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# Entropic constraints on causal structures

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joint with Mirjam Weilenmann

arXiv:1709.08988 (review) and arXiv:1605.02078

# Why are we interested in causal structures?

- Attempt to explain how correlations come about

Observe  $P_{ABCD\dots}$



Why do we get these correlations?

What caused these things to be correlated?

# What constitutes explanation?

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- Reichenbach's principle:

Observe two correlated things, i.e.  $P_{AB} \neq P_A P_B$

$$A \rightarrow B$$

$$B \rightarrow A$$

$$A \leftarrow \Lambda \rightarrow B$$

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$$P_{AB\Lambda} = P_\Lambda P_{A|\Lambda} P_{B|\Lambda}$$

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trivial

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non-trivial (unless  $\Lambda$  unseen)

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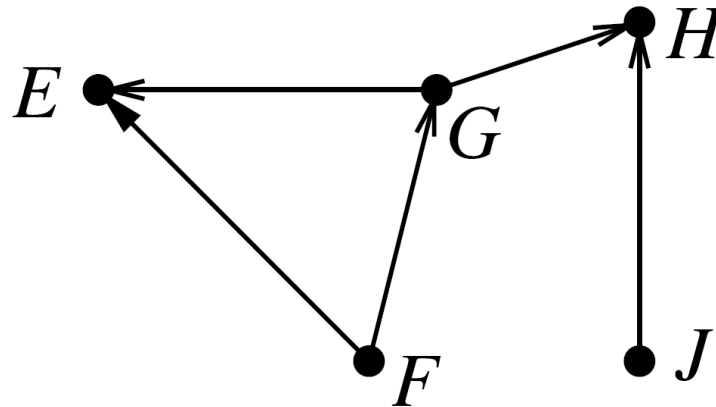
$\Lambda$  unseen

$$A \leftarrow \Lambda \rightarrow B$$

$$P_{AB} = \sum_{\Lambda} P_{\Lambda} P_{A|\Lambda} P_{B|\Lambda}$$

trivial

- Directed Acyclic Graph



- Encodes: each variable is conditionally independent of its non-descendants given parents e.g.  $P_{G|FJ} = P_{G|F}$ .

- Here:  $P_{EFGHJ} = P_F P_J P_{G|F} P_{E|GF} P_{H|GJ}$



# Example: Bipartite Bell scenario

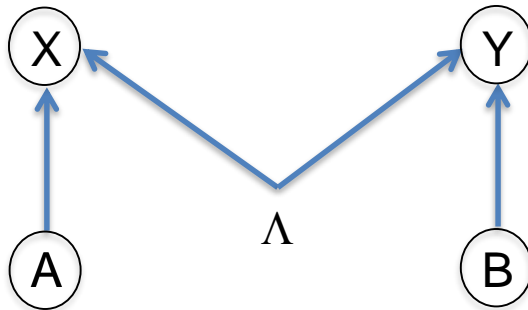
- Two space-like separated measurements



- Observe X and Y correlated
- By Reichenbach's principle, something missing in the causal structure

# Example: Bipartite Bell scenario

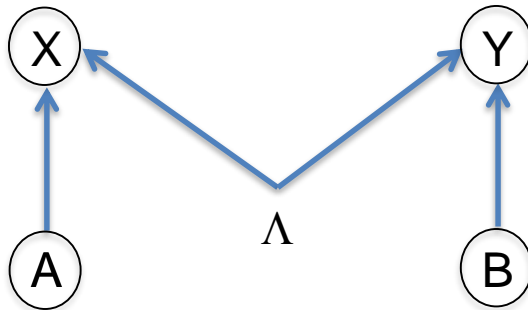
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- Observe  $X$  and  $Y$  correlated
- Hypothesise the existence of additional common cause  $\Lambda$ .

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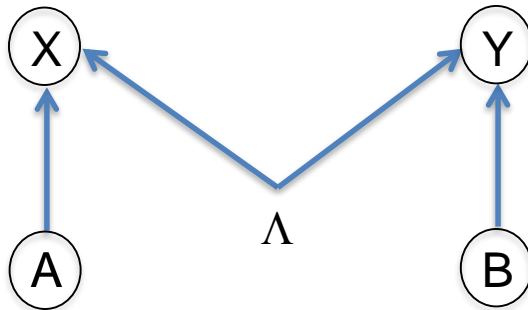
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- Observe  $X$  and  $Y$  correlated
- Hypothesise the existence of  $\Lambda$ .
- This diagram encodes local causality and free choice.

