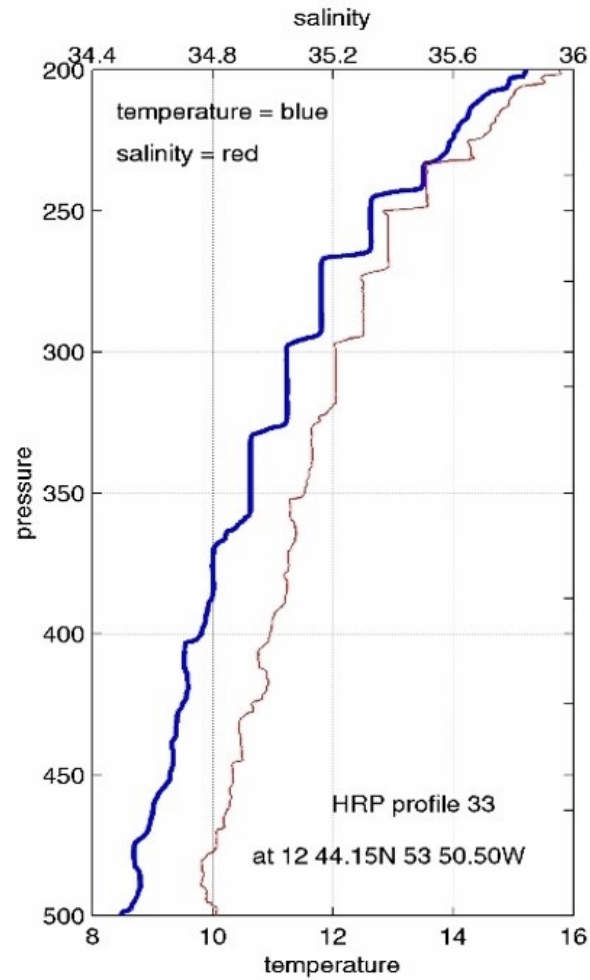


# Thermohaline layering in tropical and mid-latitude oceans

Timour Radko (NPS)



Schmitt et al. (2005)

What is the origin of *permanent* staircases?

A “simple” answer:

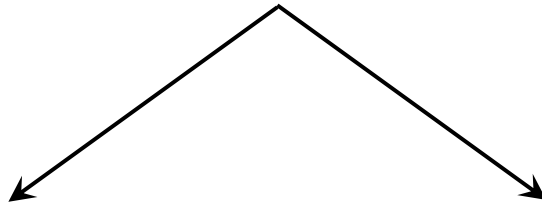
### **Double-Diffusive Convection**

A set processes driven by the difference in the molecular diffusivities of density components (e.g., temperature and salinity of sea-water)

$$\left\{ \begin{array}{l} \frac{d\vec{v}_{tot}}{dt} = -\frac{\nabla p}{\rho_0} + g(\alpha T - \beta S)\vec{k} + \nu \nabla^2 \vec{v} \\ \nabla \cdot \vec{v} = 0 \\ \frac{dT}{dt} + w\bar{T}_z = k_T \nabla^2 T \\ \frac{dS}{dt} + w\bar{S}_z = k_S \nabla^2 S \end{array} \right. \quad \begin{array}{l} k_T \approx 1.4 \cdot 10^{-7} \text{ m}^2 / \text{s} \\ k_S \approx 1.1 \cdot 10^{-9} \text{ m}^2 / \text{s} \\ k_S \ll k_T \end{array}$$

Governing equations  
(Navier-Stokes, incompressible)

# Double-Diffusive Convection

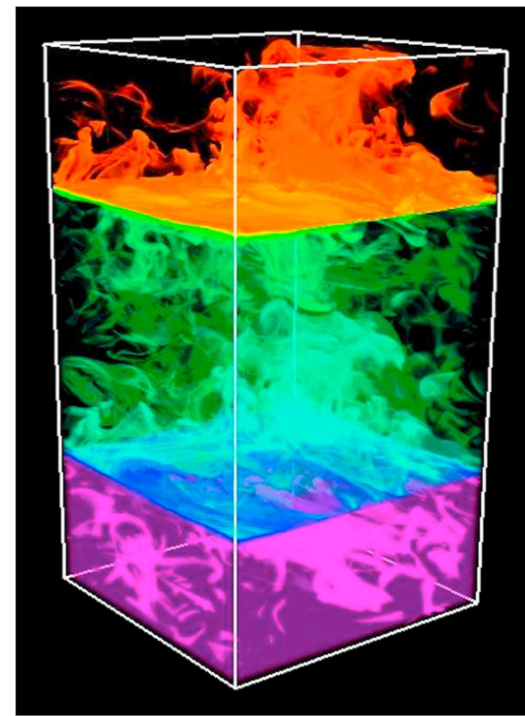
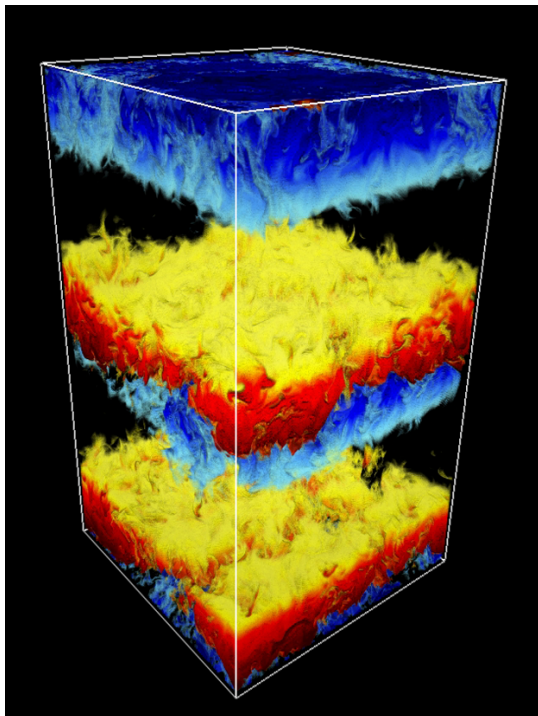


**salt fingering**

diffusive convection

warm, salty

cold, fresh

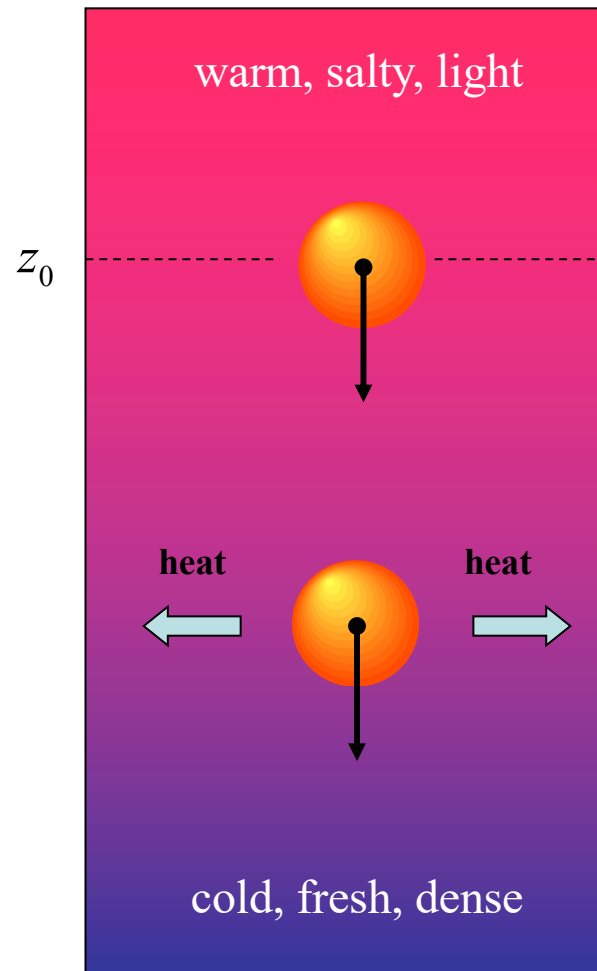


$$T = T_{tot} - \bar{T}$$

cold, fresh

warm, salty

## Salt Fingers (Physics)

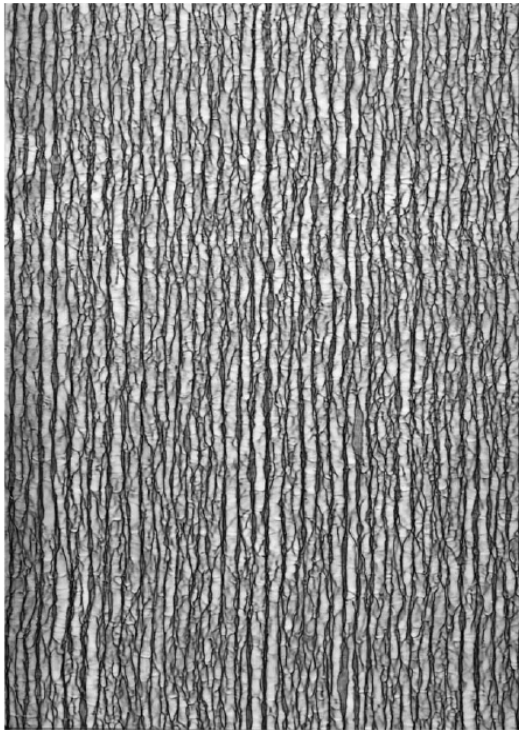


Stern (1960)

