

Entropy Bounds: Can we live without them?

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Based on work w/R. Sorkin
Also w/ S. Ross and D. Minic

Bekenstein Bound: $S < \alpha RE$
Holographic Bound: $S < A/4l_p^2$

A common story

Black Hole

Thermodynamics



Loophole!

Entropy Bounds



$$S \leq A/4l_p^2$$

$$S \leq \alpha RE.$$

Outline:

1. Review of a lovely debate: Bekenstein, Unruh, and Wald: Entropy Bounds vs. Floating Boxes.
2. Loopholes: Preserving the 2nd law without Bekenstein's bound ($S < \alpha RE$).
3. The observer dependence of entropy.
4. Save holographic bound ($S < A/4l_p^2$) for questions.

1. Bekenstein's Concern:

Adiabatic:

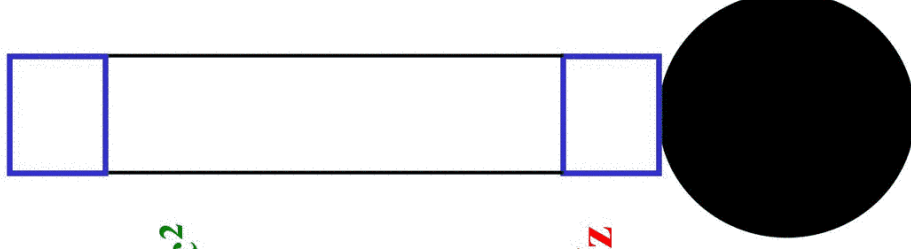
- No kinetic energy
- Energy redshifted to almost zero.

