

# The local RG & the Structure of 4D RG Flows

Riccardo Rattazzi

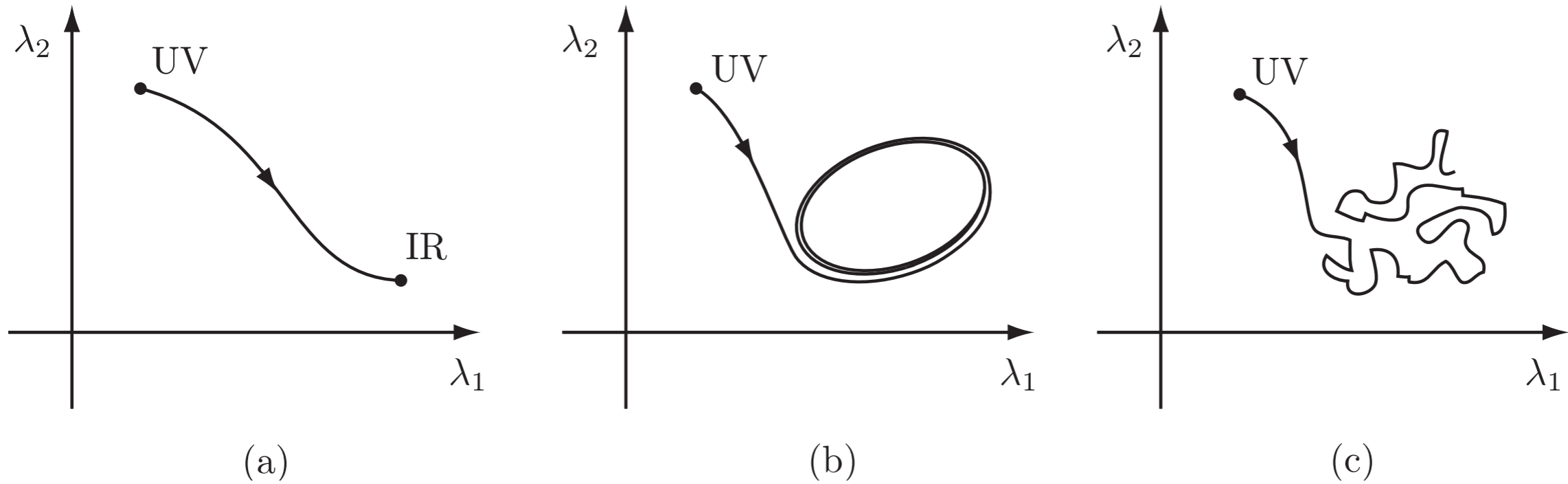


ÉCOLE POLYTECHNIQUE  
FÉDÉRALE DE LAUSANNE

F. Baume, B. Keren-Zur, RR, L. Vitale

[arXiv:1401.5983](https://arxiv.org/abs/1401.5983)

# conceivable RG flows



but all known examples asymptote a CFT

How do we understand that?

# Two approaches

- Local RG: Wess-Zumino consistency conditions for Weyl anomaly off-criticality

Jack, Osborn 1990

Osborn 1991

- Dispersion relations for  $\langle T \dots T \rangle$   
Optical theorem for scattering amplitudes of background dilaton

