

EFT with Neutrinos

Neutrinos as a Portal to New Physics and Astrophysics

9 March 2022

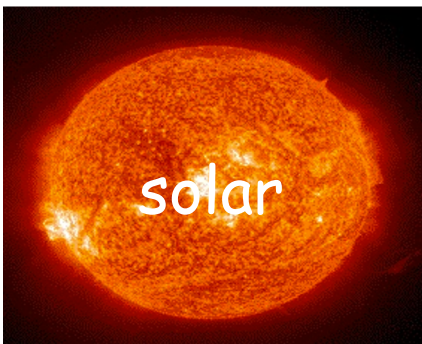
Zahra Tabrizi

Neutrino Theory Network (NTN) fellow



Northwestern
University

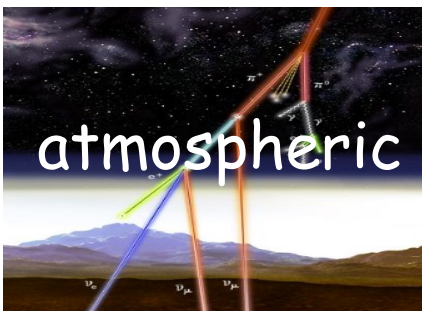
Status of Neutrino Physics in 2022



Super-Kamiokande, Borexino, SNO



MBL: Daya Bay, RENO, Double Chooz
LBL: KamLAND



IceCube, Super-Kamiokande



T2K, MINOS, NOvA

mixing angles:

$$\sin^2 \theta_{12} @ 4\%$$

$$\sin^2 \theta_{13} @ 3\%$$

$$\sin^2 \theta_{23} @ 3\%$$

mass squared differences:

$$\Delta m_{21}^2 @ 3\%$$

$$|\Delta m_{31}^2| @ 1\%$$

Future: DUNE, T2HK, JUNO



- Increase the precision
- CP-phase
- Mass hierarchy

Also:

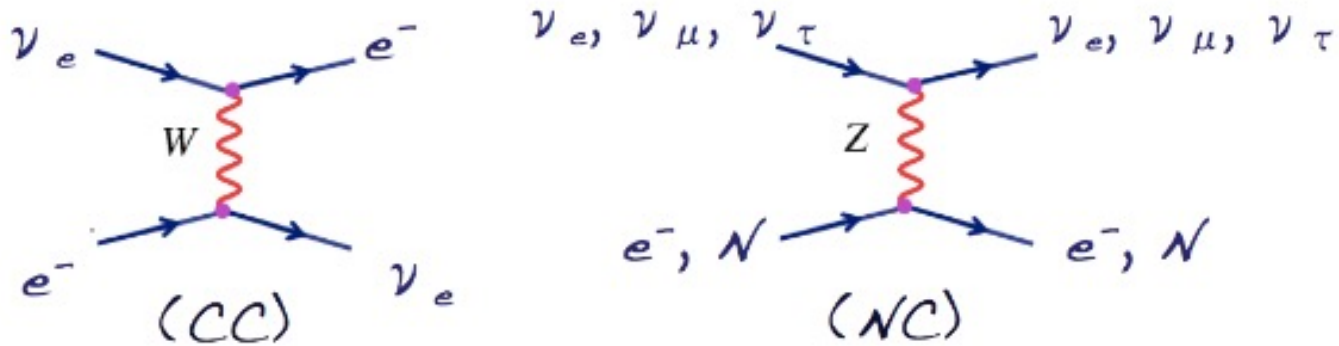
Mass scale? Dirac or Majorana?
Sterile?

Questions:

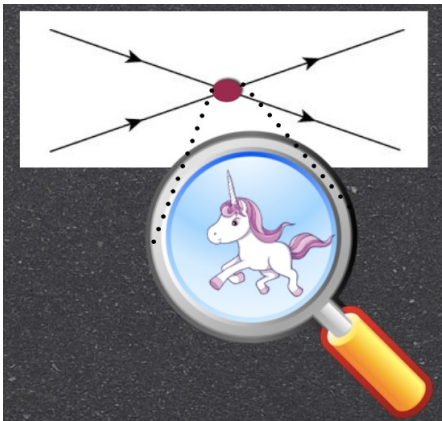
- How can we systematically use different neutrino experiments for BSM searches?
- How can we connect results to other particle physics experiments?
- Can neutrino experiments probe reasonable new physics beyond the reach of high energy colliders?

Neutrino experiments can become an ingredient in the broad program of precision measurements

- Coherent CC and NC forward scattering of neutrinos

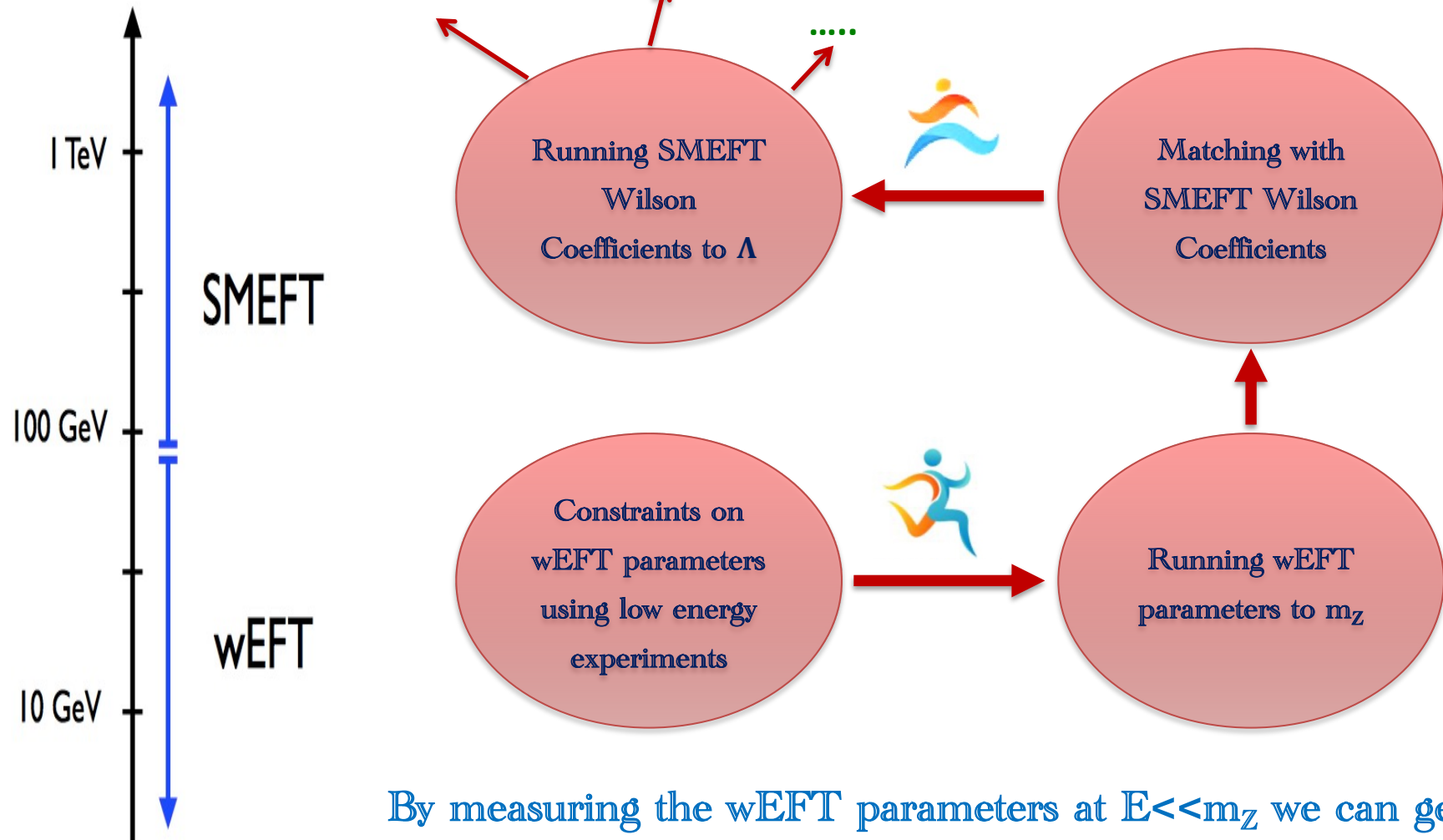


- New 4-fermion interactions



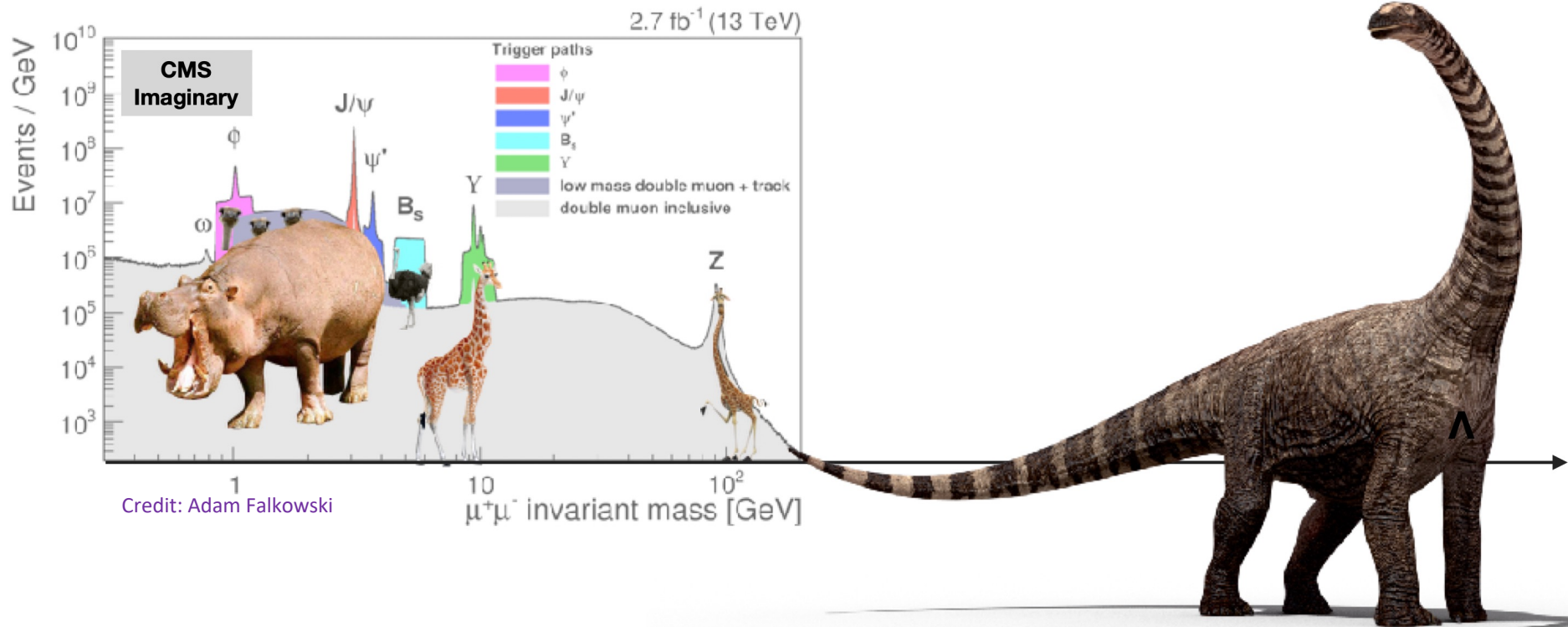
- Observable effects at neutrino production/propagation/detection?
- Using “EFT” formalism to “systematically” explore NP beyond the neutrino masses and mixing

Workflow



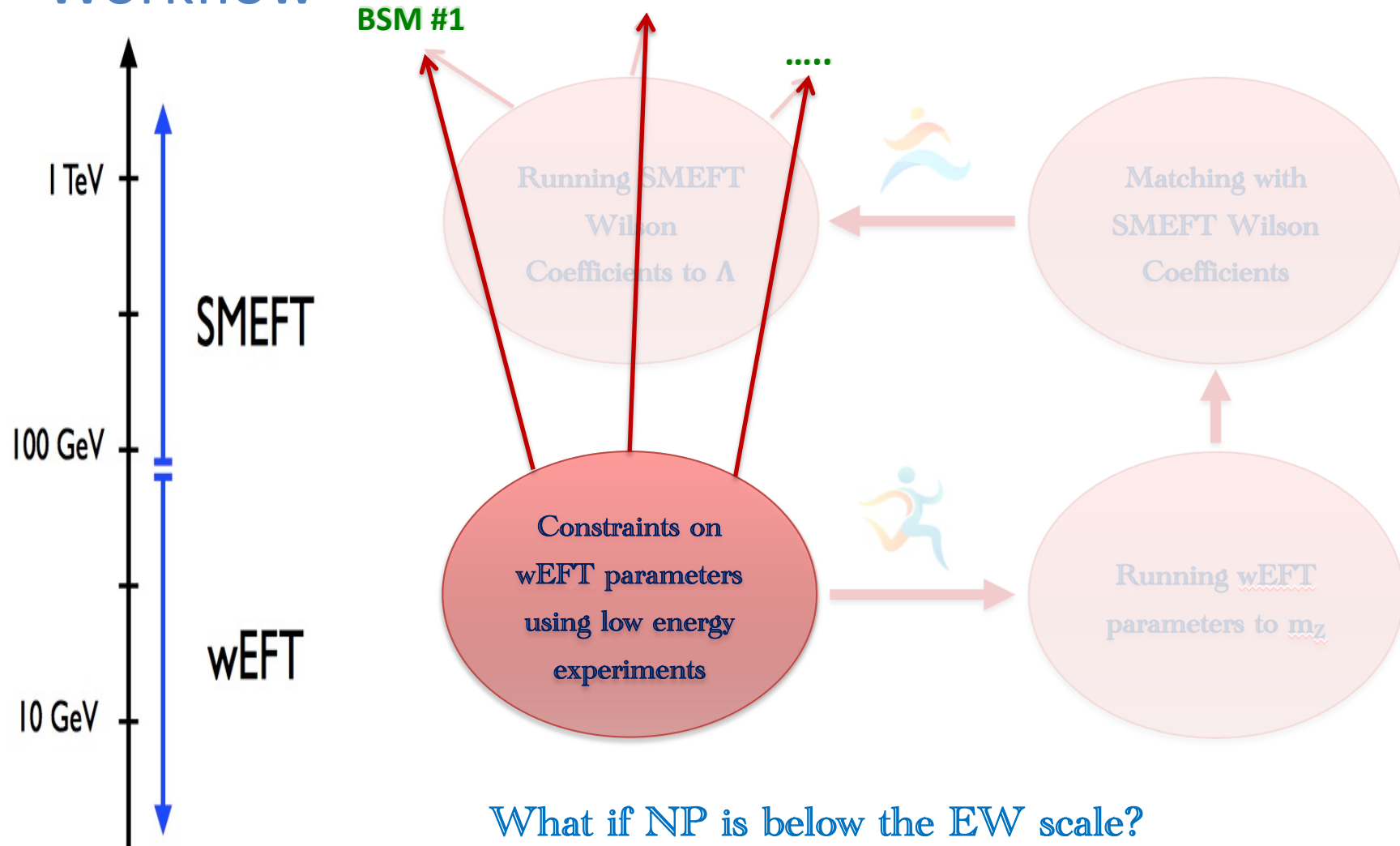
By measuring the wEFT parameters at $E \ll m_Z$ we can get constraints on higher dimensional SMEFT interactions

Fantastic Beasts and Where To Find Them



- It is likely the new degrees of freedom beyond the SM may not be directly available at LHC or even future colliders.
- However, even if it's not possible to see the head, perhaps we can see the tail?

