-style-type: none"> <li>• performance confirmed</li> <li>• high photon detection efficiency</li> </ul>

- ❖ complementary measurements of Cherenkov light
- ❖ systematic error reductions



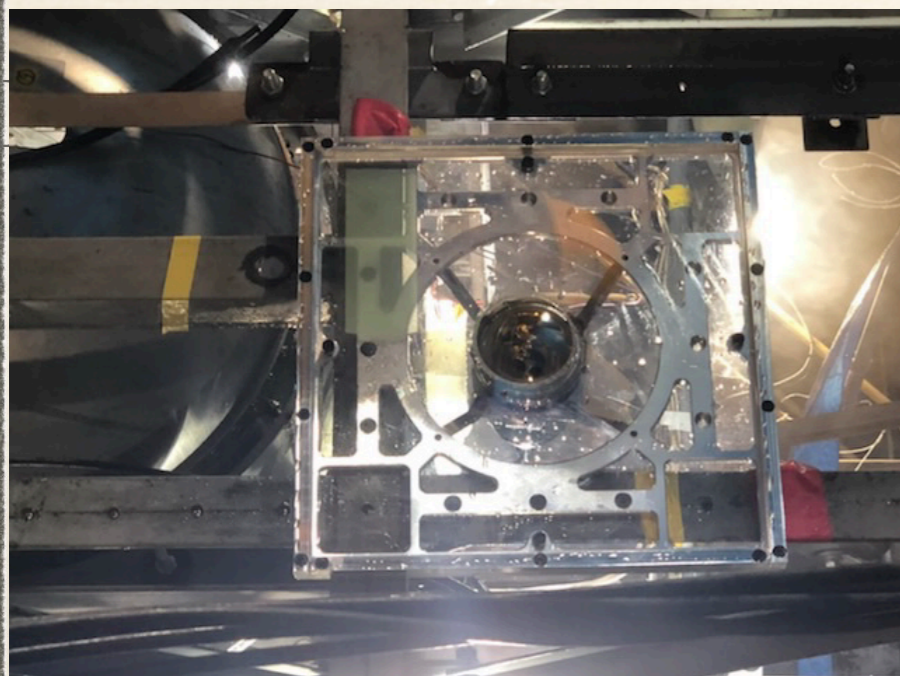
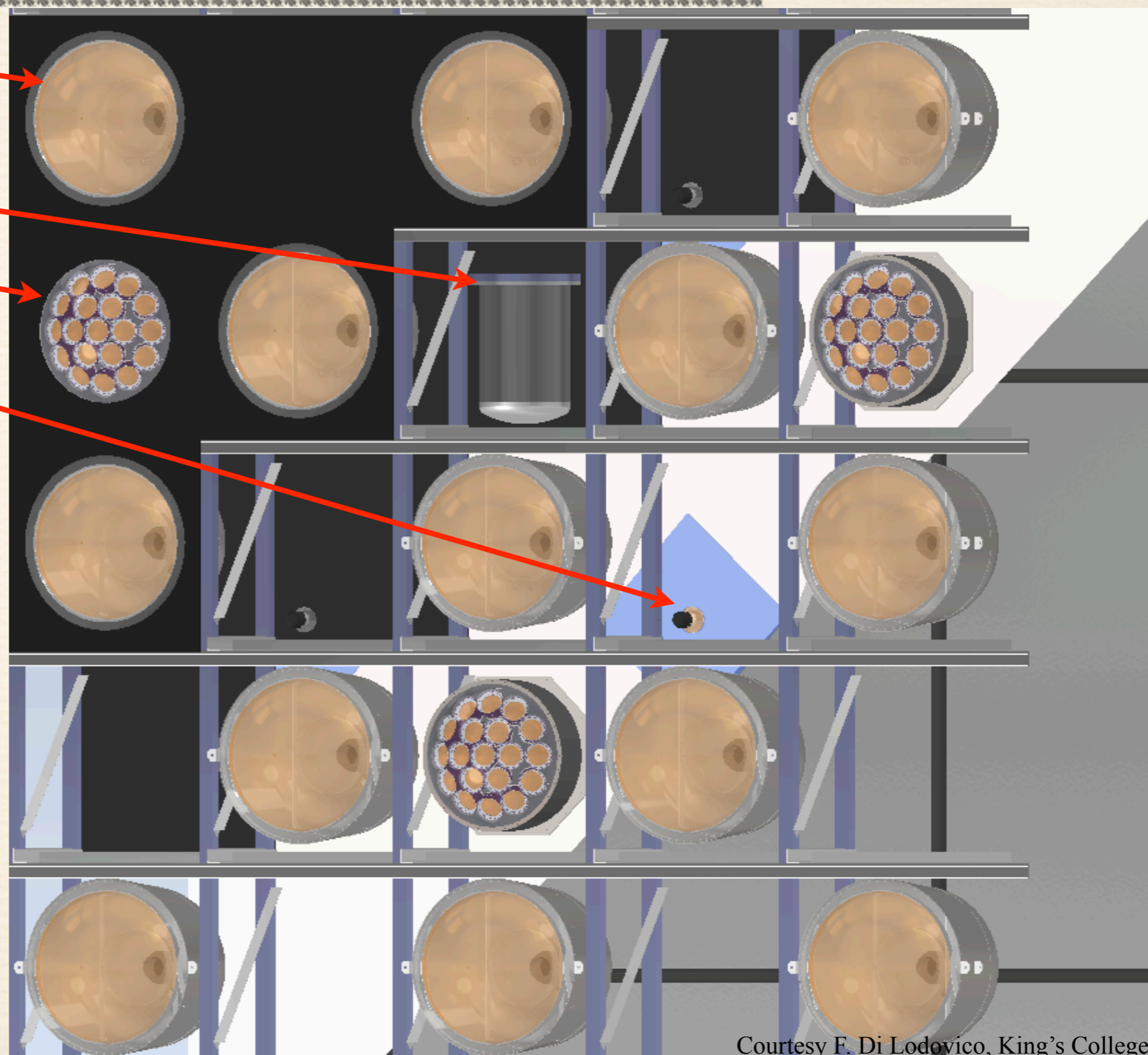
# Photosensor Configuration

- ❖ ID 20" 'B&L' PMTs
- ❖ in-water electronics (ID and OD)
- ❖ ID mPMTs
- ❖ OD 3" PMT with wavelength shifter plate
- ❖ OD separated from ID by black sheet and reflective Tyvek



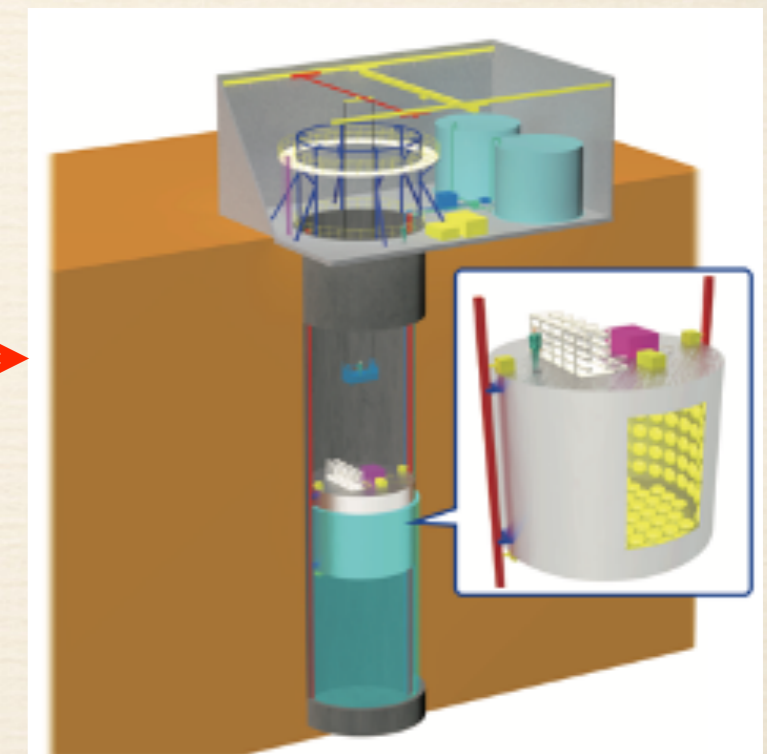
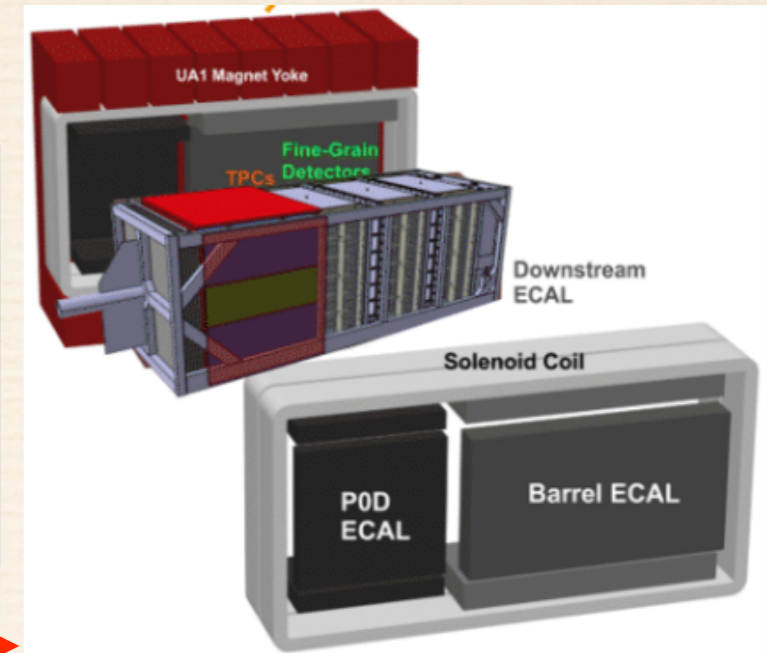
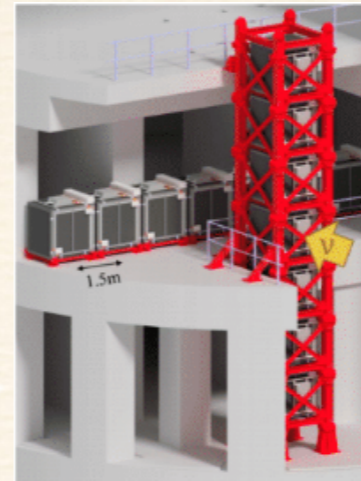
# Photosensor Configuration

- ❖ ID 20" 'B&L' PMTs
- ❖ in-water electronics (ID and OD)
- ❖ ID mPMTs
- ❖ OD 3" PMT with wavelength shifter plate
- ❖ OD separated from ID by black sheet and reflective Tyvek



# Near Detectors

- ❖ critical for beam oscillation measurements: understand J-PARC beam, neutrino cross sections, detector systematics
- ❖ beam monitor (INGRID) →
  - ❖ on and off axis
  - ❖ measure beam direction, monitor intensity
- ❖ ND 280 →
  - ❖ off axis
  - ❖ magnetized tracker: charge separation of wrong-sign background
- ❖ IWCD →
  - ❖ off axis
  - ❖ water Cherenkov detector like Hyper-K
  - ❖ cross sections as a function of neutrino energy (determined from axis angle)

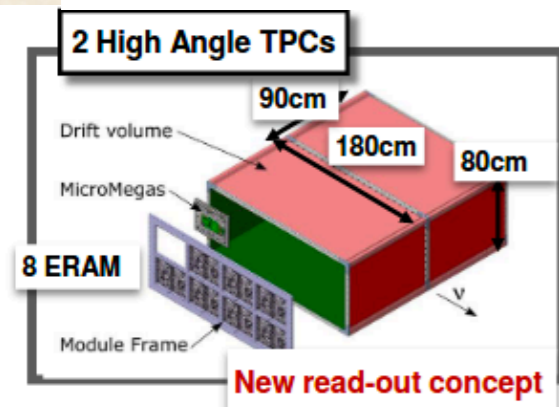
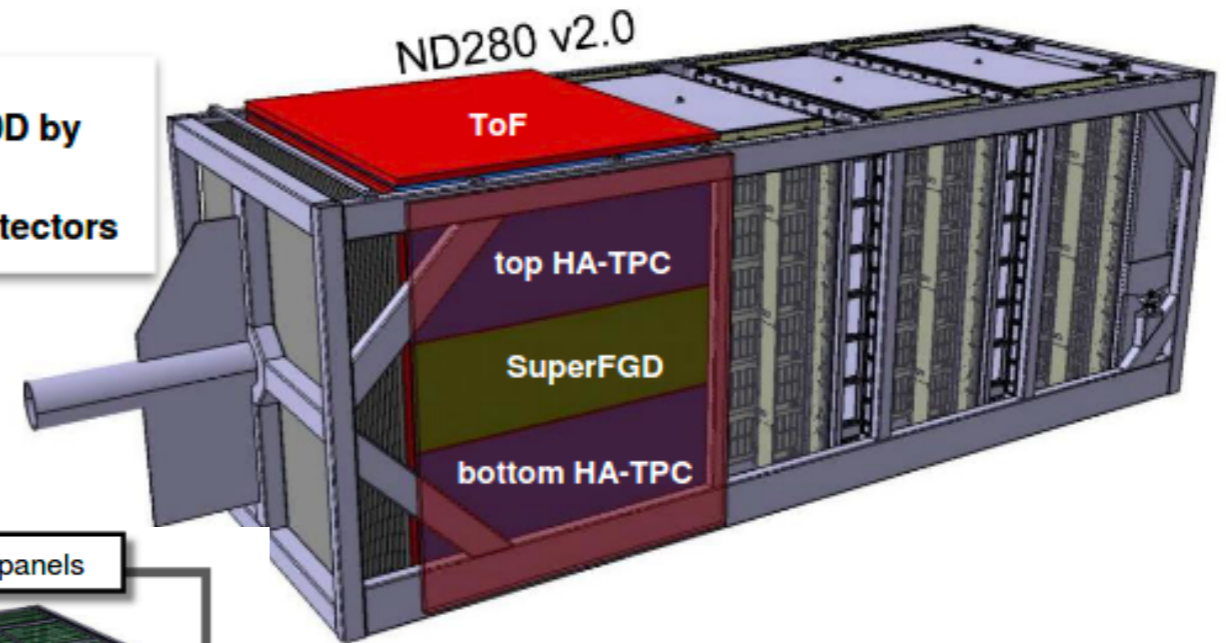


# Near Detectors

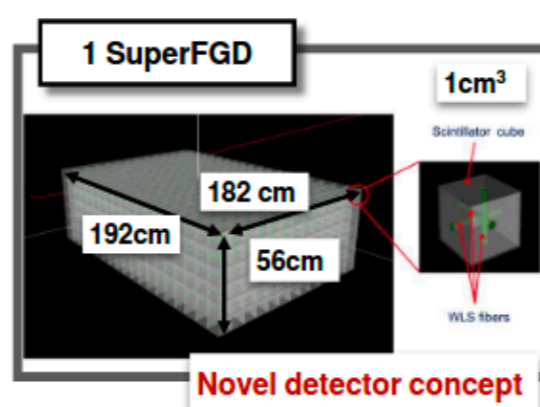
- ❖ T2K upgrade: ND280 2.0
- ❖ replace P0D by three new detectors

Courtesy T2K (M. Batkiewicz-Kwaśniak, The H. Niewodniczański Institute of Nuclear Physics Polish Academy of Sciences, Cracow)

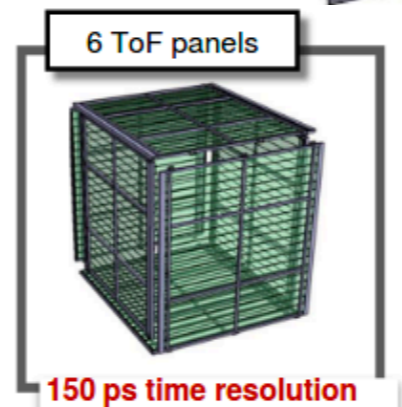
Replace P0D by new subdetectors



NIM A 957 163286 (2020)

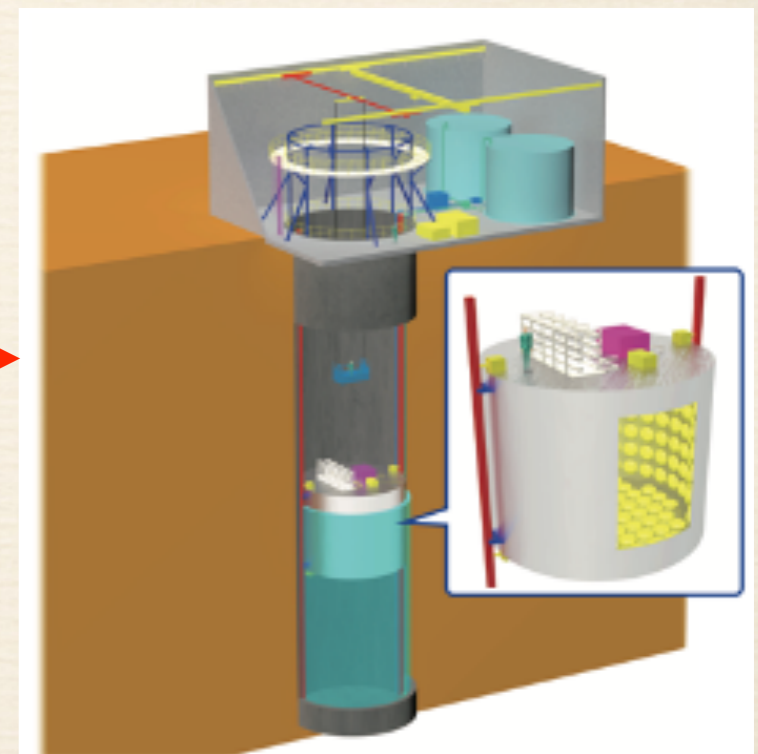


JINST 13, P02006 (2018)  
JINST 15 P12003 (2020)



JPS Conf. Proc. 27, 011005 (2019)

