



**Quantum Fields
beyond Perturbation Theory**
KITP, January 27 – 31, 2014

Entanglement & C-theorems

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Zamolodchikov c-theorem (1986):

- renormalization-group (RG) flows can be seen as one-parameter motion

$$\frac{d}{dt} \equiv -\beta^i(g) \frac{\partial}{\partial g^i}$$

in the space of (renormalized) coupling constants $\{g^i, i = 1, 2, 3, \dots\}$ with beta-functions as “velocities”

- for unitary, Lorentz-inv. QFT's in **two dimensions**, there exists a positive-definite real function of the coupling constants $C(g)$:

1. monotonically decreasing along flows: $\frac{d}{dt}C(g) \leq 0$

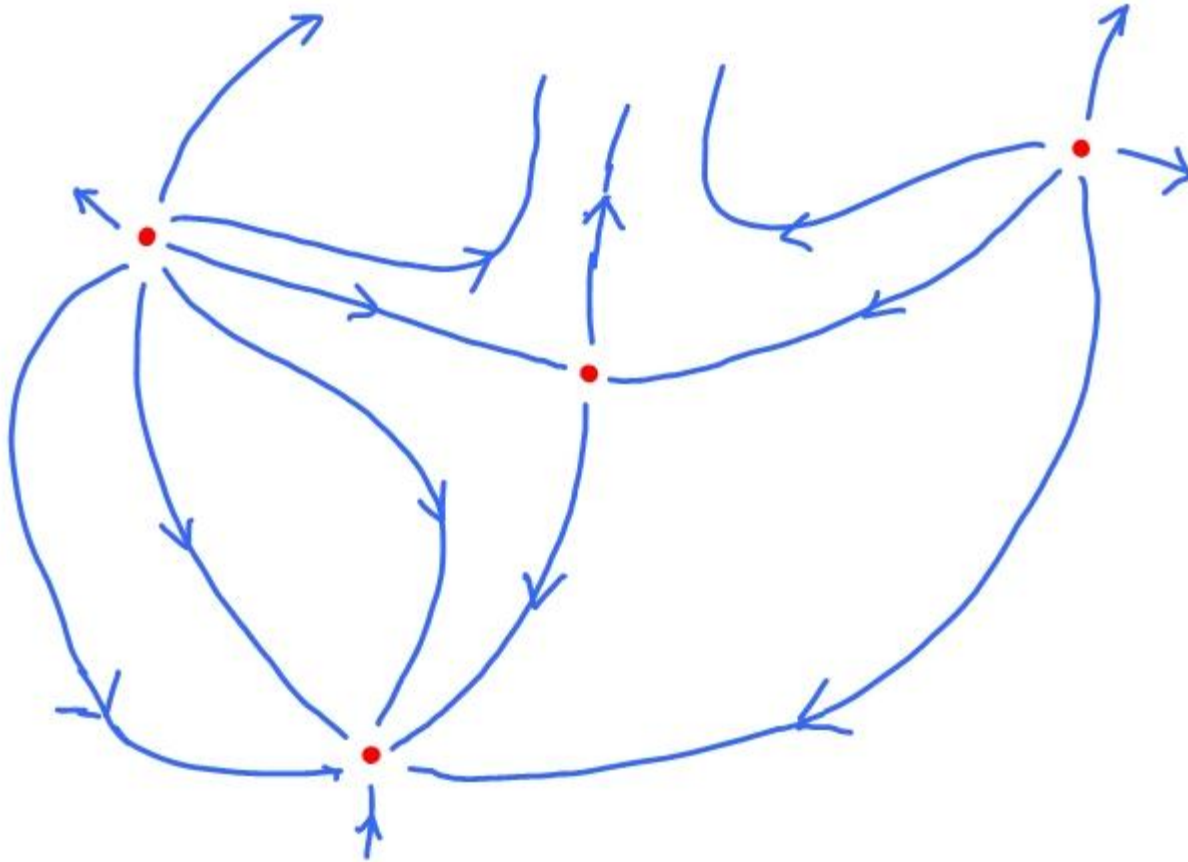
2. “stationary” at fixed points $g^i = (g^*)^i$:

$$\beta^i(g^*) = 0 \iff \frac{\partial}{\partial g^i}C(g) = 0$$

3. at fixed points, it equals central charge of corresponding CFT

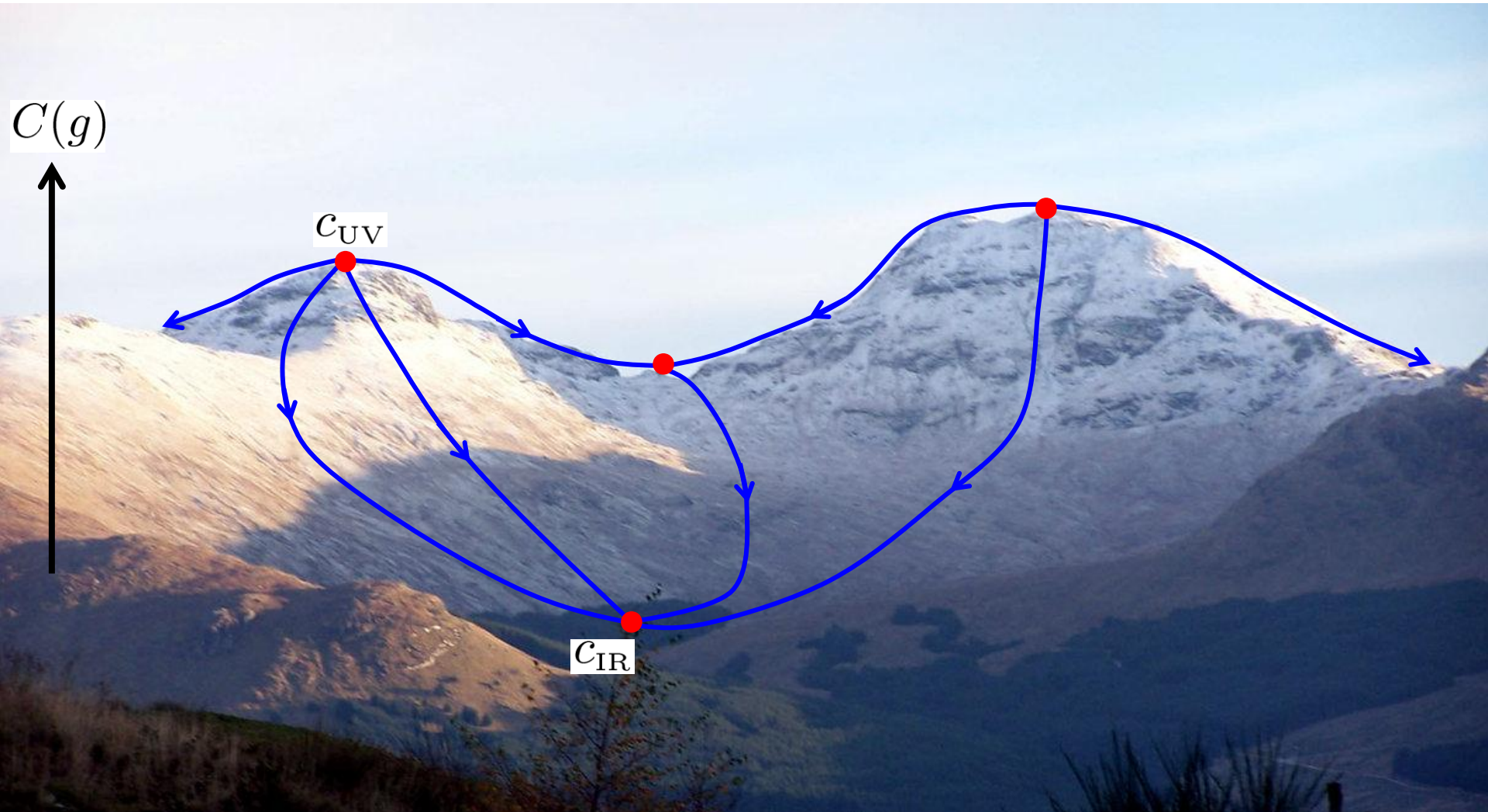
$$C(g^*) = c$$

Zamolodchikov's C-function adds a dimension to RG flows:



BECOMES

Zamolodchikov's C-function adds a dimension to RG flows:



Simple consequence for any RG flow in $d=2$: $C_{UV} > C_{IR}$

Entanglement and c-theorem: Part 1

- c-theorem for $d=2$ RG flows can be established using unitarity, Lorentz invariance and **strong subadditivity inequality**:

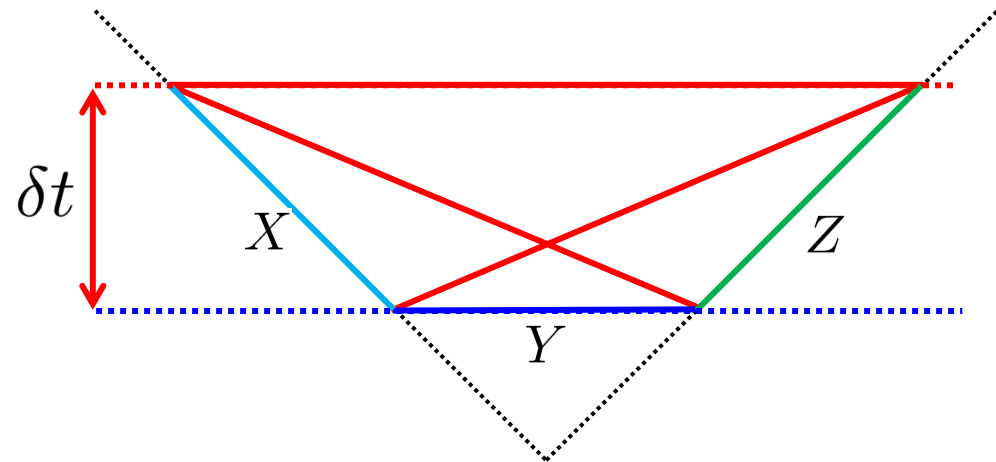
$$S(X \cup Y \cup Z) - S(X \cup Y) - S(Y \cup Z) + S(Y) \leq 0$$

- for $d=2$ CFT: $S(\ell) = \frac{c}{3} \log(\ell/\delta) + a_0$ (Holzhey, Larsen & Wilczek)
(Calabrese & Cardy)

- define: $C(\ell) = 3 \ell \partial_\ell S(\ell) \longrightarrow C_{\text{CFT}}(\ell) = c$

- with SSA and limit $\delta t \rightarrow 0$

$$\longrightarrow \partial_\ell C(\ell) \leq 0$$



- hence $C(\ell)$ decreases monotonically and $c_{\text{UV}} > c_{\text{IR}}$
- note: no simple map between Zamo. and entropic C-functions

