

at KITP, UC Santa Barbara (October 2, 2013)

Theory of Spin Current Generation **in Spintronics**

Sadamichi Maekawa

*Advanced Science Research Center (ASRC),
Japan Atomic Energy Agency (JAEA) at Tokai
and CREST-JST.*

Co-workers:

*Theory: **H. Adachi** and **Y. Ohnuma** (ASRC, JAEA),*

*Experiment: **K. Uchida** and **E. Saitoh** (IMR, Tohoku University).*

Acknowledgements:

G. Bauer, J. Heremans, B. Hillebrands, Y. Otani,...

Contents:

- 1) Introduction of spin current and spin Hall effect,**
- 2) The linear response theory of spin current generation,**
- 3) Spin current generation by heat, i.e., Spin Seebeck effect,**
- 4) Spin Seebeck effect in Ferrimagnets and Antiferromagnets**

*Refs.: * H. Adachi, K. Uchida, E. Saitoh and S. Maekawa:
Rep. Prog. Phys. 76, 036501 (2013),*

**S. Maekawa, H. Adachi, K. Uchida, J. Ieda and E. Saitoh:
J. Phys. Soc. Jpn. (2013).*

Contents:

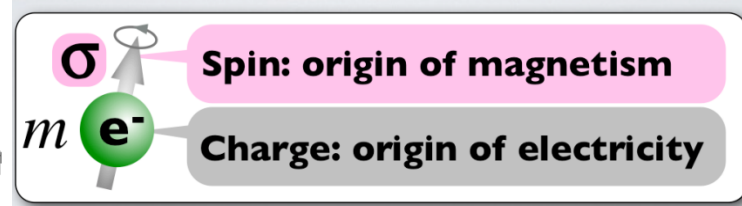
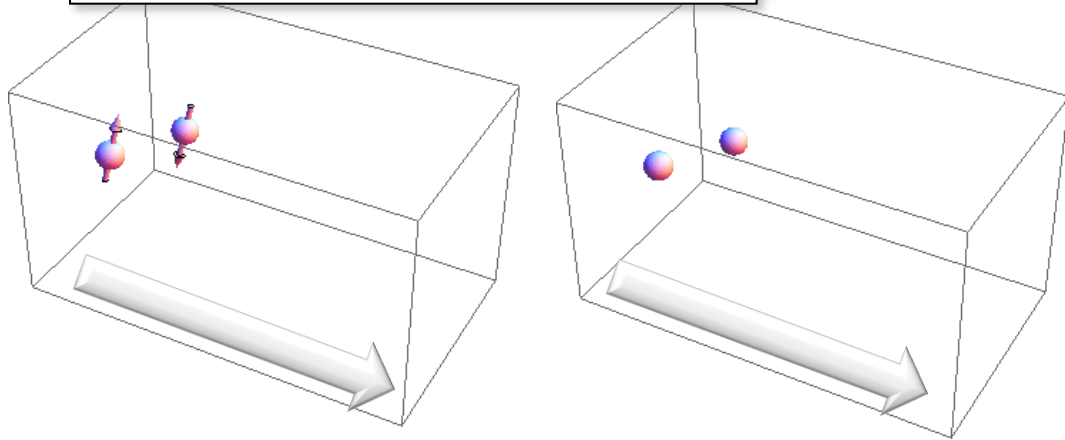
- 1) Introduction of spin current and spin Hall effect,**
- 2) The linear response theory of spin current generation,**
- 3) Spin current generation by heat, i.e., Spin Seebeck effect,**
- 4) Spin Seebeck effect in Ferrimagnets and Antiferromagnets**

**Refs.: * H. Adachi, K. Uchida, E. Saitoh and S. Maekawa:
Rep. Prog. Phys. 76, 036501 (2013),**

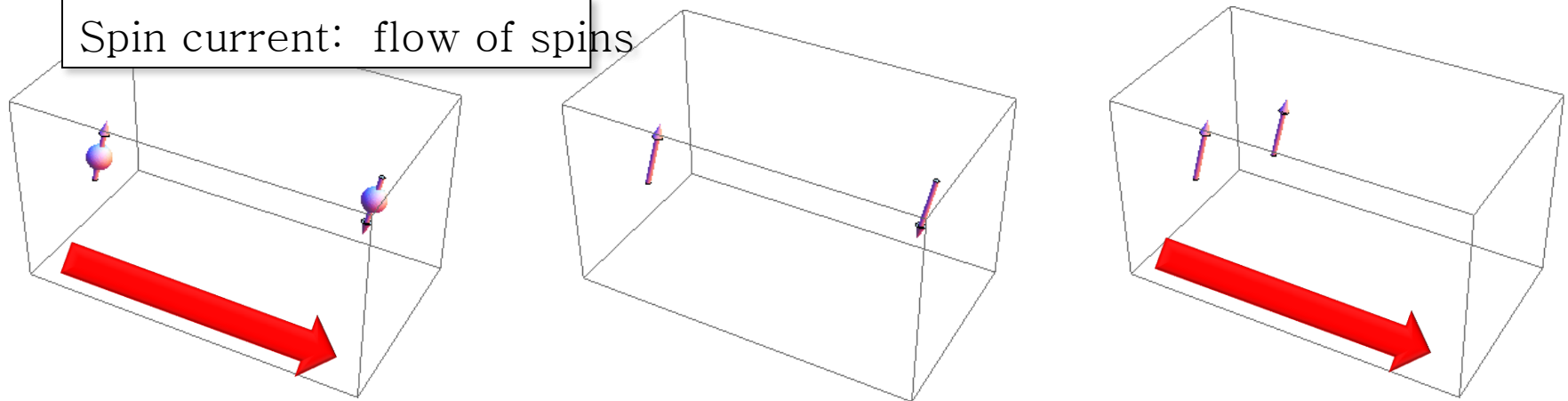
***S. Maekawa, H. Adachi, K. Uchida, J. Ieda and E. Saitoh:
J. Phys. Soc. Jpn. (2013).**

Spintronics utilizes charge and spin currents on an equal footing

Charge current: flow of charges

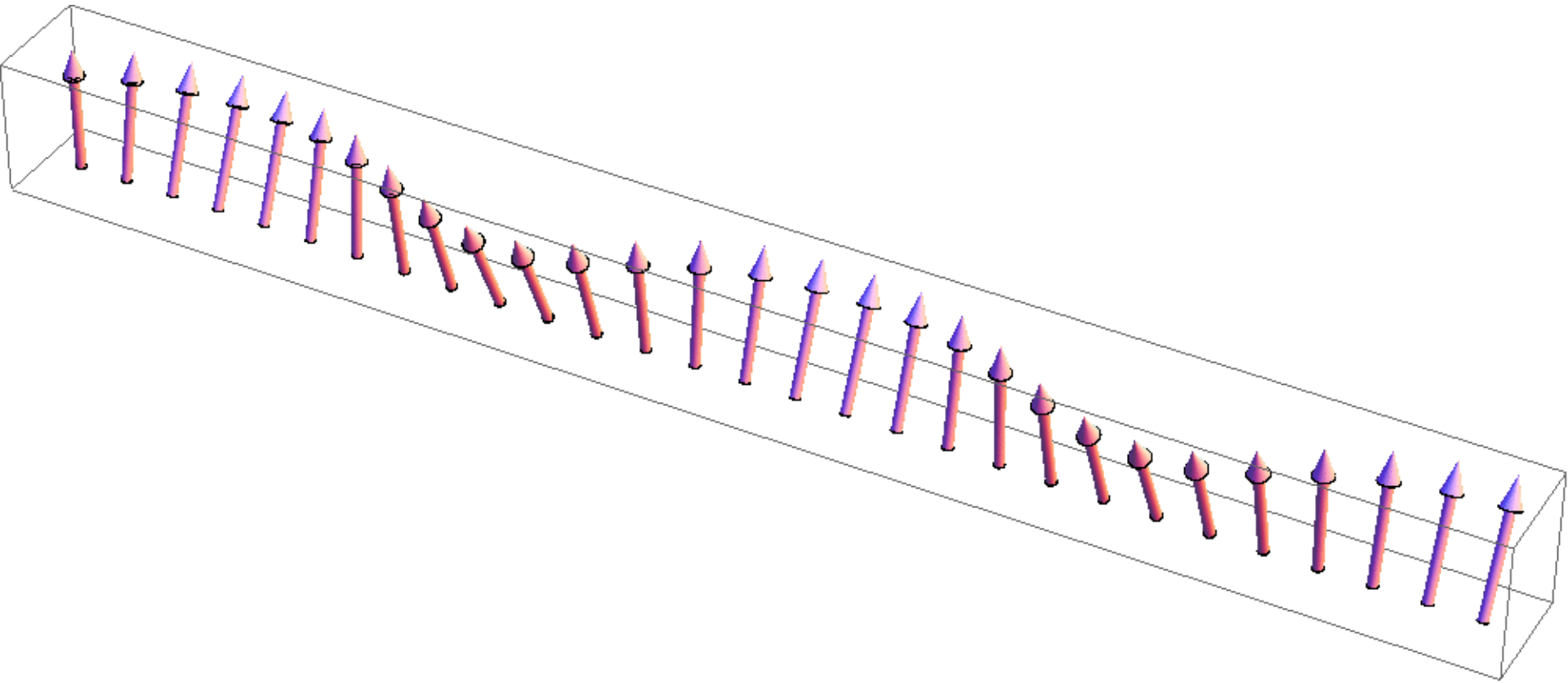


Spin current: flow of spins



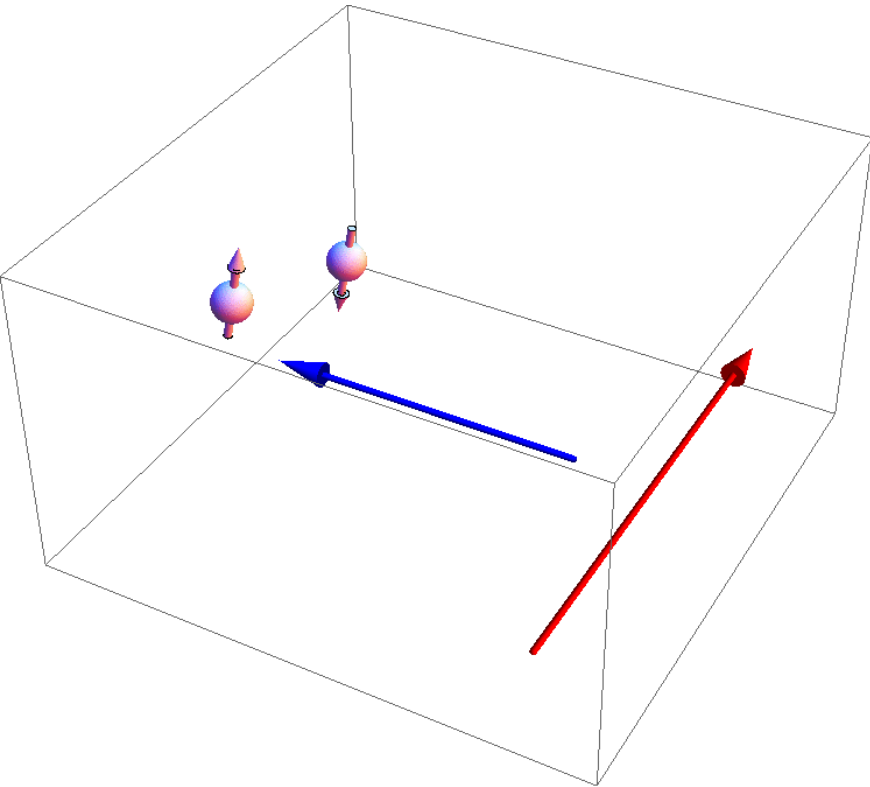
Spin current carried by conduction electrons

Spin-wave (magnon) spin current

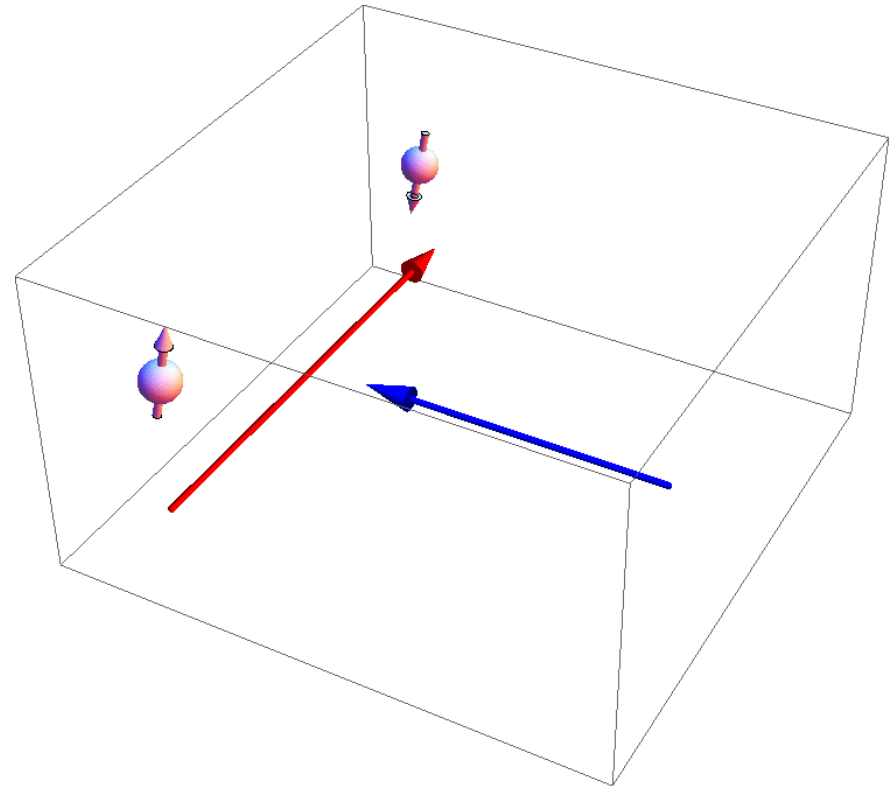


Spin Hall Effect / Inverse SHE

Conversion between charge and spin current



Charge current
→ Spin current



Spin current
→ Charge current

LETTERS

Transmission of electrical signals by spin-wave interconversion in a magnetic insulator