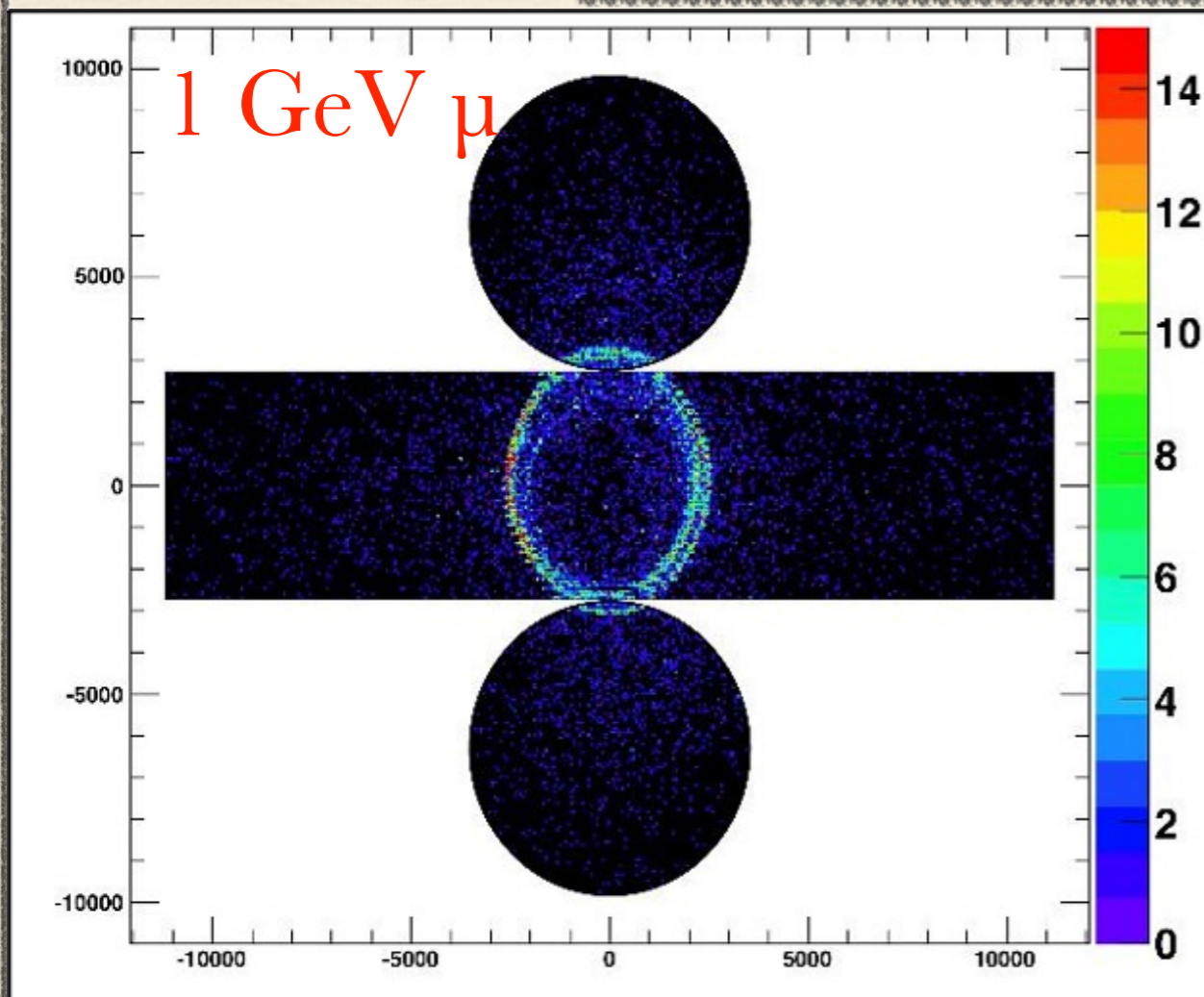
sign



- ❖ IWCD

- ❖ off axis
- ❖ water Cherenkov detector like Hyper-K
- ❖ cross sections as a function of neutrino energy (determined from axis angle)

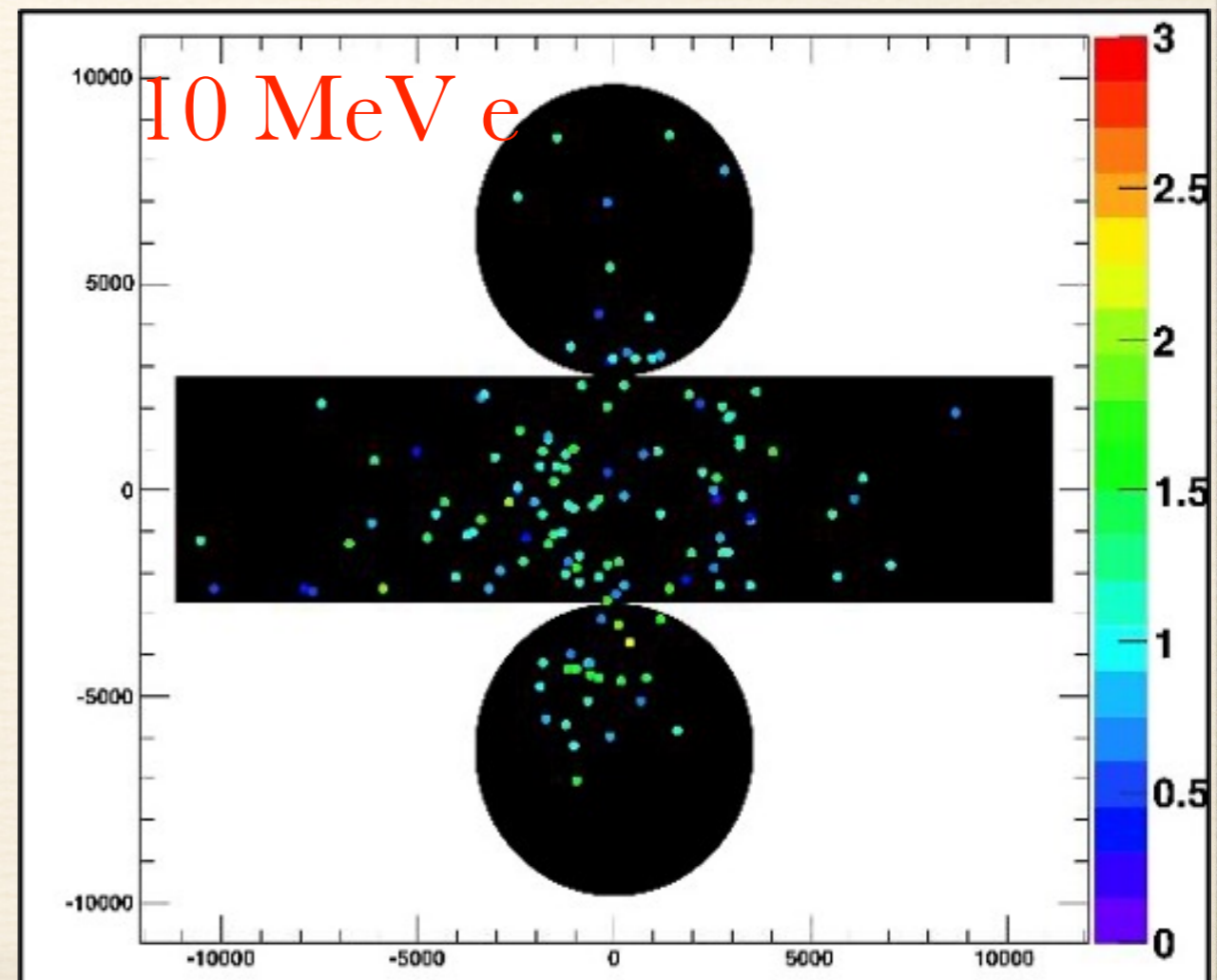
# Hyper-K Event Reconstruction



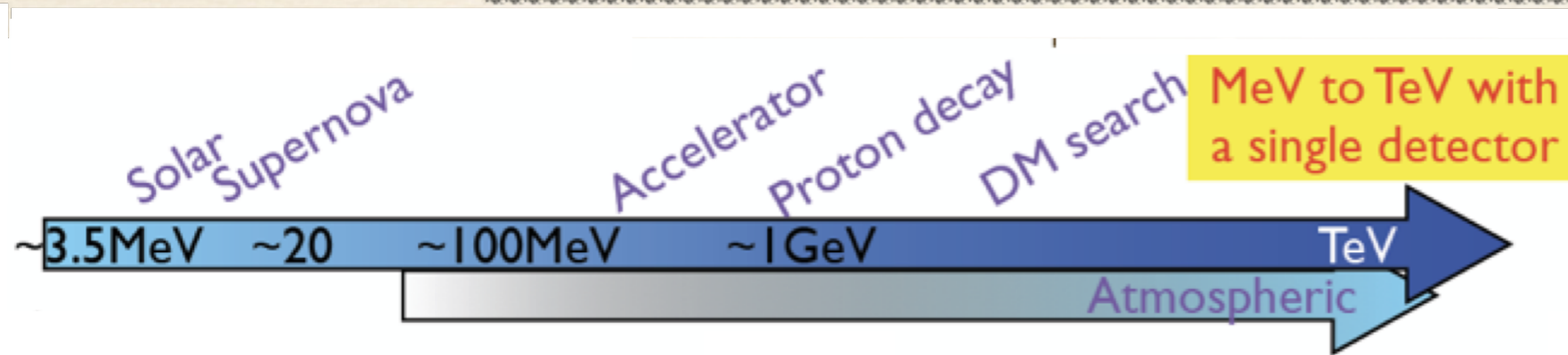
- ❖ PMT time: vertex
- ❖ “rings”: directions
- ❖ “brightness”: momentum
- ❖ “sharpness”: particle ID

neutrino interactions

- ❖ CC interaction  $\nu_{\mu/e} + N \rightarrow \mu/e + X$
- ❖ NC interaction  $\nu + N \rightarrow \nu + X$
- ❖ ES interaction  $\nu_x + e \rightarrow \nu_x + e$



# Hyper-K Physics Signals



	material	Fiducial Mass (kton)
Super-K	Water	22
<b>Hyper-K</b>	<b>Water</b>	<b>190</b>
DUNE	Argon	40
JUNO	Liq. Scinti	20

- ❖ Low Energy  $O(1 \text{ MeV to } 10 \text{ MeV})$ :
  - ❖ solar  $^8\text{B}$  and hep neutrinos:  $\sim 130/\text{day}$  (above 4.5 MeV recoil electron kin. energy)
  - ❖ reactor neutrinos
- ❖ Medium Energy  $O(30 \text{ MeV})$ :
  - ❖ supernova neutrinos
- ❖ High Energy  $O(100 \text{ MeV to } 1 \text{ TeV})$ :
  - ❖ atmospheric neutrinos
  - ❖ nucleon decay
  - ❖ JPARC neutrino beam
  - ❖ astrophysical neutrinos

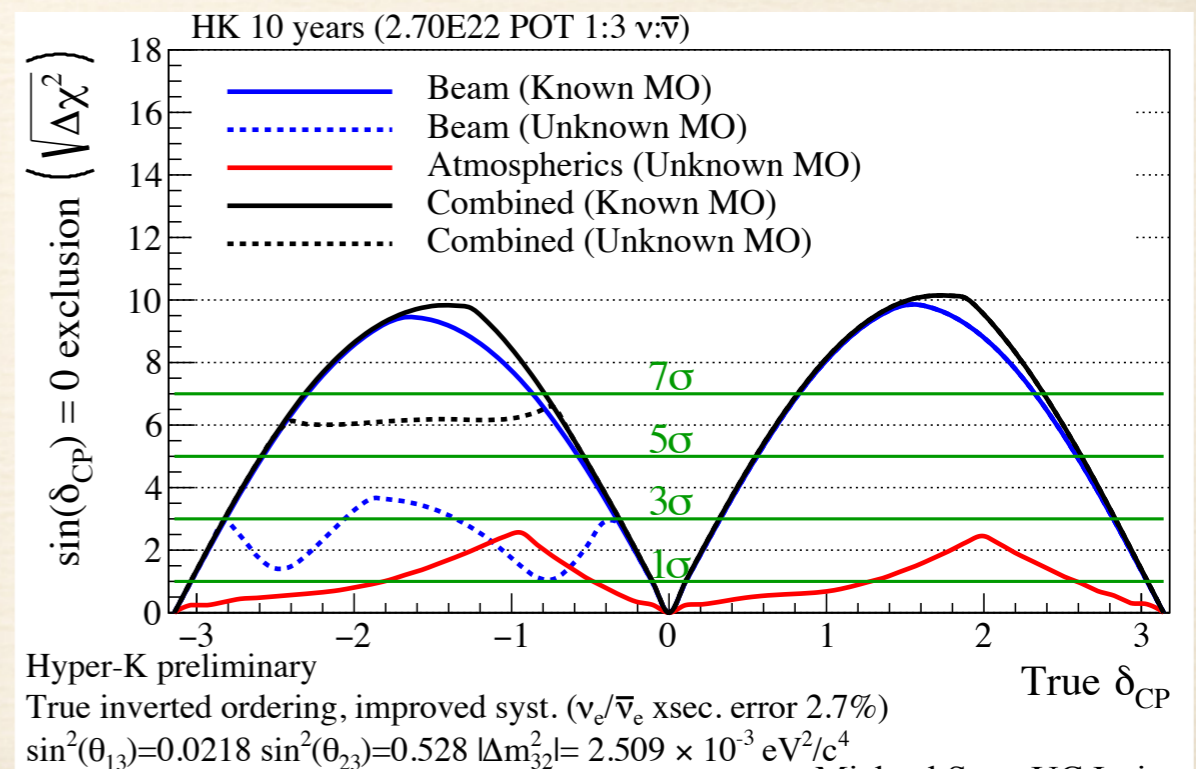
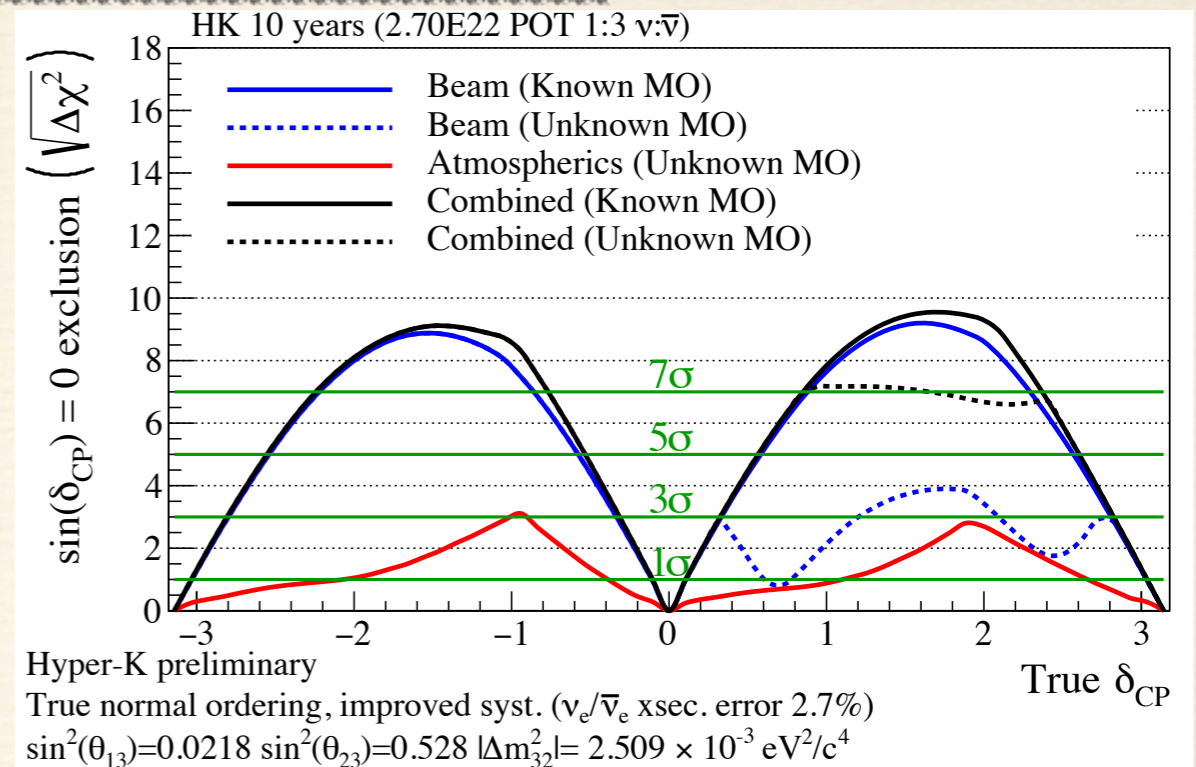
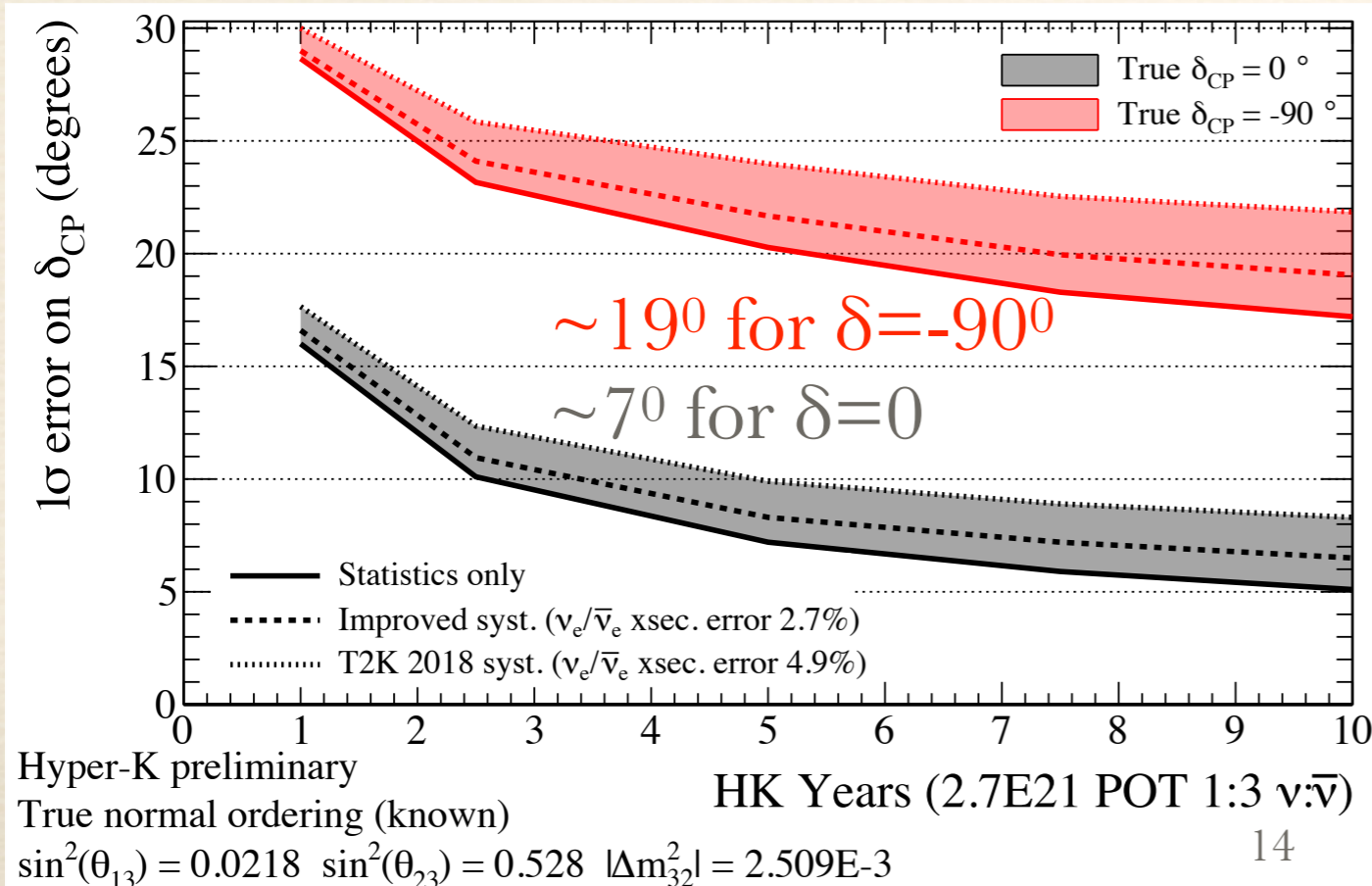
# Accelerator Neutrinos

