

# BBN And The CMB Constrain

## Equivalent Neutrinos (Dark Radiation)

**Gary Steigman**

**with K. M. Nollett**

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# The “Effective Number Of Neutrinos”

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## Counting “Equivalent Neutrinos”

In the early Universe the energy density is dominated by the contributions from ER (extremely relativistic) particles. The early Universe is “Radiation Dominated” (R).

When  $T \ll m_e$ , the only ER standard model (SM) particles are the photons and neutrinos.

$$\rho \approx \rho_R = \rho_\gamma + 3\rho_\nu \gg \rho_B$$

$$\text{where, } \rho_\nu / \rho_\gamma = 7/8 (T_\nu / T_\gamma)^4$$

The SM neutrinos decouple when  $T_\gamma = T_\nu \approx 2 - 3$  MeV, before (barely)  $e^\pm$  annihilation.

IF neutrino decoupling were instantaneous, and, IF  $T_{\nu d} \gg m_e$ , then after the  $e^\pm$  pairs have annihilated,  $(T_\nu / T_\gamma)^3 = 4/11$ .

With these assumptions and, in this regime,

$$\rho / \rho_\gamma = 1 + 3 [7/8 (4/11)^{4/3}]$$

$N_{\text{eff}}$ , the “Effective Number of Neutrinos”,

is defined by :  $\rho / \rho_{\gamma} \equiv 1 + N_{\text{eff}} [7/8 (4/11)^{4/3}]$

or,  $N_{\text{eff}} \equiv 3 [11/4 (T_{\nu} / T_{\gamma})^3]^{4/3}$  (when  $T_{\gamma} \ll m_e$ ).

If neutrino decoupling were instantaneous and, if electrons were massless,  $N_{\text{eff}} = 3$ .

Since  $T_{\text{vd}}$  is not  $\gg m_e$ ,  $N_{\text{eff}} \approx 3.02$ .

Since neutrino decoupling is not instantaneous,  $N_{\text{eff}} \approx 3.05$ .

An “Equivalent Neutrino”,  $\xi$ , is a very light ( $m_\xi \ll m_e$ ) particle that may, or may not, be a Majorana fermion (“neutrino”).

If  $\xi$  is populated in the early Universe, either thermally or via mixing with the

SM neutrinos,  $\rho_R \rightarrow \rho_R + \rho_\xi \equiv \rho_R + \Delta N_\nu \rho_\nu$ .

$\Delta N_\nu = \rho_\xi / \rho_\nu$  is the number of equivalent neutrinos (a measure of dark radiation).

If  $\xi$  is a Majorana fermion (“neutrino”) and if  $\xi$  is fully populated/mixed,  $\Delta N_\nu = 1$  (sterile  $\nu$ ).

But, if  $\xi$  is a fully populated/mixed, real scalar,  $\Delta N_\nu = 4/7$ . In general,  $\Delta N_\nu \leq 1$  (Dark Radiation).

$N_{\text{eff}}$  and  $\Delta N_\nu$  are related by :

$$N_{\text{eff}} = N_{\text{eff}}^0 (1 + \Delta N_\nu / 3), \quad N_{\text{eff}}^0 = 3 [(11/4)^{1/3} (T_\nu / T_\gamma)_0]^4$$

The expansion rate, the Hubble parameter (H), depends on the mass/energy density :  $H \propto \rho^{1/2}$

**BBN Predicted Primordial Abundances Depend  
On Two Physical / Cosmological Parameters  
(ignoring any lepton (neutrino) asymmetry).**

**Baryon Density (Nucleon Asymmetry) Parameter**

- $\eta_B \equiv n_N/n_\gamma$ ;  $\eta_{10} \equiv 10^{10} \eta_B = 274 \Omega_B h^2$

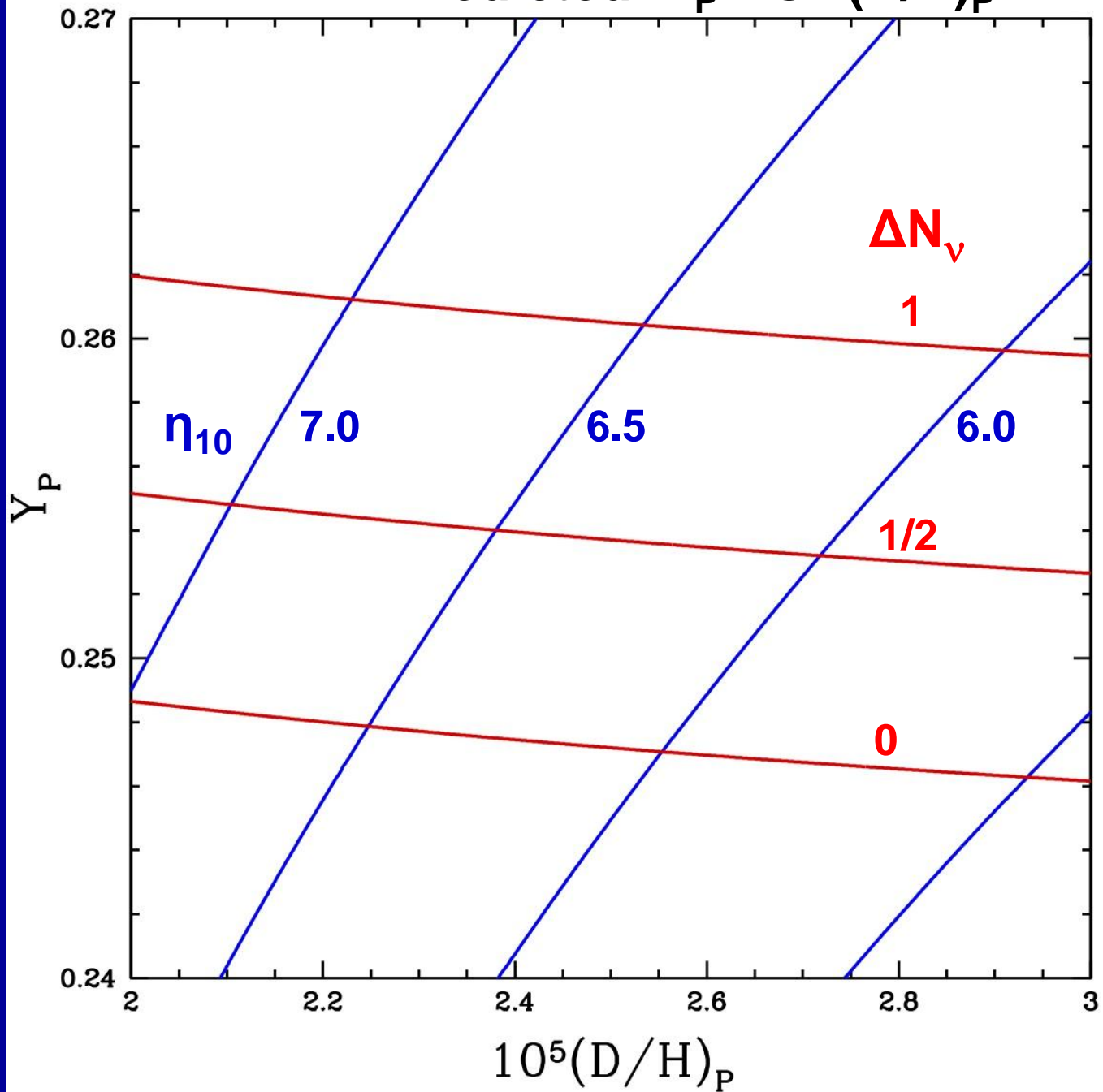
**Expansion Rate (Dark Radiation) Parameter**

- $S^2 = (H'/H)^2 = \rho'/\rho$ ;  $S$  depends on  $\Delta N_\nu$  ( $N_{\text{eff}}$ )
- **SBBN** :  $\Delta N_\nu = 0$  ( $S = 1$ )

- $\eta_B$  Probes “Standard” Cosmology / Physics
- $D$  ( $y_{DP} = 10^5 (D/H)_P$ ) is sensitive to  $\eta_B$
- $\Delta N_\nu \neq 0$  Probes Non - Standard Physics
- ${}^4\text{He}$  ( $Y_P$ ) is sensitive to  $\Delta N_\nu$ 
  - \* Two parameters ( $\eta_B$  and  $\Delta N_\nu$ )
  - Two observables ( $y_{DP}$  and  $Y_P$ )



# BBN – Predicted $Y_P$ vs. $(D/H)_P$

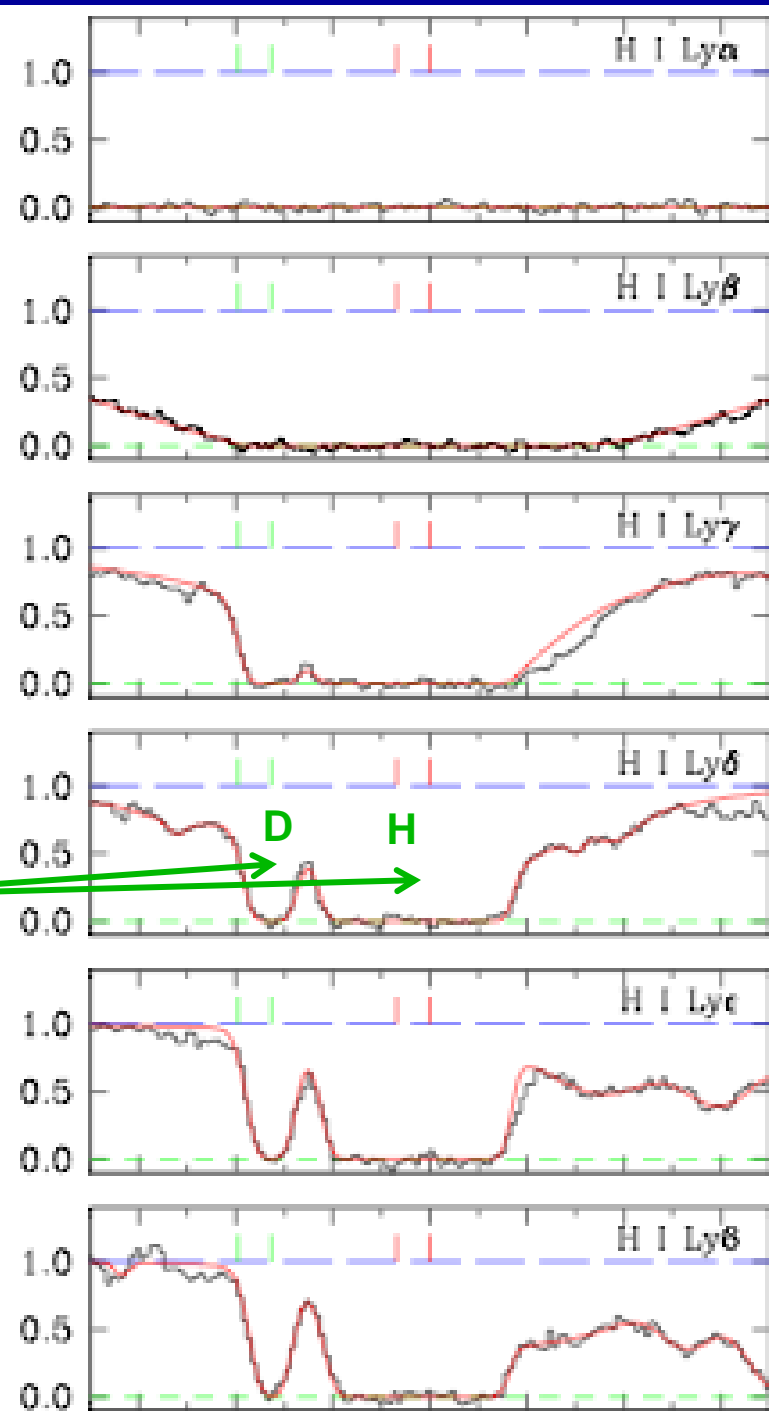


# Primordial (nearly) D

Finding D at low - Z  
in the Ly -  $\alpha$  Forest

D and H absorption  
spectra are identical,  
except for an isotope  
shift of  $\sim 80$  km/s

Cooke et al. 2013

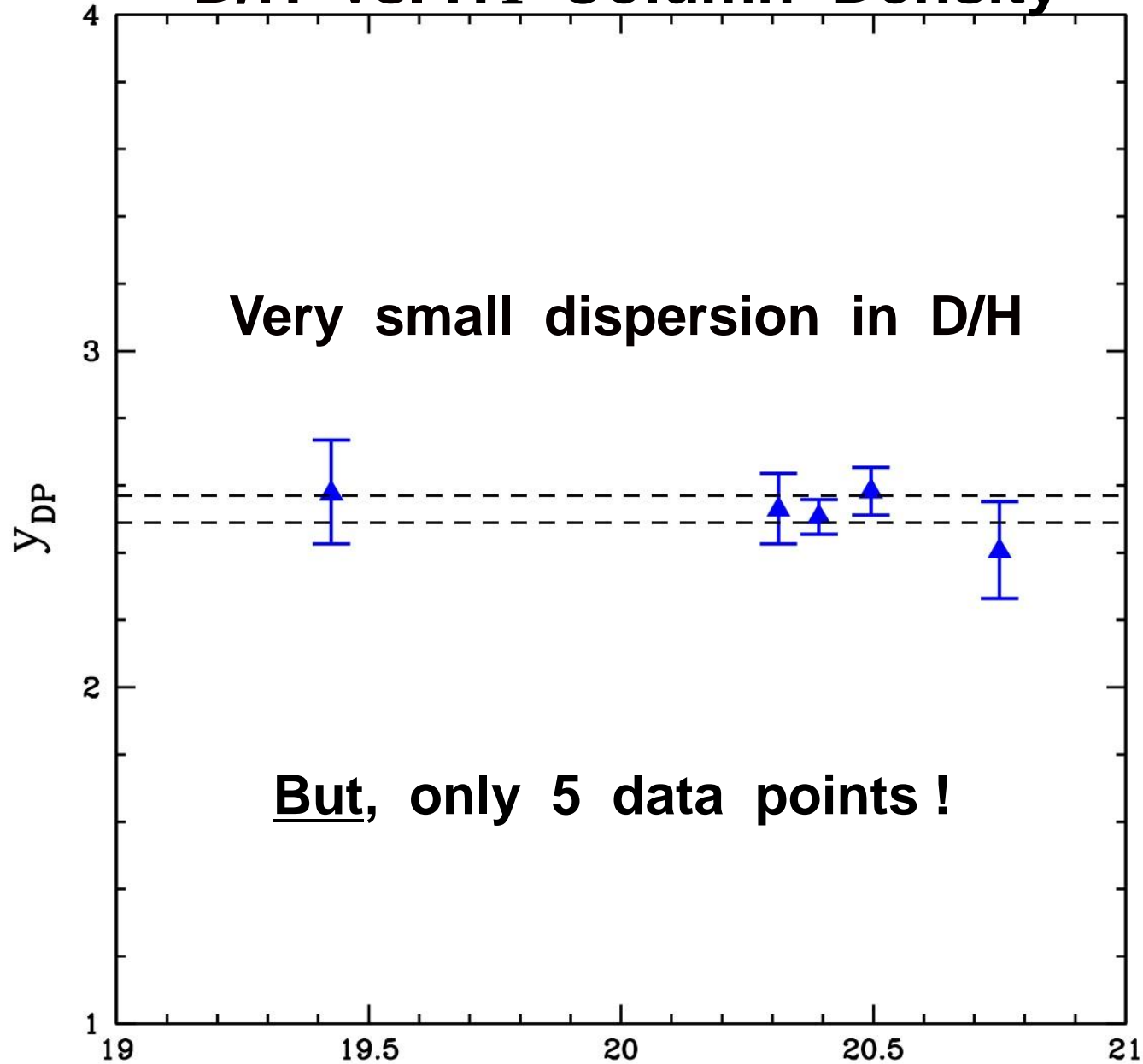


## Recent Results For Nearly Primordial Deuterium

Previous D observations had large dispersion among the D/H determinations.