Y. Kajiwara^{1,2}, K. Harii¹, S. Takahashi^{1,3}, J. Ohe^{1,3}, K. Uchida¹, M. Mizuguchi¹, H. Umezawa⁵, H. Kawai⁵, K. Ando^{1,2}, K. Takanashi¹, S. Maekawa^{1,3} & E. Saitoh^{1,2,4}

Spin Hall effect



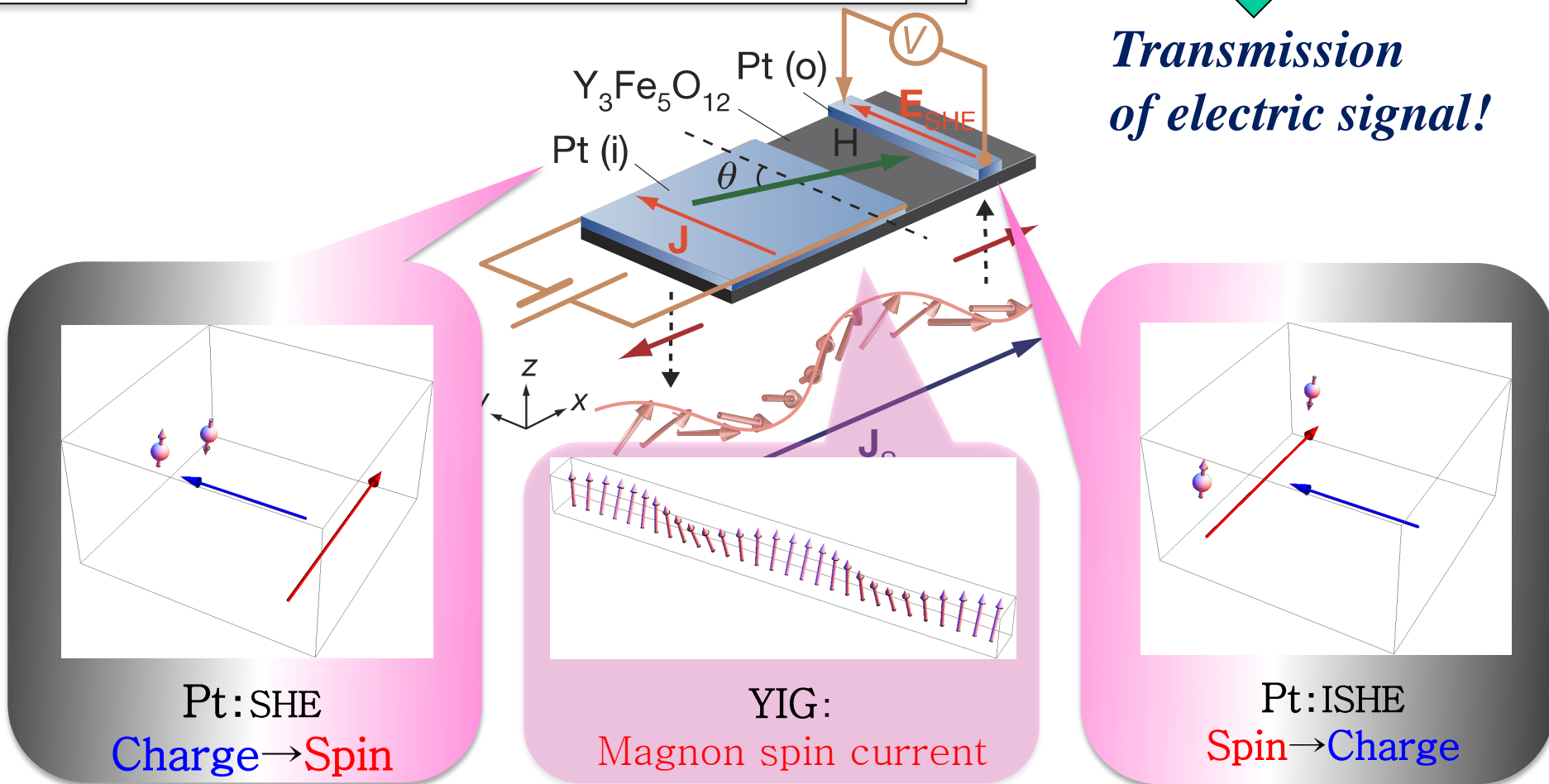
Magnon spin current



Inverse spin Hall effect



Transmission of electric signal!



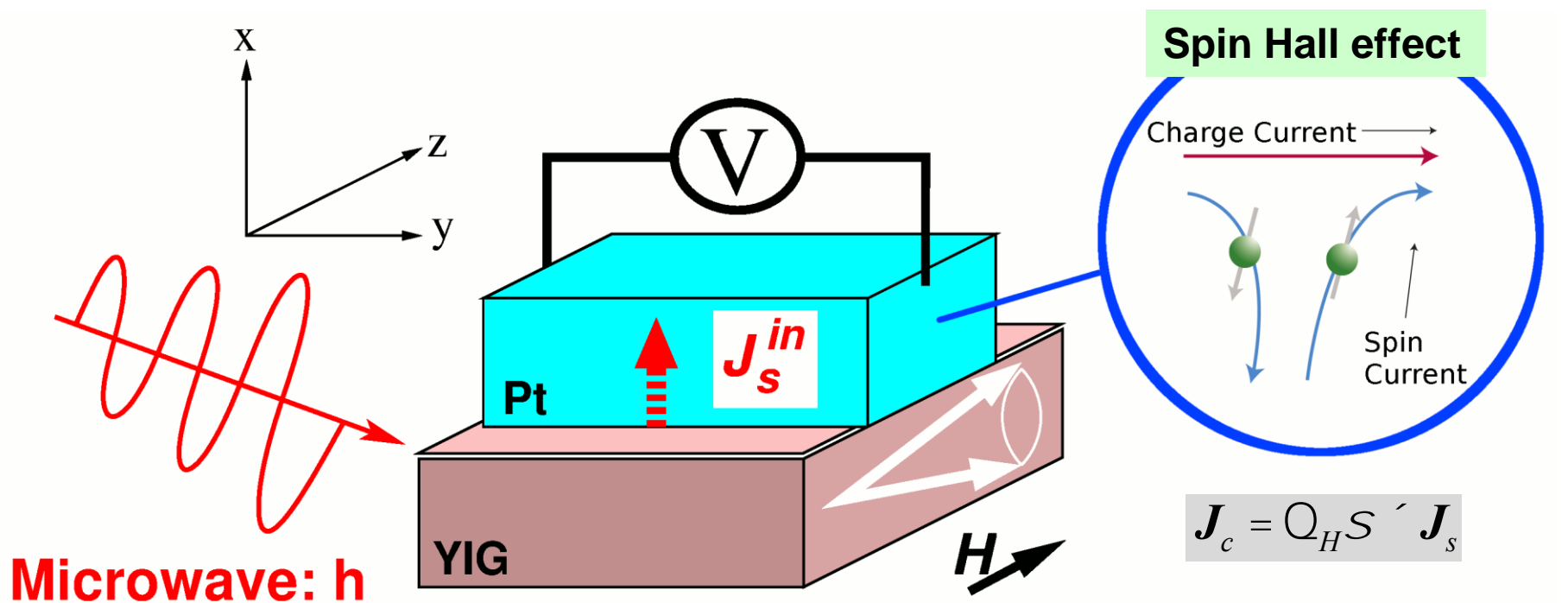
Contents:

- 1) Introduction of spin current,*
- 2) The linear response theory
of spin current generation,**
- 3) Spin current generation by heat,
i.e., Spin Seebeck effect,*
- 4) Spin Seebeck effect in Ferrimagnets
and Antiferromagnets*

*Refs.: * H. Adachi , K. Uchida, E. Saitoh and S. Maekawa:
Rep. Prog. Phys. 76, 036501 (2013),*

**S. Maekawa, H. Adachi, K. Uchida, J. Ieda and E. Saitoh:
J. Phys. Soc. Jpn. (2013).*

Spin current generation by FMR (Spin pumping) :



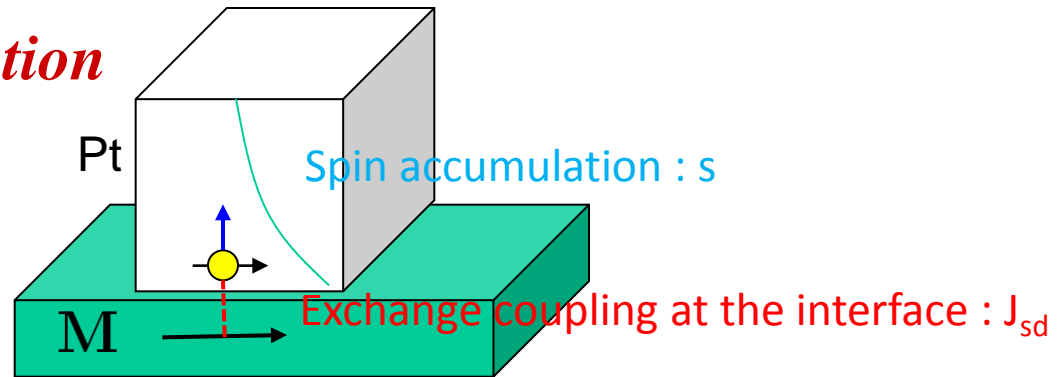
Spin Pumping; Tserkovnyak, Brataas (2002)

YIG (yttrium iron garnet): a magnetic **INSULATOR**

\rightarrow FMR spin pumping is **unaccompanied by charge transfer**

FMR spin pumping is free from impedance mismatch problem
(spin injection into GaAs: Ando et al., Nature Materials 2011)

*Electric and thermal generation
of spin current:*



*Interface exchange interaction (J_{sd})
Between normal metal (Pt) and ferromagnet*

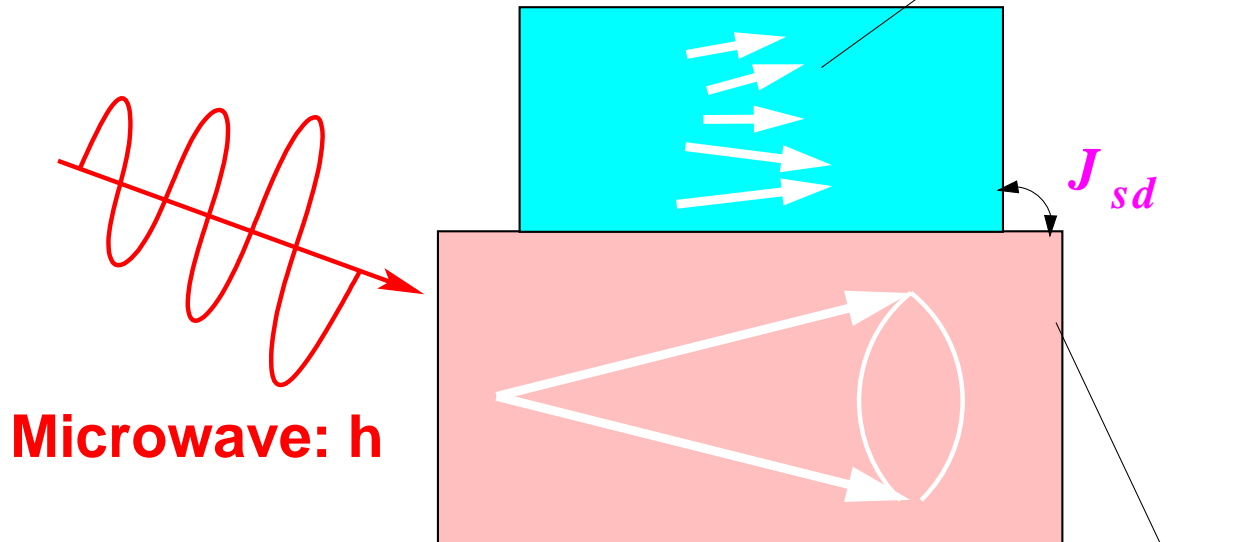
$$H_{sd} = J_{sd} \sum_{r_i \in \text{interface}} S_A(r_i) \cdot s(r_i) + J_{sd} \sum_{r_j \in \text{interface}} S_B(r_j) \cdot s(r_j)$$

Theoretical modeling of FMR spin pumping

Bloch eq. (s: spin accumulation)

$$\frac{d}{dt}s = J_{sd} m \times s + (D_N \nabla^2 - G)(s - s_0 m)$$

Nonmagnetic metal (N)



Ferromagnet (F)

$$\frac{d}{dt}m = J_{sd} s \times m + g (H_0 + h_1) \times m + a m \times \frac{d}{dt}m$$

Landau-Lifshitz-Gilbert eq. (m: localized moment)

