

## UNIFICATION OF QUARK AND LEPTON MIXINGS

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①

- Unification is a old idea.
- "Explains"  $\sin^2 \theta_W$  and thereby the different strengths of the weak, em & strong ints.
- SUSY required.
- A key ingredient is the unification of quarks & leptons.\*
- In a quark-lepton unified theory, one may expect that the weak interactions of quarks & leptons parametrized by means of the flavour mixing matrices might be the same.
- But, is it true?

$$U_{CKM} = U_{PMNS}$$

$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{31} & 0 & s_{31} \\ 0 & 1 & 0 \\ -s_{31} & 0 & c_{31} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

where  $c_{ij} = \cos \theta_{ij}$ ,  $s_{ij} = \sin \theta_{ij}$   
( We take all phases to be zero.)

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\* However, other possibilities } (trinification & quartification  
exist } E. Ma's talk)

Experimental Results (90% CL)Atmos  $\nu$  (SuperK)

$$\Delta m_{23}^2 = (1.2 - 5) \times 10^{-3} \text{ eV}^2$$

$$\sin \theta_{23} = 0.54 - 0.83$$

✓ by K2K

(3)

Solar  $\nu$  (CL, Ga, SuperK, SNO)

$$\Delta m_{12}^2 = (2 - 50) \times 10^{-5} \text{ eV}^2$$

$$\sin \theta_{12} = 0.40 - 0.70$$

✓ by KamLAND

Reactor  $\nu$  (CHOOZ, Palo verde)

$$\sin \theta_{13} \leq 0.16$$

- Atmos  $\nu$  won the race for the discovery of  $m_\nu$  thro' osc.
- Solar  $\nu$  clinched by SNO (NC)
  - ↳ Solar model confirmed
  - ↳ Solar  $\nu$  osc confirmed
- LMA picked out by KamLAND (Reactor expt)
- Earlier crucial contribution by CHOOZ reactor expt

The signal for unification is the  
CHOOZ bound :  $\theta_{13} \leq 0.16$

(4)

Quark Sector

$$U = \begin{pmatrix} 0.9757 & 0.2205 & -0.0030 \\ -0.2203 & 0.9747 & 0.0378 \\ 0.0053 & -0.0364 & 0.9993 \end{pmatrix} \approx \begin{pmatrix} 1 & \lambda & \lambda^3 \\ \lambda & 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix} \quad (5)$$

Or,

$$U_{us} \approx \sin \theta_{12} = 0.2205 \approx \lambda$$

$$U_{cb} \approx \sin \theta_{23} = 0.0378 \approx \lambda^2$$

$$U_{ub} \approx \sin \theta_{13} = 0.003 \approx \lambda^3$$

Lepton Sector

$$U = \begin{pmatrix} \frac{\sqrt{3}}{2} & -\frac{1}{2} & 0 \\ \frac{1}{2\sqrt{2}} & \frac{1}{2}\sqrt{\frac{3}{2}} & -\frac{1}{\sqrt{2}} \\ \frac{1}{2\sqrt{2}} & \frac{1}{2}\sqrt{\frac{3}{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} = \begin{pmatrix} 0.866 & -0.5 & 0 \\ 0.35 & 0.62 & -0.70 \\ 0.35 & 0.62 & 0.70 \end{pmatrix}$$

where we have put

$$\theta_{12} = 30^\circ \text{ (Solar angle)}$$

$$\theta_{23} = 45^\circ \text{ (Atmos. angle)}$$

$$\theta_{31} = 0^\circ \text{ (CHOOZ angle)}$$

(5)

	Quarks	Leptons
$\sin \theta_{12}$	0.2205	0.5
$\sin \theta_{23}$	0.0378	0.7
$\sin \theta_{31}$	0.003	< 0.16

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How can these be unified?

