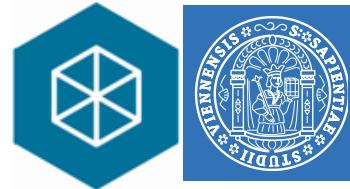


# Exploring the thermodynamics of small systems with levitated optomechanics



Nikolai Kiesel<sup>1</sup>

<sup>1</sup> VCQ, Faculty of Physics,  
University of Vienna

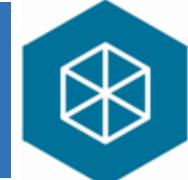


D. Grass<sup>1</sup>, U. Delic<sup>1</sup>, M. Aspelmeyer<sup>1</sup>  
M. Rademacher<sup>1</sup>, M. Debiossac<sup>1</sup>, M. Ciampini<sup>1</sup>,  
A. Dechant<sup>2</sup>, M. Konopik<sup>3</sup>, E. Lutz<sup>3</sup>

<sup>2</sup> Department of Physics , Graduate School of Science, Kyoto University, Kyoto 606-8502, Japan

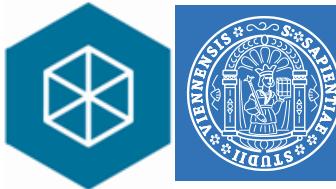
<sup>3</sup> Institute for Theoretical Physics II, University of Erlangen-Nürnberg, D-91058 Erlangen, Germany

# Optical trapping in liquid - a great level of control !



*Real-life*  $\mu$ -Tetris was created by Theodoor Pielage, Bram van den Broek and Joost van Mameren.

# Optical trapping in liquid A paradigm in stochastic thermodynamics

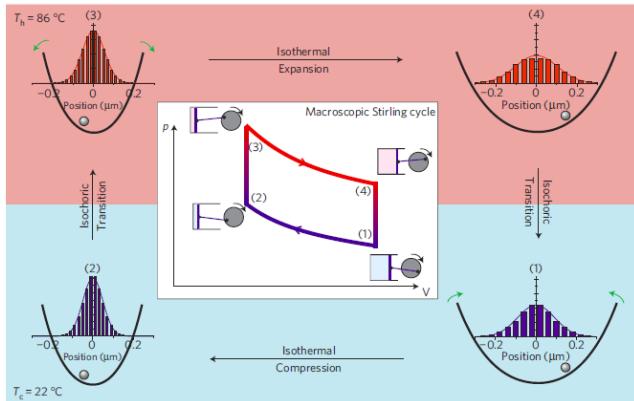


Seifert, U. Rep. Prog. Phys. 75, 126001 (2012)

## A microbead heat engine

Blickle, Bechinger,

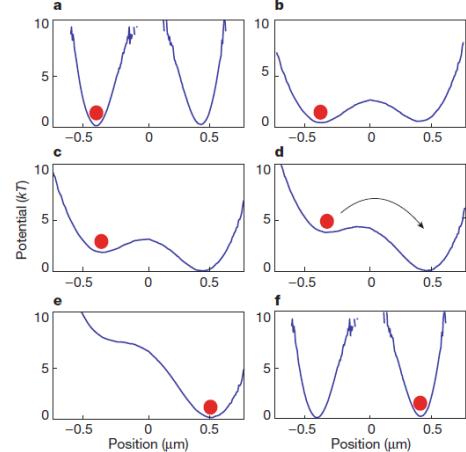
*Nat. Phys.* 8, 143 (2011).



## Experimental verification of Landauer's principle linking information and thermodynamics

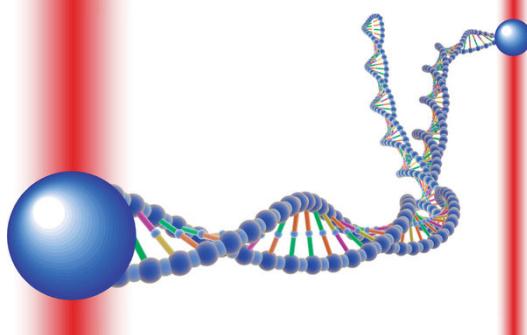
Antoine Bérut<sup>1</sup>, Artak Arakelyan<sup>1</sup>, Artyom Petrosyan<sup>1</sup>, Sergio Ciliberto<sup>1</sup>, Raoul Dillenschneider<sup>2</sup> & Eric Lutz<sup>3†</sup>

Berut et al  
*Nature* 483,  
187 (2012)



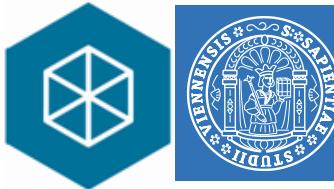
Gupta, A. N. et al.  
*Nature Phys.* 7, 631–634 (2011)

Christopher Jarzynski  
**Single-molecule experiments:  
Out of equilibrium**  
*Nature Physics* 7, 591 (2011)

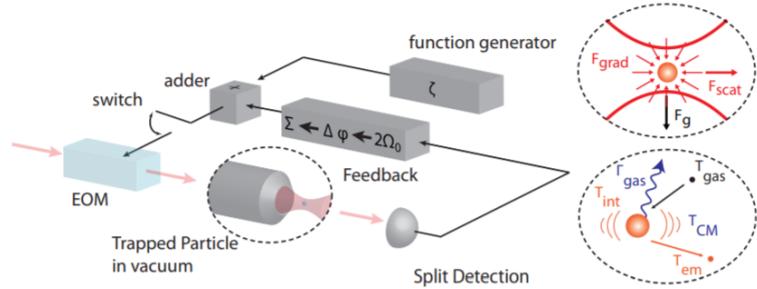


Many more examples...

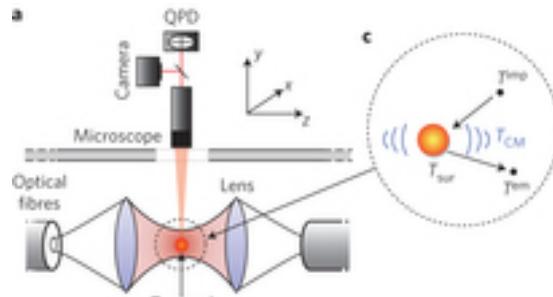
# Optical levitation and Thermodynamics



*Dynamic relaxation of a levitated nanoparticle from a non-equilibrium steady state*  
Gieseler et. al. Nat. Nanotech. 9, 358 (2014)



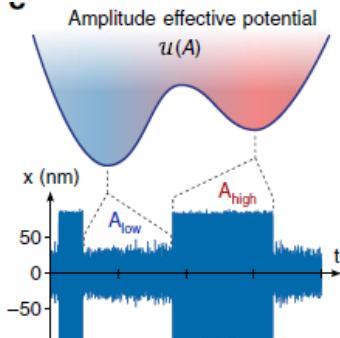
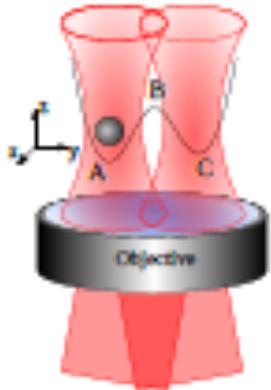
*Nanoscale temperature measurements using non-equilibrium Brownian dynamics of a levitated nanosphere*  
Millen et. al. Nat. Nanotec. 9, 425 (2014)



**Talk Wednesday by Rui Pan**

T.M. Hoang, et al. PRL 120 (8), 080602 (2018)

Optically levitated nanoparticle as a model system for Stochastic bistable dynamics  
Ricci et al., Nat. Comm 8, 15141 (2016)



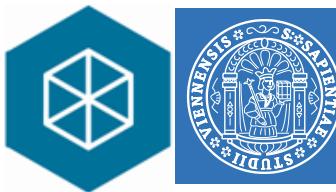
## Reviews

Optomechanics of levitated dielectric particles  
Zhang-Qi Yin, Andrew Geraci and Tongcang Li  
Int. J. Mod. Phys. B 27, 1330018 (2013)

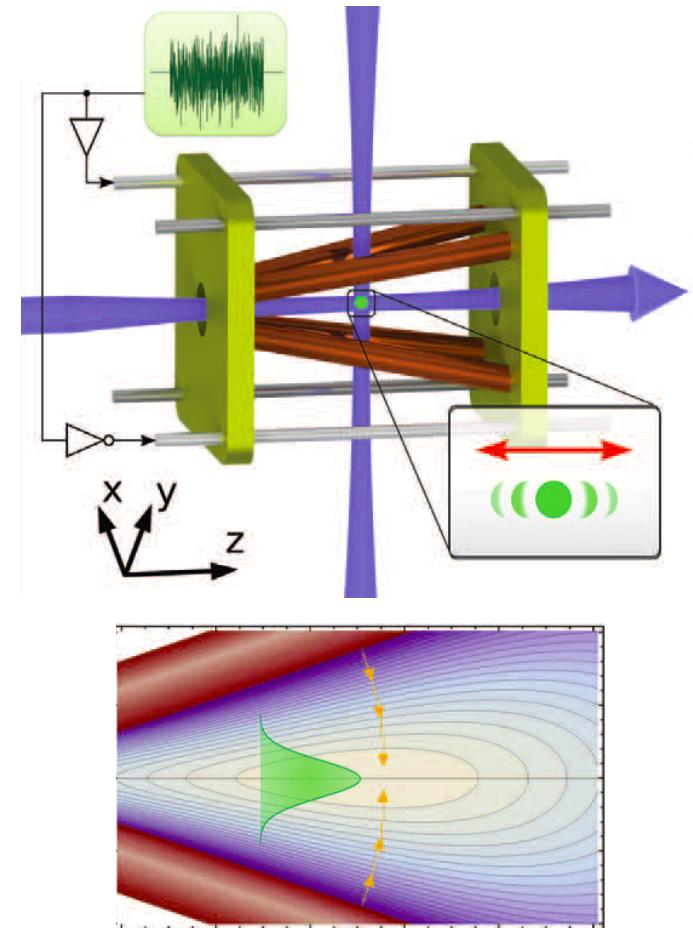
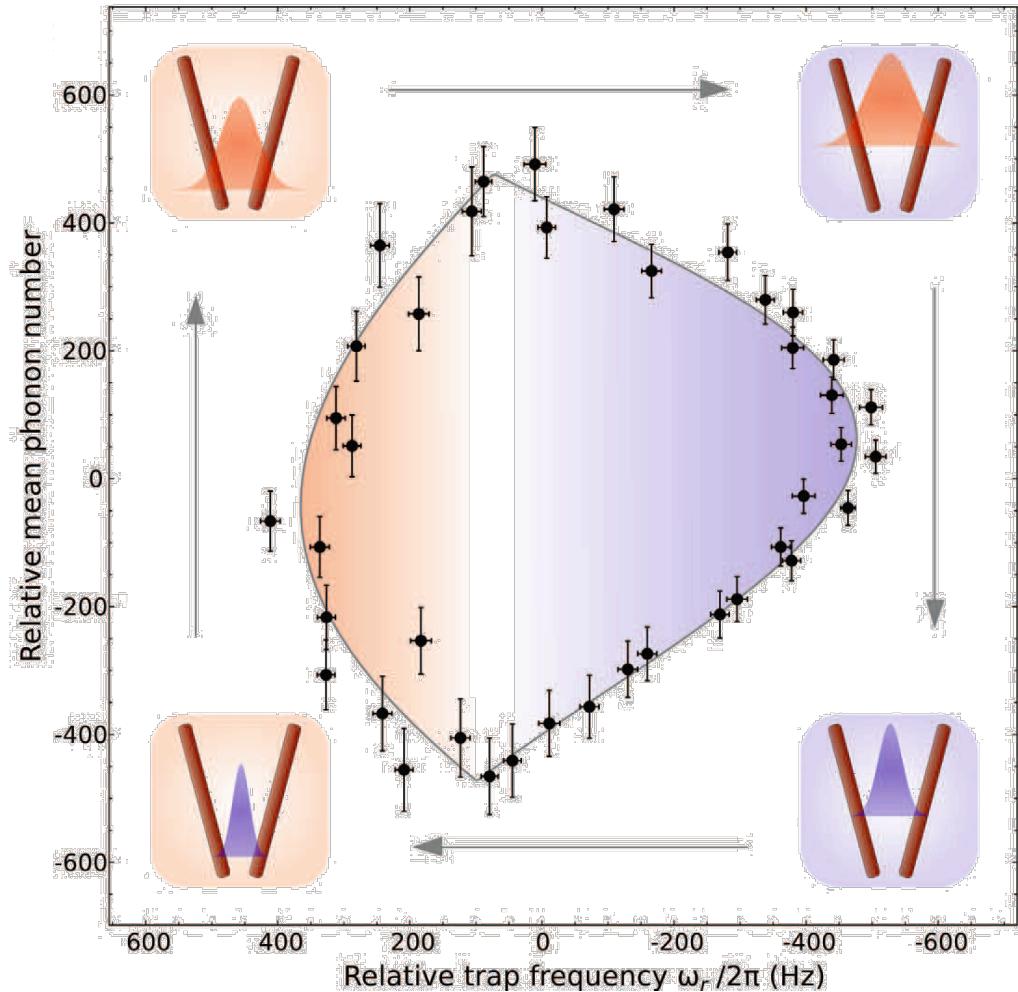
Levitated Nanoparticles for Microscopic Thermodynamics – A Review Jan Gieseler and James Millen  
Entropy 2018, 20, 326 (2018)

Direct measurement of Kramers turnover with a levitated nanoparticle  
Rondin et al., Nat. Nano. 12, 1130 (2017)

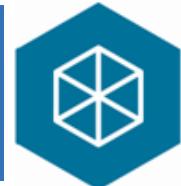
# Ion Engine



Roßnagel et al., Science 352, 6283 (2016), Abah et al., PRL 109, 203006 (2012)