Workflow



What if NP is below the EW scale?

wEFT is a consistent EFT in its own!

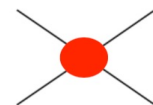
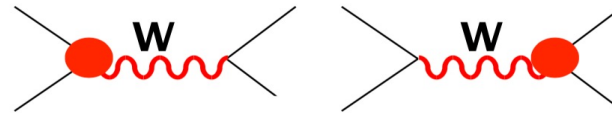
EFT ladder

SMEFT: minimal EFT above the weak scale

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{D=5} + \boxed{\mathcal{L}_{D=6}}$$

Known SM
Lagrangian

Gives neutrino
Masses

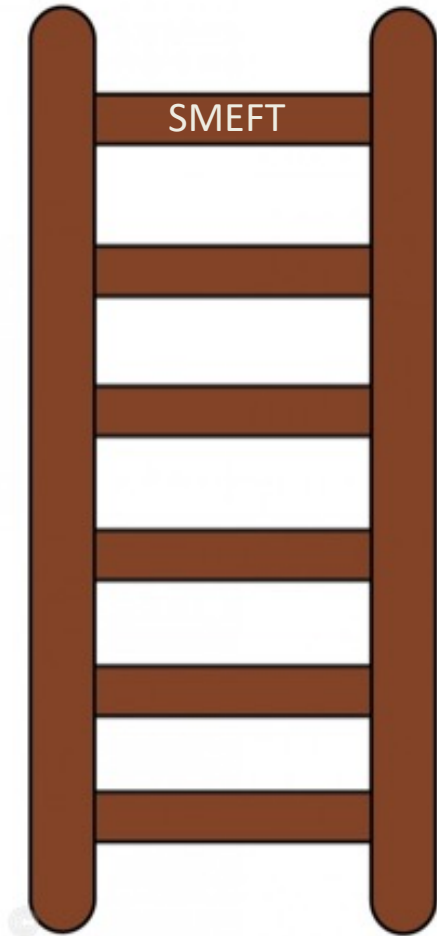


$$O_{lq}^{(3)} = (\bar{l}\gamma^\mu\sigma^{ab}l)(\bar{q}\gamma_\mu\sigma^a q)$$

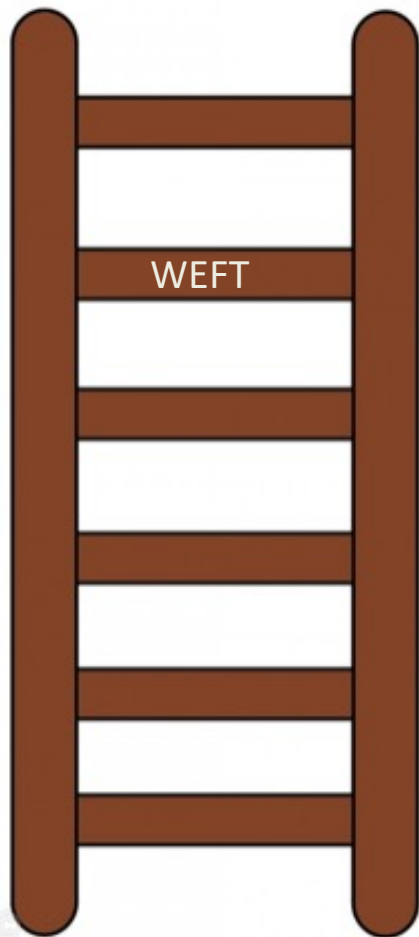
$$O_{qde} = (\bar{l}e)(\bar{d}q) + \text{h.c.}$$

$$O_{lq} = (\bar{l}_a e)\epsilon^{ab}(\bar{q}_b u) + \text{h.c.}$$

$$O_{lq}^t = (\bar{l}_a\sigma^{\mu\nu}e)\epsilon^{ab}(\bar{q}_b\sigma_{\mu\nu}u) + \text{h.c.}$$

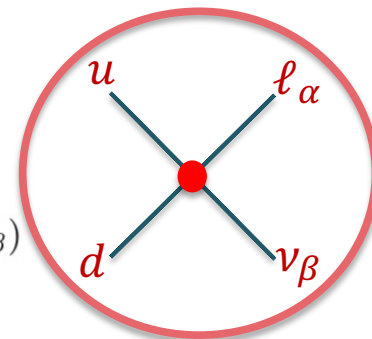


EFT ladder WEFT: Effective Lagrangian defined at a low scale $\mu \sim 2 \text{ GeV}$



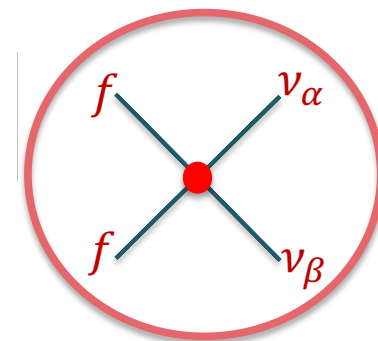
- CC: New left/right handed, (pseudo)scalar and tensor interactions

$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2V_{ud}}{v^2} \left\{ [1 + \epsilon_L]_{\alpha\beta} (\bar{u}\gamma^\mu P_L d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \right. \\
+ \epsilon_R]_{\alpha\beta} (\bar{u}\gamma^\mu P_R d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \\
+ \frac{1}{2} \epsilon_S]_{\alpha\beta} (\bar{u}d)(\bar{\ell}_\alpha P_L \nu_\beta) - \frac{1}{2} \epsilon_P]_{\alpha\beta} (\bar{u}\gamma_5 d)(\bar{\ell}_\alpha P_L \nu_\beta) \\
\left. + \frac{1}{4} \hat{\epsilon}_T]_{\alpha\beta} (\bar{u}\sigma^{\mu\nu} P_L d)(\bar{\ell}_\alpha \sigma_{\mu\nu} P_L \nu_\beta) + \text{h.c.} \right\}$$



- NC: New left and right handed interactions

$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2}{v^2} \epsilon_{\alpha\beta}^{fX} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P_X f)$$



At the scale m_Z WFT parameters ϵ_X map to dim-6 operators in SMEFT

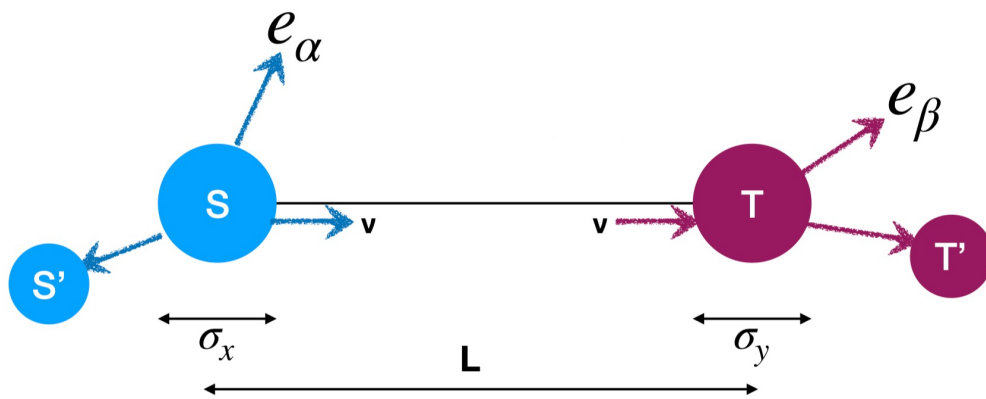
$$\begin{aligned}
 [\epsilon_L]_{\alpha\beta} &\approx \frac{v^2}{\Lambda^2 V_{ud}} \left(V_{ud} [c_{HI}^{(3)}]_{\alpha\beta} + V_{jd} [c_{Hq}^{(3)}]_{1j} \delta_{\alpha\beta} - V_{jd} [c_{lq}^{(3)}]_{\alpha\beta 1j} \right) \\
 [\epsilon_R]_{\alpha\beta} &\approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11} \delta_{\alpha\beta} \\
 [\epsilon_S]_{\alpha\beta} &\approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}^{(1)}]_{\beta\alpha j1}^* + [c_{ledq}]_{\beta\alpha 11}^* \right) \\
 [\epsilon_P]_{\alpha\beta} &\approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}^{(1)}]_{\beta\alpha j1}^* - [c_{ledq}]_{\beta\alpha 11}^* \right) \\
 [\hat{\epsilon}_T]_{\alpha\beta} &\approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}^{(3)}]_{\beta\alpha j1}^*
 \end{aligned}$$

Falkowski, González-Alonso, [ZL](#), JHEP (2019)



- All ϵ_X arise at $O(\Lambda^{-2})$ in the SMEFT, thus they are equally important.
- No off-diagonal right handed interactions in SMEFT.

EFT at neutrino experiments



Observable: rate of detected events

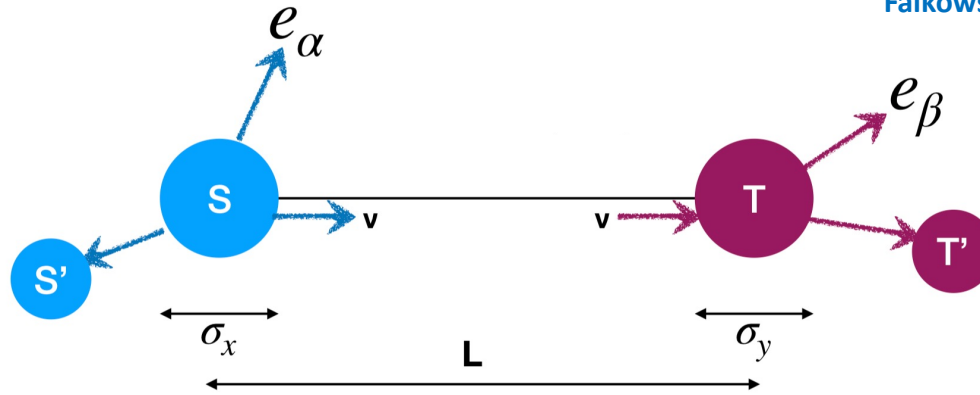
$\sim (\text{flux}) \times (\text{det. cross section}) \times (\text{oscillation})$

$$U_{\text{PMNS}} \parallel \begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} \begin{matrix} \nu_1 & \nu_2 & \nu_3 \end{matrix}$$

$$R_{\alpha\beta}^{\text{SM}} = \Phi_{\alpha}^{\text{SM}} \sigma_{\beta}^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E_{\nu}}} U_{\alpha k}^* U_{\alpha l} U_{\beta k} U_{\beta l}^*$$

EFT at neutrino experiments

Falkowski, González-Alonso, ZT, JHEP (2020)



Observable: rate of detected events

~ (flux) × (det. cross section) × (oscillation)

CC EFT

NC EFT

$$U_{\text{PMNS}} \parallel \begin{bmatrix} \nu_e & \text{blue square} & \text{red square} & \text{small red square} \\ \nu_\mu & \text{red square} & \text{red square} & \text{dark purple square} \\ \nu_\tau & \text{red square} & \text{red square} & \text{dark purple square} \\ \nu_1 & \nu_2 & \nu_3 \end{bmatrix}$$

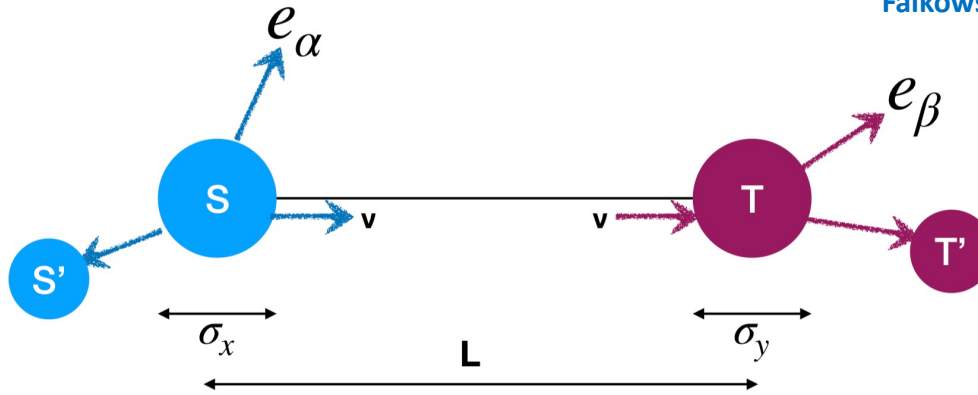
$$-\frac{2V_{ud}}{v^2} \left[\begin{aligned} & [1 + \epsilon_L]_{\alpha\beta} \bar{e}_\alpha \gamma_\mu P_L \nu_\beta \cdot \bar{u}_L \gamma^\mu d_L \\ & + [\epsilon_R]_{\alpha\beta} \bar{e}_\alpha \gamma_\mu P_L \nu_\beta \cdot \bar{u}_R \gamma^\mu d_R \\ & + \frac{1}{2} \bar{e}_\alpha P_L \nu_\beta \cdot \bar{u} [\epsilon_S - \epsilon_P \gamma_5]_{\alpha\beta} d \\ & + \frac{1}{4} [\epsilon_T]_{\alpha\beta} \bar{e}_\alpha \sigma_{\mu\nu} P_L \nu_\beta \cdot \bar{u}_R \sigma^{\mu\nu} d_L \end{aligned} \right] + \text{h.c.}$$

$$\begin{aligned} \mathcal{M}_{\alpha k}^P &= U_{\alpha k}^* A_L^P + \sum_X [\epsilon_X U]_{\alpha k}^* A_X^P \\ \mathcal{M}_{\beta k}^D &= U_{\beta k} A_L^D + \sum_X [\epsilon_X U]_{\beta k} A_X^D \end{aligned}$$

depend on the kinematic and spin variables

EFT at neutrino experiments

Falkowski, González-Alonso, ZT, JHEP (2020)



Observable: rate of detected events

~ (flux) × (det. cross section) × (oscillation)

CC EFT

NC EFT

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$$\phi^{\text{Total}} \sim \phi^{\text{SM}} (1 + \epsilon_X p_{XL} + \epsilon_X^2 p_{XX})$$

$$\sigma^{\text{Total}} \sim \sigma^{\text{SM}} (1 + \epsilon_X d_{XL} + \epsilon_X^2 d_{XX})$$