Komargodski and Schwimmer 2011

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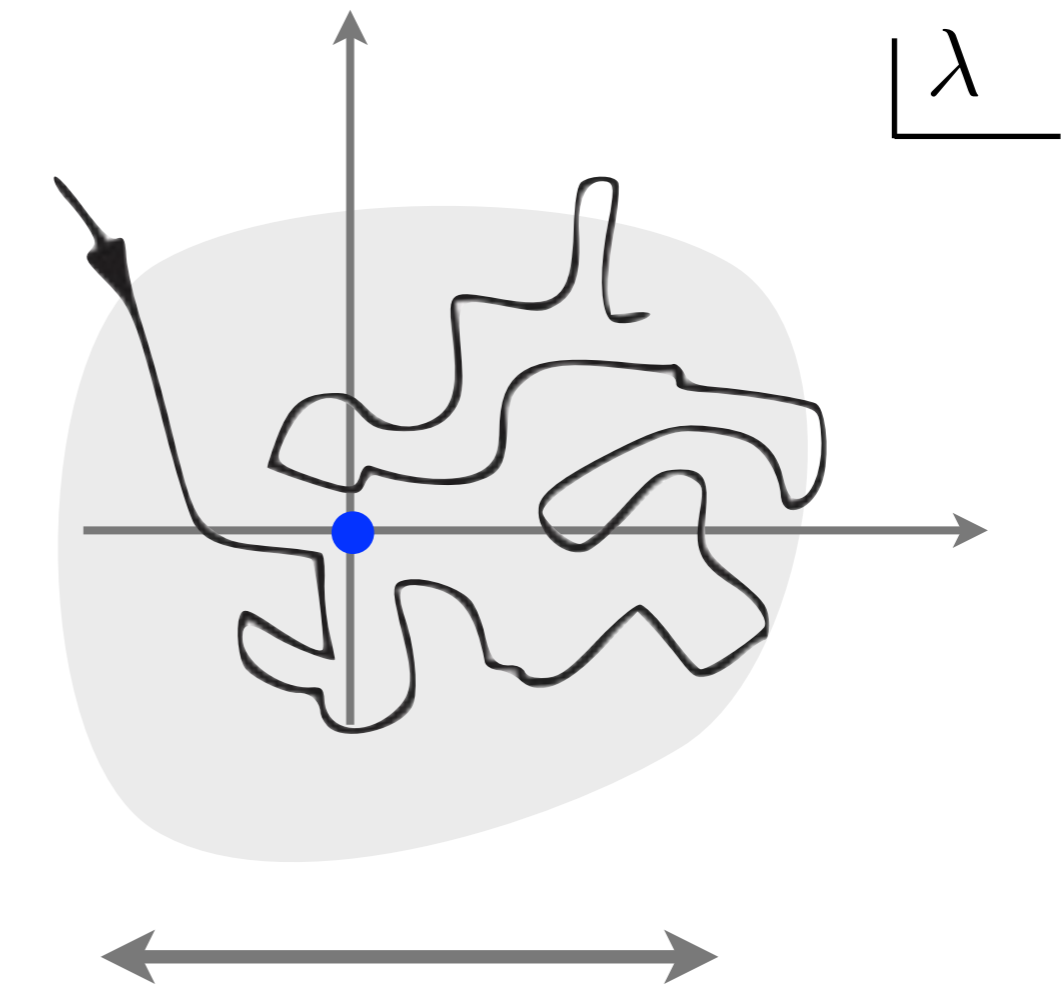
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Goal: study RG flow in a domain around a fixed point

- $\mathcal{L} = \mathcal{L}_{CFT} + \sum_I \lambda^I \mathcal{O}_I$

- CFT, not necessarily free



$\beta$ -function and  
anomalous dimensions  
are 'small'

RG flow



$$T \equiv T_{\mu}^{\mu}$$

Goal: systematically study  $\langle T(x_1) \dots T(x_n) \rangle$  including contact terms

Ex.:

$$T(x_1)T(x_n) = \beta^I \mathcal{O}_I(x_1) \beta^J \mathcal{O}_J(x_2) + \delta(x_1 - x_2)$$



Effective action for the sources of composite operators

$$\left. \begin{aligned}
 T_{\mu\nu} &\leftrightarrow g_{\mu\nu}(x) \\
 \mathcal{O}_I &\leftrightarrow \lambda_I(x) \\
 J_\mu^A &\leftrightarrow A_\mu^A(x) \\
 \mathcal{O}_a &\leftrightarrow m_a(x)
 \end{aligned} \right\} \equiv \mathcal{J}$$

$$W \equiv W[g_{\mu\nu}, \lambda^I, A_\mu^A, m_a, \dots]$$

$$T_{\mu\nu} = \frac{2}{\sqrt{g}} \frac{\delta}{\delta g^{\mu\nu}} W \qquad \mathcal{O}_I(x) = \frac{1}{\sqrt{g}} \frac{\delta}{\delta \lambda_I(x)} W \qquad \text{etc ...}$$



# Ward identity for Weyl symmetry: local RG equation

Osborn 1991

$$\Delta_\sigma W \equiv \int d^4x \left\{ \sigma(x) \left[ 2g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} - \beta^I \frac{\delta}{\delta \lambda^I(x)} - \rho_I^A \nabla_\mu \lambda^I \frac{\delta}{\delta A_\mu^A(x)} + \tilde{m}^a \frac{\delta}{\delta m^a(x)} \right] + \right. \\ \left. + \nabla_\mu \sigma(x) \left[ \theta_I^a \nabla^\mu \lambda^I \frac{\delta}{\delta m^a(x)} - S^A \frac{\delta}{\delta A_\mu^A(x)} \right] - \square \sigma(x) t^a \frac{\delta}{\delta m^a(x)} \right\} W = \\ = \int d^4x \mathcal{A}_\sigma(x)$$