$$S_{\text{infinity}} > 0$$

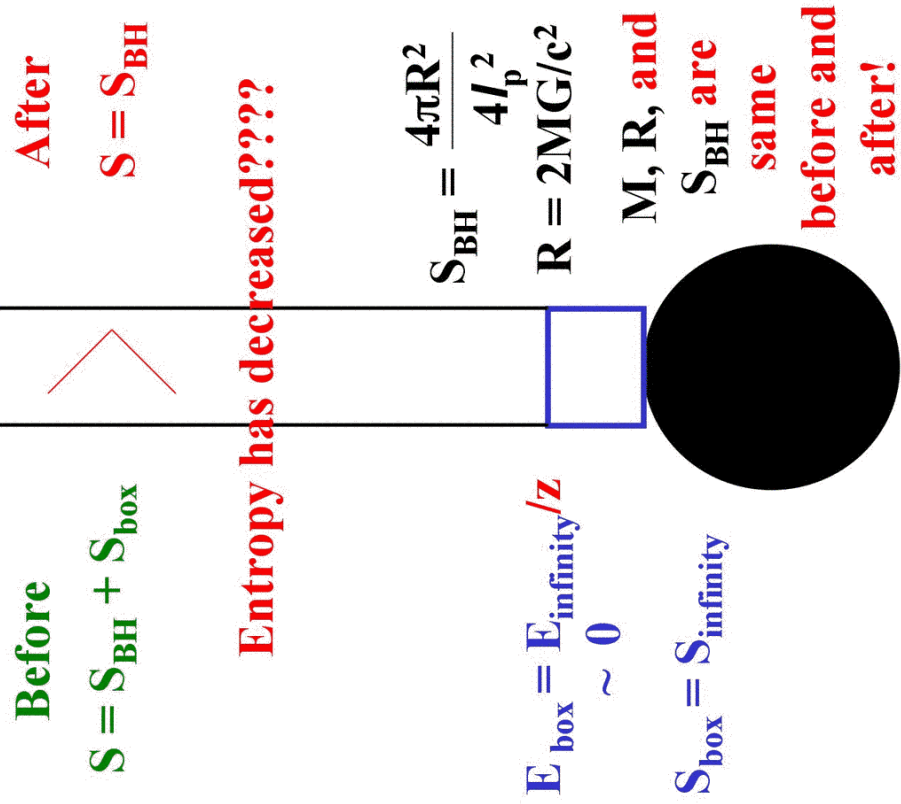
$$E_{\text{infinity}} = mc^2$$

$$S_{\text{box}} = S_{\text{infinity}}$$

$$E_{\text{box}} = E_{\text{infinity}}/z \sim 0$$

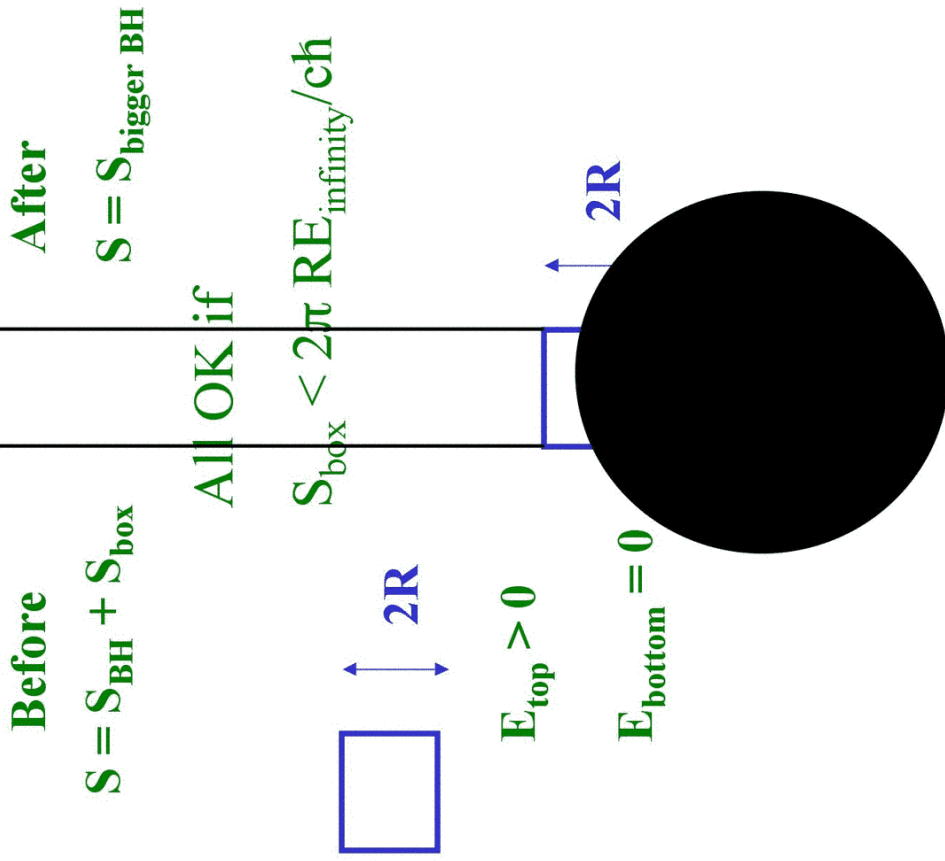


**Bekenstein's
Concern:
Entropy
(1973)**



**The
Bekenstein
Bound:**

$E_{\text{box}} > 0$
Black Hole
grows!

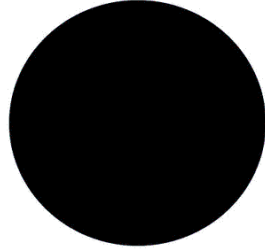
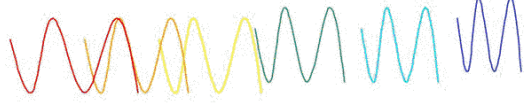


**1b. Unruh and Wald:
An Alternate Response**

(1981)

What about the Hawking radiation?

Weak or Strong?