Instability of convectively stable (bottom-heavy) systems

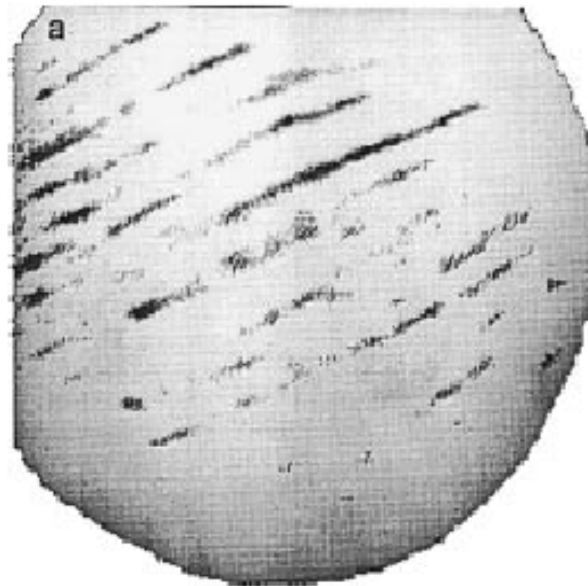
# Salt Fingers

lab experiments  
sugar / salt



Krishnamurti (2003)

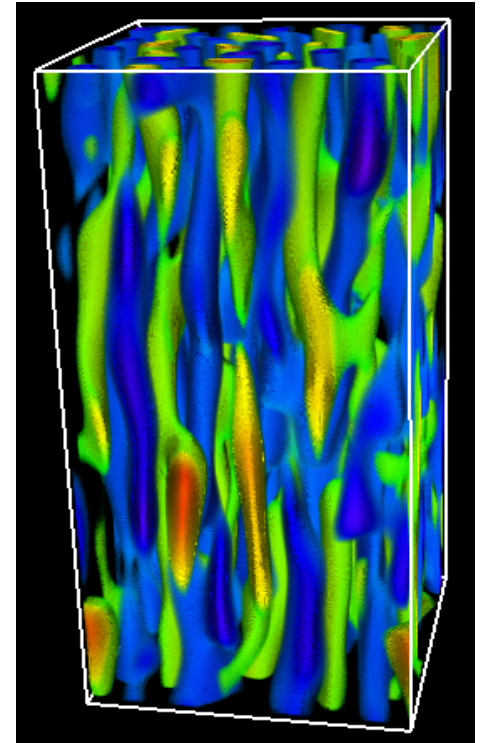
the ocean



← 10cm →

St. Laurent and Schmitt (1999)

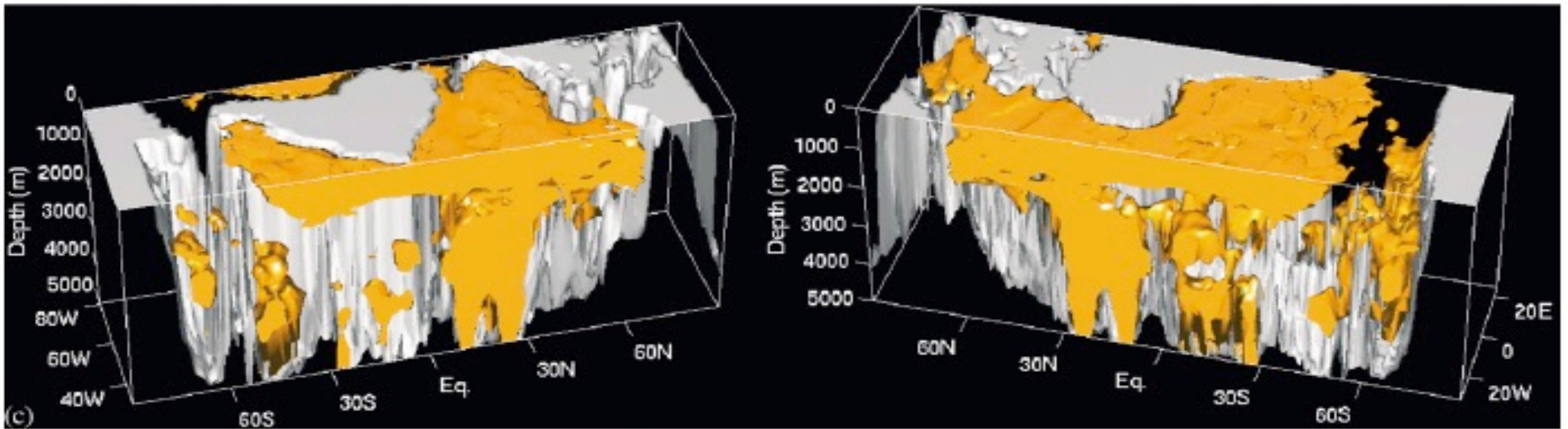
simulations



Radko and Smith (2012)

## Favorable conditions for salt fingers

$$\frac{\partial T}{\partial z} > 0, \quad \frac{\partial S}{\partial z} > 0, \quad \frac{\partial \rho}{\partial z} < 0 \quad \sim 30\% \text{ of the world ocean}$$



You (2002)

large-scale stratification

95% of the main thermocline in the Atlantic is unstable to salt-fingering

Some of us don't need a reason to love salt fingers.

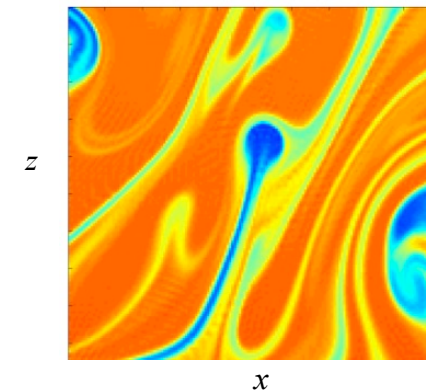
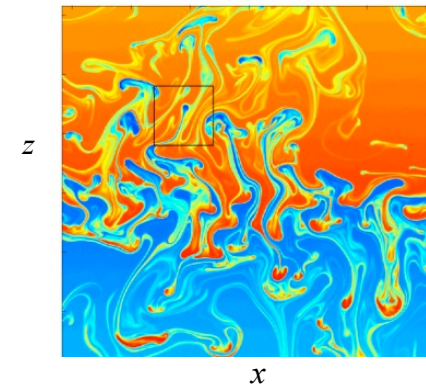
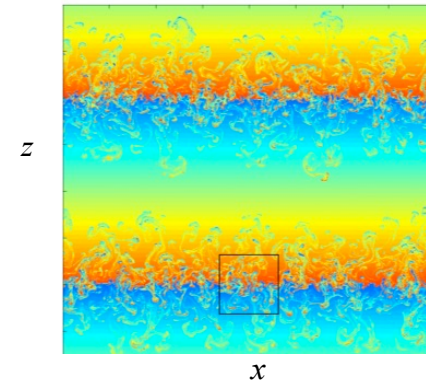
For others:

- SF frequently control vertical mixing (St Laurent and Schmitt, 1999; Schmitt et al., 2005)
- SF often determine the pattern of the  $T$ - $S$  relationship (Schmitt, 1981)
- SF produce lateral intrusions (Stern, 1967)

... and the most amazing phenomenon ...

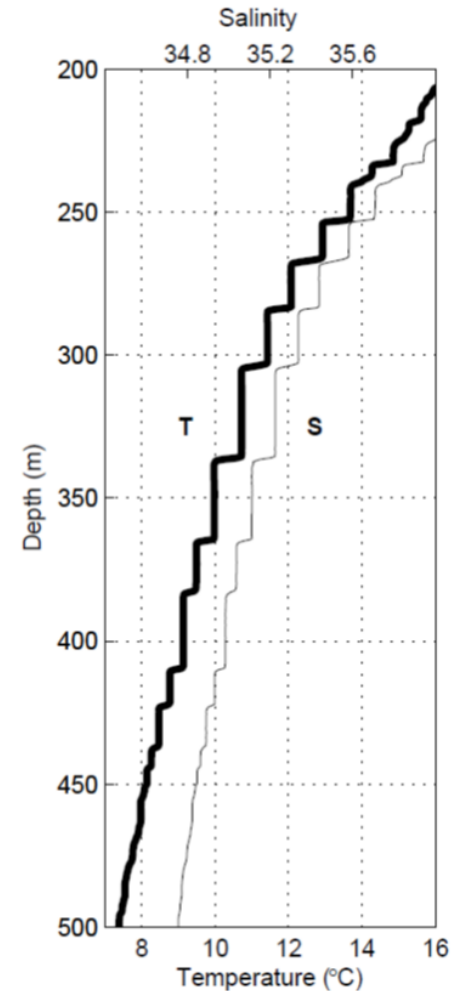
- SF produce **thermohaline staircases**

$S(x, z)$



## Thermohaline staircases:

- Fascinating effect
- Naval applications
- **Vertical mixing**



Schmitt et al. (2005)

## Eddy diffusivities in the NA Central thermocline:

- $K_s = 1 \text{ cm}^2/\text{s}$  – salt fingers forming the staircase (Schmitt et al., 2005; Veronis, 2007);
- $K_s = 0.1 \text{ cm}^2/\text{s}$  – salt fingers in a smooth gradient (St. Laurent and Schmitt, 1999; Stern et al., 2001);
- $K_{turb} = 0.05 \text{ cm}^2/\text{s}$  – overturning gravity waves (Gregg, 1989; Polzin et al., 1995)