- $2\tilde{m}^a = 2m^b (\delta_b^a + \gamma_b^a) + \frac{1}{3} \eta^a R + d_I^a \square \lambda^I + \frac{1}{2} \epsilon_{IJ}^a \nabla_\mu \lambda^I \nabla^\mu \lambda^J$
- $\mathcal{A}_\sigma(x) =$  all possible dim 4 covariant terms

# Redundancies and scheme choices

◆ source reparametrization  $\mathcal{J} \rightarrow f(\mathcal{J})$       Ex.:  $m^a \rightarrow m^a + f_I^a \square \lambda^I$   
 $\mathcal{O}_I \rightarrow \mathcal{O}_I - f_I^a \square \mathcal{O}_a$

◆ combine global symmetry  $\Delta_\sigma \rightarrow \Delta_\sigma + \Delta^{Flavor}$

◆ add finite local functional  $W[\mathcal{J}] \rightarrow W[\mathcal{J}] + F_{loc}[\mathcal{J}]$

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$$+ \nabla_\mu \sigma(x) \left[ \theta_I^a \nabla^\mu \lambda^I \frac{\delta}{\delta m^a(x)} - S^A \frac{\delta}{\delta A_\mu^A(x)} \right] - \square \sigma(x) t^a \frac{\delta}{\delta m^a(x)}$$

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$$\Delta_\sigma \equiv \sigma(x) \left[ 2g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} - B^I \frac{\delta}{\delta \lambda^I(x)} - P_I^A \nabla_\mu \lambda^I \frac{\delta}{\delta A_\mu^A(x)} + \tilde{M}^a \frac{\delta}{\delta m^a(x)} \right] +$$

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# Consistency conditions

$$[\Delta_{\sigma_1}, \Delta_{\sigma_2}] = 0$$

# I. On coefficients in $\Delta_\sigma$

$$\Delta_\sigma = \sigma(x) \left[ 2g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} - B^I \frac{\delta}{\delta \lambda^I(x)} - P_I^A \nabla_\mu \lambda^I \frac{\delta}{\delta A_\mu^A(x)} + \tilde{M}^a \frac{\delta}{\delta m^a(x)} \right]$$

- $\frac{\delta}{\delta A_\mu^A}$

$$B^I P_I^A = 0$$

$$T(x)T(y) = \dots + \delta^4(x-y) B^I P_I^A \partial^\mu J_{A\mu}$$

- $\frac{\delta}{\delta m^a}$

similar story

II. genuine WZ condition:  $\Delta_{\sigma_2} \int \mathcal{A}_{\sigma_1} - \Delta_{\sigma_1} \int \mathcal{A}_{\sigma_2} = 0$



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$$\begin{aligned}
\frac{1}{\sqrt{-g}} \sigma \mathcal{A} = & \sigma \left( \beta_a W^2 + \beta_b E_4 + \frac{1}{9} \beta_c R^2 \right) - \nabla^2 \sigma \left( \frac{1}{3} dR \right) \\
& + \sigma \left( \frac{1}{3} \chi_I^e \nabla_\mu \lambda^I \nabla^\mu R + \frac{1}{6} \chi_{IJ}^f \nabla_\mu \lambda^I \nabla^\mu \lambda^J R + \frac{1}{2} \chi_{IJ}^g G^{\mu\nu} \nabla_\mu \lambda^I \nabla_\nu \lambda^J \right. \\
& \quad \left. + \frac{1}{2} \chi_{IJ}^a \nabla^2 \lambda^I \nabla^2 \lambda^J + \frac{1}{2} \chi_{IJK}^b \nabla_\mu \lambda^I \nabla^\mu \lambda^J \nabla^2 \lambda^K + \frac{1}{4} \chi_{IJKL}^c \nabla_\mu \lambda^I \nabla^\mu \lambda^J \nabla_\nu \lambda^K \nabla^\nu \lambda^L \right) \\
& + \nabla^\mu \sigma \left( G_{\mu\nu} w_I \nabla^\nu \lambda^I + \frac{1}{3} R Y_I \nabla_\mu \lambda^I + S_{IJ} \nabla_\mu \lambda^I \nabla^2 \lambda^J + \frac{1}{2} T_{IJK} \nabla_\nu \lambda^I \nabla^\nu \lambda^J \nabla_\mu \lambda^K \right) \\
& - \nabla^2 \sigma \left( U_I \nabla^2 \lambda^I + \frac{1}{2} V_{IJ} \nabla_\nu \lambda^I \nabla^\nu \lambda^J \right) \\
& + \sigma \left( \frac{1}{2} p_{ab} \hat{m}^a \hat{m}^b + \hat{m}^a \left( \frac{1}{3} q_a R + r_{aI} \nabla^2 \lambda^I + \frac{1}{2} s_{aIJ} \nabla_\mu \lambda^I \nabla^\mu \lambda^J \right) \right) \\
& + \nabla_\mu \sigma \left( \hat{m}^a j_{aI} \nabla^\mu \lambda^I \right) - \nabla^2 \sigma \left( \hat{m}^a k_a \right) \\
& + \sigma \left( \frac{1}{4} \kappa_{AB} F_{\mu\nu}^A F^{B\mu\nu} + \frac{1}{2} \zeta_{AIJ} F_{\mu\nu}^A \nabla^\mu \lambda^I \nabla^\nu \lambda^J \right) + \nabla^\mu \sigma \left( \eta_{AI} F_{\mu\nu}^A \nabla^\nu \lambda^I \right) \tag{2.49}
\end{aligned}$$