Cooke et al. 2013 restricted their analysis to DLAs ( $\log N(\text{HI}) > 19$ ), allowing them access to many lines in the Lyman series, helping to reduce some sources of systematic errors.

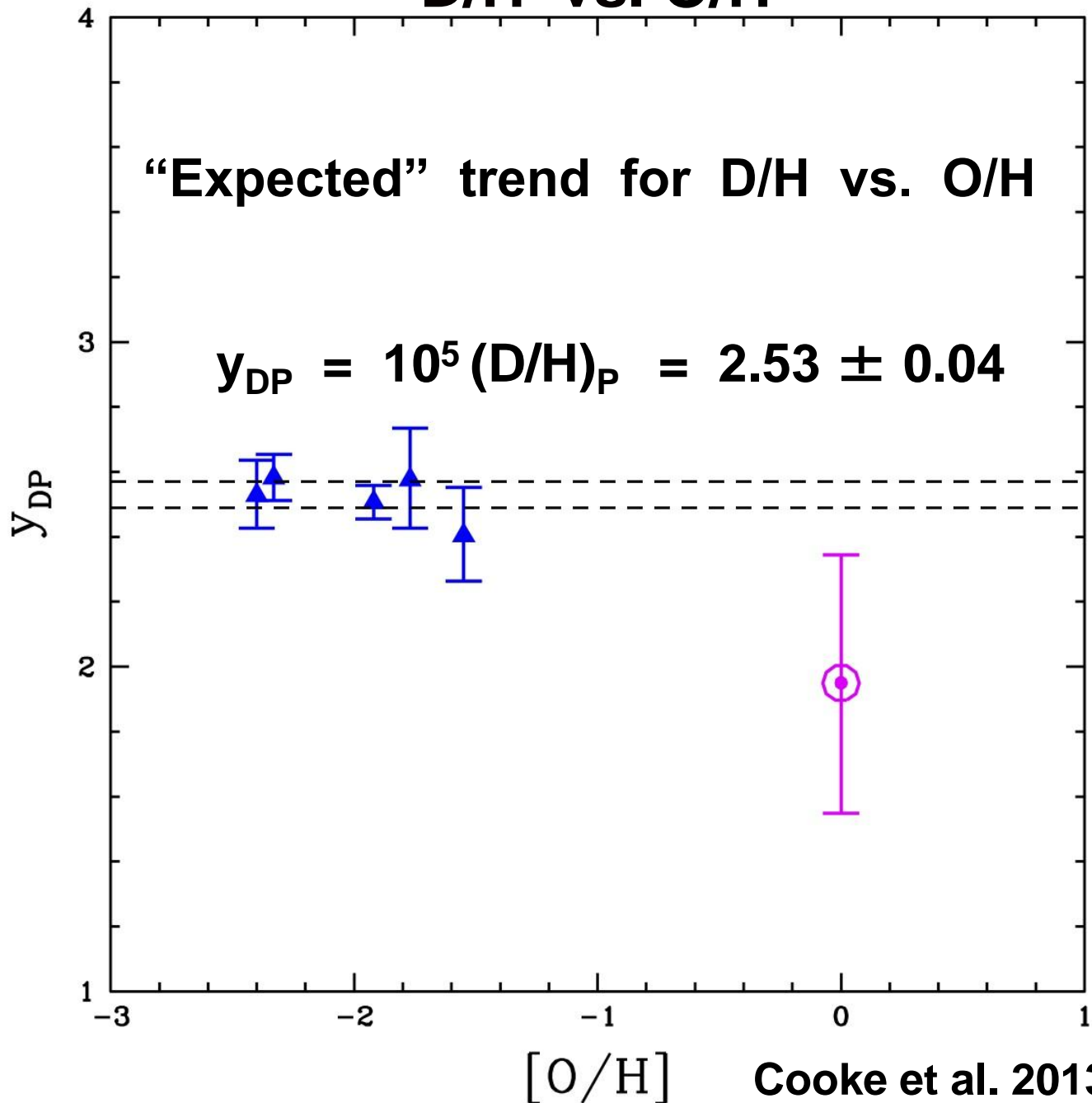
# D/H vs. HI Column Density



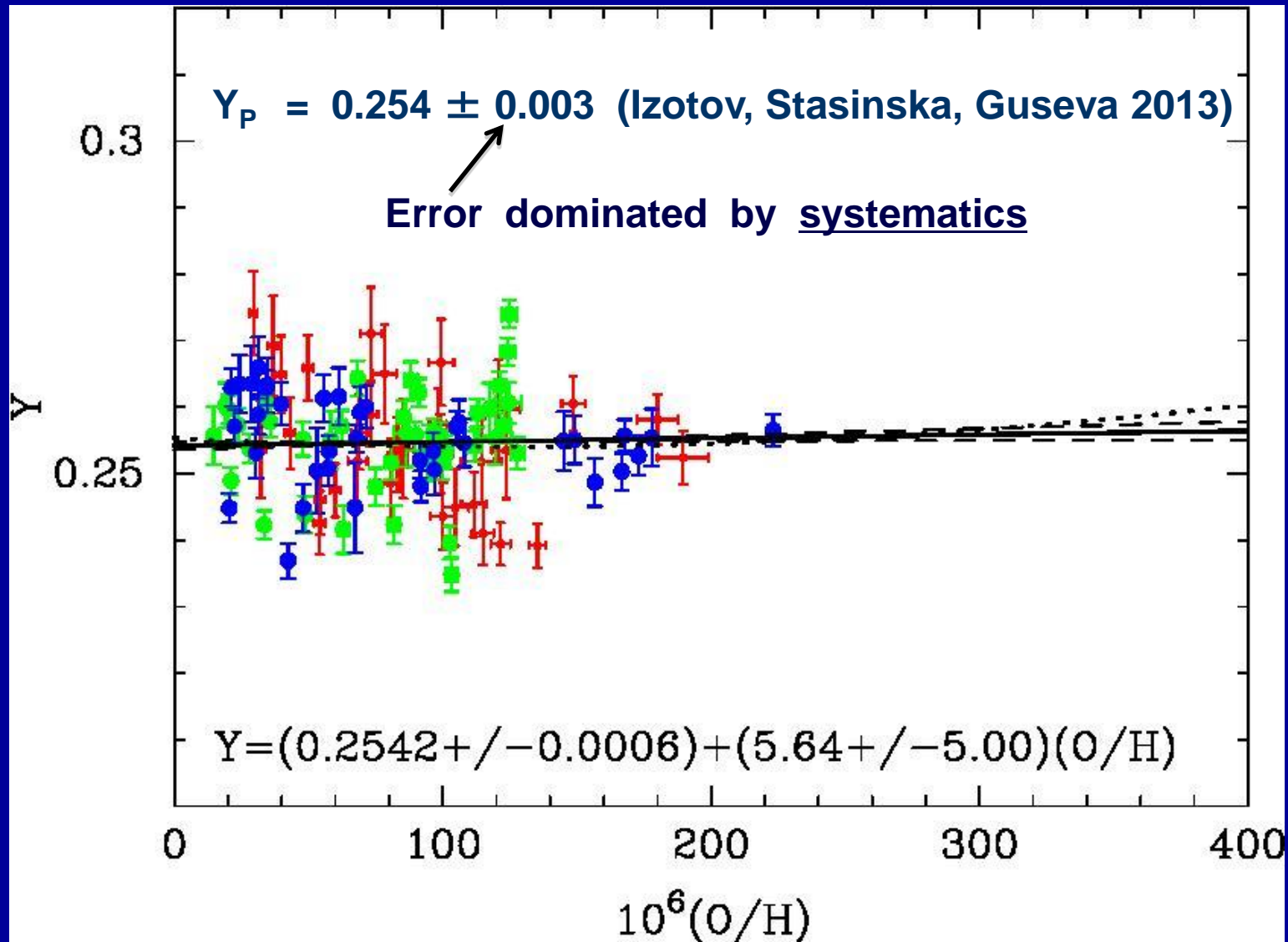
But, only 5 data points !