**Weak!
Cold!**

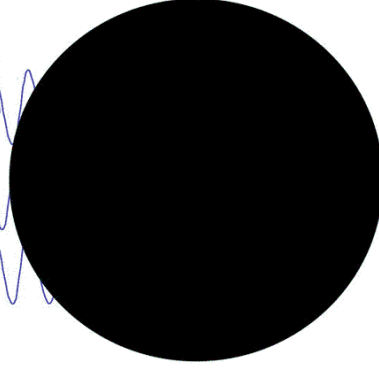
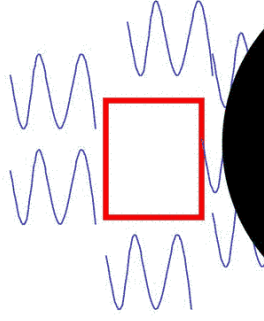
**Hot!
Strong
close to
black
hole!**

**More accurately:
a thermal fluid**

**Box Floats!
(Archimedes)**

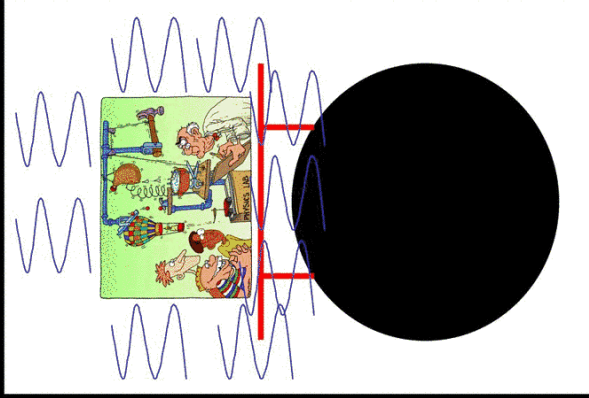
- Box does not reach horizon.
- Even for $R_{\text{box}} = 0$, E_{box} does not reach zero!
- Black Hole grows if box dropped in.
- BH Entropy increases!

Claim: Takes care of Bekenstein's concern w/o new bounds!

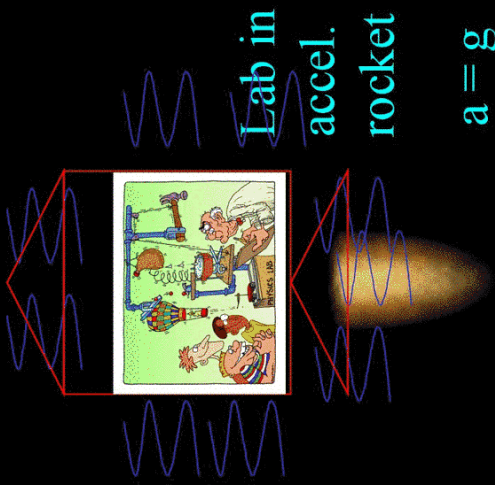


Self-gravitating objects reflectivity problem!!

And what about the equivalence principle for resolution w/ RS.



Lab in grav. field g



Lab in accel. rocket $a = g$

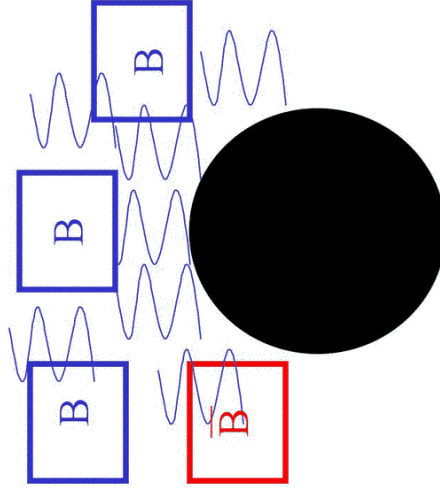
Supported by the fact that physics is ~~not~~ supported by fluid!

2. Another response: (DM & RS)

- Bekenstein concerned about objects with large S .
- Common at thermal equilibrium!
- Our claim: Boxes are readily produced by thermal fluctuations. They are an important part of the thermal atmosphere and lead to new effects.



Why should you believe this?