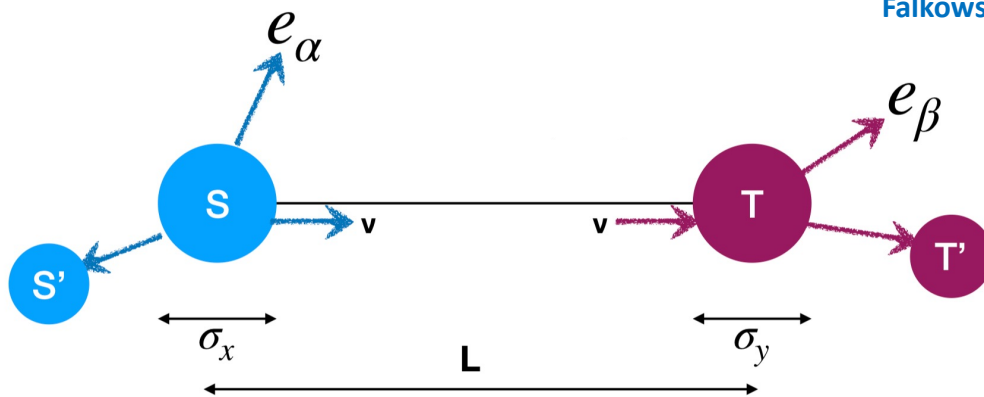
$$\mathcal{M}_{\alpha k}^P = U_{\alpha k}^* A_L^P + \sum_X [\epsilon_X U]_{\alpha k}^* A_X^P$$

$$\mathcal{M}_{\beta k}^D = U_{\beta k} A_L^D + \sum_X [\epsilon_X U]_{\beta k} A_X^D$$

depend on the kinematic and spin variables

EFT at neutrino experiments

Falkowski, González-Alonso, ZT, JHEP (2020)



Observable: rate of detected events

~(flux)×(det. cross section)×(oscillation)

$$U_{\text{PMNS}} \parallel \begin{bmatrix} \nu_e & \text{blue square} & \text{red square} & \text{small red square} \\ \nu_\mu & \text{red square} & \text{red square} & \text{purple square} \\ \nu_\tau & \text{red square} & \text{red square} & \text{purple square} \\ \nu_1 & \nu_2 & \nu_3 \end{bmatrix}$$

$$R_{\alpha\beta} = \Phi_\alpha^{\text{SM}} \sigma_\beta^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E\nu}} [U_{\alpha k}^* U_{\alpha l} + p_{XL} (\epsilon_X U)_{\alpha k}^* U_{\alpha l} + p_{XL}^* U_{\alpha k}^* (\epsilon_X U)_{\alpha l} + p_{XX} (\epsilon_X U)_{\alpha k}^* (\epsilon_X U)_{\alpha l}] \\ \times [U_{\beta k} U_{\beta l}^* + d_{XL} (\epsilon_X U)_{\beta k} U_{\beta l}^* + d_{XL}^* U_{\beta k} (\epsilon_X U)_{\beta l}^* + d_{XX} (\epsilon_X U)_{\beta k} (\epsilon_X U)_{\beta l}^*]$$

$$\phi^{\text{Total}} \sim \phi^{\text{SM}} (1 + \epsilon_X p_{XL} + \epsilon_X^2 p_{XX})$$

$$\sigma^{\text{Total}} \sim \sigma^{\text{SM}} (1 + \epsilon_X d_{XL} + \epsilon_X^2 d_{XX})$$

Pion decay

EFT at Production

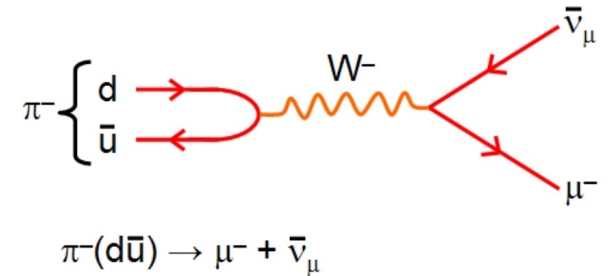
Falkowski, González-Alonso, ZT, JHEP (2020)

Due to the pseudoscalar nature of the pion, it is sensitive only to axial (ϵ_L - ϵ_R) and pseudo-scalar (ϵ_P) interactions.

$$p_{LL} = -p_{RL} = 1, \quad p_{PL} = -p_{PR} = -\frac{m_\pi^2}{m_\mu(m_u + m_d)},$$

$$p_{RR} = 1, \quad p_{PP} = \frac{m_\pi^4}{m_\mu^2(m_u + m_d)^2}.$$

~-27



- Larger $p_{XY} \Rightarrow$ smaller ϵ !

$$\phi^{Total} \sim \phi^{SM} (1 + \epsilon_X p_{XL} + \epsilon_X^2 p_{XX})$$

Pion decay

EFT at Production

Falkowski, González-Alonso, ZT, JHEP (2020)

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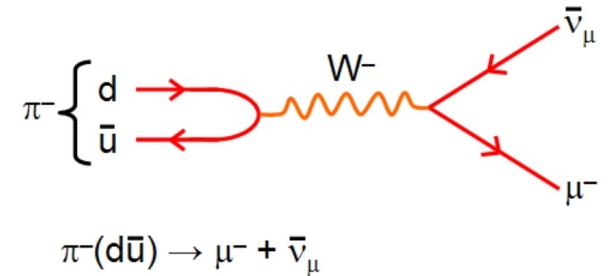
$$p_{RR} = 1, \quad p_{PP} = \frac{m_\pi^4}{m_\mu^2(m_u + m_d)^2}.$$

~700!

- Larger $p_{XY} \Rightarrow$ smaller ϵ !

$$\phi^{Total} \sim \phi^{SM} (1 + \epsilon_X p_{XL} + \epsilon_X^2 p_{XX})$$

ϵ_X and ϵ_X^2 are equally important!



kaon
decay

EFT at Production

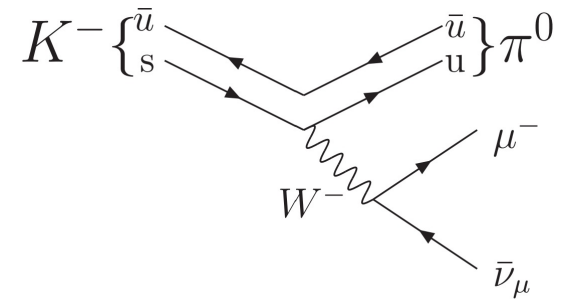
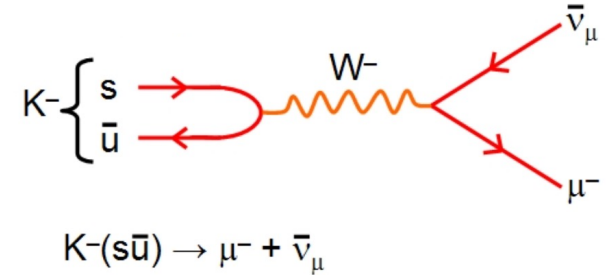
Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)

Both 2-body and 3-body kaon decays contribute:

$$P_{XY,\alpha}^{S,jk} \equiv \frac{\int dE_S \frac{\phi_S(E_S)}{E_S} \sum_i \beta_i^S(E_S) \int d\Pi_{P'_i} A_{X,\alpha}^{S_i,jk} A_{Y,\alpha}^{S_i,jk*}}{\int dE_S \frac{\phi_S(E_S)}{E_S} \sum_{i'j'k'} \beta_{i'}^S(E_S) \int d\Pi_{P'_{i'}} |A_{L,\alpha}^{S_{i'},j'k'}|^2}$$

Energy distribution of K^\pm , K_L or K_S

Depends on the experimental details

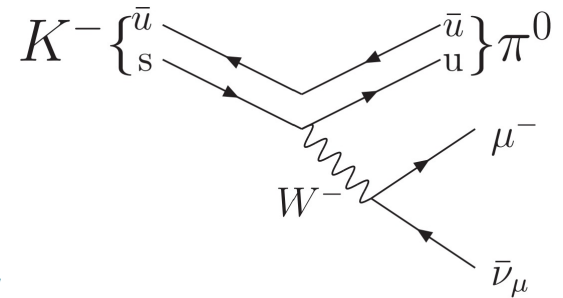
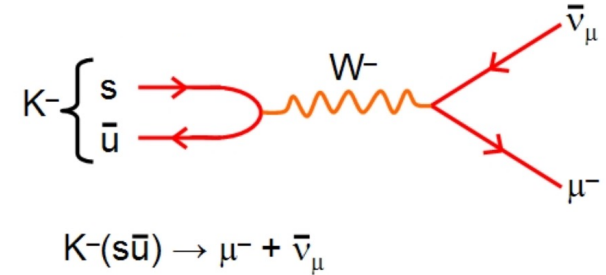
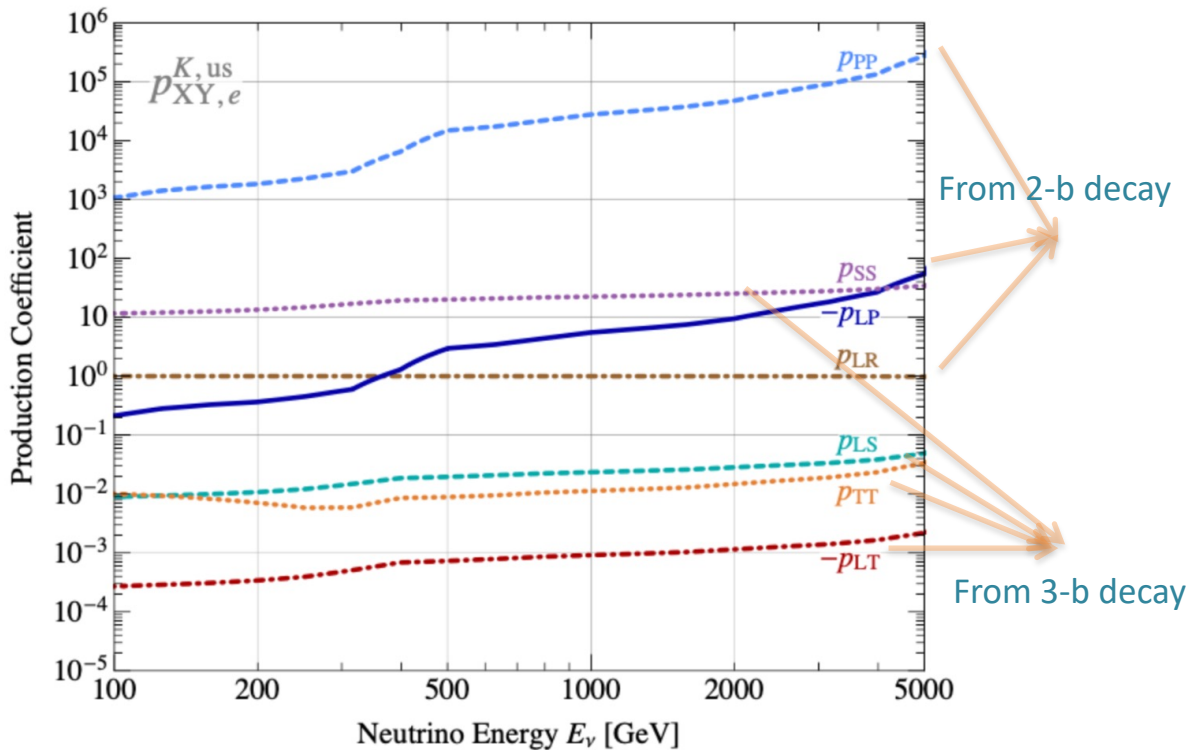


kaon decay

EFT at Production

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)

Both 2-body and 3-body kaon decays contribute:



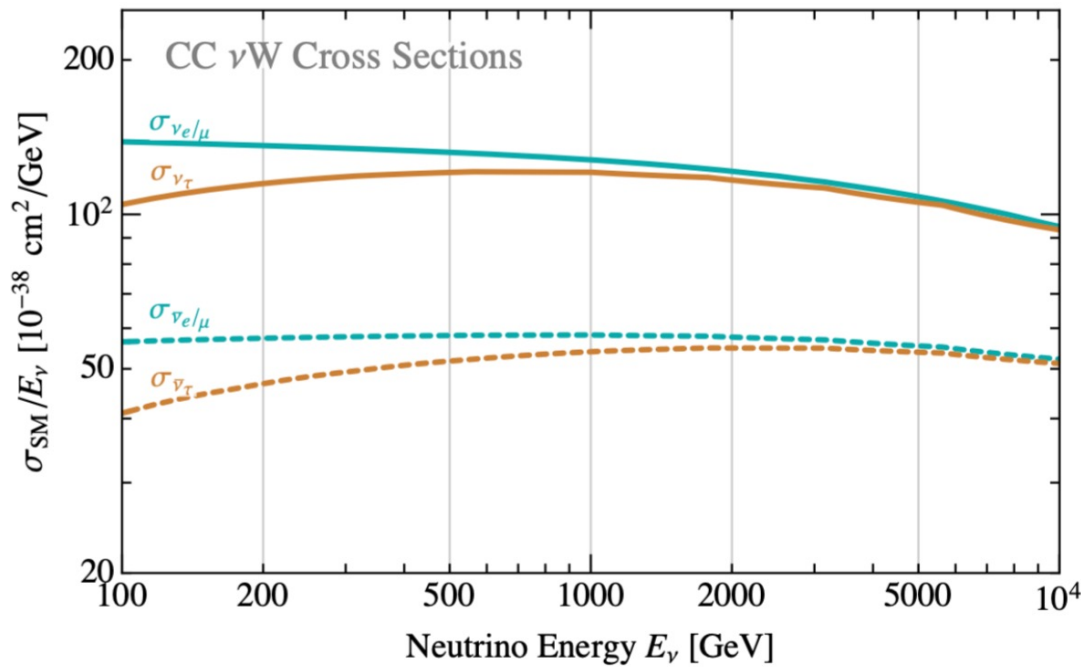
$$\phi^{Total} \sim \phi^{SM} (1 + \epsilon_X p_{XL} + \epsilon_X^2 p_{XX})$$

ϵ_X and ϵ_X^2 are equally important!