Linear response calculation

$$\text{Bloch eq.: } \nabla_t \mathbf{s} = J_{sd} \mathbf{m} \times \mathbf{s} + (D_N \nabla^2 - G)(\mathbf{s} - s_0 \mathbf{m}) \quad (s_0 = C_N S_0 J_{sd})$$

$$\text{LLG eq.: } \nabla_t \mathbf{m} = J_{sd} \mathbf{s} \times \mathbf{m} + g(\mathbf{H}_0 + \mathbf{h}_1) \times \mathbf{m} + \alpha \mathbf{m} \times \nabla_t \mathbf{m}$$

1) Define the spin current injected into N by $J_s^{in} = (1/A_{contact}) \langle ds^z/dt \rangle$.

$$J_s^{in} \circ \langle \nabla_t s^z \rangle = (J_{sd} / A_{contact}) \text{Im} \int dW \langle s^+(W) m^-(-W) \rangle$$

2) Linearize above two equations with respect to s^x, s^y, m^x, m^y .

$$s^+(W) = G s_0 \frac{gh_1^+(W)}{(W_0 + W - i\alpha W)(-iW + G)}$$

$$m^-(W) = \frac{gh_1^-(W)}{W_0 - W - i\alpha W}$$

$$C_N(W) = \frac{C_N}{1 - iW/G}$$

$$X_F(W) = \frac{1}{W - W_0 + i\alpha W}$$

$$(s^\pm \circ s^x \pm is^y)$$

$$(m^\pm \circ m^x \pm im^y)$$

$$(W_0 = gH_0)$$

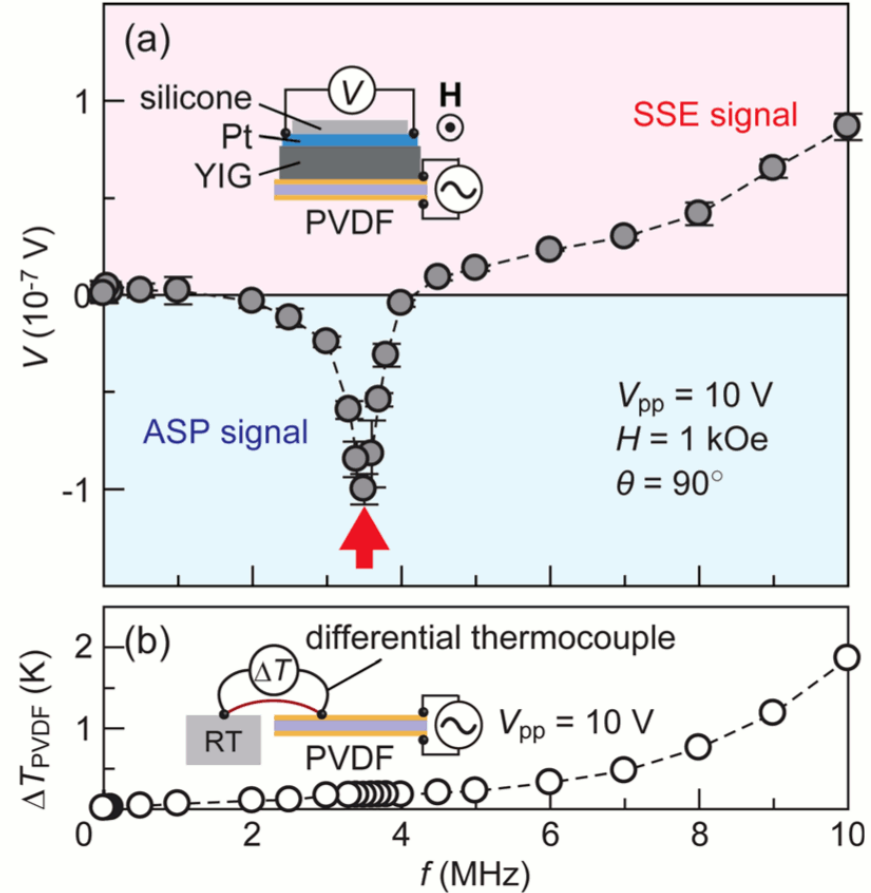
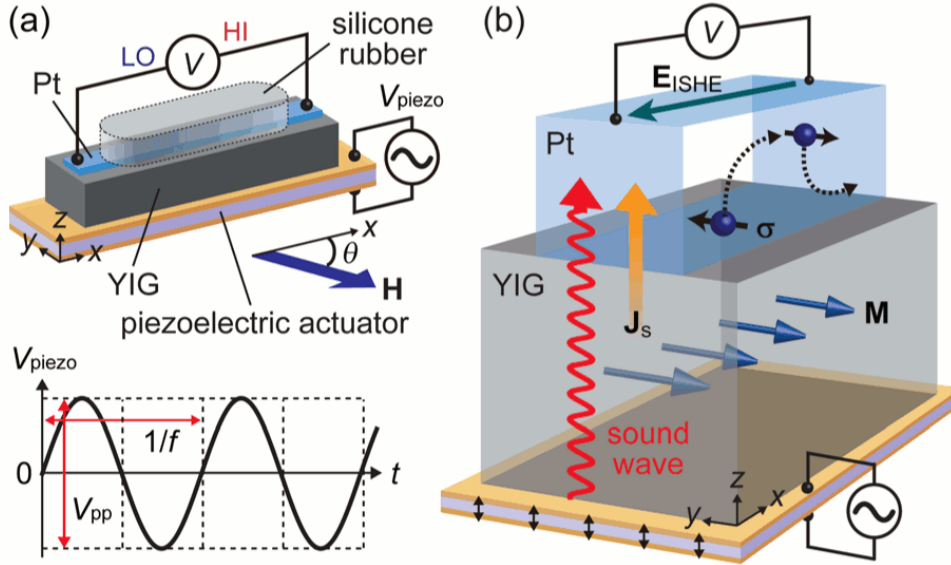
3) Substitute 2) into 1) and obtain the following result:

$$J_s^{in} = - \frac{J_{sd}^2}{A_{contact}} \int dW \text{Im} C_N(W) |X_F(W)|^2 \langle gh_1^+(W) gh_1^-(-W) \rangle$$

This is the general expression valid for any types of spin pumping!

Acoustic spin pumping (experiment)

Uchida et al., Nature Mater. 10, 737(2012)



$f \sim 3.5 \text{ MHz}$

: magnons are OFF resonance with phonons

Volume (exchange) magnetostriction is important

$$E_{m-p} = |\nabla J_{\text{ex}}| (\nabla \cdot \mathbf{u}) (\mathbf{m} \cdot \nabla^2 \mathbf{m}) \sim g_{m-p} (\nabla \cdot \mathbf{u}) (\mathbf{m}^+ \nabla^2 \mathbf{m}^- + c.c.)$$

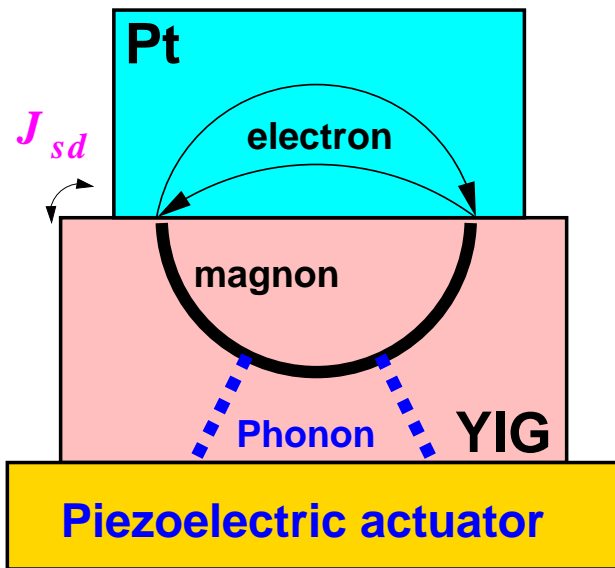
Interpretation

Start from general expression of spin pumping (derived at the beginning)

$$J_s^{in} = -(J_{sd}^2 / A_{contact}) \int dW \text{Im} C_N(W) |X_F(W)|^2 \langle gh_1^+(W) gh_1^-(-W) \rangle$$

$$E_{m-p} = g_{m-p} (\nabla \cdot \mathbf{u}) (\mathbf{m} \cdot \nabla^2 \mathbf{m}) \Rightarrow \mathbf{h}_1 = -dE_{m-p} / d\mathbf{m} = dg_{m-p} (\nabla \cdot \mathbf{u}) \nabla^2 \mathbf{m}$$

Nonlinear with respect to \mathbf{m} , and need to employ method of many-body theory



For details, see Adachi et al., Rep. Prog. Phys. (2013)

$$J_s^{in} = -(J_{sd}^2 / A_{contact}) B (g_{m-p} K_0 u_{K_0})^2$$

$$B = \int dW \text{Im} C_N(W) \text{Im} X_F(W) |X_F(W)|^2$$

$$\frac{e}{e} \coth \left(\frac{\hbar W - \hbar \omega}{2T} \right) - \coth \left(\frac{\hbar W - \hbar \omega}{2T} \right)$$

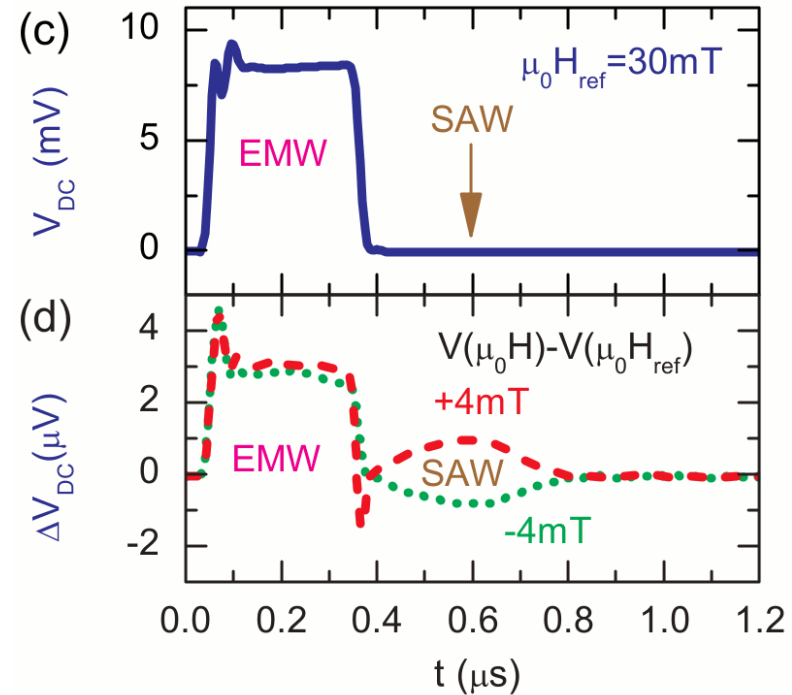
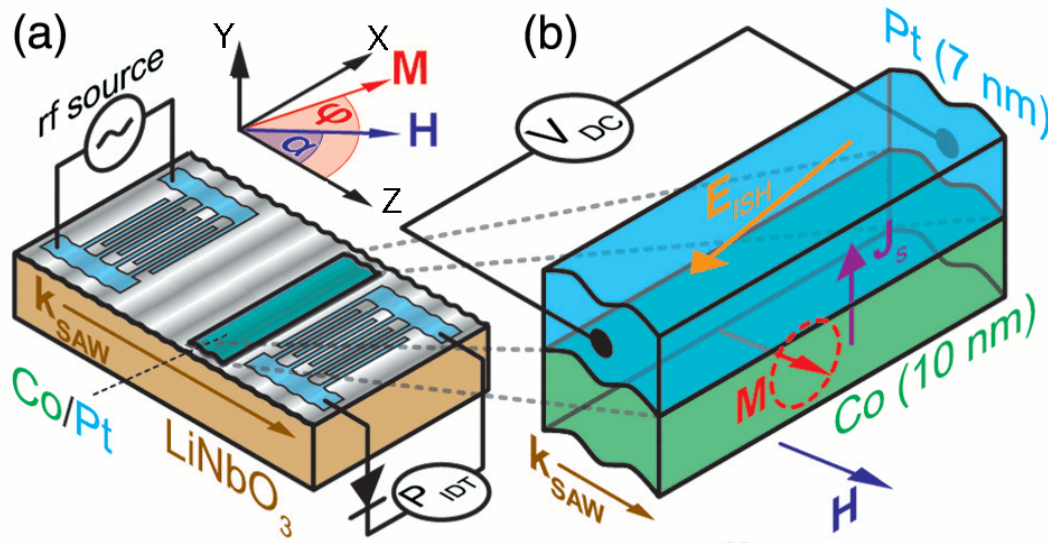
Because magnons are OFF resonance with phonons, any phonon frequencies are allowed to excite magnons.

Analogue to the phonon-drag spin Seebeck effect

**Another acoustic spin pumping
-- “ $m^{x,y}$ -linear” coupling --**

Acoustic spin pumping ($m^{x,y}$ -linear coupling)

Weiler et al., PRL 108, 176601(2012)



Surface acoustic wave (phonon) frequency ~ 1.5 GHz

Only when magnons are in resonance with phonons, the spin injection signal by SAW is observed.