$\log N(\text{HI})$  Cooke et al. 2013

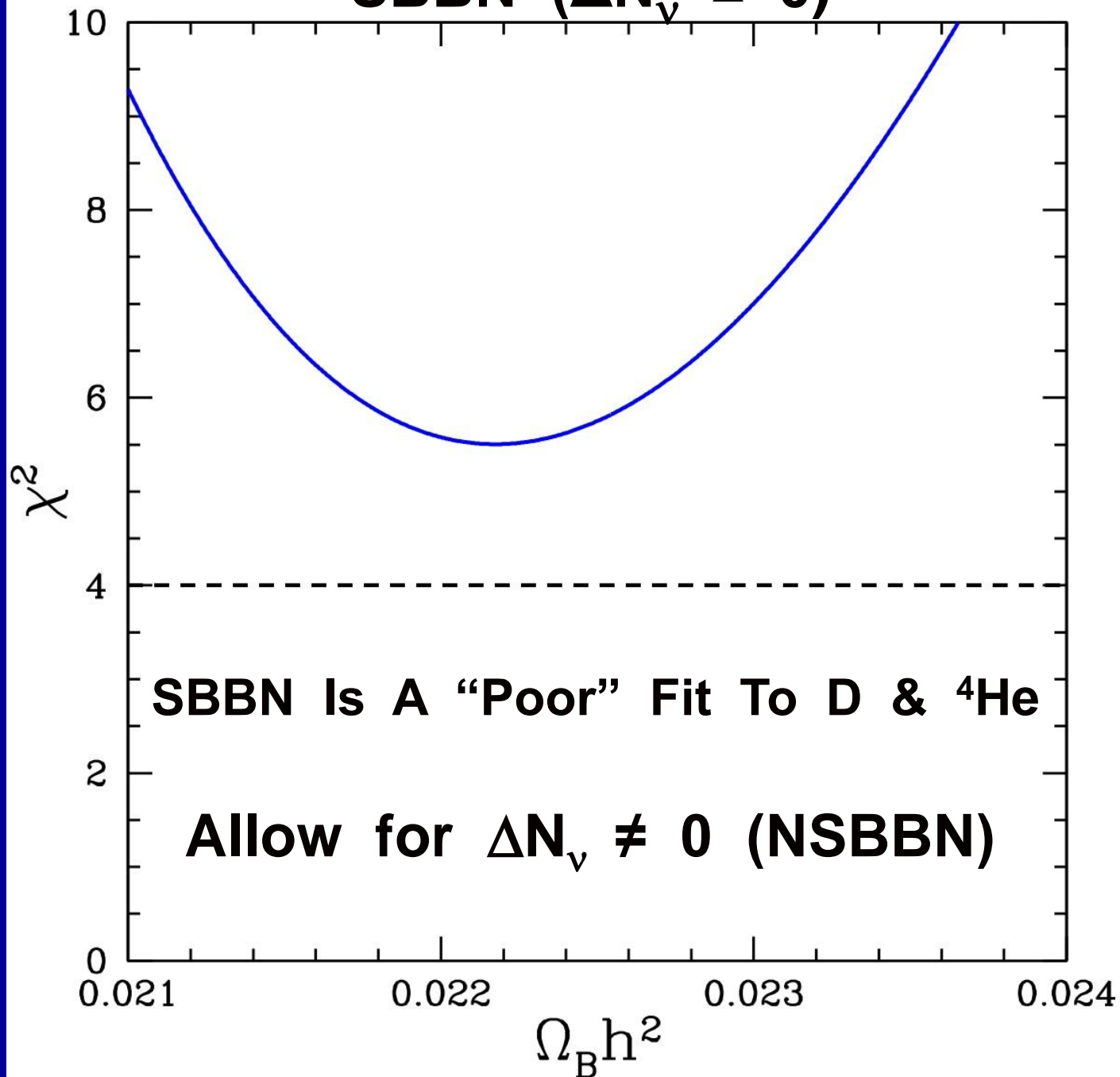
# D/H vs. O/H



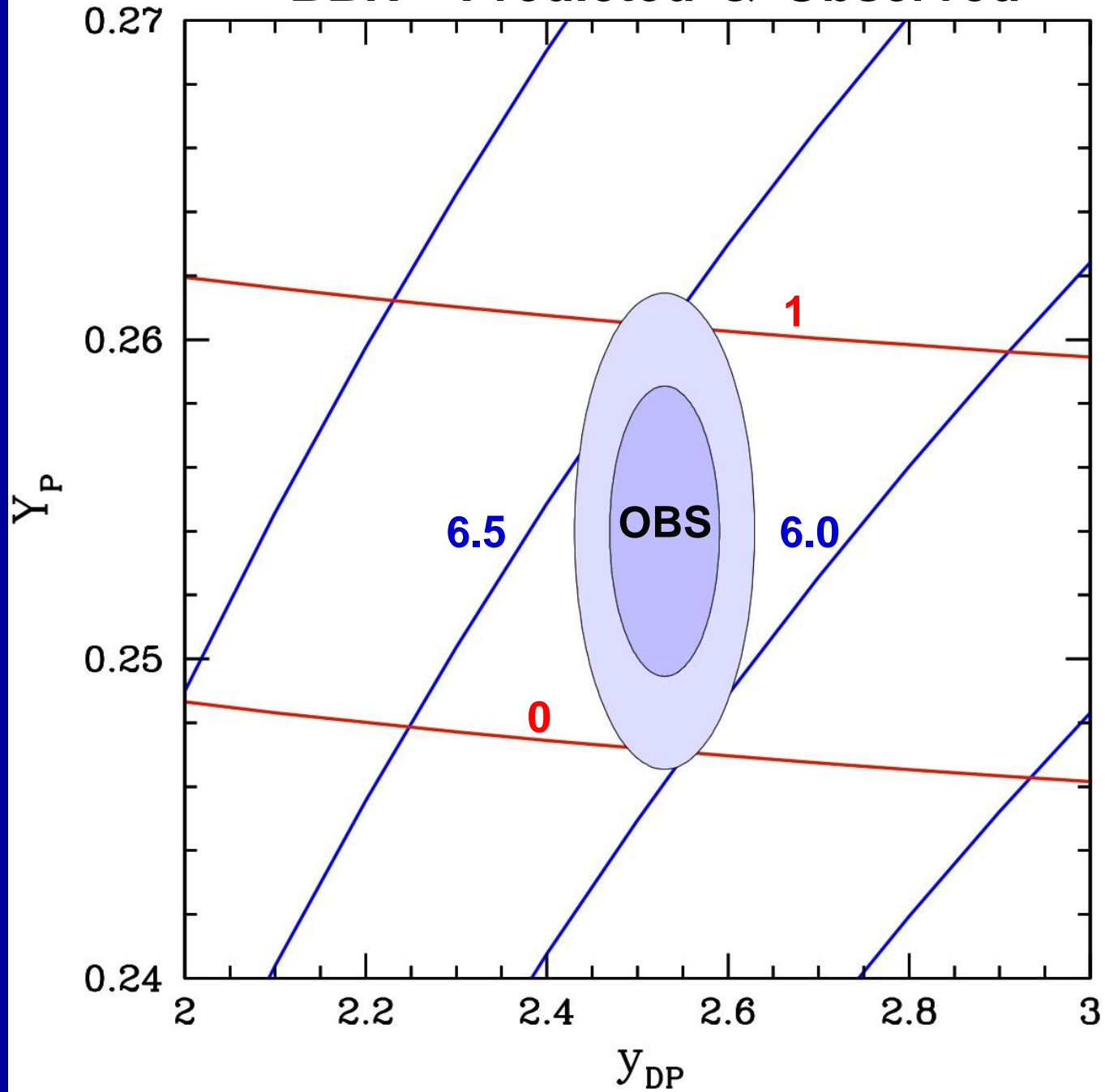
**$^4\text{He}/\text{H}$  is inferred from H and He recombinations observed in Low – Z, Extragalactic H II regions.**



# SBBN ( $\Delta N_\nu = 0$ )

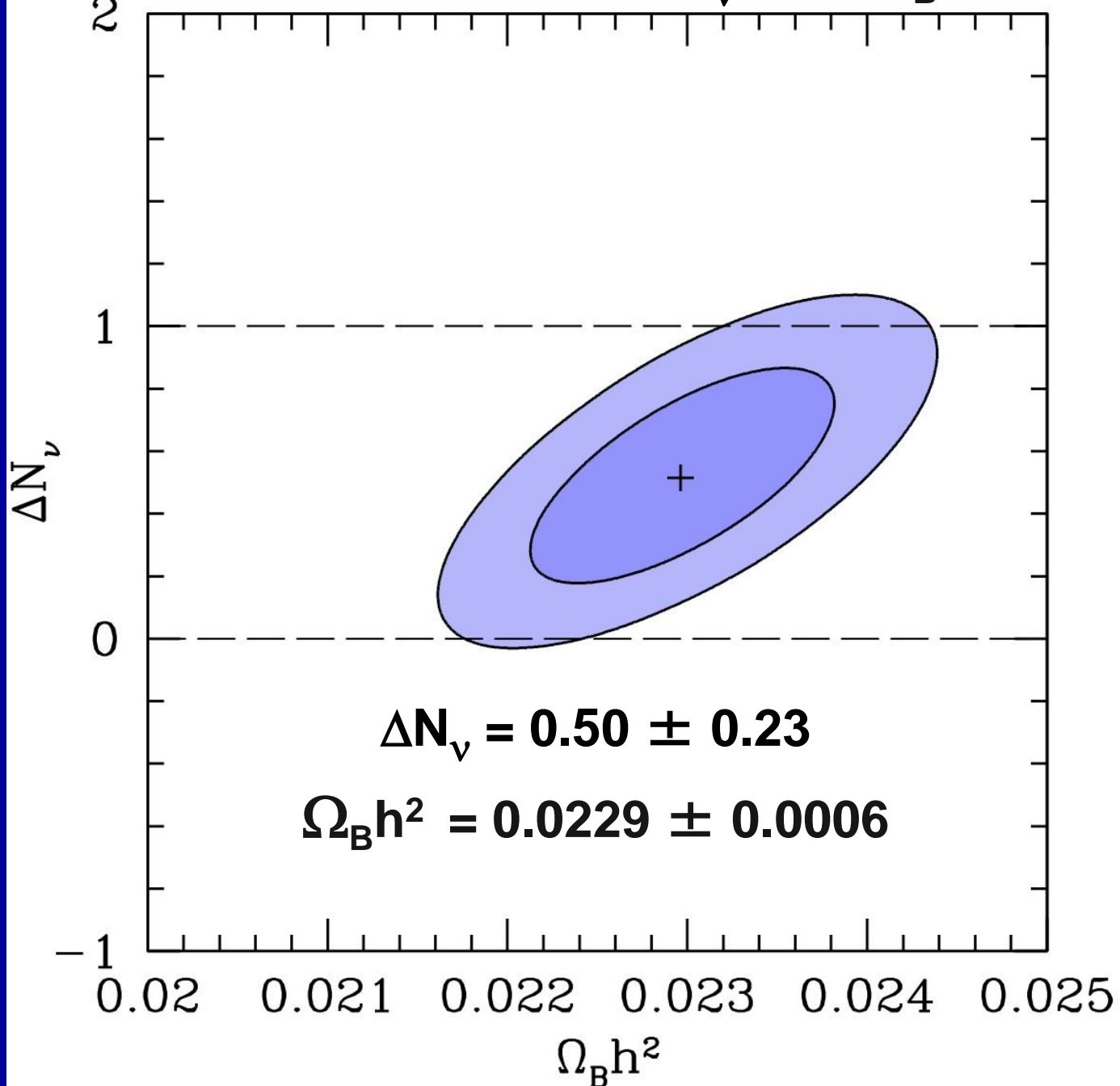


# BBN – Predicted & Observed

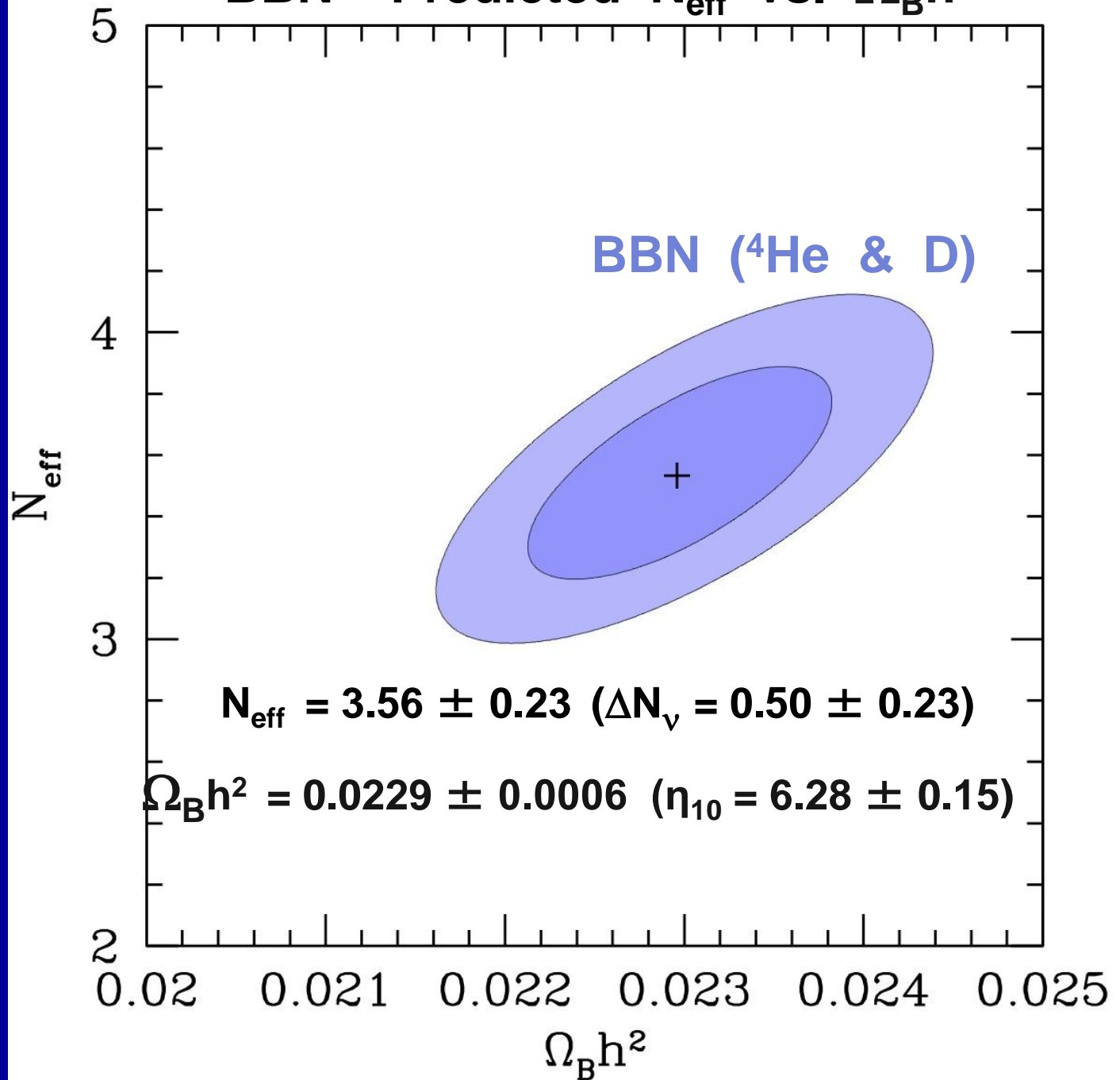




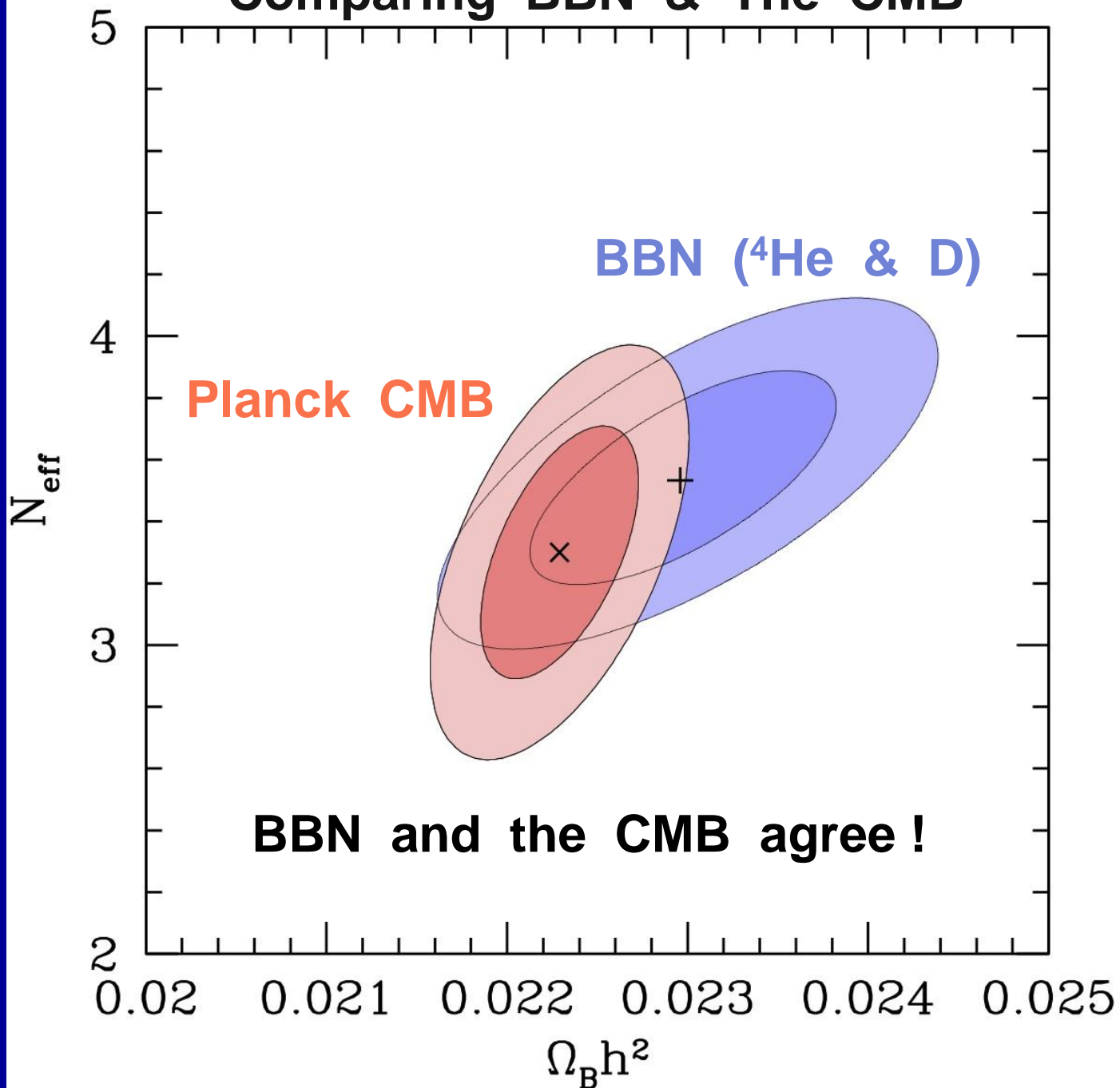
# BBN – Predicted $\Delta N_\nu$ vs. $\Omega_B h^2$