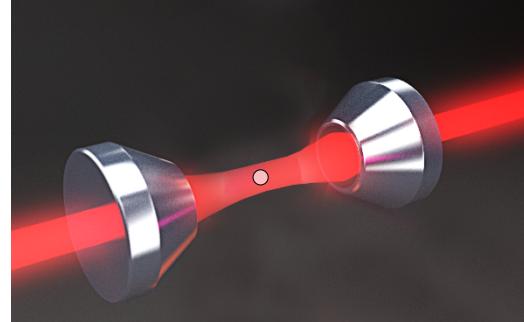


# Experimental Platform



**Optical tweezer**

+



**Cavity Optomechanics**

Control potential landscape  
**System**

Control interaction with light  
**Reservoir**

Remove thermal Reservoir  
-> Levitation in vacuum

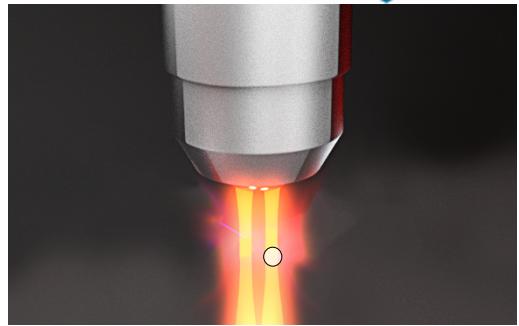
Add engineered reservoir  
„Quantum baths“

All-Optical:   **Maximize spatial and temporal control**  
over potential landscape and reservoir

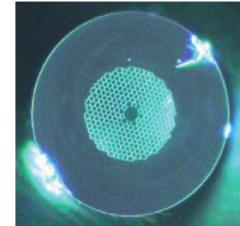
# Overview



- Levitated Nanoparticles



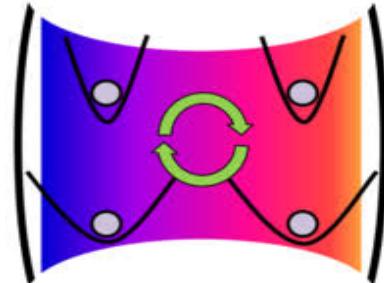
- Thermodynamics in a fiber



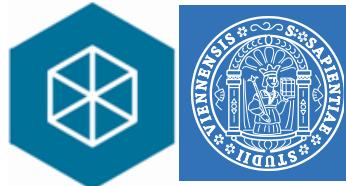
- Levitated Cavity Optomechanics



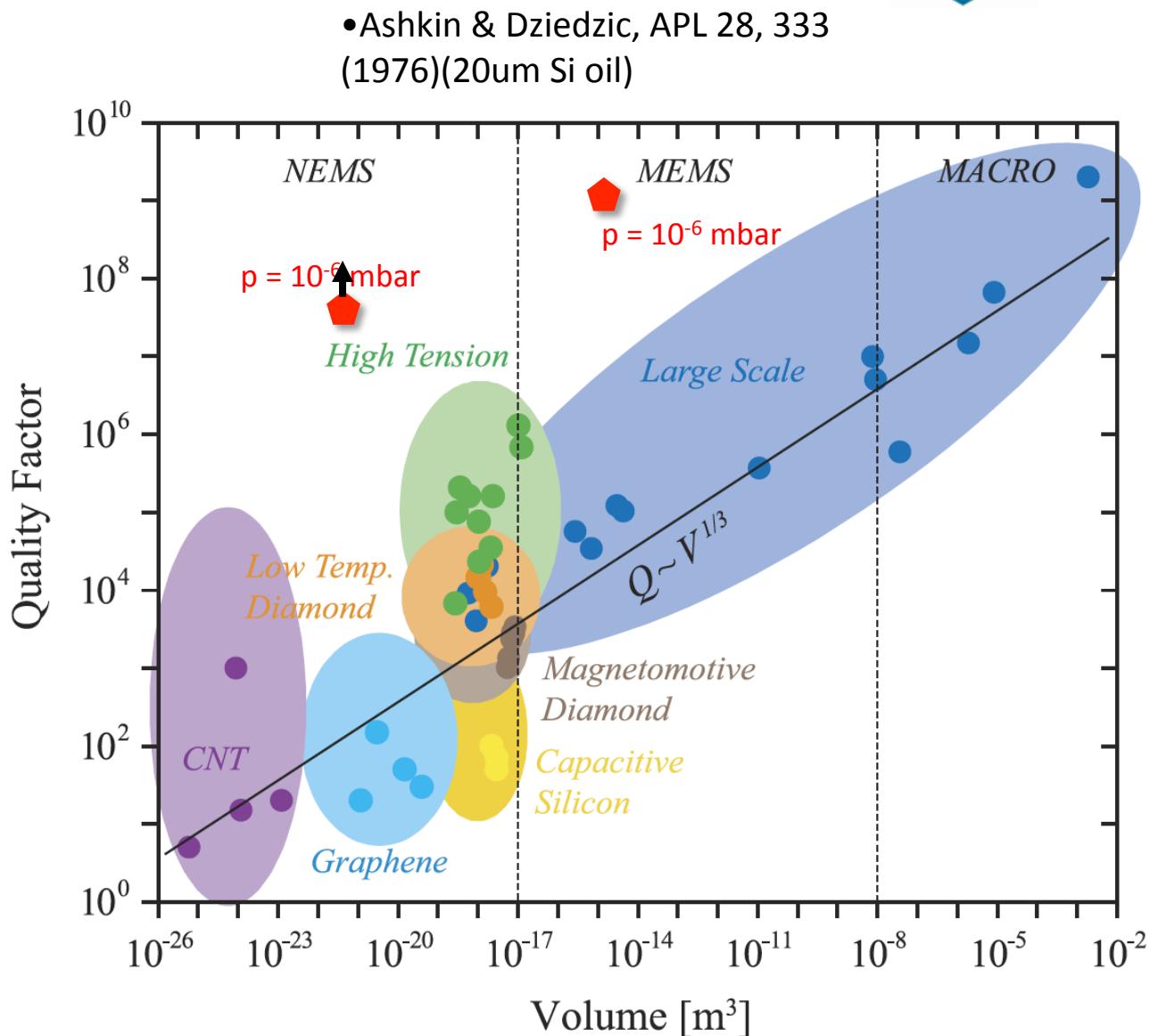
- Model for a heat engine



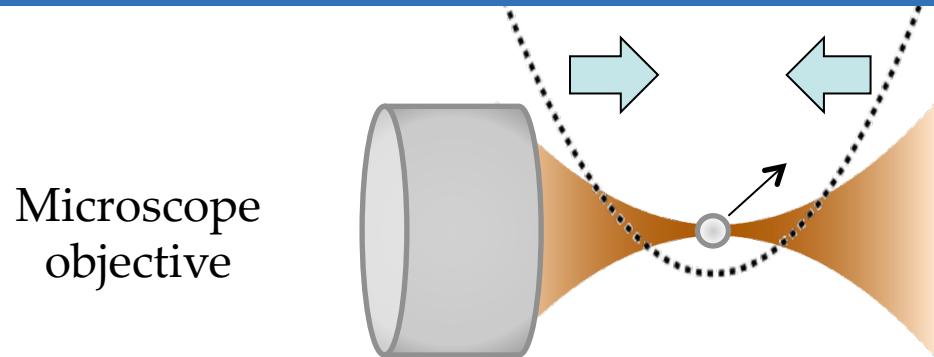
# Optical Levitation for high-Q mechanics



J. Gieseler, R. Quidant, C. Dellago, L. Novotny,  
Nature Nanotechnology  
9, 358 (2014)  
(70 nm SiO<sub>2</sub>)



# Feeback cooling and heating rate



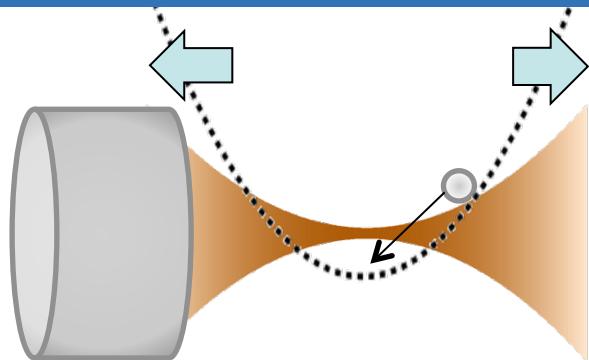
Parametric  
feedback cooling

$$\begin{array}{l} \omega_{\downarrow x} \approx 2\pi \times 170 \text{ kHz} \\ \omega_{\downarrow z} \approx 2\pi \times 40 \text{ kHz} \\ \omega_{\downarrow y} \approx 2\pi \times 190 \text{ kHz} \end{array}$$

# Feeback cooling and heating rate



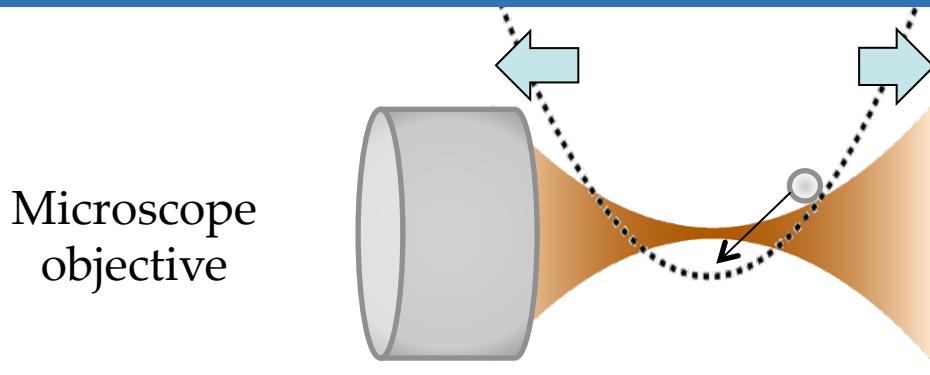
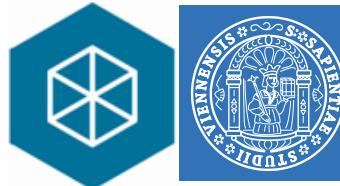
Microscope  
objective



Parametric  
feedback cooling

$$\begin{array}{l} \omega_{\downarrow x} \approx 2\pi \times 170 \text{ kHz} \\ \omega_{\downarrow z} \approx 2\pi \times 40 \text{ kHz} \\ \omega_{\downarrow y} \approx 2\pi \times 190 \text{ kHz} \end{array}$$

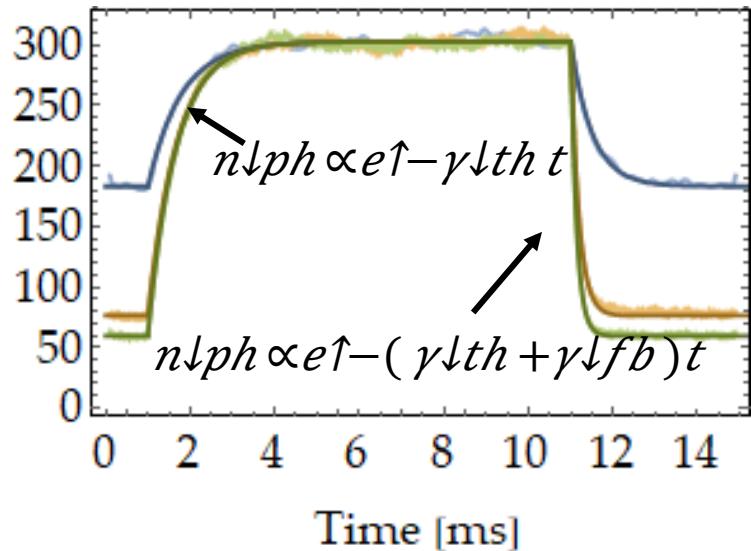
# Feeback cooling and heating rate



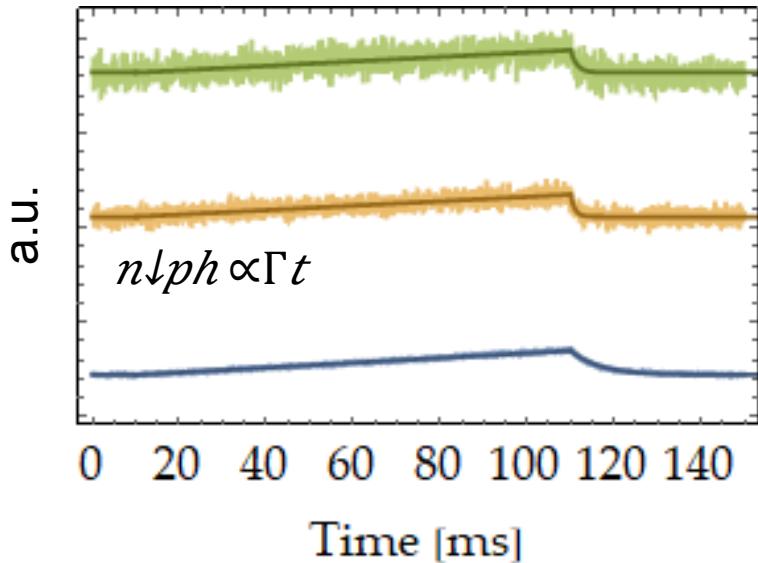
Parametric  
feedback cooling

$$\begin{aligned}\omega_{\downarrow x} &\approx 2\pi \times 170 \text{ kHz} \\ \omega_{\downarrow z} &\approx 2\pi \times 40 \text{ kHz} \\ \omega_{\downarrow y} &\approx 2\pi \times 190 \text{ kHz}\end{aligned}$$

