

# Topological Phenomena in Periodically Driven Systems: Disorder, Interactions, and Quasi-Steady States

**Erez Berg**

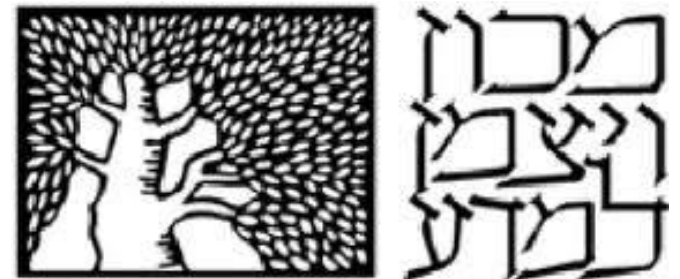
**In collaboration with:**

**Mark Rudner (Copenhagen)**

**Netanel Lindner (Technion)**

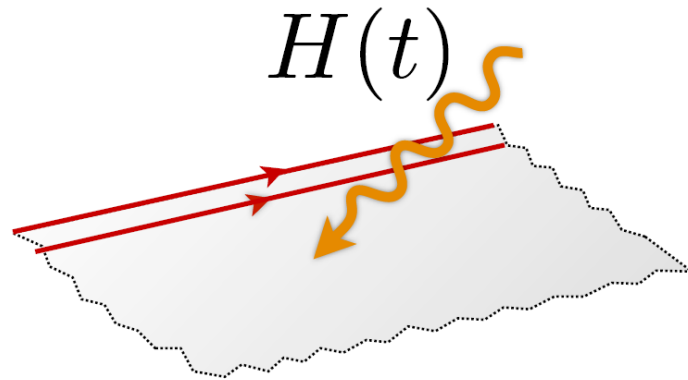
**Paraj Titum (Caltech → Maryland)**

**Gil Refael (Caltech)**



Weizmann Institute of Science

New types of non-equilibrium  
topological “phases”  
unique to periodically-driven  
systems?



# Outline

- **Review:** Floquet, Bloch, Floquet-Bloch
- **Disorder**, the Anomalous Floquet-Anderson insulator (AFAI), and non-adiabatic quantized pumping
- **Interactions**, thermalization in Floquet bands, and universal current carrying quasi-steady states

# Floquet states and the quasi-energy

No ground state, energy conservation for driven system

$$i \frac{d}{dt} |\psi\rangle = H(t) |\psi\rangle; \quad H(t+T) = H(t)$$

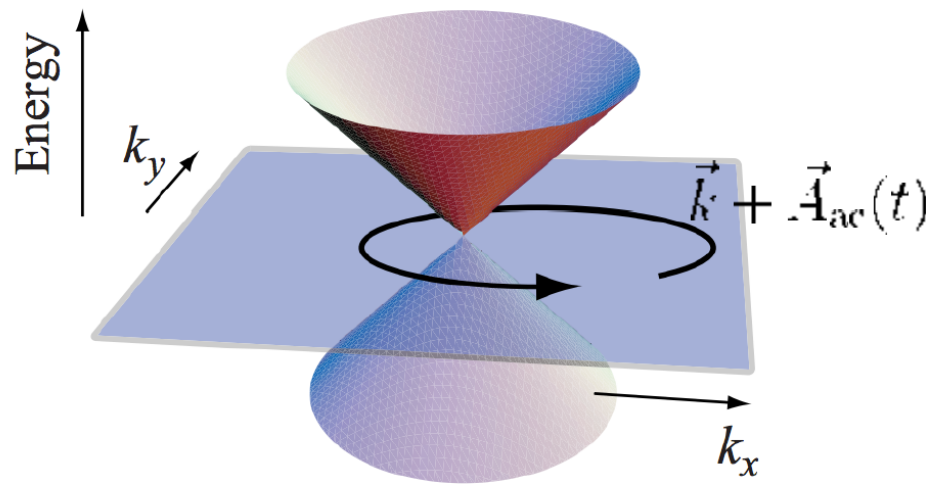
Floquet formalism:

$$U(T) |\psi_n\rangle = e^{-i\varepsilon_n T} |\psi_n\rangle$$

Eigenvalue invariant under  $\varepsilon_n \rightarrow \varepsilon_n + 2\pi N/T$ : quasi-energy lives on a circle

# Floquet band topology induced by periodic driving

Circularly-polarized light opens Haldane gap in graphene



T. Oka and H. Aoki, Phys. Rev. B **79**, 081406 (2009).  
T. Kitagawa, et al., Phys. Rev. B **84**, 235108 (2011).  
Z. Gu et al., Phys. Rev. Lett. **107**, 216601 (2011).

Resonant driving used to create band inversion

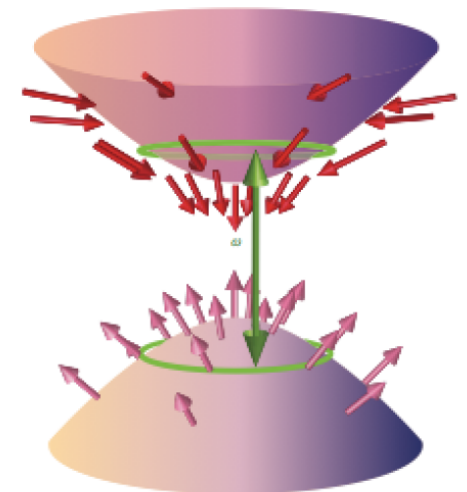
ARTICLES

PUBLISHED ONLINE: 13 MARCH 2011 | DOI: 10.1038/NPHYS1926

nature  
physics

## Floquet topological insulator in semiconductor quantum wells

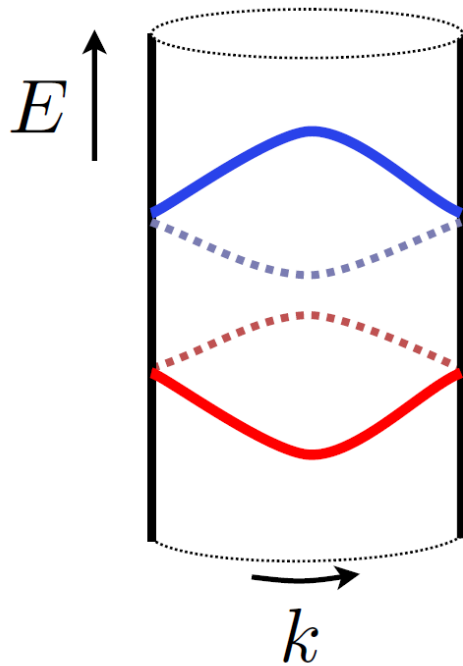
Netanel H. Lindner<sup>1,2\*</sup>, Gil Refael<sup>1,2</sup> and Victor Galitski<sup>3,4</sup>



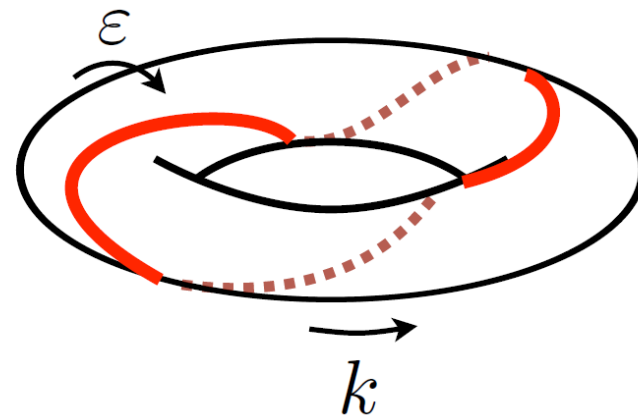
N. Lindner, G. Refael, and V. Galitski, Nature Physics **7**, 490 (2011).

# New topological configurations possible in driven systems

Normal band structure: cylinder



Quasi-band structure: torus



# Quasi-energy winding and adiabatic quantized transport

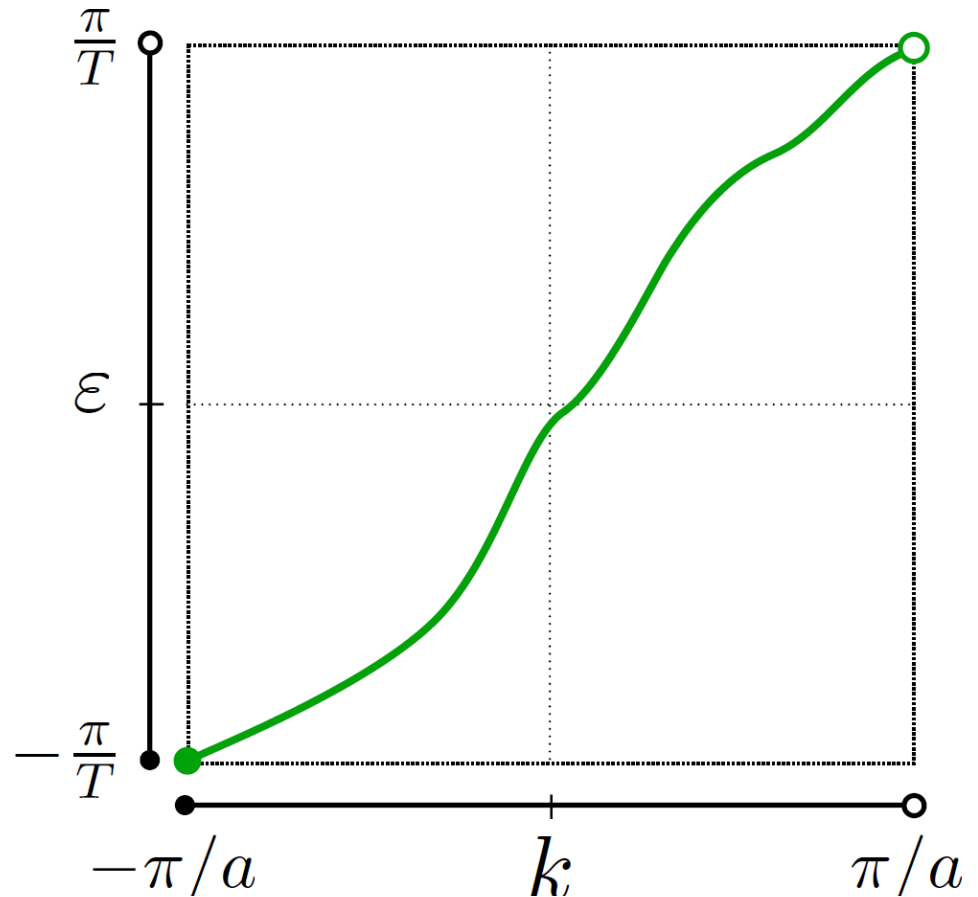
Average group velocity quantized

$$\bar{v}_g = \overline{\frac{d\varepsilon_k}{dk}} = a/T$$

Average displacement:

$$\overline{\Delta x} = \bar{v}_g T = a$$

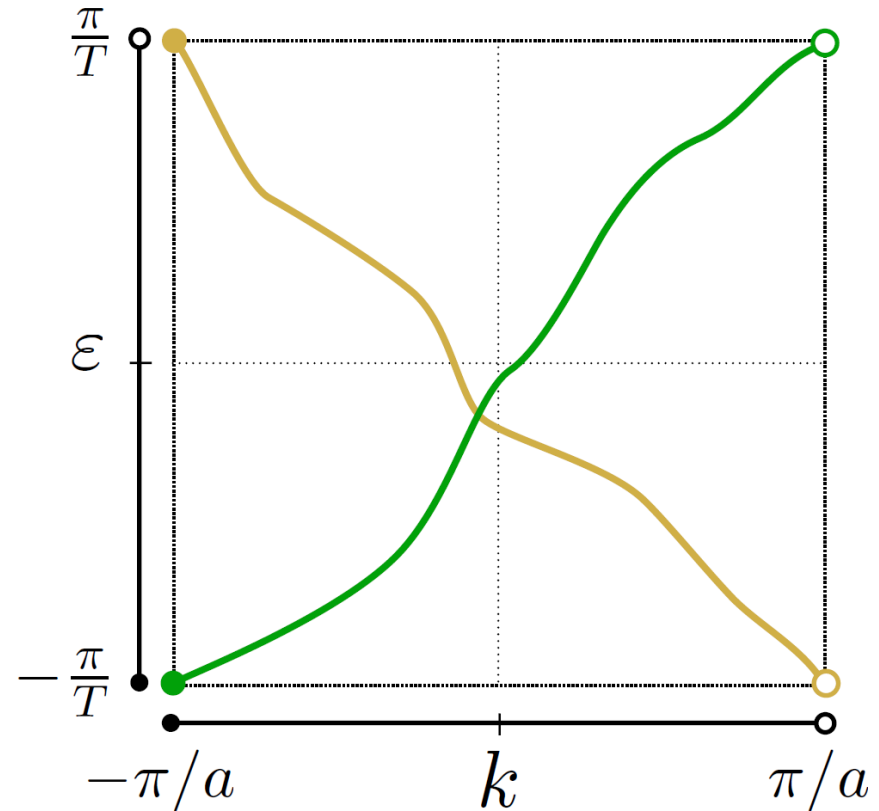
shift by one unit cell



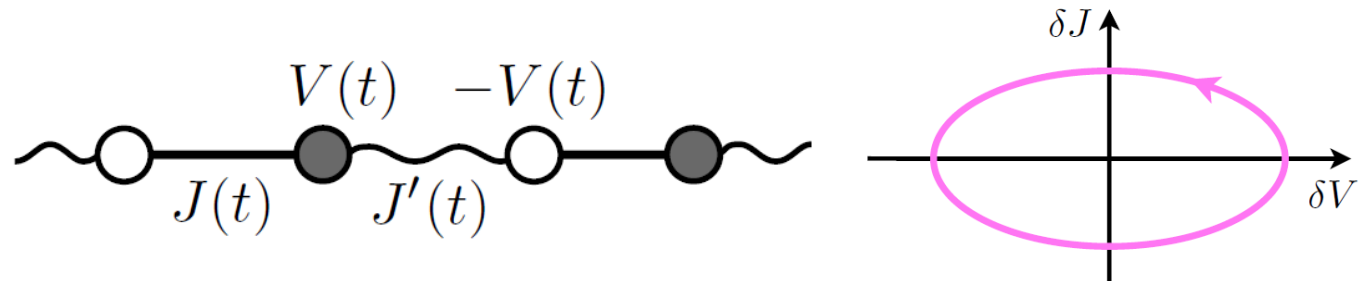
# Quasi-energy winding and adiabatic quantized transport

Winding number

$$W = \oint \frac{dk}{2\pi} \text{Tr} [U^{-1} \partial_k U]$$



Adiabatic  
Thouless pump (83')



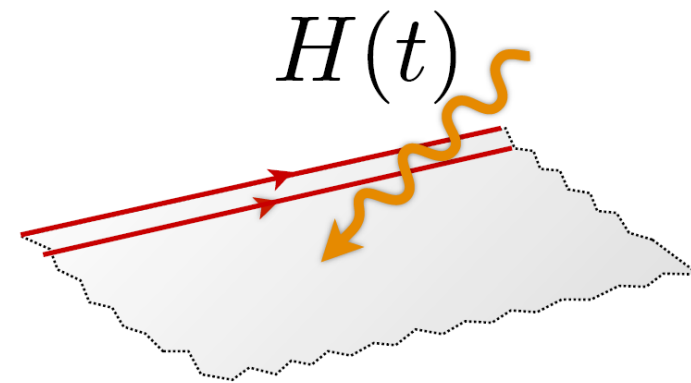
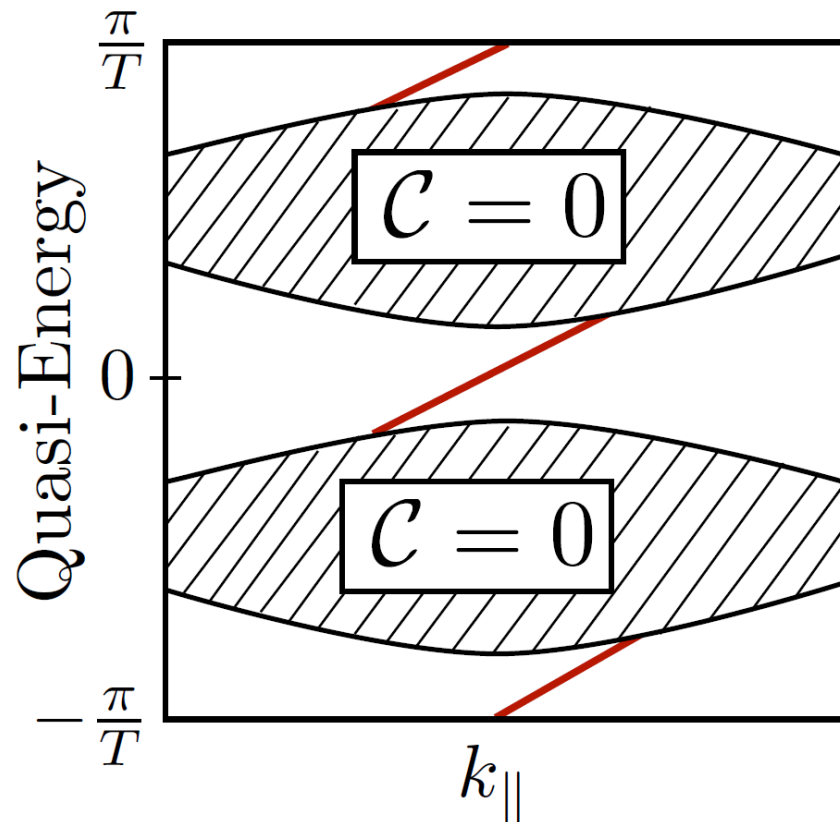
**Recent experiments of Thouless pump in an optical lattice**

*S. Nakajima et. al., Nature Physics (2016)*

*M. Lohse, C. Schweizer, O. Zilberberg, M. Aidelsburger, I. Bloch, Nature Physics (2016)*



Driven 2D systems may support chiral edge modes even when all Chern numbers are zero!



