

# Information Loss in Quantum Field Theory

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Frontiers of Quantum Information Physics  
Kalvi Institute for Theoretical Physics, Santa Barbara, CA, USA, October 2017

# Outline

- 1 Motivation and Background
- 2 A free field model
- 3 Stochastic Interactions
- 4 Discussion

# Black Hole Information Loss

- Nothing can escape from the interior of a black hole event horizon.
- Semi-classical calculations predict that black holes evaporate through radiation.
- Is this process unitary?
  - YES
    - Information that falls into a black hole is captured by the event horizon and is slowly emitted as Hawking radiation.
    - From a quantum gravity perspective, black hole evaporation is a unitary process.
  - NO
    - This means that information is lost.
    - This is the case for the original process of black hole evaporation.
- Current trend is to assume evaporation is unitary.
- I'm going to assume it's not.
  - What assumptions are required for alternative to unitarity.
  - Models to guide experiments in quantum gravitational effects.

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    - Information from infalling matter somehow escaped the event horizon.
    - Need to modify space-time geometry.
    - Protect unitarity by holography, AdS-CFT correspondence.
  - NO
    - This breaks QM as we know it.
    - How do we prevent such non-unitary processes from trickling down to every day QM?
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Setting: Lindblad equation at a fundamental level

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# No-go results

## BPS (and others)

Local, Lorentz-covariant Lindblad field theory cannot preserve energy.

- Energy conservation: not even defined!
  - Hamiltonian = time translation generator, but not here!
  - The Hamiltonian is not uniquely defined: add jump operator  
 $L = I + iA \iff H' = H + A.$
  - The vacuum is unstable, particle creation at infinite rate.
- Locality: do we need it?
  - Locality is how we enforce causality in (unitary) QFT.
  - The relation between locality and causality breaks down in irreversible theories.
  - E.g. relaxation into singlet state is non-local but does not enable signaling (PR box).
- See also Beckman, Gottesman, Nielsen, and Preskill *Phys. Rev. A* 2001; Oppenheim & Reznik arXiv:0902.2361 2009.

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## BPS (and others)

Local, Lorentz-covariant Lindblad field theory cannot preserve energy.

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## Our goal

Construct a well defined non-unitary QFT that does not conflict with experiments.

- I do not mind breaking theoretical constructs that build on the premise that QM is unitary.
  - Noether's theorem.
  - Cluster decomposition, etc.
- I do mind breaking these relations under well tested conditions: **recover ordinary QFT at low energy and/or flat space.**
  - Theory in which non-unitary terms are irrelevant under RG flow?
  - A fault-tolerant quantum computer provides an example of how, in principle, unitary evolution can emerge as a 'low energy' limit of an intrinsically noisy theory.

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# Outline

- 1 Motivation and Background
- 2 A free field model**
- 3 Stochastic Interactions
- 4 Discussion



# Lorentz covariance

- In ordinary field theory,  $H$  transforms like the 0-th component of a 4-vector  $T^\mu = (H, \mathbf{P})$ , so evolution is covariant:
  - For a 4-vector  $b_\mu$ , define CPTP map  $\mathcal{E}_b(\rho) = e^{-ib_\mu T^\mu} \rho e^{ib_\mu T^\mu}$ .
  - For Lorentz transform  $\Lambda$ , we have  $U_\Lambda \mathcal{E}_b(\rho) U_\Lambda^\dagger = \mathcal{E}_{\Lambda^{-1}b}(U_\Lambda \rho U_\Lambda^\dagger)$ .
- Generalizing, we need a superoperator  $L$  that transforms like the 0-th component of a 4-vector.
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# A model

- Start with a free scalar theory  $H = \frac{1}{2} \int \frac{d^3p}{(2\pi)^3} (\pi^2 + m^2\phi^2 + (\nabla\phi)^2)$ .
- Consider **positive frequency** component of field operators  $\pi^+(x)$ .
- Use them as jump operators

$$\dot{\rho} = -i[H, \rho] + \gamma \int d^3x [2\pi^- \rho \pi^+ - \{\pi^+ \pi^-, \rho\}]$$

- In momentum space,

$$\dot{\rho} = \int \frac{d^3p}{(2\pi)^3} \omega_p \left( \gamma a_p \rho a_p^\dagger - \frac{\gamma}{2} \{a_p^\dagger a_p, \rho\} - i[a_p^\dagger a_p, \rho] \right)$$

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# Energy conservation

- Vacuum is stable, in fact, it is the fixed point:  $\mathcal{E}(\Omega) = \Omega$ 
  - All (most?) previous models had only considered **Hermitian** jump operators, in which case the resulting CPTP map is unital:  $\mathcal{E}(I) = I$ .
  - Previous no-go results  $\Leftrightarrow$  unital CPTP map have infinite temperature fixed point, hence no stable vacuum.
- Decay rate of mode  $p$  is  $\gamma\omega_p$ .
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# Locality and Causality