10 differential constraints involving 25 tensorial coefficients

all but a few constraints can be “solved”

$$A = \underbrace{A_{R^2} + A_{W^2}}_{\text{manifestly consistent}} + \underbrace{A_{E_4} + A_{F^2}}_{\text{non-trivial}} + \underbrace{\delta_{Weyl} F_{local}}_{\text{trivial (scheme dep)}}$$

$$\frac{1}{\sqrt{g}}\sigma\mathcal{A}_{R^2} = \sigma\left(\frac{1}{2}b_{ab}\Pi^a\Pi^b + \frac{1}{2}b_{aIJ}\Pi^a\Pi^{IJ} + \frac{1}{4}b_{IJKL}\Pi^{IJ}\Pi^{KL}\right)$$

$$\Pi^{IJ} = \nabla_\mu\lambda^I\nabla^\mu\lambda^J - B^{(I}\Lambda^{J)} \quad \longrightarrow \quad \Lambda^J \propto \left(\square\lambda^J + \frac{1}{6}B^J R(g)\right)$$

$$\Pi^a = m^a - \frac{1}{6}t^a R(g) - \theta_I^a \Lambda^I$$

$$\delta_\sigma\Pi^{IJ} = \sigma(\dots) + \nabla_\mu\sigma(\dots) + \nabla^2\sigma(\dots)$$

absence of derivative terms: consistency is manifest

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# Non-trivial anomalies

$$\frac{1}{\sqrt{g}} \mathcal{A}_{E_4} = \sigma a E_4 + \sigma \frac{1}{2} \chi_{IJ} G_{\mu\nu} \nabla^\mu \lambda^I \nabla^\nu \lambda^J + \nabla^\mu \sigma w_I G_{\mu\nu} \nabla^\nu \lambda^I + \dots \dots$$

$$\frac{1}{\sqrt{g}} \mathcal{A}_{F^2} = \sigma \frac{1}{4} \kappa_{AB} F_{\mu\nu}^A F^{B\mu\nu} + \sigma \frac{1}{2} \zeta_{AIJ} F_{\mu\nu}^A \nabla^\mu \lambda^I \nabla^\nu \lambda^J + \nabla^\mu \sigma \eta_{AI} F_{\mu\nu}^A \nabla^\nu \lambda^I +$$

$$\mathcal{L}[w_I] = -8\partial_I a + \chi_{IJ} B^J$$

$$\mathcal{L}[\eta_{AI}] = \kappa_{AB} P_I^B + \zeta_{AIJ} B^J - \chi_{IJ} (T_A \lambda)^J$$

$$0 = \eta_{AI} B^I + w_I (T_A \lambda)^I$$

# Gradient flow equation

$$\tilde{a} \equiv a + \frac{1}{8} w_I B^I$$

$$\delta \partial_I \tilde{a} = (\chi_{IJ} + \partial_I w_J - \partial_J w_I + P_I^A \eta_{AJ}) B^J$$

Jack, Osborn 2013

- non-trivial constraint on perturbative expansion of  $B^I$
- at fixed points  $\tilde{a}(\lambda)$  is stationary
- along line of fixed points  $\tilde{a} = a = \text{const}$

$$\delta \mu \frac{d\tilde{a}}{d\mu} \equiv \delta B^I \partial_I \tilde{a} = \chi_{IJ} B^I B^J$$

$$\langle \mathcal{O}_I(x) \mathcal{O}_J(0) \rangle = \frac{\chi_{IJ}}{x^8} + O(\partial B, B) \quad \text{by unitarity} \quad \chi_{IJ} > 0$$

$$\delta\mu \frac{d\tilde{a}}{d\mu} = \chi_{IJ} B^I B^J \geq 0$$

$$\tilde{a}(\lambda(\mu_1)) - \tilde{a}(\lambda(\mu_2)) = \frac{1}{8} \int_{\mu_1}^{\mu_2} \chi_{IJ} B^I B^J d \ln \mu$$

since  $\tilde{a}$  is finite the only possible asymptotics must satisfy  $B^I = 0$