Entanglement and c-theorem: Part 2

(RM & Sinha '10)

- next connection to entanglement emerged for c-theorems in higher dimensions using holography

- first recall standard
holographic RG flows

(Girardello, Petrini, Porrati and Zaffaroni, '98)

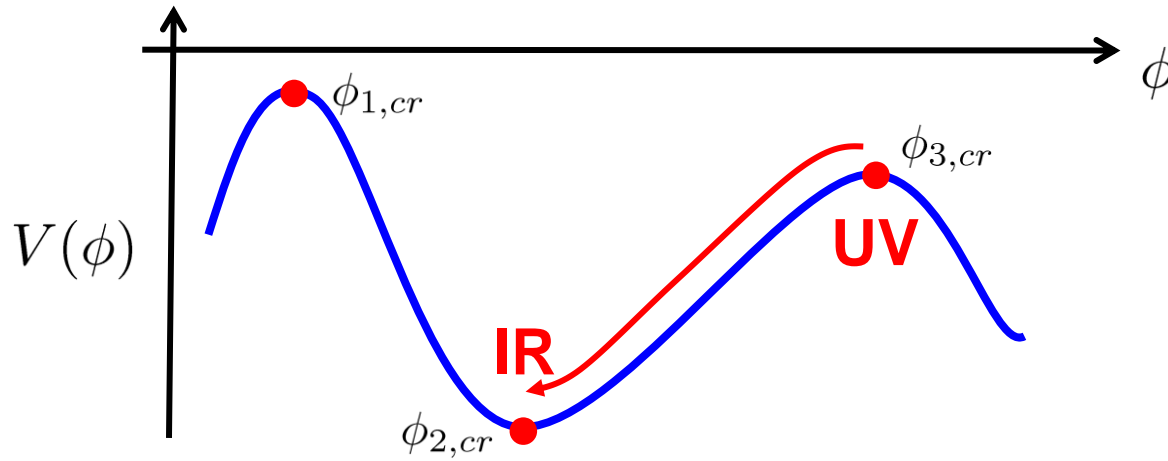
(Freedman, Gubser, Pilch & Warner, '99)

$$I = \frac{1}{2\ell_P^{d-1}} \int d^{d+1}x \sqrt{-g} \left[R - \frac{1}{2}(\partial\phi)^2 - V(\phi) \right]$$

- imagine potential has stationary points giving negative Λ

$$\longrightarrow V(\phi_{i,cr}) = -\frac{d(d-1)}{L^2} \alpha_i^2$$

- **hRG flow**: solution starts at one stationary point at large radius and ends at another at small radius – connects CFT_{UV} to CFT_{IR}



(Girardello, Petrini, Porrati and Zaffaroni, '98)

(Freedman, Gubser, Pilch & Warner, '99)

Holographic c-theorems:

- consider metric: $ds^2 = e^{2A(r)}(-dt^2 + dx_1^2 + \dots + dx_{d-1}^2) + dr^2$
- at stationary points, AdS₅ vacuum: $A(r) = r/\tilde{L}$ with $\tilde{L} = L/\alpha_i$
- for hRG flow solutions, define: $a_d(r) \equiv \frac{\pi^{d/2}}{\Gamma(d/2) (\ell_P A'(r))^{d-1}}$

$$a'(r) = -\frac{(d-1)\pi^{d/2}}{\Gamma(d/2) \ell_P^{d-1} A'(r)^d} A''(r) = -\frac{\pi^{d/2}}{\Gamma(d/2) \ell_P^{d-1} A'(r)^d} (T^t_t - T^r_r) \geq 0$$

Einstein equations

null energy condition

- at stationary points, $a(r) \rightarrow a^* = \pi^{d/2} / \Gamma(d/2) (\tilde{L}/\ell_P)^{d-1}$ and so

$$a_{UV}^* \geq a_{IR}^*$$

- using holographic trace anomaly: $a^* \propto$ central charges (e.g., Henningson & Skenderis)
- above for even d; what about odd d?
- all central charges equal for Einstein gravity

“Improved” Holographic RG Flows:

- add higher curvature interactions to bulk gravity action
 - provides holographic field theories with, eg, $a \neq c$ so that we can clearly distinguish evidence of a-theorem
(Nojiri & Odintsov; Blau, Narain & Gava)
- construct “toy models” with fixed set of higher curvature terms (where we can maintain control of calculations)

What about the swampland?

- constrain gravitational couplings with consistency tests (positive fluxes; causality; unitarity) and **use best judgement**
- ultimately one needs to fully develop string theory for interesting holographic backgrounds!
- *“if certain general characteristics are true for all CFT’s, then holographic CFT’s will exhibit the same features”*

Toy model:

$$I = \frac{1}{2\ell_P^{d-1}} \int d^{d+1}x \sqrt{-g} \left[\frac{d(d-1)}{L^2} \alpha + R + \frac{\lambda L^2}{(d-2)(d-3)} \mathcal{X}_4 - \frac{8(2d-1)\mu L^4}{(d-5)(d-2)(3d^2-21d+4)} \mathcal{Z}_{d+1} \right]$$