- ❖ DUNE “philosophy”
  - ❖ long baseline to be sensitive to matter effects
  - ❖ high energy, wide-band beam to measure oscillation pattern for neutrinos and anti-neutrinos
  - ❖ fine-grained detector to be able to use all (CC) cross section channels
  - ❖ near detector to characterize beam and measure “unoscillated” spectra
- ❖ Hyper-K “philosophy”
  - ❖ shorter baseline to reduce correlation between CPV and matter effects
  - ❖ low energy, narrow-band beam to focus on CCQE
  - ❖ inexpensive water Cherenkov detector with limited tracking ability; can afford larger fiducial mass and use it for atmospheric neutrino measurements of matter effects
  - ❖ near detectors to characterize beam, and an additional intermediate detector to measure “unoscillated spectra” (using the “Nu-prism” beam angle technique)
- ❖ neutrino oscillation measurements of both experimental programs are complementary leading to a more robust understanding of the underlying physics and a smaller impact of systematic effects (e.g. cross section uncertainties)

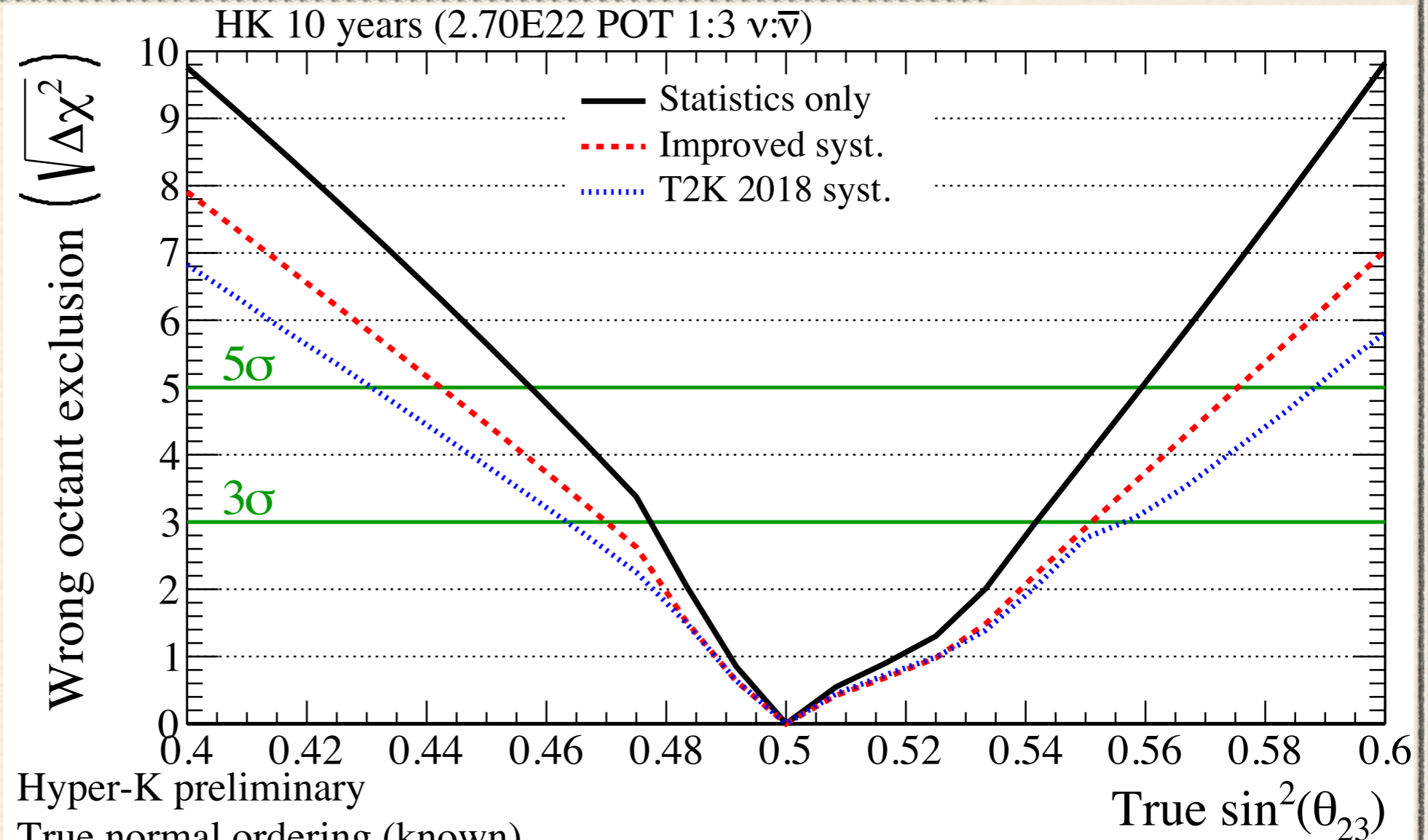


# Accelerator Neutrinos: CPV Sensitivity

- ❖ good chance to discover leptonic CPV
- ❖ measure CPV phase
- ❖ best  $5\sigma$  coverage of  $\delta$  if mass ordering is known
- ❖ use atmospheric neutrinos to help remove mass ordering ambiguity



# Accelerator Neutrinos: Octant Sensitivity



❖ for known, normal ordering

Hyper-K preliminary

True normal ordering (known)

$\sin^2(\theta_{13}) = 0.0218$   $|\Delta m_{32}^2| = 2.509\text{E-}3$   $\delta_{\text{CP}} = -1.601$

❖ improved systematics: exclude wrong octant at  $>5\sigma$  unless  $0.47 < \sin^2\theta_{23} < 0.55$

❖ T2K 2018 systematics: exclude wrong octant at  $>3\sigma$  unless  $0.46 < \sin^2\theta_{23} < 0.55$

# Atmospheric Neutrinos

- ❖ baselines up to 12,000km (measured by zenith angle)

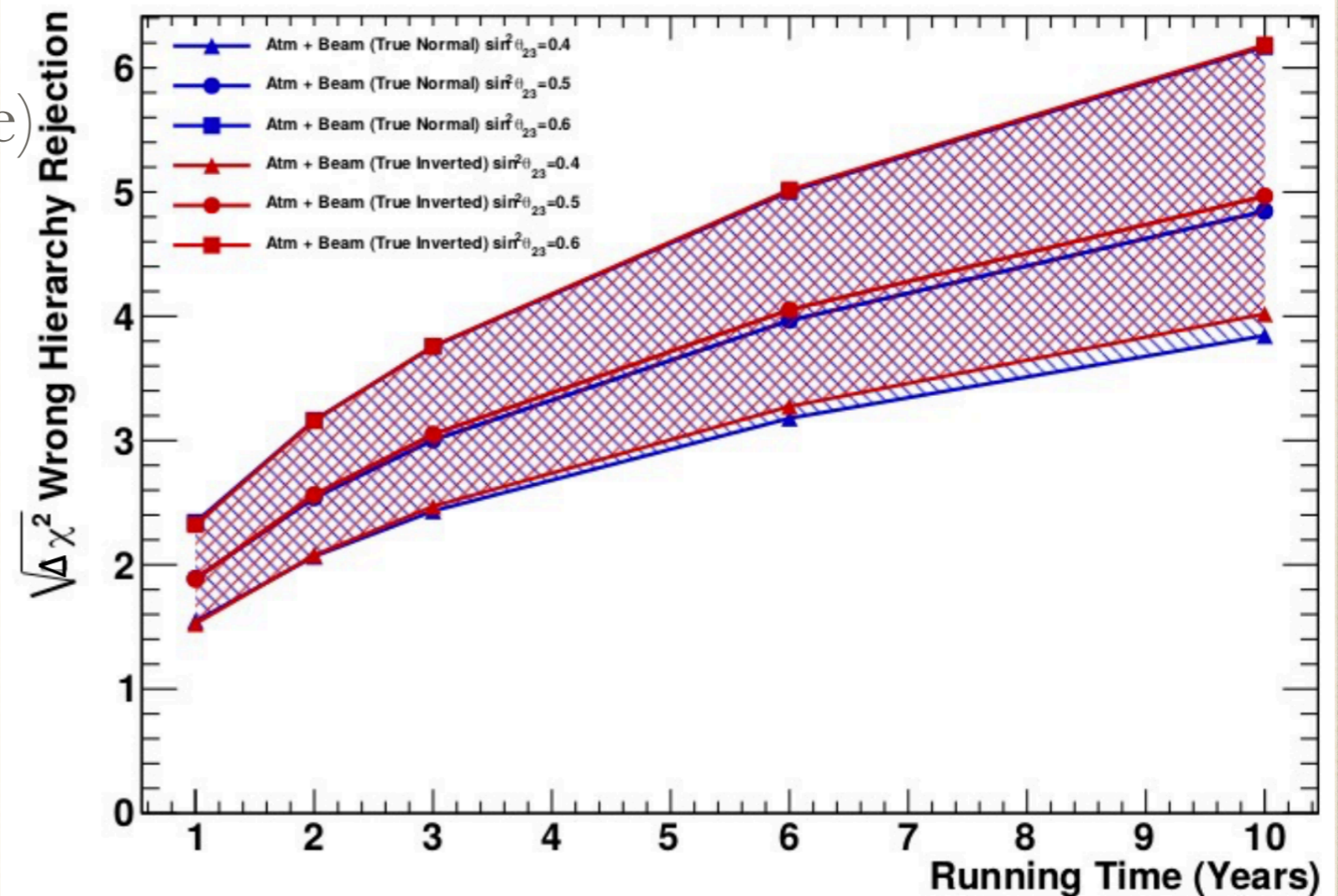
- ❖ strong matter effects on neutrinos passing deep inside the earth:

- ❖ normal ordering:  $\nu_\mu \rightarrow \nu_e$  is enhanced

- ❖ inverted ordering:  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  is enhanced

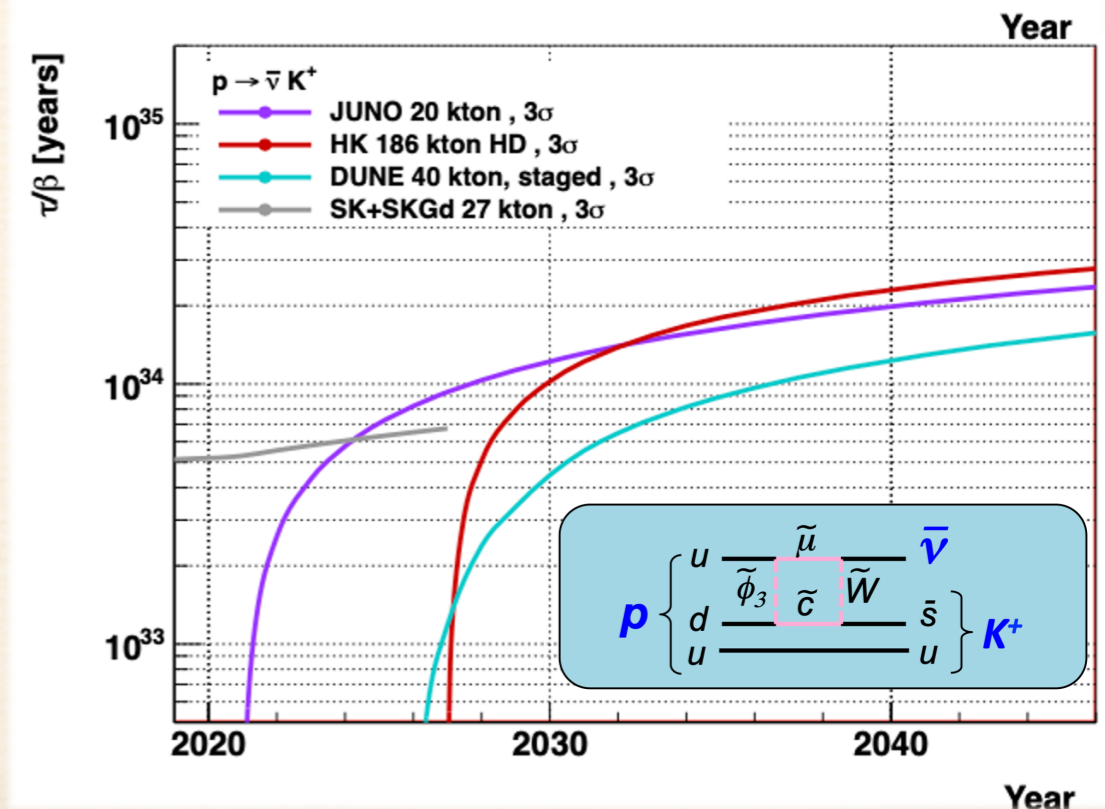
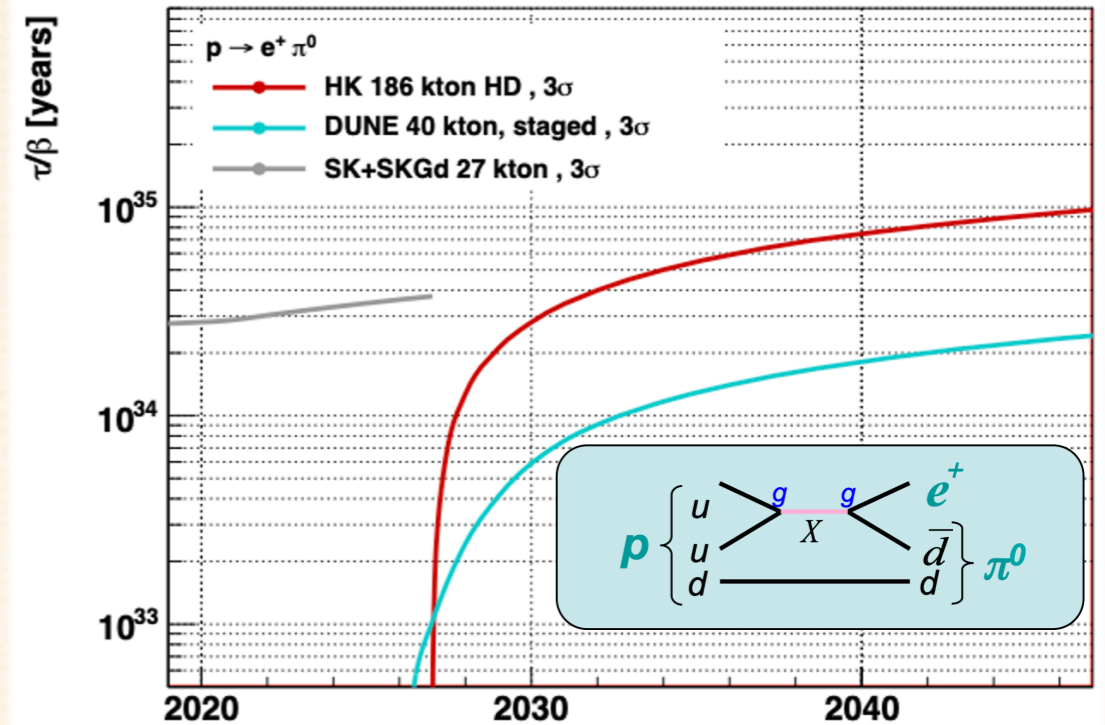
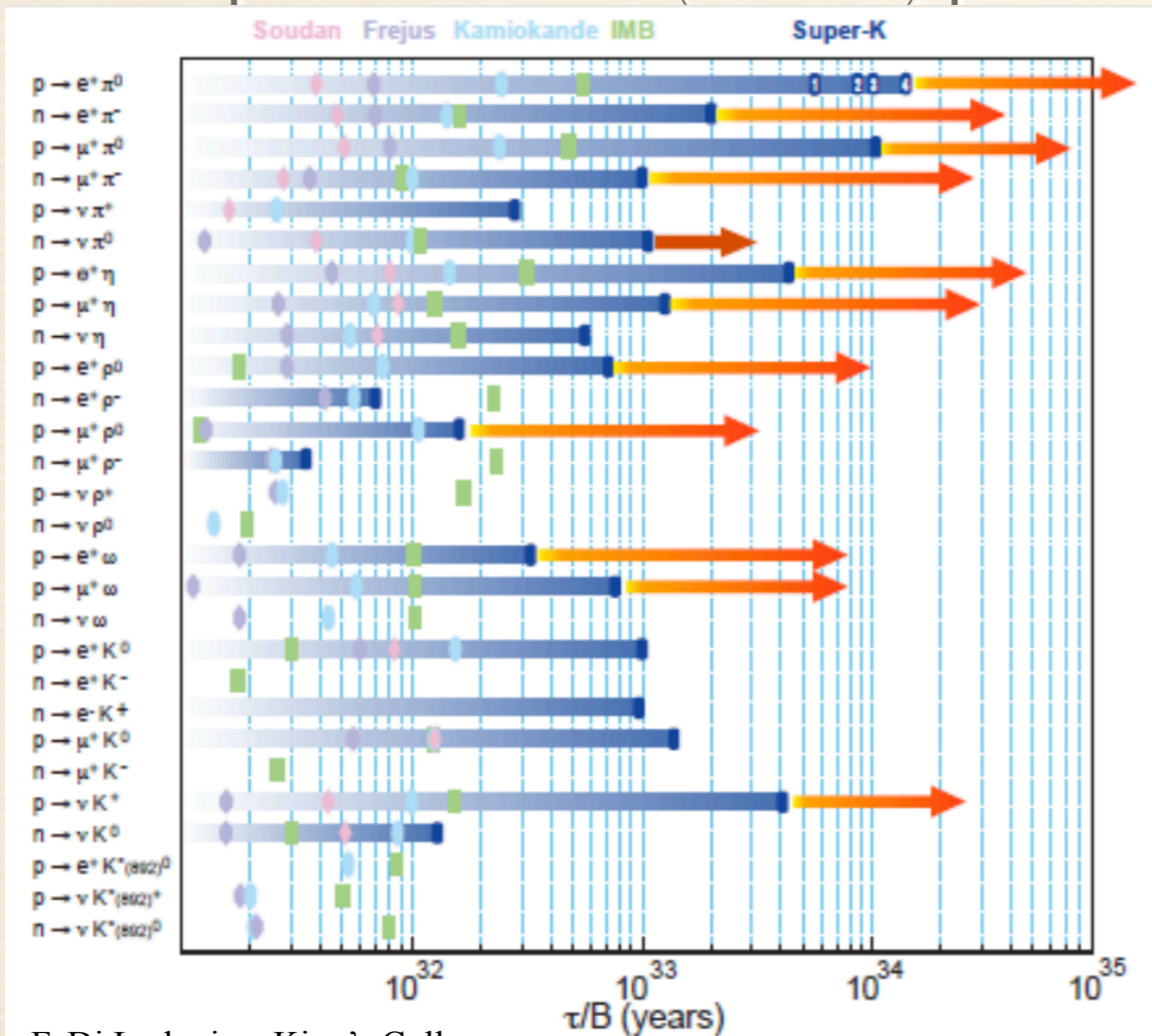
- ❖ beam + atmospheric data exclude wrong ordering by 4-6 $\sigma$  depending on  $\sin^2\theta_{23}$