CFT, free or interacting, is the only possible asymptotics



# scheme dependence

$$W \rightarrow W + \frac{c_{IJ}}{2} \sqrt{g} G^{\mu\nu} \nabla_{\mu} \lambda^I \nabla_{\nu} \lambda^J$$



$$\tilde{a} \rightarrow \tilde{a} + B^I B^J c_{IJ}$$

$$\chi_{IJ}^g \rightarrow \chi_{IJ}^g + \mathcal{L}(c_{IJ})$$

It would be desirable to have a statement based on physical quantities

The other perspective:  
the dilaton effective action

$$W[\Omega]$$

- dilaton background  $g_{\mu\nu} = \Omega^2(x)\eta_{\mu\nu}$   $\nabla_\mu \lambda^I = m^a = A_\nu^A = 0$

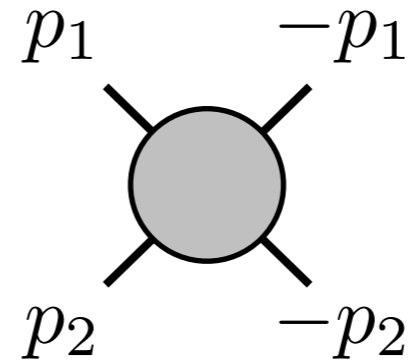
- extra UV divergences on curved background :  $\mu \frac{d}{d\mu} W[\Omega] \neq 0$   
 Ex.:  $R(g)^2$   $R(g)\phi^2$

- ‘on-shell’ dilaton :  $R(\Omega^2\eta_{\mu\nu}) = 0 \iff \square\Omega = 0$

$$\mu \frac{d}{d\mu} W[\Omega] \Big|_{\square\Omega=0} = 0$$

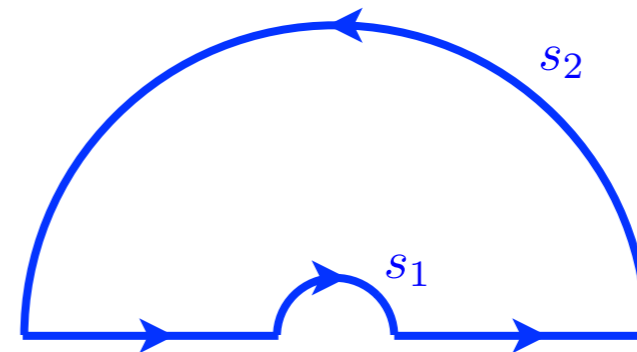
‘on-shell’ amplitudes  
are finite !

forward amplitude



$$\mathcal{M}(s) = \frac{\delta}{\delta\Omega(p_1)} \frac{\delta}{\delta\Omega(p_2)} \frac{\delta}{\delta\Omega(-p_1)} \frac{\delta}{\delta\Omega(-p_2)} W \Big|_{\Omega=1} = -8\alpha(\lambda(\sqrt{s})) s^2$$

$$\bar{\alpha}(s) \equiv \frac{1}{\pi} \int_0^\pi d\theta \alpha(se^{i\theta})$$



$$s \frac{d\bar{\alpha}}{ds} = -\frac{2}{\pi} \text{Im} \alpha(s) \geq 0$$

$$\bar{\alpha}(s) \text{ finite} \quad \rightarrow \quad \lim_{s \rightarrow \pm\infty} \text{Im} \alpha(s) = 0$$

$$\mathcal{J}_0(\Omega) \equiv \begin{cases} g_{\mu\nu} = \Omega^2(x)\eta_{\mu\nu}, & \lambda^I = \lambda^I(\mu) \\ A_\nu^A = m^a = 0 \end{cases}$$

$$\mathcal{J}_1(\Omega) \equiv e^{-\Delta_{\ln \Omega}} \mathcal{J}_0(\Omega) = \begin{cases} g_{\mu\nu} = \eta_{\mu\nu} & \lambda^I = \lambda^I(\Omega\mu) \\ A_\mu^A = 0 & m^a = m^a[\Omega] \end{cases}$$

$$W[\Omega] = \underbrace{W[\mathcal{J}_0] - W[\mathcal{J}_1]}_{\text{local}} + W[\mathcal{J}_1]$$

local

effectively generated by

$$\mathcal{L}_{\text{eff}} = \lambda^I(\Omega\mu)\mathcal{O}_I + m^a[\Omega]\mathcal{O}_a$$

$$W[\Omega] \Big|_{\text{on-shell}} = W_{\text{loc}} + W_{\text{non-loc}}$$

$$W[\Omega] \Big|_{\text{on-shell}} = W_{\text{loc}} + W_{\text{non-loc}} = [\tilde{a}(\lambda(\Omega\mu)) + O(B^2)] (\partial \ln \Omega)^4$$