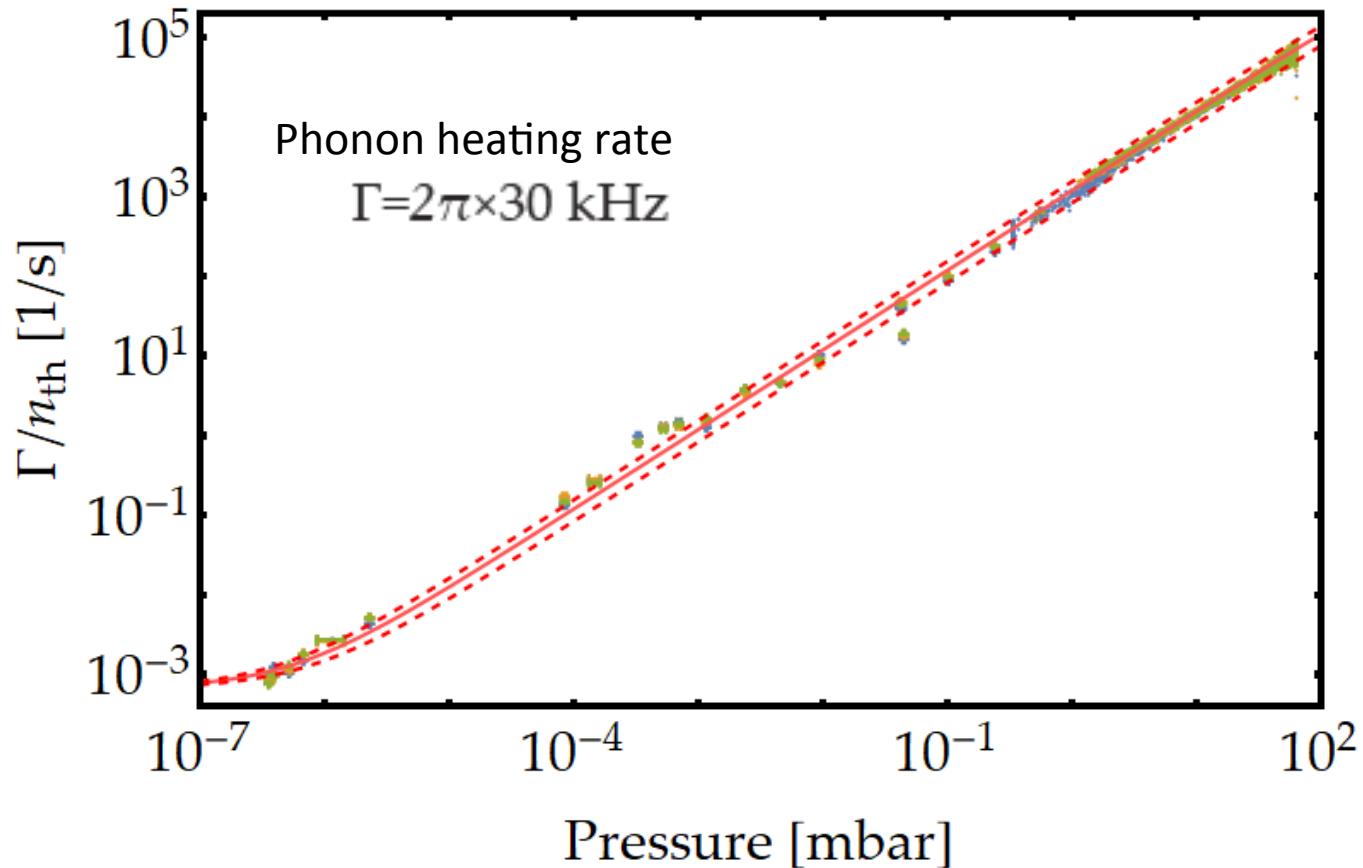
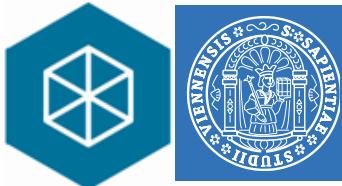
Full equilibration at  $\sim 10^{-2}$  mbar



Linear onset of equilibration @  $4 \times 10^{-7}$  mbar  
 $n_{\downarrow ph}(t) = n_{\downarrow th} + (n_{\downarrow cool} - n_{\downarrow th})e^{\Gamma t} - \gamma t \approx n_{\downarrow cool} + \Gamma t$



# Recoil Heating

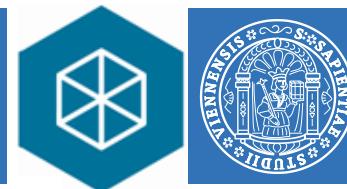


First measurement of photon recoil:  
*Jain et al., Phys. Rev. Lett. 116, 243601 (2016)*

Bath temperature in recoil limited regime:  
 $k_B T = \hbar \omega_{\text{ph}} \sim 10^4 \text{ K}$

**Record: Cooling to 60 phonons (actually <10 by now) – a few  $\mu\text{K}$**

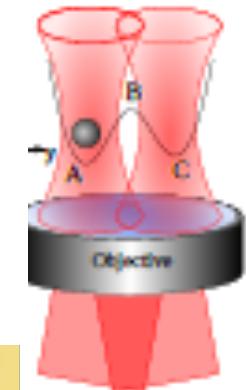
# Complex Potentials in levitation



LETTERS

nature  
nanotechnology

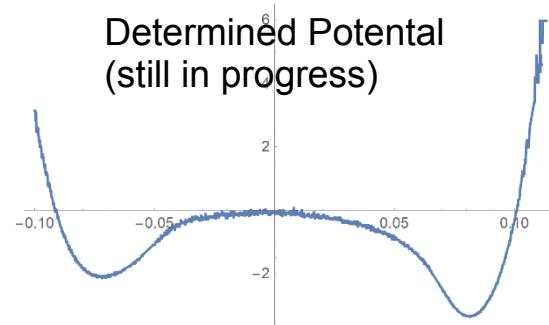
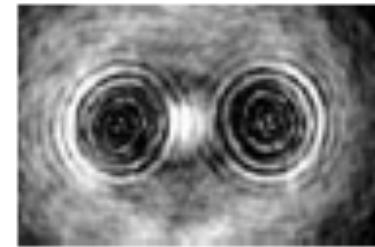
Direct measurement of Kramers turnover with a levitated nanoparticle      Rondin et al., Nat. Nano. 12, 1130 (2017)



Optics Letters

Rotation of two trapped microparticles in vacuum: observation of optically mediated parametric resonances

Arita et al., Optics Letters 40, 4851 (2015)



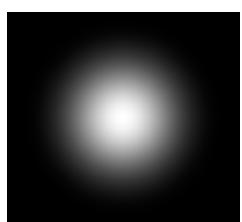
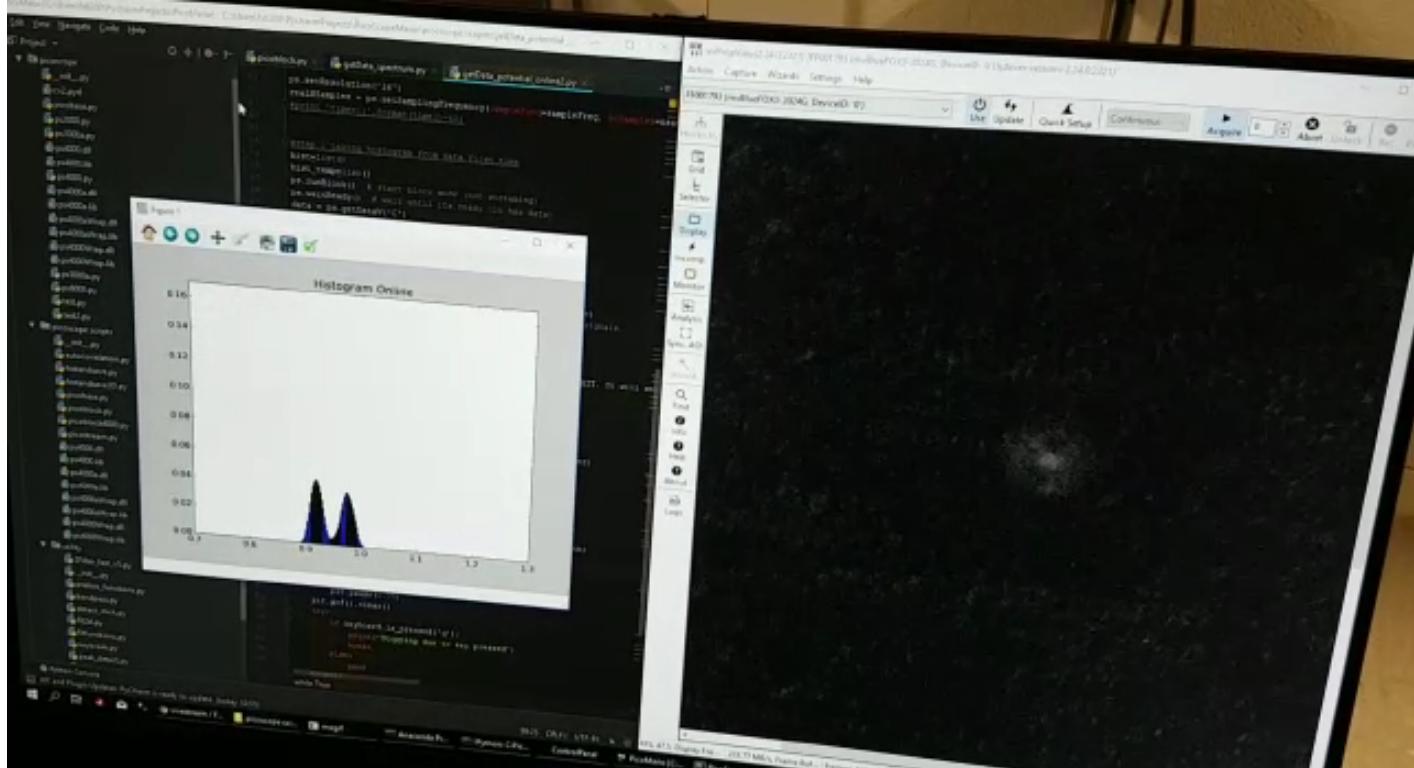
Nonequilibrium information erasure below  $kT\ln 2$   
Konopik et al. (arXiv 1806.01034)

Experiment: Kiesel labs,  
Ciampini et al.  
work ongoing

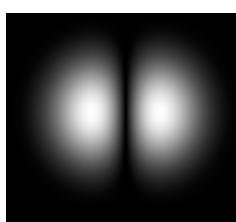
# Tilted double well potential



70 nm particle  
@ 1 mbar



+



+



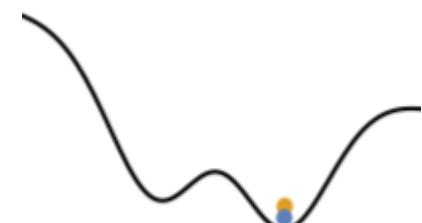
=

TEM 00

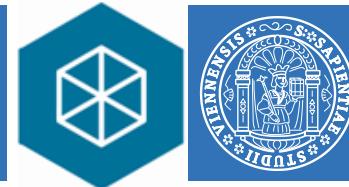
(W~1 μm)

TEM 01

Electrodes  
(D= 100 μm)

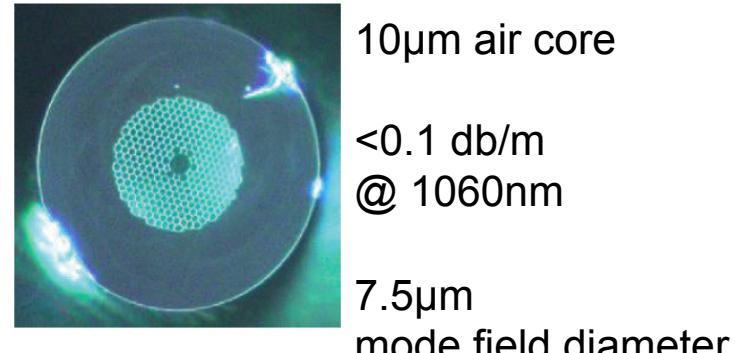
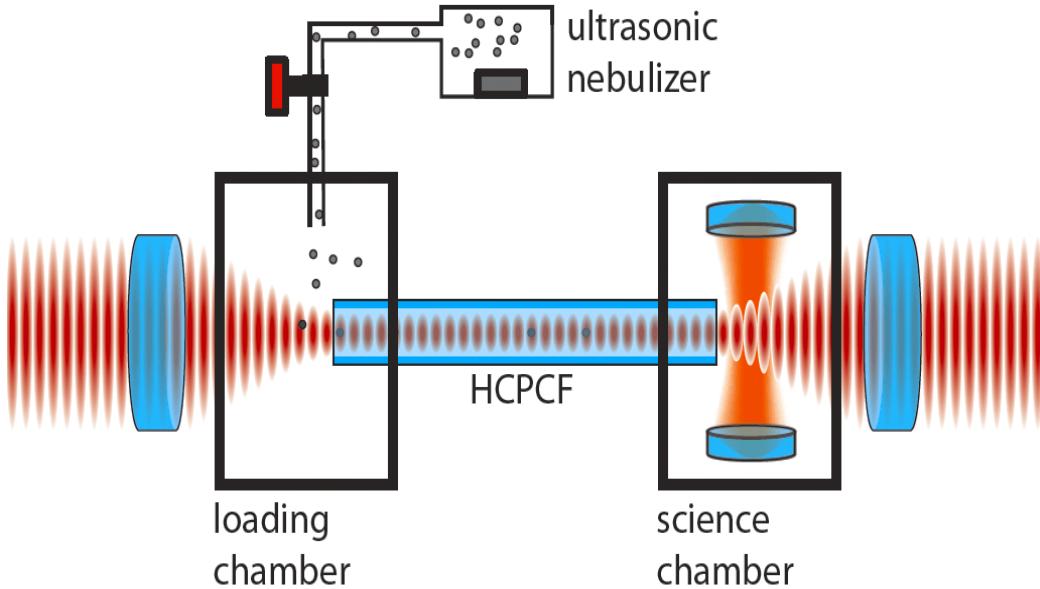


# Optical control in HCPCF

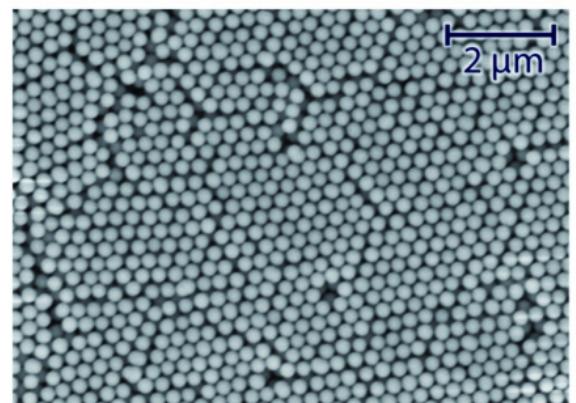


Grass et al., *APL* 108, 221103 (2016)