← curvature squared
← curvature cubed

- three dimensionless couplings: L/ℓ_P , λ , μ
- for holographic RG flows with general d , gravitational eom and null energy condition yield

$$(a_d^*)_{UV} \geq (a_d^*)_{IR}$$

where $a_d^* = \frac{\pi^{d/2} L^{d-1}}{\Gamma(d/2) f_\infty^{\frac{d-1}{2}} \ell_P^{d-1}} \left(1 - \frac{2(d-1)}{d-3} \lambda f_\infty - \frac{3(d-1)}{d-5} \mu f_\infty^2 \right)$

with $\alpha^2 - f_\infty + \lambda f_\infty^2 + \mu f_\infty^3 = 0$

What is a_d^* ??

$$a_d^* = \frac{\pi^{d/2} L^{d-1}}{\Gamma(d/2) f_\infty^{\frac{d-1}{2}} \ell_P^{d-1}} \left(1 - \frac{2(d-1)}{d-3} \lambda f_\infty - \frac{3(d-1)}{d-5} \mu f_\infty^2 \right)$$

$$\text{with } \alpha^2 - f_\infty + \lambda f_\infty^2 + \mu f_\infty^3 = 0$$

- a_d^* is **NOT** C_T , coefficient of leading singularity in

$$\langle T_{ab}(x) T_{cd}(0) \rangle = \frac{C_T}{x^{2d}} \mathcal{I}_{ab,cd}(x)$$

- a_d^* is **NOT** C_S , coefficient in entropy density: $s = C_S T^{d-1}$

What is a_d^* ??

$$a_d^* = \frac{\pi^{d/2} L^{d-1}}{\Gamma(d/2) f_\infty^{\frac{d-1}{2}} \ell_P^{d-1}} \left(1 - \frac{2(d-1)}{d-3} \lambda f_\infty - \frac{3(d-1)}{d-5} \mu f_\infty^2 \right)$$

$$\text{with } \alpha^2 - f_\infty + \lambda f_\infty^2 + \mu f_\infty^3 = 0$$

- trace anomaly for CFT's with even d : (Deser & Schwimmer)

$$\langle T_\mu^\mu \rangle = \sum B_i (\text{Weyl invariant})_i - 2(-)^{d/2} A (\text{Euler density})_d$$

- can verify that above precisely reproduces central charge

$$a_d^* = A$$

(Henningson & Skenderis; Nojiri & Odintsov; Blau, Narain & Gava;
Imbimbo, Schwimmer, Theisen & Yankielowicz)

- holographic c-theorem: $(a_d^*)_{UV} \geq (a_d^*)_{IR}$

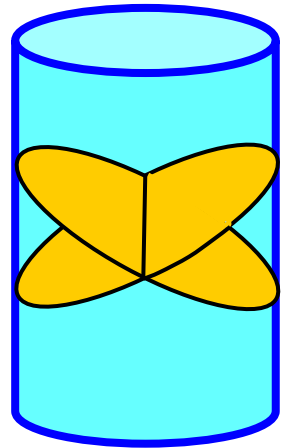
→ agrees with Cardy's conjecture (1988)

What about odd d ?

Holographic Entanglement Entropy:

- S_{EE} for CFT in d -dim. flat space and choose S^{d-2} with radius R
- conformal mapping relate to thermal entropy on $\mathcal{H} = R \times H^{d-1}$ with $\mathcal{R} \sim 1/R^2$ and $T=1/2\pi R$
- holographic dictionary: thermal bath in CFT = black hole in AdS

$$S_{EE} = S_{thermal} = S_{horizon}$$



- desired “black hole” is a hyperbolic foliation of AdS
- bulk coordinate transformation implements desired conformal transformation on boundary
- apply Wald’s formula (for any gravity theory) for horizon entropy

universal contributions:

$$S = \dots + (-)^{\frac{d}{2}-1} 4 a_d^* \log(2R/\delta) + \dots \quad \text{for even } d$$

$$\dots + (-)^{\frac{d-1}{2}} 2\pi a_d^* + \dots \quad \text{for odd } d$$

Entropic C-theorem conjecture:

- identify central charge with universal contribution in entanglement entropy of ground state of CFT across sphere S^{d-2} of radius R :

$$S_{univ} = \begin{cases} (-)^{\frac{d}{2}-1} 4 a_d^* \log(2R/\delta) & \text{for even } d \\ (-)^{\frac{d-1}{2}} 2\pi a_d^* & \text{for odd } d \end{cases}$$

- for RG flows connecting two fixed points

$$(a_d^*)_{UV} \geq (a_d^*)_{IR}$$

→ unified framework to consider c-theorem for **odd** or even d

→ connect to Cardy's conjecture: $a_d^* = A$ for any CFT in even d

F-theorem:

- examine partition function for broad classes of 3-dimensional quantum field theories on three-sphere (SUSY gauge theories, perturbed CFT's & O(N) models)
- in all examples, $F = -\log Z(S^3) > 0$ and decreases along RG flows

$$\longrightarrow \text{conjecture: } F_{UV} > F_{IR}$$

- also naturally generalizes to higher odd d

- **coincides with entropic c-theorem** (Casini, Huerta & RM)

- focusing on renormalized or universal contributions, eg,

$$F_3 = -\log Z|_{finite} = -S_{univ} = 2\pi a_3^*.$$

- generalizes to general odd d :

