

# The Thermodynamics of Causal Modelling

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KITP

## Collaborators



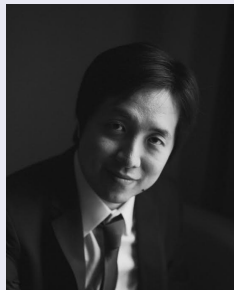
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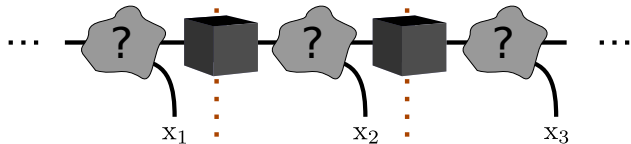


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Gu**

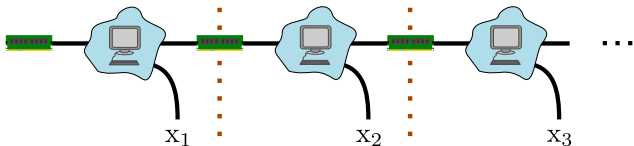
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stochastic  
process



simulator



In this talk: classical processes, quantum modelling

Think of:

stock price, weather, neural spike trains, scan through spin chain...

## Example: perturbed coin



- ▶ probability to flip:  $p$
- ▶ probability to show the same side again:  $1 - p$

# Stochastic Processes (discrete-time & discrete-valued)

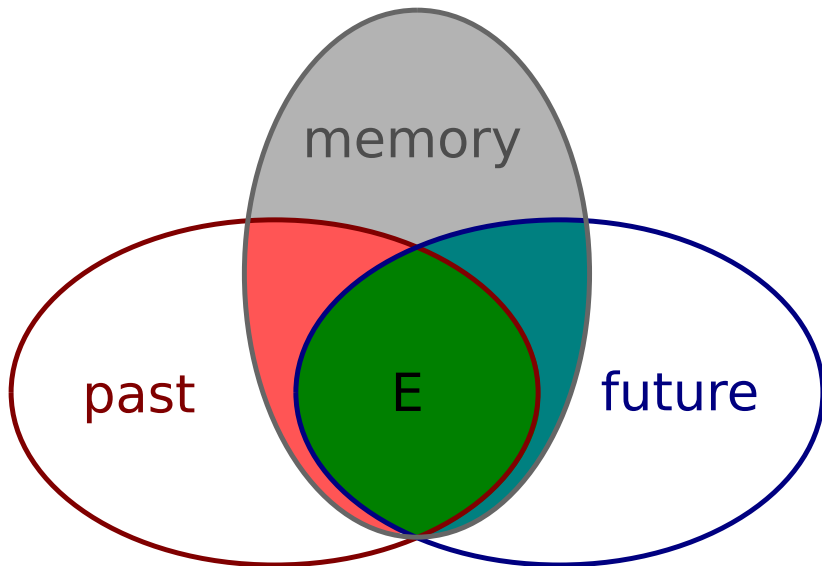
## Ingredients

- ▶ Alphabet  $\mathcal{A} = \{r_1, r_2, \dots, r_\alpha\}$
- ▶ Bi-infinite sequence of random variables  $X_t$ :  
 $\overleftrightarrow{X} := \dots X_{t-2} X_{t-1} X_t X_{t+1} \dots$
- ▶ Conditional probabilities:  
 $p(X_{t:\infty} = \underline{x}_{t:\infty} | X_{-\infty:t} = \underline{x}_{-\infty:t})$
- ▶ Stationarity:  
 $p(X_{t:\infty} = \overrightarrow{x} | X_{-\infty:t} = \overleftarrow{x})$   
 $= p(X_{t+L:\infty} = \overrightarrow{x} | X_{-\infty:t+L} = \overleftarrow{x})$   
 $= p(\overrightarrow{x} | \overleftarrow{x})$

## perturbed coin

- ▶  $\mathcal{A} = \{H, T\}$
- ▶  $\dots \text{HHHTTHTTTTHH} \dots$
- ▶  $p(H_t \dots | \dots T_{t-1}) = p$
- ▶  $p(H \dots | \dots T) = p$

crypticity, statistical complexity, and oracular information



# Applying Ockham's razor to Ockham's pool

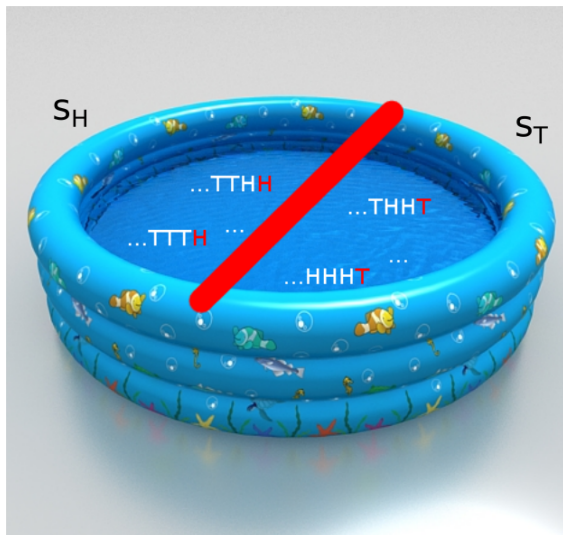
## Causal states

Group all histories according to equivalence relation:

$$\overleftarrow{x} \sim_{\epsilon} \overleftarrow{x}'$$

iff

$$p(\overrightarrow{X} | \overleftarrow{x}) = p(\overrightarrow{X} | \overleftarrow{x}')$$