Answer :

- Renormalization at high energies  
(or, the other way)
- Use Renormalization Group.
- Unification only at high energies
- Radiative magnification of mixing
- Quasifixed point
- { Quasidegenerate masses for the 3 neutrinos
- { Same CP parity for the 3 neutrinos

Extension of SM with RH neutrinos  
and the Seesaw

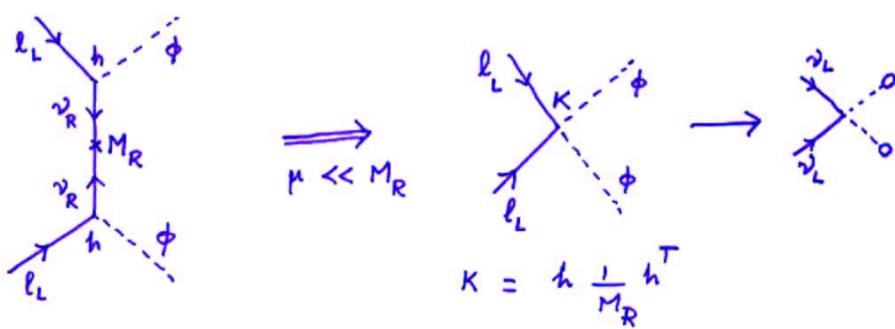
$$\mathcal{L} = h_{ij} \bar{\ell}_L i \nu_{Rj} \phi + \frac{1}{2} M_{ij}^R \bar{\nu}_{Ri}^c \nu_{Rj} + \text{h.c.}$$

↓                          ↓

Yukawa couplings      Majorana mass term

↓ SBS

$$m_D = \hbar v ; v = \langle \phi \rangle$$



$$\mathcal{L} \xrightarrow{\mu \ll M_R} \mathcal{L}_{\text{eff}} \sim K \bar{\ell}_L \phi \bar{\ell}_L \phi \quad (\text{5 dim operator})$$

$$\rightarrow \nu_L^T M_\nu \nu_L$$

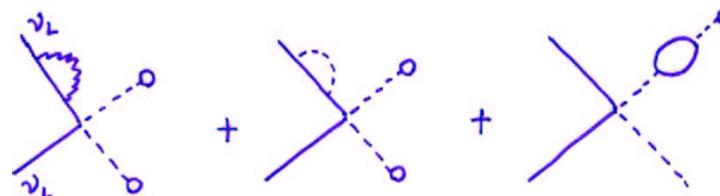
where

$$M_\nu = m_D \frac{1}{M_R} m_D^T$$

Seesaw formula

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Radiative Corrections and RG evolution



$$t = \ln \mu$$

$$16\pi^2 \frac{dM_\nu}{dt} = \left\{ -\left( \frac{6}{5} g_1^2 + 6g_2^2 \right) + \text{Tr} \left( 6 Y_U Y_U^+ \right) \right\} M_\nu \\ + \frac{1}{2} \left\{ \left( Y_E Y_E^+ \right) M_\nu + M_\nu \left( Y_E Y_E^+ \right)^T \right\}$$

$$Y_U = 3 \times 3 \text{ up-quark Yukawa matrix} \approx \frac{1}{\sin \beta} \begin{pmatrix} 0 & 0 & h_t \\ 0 & h_u & 0 \end{pmatrix}$$

$$Y_E = 3 \times 3 \text{ charged lepton Yukawa matrix} \approx \frac{1}{\cos \beta} \begin{pmatrix} 0 & 0 & h_e \\ 0 & h_e & 0 \end{pmatrix}$$

in MSSM

$$\text{where } \tan \beta = \frac{\langle \phi_u^\circ \rangle}{\langle \phi_d^\circ \rangle}$$

- Chankowski, Królikowski & Pokorski
- Casas, Espinosa, Ibarra and Navarro

"Diagonalize and Run"

$$\frac{du_i}{dt} = -2F_\tau m_i U_{2i}^2 - m_i F_u \quad (i=1,2,3)$$

$$\frac{d\delta_{23}}{dt} = -F_\tau c_{23}^2 (-s_{12} U_{21} D_{31} + c_{12} U_{22} D_{32})$$

$$\frac{d\delta_{13}}{dt} = -F_\tau c_{23} c_{13}^2 (c_{12} U_{21} D_{31} + s_{12} U_{22} D_{32})$$

$$\frac{d\delta_{12}}{dt} = -F_\tau c_{12} (c_{23} s_{13} s_{12} U_{21} D_{31} - c_{23} s_{13} c_{12} U_{22} D_{32} + U_{21} U_{22} D_{21})$$

where  $D_{ij} = \frac{m_i + m_j}{m_i - m_j}$  ( $i \neq j$ )