Bekenstein's Concern:

- (80's and 90's)
- Let box fall freely from far away.
- Hawking radiation is a small effect. E_{box} is constant.
- S_{BH} grows. S_{box} gone!
- But ΔS_{BH} depends only on E_{box} – not on S_{box} !



$$S_{\text{infinity}} > 0$$

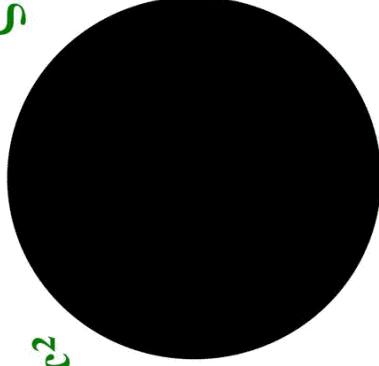
$$E_{\text{infinity}} = mc^2$$

Small Box, so OK if

$$S < \Delta S_{\text{BH}} = \Delta E_{\text{BH}}/T_{\text{BH}} \\ = 4\pi R_{\text{BH}} E = 8\pi \zeta RE$$

$$\zeta = \frac{R_{\text{BH}}}{2R}$$

$$E_{\text{box}} = mc^2$$



But
Wald...

Boxes and Thermal Fluctuations

(DM & R
Sorkin 2002)

- These boxes have lots of S.
- What is their free energy? F is negative!

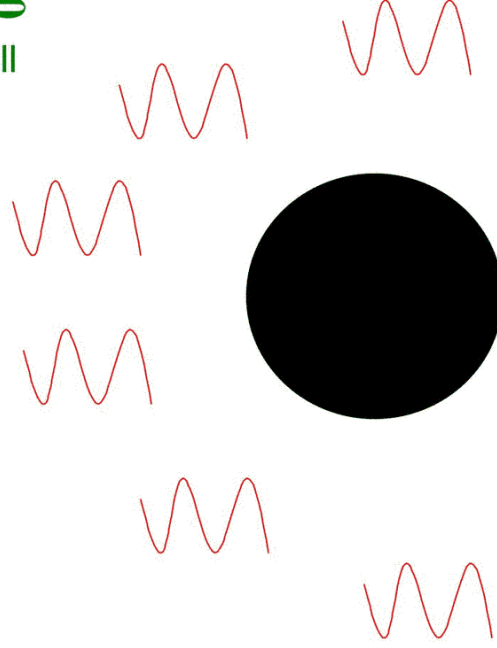
Violation: $S > 8\pi \zeta RE$

$$T = 1/(4\pi R_{\text{BH}})$$

$$\zeta = \frac{R_{\text{BH}}}{2R}$$

$$F = E - TS$$

$$< E - \frac{8\pi \zeta RE}{4\pi R_{\text{BH}}} = E - E \zeta (2R/R_{\text{BH}}) \\ = 0$$



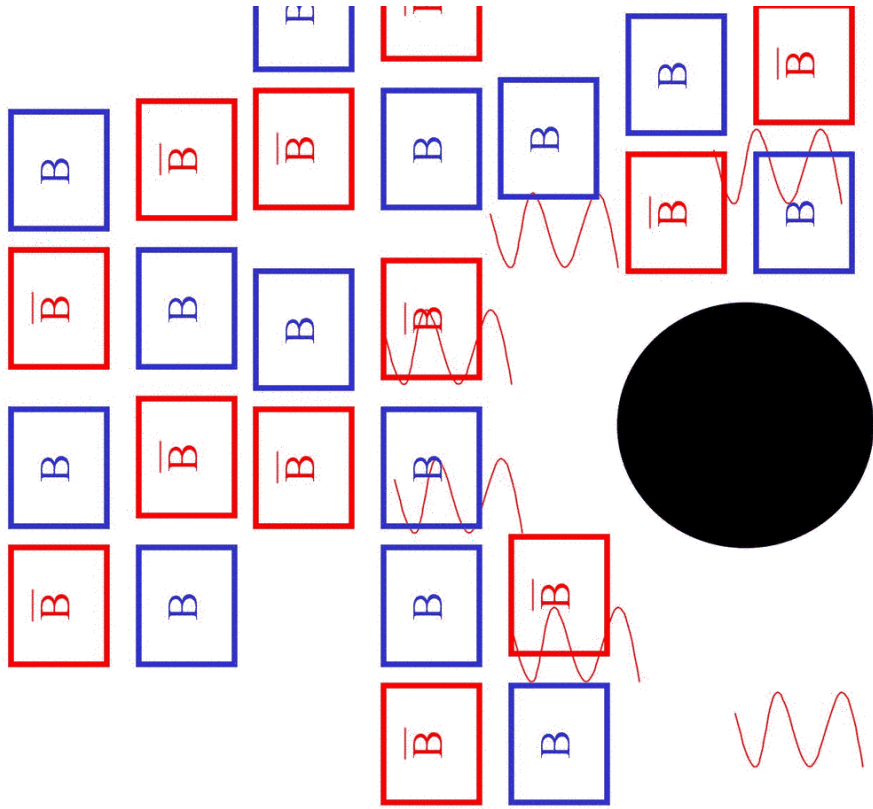
Negative Free Energy?