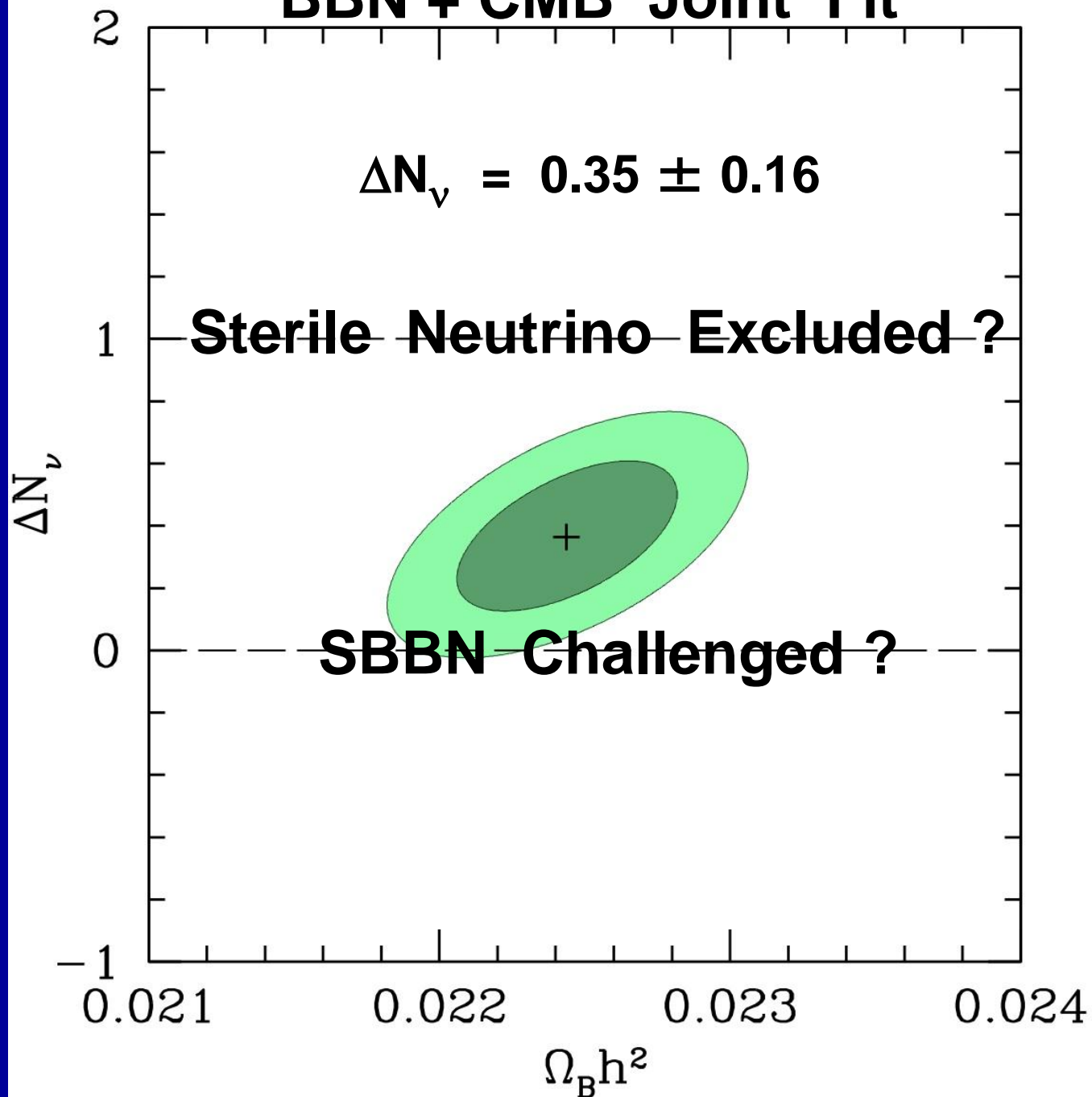
# BBN – Predicted $N_{\text{eff}}$ vs. $\Omega_{\text{B}}h^2$



# Comparing BBN & The CMB



# BBN + CMB Joint Fit



# Lepton Asymmetry

An Excess of Neutrinos vs. Antineutrinos  
(or, vice - versa).

Neutrino Mixing (Oscillations) Ensures  
the SAME asymmetry for all SM Neutrinos.

Lepton Asymmetry is measured by the  
degeneracy parameter  $\xi$ , related to the  
chemical potential  $\mu$ , by  $\xi = \mu/kT$

( $\xi \geq 0$  for more  $\nu$  than anti -  $\nu$ ).

Electron Neutrinos and Antineutrinos play key roles in regulating the neutron - to - proton ratio.

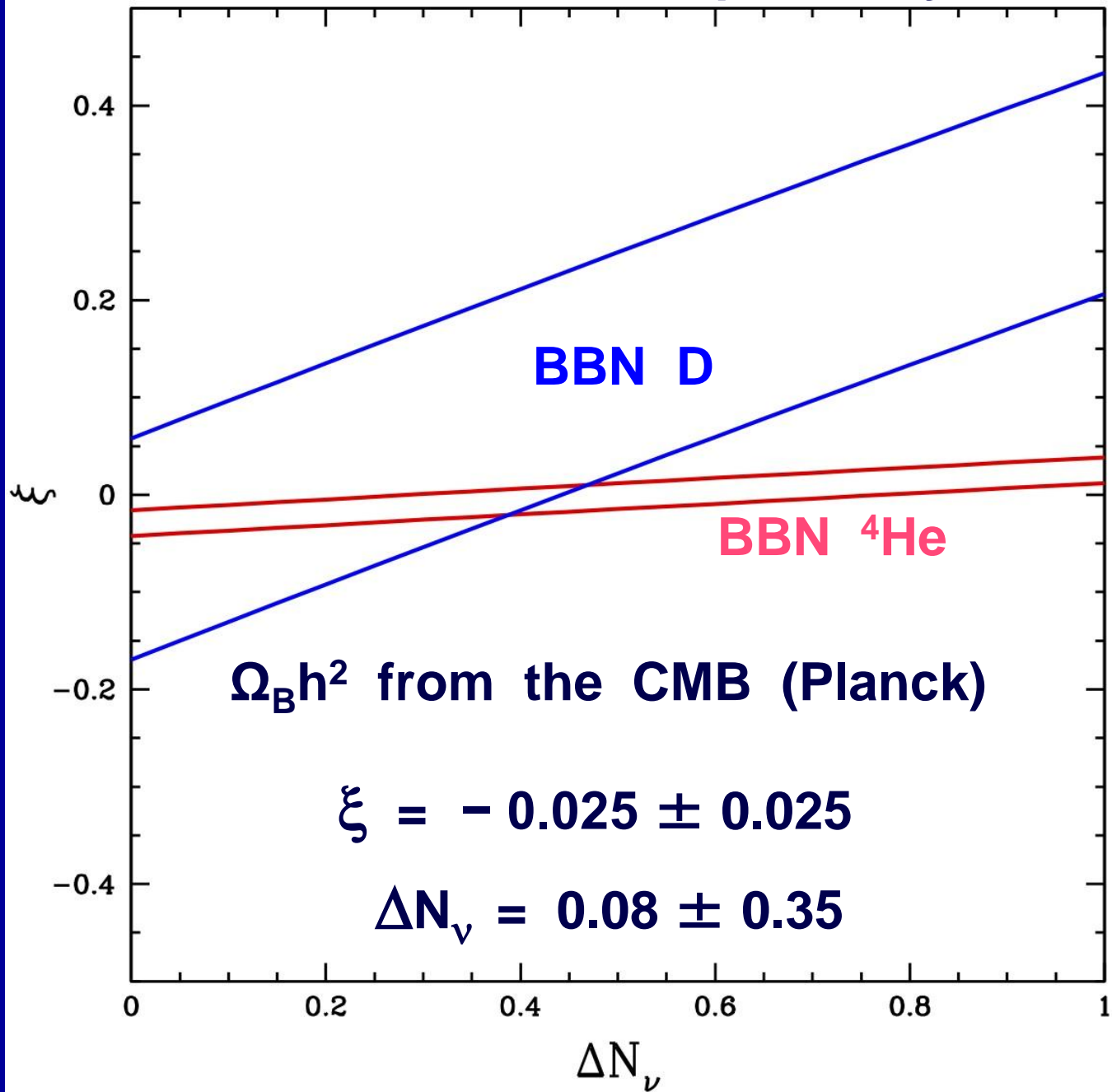
For BBN there are (now) three parameters but, only two observables.

Unless  $|\xi|$  “large”, Lepton Asymmetry is invisible to the CMB.

Use the CMB to constrain  $\Omega_B h^2$  ( $\eta_{10}$ ).

Use BBN (D &  $^4\text{He}$ ) to constrain  $\Delta N_\nu$  and  $\xi$ .

# BBN & CMB Constrain Lepton Asymmetry



**How do BBN and the CMB change  
in the presence of a light WIMP ?**

## **BBN & The CMB With A Light WIMP**

**Very light WIMPs, thermal relics, annihilate late  
in the early Universe, changing the energy and  
photon densities at BBN and at recombination.**



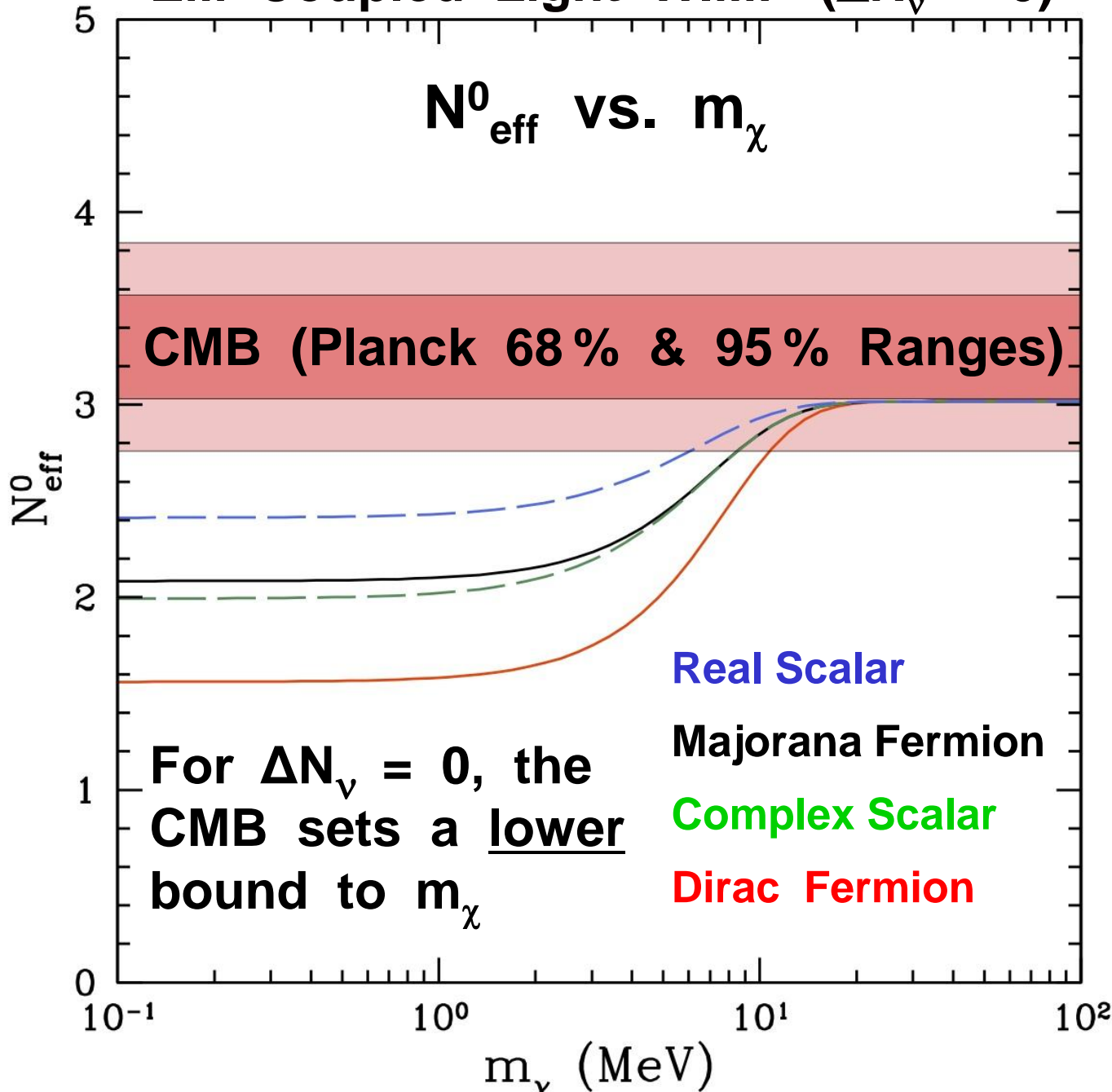
# The CMB Confronts A Light WIMP

In the presence of an electromagnetically coupled light WIMP ( $m_\chi \leq 30$  MeV), the effective number of neutrinos is:  $N_{\text{eff}} = N_{\text{eff}}^0 (1 + \Delta N_\nu / 3)$ , where  $N_{\text{eff}}^0$  now depends on the WIMP mass.