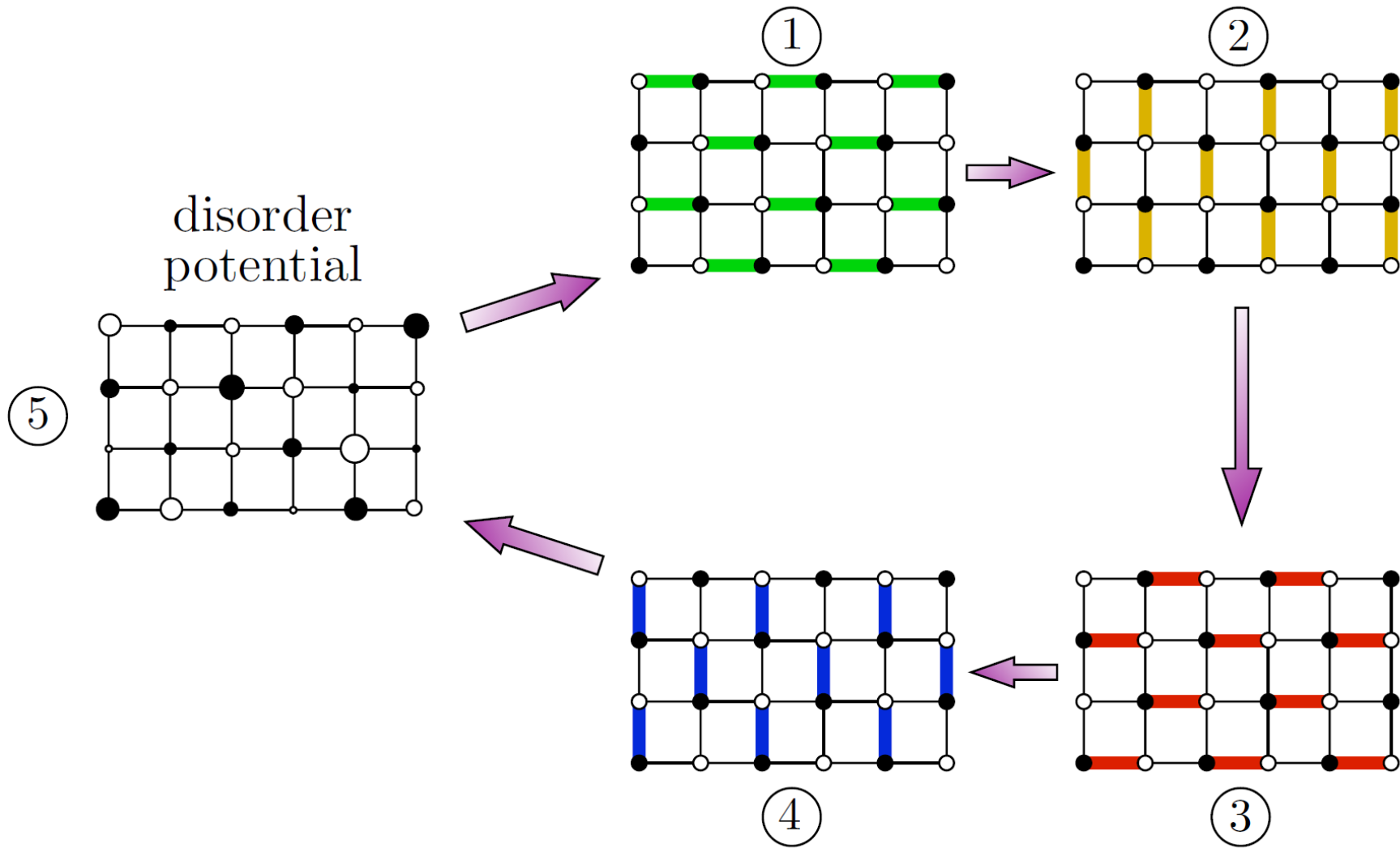
Chiral edge modes  
for  $\mathcal{C} = 0$  bands

T. Kitagawa, EB, M. Rudner, and E. Demler PRB (2010)  
M. Rudner, N. Lindner, EB, and M. Levin, PRX (2013)

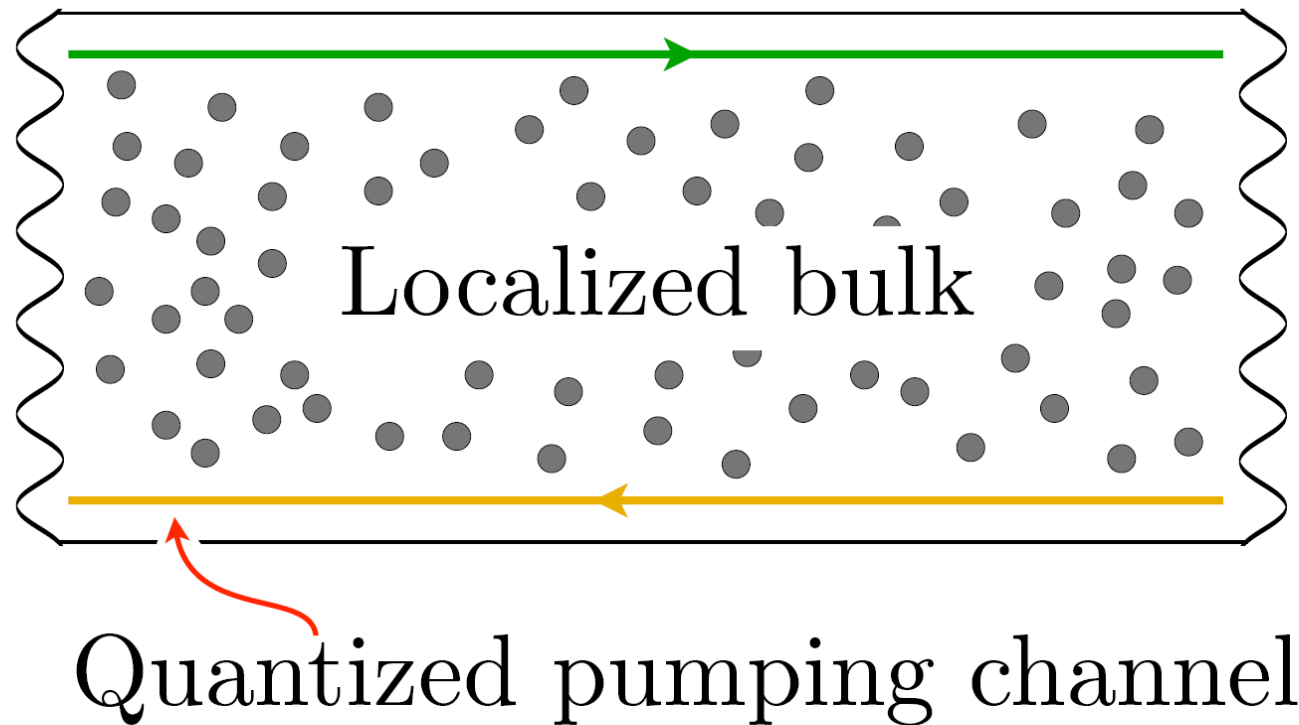
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# Disorder localizes all bulk states



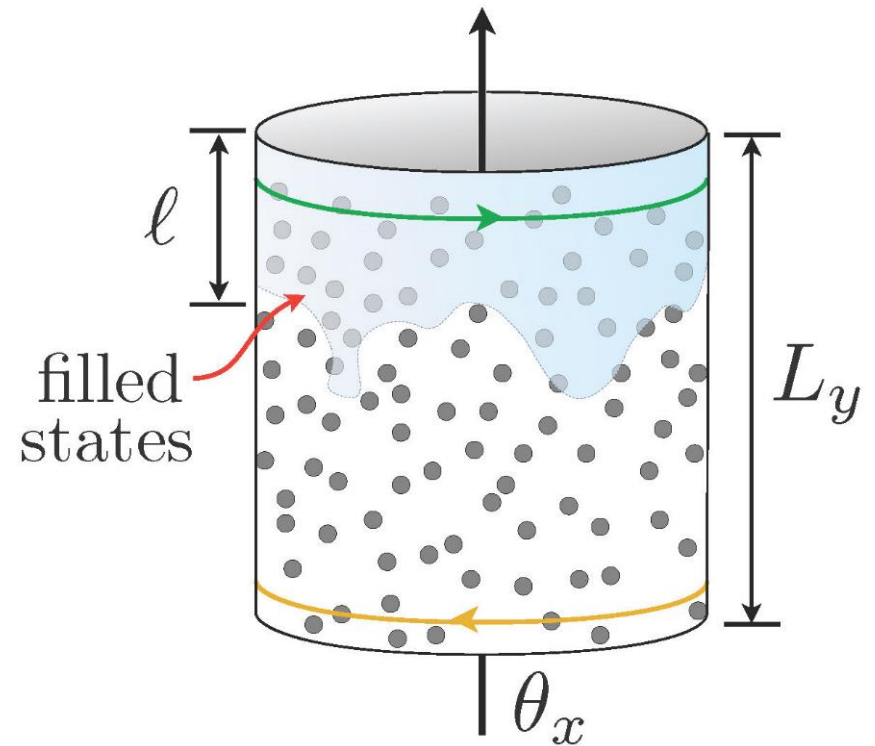
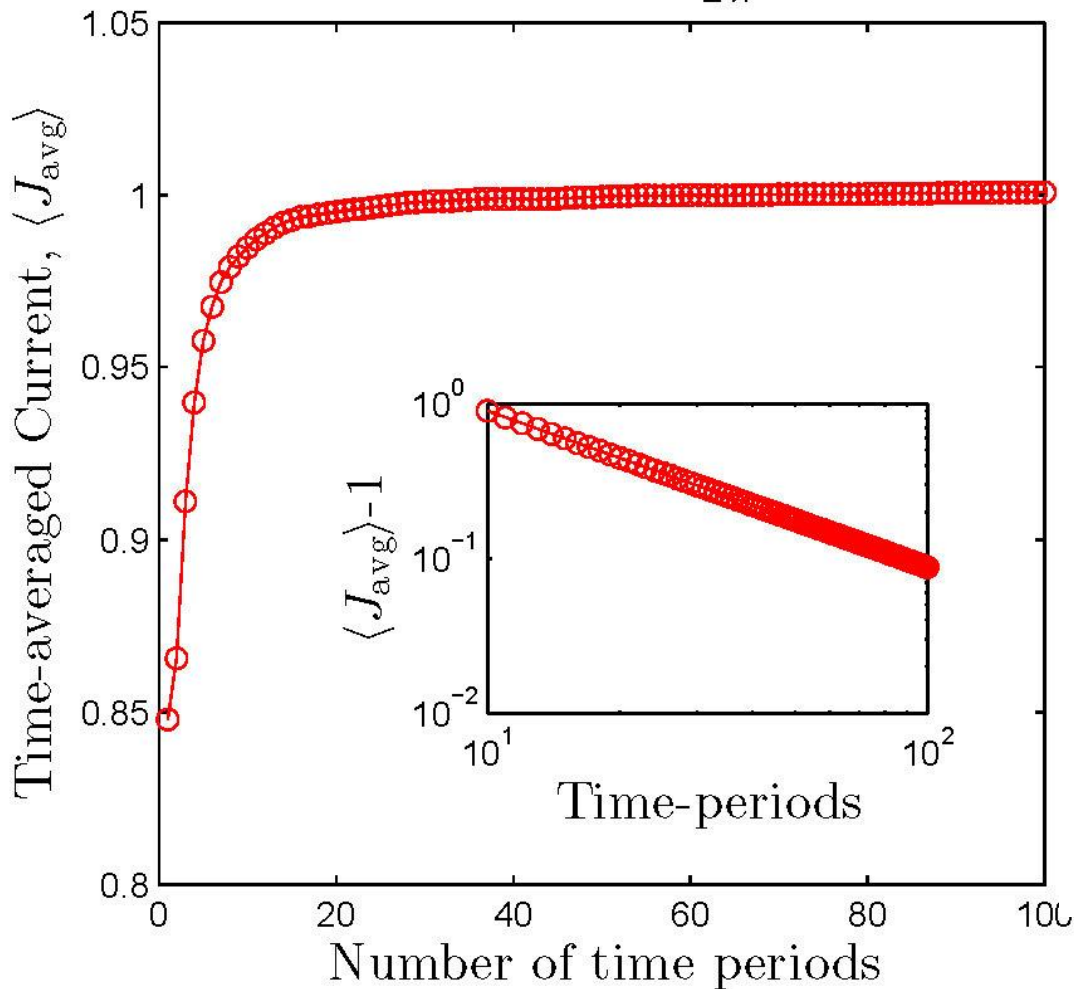
# Anomalous Floquet-Anderson Insulator: fully localized bulk with propagating chiral edge states