## Thermohaline staircases: We should know what is going on

Salt flux due to salt fingers through the Caribbean staircase:

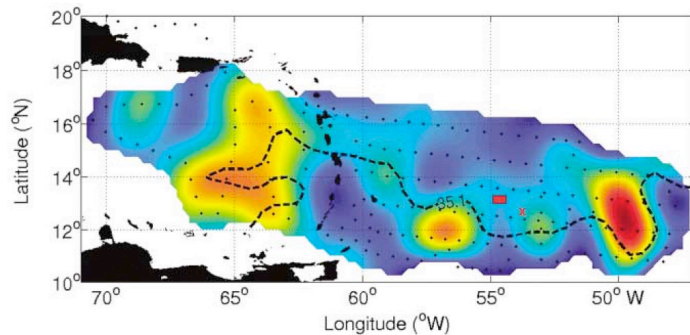
$$F_{SF} \approx A_{C-SALT} K_S \frac{\partial S}{\partial z} \sim 3.5 \cdot 10^6 \text{ psu m}^3 \text{ s}^{-1}$$

Salt flux due to internal waves through the entire subtropical gyre:

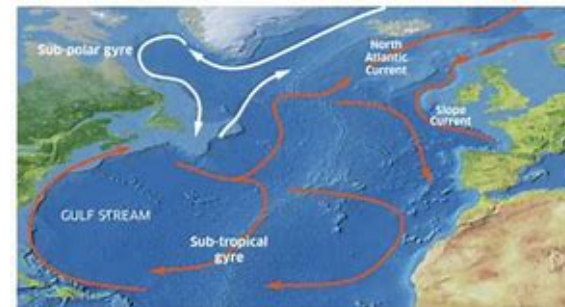
$$F_{turb} \approx A_{GYRE} K_S \frac{\partial S}{\partial z} \sim 1.5 \cdot 10^6 \text{ psu m}^3 \text{ s}^{-1}$$

$$\left. \begin{array}{l} \text{salt} \\ F_{SF} > F_{turb} \\ \\ \text{heat} \\ H_{SF} \sim H_{turb} \end{array} \right\}$$

Caribbean Staircase



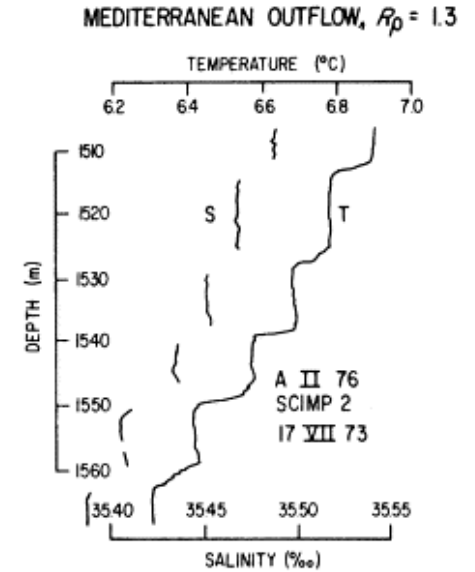
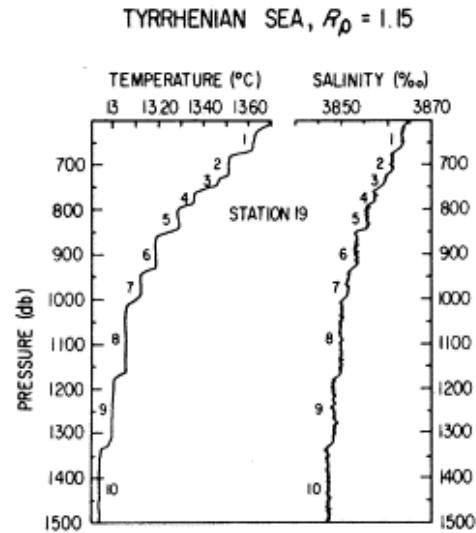
Schmitt et al. (2005)



$$A_{GYRE} \sim 15 \times A_{C-SALT}$$

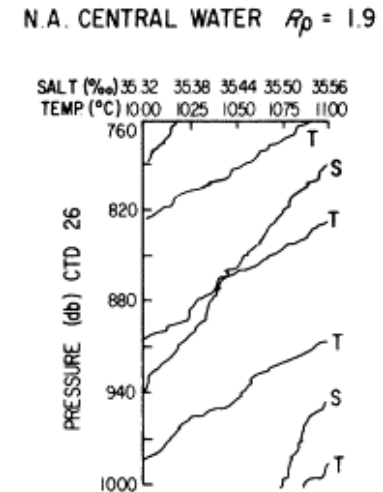
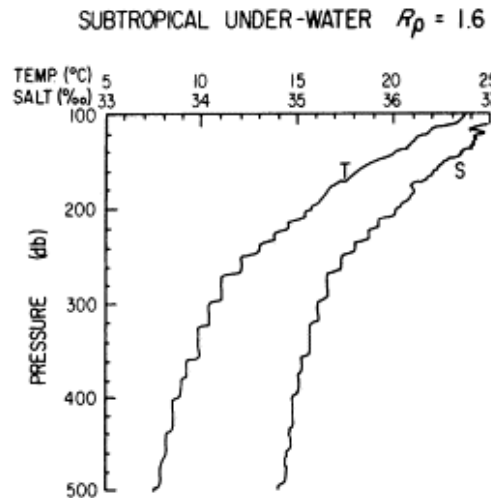
# Thermohaline staircases: What do we know?

$$R_\rho = \frac{\alpha T_z}{\beta S_z} \quad \text{-- the density ratio}$$



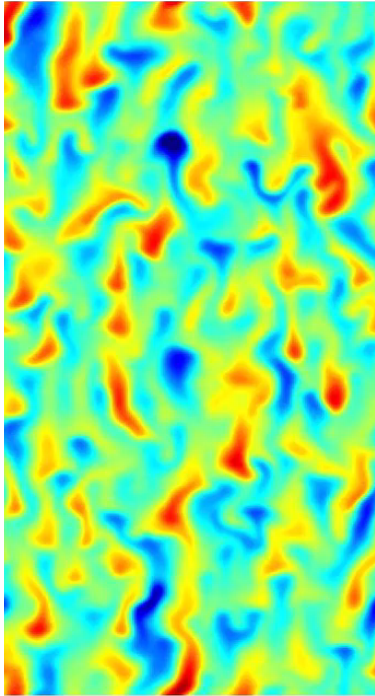
Conditions for thermohaline layering:

$$R_\rho = \frac{\alpha T_z}{\beta S_z} < 1.8$$



Schmitt (1981)

$$T = T_{tot} - \bar{T}, \quad R_\rho = 2$$

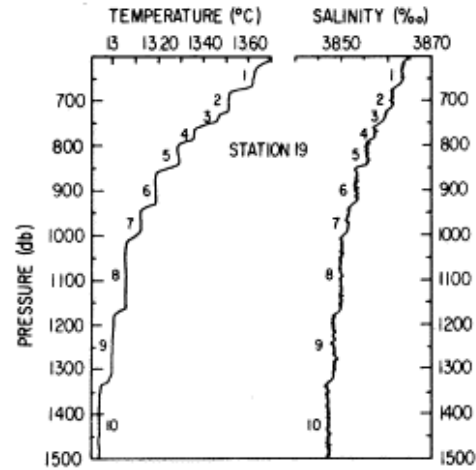


$x$

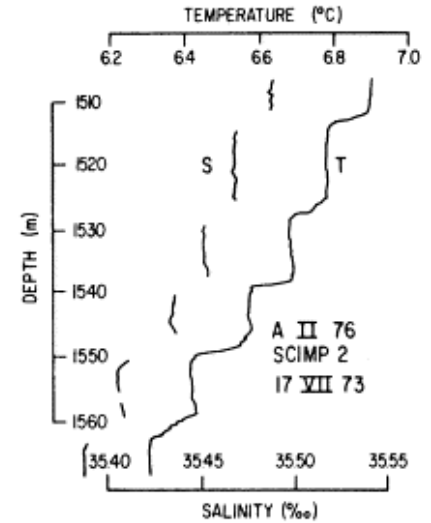
Conditions for thermohaline layering:

$$R_\rho = \frac{\alpha T_z}{\beta S_z} < 1.8$$

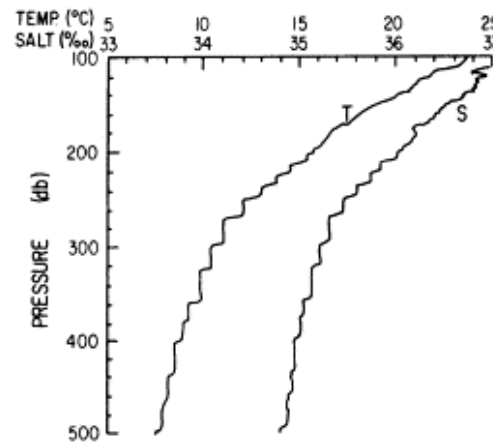
TYRRHENIAN SEA,  $R_\rho = 1.15$



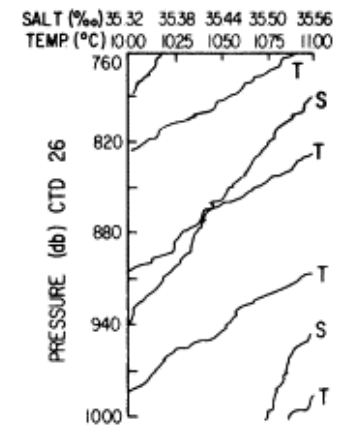
MEDITERRANEAN OUTFLOW,  $R_\rho = 1.3$



SUBTROPICAL UNDER-WATER,  $R_\rho = 1.6$

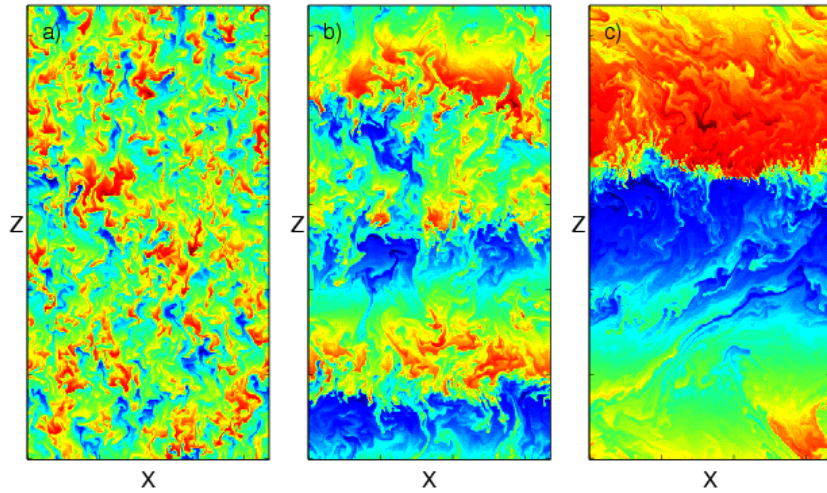


N.A. CENTRAL WATER,  $R_\rho = 1.9$



Schmitt (1981)

$$T = T_{tot} - \bar{T}, \quad R_\rho = 1.1$$



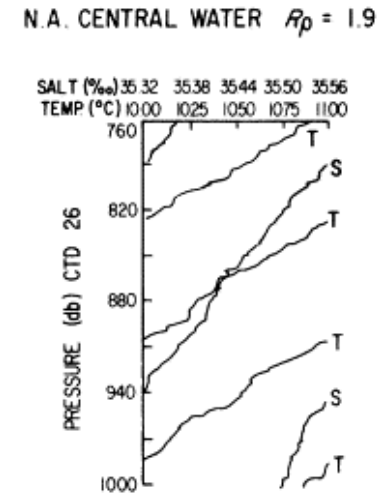
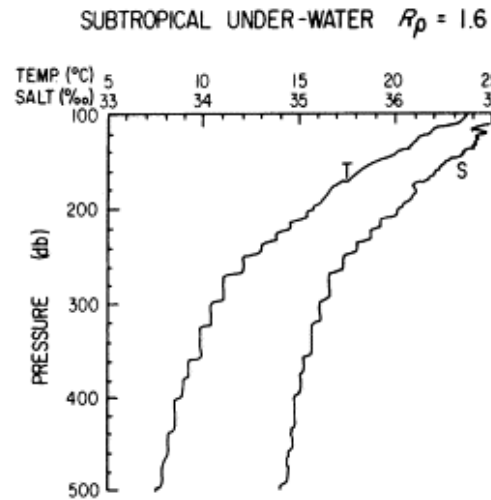
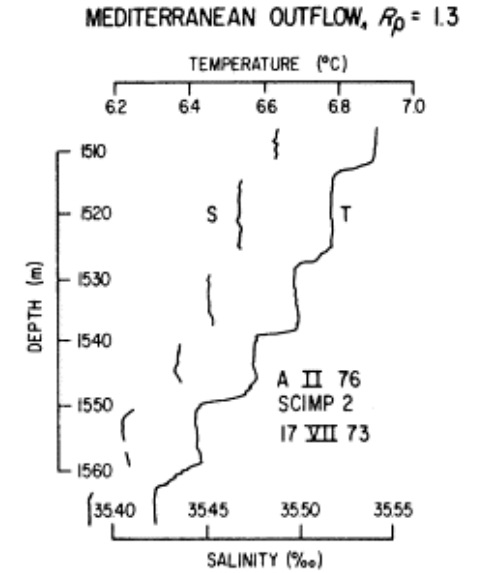
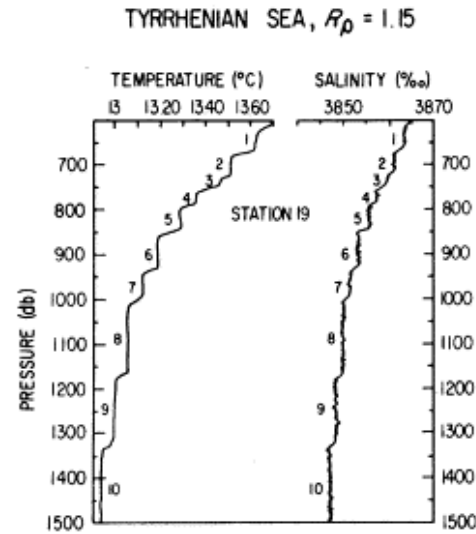
$t_{dim} \sim 12 \text{ hours}$

$t_{dim} \sim 4 \text{ days}$

$t_{dim} \sim 8 \text{ days}$

Conditions for thermohaline layering:

$$R_\rho = \frac{\alpha T_z}{\beta S_z} < 1.8$$



Schmitt (1981)

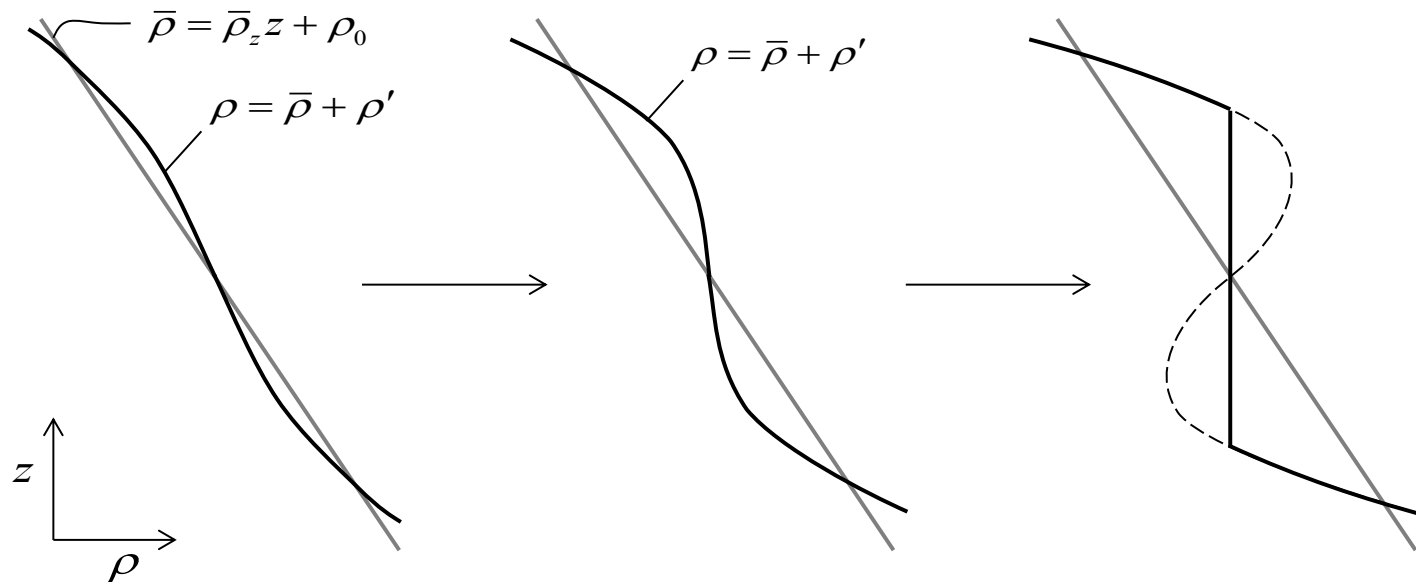
What is the mechanism of layering and why is it so sensitive to  $R_\rho$ ?