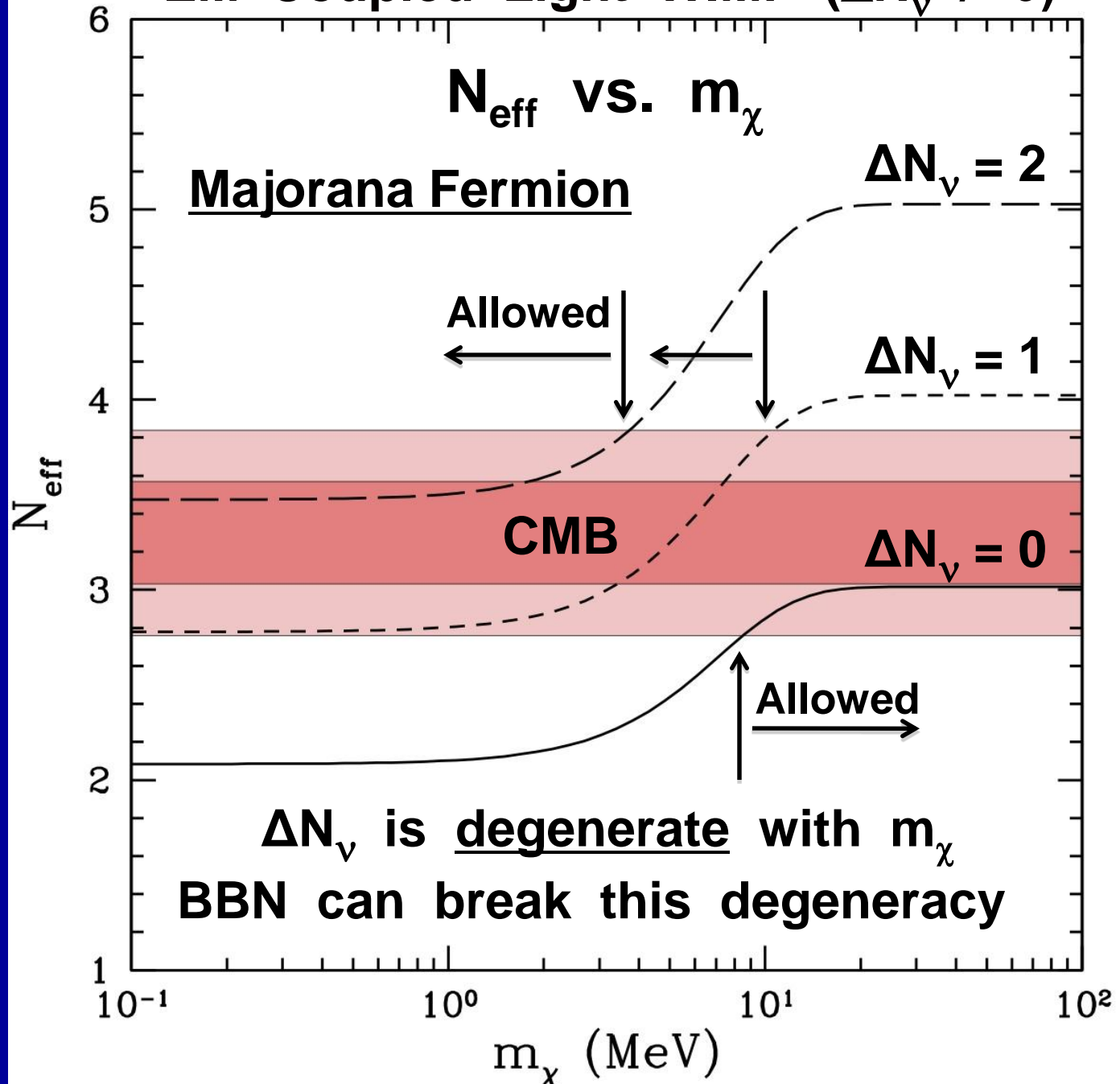
The annihilation of an EM coupled, light WIMP heats the photons relative to the neutrinos :

$$(T_\nu / T_\gamma)_0 \leq (4/11)^{1/3} \implies N_{\text{eff}}^0 \leq 3 ; N_{\text{eff}} \leq 3 + \Delta N_\nu$$

# EM Coupled Light WIMP ( $\Delta N_\nu = 0$ )



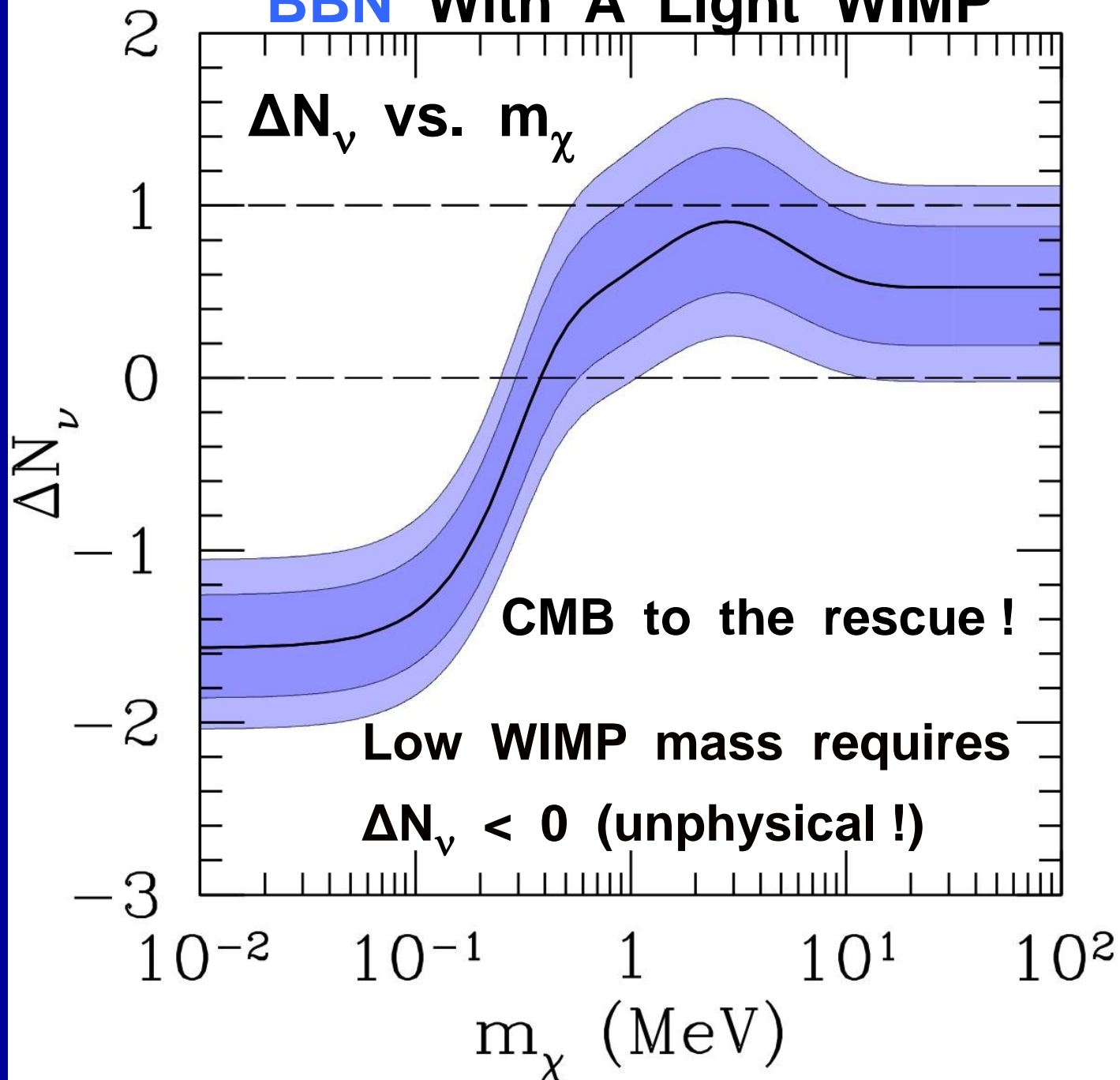
# EM Coupled Light WIMP ( $\Delta N_\nu \neq 0$ )



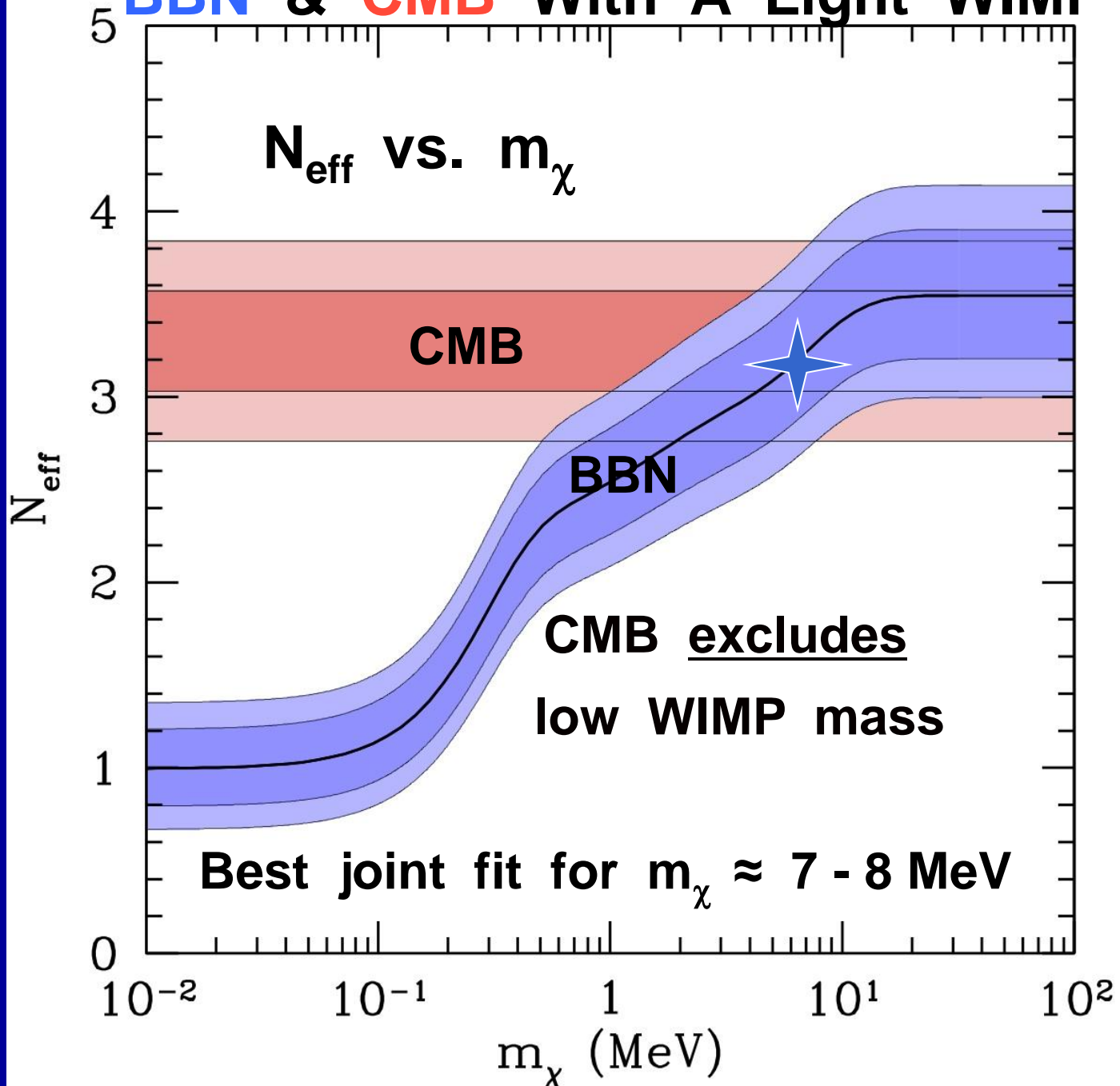
## BBN WITH A Light WIMP

For each value of  $m_\chi$ , a pair of  $\{\eta_{10}, \Delta N_\nu\}$  (or,  $\{\Omega_B h^2, N_{\text{eff}}\}$ ) values can be found so that BBN predicts – exactly – the observed primordial abundances of  ${}^4\text{He}$  and D.