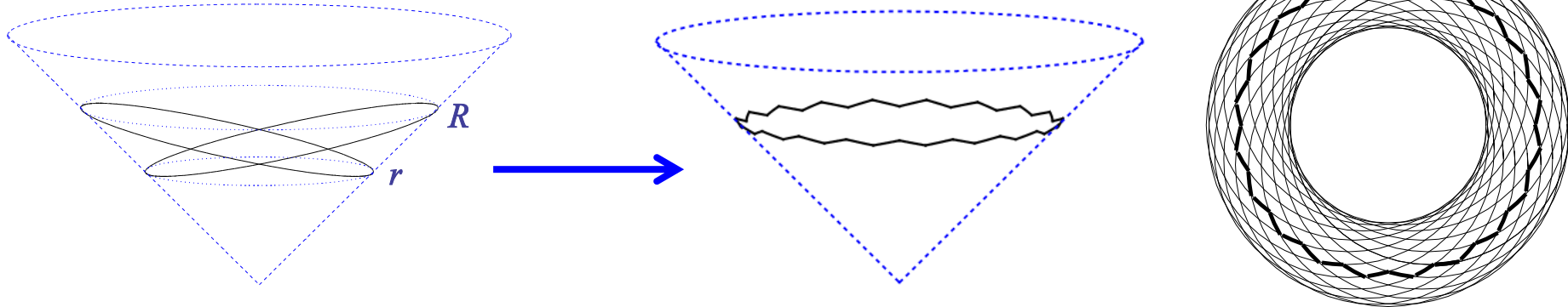
$$F_d = -\log Z|_{finite} = -S_{univ} = (-)^{\frac{d+1}{2}} 2\pi a_d^*.$$

Entanglement proof of F-theorem:

- F-theorem for $d=3$ RG flows established using unitarity, Lorentz invariance and **strong subadditivity**

$$\sum_i S(X_i) \geq S(\cup_i X_i) + S(\cup_{\{ij\}} (X_i \cap X_j)) + S(\cup_{\{ijk\}} (X_i \cap X_j \cap X_k)) + \dots + S(\cap_i X_i)$$

- geometry more complex than $d=2$: consider many circles intersecting on **null** cone



- no corner contribution from intersection in null plane
- define: $C(R) = RS'(R) - S(R)$
- for $d=3$ CFT: $S(R) = c_0 R - 2\pi a_3 \longrightarrow C_{\text{CFT}}(R) = 2\pi a_3$
- with SSA and “continuum” limit $\longrightarrow \partial_R C(R) \leq 0$
- hence $C(R)$ decreases monotonically and $[a_3]_{\text{UV}} > [a_3]_{\text{IR}}$

Why is constant term in S_{EE} universal?

(Schwimmer & Theisen)

$$S_{univ} = \begin{cases} (-)^{\frac{d}{2}-1} 4 a_d^* \log(2R/\delta) & \text{for even } d \\ (-)^{\frac{d-1}{2}} 2\pi a_d^* & \text{for odd } d \end{cases}$$

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“Renormalized” Entanglement Entropy:

(Liu & Mezei)

- divergences determined by local geometry of entangling surface with **covariant** regulator, eg,

$$S = c_0(\mu_i \delta) \frac{R^{d-2}}{\delta^{d-2}} + c_2(\mu_i \delta) \frac{R^{d-4}}{\delta^{d-4}} + \cdots + (-)^{\frac{d-1}{2}} 2\pi a_d(\mu_i \delta) + O(\delta/R)$$

- can isolate finite term with appropriate manipulations, eg,

$$d=3: \mathcal{S}_3(R) = RS'(R) - S(R) \quad \longleftarrow \text{c-function of}$$

Casini & Huerta

$$d=4: \mathcal{S}_4(R) = R^2 S''(R) - RS'(R)$$

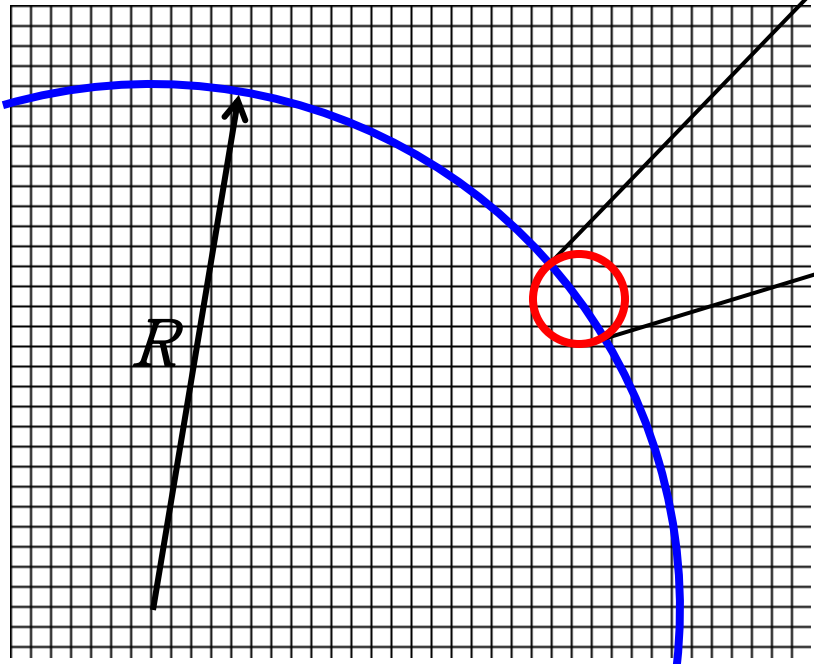
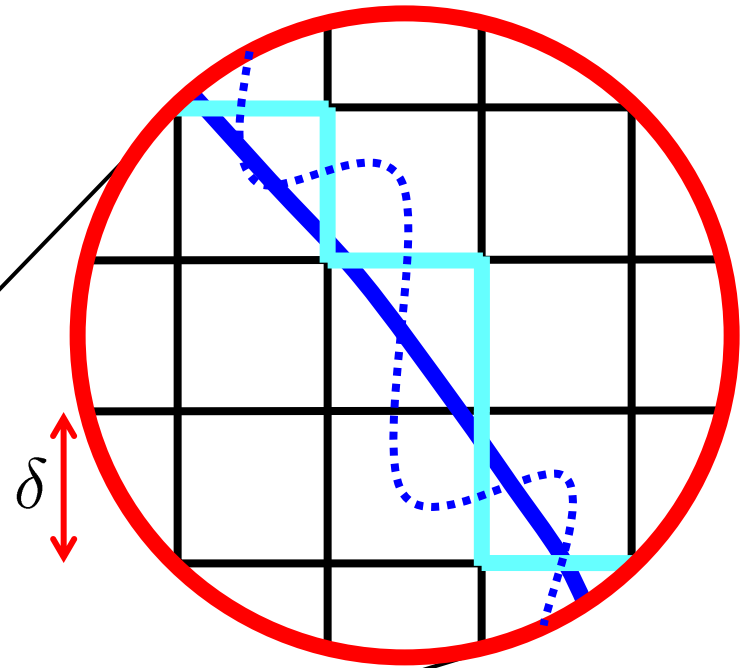
- unfortunately, holographic experiments indicate $\mathcal{S}_d(R)$ are **not** good C-functions for $d > 3$
- approach demands special class of regulators: “covariant”