# Example: Bipartite Bell scenario

- Two space-like separated measurements

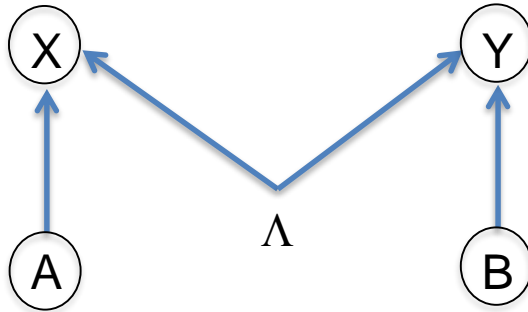


- This diagram encodes local causality and free choice.

- $$P_{ABXY} = \sum_{\Lambda} P_{\Lambda} P_A P_B P_{X|A\Lambda} P_{Y|B\Lambda}$$

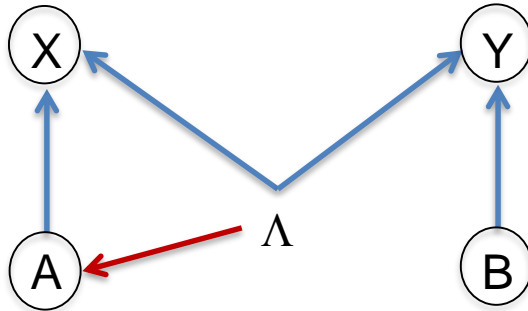
# Bell's theorem

- There exist quantum correlations that are incompatible with this causal structure



# Bell's theorem

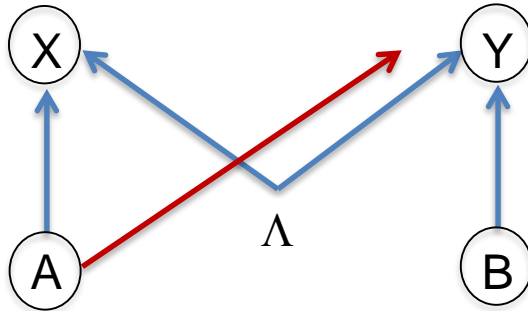
- There exist quantum correlations that are incompatible with this causal structure:



- Options
  - Reject free choice

# Bell's theorem

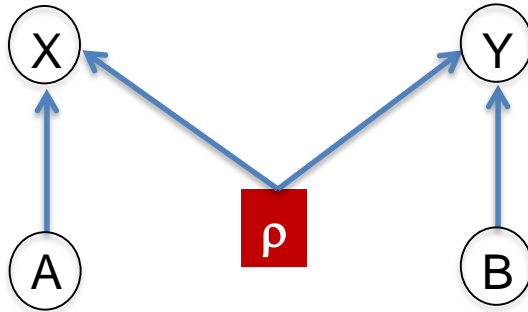
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  - Reject locality

# Bell's theorem

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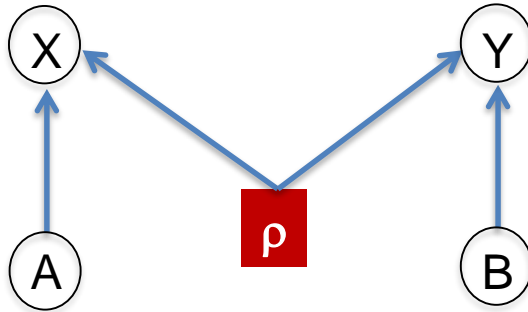
## Options

- Reject free choice
- Reject locality
- Extend the notion of cause



# Bell's theorem

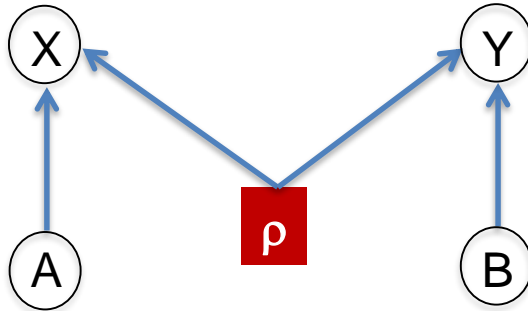
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Fine-tuned explanation [Wood Spekkens]