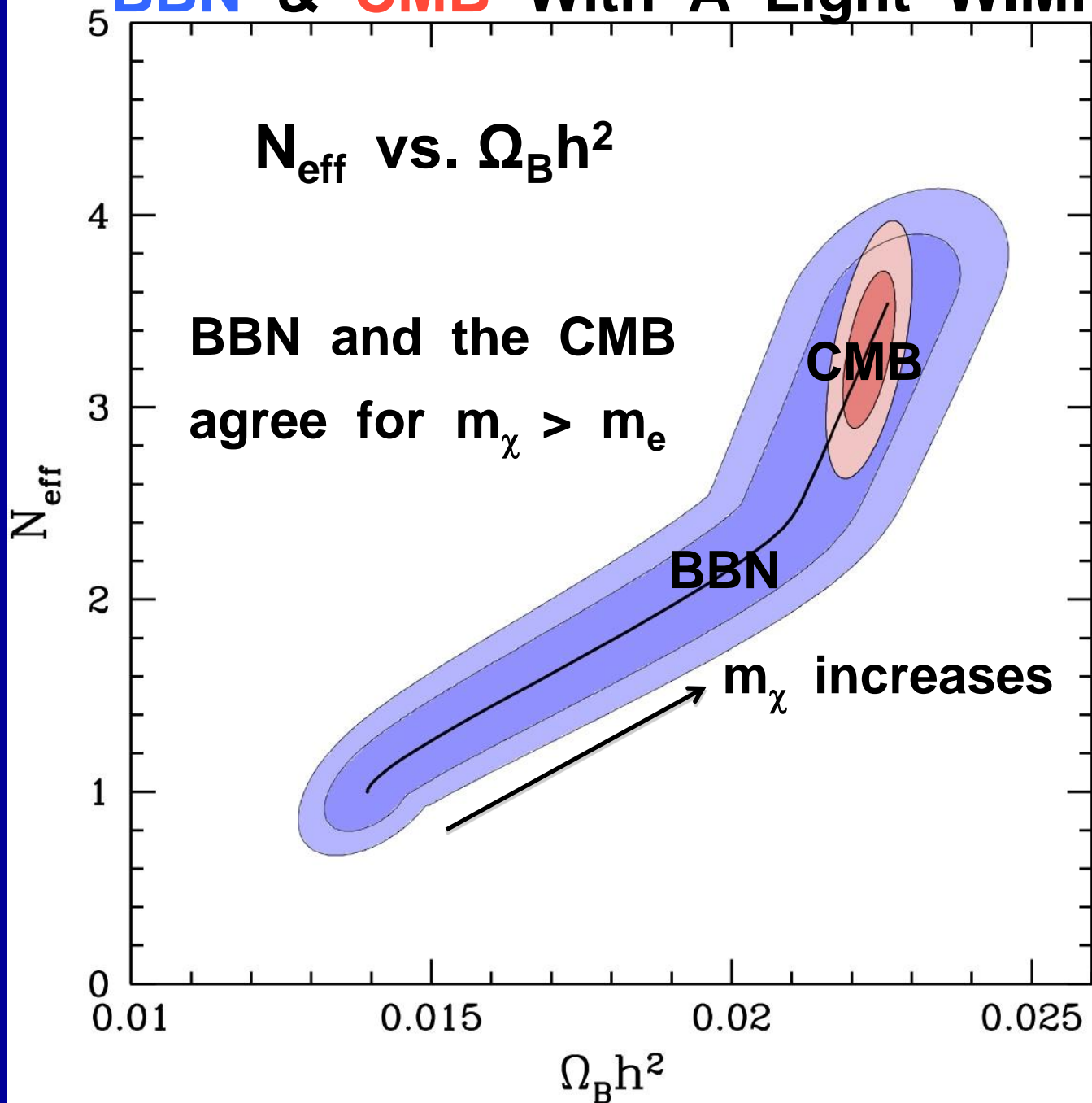
# BBN With A Light WIMP



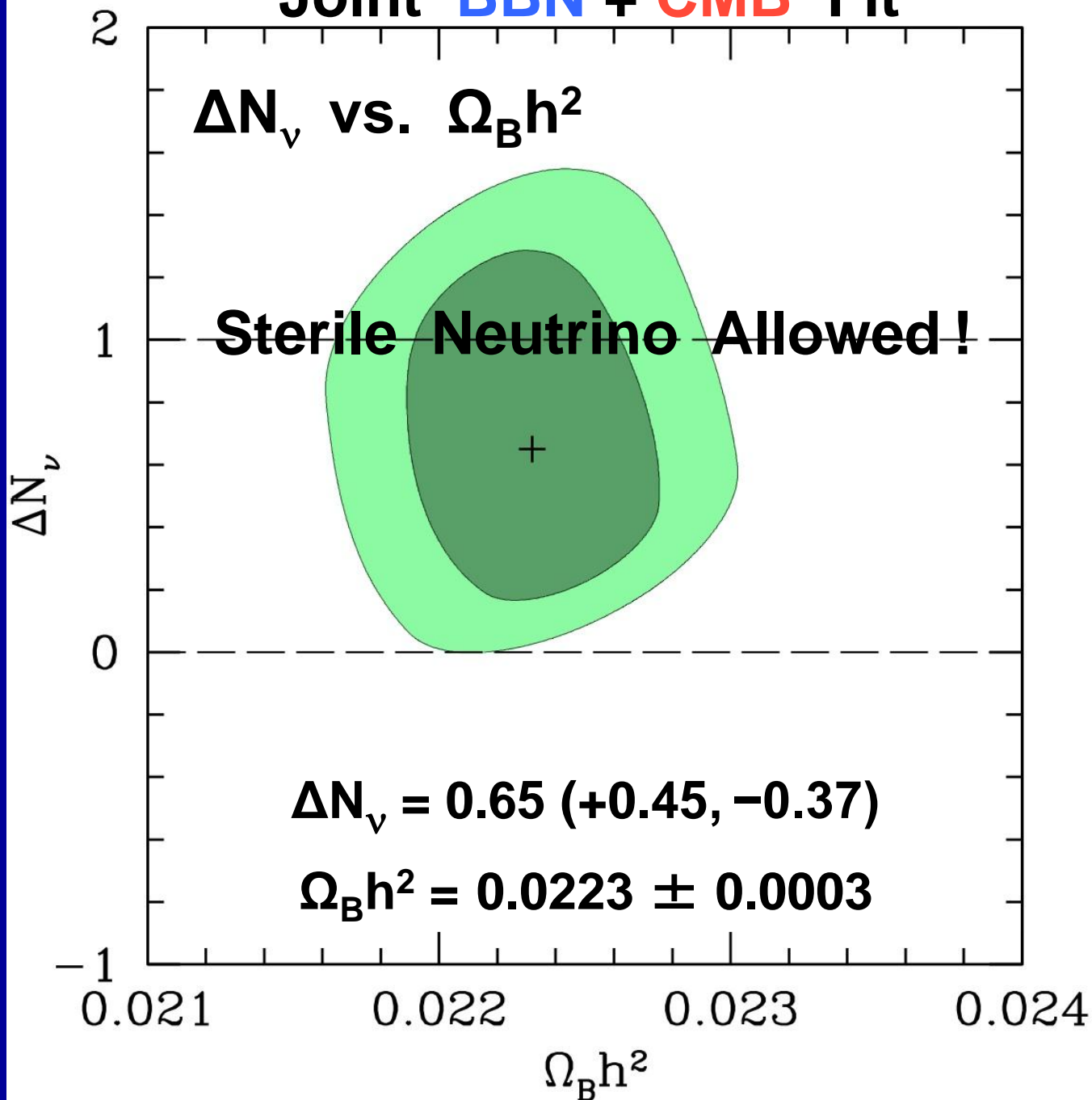
# BBN & CMB With A Light WIMP



# BBN & CMB With A Light WIMP



# Joint **BBN** + **CMB** Fit





## SUMMARY

**BBN & CMB are consistent, constraining light WIMPs and the number of Equivalent Neutrinos.**

**In the absence of a light WIMP ( $m_\chi > 30$  MeV)**

**BBN & CMB are consistent, provided that**

$$\Delta N_\nu \approx 0.35 \quad (N_{\text{eff}} \approx 3.4).$$

**But, SBBN ( $\Delta N_\nu = 0$ ) and a sterile neutrino ( $\Delta N_\nu = 1$ ) are both disfavored.**

# SUMMARY

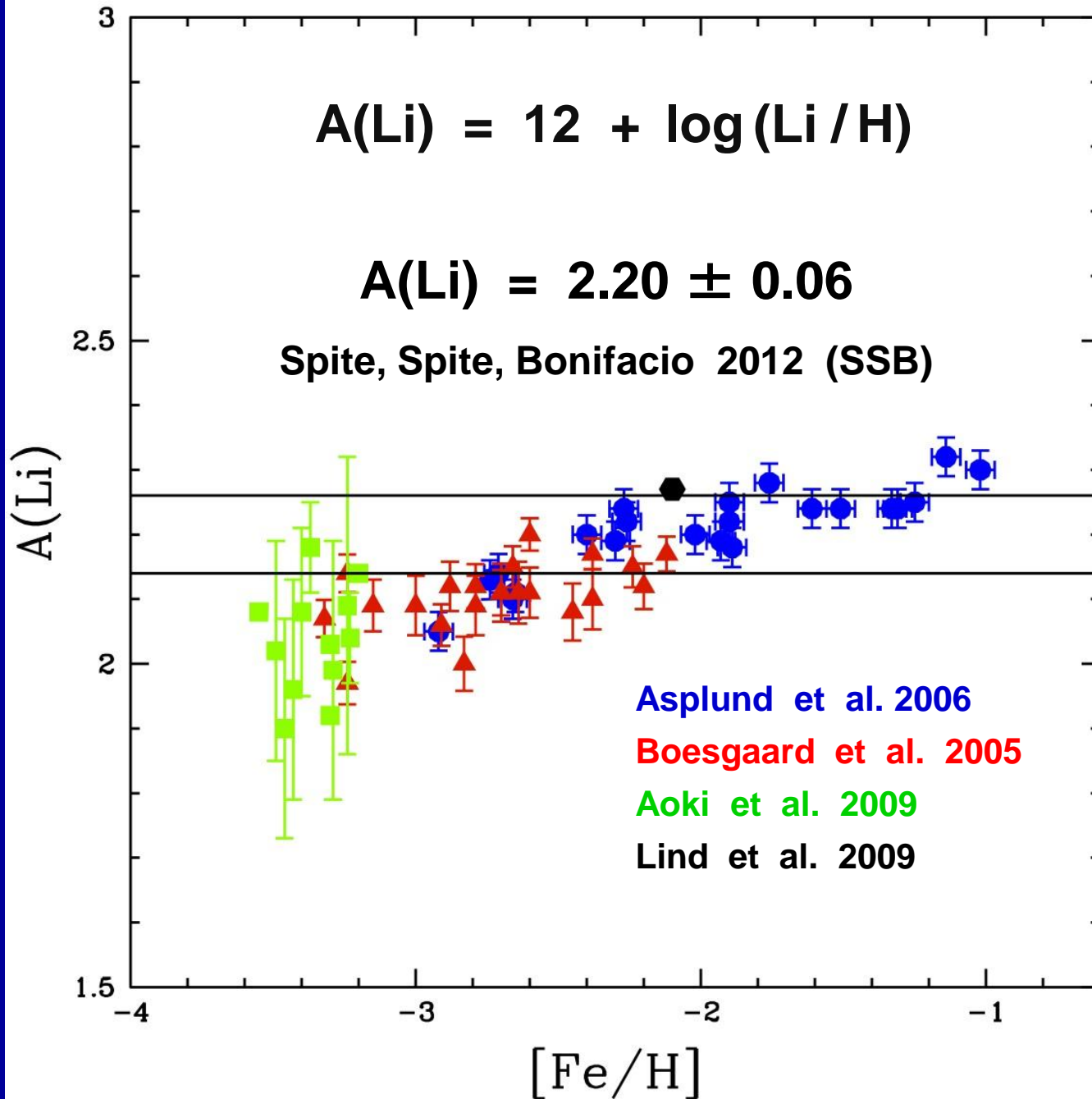
BBN & CMB exclude an EM Coupled WIMP with  $m_\chi \leq 1 - 2$  MeV.

BBN & CMB favor an EM Coupled WIMP with  $m_\chi \approx 5 - 10$  MeV, allowing for a sterile neutrino.

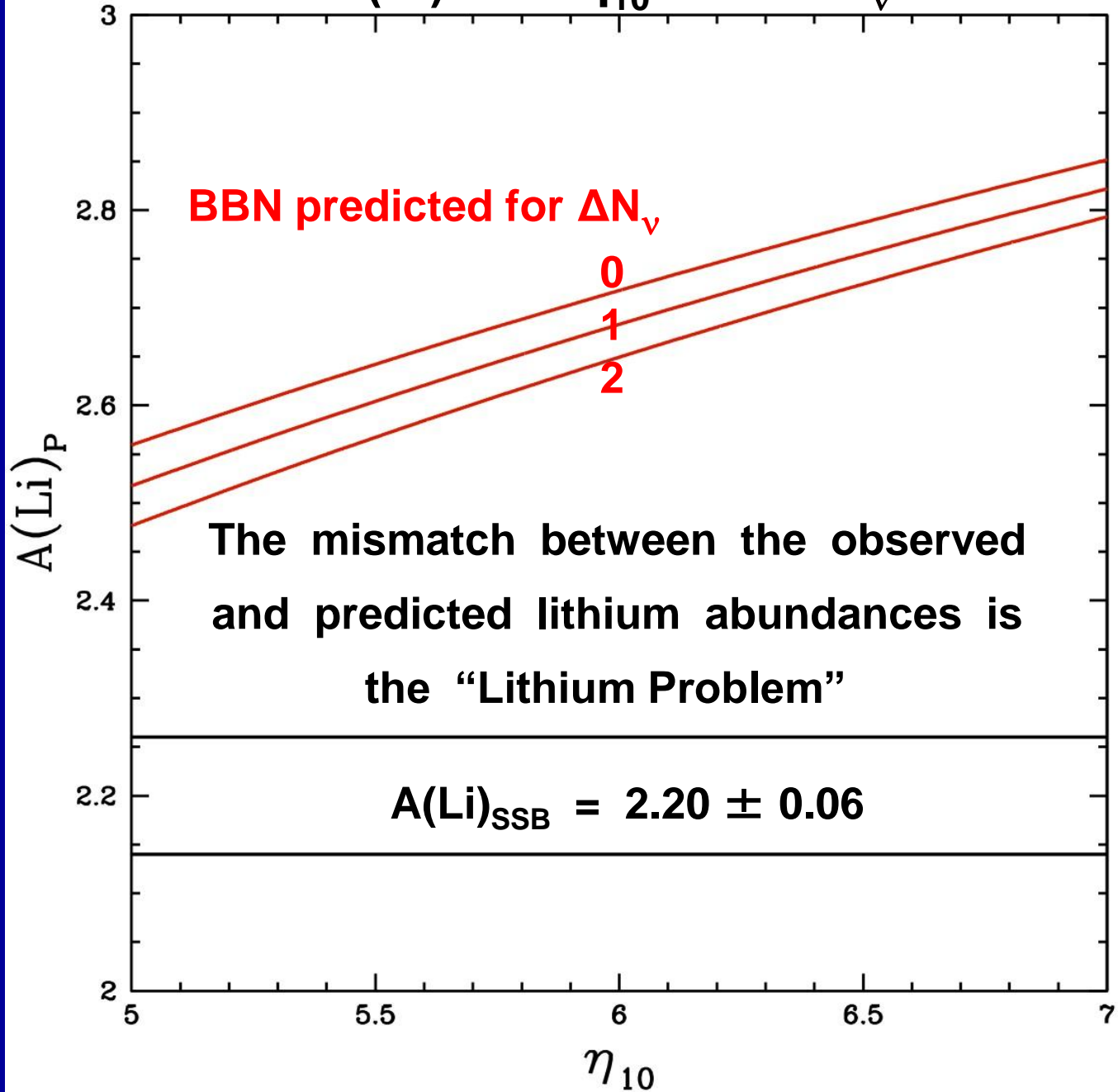
With or without an EM Coupled Light WIMP there is a lithium problem.