$$Z = \sum_{\text{micro}} e^{-E/T}$$

$$= \sum_{\text{macro}} e^{S-E/T}$$

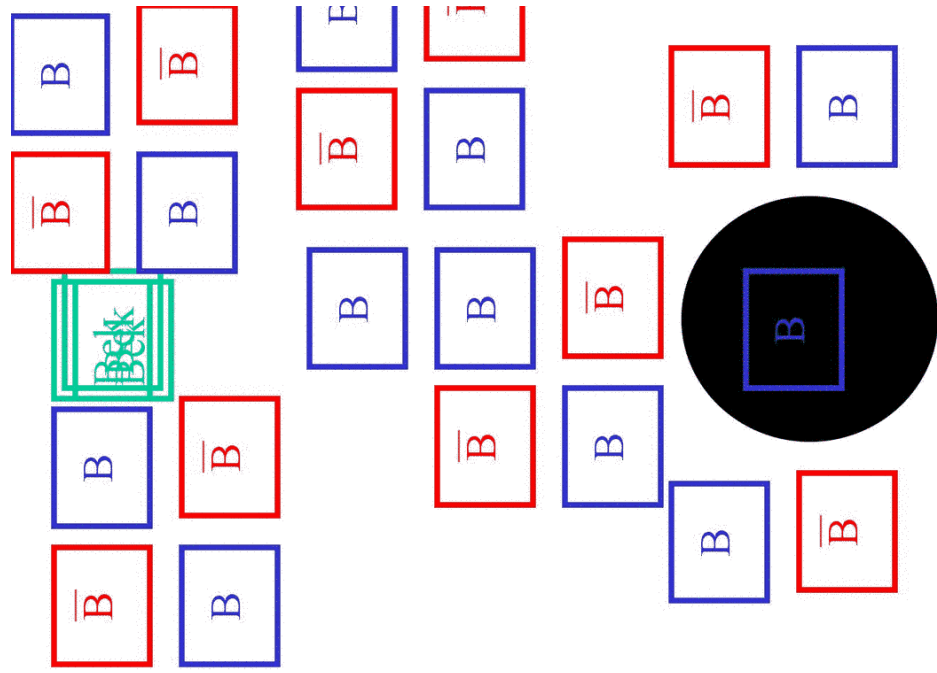
$$= \sum_{\text{macro}} e^{-F/T}$$

Any macrostate of box w/ this E more likely to be present than not!



What happens to Bekenstein's Box?

- Bounce off,
 - Annihilate,
 - Or, if transparent, another box comes out.
- Bek's box is already present in *equilibrium*. So are *all* similar boxes.



Complete Generality

Drop E, S into *any* black hole.
(Assume small change)

$$\begin{aligned} \Delta S_{\text{total}} &= \Delta S_{\text{BH}} - \Delta S \\ &= E/T - \Delta S \\ &= F/T \end{aligned}$$