**HCPCF**  
(hollow core photonic crystal fiber)

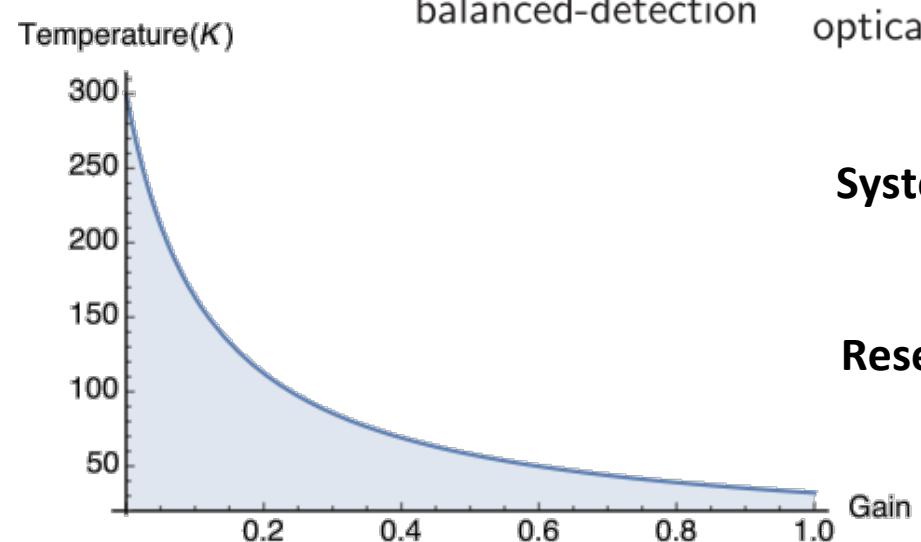
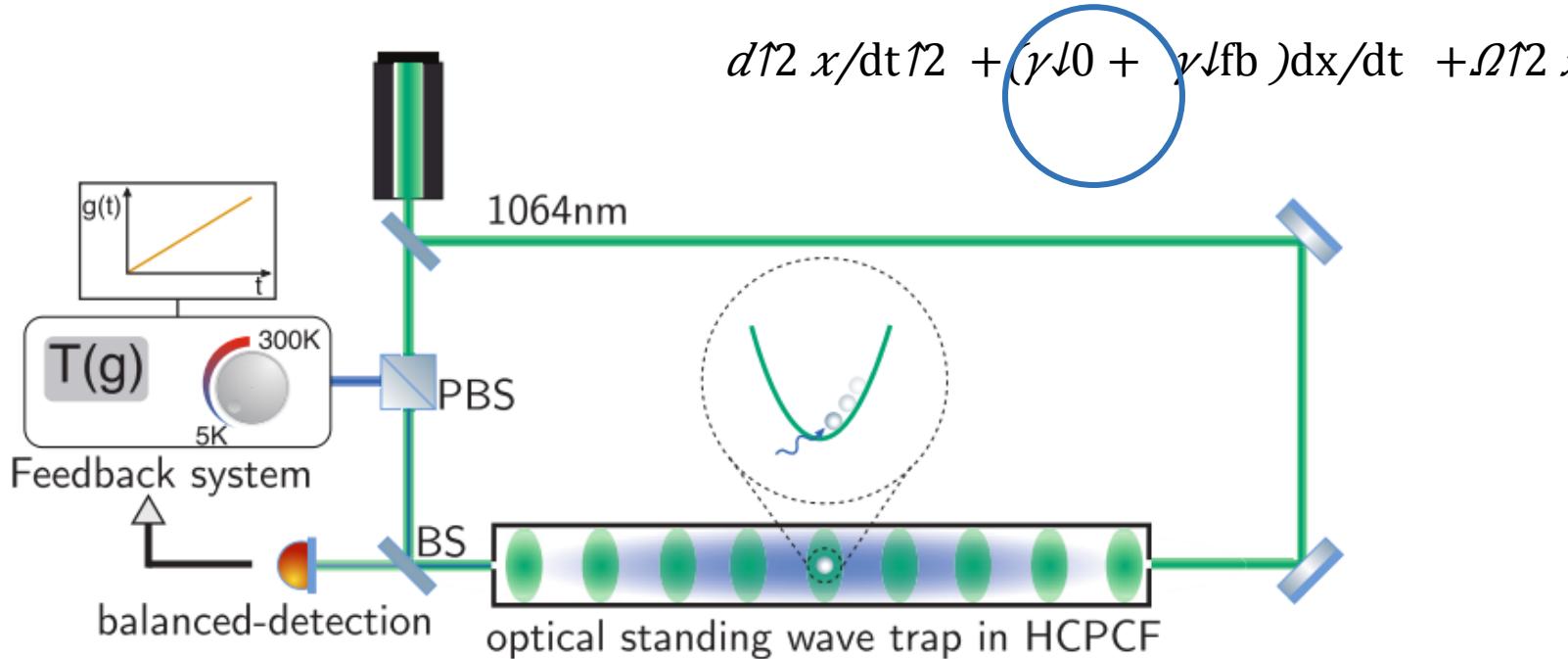
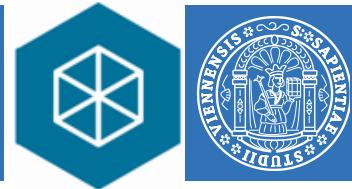


Silica :  $r=127 \text{ nm} +/- 10\%$



- Renn et. al., *PRL* 82, 1574 (1999)  
Kuhr, S. et al *PRL* 91, 213002 (2003)  
Čižmár, T. et al *APL* 86, 174101 (2005)  
Schmidt, O. et al *Opt. Exp.* 21, 2212 (2013)

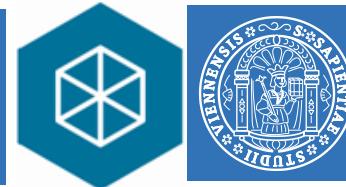
# Temperature Control in HCPCF



**System:** Nanoparticle ( $r=969\text{nm}$ ) in a standing wave;  
near harmonic potential

**Reservoir:** Simulated by linear feedback cooling,  
gain tunes temperature

# Thermal Driving out of Equilibrium



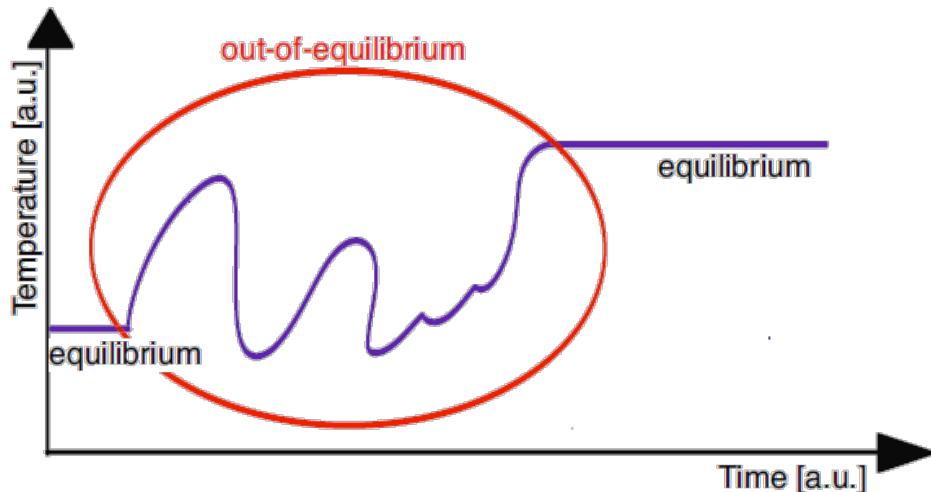
Mechanical Work drives a system:  
Free energy difference can be determined even far from equilibrium

Jarzynski Equality:

$$e^{\int -\beta \Delta F} = \langle e^{\int -\beta W} \rangle$$

Theory: Jarzynski, C., PRL 78, 2690 (1997)

Experiment: Liphardt, Science 296, 1832 (2002)

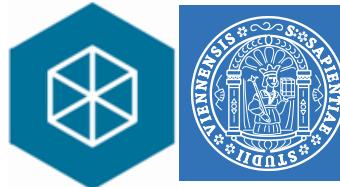


Thermal Drive  
Williams Searles Evans Equality

$$e^{\int -\Delta(\beta F)} = \langle e^{\int -\int \beta \lambda \cdot H(\lambda, x) dt} \rangle$$

Williams, D. J. Searles, and D. J. Evans,  
PRL 100, 250601 (2008).

# Thermal Driving out of Equilibrium



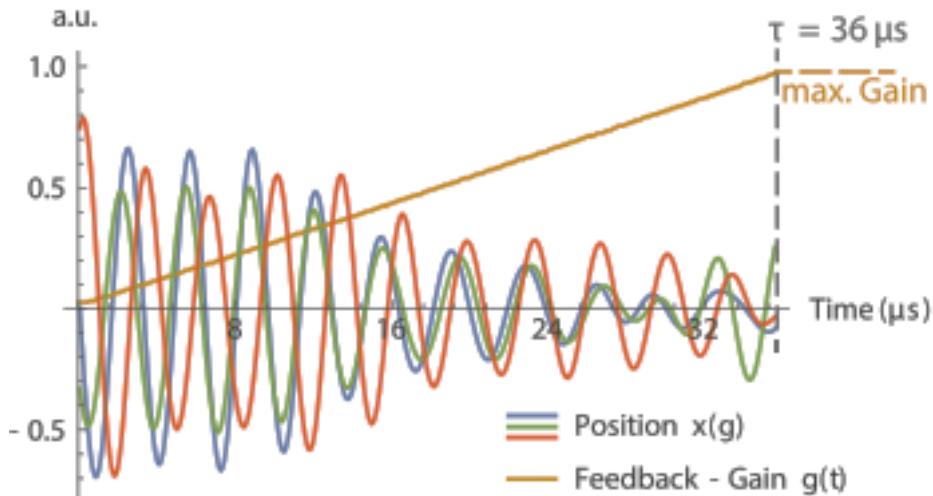
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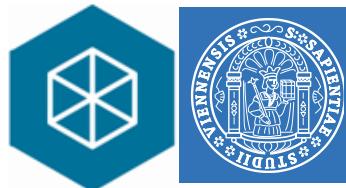


Williams, D. J. Searles, and D. J. Evans,  
PRL 100, 250601 (2008).

Thermal Drive  
Williams Searles Evans Equality

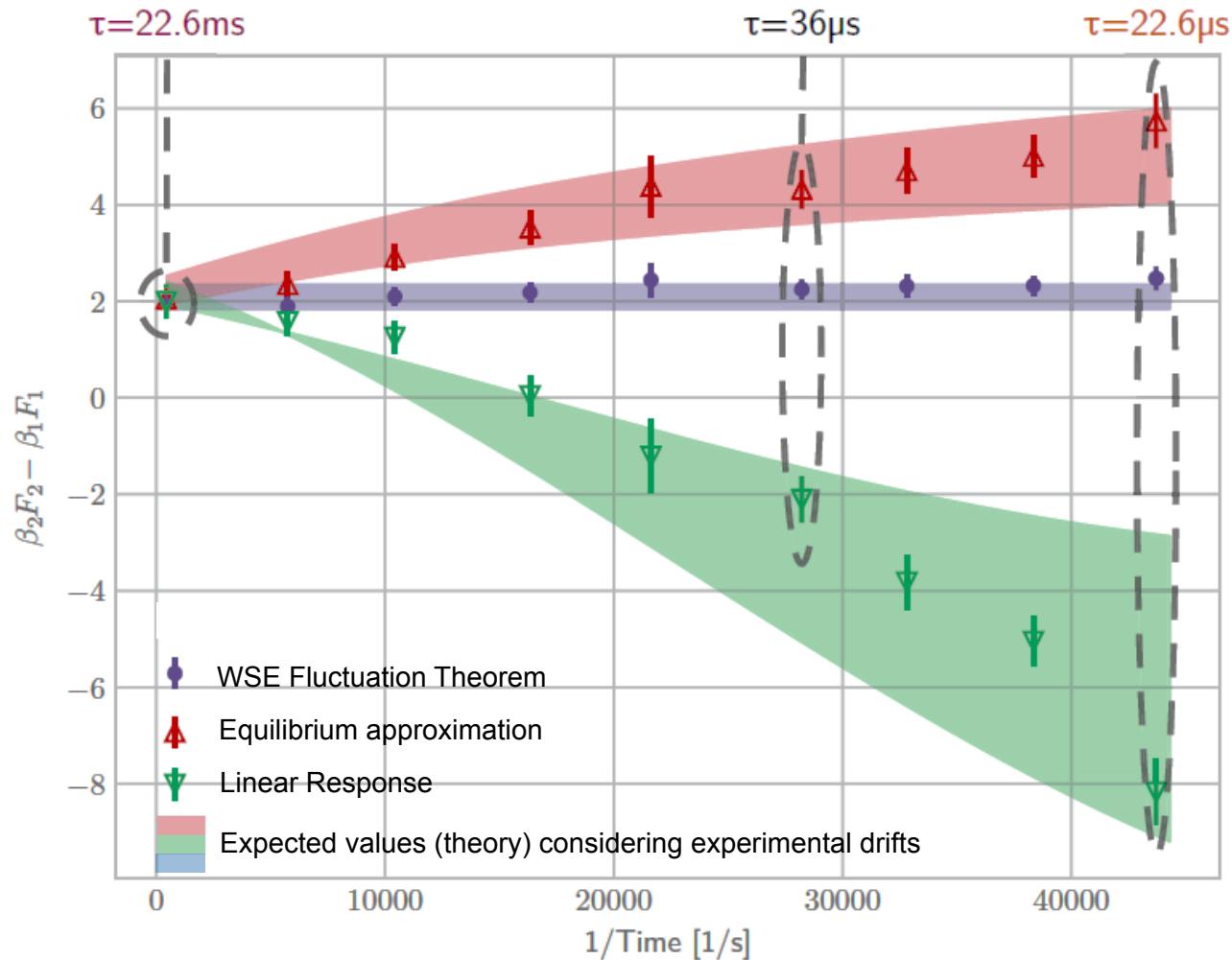
$$e^{\int -\Delta(\beta F)} = \langle e^{\int -\int \beta \nabla H(\lambda, x) dt} \rangle$$