P. Titum, EB, M. Rudner, G. Refael, and N. Lindner, PRX (2015)

# *Non-adiabatic* Quantized pumping current in the AFAI

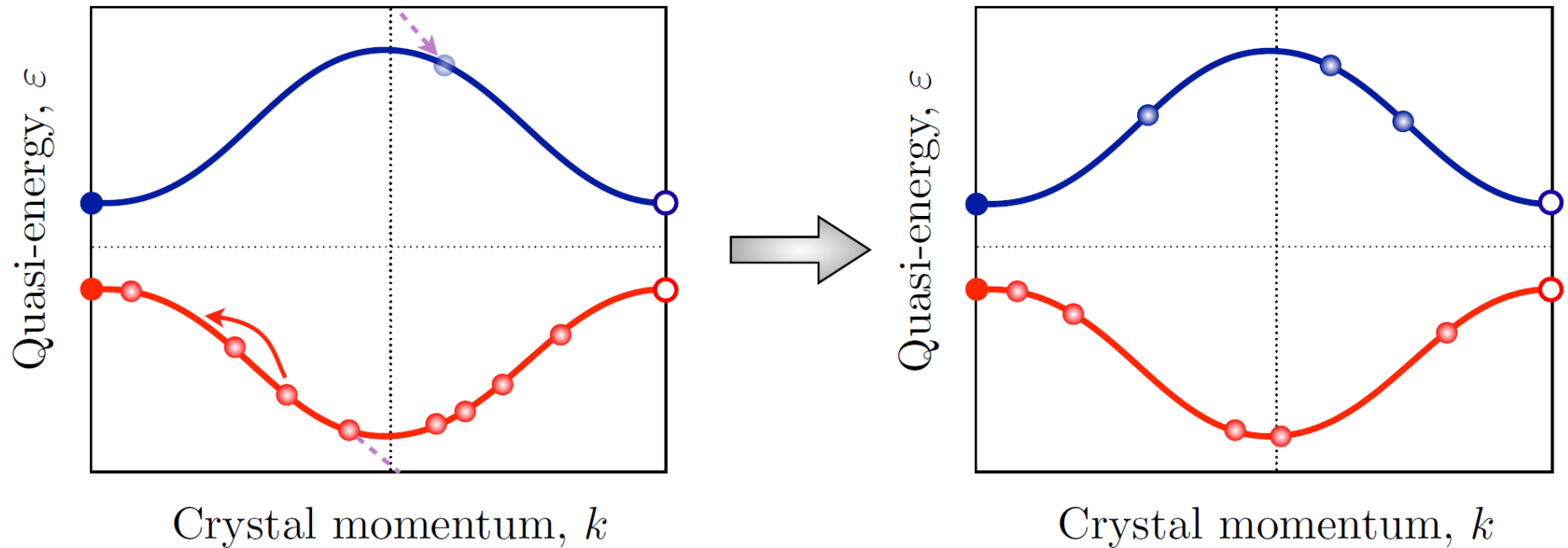
$$V_r/\Omega = \frac{8}{2\pi}$$



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At long times, driven interacting (closed) system generically heats to infinite temperature



**See examples (plus others)**

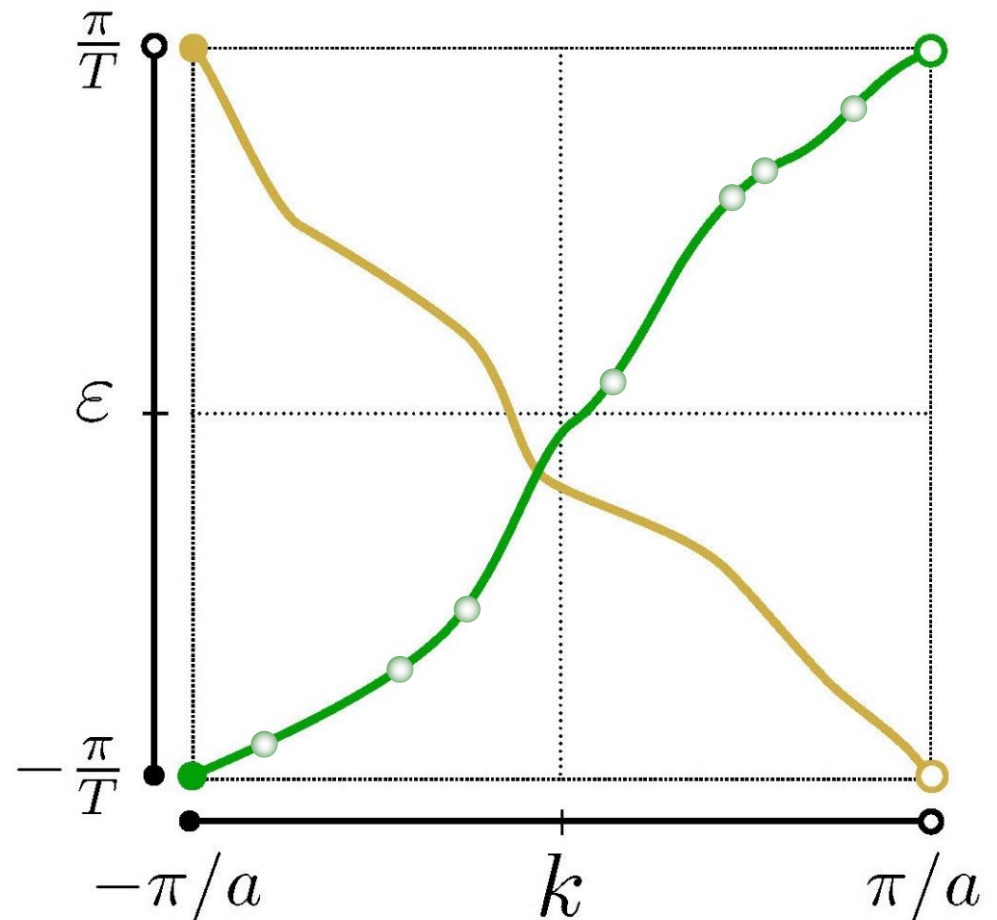
*A. Lazarides, A. Das, R. Moessner (PRL, 2014)*

*L. D'Alessio, M. Rigol (PRX, 2014)*

*P. Ponte, A. Chandran, Z. Papić, D. Abanin (Ann. Phys., 2014)*

# Topological features in a closed, interacting Floquet system?

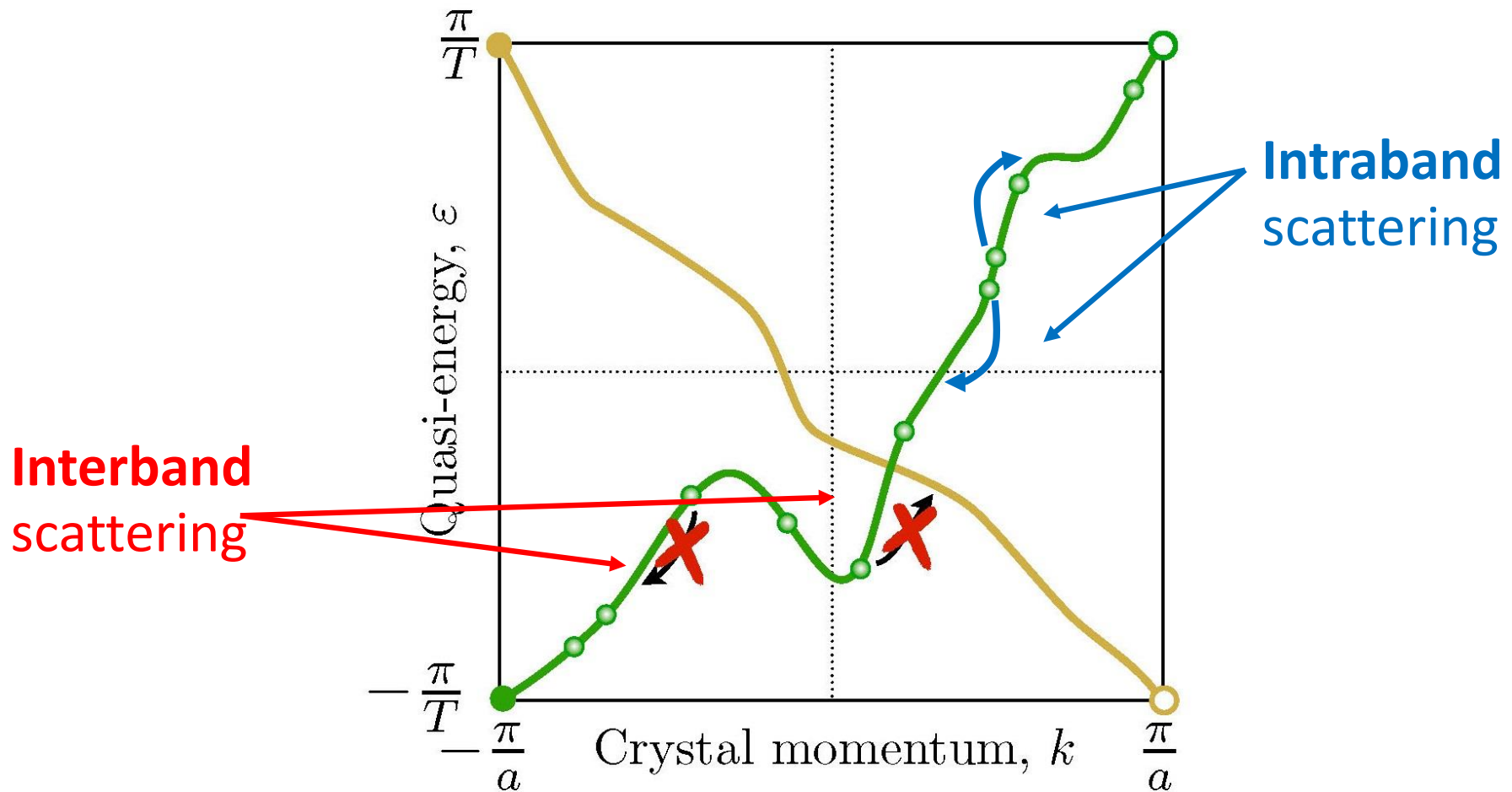
- 1D system with non-zero winding numbers (Thouless pump)
- Initial state: partially filled right moving band (or filled with bosons)
- Gapless system: adiabatic theorem not applicable!