	$F_\tau$	$F_u$
MSSM	$\frac{-h^2}{16\pi^2 \cos^2 \beta}$	$\frac{1}{16\pi^2} \left( \frac{6}{5} g_1^2 + 6g_2^2 - \frac{6h_t^2}{8\sin^2 \beta} \right)$
SM	$\frac{3h^2}{32\pi^2}$	$\frac{1}{16\pi^2} (3g_2^2 - 2\lambda - 6h_t^2 - 2h_c^2)$

$$U = \begin{pmatrix} c_{13} c_{12} & c_{13} s_{12} & s_{13} \\ -c_{23} s_{12} - c_{12} s_{13} s_{23} & c_{12} c_{23} - s_{12} s_{13} s_{23} & c_{13} s_{23} \\ s_{12} s_{23} - c_{12} s_{13} c_{23} & -c_{12} s_{23} - c_{23} s_{13} s_{12} & c_{13} c_{23} \end{pmatrix}$$

$$U^T M_\nu U = \text{diag } (m_1, m_2, m_3)$$

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Some simplifications for understanding the evolution:

- In MSSM,  $F_\tau$  is enhanced by a factor  $\sim 10^3$ , for  $\tan \beta \approx 50$ , as compared to its value in SM. So, the rapid evolution can be attributed to SUSY.
- For quasidegenerate neutrino masses,  $D_{ij} \rightarrow \infty$ . \* This contributes to quite rapid evolution.
- At high scale,

$$\left. \begin{array}{l} s_{12} \sim \lambda \sim 0.2 \\ s_{23} \sim O(\lambda^2) \sim 0.035 \\ s_{31} \sim O(\lambda^3) \sim 0.0025 \end{array} \right\} \Rightarrow \begin{array}{l} U_{21} \sim O(\lambda^3) \\ U_{22} \sim O(\lambda^2) \end{array}$$

$\Rightarrow$  Approximate evolution eqs:

$$\frac{d\delta_{23}}{dt} \sim \lambda^2 F_\tau D_{32} \quad \text{fast ; faster than } \frac{d\delta_{12}}{dt}$$

$$\frac{d\delta_{31}}{dt} \sim \lambda^3 F_\tau (D_{32} + D_{31}) \quad \text{remains small}$$

$$\frac{d\delta_{12}}{dt} \sim \lambda^5 F_\tau D_{21} \quad \begin{array}{l} \text{smallness of } \lambda^5 \\ \text{compensated by} \\ \text{largeness of } D_{21} \end{array}$$

\*  $D_{ij} \equiv \frac{m_i + m_j}{m_i - m_j}$  ( $i \neq j$ )

$$|D_{31}| \approx |D_{32}| \ll |D_{21}|$$

Procedure2 Steps :

- ① Starting from known values of gauge couplings, masses of quarks and charged leptons and quark mixing angles at low energies, use RG eqs to obtain the corresponding values at high scales:  $10^{13} - 10^{16}$  GeV.
- ② Assume the neutrino mixing angles at  $M_R \sim 10^{13}$  GeV to be the same as the quark mixing angles at high scales.
- Take the neutrino mass eigenvalues  $m_i$  at  $M_R \sim 10^{13}$  GeV to be unknown parameters to be determined.
- Determine these 3 parameters  $m_i$  in such a way that the solns of the RG eqs at low energies agree with the experimental ranges for the 5 parameters:  $\Delta m_{12}^2, \Delta m_{23}^2, \delta_{12}, \delta_{23}, \delta_{31}$

- ① is Bottom-up  
 ② is Top-down

Results

- Numerical results in the **Tables & Graphs**
- Summary: RG evolution yields low energy values for mass squared differences & mixing angles, consistent with experimental data, if the input value of  $\bar{m}$  at high scale lies in the range 0.20 to 0.90 eV.  
 The corresponding range of output value of  $\bar{m}$  at low scale is 0.15 to 0.65 eV.