- if a_3 is physical, we should be able to use any regularization which defines the continuum QFT

$$d = 3 : S(R) = \frac{c_0}{\delta} R - 2\pi a_3$$

- lattice regulator? circumference always uncertain to $O(\delta)$

→ a_3 always polluted by UV

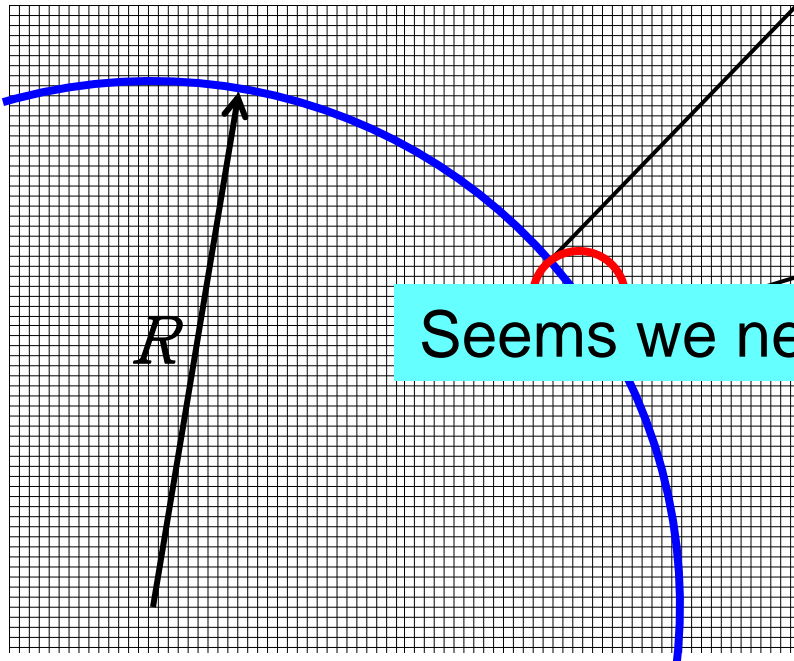
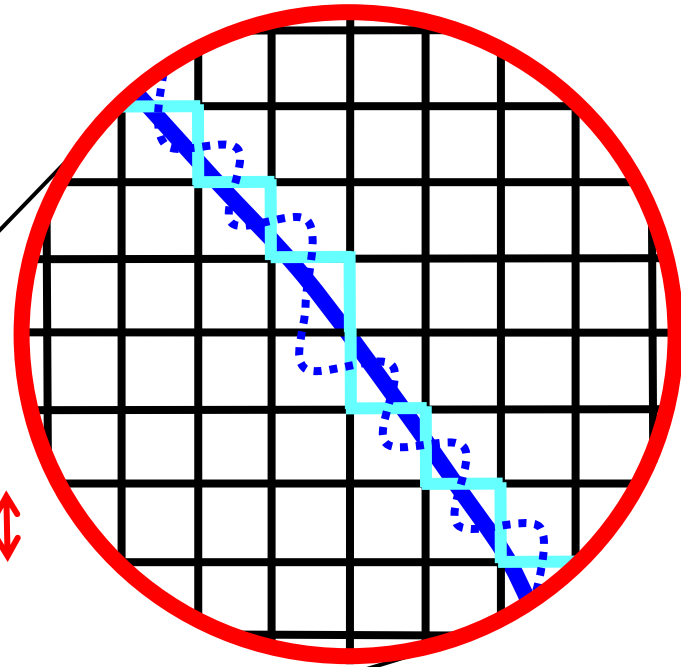