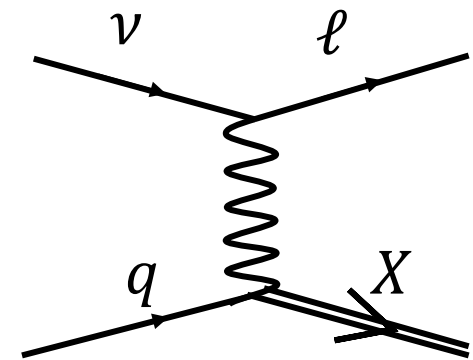
DIS

EFT at Detection

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)



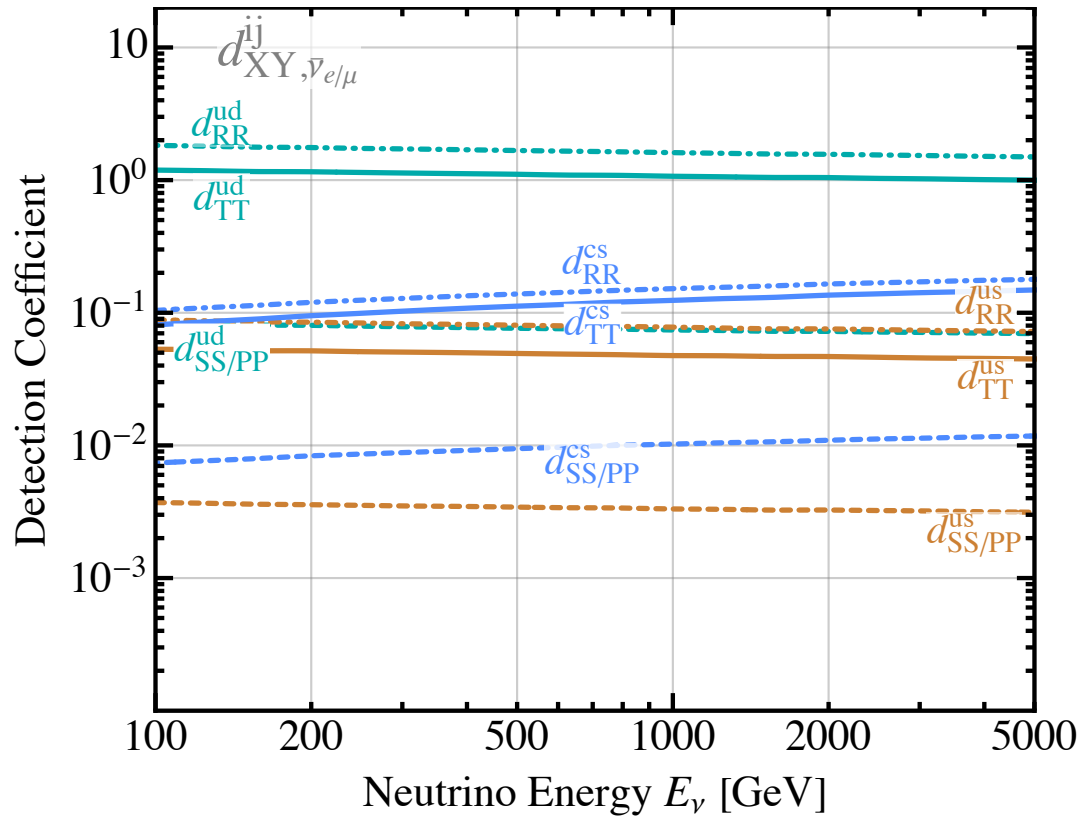
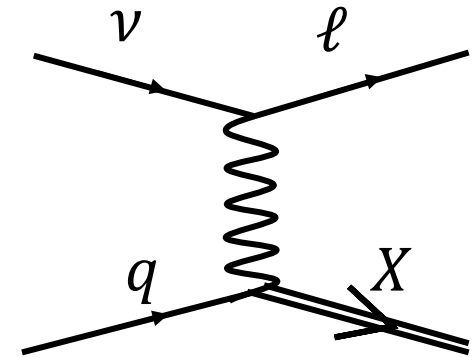
Deep Inelastic Scattering



DIS detection, easy to include NP
(compared to QE and Resonances)

DIS

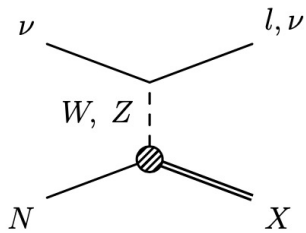
EFT at Detection

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)Deep Inelastic
Scattering

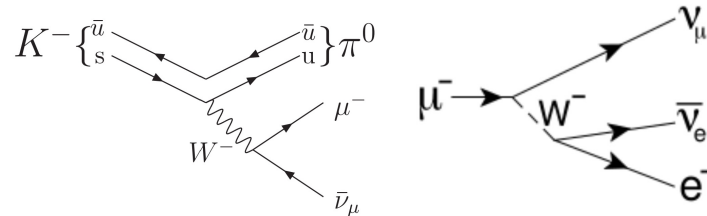
$$\sigma^{Total} \sim \sigma^{SM} (1 + \epsilon_X d_{XL} + \epsilon_X^2 d_{XX})$$

ϵ_X^2 is more important
than ϵ_X !

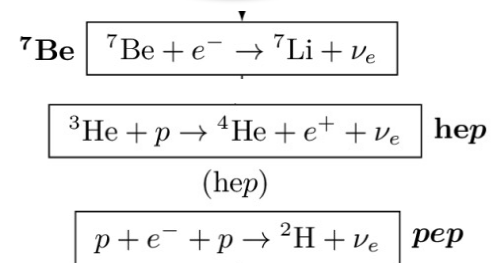
DIS: FASERv



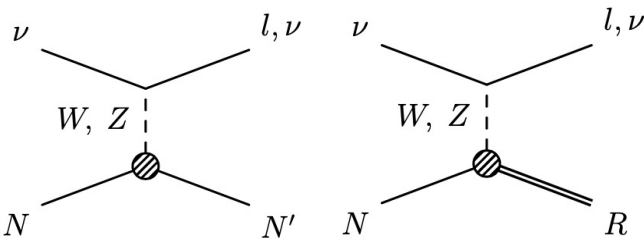
Kaon/Muon decay:
ISODAR, KDAR



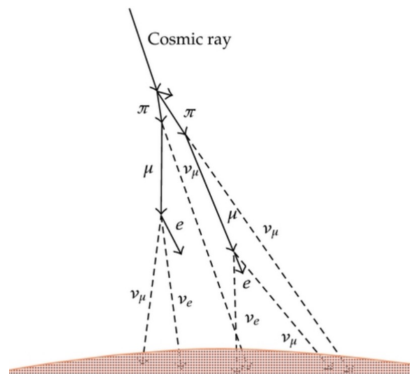
Solar neutrinos:
Borexino



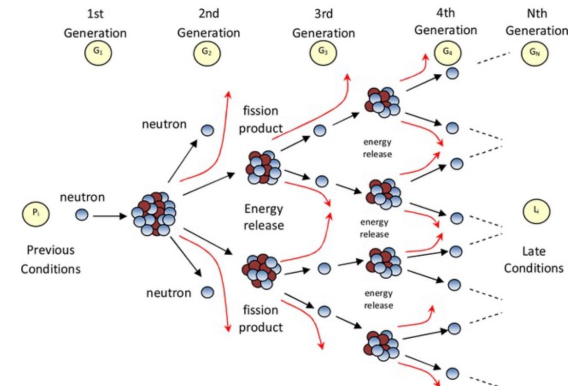
QE,
Resonances:
MINOS, NOvA,
DUNE



Atmospheric
Neutrinos:
IceCube



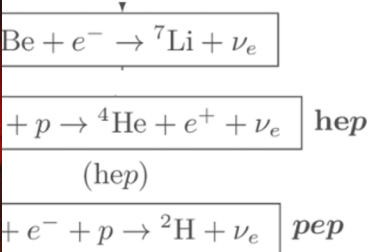
Beta decay and
IBD: Reactor
Experiments



DIS: FASERv

Kaon/Muon decay:

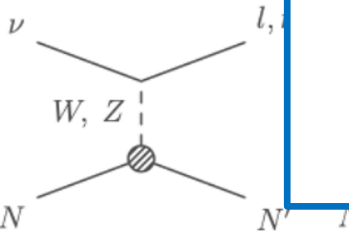
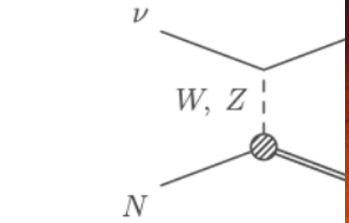
Solar neutrinos: Borexino



QE,
Resonances
MINOS, NOvA
DUNE

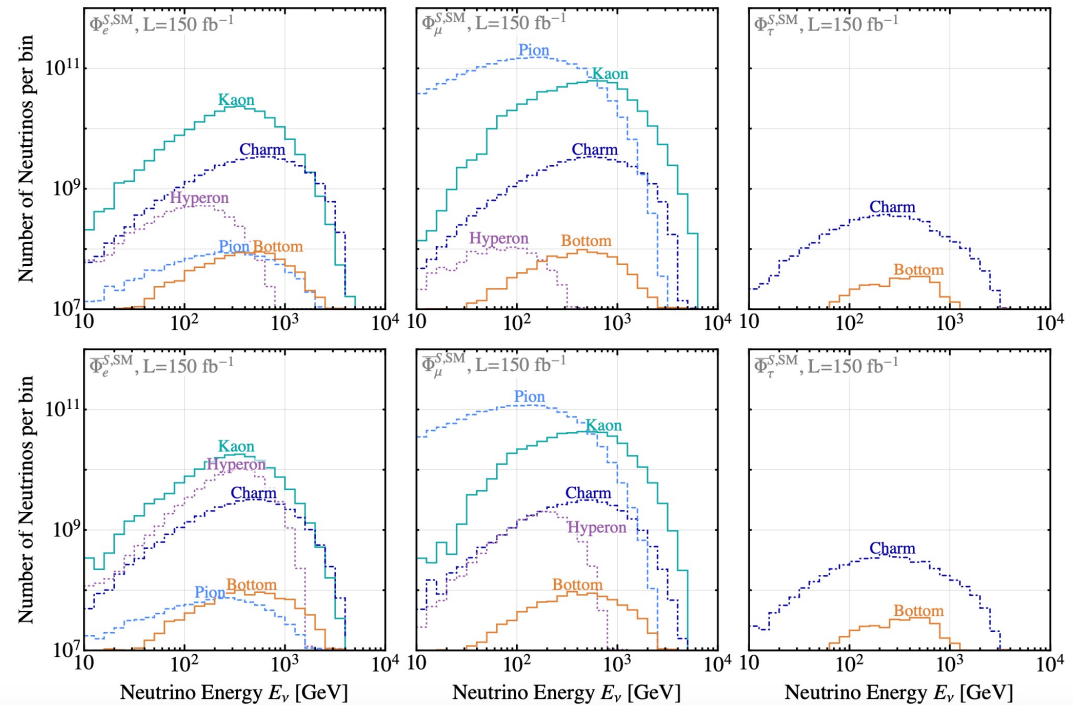
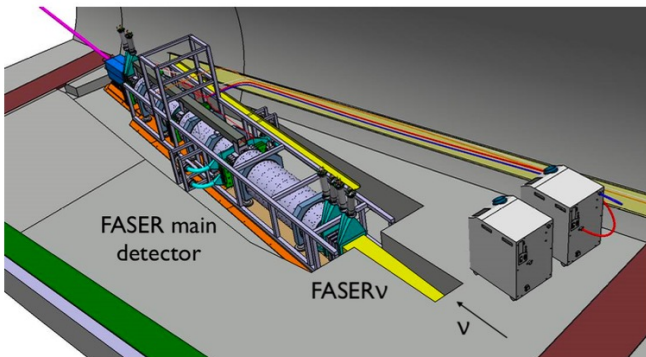
Beta decay and
IBD: Reactor
Experiments

Neutrino experiments give us a powerful tool to search for many different new interactions!



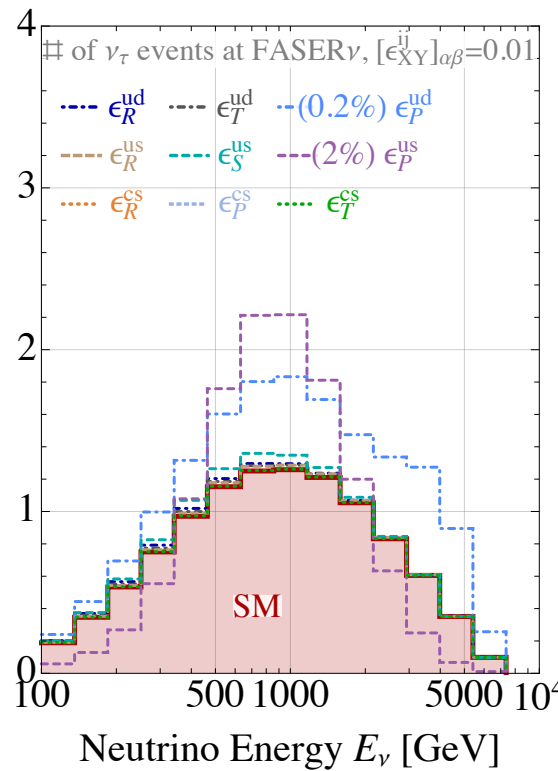
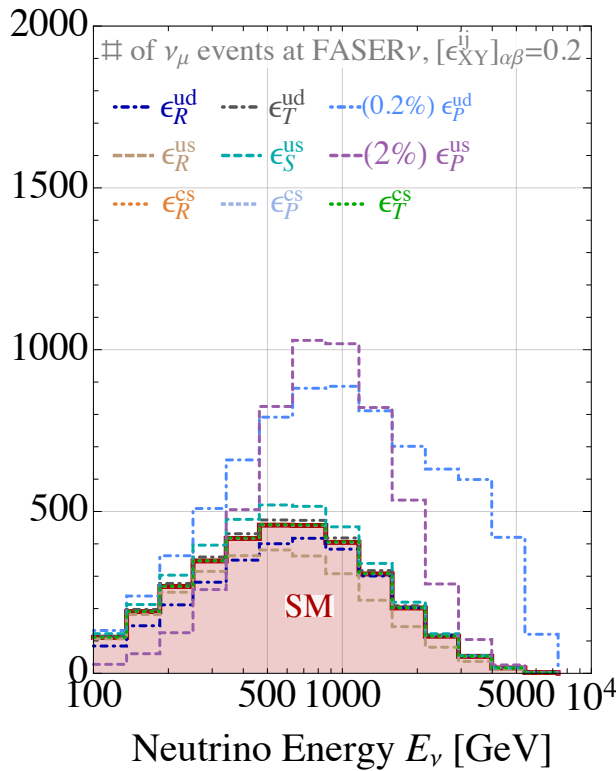
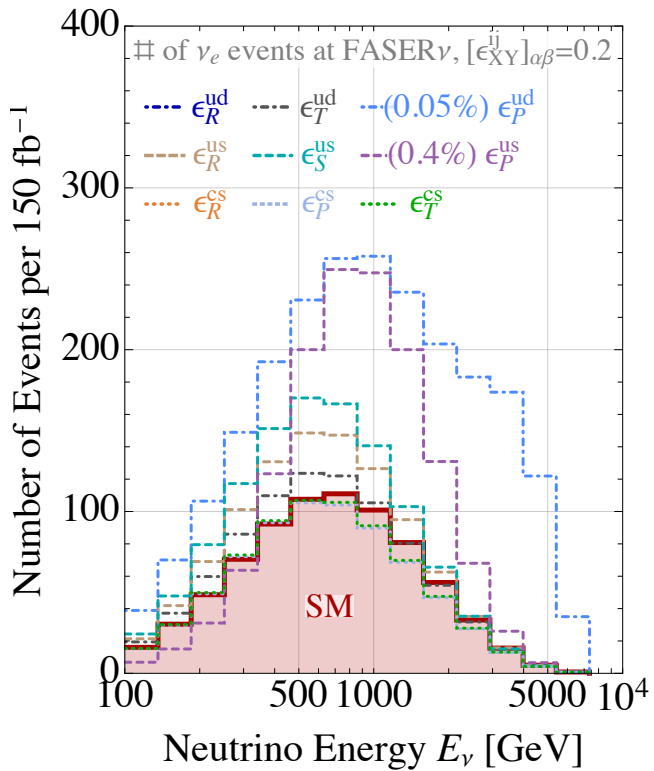
EFT at FASERv

- Downstream of ATLAS at of 480 m;
- Ideal for detecting high-energy neutrinos at LHC;
- 1.2-t of tungsten material;
- Several production modes;
- Pion and Kaon decays are the dominant ones;
- All (anti)neutrino flavors are available;



EFT at FASERν

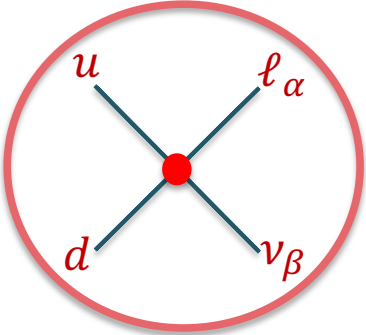
Falkowski, González-Alonso, Kopp, Soreq, [ZL](#), JHEP (2021)