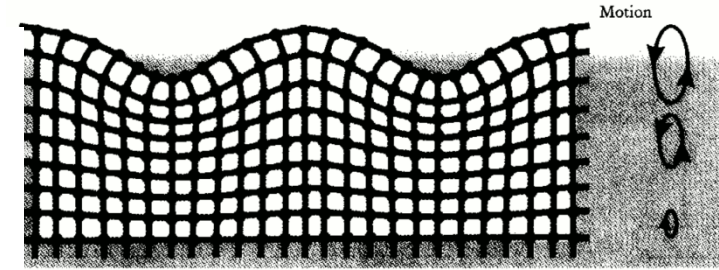
Interpretation

P. Hess, Phys. Today (2002)

SURFACE ACOUSTIC ATTENUATION DUE TO SURFACE SPIN WAVE IN FERRO- AND ANTIFERROMAGNETS

S. Maekawa

IBM Research Center, Yorktown Heights, N.Y. 10598



$$-D[S_{iz}^2 + \omega_{XZ}(S_{iz}S_{ix} + S_{ix}S_{iz})].$$

<-- Maekawa (1976)

ω_{XZ} : (local) rotation frequency

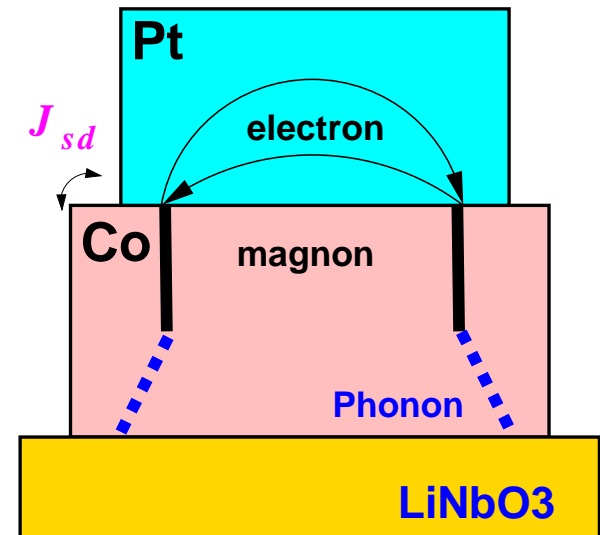
M^X -linear coupling, producing $h_{rf} = -dE_{mag-ph}/dm = -D\omega_{XZ}m^Z$

Complete analogue to FMR spin pumping with a substitution $h_{rf} = -D\omega_{XZ}m^Z$

We can employ the result for FMR spin pumping

$$J_s^{in} = - \frac{J_{sd}^2 C_N / 4G}{A_{contact}} \frac{W_{rf} (gh_{rf})^2}{(\omega_0 - W_{rf})^2 + (aW_{rf})^2}$$

$(\omega_0 = \gamma H)$

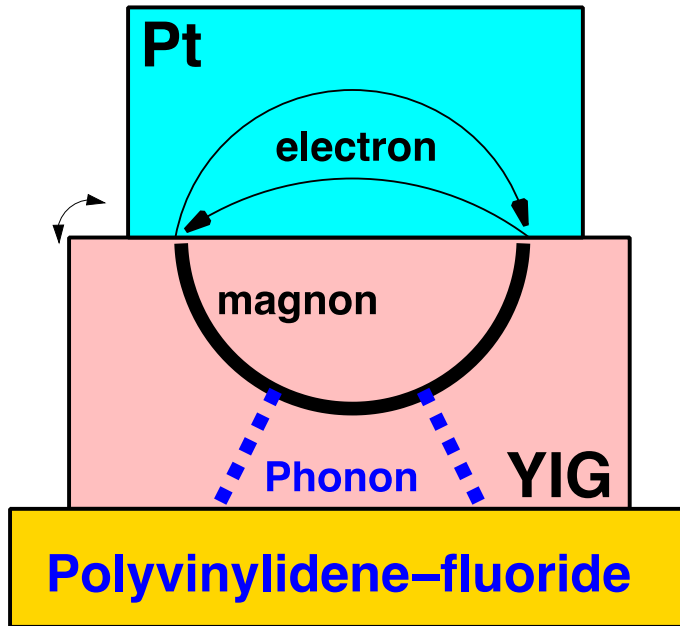


Two types of acoustic spin pumping

Phonons & magnons OFF resonance

Phonon freq. \sim MHz

-- volume (exchange) magnetostriction --

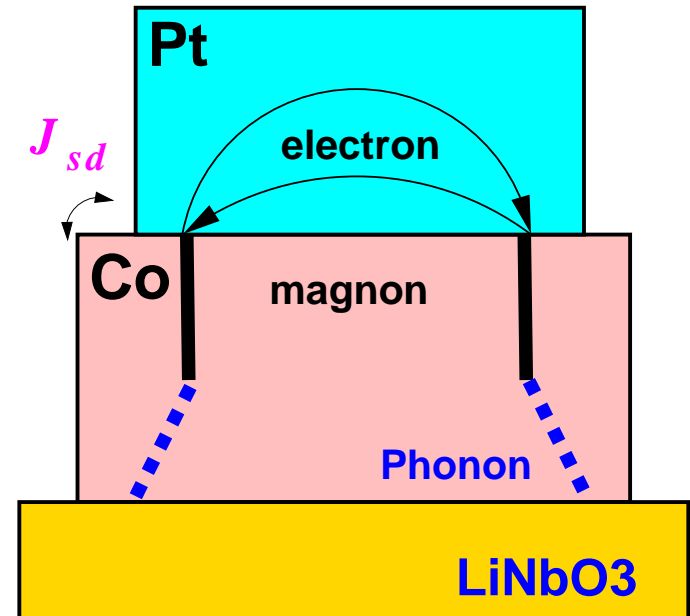


Analogue to phonon-drag SSE

Phonons & magnons IN resonance

Phonon freq. \sim GHz

-- single-ion (spin-orbit) magnetostriction --



Analogue to FMR spin pumping

Contents:

- 1) Introduction of spin current,*
- 2) The linear response theory
of spin current generation,*
- 3) Spin current generation by heat,
i.e., Spin Seebeck effect,**
- 4) Spin Seebeck effect in Ferrimagnets
and Antiferromagnets*

*Refs.: * H. Adachi , K. Uchida, E. Saitoh and S. Maekawa:
Rep. Prog. Phys. 76, 036501 (2013),*

**S. Maekawa, H. Adachi, K. Uchida, J. Ieda and E. Saitoh:
J. Phys. Soc. Jpn. (2013).*

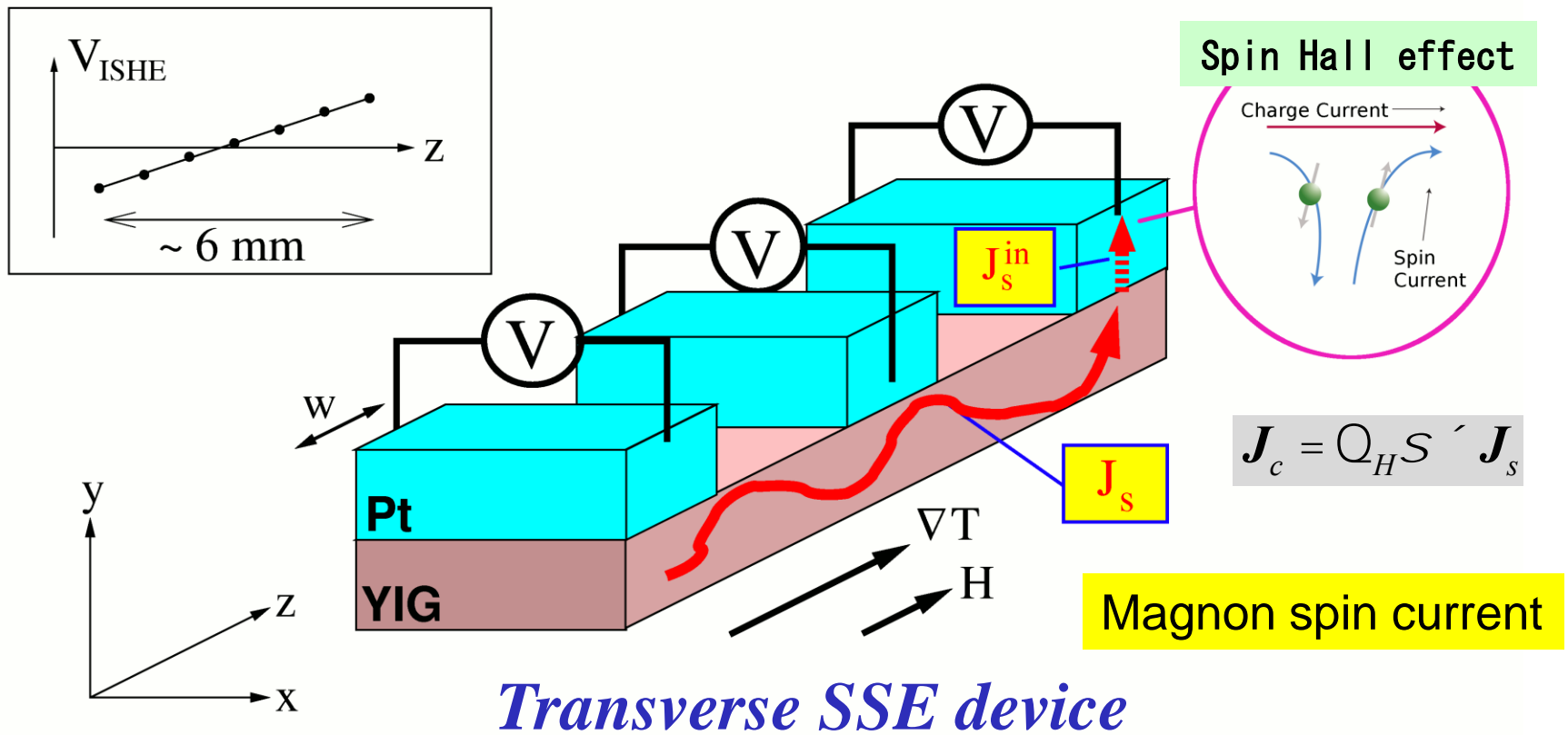
Spin Seebeck effect (SSE):

Universal phenomenon of ferromagnets

Metal (Ni, Fe, Ni-Fe alloy; Uchida et al. 2008)

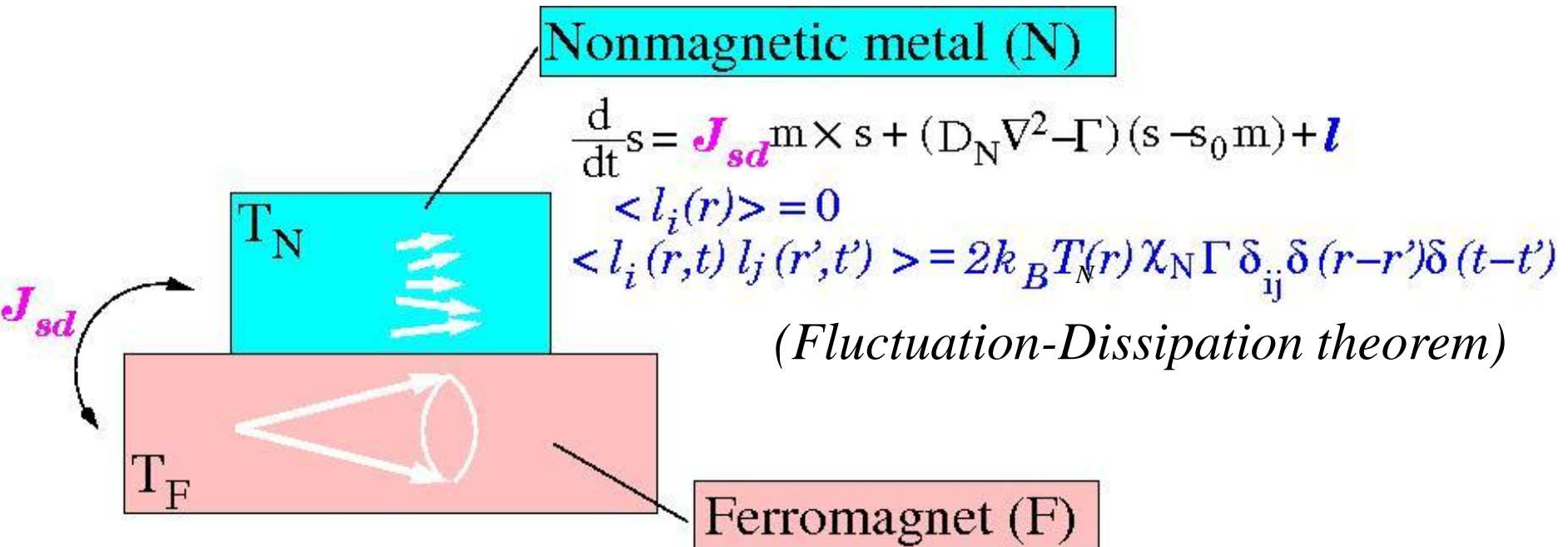
Semiconductor (GaMnAs; Jaworski et al. 2010)

Insulator (Yttrium Iron Garnet, **Ferrite**; Uchida et al. 2010)



Model for spin injection by thermal magnons

H. Adachi et al.: Phys.Rev. B83, 094410 (2011).



$$\frac{d}{dt} \mathbf{s} = \mathbf{J}_{sd} \mathbf{m} \times \mathbf{s} + (D_N \nabla^2 - \Gamma) (\mathbf{s} - \mathbf{s}_0 \mathbf{m}) + \mathbf{l}$$

$$\langle l_i(\mathbf{r}) \rangle = 0$$

$$\langle l_i(\mathbf{r}, t) l_j(\mathbf{r}', t') \rangle = 2k_B T_N(\mathbf{r}) \chi_N \Gamma \delta_{ij} \delta(\mathbf{r} - \mathbf{r}') \delta(t - t')$$

(Fluctuation-Dissipation theorem)

$$\frac{d}{dt} \mathbf{m} = -\mathbf{J}_{sd} \mathbf{s} \times \mathbf{m} + \gamma (\mathbf{H}_{eff} + \mathbf{h}) \times \mathbf{m} + \alpha \mathbf{m} \times \frac{d}{dt} \mathbf{m}$$

$$\langle h_i(\mathbf{r}) \rangle = 0$$

$$\langle h_i(\mathbf{r}, t) h_j(\mathbf{r}', t') \rangle = \frac{2k_B T_F(\mathbf{r}) \alpha}{\gamma M_s} \delta_{ij} \delta(\mathbf{r} - \mathbf{r}') \delta(t - t')$$

(c.f., J. Xiao et al.: Phys. Rev. B81, 214418 (2010))

(Local) spin injection by thermal magnons

(H. Adachi et al.: Phys.Rev. B83, 094410 (2011)).

