

# Anomaly matching & $S^1$ compactification

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Anomaly matching  
QFT with sym.  $\mathbb{Z} G \Rightarrow A : G$ -gauge field

$$Z[A+d\theta] = Z[A] e^{\frac{i\mathcal{A}(\theta, A)}{\hbar}}$$


anomaly.  $\Rightarrow$  RG-inv.

✓ Vacuum must reproduce  $\mathcal{A}$ . (Hooft anomaly matching)

• Volume indep. (or. Adiabatic compactification).

$$\begin{array}{c} \mathbb{R}^{d+1} \\ \uparrow \mathbb{R} \\ \mathbb{R}^d \end{array} \Rightarrow \mathbb{R}^d \times S^1$$

$L$ : small Weak coupling (Asymptotic freedom)



$$\vec{\Phi}(x, x^{d+1}+L) = \Omega \cdot \vec{\Phi}(x, x^{d+1})$$

✓ Claim: Vacuum of  $\mathbb{R}^{d+1}$  = Vacuum of  $\mathbb{R}^d \times S^1$ .  
with appropriate t.b.c.

To be consistent,

$$\text{Anomaly on } \mathbb{R}^d \times S^1 = \text{Anomaly on } \mathbb{R}^{d+1}$$

Q. Is it possible?

Difficulty: Thermal fluc. eliminates  $\mathcal{A}$  usually.

ex. 3D free Dirac fermion:  $U(1)$  & T.

$$Z[T, A] = Z[A] e^{i\mathcal{A}(-\frac{i}{4\pi} \text{Ad}A)}$$

Set  $M^3 = M^2 \times S^1_L$  &  $L \ll \text{size}(M^2)$ .

$A_2$ :  $U(1)$ -conn. on  $M^2 \Rightarrow A_2 dA_2 = 0$ .

No anomaly.

Solve this difficulty.

- Pure YM. (Gaiotto, Kapustin, Komargodski, Seiberg).  
or Theories with 1-form symm.
- Theories without 1-form symm. (course work)  
e.g.  $CP^{N-1}$  model  
 $\Rightarrow$  Massless  $N$ -flavor QCD with flavor twisted b.c.

Pure SYM YM  $\otimes \theta = \pi$ .

Symm:  $\mathbb{Z}_N$  one-form & T.

$$W(c) = \text{tr}(Pe^{i\int c})$$

$$\mapsto w W(c). \quad (w = e^{2\pi i/N})$$

$\mathbb{Z}_N$  two-form gauge field  $B$ :  $\mathbb{Z}[B]$

$$\mathbb{Z}[T \cdot B] = \mathbb{Z}[B] e^{\frac{iN}{4\pi} \int B \wedge B}$$

$$\mathbb{R}^4 \Rightarrow \mathbb{R}^3 \times S^1$$



Polyakov loop  $\text{tr}(Pe^{i\int c})$ .

$$\mathbb{Z}_N \text{ 1-form} \Rightarrow \mathbb{Z}_N \text{ 0-form } B^{(1)} + \mathbb{Z}_N \text{ 1-form } B^{(2)}$$

Anomaly in 3D:  $B \equiv B^{(2)} + B^{(1)} \wedge L^{-1} dx^4$ ,

$$\mathbb{Z}[T \cdot (B^{(1)}, B^{(2)})] = \mathbb{Z}[B^{(1)}, B^{(2)}] e^{\frac{iN}{2\pi} \int B^{(1)} \wedge B^{(2)}}$$

$\Rightarrow$  Good for V.I.

We can claim that both vacua are controlled by the same anomaly matching.

- $CP^{N-1}$  (Dunne, Ünsal, ..., Sulejmanovic)

$$S = \int (i(d+i\alpha) |\vec{z}|^2 + V(|\vec{z}|^2)) + \frac{i\theta}{2\pi} \int da$$

$$\text{Symm: } \left\{ \begin{array}{l} \text{flavor } \frac{SU(N)}{\mathbb{Z}_N} \\ \otimes \theta = \pi, \quad T. \end{array} \right., \quad \vec{z} \mapsto U \cdot \vec{z}$$

$$\mathbb{R}^2 \Rightarrow \mathbb{R} \times S^1$$

$$\vec{z}(x^1, x^2 + L) = \Omega \cdot \vec{z}(x^1, x^2)$$

$$\Omega = \begin{pmatrix} \omega & & 0 \\ & \ddots & \\ 0 & & \omega^{N-1} \end{pmatrix}$$

$\Rightarrow$  Large- $N$  V.I.

$$\in SU(N)/\mathbb{Z}_N$$

- Anomaly.