# Test of WSE Fluctuation Theorem

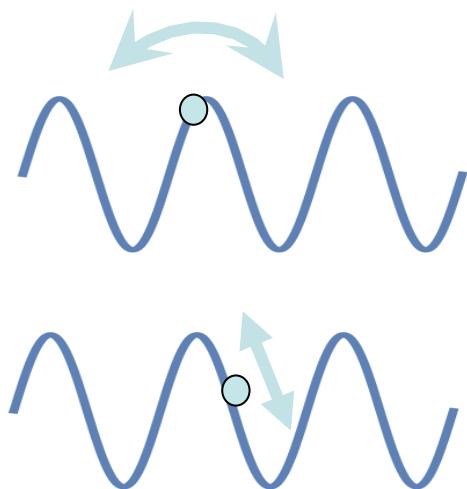
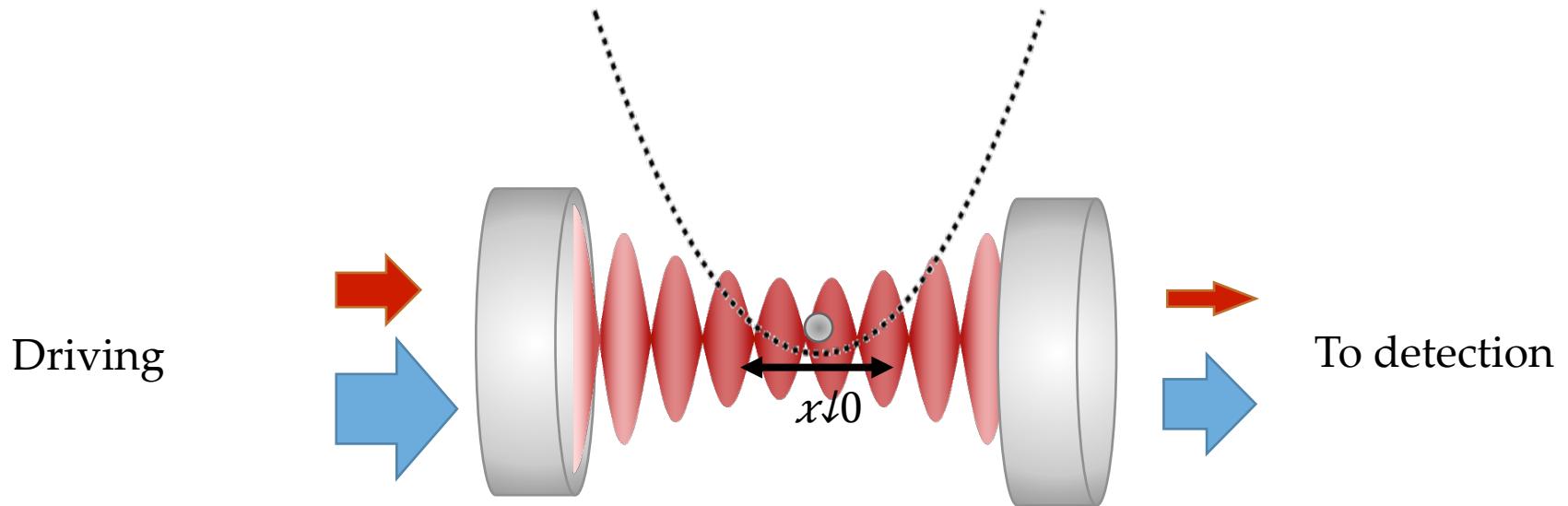
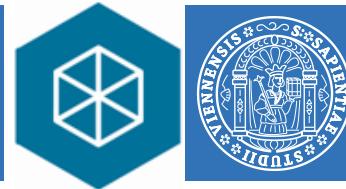


Thermal Drive  
Williams Searles Evans Equality

$$e\uparrow - \mathcal{A}(\beta F) = \langle e\uparrow - \int \beta \perp H(\lambda, x\downarrow t, p\downarrow t) dt \rangle$$



# Coupling to a cavity



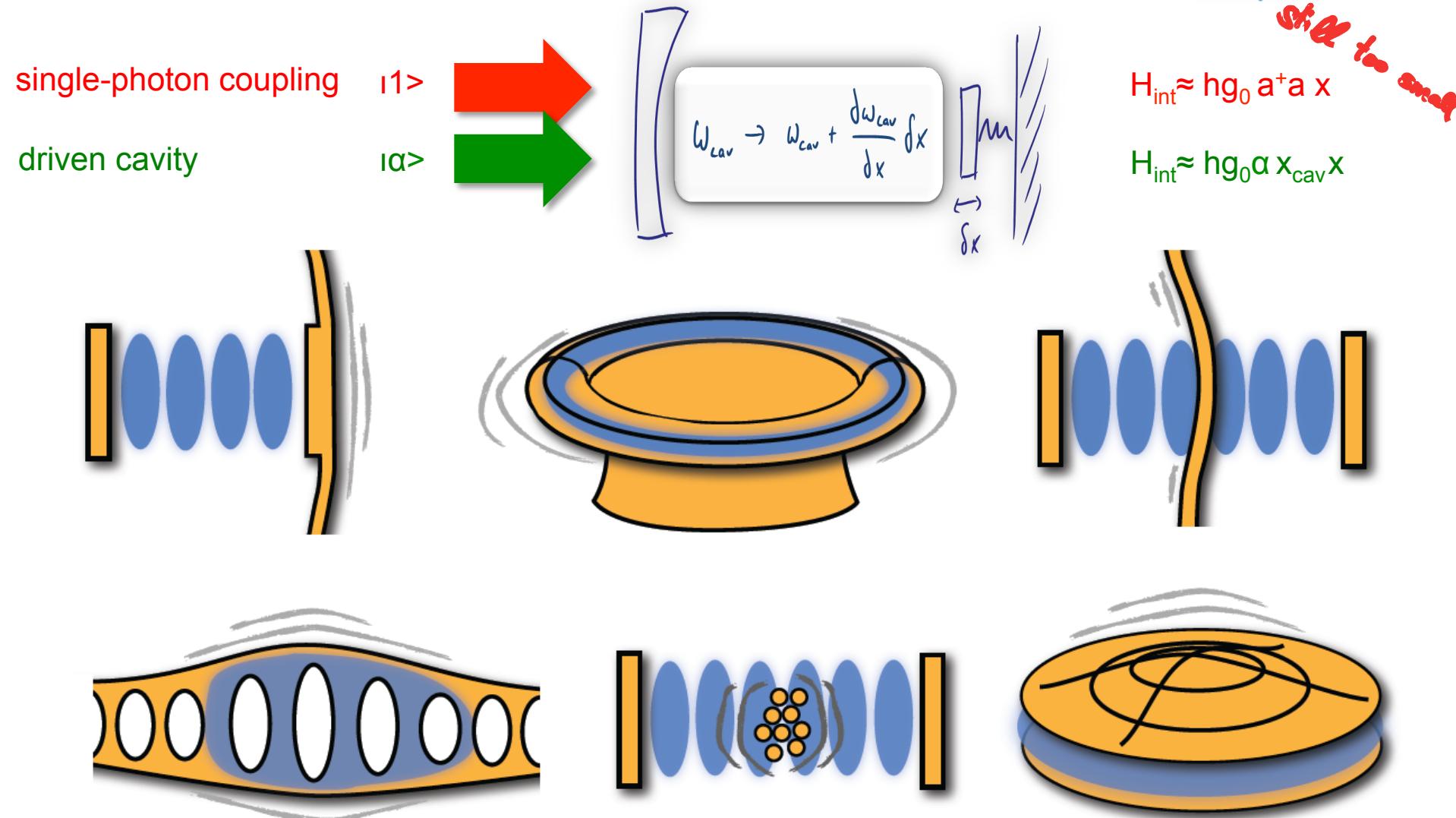
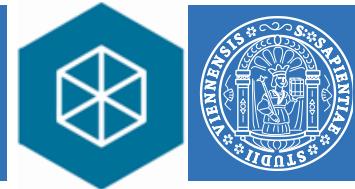
Cavity frequency shift vs. particle position

$$\hbar\omega_{lc}(x) = -U_{lc} * \sin(12)(kx)$$

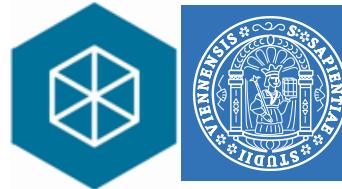
Dispersive linear coupling via

$$\omega_{lc}(x) \approx d\omega_{lc}/dx x$$

# Optomechanical Systems

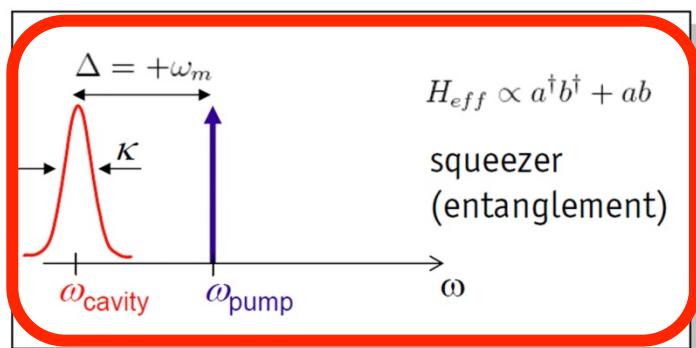
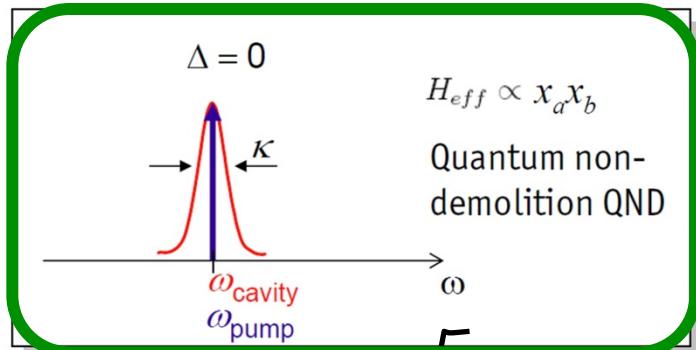
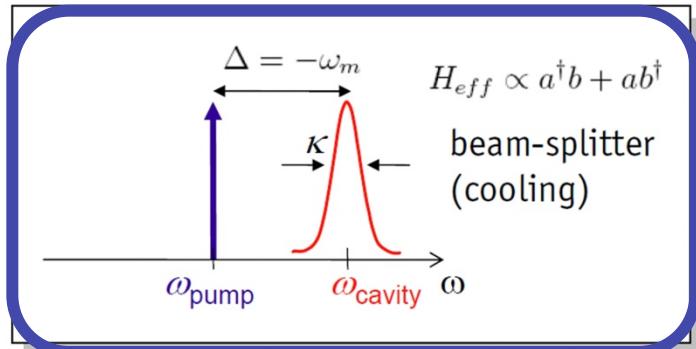


# Quantum Optomechanics

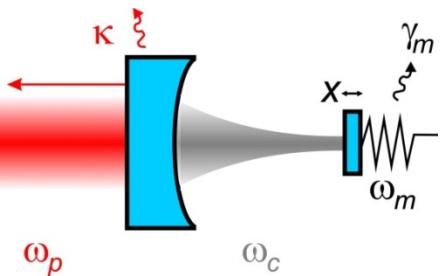


Ideal case:

resolved sideband regime, RWA



full quantum optics toolbox  
to prepare and control  
**mechanical quantum states**  
via photonic quantum states



Requires:

**Minimum entropy** mechanical states  
(e.g. ground state)

+

**Strong cooperativity**

$g$ : OM coupling

$\kappa$ : cavity decay

$\gamma$ : mechanical damping

$n_{bath}$ : bath phonon number

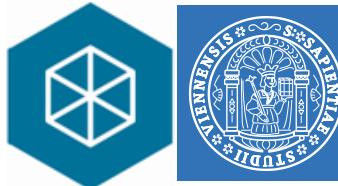
$$C = g^2 / k g n_{bath}$$

Early ideas:

Zhang, Peng, Braunstein, PRA **68**, 013808 (2003)

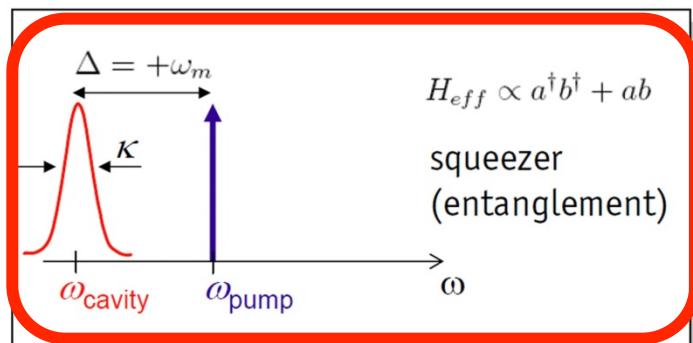
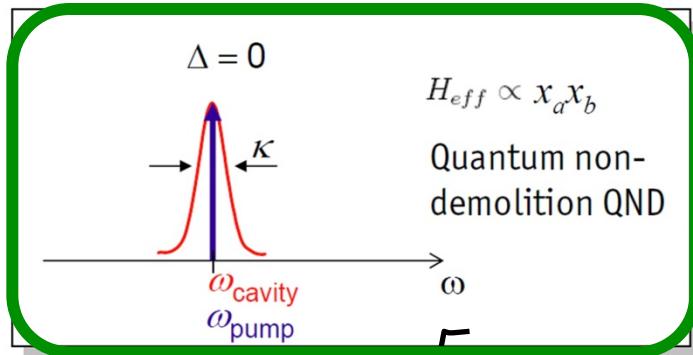
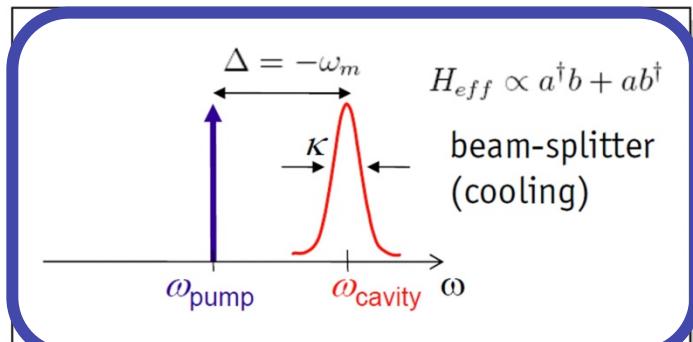
Aspelmeyer, Kippenberg, Marquardt, RMP **86**, 1391 (2014)