## $\epsilon$ -machines

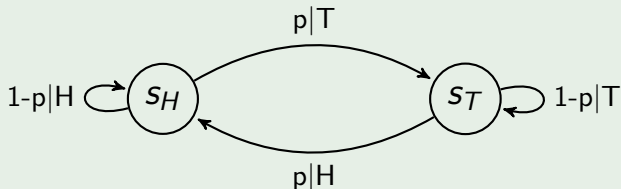
- ▶ Alphabet  $\mathcal{A}$
- ▶ Set of causal states

$$\Sigma = \{s_1, s_2, \dots, s_N\}$$

- ▶ Transition matrix

$$T_{j|i}^x = p(x, s_j | s_i)$$

## Perturbed coin



[Crutchfield & Young, PRL **63** (1989)]

[Shalizi & Crutchfield, J. Stat. Phys. **104** (2001)]



# Complexity: amount of memory required for simulation

## Statistical Complexity

$$C_\mu := H[\pi] = - \sum \pi_i \log \pi_i$$

$\pi = \{\pi_i\}$ : stationary distribution

$\epsilon$ -machines: minimal and unique

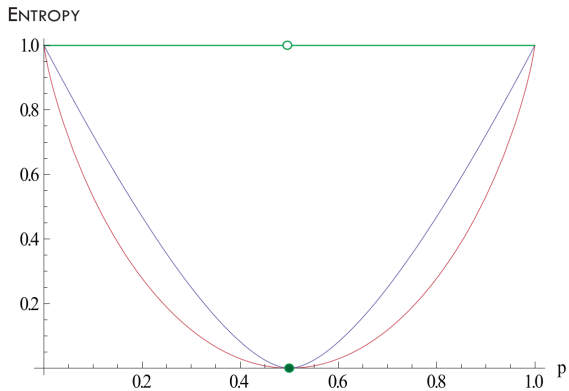
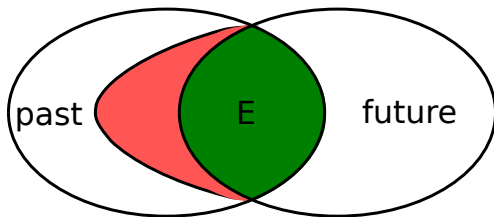
## Perturbed coin

$$C_\mu = 1$$

because:  $\pi_H = \pi_T = \frac{1}{2}$   
(unless  $p = \frac{1}{2}$ ! Then:  $C_\mu = 0$ )

[Crutchfield & Young, PRL **63** (1989)]

[Shalizi & Crutchfield, J. Stat. Phys. **104** (2001)]



## Replacing bits with qubits: reduced complexity

Quantum causal states:

$$s_i \rightarrow |\sigma_i\rangle$$

Stationary state:

$$\rho = \sum_i \pi_i |\sigma_i\rangle\langle\sigma_i|$$

Quantum statistical complexity:

$$C_q := S(\rho) = -\text{tr}[\rho \log \rho]$$

## Example: perturbed coin

$$|S_H\rangle := \sqrt{p} |T\rangle + \sqrt{1-p} |H\rangle$$

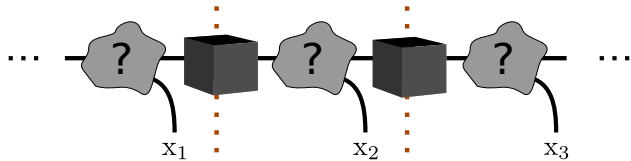
$$|S_T\rangle := \sqrt{p} |H\rangle + \sqrt{1-p} |T\rangle$$

[Gu et al., Nat. Comm. **3** (2012)]

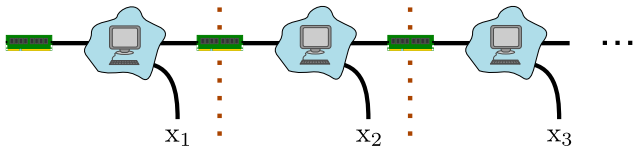
[Mahoney et al., Sci. Rep. **6** (2016)]

[Riechers et al., PRA **93** (2016)]