flavor gauge field  
A -  $SU(N)$

B -  $\mathbb{Z}_N$

$$\mathbb{Z}_{\theta=\pi} [T \cdot (A, B)] = \mathbb{Z}_{\theta=\pi} [(A, B)] e^{i\int B}$$

$$\mathbb{R}^2 \Rightarrow \mathbb{R} \times S^1$$

$$\Omega = \mathcal{P} e^{i \int_{S^1} A} : \text{Background } SU(N) \text{ holonomy.}$$

$$\mathbb{Z}_N \text{ 1-form : } \Omega \rightarrow \omega \cdot \Omega$$

B.C. is changed  $\Rightarrow$  Different theories.

Shift symm.

$$\vec{z} = \begin{pmatrix} z_1 \\ \vdots \\ z_N \end{pmatrix} \mapsto \begin{pmatrix} z_2 \\ \vdots \\ z_N \\ z_1 \end{pmatrix} \equiv S \cdot \vec{z}$$

This also changes B.C.:

$$\Omega \rightarrow S \Omega S^{-1} = \omega \Omega$$

Intertwined  $\mathbb{Z}_N$  symm: - B.C.

$$\Omega \xrightarrow{\text{shift}} S \cdot \Omega \cdot S^{-1} \xrightarrow{\mathbb{Z}_N\text{-0-form}} \omega^{-1} \cdot S \cdot \Omega \cdot S^{-1} = \Omega$$

$B = B^{(1)} \wedge L^{-1} dx^2$  : Acts on Polyakov loop  $U(1)$   
 $e^{i\oint_0^L a} \rightarrow \omega e^{i\oint_0^L a}$

$Z_\Omega [T.(A, B)] = Z_\Omega [A, B] e^{i\int B^{(1)}}$

Thim (Y.T.-Misumi-Sakai)  
 QFT. with symm. Anomaly

$G = \frac{SU(N)}{\mathbb{Z}_N} \begin{matrix} A \\ B \end{matrix}$  &  $H \ni h$

$Z[h.(A, B)] = Z[A, B] e^{i\int h [B]}$

$\mathbb{R}^{D+1} \Rightarrow \mathbb{R}^D \times S^1$  w/ Background  $SU(N)$  hol.  $\Omega = Pe^{i\int A}$   
 s.t.  $\exists S \in SU(N)$   $S \cdot \Omega \cdot S^{-1} = \omega \cdot \Omega$

$\Rightarrow$  Mixed anomaly among  $(\mathbb{Z}_N)_{\text{shift}}$ ,  $\frac{Ab(SU(N))}{\mathbb{Z}_N} \begin{matrix} A' \\ B^{(2)} \end{matrix}$ ,  $H \ni h$   
 $Z[h.(A', B^{(1)}, B^{(2)})]$   
 $= Z[A', B^{(1)}, B^{(2)}]$   
 $\times \exp(i \int h [B^{(2)} + B^{(1)} \wedge L^{-1} dx^{D+1}])$

Application Massless  $N$ -flavor QCD ( $N_c = N_f = N$ )  
 (Related works: Shimizu, Yonekura; Gaiotto, Komargodski, Seiberg.)  
 Symm.  $\frac{SU(N)}{A} / \frac{\mathbb{Z}_N}{B}$ ,  $(\mathbb{Z}_N)_{\text{axial}}$

$Z[A, B] \xrightarrow{(\mathbb{Z}_N)_{\text{axial}}} Z[A, B] e^{\frac{2i\pi}{N} \int B \wedge B}$

anomaly if  $N \geq 3$

Flavor twisted b.c.

$\varphi_n(x, x^4 + L) = \varphi_n(x, x^4) e^{\frac{2\pi i n}{N}}$  ( $n=0, \dots, N-1$ )

$\varphi_i \rightarrow \varphi_{i+1}$ , Polyakov  $\rightarrow \omega \cdot$  Polyakov

Symm.  $(\mathbb{Z}_N)_{\text{shift}}$ ,  $\frac{U(1)^{N-1}}{\mathbb{Z}_N} \begin{matrix} A' \\ B^{(2)} \end{matrix}$ ,  $(\mathbb{Z}_N)_{\text{axial}}$

$Z[A', B^{(1)}, B^{(2)}] \xrightarrow{(\mathbb{Z}_N)_{\text{axial}}} Z[A', B^{(1)}, B^{(2)}] e^{\frac{2i\pi}{N} \int B^{(1)} \wedge B^{(2)}}$

**No trivially gapped phase for  $\mathbb{Z}_N$ -QCD!**