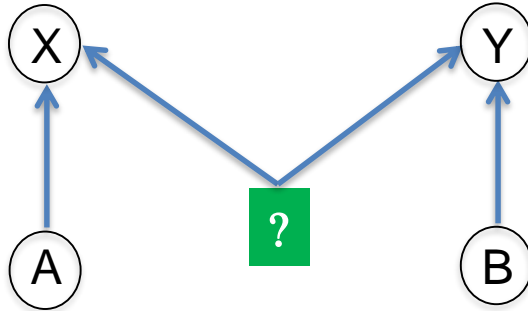
- Think of the “usual” quantum explanation of the correlations as a quantum causal explanation.
- i.e., correlations arise because an entangled state is shared by the source.

$$P_{ABXY} = P_A P_B \text{tr}(\rho(E^{a,x} \otimes F^{b,y}))$$

POVMs



# Post-Quantum cause



- Correlations arise because a resource is shared by the source (e.g. a no-signalling distribution).

$$P_{ABXY} = P_A P_B R_{XY|AB}$$

- Natural questions:
  - Given some correlations, which causal structures are compatible?
  - Which casual structures have a separation between different theories?
  - What are good ways to detect the separation?
  - In a given theory, how can different causal structures be separated?

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# Application: cryptography

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- Cryptographic protocols involve exchanges of information and hence always take place within a causal structure.
- Finding good ways to detect quantum-classical separations is crucial for device-independent cryptography.

# Detecting the separation

- In the bipartite Bell scenario this is relatively well-understood, at least for small alphabet sizes (note that the number of Bell inequalities grows very rapidly)

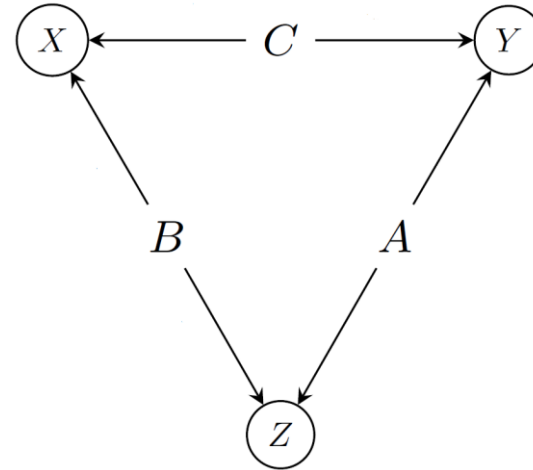
$$P_{XY|AB} = \sum_{\Lambda} P_{\Lambda} P_{X|A\Lambda} P_{Y|B\Lambda} \text{ or } \text{tr}(\rho(E^{a,x} \otimes F^{b,y}))$$

- Violate Bell inequality  $\rightarrow$  non-classical
- Semi-definite hierarchy  $\rightarrow$  non-quantum

# Other causal structures – examples

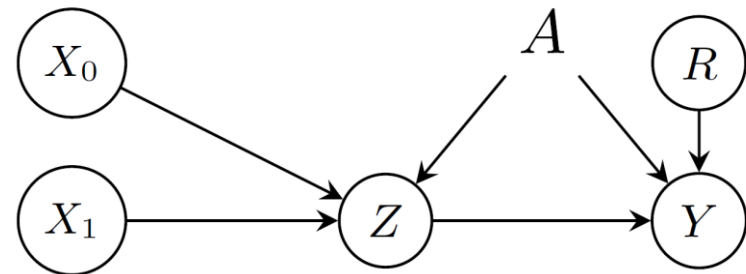
## Triangle

$$P_{XYZ} = \sum_{ABC} P_A P_B P_C P_{X|BC} P_{Y|AC} P_{Z|AB}$$



## Information causality

$$P_{X_0 X_1 Z Y R} = \sum_A P_A P_{X_0} P_{X_1} P_R P_{Z|A X_0 X_1} P_{Y|A R Z}$$





- Take the given correlations and construct a vector of all the joint entropies:

$$h(P_{ABC}) := (H(A), H(B), H(C), H(AB), \dots, H(ABC))$$

- Ask: which entropy vectors are compatible with a causal structure? [Fritz, Chaves, Majenz, Gross, ...]

$$h(P_{ABC}) := (H(A), H(B), H(C), H(AB), \dots, H(ABC))$$

## Why might this help?

- Useful way to distinguish different causal structures
- Causal constraints, which are non linear for probabilities, become linear
  - E.g.  $P_{X|AB\Lambda} = P_{X|A\Lambda}$  becomes  $I(X: B|A\Lambda) = 0$
- For many causal structures [in particular all classical ones], the set of achievable entropy vectors is convex.