Sign of LHS = Sign of RHS

Boxes in thermal atmosphere

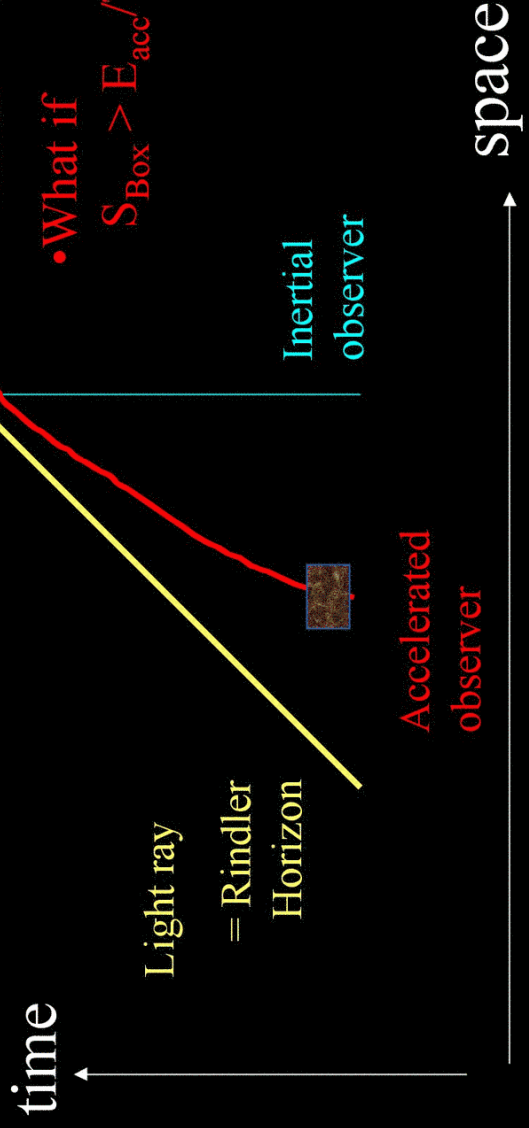
“Holographic New effects $S < A/4l_p^2$.”

3. Equilibrium + 1?

Free fields (w/ S. Ross & D. Minic)

- Rindler observer sees S_{Box} disappear.
- Typically $\delta S_{\text{Horizon}} = E_{\text{acc}}/T$.
- What if $S_{\text{Box}} > E_{\text{acc}}/T$?

Illustrate issue in flat spacetime.



Let's calculate E & S:

	Inertial observer (for reference)	Accelerated observer
No object	$ 0\rangle_M$	ρ_0 (thermal)
One object (microstate)	$ 1\rangle_M$	ρ_1 (not thermal)
One object (macrostate)	$\rho = N^{-1} \sum 1_i\rangle\langle 1_i $	ρ_2 (not thermal)
Change in E	E	$E_{\text{acc}} = \text{Tr} [H \delta\rho]$
Change in S	$S_{\text{box}} = \ln N$	$S_{\text{acc}} = - \delta \text{Tr}[\rho \ln \rho]$ $\delta\rho = \rho_2 - \rho_0$

Reminder

$$\begin{aligned}
 S_{\text{acc}} &= - \delta \text{Tr}[\rho \ln \rho] \\
 &= - \text{Tr} [\delta\rho (1 + \ln \rho)] \\
 &= - \text{Tr} [\delta\rho (1 - H/T)] \\
 &= E_{\text{acc}}/T
 \end{aligned}$$

Explicit calculations for one-particle state w/ N free fields in limit of large N:

$$S_{\text{box}} = \ln N \neq S_{\text{acc}} = E_{\text{acc}}/T$$

$$E \gg T : E_{\text{acc}} = E$$

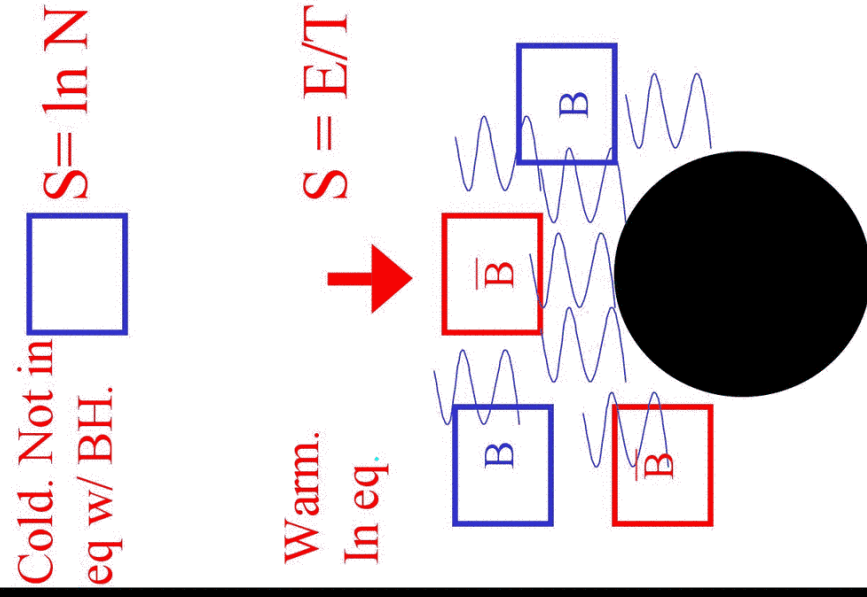
Take $\delta\rho$ small
since $N > e^{E/T}$