- This is NOT a local Lindblad equation,  $\pi^+(x)$  is not a local operator.
  - $[\pi^+(x), \phi(y)]$  does not vanish when  $x \neq y$ , but decays exponentially with range  $1/m$ .
  - $[\mathcal{E}_t^\dagger(\phi(x)), \phi(y)]$  has an exponential tail outside the lightcone.
- Causality is violated on a microscopic length scale  $1/m$ .
  - Motivation to consider heavy field, e.g.  $m = m_p$ ?
  - Information loss on other species would be highly suppressed at low energy since this heavy field is far off shell.

$$\mathcal{L} = \mathcal{H}_{\text{Ordinary fields}} + \mathcal{L}_{\text{Heavy, damped field}} + \mathcal{H}_{\text{Hybridization}}$$

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# Interactions

- Is the theory stable when we add interactions, e.g.  $\phi^4$ ?
  - In general, Lindblad QFT can be renormalized, see e.g. Avinash, Jana, Loganayagam, and Rudra 2017 (based on DP&Preskill).
  - The action of our model does not quite fit the formalism

$$\int d^4x \left( \partial_\mu \phi_c \partial^\mu \phi_q + i\gamma(m^2 \phi_q^2 + \dot{\phi}_q^2 + (\nabla \phi_q)^2) - V(\phi_L) + V(\phi_R) \right),$$

where  $\phi_q = (\phi_L - \phi_R)/\sqrt{2}$  and  $\phi_c = (\phi_L + \phi_R)/\sqrt{2}$ .

- Heuristically, if there is a gap and we adiabatically turn on  $V(\phi)$ , we should dress the vacuum and the jump operators simultaneously, and preserve a stable vacuum.
- This remains an important open question.
- Non-unitary QFT with irrelevant jump operators?
  - In principle, jump operators with large mass dimension.
  - Emerging unitarity.

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- 2 A free field model
- 3 Stochastic Interactions**
- 4 Discussion

# Why quantize gravity?

- In unitary QM, if A is quantum mechanical and the state of A influences the evolution of B, then B must be quantum mechanical:

$$\left. \begin{array}{l} \psi_A^1 \Omega_B \xrightarrow{\text{time}} \phi_A^1 \Lambda_B^1 \\ \psi_A^2 \Omega_B \xrightarrow{\text{time}} \phi_A^2 \Lambda_B^2 \end{array} \right\} \Rightarrow (|\psi_A^1\rangle + |\psi_A^2\rangle) |\Omega_B\rangle \xrightarrow{\text{time}} |\phi_A^1\rangle |\Lambda_B^1\rangle + |\phi_A^2\rangle |\Lambda_B^2\rangle$$

- With a Lindbladian, it is possible to couple quantum A to classical B, such that the state of A influences the evolution of B and vice versa:

- $\rho_{AB}$  is block-diagonal in some classical basis of B:

$$\rho_{AB}(t) = \sum_{\alpha} \rho_A^{\alpha}(t) \otimes |\alpha\rangle\langle\alpha|_B.$$

- $\rho_{A|\alpha}(t) = \rho_A^{\alpha}(t) / \text{Tr} \rho_A^{\alpha}(t)$  and  $P_B(\alpha, t) = \text{Tr} \rho_A^{\alpha}(t)$ .

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# Classical-Quantum field theory

- In field theory, there is a sub-normalized density matrix of some quantum field  $\Psi_q(x)$  associated to every configuration of a classical field  $\phi_c$  and its conjugate momentum  $\pi_c$ :  
 $\langle \Psi_q | \rho(\phi_c, \pi_c) | \Psi'_q \rangle = \rho(\phi_c, \pi_c, \Psi_q, \Psi'_q)$ .
- Local equation  $\alpha(x) = (\phi(x), \pi(x))$ ,

$$\dot{\rho}(\alpha) =$$

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# Quantum Matter & Classical Gravity?

- In principle possible if coupling is dissipative.
  - No constraint on how gravity influences matter.
  - Matter has only stochastic effect on gravity.
- In our free-field theory example, we can imagine that the decay rate  $\gamma$  is a gravitational degree of freedom, e.g. scalar curvature.
  - Unitary evolution in flat space, high decoherence near black hole singularity.
  - With Lindblad term  $L(\rho) = a_\rho$ , rate equation of gravitational field is controlled by energy density  $\langle L^\dagger L \rangle = \langle a_\rho^\dagger a_\rho \rangle$ .
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  - Action does not appear to be a scalar despite covariant Lindbladian.
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- are well formulated mathematically; and
- agree with experiments;

**have not been ruled out.**

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