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Numerical results on the evolution of neutrino masses and mixing angles

**4 Examples** are shown below :-

At  $M_R = 10^{13}$  GeV

①

$m_1$ (eV)	0.2579
$m_2$ (eV)	0.2590
$m_3$ (eV)	0.2935

At  $m_Z = 90$  GeV

$$\begin{aligned} 0.2066 &\Rightarrow \Delta m_{12}^2 = 5 \times 10^{-5} \\ 0.2067 &\Rightarrow \Delta m_{23}^2 = 0.85 \times 10^{-3} \\ 0.2088 & \end{aligned}$$

input	
$\delta_{12}$	0.20
$\delta_{23}$	0.035
$\delta_{31}$	0.0025

$$\begin{aligned} 0.563 \\ 0.547 \\ 0.080 \end{aligned}$$

②

$m_1$ (eV)	0.2983
$m_2$ (eV)	0.2997
$m_3$ (eV)	0.3383

$$\begin{aligned} 0.2410 &\Rightarrow \Delta m_{12}^2 = 4.8 \times 10^{-5} \\ 0.2411 &\Rightarrow \Delta m_{23}^2 = 1.1 \times 10^{-3} \\ 0.2435 & \end{aligned}$$

input	
$\delta_{12}$	0.20
$\delta_{23}$	0.035
$\delta_{31}$	0.0025

$$\begin{aligned} 0.568 \\ 0.680 \\ 0.080 \end{aligned}$$

(12)

At  $m_R = 10^{13}$  GeV

(3)

$m_1$ (eV)	0.4064
$m_2$ (eV)	0.4088
$m_3$ (eV)	0.4621

input	
$\delta_{12}$	0.20
$\delta_{23}$	0.035
$\delta_{31}$	0.0025

At  $m_Z = 90$  GeV

$$0.3244 > \Delta m_{12}^2 = 2.0 \times 10^{-4}$$

$$0.3247 > \Delta m_{23}^2 = 2.3 \times 10^{-3}$$

$$0.3283$$

$$\begin{aligned} &0.519 \\ &0.610 \\ &0.080 \end{aligned}$$

(4)

$m_1$ (eV)	0.6050
$m_2$ (eV)	0.6087
$m_3$ (eV)	0.6887

input	
$\delta_{12}$	0.20
$\delta_{23}$	0.035
$\delta_{31}$	0.0025

(13)