Spin diffusion eq.: $\partial_t \mathbf{s} = J_{sd} \mathbf{m} \times \mathbf{s} + (D_N \nabla^2 - \Gamma)(\mathbf{s} - s_0 \mathbf{m}) + \mathbf{l}$ ($s_0 = \chi_N S_0 J_{sd}$)

LLG eq.: $\partial_t \mathbf{m} = J_{sd} \mathbf{s} \times \mathbf{m} + \gamma(\mathbf{H}_{eff} + \mathbf{h}) \times \mathbf{m} + \alpha \mathbf{m} \times \partial_t \mathbf{m}$

Injected spin current: $J_s^{in} \equiv \langle \partial_t s^z \rangle = J_{sd} \text{Im} \int d\omega \langle s^+(\omega) m^-(-\omega) \rangle$

$$s^+(\omega) = \Gamma s_0 X_F^*(-\omega) \gamma h^+(\omega) + \chi_N^*(-\omega) l^+(\omega)$$

$$m^-(\omega) = X_F(\omega) \gamma h^-(\omega) + J_{sd} X_F(\omega) \chi_N(\omega) l^-(\omega)$$

$$(a^\pm \equiv a^x \pm ia^y)$$

$$J_s^{in} = J_{sd} \int d\omega \frac{1}{\omega} \text{Im} \chi_N(\omega) \text{Im} X_F(\omega) \left[\Gamma s_0 \langle \gamma h^+(\omega) \gamma h^-(-\omega) \rangle - \alpha J_{sd} \langle l^+(\omega) l^-(-\omega) \rangle \right]$$

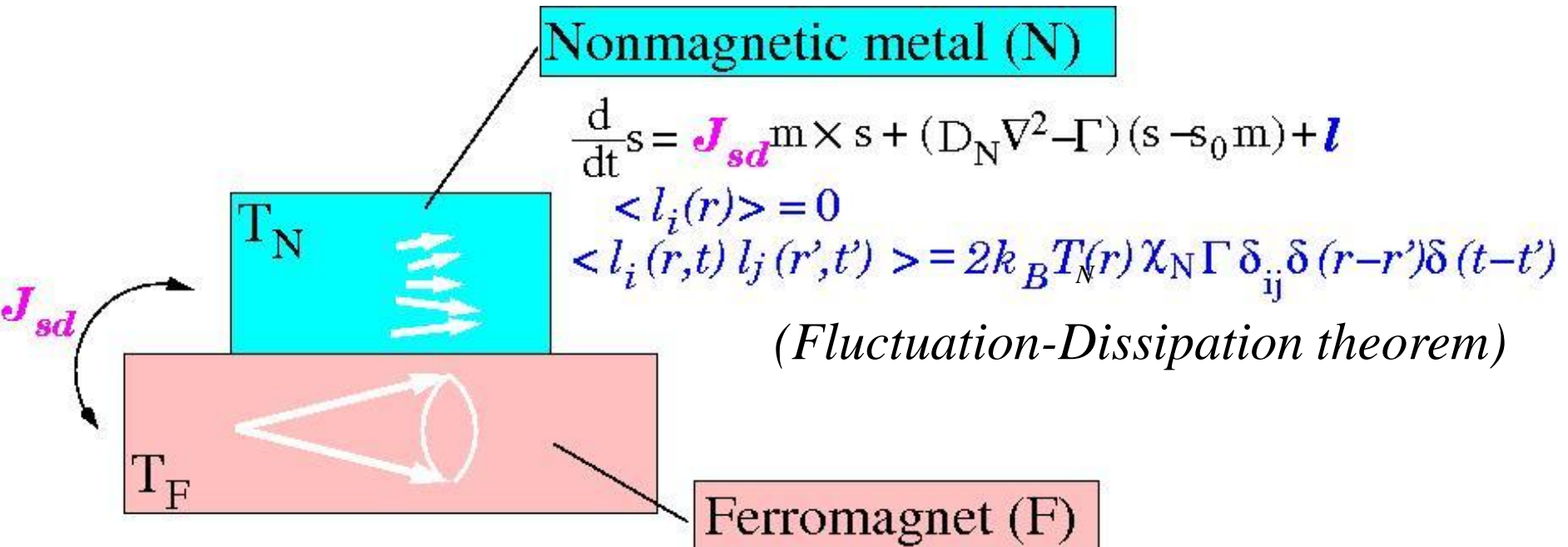
 J_s^{pump}

 J_s^{back}

$$\therefore J_s^{in} = J_s^{pump} - J_s^{back}$$

Model for spin injection by thermal magnons

H. Adachi et al.: Phys.Rev. B83, 094410 (2011).



$$\frac{d}{dt} \mathbf{s} = \mathbf{J}_{sd} \mathbf{m} \times \mathbf{s} + (D_N \nabla^2 - \Gamma) (\mathbf{s} - \mathbf{s}_0 \mathbf{m}) + \mathbf{l}$$

$$\langle l_i(\mathbf{r}) \rangle = 0$$

$$\langle l_i(\mathbf{r}, t) l_j(\mathbf{r}', t') \rangle = 2k_B T_N(\mathbf{r}) \chi_N \Gamma \delta_{ij} \delta(\mathbf{r} - \mathbf{r}') \delta(t - t')$$

(Fluctuation-Dissipation theorem)

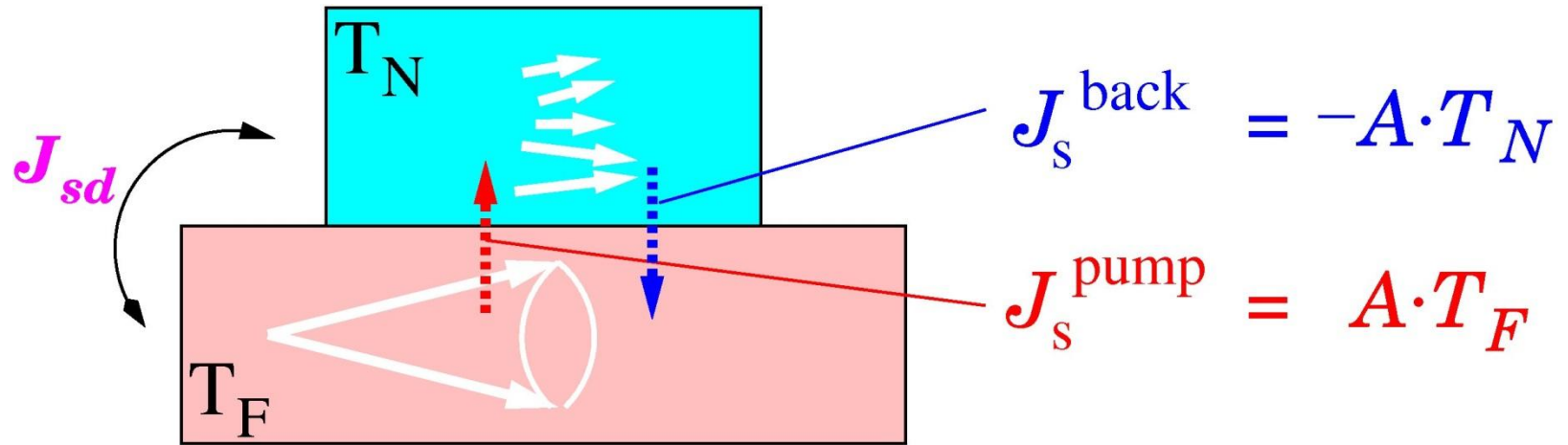
$$\frac{d}{dt} \mathbf{m} = -\mathbf{J}_{sd} \mathbf{s} \times \mathbf{m} + \gamma (\mathbf{H}_{\text{eff}} + \mathbf{h}) \times \mathbf{m} + \alpha \mathbf{m} \times \frac{d}{dt} \mathbf{m}$$

$$\langle h_i(\mathbf{r}) \rangle = 0$$

$$\langle h_i(\mathbf{r}, t) h_j(\mathbf{r}', t') \rangle = \frac{2k_B T_F(\mathbf{r}) \alpha}{\gamma M_s} \delta_{ij} \delta(\mathbf{r} - \mathbf{r}') \delta(t - t')$$

(c.f., J. Xiao et al.: Phys. Rev. B81, 214418 (2010))

Summary: local spin injection by magnons



Local non-equilibrium

$$\therefore J_s^{in} = A(T_F - T_N)$$

$$\left(A \propto J_{sd}^2 \int d\omega \frac{1}{\omega} \text{Im} \chi_N(\omega) \text{Im} \chi_F(\omega) \right)$$

$$J_s^{in} \equiv \langle \partial_t s^z \rangle = J_{sd} \text{Im} \int d\omega \langle s^+(\omega) m^-(-\omega) \rangle$$

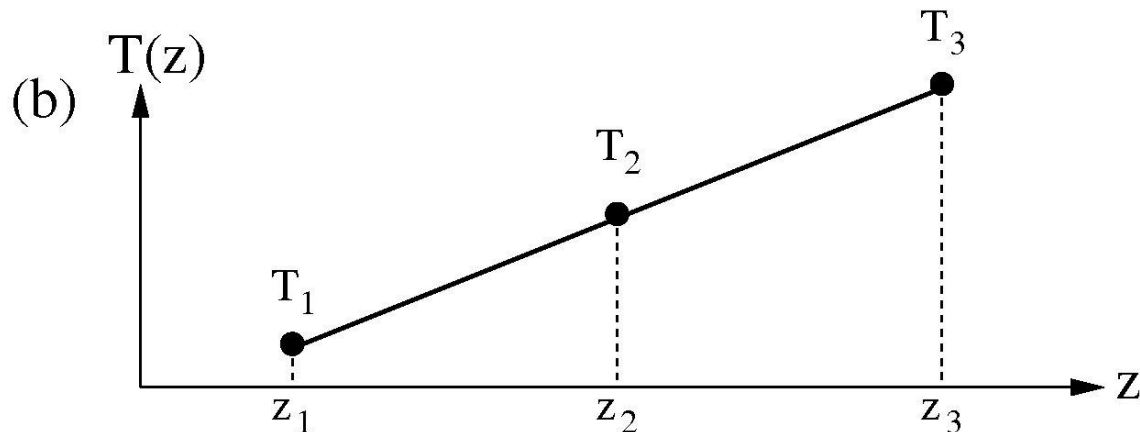
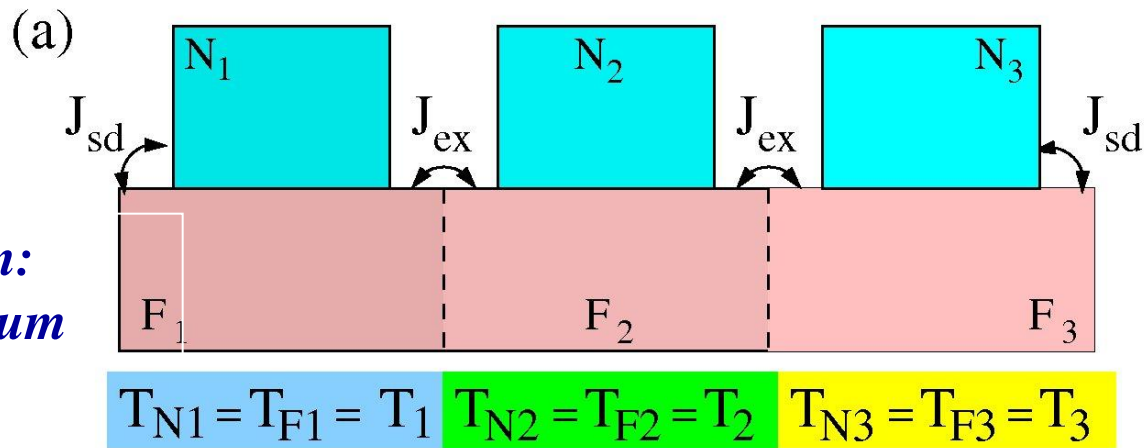
→ (Field theoretical calculation of Green's function)

To get the non-equilibrium condition, we need heat flow!