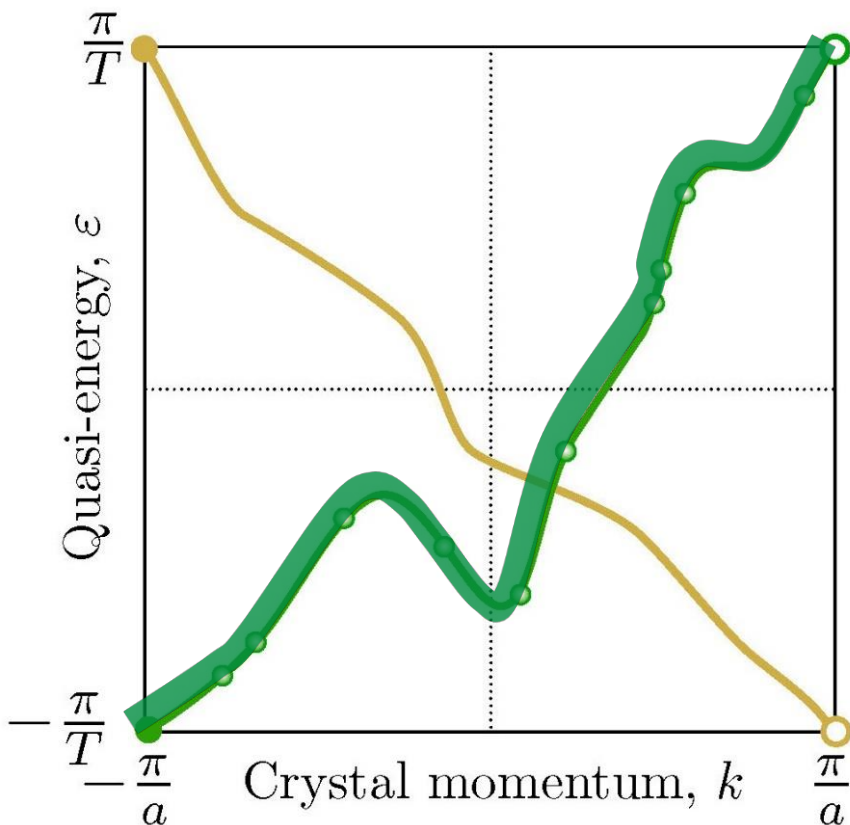
# Chiral “thermalization”?

- If **interband** scattering is suppressed, particles can thermalize only within one of the chiral bands



# Chiral quasi-steady state

Intermediate time “quasi-steady state”:  
Thermalization *in a single Floquet band*



$$t_{\text{intra}} < t < t_{\text{inter}}$$

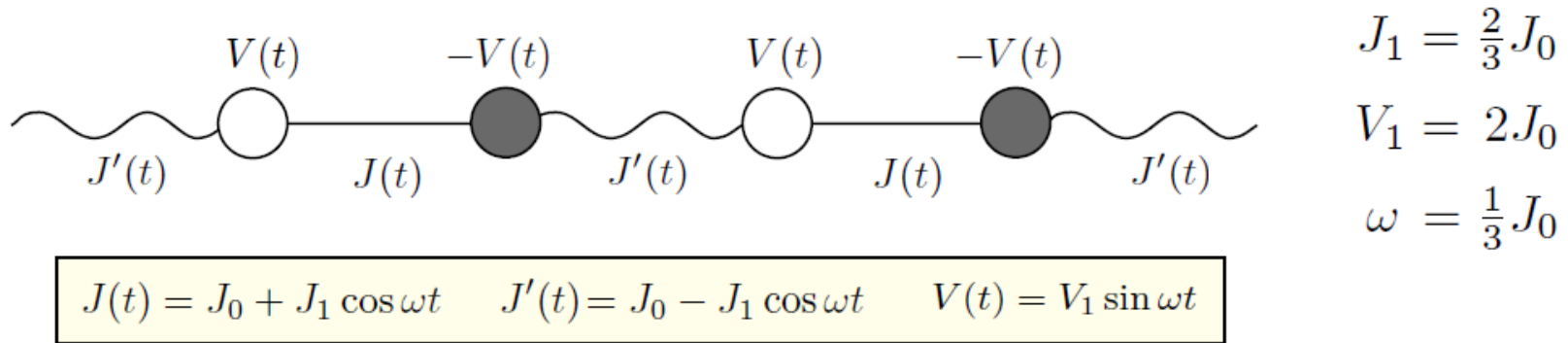
$$\text{current} = \frac{1}{T} \times \text{density}$$

# Outline

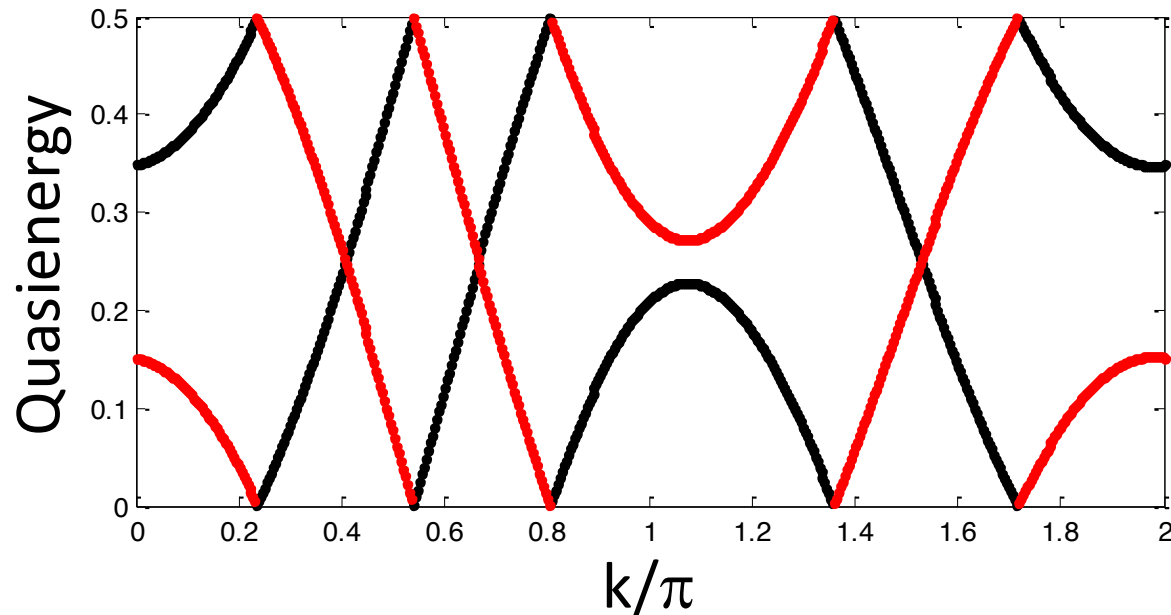
- **Review:** quantum driven systems: Floquet, Bloch, Floquet-Bloch
- **Interactions** in closed systems and thermalization
- **Current-carrying quasi steady states**

# Simulation

Numerics: 8 fermionic particles, 32 sites (16 unit cells)

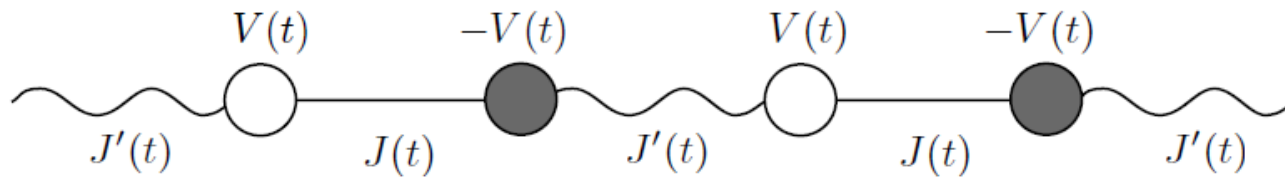


Single particle quasienergy spectrum:



# Simulation

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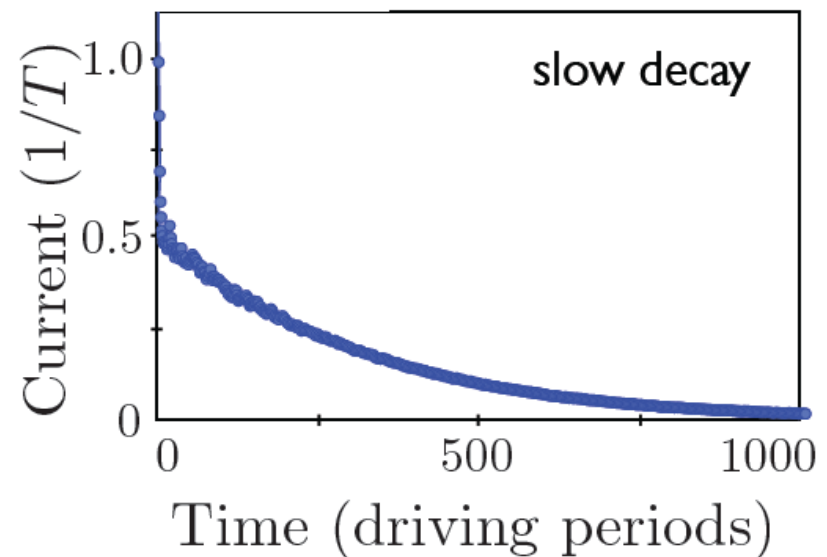
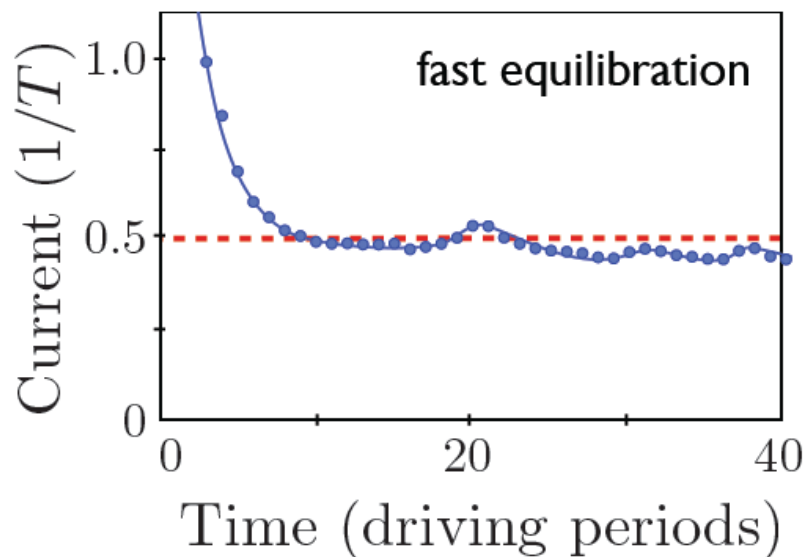
$$J_1 = \frac{2}{3}J_0$$

$$V_1 = 2J_0$$

$$\omega = \frac{1}{3}J_0$$

$$J(t) = J_0 + J_1 \cos \omega t \quad J'(t) = J_0 - J_1 \cos \omega t \quad V(t) = V_1 \sin \omega t$$

Intra-unit cell interaction,  $U = 3J_0$



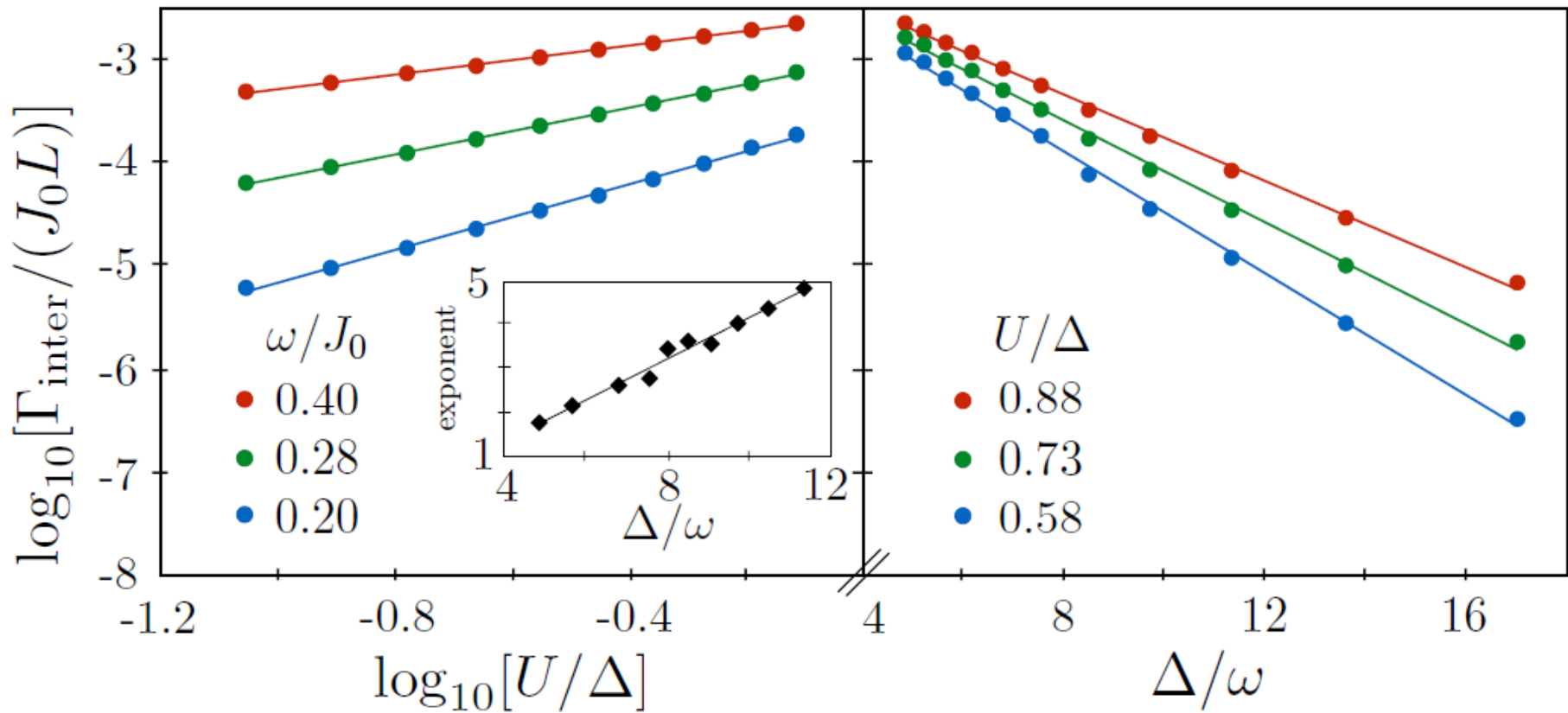
# Inter-band relaxation rate

$$\Gamma_{\text{inter}} \sim \left( \frac{\alpha U}{\Delta} \right)^{\frac{\Delta}{\delta m \omega}}$$

interaction

minimal order of photon absorption

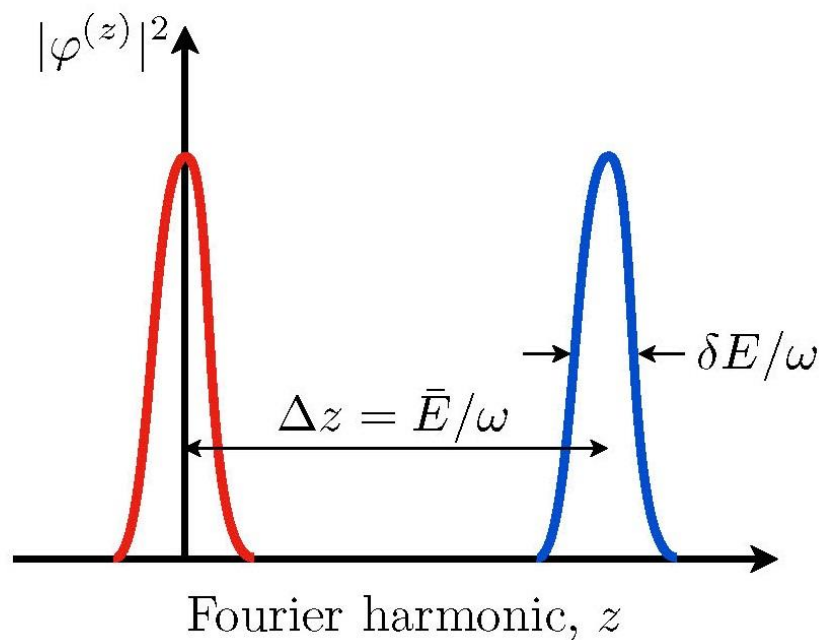
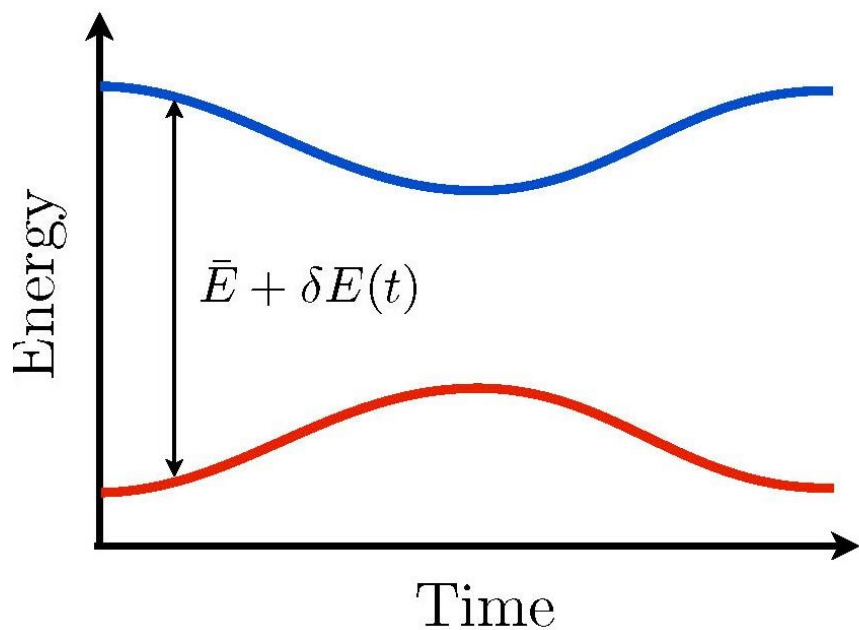
minimal instantaneous band gap



# Frequency space analysis

- Matrix element for scattering controlled by the overlap of Fourier components of the scattering Floquet states