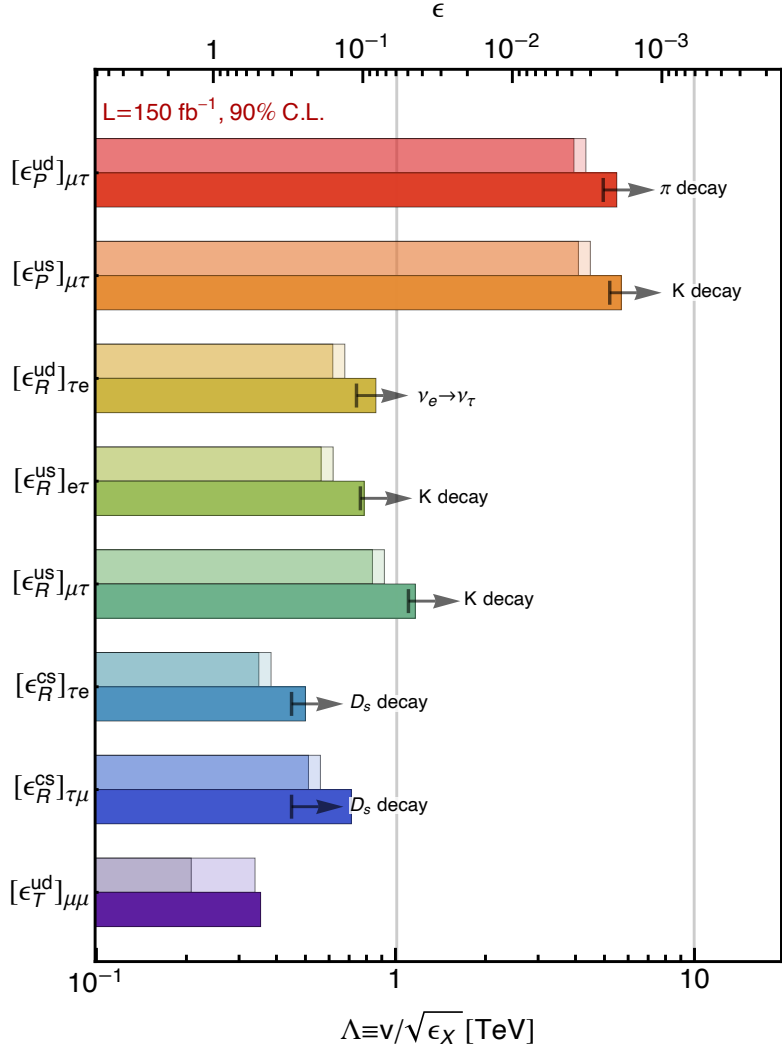
EFT at FASERv

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)

- **FASERv**: colored bars
- Top: Pessimistic/Optimistic flux uncertainties
- Bottom: High luminosity LHC



- Neutrino detectors can identify flavor: 81 operators at FASERv
- New physics reach at multi-TeV
- Complementary or dominant constraints



EFT at FASERv

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)

- **FASERv: colored bars**

- **Low Energy WEFT:**
(Independent of the underlying high-energy theory)

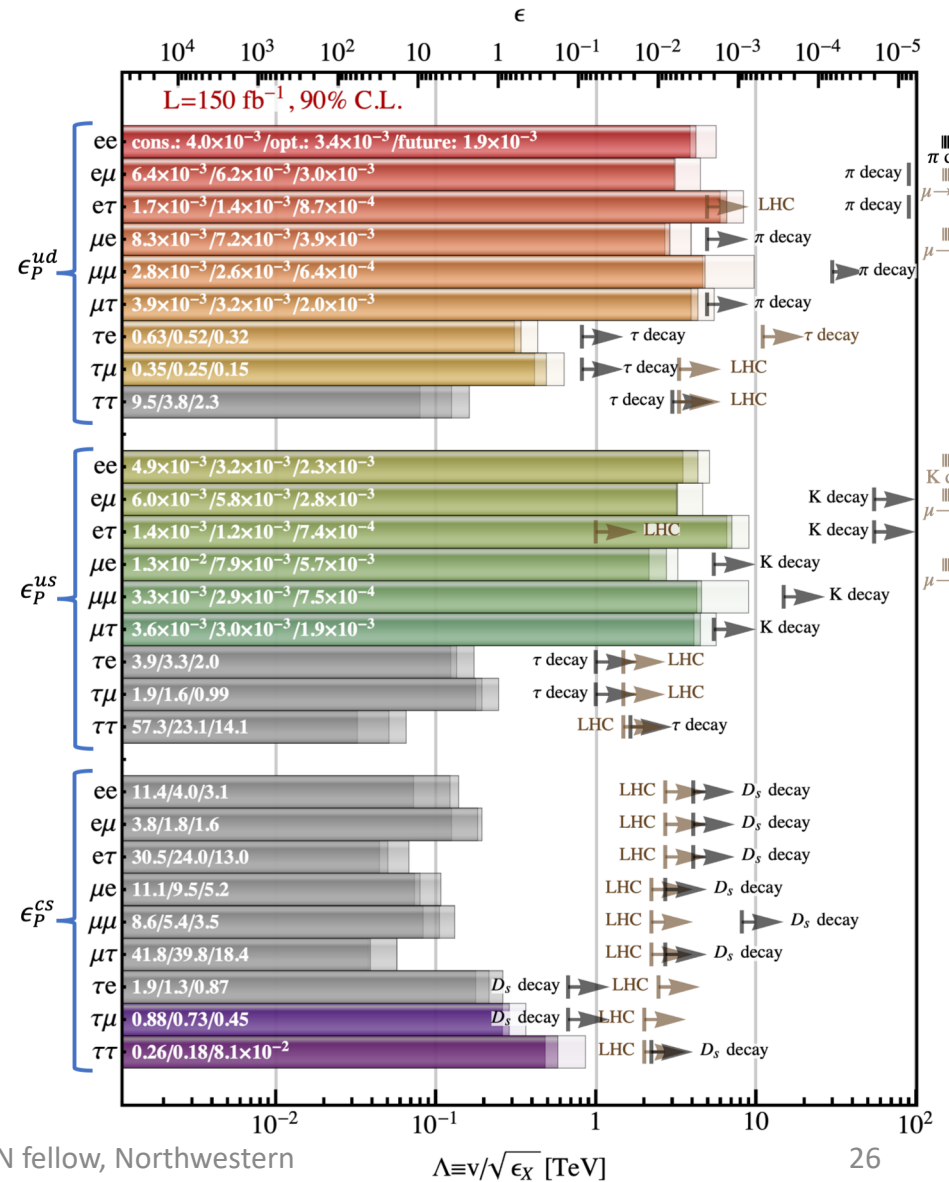
- ✓ β -decays
- ✓ Leptonic pion decays
- ✓ (Semi-)Leptonic kaon decays
- ✓ Hadronic τ decays



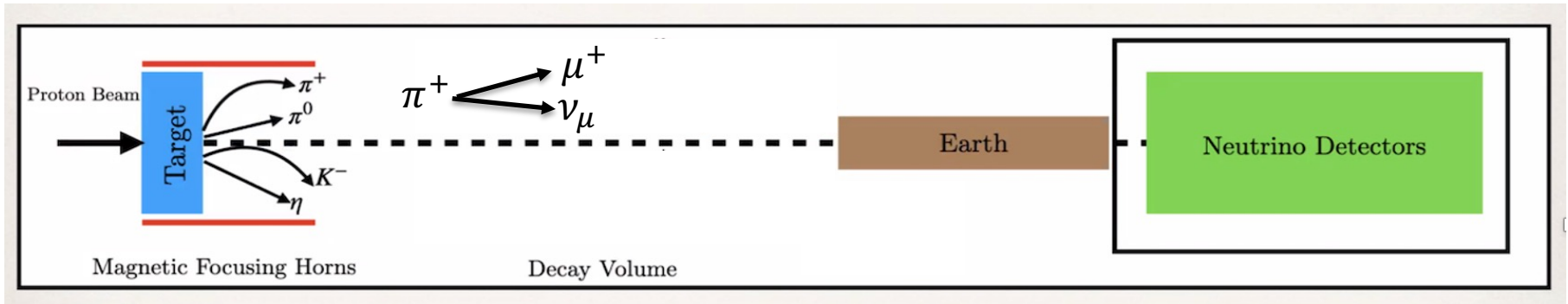
- **High Energy SMEFT:**
(Bounds are less robust)



- ✓ LHC
- ✓ CLFV



Accelerator Neutrino Experiments



Credit: Kevin Kelly

High beam
luminosity + Large
fiducial mass

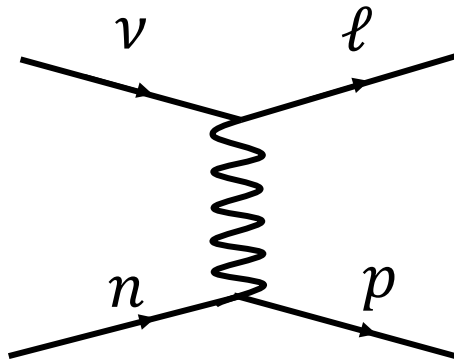


Ideal to investigate
(rare) neutrino
interactions

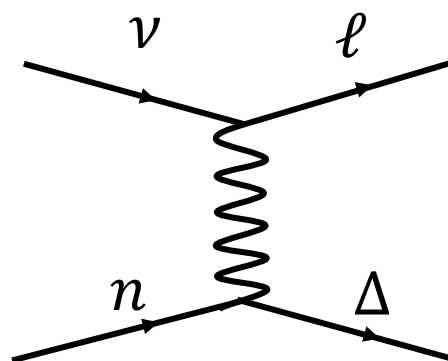
0.5-5 GeV energy range: QE, resonances, DIS



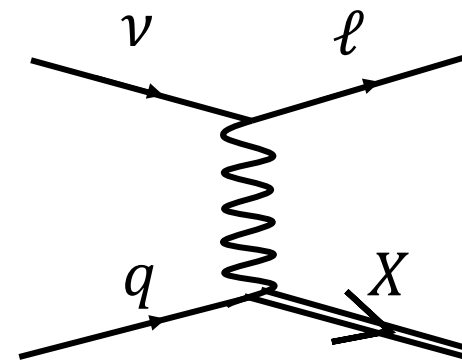
Quasi-Elastic
Scattering



Resonance
Production



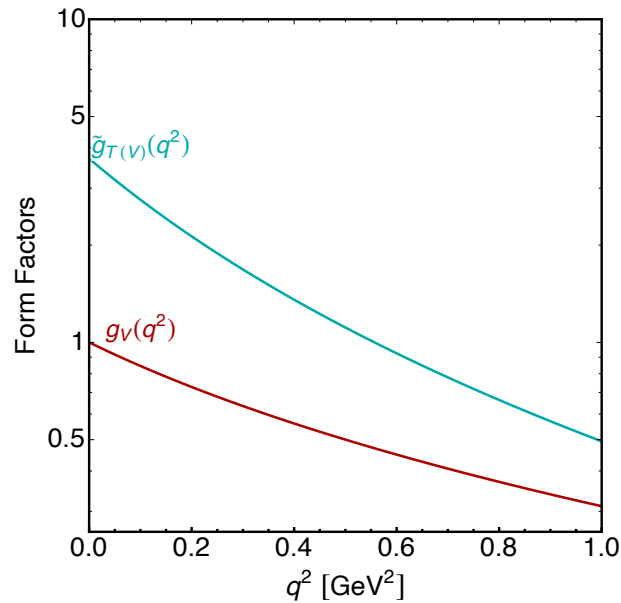
Deep Inelastic
Scattering



QE matrix elements at the nucleon level

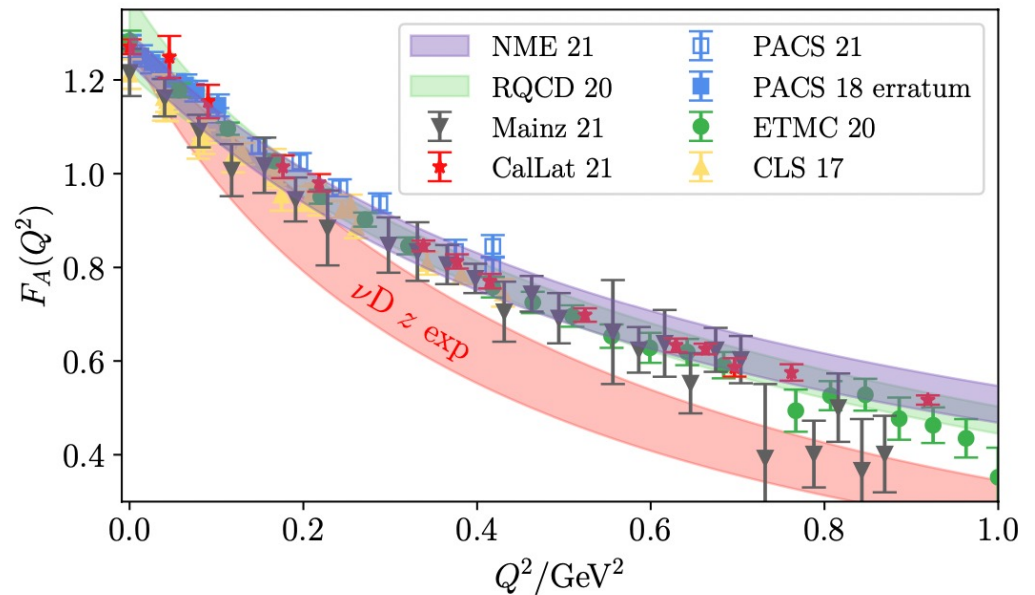
$$\langle p(p_p) | \bar{u} \gamma_\mu d | n(p_n) \rangle = \bar{u}_p(p_p) \left[g_V(q^2) \gamma_\mu - i \frac{\tilde{g}_{T(V)}(q^2)}{2M_N} \sigma_{\mu\nu} q^\nu + \frac{\tilde{g}_S(q^2)}{2M_N} q_\mu \right] u_n(p_n)$$

$$\langle p(p_p) | \bar{u} \gamma_\mu \gamma_5 d | n(p_n) \rangle = \bar{u}_p(p_p) \left[g_A(q^2) \gamma_\mu - i \frac{\tilde{g}_{T(A)}(q^2)}{2M_N} \sigma_{\mu\nu} q^\nu + \frac{\tilde{g}_P(q^2)}{2M_N} q_\mu \right] \gamma_5 u_n(p_n)$$



constrained by eN scattering

Kopp, Rocco, [ZT](#), in preparation



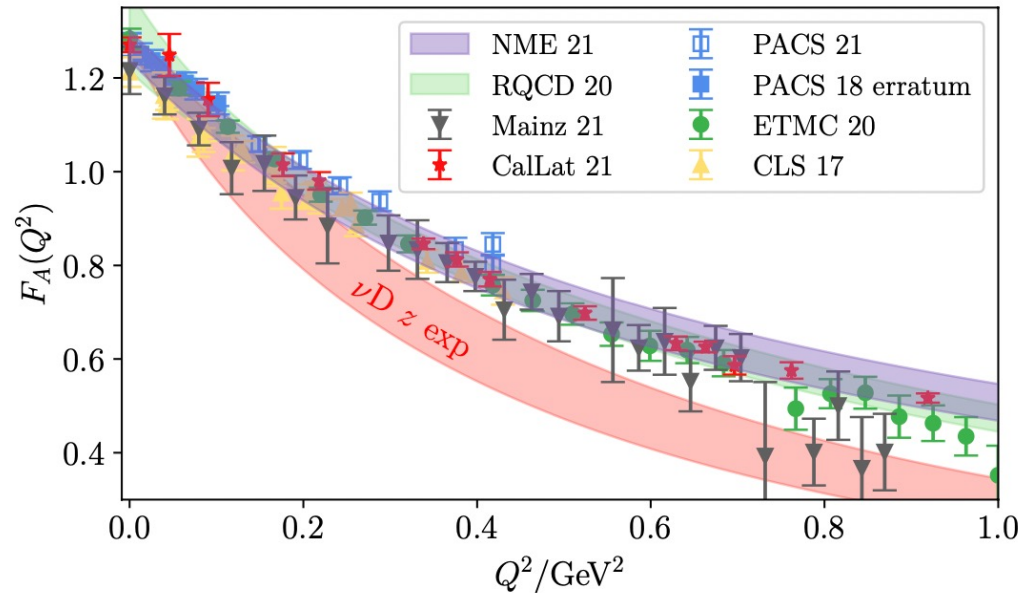
poorly constrained by expt.