# Quantum Optomechanics



Ideal case:

resolved sideband regime, RWA



full quantum optics toolbox

to prepare and control

Teufel et al., Nature 2011 states

Chan et al., Nature 2011\* states

Gröblacher et al., Nature 2009

Teufel et al., Nature 2011

Verhagen et al., Nature 2012

mechanical states

(e.g. ground state)

+

Strong cooperativity

$\approx \text{OM coupling}$

$$C = g \gamma_2 / k g n_{\text{bath}}$$

Brooks et al., Nature 2012

Safavi-Naeini et al., Nature 2013\*

Purdy et al., PRX 2013

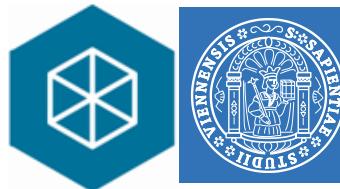
Palomaki et al., Science 2013

Riedinger et al., Nature 2016

Zhang, Peng, Braunstein, PRA **68**, 013808 (2003)

Aspelmeyer, Kippenberg, Marquardt, RMP **86**, 1391 (2014)

# Quantum Optomechanics

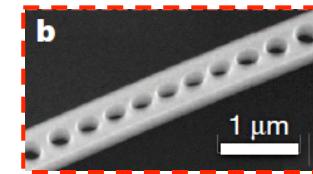


## Quantum ground state of motion

Microwave cavity cooling: Teufel et al., Nature 475, 359 (2011)

Laser cooling: Chan et al., Nature 478, 89 (2011)

*... and many more around the world...*



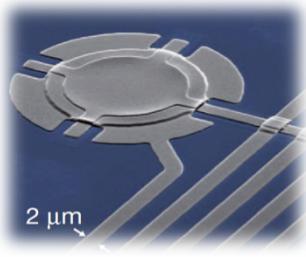
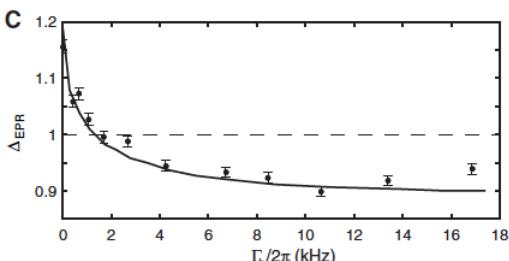
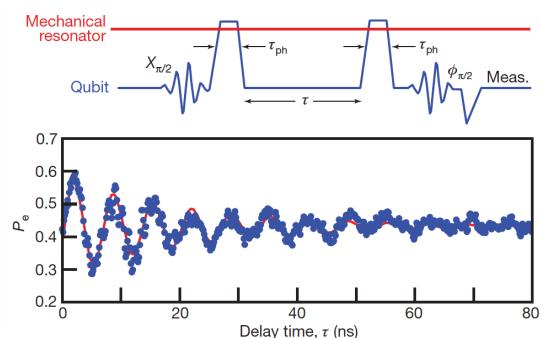
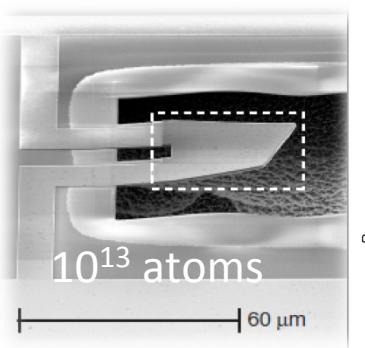
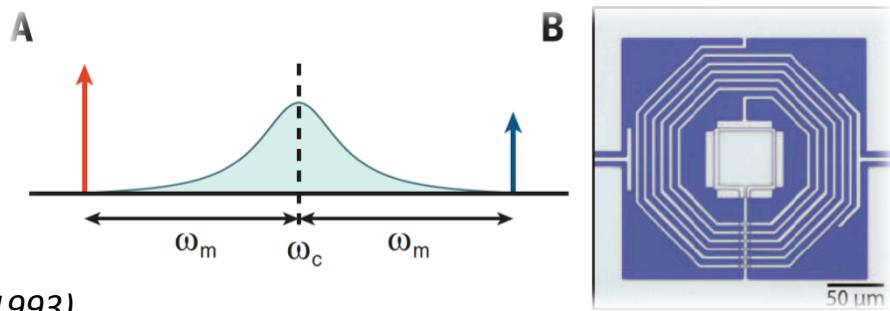
## Quantum squeezed states of motion

Wollman et al., Science 349, 952 (2015)

J.-M. Pirkkalainen et al., PRL 115, 243601 (2015)

F. Lecocq et al., PRX 5, 041037 (2015)

„reservoir engineering“  
(see also Cirac et al. PRL 70, 556 1993)



## Non-Gaussian quantum states of motion

Phonon control through superconducting qubit:

O'Connell et al., Nature 464, 697 (2010)

Photon-phonon correlations:

Riedinger, Hong et al., Nature 530, 313 (2016)

## Quantum entanglement

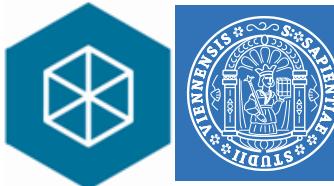
EPR-type entanglement (MW):

Palomaki et al., Science 342, 710 (2013)

Bell-type entanglement (optical):

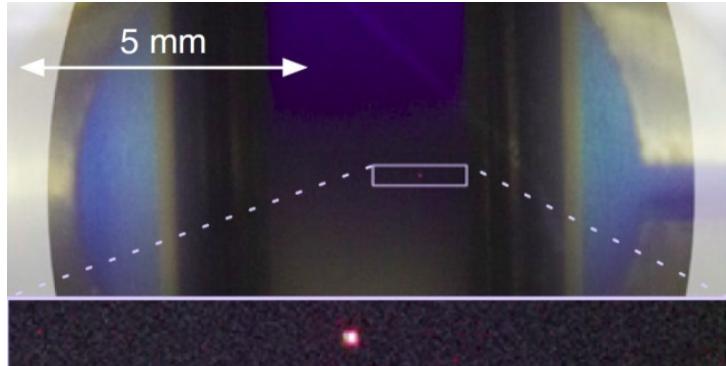
Lee et al., Science 334, 1253 (2011)

# Nanoparticle in a cavity



Uni Vienna

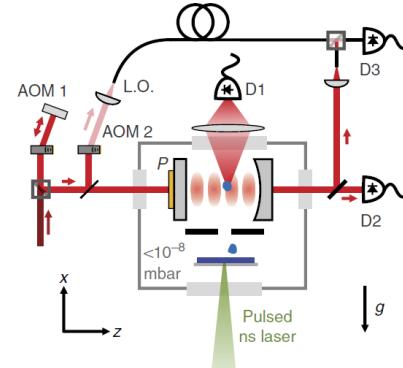
## Cavity cooling of a trapped sphere



Kiesel, Blaser, Delic, Grass, Kaltenbaek, Aspelmeyer, PNAS 110, 14180 (2013)

Uni Vienna

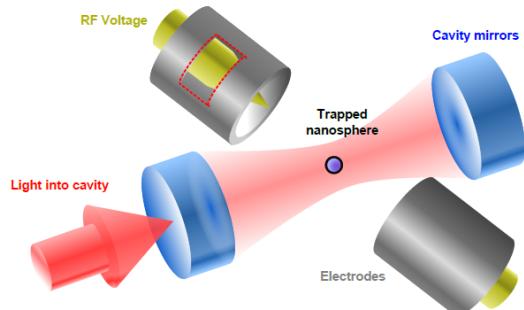
## Cavity cooling of a free particle



Asenbaum et al., Nature Communications 4, 2743 (2013)

UCL

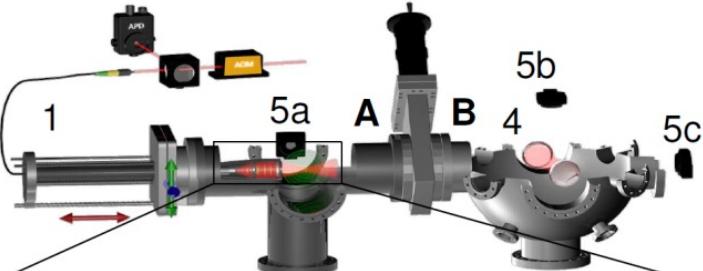
## Cooling in hybrid electro-optical trap



Millen et al., Phys. Rev. Lett. 114, 123602 (2015)

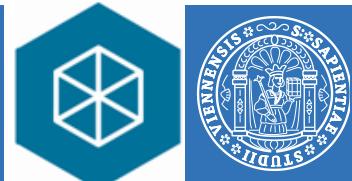
ICFO

## Transport and cavity transition in UHV



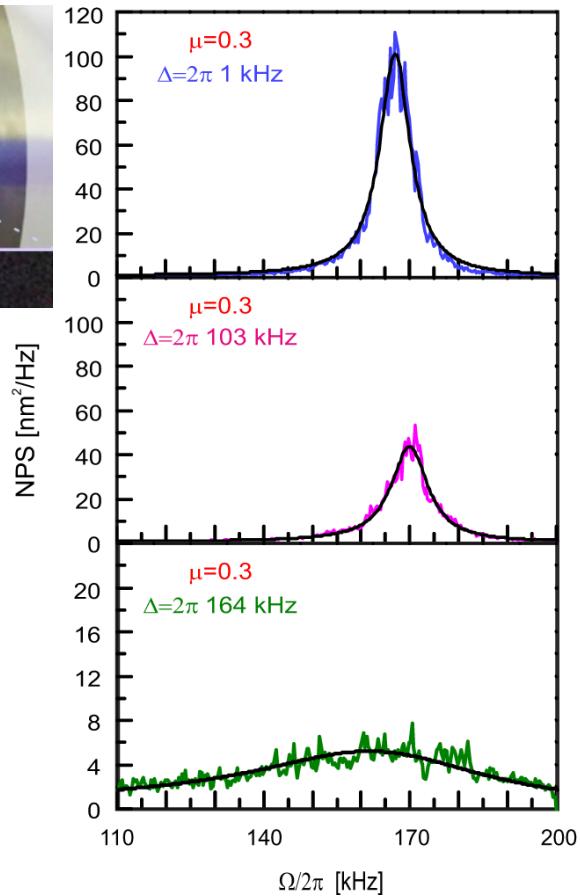
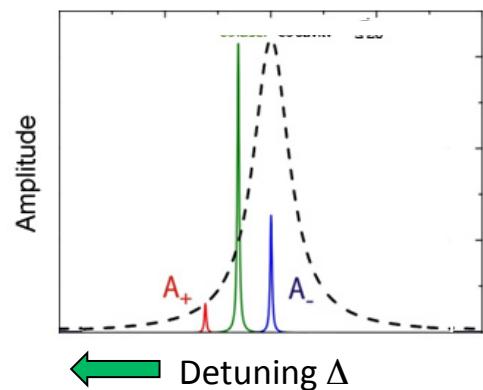
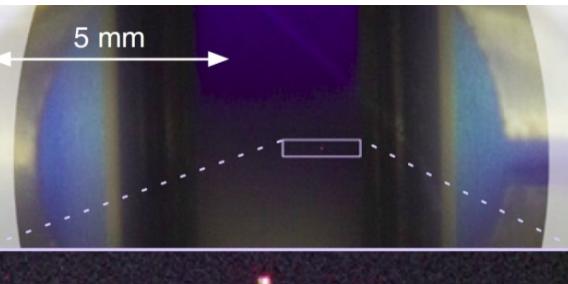
Mestres et al., Appl. Phys. Lett. 107, 151102 (2015)

# Cooling to approx. 50 K @ 4 mbar

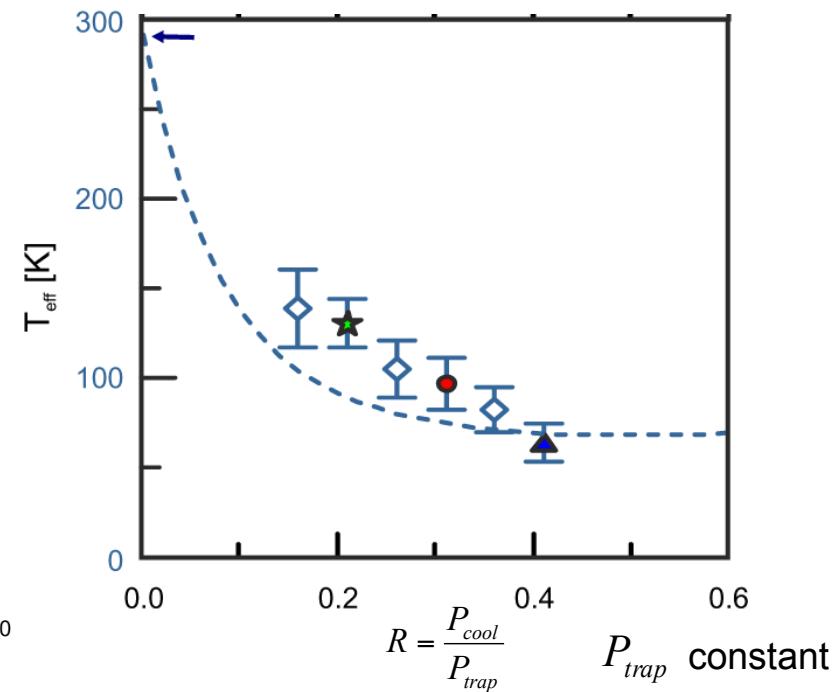


Note: Probe beam = optical trap

Kiesel, N. et al., PNAS 110, 14180 (2013)