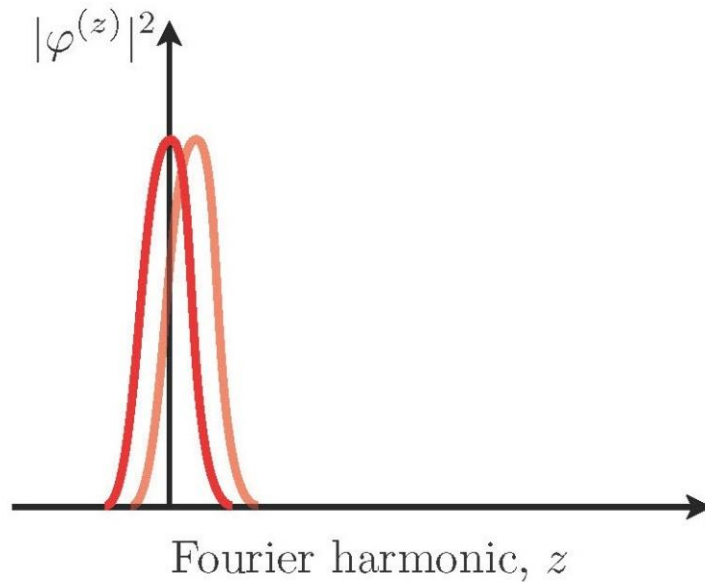
$$|\psi(t)\rangle = e^{i\epsilon t} \sum_{z=-\infty}^{\infty} e^{iz\omega t} |\varphi_z\rangle$$



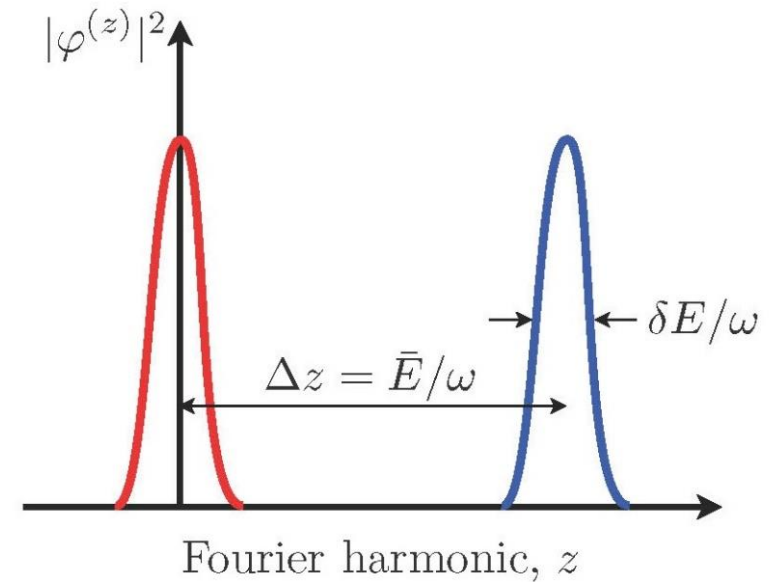
# Frequency space analysis

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## Intraband scattering

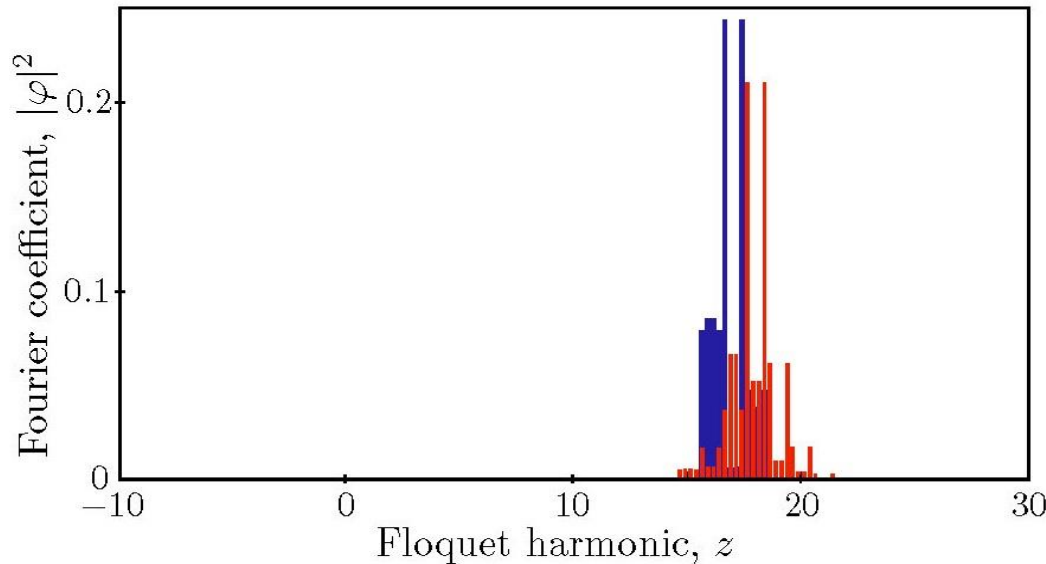
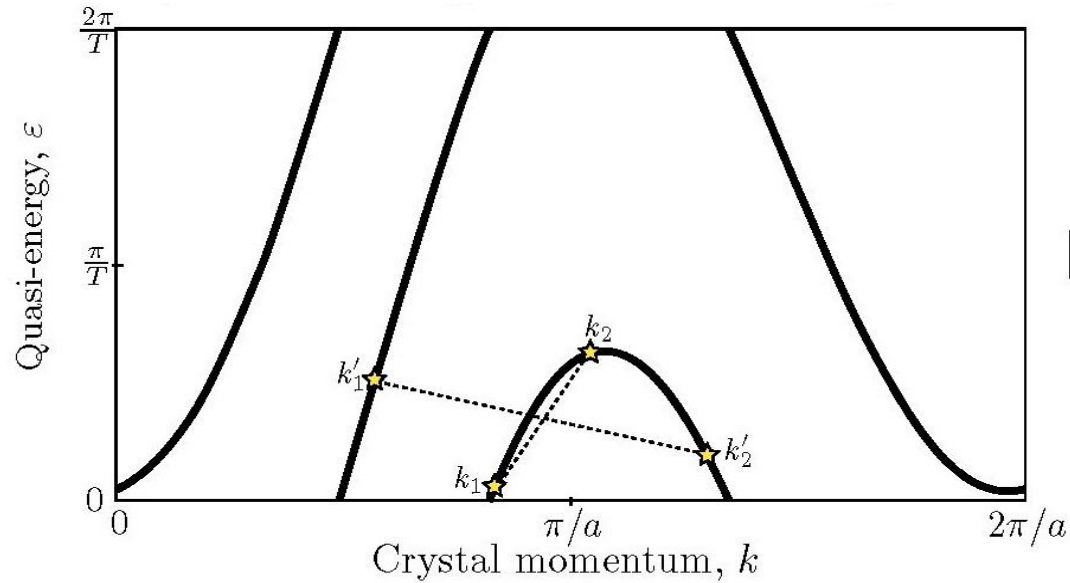