Meyer et al, [2201.01839](#)

QE matrix elements at the nucleon level

$$\langle p(p_p) | \bar{u} \gamma_\mu d | n(p_n) \rangle = \bar{u}_p(p_p) \left[g_V(q^2) \gamma_\mu - i \frac{\tilde{g}_{T(V)}(q^2)}{2M_N} \sigma_{\mu\nu} q^\nu + \frac{\tilde{g}_S(q^2)}{2M_N} q_\mu \right] u_n(p_n)$$

$$\langle p(p_p) | \bar{u} \gamma_\mu \gamma_5 d | n(p_n) \rangle = \bar{u}_p(p_p) \left[g_A(q^2) \gamma_\mu - i \frac{\tilde{g}_{T(A)}(q^2)}{2M_N} \sigma_{\mu\nu} q^\nu + \frac{\tilde{g}_P(q^2)}{2M_N} q_\mu \right] \gamma_5 u_n(p_n)$$



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Meyer et al, 2201.01839

QE matrix elements at the nucleon level

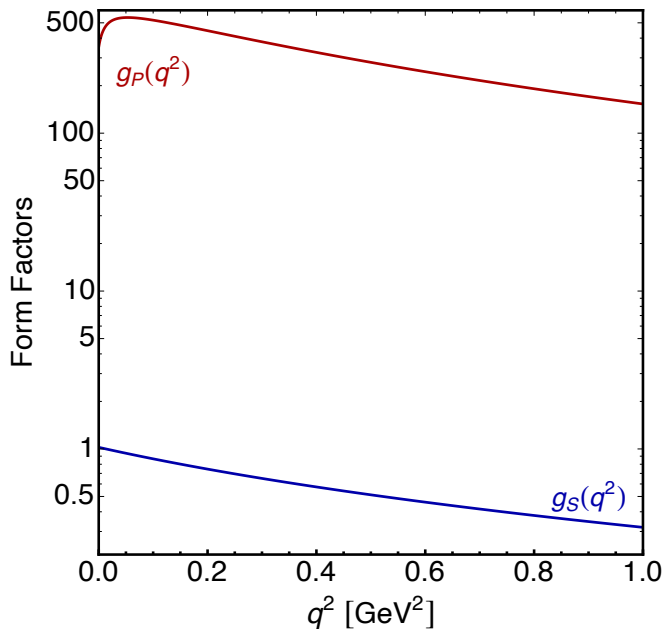
$$\begin{aligned}
 \langle p(p_p) | \bar{u} d | n(p_n) \rangle &= g_S(q^2) \bar{u}_p(p_p) u_n(p_n) \\
 \langle p(p_p) | \bar{u} \gamma_5 d | n(p_n) \rangle &= g_P(q^2) \bar{u}_p(p_p) \gamma_5 u_n(p_n) \\
 \langle p(p_p) | \bar{u} \sigma_{\mu\nu} d | n(p_n) \rangle &= \bar{u}_p(p_p) \left[g_T(q^2) \sigma_{\mu\nu} + g_T^{(1)}(q^2) (q_\mu \gamma_\nu - q_\nu \gamma_\mu) \right. \\
 &\quad \left. + g_T^{(2)}(q^2) (q_\mu P_\nu - q_\nu P_\mu) + g_T^{(3)}(q^2) (\gamma_\mu \not{q} \gamma_\nu - \gamma_\nu \not{q} \gamma_\mu) \right] u_n(p_n)
 \end{aligned}$$

- conservation of the vector current (CVC):

$$g_S(q^2) = \frac{\delta M_N^{\text{QCD}}}{\delta m_q} g_V(q^2) + \frac{q^2/2\bar{M}_N}{\delta m_q} \tilde{g}_S(q^2)$$

- partial conservation of the axial current (PCAC):

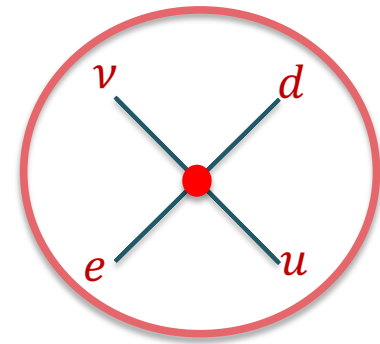
$$g_P(q^2) = \frac{\bar{M}_N}{\bar{m}_q} g_A(q^2) + \frac{q^2/2\bar{M}_N}{(2\bar{m}_q)} \tilde{g}_P(q^2)$$



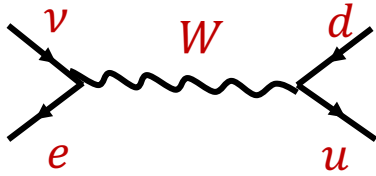
- We need axial form factor for NP as well
- Much larger statistics
- Large pseudo-scalar form factor (no q/M suppression)
- Different energy scale compare to beta decay experiments

Kopp, Rocco, ZI, in preparation

Specific New Physics Models

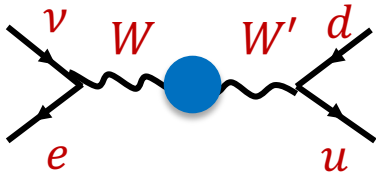


ϵ_L : measures deviations of the W boson to quarks and leptons, compared to the SM prediction



$$-\frac{g_{\nu e}^W g_{ud}^W}{4m_W^2} V_{ud} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{u} \gamma^\mu (1 - \gamma_5) d$$

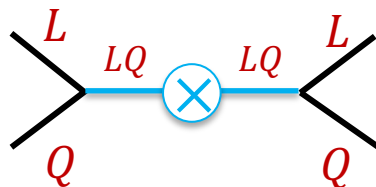
ϵ_R : left-right symmetric $SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_X$ models introduce new charged vector bosons W' coupling to right-handed quarks



$$\bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{u} \gamma^\mu (1 + \gamma_5) d$$

$$\epsilon_R \sim \frac{m_W^2}{m_{W'}^2}$$

$\epsilon_{S,P,T}$: In leptoquark models, new scalar particles couple to both quarks and leptons

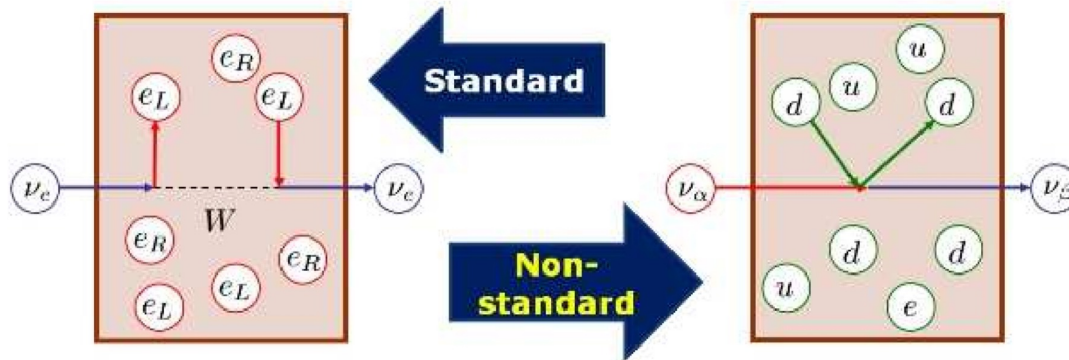


$$(LQ)(LQ)$$

$$\epsilon_{S,P,T} \sim \frac{v^2}{m_{LQ}^2}$$

QM-NSI Description

Neutrinos are not pure flavor states:



Standard NSI approach

NSI parameters

$$|\nu_\alpha^s\rangle = \frac{1}{N_\alpha^s} \left[|\nu_\alpha\rangle + \sum_{\gamma=e,\mu,\tau} \epsilon_{\alpha\gamma}^s |\nu_\gamma\rangle \right]$$

$$\langle \nu_\beta^d | = \frac{1}{N_\beta^d} \left[\langle \nu_\beta | + \sum_{\gamma=e,\mu,\tau} \langle \nu_\gamma | \epsilon_{\gamma\beta}^d \right]$$

Rotation of flavor states at the source

Rotation of flavor states at the detector

Normalization

QM-NSI Description

Neutrinos are not pure flavor states:

$$|\nu_\alpha^s\rangle = \frac{(1 + \epsilon^s)_{\alpha\gamma}}{N_\alpha^s} |\nu_\gamma\rangle, \quad \langle \nu_\beta^d | = \langle \nu_\gamma | \frac{(1 + \epsilon^d)_{\gamma\beta}}{N_\beta^d}$$

Observable: rate of detected events

\sim (flux) \times (det. cross section) \times (oscillation)

$$R_{\alpha\beta}^{\text{QM}} = \Phi_\alpha^{\text{SM}} \sigma_\beta^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E_\nu}} [x_s]_{\alpha k} [x_s]_{\alpha l}^* [x_d]_{\beta k} [x_d]_{\beta l}^*$$

$$x_s \equiv (1 + \epsilon^s) U^* \quad \& \quad x_d \equiv (1 + \epsilon^d) U$$

Falkowski, González-Alonso, [ZT](#), JHEP (2019)

QM-NSI Description

- Can one “validate” QM-NSI approach from the QFT results?
- If yes, relation between NSI parameters and Lagrangian (EFT) parameters?
- Does the matching hold at all orders in perturbation?

QM-NSI Description

- Can one “validate” QM-NSI approach from the QFT results? **Yes...**
- If yes, relation between NSI parameters and Lagrangian (EFT) parameters?
- Does the matching hold at all orders in perturbation? **No...**

Observable is the same, we can match the two
(only at the linear level)

$$\epsilon_{\alpha\beta}^s = \sum_X p_{XL}[\epsilon_X]_{\alpha\beta}^*, \quad \epsilon_{\beta\alpha}^d = \sum_X d_{XL}[\epsilon_X]_{\alpha\beta}$$

Falkowski, González-Alonso, [ZT](#), JHEP (2019)

Comparing QM and QFT

Only at the linear order:

Falkowski, González-Alonso, ZT, JHEP (2019)

Neutrino Process	NSI Matching with EFT
ν_e produced in beta decay	$\epsilon_{e\beta}^s = [\epsilon_L]_{e\beta}^* - [\epsilon_R]_{e\beta}^* - \frac{g_T}{g_A} \frac{m_e}{f_T(E_\nu)} [\epsilon_T]_{e\beta}^*$
ν_e detected in inverse beta decay	$\epsilon_{\beta e}^d = [\epsilon_L]_{e\beta} + \frac{1-3g_A^2}{1+3g_A^2} [\epsilon_R]_{e\beta} - \frac{m_e}{E_\nu - \Delta} \left(\frac{g_S}{1+3g_A^2} [\epsilon_S]_{e\beta} - \frac{3g_A g_T}{1+3g_A^2} [\epsilon_T]_{e\beta} \right)$
ν_μ produced in pion decay	$\epsilon_{\mu\beta}^s = [\epsilon_L]_{\mu\beta}^* - [\epsilon_R]_{\mu\beta}^* - \frac{m_\pi^2}{m_\mu(m_u + m_d)} [\epsilon_P]_{\mu\beta}^*$

- Different NP interactions appear at the source or detection simultaneously
- Some of the $p_{\text{XL}}/d_{\text{XL}}$ coefficients depend on the neutrino energy
- There are chiral enhancements in some cases

These correlations, energy dependence etc. cannot be seen in the traditional QM approach.

Comparing QM and QFT

Beyond the linear order in new physics parameters, the NSI formula matches the (correct) one derived in the EFT only if the **consistency condition** is satisfied

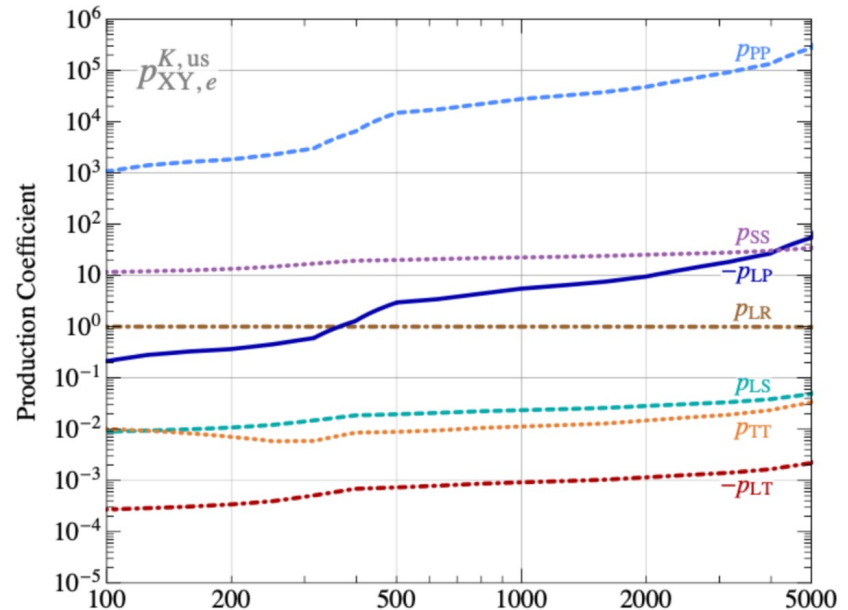
$$p_{XL}p_{YL}^* = p_{XY}, \quad d_{XL}d_{YL}^* = d_{XY}$$

This is always satisfied for new physics correcting V-A interactions only as $p_{LL} = d_{LL} = 1$ by definition

However for non-V-A new physics the consistency condition is not satisfied in general

Falkowski, González-Alonso, ZI, JHEP (2019)

$$p_{XY} \equiv \frac{\int d\Pi_{P'} A_X^P \bar{A}_Y^P}{\int d\Pi_{P'} |A_L^P|^2}, \quad d_{XY} \equiv \frac{\int d\Pi_D A_X^D \bar{A}_Y^D}{\int d\Pi_D |A_L^D|^2}$$



Conclusion:

- We can probe very heavy particles, often beyond the reach of present colliders, by precisely measuring low-energy observables using the EFT formalism.
- We have proposed a systematic approach to neutrino experiments in the SMEFT framework.
- Unlike other probes (meson decays, ATLAS and CMS analyses, etc.) neutrino experiments have the unique capability to identify the neutrino flavor. This is crucial complementary information in case excesses are found elsewhere in the future.
- Future directions: Systematic model-independent global analyses of new physics in neutrino oscillation experiments with:
 - i) Power counting of EFT effects;
 - ii) Extraction of oscillation parameters in presence of general new physics;
 - iii) Comparison between the sensitivity of oscillation and other experiments.