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$$\mathcal{L}_{eff} = \lambda^I(\Omega\mu) \mathcal{O}_I + \frac{1}{2} B^I(\Omega\mu) \theta_I^a(\Omega\mu) (\square \ln \Omega) \mathcal{O}_a$$



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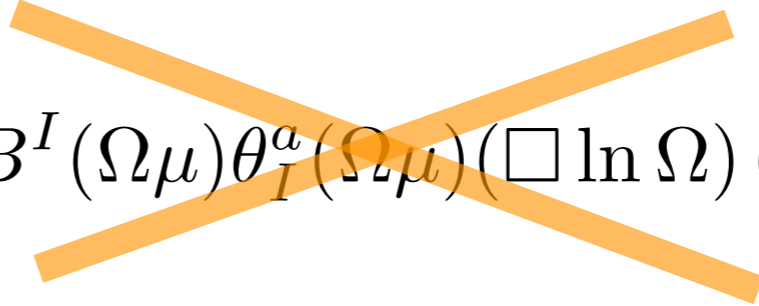
scheme choice  $\theta_I^a = 0$

$$\begin{array}{l} \uparrow \\ = [\tilde{a}(\lambda(\Omega\mu)) + O(B^2)] (\partial \ln \Omega)^4 \end{array}$$

$$W[\Omega] \Big|_{\text{on-shell}} = W_{\text{loc}} + W_{\text{non-loc}}$$



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scheme choice  $\theta_I^a = 0$



(Jujitsu)



$$\bar{\alpha}(s) = \tilde{\alpha}(s) + O(B^2)$$

ensures a scheme choice exists where

$$\bar{\alpha}(s) = \tilde{\alpha}(s)$$



$$\begin{aligned} -\text{Im } \alpha(s) &= \frac{1}{s^2} \sum_{\Psi} |\langle \Psi | B^I (\delta_I^J + \partial_I B^J) \mathcal{O}_J(p_1 + p_2) + B^I B^J \mathcal{O}_I(p_1) \mathcal{O}_J(p_2) | 0 \rangle|^2 \\ &= B^I B^J G_{IJ} \end{aligned}$$

$$G_{IJ} = \frac{1}{s^2} \sum_{\Psi} \langle 0 | \mathcal{O}_I + \partial_I B^L \mathcal{O}_L + B^L \mathcal{O}_I \mathcal{O}_L | \Psi \rangle \langle \Psi | \mathcal{O}_J + \partial_J B^K \mathcal{O}_K + B^K \mathcal{O}_J \mathcal{O}_K | 0 \rangle \geq 0$$

$$s \frac{d\bar{\alpha}(s)}{ds} = \frac{2}{\pi} G_{IJ} B^I B^J$$

strictly  $> 0$   
at  
 $B, \partial B \ll 1$

$G_{IJ}$  is the 4D analogue of Zamolodchikov metric in 2D

but 2D case simpler  
(just 2-point functions)

$$G_{IJ} = \frac{1}{p^2} \sum_{\Psi} \langle 0 | \mathcal{O}_I(p) | \Psi \rangle \langle \Psi | \mathcal{O}_J(p) | 0 \rangle$$