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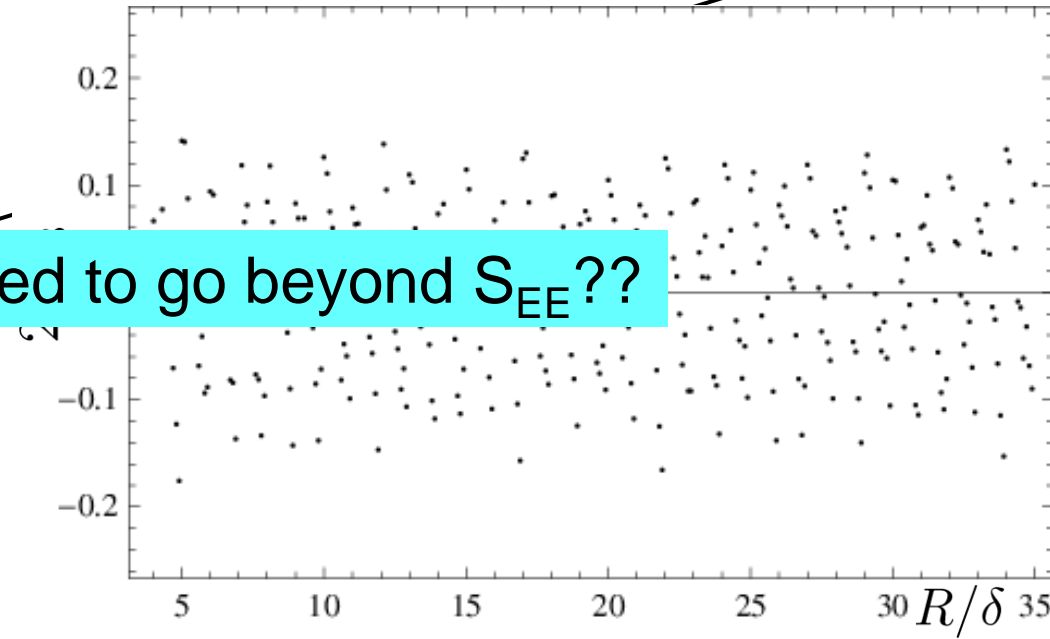
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Seems we need to go beyond S_{EE} ??



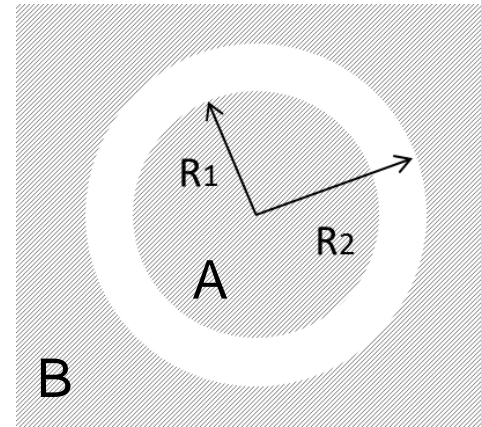
“Renormalized” Entanglement Entropy 2:

- S_{EE} is UV divergent, so must take care in defining universal term
- **mutual information** is intrinsically finite and so offers “universal” regulator for S_{EE} or alternative definition of a_3

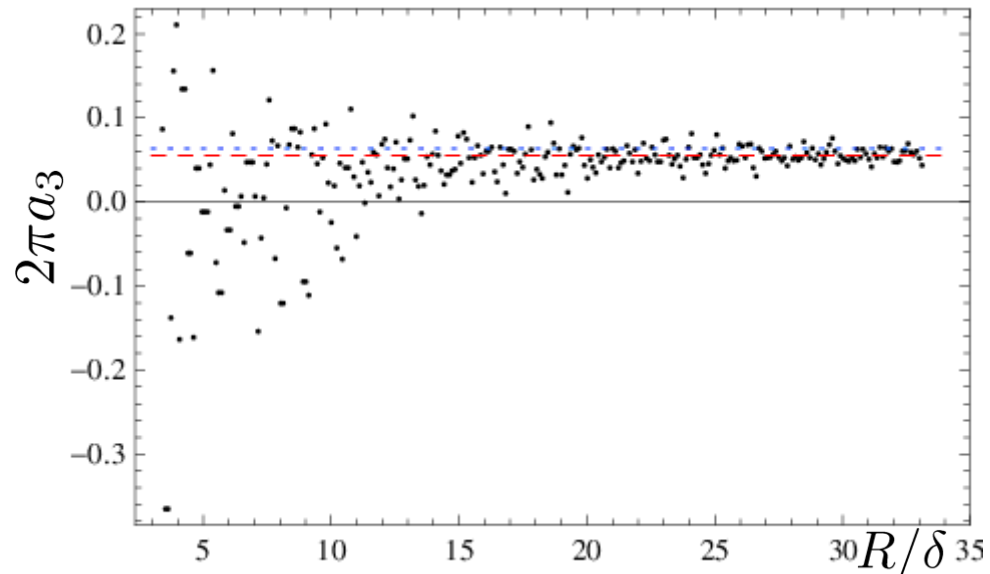
$$I(A, B) = S(A) + S(B) - S(A \cup B)$$

- with $R_{1,2} = R \pm \frac{\varepsilon}{2}$ and $R \gg \varepsilon \gg \delta$,

$$I(A, B) = 2 \left(\frac{\tilde{a}}{\varepsilon} + b \right) R - 4\pi a_3 + O(\varepsilon)$$