i.e.  $\{v: \exists P \text{ valid for the causal structure with } h(P) = v\}$ .

$$h(P_{ABC}) := (H(A), H(B), H(C), H(AB), \dots, H(ABC))$$

## • Shannon constraints:

- Strong subadditivity ( $H(A|B) \geq H(A|BC)$ )
- Positivity ( $H(A) \geq 0$ )
- Monotonicity ( $H(A|B) \geq 0$ )

## • Non-Shannon constraints:

- Additional relations valid for all entropy vectors that don't follow from the above
- Not well understood

## • Causal constraints

$$h(\rho_{ABC}) := (H(A), H(B), H(C), H(AB), \dots, H(ABC))$$

## • vN constraints:

- Strong subadditivity ( $H(A|B) \geq H(A|BC)$ )
- Positivity ( $H(A) \geq 0$ )
- Weak monotonicity ( $H(A|B) + H(A|C) \geq 0$ )

## • Non-vN constraints:

- Additional relations valid for all quantum entropy vectors that don't follow from the above
- Conjectured, but none are proven

## • Causal constraints

# Marginalizing

- We apply the constraints to the causal structure with all variables, but want constraints only for the observed (classical) variables.
- These can be derived using Fourier-Motzkin elimination [cf. Chaves et al.]

Constraints on  
all variables



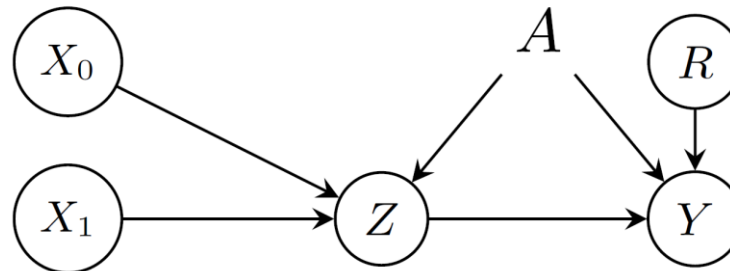
Constraints on  
observed variables

# Overall algorithm

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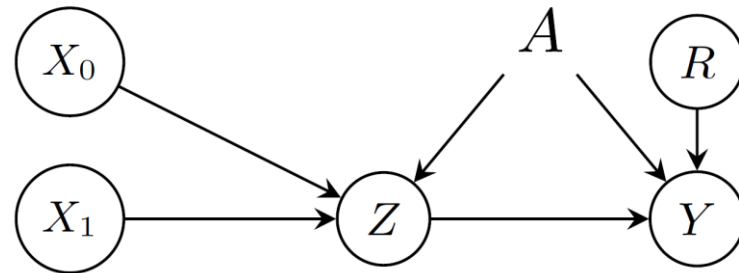
- Input: causal structure
- Output: set of linear entropic constraints that are necessary for this causal structure
- [We also have another technique for finding sufficient conditions.]

- Sometimes we can consider effective causal structures after post-selection.  
[BraunsteinCaves]
- Post-select on observed classical nodes.
- Example:

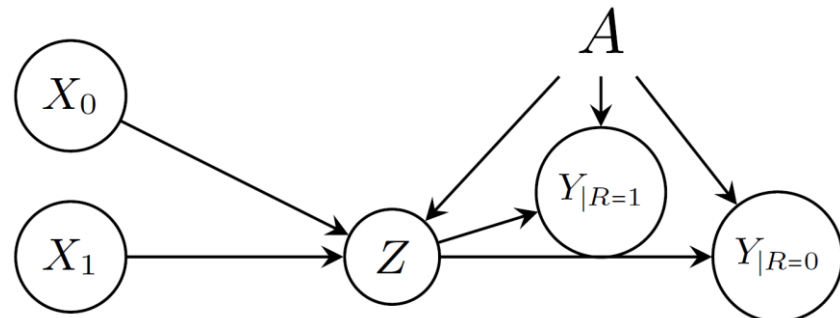


# Post-selection – example

## Information causality

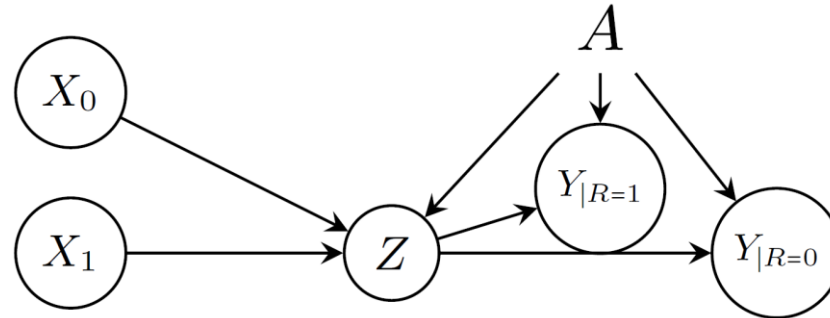


## Post-select on binary R





# Post-selection – example



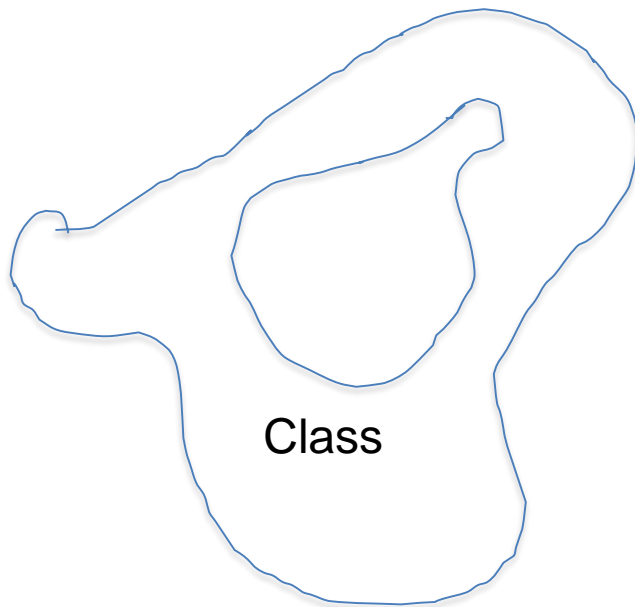
- Theory obeys information causality if

$$I(X_0: Y_{|R=0}) + I(X_1: Y_{|R=1}) \leq H(Z)$$

for all pre-shared resources allowed by the theory.

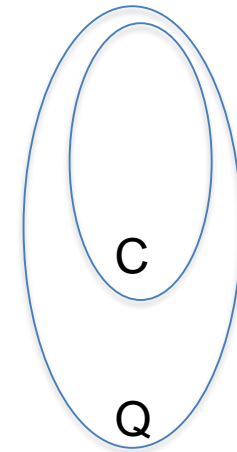
- The fact that this follows for classical and quantum theory follows immediately from the techniques I have discussed (as do lots of other inequalities for this causal structure).