without dilaton as guideline harder to figure things out in 4D

# Summary

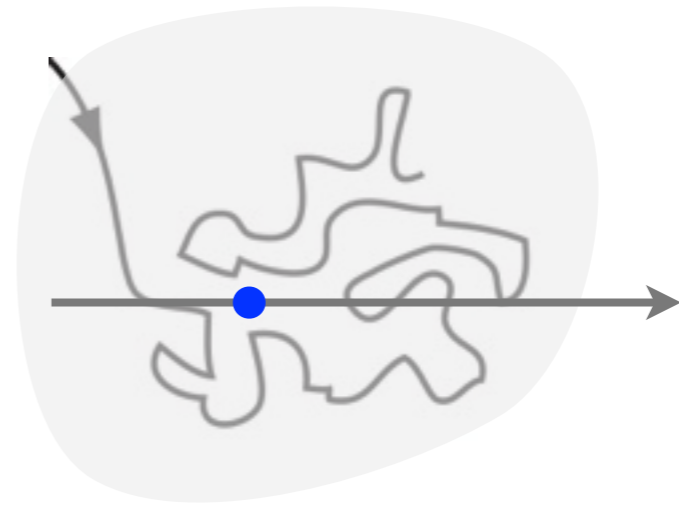
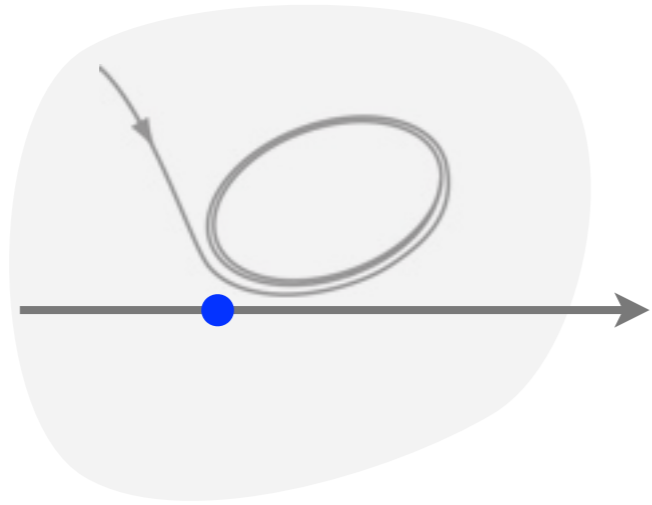
## ▲ Weyl anomaly off-criticality

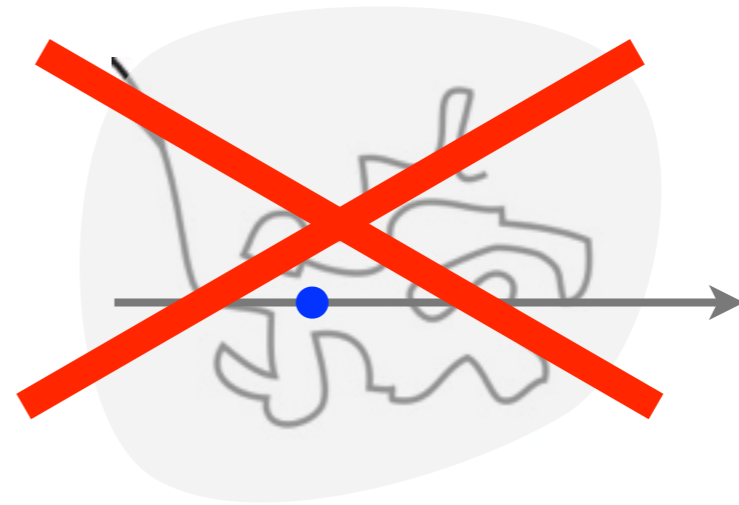
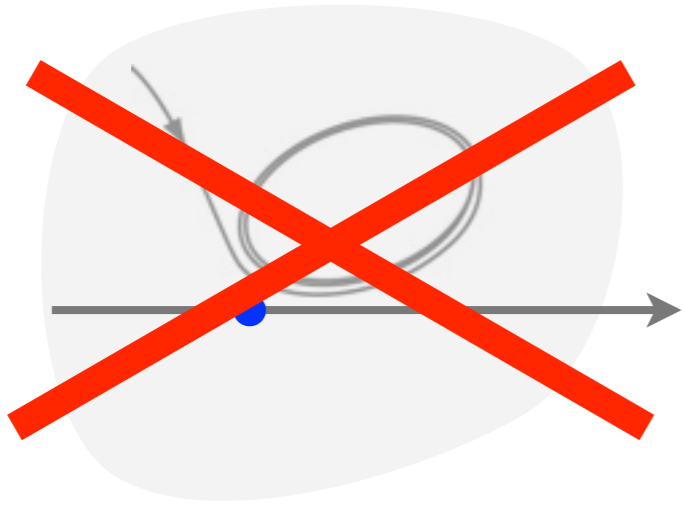
$$A = \underbrace{\mathcal{A}_{R^2} + \mathcal{A}_{W^2}}_{\text{manifestly consistent}} + \overbrace{\mathcal{A}_{E_4} + \mathcal{A}_{F^2}}^{\text{non-trivial}} + \underbrace{\delta_{Weyl} F_{local}}_{\text{trivial}}$$