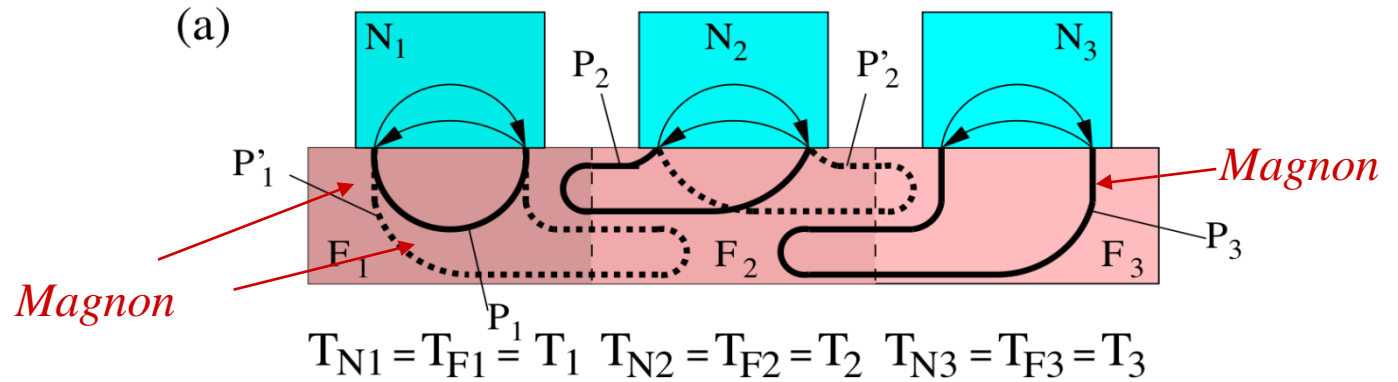
No temp-diff. between Pt and YIG in the experiment

→ need to consider the effect of **temp-gradient** in YIG

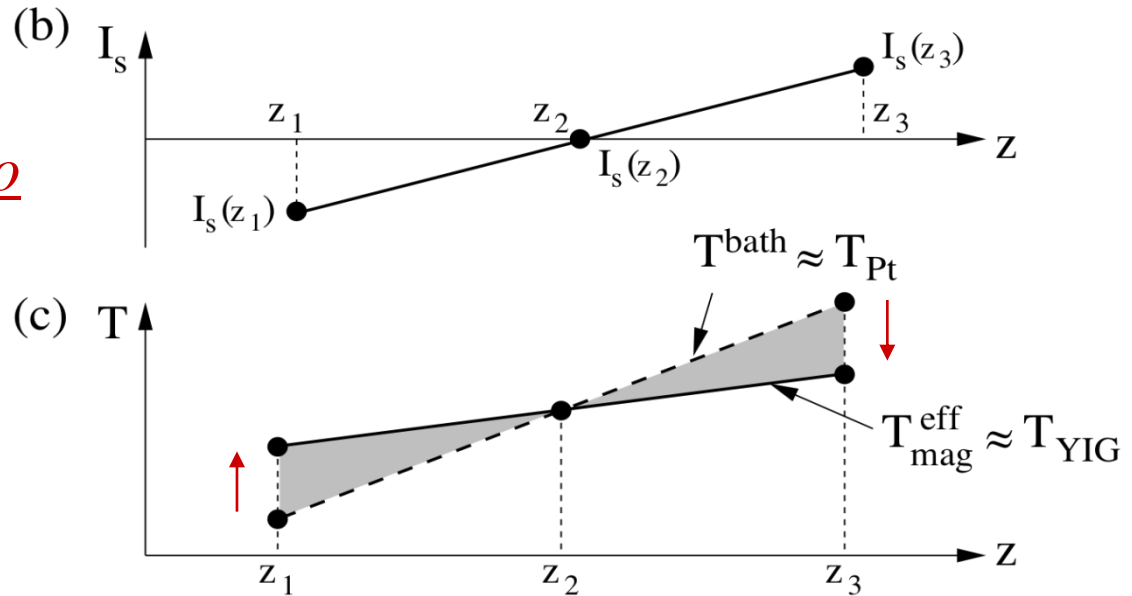
Consider the following model



Interpretation by magnon effective temp.



Dynamics gives rise to the non-equilibrium !



$$\therefore J_s^{\text{in}} = A(T_F - T_N)$$

$$J_s^{\text{in}} \equiv \langle \partial_t s^z \rangle = J_{sd} \text{Im} \int d\omega \langle s^+(\omega) m^-(-\omega) \rangle$$

→ *Field theoretical calculation of the Green function*

Heat transport Q :

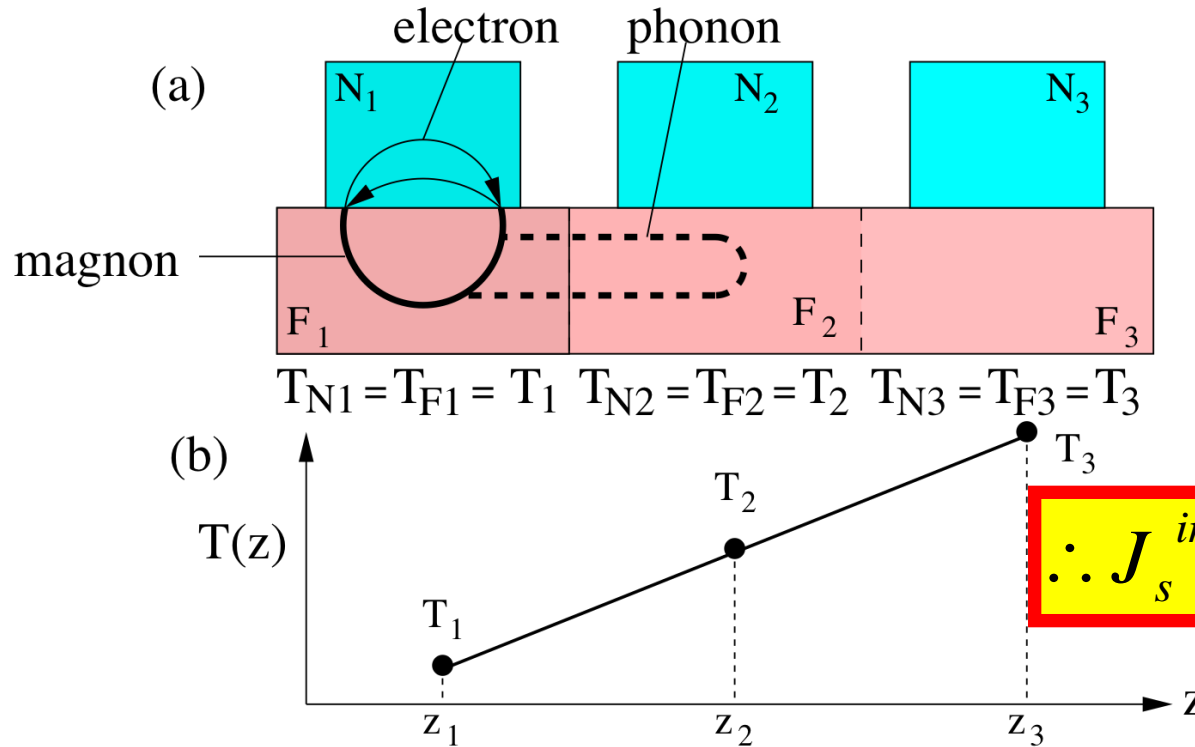
In ferromagnetic insulators,

$$Q = Q(\text{magnon}) + Q(\text{phonon})$$

Phonon-drag contribution to SSE

Phonon drag process;

Magnons dragged by nonequilibrium phonons \rightarrow spin injection



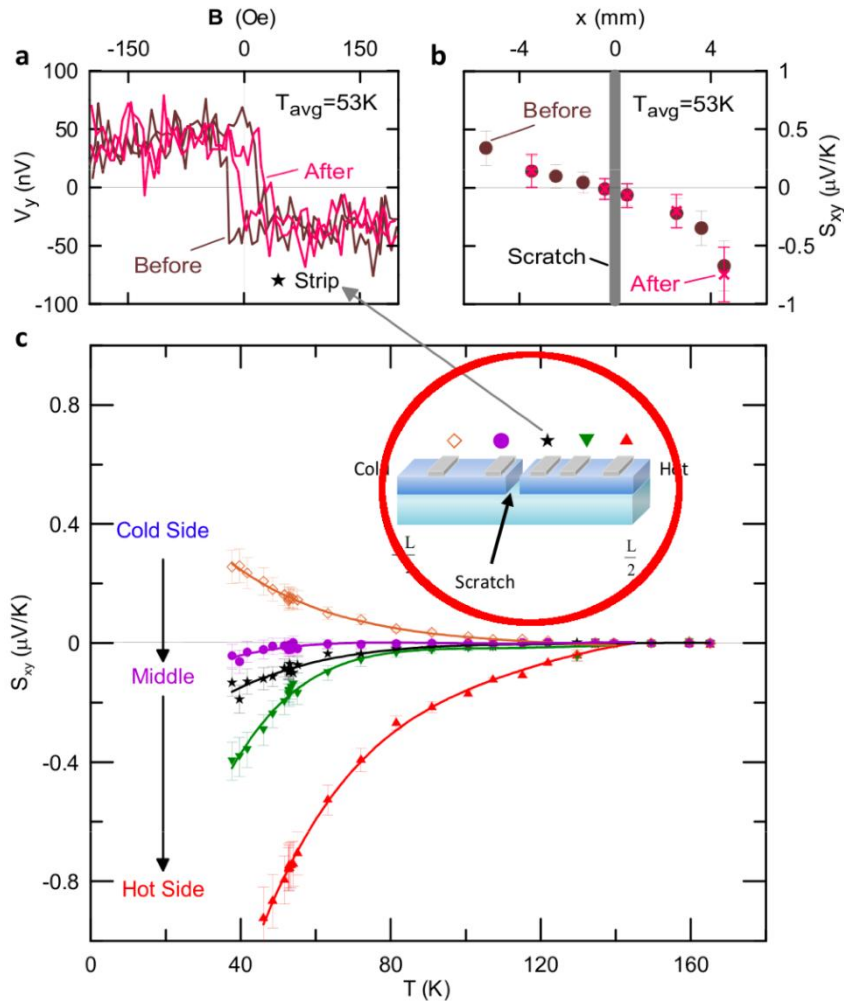
$$J_s^{ph-drag} \propto \tau_{ph} \Delta T$$

**Phonon drag gives low-T enhancement of SSE
due to the rapid suppression of umklapp scatt.**

Spin Seebeck eff. without global spin current

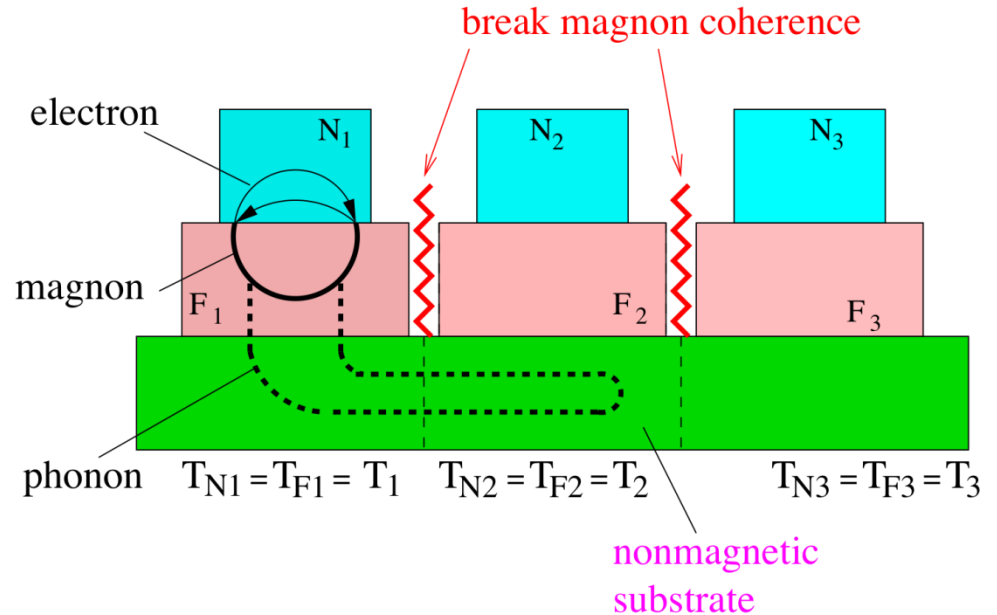
Observation of the Spin-Seebeck Effect in a Ferromagnetic Semiconductor