Thanks for your attention

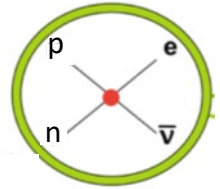
Back up Slides

WEFT Power Counting

- Dim-6: $\frac{\Delta R}{R_{SM}} = c \epsilon_X^2$
- Dim-7: Cannot interfere with the SM amplitudes, suppressed!
Liao et al, *JHEP* 08 (2020) 162
- Dim-8: $\frac{\Delta R}{R_{SM}} = \sqrt{c} \epsilon_8 E^2 / v^2$

EFT ladder

$$E \ll m_Z$$



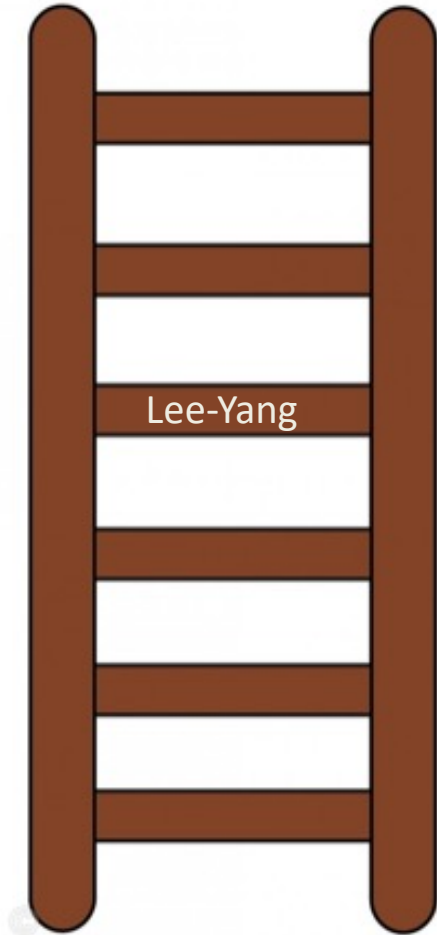
- At the energy scale of reactor neutrino experiments the relevant degrees of freedom are not quarks, but nucleons and nuclei. Matching this EFT to the WEFT Lagrangian we obtain the Lee-Yang Lagrangian:

$$\begin{aligned} \mathcal{L}_{\text{LY}} \supset & -\frac{V_{ud}}{v^2} \{ g_V [\mathbf{1} + \epsilon_L + \epsilon_R]_{\alpha\beta} (\bar{p}\gamma^\mu n)(\bar{\ell}_\alpha\gamma_\mu P_L\nu_\beta) \\ & - g_A [\mathbf{1} + \epsilon_L - \epsilon_R]_{\alpha\beta} (\bar{p}\gamma^\mu\gamma_5 n)(\bar{\ell}_\alpha\gamma_\mu P_L\nu_\beta) \\ & + g_S[\epsilon_S]_{\alpha\beta}(\bar{p}n)(\bar{\ell}_\alpha P_L\nu_\beta) - g_P[\epsilon_P]_{\alpha\beta}(\bar{p}\gamma_5 n)(\bar{\ell}_\alpha P_L\nu_\beta) \\ & + \frac{1}{2}g_T[\hat{\epsilon}_T]_{\alpha\beta}(\bar{p}\sigma^{\mu\nu} P_L n)(\bar{\ell}_\alpha\sigma_{\mu\nu} P_L\nu_\beta) + \text{h.c.} \}, \end{aligned}$$

- Lattice+theory fix the non-perturbative parameters with good precision

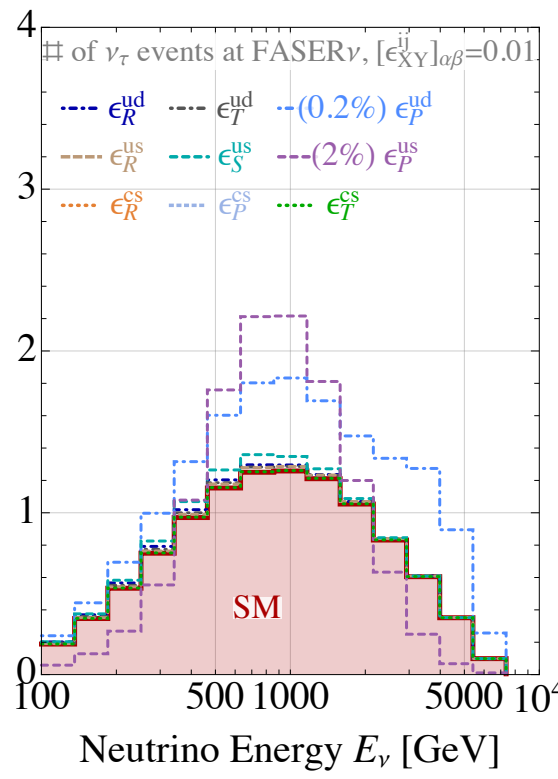
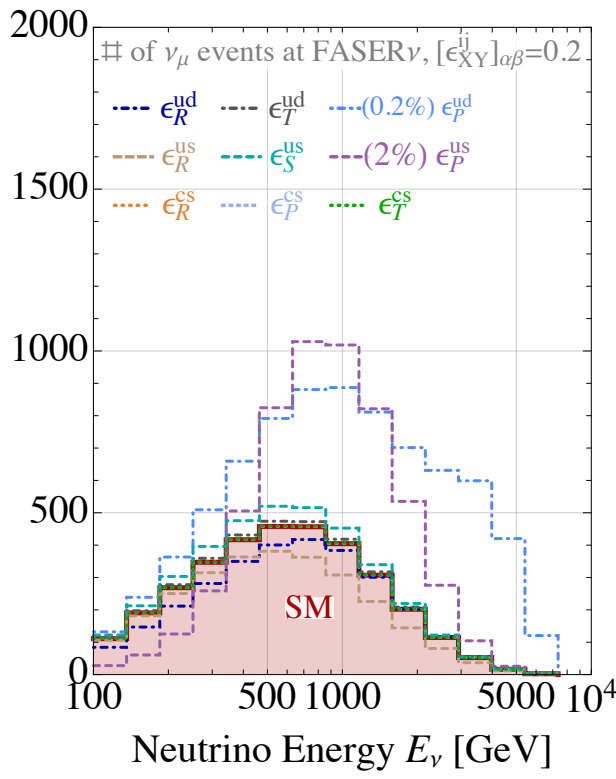
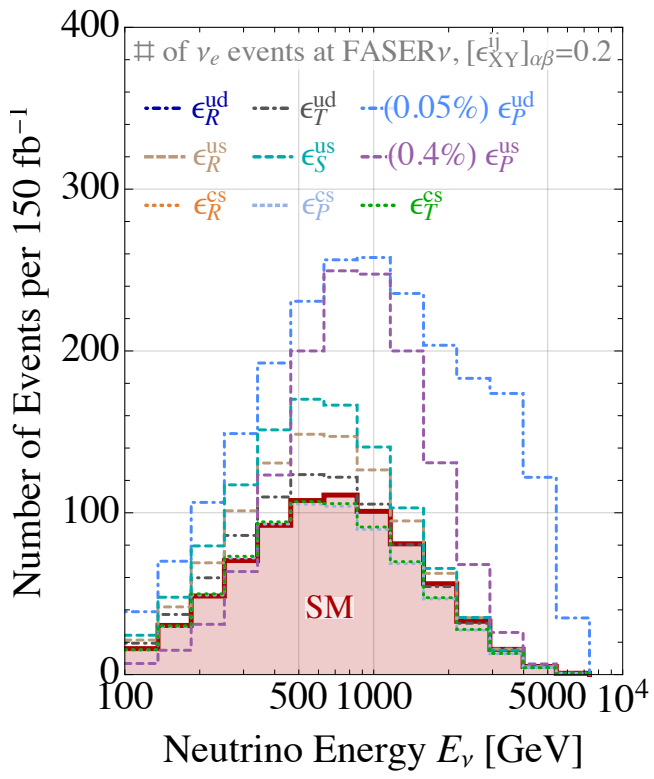
$$g_A = 1.2728 \pm 0.0017, \quad g_S = 1.02 \pm 0.11, \quad g_P = 349 \pm 9, \quad g_T = 0.987 \pm 0.055.$$

- T. Bhattacharya et al, Phys. Rev. D94 (2016), no. 5 054508
- M. Gonzalez-Alonso and J. Martin Camalich, Phys. Rev. Lett. 112 (2014), no. 4 042501
- M. Gonzalez-Alonso et al, Prog. Part. Nucl. Phys. 104 (2019) 165–223



EFT at FASERν

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT](#)
JHEP 10 (2021) 086

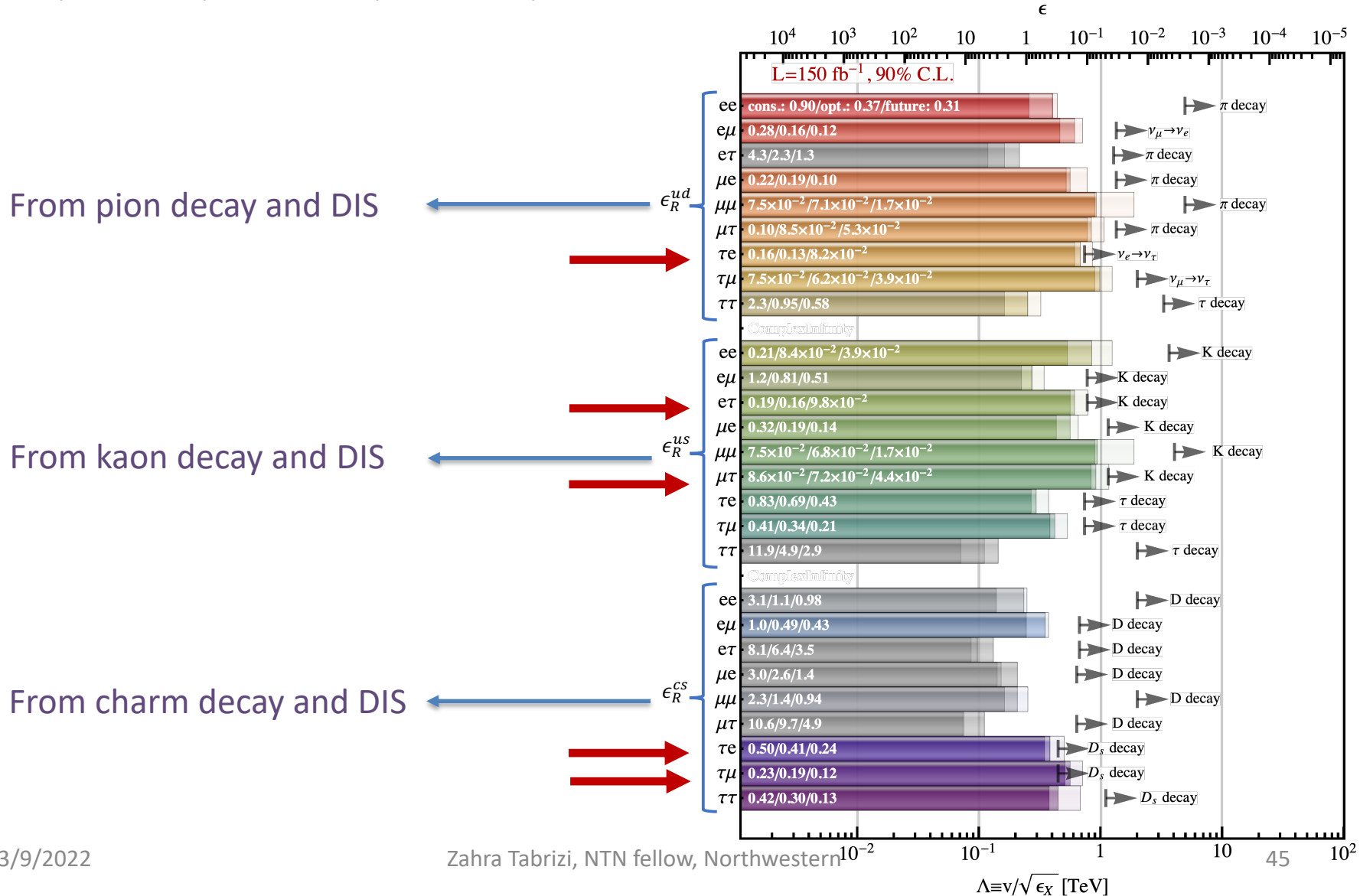


RESULTS

Turning on one interaction at a time: Right handed

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT JHEP 10 \(2021\) 086](#)