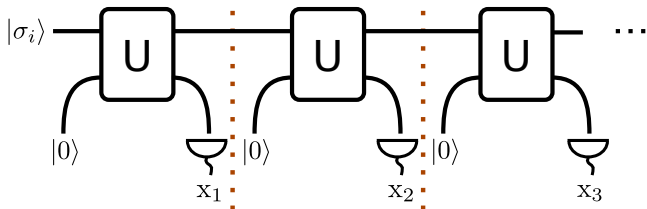
stochastic process

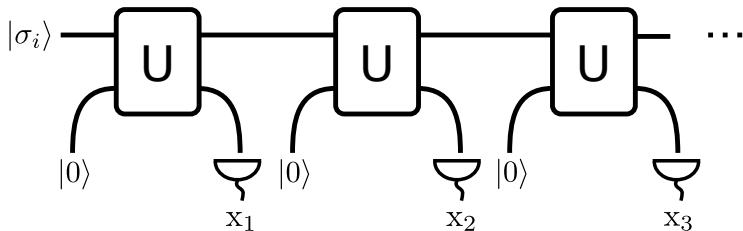


simulator



quantum simulator





**We want:**

$$U|\sigma_i\rangle|0\rangle = \sum_{j,x} \sqrt{p(x, s_j | s_i)} |\sigma_j\rangle |x\rangle \equiv |1_i\rangle$$

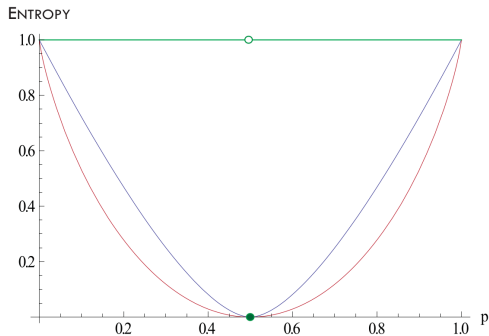
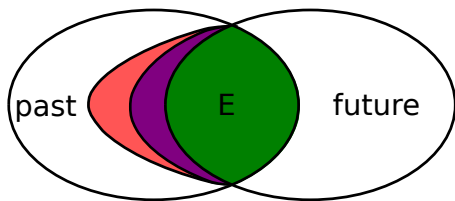
**Such  $U$  exists iff**

$$\langle 1_i | 1_j \rangle = \langle \sigma_i | \sigma_j \rangle$$

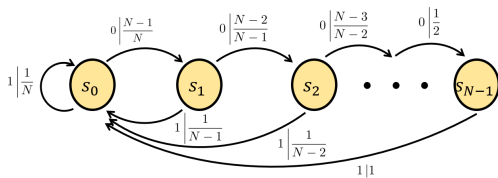
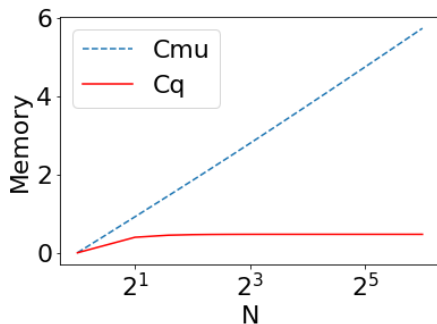
**Construction:**

Solve for  $\langle \sigma_i | \sigma_j \rangle$  and proceed with Gram-Schmidt.

# Quantum encoding saves memory but $C_q$ still exceeds $E$



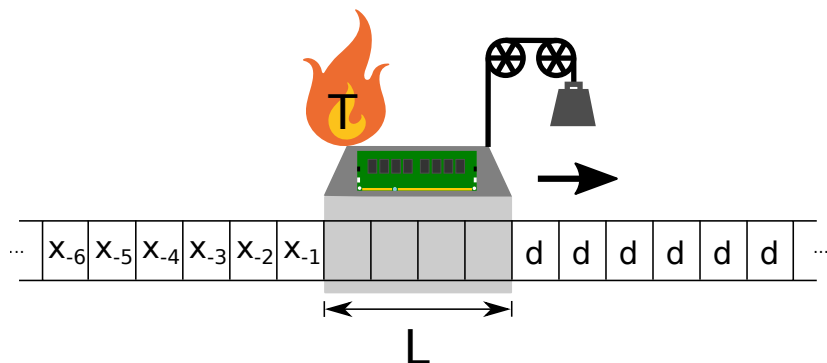
# Quantum advantage $C_\mu - C_q$ can be unbounded



[Yang, FB, Narasimhachar, Gu, 1803.08220]

see also: [Garner et al., NJP **19**, 103009 (2017)]

# Information ratchet $\rightarrow$ prescient pattern generator



$$W_{min}^{(L)} = \Delta E = W_{er} + T\chi_q + W_{mod}^{(L)}$$

- ▶  $W_{er} = TL[S(d) - h_\mu]$  ( $h_\mu = \lim_{L \rightarrow \infty} H[Y_{0:L}]/L$ )
- ▶  $\chi_q = C_q - E$
- ▶  $W_{mod}^{(L)} = 0 \forall L \geq R$



## Summary

- ▶ In stochastic process simulation, there is an unavoidable work cost  $\propto \chi_q$  due to causality.
- ▶ Quantum encoding reduces this cost, compared to classical simulation.
- ▶ The difference between classical and quantum encoding can become unbounded for some families of processes.

**Thank you for your attention.**

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# Information Thermodynamics

Will the particle be found on the left or on the right?



# Maxwell's Demon