**EXTRA SLIDES**

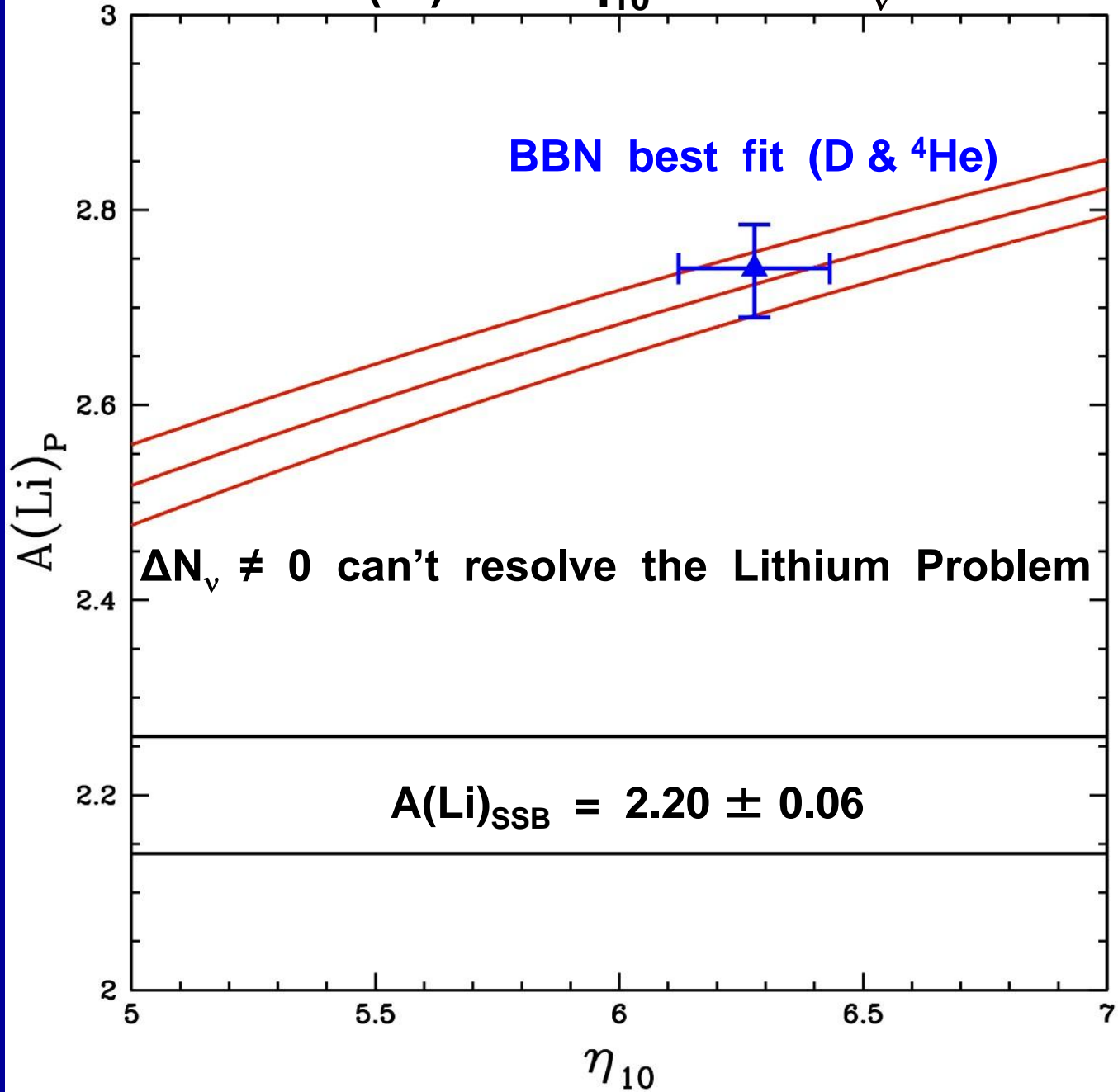
# Lithium Observed in Metal Poor Stars



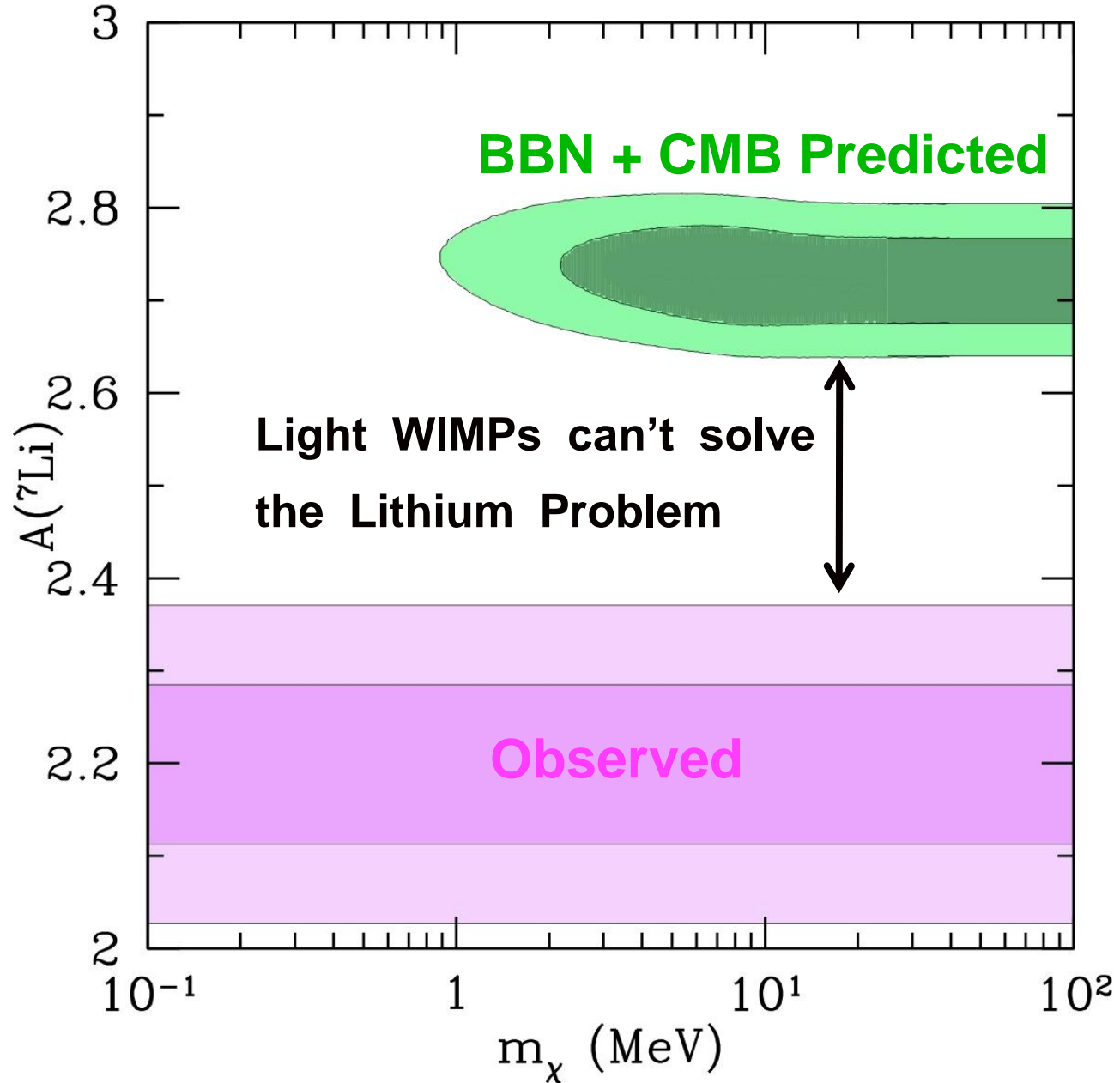
# A(Li) vs. $\eta_{10}$ and $\Delta N_\nu$



# A(Li) vs. $\eta_{10}$ and $\Delta N_\nu$



# Lithium Predicted vs. Observed



**MORE EXTRA SLIDES**



## LIGHT WIMPS COUPLED TO NEUTRINOS

The annihilation of a light WIMP coupled to the SM neutrinos heats the SM neutrinos

relative to the photons :  $\Rightarrow (T_\nu / T_\gamma)_0 > (4/11)^{1/3}$

$$\Rightarrow N_{\text{eff}}^0 > 3 ; N_{\text{eff}} > 3 + \Delta N_\nu$$

“Dark Radiation Without Dark Radiation”

In this case no additional photons are created,

$(\eta_B^{\text{BBN}} = \eta_B^{\text{CMB}})$ , but the Universe expands faster.

## BBN With A Neutrino Coupled Light WIMP

In the presence of a neutrino coupled light WIMP the Universe expands faster during BBN, destroying less D and producing more  $^4\text{He}$ . This disfavors  $\Delta N_\nu > 0$  and a low WIMP mass.

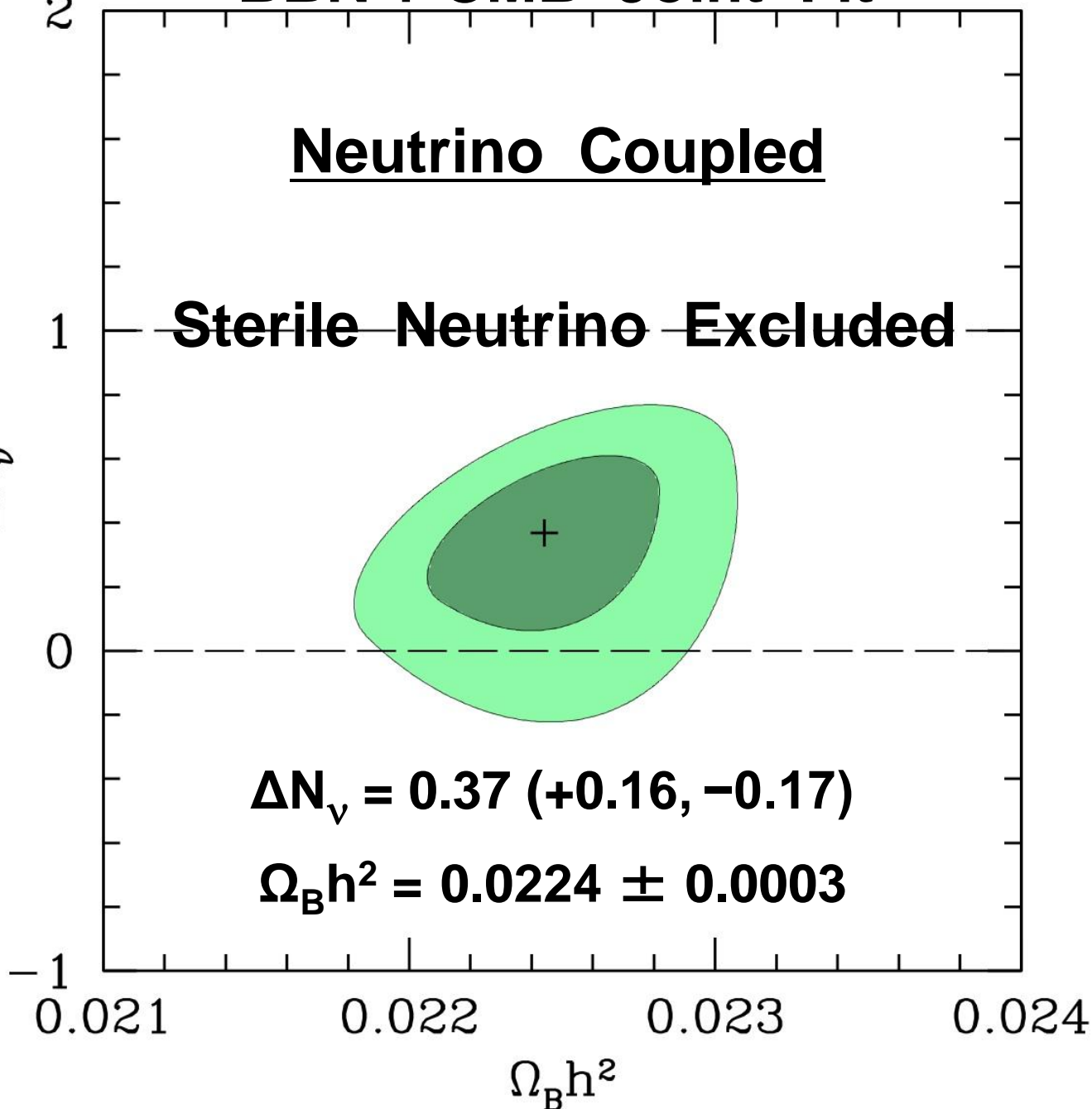
For a neutrino coupled light WIMP, BBN (D &  $^4\text{He}$ ) and the CMB favor a “high mass” WIMP (i.e., the NO WIMP limit).

# BBN + CMB Joint Fit

Neutrino Coupled

~~Sterile Neutrino Excluded~~

$\Delta N_\nu$



**EVEN MORE EXTRA SLIDES**

## LIGHT WIMPS COUPLED TO NEUTRINOS

The annihilation of a light WIMP coupled to the SM neutrinos heats the SM neutrinos

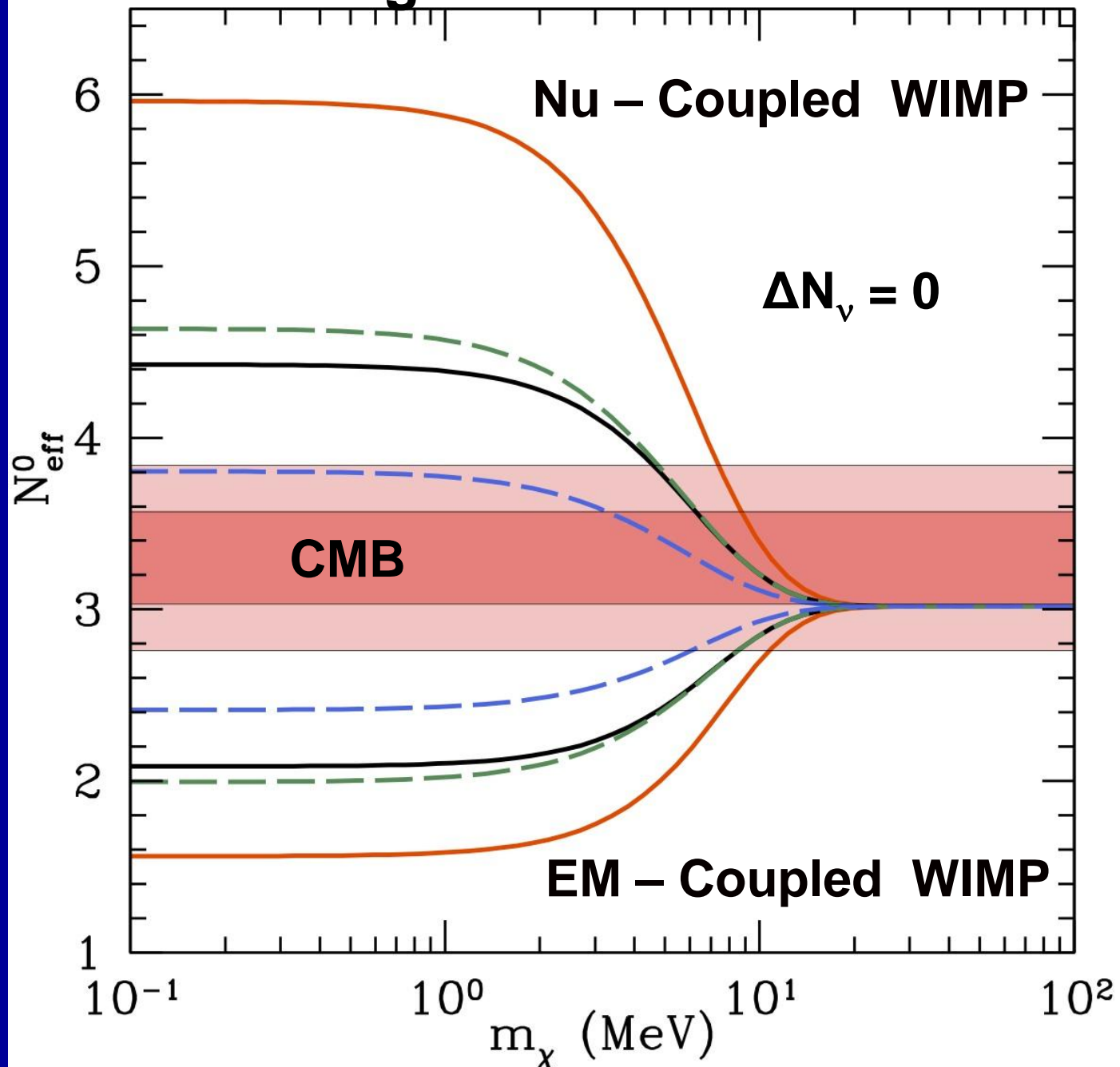
relative to the photons :  $\Rightarrow (T_\nu / T_\gamma)_0 > (4/11)^{1/3}$

$$\Rightarrow N_{\text{eff}}^0 > 3 ; N_{\text{eff}} > 3 (1 + \Delta N_\nu / 3)$$

“Dark Radiation Without Dark Radiation”

In this case no additional photons are created, but the Universe expands faster.