## Interband scattering



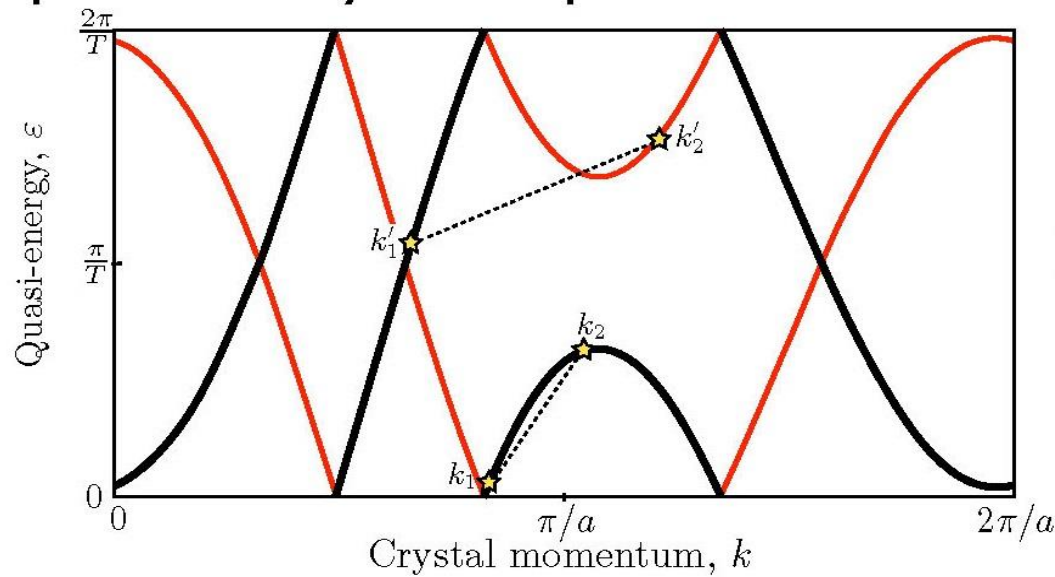


# Intraband scattering

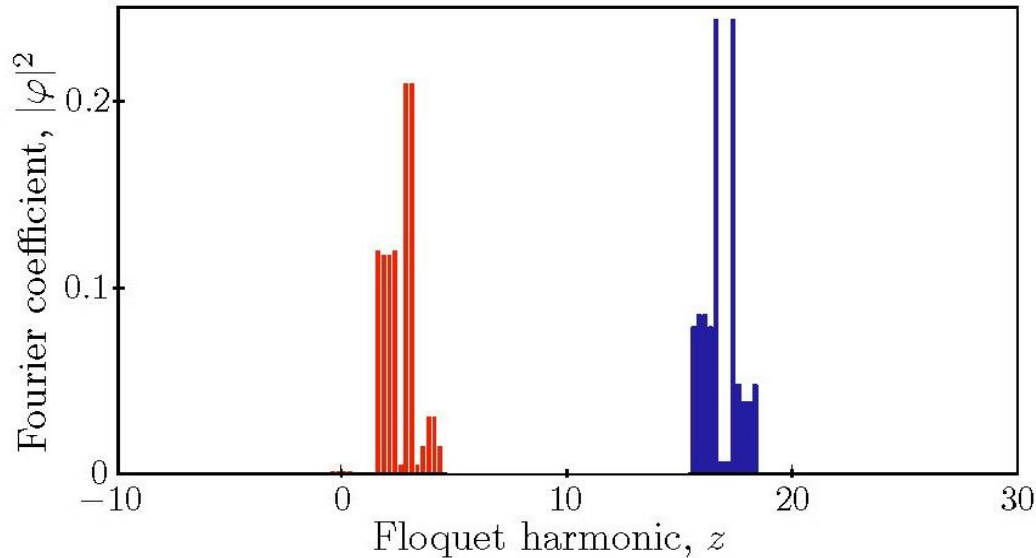


**Significant  
overlap**

# Interband scattering



$$|k_1 k_2\rangle \rightarrow |k'_1 k'_2\rangle$$



— initial state

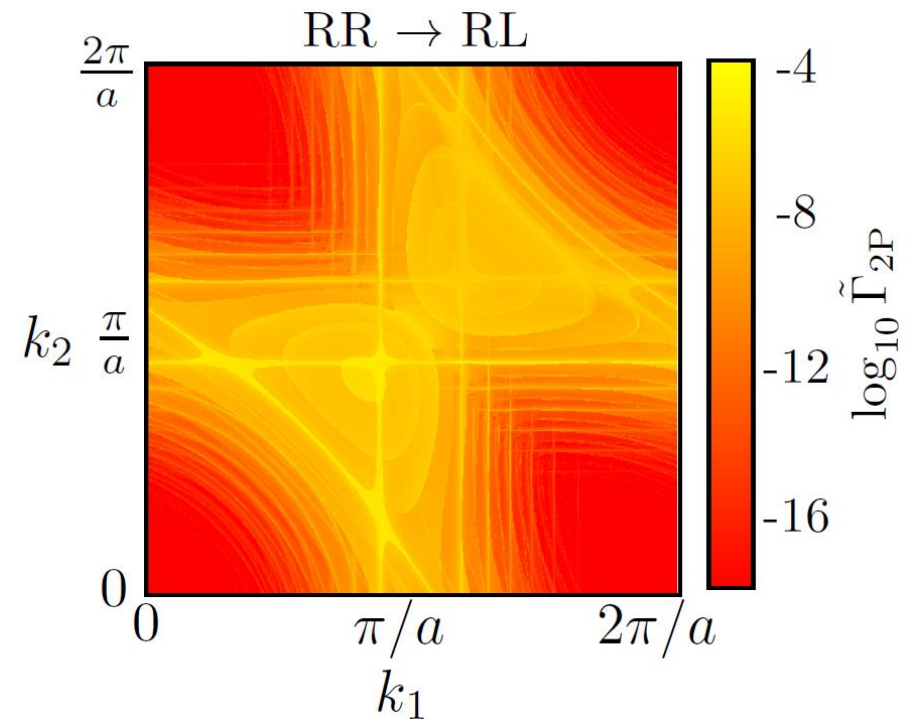
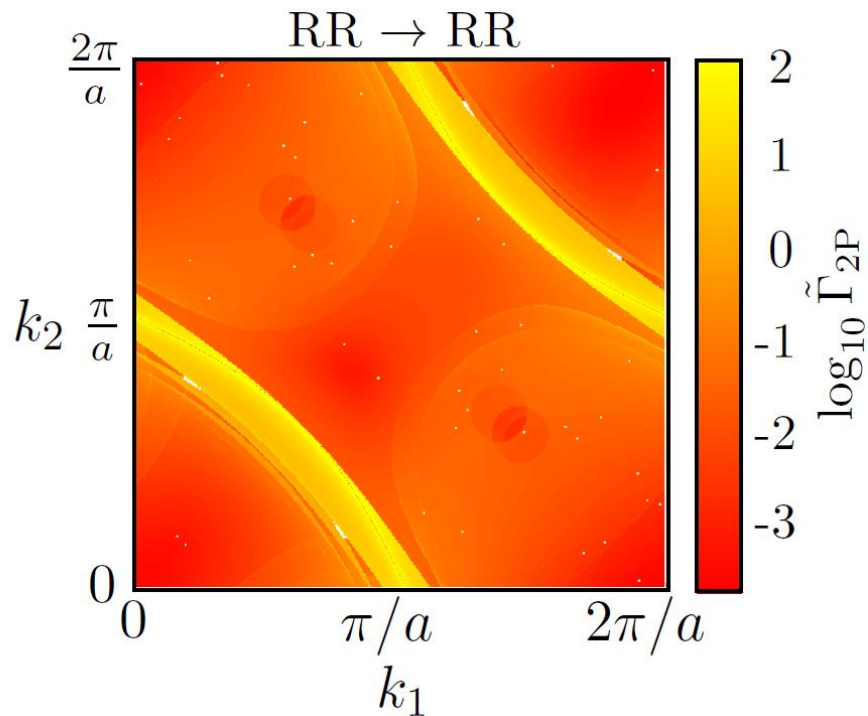
— final state

**Overlap  
exponentially  
suppressed**

# Interband scattering: Born approximation

$$|k_1 k_2\rangle \rightarrow |k'_1 k'_2\rangle$$

$$\frac{\Gamma_{2P}}{L} = \sum_f \frac{1}{|\Delta v_f|} |\varphi_{2P,f}^\dagger \mathcal{U} \varphi_{2P,0}|^2$$

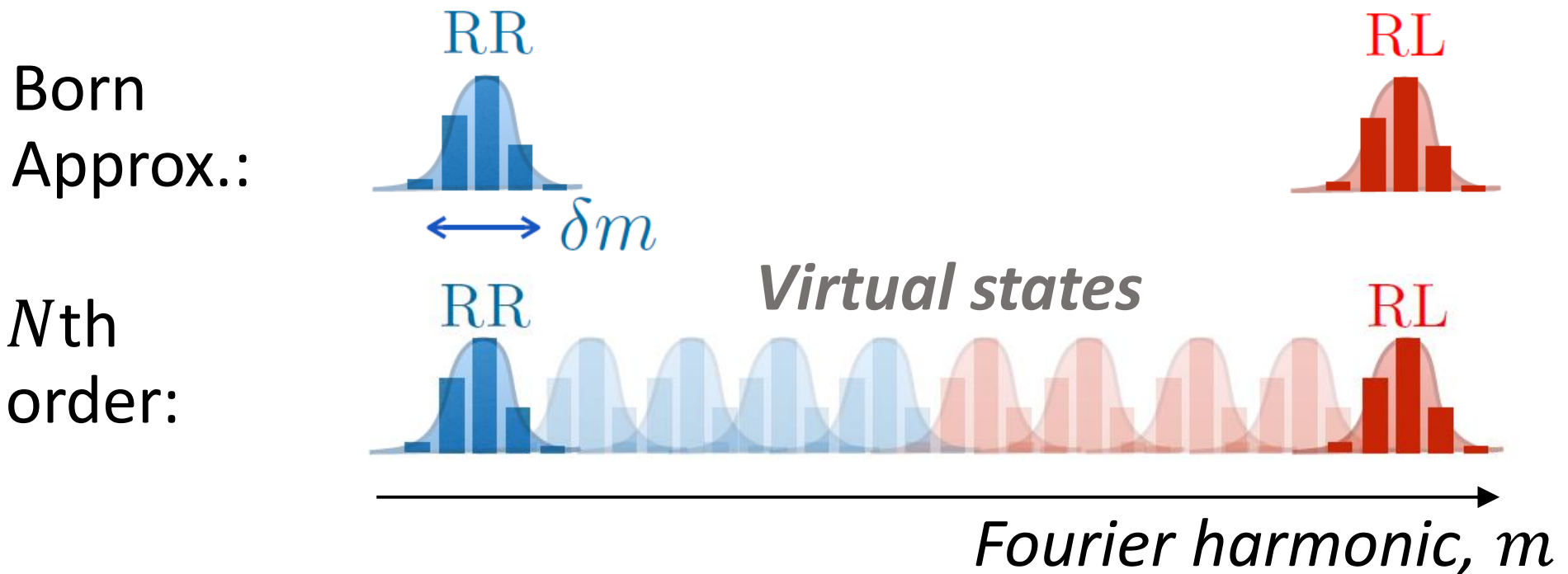


$\Gamma_{2P} \propto U^2$ : does not account for observed  $\Gamma_{inter} \propto \left(\frac{U}{\Delta}\right)^{\frac{\Delta}{\omega}} \dots$

# High order scattering

T matrix formulation in extended (Fourier harmonic) Hilbert space:

$$T(\Omega) = U + UG_0U + UG_0UG_0U + \dots$$



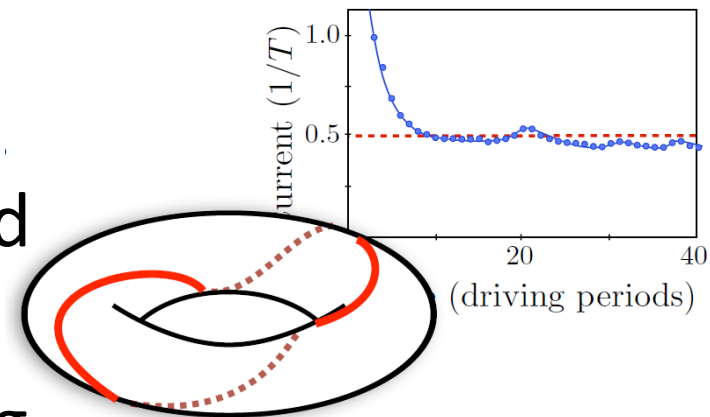
Optimal order:  $N \sim \frac{\Delta}{\delta m \omega}$

$$\Rightarrow \Gamma_{\text{inter}} \sim \left( \frac{U}{\Delta} \right)^{\Delta / (\delta m \omega)}$$

# Summary

*Periodically driven systems host a variety of topological phenomena, with no analogues in static systems.*

- Chiral edge states with no Chern numbers
- **Disorder:** chiral edge states with fully localized bulk, non-adiabatic quantized charge pumping
- **Interactions:** in closed systems, driving generically leads to indefinite heating...  
...But unusual long-lived quasi-steady states are possible



**Thank you.**