C. M. Jaworski¹, J. Yang², S. Mack³, D. D. Awschalom³, J. P. Heremans^{1,4*}, R. C. Myers^{2,4*}



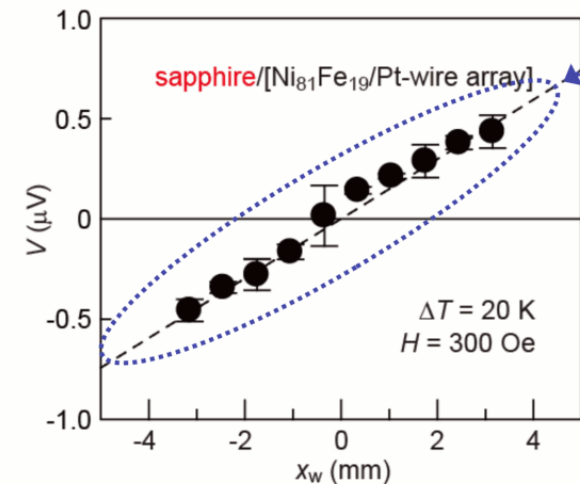
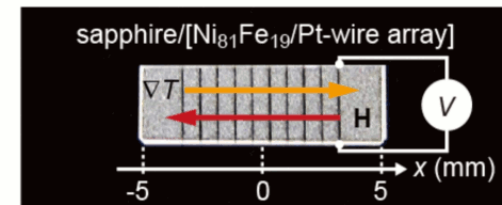
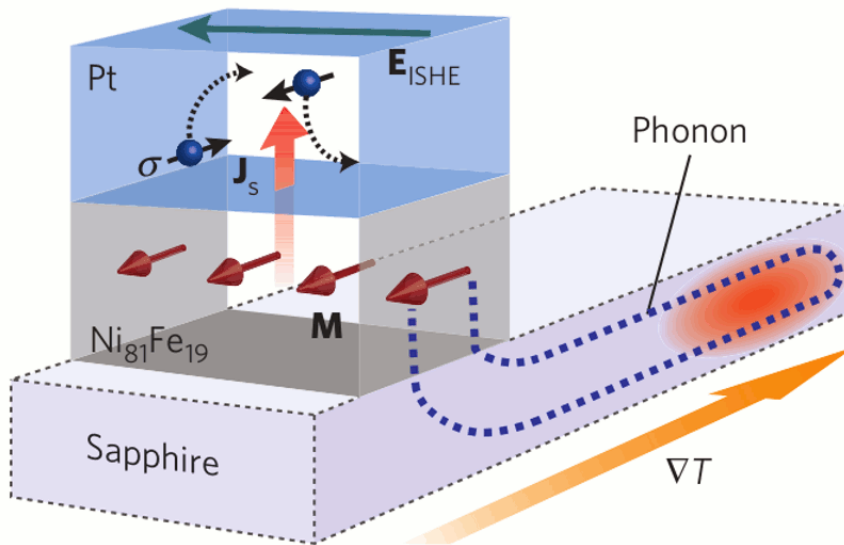
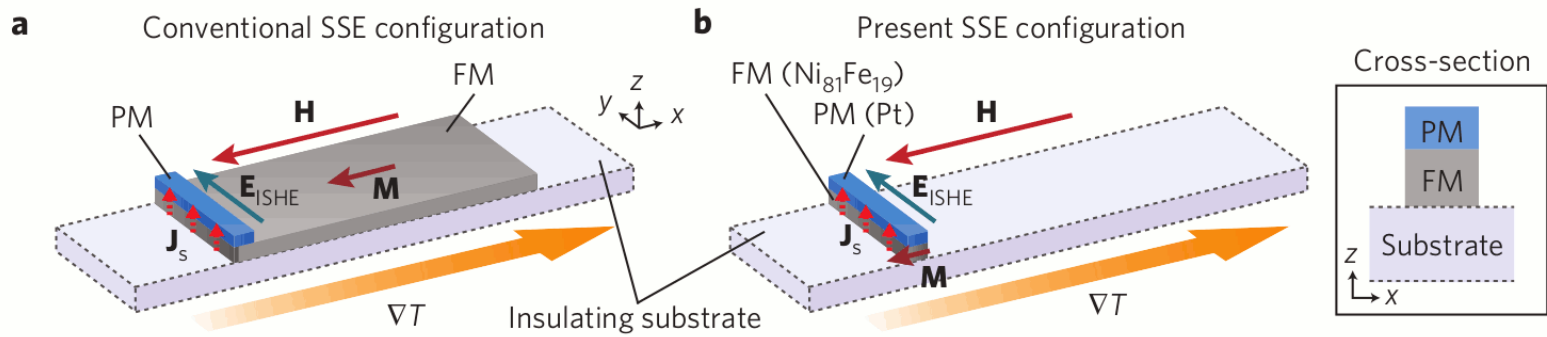
Our interpretation

Phonon drag: Effective at low-T.
GaMnAs: low Curie temp



Phonon-drag spin Seebeck effect

Uchida et al., Nature Mater. 10, 737(2012)



Only phonons in the nonmagnetic substrate can sense gradT!

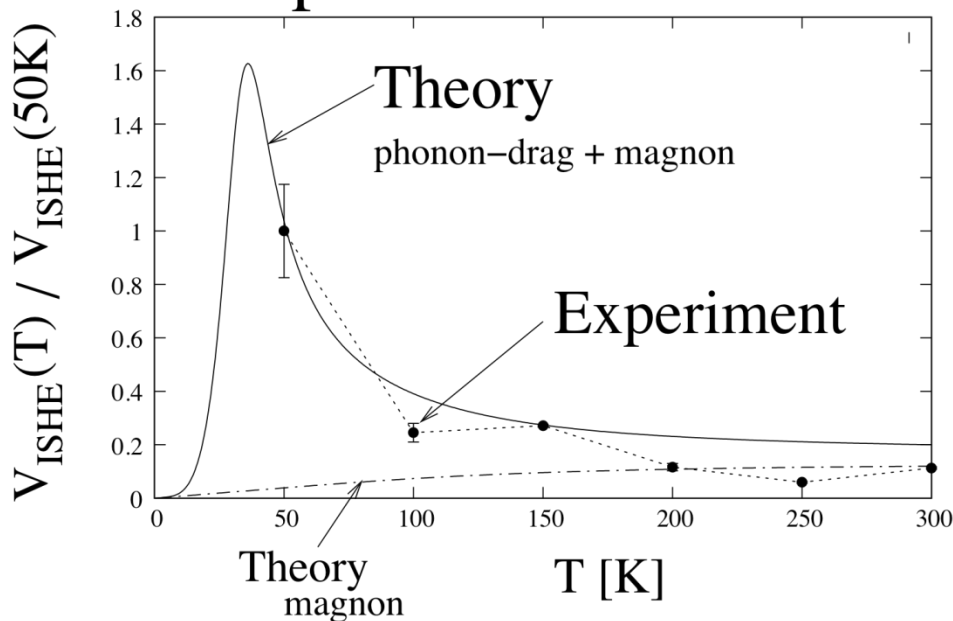
Fitting of the data by our theory

$$J_s^{ph-drag}(T) = const \cdot B_1(T)B_2(T)\tau_{ph}(T)$$

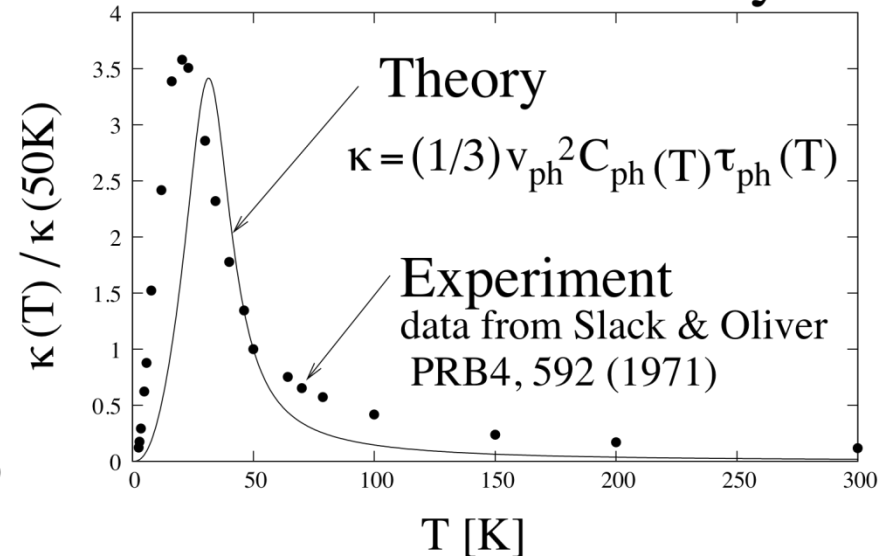
$$\tau_{ph}(T) = \tau_{ph}^{(0)} \left(\frac{1}{1 + (1/a)\exp[-b(T_D/T)]} \right) \left(\frac{1}{1 + (1/c)(T/T_D)} \right)$$

$$B_1(T) = (T/T_D)^5 \int_0^{T_D/T} \frac{duu^6}{sh^2(u/2)} \quad B_2(T) = (T/T_M)^{9/2} \int_0^{T_M/T} \frac{dvv^{7/2}}{th(v/2)}$$

Spin Seebeck effect

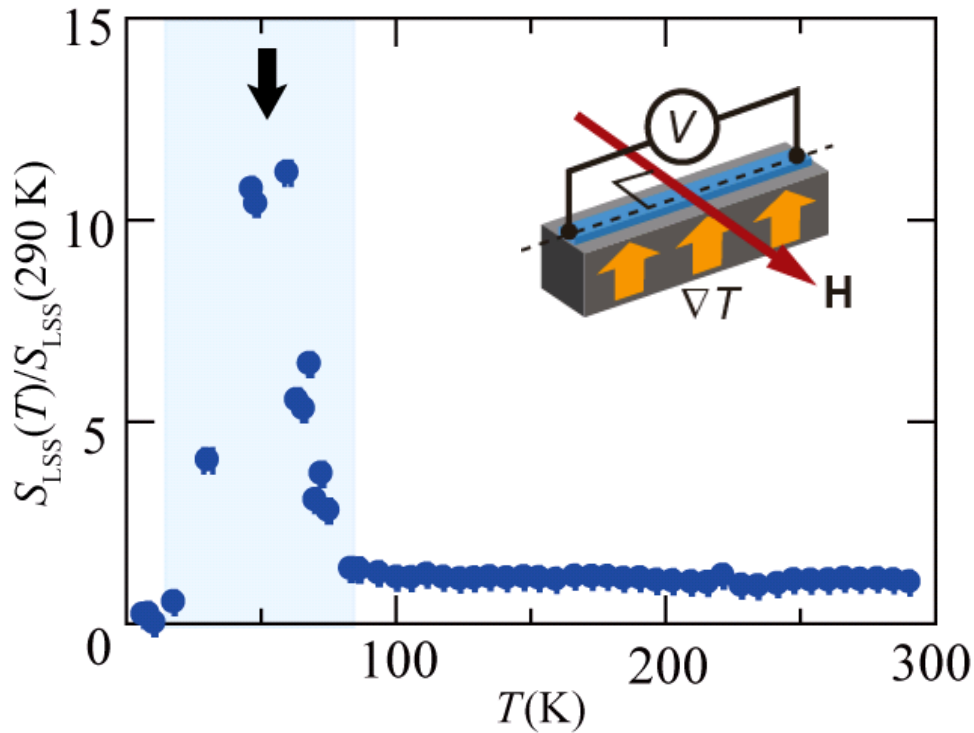


Thermal conductivity



Importance of phonons

YIG

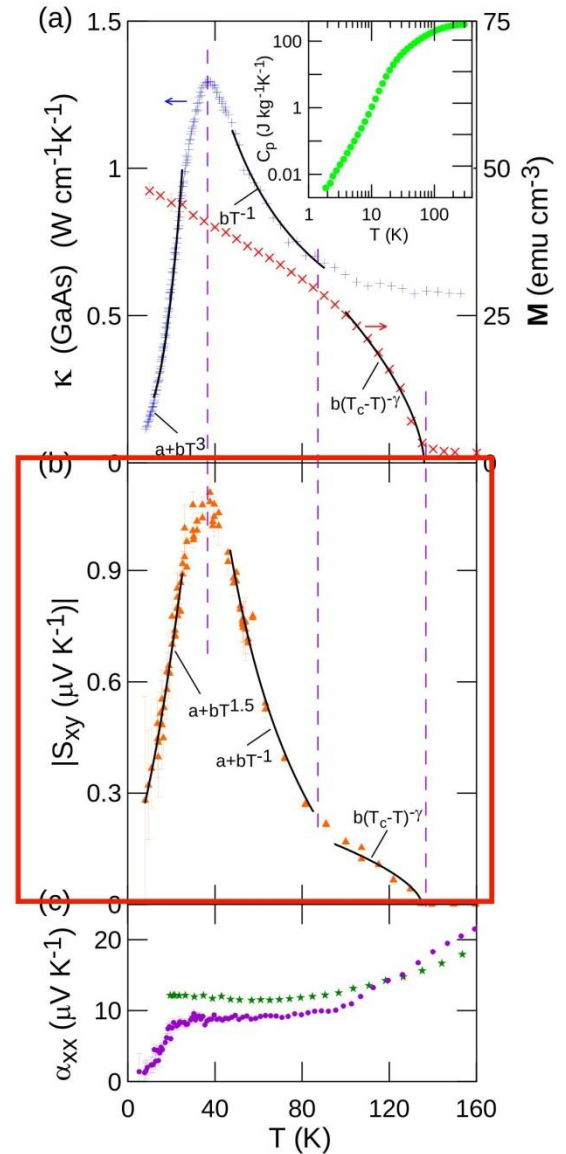


T. Ota *et al.*, (submitted).

**Pronounced peak
consistent with our prediction!**

GaMnAs

Jaworski *et al.*, arXiv:1102.1024



Contents:

- 1) Introduction of spin current,**
- 2) The linear response theory
of spin current generation,**
- 3) Spin current generation by heat,
i.e., Spin Seebeck effect,**
- 4) Spin Seebeck effect in Ferrimagnets
and Antiferromagnets**

*Refs.: * H. Adachi , K. Uchida, E. Saitoh and S. Maekawa:
Rep. Prog. Phys. 76, 036501 (2013),*

**S. Maekawa, H. Adachi, K. Uchida, J. Ieda and E. Saitoh:
J. Phys. Soc. Jpn. (2013).*

SSE in AFM & ferrimagnet

Metal

$$H_N = \sum_{i \in N} c_i^+ \left\{ -\nabla^2 + V(r_i) + \lambda_{so} \left[\nabla V(r_i) \times (-i\hbar \nabla) \right] \cdot \sigma_i \right\} c_i$$