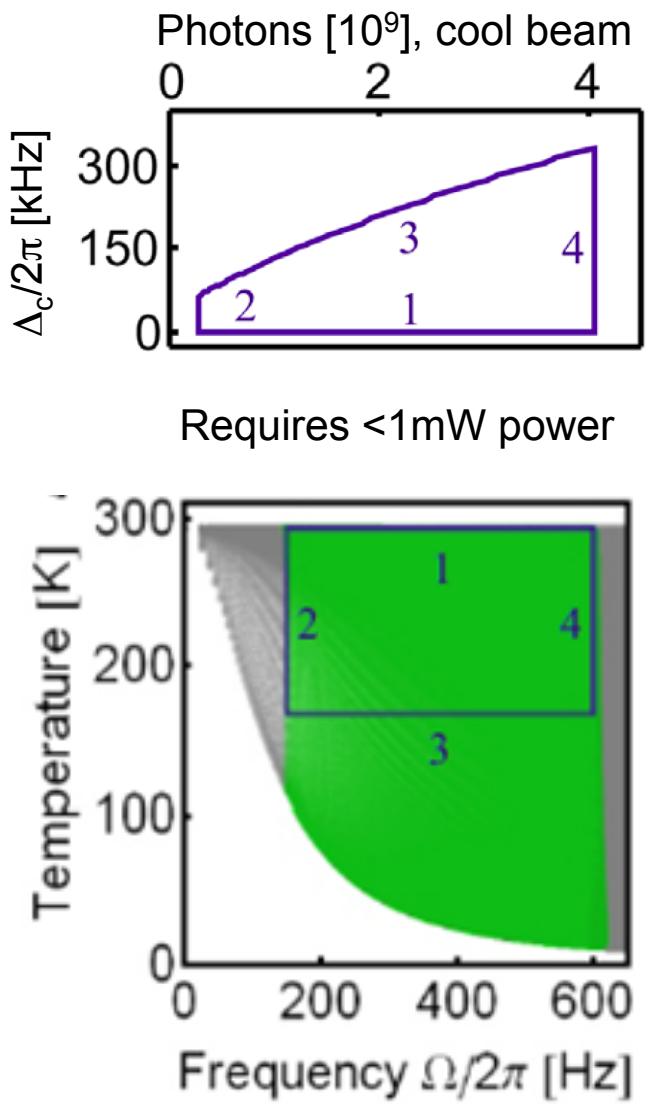
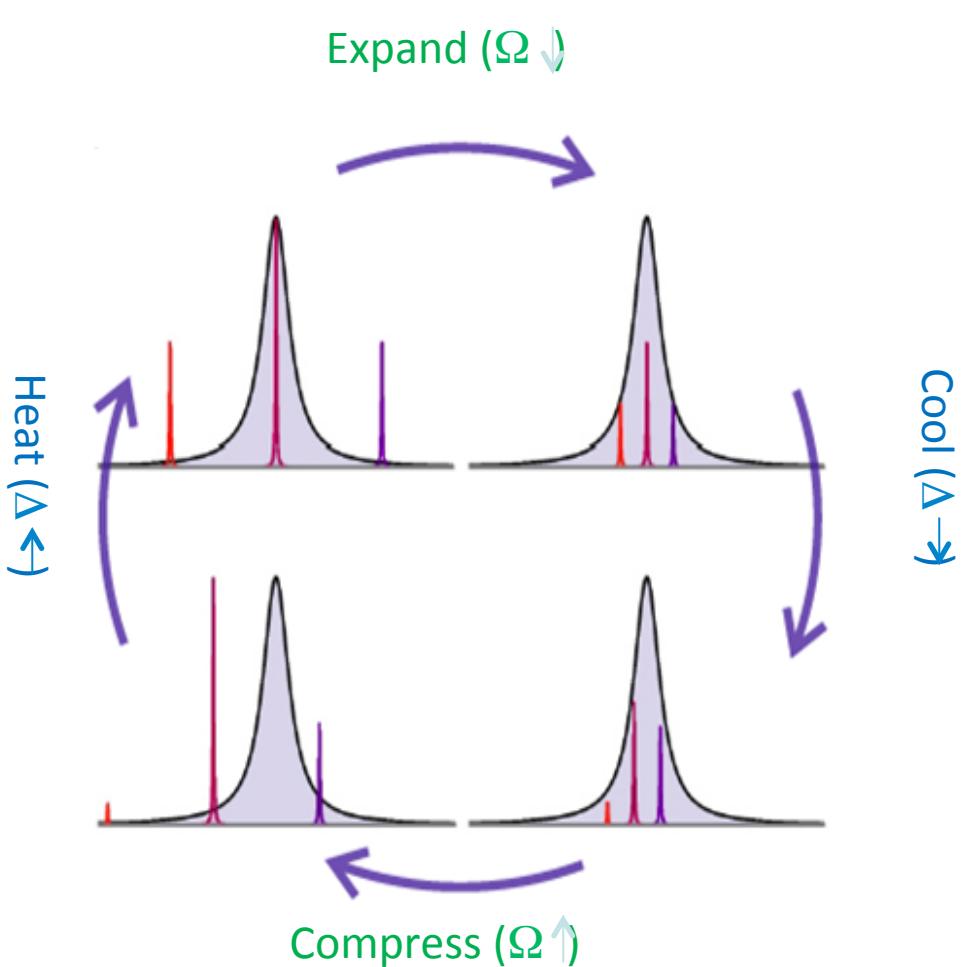
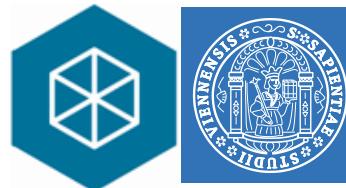


## Optomechanical Cooling

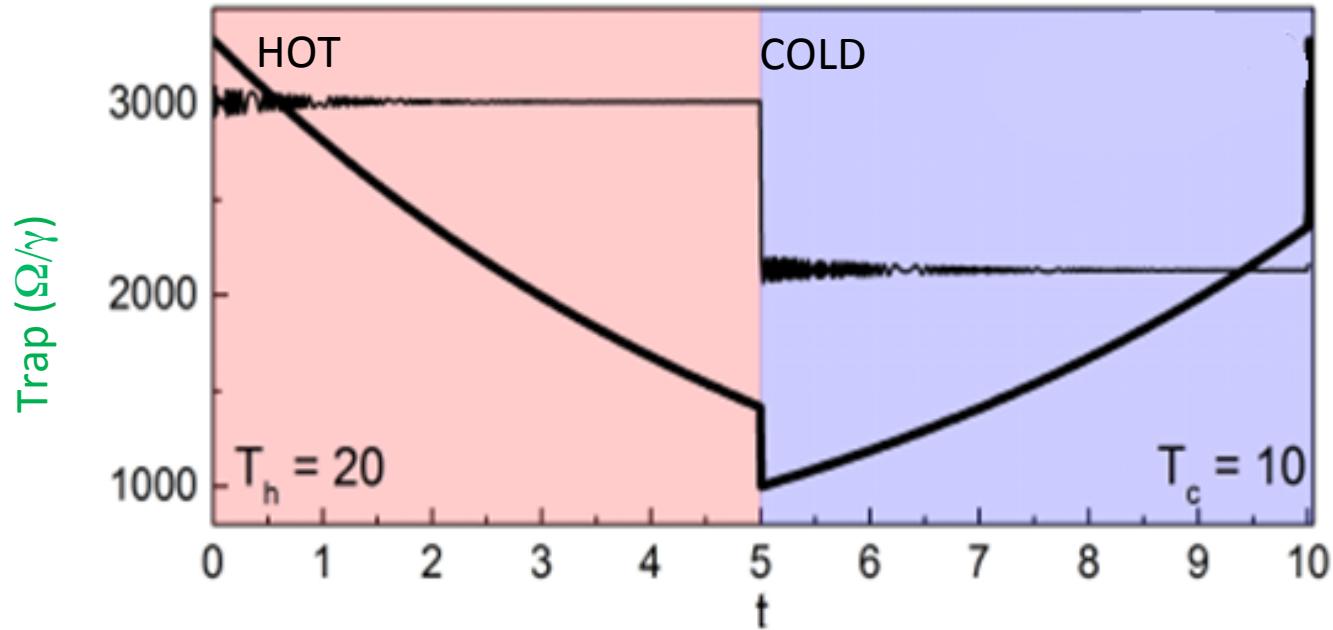
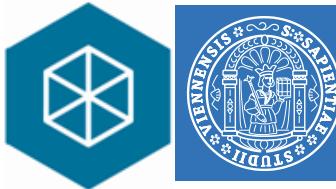


Cooling rate effectively  
up to approx. 40 kHz

# Implementing a Sterling cycle



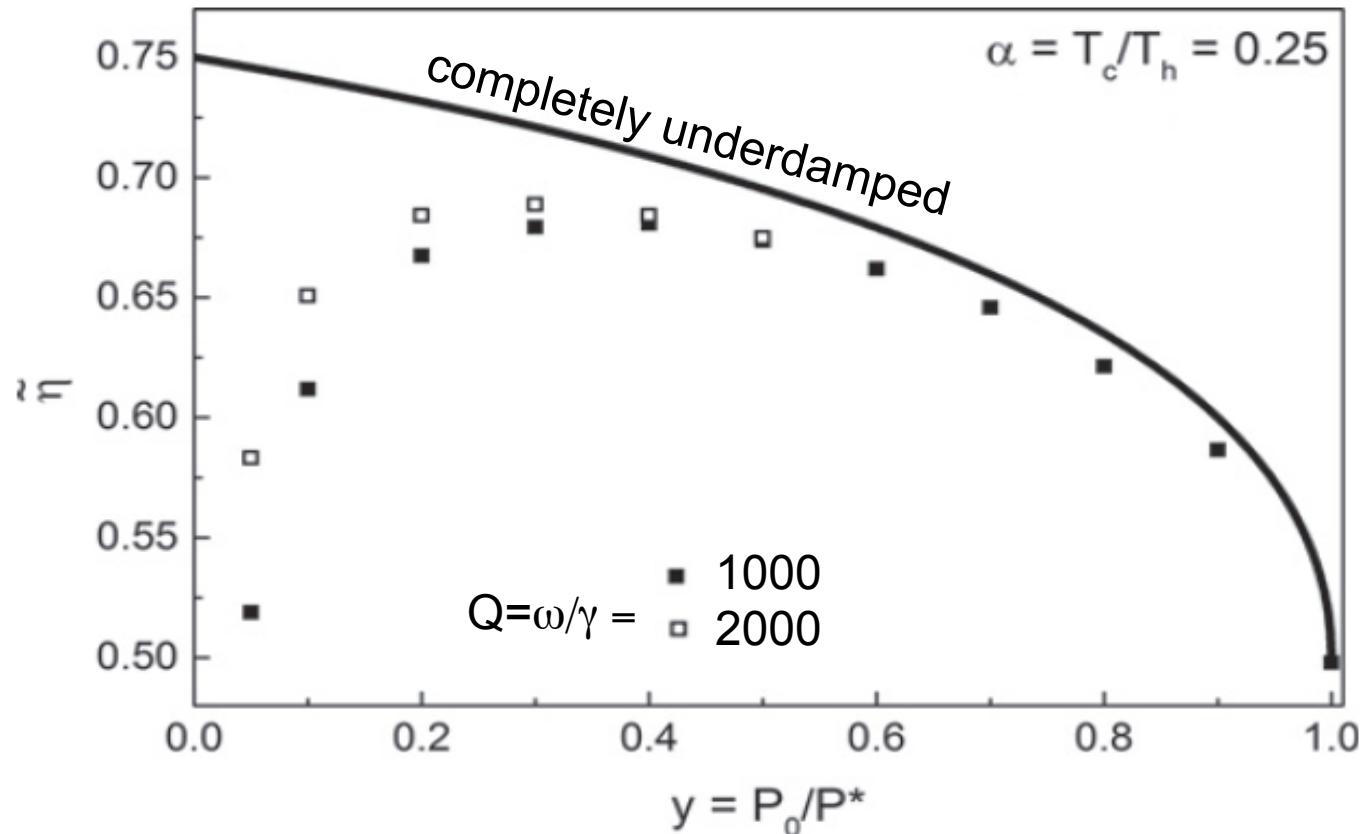
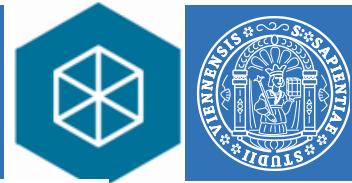
# Timing is everything - Optimization