- choice ensures that a_3 is not polluted by UV fixed point



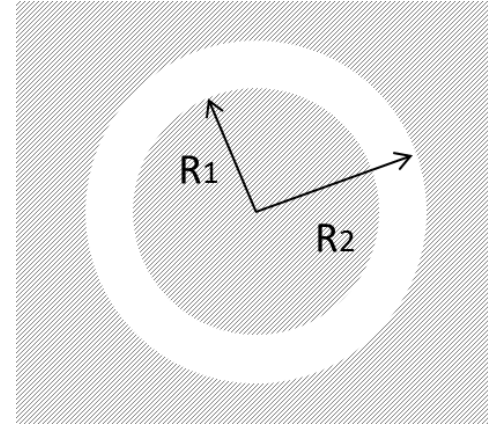
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- choice ensures that a_3 is not polluted by UV fixed point
- naturally extends to defining a_d in higher odd dimensions
- for $d=3$, entropic proof of F-theorem can be written in terms of mutual information

Counting degrees of freedom?:

- **Susskind & Witten:** density of degrees of freedom in N=4 SYM connected to area of holographic screen at large R in AdS₅

$$\frac{V_3}{\delta^3} \times N_c^2 \sim \frac{A(R)}{\ell_P^3} \quad \text{cut-off scale defined by regulator radius: } \frac{1}{\delta} = \frac{R}{L^2}$$

- given higher curvature bulk action, natural extension is to evaluate Wald entropy on holographic screen at large R

$$S = -2\pi \oint d^{d-1}x \sqrt{h} \hat{\varepsilon}^{ab} \hat{\varepsilon}_{cd} \frac{\partial \mathcal{L}_{bulk}}{\partial R^{ab}_{cd}}$$

- straightforward evaluate “entropy” density

$$S = \frac{2}{\pi} a_d^* \frac{V_{d-1}}{\delta^{d-1}}$$

for any covariant action: $\mathcal{L}_{bulk} = \mathcal{L}_{bulk} (g^{ab}, R^{ab}_{cd}, \nabla_e R^{ab}_{cd}, \dots)$

a-theorem and Dilaton Effective Action

- analyze RG flow as “broken conformal symmetry” (Schwimmer & Theisen)
- couple theory to “dilaton” (conformal compensator) and organize effective action in terms of $\hat{g}_{\mu\nu} = e^{-2\tau} g_{\mu\nu}$

diffeo X Weyl invariant: $g_{\mu\nu} \rightarrow e^{2\sigma} g_{\mu\nu} \quad \tau \rightarrow \tau + \sigma$

- follow effective dilaton action to IR fixed point, eg,

$$S_{anomaly} = -\delta a \int d^4x \sqrt{-g} \left(\tau E_4 + 4(R^{\mu\nu} - \frac{1}{2}g^{\mu\nu} R) \partial_\mu \tau \partial_\nu \tau - 4(\partial\tau)^2 \square \tau + 2(\partial\tau)^4 \right)$$

 $\delta a = a_{UV} - a_{IR}$: ensures UV & IR anomalies match

- with $g \rightarrow \eta$, only contribution to 4pt amplitude with null dilatons:

$$S_{anomaly} = 2 \delta a \int d^4x (\partial\tau)^4$$

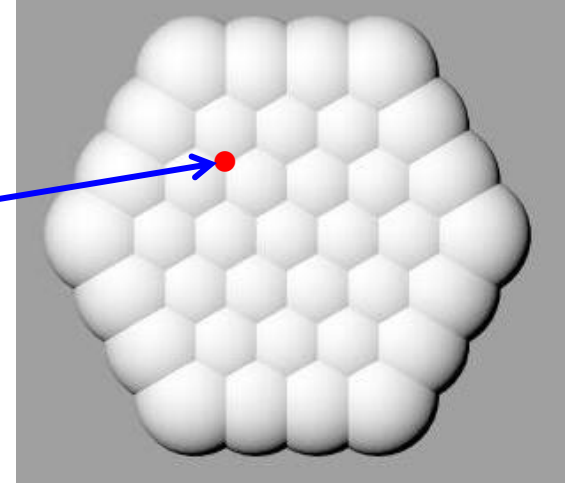
- dispersion relation plus optical theorem demand: $\delta a > 0$

Conclusions and Questions:

- is there entropic proof of c-theorem in higher dimensions?

→ need a new idea?

higher dim. intersections lead
to subleading divergences
which trivialize SSA inequality



- hybrid approach? (Solodukhin): needs work
- can c-theorems be proved for higher dimensions? eg, $d=5$ or 6
 - again, entropic approach needs a new idea
 - dilaton-effective-action approach requires refinement for $d=6$
(Elvang, Freedman, Hung, Kiermaier, RM & Theisen; Elvang & Olson)

Conclusions and Questions:

- how much of Zamolodchikov's structure for $d=2$ RG flows extends higher dimensions?
 - $d=3$ entropic C-function not always stationary at fixed points
(Klebanov, Nishioka, Pufu & Safdi)
 - same already observed for $d=2$; special case or generic?
need a better C-function?
- does scale invariance imply conformal invariance beyond $d=2$?
 - “more or less” in $d=4$
(Luty, Polchinski & Rattazzi;
Dymarsky, Komargodski, Schwimmer & Theisen)
- further lessons for RG flows and entanglement from holography?
 - translation of “null energy condition” to boundary theory?
- what can entanglement entropy/quantum information really say about RG flows, holography or nonperturbative QFT?