# Entropy vectors

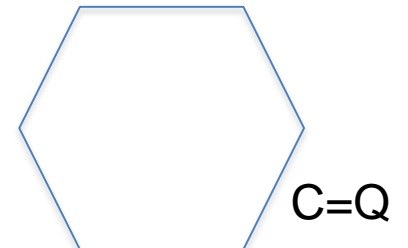


Quantum

Take entropies

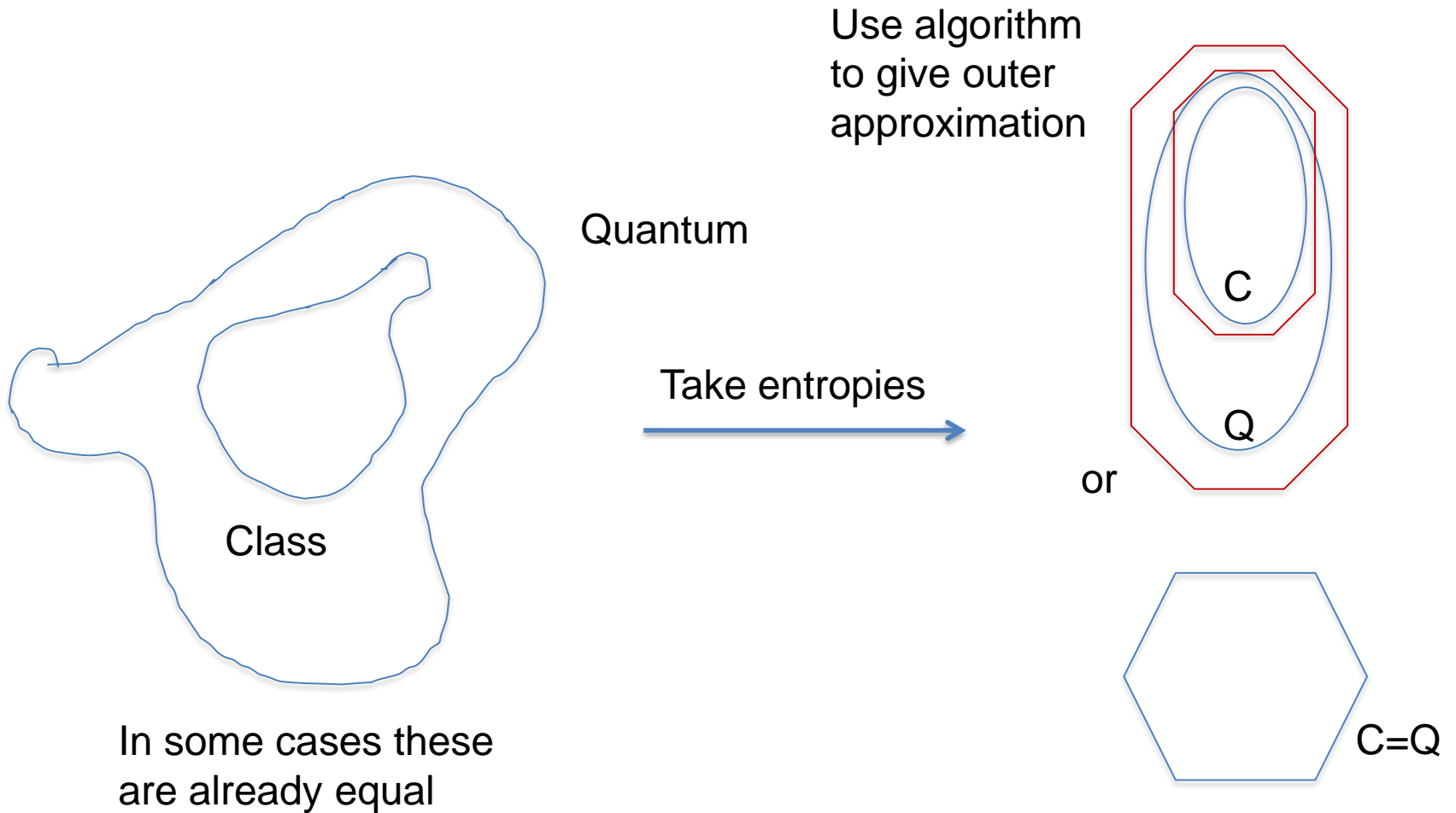


or

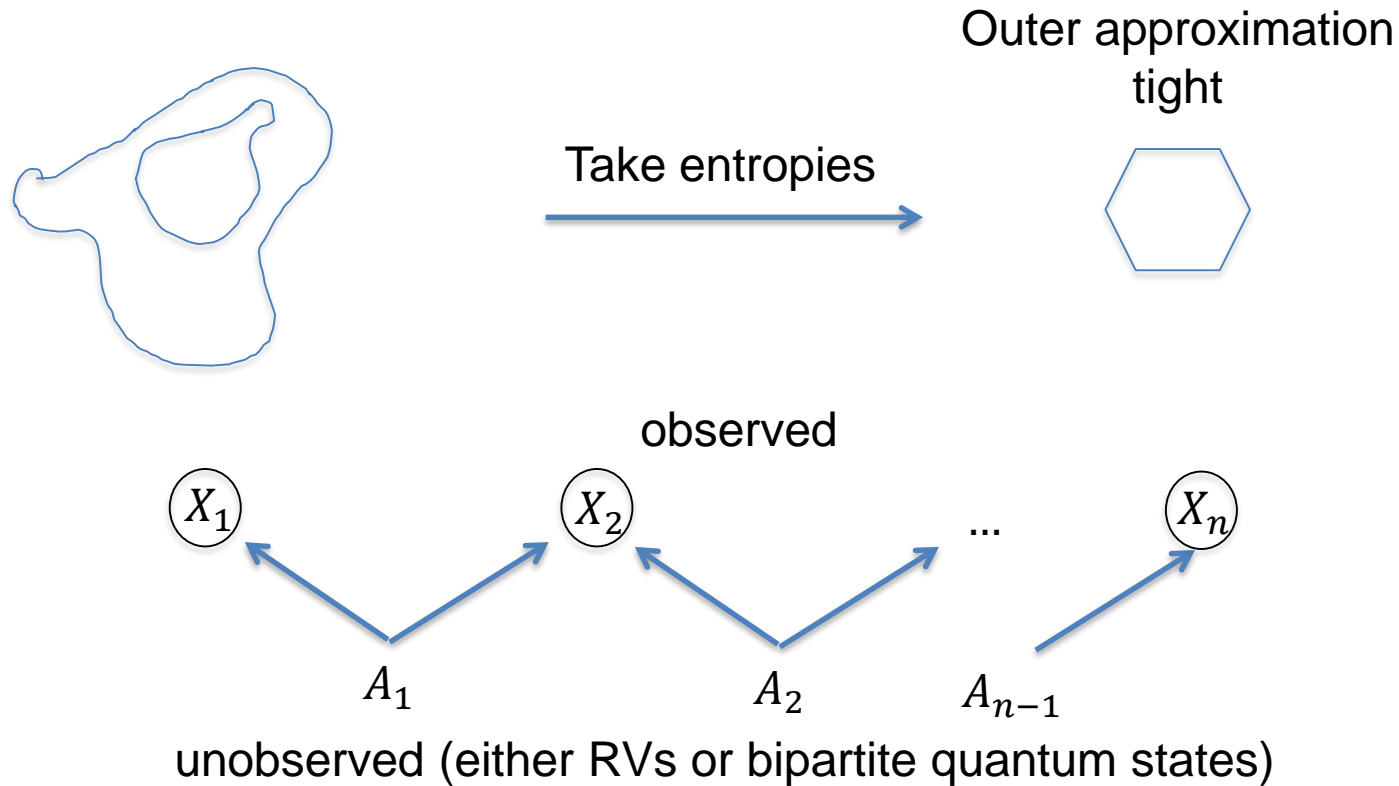


In some cases these  
are already equal

# Entropy vectors



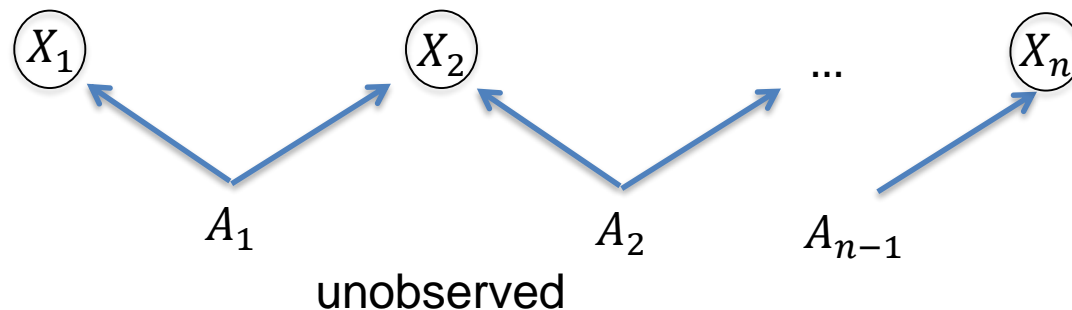
# Example: Line-like causal structures (no post-selection)



arXiv:1603.02553