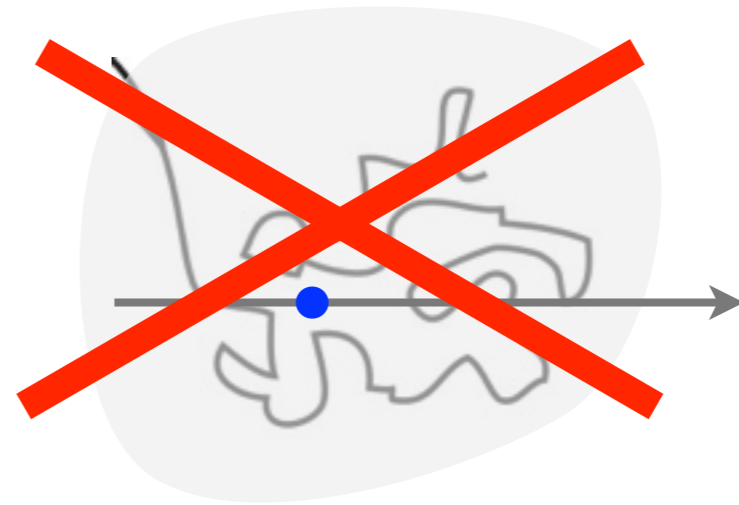
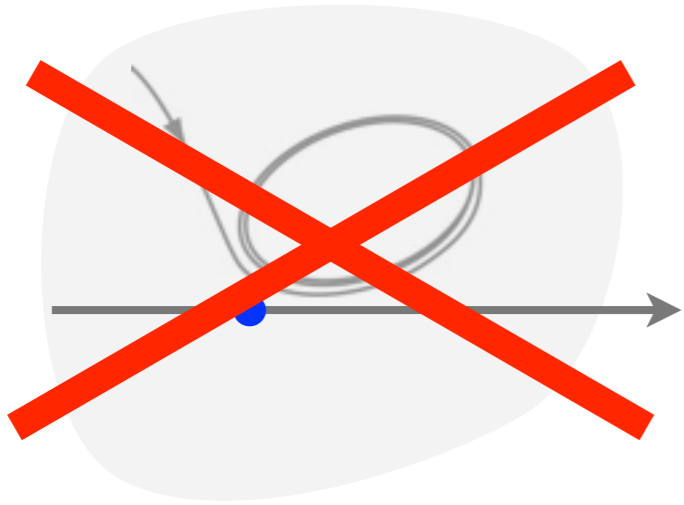
## ▲ 4D analogue of Zamolodchikov c-theorem

$$s \frac{d\bar{\alpha}(s)}{ds} = \frac{2}{\pi} G_{IJ} B^I B^J$$

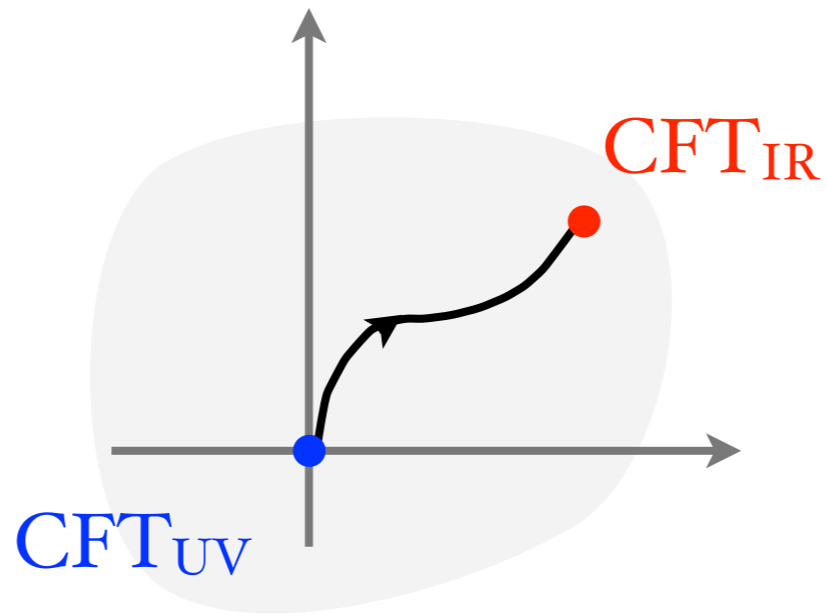
$$G_{IJ} = \frac{1}{s^2} \sum_{\Psi} \langle 0 | \mathcal{O}_I + \partial_I B^L \mathcal{O}_L + B^L \mathcal{O}_I \mathcal{O}_L | \Psi \rangle \langle \Psi | \mathcal{O}_J + \partial_J B^K \mathcal{O}_K + B^K \mathcal{O}_J \mathcal{O}_K | 0 \rangle \geq 0$$







Only option





## More on the local RG equation:

- Any lessons hidden in the remaining consistency condition?
- What about the special case of supersymmetry?
- What about flows around CFT that break parity?