0.4

0.3

0.2

0.1

0

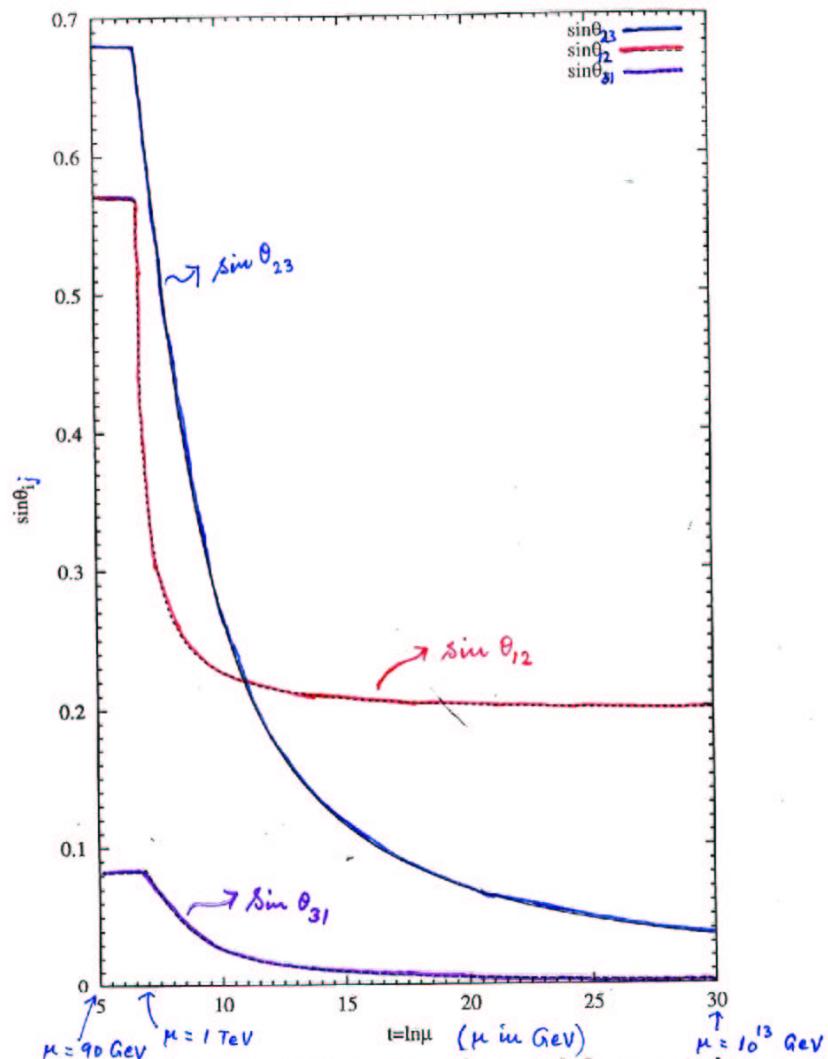
$\uparrow \mu = 1 \text{ TeV}$

$\uparrow \mu = 90 \text{ GeV}$

$t = \ln \mu \rightarrow (\mu \text{ in GeV})$

$\uparrow \mu = 10^{13} \text{ GeV}$

(14)



(15)

Unification of quark and lepton mixing

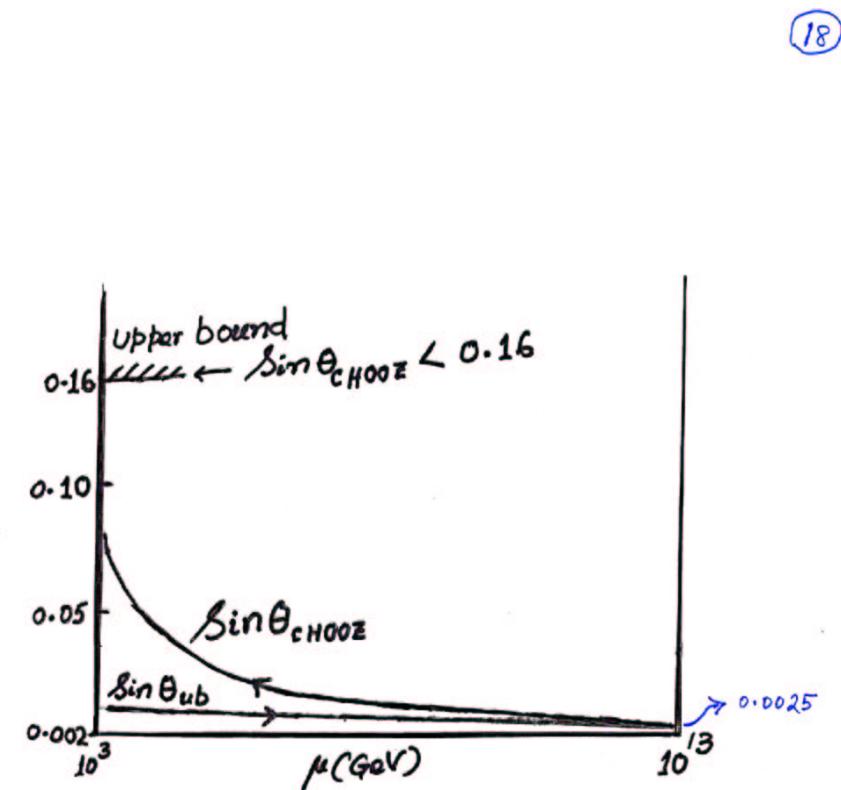
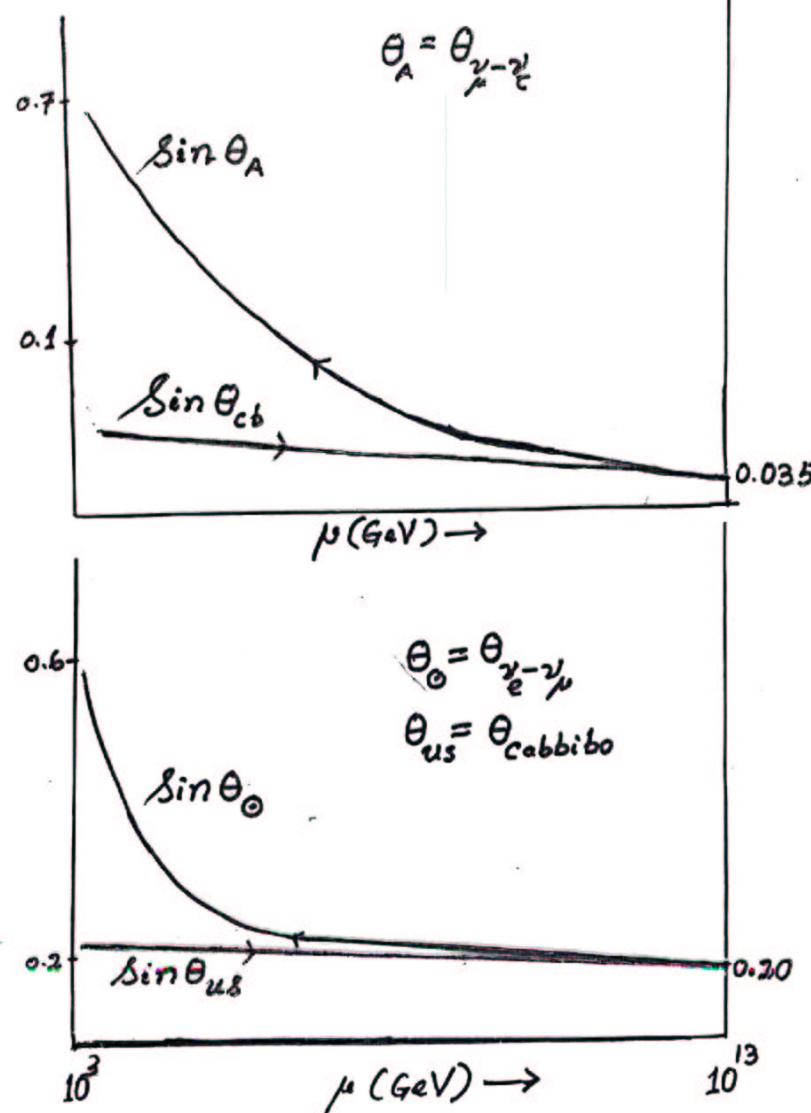
	At low energies		At Unification Scale		Magnification for leptons
	quarks	leptons	quarks/leptons		
$\sin \theta_{12}$	0.2205	0.5	0.20		2.5
$\sin \theta_{23}$	0.0378	0.7	0.035		20
$\sin \theta_{31}$	0.003	0.08	0.0025		32