- ❖ synergy of beam and atmospheric analysis (event reconstruction, MC generation systematic error evaluation, etc.)



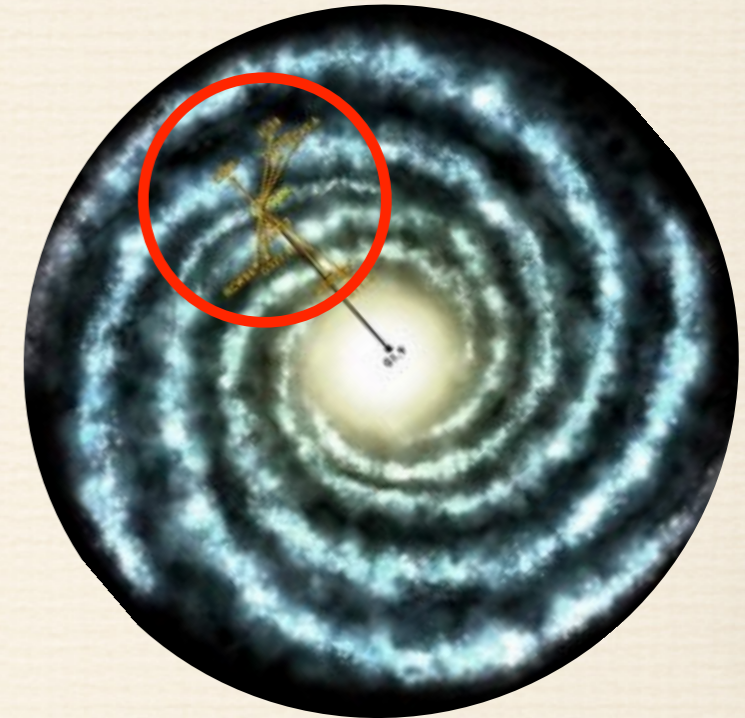
# Nucleon Decay

- ❖ Hyper-K will be the biggest nucleon decay experiment
- ❖ sensitivity to many channels, not just the iconic  $p \rightarrow e^+ \pi^0$  and (SUSY)  $p \rightarrow \nu K^+$



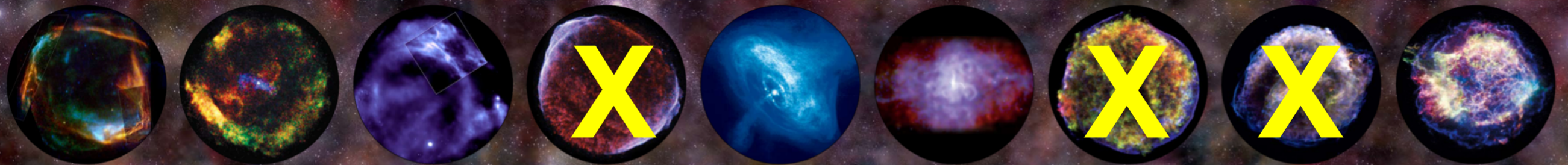
# Neutrinos from Rare, Nearby (=Galactic) Core-Collapse Supernovae

- ❖ only six recorded CC explosions in  $\sim 1800$  years in Milky Way (9 SN remnants in milky way)
- ❖ see only  $\sim 20\%$ :  $\sim 2$  CCSN/century  
... and SN1885a (M31) SN 1987a (LMC)



from: M. Vagins, WATCHMAN meeting at Virginia Tech in 2013

## BLASTS FROM THE PAST: HISTORIC SUPERNOVAS



185

386

393

1006

1054

1181

1572

1604

1680

RCW 86

Historical Observers: Chinese  
Likelihood of Identification: Possible  
Distance Estimate: 8,200 light years  
Type: Core collapse of massive star

G11.2-0.3

Historical Observers: Chinese  
Likelihood of Identification: Probable  
Distance Estimate: 16,000 light years  
Type: Core collapse of massive star

G347.3-0.5

Historical Observers: Chinese  
Likelihood of Identification: Possible  
Distance Estimate: 3,000 light years  
Type: Core collapse of massive star?

SN 1006

Historical Observers: Chinese, Japanese, Arabic, European  
Likelihood of Identification: Definite  
Distance Estimate: 7,000 light years  
Type: Thermonuclear explosion of white dwarf

CRAB NEBULA

Historical Observers: Chinese, Japanese, Arabic, Native American?  
Likelihood of Identification: Definite  
Distance Estimate: 6,000 light years  
Type: Core collapse of massive star

3C58

Historical Observers: Chinese, Japanese  
Likelihood of Identification: Possible  
Distance Estimate: 10,000 light years  
Type: Core collapse of massive star

TYCHO'S SNR

Historical Observers: European, Chinese, Korean  
Likelihood of Identification: Definite  
Distance Estimate: 7,500 light years  
Type: Thermonuclear explosion of white dwarf

KEPLER'S SNR

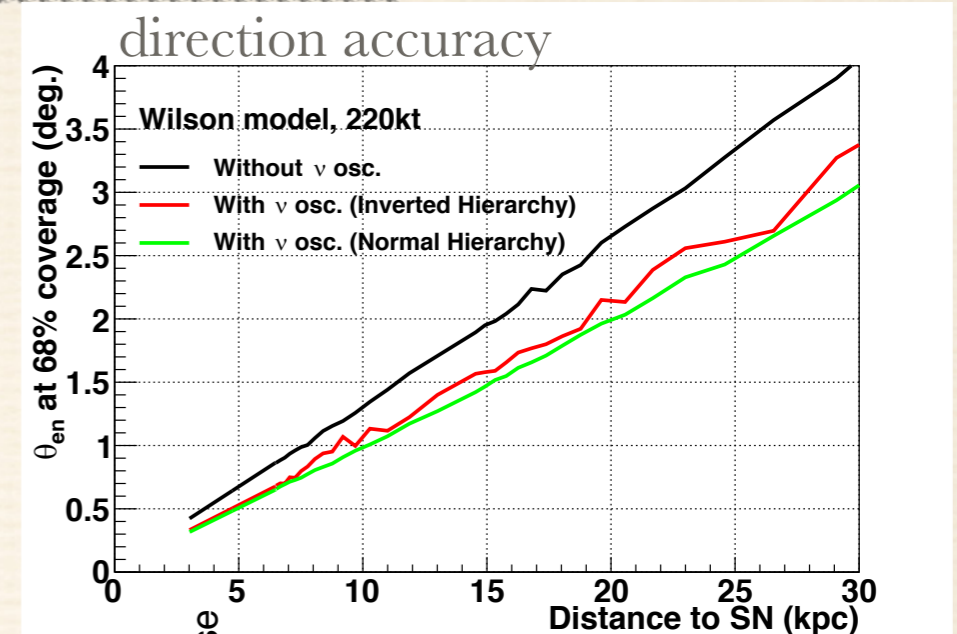
Historical Observers: European, Chinese, Korean  
Likelihood of Identification: Definite  
Distance Estimate: 13,000 light years  
Type: Thermonuclear explosion of white dwarf?

CASSIOPEIA A

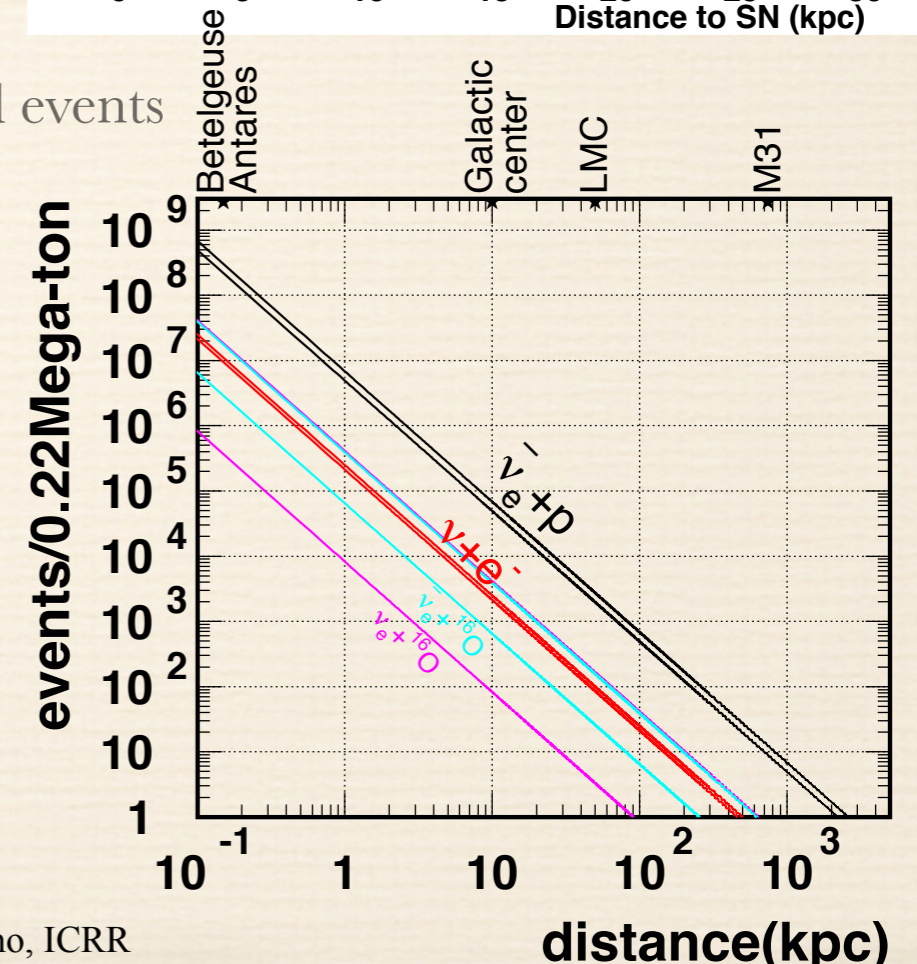
Historical Observers: European?  
Likelihood of Identification: Possible  
Distance Estimate: 10,000 light years  
Type: Core collapse of massive star

# Neutrinos from Core Collapse Supernovae in Hyper-K

- ❖ 50,000 to 80,000 events expected from a core collapse SN at the galactic center (8.5 kpc)
- ❖ 6-10 events expected for a core collapse SN in M31 (750kpc)
- ❖ compare to 11 neutrinos detected at Kamiokande and 8 at IMB at 50kpc
- ❖ astrophysics
  - ❖ explosion mechanism
  - ❖ proto-neutron star formation
  - ❖ black hole formation
- ❖ neutrino physics
- ❖ multi-messenger
  - ❖ early alert with direction
  - ❖ useful for gravitational wave, gamma-ray and X-ray telescopes

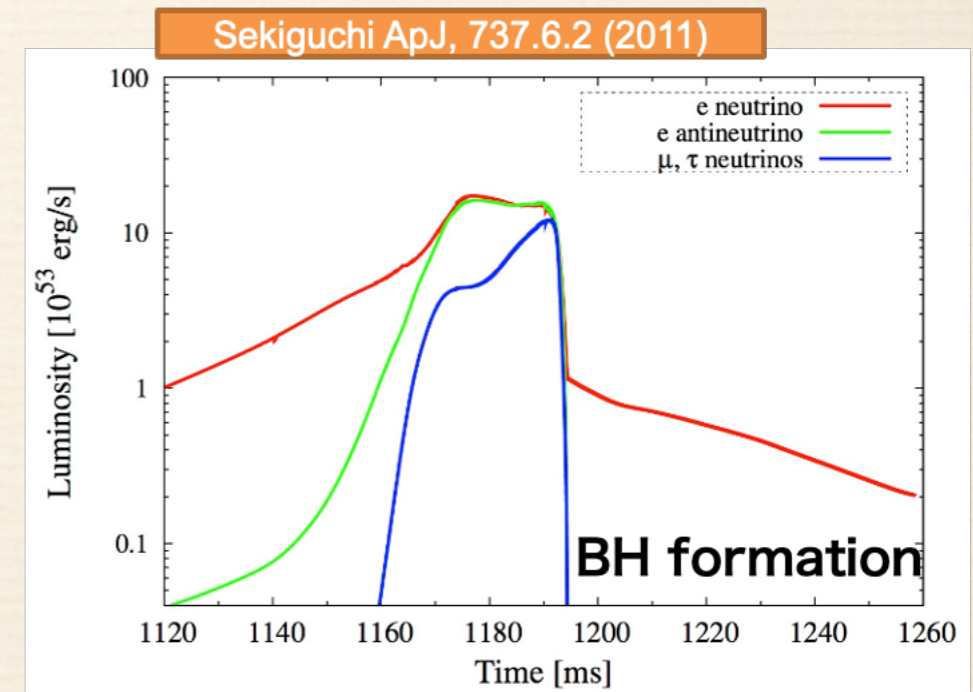
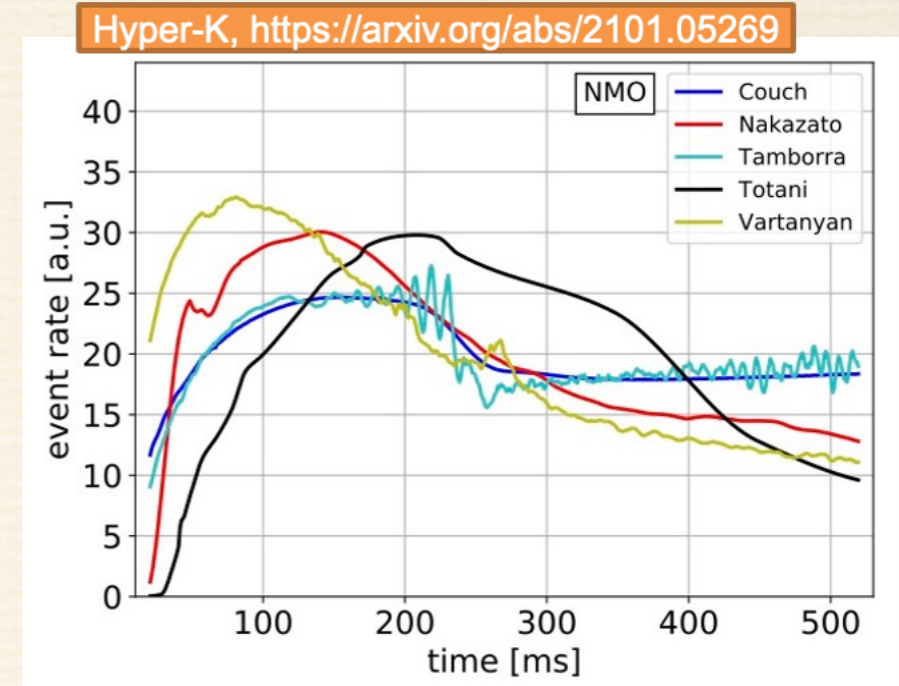


observed events



# Supernova Model Discrimination

- ❖ precise measurement of time profile and energy spectrum
- ❖ chance to observe the explosion mechanism (SASI/Rotation/Convection)
- ❖ by observing neutrinos from nearby galactic can understand dim supernovae/BH formation
- ❖ Hyper-K can distinguish five recent SN models (<https://arxiv.org/abs/2101.05269>)
- ❖ even with just 300 events ( $\sim 60\text{-}100\text{kpc}$ ) can identify SN model with  $>97\%$