## Explanations of layering

- Collective instability (Stern, 1969)
- Metastable equilibria forced by external disturbances (Stern and Turner, 1969)
- Mechanisms unrelated to double-diffusion (Phillips, 1972; Posmentier, 1977)
- Negative density diffusion (Schmitt, 1994)
- Lateral intrusions which develop into a staircase (Merryfield, 2000)
- **Instability of the flux-gradient laws (Radko, 2003, 2019)**

$$F_T = F_T(T_z, S_z)$$

$$F_S = F_S(T_z, S_z)$$



# Instability of a uniform gradient – 1D model

Large-scale conservation

$$\frac{\partial T}{\partial t} = -\frac{\partial F_T}{\partial z}$$

$$\frac{\partial S}{\partial t} = -\frac{\partial F_S}{\partial z}$$

Flux-gradient laws

$$F_T = F_T(T_z, S_z)$$

$$F_S = F_S(T_z, S_z)$$

Dimensional arguments

$$F_T = k_T T_z Nu(R_\rho)$$

$$\beta F_S = \frac{\alpha F_T}{\gamma(R_\rho)}$$

$$R_\rho = \frac{\alpha T_z}{\beta S_z}$$

**the flux ratio**

Linearization:

$$T = \bar{T}(z) + T'$$

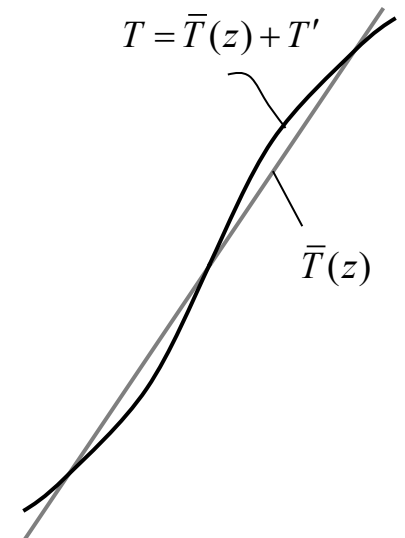
$$S = \bar{S}(z) + S'$$

$$R_\rho = R_0 + R'$$

$(\bar{T}, \bar{S}, R_0)$  – uniform background gradient  
 $(T', S', R')$  – small perturbation

Normal mode analysis:

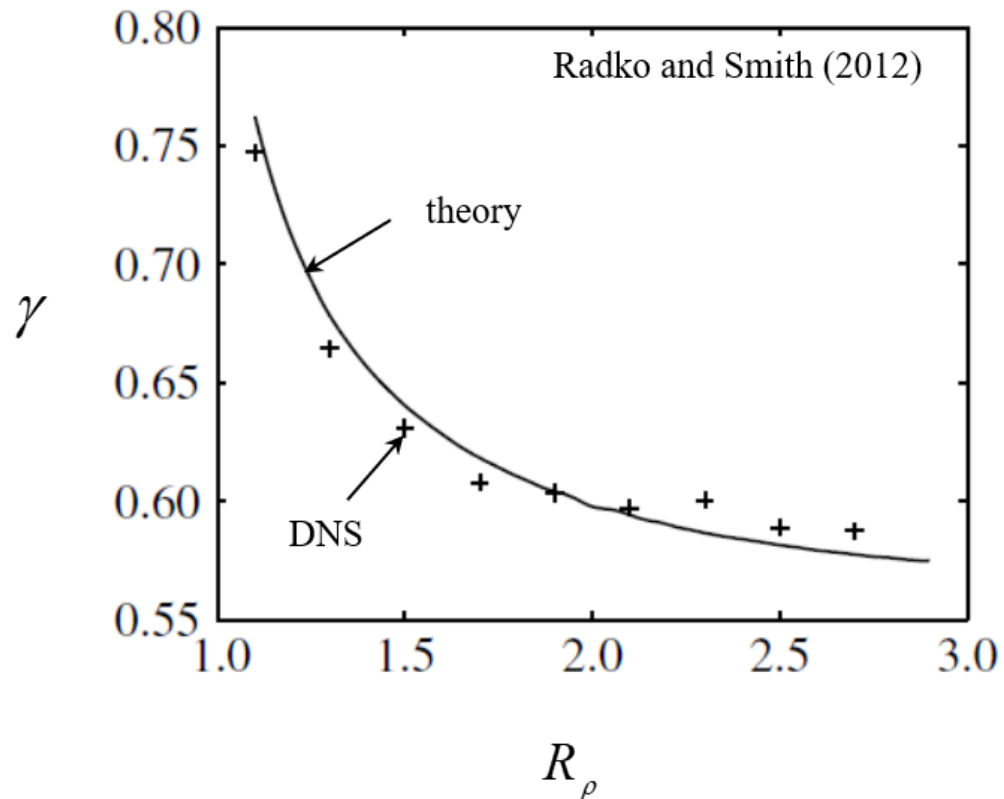
$$(T', S') = (\hat{T}, \hat{S}) \sin(mz) \exp(\lambda t)$$



Eigenvalue equation for the growth rate:

$$\lambda^2 + \lambda(\dots)m^2 + \frac{\partial \gamma}{\partial R_\rho} \frac{Nu^2(R_0)m^4}{\gamma^2} = 0$$

If the flux ratio **decreases** with  $R_\rho$ , the uniform gradient is **unstable**