(16)

Quarks: Bottom  $\rightarrow$  up (Low  $\rightarrow$  High) : Slow evolution  
 Leptons: Top  $\rightarrow$  down (High  $\rightarrow$  Low) : Fast evolution

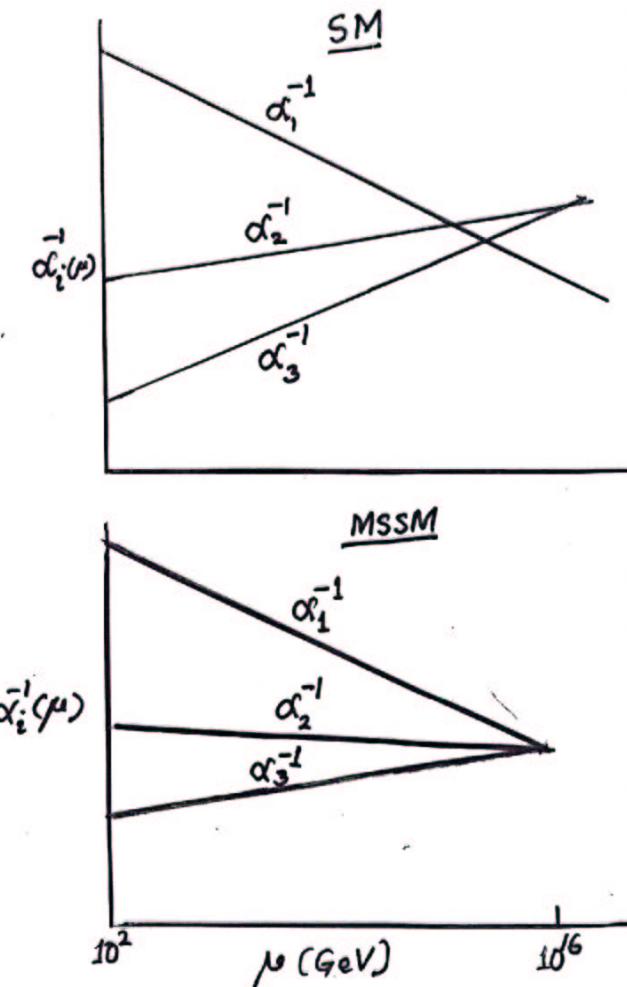
- $\theta_{23}$  evolves faster and overtakes  $\theta_{12}$
- $\theta_{31}$  magnified even more, but still remains small