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

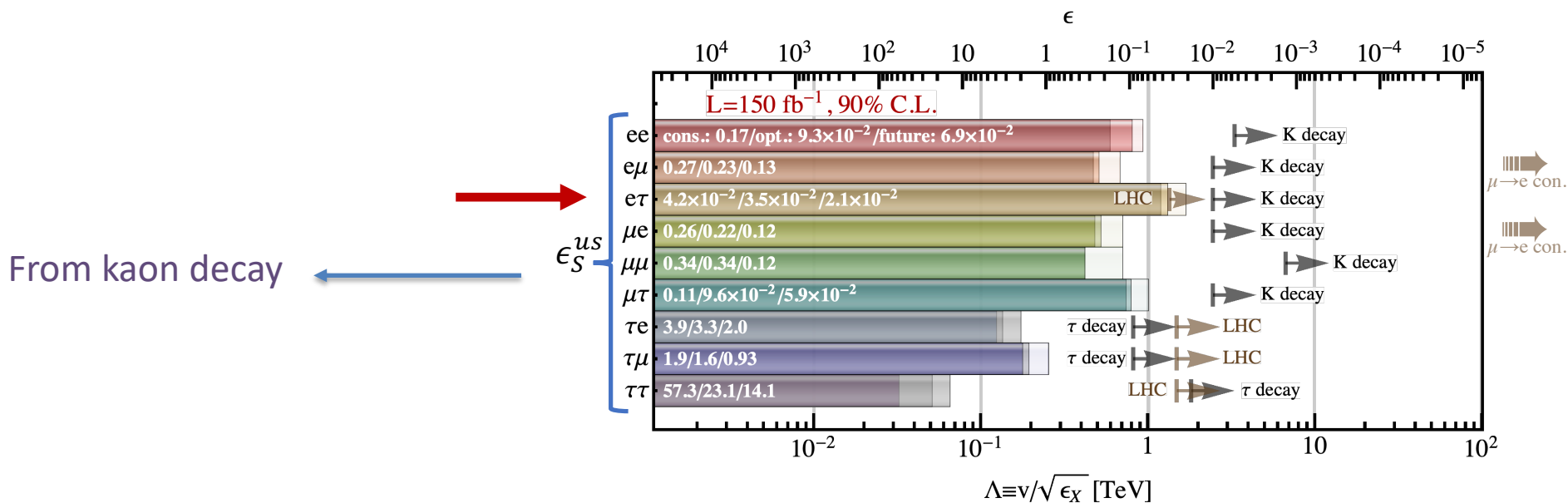


RESULTS

Turning on one interaction at a time: Scalar

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
JHEP 10 (2021) 086

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

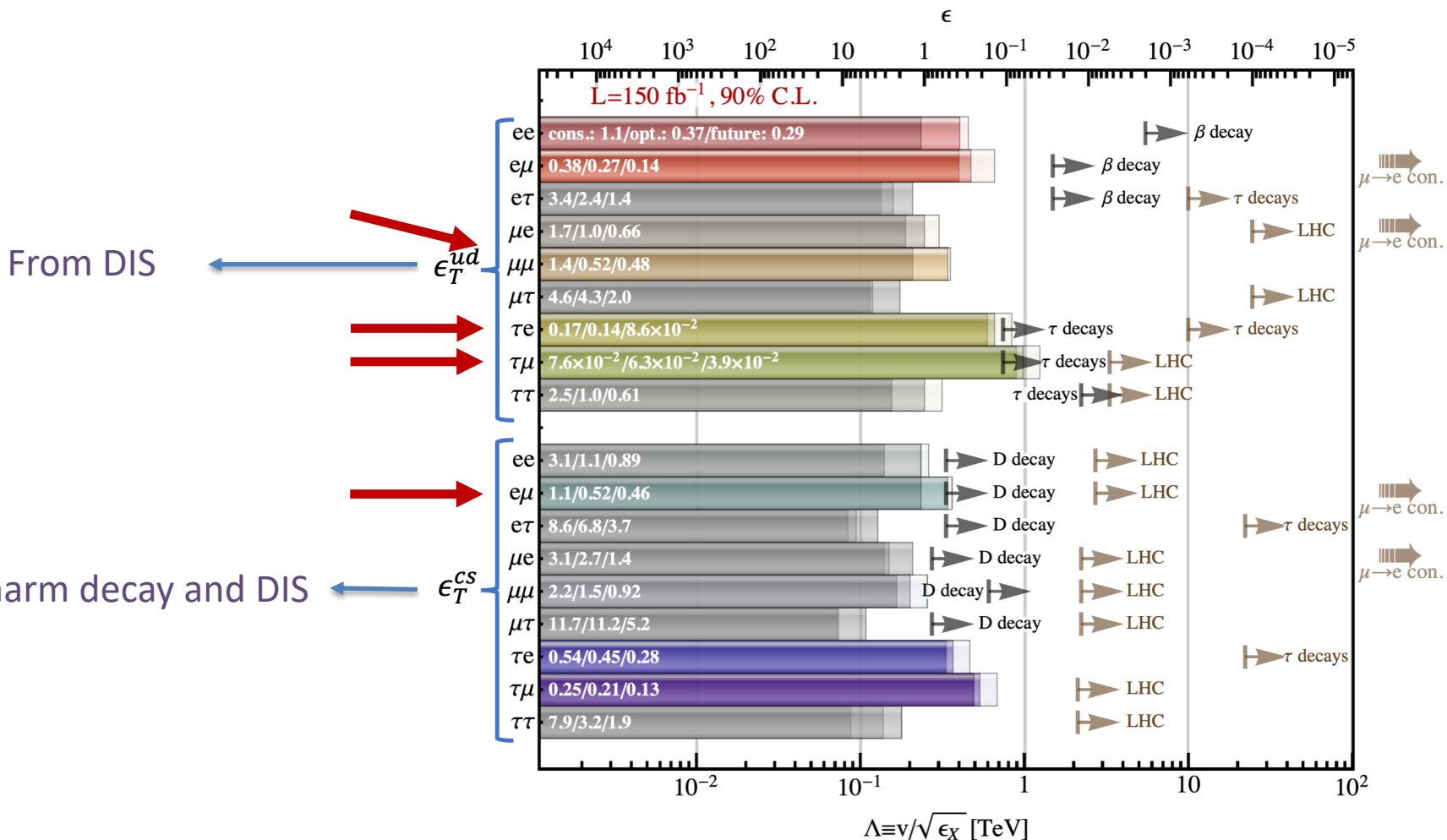


RESULTS

Turning on one interaction at a time: Tensor

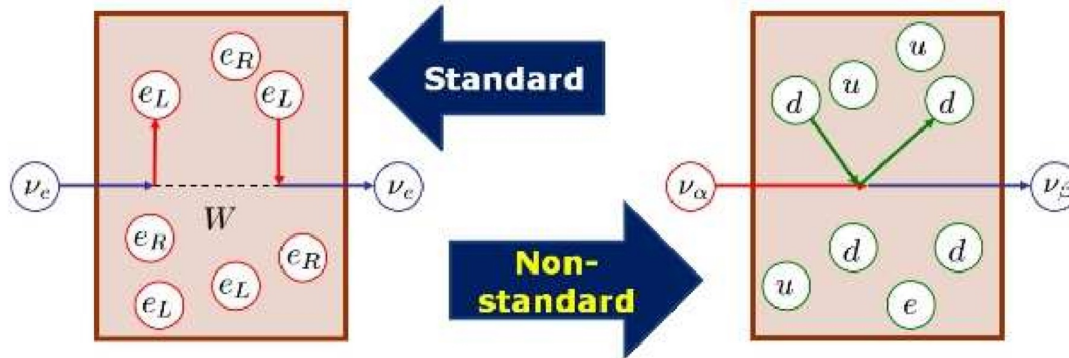
A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [JHEP 10 \(2021\) 086](#)

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Neutrinos are not pure flavor states:



Standard NSI approach

NSI parameters

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$$\langle \nu_\beta^d | = \frac{1}{N_\beta^d} \left[\langle \nu_\beta | + \sum_{\gamma=e,\mu,\tau} \langle \nu_\gamma | \epsilon_{\gamma\beta}^d \right]$$

Rotation of flavor states at the source

Rotation of flavor states at the detector

Normalization

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Observable: rate of detected events

\sim (flux) \times (det. cross section) \times (oscillation)

$$R_{\alpha\beta}^{\text{QM}} = \Phi_\alpha^{\text{SM}} \sigma_\beta^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E_\nu}} [x_s]_{\alpha k} [x_s]_{\alpha l}^* [x_d]_{\beta k} [x_d]_{\beta l}^*$$

$$x_s \equiv (1 + \epsilon^s) U^* \quad \& \quad x_d \equiv (1 + \epsilon^d)^T U$$

QFT vs QM-NSI

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- If yes, relation between NSI parameters and Lagrangian (EFT) parameters?
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QFT vs QM-NSI

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$$\epsilon_{\alpha\beta}^s = \sum_X p_{XL}[\epsilon_X]_{\alpha\beta}^*, \quad \epsilon_{\beta\alpha}^d = \sum_X d_{XL}[\epsilon_X]_{\alpha\beta}$$

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZJ](#)
JHEP 11 (2020) 048

Comparing QM and QFT

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT JHEP 11 \(2020\) 048](#)

At the linear order we have:

Neutrino Process	NSI Matching with EFT
ν_e produced in beta decay	$\epsilon_{e\beta}^s = [\epsilon_L]_{e\beta}^* - [\epsilon_R]_{e\beta}^* - \frac{g_T}{g_A} \frac{m_e}{f_T(E_\nu)} [\epsilon_T]_{e\beta}^*$
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ν_μ produced in pion decay	$\epsilon_{\mu\beta}^s = [\epsilon_L]_{\mu\beta}^* - [\epsilon_R]_{\mu\beta}^* - \frac{m_\pi^2}{m_\mu(m_u + m_d)} [\epsilon_P]_{\mu\beta}^*$

- Different NP interactions appear at the source or detection simultaneously.
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These correlations, energy dependence etc. cannot be seen in the traditional QM approach.

Comparing QM and QFT

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
JHEP 11 (2020) 048

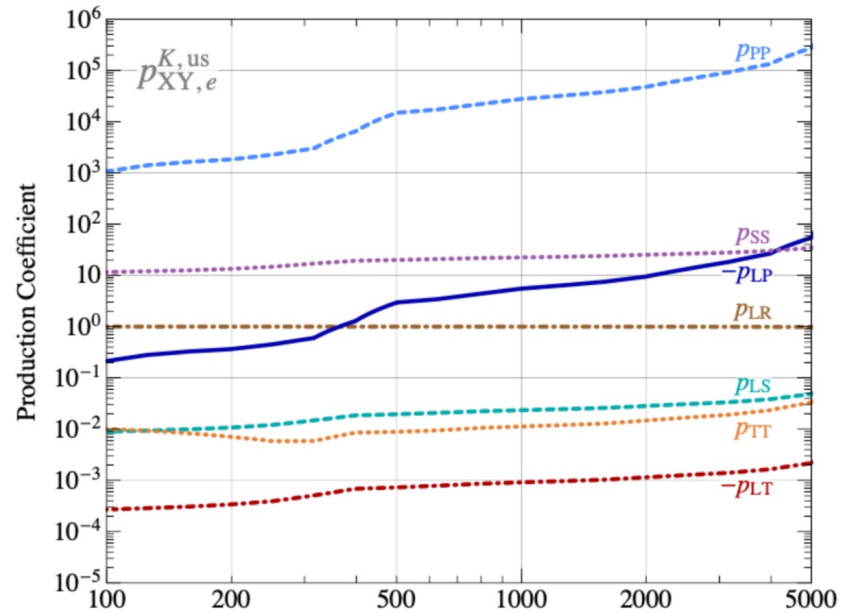
Beyond the linear order in new physics parameters, the NSI formula matches the (correct) one derived in the EFT only if the **consistency condition** is satisfied

$$p_{XL}p_{YL}^* = p_{XY}, \quad d_{XL}d_{YL}^* = d_{XY}$$

This is always satisfied for new physics correcting V-A interactions only as $p_{LL} = d_{LL} = 1$ by definition

However for non-V-A new physics the consistency condition is not satisfied in general

$$p_{XY} \equiv \frac{\int d\Pi_{P'} A_X^P \bar{A}_Y^P}{\int d\Pi_{P'} |A_L^P|^2}, \quad d_{XY} \equiv \frac{\int d\Pi_D A_X^D \bar{A}_Y^D}{\int d\Pi_D |A_L^D|^2}$$



EFT at FASERv

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT](#)
[JHEP 10 \(2021\) 086](#)

FASERv

Flavor Experiments

Colliders

Neutrino experiments:

- Many more operators can be probed (81 at FASERv)

Low energy:

- Independent of the underlying high-energy theory

High-Energy:

- SMEFT is the underlying theory
- Bounds are less robust

Bounds shown in bold face have been calculated in this work

Coupling	Low energy (WEFT)		High energy / CLFV (SMEFT)	
	90 % CL bound	process	90 % CL bound	process
$[\epsilon_P^{ud}]_{ee}$	4.6×10^{-7}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{e\mu}$	7.3×10^{-6}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$ [7]	2.0×10^{-8}	$\mu \rightarrow e$ conversion
$[\epsilon_P^{ud}]_{e\tau}$	7.3×10^{-6}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$ [7]	2.5×10^{-3}	LHC [64]
$[\epsilon_P^{ud}]_{\mu e}$	2.6×10^{-3}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$	2.0×10^{-8}	$\mu \rightarrow e$ conversion
$[\epsilon_P^{ud}]_{\mu\mu}$	9.4×10^{-5}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\mu\tau}$	2.6×10^{-3}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\tau e}$	9.0×10^{-2}	$\Gamma_{\tau \rightarrow \pi\nu}$	$5.8 \times 10^{-3(*)} / 4.4 \times 10^{-4}$	LHC [65] / τ decay [64]
$[\epsilon_P^{ud}]_{\tau\mu}$	9.0×10^{-2}	$\Gamma_{\tau \rightarrow \pi\nu}$	$5.8 \times 10^{-3(*)}$	LHC [65]
$[\epsilon_P^{ud}]_{\tau\tau}$	8.4×10^{-3}	τ -decay [65]	$5.8 \times 10^{-3(*)}$	LHC [65]
$[\epsilon_P^{us}]_{ee}$	1.1×10^{-6}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{e\mu}$	2.1×10^{-5}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	6.2×10^{-7}	$\mu \rightarrow e$ conversion
$[\epsilon_P^{us}]_{e\tau}$	2.1×10^{-5}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	7.1×10^{-2}	LHC [64]
$[\epsilon_P^{us}]_{\mu e}$	2.3×10^{-3}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	6.2×10^{-7}	$\mu \rightarrow e$ conversion
$[\epsilon_P^{us}]_{\mu\mu}$	2.2×10^{-4}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{\mu\tau}$	2.3×10^{-3}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{\tau e}$	6.4×10^{-2}	$\Gamma_{\tau \rightarrow K\nu} / \Gamma_{K \rightarrow \mu\nu}$	$3.1 \times 10^{-2(*)} / 8.1 \times 10^{-2}$	LHC (data [66]) / τ -decay [64]
$[\epsilon_P^{us}]_{\tau\mu}$	6.4×10^{-2}	$\Gamma_{\tau \rightarrow K\nu} / \Gamma_{K \rightarrow \mu\nu}$	$3.1 \times 10^{-2(*)}$	LHC (data [66])
$[\epsilon_P^{us}]_{\tau\tau}$	1.3×10^{-2}	τ -decay [67]	$3.1 \times 10^{-2(*)}$	LHC (data [66])
$[\epsilon_P^{cs}]_{ee}$	4.8×10^{-3}	$\Gamma_{D_s \rightarrow e\nu}$	1.3×10^{-2}	LHC [68]
$[\epsilon_P^{cs}]_{e\mu}$	4.6×10^{-3}	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2} / 2.7 \times 10^{-6}$	LHC [68] / $\mu \rightarrow e$ conversion
$[\epsilon_P^{cs}]_{e\tau}$	4.6×10^{-3}	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2} / 1.9 \times 10^{-2}$	LHC / τ -decays [64, 68]
$[\epsilon_P^{cs}]_{\mu e}$	8.9×10^{-3}	$\Gamma_{D_s \rightarrow \mu\nu}$	$2.0 \times 10^{-2} / 2.7 \times 10^{-6}$	LHC [68] / $\mu \rightarrow e$ conversion
$[\epsilon_P^{cs}]_{\mu\mu}$	1.0×10^{-3}	$\Gamma_{D_s \rightarrow \mu\nu}$	2.0×10^{-2}	LHC [68]
$[\epsilon_P^{cs}]_{\mu\tau}$	8.9×10^{-3}	$\Gamma_{D_s \rightarrow \mu\nu}$	2.0×10^{-2}	LHC [68]
$[\epsilon_P^{cs}]_{\tau e}$	2.0×10^{-1}	$\Gamma_{D_s \rightarrow \tau\nu}$	$1.6 \times 10^{-2} / 1.9 \times 10^{-2}$	LHC / τ -decays [64]
$[\epsilon_P^{cs}]_{\tau\mu}$	2.0×10^{-1}	$\Gamma_{D_s \rightarrow \tau\nu}$	2.5×10^{-2}	LHC [68]
$[\epsilon_P^{cs}]_{\tau\tau}$	3.2×10^{-2}	$\Gamma_{D_s \rightarrow \tau\nu}$	2.5×10^{-2}	LHC [68]