Optimize efficiency at fixed power output  $\eta$  - exponential protocol

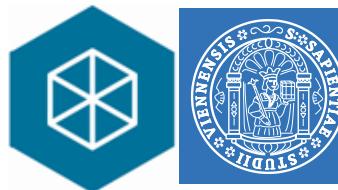
Steps accomodate for temperature jumps

# Timing is everything - Optimization

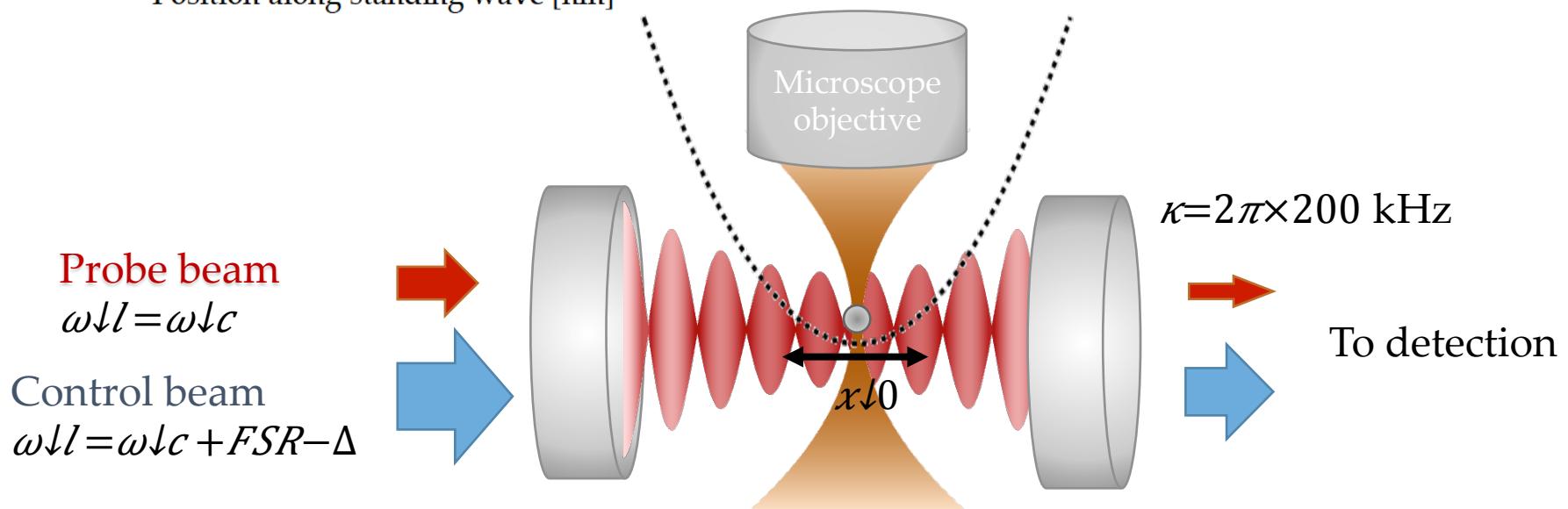
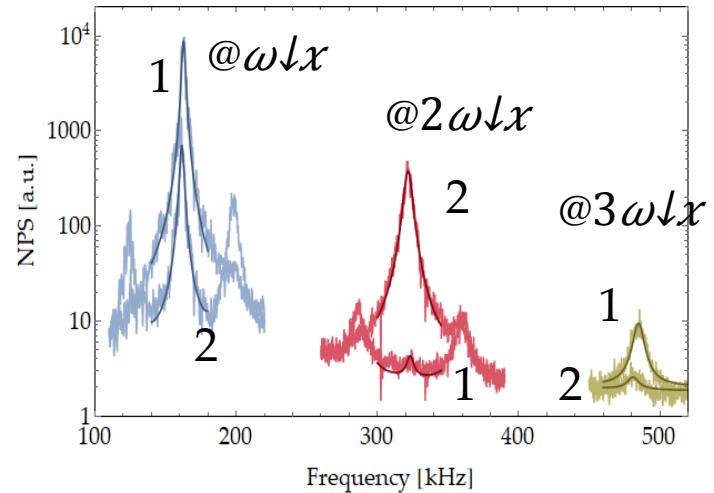
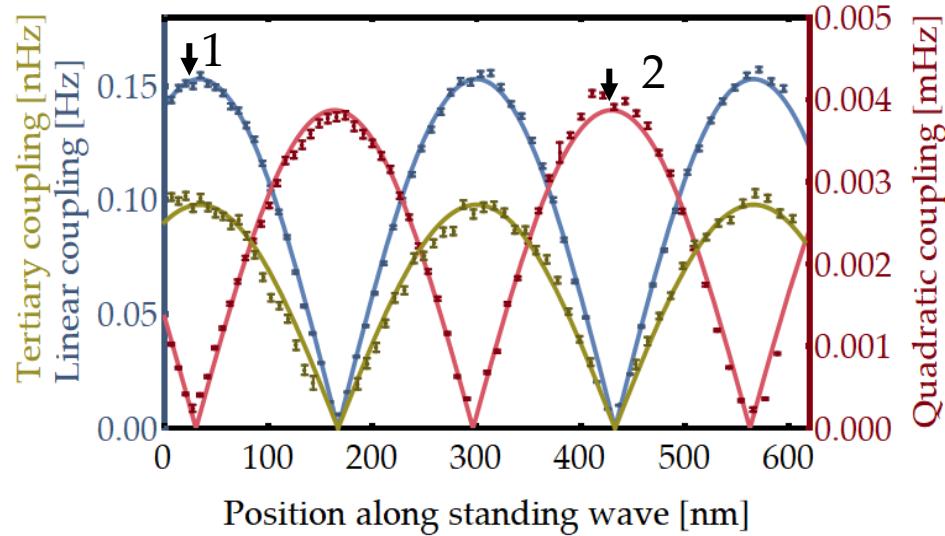


- Small deviations from max. Power result in strong efficiency increase  
$$\eta = 1 - \sqrt{T_{\text{COLD}}/T_{\text{HOT}}}$$
- Efficiency at max. power is  
**general for underdamped systems**  
$$(Curzon Ahlborn bound)$$

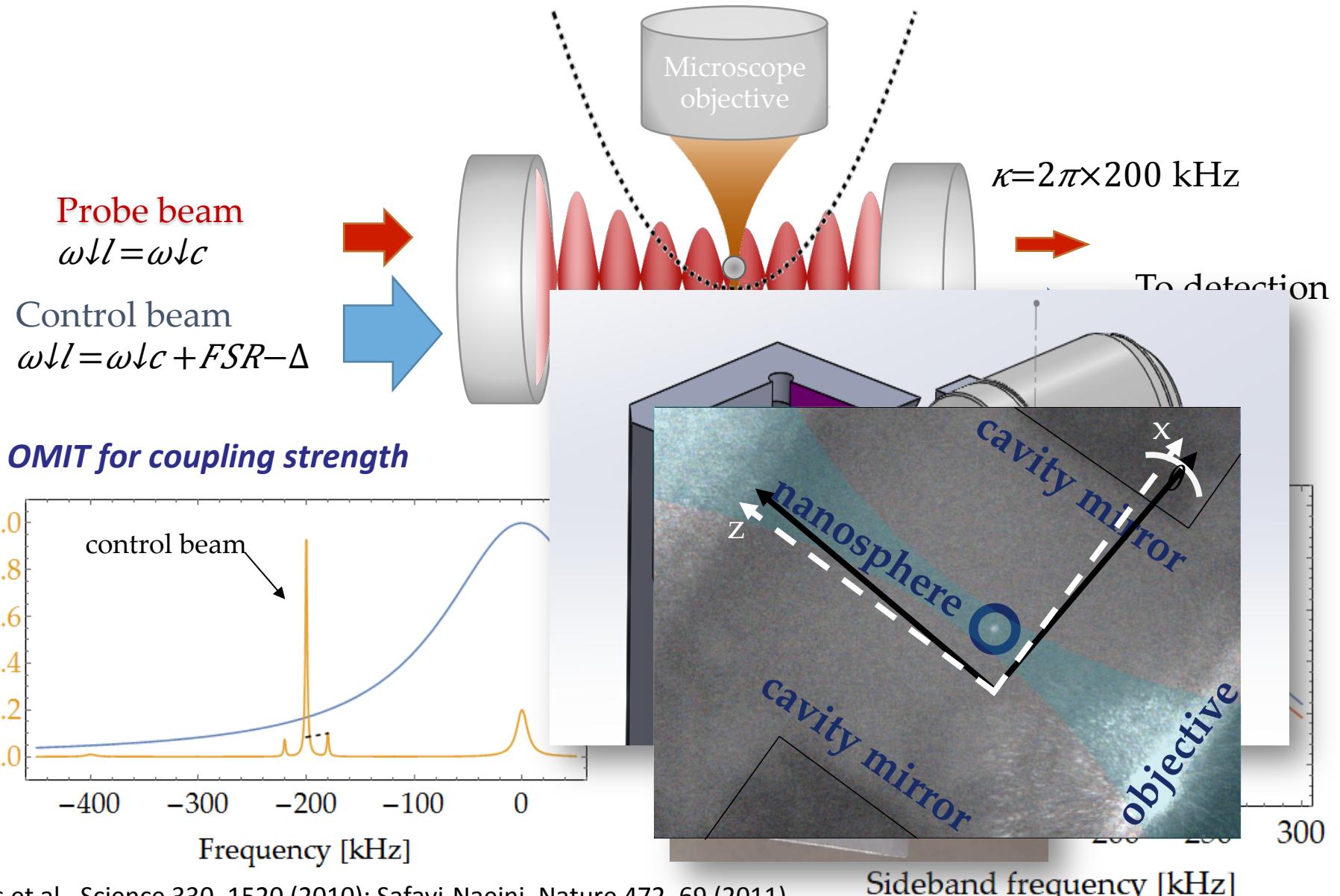
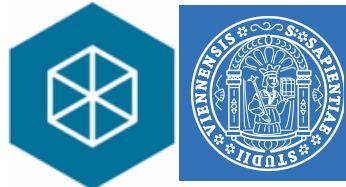
# Coupling to a cavity



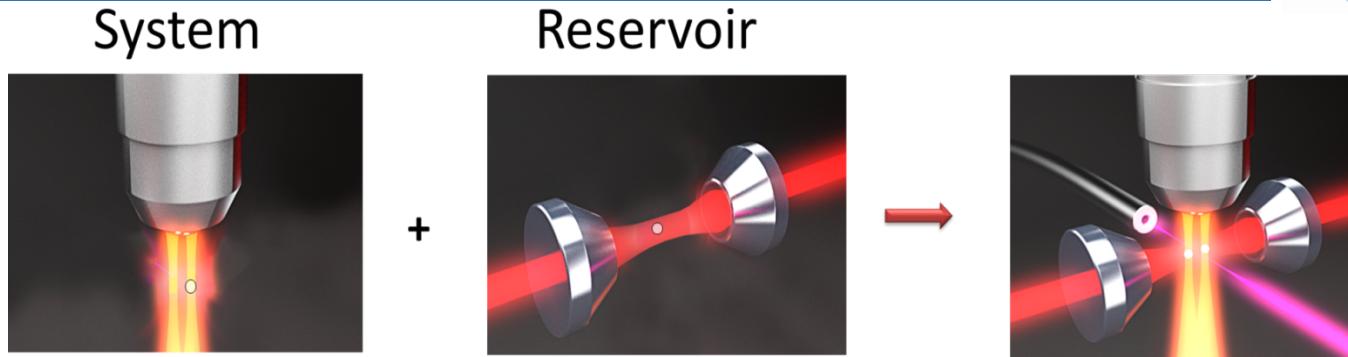
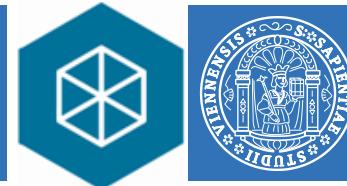
## Optomechanical coupling



# Optomechanical Coupling

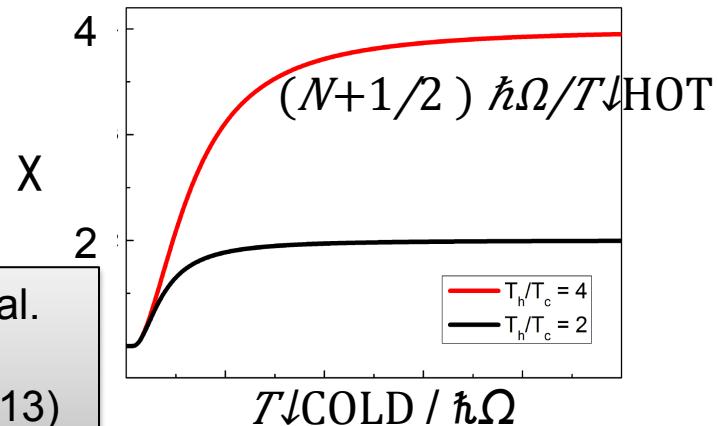


# Conclusion and Outlook

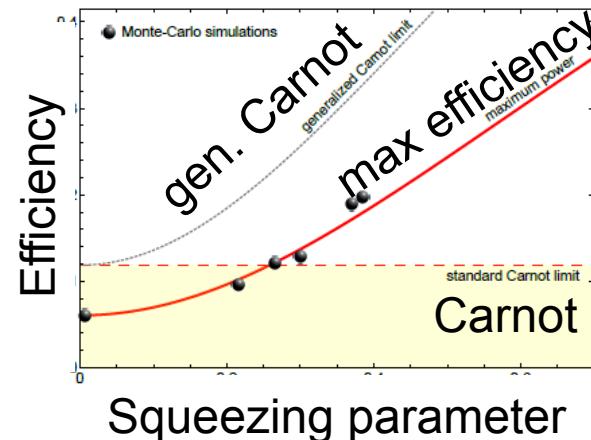


Optical levitation provides access to

- Complex POTENTIALS: Optimized geometry and use of multiple degrees of freedom
- FAST CONTROL: Far-from equilibrium and optimized thermodynamic protocols
- RESERVOIRS: Cavity Optomechanics as a versatile control tool
- QUANTUM THERMODYNAMICS: Natural extension into the quantum regime



Agarwal et al.  
PRE 88,  
012130 (2013)



Roßnagel et al.  
PRL 112,  
030602 (2014)

# Acknowledgements

## The cavity and HCPCF team



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Reisenbauer



Markus  
Aspelmeyer

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Mario  
Ciampini



Maxime  
Debiossac



Markus  
Rademacher



Tobias  
Wenzl  
and



## Collaborators: WSE, Heat engine (...),



Andreas  
Dechant



Michael  
Konopik



Eric  
Lutz



Monika  
Ritsch-Marte



Gregor  
Thalhammer

## Complex optical traps