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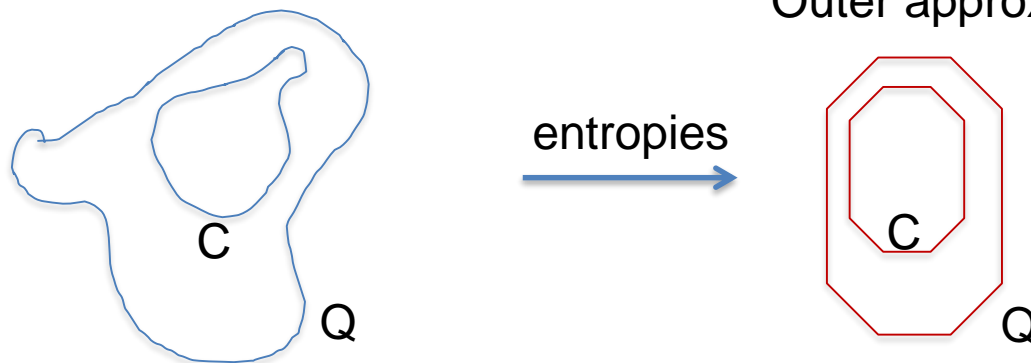
- In other words, for all members of this family (i.e. for all  $n$ ), any entropy vector that can be obtained using (hidden) quantum states can be obtained classically
- This holds, in spite of the existence of non-local correlations for all  $n \geq 4$ .