Why does our intuition fail?

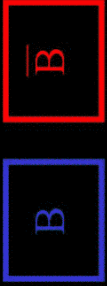
- Can't rely on intuition from distinguishable particles for $S - E/T > 0$ because.....
- QFT says to sum over # of particles at finite T .
- e^S states for one particle, $(e^S)^n$ states for n particles.
- $\sum_n e^{-nE/T} (e^S)^n = \sum_n e^{(S-E/T)n}$ -- diverges; i.e., infinite # of particles preferred.
- **Conclude:** Indistinguishability critical in this regime (though details of statistics irrelevant).

Consider again fall into BH (free case):

- 2nd *already* violated? (BEFORE object enters black hole!)
- Like sending (unpolarized) low E photon into hot cavity.
- Other radiation (objects) must leak out!



Review

1. Arguments for a fundamental ‘‘Bekenstein Entropy Bound’’ contain a loophole.
2. This loophole comes from the *high* probability that highly entropic objects will be created by thermal fluctuations. 
3. Important effect for free fields: a new *observer dependence* of S !
4. Similar loophole in arguments for the ‘holographic bound.’ (Expands on Wald.)

My Viewpoint on Bekenstein bound ($S < \alpha RE$)

1. Not motivated by GSL.
2. Does not explain BH entropy or other properties. So, no real motivation at all.
3. Does not depend on G . No known definition that holds for all QFT’s. In particular, violated w/ large # of species.
4. Hard to believe as a fundamental principle (and why bother?).
5. Nevertheless, not ruled out that some version holds in our Universe (at least at some scale).
Experimental tests welcome.

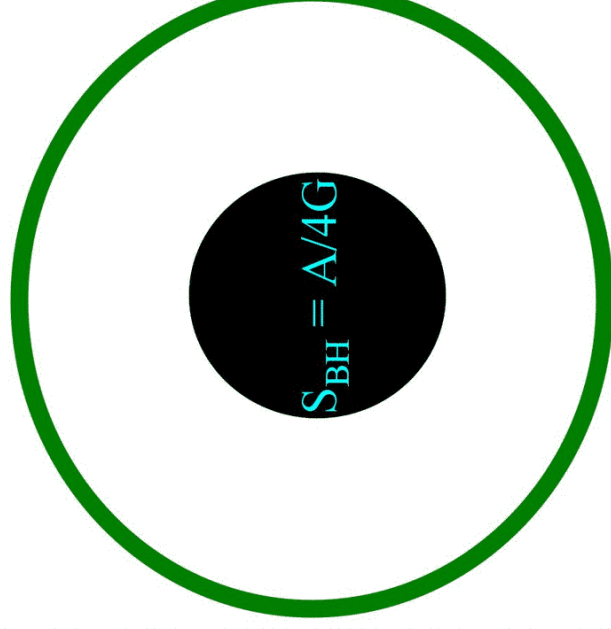
My Viewpoint on holographic bound ($S < A/4l_p^2$)

1. Not motivated by GSL.
2. But would help to explain BH entropy if true.
3. Supported by AdS/CFT, Susskind/Witten in particular.
4. Depends on G . Much more robust than Bekenstein bound. No clear violations of Bousso form known, even w/ large # of species.
5. Worth investigating as fundamental principle, though status unclear. Def of S ? Jury still out.
6. Experimental tests would be wonderful!!