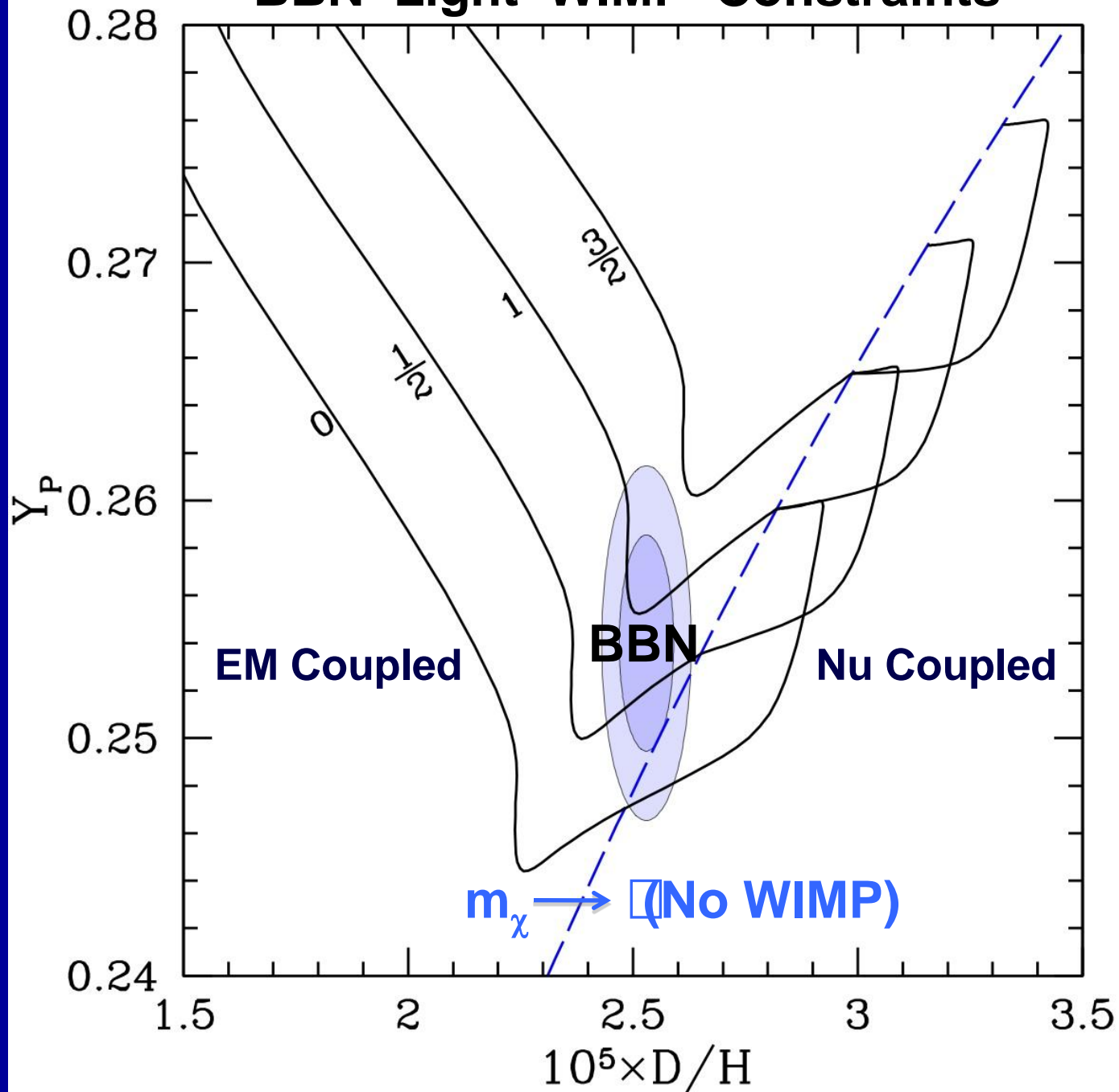
# CMB Light WIMP Constraints



## BBN With A Neutrino Coupled Light WIMP

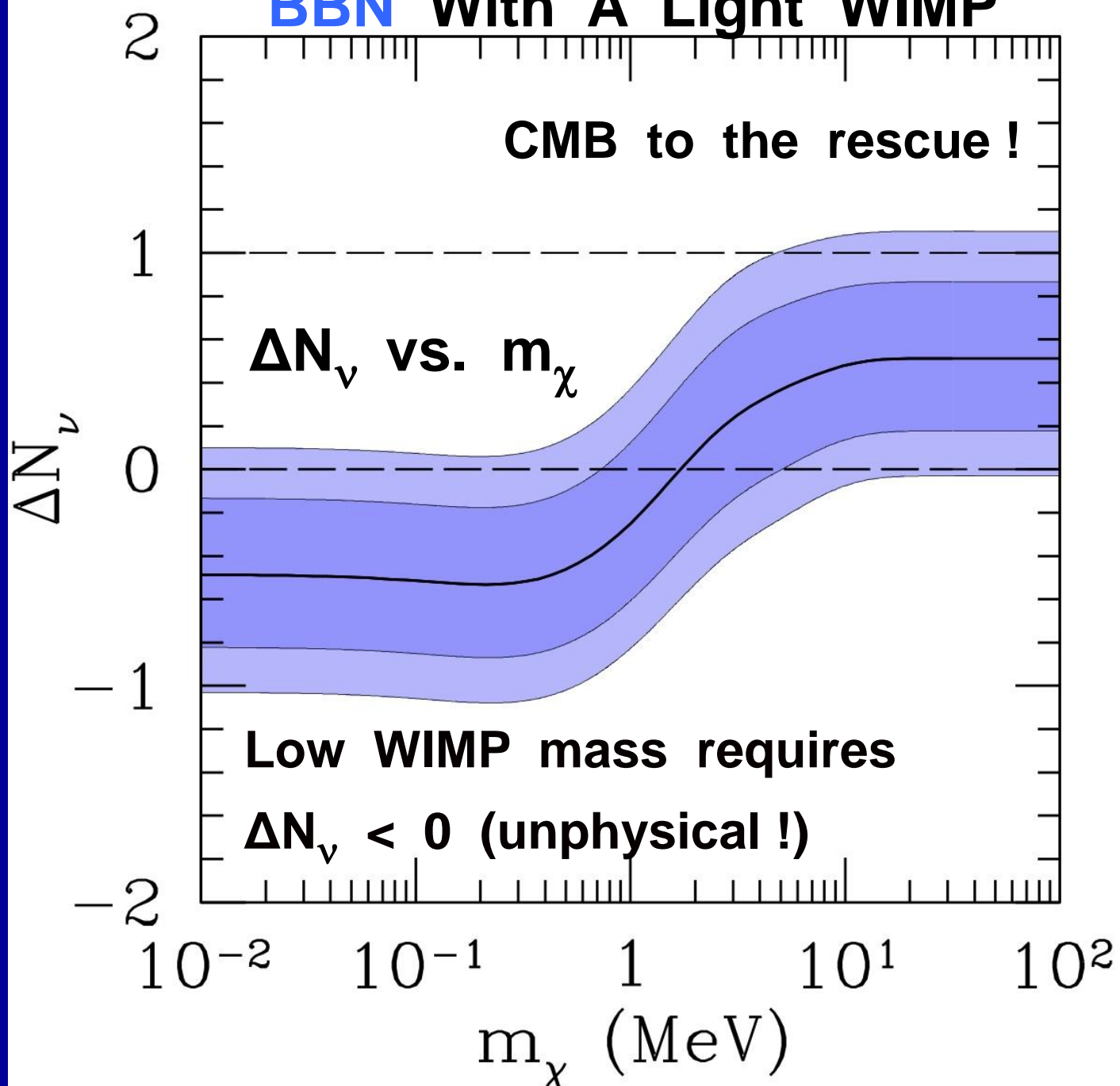
In the presence of a neutrino coupled light WIMP the Universe expands faster during BBN, destroying less D, producing more  $^4\text{He}$ , and synthesizing less  $^7\text{Li}$ .

# BBN Light WIMP Constraints

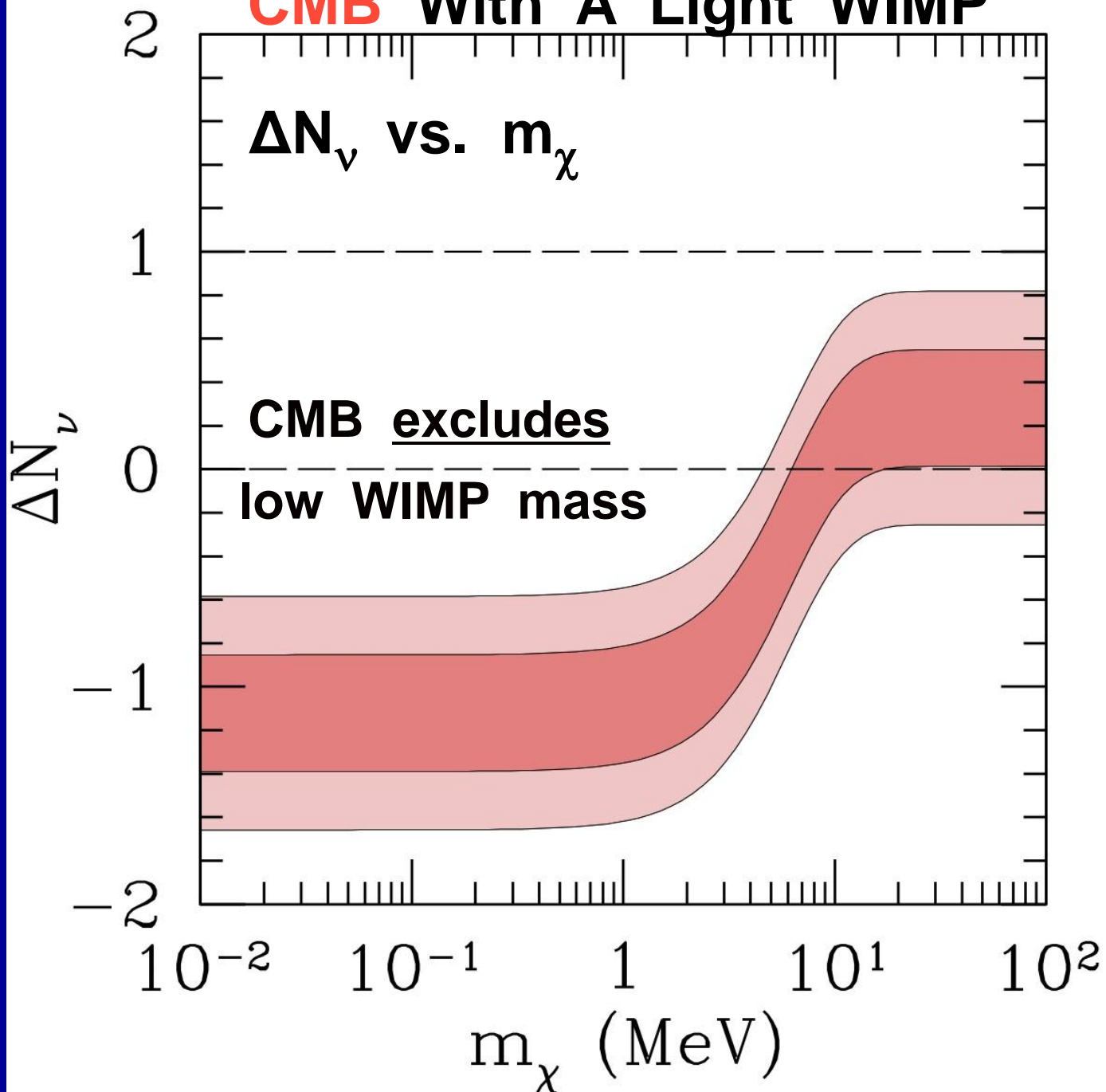




# BBN With A Light WIMP



# CMB With A Light WIMP



For a neutrino coupled light WIMP, BBN (D &  $^4\text{He}$ ) and the CMB favor a “high mass” WIMP (i.e., the NO WIMP limit).

As a result, for neutrino coupled light WIMPs, the lithium problem persists.

The lithium problem cannot be solved by a very light, neutrino coupled WIMP.

# SUMMARY OF BBN + CMB CONSTRAINTS

## For No WIMP And / Or A Neutrino Coupled WIMP

$$N_{\text{eff}} = 3.40 \pm 0.16 ; \Delta N_{\nu} = 0.35 \pm 0.16$$

$$\Omega_{\text{B}} h^2 = 0.0224 \pm 0.0003 \quad (\eta_{10} = 6.15 \pm 0.07)$$

## For An Electromagnetically Coupled WIMP

$$N_{\text{eff}} = 3.22 \pm 0.25 ; \Delta N_{\nu} = 0.65 (+ 0.45, - 0.37)$$

$$\Omega_{\text{B}} h^2 = 0.0223 \pm 0.0003 \quad (\eta_{10} = 6.11 \pm 0.08)$$

$$m_{\chi} \approx 5 - 10 \text{ MeV favored}$$

# SUMMARY OF BBN + CMB CONSTRAINTS

In the absence of a light WIMP ( $m_\chi \geq 30$  MeV)

BBN & CMB are consistent, provided that

$\Delta N_\nu \approx 0.35$  ( $N_{\text{eff}} \approx 3.4$ ). But, SBBN and a sterile neutrino are disfavored. Lithium is a problem!

BBN & CMB exclude an EM Coupled light WIMP with  $m_\chi \leq 1 - 2$  MeV.

BBN & CMB favor an EM Coupled light WIMP with  $m_\chi \approx 5 - 10$  MeV. Lithium is a problem!

# BBN & The CMB With A Light WIMP

Very light WIMPs, thermal relics, annihilate late in the early Universe, changing the energy and photon densities at BBN and at recombination.

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