# Supernova Model Discrimination

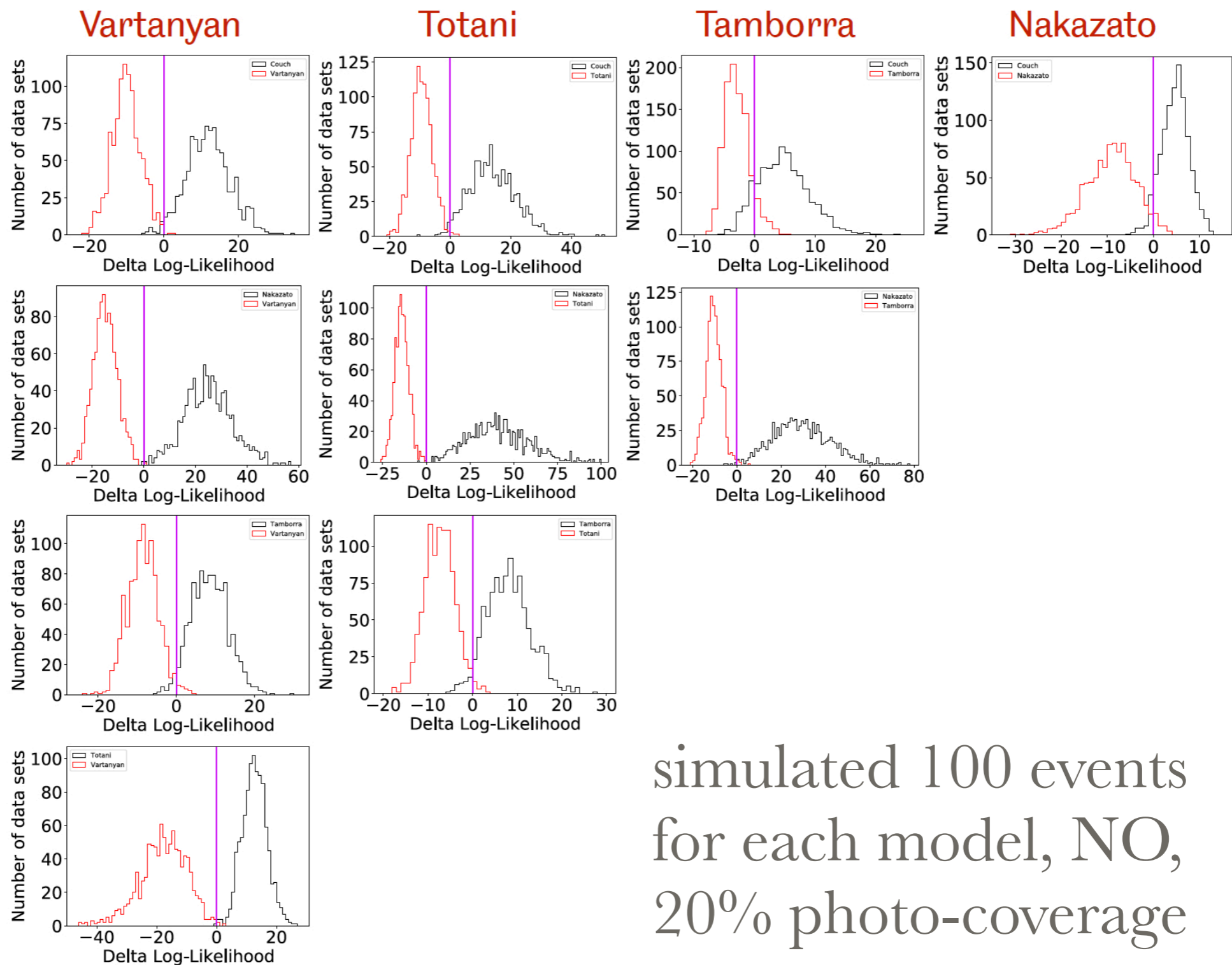
- pre
- en
- ch
- me
- Co
- by
- ga
- su
- Hy
- me
- 21
- ev
- ca

Couch

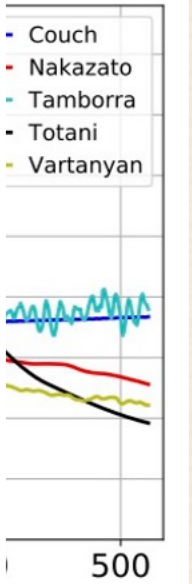
Nakazato

Tamborra

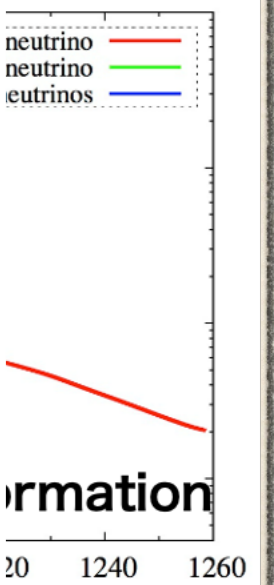
Totani



269



500

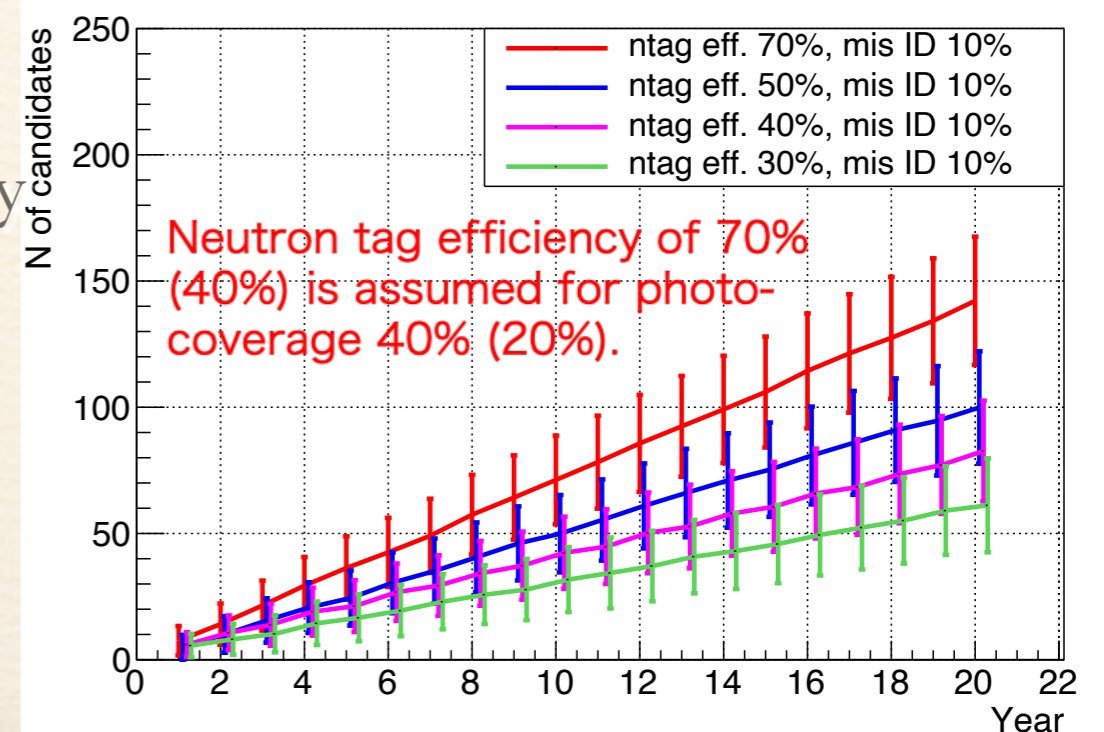
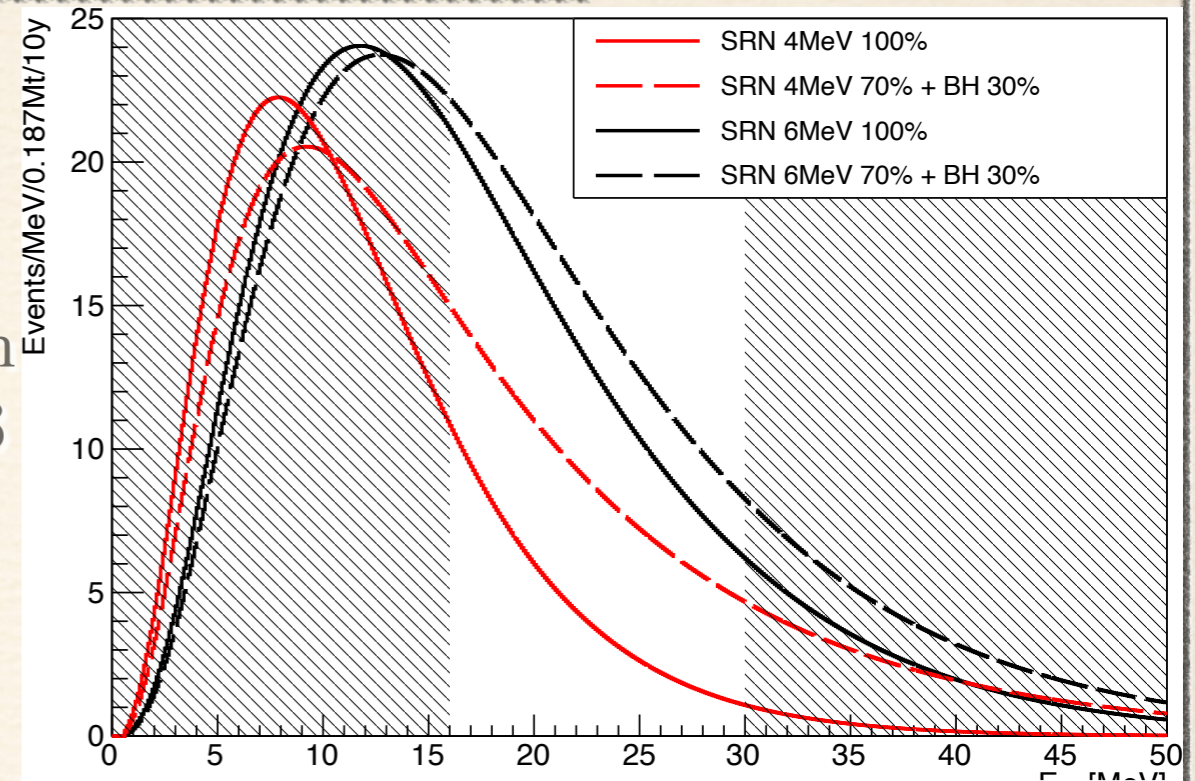


simulated 100 events  
for each model, NO,  
20% photo-coverage



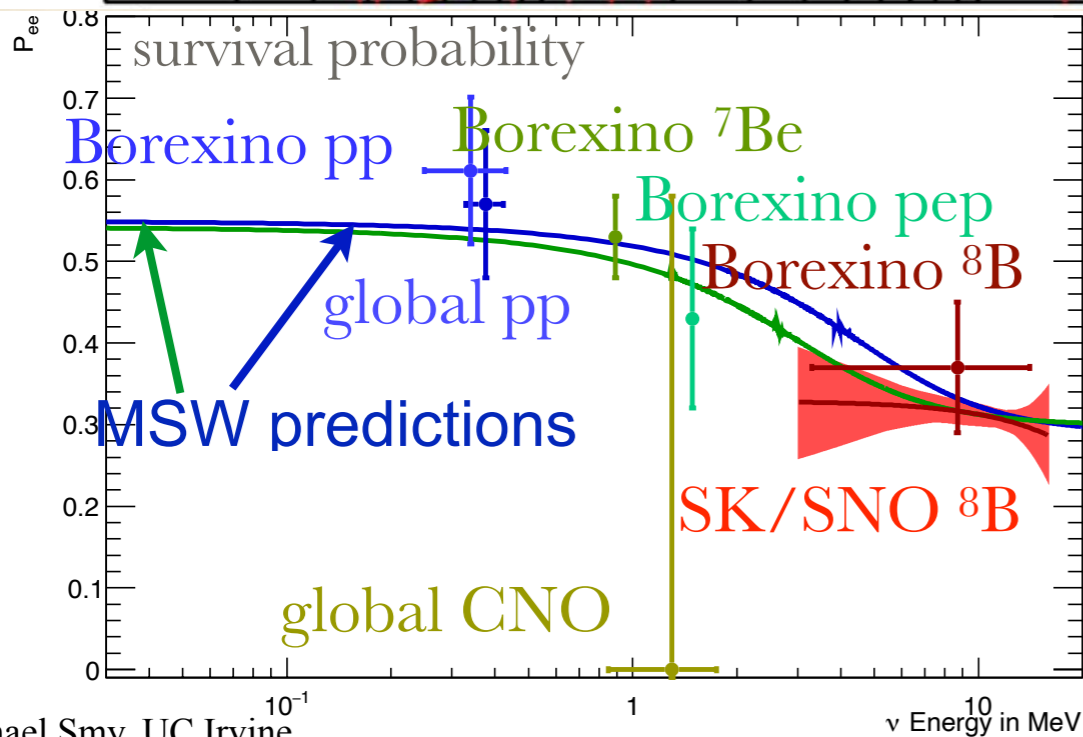
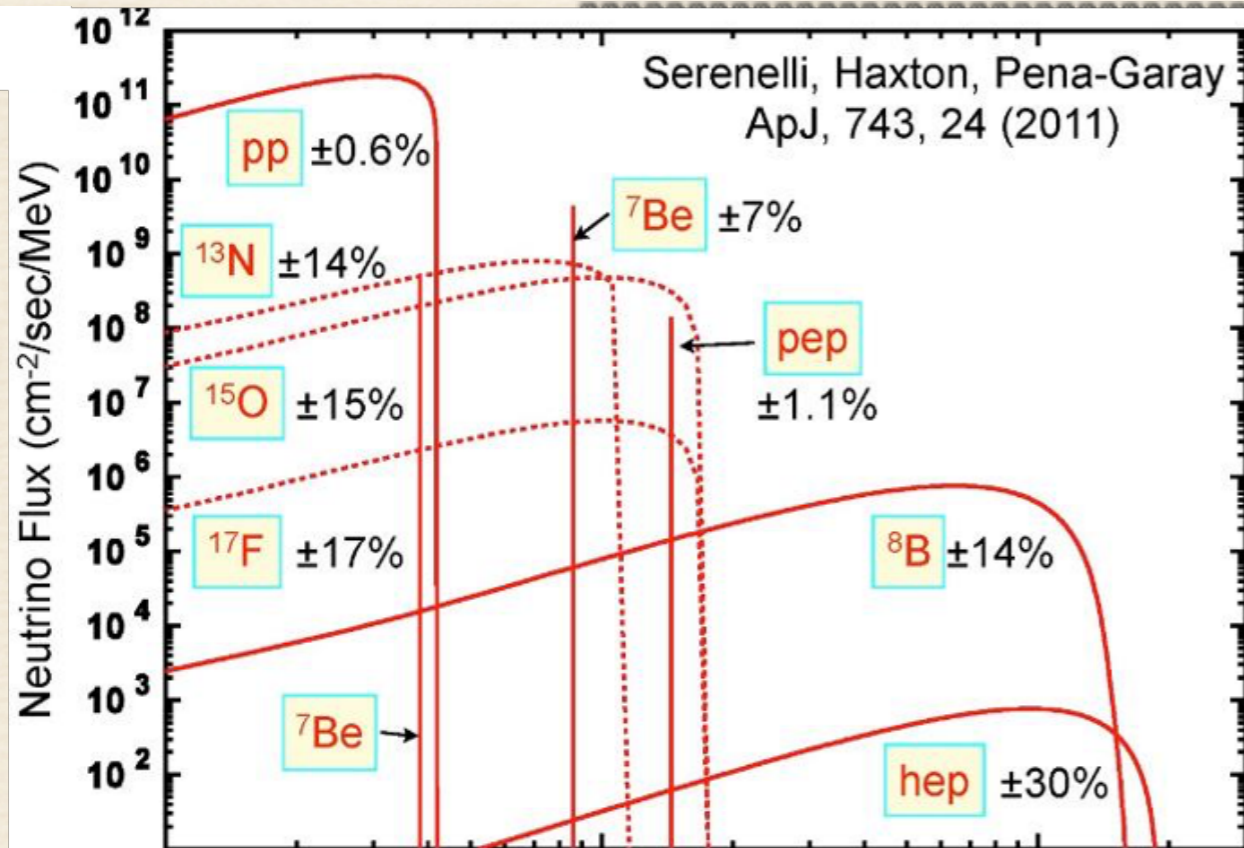
# Distant Supernovae Neutrinos

- ❖ observation in Hyper-K
  - ❖ diffuse, constant  $\nu$  flux of SN up to  $z \sim 1$
  - ❖ see  $\sim 70 \pm 17$  events with  $> 4\sigma$  significance in ten years (photo-coverage 40%) or  $\sim 40 \pm 13$  events with  $> 3\sigma$
  - ❖ move beyond discovery and study SN neutrinos across the universe!
- ❖ physics of Supernovae:
  - ❖ test star formation rate (factor of  $\sim 2$  discrepancy between expected and optically observed SN rate)
  - ❖ measure temperature of typical SN (from positron energy spectrum)
  - ❖ unusual supernova (optically dim and/or BH formation)

