

Black holes and random matrices

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A question

- What accounts for the finiteness of the black hole entropy—from the bulk point of view in AdS/CFT?
- The stakes are high here. Many approaches to understanding the bulk:
 - Eternal black hole \leftrightarrow Thermofield double state
 - Ryu-Takayanagi
 - Geometry from entanglement
 - Tensor networks
 - ER = EPR
 - Bulk reconstruction and error correction
 - Complexity
 - Bit threads
 - ...

suggest that any complete bulk description of quantum gravity (if one exists) must be able to describe these states.

(e.g., what do the virtual indices in a tensor network represent?)

A diagnostic

- A simple diagnostic of a discrete spectrum [Maldacena]. Long time behavior of $\langle O(t)O(0) \rangle$. (O is a bulk (smeared boundary) operator)

$$\langle O(t)O(0) \rangle = \sum_{m,n} e^{-\beta E_m} |\langle m|O|n \rangle|^2 e^{i(E_m - E_n)t} / \sum_n e^{-\beta E_n}$$

- At long times the phases from the chaotic discrete spectrum cause $\langle O(t)O(0) \rangle$ to oscillate in an erratic way. It becomes exponentially small and no longer decreases.
(See also [Dyson-Kleban-Lindesay-Susskind; Barbon-Rabinovici])
- To focus on the oscillating phases remove the matrix elements. Use a related diagnostic: [Papadodimas-Raju]

$$\sum_{m,n} e^{-\beta(E_m + E_n)} e^{i(E_m - E_n)t} = Z(\beta + it)Z(\beta - it) = Z(t)Z^*(t)$$

- The “spectral form factor”

Properties of $Z(t)Z^*(t)$

$$Z(t)Z^*(t) = \sum_{m,n} e^{-\beta(E_m+E_n)} e^{i(E_m-E_n)t}$$

- $Z(\beta, 0)Z^*(\beta, 0) = Z(\beta)^2$ ($= L^2 = e^{2S}$ for $\beta = 0$)
- Assume the levels are discrete (finite entropy) and non-degenerate (generic, implied by chaos)
- At long times, after a bit of time averaging (or J averaging in SYK), the oscillating phases go to zero and only the $n = m$ terms contribute.
- $Z(\beta)^2 \rightarrow Z(2\beta)$. ($= L = e^S$ for $\beta = 0$)
- $e^{2S} \rightarrow e^S$, an exponential change. How does this occur?

SYK as a toy model

- The Sachdev-Ye-Kitaev model can serve as a toy model to address these questions.

$$H = \sum_{abcd} J_{abcd} \psi_a \psi_b \psi_c \psi_d, \quad \langle J_{abcd}^2 \rangle \sim J^2 / N^3$$

- Maximally chaotic, discrete spectrum
- Has a sector dual to AdS₂ dilaton gravity
- Has a collective field description: $G(t, t') = \frac{1}{N} \psi_a(t) \psi_a(t')$, $\Sigma(t, t')$.
Reminiscent of a bulk description:
 - $O(N)$ singlets
 - nonlocal
 - Nonperturbatively well defined (two replicas)

$$\langle Z(t) Z^*(t) \rangle = \int dG_{ab} d\Sigma_{ab} \exp(-N I(G_{ab}, \Sigma_{ab}))$$

- (Of course many differences with bulk descriptions...)

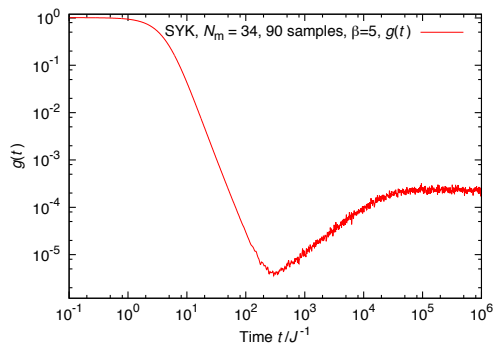
$ZZ^*(t)$ in SYK

- Finite dimensional Hilbert space, $D = L = 2^{N/2}$, amenable to numerics
- Guidance about what to look for

[Jordan Cotler, Guy Gur-Ari, Masanori Hanada, Joe Polchinski, Phil Saad, Stephen Shenker, Douglas Stanford, Alex Streicher, Masaki Tezuka]
([CGHPSSST])

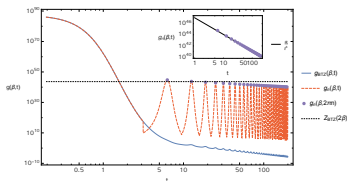
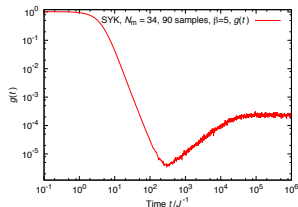
See also

[Garcia-Garcia–Verbaarschot]



- The Slope \leftrightarrow Semiclassical quantum gravity
- The Ramp and Plateau \leftrightarrow Random Matrix Theory ([see You-Ludwig-Xu])
- The Dip \leftrightarrow crossover time

Slope, contd.