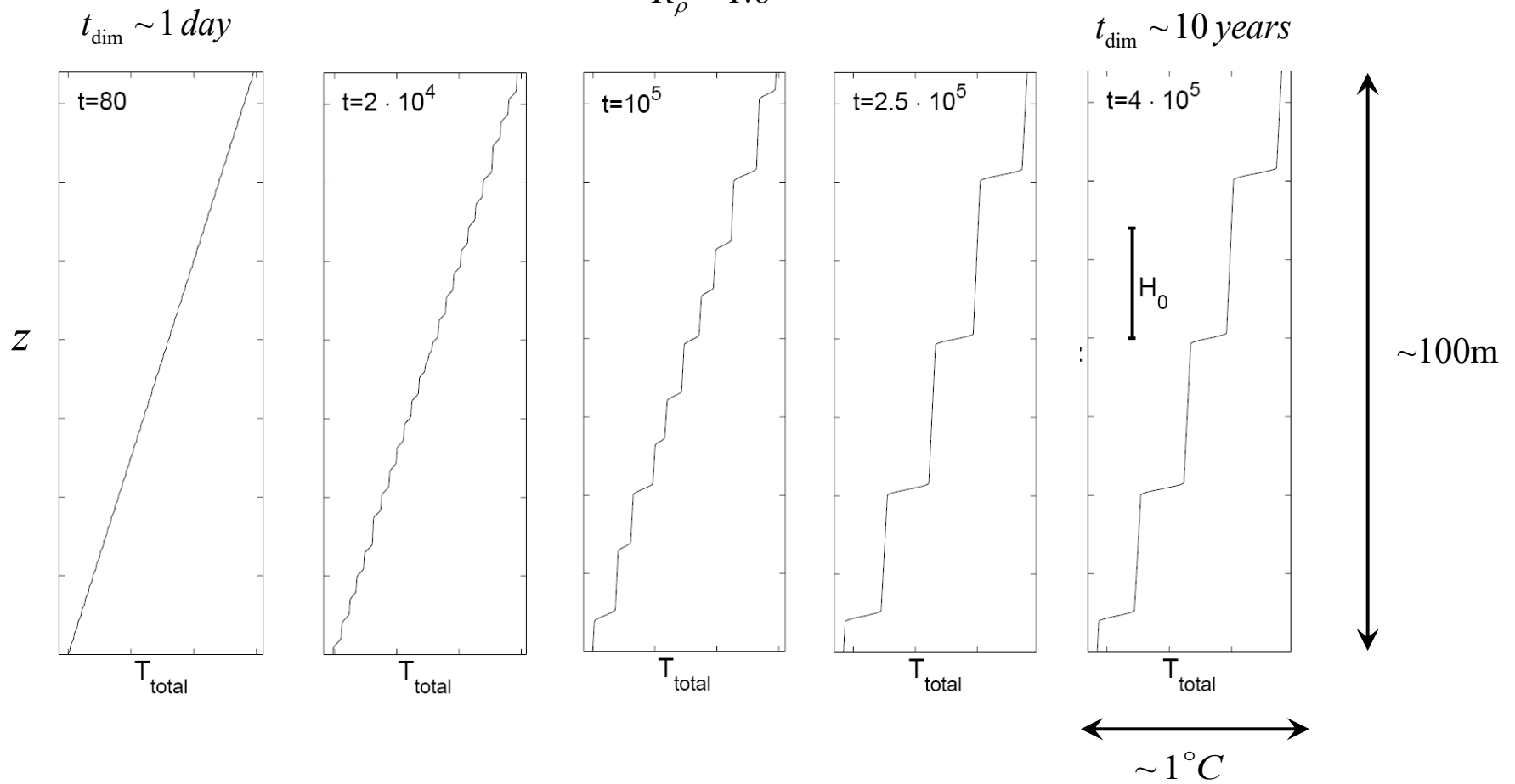


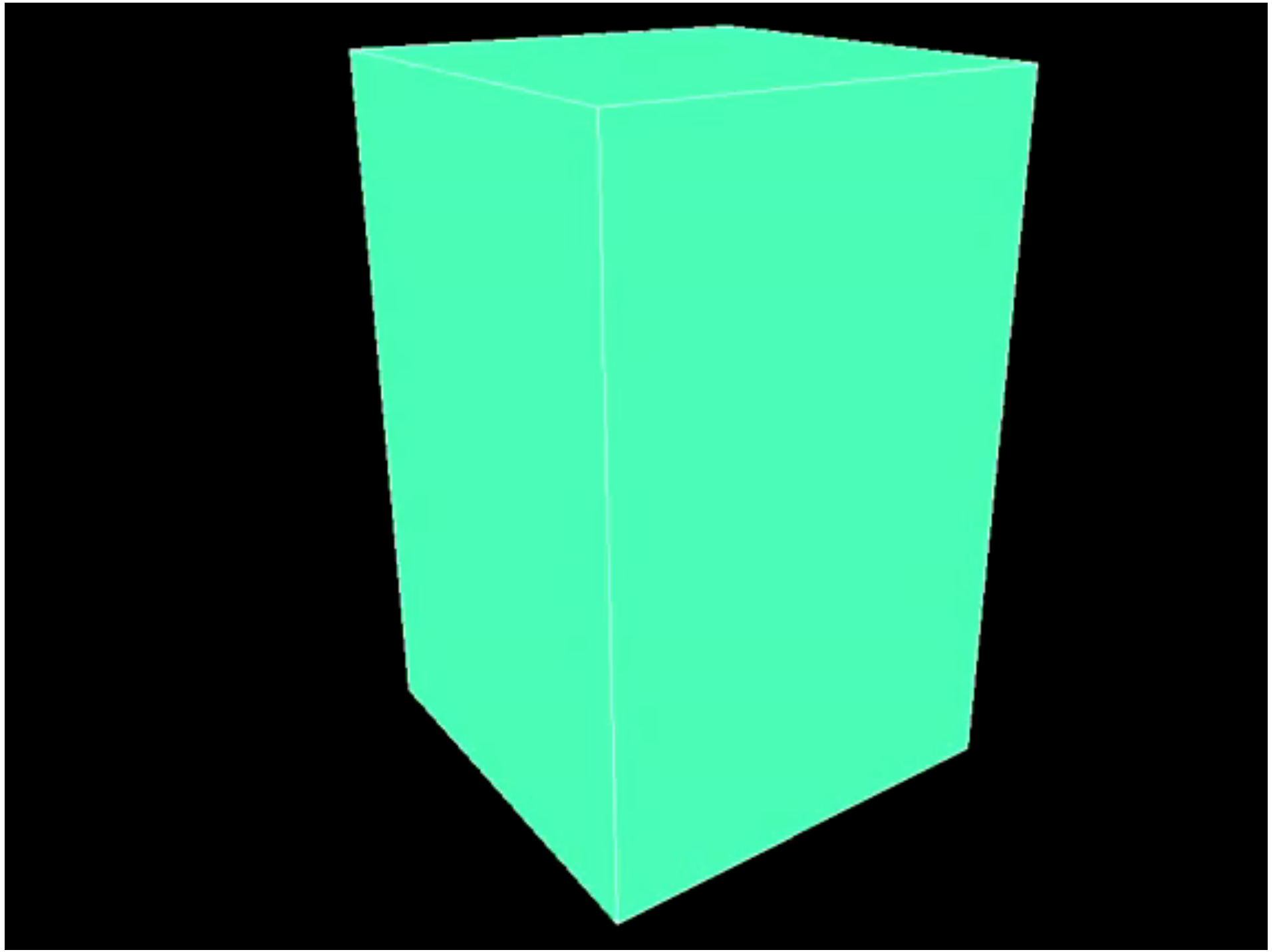
The layering instability  
 Parametric flux-gradient model (nonlinear version)

$$\frac{\partial T}{\partial t} = -\frac{\partial F_T}{\partial z}$$

$$\frac{\partial S}{\partial t} = -\frac{\partial F_S}{\partial z}$$

$$R_\rho = 1.6$$



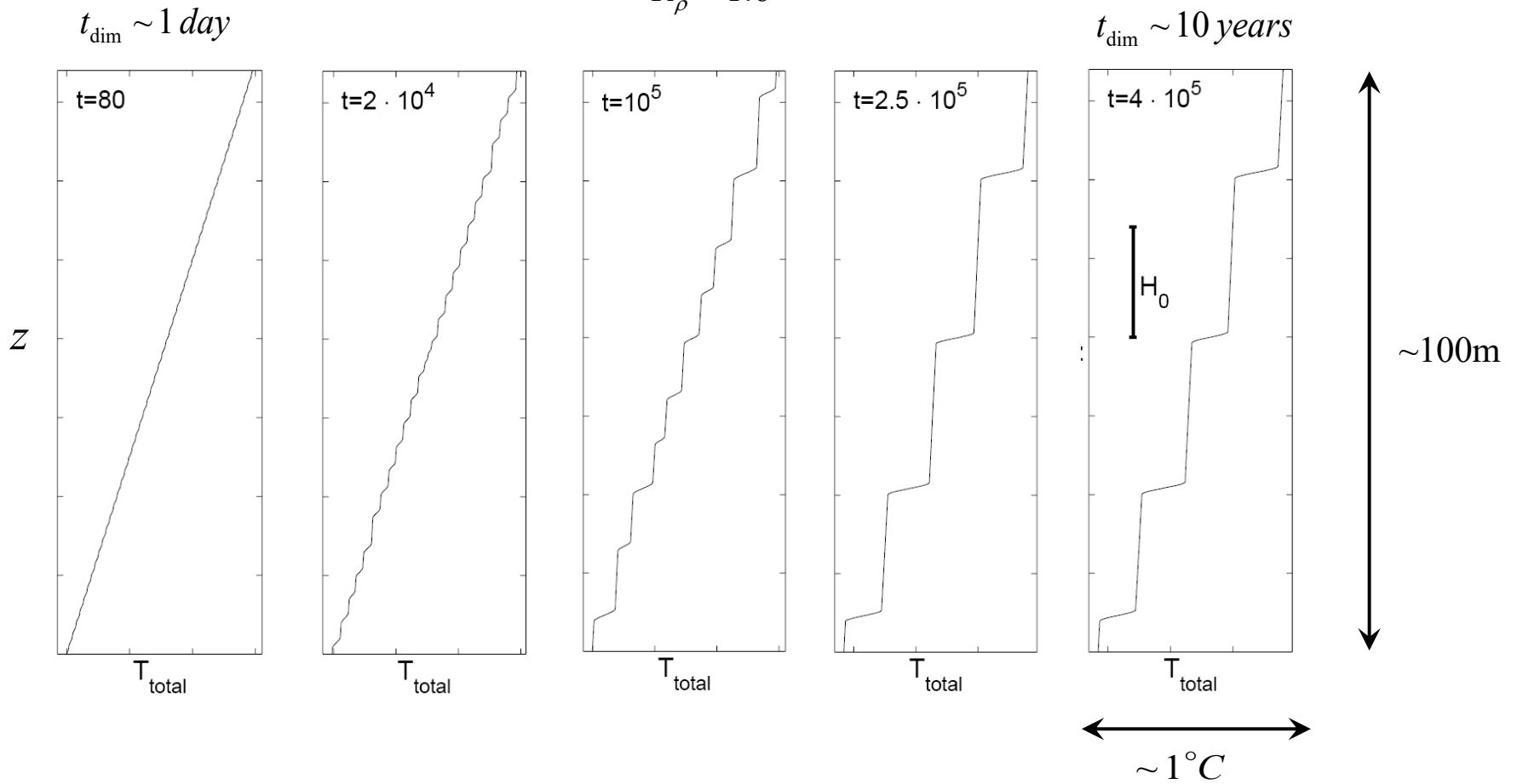


The layering instability  
 Parametric flux-gradient model (nonlinear version)

$$\frac{\partial T}{\partial t} = -\frac{\partial F_T}{\partial z}$$

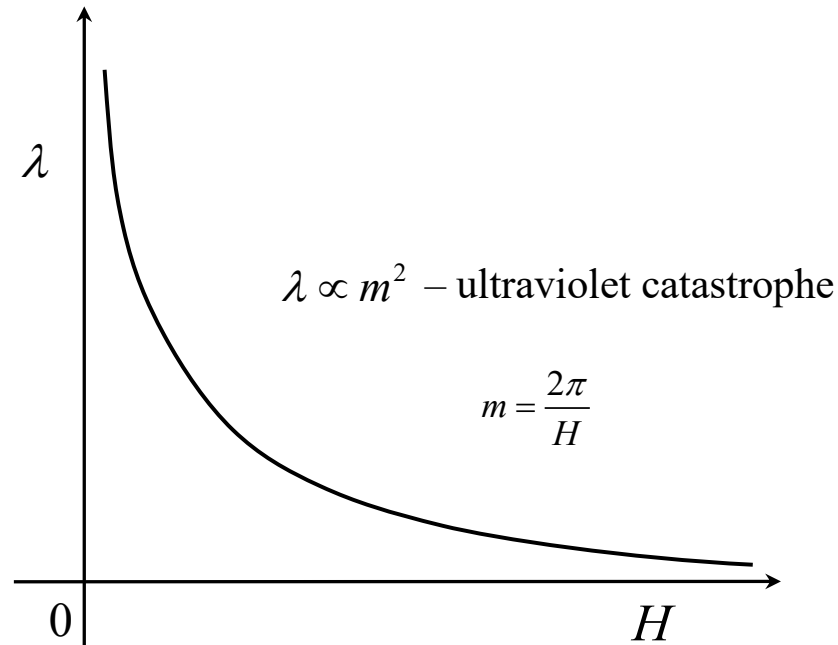
$$\frac{\partial S}{\partial t} = -\frac{\partial F_S}{\partial z}$$

$$R_\rho = 1.6$$



## The layering instability

### Remaining questions/problems



$$F_T = F_T(T_z, S_z)$$

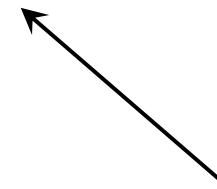
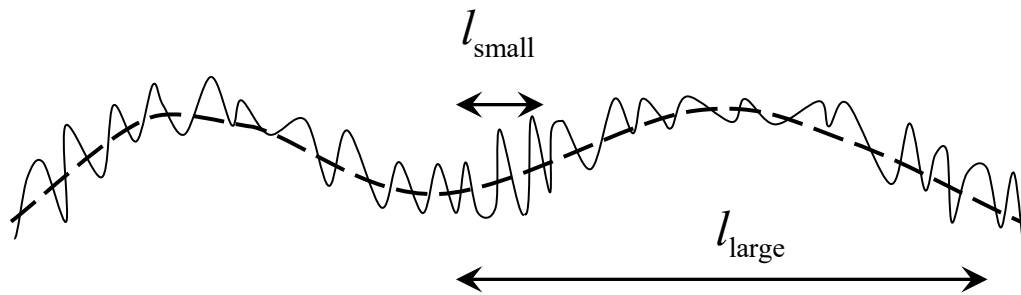
$$F_S = F_S(T_z, S_z)$$

- What is the origin of ultraviolet catastrophe in the model?
- What determines the growth rate of layering instability?
- What determines the preferred wavelength?
- Why does the gamma-instability theory predict layering even for  $R_\rho > 1.8$  ?
- Where is microstructure?