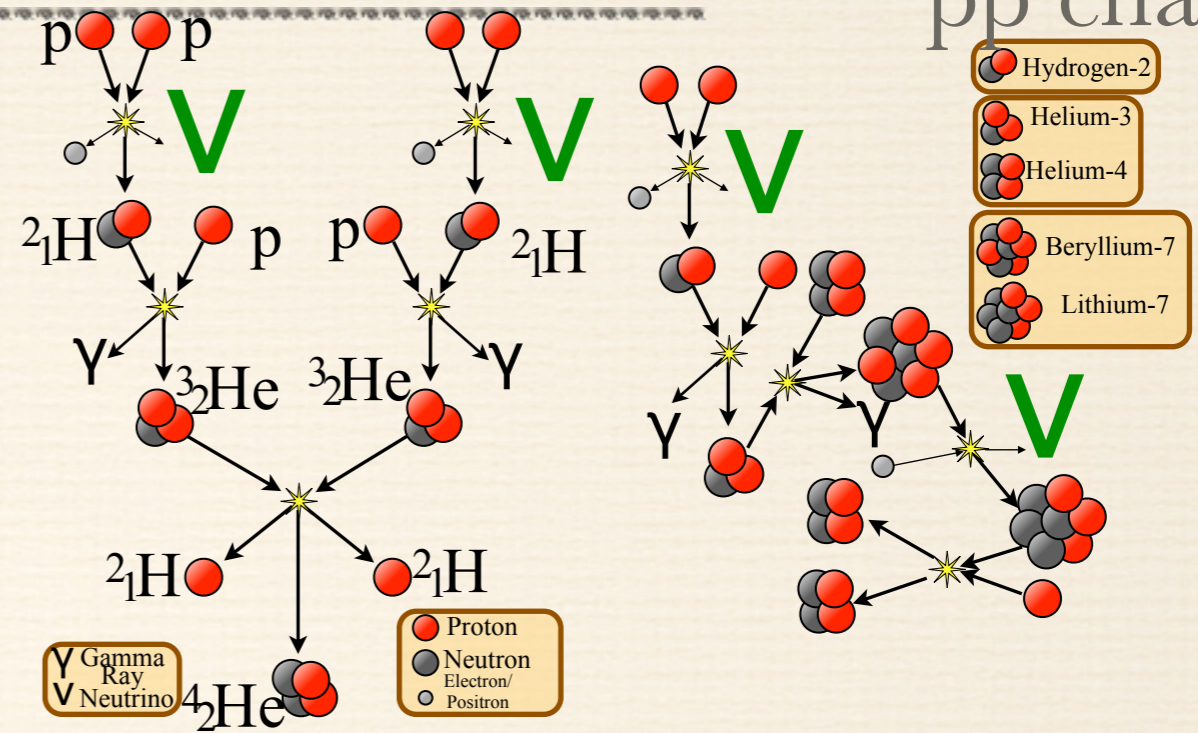


# Solar Neutrino Observation

neutrino fluxes



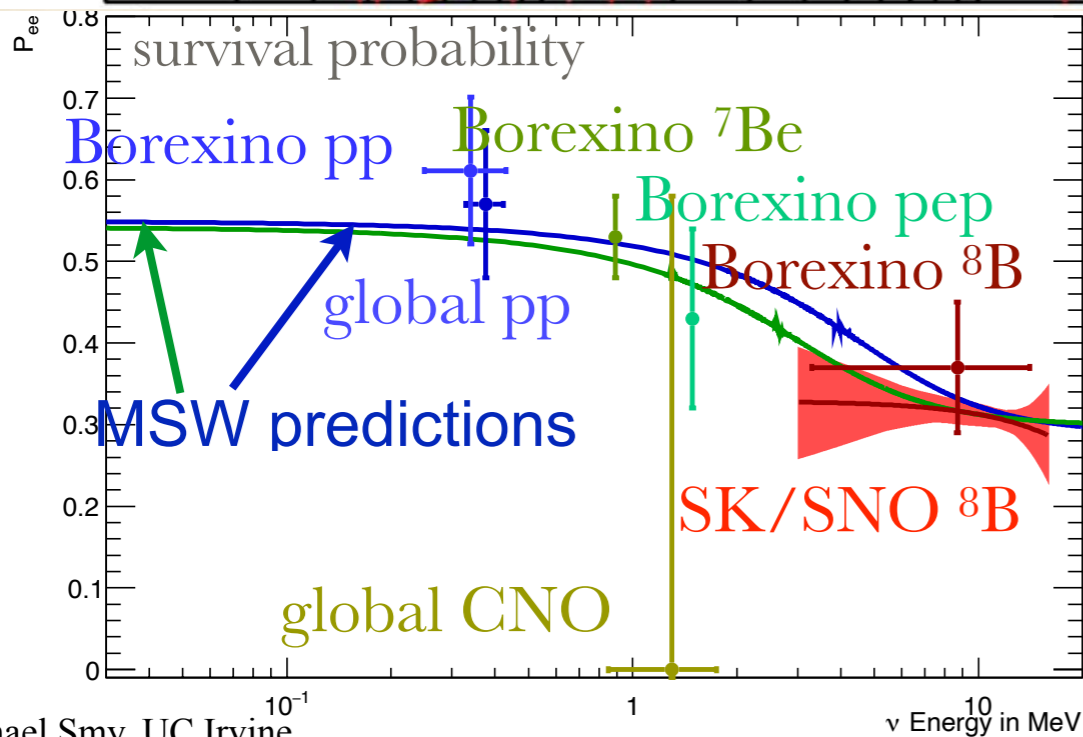
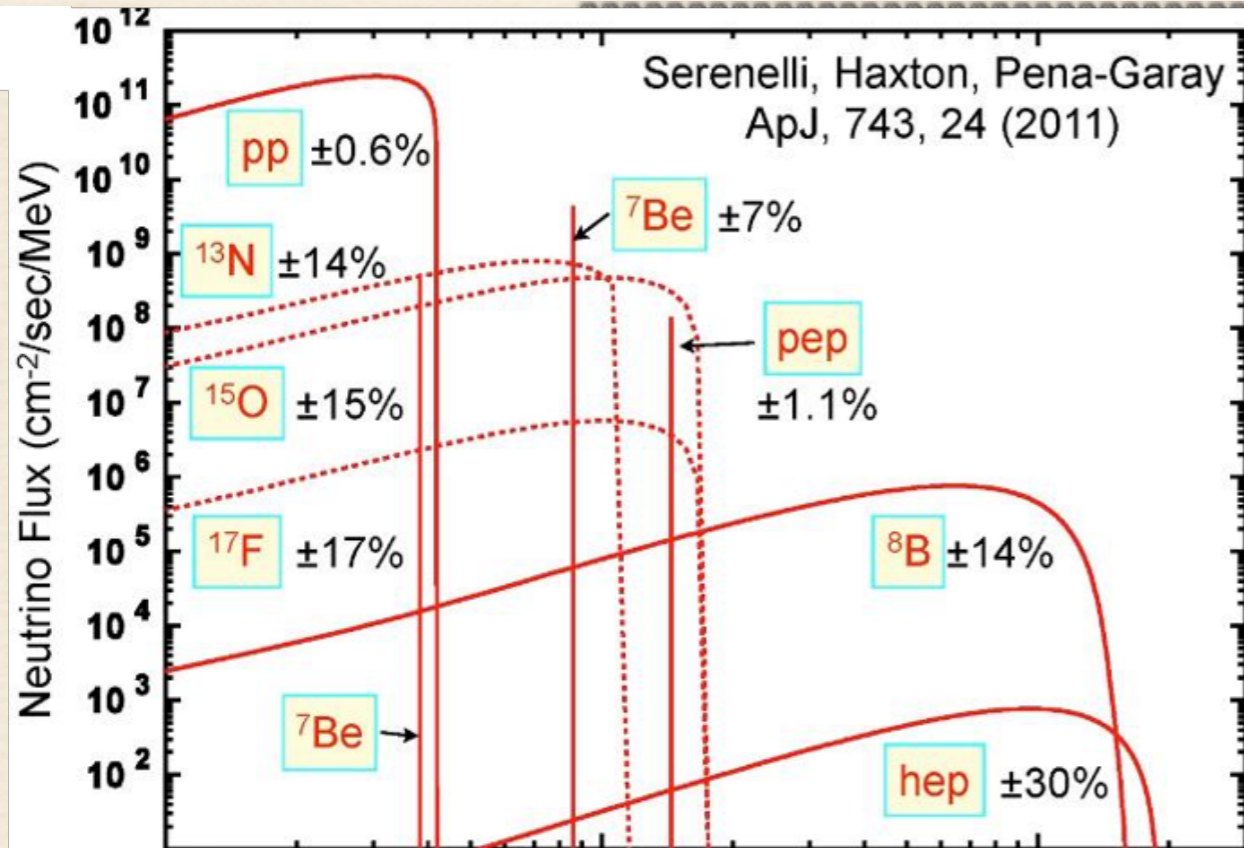
pp chain



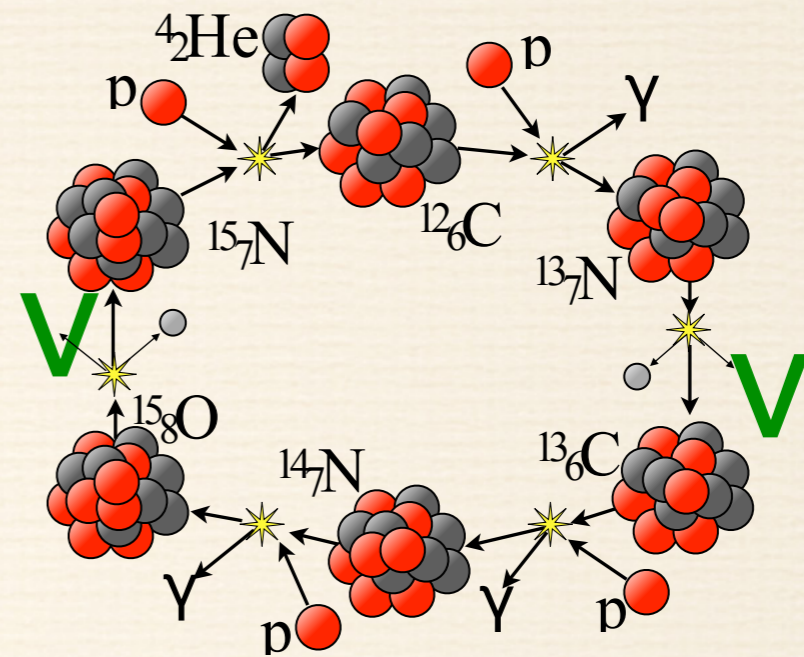
- ❖ detect  $^8\text{B}$  and hep neutrinos (high energy)
- ❖ use directionality of recoiling electrons to separate neutrino interactions from radioactive backgrounds
- ❖ measure flux (interaction rate) and (recoil electron) spectrum as well as time variations (e.g. day/night asymmetry)
- ❖ study MSW, NSI, matter effects, CPT

# Solar Neutrino Observation

neutrino fluxes



CNO cycle

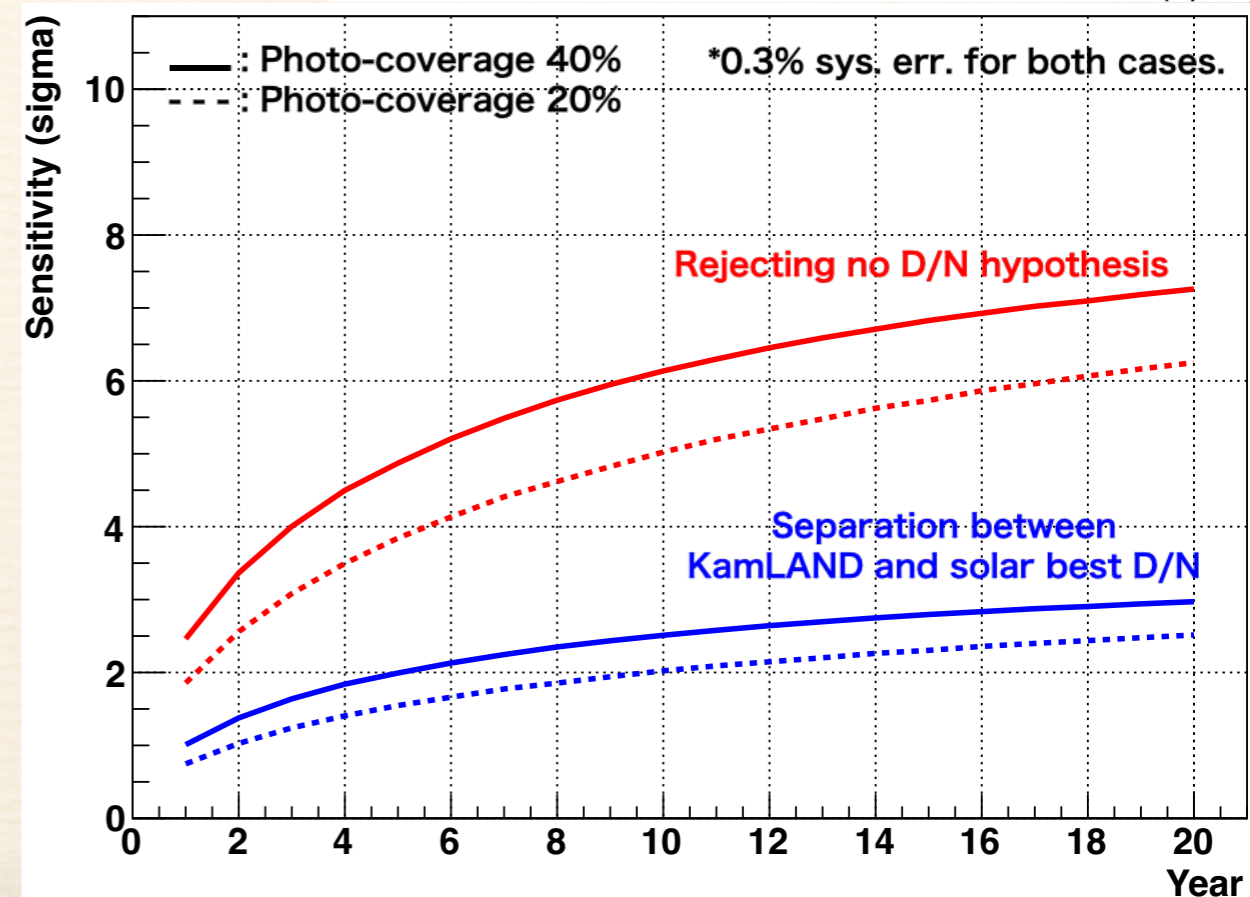
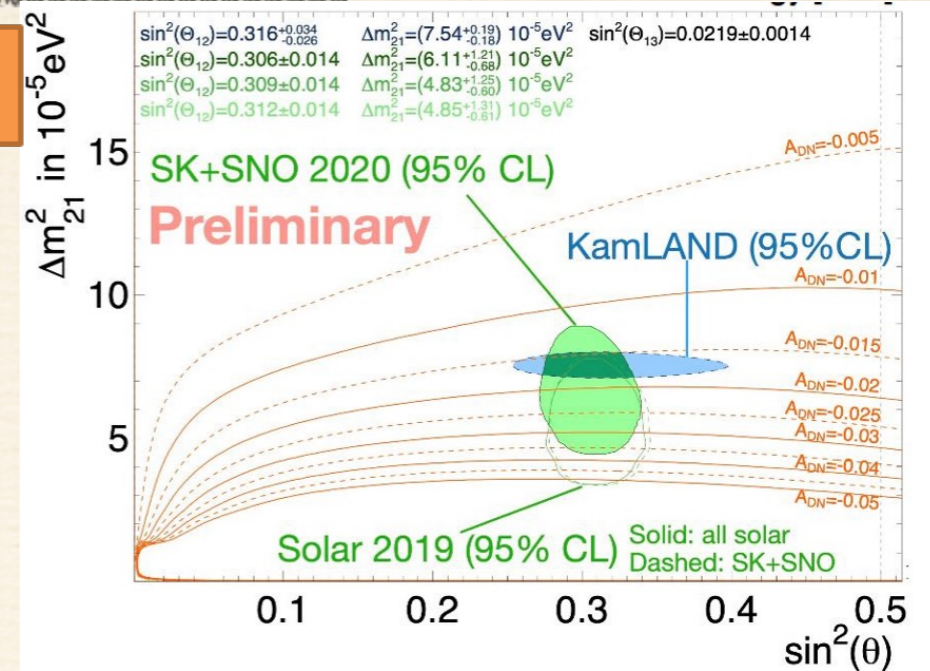


- ❖ detect  $^8\text{B}$  and hep neutrinos (high energy)
- ❖ use directionality of recoiling electrons to separate neutrino interactions from radioactive backgrounds
- ❖ measure flux (interaction rate) and (recoil electron) spectrum as well as time variations (e.g. day/night asymmetry)
- ❖ study MSW, NSI, matter effects, CPT