# Other cases

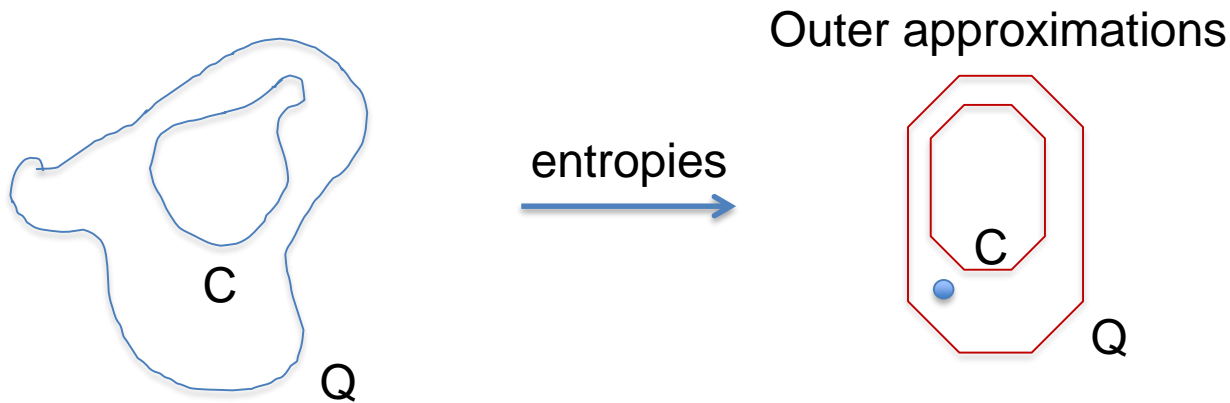
There is separation at level of correlations

- Was this just bad luck?
- We studied other cases taking “interesting” examples from Henson, Lal, Pusey.
- Some cases were as previously (no entropic separation). Others had a separation in outer approximations

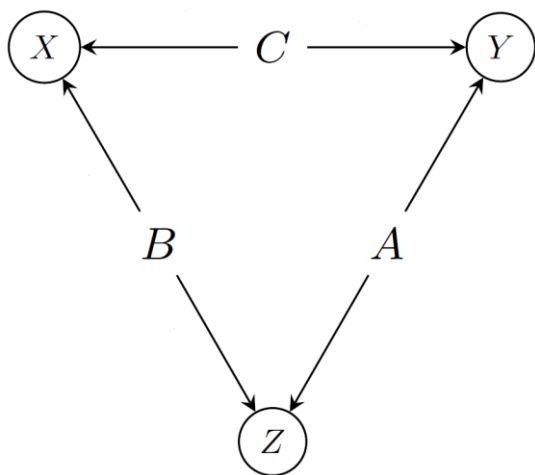


# Other cases

- However, we don't know whether this is a real separation: we weren't able to find distributions in the gap.



## Triangle causal structure, simplest non-trivial causal structure



- Derived a new tighter outer approximation in the classical case using non-Shannon inequalities
- Known outer approximation in the quantum case is less constrained
- Known non-classical correlations do not lie outside the classical entropic boundary
- Post-selection not possible here
- We also have an inner approximation for this case (  $-I(X:Y:Z) \geq 0$  where  $I(X:Y:Z) := I(X:Y) - I(X:Y|Z)$  )



# Summary of entropic techniques

Case	Entropic C-Q sep.	Sep. in best known approx.	Example
No post-selection	Sometimes no	No	Line-like
	Sometimes unknown	Yes	Triangle
Post-selection	Usually	Yes	Info. causality

- If non-Shannon inequalities are useful, we get a separation in the approximations

# Open questions

- Does taking entropy always destroy classical-quantum separation at the level of observed variables (i.e. without post-selection)?
- Are there non-vN inequalities? Do any non-Shannon inequalities fail for vN entropy?
- What other methods can distinguish quantum and classical causal structures?
  - Generic, reasonably tight, simple to compute
  - Note that there are other proposals including
    - Polynomial Bell inequalities [Rosset et al]
    - Techniques via algebraic geometry [Lee & Spekkens]
    - Inflation technique [Wolfe et al]