## Thermohaline layering on the microscale

$$\begin{aligned} F_T &= F_T(T_z, S_z) \\ F_S &= F_S(T_z, S_z) \end{aligned}$$

## Alternative approach: **multiscale mechanics**



homogenization method  
modulational stability analysis  
8D hydrodynamics

$$Z = \varepsilon z, \quad t_2 = \varepsilon^2 t$$

$$\frac{\partial}{\partial t} \rightarrow \frac{\partial}{\partial t} + \varepsilon^2 \frac{\partial}{\partial t_2}, \quad \frac{\partial}{\partial z} \rightarrow \frac{\partial}{\partial z} + \varepsilon \frac{\partial}{\partial Z} .$$

$$\varepsilon = \frac{l_{\text{small}}}{l_{\text{large}}}$$

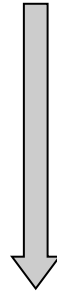
## Governing equations (2D, non-dimensional)

$$\begin{cases} \frac{\partial T}{\partial t} + J(\psi, T) + \frac{\partial \psi}{\partial x} = \nabla^2 T \\ \frac{\partial S}{\partial t} + J(\psi, S) + \frac{1}{R_0} \frac{\partial \psi}{\partial x} = \tau \nabla^2 S \\ \frac{\partial}{\partial t} \nabla^2 \psi + J(\psi, \nabla^2 \psi) = \text{Pr} \left[ \frac{\partial}{\partial x} (T - S) + \nabla^4 \psi \right] \end{cases}$$

$$T = \tilde{T}_0(x, z, t) + \mathbf{T}_{ls}(Z, t_2) + \varepsilon \tilde{T}_1(Z, t_2) \tilde{T}_1(x, z, t) + \dots$$

Solve a sequence of balances at each order in  $\varepsilon$

$$\frac{\partial}{\partial t} \rightarrow \frac{\partial}{\partial t} + \varepsilon^2 \frac{\partial}{\partial t_2}, \quad \frac{\partial}{\partial z} \rightarrow \frac{\partial}{\partial z} + \varepsilon \frac{\partial}{\partial Z}.$$



If the expansion is truncated at  $O(\varepsilon^2)$

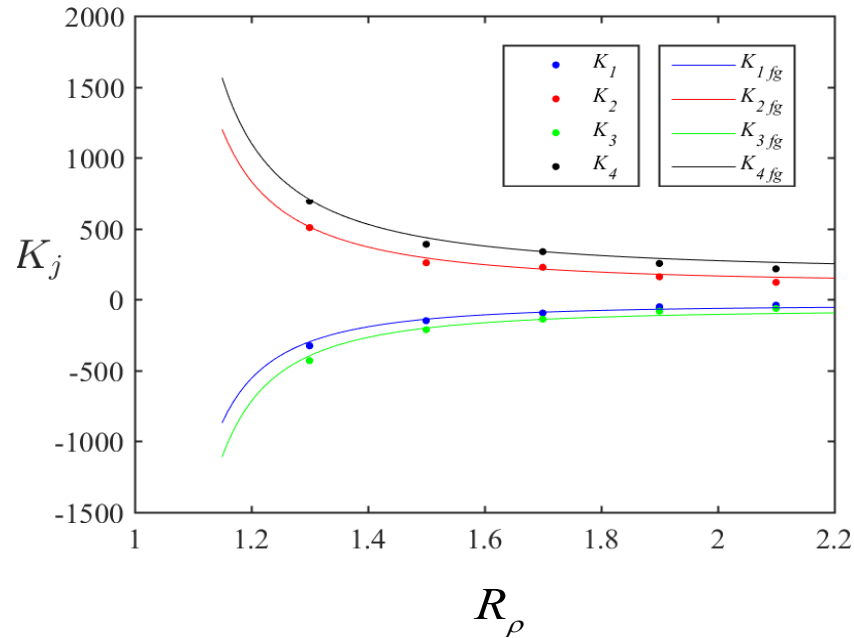
$$\begin{cases} \frac{\partial T_{ls}}{\partial t_2} = K_1 \frac{\partial^2 T_{ls}}{\partial Z^2} + K_2 \frac{\partial^2 S_{ls}}{\partial Z^2} \\ \frac{\partial S_{ls}}{\partial t_2} = K_3 \frac{\partial^2 T_{ls}}{\partial Z^2} + K_4 \frac{\partial^2 S_{ls}}{\partial Z^2}. \end{cases}$$

$$K_1 = 1 + \left[ \left\langle \tilde{\psi}_{1T} \frac{\partial \tilde{T}_0}{\partial x} - \frac{\partial \tilde{\psi}_0}{\partial x} \tilde{T}_{1T} \right\rangle \right], \dots$$

$$Z = \varepsilon z, \quad t_2 = \varepsilon^2 t$$

If the expansion is truncated at  $O(\varepsilon^2)$ :

$$\begin{cases} \frac{\partial T_{ls}}{\partial t} = K_1 \frac{\partial^2 T_{ls}}{\partial z^2} + K_2 \frac{\partial^2 S_{ls}}{\partial z^2} \\ \frac{\partial S_{ls}}{\partial t} = K_3 \frac{\partial^2 T_{ls}}{\partial z^2} + K_4 \frac{\partial^2 S_{ls}}{\partial z^2}. \end{cases} \quad \lambda \propto m^2 \text{ – ultraviolet catastrophe (still)}$$

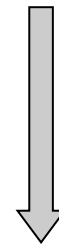


The multiscale expansion at  $O(\varepsilon^2)$  simply reproduces the flux-gradient model

If the expansion is extended to  $O(\varepsilon^4)$ :

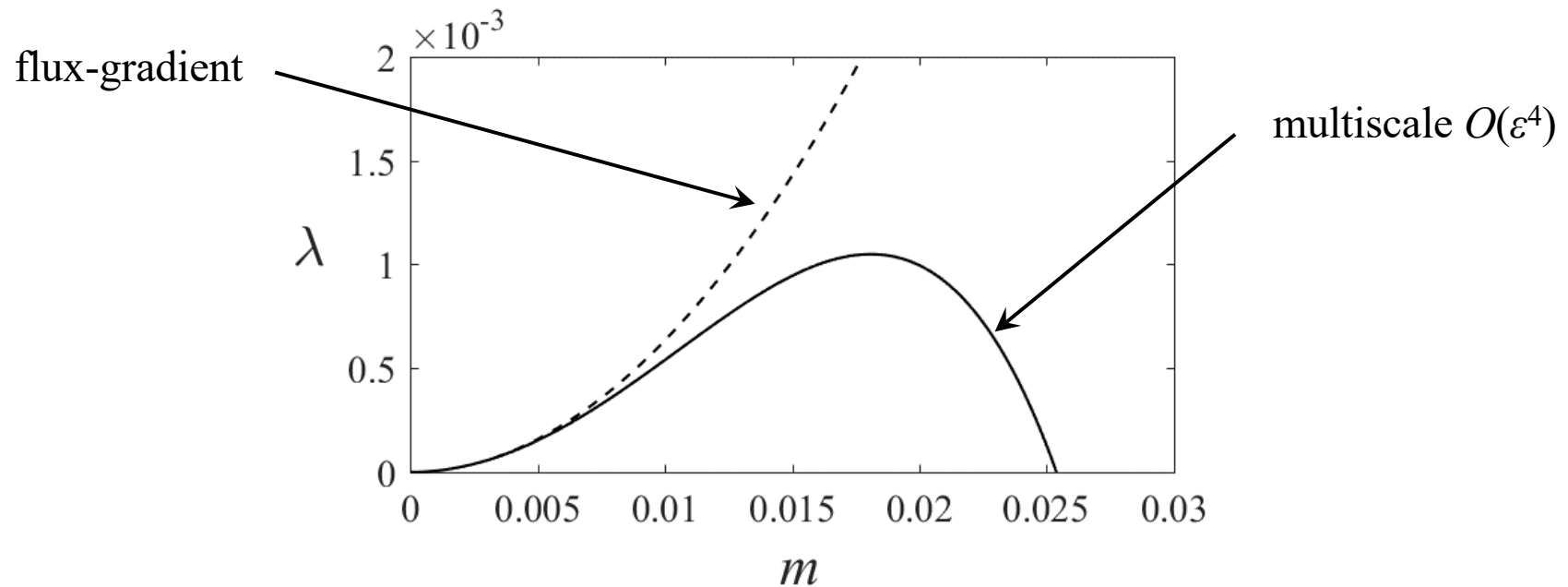
$$\begin{cases} \frac{\partial T_{ls}}{\partial t} = K_1 \frac{\partial^2 T_{ls}}{\partial z^2} + K_2 \frac{\partial^2 S_{ls}}{\partial z^2} + K_5 \frac{\partial^4 T_{ls}}{\partial z^4} + K_6 \frac{\partial^4 S_{ls}}{\partial z^4} \\ \frac{\partial S_{ls}}{\partial t} = K_3 \frac{\partial^2 T_{ls}}{\partial z^2} + K_4 \frac{\partial^2 S_{ls}}{\partial z^2} + K_7 \frac{\partial^4 T_{ls}}{\partial z^4} + K_8 \frac{\partial^4 S_{ls}}{\partial z^4} \end{cases}$$