# Solar $^8\text{B}$ Neutrino Day/Night Effect

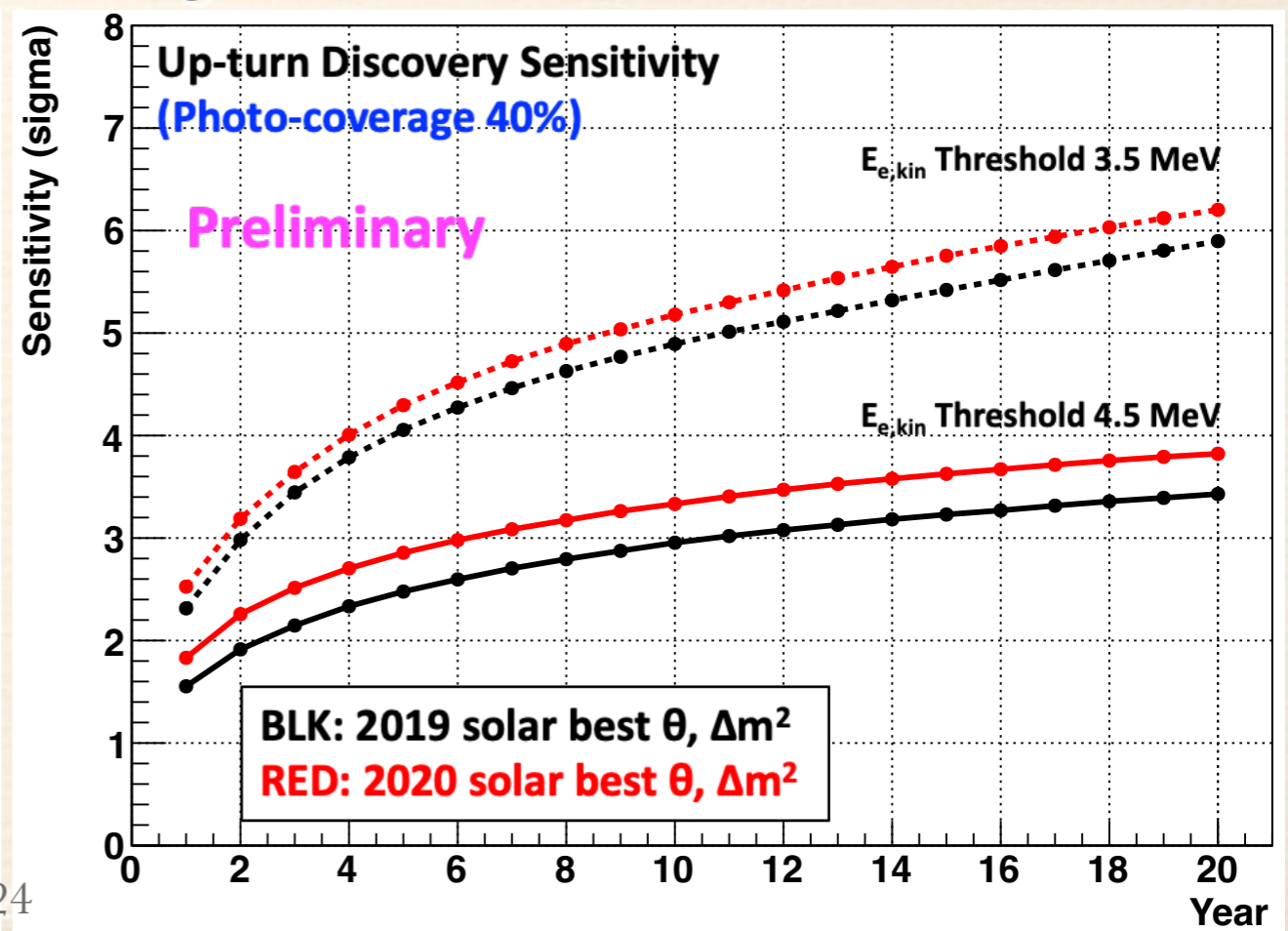
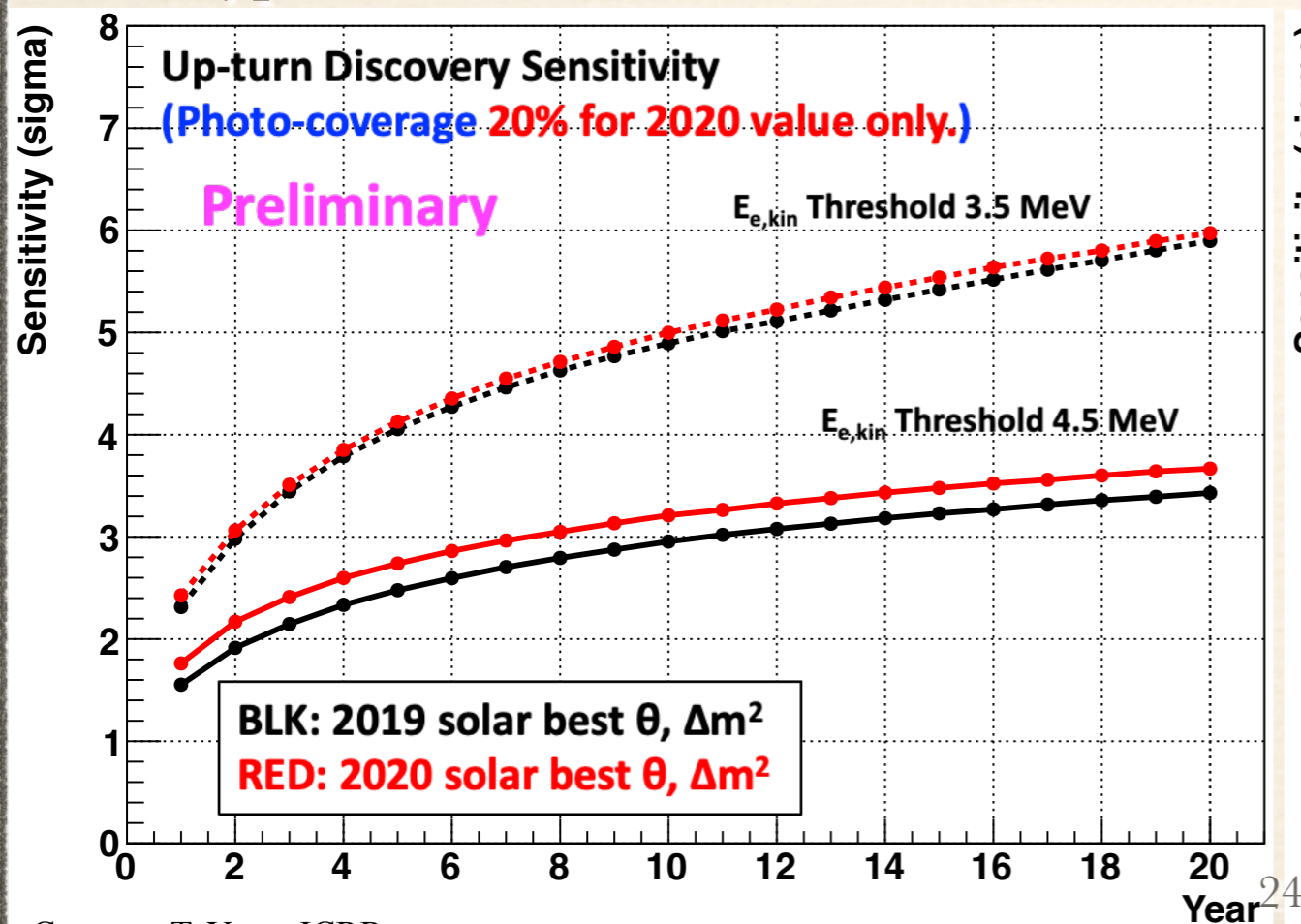
- ❖ flavor oscillation due to earth matter density
- ❖ requires  $O(10 \text{ MeV})$  neutrino energy
- ❖ starts with  $\nu_2$  beam (after MSW)
- ❖ “size” and “shape” depends on  $\Delta m^2_{21}$ ; characterize size with asymmetry  $A_{DN} = \frac{r_D - r_N}{0.5(r_D + r_N)}$
- ❖ test consistency of neutrino and anti-neutrino (by KamLAND) disappearance measurements of  $\Delta m^2_{21}$ : test CPT invariance

Y. Nakajima,  
Neutrino 2020



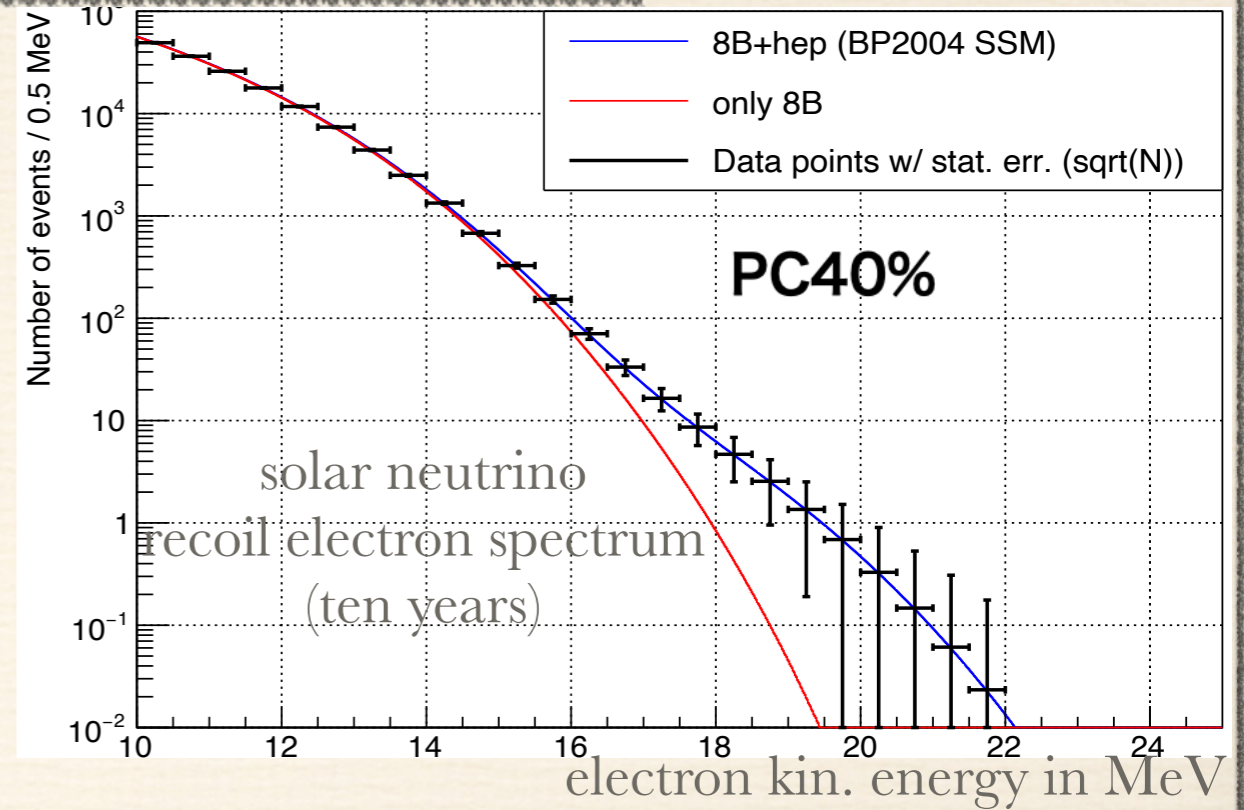
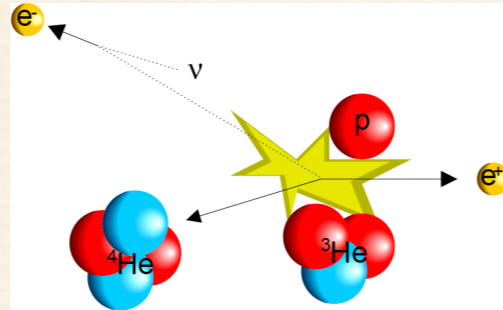
# Test MSW Spectral Shape

- ❖ transition from averaged vacuum oscillations to adiabatic conversion is about one to ten MeV recoil electron energy, depending on  $\Delta m^2_{21}$
- ❖ NSI or other BSM may modify MSW effect and survival probability
- ❖ requires as low an energy threshold and as much photo-coverage as possible
- ❖ Hyper-K can observe transition at  $3\text{-}5\sigma$  significance



# Other Solar Neutrino Studies

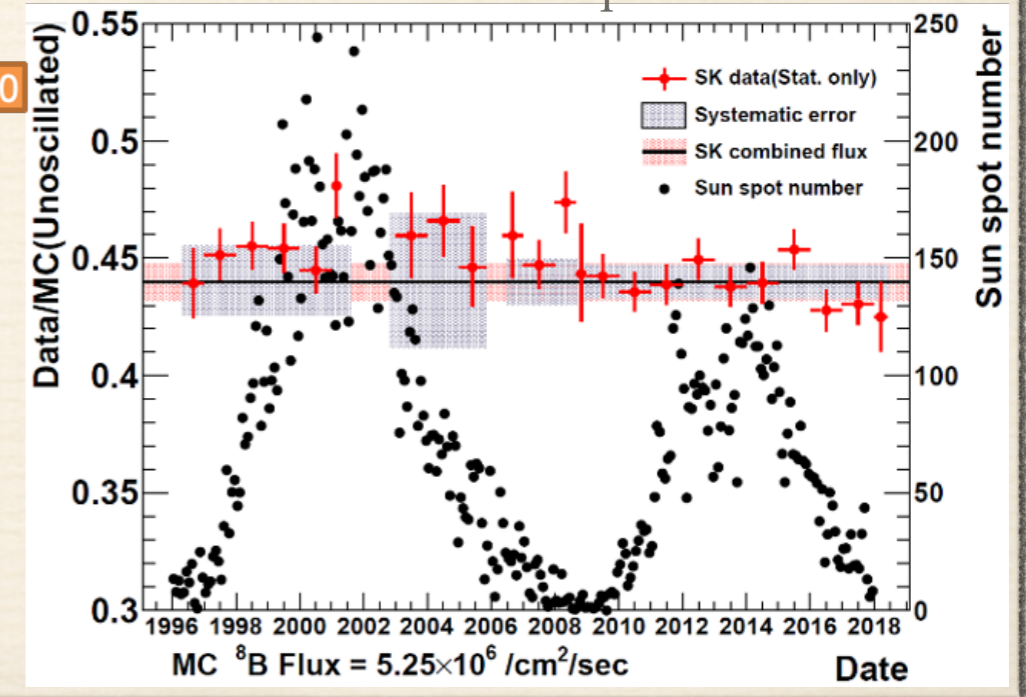
- ❖ measure rare hep neutrinos above  ${}^8\text{B}$  endpoint
- ❖ so far, unobserved
- ❖ requires good energy resolution (photo-coverage)
- ❖ see with  $1.8\text{-}3\sigma$  significance in ten years



- ❖ high statistics flux variation
- ❖ monitor solar “nuclear reactor” with 130 events/day above 4.5 MeV
- ❖ compare to 20 events/day for SK

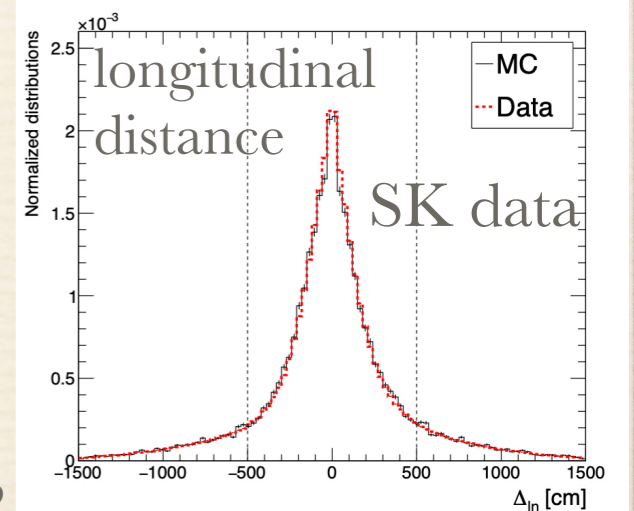
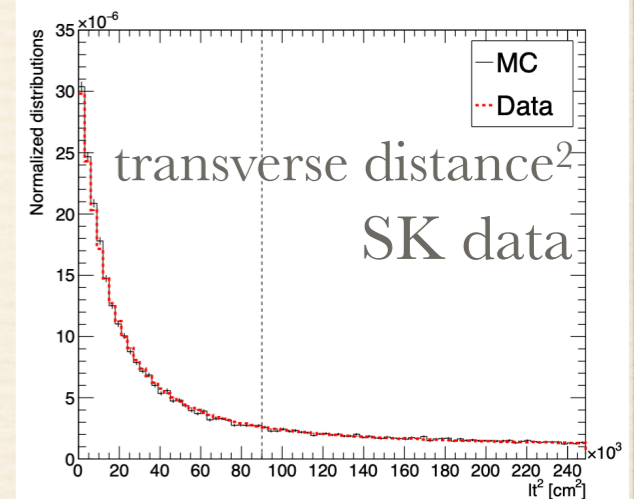
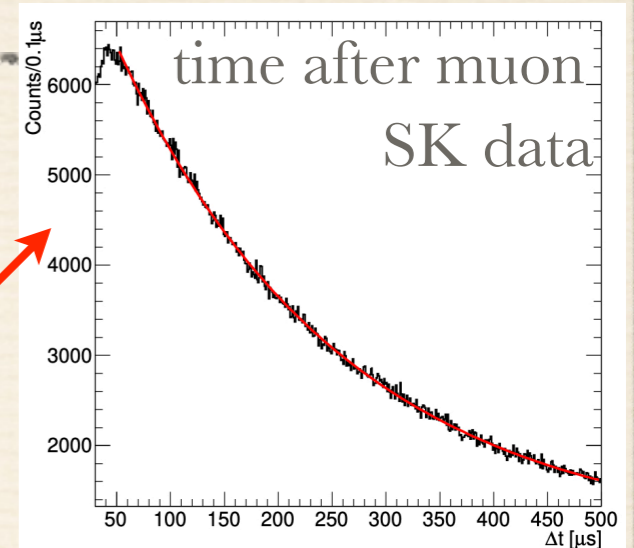
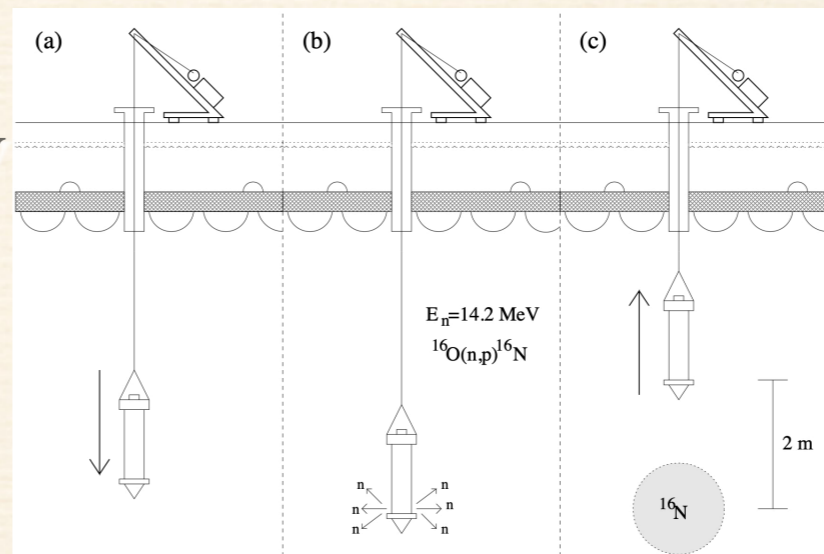
Y. Nakajima, Neutrino 2020