Slope is determined by semiclassical quantum gravity – nonuniversal.

In SYK slope $\sim 1/t^3$. From sharp edge in DOS. One loop exact Schwarzian result: $\rho(E) \sim e^{S_0} (E - E_0)^{1/2}$.

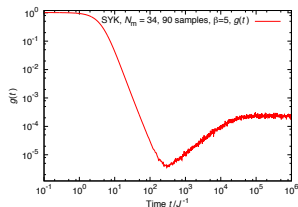
([Bagrets-Altland-Kamenev; CGBPSSST; Stanford-Witten])

In BTZ summing over geometries gives oscillating slope with power law envelope: nonperturbatively small oscillations in the density of states [Dyer-Gur-Ari]

In AdS₅ “graviton gas” \rightarrow constant slope ([CGBPSSST])

In each case the dip time is $\sim e^{aS}$ (with different a), exponentially shorter than the plateau time

The Ramp and Plateau



The Ramp and Plateau are signatures of Random Matrix Statistics, believed to be **universal** in quantum chaotic systems

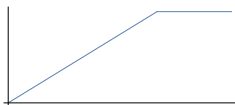
$\langle ZZ^*(t) \rangle$ is essentially the Fourier transform of $\rho^{(2)}(E, E')$, the pair correlation function

$$\rho^{(2)}(E, E') \sim 1 - \frac{\sin^2(L(E - E'))}{(L(E - E'))^2}$$

[Dyson; Gaudin; Mehta]

The decrease before the plateau is due to repulsive anticorrelation of levels (“The correlation hole”)

Conjecture that this pattern is universal in quantum black holes

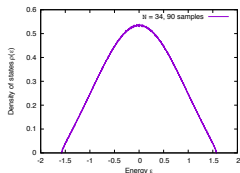
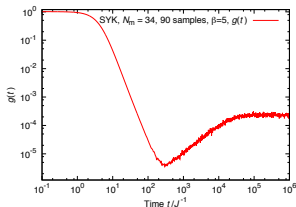


- $\rho^{(2)}(E, E') \sim 1 - \frac{\sin^2(L(E-E'))}{(L(E-E'))^2}$
- $t \ll t_p$, $\rho^{(2)}(E, E') \sim 1 - \frac{1}{L^2(E-E')^2}$, “spectral rigidity”
- $\frac{1}{L^2}$ perturbative in RMT, $\frac{1}{L^2} \sim e^{-cN}$, nonperturbative in $\frac{1}{N}$, SYK.
- $\sin^2(L(E - E')) \rightarrow \exp(-2L(E - E'))$, Altshuler-Andreev instanton
- $\sim \exp(-e^{cN})$ in SYK (!)
- These effects must be realized in the G, Σ formulation. A research program...

Onset of RMT behavior

[Hrant Gharibyan (Stanford), Masanori Hanada (Kyoto), SS, Masaki Tezuka (Kyoto)]

[In progress]



At how large an energy eigenvalue separation does spectral rigidity end?

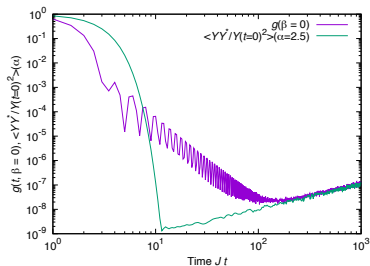
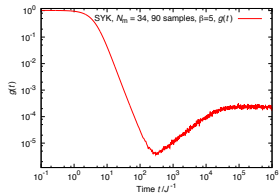
At what time t_r does the ramp begin?

The Thouless time [Garcia-Garcia-Verbaarschot]

The dip is just a crossover: edge versus bulk dynamics, $t_r \neq t_d$

Follow the ramp below the slope: use Gaussian filter [Stanford]

$$Y(\alpha, t)Y^*(\alpha, t) = \sum_{m,n} e^{-\alpha(E_n^2 + E_m^2)} e^{+i(E_m - E_n)t}$$



Dip time $t_d \sim 200$, $N = 34$

Onset of ramp $t_r \lesssim 10$, $N = 34$

(The ramp is an exponentially subleading effect in ZZ^* and correlation functions before the dip)

An upper bound. Very little variation in N for $N \leq 34$

$\log N$? scrambling?

Maybe; no.

Simplify problem by looking at nearest neighbor qubit chain, random couplings, n qubits. Scrambling time $\sim n$, easier to study.