Normal mode analysis



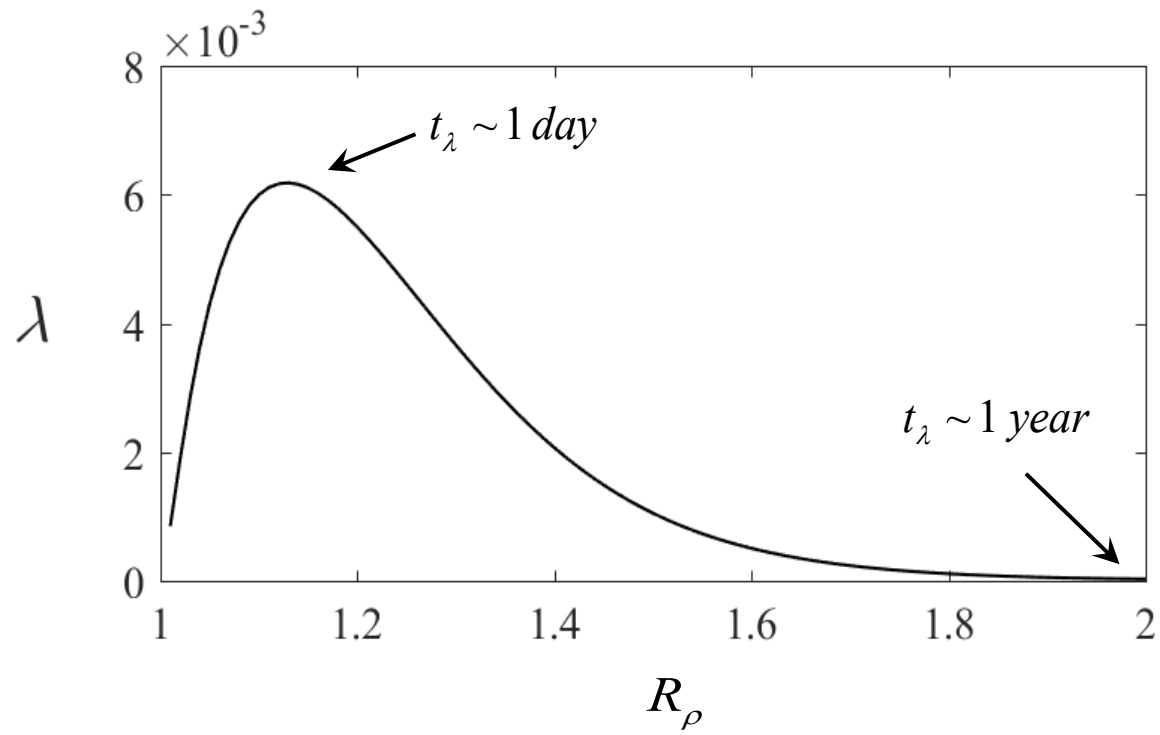
$$(T_{ls}, S_{ls}) = (\hat{T}, \hat{S}) \sin(mz) \exp(\lambda t)$$

$$\lambda = \lambda(m)$$

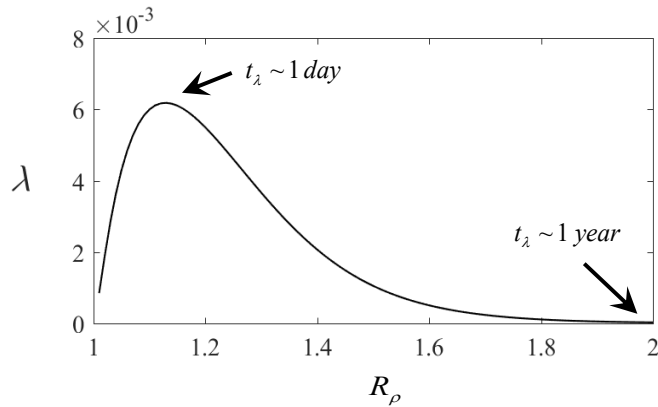




# Growth rates of layering instability

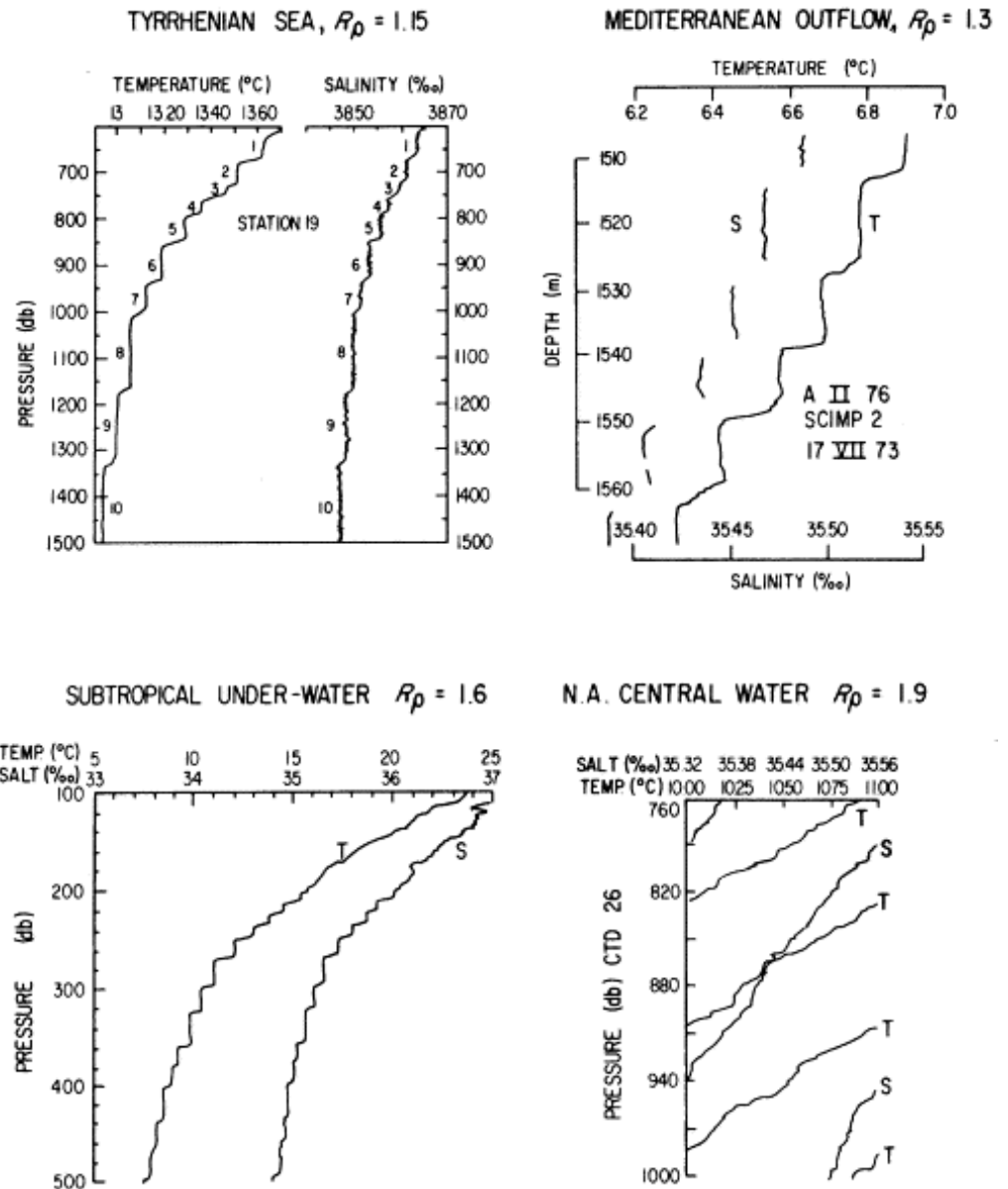


$$R_\rho = \frac{\alpha T_z}{\beta S_z} \quad \text{-- the density ratio}$$



Conditions for thermohaline layering:

$$R_\rho = \frac{\alpha T_z}{\beta S_z} < 1.8$$



Schmitt (1981)

Final comments:

- Let us keep the flame burning

“... there remains a host of unresolved problems in double diffusion.” (Schmitt, 2012)

- Some aspects of thermohaline layering can be explained using flux-gradient laws
- The complete theory of staircases demands the modification of Fick's law

$$\cancel{F_T = -K_T \frac{\partial T}{\partial z}} \leftarrow F_T = -K_T \frac{\partial T}{\partial z} + K_{T3} \frac{\partial^3 T}{\partial z^3} + \dots$$

- Physical oceanographers should embrace 8D hydrodynamics