SK:  ${}^8\text{B}$  interaction rate and sunspots vs time



# Some Planned US Contributions

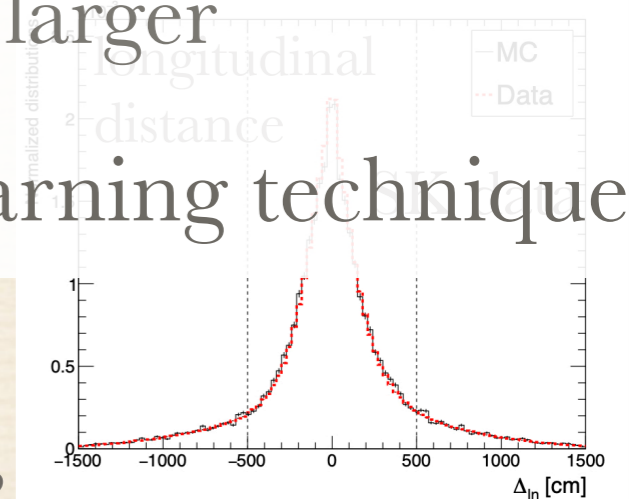
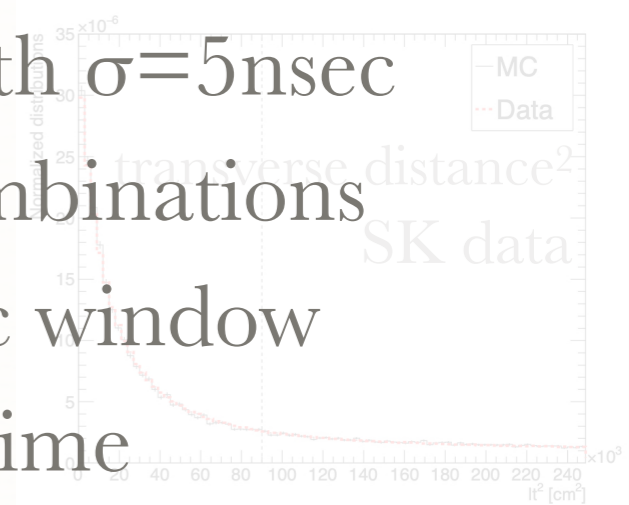
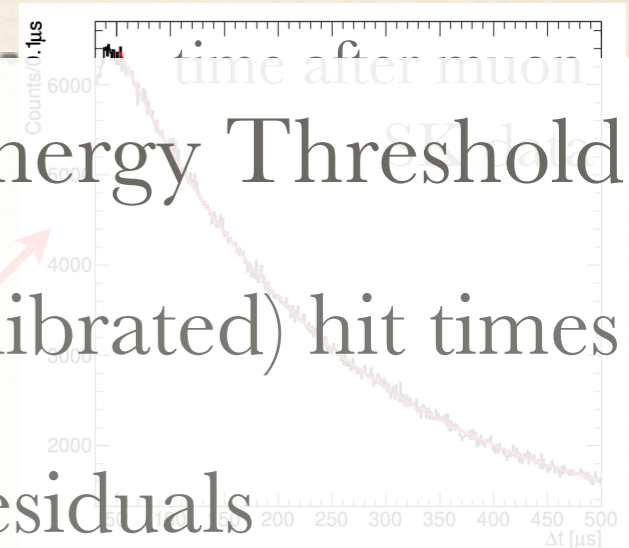
- ❖ triggering (and reconstruction) of very low energy electrons
  - ❖ designed and build a system for Super-K that has high efficiency for electrons  $> 2.5$  MeV; see 2.2 MeV gammas from fast neutrons from showering muons!
- ❖ energy calibration from  $^{16}\text{N}$  decays made by (p,n) of 14 MeV neutrons from D-T fusion
  - ❖ operated such a system in Super-K; gives precise calibration point at  $\sim 7$  MeV
- ❖ control of cosmogenic radioactivity (dominates 6-22 MeV)
  - ❖ Hyper-K's location is not very deep  $\rightarrow$  much, much larger cosmogenic radioactivity than Super-K
  - ❖ in Super-K:  $O(10^3)$  events/day!



# Some Planned US Contributions

## WIT, a Super-K intelligent trigger with 2.5 MeV Energy Threshold

- ❖ “sliding 230nsec window” search for events in (calibrated) hit times
- ❖ coincidence criterion  $c = \sum_{(a)} e^{-\frac{1}{2} \left( \frac{\Delta t_i}{\sigma} \right)^2}$  of hit time residuals
- ❖  $\Delta t_i = \text{PMT time-time of flight-time of emission}$  with  $\sigma = 5 \text{ nsec}$
- ❖ list of possible vertices is “guessed” from 4-hit combinations
- ❖ fast vertex reconstruction to all hits in the 230nsec window
- ❖ full vertex fit to hits within  $1.5 \mu\text{sec}$  of the trigger time
- ❖ count hits with  $-6 \text{ nsec} < \Delta t_i < 12 \text{ nsec}$ ; require 10 or larger
- ❖ control of cosmogenic radioactivity (dominates 6-22 MeV)



## Similar system for Hyper-K, investigate machine-learning techniques

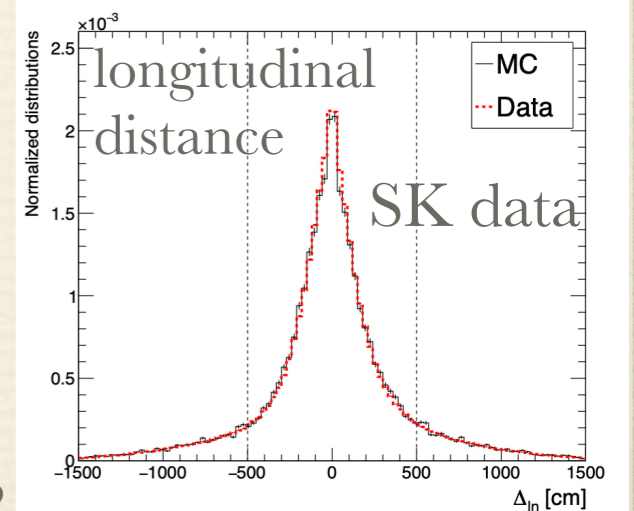
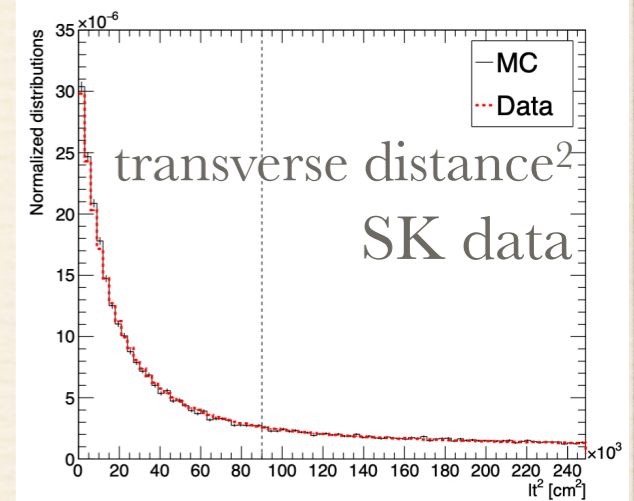
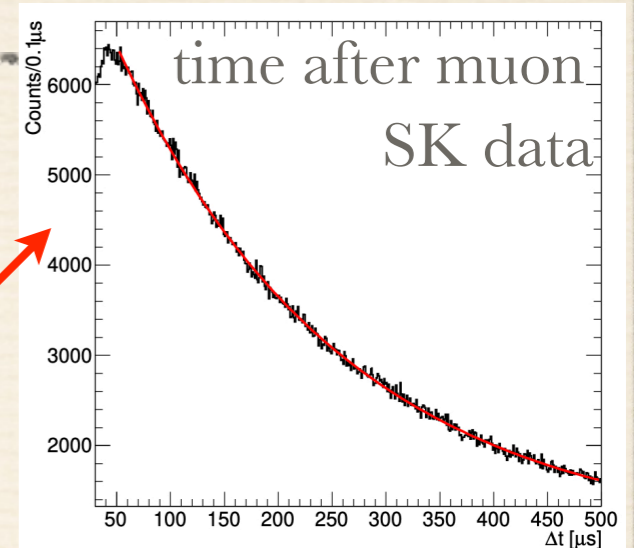
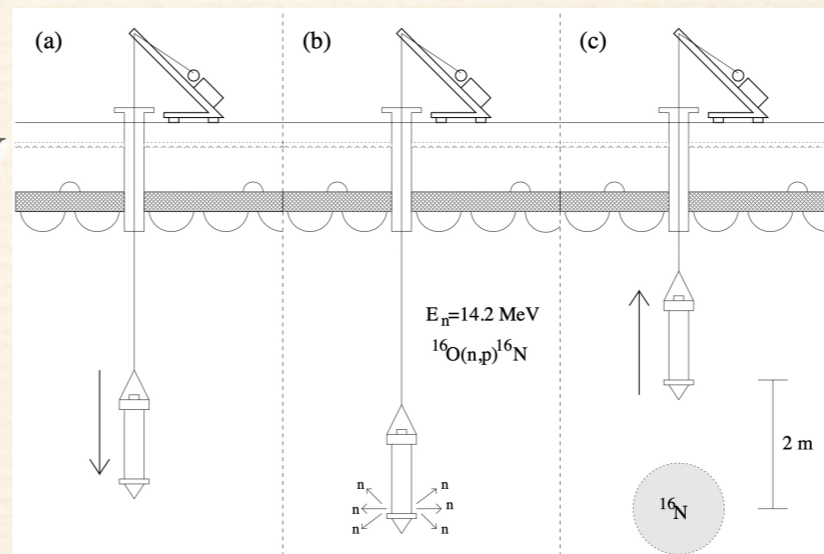
larger cosmogenic radioactivity than Super-K

- ❖ in Super-K:  $O(10^3)$  events/day!



# Some Planned US Contributions

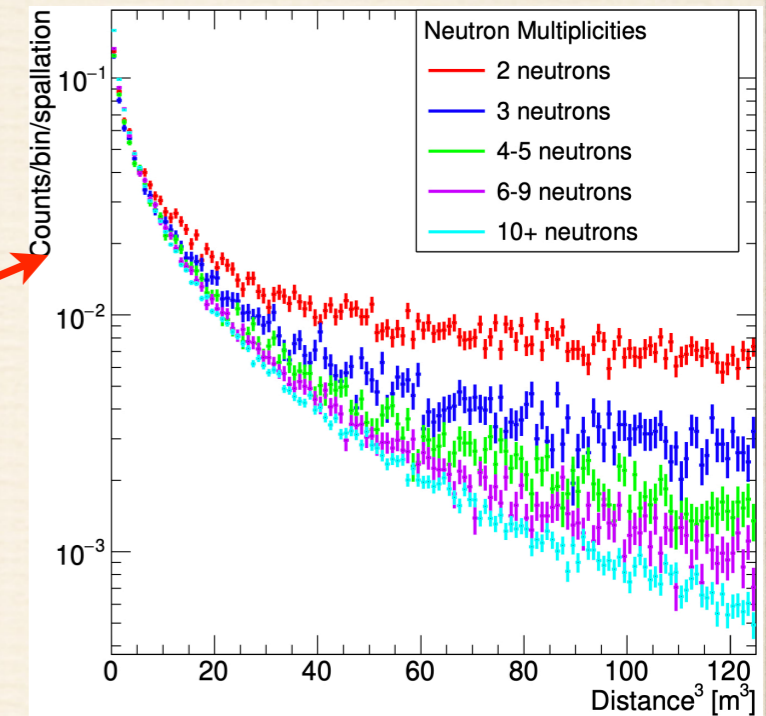
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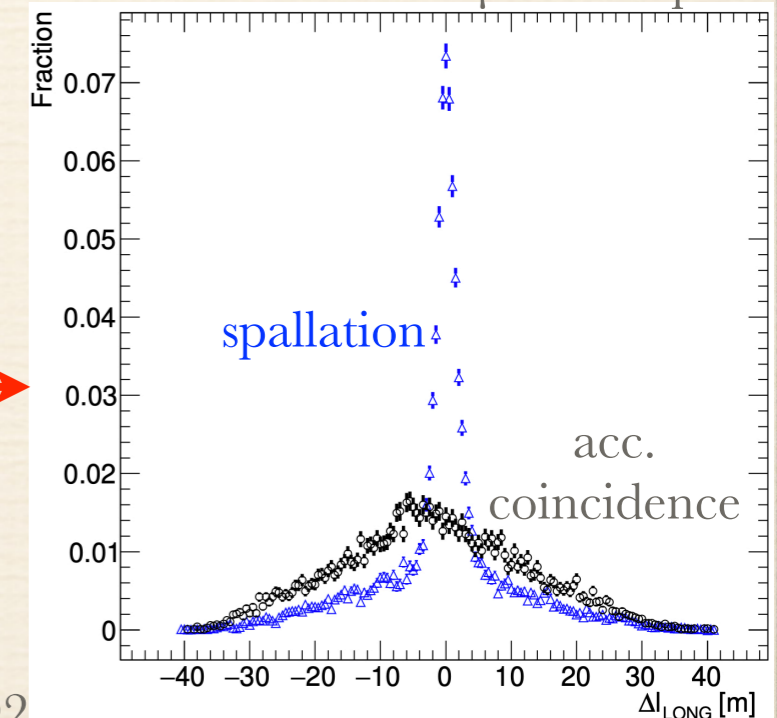
# Spallation Decay Tagging in Super-K

- ❖ most cosmogenic radioactivity is produced in hadronic showers initiated by energetic muons
- ❖ invented three tagging methods:
  - ❖ neutron clouds: hadronic showers make many neutrons (“clouds”) capturing near muon track
  - ❖ multiple spallation:  $\sim 50\%$  of spallation results in more than one decay  $\rightarrow$  time and spatial correlation: decays tag each other without need to use muon track
  - ❖ reconstruct optical muon “dE/dx” to identify showers and find shower position along track
- ❖ results in efficient tag without losing much signal

spallation correlation of neutron cloud

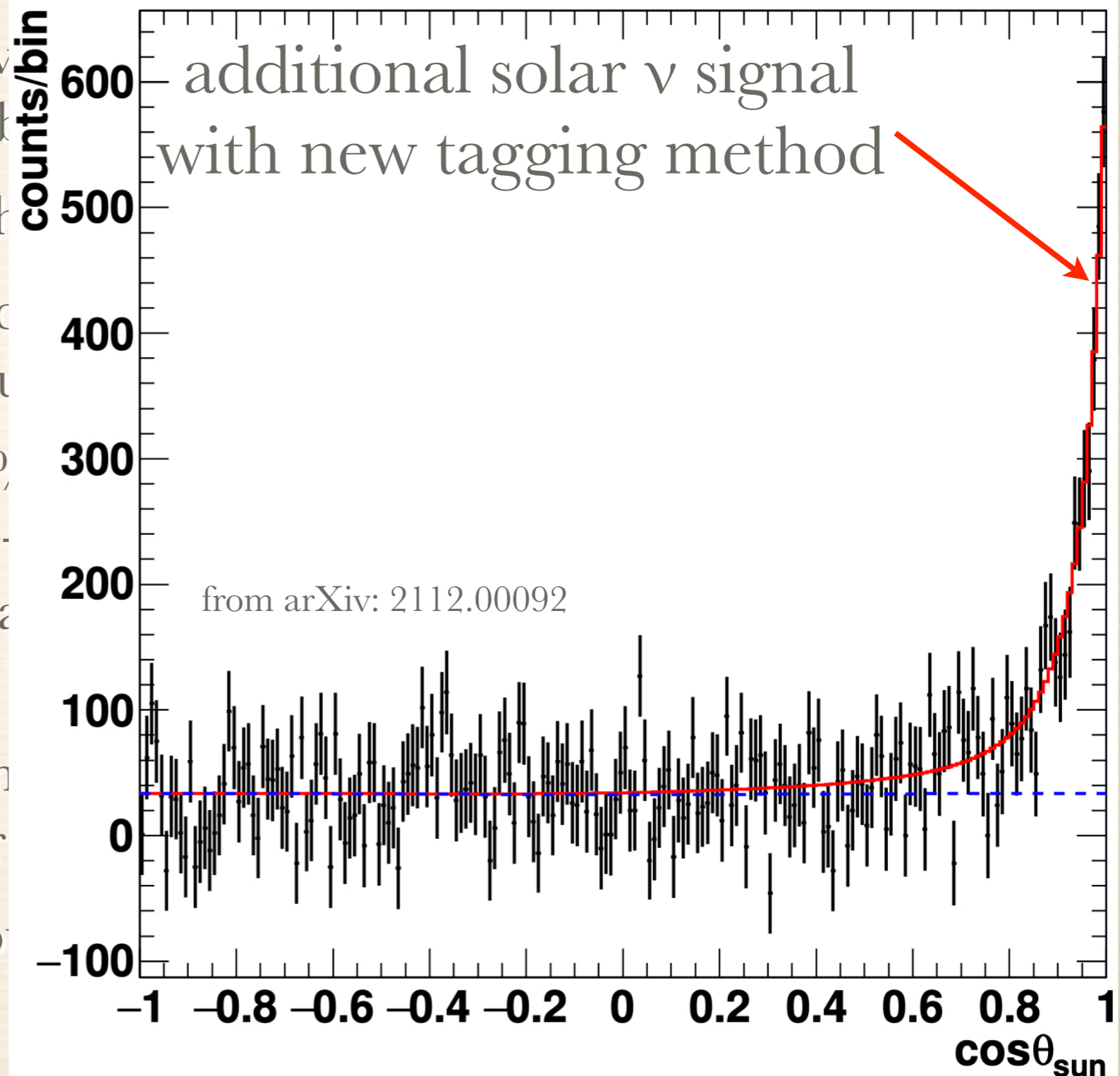


spallation correlation of  $\mu$  dE/dx peak



# Spallation Decay Tagging in Super-K

- ❖ most cosmogenic radioactivity from hadronic showers initiated by muons
- ❖ invented three tagging methods
  - ❖ neutron clouds: hadronic showers produce neutrons (“clouds”) captured by muons
  - ❖ multiple spallation: ~50% of muons produce more than one decay - correlation: decays tag each other to use muon track
  - ❖ reconstruct optical muon showers and find shower direction
- ❖ results in efficient tag without loss of muon track



# Conclusions

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- ❖ JUNO, Hyper-Kamiokande and DUNE will dominate neutrino flavor physics in the near future
- ❖ Hyper-Kamiokande construction has started, data will come as soon as 2027
- ❖ the Hyper-Kamiokande experimental program is complementary to DUNE's
- ❖ in addition to neutrino oscillation measurements, Hyper-K offers many other physics topics