Ferri/NM interface

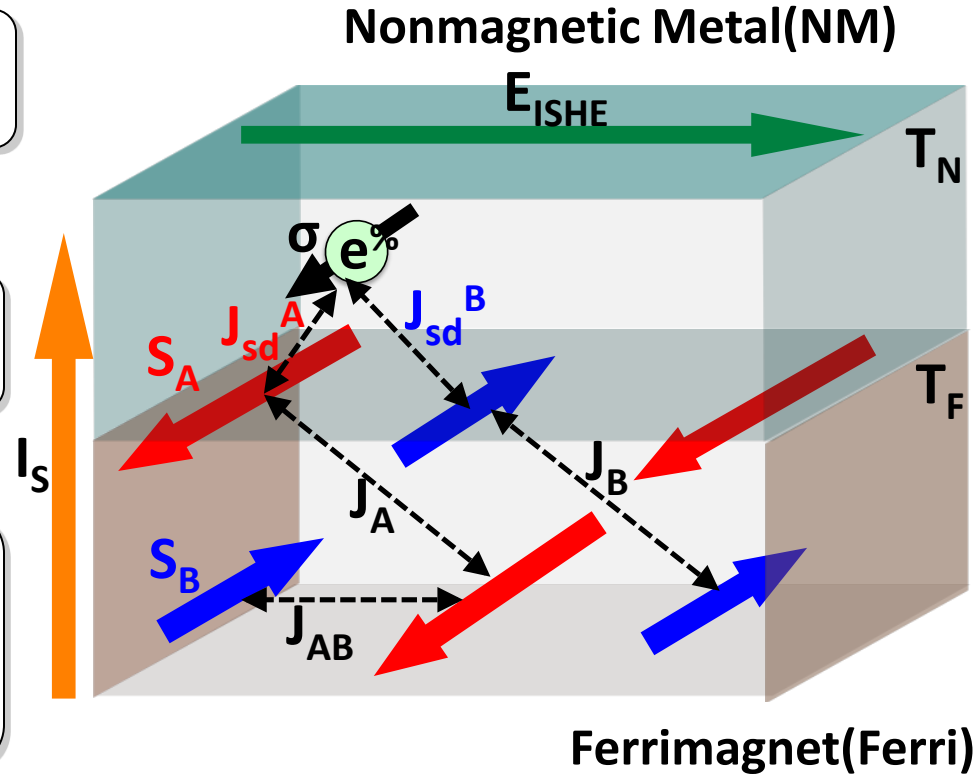
$$H_{sd} = J_{sd}^A \sum_{i \in \text{interface-A}} S_i^A \cdot \sigma_i + J_{sd}^B \sum_{j \in \text{interface-B}} S_j^B \cdot \sigma_j$$

Ferrimagnet

$$H_{\text{Ferri}} = -J_A \sum_{\langle i, i' \rangle \in A} S_i^A \cdot S_{i'}^A - J_B \sum_{\langle j, j' \rangle \in B} S_j^B \cdot S_{j'}^B + J_{AB} \sum_{\langle ij \rangle} S_i^A \cdot S_j^B$$

Spin current injected into NM:

$$I_S = \sum_{i \in NM} \langle \partial_t \sigma_i^z(t) \rangle \quad \sigma_i^z = \sum_{\sigma} \sigma c_{i\sigma}^+ c_{i\sigma}$$



SSE in uniaxial AFM

Spin current injected into NM:

$$I_S = \dot{a} \left\langle \sum_{i \in NM} S_i^z(t) \right\rangle$$

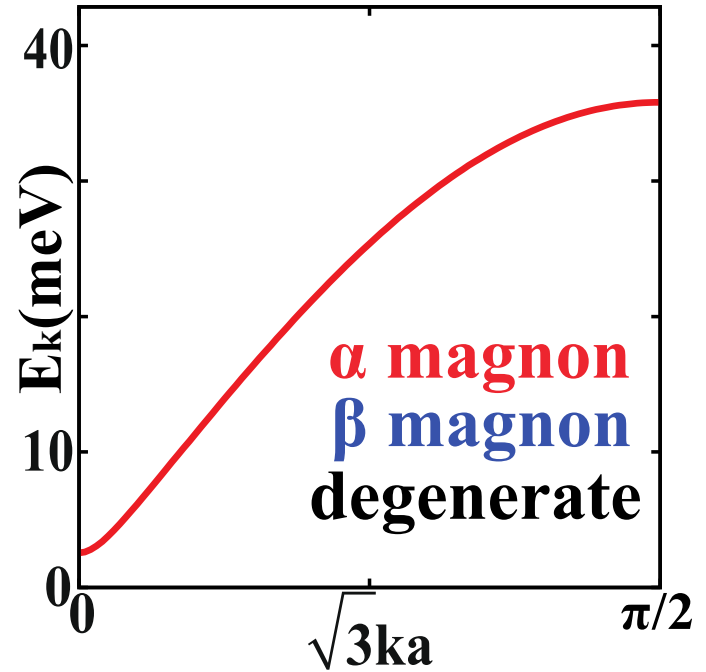
$$I_S = I_S^\alpha - I_S^\beta$$

$$H_0 \neq 0$$

$$I_S \gg \frac{gm_B H_0 t_{sf}}{\hbar} \frac{S J t_{sf}}{\hbar} \frac{N_{int} J_{sd}^2 S C_N t_{sf}}{2\sqrt{2} \rho^4 \hbar^3 (|N| a_{Pt})^3} \div G_1 k_B (T_{AF} - T_N)$$

$$H_0 = 0$$

$$I_S = 0$$



SSE = 0 because magnons are degenerate.

Each spin wave branch carries different spin angular momentum,

Compensation effects

Magnetization(M_S)

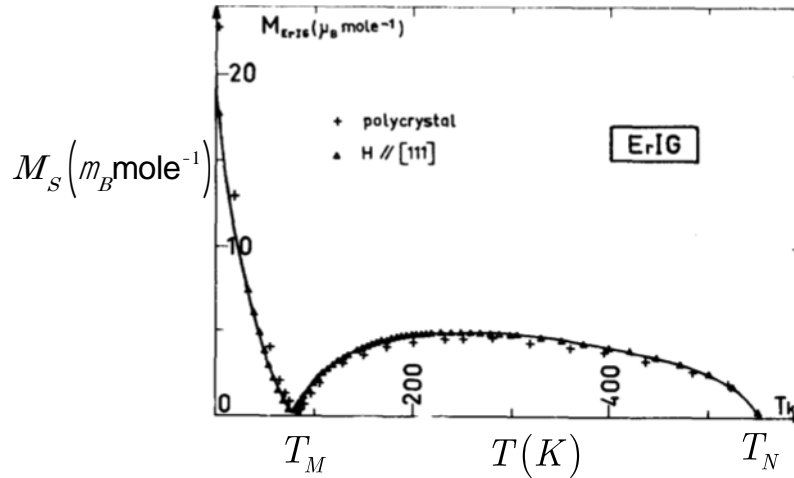
$$M_S = M_A + M_B$$

$$T = T_M \quad M_S = 0$$

Angular momentum(S_{tot})

$$S_{tot} = S_A + S_B$$

$$T = T_A \quad S_{tot} = 0$$



$\text{Er}_3\text{Fe}_5\text{O}_{12}$
M. Guillot(1979)

Magnetic moments

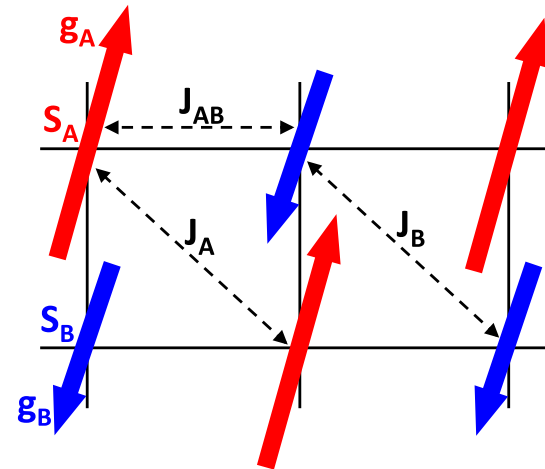
$$M_A = \frac{g_A m_B}{N_A} \hat{a}_{iA} \langle S_{iz}^A \rangle$$

$$M_B = \frac{g_B m_B}{N_B} \hat{a}_{jB} \langle S_{jz}^B \rangle$$

Angular moments

$$S_A = \frac{1}{N_A} \hat{a}_{iA} \langle S_{iz}^A \rangle$$

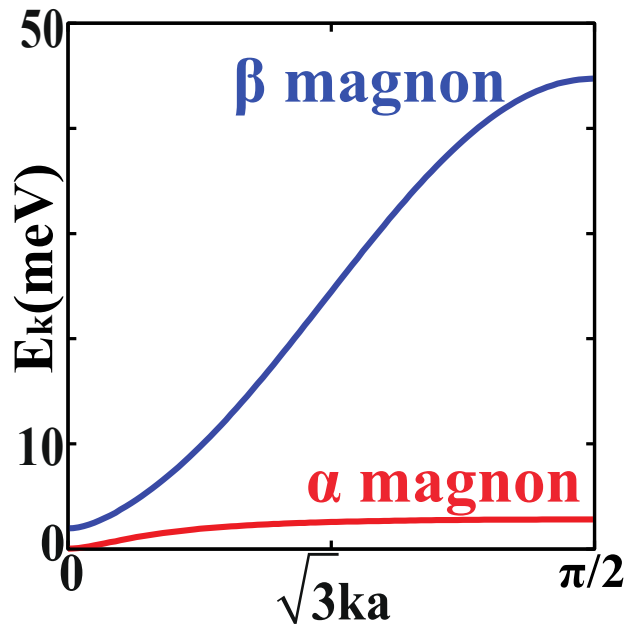
$$S_B = \frac{1}{N_B} \hat{a}_{jB} \langle S_{jz}^B \rangle$$



Why is SSE finite at T_M & T_A ?

Spin current is driven by magnons

$$I_S^{\alpha,\beta} = G \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \sum_{k,q} \left(J_{sd}^{\alpha,\beta}(\mathbf{q}) \right)^2 \text{Im} G_{\alpha,\beta}^R(\mathbf{q}, \omega) \text{Im} \chi^R(\mathbf{k}, \omega) \frac{2k_B}{\hbar\omega} (T_N - T_F)$$

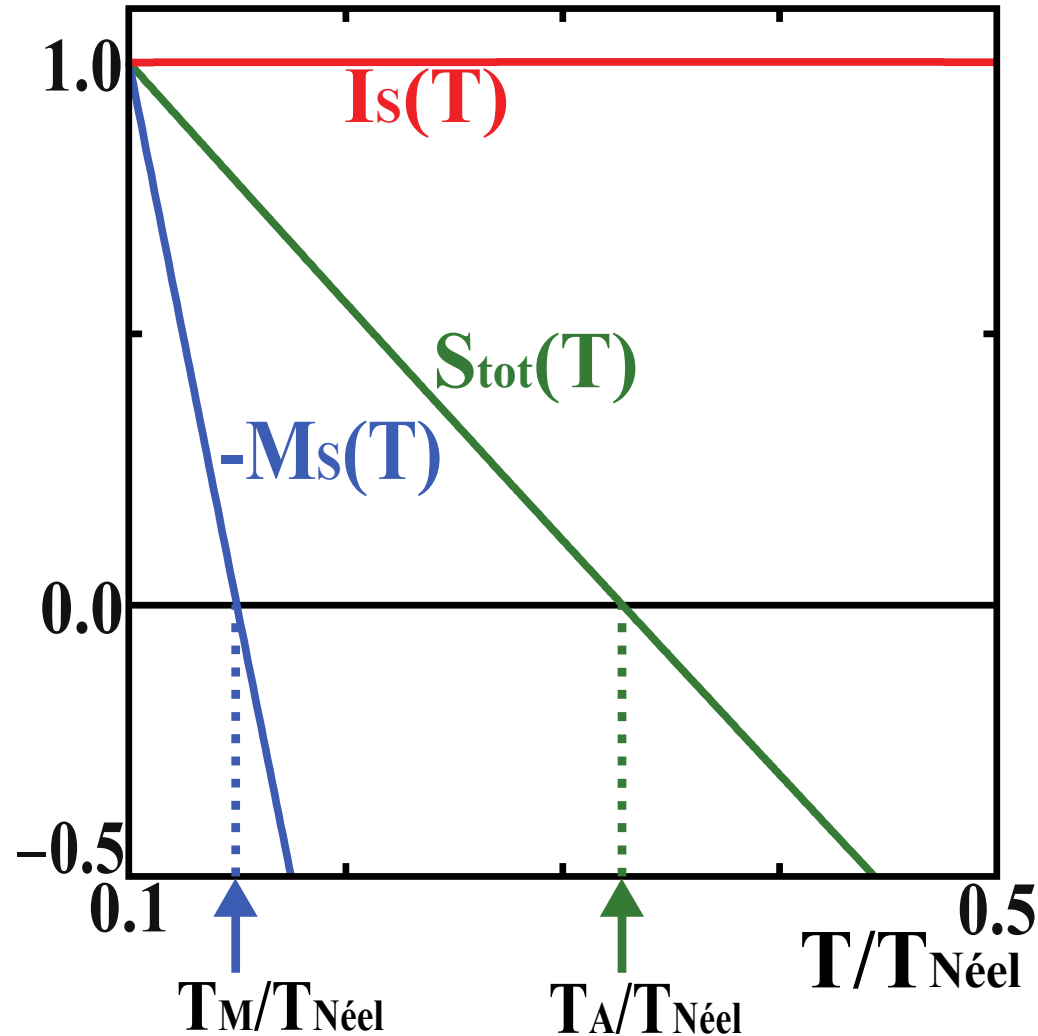


Nondegenerate magnons exist even when S_{tot}^z & M_S^z vanishes.



$$I_S \neq 0$$

Numerical Results of the injected spin current



SSE from vanishing saturation magnetization!

In Summary:

Spin current generation:
by heat :Spin Seebeck Effect

In ferromagnetic insulators,
 $Q = Q(\text{magnon}) + Q(\text{phonon})$

At the Interface,
Spin flow, not Charge flow!!
(different from spin-dependent Seebeck effect)

Phonons

ferromagnets, substrates, piezoelectric actuators, ...

 *A variety of spin Seebeck devices!*