Smallness of  $\theta_{\text{CKOZ}}$  is traced to  
smallness of Wolfenstein's  $\lambda^3$

Prediction

(i)  $\sin \theta_{chooz}$  is small since in the quark sector  $|V_{ub}| = \sin \theta_{ub} = 0.004$  and there is high scale quark-lepton unification

(ii) For future long-baseline expt.  
we predict  
 $U_{e2} = \sin \theta_{chooz} = 0.08 - 0.10$

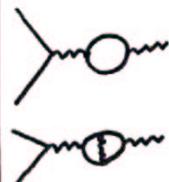
Renormalization and Unity of forces: Gauge forces (19)

$$\frac{1}{\alpha_i(\mu)} = \frac{1}{\alpha_i(M_Z^2)} - \frac{b_i}{2\pi} \ln \frac{\mu}{M_Z^2} + (\text{Two-loop}) + (\text{Threshold})$$

One doublet:

$$\phi$$

$$\langle \phi^0 \rangle = v/\sqrt{2}$$



$$\alpha_i = \frac{g_i^2}{4\pi}, \quad i = 1, 2, 3$$

Two doublets and superpartners

$$\phi_u, \phi_d$$

$$\langle \phi_u^0 \rangle = \frac{v_u}{\sqrt{2}} = \frac{v \sin \beta}{\sqrt{2}}$$

$$\langle \phi_d^0 \rangle = \frac{v_d}{\sqrt{2}} = \frac{v \cos \beta}{\sqrt{2}}$$

Experimental implications of our results

(20)

I  $\bar{m} \equiv \frac{1}{3}(m_1 + m_2 + m_3) \approx 0.15 \text{ eV to } 0.65 \text{ eV.}$

This result is relevant for 3 types of expts (a, b, c). Presently only upper limits are available, but would be observable in future "improved" expts.

(a)  $\bar{\nu}\nu \beta\beta$ 

$$|M_{ee}| = |\eta_i m_i v_{ei}| = \bar{m} \quad (\text{if CP eigenvalues are same for all } i \text{ and CP-violating phases are ignored.})$$

$$\text{Expt: } |M_{ee}| < (0.33 - 1.85) \text{ eV (present)} \\ 0.1 \text{ eV (future)}$$

$|M_{ee}|$  in the range of 0.1 eV or less will be probed in the future (GENIUS, ...)

(b) Tritium  $\beta$  decay:

$$\text{Expt: } \bar{m} < 2.2 \text{ eV (present)} \\ 0.35 \text{ eV (future)}$$

$\bar{m}$  down to 0.35 eV will be probed in the future (KATRIN)

(c) CMBR anisotropy (COSMOLOGY)

$$\sum_i m_i < 0.7 \text{ eV} \Rightarrow \bar{m} < 0.23 \text{ eV (WMAP)}$$

$$\Rightarrow (\sum_i m_i < 2.1 \text{ eV} \Rightarrow \bar{m} < 0.7 \text{ eV (WMAP + 2dGRS)})$$

II  $U_{e3} = 8 \sin \theta_{13} = 0.08 - 0.10$   
Accessible to future reactor expts & other LBL expts

(21)

### Possible Origin of a quasidegenerate neutrino Spectrum

In L-R sym models, it is more natural to have "Type II" seesaw:

$$\begin{pmatrix} f\nu_L & M_D \\ M_D^T & f\nu_R \end{pmatrix}$$

$$M_\nu = f\nu_L - M_D (f\nu_R)^{-1} M_D^{-1}$$

Let us assume

$$f \sim 1 f_0$$

$$f\nu_L \gg M_D (f\nu_R)^{-1} M_D^T$$

Consequences :

- ① Eigenvalues of  $M_\nu$  are quasidegenerate
- ②  $M_\nu$  is diagonalized by the same matrix that diagonalizes  $M_D$ .
- The assumption  $f \sim 1 f_0$  can be implemented in a model of  $S_4$  symmetry. (Work in Progress)
- Quasidegenerate masses for  $\nu$ 's are possible in a model of  $A_4$  symmetry too. (E. Ma : Plato's Fire)