- Scrambling describes the growth of a simple operator
[Roberts-Stanford-Susskind; Lieb-Robinson]
- Generic. Also happens in Brownian circuit
- $e^{-iHt} \rightarrow e^{-iH_m \Delta t} e^{-iH_{m-1} \Delta t} \dots e^{-iH_1 \Delta t}$
- H_m drawn from an ensemble
- Unitary gates $U = U_m U_{m-1} \dots U_1$ (random quantum circuit)
- Can analyze dynamics including scrambling analytically
[Oliveira-Dahlsten-Plenio; Lashkari-Stanford-Hastings-Osborne-Hayden;
Harrow-Low; Brandao-Harrow-Horodecki; Brown-Fawzi ...]
- Theory of approximate unitary k designs. Approximations to Haar ensemble that accurately compute monomials of k U 's , k U^\dagger 's
[Denkert et al. ...]

Markov chain

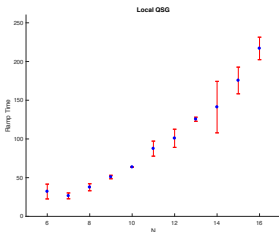
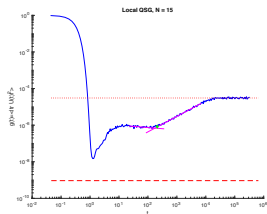
- Study $U\rho U^\dagger$, $\rho = \sum_p \gamma_p \sigma_p$, σ_p a string of Paulis
- (Need k copies for k design)
- Defines a Markov process on on Pauli strings e.g.,
I I I Z Z I I X I I ...
- Two qubit Haar random gates and $k = 2$: I I \rightarrow I I; AB \rightarrow 15 other possibilities, uniformly [Harrow-Low]
- Initial condition for an OTOC: Z I I I I I I I I I
- Time to randomize last qubit $\sim n$, scrambling time [Nahum-Vijay-Haah; Keyserlingk-Rakovszky-Pollmann-Sondhi]

- For spectral statistics study $\langle \text{tr}(U^k) \text{tr}((U^\dagger)^k) \rangle$, $k = 1, 2, \dots$
- RMT statistics $\langle \text{tr}(U^k) \text{tr}((U^\dagger)^k) \rangle \rightarrow$ Haar average value
- For $k = 2$ (two design) slowest terms are like $U_{aa} U_{aa}^* U_{aa} U_{aa}^*$ (no sum)
- Study $U|a\rangle\langle a|U^\dagger$ where $|a\rangle = |00\dots 00\rangle$
- $|00\dots 00\rangle\langle 00\dots 00| = (\frac{1}{2})^n (\mathbf{I} + \mathbf{Z})^{\otimes n}$
- $\mathbf{Z} \mathbf{I} \mathbf{Z} \mathbf{Z} \mathbf{I} \mathbf{I} \mathbf{Z} \mathbf{Z} \mathbf{I} \dots$ Easy to equilibrate

Markov chain, contd.

- $Z I Z Z I I Z Z I \dots$
- Length of longest run of I s in typical string $\sim \log n$. Equilibrates in $\sim \log n$
- n rare strings $I I I I Z I I \dots$. Contribution decays like ne^{-t} . Order one at $t \sim \log n$.
- At long times the system relaxes at a rate determined by the gap of the Markov chain. The gap is independent of n
[\[Brandao-Harrow-Horodecki\]](#)
- Equilibration time $\sim \log n$, shorter than scrambling !
- Correlation functions of very complicated operators [\[Roberts-Yoshida; Cotler-Hunter-Jones-Liu-Yoshida\]](#)
- For nonlocal pair interactions (2 local, analogous to SYK), get a time of order $\log \log n$, (for non Haar random gates goes back to $\log n$)

Hamiltonian systems (geometrically local)



n geometrically local qubits

$$H = \sum_i J_i^{\alpha\beta} \sigma_i^\alpha \sigma_{i+1}^\beta, \quad J \text{ random}$$

Gaussian density of states \rightarrow
slope $\sim \exp(-Nt^2)$, rapid decay

Scrambling time $\sim n$

$$t_r \sim n^2$$

Slower than scrambling ??

- Crucial difference between Hamiltonian and random quantum circuit systems – conserved quantities (energy)
- $t_r \sim n^2$ is the time for something to diffuse across the n qubit chain.
- For a single particle described by a random hopping H (a banded matrix) the ramp time is just the time to for the particle to diffuse across the system, the Thouless time [Altshuler-Shklovskii, Efetov...].
- Same here, for energy?
- A new tool: Random quantum circuit with a conserved quantity S_z . Analytically tractable [Khemani-Vishwanath-Huse]. Small diffusive corrections to OTOCs.
- Plan: compute spectral form factor quantities using this circuit. Large effect because signal is so small.

The Thouless time for black holes

- Assume geometrically local d dimensional Hamiltonians have t_r governed by diffusion, $t_r \sim n^{2/d}$
- q -local systems like SYK correspond to $d \rightarrow \infty$. Then $n^{2/d} \rightarrow \log n$ [Susskind]
- $t_r \sim \log n$ but with a different coefficient than the scrambling time.
- Important because the black hole evaporation time is of order $S \sim n \gg \log n$.
- So these phenomena would appear in small black holes as well, although as an exponentially subleading effect
- We need to know what they mean in quantum gravity...