4. The Holographic Bound $S < A/4G$

(Susskind, 'tHooft)

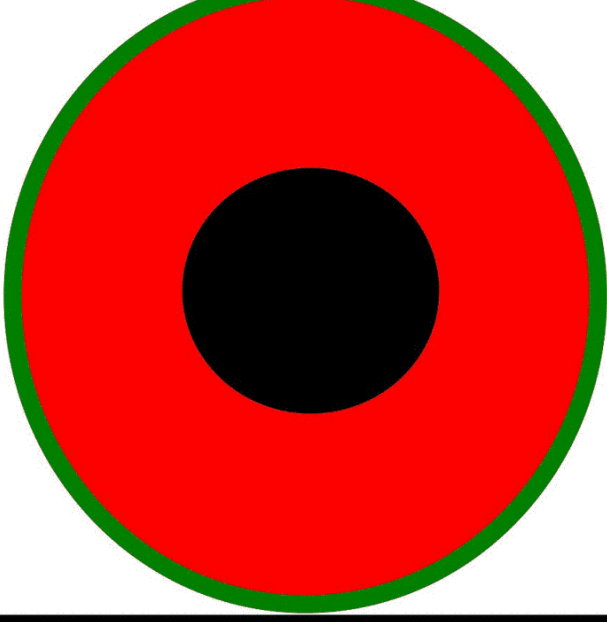
1. Suppose $Q, J=0$ object with $S > A/4G$.
2. Not a Black Hole, $E < E_{\text{BH}}$ at same A .
3. Add shell of mass; make into BH of same A .



So, original S is smaller.

Wald: Some subtlety?

1. Suppose large S due to large number N of scalar fields.
2. Hawking radiation is N times as great!
3. Semiclassical result: Black Hole evaporates in $T \sim R$. Note that this is time for shell to fall.
4. BH is a fluctuation, not equilibrium.

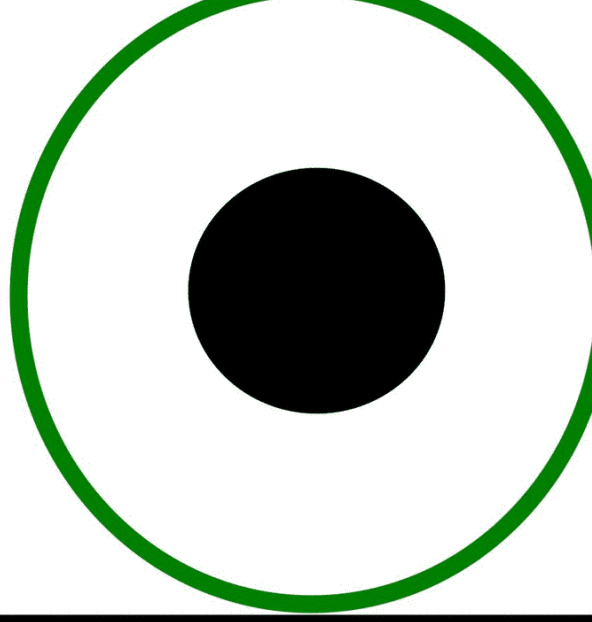


(Artist's Interpretation)

Our Calculation

1. Suppose *any* object with $S > A/4 = \pi R^2$.
2. Not Black Hole, so $E < M_{\text{BH}} = 2R$.
3. Suggestion: BH may quickly produce a copy of object through Hawking-like process.
4. Can study this when $E \ll M_{\text{BH}}$ and back-reaction is small.

$$\begin{aligned}
 F &= E - TS \\
 &< E - \frac{\pi R^2_{\text{BH}}}{4\pi R_{\text{BH}}} \\
 &= E - M_{\text{BH}}/2 < 0
 \end{aligned}$$



Large Backreaction?

E.g., Kraus, Parikh and Wilczek, or Massar and Parentani:

$$\Gamma_{\text{micro}} \sim e^{\Delta S_{\text{BH}}} < 1$$

$$\Gamma_{\text{macro}} \sim e^{(\Delta S_{\text{BH}} + S_{\text{object}})}$$

$$> e^{(-S_{\text{BH}} + S_{\text{object}})}$$

$$> 1$$

